Molecular compositions and optical properties of dissolved brown carbon in
 biomass burning, coal combustion, vehicle emission aerosols illuminated by
 excitation-emission matrix spectroscopy and FT-ICR MS analysis

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Abstract: Brown carbon (BrC) plays an essential impact on radiative forcing due to 20 its ability to absorb sunlight. In this study, the optical properties and molecular 21 22 characteristics of water-soluble and methanol-soluble organic carbon (MSOC) emitted from the simulated combustion of biomass and coal fuels, and vehicle emissions were 23 investigated using UV-visible spectroscopy, excitation-emission matrix (EEM) 24 spectroscopy, and Fourier-transform ion cyclotron resonance mass spectrometry 25 (FT-ICR MS) coupled with electrospray ionization (ESI). The results showed that 26 these smoke aerosol samples from biomass burning (BB) and coal combustion (CC) 27 had a higher mass absorption efficiency at 365 nm (MAE<sub>365</sub>) than vehicle emission 28 samples. A stronger MAE<sub>365</sub> value was also found in MSOC than water-soluble 29 organic carbon (WSOC), indicating low polar compounds would possess higher light 30 absorption capacity. Parallel factor analysis (PARAFAC) identified six types of 31 fluorophores (P1-6) in WSOC including two humic-like substances (HULIS-1) (P1, 32 and P6), three protein-like substances (PLOM) (P2, P3, and P5), and one undefined 33 (P4). HULIS-1 was mainly from aging vehicle-exhaust particles, P2 was only 34 abundant in BB aerosols, P3 was ubiquitous in all tested aerosols, P4 was abundant in 35 fossil burning aerosols, and P5 was more intense in fresh vehicle-exhaust particles. 36 chromophores (six components, C1-6) exhibited consistent 37 The MSOC characteristics with WSOC, suggesting the method could be used to indicate the 38 origins of chromophores. FI-ICR mass spectra showed that CHO and CHON were the 39 most abundant components of WSOC, but S-containing compounds appeared a higher 40 abundance in CC aerosols and vehicle emission than BB aerosols. While, 41 considerably low S-containing compounds with largely CHO and CHON were 42 detected in MSOC. The unique formulas of different sources determined by the Venn 43 diagram presented different molecular distributions. To be specific, BB aerosols with 44 largely CHO and CHON had a medium H/C and low O/C ratio; while, CC aerosols 45 and vehicle emissions with largely S-containing compounds had an opposite H/C and 46 O/C ratio. Moreover, the light absorption capacity of WSOC and MSOC was 47 positively associated with the unsaturation degree and molecular weight in the source 48 aerosols. The above results are potentially applicable to further studies on EEM-based 49

or molecular characteristic-based source apportionment of chromophores inatmospheric aerosols.

### 53 **1 Introduction**

Carbonaceous aerosols play an important role in the Earth's radiative balance. One 54 such aerosol, black carbon (BC), absorbs significant amounts of light and exerts a 55 warming effect, while organic carbon (OC) was initially thought to only scatter solar 56 radiation (Wong et al., 2017; Mo et al., 2017; Saleh et al., 2014). However, recent 57 studies show that there are certain types of OC that absorb radiation efficiently in the 58 near-ultraviolet (UV) (300-400 nm) and visible ranges, which are called brown 59 carbon (BrC). They can positively shift the net direct radiation forcing (DRF) (Saleh 60 et al., 2014;Laskin et al., 2015;Kirchstetter and Thatcher, 2012). According to a 61 simulation model, the inclusion of BrC may enhance total aerosol absorption by 62 7-19% (Feng et al., 2013). According to previous studies, BrC in atmospheric 63 aerosols mainly originates from emissions from biomass burning (BB) and coal 64 combustion (CC), vehicle exhausts, and the formation of secondary organic aerosol 65 (SOA) (Zhu et al., 2018;Laskin et al., 2015;Xie et al., 2017;Kumar et al., 2018). 66 Among them, primary emissions contribute significantly to BrC absorption (Fan et al., 67 2012; Yan et al., 2015; Zhang et al., 2011). Recently, many studies have investigated 68 the optical properties and molecular characteristics of BrC in laboratory simulated 69 combustion (Budisulistiorini et al., 2017;Lin et al., 2018;Lin et al., 2016;Song et al., 70 2019) and their light absorption in controlled vehicle emissions (Xie et al., 2017). 71 However, there were no available studies on the comprehensive characteristics of BrC 72 in various sources and their variations in optical and chemical information impacted 73 74 by these sources. Therefore, investigating BrC in different sources would improve our understanding of the evolution of BrC absorption. 75

Excitation-emission matrix (EEM) spectroscopy can provide structure information of chromophores and thus has been widely applied to identify the sources and chemical nature of chromophoric dissolved organic matter (CDOM) in aquatic environments since the 1990s (Shimabuku et al., 2017;Wells et al., 2017;Bhattacharya and Osburn, 2017;Coble, 1996). Due to the optical properties of chromophoric water-soluble organic carbon (WSOC) in the atmosphere were similar to CDOM in aquatic environments (Qin et al., 2018;Fu et al., 2015;Graber and Rudich, 2006), this

technique could extend to atmospheric research. It has to be mentioned that 83 fluorescence is a radiative process that occurs between two energy levels of the same 84 multiplicity (Andrade-Eiroa et al., 2013). Generally, compounds with rigid planar 85 structures and highly conjugated systems have intrinsic fluorescence emission 86 characteristics and are important BrC chromophores, such as aromatic acids, phenols, 87 nitroaromatics, polycyclic aromatic hydrocarbons (PAHs), quinones, and so on (Lin et 88 al., 2018; Zhang et al., 2013). In addition, chromophores in fluorescence spectra could 89 90 be considered as a "fingerprinting" tool, especially when combining it with parallel factor (PARAFAC) analysis which can decompose EEM signals into their underlying 91 chemical components (Murphy et al., 2013). For instance, Chen et al. (2016b) 92 observed that the water-extracted chromophores identified by PARAFAC from the 93 urban, forest, and marine aerosols were varied with the sampling sites and periods, 94 and were affected by oxidative and functional groups. Lee et al. (2013) illustrated that 95 SOA derived from the oxidation of limonene and decene with O3 and OH had 96 different fluorescence spectra. Therefore, BrC characteristics from various sources 97 98 may differ. However, when analyzing chromophoric BrC using fluorescence spectra, the challenges are the lack of a classification system for fluorescence spectra, to 99 distinguish chromophores from most non-absorbing constituents and to determine the 100 chemical structures of the chromophores. 101

102 Fourier-transform ion cyclotron resonance mass spectrometry (FT-ICR MS) coupled with electrospray ionization (ESI) is a powerful platform for investigating the 103 detailed characteristics of organic material at the molecular level. With the advantage 104 of ultrahigh-resolution, the accuracy of mass measurements, and high sensitivity 105 106 (Feng et al., 2016), FT-ICR MS has been successfully used to characterize organic aerosols (Jiang et al., 2016;Song et al., 2018;Mo et al., 2018), cloud water (Zhao et al., 107 2013), and natural organic matters (Sleighter et al., 2012;Feng et al., 2016). For 108 example, a previous study has determined their molecular families of dissolved 109 organic matters (DOMs) associated with fluorescent components by using FI-ICR MS 110 (Stubbins et al., 2014), which could provide more chemical information of 111 112 chromophores.

Residential CC and BB emissions, and motor vehicle emissions are significant 113 anthropogenic sources of air pollutants, exceptionally fine particulate matter (PM<sub>2.5</sub>) 114 on urban and regional scales (Gentner et al., 2017; Yan et al., 2015; Zhang et al., 115 2018; Chen et al., 2015). In this study, to obtain a comprehensive understanding of 116 BrC originating from various sources, UV-vis, EEM, and FI-ICR MS analysis were 117 performed for WSOC and methanol-soluble organic carbon (MSOC) from the smoke 118 particles of simulated combustion of biomass fuels and coals, and vehicle emission 119 120 aerosols. Statistical analysis of PARAFAC was applied to EEM spectra to resolve the fluorescent compounds. All, and unique molecular characteristic of WSOC and 121 MSOC were analyzed and discussed on the base of FI-ICR MS. Relationships 122 between optical properties and chemical structures were discussed by using linear 123 regression coefficient. 124

### 125 **2 Experimental methods**

#### 126 **2.1 Sample collection and preparation**

127 The smoke particles were collected by the instrument coupled with a dilution channel which was designed to simulate fire emissions representative of "real-world" open BB 128 and household CC activities (Figure S1). In the present study, a total of 27 BB 129 samples (IDs1-27) were collected at Xishuangbanna city, Yunnan Provence, from 130 May 20th to June 3th, 2016 and the detailed sampling process was described in our 131 previous article (Cui et al., 2018). Briefly, raw fuels (rough 20×3×2 cm<sup>3</sup>) were 132 air-dried for several days and ignited in a stainless-steel bowl, and then the rising 133 smoke was collected through a dilution system. The sampling system mainly consists 134 of a dilution tunnel, a residence time chamber, three particulate matter (PM) samplers, 135 and so on. Every biomass was burned three times, about 1-2 kg fuels per burn. Every 136 combustion process lasted for 20 minutes. The collection of smoke particles started 137 when the fuel ignited, and ended when the concentration of CO<sub>2</sub> down to atmosphere 138 CO<sub>2</sub> level. Dilution ratios of each experimental process were calculated using the CO<sub>2</sub> 139 concentrations before and after dilution. The collection flow rate and average dilution 140

ratio were 180 L/min and 2.1, respectively. And the other 6 BB samples (IDs28–33)
were collected in Guangzhou city, Guangdong Province.

