



## 1 **Variation of size-segregated particle number concentrations in winter**

### 2 **Beijing**

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### 17 **Abstract**

18 Aerosol number concentration varying spatially and temporally is a good indicator of  
19 the dynamic behavior of Beijing's atmospheric cocktail. This variation represents the  
20 strength of different contributing primary and secondary sources such as traffic and new  
21 particle formation, respectively. In this paper we report size-segregated particle number  
22 concentrations observed at newly developed Beijing station during winter 2018. Our  
23 measurements cover number size distributions of particles in a diameter range between  
24 1.5 nm and 1 μm (cluster mode, nucleation mode, Aitken mode and accumulation  
25 mode), thus being descriptive of a major fraction of the processes happening in the  
26 atmosphere of Beijing. Here we aim to explain the concentration variation in the  
27 observed modes by relating them to potential aerosol sources as well as to understand  
28 the connection between the modes. We focused on two types of days (haze and new  
29 particle formation) and divided the data accordingly. Our results show that during new  
30 particle formation (NPF) days, an increase in the cluster mode particles was observed.  
31 In contrast, during haze days we observed a high concentration of accumulation mode  
32 particles. There was a clear correlation between the cluster and nucleation modes during  
33 NPF days, while it was absent during haze days. In addition, we correlated the different  
34 modes with concentrations of trace gases and other parameters measured at our station.  
35 Our results show that all modes in the sub-micron size range correlated with NO<sub>x</sub> which



36 clearly reflects the contribution of traffic to all particle sizes.

## 37 1 Introduction

38 Atmospheric aerosols are the main ingredient of China's pollution cocktail (Kulmala  
39 2015). They have gained increasing attention due to their effects on human health,  
40 climate and visibility (Lelieveld et al., 2015, IPCC 2007). Currently, air quality  
41 standards for cities in China consider particle mass instead of number concentration  
42 (WHO, 2000), which may ignore the effect of ultra-fine particles (diameter less than  
43 100 nm). However, it has been shown that ultra-fine particles can penetrate deep into  
44 the respiratory tract ending up to the blood circulation which allow them to deposit into  
45 the brain (Oberdörster et al., 2004). Indeed, studies have pointed out that ultra-fine  
46 particles, which contribute to a negligible fraction of the mass concentration, dominate  
47 the total number concentration in urban areas (von Bismarck-Osten et al., 2013; Wehner  
48 et al., 2004; Wu et al., 2008). Due to their high concentration, ultrafine particles'  
49 toxicological effect is enlarged by their large total surface area (Kreyling et al., 2004).

50 Apart from their health effects, the temporal and spatial variation of particle number  
51 concentrations of different sizes is a good estimate of the strength of their emission  
52 sources. The aerosols are emitted either directly as primary particles, such as sea salt or  
53 dust particles as a result of natural phenomena (Solomos et al., 2011), or nano-particles  
54 could also form through new particle formation (Kulmala, 2003; Kulmala et al., 2004;  
55 Kulmala et al., 2013; Kerminen et al., 2018; Chu et al., 2019). The newly formed  
56 particles can grow up into 20-100 nm within a day (Kulmala et al., 2004) and are found  
57 to contribute to a major fraction of cloud condensation nuclei (CCN), thus indirectly  
58 affecting the climate (Kerminen et al., 2012). For all aforementioned reasons and in  
59 order to form a collective, complete picture about atmospheric particles, to understand  
60 their origin and potential impacts at a specific location, the whole size distribution of  
61 these atmospheric particles needs to be studied.

62 Recently, due to urbanization and increased population, megacities have increased their  
63 contribution to atmospheric aerosol pollution massively (Baklanov et al., 2016).  
64 Interestingly, more people live in eastern Asia (specifically, China and India) rather  
65 outside (<https://www.unfpa.org/swop>). Therefore, it is important to study the  
66 contributions of different sources to size-segregated number concentrations in order to  
67 inspire policy makers and the public on measures that need to be taken in order to reduce  
68 particulate pollution. Many studies in various cities in China have tackled this topic.  
69 For instance, a two-years observation of particle number size distributions at a site  
70 northern Beijing reported that traffic emissions are the major source of nucleation (3-  
71 20 nm) and Aitken (20-100 nm) mode particles in urban Beijing (Wang et al., 2013).  
72 On another hand, a research conducted in western downtown of Nanjing reported that



73 local new particle formation events are the main contributors of nucleation (5-20 nm)  
74 mode and CCN (Dai et al., 2017). Moreover, an observation of nucleation mode particle  
75 concentration in urban Hong Kong reported the dominant contribution of combustion  
76 sources to nucleation mode (5.5-10 nm) (Wang et al., 2014a). Also, an observation in  
77 urban Guangzhou found that accumulation and secondary transformation of particles  
78 are the main reasons for high concentration of accumulation mode particles (100-660  
79 nm) (Yue et al., 2010). However, only a few studies in China have reported  
80 measurements of cluster mode particles (sub-3 nm) and related them to new particle  
81 formation events (Cai et al., 2017; Xiao et al., 2015; Yao et al., 2018; Yu et al., 2016).

82 The observation of sub 3 nm particles and ions was made possible by recent major  
83 instrumentation development such as particle size magnifier (PSM) (Vanhanen et al.,  
84 2011), diethylene glycol-based scanning mobility particle sizer (DEG-SMPS) (Jiang et  
85 al., 2011), and Neutral Cluster and Air Ion Spectrometers (NAIS) (Manninen et al.,  
86 2016; Mirme et al., 2007).

87 In a complicated environment such as in Beijing, it is very hard to relate each particle  
88 mode to a specific source. Indeed, many sources could contribute to particles in the  
89 same size range. For instance, cluster mode particles mainly originate from the  
90 secondary gas-to-particle transformation process (Kulmala et al. 2013), although  
91 recently also traffic has been identified as a source for these small sized particles  
92 (Rönkkö et al., 2017). While these particles can grow to nucleation mode sizes, other  
93 sources such as black carbon from traffic contribute to Aitken mode, complicating the  
94 story even further (Pirjola et al., 2012). Various anthropogenic activities and biogenic  
95 processes contribute to accumulation mode sizes. Thus, correlating trace gases and  
96 aerosol concentrations of different sizes during different time periods help narrow down  
97 these aerosol sources.

