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Responses to comments from reviewer #1

This manuscript analyzed 3 months continuous measurement of particle size distribution from 1.2 nm to 10000 nm during winter 2018 in Beijing. This kind of observation, that cover almost the full range of particle size and include both charged and neutral clusters/particles, is rather limited in China. New particle formation and haze days were discussed separately, and found a clear correlation between the cluster and nucleation modes during NPF days. In addition, the work found that all modes in the sub-micron size range were correlated with NOx, indicate traffic emission can contribute to all particle sizes. In general, the manuscript did provide useful information and knowledge, but some more in-depth analysis is encouraged. The manuscript is in general well written and documented. The topic fits well in the scope of ACP. I recommend this manuscript can be published after some revisions.

We would like to thank the referee for the suggestions and careful editorial comments. Our replies (text in blue) to the comments (text in black) item by item and modification in our manuscript (text in red) as per suggestions of the referee are presented as below:

Comments:

More discussions on the charged ions/clusters from NAIS are encouraged. Can the ion induced nucleation be observed? Is it important?

We thank the reviewer for their suggestions. We did observe ion induced nucleation during our observation however we think that it constitutes only a minor fraction in comparison to neutral nucleation mechanism. Both cluster ion number concentration and the formation rate of 1.5 nm ions constituted a small fraction of total clusters number concentration and total formation rate of 1.5 nm clusters.

The following discussion and figures (Figure R1-1, Figure R1-2, Figure R1-3 and Figure R1-4) were added to the manuscript:

2.4.1 Calculation of the growth rate

The growth rates of cluster and nucleation mode particles were calculated from positive ion data and particle data from Neutral Cluster and Air Ion Spectrometer (NAIS), respectively, by using the appearance time method introduced by Lehtipalo et al. (2014). In this method, the particle number concentration of particles of size dp is recorded as a function of time, and the appearance time of particles of size dp is determined as the time when their number concentration reaches 50% of its maximum value during new particle formation (NPF) events.

The growth rates (GR) were calculated according to:

$$GR = \frac{dp_2 - dp_1}{t_2 - t_1} \tag{1}$$

where t_2 and t_1 are the appearance times of particles with sizes of dp_2 and dp_1 respectively. Figure R1-4 shows an example of how this method was used.

2.4.2 Calculation of the coagulation sink

The coagulation sink (CoagS) was calculated according to the equation (2) introduced by Kulmala et al. (2012):

$$CoagS_{dp} = \int K(dp, d'p)n(d'p)dd'p \cong \sum_{d'p=dp}^{d'p=max} K(dp, d'p)N_{d'p}$$
(2)

where K(dp, d'p) is the coagulation coefficient of particles with sizes of dp and d'p, $N_{d'p}$ is the particle number concentration with size of d'p.

2.4.3 Calculation of the formation rate

The formation rate of 1.5-nm particles $(J_{1.5})$ was calculated using particle number concentrations measured with a Particle Sizer Magnifier (PSM). The formation rate of 1.5-nm ions $(J_{1.5}^{\pm})$ was calculated using positive and negative ions data from the Neutral Cluster and Air Ion Spectrometer (NAIS) as well as PSM data. The upper limit used was 3 nm. The values of $J_{1.5}$ and $J_{1.5}^{\pm}$ were calculated following the methods introduced by Kulmala et al. (2012) with equation (3) and equation (4), respectively:

$$J_{dp} = \frac{dN_{dp}}{dt} + CoagS_{dp} \cdot N_{dp} + \frac{GR}{\Delta dp} \cdot N_{dp}$$
(3)

where $CoagS_{dp}$ is coagulation sink in the size range of $[dp, dp + \Delta dp]$ and GR is the growth rate.

$$J_{dp}^{\pm} = \frac{dN_{dp}^{\pm}}{dt} + CoagS_{dp} \cdot N_{dp}^{\pm} + \frac{GR}{\Delta dp} \cdot N_{dp}^{\pm} + \alpha \cdot N_{dp}^{\pm} \cdot N_{(4)$$

The fourth and fifth terms on the right hand side of equation (4) represent ion-ion recombination and charging of neutral particles by smaller ions, respectively, α is the ion-ion recombination coefficient and χ is the ion-aerosol attachment coefficient.

3.5 Atmospheric ions and ion induced nucleation in Beijing

In order to estimate the contribution of ions to the total cluster mode particle number concentration and the importance of ion induced nucleation in Beijing, we studied ion number concentrations in the size range of 0.8-7 nm by dividing them into 3 sub-size bins: constant pool (0.8-1.5 nm), charged clusters (1.5-3 nm) and larger ions (3-7 nm). As shown in Figure R1-1, number concentrations of positive ions were higher than those negative ions in all the size bins on both NPF event days and haze days. We will only discuss positive ions here.

The median number concentration of positive ions in the constant pool on NPF event days was only 100 cm⁻³ in Beijing, much less than that in boreal forest (600 cm⁻³; Mazon et al., 2016). Also, the median number concentration of positive charged clusters was 20 cm⁻³ on the NPF event days, and the ratio to the total cluster mode particle number concentration was 0.001 to 0.004 during the NPF time window (Figure R1-2). This ratio is comparable to that observed in San Pietro Capofiume (0.004), in which the anthropogenic pollution level was also high, but clearly lower than that observed in another megacity in China, Nanjing, (0.02) (Kontkanen et

al., 2017). Considerably higher ratios were observed in clean environments, for example during winter in the boreal forest at Hyytiälä, Finland (0.7; Kontkanen et al., 2017). The median number concentration of larger ions (3- 7 nm) on the NPF event days was 30 cm⁻³, a little bit higher than the charged cluster mode particle number concentration, indicating that not all of the larger ions originate from the growth of charged clusters, but rather from charging of neutral particles by smaller ions. On the haze days, charged ion number concentrations were much lower than those on the NPF days which could be attributed to the higher condensation sink.

The diurnal pattern of the ratio of number concentration between charged and total cluster mode particles was the highest during the night with a maximum of 0.008, and had a trough during daytime with a minimum of 0.001 on the NPF event days. Such diurnal pattern is similar to earlier observations in Nanjing, San Pietro Capofiume and Hyytiälä (Kontkanen et al., 2017). This ratio reached its minimum around noon, because the total cluster mode particle number concentration reached its maximum around that time due to NPF. The ratio had a small peak at around 9:00, similar to earlier observations in Centreville and Po Valley (Kontkanen et al., 2017). The possible reason is that charged clusters were activated earlier in the morning than neutral clusters. The ratio increased from the midnight until about 4:00, similar to the number concentration of charged clusters.

As shown in Figure R1-3, the diurnal median of the ratio between the formation rate of positive ions of 1.5 nm $(J_{1.5}^+)$ and the total clusters of 1.5 nm $(J_{1.5})$ varied from 0.0009 to 0.006. This result is comparable to observations in Shanghai, where the positive ion induced nucleation contributed only 0.05% to the total formation rate of 1.7-nm particles $(J_{1.7})$ (Yao et al., 2018).

There are some overlap for the particle size distribution between NAIS and PSD. It would be good that the authors can provide some information about the inter comparison between these two techniques.

Indeed, we added the discussion on instrument comparison and related figures (Figure R1-5 and Figure R1-6) to the manuscript (line 186) as per suggestion of the referee.

The Particle Size Distribution system (PSD) and Neutral Cluster and Air Ion Spectrometer (NAIS) had an overlapping particle size distribution over the mobility diameter range of 3-42 nm. As shown in Figure R1-5, total particle number concentrations from the NAIS and PSD system correlated well with each other on both NPF event days (R^2 was 0.92) and haze days (R^2 was 0.90) in the overlapping size range. The slopes between the total particle number concentration from the PSD system and that from the NAIS were 0.90 and 0.85 on the NPF event days and haze days, respectively. The particle number size distribution in the overlapping size range of the NAIS and PSD system matched well on both NPF event days and haze days as shown in Figure R1-6.

I would suggest to provide 1 plot to show the traffic emission derived increase of cluster and nucleation mode particles, and maybe the correlation plot between cluster mode particles and NO_x during the non-NPF days.

We thank the referee for the suggestions. We added Figure R1-7 to the manuscript. Correspondingly, we updated our discussion in lines 252-259 as below:

In Figure R1-7, we show the median diurnal pattern of particle number size distribution on the NPF event days and haze days separately. On the NPF event days, we observed cluster formation from diameters smaller than 3 nm. The growth of newly-formed particles lasted for several hours, resulting in a consecutive increase of the particle number concentrations in all the four modes. During traffic rush hours in the morning and evening, we observed an increase of particle number concentrations in the size range of cluster mode to around 100 nm.

On the haze days, we still observed an increase of particle number concentration in the size range of cluster mode to Aitken mode during rush hours. Traditionally, NPF events occur during the time window between sunrise and sunset by photochemical reactions (Kerminen et al., 2018). The binary or ternary nucleation between sulfuric acid and water, ammonia or amines are usually thought of as sources of atmospheric cluster mode particles, especially in heavily polluted environments (Kulmala et al., 2013;Kulmala et al., 2014; Yao et al., 2018; Chu et al., 2019). The burst of cluster mode particle number concentration outside the traditional NPF time window, especially during the rush hours in the afternoon, suggests a very different source of cluster mode particles from traditional nucleation, e.g. nucleation from gases emitted by traffic (Rönkkö et al., 2017).

As shown in Figure 6, on the NPF event days, the cluster mode particle number concentration started to increase at the time of sunrise and peaked around noon with a wide single peak, showing the typical behavior related to NPF events (Kulmala et al., 2012). Comparatively, on the haze days, the cluster mode particle number concentration showed a double peak pattern similar to the diurnal cycle of NO_x (Figure 5). This observation in consistent with our discussion above that traffic emission possibly contributed to cluster mode particles. By comparing cluster mode particle number concentrations between the haze days and NPF event days, we estimated that traffic-related cluster mode particles could contribute up to 40-50 % of the total cluster mode particle number concentration on the NPF event days.

It is a bit unusual that there were no overlap between NPF and haze days. There were quite many studies that observed NPF with considerable high concentrations of $PM_{2.5}$. What will happen if classify the haze days by the concentration of $PM_{2.5}$, i.e.100 ug/m³?

We thank the referee for the suggestions. We observed NPF events and haze events on the same days, but not at the same time. We classified haze days not only according to the visibility and relative humidity but also according to the time period haze events lasted. Days were classified as haze days when haze lasted for at least 12 consecutive hours. According to this classification, we did not observe any overlap between NPF event days and haze days.

As per suggestion to the referee, we classified haze days by the concentration of $PM_{2.5}$, i.e.100 $\mu g/m^3$. We show time series of particle number size distribution and $PM_{2.5}$ concentration during our observations in Figure R1-8. In Figure R1-8, the NPF events can be identified with the 'banana shapes'. We did not observe any NPF events happening at the same time when $PM_{2.5}$ concentration was higher than 100 $\mu g/m^3$.

Table 2, change the color-marked numbers to, i.e. bold or italic.

We modified Table 2 as per suggestion to the referee.

Figs. 7-9, where were the data points of "others"?

The data points of 'others' are those that do not belong to the classification of NPF or haze days. They are usually polluted days during which we do not observe any NPF, but cannot be classified as haze days due to low PM loading resulting in not so bad visibility. To make the plots clearer, we only present NPF and haze days here.

Fig. 9, there showed pretty good correlation between Aitken mode particles and accumulation mode particles during NPF days. What's the possible reason?

On the NPF event days, Aitken and accumulation mode particle number concentrations correlated positively with each other, as well as with the SO_2 and NO_x concentration. This suggests that on the NPF event days, Aitken and accumulation mode particles were both formed during regional transportation as secondary particles and were emitted by traffic as primary particles.

To make the discussion on correlation between each of the modes, we updated the discussion at section 3.4 and separate Table 3 into Table R1a and Table R1b according NPF event days and haze days, in addition we changed Figure 9 into Figure R1-9 as following:

3.4 Correlation between different particle modes

Table R1a and Table R1b as well as Figure R1-9 show the correlation between particle number concentrations in different modes. On the NPF event days, cluster and nucleation mode particle number concentrations correlated positively with each other due to their common dominant source, NPF. Both cluster and nucleation mode particle number concentrations correlated negatively with the Aitken and accumulation mode particle number concentrations because, as discussed earlier, high concentrations of large particles tend to suppress NPF and subsequent growth of newly-formed particles.

On the NPF event days, Aitken and accumulation mode particle number concentrations correlated positively with each other, as well as with the SO_2 and NO_x concentration. This suggests that on the NPF event days, Aitken and accumulation mode particles both formed during regional transportation as secondary particles and were emitted by traffic as primary particles.

On the haze days, cluster and nucleation mode particle number concentrations correlated positively with each other, and with the Aitken mode particle number concentration. This is suggestive of a similar dominating sources for these particle, most likely traffic emissions. Similar to the NPF event days, cluster and nucleation mode particle number concentrations correlated negatively with the accumulation mode particle number concentration, even though this correlation was rather weak (Table R1b).

The Aitken mode particle number concentration had a negative correlation with the accumulation mode particle number concentration on the haze days.

Figures and Tables

Table R1a: Correlation coefficients between particle number concentration of every mode on NPF event days. The time window was 08:00 - 14:00. High correlation coefficients (|R| > 0.5) are marked with bold and italic.

	Cluster	Nucleation	Aitken	Accumulation
Cluster	1			
Nucleation	0.76 ^a	1		
Aitken	-0.46 ^a	-0.33 ^b	1	
Accumulation	-0 .66 ^a	-0.66 °	0.7 °	1

^a included 516 data points (the time resolution was 12 minutes), ^b included 1251 data points (the time resolution was 5 min), ^c included 1331 data points (the time resolution was 5 min).

Table R1b: Correlation coefficients between particle number concentration of every mode on haze days. The time window was 08:00 - 14:00. High correlation coefficients (|R|>0.5) are marked with bold and italic.

	Cluster	Nucleation	Aitken	Accumulation
Cluster	1			
Nucleation	0.74 ^a	1		
Aitken	0.41 ^a	0.48 ^b	1	
Accumulation	-0.22 ^a	-0.33 °	-0.5 °	1

^a included 342 data points (the time resolution was 12 minutes), ^b included 824 data points (the time resolution was 5 min), ^c included 845 data points (the time resolution was 5 min).



Figure R1-1: Positive and negative ion number concentrations in the size bins of 0.8- 1.5nm, 1.5-3 nm and 3-7 nm on NPF event days and haze days separately. The whiskers include 99.3% of data of every group. Data out of $1.5 \times$ interquartile range are posited outside the whiskers and considered as outliers. The lines in the boxes represent the median value, the lower of the boxes represent 25% of the number concentration, and the upper of the boxes represent 75% of the number concentration. Data marked with red pluses represent outliers.