The smoke particles of CC (IDs34-50) were collected as same as that of BB 143 experiment, but used a stove, in Guangzhou city, Guangdong province, from 144 November 18th, 2017 to January 23th, 2018. The tested stove is technically improved 145 stove (named Jin-Yin stove). Due to the difficulty of ignition of coal, we used 146 smokeless charcoal to ignite one-third (about 300 g) of the raw-coal chunk (2-5 cm in 147 size) in the stove, removed the charcoal after ignition, and then added the remaining 148 raw-coal chunk (about 700 g) to start to collect the smoke particles. Every coal was 149 also burned three times, about 1 kg fuels per burn. Every combustion process lasted 150 for about 40-150 minutes. The collection flow rate and average dilution ratio were 151 150 L/min and 1.5, respectively. Additionally, modified combustion efficiency (MCE) 152 was calculated to characterize the relative amount of smoldering and flaming 153 combustion phase (Lin et al., 2016;Cui et al., 2018). The average MCE value was 154  $0.73 \pm 0.08$  for CC experiments but unavailable for the BB experiments because the 155 156 CO sensor did not work in the field work, which was mentioned in our previous paper (Cui et al., 2018). 157

Tunnel aerosols (total eight samples, IDs51–58) were collected at Siping Tunnel 158 from November 1th to 2th, 2017 and Xiaoyangshan Tunnel from December 1th to 2th, 159 2017, in Shanghai city, as well as two vehicle exhaust particles (IDs59-60) were 160 collected from the direct emission of two different trucks (more fresh aerosols). With 161 no other instructions, we used "vehicle emissions" to represent all tunnel aerosols and 162 vehicle exhaust particle samples. These filters were wrapped in aluminum foil and 163 pre-baked at 450 °C for 5 hours before sampling and stored at -20 °C after sampling. 164 Overall, there was a total of 60 total suspended particulate matter (TSP) samples on 165 source emissions in this study, and blank samples that were collected at different 166 167 times and locations were used for correcting filter samples.

168 WSOC for UV-Vis absorption and EEM analysis was extracted with purified 169 water (resistivity of >18.2 $\Omega$ ) via ultra-sonication of quartz filter punches for 30 170 minutes. Because water cannot effectively extract the BrC (Liu et al., 2013;Shetty et al., 2019), the remaining filter was further freeze-dried and extracted with methanol (HPLC grade) to obtain the MSOC constituent for better understand the optical properties and molecular compositions of BrC. It is worth noting that the MSOC in this study is not necessarily like that of the same names in other studies. All the extracts were filtered through a 0.22  $\mu$ m polytetrafluoroethylene membrane into amber colored glass vials to remove the insoluble material.

#### 177 **2.2 Carbon analysis**

We measured both OC and elemental carbon (EC) using an aerosol carbon analyzer 178 (Sunset Laboratory, Inc., USA), following the NIOSH thermal-optical transmittance 179 (TOT) standard method (Mo et al., 2017), and the emission factors (EFs) of PM, OC 180 181 and EC were calculated and detail information was presented in Supplement. We also analyzed the elements of biomass (C, H, O, and N) and coal (C, H, O, N, and S) using 182 an elemental analyzer (Vario EL cube; Elementar, Germany) and the results were 183 listed in Table S1 and S2. The carbon content of WSOC was measured using total 184 185 organic carbon analysis (Vario TOC cube; Elementar) before acidifying with phosphoric acid to remove inorganic carbon, while the concentration of MSOC was 186 assessed using the method developed by a previous study (Chen et al., 2017b). Briefly, 187 the extracted MSOC was dried gently under nitrogen, and then re-dissolved in 500 µL 188 189 methanol. Subsequently, 50 µL of the solution was added to the clear quartz filter (area:  $1.5 \text{ cm}^2$ ) until dry and analyzed using the TOT standard method. 190

# 191 **2.3 UV–Vis absorption spectra and EEM fluorescence spectra**

The UV-vis absorption and EEM spectra of WSOC and MSOC were analyzed using a UV-Vis spectrophotometer (UV-4802; Unico, China) and an Aqualog fluorometer (Horiba Scientific, USA), respectively. The wavelengths used to characterize the UV-vis spectra were between 200 to 800 nm at a step size of 2 nm. Purified water and methanol were used as a baseline correction for WSOC and MSOC before measure, respectively. Mass absorption efficiency (MAE, m<sup>2</sup> g<sup>-1</sup> C) was obtained as the following equation (Li et al., 2018):

199  $MAE_{\lambda} = A_{\lambda} \cdot \ln(10) / (C \cdot L)$ (1)

Here,  $A_{\lambda}$  is the value of light absorption at the given wavelength of the spectrophotometer; *C* (µg C mL<sup>-1</sup>) is the concentration of WSOC and MSOC; *L* is the optical path length (in this study, 0.01m). Moreover, the pH of WSOC was measured for all samples within the ranges of 5.5–6.5, which generally did not affect the absorbance according to the prior study (Chen et al., 2016a).

The emission and excitation wavelengths of the fluorescence spectra were from 206 245 to 580 nm and 240 to 500 nm, respectively. The wavelength increments of the 207 emission and excitation scans were 4.66 and 3 nm, respectively. Further, the 208 contributions of solvents to the fluorescence spectra were subtracted.

### 209 2.4 Ultrahigh-resolution ESI FT-ICR MS analysis

The WSOC and MSOC of six selected samples including two BB aerosols (Musa and 210 Hevea), two CC aerosols (a anthracite and a bituminous coal), one day of tunnel 211 aerosol (combine the aerosols in inlet and outlet of the tunnel in the same day), and 212 213 one vehicle exhaust particle were analyzed using FT-ICR MS. To remove inorganic ions before instrumental analysis, WSOC was further adjusted to pH = 2 by the 214 addition of hydrochloric acid (HCl) and then passed through a solid-phase extraction 215 cartridge (Oasis HLB, 30 um, 60 mg/cartridge; Waters Corporation, USA). The 216 constituent retained on the SPE cartridge was eluted with methanol containing 2% 217 ammonia (v/v). Eluants were evaporated until dry under a gentle nitrogen gas stream. 218 The extracted solutions by methanol was evaporated under a gentle nitrogen gas 219 stream for preparation. 220

We used the analysis method of FT-ICR MS described in detail in our previous study (Mo et al., 2018). Briefly, ultrahigh-resolution mass spectra were obtained using a solariX XR FT-ICR MS (Bruker Daltonics GmbH, Bremen, Germany) equipped with a 9.4-T superconducting magnet and an ESI ion source. The system was operated in negative ionization mode. The ion accumulation time was set to 0.6 s. The lower and upper mass limit was set to m/z 150 and 800 Da, respectively. The mass spectra were externally calibrated with arginine clusters using a linear calibration and then internally recalibrated with typical  $O_6S_1$  class species peaks using quadratic calibration in DataAnalysis ver. 4.4 software (Bruker Daltonics). A typical mass-resolving power >450 000 at m/z 319 with <0.2 ppm absolute mass error was achieved. The mass spectra of field blank filters was analyzed to detect possible contamination following the same procedures. More data processing was presented in S1 of the Supplement.

234 **2.5 PARAFAC analysis for EEM spectra** 

PARAFAC analysis with non-negativity constraints was used to explore the 235 fluorescent components in dissolved BrC based on the method established by the 236 previous studies (Murphy et al., 2013;Andersson and Bro, 2000), which was 237 performed using drEEM toolbox version 2.0 using a MATLAB software 238 (http://models.life.ku.dk/drEEM). This method had been widely used in the analysis 239 of fluorescence spectra in aerosols (Chen et al., 2016b;Chen et al., 2016a;Matos et al., 240 2015; Wu et al., 2019). Absorbance measurements were used to correct the EEM for 241 242 inner filter effects (IFE) according to the previous studies (Luciani et al., 2009;Gu and Kenny, 2009;Fu et al., 2015). The highest light absorbance in the calibrated 243 wavelength range of WSOC and MSOC was not greater than 2 (mostly below 1 at 254 244 nm), which was appropriate for the inner filter corrections of the EEMs (Gu and 245 Kenny, 2009; Murphy et al., 2013). Each EEM was normalized to the Raman peak 246 area of purified water collected on the same day to correct fluorescence in Raman 247 Units (RU) at excitation 350 nm and corrected for the dilution factor (Murphy et al., 248 2013; Murphy et al., 2010). Additionally, the signals of the first-order and 249 250 second-order Rayleigh and Raman scattering in the EEM were removed by an interpolation method (Bahram et al., 2006). Repeated convergence of the model was 251 examined based on the iteration of the minimum square principle. The exploration 252 phases of 2- to 7-components PARAFAC models contained an evaluation of the shape 253 of spectral loading, leverage analysis, an examination of the core consistency, residual 254 analysis, and split-half analysis (Figure S2-S7). Six-component PARAFAC model 255

was identified and successfully passed the split-half validation with the split style of  $^{57}$  "S<sub>4</sub>C<sub>6</sub>T<sub>3</sub>" for the WSOC and MSOC in 60 samples, respectively.