98 In this study, we analyzed the number concentration of four sub-micron aerosol modes:  
99 cluster mode (sub-3 nm), nucleation mode (3-25 nm), Aitken mode (25-100 nm), and  
100 accumulation mode (100-1000 nm). Our aims are i) to investigate the number  
101 concentration variations of the size segregated aerosol number concentrations for each  
102 modes, ii) to explore the relationships between the modes under different atmospheric  
103 conditions, iii) to connect the number size distribution modes with multiple trace gases  
104 ( $\text{NO}_x$ ,  $\text{SO}_2$ ,  $\text{CO}$  and  $\text{O}_3$ ) and  $\text{PM}_{2.5}$  (particulate matter with aerodynamic diameter less  
105 than  $2.5 \mu\text{m}$ ), and iv) to quantify the contribution of NPF and haze formation to different  
106 particle modes in winter time in Beijing. Our work increases our understanding of the  
107 sources of the different sized particles in Beijing, China, and the work complements  
108 studies in other megacities.



## 109 2 Materials and Methods

### 110 2.1 2.1 Description of SMEAR Beijing station

111 Beijing, as the capital of China, accommodates more than 20 million people within 16.8  
112 thousand square kilometers and only 1.4 thousand square kilometers for urban areas,  
113 with an expanding economic activity, construction and industry. Beijing, as one of the  
114 largest megacities in the world, is located in the Northern Chinese Plain, and is one of  
115 most industrialized regions in China. Mountains surround Beijing from the west, north  
116 and north-west.

117 For our study, we analyzed data collected at the newly developed station which is part  
118 of the Aerosol and Haze Laboratory in Beijing. The urban station follows from the  
119 concept of Station for Measuring Ecosystem and Atmospheric Relations (SMEAR)  
120 (Hari and Kulmala, 2005). Our station is located on the western campus of Beijing  
121 University of Chemical Technology (BUCT). It is constructed on the fifth floor of the  
122 teaching building on the campus and the sampling lines extend to the rooftop of the  
123 building around 20 m above the ground level. The station represents a typical area in  
124 urban Beijing subject to pollution sources, such as traffic, cooking and long-range  
125 transport of pollution. The campus is surrounded by highways and main roads from the  
126 East (3<sup>rd</sup> ring main road), north (Zizhu road) and south east (Zizhu Bridge). From the  
127 east, west and south, the campus is surrounded by residential and commercial areas.

128 Measurements at SMEAR Beijing started on 16 January, 2018 and continue until  
129 present except during the necessary instruments' maintenance and unavoidable factors  
130 such as power cuts (Lu et al., 2018). The data included in this study were collected  
131 between 16 January and 15 March 2018, representative of Beijing winter conditions.

### 132 2.2 Instrumentation

133 For a comprehensive measurement of particles, a full set of particle measuring  
134 instrumentation was operated. First, a nano-condensation nucleus counter system  
135 (nCNC) consisting of a Particle Sizer Magnifier (PSM, model A10, Airmodus Oy,  
136 Finland) and butanol condensation particle counter (CPC) (model A20, Airmodus Oy,  
137 Finland) measured number concentration of small clusters / particles of 1.2-2.5 nm  
138 (mobility diameter) (Vanhanen et al., 2011). To minimize the sampling losses, the PSM  
139 was sampling horizontally from window to the north through a short stainless steel  
140 sampling inlet extending ~1.2 m outward from the building. The length of the sampling  
141 tube was 1.33 m and the inner diameter is 0.8 cm. To further improve the sampling  
142 efficiency, a core sampling tube (Kangasluoma et al., 2016) was utilized. The total flow



143 rate was 7.5 liters per minute (lpm), from which 5 lpm was used as a transport flow  
144 while the nCNC sample flow rate was 2.5 lpm. In the operation of the PSM the saturator  
145 flow scanned from 0.1 to 1.3 lpm within 240s.

146 A particle size distribution (PSD) system measured aerosol size distribution of 3 nm-  
147 10000 nm (Liu et al., 2016). It included a nano-scanning mobility particle sizer (nano  
148 SMPS, 3-55 nm, mobility diameter), a long SMPS (25-650 nm, mobility diameter) and  
149 an aerodynamic particle sizer (APS, 0.55  $\mu\text{m}$ -10  $\mu\text{m}$ , aerodynamic diameter). The PSD  
150 system sampled from the rooftop with an around 3 m-long sampling tube. A cyclone  
151 that removed particles larger than 10  $\mu\text{m}$  was added in front of the sample line.

152 A Neutral Cluster and Air Ion Spectrometer (NAIS, model 4-11, Airel, Estonia)  
153 measures total particle size distribution of 2.5-42 nm (mobility diameter), and ions of  
154 0.7-42 nm, (mobility diameter) (Manninen et al., 2016; Mirme and Mirme, 2013). It  
155 switched between detecting either naturally charged ions or total particles (including  
156 the uncharged fraction) with unipolar charging. It measured 2 min in the neutral mode,  
157 2 min in the ion mode and then offset for 30 seconds for every measurement cycle. The  
158 NAIS was sampling horizontally from the north window. The copper 4 cm outer  
159 diameter sampling tube extended 1.6 m outside the window. To increase sampling  
160 efficiency the sampling flow rate was 60 lpm.

161 The trace gas monitors measured carbon monoxide (CO), sulfur dioxide (SO<sub>2</sub>), nitrogen  
162 oxides (NO<sub>x</sub>) and ozone (O<sub>3</sub>) concentrations with Thermo Environmental Instruments  
163 models 48i, 43i-TLE, 42i, 49i, respectively. They all sampled through a common inlet  
164 through the roof of the building. The length of the sampling tube was approximately 3  
165 m.

166 The PM<sub>2.5</sub> data was obtained from the nearest national monitor station, Wanliu station,  
167 around 3 km north from our station. The PM<sub>2.5</sub> data from Wanliu station compared  
168 nicely to three other adjacent national stations. The data was recorded every hour.  
169 Detailed information is reported in (Cao et al., 2014).

### 170 **2.3 NPF events and haze days classification**

171 We classified days into NPF event days and haze days. The days that did not fit either  
172 categories we marked as 'Other day' and they were excluded from our future analysis  
173 unless otherwise specified. Table 1 describes the specific calendar of events with the  
174 aforementioned categories of days.