Figure R1-2: Diurnal pattern of charged clusters (1.5-3 nm) number concentration (red line) and ratio of charged clusters to total cluster mode (1.5-3 nm) particle number concentration on the NPF event days (blue line). The time resolution of the used data was 12 min.



Figure R1-3: Diurnal pattern of formation rate of positive charged clusters of 1.5 nm (red dots) and neutral clusters of 1.5 nm (red line) and the ratio between them (blue line) on the NPF event days during the NPF time window we chose. The time resolution of the used data was 12 min.



Figure R1-4: An example of how the appearance time method was used to calculate growth rate. The appearance time was recorded as a function of particle diameter as the black stars in the figure. The black lines are the fitted growth periods. The growth rates were calculated by calculating the slopes of the black lines.



Figure R1-5: Total particle number concentration in size range of 3-42 nm from NAIS and PSD system. There are 1271 data points on the plots of NPF days and 887 data points on the plots of haze days. The time resolution was 5 minutes.



Figure R1-6: Median particle number size distribution of data from NAIS (blue line) and PSD system (red line) on NPF event days (left panel) and haze days (right panel) during our observation. The time resolution we used here for every point was 1h.



Figure R1-7: Median diurnal patterns of the particle number size distribution over the size range of 1.5-1000 nm and number concentrations of cluster mode (red lines) and nucleation mode (blue lines) particles on the NPF event days (upper panel) and haze days (lower panel). The time resolution for every data point of particle number size distribution and cluster mode particle number concentration was 12 minutes. The time resolution of every data point of nucleation mode sparticle number concentration was 5 minutes.



Figure R1-8: Time series of particle number size distribution and PM_{2.5} concentration (blue line) during our observation period.



Figure R1-9: Correlation between every mode each other on NPF event days (green dots) and haze days (grey dots). The time resolution of data in the plots of correlation between cluster mode and other modes was 12 min and the time resolution of other data points was 5 min.

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Responses to comments from reviewer #2

The manuscript describes the evolution of aerosol size-segregated particle number concentration during winter 2018 in Beijing. The data is separated in two different sets: days when haze is observed, and days with new particle formation (NPF) events. Additionally, the particle size distribution is separated into different modes according to the particle diameter: cluster, nucleation, Aitken and accumulation modes. Trace gases concentrations are used to establish the origin of the aerosol observed and induce a primary or secondary origin of the particles observed in each mode.

The topic of this paper is within the scope of this journal and the dataset used is interesting because particles in a wide size range, including very small particles (1.5 to 1000 nm) are measured. However, I believe that with such an interesting dataset one could expect a more comprehensive study. For example, it would be nice to see the evolution of condensation sinks during NPF and haze days, or calculate growth rates for each mode during NPF days. Additionally, the statistical data analysis is very simplistic, and the authors should reconsider analyzing the data with a different approach. The only statistical tools used for relating trace gases with the different modes are correlation coefficients. Assuming that the processes involved in the formation of the different modes is linear is a big oversimplification. The authors should improve the data analysis or discuss the limitations of the methodology they use.

In general, the manuscript is poorly written. There are grammar mistakes and the language is not fluent. This makes it difficult to follow some parts of the manuscript. The authors should have the paper proof-read and edited by a competent English speaker before publishing it.

Most of the discussions are very short and do not provide much more information than what is presented in the tables or figures.

The figures are good in general. The scales on figures 5 and 6 could be improved, and there are a few technical mistakes and information missing in the figure captions (see comments below).

We would like to thank the referee for the suggestions and careful editorial comments. These comments are valuable and very helpful for revising and improving our paper. We have studied all the comments carefully and made corrections. We would like to thank the referee for the suggestions and careful editorial comments. Our replies (text in blue) to the comments (text in black) item by item and modification in our manuscript (text in red) as per suggestions of the referee are presented as below:

As suggested by both referees, we improved the scope of this manuscript by adding discussions on atmospheric ions in size range of 0.8-7 nm and ion induced nucleation, these discussions improve our understanding of the sources of cluster mode particles. Also, we made a more comprehensive study about traffic- related cluster and nucleation mode particles as suggested by both referees. In addition, we added results on growth rates on both NPF event days of cluster and nucleation mode particles as suggested by the referee.

We agree that correlation coefficients analysis cannot tell much on the evolution of sizesegregated particle number concentration accurately because the processes involved in the formation of different modes should not be linear as pointed out by the referee. By examining responses of size-segregated particle number concentrations to changes in trace gas and $PM_{2.5}$ concentrations (Table R2a and Table R2b), we can get further insights into the main sources of particles in each mode and into the dynamical processes experienced by these particles under different pollution levels. Of course, not all sources or dynamics can be captured using this approach. In addition, due to the complex physical and chemical processes experienced by the particles, the correlation analysis cannot quantify the strength of individual sources or dynamical processes.

According to the reviewer's good instruction, we updated some parts of our manuscript on both languages and scientific discussion as shown in the replies to specific comments.

As per suggestions of both referees, the following discussion on parameter calculations and Figure R2-1was added to our manuscript as section 2.4.

2.4 Parameter calculation

2.4.1 Calculation of the growth rate

The growth rates of cluster and nucleation mode particles were calculated from positive ion data and particle data from Neutral Cluster and Air Ion Spectrometer (NAIS), respectively, by using the appearance time method introduced by Lehtipalo et al. (2014). In this method, the particle number concentration of particles of size dp is recorded as a function of time, and the appearance time of particles of size dp is determined as the time when their number concentration reaches 50% of its maximum value during new particle formation (NPF) events.

The growth rates (GR) were calculated according to:

$$GR = \frac{dp_2 - dp_1}{t_2 - t_1} \tag{1}$$

where t_2 and t_1 are the appearance times of particles with sizes of dp_2 and dp_1 respectively. Figure R2-1 shows an example of how this method was used.

2.4.2 Calculation of the coagulation sink

The coagulation sink (CoagS) was calculated according to the equation (2) introduced by Kulmala et al. (2012):

$$CoagS_{dp} = \int K(dp, d'p)n(d'p)dd'p \cong \sum_{d'p=dp}^{d'p=max} K(dp, d'p)N_{d'p}$$
(2)

where K(dp, d'p) is the coagulation coefficient of particles with sizes of dp and d'p, $N_{d'p}$ is the particle number concentration with size of d'p.

2.4.3 Calculation of the formation rate

The formation rate of 1.5-nm particles $(J_{1.5})$ was calculated using particle number

concentrations measured with a Particle Sizer Magnifier (PSM). The formation rate of 1.5-nm ions $(J_{1.5}^{\pm})$ was calculated using positive and negative ions data from the Neutral Cluster and Air Ion Spectrometer (NAIS) as well as PSM data. The upper limit used was 3 nm. The values of $J_{1.5}$ and $J_{1.5}^{\pm}$ were calculated following the methods introduced by Kulmala et al. (2012) with equation (3) and equation (4), respectively:

$$J_{dp} = \frac{dN_{dp}}{dt} + CoagS_{dp} \cdot N_{dp} + \frac{GR}{\Delta dp} \cdot N_{dp}$$
(3)

where $CoagS_{dp}$ is coagulation sink in the size range of $[dp, dp + \Delta dp]$ and GR is the growth rate.

$$J_{dp}^{\pm} = \frac{dN_{dp}^{\pm}}{dt} + CoagS_{dp} \cdot N_{dp}^{\pm} + \frac{GR}{\Delta dp} \cdot N_{dp}^{\pm} + \alpha \cdot N_{dp}^{\pm} \cdot N_{(4)$$

The fourth and fifth terms on the right hand side of equation (4) represent ion-ion recombination and charging of neutral particles by smaller ions, respectively, α is the ion-ion recombination coefficient and χ is the ion-aerosol attachment coefficient.

As per suggestions of both referees, the following discussion on atmospheric ions and ion induced nucleation as well as Figure R2-2, Figure R2-3 and Figure R2-4 were added to the manuscript as section 3.5.

3.5 Atmospheric ions and ion induced nucleation in Beijing

In order to estimate the contribution of ions to the total cluster mode particle number concentration and the importance of ion induced nucleation in Beijing, we studied ion number concentrations in the size range of 0.8-7 nm by dividing them into 3 sub-size bins: constant pool (0.8-1.5 nm), charged clusters (1.5-3 nm) and larger ions (3-7 nm). As shown in Figure R2-2, number concentrations of positive ions were higher than those negative ions in all the size bins on both NPF event days and haze days. We will only discuss positive ions here.

The median number concentration of positive ions in the constant pool on NPF event days was only 100 cm⁻³ in Beijing, much less than that in the boreal forest (600 cm⁻³; Mazon et al., 2016). Also, the median number concentration of positive charged clusters was 20 cm⁻³ on the NPF event days, and the ratio to the total cluster mode particle number concentration was 0.001 to 0.004 during the NPF time window (Figure R2-3). This ratio is comparable to that observed in San Pietro Capofiume (0.004), in which the anthropogenic pollution level was also high, but clearly lower than that observed in another megacity in China, Nanjing (0.02; Kontkanen et al., 2017). Considerably higher ratios were observed in clean environments, for example during winter in the boreal forest at Hyytiälä, Finland (0.7; Kontkanen et al., 2017). The median number concentration of larger ions (3-7 nm) on the NPF event days was 30 cm⁻³, a little bit higher than the charged cluster mode particle number concentration, indicating that not all of the larger ions originate from the growth of charged clusters, but rather from charging of neutral particles by smaller ions. On the haze days, charged ion number concentrations were much lower than those on the NPF days, which could be attributed to the higher condensation sink.

The diurnal pattern of the ratio of number concentration between charged and total cluster mode particles was the highest during the night with a maximum of 0.008, and had a trough during

daytime with a minimum of 0.001 on the NPF event days. Such diurnal pattern is similar to earlier observations in Nanjing, San Pietro Capofiume and Hyytiälä (Kontkanen et al., 2017). This ratio reached its minimum around noon, because the total cluster mode particle number concentration reached its maximum around that time due to NPF. The ratio had a small peak at around 9:00, similar to earlier observations in Centreville and Po Valley (Kontkanen et al., 2016;Kontkanen et al., 2017). The possible reason is that charged clusters were activated earlier in the morning than neutral clusters. The ratio increased from the midnight until about 4:00, similar to the number concentration of charged clusters.

As shown in Figure R2-4, the diurnal median of the ratio of formation rate of positive ions of 1.5 nm $(J_{1.5}^+)$ to the total clusters of 1.5 nm $(J_{1.5})$ varied from 0.0009 to 0.006. This result is comparable to observations in Shanghai, where the positive ion induced nucleation contributed only 0.05% to the total formation rate of particles of 1.7 -nm $(J_{1.7})$ (Yao et al., 2018).

We updated our discussion in the manuscript from line 252 to line 259 with a more comprehensive study on traffic- related cluster and nucleation mode particles as below:

In Figure R2-4, we show the median diurnal pattern of particle number size distribution on the NPF event days and haze days separately. On the NPF event days, we observed cluster formation from diameters smaller than 3 nm. The growth of newly-formed particles lasted for several hours, resulting in a consecutive increase of the particle number concentrations in all the four modes. During traffic rush hours in the morning and evening, we observed an increase of particle number concentrations in the size range of cluster mode to around 100 nm.

On the haze days, we still observed an increase of particle number concentration in the size range of cluster mode to Aitken mode during rush hours. Traditionally, NPF events occur during the time window between sunrise and sunset by photochemical reactions (Kerminen et al., 2018). The binary or ternary nucleation between sulfuric acid and water, ammonia or amines are usually thought of as sources of atmospheric cluster mode particles, especially in heavily polluted environments (Kulmala et al., 2013;Kulmala et al., 2014; Yao et al., 2018; Chu et al., 2019). The burst of cluster mode particle number concentration outside the traditional NPF time window, especially during the rush hours in the afternoon, suggests a very different source of cluster mode particles from traditional nucleation, e.g. nucleation from gases emitted by traffic (Rönkkö et al., 2017).

As shown in Figure 6, on the NPF event days, the cluster mode particle number concentration started to increase at the time of sunrise and peaked around noon with a wide single peak, showing the typical behavior related to NPF events (Kulmala et al., 2012). Comparatively, on the haze days, the cluster mode particle number concentration showed a double peak pattern similar to the diurnal cycle of NO_x (Figure 5). This observation in consistent with our discussion above that traffic emission possibly contributed to cluster mode particles. By comparing cluster mode particle number concentrations between the haze days and NPF event days, we estimated that traffic-related cluster mode particles could contribute up to 40-50 % of the total cluster mode particle number concentration on the NPF event days.

As per suggestion of the referee, the following discussion on growth rates of cluster and nucleation mode particles on NPF event days as well as Figure R2-5 were added to our

manuscript as section 3.6:

3.6 Particle growth rates

The growth rates of particles generated from NPF events were examined in three size ranges: <3 nm, 3-7 nm and 7- 25 nm (Figure R2-5). The median growth rates of particles in these size ranges were 1.0 nm/h, 2.7 nm/h and 5.5 nm/h, respectively. The growth rate of cluster mode particles was comparable with that observed in Shanghai (1.5 nm/h; Yao et al., 2018). The notable increase of the particle growth rate with an increasing particle size is a very typical feature in the sub-20 nm size range (Kerminen et al., 2018), and it may also extend to larger particle sizes (Paasonen et al., 2018).

Our observations are in line with the reported range of nucleation mode particle growth rates of 0.1-11.2 nm/h in urban areas of Beijing (Wang et al., 2017;Jayaratne et al., 2017). Such growth rates can explain the observed increases of Aitken mode particle number concentrations in the afternoon.

Specific comments

Table 1: It would be useful for the reader if the authors mention in the text the number of days classified as NPF and haze.

Thank you for your suggestions.

We added in line 180 'We observed 28 NPF event days and 24 haze days' as per suggestion of the referee.

I don't see the definition of the modes. Also, which instrumentation did you use to calculate the different modes number concentrations? There are overlapping size ranges for nucleation and Aitken modes in the instrumentation you described.

The definition of the modes used in our study are introduced on lines 184-186: cluster mode (smaller than 3 nm), nucleation mode (3- 25 nm), Aitken mode (25- 100 nm) and accumulation mode (100- 1000 nm).

As per suggestion of the referee, the following discussion was added to our manuscript in line 186:

We calculated cluster mode particle number concentrations using Particle Size Magnifier (PSM) data, nucleation mode particle number concentration using Neutral Cluster and Air Ion Spectrometer (NAIS) particle mode data, and Aitken and accumulation mode particle number concentrations using Particle Size Distribution (PSD) system data.

The Particle Size Distribution system (PSD) and Neutral Cluster and Air Ion Spectrometer (NAIS) had an overlapping particle size distribution over the mobility diameter range of 3-42 nm. As shown in Figure R2-6, total particle number concentrations from the NAIS and PSD system correlated well with each other on both NPF event days (R^2 was 0.92) and haze days (R^2 was 0.90) in the overlapping size range. The slopes between the total particle number concentration from the PSD system and that from the NAIS were 0.90 and 0.85 on the NPF event days and haze days, respectively. The particle number size distribution in the overlapping

size range of the NAIS and PSD system matched well on both NPF event days and haze days as shown in Figure R2-7.