## 258 **3 Results and discussions**

# 259 **3.1 Emission characteristics and light absorption of Extracts**

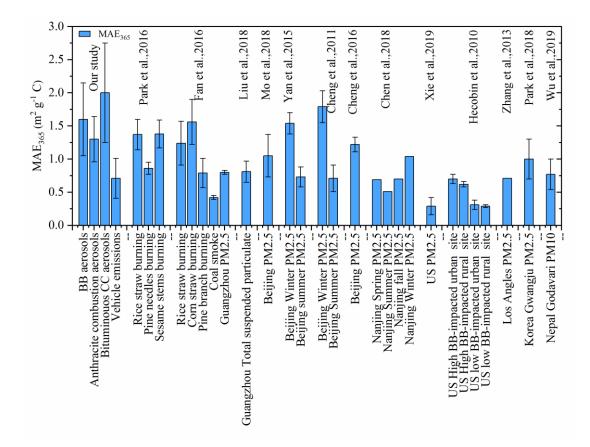
260 The PM, OC, and EC EFs of 27 biomass and 17 coal combustion experiments were summarized in Table S3. The relevant EFs of some biomass species have been 261 previously reported (Cui et al., 2018). In this experiment, the EFs of PM, OC, and EC 262 from 27 types of biomass burning were  $15 \pm 11$  g kg<sup>-1</sup> fuel,  $8.0 \pm 6.4$  g kg<sup>-1</sup> fuel, and 263  $7.7 \times 10^{-1} \pm 3.4 \times 10^{-1}$  g kg<sup>-1</sup> fuel, respectively. The EFs emitted from bituminous CC 264  $(PM = 9.1 \times 10^{-1} \pm 6.5 \times 10^{-1} \text{ g kg}^{-1} \text{ fuel}, \text{ OC} = 4.2 \times 10^{-1} \pm 3.3 \times 10^{-1} \text{ g kg}^{-1} \text{ fuel}, \text{ EC} = 10^{-1} \text{ g kg}^{-1} \text{ fuel}, \text{ CC} = 10^{-1} \text{ g kg}^{-1} \text{ fuel}, \text{ CC} = 10^{-1} \text{ g kg}^{-1} \text{ fuel}, \text{ CC} = 10^{-1} \text{ g kg}^{-1} \text{ fuel}, \text{ CC} = 10^{-1} \text{ g kg}^{-1} \text{ fuel}, \text{ CC} = 10^{-1} \text{ g kg}^{-1} \text{ fuel}, \text{ CC} = 10^{-1} \text{ g kg}^{-1} \text{ fuel}, \text{ CC} = 10^{-1} \text{ g kg}^{-1} \text{ g kg}^{-1} \text{ fuel}, \text{ CC} = 10^{-1} \text{ g kg}^{-1} \text{ g kg}^{-1} \text{ fuel}, \text{ CC} = 10^{-1} \text{ g kg}^{-1} \text{ fuel}, \text{ CC} = 10^{-1} \text{ g kg}^{-1} \text{ g kg}^{-1} \text{ fuel}, \text{ CC} = 10^{-1} \text{ fu$ 265  $9.4 \times 10^{-2} \pm 1.9 \times 10^{-1}$  g kg<sup>-1</sup> fuel) were much higher than those of anthracite 266 combustion (PM =  $1.5 \times 10^{-1} \pm 8.9 \times 10^{-2}$  g kg<sup>-1</sup> fuel, OC =  $1.2 \times 10^{-2} \pm 4.5 \times 10^{-3}$  g 267 kg<sup>-1</sup> fuel, EC =  $1.6 \times 10^{-4} \pm 1.4 \times 10^{-4}$  g kg<sup>-1</sup> fuel) in the same stove. These differences 268 could be attributed to the high volatile matter content of bituminous coal (Tian et al., 269 270 2017; Chen et al., 2005). Note that the CC smoke collection began when the flame had been ignited with one-third of the material and the rest was added. Therefore, the 271 results of our study would be lower than the real values. 272

273 MAE can be used to characterize the efficiency of solar energy absorption, which is represented by the degree of conjugation and the amount of electron delocalization 274 in molecules (Chen et al., 2016a). As shown in Figure 1 and Table S4, MAE at 365 275 nm (MAE<sub>365</sub>) was significantly higher in the case of BB and CC aerosols than in 276 vehicle emissions in this study, consistent with the previous findings (Xie et al., 277 2017; Fan et al., 2016). Bituminous CC aerosols had higher MAE<sub>365</sub> values than 278 anthracite combustion aerosols. Here, we introduced the EC/OC ratios, which could 279 be used as an indicator of fire conditions (Xie et al., 2017). Figure S8 showed the 280 MAE<sub>365</sub> of WSOC vs. EC/OC relationships for all BB and CC aerosols. The data 281 clearly showed that the WSOC light absorption of BB aerosols was dependent on 282 combustion conditions. However, weak relationship (p>0.05) in CC aerosols 283 suggested another factor might influence the light absorption, such as maturity (Li et 284

al., 2018). Compared to WSOC, higher MAE<sub>365</sub> values were observed in the MSOC collected from BB ( $2.3 \pm 1.1 \text{ m}^2 \text{ g}^{-1}\text{C}$ ) and bituminous CC ( $3.2 \pm 1.1 \text{ m}^2 \text{ g}^{-1}\text{C}$ ) aerosols. This could be due to the fact that these strongly light-absorbing fat-soluble components are likely to be large molecular weight PAHs, and quinones from BB and fossil fuel combustion (Sun et al., 2007;Chen and Bond, 2010), which were more soluble in low-polarity solution, but we obtained the opposite results in the case of anthracite combustion and vehicle emissions.

292 The MAE<sub>365</sub> of WSOC in this study was compared with the other studies (Figure 1). The BB aerosols in this study had a higher MAE<sub>365</sub> value than those in other 293 controlled BB experiments, while it was comparable to corn straw burning emissions 294 (Park and Yu, 2016; Fan et al., 2016). Further, the simulated BB aerosols exhibited a 295 higher MAE<sub>365</sub> value than those in highly BB-impacted areas (Hecobian et al., 2010), 296 indicating the aging in the transport process could reduce the light absorption (Dasari 297 et al., 2019). The CC aerosols showed a higher MAE<sub>365</sub> value than the other coal 298 experiments (Li et al., 2018;Fan et al., 2016), while comparable values to 299 300 water-soluble BrC were observed in winter of Beijing (Cheng et al., 2011;Yan et al., 2015). The result indicated the strong influence of BrC in this season in this region. In 301 addition, the simulated combustion aerosols in this study exhibited higher MAE<sub>365</sub> 302 values than the other areas (such as Guangzhou, Nanjing, Los Angeles, Korea, Nepal, 303 and so on) (see Figure 1). 304

Methanol has a lower polarity than water and can extract the water-insoluble 305 compounds that are generally stronger chromophores. Chen et al. (2017b) extracted 306 organic matters in aerosols using different polar solutions, and they found 307 308 water-insoluble organic matters (WIOM) had a higher MAE value than the water-soluble organic matters (WSOM), consistent with our result in the BB and 309 bituminous CC aerosols. Vehicle emission aerosols generally had a lower MAE value 310 such as methanol-soluble BrC (0.62  $\pm$  0.76 m<sup>2</sup> g<sup>-1</sup>C) in the controlled emission 311 experiment (Xie et al., 2017), which was comparable to WSOC ( $0.71 \pm 0.30 \text{ m}^2 \text{ g}^{-1}\text{C}$ ) 312 but higher than MSOC  $(0.26 \pm 0.09 \text{ m}^2 \text{ g}^{-1}\text{C})$  in this study. 313



314

Figure 1. Comparison of MAE<sub>365</sub> in the WSOC fraction of source emission aerosols with the other studies. The references were listed as follows:(Liu et al., 2018;Mo et al., 2018;Yan et al., 2015;Cheng et al., 2011;Cheng et al., 2016;Xie et al., 2019;Hecobian et al., 2010;Zhang et al., 2013;Park et al., 2018;Wu et al., 2019;Fan et al., 2016;Park and Yu, 2016;Chen et al., 2018).

### 319 **3.2 EEM spectra of WSOC and MSOC**

320 Fluorescence spectra was used to characterize the organic chromophores of different sources. We applied the PARAFAC model (Murphy et al., 2013) to determine the 321 underlying chromophore components of the 60 source samples. Six typically 322 323 independent components (abbreviated P1-6) in WSOC were resolved, as shown in the top of Figure 2 and Table 1. Compared to the previous studies, the fluorescence of P1 324 and P6 were similar to those of 7CM-C1 (the C1 component of a seven-component 325 model) and 7CM-C3, named humic-like substances (HULIS-1) (Chen et al., 2016b). 326 Further, there were peaks in the emission wavelengths (> 400 nm) of P1 and P6, 327 which were probably derived from conjugated systems (Chen et al., 2016b). The peak 328 of P3 component was mostly located in the region categorized as protein-like 329

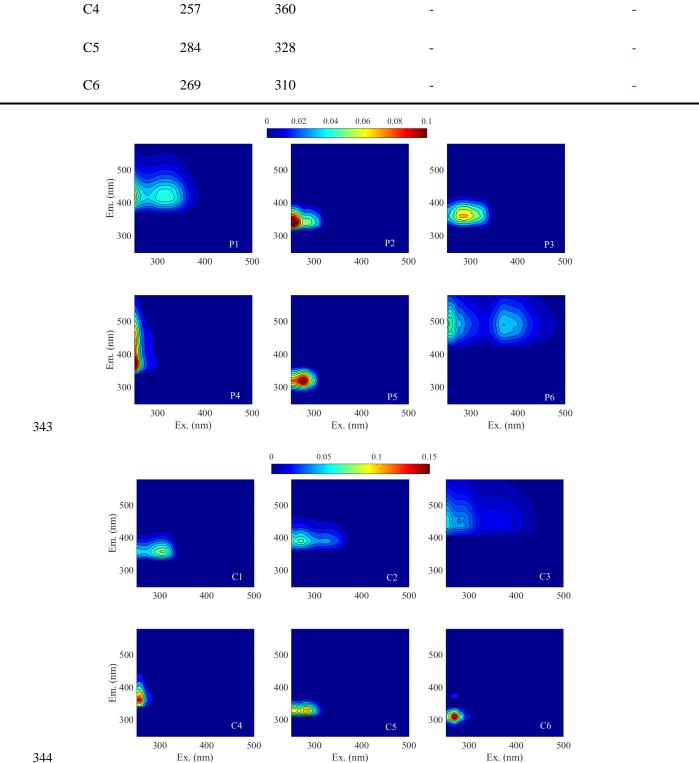
(cytidine) or tryptophan-like fluorophore (Qin et al., 2018;Fan et al., 2016). Generally, 330 peaks at shorter excitation wavelengths (< 250 nm) and shorter emission wavelengths 331 (< 350 nm) were associated with simple aromatic proteins such as tyrosine (Cory and 332 Mcknight, 2005), which was similar to the fluorescence of P2 component observed in 333 this study. P5 component was similar to tryptophan- and tyrosine-like components 334 (Chen et al., 2017a). Therefore, P2, P3, and P5 components were named protein-like 335 substances (PLOM). P4 component was reported relatively rarely but similar to 336 337 previously observed peaks that were considered to arise mainly in surface water and algal secretions (Yu et al., 2015). It is worth noting that the origins and chemical 338 structures of the chromophores studied are not necessarily like those of chromophores 339 with the same names in other types of organic matter. 340