175 We identified the NPF event days following the method introduced in (Dal Maso et al.,  
176 2005), which requires an appearance of a new mode below 25 nm and that the new  
177 mode shows signs of growth and spans several hours (Dal Maso et al., 2005; Kulmala  
178 et al., 2012). Haze days were identified with visibility less than 10 km with ambient  
179 relative humidity below 80% (China Meteorological Administration). In this study,



180 days were classified as haze days when it lasted for at least 12 consecutive hours. In  
181 general, there were no overlap between NPF and haze periods. While the NPF events  
182 appeared right after sunrise and lasted for several hours, the haze events did not have  
183 any specific hour, and lasted for few hours up to several days.

184 The particle number size distribution was divided into 4 modes according to their  
185 diameter: cluster mode (sub-3 nm), nucleation mode (3-25 nm), Aitken mode (25-100  
186 nm), and accumulation mode (100-1000 nm). Moreover, since in Beijing, new particle  
187 formation events were only observed during daytime, our analysis concentrated mostly  
188 on the time period 8:00 to 14:00, unless specified otherwise.

### 189 **3 Results and discussion**

#### 190 **3.1 General character of particle modes and trace gases**

##### 191 *3.1.1 Sub-micron particles and PM<sub>2.5</sub>*

192 Particle number concentrations of different modes varied depending on the period, as  
193 shown in Figure 1. We observed that the cluster and nucleation mode particle  
194 concentrations were the highest on NPF event days. In fact, the cluster and nucleation  
195 mode particles dominated the total particle number concentration with an average  
196 contribution of 96% (Figure 2). On haze days, the average contribution levels of the  
197 four modes were equal. Aitken and accumulation mode particles contributed to 52% of  
198 the total particle number concentration on the haze days, as compared to 4% on the NPF  
199 event days.

200 On haze days, we observed a surprising concentration of cluster mode particles, which  
201 indicates that the clusters in this size range were still produced even during haze. These  
202 high concentrations were still present regardless of the high loadings of Aitken and  
203 accumulation particles, which are expected to efficiently scavenge the clusters and the  
204 smallest growing particles by coagulation (Kerminen et al., 2001; Kulmala et al., 2017).  
205 The clusters during haze days could be attributed to a cluster formation which do not  
206 grow further (Kulmala et al., 2007), but also to vehicular sources of cluster and  
207 nucleation mode particles (e.g. Rönkkö et al., 2017). The ratio between nucleation mode  
208 and cluster mode particle median number concentration was close to unity (0.84) which  
209 might indicate a concurrent source on haze days, in comparison to the smaller ratio of  
210 0.3 during the NPF days. It is therefore likely that the primary particles dominated the  
211 nucleation mode on the haze days, while growth of cluster mode to nucleation mode  
212 explains the nucleation mode particles on NPF days.

213 The median concentrations of Aitken and accumulation mode particles were 17500 cm<sup>-3</sup>  
214 and 17500 cm<sup>-3</sup>, respectively, during haze days and 8240 cm<sup>-3</sup> and 1670 cm<sup>-3</sup>,  
215 respectively, during NPF event days. Overall, these concentrations were a factor of 2.1  
216 and 10.5 times higher on the haze days than on the NPF event days. The PM<sub>2.5</sub> mass



217 concentration was clearly higher during haze days than during NPF event days (Figure  
218 3). The PM<sub>2.5</sub> mass concentration in urban areas is dominated by accumulation mode  
219 particles while the contribution of ultrafine (cluster, nucleation and Aitken mode)  
220 particles tends to remain relatively little (Feng et al., 2010).

### 221 **3.1.2 Trace gases**

222 In this work, we considered four trace gases (SO<sub>2</sub>, CO, NO<sub>x</sub> and O<sub>3</sub>) in our analysis  
223 (Figure 4), as these compounds are most commonly used to evaluate air quality and  
224 pollution sources in China (Hao and Wang, 2005; Han et al., 2011). During our  
225 observation period, the median concentrations of SO<sub>2</sub>, CO, NO<sub>x</sub> on haze days were 5.1,  
226 1400 and 27 ppb, respectively. While still high, these concentrations are lower than the  
227 corresponding concentrations (18, 2200, 75 ppb, respectively) during the extremely  
228 severe haze episode that took place in Beijing in January 2013 (Wang et al., 2014b).

229 The median levels of SO<sub>2</sub>, CO, NO<sub>x</sub> and O<sub>3</sub> were 230%, 50%, 100% and 50% higher,  
230 respectively, on haze days than on NPF days. SO<sub>2</sub>, CO and NO<sub>x</sub> are usually considered  
231 tracers of primary pollution, their lower levels on NPF event days than on haze days  
232 indicate that new particle formation events favor relatively clean environment (Vahlsing  
233 and Smith, 2012; Tian et al., 2018).

234

### 235 **3.2 Diurnal behavior**

236 In order to draw a clear picture of the evolution of size-segregated particle number  
237 concentrations, we analyzed the diurnal behavior of each of the trace gases (Figure 5)  
238 as well as those of particle modes (Figure 6).

239 Since trace gases have more definitive sources than particles, we can get some insights  
240 on particle sources by comparing the diurnal patterns together with particles in different  
241 modes. For instance, CO is usually emitted as the by-product of inefficient combustion,  
242 biomass burning as well as fossil fuel combustion (Pétron et al., 2004; Lowry et al.,  
243 2016). NO<sub>x</sub> and CO had similar diurnal patterns. We observed a concurrent increase  
244 with morning rush hour followed by another peak at around 15:00. The similar diurnal  
245 patterns of CO and NO<sub>x</sub> suggest that they have similar sources. Due to lower human  
246 activities and traffic during the night, lower concentrations of NO<sub>x</sub> and CO were  
247 observed. Many observations point out that NO<sub>x</sub> and CO are important precursors of  
248 O<sub>3</sub> in Chinese urban areas (Wang et al., 2017). Based on our data, O<sub>3</sub>, on the other hand,  
249 started to increase around 8:00 after the levels of NO<sub>x</sub> and CO started to decrease.  
250 Ozone had the opposite diurnal pattern to that of NO<sub>x</sub> and CO representing the well-  
251 known NO<sub>x</sub> cycle (Wang et al., 2017).