Section 2.2: Please include the time resolution of the data you use. I could not find this information for the PSD system and trace gases. How did you merge the data when the different instruments have different time resolutions? It is important that you describe this procedure carefully.

The following changes were made to our manuscript as per suggestion of the referee.

Line 145 as 'In the operation of the PSM, the saturator flow rate scanned from 0.1 to 1.3 lpm and scanned back from 1.3 to 0.1 lpm within 240 s. We averaged the data over 3 scans to make it smoother, and therefore the time resolution of PSM data was 12 minutes.'

Line 151 'the time resolution of PSD system data was 5 minutes'.

We added in line 165 that 'the time resolution of CO, NO_x , and O_3 data were 5 minutes, whereas the time resolution of SO₂ data was 1 hour before 22, January, 2018, and 5 minutes after that.' as per suggestion to the referee.

When data sets having different time resolutions were used, we chose the smallest time resolution as the common time resolution. Data with higher time resolutions were merged to the common time resolution by taking median numbers between two time points of the new time series.

Line 181: "In general, there were no overlap between NPF and haze periods". Did you look for NPF events during haze days? If haze and NPF are not 100% mutually excluding it would be interesting to describe these episodes. If they are, then change your sentence to make it clear that there was never an overlap. Also, did you determine haze days or did the China Meteorological Administration do this? If the authors did the classification, they should include the instrumentation used.

We thank the referee for the suggestions. We observed NPF events and haze events occurred on the same day, but never at the same time. We classified haze days not only according to the definition given by the China Meteorological Administration on the visibility and relative humidity but also the time haze lasts. Days were classified as haze days when haze events lasted for at least 12 consecutive hours. According to this classification, we did not observe any overlap between NPF event days and haze days.

As per suggestion of the referee, the following changes has been made in our manuscript:

We will update line 181 with 'NPF events and haze days as these two phenomena never occurred simultaneously.'

We added in section 2.2 'We measured relative humidity (RH, %) and, visibility (km), wind speed (m/s) and wind direction ($^{\circ}$) from a weather station on the roof of our station.'

Lines 222-228: The authors should also talk about O_3 here. I only see information for SO_2 , CO and NO_x .

We thank the referee for the suggestions, we added the following description to our manuscript

as per suggestion of the referee:

The median concentration of O_3 was 10 ppb on the haze days during our observations, a little bit higher than the severe haze episode in 2013 (<7 ppb; Wang et al., 2014b).

Lines 232-233: NPF does not favor clean environments. In any case, clean environments favor NPF.

We modified the corresponding text correspondingly.

Their lower levels on NPF event days indicates that relatively clean conditions favor NPF events.

Lines 247-251: "NO_x and CO are important precursors of O_3 in Chinese urban areas. Based on our data, O_3 , on the other hand, started to increase [...] after the levels of NO_x and CO started to decrease". Your wording is confusing. It feels like you are suggesting that NO_x and CO are not precursors of O_3 . Please reword.

We thank the referee for the suggestion, we rephrased the text as follows.

Earlier observations in urban areas having high NO_x concentrations found that O_3 was consumed by its reaction with NO, while NO_2 works as precursor for O_3 via photochemical reactions (Wang et al., 2017). In our observations, the diurnal pattern of O_3 was opposite to that of NO_x , which is consistent with O_3 loss by large amounts of freshly emitted NO during rush hours and O_3 production by photochemical reactions involving NO_2 after the rush hours in the morning.

Lines 280-284: I don't see Aitken mode concentrations being similar to NO_x evolutions before 9:00. See my comment on Figure 6 below.

We thank the referee for the suggestion. We modified Figure 6 as shown below. We can see Aitken mode number concentration increased during traffic rush time in Figure 6 after we changed the y-scale.

Lines 295-297: Did you measure meteorological parameters or is this a general statement? If it is a general statement change "the wind was" for "the wind is…".

We thank the referee for the comments. Yes, we measured meteorological parameters.

Line 301: It would be interesting to see the graphs for CS instead of giving only a daily value.

We thank the referee for the comments.

As per suggestion of the referee, we added Figure R2-8 to our manuscript. Figure R2-8 describes the condensation sink on both NPF event days and haze days.

Section 3.3: In line 318 the authors state "In this section, we use CO, SO₂, NO_x and O₃ as tracers", but there are no comments whatsoever regarding CO or O₃ in this section.

We thank the referee for the comments. Combined with the comments on Table 2, we updated discussion at section 3.3 and added the discussion about the limitation on the method in line 319 as below:

By examining responses of size-segregated particle number concentrations to changes in trace gas and $PM_{2.5}$ concentrations (Table R2a and Table R2b), we can get further insights into the main sources of particles in each mode and into the dynamical processes experienced by these particles under different pollution levels. Of course, not all sources or dynamics can be captured using this approach. In addition, due to the complex physical and chemical processes experienced by the particles, the correlation analysis cannot quantify the strength of individual sources or dynamical processes.

3.3.1 Connection with SO₂

Generally, as shown in Figure 8 (Figure 7 in ACPD version), the SO₂ concentration correlated negatively with both cluster and nucleation mode particle number concentrations. Higher SO₂ concentrations were encountered on more polluted days when NPF events were suppressed due to the high particle loadings, explaining the overall negative correlation. However, if we look at the NPF event days and haze days separately, we cannot see any clear correlation between the SO₂ concentration and cluster mode or nucleation mode particle number concentration, as shown also in Table R2a and Table R2b. This result indicates that during our observations, NPF occurred in relatively clean conditions, but the strength of a NPF event was not sensitive to the regional pollution level as long as NPF was able to occur.

On the NPF event days, the SO₂ concentration correlated positively with the concentrations of both Aitken and accumulation mode particles during the chosen NPF time window, whereas on the haze days no correlation between the SO₂ concentration and Aitken mode particle number concentration could be observed. This suggests that regional and transported pollution contributed to Aitken and accumulation mode particles on the NPF event days, while on haze days the transported and regional pollution was only a prominent factor affecting accumulation mode particle number concentration. In addition, SO₂ contributes to heterogeneous reactions on particle surfaces, explaining that a fraction of accumulation mode particles could have resulted from the growth of Aitken mode particles (Ravishankara., 1997).

3.3.2 Connection with NO_x

As shown in Table R2a, and Figure 9 (Figure 8 in ACPD version), the NO_x concentration correlated negatively with both cluster and nucleation mode particle number concentrations on the NPF event days. Compared with the correlation between SO_2 and cluster and nucleation mode particle number concentrations, this result indicates that local traffic emissions affected cluster and nucleation mode particles more than regional pollution on the NPF event days.

On the haze days, we did not see any correlation between cluster mode particle number concentration and NO_x concentration (Table R2b), although according to our analysis above, traffic emissions can be the source of cluster mode particles during the haze days. One possible reason for this is that the relationship between cluster mode particle number concentration and NO_x concentration was not linear. Earlier studies pointed out that the dilution ratio is the dominant factor affecting the number size distribution of nanoparticles generated from traffic gases emissions (Shi and Harrison, 1999;Shi et al., 2001). Temperature and humidity were also identified as factors affecting nanoparticle number size distribution nucleated from tailpipe emissions (Shi et al., 2001). Such factors above could decrease the correlation coefficients

between cluster and nucleation mode particle number concentrations and NO_x concentration.

The Aitken mode particle number concentration correlated positively with the NO_x concentration on both NPF event days and haze days, suggesting that traffic emissions might be an important source of Aitken mode particles.

The accumulation mode particle number concentration correlated positively with the NO_x concentration on the NPF event days, which is consistent with earlier studies showing that traffic emissions can contribute to accumulation mode particles in urban areas (Vu et al., 2015). On the haze days, accumulation mode particle number concentration correlated less with NO_x than with SO_2 , suggesting that regional and transported pollution was more important to accumulation mode particles than traffic emissions.

3.3.3 Connection with CO

CO has some similar sources as NO_x , such as traffic. On the NPF event days, the CO concentration correlated with particle number concentrations in each mode in a very similar way as NO_x did, suggesting that CO and NO_x had common sources, such as traffic emissions, on the NPF event days. This result confirms our analysis above that traffic emissions could suppress NPF and growth on the NPF event days, in addition to which they might be important sources of the Aitken and accumulation mode particles.

On the haze days, CO transported from polluted areas dominated the total CO concentration. The CO concentration had a positive correlation with the accumulation mode particle number concentration, but no clear correlation with the particle number concentration of the three other modes. This result confirms our analysis above that on the haze days, local emissions dominated Aitken particle number concentrations while regional and transported pollutions affected accumulation mode particle number concentrations more than local emissions.

3.3.4 Connection with O₃

Ozone is a secondary pollution trace gas and its concentration represents the oxidization capacity of atmosphere. Earlier observations found that high O_3 concentrations favor NPF by enhancing photochemical reactions (Qi et al., 2015). However, we did not see any correlation between the O_3 concentration and cluster mode particle number concentration, suggesting that O_3 was not the limiting factor for cluster mode particle number concentration.

The O_3 concentration correlated positively with both nucleation and Aitken mode particle number concentration on the NPF event days during the NPF time window, whereas on the haze days O_3 concentration correlated only with the Aitken mode particle number concentration.

The above results suggest that O₃ influences heterogeneous reactions and particle growth rather than the formation of new aerosol particles.

Line 337: Looking at the figure, it doesn't seem to me that SO₂ and cluster and nucleation mode concentrations are correlated, especially for NPF days. What are the correlation coefficients for NPF days and haze days separately? (see also comment for Table 2).

We thank the referee for the comments. Although SO_2 is precursor of sulfuric acid and we would have expected a positive correlation with cluster mode particles, there are other species

involved such as NH₃, dimethyl amine (DMA), that could limit the process.

As per suggestion of the referee, we will update our discussion in our manuscript as shown in the response to the last comments above.

Lines 400-402: Please elaborate and comment on the correlation with the other modes. $PM_{2.5}$ is also highly correlated with cluster mode but this is not discussed. Consider showing the correlations in an additional figure.

We thank the referee for the comments. We added correlation coefficient between $PM_{2.5}$ concentration and every mode on NPF event days and haze days in Table R2a and Table R2b separately. The following discussions and Figure R2-9 were added to our manuscript as section 3.3.5 as per suggestions to the referee:

3.3.5 Connection to PM_{2.5}

As shown in Figure R2-9, $PM_{2.5}$ concentration correlated negatively with the cluster and nucleation mode particle number concentrations, and positively with the accumulation mode particle number concentration. High $PM_{2.5}$ concentrations tend to suppress NPF by increasing the sinks of vapors responsible for nucleation and growth of cluster and nucleation mode particles. The particles causing high $PM_{2.5}$ concentrations also serve as sinks of cluster and nucleation mode particles by coagulation.

As shown in Table R2a and Figure R2-9, the Aitken mode particle number concentration correlated positively with the PM_{2.5} concentration on the NPF event days. A possible reason for this could be the tight connection between the Aitken and accumulation mode particles on the NPF event days (Table R1a), and the observation that accumulation mode particles are usually the main contributor to PM_{2.5} in Beijing (Liu et al., 2013). On the haze days, the Aitken mode particle number concentration correlated negatively with the PM_{2.5} concentration (Table R2b). A possible reason for this is that pre-existing large particles acted as a sink for Aitken mode particles by coagulation as well as a sink for vapors responsible for the growth of smaller particles into the Aitken mode. In addition, while PM_{2.5} is dominated by regional and transported secondary aerosols, Aitken mode particles mainly originate from local emissions such as traffic and cooking in Beijing (Wu et al., 2007;Wang et al., 2013;Du et al., 2017;de Jesus et al., 2019).

Conclusion: This section is written as a summary. The conclusion should reflect the significance of the results presented in this paper compared with existing observations, and give a message beyond summarizing what has already been said in the previous sections.

We thank the referee for the comments. we revised our conclusion as per suggestion of the referee as below:

4. Summary and conclusions

We measured particle number concentrations over a wide range of particle diameters (1.5-1000 nm) on both NPF event days and haze days in winter Beijing. To our knowledge, this was the first time when cluster mode particle number concentrations have been reported on haze days

in Beijing.

The observed responses of particle number concentrations in different modes (cluster, nucleation, Aitken and accumulation mode) to changes in trace gas and $PM_{2.5}$ concentrations were quite heterogeneous, suggesting different sources and dynamics experienced by each mode. NPF was the dominant source of cluster and nucleation mode particles. Ion-induced nucleation did not play an important role during the NPF events. The growth rates of cluster and nucleation mode particles increased with an increasing particle size. Traffic emissions contributed to every mode and were the dominant source of cluster and nucleation mode particles on the haze days. The main sources of Aitken mode particles were local emissions, while transported and regional pollution as well as growth from the nucleation mode also contributed to the Aitken mode. The main source of accumulation mode particles was regional and transported pollution. $PM_{2.5}$ affected the number concentration of sub-100 nm particles by competing for vapors responsible for particle growth and by acting as sinks for particles by coagulation. The main contributors to the $PM_{2.5}$ mass concentration were accumulation mode particles on the haze days.

As demonstrated here and in many other studies (Brines et al., 2015), ultrafine particles (< 100 nm in diameter) tend to dominate the total aerosol particle number concentration in megacities like Beijing. We should put no less attention on ultrafine particles than larger particles for their large number population. More attention should therefore put on ultrafine particles in urban environments. We found that both NPF and traffic emissions are important sources of ultrafine particles in Beijing. To improve our understanding on the potential effects of ultrafine particles on health and air quality, we need to do more research on their sources and physical and chemical properties. Laboratory and model analysis on dynamics of ultrafine particles would help us to understand the evolution of particle number size distributions. In addition, to identify and locate other possible sources, long-term observations on ultrafine particles down to the cluster mode as well as source apportionment analyses, such as cluster analysis and receptor model studies, are still needed. Ultrafine particles should also be taken into consideration when making policies to control air pollution. New regulations should be designed to control primary emission sources, such as traffic, or precursor emissions for secondary ultrafine particles involving NPF and subsequent particle growth.

Line 415-416: I do not see in the text where this is discussed (secondary sources contribution to the Aitken mode during haze days).

We thank the referee for the comments. We updated our conclusion and we deleted this sentence about 'secondary sources contribution to the Aitken mode during haze days' in our conclusions.

Table 2: I think this table would be more useful if the authors separate the data for haze days and NPF days. Also, what do you mean by "all the data are in log scale"? Please reword. You are showing correlation coefficients here, which are not represented in any scale.

We deleted the words 'all the data are in log scale'.

As per suggestion of the referee, we separated the data for NPF and haze days by changing Table 2 into Table R2a and Table R2b as shown below.

Figures 1, 2, 3, 4: Are these daily averages? Please specify the data you used to make the plot.