341 342

 Table 1. The maximum excitation and emission wavelengths of the PARAFAC components

 in the WSOC and MSOC extracted from the three origins

|      | PARAFAC   | Excitation  | Emission    | Assignment according to         | References                          |  |  |
|------|-----------|-------------|-------------|---------------------------------|-------------------------------------|--|--|
|      | component | maxima (nm) | maxima (nm) | published papers                |                                     |  |  |
| WSOC | P1        | 251, 314    | 415         | HULIS-1, terrestrial humic-like | (Chen et al., 2016b;Sgroi et al.,   |  |  |
|      |           |             |             | component                       | 2017;Fu et al., 2015)               |  |  |
|      | P2        | 254         | 337         | Tyrosine-like                   | (Cory and Mcknight, 2005)           |  |  |
|      | P3        | 287         | 360         | Protein-like (cytidine) or      | (Qin et al., 2018;Fan et al., 2016) |  |  |
|      |           |             |             | tryptophan-like                 |                                     |  |  |
|      | P4        | 251         | 374         | -                               | -                                   |  |  |
|      | P5        | 278         | 319         | Protein-like fluorophores       | (Fu et al., 2015)                   |  |  |
|      |           |             |             | HULIS-1, conjugated systems, a  |                                     |  |  |
|      | P6        | 254, 371    | 485         | terrestrial humic or fulvic     | (Chen et al., 2016b)                |  |  |
|      |           |             |             | acid-like component             |                                     |  |  |
| MSOC | C1        | 308         | 356         | -                               | -                                   |  |  |
|      | C2        | <250,272    | 388         | -                               | -                                   |  |  |
|      | C3        | <250        | 434         | Component 2 for the urban       | (Matos et al., 2015)                |  |  |



ASOM samples



Figure 2. The EEM components identified by PARAFAC of WSOC (top: P1-6) and MSOC 345 (bottom: C1-6) from the three origins. 346

347

The results from the six-component model (abbreviated C1-6) of MSOC

identified by PARAFAC, as described in the bottom of Table 1 and Figure 2, were 348 different from those observed in WSOC, indicating MSOC contained different 349 350 compound types from WSOC after water extraction. The peak of C1 component was similar to that of P3 component of WSOC, but the excitation wavelength was higher 351 than that of P3 component. The higher excitation wavelength indicated the presence 352 353 of conjugated unsaturated bond systems shifting towards the high wavelengths of C1 component (Matos et al., 2015). Moreover, as reported, C3 component was similar to 354 355 component 2 of urban alkaline-soluble organic matters (ASOM) collected from the city of Aveiro, Portugal (Matos et al., 2015). 356

The maximum fluorescence intensity (F<sub>max</sub>) was calculated by multiplying the 357 maximum excitation loading and maximum emission loading for each component by 358 its score (Murphy et al., 2013). Generally, changes in the relative abundance of a 359 component  $(F_{max}/\Sigma F_{max})$  could indicate changes in its overall importance, which had 360 been successful applied to study the origins of chromophores (Yan and Kim, 361 2017; Chen et al., 2017a; Chen et al., 2016b; Wu et al., 2019). In this study, the relative 362 363 abundances of fluorescent components in different types of samples were highly variable, depending on the sources (Figure 3a). P1 component accounted for an 364 average of  $34 \pm 4.7\%$  of the total fluorescence intensities in the case of tunnel aerosols, 365 which was higher than BB aerosols (mean  $\pm$  SD: 19  $\pm$  4.8%), CC aerosols (14  $\pm$  3.8%) 366 and vehicle exhaust particles  $(17 \pm 1.0\%)$ . The difference of P1 component between 367 tunnel aerosols and vehicle exhaust particles indicated P1 component had an aged 368 369 vehicle exhaust origin. In contrast, the fluorescence of P6 component was weak in all the samples, but higher in vehicle emissions  $(9.4 \pm 2.3\%)$  than in BB and CC aerosols 370 371 (both 2.5%). P5 component was more intense in vehicle exhaust particles  $(30 \pm 1.6\%)$ than in other sources. P2 component was abundant only in BB aerosols  $(33 \pm 11\%)$ , 372 but not in vehicle emissions, which suggested that some structures responsible for this 373 chromophore could not exist in vehicle emissions. P4 component was the more 374 375 abundant chromophore in CC aerosols (34%  $\pm$  7.7%) and vehicle emissions (29  $\pm$ 5.9%), especially in vehicle exhaust particles ( $38 \pm 1.1\%$ ). In contrast, P4 component 376 in BB aerosols was weak ( $11\% \pm 7.9\%$ ), indicating a fossil origin. P3 component was 377

almost equal across all samples. The possible reason was that P3 component was
similar to the peak of tryptophan-like compounds which were common in practically
all published models and were likely to be found in almost all sources (Yu et al.,
2015).

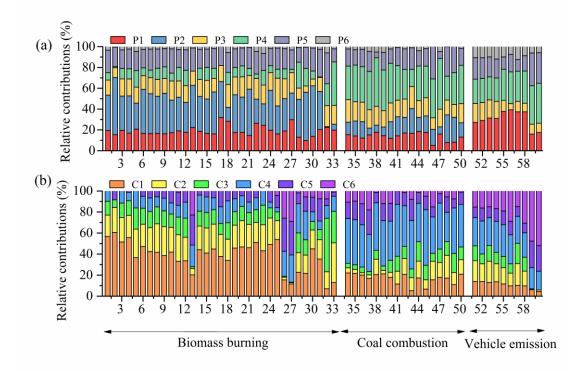


Figure 3. The relative contributions of each PARAFAC component of WSOC (a) and MSOC (b) fromthe three sources.

382

385 The relative intensities of fluorescent components in MSOC exhibited similar characteristics to WSOC (Figure 3b). C1 component was the substances with more 386 intense in the case of BB aerosols  $(38\% \pm 14\%)$  than the other sources. C2 387 component was enriched in BB aerosols (21%  $\pm$  6.9 %) and tunnel aerosols (17%  $\pm$ 388 389 6.9 %) than those in CC aerosols and vehicle exhaust particles. In addition, C2 exhibited a difference between bituminous CC and anthracite combustion aerosols, as 390 well as tunnel aerosols and vehicle exhaust particles, indicating C2 component could 391 be used to identify these sources. C4 component was intense in CC aerosols (41  $\pm$ 392 6.0%) and vehicle emissions ( $26 \pm 4.4\%$ ). C3 component was not abundant among the 393 three sources and not observed in the vehicle exhaust particles, suggesting not a fresh 394 vehicle-exhaust emission origin. C5 and C6 components were more intense in vehicle 395

exhaust particles ( $25 \pm 6.8\%$  and  $50 \pm 6.8\%$ , respectively), suggesting they were the 396 primary vehicle emission chromophores. The last study observed that the relative 397 abundances of various chromophores in aerosols with different particle sizes were 398 different (Chen et al., 2019). Therefore, the fluorescence technique is sensitive for 399 chromophores with different sources, sizes, and chemical structures and so on. In 400 401 summary, the variation of the fluorescent components from different sources obtained by EEM-PARAFAC method could be helpful to the source apportionment of BrC in 402 403 environmental applications.

## 404 3.3 Molecular composition detected by FT-ICR MS

The molecular compositions of WSOC and MSOC extracted from BB and CC 405 406 aerosols, and vehicle emissions were determined by negative ESI-FT-ICR MS. ESI is a soft ionization method, and it can only ionize polar organic compounds, hydrophilic 407 molecules (Wozniak et al., 2008), but nonpolar or less polar compounds such as 408 polycyclic aromatic hydrocarbons (PAHs) and saturated hydrocarbons are not easily 409 410 ionized by ESI (Lin et al., 2018). In addition, ESI- cannot detect the N-heterocyclic alkaloid compounds (Laskin et al., 2009). Thus, this study mainly discussed these 411 readily ionizable polar organic compounds by ESI-. 412

Figure 4 showed the reconstructed negative-ion ESI FT-ICR mass spectra of 413 414 WSOC for the six selected samples. Lots of peaks with an intensive mass ranges between m/z 150 and 600 were showed in the mass spectra, with the most massive 415 numbers of ions within the ranges of m/z 200-400. Additionally, more formulas were 416 detected in BB aerosols (total 7708) than CC aerosols (5305) and vehicle emissions 417 418 (4047) (Table 2), suggesting a higher observed chemical complexity (i.e., the observed peaks). According to the intensity of each ion, the average molecular 419 formulas of WSOC in the six aerosol samples were calculated and listed as follows: 420 421  $C_{18.7}H_{23.5}O_{6.99}N_{0.73}S_{0.09}$ ,  $C_{19.9}H_{21.5}O_{7.65}N_{0.34}S_{0.03}$  $C_{16.1}H_{13.3}O_{5.37}N_{0.68}S_{0.23}$ , 422 C15.2H13.7O4.24N0.45S0.41, C13.4H18.0O7.52N0.45S0.40, and C17.3H21.1O5.65N0.53S0.08 for Musa, Heave, anthracite, bituminous coal, tunnel, and vehicle exhaust, respectively. The BB 423 aerosols had higher contents of C and H, while the CC aerosols and tunnel aerosol had 424

425 higher contents of S.