252 Interestingly, on haze days, the cluster mode particle number concentration showed a  
253 double peak pattern similar to the diurnal cycle of  $\text{NO}_x$  (Figures 5 & 6). This  
254 observation suggests that the clusters on haze days had similar sources as  $\text{NO}_x$ ,  
255 plausibly related to combustion. Comparatively, on NPF event days, the cluster mode  
256 particle number concentration showed a wide single peak. The cluster mode particle  
257 number concentration started to increase at the same time as sunrise, and the peak  
258 around noon, showing the typical behavior related to the NPF process (Kulmala et al.,  
259 2012).

260 Similarly, nucleation mode also had a single peak on the NPF event days. Nucleation  
261 mode particle number concentration started to increase shortly after the increase of the  
262 cluster mode, which could be attributed to the growth of formed particles from the  
263 cluster mode into the nucleation mode. The peak however has a shoulder around 7:00 -  
264 9:00 am which is concurrent with the morning peak of  $\text{NO}_x$ , thus originating from traffic.  
265 It is important to note that the height of the peak shoulder of the nucleation mode is  
266 only 20% of the maximum nucleation mode number concentration. Our results show  
267 that traffic contributes much less to nucleation mode particle number concentration than  
268 an NPF event.

269 During haze days, the diurnal pattern of the nucleation mode overlapped with that of  
270  $\text{NO}_x$  with no clear major peak during the day. Our observation suggests that the  
271 nucleation mode number concentration was dominated by traffic emissions on haze  
272 days. Additionally, it is important to note that during haze days, when the main  
273 contributor of the nucleation mode particles was traffic, we observed different  
274 maximum concentrations for morning versus evening peaks, implying a higher  
275 contribution of traffic in the morning than in the afternoon. The result is in line with the  
276 diurnal cycle of  $\text{NO}_x$  during haze days.

277 Aitken mode particles on NPF days are mainly attributed to two different sources which  
278 are hard to distinguish from each other. The Aitken mode particles can be the result of  
279 primary or secondary sources, such as combustion and growth of newly formed  
280 particles, respectively. In comparison to the cluster and nucleation modes, which had a  
281 more pronounced diurnal cycles during the event days, Aitken mode particle number  
282 concentration had a similar pattern as  $\text{NO}_x$  before 9:00 in the morning. This implies that  
283 the traffic emissions are important sources to maintain Aitken mode particle  
284 concentrations in the morning hours. The Aitken mode concentration increased during  
285 the afternoon hours. This is associated with growth of the nucleation mode particles via  
286 multicomponent condensation into the Aitken mode sizes. This is verified by a  
287 concurrent decrease of nucleation mode particle number concentration. The Aitken  
288 mode particle number concentration increase in the afternoon was concurrent with an  
289 increase of CO and  $\text{NO}_x$ , which could be attributed to combustion sources (Roberts and  
290 Jones, 2004; Koponen et al., 2001). Similarly, Aitken mode particle concentrations,  
291 peak around 20:00 simultaneously with a peak of CO. On haze days, the Aitken mode





292 particle number concentrations experienced a negligible change before 14:00. Even  
293 when CO and NO<sub>x</sub> concentration began to decrease, which implies less contribution of  
294 primary sources. It is important to mention that growth of particles is not only limited  
295 to days when new particle formation occurred. In fact, on haze days, the wind was  
296 typically more stagnant reducing vertical mixing of the pollutants and horizontal  
297 advection (Zheng et al., 2015). The increase of Aitken mode particle number  
298 concentration started around 16:00 and the concentration peaked around 20:00 similar  
299 to NPF event days. It is concurrent with the increase time of NO<sub>x</sub> and CO, this increase  
300 maybe attributed into traffic emission.

301 The concentration of accumulation mode was an order of magnitude higher during haze  
302 days than during NPF days, representing higher condensation sink (0.02 s<sup>-1</sup> for NPF  
303 event days and 0.1 s<sup>-1</sup> for haze days on average) and thus introducing a reason why NPF  
304 does not happen on haze days (Kulmala et al., 2017). The concentration, on the other  
305 hand, did not experience much variation during the day. There was a slight increase in  
306 during the morning rush hour, starting around 6:00. This is concurrent with the increase  
307 in Aitken mode particle number concentration, simultaneous with traffic rush hours in  
308 Beijing. The second slight increase started around 16:00, two hours later than that of  
309 Aitken mode suggesting the secondary contribution to accumulation mode particles.  
310 Accumulation mode also had the similar diurnal pattern as SO<sub>2</sub> on NPF event days,  
311 implying SO<sub>2</sub> participated the formation of accumulation mode on the NPF event days.

### 312 **3.3 Correlation between the particle modes and trace gas concentrations**

313 Beijing's atmosphere is a very complicated environment (Kulmala, 2015). Aerosol  
314 particles in the atmosphere of Beijing are subject to e.g. aerosol dynamical processes,  
315 surface reactions, coagulation, deposition or transport, thus hindering direct connection  
316 with their sources based on physical size distribution only. However, by correlating  
317 each particle mode to various trace gases, we can get indications on the sources of the  
318 particles. In this section, we use CO, SO<sub>2</sub>, NO<sub>x</sub> and O<sub>3</sub> as tracers. By evaluating their  
319 correlation coefficients with the size segregated particle number concentration (Table  
320 2), we can infer the particle sources. CO, SO<sub>2</sub> and NO<sub>x</sub> are primary pollutants emitted  
321 from various combustion sources. Our results show that these trace gases have a high  
322 positive correlation with accumulation mode particles (R>0.75) and negative  
323 correlation with cluster and nucleation modes generally.

324 Figures 7 and 8 show correlation between the size-segregate particle number  
325 concentrations and SO<sub>2</sub> and NO<sub>x</sub> concentration, respectively.