Data in Figure 1,3,4 are raw data we observed. Data in Figure 2 are the median of all the raw data of each mode on NPF event days, haze days and others days separately

Figures 5 and 6: Please specify the time resolution of the data you are showing, and reword "and they are the median data from midnight to midnight".

We thank the referee for the comments.

As per suggestion of the referee, we changed captions of Figure 5 and 6 as below:

Figure 5. Diurnal variation of trace gas (CO, SO₂, NO_x and O₃ separately) mixing ratios on the NPF event days (green lines) and haze days (grey lines) separately. The time resolution was 30 minutes for every data point. Every data point here represents the median of all data at the same time of the days.

Figure 6. Diurnal variation of particle number concentration of every mode (cluster, nucleation, Aitken and accumulation mode separately) on the NPF event days (green lines) and haze days (grey lines). The time resolution was 30 min for every data point. Every data point here represents the median of all data at the same time of the days.

Figure 5: The scale in the upper left graph is different to the others. If you decide to use the same scale, change it to match the others. If it is not important for you that the graphs have the same scale, change the other scales (especially SO_2 and O_3) so that the variations can be seen more clearly.

We thank the referee for the comments.

As per suggestion of the referee, to make the variations to be seen more clearly, we changed the scales as shown in Figure 5 below.

Figure 6: The scales used here do not allow to see changes in the Aitken and accumulation modes. I would suggest changing the scales on the lower graphs. It is hard to see the changes you mention in the discussion.

As per suggestion of the referee, to make the variations to be visible, we changed the scales as shown in Figure 6 below.

Technical comments

Line 93-94: ".... complicating the story even further". I would suggest using a different language.

We thank the referee for the comments. We will update the description in our manuscript as below:

While cluster mode particles can grow into the Aitken mode, also other sources like traffic contribute to this mode, making the source identification of the Aitken mode complicated.

Line 153: Please change the verb tense: measures -> measured.

As per suggestion of the referee, we corrected the verb tense.

Lines 169 and 175: Correct the references format.

As per suggestion of the referee, we corrected the reference format.

Line 213-214: Check the Aitken and accumulation median concentrations. Are they exactly the same?

We corrected the median number concentration of Aitken mode on haze days as 16000 cm⁻³ in our manuscript.

Line 300: Change "maybe" for "may be".

As per suggestion of the referee, we changed 'maybe' for 'may be' in our manuscript in line 300.

Line 305: Delete "in" between "increase" and "during".

As per suggestion of the referee, we deleted "in" between "increase" and "during" in line 305.

Line 311: Add in: "... SO₂ participated in the formation..."

As per suggestion of the referee, we added in: "... SO₂ participated in the formation..."

Line 386: "resulting in an increase..."

As per suggestion of the referee, we corrected our words as "resulting in an increase..."

Figure 2: Switch "left" and "right" in the figure caption.

As per suggestion of the referee, we switched "left" and "right" in the figure caption.

Figure 3: Please change the label: OtherS -> Others.

As per suggestion of the referee, we changed the label from "OtherS" to "Others" in Figure 3.

Tables and Figures

Table R1a: Correlation coefficients between particle number concentration of every mode on NPF event days. The time window was 08:00 - 14:00. High correlation coefficients (|R| > 0.5) are marked with bold and italic.

	Cluster	Nucleation	Aitken	Accumulation
Cluster	1			
Nucleation	0.76 ^a	1		
Aitken	-0.46 ^a	-0.33 ^b	1	
Accumulation	-0.66 ^a	-0.66 °	0.7 °	1

^a included 516 data points (the time resolution was 12 minutes), ^b included 1251 data points (the time resolution was 5 min), ^c included 1331 data points (the time resolution was 5 min).

Table R1b: Correlation coefficients between particle number concentration of every mode on haze days. The time window was 08:00 - 14:00. High correlation coefficients (|R|>0.5) are marked with bold and italic.

	Cluster	Nucleation	Aitken	Accumulation
Cluster	1			
Nucleation	0.74 ^a	1		
Aitken	0.41 ^a	0.48 ^b	1	
Accumulation	-0.22 ^a	-0.33 °	-0.5 °	1

^a included 342 data points (the time resolution was 12 minutes), ^b included 824 data points (the time resolution was 5 min), ^c included 845 data points (the time resolution was 5 min).

Table R2a: Correlation coefficients between size segregated particle number concentrations and trace gases mixing ratios/ $PM_{2.5}$ concentration on NPF event days. The time window was 08:00 - 14:00. High correlation coefficients (|R|>0.5) are marked with bold and italic.

СО	SO_2	NO _x	O ₃	PM _{2.5}	

Cluster	-0.61 ^a	-0.16 ^a	-0.66 ^a	0.16 ^a	-0.66 °
Nucleation	-0.5 ^b	-0.17 ^b	- 0.55 b	0.36 ^b	-0.54°
Aitken	<i>0.58</i> ^b	0.55 ^b	0.66 b	0.32 ^b	0.33 °
Accumulation	0.71 b	0.65 ^b	0.69 ^b	0.15 ^b	<i>0.83</i> °

^a included 665 data points (the time resolution was 12 minutes), ^b included 1620 data points (the time resolution was 5 min), ^c included 151 data points (the time resolution was 1 hour).

Table R2b: Correlation coefficients between size segregated particle number concentrations and trace gases mixing ratios/ $PM_{2.5}$ concentration on haze days. The time window was 08:00 - 14:00. High correlation coefficients (|R|>0.5) are marked with bold and italic.

	СО	SO ₂	NO _x	O ₃	PM _{2.5}
Cluster	-0.19 ^a	0.09 ^a	0.02 ^a	0.13 ^a	0.01 °
Nucleation	-0.24 ^b	0.07 ^b	0.31 ^b	0.17 ^b	-0.33 °
Aitken	0.10 ^b	0.03 ^b	0.44 ^b	0.41 ^b	-0.5 °
Accumulation	0.71 ^b	0.76 ^b	0.37 ^b	0.17 ^b	<i>0.81</i> °

^a included 620 data points (the time resolution was 12 minutes), ^b included 1460 data points (the time resolution was 5 min), ^c included 89 data points (the time resolution was 1 hour).



Figure R2-1: An example of how the appearance time method was used to calculate growth rate. The appearance time was recorded as a function of particle diameter as the black stars in the figure. The black lines are the fitted growth periods. The growth rates were calculated by calculating the slopes of the black lines.



Figure R2-2: Positive and negative ion number concentrations in the size bins of 0.8- 1.5nm, 1.5-3 nm and 3-7 nm on NPF event days and haze days separately. The whiskers include 99.3% of data of every group. Data out of $1.5 \times$ interquartile range are posited outside the whiskers and considered as outliers. The lines in the boxes represent the median value, the lower of the boxes represent 25% of the number concentration, and the upper of the boxes represent 75% of the number concentration. Data marked with red pluses represent outliers.



Figure R2-3: Diurnal pattern of charged clusters (1.5-3 nm) number concentration (red line) and ratio of charged clusters to total cluster mode particle number concentration on the NPF event days (blue line). The time resolution of the used data was 12 min.



Figure R2-4 : Diurnal pattern of formation rate of positive charged clusters of 1.5 nm (red dots) and neutral clusters of 1.5 nm (red line) and the ratio between them (blue line) on the NPF event days during the NPF time window we chose. The time resolution of the used data was 12 min.



Figure R2-5: Median diurnal patterns of the particle number size distribution over the size range of 1.5-1000 nm and number concentrations of cluster mode (red lines) and nucleation mode (blue lines) particles on the NPF event days (upper panel) and haze days (lower panel). The time resolution for every data point of particle number size distribution and cluster mode particle number concentration was 12 minutes. The time resolution of every data point of nucleation mode sparticle number concentration was 5 minutes.



Figure R2-5: Growth rates of cluster mode and nucleation mode particles generated from NPF events. The lines in the boxes represent the median value, the lower of the boxes represent 25% of the growth rates and the upper of the boxes represent 75% of the growth rates. Data marked with red pluses represent outliers.



Figure R2-6: Total particle number concentration in size range of 3-42 nm from NAIS and PSD system. There are 1271 data points on the plots of NPF days and 887 data points on the plots of haze days. The time resolution was 5 minutes.



Figure R2-7: Median particle number size distribution of data from NAIS (blue line) and PSD system (red line) on NPF event days (left panel) and haze days (right panel) during our observation. The time resolution we used here for every point was 1h.



Figure R2-8: Condensation sink on NPF event days and haze days. The lines in the boxes represent the median value, the lower of the boxes represent 25% of the condensation sink and the upper of the boxes represent 75% of the condensation sink. Data marked with red pluses represent outliers.



Figure R2-9 : Correlation between $PM_{2.5}$ concentration and particle number concentration in each mode on the NPF event days (green dots) and haze days (grey dots) separately. The time resolution of the data points was 1 hour.



Figure 5. Diurnal variation of trace gas (CO, SO₂, NO_x and O₃ separately) mixing ratios on the NPF event days (green lines) and haze days (grey lines) separately. The time resolution was 30 minutes for every data point. Every data point here represents the median of all data at the same time of the days.



Figure 6. Diurnal variation of particle number concentration of every mode (cluster, nucleation, Aitken and accumulation mode separately) on the NPF event days (green lines) and haze days (grey lines). The time resolution was 30 min for every data point. Every data point here represents the median of all data at the same time of the days.

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Marked-up manuscript

Variation of size-segregated particle number concentrations in winter 1

Beijing 2

Ying Zhou¹, Lubna Dada^{1,2*}, Yiliang Liu³, Yueyun Fu⁴, Juha Kangasluoma^{1,2}, Tommy 3 Chan¹, Chao Yan², Biwu Chu², Kaspar R Daellenbach², Federico Bianchi², Tom 4 Kokkonen², Yongchun Liu¹, Joni Kujansuu^{1,2}, Veli-Matti Kerminen², Tuukka Petäjä², 5

- Lin Wang³, Jingkun Jiang⁴, Markku Kulmala^{1,2*}
- 6
- 7 ¹Aerosol and Haze Laboratory, Beijing Advanced Innovation Center for Soft Matter Science and 8 Engineering, Beijing University of Chemical Technology, Beijing, China
- 9 ²Institute for Atmospheric and Earth System Research / Physics, Faculty of Science, University of 10 Helsinki, Finland
- 11 ³Shanghai Key Laboratory of Atmospheric Particle Pollution and Prevention (LAP³), Department of
- Environmental Science & Engineering, Jingwan Campus, Fudan University, Shanghai 200438, China 12
- ⁴School of Environment, Tsinghua University, Beijing, China 13
- 14

15 *Correspondences Lubna Dada: lubna.dada@helsinki.fi Markku Kulmala: to and are markku.kulmala@helsinki.fi 16

Abstract 17

Aerosol-The spatial and temporal variability of the number concentration varying 18 spatially and temporally size distribution of aerosol particles is a goodan indicator of 19 the dynamic behavior of Beijing's atmospheric cocktail. This variation 20 represents reflects the strength of different contributing primary and secondary sources, 21 22 such as traffic and new particle formation, respectively as well as the main processes 23 affecting the particle population. In this paper, we report size-segregated particle 24 number concentrations observed at a newly--developed Beijing station during the winter 25 of 2018. Our measurements covercovered particle number size distributions of particles in aover the diameter range between of 1.5 nm and -1 µm (cluster mode, nucleation 26 27 mode, Aitken mode and accumulation mode), thus being descriptive of a major fraction of the processes happeningtaking place in the atmosphere of Beijing. Here we aim to 28 29 explainfocus on explaining the concentration variation variations in the observed particle modes by relating them to the potential aerosol sources as well as to 30 31 understandand sinks, and on understanding the connection between thethese modes. We focused on two types of days (considered haze days and new 32 particle formation) and divided the data accordingly. event days separately. Our results 33 show that during the new particle formation (NPF) event days, an increase increases in 34 35 the cluster mode particles wasparticle number concentration were observed. In contrast,

whereas during the haze days we observed a high concentration concentrations of 36 37 accumulation mode particles- were present. There was a clear correlation tight connection between the cluster mode and nucleation modes duringmode on both NPF 38 days, while it was absent duringevent and haze days. In addition, we correlated the 39 particle number concentrations in different modes with concentrations of trace gases 40 41 and other parameters measured at our station. Our results show that the particle number concentration in all the modes in the sub-micron size range correlated with NO_x, which 42 clearly reflects the contribution of traffic to all particle sizes the whole sub-micron size 43 range. We also estimated the contribution of ion-induced nucleation in Beijing, and 44 45 found this contribution to be negligible.

46 1 Introduction

Atmospheric aerosols are the main ingredient of China's pollution cocktail (Kulmala 47 2015). TheyAerosols have gained increasing attention due to their effects on human 48 heath, climate and visibility (Lelieveld et al., 2015, IPCC 2007). Currently, air quality 49 standards for cities in China consider particle mass instead of number concentration 50 51 (WHO, 2000), which may ignore the potential adverse effect of ultra-fine particles on health (diameter less than 100 nm). However, itIt has been shown that ultra-fine 52 particles can penetrate deep into the respiratory tract, ending up to the blood circulation, 53 which allows them to deposit into the brain (Oberdörster et al., 2004). Indeed, 54 studies have pointed out that ultra-fine particles, which contribute to a negligible 55 fraction of the mass concentration, dominate the total number concentration in urban 56 57 areas (von Bismarck-Osten et al., 2013; Wehner et al., 2004; Wu et al., 2008). Due to their high concentrationconcentrations, ultrafine particles' toxicological effect is 58 59 enlarged effects are enhanced by their large total surface area (Kreyling et al., 2004).