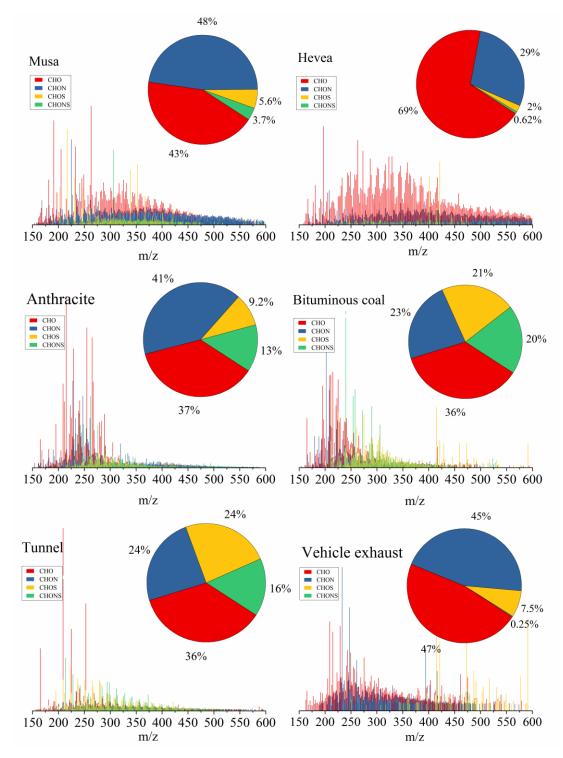
426 **Table 2.** Number of formulas in each compound category and the average values of elemental

427 ratios, molecular weight (MW), double-bond equivalents (DBE), and aromaticity index (AI<sub>mod</sub>) in

428 WSOC from the six aerosol samples.

| Commiss    | Elemental   | Number of | $MW_{w}$ | DBE <sub>w</sub> | $AI_{\text{mod},w}$ | O/C <sub>w</sub> | H/C <sub>w</sub> | DBE/Cw |
|------------|-------------|-----------|----------|------------------|---------------------|------------------|------------------|--------|
| Samples    | composition | formulas  |          |                  |                     |                  |                  |        |
|            | Total       | 4534      | 372.55   | 8.36             | 0.33                | 0.37             | 1.25             | 0.45   |
|            | СНО         | 1504      | 367.73   | 8.08             | 0.32                | 0.38             | 1.25             | 0.43   |
| Musa       | CHON        | 2375      | 384.06   | 9.31             | 0.39                | 0.34             | 1.22             | 0.48   |
|            | CHOS        | 329       | 320.06   | 4.59             | 0.15                | 0.51             | 1.46             | 0.34   |
|            | CHONS       | 323       | 358.24   | 5.04             | 0.12                | 0.51             | 1.51             | 0.35   |
|            | Total       | 3174      | 387.05   | 10.32            | 0.42                | 0.38             | 1.08             | 0.52   |
|            | СНО         | 1610      | 377.86   | 10.06            | 0.42                | 0.38             | 1.08             | 0.51   |
| Hevea      | CHON        | 1408      | 409.40   | 11.29            | 0.46                | 0.39             | 1.05             | 0.55   |
|            | CHOS        | 108       | 376.68   | 7.00             | 0.23                | 0.38             | 1.32             | 0.39   |
|            | CHONS       | 48        | 410.33   | 5.08             | 0.09                | 0.47             | 1.60             | 0.30   |
|            | Total       | 3930      | 308.65   | 10.82            | 0.65                | 0.33             | 0.83             | 0.67   |
| Anthracite | СНО         | 990       | 283.07   | 11.06            | 0.67                | 0.28             | 0.77             | 0.67   |
| Anumache   | CHON        | 1808      | 323.71   | 11.67            | 0.71                | 0.34             | 0.81             | 0.69   |
|            | CHOS        | 464       | 308.97   | 8.73             | 0.49                | 0.36             | 0.95             | 0.59   |
|            | CHONS       | 668       | 332.83   | 8.99             | 0.52                | 0.46             | 0.95             | 0.63   |
|            | Total       | 1375      | 282.91   | 9.63             | 0.61                | 0.28             | 0.90             | 0.63   |
| Bituminous | СНО         | 399       | 259.21   | 10.40            | 0.66                | 0.22             | 0.82             | 0.65   |
|            | CHON        | 411       | 267.68   | 9.92             | 0.69                | 0.27             | 0.86             | 0.67   |
| coal       | CHOS        | 302       | 324.65   | 9.51             | 0.49                | 0.28             | 0.99             | 0.57   |
|            | CHONS       | 263       | 299.28   | 7.98             | 0.56                | 0.43             | 0.98             | 0.63   |
|            | Total       | 2746      | 317.68   | 5.68             | 0.35                | 0.56             | 1.34             | 0.42   |
|            | СНО         | 803       | 298.29   | 7.69             | 0.49                | 0.50             | 1.06             | 0.54   |
| Tunnel     | CHON        | 1049      | 340.18   | 7.50             | 0.38                | 0.51             | 1.22             | 0.49   |
|            | CHOS        | 508       | 310.74   | 2.73             | 0.03                | 0.59             | 1.71             | 0.23   |
|            | CHONS       | 386       | 337.90   | 2.78             | 0.46                | 0.81             | 1.77             | 0.25   |
|            | Total       | 1301      | 327.71   | 7.96             | 0.41                | 0.33             | 1.22             | 0.46   |
| Vabiala    | СНО         | 561       | 311.62   | 8.02             | 0.43                | 0.30             | 1.19             | 0.46   |
| Vehicle    | CHON        | 673       | 320.62   | 7.28             | 0.41                | 0.40             | 1.27             | 0.47   |
| exhaust    | CHOS        | 63        | 467.88   | 11.88            | 0.36                | 0.19             | 1.19             | 0.44   |
|            | CHONS       | 4         | 438.78   | 2.21             | 0                   | 0.46             | 1.97             | 0.12   |

In this study, these identified molecular formulas were classified into four main 429 compound groups based on their compositions: CHO, CHON, CHOS, and CHONS. 430 CHO compounds refer to the compounds that contain carbon, hydrogen, oxygen, and 431 the other compound groups that are defined analogously. The relative abundances of 432 the four compound groups were calculated by the magnitude of each peak divided by 433 the sum of magnitudes of all identified peaks and showed in Figure 4. CHO was the 434 most abundant component in WSOC, accounting for 43%-69% of total intensities of 435 BB aerosols, 36%-37% of CC aerosols, and 36%-47% of vehicle emissions, 436 437 respectively. CHO in BB and CC aerosols were lower than those of mass spectra from simulated combustion experiments (BB (53%-72%) and CC (43%)) (Song et al., 438 2018). Generally, CHO formulas were consistent with species reported previously as 439 lignin-pyrolysis products (Fleming et al., 2017), and they detected this fraction with 440  $43.1\% \pm 14.6\%$  in brushwood-*chulha* cook firers. CHON was abundant in the three 441 442 sources. This result was different from the findings that CHON species had a higher percentage in BB smoke and were not abundant in CC smoke (Song et al., 2018). The 443 444 high fraction of CHON in CC aerosols could be due to that the N-containing compounds in the BB smoke PM<sub>2.5</sub> come from the nitrogen content in the fuels 445 (Coggon et al., 2016), and the contents in coal fuels were comparable to biomass fuels 446 447 (See Table S1 and S2). However, S-containing compounds were more abundant in CC aerosols (9.2%-21% for CHOS and 13%-20% for CHONS, respectively) and tunnel 448 aerosol (24% for CHOS and 16% for CHONS, respectively) than those in BB aerosols 449 (2.0%-5.6% for CHOS and 0.62%-3.7% for CHONS, respectively) and vehicle 450 exhaust particle (7.5% for CHOS and 0.25% for CHONS, respectively), consistent 451 with the previous studies (Song et al., 2018; Wang et al., 2017). ESI was more 452 efficient in ionizing S-containing compounds and most of them were selectively 453 454 ionized by ESI-, suggesting that they were polar species such as organosulfates (Lin et al., 2018). Our study reported that S-containing compounds in WSOC were 455 associated with CC emissions by combining with carbon isotope data (<sup>14</sup>C) (Mo et al., 456 2018). Furthermore, the relative abundances of group species in CC aerosols and 457 tunnel aerosol were similar to those of water extracts in the hazy day (Jiang et al., 458 2016), indicating both sources could be the important contributors of haze. However, 459 differences between tunnel aerosol and vehicle exhaust particle were observed, 460 indicating S-containing compounds in the tunnel aerosol were more secondary 461 formation. 462



463

Figure 4. Negative ESI FT-ICR mass spectra of WSOC from the six aerosol samples. Different
formula groups were color-coded. The six pie charts showed the relative intensities of different
formula groups.