#### 326 **3.3.1 Connection with SO<sub>2</sub>**

327 SO<sub>2</sub> is a key precursor for H<sub>2</sub>SO<sub>4</sub> through photochemical reactions in Beijing, which is



328 in turn a requirement for new particle formation in megacity environments (Wang et al.,  
329 2013; Yao et al., 2018). Although a very important precursor of NPF, the SO<sub>2</sub>  
330 concentration was lower on NPF event days than on the haze days, relating high  
331 concentrations of SO<sub>2</sub> to regional pollution and anthropogenic condensation sink even  
332 in semi-pristine environments (Dada et al., 2017). Our observation can be explained by  
333 the fact that during haze, SO<sub>2</sub> partitions to the particle and liquid phase oxidation much  
334 faster than gas phase oxidation of SO<sub>2</sub> to H<sub>2</sub>SO<sub>4</sub>. Earlier observations report that the  
335 main sources of SO<sub>2</sub> are power plants, traffic and industry, and it can be used as a tracer  
336 for regional pollution (Yang et al., 2018; Lu et al., 2010).

337 Table 2 and Figure 7 show negative correlations between SO<sub>2</sub> concentration and cluster  
338 and nucleation mode particle number concentrations, while a highly positive correlation  
339 between SO<sub>2</sub> concentration and accumulation mode particle number concentration (R  
340 = 0.88), and PM<sub>2.5</sub> mass concentration (R = 0.80). So, when SO<sub>2</sub> concentration was high,  
341 the accumulation mode particle concentration was also high, indicated with high  
342 condensation sink yet not limiting aerosol formation.

343 SO<sub>2</sub> had the highest positive correlation coefficient with the accumulation mode particle  
344 number concentration among all the four trace gases. This result suggests that the  
345 sources of accumulation mode particles during the time window we chose were more  
346 similar to sources of SO<sub>2</sub>, attributed to fossil fuel combustion and linked to regional  
347 pollution. However, SO<sub>2</sub> contributes to heterogeneous reactions on particle surfaces  
348 explaining that a fraction of accumulation mode particles could have resulted from the  
349 growth of Aitken mode particles (Ravishankara., 1997).

### 350 **3.3.2 Connection with NO<sub>x</sub>**

351 NO<sub>x</sub> is usually considered as the pollution tracer mainly from traffic (Beevers et al.,  
352 2012). Table 2 and Figure 8 show negative correlation coefficients between NO<sub>x</sub> and  
353 cluster and nucleation mode particle number concentration and positive correlation  
354 coefficients between Aitken and accumulation mode particle number concentration.

355 As shown in Table 2, the positive correlation coefficient between Aitken mode particle  
356 number concentration and NO<sub>x</sub> is the highest among all four trace gases. As we  
357 mentioned before, traffic is identified as an important source of Aitken and nucleation  
358 mode particles. Given that our station is so close to the highway (around 100 m), NO<sub>x</sub>  
359 concentration is affected by local traffic emissions.

360 As shown in Figure 8, the higher NO<sub>x</sub> concentration was associated with less cluster  
361 mode particle number concentration during the NPF event days. However, on haze days  
362 the cluster mode particle number concentration seemed not to be sensitive to NO<sub>x</sub>  
363 concentration, which is in contradiction to our previous understanding that gas phase  
364 NO<sub>x</sub> can suppress the formation of clusters by suppressing NPF event (Lehtipalo et al.,



365 2018). However, there might be other sources of cluster mode other than the NPF events  
366 as well as compensating vapors that can contribute to clusters formation.

367 The negative correlation between the  $\text{NO}_x$  concentration and nucleation mode particle  
368 number concentration on the NPF event days can be explained by less cluster mode  
369 particles, which act as an important seed for nucleation mode particles. However, on  
370 haze days, the negative correlation was slightly higher. On the haze days, primary  
371 sources dominated the whole nucleation mode.

372 The positive correlation between Aitken mode particle number concentration and  $\text{NO}_x$   
373 concentration on both the NPF event days and the haze days suggests that traffic is one  
374 of the major sources of Aitken mode in urban Beijing.

### 375 **3.4 Correlation between different particle modes**

376 The correlation between size-segregated particle number concentrations (Table 3 and  
377 Figure 9) can give us an indication of dynamical behavior of fine particles in the  
378 atmosphere aerosols. Generally, pre-existing accumulation mode particles and  $\text{PM}_{2.5}$   
379 act as coagulation sink and suppress the concentration of cluster mode and nucleation  
380 mode particles. The particle number concentrations between adjacent modes were  
381 highly correlated except for nucleation mode and Aitken modes. The Aitken mode  
382 particles have two different sources e.g. primary emissions and new particle formation  
383 events, also they do not necessarily coincide in time. On the other hand, fresh nucleation  
384 mode particles must growth fast enough to survive from coagulation scavenging. Only  
385 under favorable conditions, nucleation mode particles can grow into Aitken mode  
386 particles, resulting increase in Aitken mode number concentration (Kerminen et al.,  
387 2001).

388 Cluster mode particle number concentrations were positively correlated with nucleation  
389 mode particle number concentrations on haze days because the traffic emissions were  
390 a main primary source of these two modes. On NPF event days, the positive correlation  
391 coefficient ( $R = 0.84$ ) between these two modes can be attributed to the growth of  
392 clusters into larger particles.

393 Accumulation mode particle number concentration was positively correlated with  
394 Aitken mode particle number concentration on the NPF event days, implying  
395 transformation from Aitken mode to accumulation mode. While on haze days, higher  
396 Aitken mode particle number concentration was not concurrent with higher  
397 accumulation mode particle number concentration. The median Aitken mode particle  
398 number concentration was twice on haze days of NPF event days while accumulation  
399 mode particle number concentration was 10.5 times on haze days of NPF event days,  
400 representing the transformation from Aitken mode to accumulation mode. The high  
401 correlation coefficient between accumulation mode particle number concentration and



402  $PM_{2.5}$  mass concentration implied accumulation mode mainly contributed to  $PM_{2.5}$ .

#### 403 **4 Conclusion**

404 We investigated the variation of size-segregated particle number concentrations on both  
405 NPF and haze days observed during winter 2018 in Beijing. Cluster and nucleation  
406 modes contributed to 96% of total sub-micro particle number concentration on NPF  
407 days. On haze days, these two modes contributed 48% of the total number concentration  
408 while Aitken and accumulation modes contributed to the rest.

409 Cluster and nucleation modes particle number concentration showed a clear diurnal  
410 variation on NPF event days with a typical behavior of NPF events, suggesting NPF  
411 event was the main source of these two modes while on the haze days these two modes  
412 showed similar diurnal pattern as  $NO_x$ , suggesting traffic contributed to these modes.