Apart from their health effects, the temporal and spatial variation of particle number 60 concentrations of different sizes is a good estimate indicator of the strength of their 61 emission sources. The aerosols Aerosols are emitted either directly as primary particles, 62 such as sea salt or dust particles as a result of natural phenomena (Solomos et al., 2011), 63 or nano-particles could also form they can be formed through new particle formation 64 (Kulmala, 2003; Kulmala et al., 2004; Kulmala et al., 2013; Kerminen et al., 2018; Chu 65 et al., 2019). The newlyNewly formed particles can grow up intodiameters of 20-100 66 nm within a day (Kulmala et al., 2004)), and arethey have been found to contribute to 67 a major fraction of the global cloud condensation nuclei population (CCN), thus 68 indirectly affecting the climate (Kerminen et al., 2012). For all aforementioned reasons, 69 and in order to form a collective, and complete picture about atmospheric aerosol 70 particles, to understand their origin and potential impacts at a specific location, the 71 whole size distribution of these atmospheric particles needs to be studied. 72

73 Recently, due to urbanization and increased population, megacities have increased their 74 contribution to atmospheric aerosol pollution massively (Baklanov et al., 2016). 75 Interestingly, more people live ininside eastern Asia (specifically, China and India) 76 rather than outside this region (https://www.unfpa.org/swop). Therefore, it is important to study the contributions of different sources to size-segregated number concentrations 77 78 in order to inspire policy makers and the public on measures that need to be taken in order to reduce particulate pollution. Many studies in various cities in China have 79 80 tackled this topic. For instance, a-two-years observation of observations of particle number size distributions at a site in northern Beijing reported that traffic emissions 81 82 arewere the major source of nucleation (3-20 nm) and Aitken (20-100 nm) mode particles in urban Beijing (Wang et al., 2013). On another the other hand, a research 83 84 conducted in western downtown of Nanjing reported that local new particle formation events arewere the main contributors of both nucleation (5-20 nm) mode and CCN 85 86 particle populations (Dai et al., 2017). Moreover, an observation Measurements of nucleation mode particle concentration concentrations in urban Hong Kong reported the 87 dominant contribution of combustion sources to the nucleation mode (5.5-10 nm) 88 (Wang et al., 2014a). Also, an observation), whereas observations in urban Guangzhou 89 90 found that accumulation and secondary transformation of particles arewere the main reasons for high concentration concentrations of accumulation mode particles (100-660 91 nm) (Yue et al., 2010). However, only a few studies in China have reported 92 measurements of cluster mode particles (sub-3 nm) particles and related them to new 93 particle formation events (Cai et al., 2017;Xiao et al., 2015;Yao et al., 2018;Yu et al., 94 2016). 95

The observation of sub-_3 nm particles and ions washas been made possible by recent major instrumentation developmentdevelopments in instrumentations, such as the particle size magnifier (PSM) (Vanhanen et al., 2011), diethylene glycol-based scanning mobility particle sizer (DEG-SMPS) (Jiang et al., 2011), and Neutral Cluster and Air Ion Spectrometers (NAIS) (Manninen et al., 2016;_Mirme et al., 2007).

101 In a complicated environment such as inenvironments like Beijing, it is very hard to 102 relate each particle mode to a specific source. Indeed, manyseveral sources could 103 contribute to <u>aerosol</u> particles in the same size range. For instance, cluster mode particles mainly originate from the secondary gas-to-particle transformation 104 processprocesses (Kulmala et al. 2013), although recently also traffic has been 105 identified as a source for these small sized particles (Rönkkö et al., 2017). While 106 107 these cluster mode particles can grow to nucleation into the Aitken mode sizes, also other sources such as black carbon fromlike traffic contribute to Aitkenthis mode, 108 109 complicatingmaking the story even furthersource identification of the Aitken mode complicated (Pirjola et al., 2012). Various anthropogenic activities and biogenic 110 processes contribute to accumulation mode particle sizes. Thus, correlating trace gases 111 and aerosol concentrations of different sizes during different time periods help 112

113 <u>narrownarrowing</u> down these aerosol sources.

114 In this study, we analyzed the number concentration of four sub-micron aerosol modes: cluster mode (sub-3 nm), nucleation mode (3-25 nm), Aitken mode (25-100 nm), and 115 116 accumulation mode (100-1000 nm). Our aims arewere i) to investigate the number concentration variations of the size-segregated aerosol number concentrations for each 117 118 modes mode, ii) to explore the relationships between the different modes under different atmospheric conditions, iii) to connect the number size distribution modes with multiple 119 120 trace gases (NO_x, SO₂, CO and O₃) and PM_{2.5} (particulate matter with aerodynamic 121 diameter less than 2.5 µm), and iv) to quantify the contribution of NPF and haze 122 formation to different particle modes in winter time in Beijing. Our work increases our understanding of the sources of the different sized particles in Beijing, China, and 123 124 the work complements studies in other megacities.

125 2 Materials and Methods

126 2.1 Description of SMEAR Beijing station

Beijing, as the capital of China, accommodates more than 20 million people within 16.8 thousand square kilometers and only 1.4 thousand square kilometers for urban areas, with an expanding economic activity, construction and industry. Beijing, as one of the largest megacities in the world, is located in the Northern Chinese Plain, and is one of <u>the</u> most industrialized regions in China. Mountains surround Beijing from the west, north and north-west.

133 For our study, we analyzed data collected at the newly--developed station which is part of the Aerosol and Haze Laboratory in Beijing. The urban station follows from the 134 concept of Station for Measuring Ecosystem and Atmospheric Relations (SMEAR) 135 (Hari and Kulmala, 2005). Our station is located on the western campus of Beijing 136 137 University of Chemical Technology (BUCT). It is constructed on the fifth floor of the 138 teaching building on the campus-and the. The sampling lines extend to the rooftop of the building around 20 m above the ground level, going directly through windows for 139 140 selected instruments. The station represents a typical area in urban Beijing subject to 141 pollution sources, such as traffic, cooking and long-range transport of pollution. The campus is surrounded by highways and main roads from the Easteast (3rd ring main 142 143 road), north (Zizhu road) and south--east (Zizhu Bridge). From the east, west and south, 144 the campus is surrounded by residential and commercial areas.

Measurements at SMEAR Beijing started on 16 January, 2018 (Lu et al., 2018). and
 continueOur measurements continued until present, except during the necessary
 instruments² maintenance and unavoidable factors such as power cuts (Lu et al., 2018).

The data included in this study were collected between 16 January and 15 March 2018,
 being representative of Beijing winter conditions.

150 2.2 Instrumentation

For a comprehensive measurement of particles, a full set of particle measuring 151 152 instrumentation was operated. First, a nano-condensation nucleus counter system (nCNC) consisting of a Particle Sizer Magnifier (PSM, model A10, Airmodus Oy, 153 154 Finland) and butanol condensation particle counter (CPC) (model A20, Airmodus Oy, 155 Finland) measured the number concentration of small clusters for particles of 1.2-2.5 156 nm (in mobility diameter) (Vanhanen et al., 2011). To minimize the sampling losses, the PSM was sampling horizontally from through a window to the north through a short 157 158 stainless steel sampling inlet extending ~ 1.2 m outward from the building. The length 159 of the sampling tube was 1.33 m and theits inner diameter iswas 0.8 cm. To further 160 improve the sampling efficiency, a core sampling tube (Kangasluoma et al., 2016) was utilized. The total flow rate was 7.5 liters per minute (lpm), from which 5 lpm was used 161 as a transport flow while the nCNC sample flow rate was 2.5 lpm. In the operation of 162 163 the PSM-the saturator flow scanned from 0.1 to 1.3 lpm within 240s., the saturator flow 164 rate scanned from 0.1 to 1.3 lpm and scanned back from 1.3 to 0.1 lpm within 240 s. We averaged the data over 3 scans to make it smoother, and therefore the time resolution 165 166 of PSM data was 12 minutes. The data were inverted with a kernel function method. When comparing the particle number concentrations obtained with the expectation-167 maximization method, the cluster mode particle number concentration was, on average, 168 twice higher on the NPF event days and eleven times higher on the haze days (Cai et 169 170 al., 2018). Therefore, there is some uncertainty in the reported cluster mode particle 171 concentrations.

172 A particle size distribution (PSD) system measured aerosolthe particle number size distribution in the size range of 3 nm-10000 nm (Liu et al., 2016). It included a nano-173 174 scanning mobility particle sizer (nano SMPS, 3-55 nm, mobility diameter), a long SMPS (25-650 nm, mobility diameter) and an aerodynamic particle sizer (APS, 0.55 175 μm-10 μm, aerodynamic diameter). The PSD system sampled from the rooftop with an 176 aroundusing a 3-m-long sampling tube. A cyclone that removed particles larger than 177 178 10 µm was added in front of the sample line. The time resolution of PSD system data 179 was 5 minutes.

A Neutral Cluster and Air Ion Spectrometer (NAIS, model 4-11, Airel, Estonia) measures total particlemeasured number size distribution of particles (2.5-42 nm-(, mobility diameter);) and ions of (0.7-42 nm, (mobility diameter) (Manninen et al., 2016; Mirme and Mirme, 2013). It switched between detecting either naturally charged ions or total particles (including the uncharged fraction) with unipolar charging. It measured 2 min in the neutral mode, 2 min in the ion mode and then offset for 30 seconds for every measurement cycle. The NAIS was sampling horizontally from the
 north window. The copper 4 cm sampling tube with an outer diameter sampling tube of
 4 cm extended 1.6 m outside the window. To increase the sampling efficiency, the

189 sampling flow rate was $\frac{6054}{10}$ lpm.

The trace gas monitors measured carbon monoxide (CO), sulfur dioxide (SO₂), nitrogen oxides (NO_x) and ozone (O₃) concentrations with Thermo Environmental Instruments models 48i, 43i-TLE, 42i, 49i, respectively. They all sampled through a common inlet through the roof of the building. The length of the sampling tube was approximately 3 m. The time resolution of CO, NO_x, and O₃ data were 5 minutes, whereas the time

resolution of SO₂ data was 1 hour before 22 January, 2018, and 5 minutes after that.

The PM_{2.5} data <u>waswere</u> obtained from the nearest national monitor station, Wanliu station, around 3 km north <u>fromof</u> our station. The PM_{2.5} data from Wanliu station compared nicely to with the PM_{2.5} data from three other adjacent national stations. The <u>data wastime resolution of the PM_{2.5} data was 1 hour, and these data were</u> recorded every hour. Detailed information is reported in (Cao et al., (2014).

We measured the relative humidity (RH, %), visibility (km), wind speed (m/s) and wind
 direction (°) from a weather station on the roof of our station.

When data sets having different time resolutions were used, we chose the smallest time
 resolution as the common time resolution. Data with higher time resolutions were
 merged to the common time resolution by taking median numbers between two time
 points of the new time series.

207 2.3 NPF events and haze days classification

We classified days into "NPF event days" and "haze days". The days that did not fit either of these two categories wewere marked as "Other day'days", and they were excluded from our future analysis unless otherwise specified. We observed 28 NPF event days and 24 haze days in total. Table 1 describes the specific calendar of events with the aforementioned categories of days._

213 We identified the NPF event days following the method introduced in (Dal Maso et al., 214 2005), which requires an appearance of a new mode below 25 nm and that the new 215 mode shows signs of growth and spansfor several hours (Dal Maso et al., 2005; 216 Kulmala et al., 2012). Haze daysevents were identified withas having a visibility less 217 than 10 km withand ambient relative humidity below 80% (China Meteorological 218 Administration). In this study, Individual days were classified as haze days when it the 219 haze event lasted for at least 12 consecutive hours. In generalDuring our study periods, 220 there werewas no overlap between the NPF events and haze periods days, as these two 221 phenomena never occurred simultaneously. While the NPF events appeared right after 222 sunrise and lasted for several hours, the haze events did not have any specific hour, and<u>time of appearance but</u> lasted for<u>from a</u> few hours up to several days.

224 The particle number size distribution was divided into 4 modes according to their diameter: cluster mode (sub-3 nm), nucleation mode (3-25 nm), Aitken mode (25-100 225 226 nm), and accumulation mode (100-1000 nm). Moreover, since in Beijing, new particle 227 formation events were only observed during daytime, our analysis concentrated mostly 228 on the time period 8:00 to 14:00, unless specified otherwise. We calculated cluster mode 229 particle number concentrations using Particle Size Magnifier (PSM) data, nucleation 230 mode particle number concentration using Neutral Cluster and Air Ion Spectrometer 231 (NAIS) particle mode data, and Aitken and accumulation mode particle number 232 concentrations using Particle Size Distribution (PSD) system data. The Particle Size Distribution system (PSD) and Neutral Cluster and Air Ion Spectrometer (NAIS) had 233 234 an overlapping particle size distribution over the mobility diameter range of 3-42 nm. 235 As shown in Figure S1, total particle number concentrations from the NAIS and PSD system correlated well with each other on both NPF event days (R^2 was 0.92) and haze 236 days (R^2 was 0.90) in the overlapping size range. The slopes between the total particle 237 238 number concentration from the PSD system and that from the NAIS were 0.90 and 0.85 on the NPF event days and haze days, respectively. The particle number size 239 240 distribution in the overlapping size range of the NAIS and PSD system matched well on both NPF event days and haze days as shown in Figure S2. 241

Moreover, since new particle formation events were only observed during daytime in
 Beijing, our analysis concentrated mostly on the time period 8:00 to 14:00, unless
 specified otherwise.

245 **<u>2.4 Parameter calculation</u>**

246 2.4.1 Calculation of the growth rate

The growth rates of cluster and nucleation mode particles were calculated from positive
ion data and particle data from Neutral Cluster and Air Ion Spectrometer (NAIS),
respectively, by using the appearance time method introduced by Lehtipalo et al. (2014).
In this method, the particle number concentration of particles of size *dp* is recorded as
a function of time, and the appearance time of particles of size *dp* is determined as the
time when their number concentration reaches 50% of its maximum value during new
particle formation (NPF) events.

254 <u>The growth rates (GR) were calculated according to:</u>

255
$$GR = \frac{dp_2 - dp_1}{t_2 - t_1}$$
(1)

256 where t_2 and t_1 are the appearance times of particles with sizes of dp_2 and dp_1 257 respectively. Figure S3 shows an example of how this method was used.

The coagulation sink (CoagS) was calculated according to the equation (2) introduced 259 by Kulmala et al. (2012): 260 261 $CoagS_{dp} = \int K(dp, d'p)n(d'p)dd'p \cong \sum_{d'n=dn}^{d'p=max} K(dp, d'p)N_{d'p}$ 262 263 (2)where K(dp, d'p) is the coagulation coefficient of particles with sizes of dp and 264 265 d'p, $N_{d'p}$ is the particle number concentration with size of d'p. 2.4.3 Calculation of the formation rate 266 The formation rate of 1.5-nm particles $(J_{1,5})$ was calculated using particle number 267 concentrations measured with a Particle Sizer Magnifier (PSM). The formation rate of 268 1.5-nm ions $(J_{1.5}^{\pm})$ was calculated using positive and negative ions data from the Neutral 269 Cluster and Air Ion Spectrometer (NAIS) as well as PSM data. The upper limit used 270 was 3 nm. The values of $J_{1.5}$ and $J_{1.5}^{\pm}$ were calculated following the methods 271 272 introduced by Kulmala et al. (2012) with equation (3) and equation (4), respectively: $J_{dp} = \frac{dN_{dp}}{dt} + CoagS_{dp} \cdot N_{dp} + \frac{GR}{\Lambda dp} \cdot N_{dp}$ (3)273 where $CoagS_{dp}$ is the coagulation sink in the size range of $[dp, dp + \Delta dp]$ and GR 274 275 is the growth rate. $J_{dp}^{\pm} = \frac{dN_{dp}^{\pm}}{dt} + CoagS_{dp} \cdot N_{dp}^{\pm} + \frac{GR}{\Delta dp} \cdot N_{dp}^{\pm} + \alpha \cdot N_{dp}^{\pm} \cdot N_{<dp}^{\mp} - \chi N_{dp} \cdot N_{<dp}^{\pm}$ (4) 276 277 The fourth and fifth terms on the right hand side of equation (4) represent ion-ion recombination and charging of neutral particles by smaller ions, respectively, α is the 278 ion-ion recombination coefficient and χ is the ion-aerosol attachment coefficient. 279 3 **Results and discussion** 280 3.1 General character of particle modes and trace gases 281

282 3.1.1 Sub-micron particles and PM2.5

283 Particle number concentrations of different modes varied depending on the period, as

shown in Figure 1. We observed that the cluster and nucleation mode particle concentrations were the highest on <u>the</u> NPF event days. In fact, the cluster and nucleation mode particles dominated the total particle number concentration with an average contribution of 96% (Figure 2). On <u>the</u> haze days, the average contribution levels of the four modes were <u>about</u> equal. Aitken and accumulation mode particles contributed to 52% of the total particle number concentration on the haze days, as compared to 4% on the NPF event days.