Van Krevelen (VK) diagram is a useful tool that provides a visual graphic
display of compound distribution, and to some extent, use to qualitatively identify
different composition domains in organic mixtures (Song et al., 2018;Lv et al.,
2016;Smith et al., 2009). In this study, each source showed similar VK patterns. As

shown in Figure S9, Musa and Hevea burning had a VK diagram similar to that of 471 WSOC in straw burning and fog water (Schmitt-Kopplin et al., 2010;Mazzoleni et al., 472 2010). S-containing compounds in tunnel aerosol with high O/C and H/C ration were 473 similar to the aerosol-derived WSOC in New York and Virginia (Wozniak et al., 474 2008). Six dominate domains were identified in WSOC, including lignins, 475 carbohydrates, tannins, proteins, condensed aromatic, and unsaturated hydrocarbons. 476 In addition, results showed compounds observed in CC aerosols had lower H/C and 477 O/C ratios than those in BB aerosols and vehicle emissions, indicating a higher 478 479 unsaturated degree and lower oxidation level. There were compounds outside the specified regions, which had a high H/C ratio ( $\geq 2.2$ ), and DBE = 0 correspond to 480 saturated oxygenated species and could be some long-chain polyalcohols (Lin et al., 481 2012a). 482

The mass spectra of MSOC exhibited differences from WSOC (Figure S10), 483 484 especially in BB aerosols and vehicle emissions that exhibited larger m/z in the range of 350-600. The detected formulas in MSOC were much lower than those in WSOC, 485 486 with the total number of 4502, 3628, and 1069 for BB, CC, and vehicle emission aerosols, respectively (Table S5). The reason could be due to that ESI- can efficiently 487 488 ionize the polar compounds, and the methanol extracts after water-extracted may 489 contain more moderate- and low-polarity compounds that were not easily ionized. The average molecular formulas were C<sub>26.9</sub>H<sub>46.2</sub>O<sub>4.27</sub>N<sub>0.24</sub>S<sub>0.02</sub>, C<sub>23.3</sub>H<sub>34.9</sub>O<sub>5.18</sub>N<sub>0.20</sub>S<sub>0.02</sub>, 490  $C_{22.4}H_{20.7}O_{3.01}N_{0.38}S_{0.05}$ ,  $C_{22.6}H_{44.1}O_{5.70}N_{0.74}S_{0.11}$ , 491  $C_{18,2}H_{19,2}O_{4,24}N_{0,92}S_{0,03}$ , and C25.2H48.5O4.86N0.58S0.08 of MSOC in the six aerosol samples, respectively, showing 492 higher C and H contents than their corresponding formulas of WSOC but a decreasing 493 trend in O contents. 494

CHO and CHON were the main components in MSOC, accounting for about 495 90% of the total intensities (CHO plus CHON). CHO was the most abundant category 496 observed in BB aerosols (78%-80%). The elemental compositions observed in CC 497 498 aerosols were different between bituminous coal and anthracite combustion. The abundance of CHON in anthracite combustion was higher (73%), while the CHO in 499 bituminous combustion was higher (60%), which was consistent with the 500 corresponding WSOC. It might be due to higher N content and lower O content of 501 anthracite than that of bituminous coal (see Table S2). However, CHON in BB 502 aerosols (18%-20%) exhibited lower abundances than those in CC aerosols and 503 vehicle emissions. S-containing compounds were not abundant in MSOC. It may be 504

<sup>505</sup> due to that the combination of S element and O atom show higher polarity.

Figure S11 showed the VK diagram of MSOC in the six aerosol samples. More 506 formulas in BB aerosols exhibited two distinct groups with H/C of 1.4-2.2 and 507 0.6-1.4 vs. O/C of 0.1-0.5, in three domains (lignins, proteins, and lipids). 508 Compounds in CC aerosols with lower H/C and O/C ratios were dominant in the 509 510 domains of lignins and condensed aromatic, especially in bituminous CC aerosol with more unsaturated hydrocarbon. Tunnel aerosol showed a wide range of O/C in 511 S-containing compounds and a wide range of H/C in non-S-containing compounds. In 512 513 contrast, compounds in vehicle exhaust particle had a wide range of H/C but a narrow O/C ratio. The VK diagram with fewer S-containing compounds in vehicle exhaust 514 particle showed a similar characteristic to the distribution of non-S-containing 515 compounds in tunnel aerosol, indicating the difference was mainly due to the 516 S-containing compounds. 517

518 Table 2 and S5 presented the relative abundance weighted molecular weight (MW<sub>w</sub>), double bonds equivalence (DBE<sub>w</sub>), and modified aromaticity index (AI<sub>mod.w</sub>) 519 520 of WSOC and MSOC, respectively. DBE was used as a measure of unsaturated level in a molecule, and AI<sub>mod</sub> could be used to estimate the fraction of aromatic and 521 condensed aromatic structures (Song et al., 2018;Lv et al., 2016;Koch and Dittmar, 522 2006). BB aerosols had higher MW<sub>w</sub> values than CC and vehicle emissions in WSOC. 523 Further, higher DBE<sub>w</sub> and AI<sub>mod,w</sub> values were observed in CC aerosols than the 524 others. MSOC had higher MW<sub>w</sub> but lower AI<sub>mod</sub> values than the corresponding 525 WSOC. Furthermore, CHO and CHON compounds had higher DBEw and AImod,w 526 values than S-containing substances, consistent with the earlier results (Lin et al., 527 2012b;Lin et al., 2012a). 528

Figure S12 showed the fraction of AI<sub>mod</sub> values of WSOC in the six aerosol 529 samples, where the formulas were classified according to their AI<sub>mod</sub> (aliphatic (AI<sub>mod</sub> 530 = 0), olefinic ( $0 < AI_{mod} \le 0.5$ ) and aromatic ( $AI_{mod} > 0.5$ )). The results illustrated that 531 532 the fraction of aromatic structure in non-S-containing compounds was higher than those in S-containing compounds. CC aerosols had a higher aromatic fraction than BB 533 aerosols and vehicle emissions, especially in CHO and CHON (up to 89% of total ion 534 intensities). In BB aerosols, the non-S-containing compounds had a high fraction of 535 olefinic structure, following by aromatic structure, but the S-containing compounds 536 had a higher aliphatic and olefinic structure than aromatic structure. A higher fraction 537 of aliphatic in vehicle emissions was observed in the S-containing compounds 538

(especially in tunnel aerosol (exceed 81%)). These aliphatic S-containing compounds 539 might form by the precursors (long-chain alkanes) from vehicle emissions (Tao et al., 540 2014), which had higher H/C and lower DBE values (see Table 2). However, the 541 previous study showed that AI must be regarded as the most conservation approach 542 and may result in an underestimate of the aromatic structures (Koch and Dittmar, 543 2006), which was observed in Beijing aerosols (Mo et al., 2018). Although AImod 544 identified more compounds as aromatic and condensed aromatic components than AI, 545 the AI<sub>mod</sub> may introduce uncertainties for individual molecules, which was 546 547 demonstrated by Koch and co-author.

For MSOC, the aromatic structure fractions in non-S-containing compounds were higher than those in S-containing compounds, and the aromatic structure fractions in CC aerosols were higher than those in BB aerosols and vehicle emissions (Figure S13), which was consistent with WSOC. Furthermore, we found that the fraction of aliphatic in MSOC was higher than that in WSOC, indicating more fat-like compounds.

### 554 Different chemical characteristics of BB, CC, and vehicle emissions

Figure S14 plotted the Venn diagram of formulas in WSOC in the six aerosol samples 555 for determining the unique elements in the mass spectra. The previous study identified 556 the unique elements of water-soluble HULIS in simulated BB and CC smokes, which 557 presented different molecular characteristics between biomasses, as well as between 558 biomass and coal (Song et al., 2018). In this study, we combined more formulas of 559 different sources to determine the unique molecules and more limitations were set, 560 which would provide more identified characteristics for each source. 212 molecular 561 formulas were detected simultaneously in the six aerosol samples. It is noting that 562 without any further information, it is not possible to decide whether these common 563 formulas represent the same compounds. There were 112 of CHO unique molecules in 564 212 and 98 of CHON but only 2 of CHOS molecules. CHO compounds were 565 relatively small aromatic compounds with 8-20 C atoms and 3-8 O atoms and DBE 566 567 5–13 and multiple acidic polar functional groups (Figure S15). It is noting that lines in Figure S15 indicate DBE reference values of linear conjugated polyenes C<sub>x</sub>H<sub>x+2</sub> 568 with DBE= $0.5 \times C$ , and fullerene-like hydrocarbons with DBE= $0.9 \times C$ , where the data 569 points inside this region are potential BrC chromophores (Lin et al., 2018). For 570 571 example, organic acids (C<sub>8</sub>H<sub>6</sub>O<sub>5</sub> (DBE=6)) was detected in urban PM<sub>2.5</sub> (Yassine et al.,

2012), as well as C<sub>9</sub>H<sub>8</sub>O<sub>5</sub> (6), C<sub>14</sub>H<sub>14</sub>O<sub>4</sub> (8), C<sub>13</sub>H<sub>14</sub>O<sub>5</sub> (7), which allowed them to 572 ionization in the ESI- mode and were identified as potential BrC chromophores. In 573 total, all of CHON compounds had O/N>2 (5.3±1.28, 2.5-8) (Figure S15), allowing 574 for the assignment of at least one nitro (-NO<sub>2</sub>) or nitrooxy (-ONO<sub>2</sub>) group and other 575 oxygen-containing groups (i.e., -OH and -COOH). Except for C<sub>19</sub>H<sub>41</sub>O<sub>7</sub>N (DBE=0), 576 the remaining compounds with DBE >5 were suggested as nitro-aromatic and 577 nitrophenol derivatives (Mo et al., 2018;Lin et al., 2018). CHOS species only had two 578 579 formulas including C<sub>18</sub>H<sub>38</sub>O<sub>7</sub>S (0) and C<sub>20</sub>H<sub>38</sub>O<sub>7</sub>S (2). It was reported that O<sub>7</sub>S groups 580 were the most abundant species class in CHOS identified in water extracts of PM<sub>2.5</sub> 581 (Jiang et al., 2016).