413 On NPF event days, the diurnal pattern of Aitken mode particle number concentration  
414 showed an increase during traffic rush hour and transformation from nucleation mode.  
415 On haze days, the diurnal pattern of Aitken mode particle number concentration still  
416 implied secondary sources contribution to this mode. Aitken mode number  
417 concentration was highly correlated with  $NO_x$  concentration, suggesting traffic  
418 emissions contributed to the concentration in this mode.

419 Accumulation mode particle number concentration showed a similar diurnal pattern as  
420 Aitken mode, but no variation on haze days. Accumulation mode was correlated with  
421  $SO_2$ , suggesting a character of regional pollution. Accumulation mode mostly  
422 contributed to  $PM_{2.5}$  mass concentration.

#### 423 **5 Acknowledgments**

424 This study received funding from Beijing University of Chemical Technology. This  
425 research has received funding from the National Natural Science Foundation of China  
426 (41877306). The work is supported by Academy of Finland via Center of Excellence in  
427 Atmospheric Science (project no. 272041) and European Research Council via ATM-  
428 GTP 266 (742206). LD received funding from the ATM-DP program at university of  
429 Helsinki. KRD acknowledges support by the Swiss National Science postdoc mobility  
430 grant P2EZP2\_181599. LW acknowledges support by National Key R&D Program of  
431 China (2017YFC0209505) and the National Natural Science Foundation of China.

432 *Author contributions.* YZ, YiL, YF, JuK contributed to data collection. YZ, TC, LD  
433 contributed to data inversion. YZ and LD contributed to analyzing the data. CY, BC,  
434 KRD, FB, TK, YoL, JoK contributed to maintaining the station. YZ, LD, JuK, VMK



435 wrote the paper. TP, LW, JJ, MK provided helpful scientific discussions. All co-authors  
436 reviewed the manuscript.

437 *Competing interests.* The authors declare that they have no conflict of interest.

438 *Data availability:* Particle number concentrations are available upon contacting  
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698 Tables and Figures

699 Table 1. Calendar of events during our observation. NPF event days are marked in green,  
700 haze days are marked in grey. Missing or undefined days are marked in white.

701

January							February						
25	26	27	28	29	30	31	29	30	31	1	2	3	4
1	2	3	4	5	6	7	5	6	7	8	9	10	11
8	9	10	11	12	13	14	12	13	14	15	16	17	18
15	16	17	18	19	20	21	19	20	21	22	23	24	25
22	23	24	25	26	27	28	26	27	28	1	2	3	4
29	30	31	1	2	3	4	5	6	7	8	9	10	11
M	T	W	T	F	S	S	M	T	W	T	F	S	S

March						
26	27	28	1	2	3	4
5	6	7	8	9	10	11
12	13	14	15	16	17	18
19	20	21	22	23	24	25
26	27	28	29	30	31	1
2	3	4	5	6	7	8
M	T	W	T	F	S	S

702



703

704 Table 2. Correlation coefficients between size segregated number concentrations /  
705 PM<sub>2.5</sub> and trace gases mixing ratios. The time window is 08:00 - 14:00. All the data are  
706 in log scale, high correlation coefficients ( $|R|>0.7$ ) have been marked in blue and the  
707 extremely high correlation coefficient ( $|R|>0.8$ ) is marked in red. The R between trace  
708 gases / PM<sub>2.5</sub> and Cluster mode include 1770 data points (12 minutes averaged value)  
709 for each parameter, R between trace gases / PM<sub>2.5</sub> and Nucleation, Aitken and  
710 Accumulation mode includes 4248 data points (5 minutes averaged value) for each  
711 parameter.

R	CO	SO <sub>2</sub>	NO <sub>x</sub>	O <sub>3</sub>
Cluster	-0.71	-0.65	<b>-0.71</b>	0.06
Nucleation	-0.60	-0.60	-0.68	0.07
Aitken	0.61	0.61	<b>0.79</b>	-0.28
Accumulation	<b>0.79</b>	<b>0.88</b>	<b>0.78</b>	0.16
PM <sub>2.5</sub>	<b>0.77</b>	<b>0.80</b>	0.70	0.36

712

713

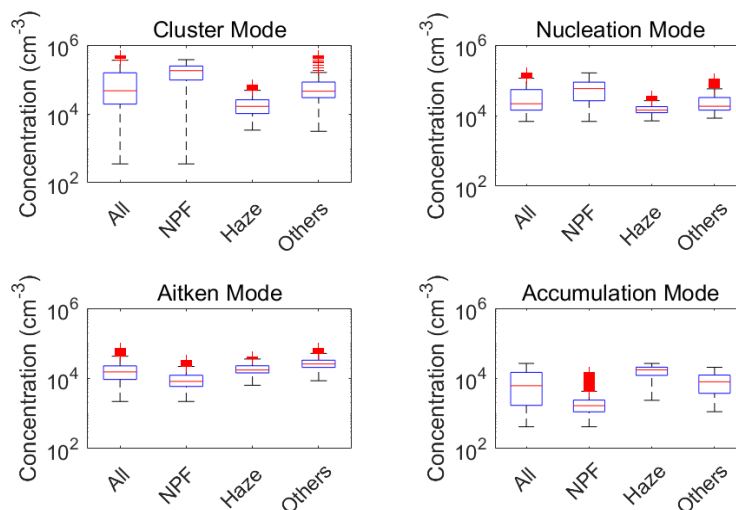


714 Table 3: Correlation coefficient between size-segregated particle number  
715 concentrations /  $PM_{2.5}$ . The time window is 08:00 - 14:00. All the data are in log scale,  
716 high correlation coefficients ( $|R|>0.8$ ) have been marked in blue. And the extremely  
717 high correlation coefficients are marked in red ( $|R|>0.9$ ). The R between Cluster and  
718 other modes /  $PM_{2.5}$  include 1770 data points (12 minutes averaged value) for each  
719 parameter. R between any modes else than Cluster mode include 4248 data points (5  
720 minutes averaged value) for each parameter.