291 On the haze days, we observed a surprising concentration of cluster mode particles, 292 which indicates that the clusters in this size range were still produced even during haze. 293 These high-spite of the high concentrations were still present regardless of the high 294 loadings of Aitken and accumulation particles, which. Since large particles are 295 expected to efficiently scavenge the clusters and the smallest growing particles by 296 coagulation (Kerminen et al., 2001; Kulmala et al., 2017). The clusters during haze days 297 could be attributed to a), this is indicative of either airborne cluster formation which do not grow further (Kulmala et al., 2007), but also to) or vehicular sourcesemissions of 298 299 elusterclusters and nucleation mode particles (e.g. Rönkkö et al., 2017).) during haze. The ratio between nucleation mode and cluster mode particle median number 300 301 concentration was close to unity (0.84), which might indicate a concurrent their common source on haze days, in comparison to the smaller ratio of 0.3 during the NPF 302 303 days. It is therefore likely that the primary particles dominated the nucleation mode on 304 the haze days, while the growth of cluster mode toparticles into nucleation mode 305 explains the nucleation mode particles on NPF days.

The median concentrations of Aitken and accumulation mode particles were 306 1750016000 cm⁻³ and 17500 cm⁻³, respectively, duringon the haze days and 8240 cm⁻³ 307 and 1670 cm⁻³, respectively, during on the NPF event days. Overall, these concentrations 308 were a factor of 2.1 and 10.5 times higher on the haze days than on the NPF event days. 309 The PM_{2.5} mass concentration was clearly higher duringon the haze days than 310 during compared with the NPF event days (Figure 3). The PM_{2.5} mass concentration in 311 urban areas is dominated by accumulation mode particles while the, with a clearly 312 smaller a contribution of by ultrafine (cluster, nucleation and Aitken mode) particles 313 tends to remain relatively little (Feng et al., 2010). 314

315 *3.1.2 Trace gases*

In this work, we considered four trace gases (SO₂, CO, NO_x and O₃) in our analysis (Figure 4), as these compounds are most commonly used to evaluate air quality and pollution sources in China (Hao and Wang, 2005; Han et al., 2011). During our observation period, the median concentrations of SO₂, CO, NO_x on haze days were 5.1, 1400 and 27 ppb, respectively. While-still high, these concentrations are lower than the corresponding concentrations (18, 2200, 75 ppb, respectively) during the extremely severe haze episode that took place in Beijing in January 2013 (Wang et al., 2014b). The median concentration of O_3 was 10 ppb on the haze days during our observations, a little bit higher than the severe haze episode in 2013 (<7 ppb; Wang et al., 2014b).

The median levels of SO₂, CO, NO_x and O₃ were 230%, 50%, 100% and 50% higher, respectively, on <u>the haze days than on the NPF days</u>. SO₂, CO and NO_x are usually considered tracers of primary pollution, <u>so their lower levels on <u>the NPF</u> event days than on haze days indicate<u>indicates</u> that <u>new particle formation events favor</u> relatively clean <u>environmentconditions favor NPF events</u> (Vahlsing and Smith, 2012;Tian et al., 2018).</u>

331

332 **3.2 Diurnal behavior**

In order to draw a clear picture of the evolution of size-segregated particle number concentrations, we analyzed the diurnal <u>concentration</u> behavior of <u>each of</u> the <u>different</u> trace gases (Figure 5) as well as those of and particle modes (Figure 6).

336 Since trace gases have more definitive sources than particles, we can get some insights 337 oninsight into particle sources by comparing thetheir diurnal patterns together with those of particles in different modes. For instance, CO is usually emitted as the by-338 product of inefficient combustion, of biomass burning as well as or fossil fuel 339 combustionfuels (Pétron et al., 2004; Lowry et al., 2016). NO_{*} and CO had We observed 340 similar diurnal patterns. We observed a concurrent for NO_x and CO, with an increase 341 withduring the morning rush hourhours followed by another peak at around 15:00. The 342 similar diurnal patterns of CO and NO_x suggest that they have, suggesting similar 343 sources. Due to lower human activities and traffic during the nightnighttime, lower 344 concentrations of NO_x and CO were observed. ManyEarlier observations point out that 345 346 NO_{*} and CO are important precursors of O₃-in Chinese urban areas having high NO_x concentrations found that O₃ was consumed by its reaction with NO, while NO₂ works 347 as precursor for O₃ via photochemical reactions (Wang et al., 2017). Based on our data, 348 O₃, on the other hand, started to In our observations, the diurnal pattern of O₃ was 349 350 opposite to that of NO_x, which is consistent with O₃ loss by large amounts of freshly emitted NO during rush hours and O₃ production by photochemical reactions involving 351 NO₂ after the rush hours in the morning. 352

In Figure 7, we show the median diurnal pattern of particle number size distribution on the NPF event days and haze days separately. On the NPF event days, we observed cluster formation from diameters smaller than 3 nm. The growth of newly-formed particles lasted for several hours, resulting in a consecutive increase of the particle number concentrations in all the four modes. During traffic rush hours in the morning and evening, we observed an increase of particle number concentrations in the size range of cluster mode to around 8:00 after the levels of NO_x and CO started to decrease. 360 Ozone had the opposite diurnal pattern to that of NO_{*}100 nm.

361 On the haze days, we still observed an increase of particle number concentration in the size range of cluster mode to Aitken mode during rush hours. Traditionally, NPF events 362 363 occur during the time window between sunrise and CO representing the well-known NO_{*} cycle (Wangsunset by photochemical reactions (Kerminen et al., 2017). 2018). 364 365 The binary or ternary nucleation between sulfuric acid and water, ammonia or amines are usually thought of as sources of atmospheric cluster mode particles, especially in 366 heavily polluted environments (Kulmala et al., 2013; Kulmala et al., 2014; Yao et al., 367 368 2018; Chu et al., 2019). The burst of cluster mode particle number concentration outside 369 the traditional NPF time window, especially during the rush hours in the afternoon, suggests a very different source of cluster mode particles from traditional nucleation, 370 371 e.g. nucleation from gases emitted by traffic (Rönkkö et al., 2017).

Interestingly, on As shown in Figure 6, on the NPF event days, the cluster mode particle 372 373 number concentration started to increase at the time of sunrise and peaked around noon with a wide single peak, showing the typical behavior related to NPF events (Kulmala 374 375 et al., 2012). Comparatively, on the haze days, the cluster mode particle number 376 concentration showed a double peak pattern similar to the diurnal cycle of NO_x 377 (FiguresFigure 5-& 6). This observation suggests in consistent with our discussion above that traffic emission possibly contributed to cluster mode particles. By comparing 378 379 cluster mode particle number concentrations between the haze days and NPF event days, we estimated that the clusters on haze days had similar sources as NO_{*}, plausibly related 380 to combustion. Comparatively, on NPF event days, the cluster mode particle number 381 concentration showed a wide single peak. The cluster mode particle number 382 383 concentration started to increase at the same time as sunrise, and the peak around noon, showing the typical behavior related to the NPF process (Kulmala et al., 2012). traffic-384 385 related cluster mode particles could contribute up to 40-50 % of the total cluster mode particle number concentration on the NPF event days. 386

Similarly, Similar to the cluster mode, the nucleation mode also had a single peak on the 387 NPF event days. Nucleation mode particle number concentration started 388 to increase shortly after the increase of corresponding increases in the cluster mode, 389 390 which could be attributed to the growth of formed particles from the cluster mode particles into the nucleation mode. The observed peak however hasof the nucleation 391 mode particle number concentrations had a shoulder at around 7:00 - 9:00 am which is 392 concurrent with the morning peak of the NO_x, thus originating concentration, which 393 indicates a contribution from traffic to the nucleation mode. It is important to note, 394 395 however, that the height of the peakthis shoulder of the nucleation mode is was only 20% of the maximum nucleation mode particle number concentration. Our These results 396 397 showsuggest that, compared with atmospheric NPF, traffic contributes contributed 398 much less to the nucleation mode particle number concentration than an NPF event.

399 During the haze days, the diurnal pattern of the nucleation mode overlapped with 400 particle number concentration reminded that of NO_x with, showing no elear major peak during the day. Our observation daytime between the rush hours. This suggests that the 401 nucleation mode number concentration was dominated by particles were dominantly 402 from traffic emissions on the haze days. Additionally, it is important to note that during 403 haze days, when the main contributor of the nucleation mode particles was traffic the 404 haze days, we observed different maximum concentrations for morning versus evening 405 peaks, implying a higher contribution of traffic in the morning than in the afternoon. 406 The This result is in line with the diurnal cycle of NO_x during the haze days. 407

408 On the NPF even days, Aitken mode particles on NPF days are mainly attributed to two 409 different sources which are hard to distinguished from each other. The 410 Aitken mode particles can be the result of: primary orand secondary sources, such as combustion and growth of newly formed particles, respectively. In comparison to the 411 412 cluster and nucleation modes, which that had a more pronounced diurnal cycles during 413 the NPF event days, the Aitken mode particle number concentration had a pattern 414 similar pattern asto NO_x before 9:00 in the morning. This implies that the traffic 415 emissions arewere important sources to maintain Aitken mode particle concentrations 416 in the morning hours. The Aitken mode particle number concentration increased during 417 the afternoon hours. This is associated with, probably due to the growth of the 418 nucleation mode particles via multicomponent condensation into the Aitken mode sizes. 419 This is verified by a and possibly some other gas-to-particle conversion pathways. The 420 concurrent decrease of the nucleation mode particle number concentration- supports 421 this view. The Aitken mode particle number concentration increase in the 422 afternoon evening was concurrent with anthe increase of CO and NO_x, which could be 423 attributed to combustion sources (Roberts and Jones, 2004; Koponen et al., 2001). 424 Similarly, Aitken mode particle concentrations, peak around 20:00 simultaneously with a peak of CO. On 425

426 On the haze days, the Aitken mode particle number concentrations concentration experienced a negligible little change before about 14:00. Even when, contrary to both 427 CO and NO_x concentration began to decrease, which implies less concentrations, 428 indicating a small contribution of by primary sources- during that time of the day. It is 429 important to mention that the growth of particles is not only limited to the days when 430 new particle formation occurredoccurs. In fact, on the haze days, the wind was typically 431 more stagnant, reducing the vertical mixing of the pollutants and their horizontal 432 advection (Zheng et al., 2015). The increase of Aitken mode particle number 433 434 concentration started at around 16:00 and the concentration peaked at around 20:00 435 similar to the NPF event days. If This is concurrent with the increase time of $\frac{1}{100}$ NO_x and CO, this increase maybe concentrations, which might be attributed intoto traffic 436 437 emissionemissions.

438 The concentration of accumulation mode <u>particles</u> was an order of magnitude higher

during the haze days than during compared with the NPF days, representing causing a 439 higher condensation sink (on average 0.02015 s^{-1} for the NPF event days and 0.10 s^{-1} 440 for the haze days-on average), as shown in Figure S4), and thus introducing a reason 441 why NPF doesdid not happentake place on the haze days (Kulmala et al., 2017). The 442 concentration, on the other hand, did not experience much diurnal variation-during the 443 day. There was a slight increase in the accumulation mode particle number 444 concentration during the morning rush hour, hours starting at around 6:00. This is 445 concurrent with the increase in the Aitken mode particle number concentration, 446 simultaneous with traffic rush hours in Beijing. The second slight increase started at 447 448 around 16:00, two hours later than that of <u>the</u> Aitken mode, suggesting thea secondary contribution to accumulation mode particles. On the NPF event days, the 449 450 accumulation Accumulation mode also had the similar diurnal pattern as SO₂ on NPF event days, implying that SO_2 participated in the formation of accumulation mode on 451 the NPF event days. 452

453 **3.3** Correlation between the particle modes and trace gas <u>and PM_{2.5}</u> concentrations

Beijing's atmosphere is a very complicated environment (Kulmala, 2015). Aerosol 454 455 particles in the atmosphere of Beijing are subject to e.g. aerosol dynamical processes, 456 surface reactions, coagulation, deposition orand transport, thus hindering direct 457 connection with their sources based on physical size distribution distributions only. 458 However, by correlating each particle mode to various trace gases, we can get 459 indications on the sources of the particles. In this section, we use CO, SO₂, NO_x and O₃ 460 as tracers. By evaluating their correlation coefficients with the size segregated particle number concentration (Table 2), we can infer the particle sources. CO, SO₂ and NO_x 461 are primary pollutants emitted from various combustion sources. Our results show that 462 these trace gases have a high positive correlation with accumulation mode particles 463 (R>0.75) and negative correlation with cluster and nucleation modes generally. 464

465 Figures 7 and 8 show correlation between the size-segregate particle number concentrations and SO₂ and NO_x concentration, respectively. By examining responses 466 of size-segregated particle number concentrations to changes in trace gas and PM_{2.5} 467 concentrations (Table 2a and Table 2b), we can get further insights into the main sources 468 of particles in each mode and into the dynamical processes experienced by these 469 particles under different pollution levels. Of course, not all sources or dynamics can be 470 captured using this approach. In addition, due to the complex physical and chemical 471 472 processes experienced by the particles, the correlation analysis cannot quantify the 473 strength of individual sources or dynamical processes.

474 3.3.1 Connection with SO₂

SO₂ is a key precursor for H₂SO₄ through photochemical reactions in Beijing, which is 475 in turn a requirement for new particle formation in megacity environments (Wang et al., 476 477 2013; Yao et al., 2018). Although being a very important precursor of NPF, the SO₂ concentration washad lower concentrations on the NPF event days than on the haze 478 479 days, relating high (Figure 8). High concentrations of SO₂ have been ascribed to regional pollution and anthropogenic condensation sink even in semi-pristine 480 481 environments (Dada et al., 2017). Our observation can be explained by the fact that during haze, SO₂ partitions to the particle and liquid phase oxidation much faster than 482 483 gas phase oxidation of SO₂ to H₂SO₄. Earlier observations report that the main sources of SO₂ are power plants, traffic and industry, and itso SO₂ can be used as a tracer for 484 485 regional pollution (Yang et al., 2018;Lu et al., 2010).