582 There were more observed unique peaks of WSOC in BB aerosols (total 1947) compared to CC aerosols (1583) and vehicle emissions (813). However, only 143 and 583 584 83 molecular were identified in bituminous CC and vehicle exhaust particle, 585 respectively. Among the observed compounds, 1353 and 1440 unique molecular formulas were detected in combustion of Musa and anthracite, respectively, implying 586 a significant difference from the others. Figure 5 (a) showed the VK diagram of these 587 unique formulas of WSOC for each sample, where four regions were circled for 588 representing different sources. The results indicated that these unique compounds in 589 different sources had a distinctive chemical characteristic. That may be the reason that 590 resulted in variable fluorescent spectra in different sources (discussed above). 591 Additionally, the diagram showed that the unique molecules in CC aerosols were 592 located in the region with lower H/C and O/C, and vehicle emissions containing 593 tunnel aerosol and vehicle exhaust particle were located in two distinct regions. 594

Figure 6 showed plots of the DBE vs. the number of carbon atoms in the unique 595 molecular formulas of all aerosol samples. These compounds observed in BB aerosols 596 were largely CHO and CHON (CHO and CHON, 88%–93%) with C numbers ranging 597 from 6 to 40 and DBE ranging from 0 to 31, with no regular distribution. S-containing 598 compounds were the important components in the unique molecular formulas of CC 599 aerosols (CHOS and CHONS, 38%-75%) and vehicle emissions (CHOS and CHONS, 600 41%-66%). However, only 7%-12% of the total unique molecular formulas were 601 observed in BB aerosols. As shown in Figure 6, the region marked by blue box 602 denoted the high intensities of compounds in unique formulas of each sample. The 603 604 high-intensity compounds detected in Musa burning aerosol were mainly C number

from 14 to 24, DBE from 7 to 13, and two N atoms, such as  $C_{20}H_{26}O_7N_2$  (9), 605  $C_{18}H_{24}O_5N_2$  (8),  $C_{22}H_{28}O_6N_2$  (10),  $C_{19}H_{26}O_7N_2$  (8),  $C_{21}H_{28}O_6N_2$  (9),  $C_{14}H_{18}O_3N_2$  (7), 606  $C_{24}H_{30}O_8N_2$  (11), and  $C_{21}H_{24}O_5N_2$  (11) and so on. Instead of Musa, the abundant 607 compounds in Hevea burning were mainly C<sub>24</sub>H<sub>22</sub>O<sub>9</sub> (14), C<sub>28</sub>H<sub>28</sub>O<sub>11</sub> (15), and 608 C<sub>28</sub>H<sub>26</sub>O<sub>11</sub> (16), and so on. Although the difference between burning of Musa and 609 Hevea appeared, the VK diagram (Figure 5) did not show distinct changes. The 610 high-intensity compounds in anthracite combustion with lower C atoms than in 611 bituminous CC, were main C<sub>14</sub>H<sub>8</sub>O<sub>5</sub>N<sub>2</sub> (12), C<sub>12</sub>H<sub>11</sub>O<sub>4</sub>NS (8), C<sub>12</sub>H<sub>10</sub>O<sub>8</sub>N<sub>2</sub> (9), while 612 613 in bituminous CC were main C<sub>28</sub>H<sub>28</sub>O<sub>4</sub>S (15) and its homolog of C<sub>27</sub>H<sub>26</sub>O<sub>4</sub>S (15), and  $C_{19}H_{16}O_{3}S$  (12). The abundant compounds in tunnel aerosol had a lower unsaturation 614 degree, such as C<sub>4</sub>H<sub>9</sub>O<sub>7</sub>NS (1), C<sub>5</sub>H<sub>11</sub>O<sub>7</sub>NS (1), C<sub>7</sub>H<sub>14</sub>O<sub>5</sub>S (1). In vehicle exhaust 615 particle, the high intensity of compounds was one fraction with low C atoms and DBE 616 (C<sub>21</sub>H<sub>40</sub>O<sub>8</sub>N<sub>2</sub>S (3), C<sub>26</sub>H<sub>46</sub>O<sub>3</sub>S (4)), and the other fraction with high C atoms and DBE 617 (C<sub>32</sub>H<sub>34</sub>O<sub>8</sub>S (16), C<sub>30</sub>H<sub>34</sub>O<sub>5</sub>S (14)). These findings are essential because these unique 618 molecular formulas in different sources may have specific chemical composition, 619 620 which would help the source apportionment of aerosols.

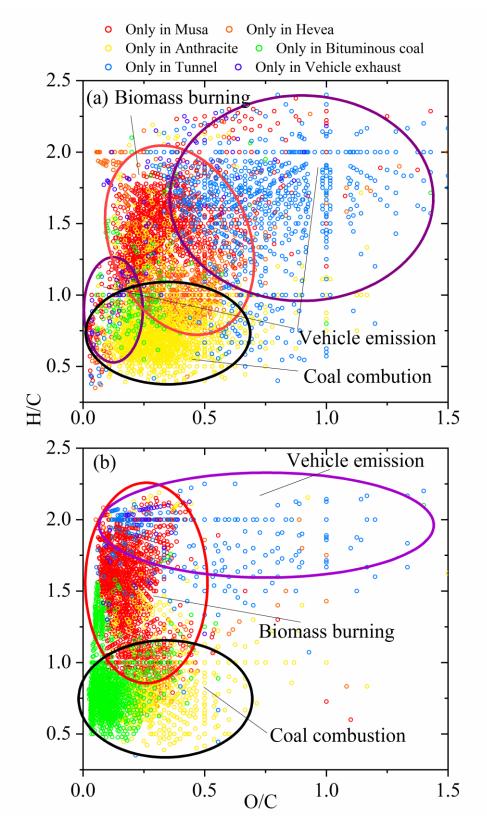


Figure 5. A Van Krevelen diagram of WSOC (a) and MSOC (b) from the six aerosol samples.Different color indicates unique formulas detected in each sample.

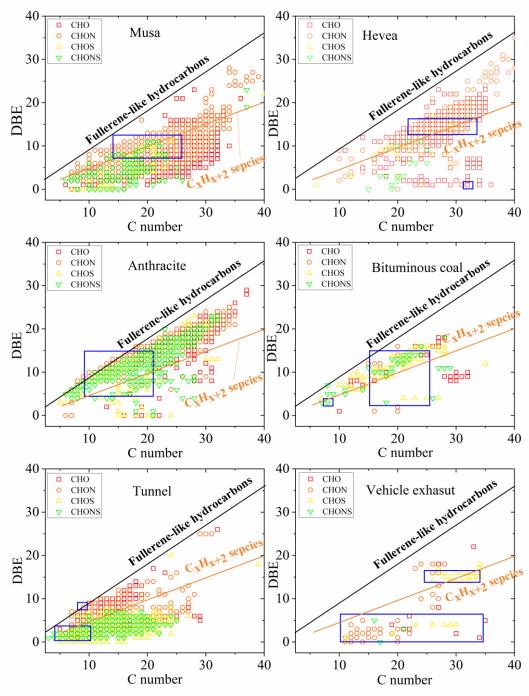


Figure 6. DBE vs. C number for unique molecular compounds of WSOC from the six aerosol samples. Lines indicate DBE reference values of linear conjugated polyenes  $C_xH_{x+2}$  with DBE=0.5×C, and fullerene-like hydrocarbons with DBE=0.9×C. The regions marked by blue box denoted the high intensities of compounds.

629 Compared to WSOC, Figure S16 showed fewer compounds in common in 630 MSOC for the six aerosol samples. There were only 44 compounds common to the six 631 aerosol samples. A total of 26 and 14 of the 44 formulas were CHO and CHON, 632 respectively, but only 4 of the 44 formulas were S-containing compounds. As shown in Figure S17, there were only three compounds ( $C_{17}H_{10}O_2$  (13),  $C_{18}H_{14}O$  (12), C<sub>18</sub>H<sub>12</sub>O<sub>2</sub> (13)) in CHO group, and one compound ( $C_{14}H_{11}O_4N$  (10)) in CHON group inside the potential BrC region. The remaining compounds had a high C number (18–35), low O atoms (1–7), and low DBE (0–2), suggesting that they mostly had fatty acid structures.

These unique molecules in VK diagram also showed similar results comparing to 638 WSOC (Figure 5 (b)), further confirming the special characters in different sources. 639 Expect for tunnel aerosol (about 50%), these unique formulas in the BB aerosols, CC 640 641 aerosols, and vehicle exhaust particle was dominant by CHO- and CHON-groups (Figure S18). The high-intensity compounds were C<sub>35</sub>H<sub>69</sub>O<sub>5</sub>N (2), C<sub>38</sub>H<sub>76</sub>O<sub>4</sub> (1) for 642 Musa burning;  $C_{26}H_{22}O_7$  (16),  $C_{28}H_{26}O_7$  (16) for Hevea burning;  $C_{14}H_{12}O_6N_2$  (10), 643 644  $C_{17}H_{14}O_5N_2$  (12) for anthracite combustion;  $C_{23}H_{16}O$  (16),  $C_{24}H_{18}O$  (16),  $C_{24}H_{14}O$  (18) for bituminous CC; C<sub>4</sub>H<sub>9</sub>O<sub>7</sub>NS(1), C<sub>24</sub>H<sub>42</sub>O<sub>3</sub>S (4), C<sub>8</sub>H<sub>16</sub>O<sub>5</sub>S (1) for tunnel aerosol, 645

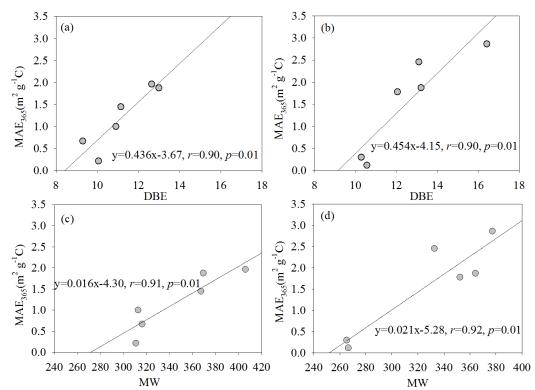
and  $C_{26}H_{37}O_5NS(7)$ ,  $C_{22}H_{46}O_7$  (0) for vehicle exhaust particle, respectively.