R	Cluster	Nucleation	Aitken	Accumulation	$PM_{2.5}$
Cluster	1				
Nucleation	<b>0.84</b>	1			
Aitken	-0.53	-0.47	1		
Accumulation	<b>-0.84</b>	-0.72	0.66	1	
$PM_{2.5}$	<b>-0.84</b>	-0.71	0.47	<b>0.92</b>	1

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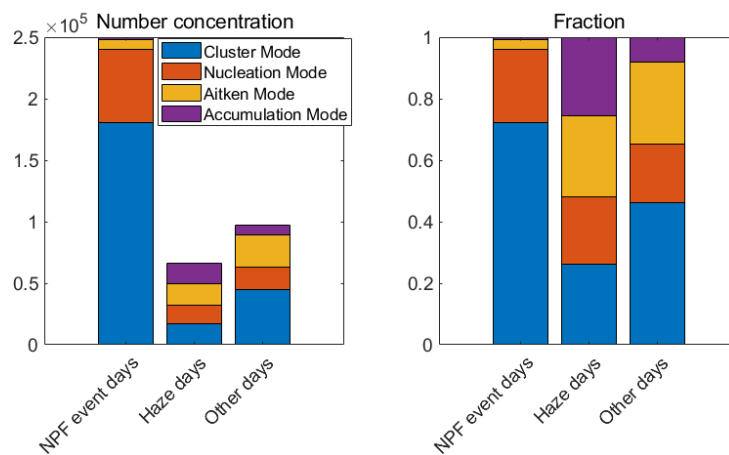
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723

724 Figure 1. Particle number concentrations in the cluster, nucleation, Aitken and  
725 accumulation mode on all days, NPF event days, haze days and other days. The  
726 whiskers include 99.3% of data of every group. Data out of 1.5 x interquartile range are  
727 posited outside the whiskers and considered as outliers. This figure shows median and  
728 percentiles of size-segregated particle number concentration. The lines in the boxes  
729 represent the median value, the lower of the boxes represent 25% of the mixing ratio  
730 and the upper of the boxes represent 75% of the mixing ratio. Data marked with red  
731 pluses represent outliers.

732

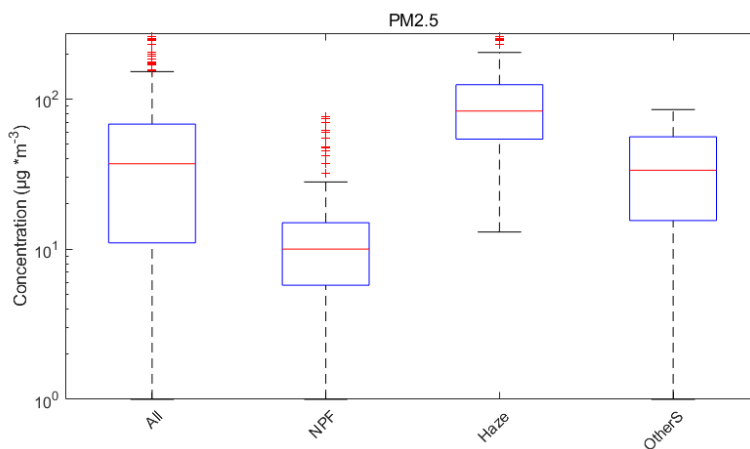


733

734 Figure 2. Fractions of each mode under different conditions. The plot on the right is  
 735 median size-segregated number concentrations on NPF event days, haze days and other  
 736 days. The plot on the left is the fraction of median number concentration of each mode.

737





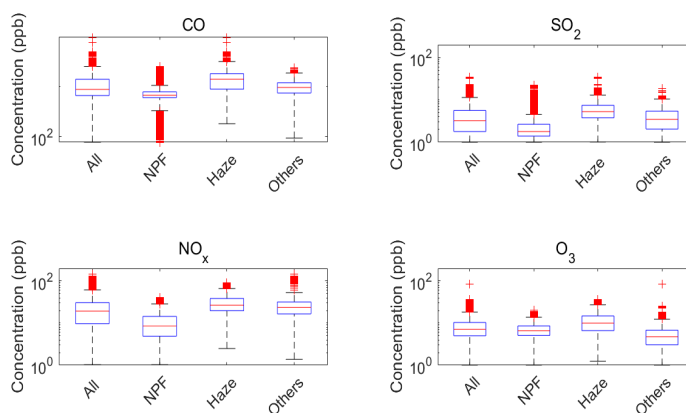
738

739 Figure 3. General character of  $\text{PM}_{2.5}$  mass concentration on all days, NPF event days,  
740 haze days, and others days separately. This figure shows median and percentiles of  
741  $\text{PM}_{2.5}$  mass concentration. The lines in the boxes represent the median value, the lower  
742 of the boxes represent 25% of the data and the upper of the boxes represent 75% of the  
743 data. Data marked with red pluses represent outliers as in Figure 1.

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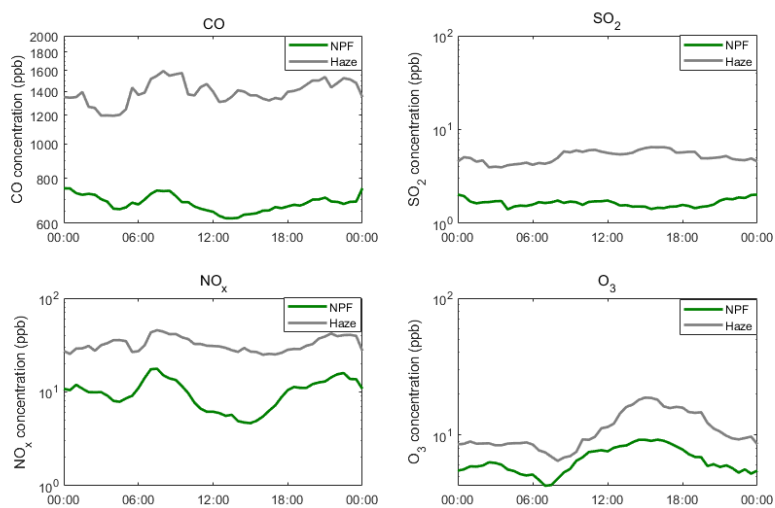
746

747 Figure 4. Trace gases mixing ratios of CO, SO<sub>2</sub>, NO<sub>x</sub> and O<sub>3</sub> on all days, NPF event  
748 days, haze days and other days. This figure shows median and percentiles of trace  
749 gases. The lines in the boxes represent the median value, the lower of the boxes  
750 represent 25% of the data and the upper of the boxes represent 75% of the data. Data  
751 marked with red pluses represent outliers as in Figure 1.