486 Table 2 and Generally, as shown in Figure 7 show negative correlations between 8, the SO₂ concentration and correlated negatively with both cluster and nucleation mode 487 488 particle number concentrations, while a highly positive correlation between. Higher SO₂ concentrations were encountered on more polluted days when NPF events were 489 suppressed due to the high particle loadings, explaining the overall negative correlation. 490 However, if we look at the NPF event days and haze days separately, we cannot see any 491 clear correlation between the SO₂ concentration and accumulationcluster mode or 492 nucleation mode particle number concentration (R = 0.88), and $PM_{2.5}$ mass 493 concentration (R = 0.80). So, when, as shown also in Table 2a and Table 2b. This result 494 indicates that during our observations, NPF occurred in relatively clean conditions, but 495 496 the strength of a NPF event was not sensitive to the regional pollution level as long as NPF was able to occur. 497

498 On the NPF event days, the SO₂ concentration correlated positively with the 499 concentrations of both Aitken and accumulation mode particles during the chosen NPF 500 time window, whereas on the haze days no correlation between the SO₂ concentration 501 was high, the and Aitken mode particle number concentration could be observed. This 502 suggests that regional and transported pollution contributed to Aitken and accumulation 503 mode particle concentration was also high, indicated with high condensation sink yet 504 not limiting aerosol formation.

SO₂ had the highest positive correlation coefficient with the particles on the NPF event 505 506 days, while on haze days the transported and regional pollution was only a prominent factor affecting accumulation mode particle number concentration-among all the four 507 trace gases. This result suggests that the sources of accumulation mode particles during 508 the time window we chose were more similar to sources of SO₂, attributed to fossil fuel 509 510 combustion and linked to regional pollution. However, In addition, SO₂ contributes to 511 heterogeneous reactions on particle surfaces, explaining that a fraction of accumulation mode particles could have resulted from the growth of Aitken mode particles 512 513 (Ravishankara., 1997).

514 3.3.2 Connection with NOx

NO_x is usually considered as the pollution tracer mainly from traffic (Beevers et al., 515 2012). As shown in Table 22a and Figure 8 show negative correlation coefficients 516 517 between 9, the NO_x and concentration correlated negatively with both cluster and nucleation mode particle number concentration concentrations on the NPF event days. 518 519 Compared with the correlation between SO₂ and cluster and positive correlation coefficients between Aitken and accumulation nucleation mode particle number 520 concentration, concentrations, this result indicates that local traffic emissions affected 521 cluster and nucleation mode particles more than regional pollution on the NPF event 522 523 days.

As shown in Table 2, the positive correlation coefficient between Aitken mode particle
 number concentration and NO_{*} is the highest among all four trace gases. As we
 mentioned before, traffic is identified as an important source of Aitken and nucleation
 mode particles. Given that our station is so close to the highway (around 100 m), NO_{*}
 concentration is affected by local traffic emissions.

- As shown in Figure 8, the higher NO_x concentration was associated with less cluster mode particle number concentration during the NPF event days. However, on haze days the cluster mode particle number concentration seemed not to be sensitive to NO_x concentration, which is in contradiction to our previous understanding that gas phase NO_x can suppress the formation of clusters by suppressing NPF event (Lehtipalo et al., 2018). However, there might be other sources of cluster mode other than the NPF events as well as compensating vapors that can contribute to clusters formation.
- The negative correlation between the NO_{*} concentration and nucleation mode particle
 number concentration on the NPF event days can be explained by less cluster mode
 particles, which act as an important seed for nucleation mode particles. However, on
 haze days, the negative correlation was slightly higher. On the haze days, primary
 sources dominated the whole nucleation mode.

The positive correlation between Aitken mode particle number concentration and NO_{*}
 concentration on both the NPF event days and the haze days suggests that traffic is one
 of the major sources of Aitken mode in urban Beijing.

544 On the haze days, we did not see any correlation between the cluster mode particle 545 number concentration and NO_x concentration (Table 2b), although according to our 546 analysis above, traffic emissions can be the source of cluster mode particles during the 547 haze days. One possible reason for this is that the relationship between cluster mode 548 particle number concentration and NO_x concentration was not linear. Earlier studies 549 pointed out that the dilution ratio is the dominant factor affecting the number size 550 distribution of nanoparticles generated from traffic gases emissions (Shi and Harrison, 1999; Shi et al., 2001). Temperature and humidity were also identified as factors
affecting nanoparticle number size distribution nucleated from tailpipe emissions (Shi
et al., 2001). Such factors would decrease the correlation between the cluster and
nucleation mode particle number concentrations and NO.

554 <u>nucleation mode particle number concentrations and NO_x concentration.</u>

555 The Aitken mode particle number concentration correlated positively with the NO_x 556 concentration on both NPF event days and haze days, suggesting that traffic emissions

557 <u>might be an important source of Aitken mode particles.</u>

558 The accumulation mode particle number concentration correlated positively with the

559 NO_x concentration on the NPF event days, which is consistent with earlier studies 560 showing that traffic emissions can contribute to accumulation mode particles in urban 561 areas (Vu et al., 2015). On the haze days, the accumulation mode particle number 562 concentration correlated less with NO_x than with SO₂, suggesting that regional and 563 transported pollution was a more important contributor to accumulation mode particles

564 <u>than traffic emissions.</u>

565 <u>3.3.3 Connection with CO</u>

CO has some similar sources as NO_x, such as traffic. On the NPF event days, the CO 566 concentration correlated with particle number concentrations in each mode in a very 567 similar way as NO_x did, suggesting that CO and NO_x had common sources, such as 568 traffic emissions, on the NPF event days. This result confirms our analysis above that 569 570 traffic emissions could suppress NPF and growth on the NPF event days, in addition to which they might be important sources of the Aitken and accumulation mode particles. 571 On the haze days, CO transported from polluted areas dominated the total CO 572 concentration. The CO concentration had a positive correlation with the accumulation 573

574 mode particle number concentration, but no clear correlation with the particle number
 575 concentration of the three other modes. This result confirms our analysis above that on

the haze days, local emissions dominated Aitken particle number concentrations while
 regional and transported pollutions affected accumulation mode particle number
 concentrations more than local emissions.

579 <u>3.3.4 Connection with O₃</u>

Ozone is a secondary pollution trace gas and its concentration represents the oxidization
 capacity of atmosphere. Earlier observations found that high O₃ concentrations favor
 NPF by enhancing photochemical reactions (Qi et al., 2015). However, we did not see
 any correlation between the O₃ concentration and cluster mode particle number
 concentration, suggesting that O₃ was not the limiting factor for cluster mode particle
 number concentration.

586 The O₃ concentration correlated positively with both nucleation and Aitken mode 587 particle number concentration on the NPF event days during the NPF time window, 588 whereas on the haze days O₃ concentration correlated only with the Aitken mode 589 particle number concentration.

590 The above results suggest that O₃ influences heterogeneous reactions and particle 591 growth rather than the formation of new aerosol particles.

592 <u>3.3.5 Connection to PM2.5</u>

593 As shown in Figure 10, the $PM_{2.5}$ concentration correlated negatively with the cluster 594 and nucleation mode particle number concentrations, and positively with the 595 accumulation mode particle number concentration. High $PM_{2.5}$ concentrations tend to 596 suppress NPF by increasing the sinks of vapors responsible for nucleation and growth 597 of cluster and nucleation mode particles. The particles causing high $PM_{2.5}$ 598 concentrations also serve as sinks of cluster and nucleation mode particles by 599 coagulation.

600 As shown in Table 2a and Figure 12, the Aitken mode particle number concentration correlated positively with the PM_{2.5} concentration on the NPF event days. A possible 601 602 reason for this could be the tight connection between the Aitken and accumulation mode particles on the NPF event days (Table 3a), and the observation that accumulation mode 603 particles are usually the main contributor to PM_{2.5} in Beijing (Liu et al., 2013). On the 604 605 haze days, the Aitken mode particle number concentration correlated negatively with the PM_{2.5} concentration (Table 2b). A possible reason for this is that pre-existing large 606 607 particles acted as a sink for Aitken mode particles by coagulation as well as a sink for vapors responsible for the growth of smaller particles into the Aitken mode. In addition, 608 while PM_{2.5} is dominated by regional and transported secondary aerosols, Aitken mode 609 610 particles mainly originate from local emissions such as traffic and cooking in Beijing (Wu et al., 2007; Wang et al., 2013; Du et al., 2017; de Jesus et al., 2019). 611

612 **3.4 Correlation between different particle modes**

613 The correlation between size-segregated particle number concentrations (Table 3 and Figure 9) can give us an indication of dynamical behavior of fine particles in the 614 atmosphere aerosols. Generally, pre-existing accumulation mode particles and PM_{2.5} 615 act as coagulation sink and suppress the concentration of cluster mode and nucleation 616 mode particles. The particle number concentrations between adjacent modes were 617 highly correlated except for nucleation mode and Aitken modes. The Aitken mode 618 particles have two different sources e.g. primary emissions and new particle formation 619 events, also they do not necessarily coincide in time. On the other hand, fresh nucleation 620 621 mode particles must growth fast enough to survive from coagulation scavenging. Only under favorable conditions, nucleation mode particles can grow into Aitken mode
 particles, resulting increase in Aitken mode number concentration (Kerminen et al.,
 2001).

625 Cluster mode particle number concentrations were positively correlated with nucleation 626 mode particle number concentrations on haze days because the traffic emissions were 627 a main primary source of these two modes. On NPF event days, the positive correlation 628 coefficient (R = 0.84) between these two modes can be attributed to the growth of 629 clusters into larger particles.

Accumulation mode particle number concentration was positively correlated with 630 Aitken mode particle number concentration on the NPF event days, implying 631 transformation from Aitken mode to accumulation mode. While on haze days, higher 632 Aitken mode particle number concentration was not concurrent with higher 633 accumulation mode particle number concentration. The median Aitken mode particle 634 number concentration was twice on haze days of NPF event days while accumulation 635 mode particle number concentration was 10.5 times on haze days of NPF event days, 636 representing the transformation from Aitken mode to accumulation mode. The high 637 correlation coefficient between accumulation mode particle number concentration and 638 PM_{2.5} mass concentration implied accumulation mode mainly contributed to PM_{2.5}. 639

640 Table 3a and Table 3b as well as Figure 11 show the correlation between particle 641 number concentrations in different modes. On the NPF event days, cluster and 642 nucleation mode particle number concentrations correlated positively with each other 643 due to their common dominant source, NPF. Both cluster and nucleation mode particle 644 number concentrations correlated negatively with the Aitken and accumulation mode 645 particle number concentrations because, as discussed earlier, high concentrations of 646 large particles tend to suppress NPF and subsequent growth of newly-formed particles.

On the NPF event days, Aitken and accumulation mode particle number concentrations
 correlated positively with each other, as well as with the SO₂ and NO_x concentration.
 This suggests that on the NPF event days, Aitken and accumulation mode particles both
 formed during regional transportation as secondary particles and were emitted by traffic
 as primary particles.

On the haze days, cluster and nucleation mode particle number concentrations 652 correlated positively with each other, and with the Aitken mode particle number 653 concentration. This is suggestive of a similar dominating sources for these particle, 654 most likely traffic emissions. Similar to the NPF event days, cluster and nucleation 655 mode particle number concentrations correlated negatively with the accumulation mode 656 particle number concentration, even though this correlation was rather weak (Table 3b). 657 As expected based on the discussion in section 3.3.5, the Aitken mode particle number 658 concentration had a negative correlation with the accumulation mode particle number 659 concentration on the haze days. 660

661 4 Conclusion

We investigated the variation of size-segregated particle number concentrations on both
 NPF and haze days observed during winter 2018 in Beijing. Cluster and nucleation
 modes contributed to 96% of total sub-micro particle number concentration on NPF
 days. On haze days, these two modes contributed 48% of the total number concentration
 while Aitken and accumulation modes contributed to the rest.

- 667 Cluster and nucleation modes particle number concentration showed a clear diurnal
 668 variation on NPF event days with a typical behavior of NPF events, suggesting NPF
 669 event was the main source of these two modes while on the haze days these two modes
 670 showed similar diurnal pattern as NO_{*}, suggesting traffic contributed to these modes.
- On NPF event days, the diurnal pattern of Aitken mode particle number concentration
 showed an increase during traffic rush hour and transformation from nucleation mode.
 On haze days, the diurnal pattern of Aitken mode particle number concentration still
 implied secondary sources contribution to this mode. Aitken mode number
 concentration was highly correlated with NO_{*} concentration, suggesting traffic
 emissions contributed to the concentration in this mode.
- Accumulation mode particle number concentration showed a similar diurnal pattern as
 Aitken mode, but no variation on haze days. Accumulation mode was correlated with
 SO₂, suggesting a character of regional pollution. Accumulation mode mostly
 contributed to PM_{2.5} mass concentration.

681 <u>3.5 Atmospheric ions and ion induced nucleation in Beijing</u>

In order to estimate the contribution of ions to the total cluster mode particle number
 concentration and the importance of ion induced nucleation in Beijing, we studied ion
 number concentrations in the size range of 0.8-7 nm by dividing them into 3 sub-size
 bins: constant pool (0.8-1.5 nm), charged clusters (1.5-3 nm) and larger ions (3-7 nm).
 As shown in Figure 12, number concentrations of positive ions were higher than those
 negative ions in all the size bins on both NPF event days and haze days. We will only
 discuss positive ions here.

The median number concentration of positive ions in the constant pool on NPF event days was only 100 cm⁻³ in Beijing, much less than that in the boreal forest (600 cm⁻³; Mazon et al., 2016). Also, the median number concentration of positive charged clusters was 20 cm⁻³ on the NPF event days, and the ratio to the total cluster mode particle number concentration was 0.001 to 0.004 during the NPF time window (Figure 13). This ratio is comparable to that observed in San Pietro Capofiume (0.004), in which the anthropogenic pollution level was also high, but clearly lower than that observed in 696 another megacity in China, Nanjing (0.02; Kontkanen et al., 2017). Considerably higher 697 ratios were observed in clean environments, for example during winter in the boreal forest at Hyytiälä, Finland (0.7; Kontkanen et al., 2017). The median number 698 concentration of larger ions (3-7 nm) on the NPF event days was 30 cm⁻³, a little bit 699 higher than the charged cluster mode particle number concentration, indicating that not 700 701 all of the larger ions originate from the growth of charged clusters, but rather from charging of neutral particles by smaller ions. On the haze days, charged ion number 702 703 concentrations were much lower than those on the NPF days, which could be attributed 704 to the higher condensation sink.