# 647 **3.4 Link of molecular compositions and optical properties**

In the above statements, we discussed the light absorption and fluorescence 648 properties from aerosols in the three different sources. The light absorption capacity 649 650 of WSOC and MSOC was essential to assess the evolution of BrC, and fluorescence spectra were sensitive to different sources and could help for the source 651 652 apportionment of BrC. In addition, we evaluated the molecular compositions of the three sources. Therefore, understanding the factors affecting the optical properties of 653 BrC is important. It was reported that the MAE in the BB experiments depended 654 largely on burning conditions (Chen and Bond., 2010) and in the CC experiments 655 656 depended on coal maturity (Li et al., 2018). Chen et al. (2017b) illustrated that the higher light absorption capacity was associated with the low- and medium-polarity 657 fractions that contained aromatic and polar functional groups (O or both O and N 658 atoms). Sources play an important role in light absorption capacity, consistent with 659 our current study. The MAE<sub>365</sub> values of WSOC in highly BB-impacted areas were 660 two times higher than in low BB-impacted areas in the Southeastern United States 661 (Hecobian et al., 2010). Atmospheric aging has a significant effect on the light 662 absorption capacity of BrC (Li et al., 2019), but the mechanism involved is very 663 complex. The response of the light absorption capacity of different types of BrC to 664 aging is highly variable, and enhancement or reduction in the light absorption capacity 665

of BrC is possible (Li et al., 2019). These results indicated that light absorption capacity might be affected by various factors. In this study, the higher MAE<sub>365</sub> values were observed in BB and CC aerosols than vehicle emissions, and the chemical structures and unsaturation degree of different sources were discussed. Next, we further discussed the relationship between optical properties and chemical structures below.

Before discussing their relationship, we firstly determined these compounds that 672 were potential to absorb light radiation based on the above statement to reduce the 673 influence of non-absorbing substances (Lin et al., 2018). Mo et al. (2018) reported 674 that MAE<sub>365</sub> of HULIS in aerosols was affected by oxidation level and unsaturation 675 degree. In this study, the MAE<sub>365</sub> had no significant correlation with O/C, indicating 676 that light absorption capacity does not appear to be affected by their oxidized 677 properties in the source emission aerosols. Instead of O/C, the MAE<sub>365</sub> had a 678 679 significant positive correlation with the average DBE and MW, respectively (Figure 7), suggesting the unsaturation level and MW played a vital role in the light 680 absorption capacity of source samples. Field experiments indicated that the majority 681 of absorption was the larger molecules (>500 Da) (Di Lorenzo et al., 2017). It is 682 crucial to knowledge the relationship between light absorption of source samples and 683 their molecular compositions due to the compounds in fresh emissions that may 684 undergo a secondary process and introduce more uncertainty for their optical 685 properties. 686



<sup>687</sup> MW MW
<sup>688</sup> Figure 7. Relationships between DBE and MW of the potential BrC molecules and the MAE<sub>365</sub> of
<sup>689</sup> WSOC (a, c) and MSOC (b, d) from the six aerosol samples, respectively.

Fluorescence spectra can provide more information than UV-vis spectra. A red 690 shift in the excitation/emission maximum could indicate increased aromaticity and 691 higher molecular weight (Ghidotti et al., 2017). Field observation had demonstrated 692 that chromophore components were associated with chemical structures (Chen et al., 693 2016b;Chen et al., 2016a;Stubbins et al., 2014). Chen et al. (2016b) illustrated that the 694 fluorescent components of HULIS-1 and HULIS-2 were correlated positively with 695  $CO^+$  and  $CO_2^+$  and  $C_xH_v^+$  and  $C_xH_vO_1^+$  groups ions, respectively, using the 696 correlation analysis of the relative intensities of ion groups in the high-resolution 697 aerosol mass spectrometers (HR-AMSs) and relative contents of fluorescence 698 components. In another study, Chen et al. (2016a) demonstrated that fluorescent 699 components had strong links with chemical groups in the Fourier transform infrared 700 (FT-IR) spectra, including the oxygenated functional groups (nonacidic carbonyl C=O 701 702 and carboxylic COOH groups), aliphatic C-H group, amine C-NH<sub>2</sub>, and alcohol C-OH groups. The chromophores are sensitive to sources, and it is very important to 703 understand the molecular composition of chromophores for classification and source 704 apportionment of atmospheric BrC. However, the ESI- cannot ionize the most typical 705 BrC chromophores such as O-heterocyclic PAHs (O-PAHs), N-heterocyclic PAHs 706

(N-PAHs) (Lin et al., 2018), which was not enough to discuss the relationship
between the fluorescence spectra and molecular composition. The combination of
atmospheric pressure photoionization (APPI+ and APPI-) and ESI (+ and -) may
provide more ionizable compounds, but these techniques were not with the scope of
our study.

# 712 4 Conclusions

We conducted comprehensive measurements on light absorption, fluorescence, and 713 molecular compositions of dissolved BrC derived from smoke particles during the 714 simulated combustion of biomass and coal, as well as vehicle emission aerosols. We 715 observed BB and CC aerosols had high MAE<sub>365</sub> values than vehicle emissions, on 716 average,  $1.6 \pm 0.55$ ,  $1.3 \pm 0.34$ ,  $2.0 \pm 0.75$ , and  $0.71 \pm 0.30$  m<sup>2</sup> g<sup>-1</sup> C for BB, anthracite 717 combustion, bituminous CC and vehicle emission aerosols, respectively. In addition, 718 BrC emitted from BB (2.3  $\pm$  1.1 m<sup>2</sup> g<sup>-1</sup> C) and bituminous CC (3.2  $\pm$  1.1 m<sup>2</sup> g<sup>-1</sup> C) in 719 MSOC exhibited stronger light absorption capacity than those in WSOC, but opposite 720 results were found in anthracite combustion aerosols (0.88  $\pm$  0.74 m<sup>2</sup> g<sup>-1</sup> C) and 721 vehicle emissions (0.26  $\pm$  0.09 m² g<sup>-1</sup> C). EEM combining with PARAFAC analysis 722 determined six types of fluorescent components that were assigned as two HULIS-1 723 (P1, and P6), three PLOM (P2, P3, and P5), and one undefined (P4) in WSOC from 724 the three sources. The relative intensities of the fluorescent components mainly 725 depended on the different types of sources. For example, HULIS-1 was abundant in 726 tunnel aerosols, P2 was more intense in BB aerosols but not observed in vehicle 727 emissions, P4 was intense in CC aerosols and vehicle emissions, P5 was more 728 abundant in fresh vehicle exhaust particles; although P3 was not abundant it was 729 730 ubiquitous in all tested aerosols. Similar to WSOC, six fluorescent components were identified in MSOC. Although the methanol-soluble chromophores were poorly 731 732 understood, different characteristics were observed in different sources.

FT-ICR mass spectra showed that the m/z of the mainly compounds with m/z 200–400 in WSOC and MSOC was m/z 350–600 (except for CC aerosols), respectively. CHO and CHON were the main components in the six aerosol samples, but S-containing compounds were more abundant in CC and tunnel aerosols than BB aerosols and vehicle exhaust particles in WSOC. Similarily, MSOC mainly contained CHO and CHON species but fewer S-containing compounds. BB aerosols had higher CHO species in MSOC but showed lower CHON than CC aerosols and vehicle

emissions. Ven diagram showed that CC aerosols had more unsaturation degree and 740 low oxidation level than the other two sources. This finding was further confirmed by 741 a higher fraction of aromatic in CC aerosols. Unique formulas determined by Venn 742 diagram showed certain specific chemical characteristics in VK diagram. BB aerosols 743 emitted unique formulas with more CHO and CHON (88%-93%), while CC aerosols 744 and vehicle emissions contained more S-containing compounds (38%-75% and 745 41%–46%, respectively). The relationship between optical properties and chemical 746 structures showed the light absorption capacity was positively associated with an 747 748 unsaturation degree and MW in the source emission samples. Our study illustrated the important roles of sources in light-absorbing BrC and molecular compositions, and 749 the EEMs-based and molecular-characteristic-based method for classification and 750 source apportionment of chromophores in atmospheric aerosols. 751

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*Data availability.* The data used in this study are available upon request; please
contact Gan Zhang (Zhanggan@gig.ac.cn) and Jun Li (junli@gig.ac.cn)

755 *Supplement*. The supplement related to this article is available.

Author contributions. JT, GZ, JL, and YC designed the experiment. JT and MC carried out the measurements and analyzed the data. JT, TS, YH, and HJ organized and performed the samplings. JT (Jianhui Tang) and BJ supported the fluorescence and FT-ICR MS instrument. JT wrote the paper. JL, YM, JS, PP, and GZ reviewed and commented on the paper.

761 *Competing interests.* The authors declare that they have no conflict of interest.

Acknowledgements. This study was supported by the Natural Science Foundation of
China (NSFC; Nos. 41430645 and 41773120), the National Key R&D Program of
China (2017YFC0212000), the International Partnership Program of Chinese
Academy of Sciences (Grant No.132744KYSB20170002), and Guangdong
Foundation for Program of Science and Technology Research (Grant No.
2017B030314057).

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