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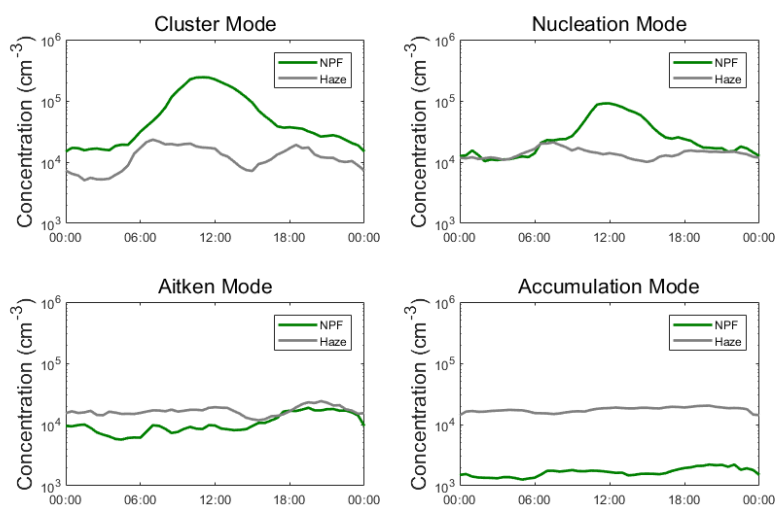
754

755 Figure 5. Diurnal variation of trace gases (CO, SO<sub>2</sub>, NO<sub>x</sub> and O<sub>3</sub> separately) mixing  
756 ratio on haze days (grey lines) and NPF event days (green lines), and they are the  
757 median data from midnight to midnight.

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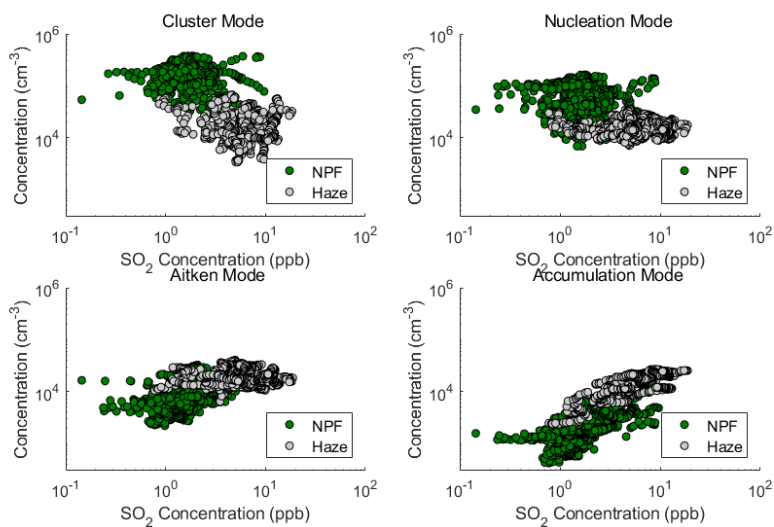
760

761 Figure 6. Diurnal variation of particles (cluster, nucleation, Aitken and accumulation  
762 mode separately) number concentration on haze days (grey lines) and NPF event days  
763 (green lines), and they are the median data from midnight to midnight.

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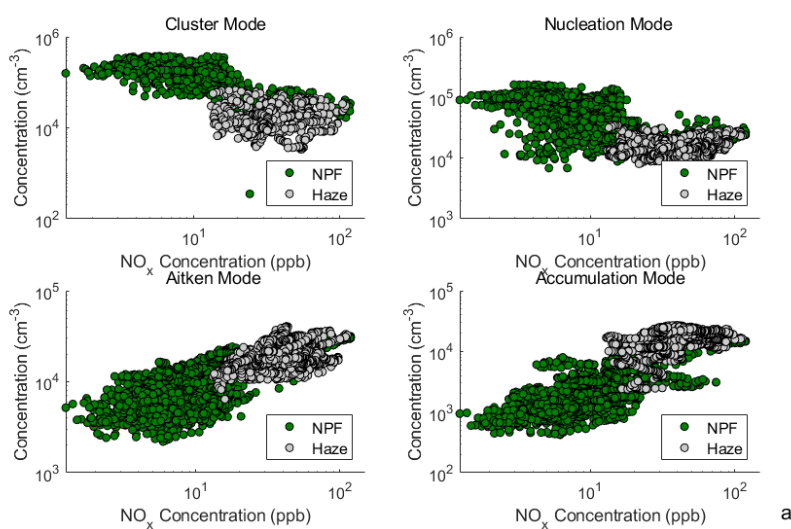
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767 Figure 7. Relation between the SO<sub>2</sub> concentration and particle number concentration in  
768 each mode. The time resolution of the data points are 1 hour.

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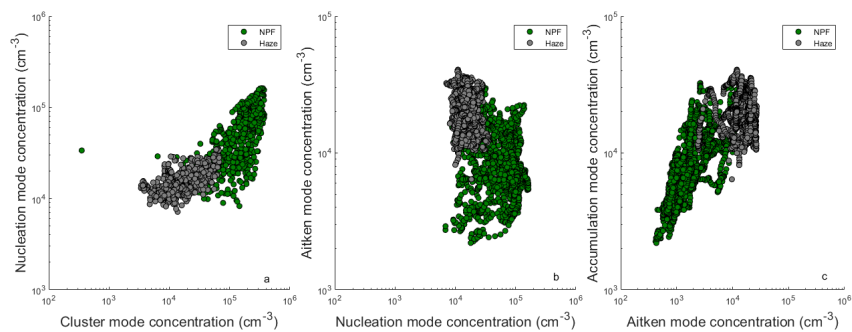
771

772 Figure 8. Relation between the  $\text{NO}_x$  concentration and particle number concentration  
773 in each mode. The time resolution of the data points are 1 hour.

774



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777

778 Figure 9. Correlations between particle number concentration in neighboring modes.  
779 The time resolution of the data points are 1 hour.