705 The diurnal pattern of the ratio of number concentration between charged and total 706 cluster mode particles was the highest during the night with a maximum of 0.008, and 707 had a trough during daytime with a minimum of 0.001 on the NPF event days. Such 708 diurnal pattern is similar to earlier observations in Nanjing, San Pietro Capofiume and 709 Hyytiälä (Kontkanen et al., 2017). This ratio reached its minimum around noon, because the total cluster mode particle number concentration reached its maximum 710 711 around that time due to NPF. The ratio had a small peak at around 9:00, similar to earlier observations in Centreville and Po Valley (Kontkanen et al., 2016;Kontkanen et al., 712 713 2017). The possible reason is that charged clusters were activated earlier in the morning 714 than neutral clusters. The ratio increased from the midnight until about 4:00, similar to 715 the number concentration of charged clusters.

As shown in Figure 14, the diurnal median of the ratio between the formation rate ofpositive ions of 1.5 nm $(J_{1.5}^+)$ and the total formation rate clusters of 1.5 nm $(J_{1.5})$ varied**from 0.0009 to 0.006. This result is comparable to observations in Shanghai, where thepositive ion induced nucleation contributed only 0.05% to the total formation rate of1.7-nm particles** $(J_{1.7})$ (Yao et al., 2018).

721 **<u>3.6 Particle growth rates</u>**

The growth rates of particles generated from NPF events were examined in three size 722 ranges: <3 nm, 3-7 nm and 7- 25 nm (Figure 15). The median growth rates of particles 723 in these size ranges were 1.0 nm/h, 2.7 nm/h and 5.5 nm/h, respectively. The growth 724 rate of cluster mode particles was comparable with that observed in Shanghai (1.5 nm/h; 725 Yao et al., 2018). The notable increase of the particle growth rate with an increasing 726 particle size is a very typical feature in the sub-20 nm size range (Kerminen et al., 2018), 727 728 and it may also extend to larger particle sizes (Paasonen et al., 2018). Our observations are in line with the reported range of nucleation mode particle growth 729 rates of 0.1-11.2 nm/h in urban areas of Beijing (Wang et al., 2017b; Jayaratne et al., 730 2017). Such growth rates can explain the observed increases of Aitken mode particle 731

731 2017). Such growth rates can explain the observed increases of Altken mode
 732 number concentrations in the afternoon.

733 <u>4 Summary and conclusions</u>

We measured particle number concentrations over a wide range of particle diameters
(1.5-1000 nm) on both NPF event days and haze days in winter Beijing. To our
knowledge, this was the first time when cluster mode particle number concentrations
have been reported on haze days in Beijing.

The observed responses of particle number concentrations in different modes (cluster, 738 nucleation, Aitken and accumulation mode) to changes in trace gas and PM2.5 739 740 concentrations were quite heterogeneous, suggesting different sources and dynamics experienced by each mode. NPF was the dominant source of cluster and nucleation 741 742 mode particles. Ion-induced nucleation did not play an important role during the NPF events. The growth rates of cluster and nucleation mode particles increased with an 743 744 increasing particle size. Traffic emissions contributed to every mode and were the dominant source of cluster and nucleation mode particles on the haze days. The main 745 sources of Aitken mode particles were local emissions, while transported and regional 746 pollution as well as growth from the nucleation mode also contributed to the Aitken 747 mode. The main source of accumulation mode particles was regional and transported 748 pollution. PM_{2.5} affected the number concentration of sub-100 nm particles by 749 750 competing for vapors responsible for particle growth and by acting as sinks for particles 751 by coagulation. The main contributors to the $PM_{2.5}$ mass concentration were accumulation mode particles on the haze days. 752

As demonstrated here and in many other studies (e.g. Brines et al., 2015), ultrafine 753 particles (< 100 nm in diameter) tend to dominate the total aerosol particle number 754 concentration in megacities like Beijing. More attention should therefore put on 755 ultrafine particles in urban environments. We found that both NPF and traffic emissions 756 are important sources of ultrafine particles in Beijing. To improve our understanding on 757 the potential effects of ultrafine particles on health and air quality, we need to do more 758 research on their sources and physical and chemical properties. Laboratory and model 759 analysis on dynamics of ultrafine particles would help us to understand the evolution 760 of particle number size distributions. In addition, to identify and locate other possible 761 sources, long-term observations on ultrafine particles down to the cluster mode as well 762 as source apportionment analyses, such as cluster analysis and receptor model studies, 763 764 are still needed. Ultrafine particles should also be taken into consideration when making policies to control air pollution. New regulations should be designed to control primary 765 766 emission sources, such as traffic, or precursor emissions for secondary ultrafine particles involving NPF and subsequent particle growth. 767

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780 wrote the paper. TP, LW, JJ, MK provided helpful scientific discussions. All co-authors

781 reviewed the manuscript.

782 *Competing interests*. The authors declare that they have no conflict of interest.

Data availability: Particle number concentrations are available upon contacting
 <u>yingzhouahl@163.com</u> or <u>lubna.dada@helsinki.fi</u>.

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1118 Tables and Figures

1119 Table 1. Calendar of events <u>different types of days</u> during our <u>observation</u> <u>observation</u>.

120 NPF event days are marked in green, and haze days are marked in grey. Missing,

1121 <u>whereas missing</u> or undefined days are marked in white.

1122

		Ja	nua	ry					Fe	brua	ary		
25	26	27	28	29	30	31	29	30	31	1	2	3	4
1	2	3	4	5	6	7	5	6	7	8	9	10	11
8	9	10	11	12	13	14	12	13	14	15	16	17	18
15	16	17	18	19	20	21	19	20	21	22	23	24	25
22	23	24	25	26	27	28	26	27	28	1	2	3	4
29	30	31	1	2	3	4	5	6	7	8	9	10	11
М	Т	W	Т	F	S	S	М	Т	W	Т	F	S	S
		N	larc	h									
26	27	28	1	2	3	4							
5	6	7	8	9	10	11							
12	13	14	15	16	17	18							
19	20	21	22	23	24	25							
26	27	28	29	30	31	1							
2	3	4	5	6	7	8							
М	Т	W	Т	F	S	S							

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1124

1125 Table 2. Correlation coefficients between size segregated number concentrations / PM_{2.5} and trace gases mixing ratios. The time window is 08:00 - 14:00. All the data are 1126 1127 in log scale, high correlation coefficients (|R|>0.7) have been marked in blue and the extremely high correlation coefficient (|R|>0.8) is marked in red. The R between trace 1128

1129 gases / PM_{2.5} and Cluster mode include 1770 data points (12 minutes averaged value)

for each parameter, R between trace gases / PM2.5 and Nucleation, Aitken and 1130 1131 Accumulation mode includes 4248 data points (5 minutes averaged value) for each

1132 parameter.

R	CO	SO 2	NO _*	Θ_3
Cluster	-0.71	-0.65	-0.71	0.06
Nucleation	-0.60	-0.60	-0.68	0.07
Aitken	0.61	0.61	0.79	-0.28
Accumulation	0.79	0.88	0.78	0.16
PM _{2.5}	0.77	0.80	0.70	0.36

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 Table 2a: Correlation coefficients between size segregated particle number

concentrations and trace gases mixing ratios/ PM_{2.5} concentration on the NPF event 1134

1135 days. The time window was 08:00 - 14:00. High correlation coefficients (|R| > 0.5) are marked with bold and italic. 136

	<u>CO</u>	<u>SO</u> 2	<u>NO_x</u>	<u>O</u> ₃	<u>PM_{2.5}</u>
Cluster	<u>-0.61^a</u>	<u>-0.16 ^a</u>	-0.66 ^a	<u>0.16^a</u>	<u>-0.66 °</u>
Nucleation	<u>-0.5^b</u>	<u>-0.17^b</u>	<i>-0.55</i> ^b	<u>0.36^b</u>	<u>-0.54 °</u>
Aitken	<u>0.58 ^b</u>	<u>0.55 b</u>	<u>0.66</u> b	<u>0.32 ^b</u>	<u>0.33 °</u>
Accumulation	<u>0.71 ^b</u>	0.65 ^b	0.69 ^b	<u>0.15 ^b</u>	<u>0.83 °</u>

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^a included 665 data points (the time resolution was 12 minutes), ^b included 1620 data points (the time resolution was 5 min), ^c included 151 data points (the time resolution 1138 was 1 hour). 1139

1140

 Table 2b: Correlation coefficients between size segregated particle number
 1141

1142 concentrations and trace gases mixing ratios/ PM_{2.5} concentration on haze days. The

time window was 08:00 - 14:00. High correlation coefficients (|R| > 0.5) are marked 1143

1144 with bold and italic.

	<u>CO</u>	<u>SO</u> 2	<u>NO_x</u>	<u>O</u> ₃	<u>PM_{2.5}</u>
Cluster	<u>-0.19^a</u>	<u>0.09 ^a</u>	<u>0.02 ^a</u>	<u>0.13 ^a</u>	<u>0.01 ^c</u>
Nucleation	<u>-0.24 ^b</u>	<u>0.07^b</u>	<u>0.31 ^b</u>	<u>0.17^b</u>	<u>-0.33 ^c</u>
Aitken	<u>0.10^b</u>	<u>0.03 ^b</u>	<u>0.44 ^b</u>	<u>0.41 ^b</u>	<u>-0.5 ^c</u>
Accumulation	<i>0.71</i> ^b	<u>0.76</u> b	<u>0.37^b</u>	<u>0.17^b</u>	<u>0.81 °</u>

^a included 620 data points (the time resolution was 12 minutes), ^b included 1460 data
 points (the time resolution was 5 min), ^c included 89 data points (the time resolution
 was 1 hour).

^a included 665 data points (the time resolution was 12 minutes), ^b included 1620 data
 points (the time resolution was 5 min), ^c included 151 data points (the time resolution
 was 1 hour).

1151

1152Table 3: Correlation coefficient between size segregated particle number1153concentrations / PM2.5. The time window is 08:00 - 14:00. All the data are in log scale,1154high correlation coefficients (|R|>0.8) have been marked in blue. And the extremely1155high correlation coefficients are marked in red (|R|>0.9). The R between Cluster and1156other modes / PM2.5 include 1770 data points (12 minutes averaged value) for each1157parameter. R between any modes else than Cluster mode include 4248 data points (51158minutes averaged value) for each parameter.

R	Cluster	Nucleation	Aitken	Accumulation	PM _{2.5}
Cluster	4				
Nucleation	0.8 4	4			
Aitken	-0.53	-0.47	1		
Accumulatio n	-0.84	-0.72	0.66	4	
PM _{2.5}	- 0.8 4	-0.71	0.47	0.92	1

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Table 3a: Correlation coefficients between particle number concentration of every

1162 1163 mode on NPF event days. The time window was 08:00 - 14:00. High correlation coefficients (|R|>0.5) are marked with bold and italic.

	Cluster	Nucleation	<u>Aitken</u>	Accumula
Cluster	<u>1</u>			
Nucleation	<u>0.76^a</u>	<u>1</u>		
Aitken	<u>-0.46^a</u>	<u>-0.33 ^b</u>	<u>1</u>	
Accumulation	<u>-0.66 ^a</u>	<u>-0.66 ^c</u>	<u>0.7 °</u>	<u>1</u>
esolution was 5	<u>min).</u>			
Table 3b: Correl node on haze da	<u>min).</u> ation coefficie ays. The time v >0.5) are marke	nts between particl vindow was 08:00 ed with bold and ita	<u>e number conce</u> - <u>14:00. High co</u> alic.	entration of eve
Table 3b: Correl node on haze da	<u>min).</u> ation coefficie ays. The time v >0.5) are marke <u>Cluster</u>	nts between particl vindow was 08:00 - ed with bold and ita Nucleation	e number conce - 14:00. High co alic. <u>Aitken</u>	entration of eve orrelation <u>Accumula</u>
Table 3b: Correl node on haze da coefficients (R >	<u>min).</u> ation coefficie ays. The time v >0.5) are marke <u>Cluster</u> <u>1</u>	nts between particl vindow was 08:00 ed with bold and ita <u>Nucleation</u>	e number conce - 14:00. High co alic. <u>Aitken</u>	entration of eve orrelation <u>Accumula</u>
Tesolution was 5 Fable 3b: Correl node on haze da coefficients (R > Cluster Nucleation	<u>min).</u> ation coefficie ays. The time v >0.5) are marke <u>Cluster</u> <u>1</u> <u>0.74 a</u>	nts between particl vindow was 08:00 - ed with bold and ita Nucleation <u>1</u>	e number conce - 14:00. High co alic. <u>Aitken</u>	entration of eve orrelation <u>Accumula</u>
Tesolution was 5 Table 3b: Correl mode on haze da coefficients (R > Cluster Nucleation Aitken	<u>min).</u> ation coefficie ays. The time v >0.5) are marke <u>Cluster</u> <u>1</u> <u>0.74 a</u> <u>0.41 a</u>	nts between particl vindow was 08:00 ed with bold and ita Nucleation <u>1</u> <u>0.48^b</u>	e number conce - 14:00. High co alic. <u>Aitken</u> <u>1</u>	entration of eve orrelation <u>Accumula</u>

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Figure 1. Particle number concentrations in the cluster, nucleation, Aitken and 1176 1177 accumulation mode on all the days, NPF event days, haze days and other days. The whiskers include 99.3% of data of every group. Data out of 1.5 \times interquartile range 1178 are posited outside the whiskers and considered as outliers. This figure shows median 1179 and percentiles of size-segregated particle number concentration. The lines in the boxes 1180 represent the median value, the lower of the boxes represent 25% of the mixing ratio 1181 particle number concentration and the upper of the boxes represent 75% of the mixing 1182 ratio.particle number concentration. Data marked with red pluses represent outliers. 1183



Figure 2. Fractions of each mode under different conditions. The plot on the right is The median size-segregated number concentrations on (left) and the median fraction of each mode to the total particle number concentration (right) on the NPF event days, haze days and other days. The plot on the left is the fraction of median number concentration of each mode.



Figure 3. General character of <u>the PM_{2.5} mass concentration on all the days</u>, NPF event days, haze days, and others days <u>separately</u>. This figure shows. The boxes show the median (red line) and <u>25% and 75%</u> percentiles of <u>the PM_{2.5} mass concentration</u>. The lines in the boxes represent the median value, the lower of the boxes represent <u>25% of</u>

- the data and the upper of the boxes represent 75% of the data. Data marked with red
- 1199 pluses represent outliers as in Figure 1.



Figure 4. Trace gases mixing ratios of CO, SO_2 , NO_x and O_3 on all <u>the</u> days, NPF event days, haze days and other days. <u>This figure shows median and percentiles of trace</u> gases. The lines in the boxes represent the median value, the lower of the boxes represent <u>The boxes show the median (red line) and 25% of the data and the upper of</u> the boxes represent and 75% percentiles of the data<u>mixing ratios</u>. Data marked with red pluses represent outliers as in Figure 1.









1236 <u>minutes.</u> 1237 _____





Figure $\frac{89}{2}$. Relation between the NO_x concentration and particle number concentration in each mode. The time resolution of the data points arewas 1 hour.











