RESPONSES TO REVIEWERS' COMMENTS

Dear ACP Editorial Board,

We are submitting our revised paper entitled "Contrasting ambient fine particles hygroscopicity derived by HTDMA and HR-AMS measurements between summer and winter in urban Beijing." We are grateful to the two reviewers for their insightful and constructive comments and have revised our paper accordingly to account for the reviewers' recommendations. Below please find our detailed point-by-point responses (in blue) to the reviewers' comments (in black) to the manuscript. We believe that we have satisfactorily addressed all criticisms from the two reviewers.

Thank you for your attention to this matter.

Sincerely, Fang Zhang on behalf of all authors

Anonymous Referee #1

Zhang et al. present a study comparing water uptake and predicted water uptake of aerosols in Beijing and North China. The authors have addressed reviewer comments and present a revised manuscript that is considerably clearer to read. I recommend publication once the following comments are addressed:

(1) Novelty

The novelty of the study could be improved. Mei et al. (2013), Zhang et al. (2016) and Zhang et al. (2017) have compared kappa derived from composition measurements to kappa measured by co-located instruments. Mei et al. (2013) derive an empirical relationship between f44 and kappa_org based on their observations. This relationship may not apply to dissimilar field sites. The correlation between f44 or O:C ratio and kappa is not always linear. The correlation between oxidation and kappa is strong but nevertheless an empirical relationship resulting from underlying molecular composition. Zhang et al. (2016), Zhang et al. (2017), and the current study discuss over- and under-prediction of kappa for their measurements. I think it is generally acknowledged that different emission profiles result in different chemistry – I recommend discussing these differences, and perhaps

de-emphasizing the poor representation of the measurement by published empirical models. This model/measurement disagreement has already been discussed (Zhang et al., 2016, Zhang et al., 2017).

Re: we appreciate the comments. We have revised the corresponding discussions in the paper as follows (or lines 377-392),

"...The uncertainty in calculation of κ_{chem} may be also related to the uncertainty caused by composition of organics that vary widely over a range of diverse constitutes of SOA (Suda et al., 2012). The lower κ_{chem} indicates that the κ of secondary organic aerosols formed through the strong photochemical oxidation processes in summer of urban Beijing are likely underestimated. In this study, the mean κ value of organics derived from the f_{44} parametrized equation is 0.20 \pm 0.02, ranging from 0.17 to 0.23 during 09:00-17:00. While the organic aerosols, especially for particles in accumulated mode, may be more hygrophilic with much larger κ , i.e. >0.2 due to large formation of highly-oxidized OA. One can easily get that increasing the κ of organic aerosols from 0.2 to 0.3 can explain about 11-13% underestimation of κ_{chem} , but representing an upper limit of the impact of hygroscopisty of organic aerosols on the calculation. This is because that the κ value of 0.3 corresponds to the maximum possible for ambient organic aerosols. Additionally, the f_{44} parametrized equation tends to overestimate the κ according to Fröhlich et al. (2015), which should yield a larger κ_{chem} . Finally, the coexisting hygroscopic and hydrophobic species may have a strong influence on the phase state of particles, also likely affecting chemical interactions between inorganic and organic compounds as well as the overall hygroscopicity of mixed particles (Peng et al., 2016). Overall, The lower κ_{chem} caused by the photochemical aging effect is likely resulted from multiple impacts of inappropriate application of density and hygroscopic parameter of organic aerosols in the calculation, as well as the influences from chemical interaction between organic and inorganic compounds on the overall hygroscopicity of mixed particles. This topic warrants further investigations."

(2) Coating effect

I think that the coating effect on hygroscopicity should be discussed with appropriate caveats. I find it unlikely that a coating of organic material on an inorganic core limits uptake of water as implied. Studies have shown that water diffusion into viscous organics is fast. These rates are published and will show that for nanoscale aerosol, it is unlikely that condensing SOA prevents water uptake by an inorganic core. Various other quantities go into kappa_chem, including e.g. density as the other reviewer has mentioned and also composition (and hygroscopicity) of the organic. These are good candidates for the underlying mechanisms causing poor agreement between model and measurement.

Re: Thanks a lot for the comments. We agree with that to address the coating effect with appropriate caveats. We have revised the corresponding discussions and statements in the paper (see revised Abstract, Section 3.4 and Conclusions). Specifically, previous statements about coating effect have been presented as "aging effect". We have provided revised statements of following to explain the lower κ_{chem} around noontime in summer,

"The lower κ_{chem} caused by the photochemical aging effect is likely resulted from multiple impacts of inappropriate application of density and hygroscopic parameter of organic aerosols in the calculation, as well as the unknown influences from chemical interaction between organic and inorganic compounds on the overall hygroscopicity of mixed particles."

(3) Technical corrections

The manuscript would benefit from a careful reading to correct technical errors. Two examples are included here, but there are many more.

Re: Careful corrections of technical errors throughout the manuscript have been done.

Lines 27-28: This sentence needs reworking. "the hypothesis" is mentioned before it is defined.

Re: Revised.

Line 29: on average

Re: Revised.

(4) References:

Mei, F., Setyan, A., Zhang, Q., and Wang, J.: CCN activity of organic aerosols observed downwind of urban emissions during CARES, Atmos. Chem. Phys., 13, 12155–12169, https://doi.org/10.5194/acp-13-12155-2013, 2013.

Zhang, F., Wang, Y., Peng, J., Ren, J., Collins, D., Zhang, R.,...Li, Z. (2017). Uncertainty in predicting CCN activity of aged and primary aerosols. Journal of Geophysical Research: Atmospheres, 122. https://doi.org/10.1002/2017JD027058

Zhang, F., Li, Z., Li, Y., Sun, Y., Wang, Z., Li, P., Sun, L., Wang, P., Cribb, M., Zhao, C., Fan, T., Yang, X., and Wang, Q.: Impacts of organic aerosols and its oxidation level on CCN activity from measurement at a suburban site in China, Atmos. Chem. Phys., 16, 5413–5425, https://doi.org/10.5194/acp-16-5413-2016, 2016.

Anonymous Referee #2

This revised manuscript has addressed all my previous concerns. Meanwhile, the further discovery that an inappropriate use of BC density may cause significant overestimation of the hygroscopic parameter, is really intriguing, making the current version much better in terms of scientific novelty. I suggest the publication of this manuscript in ACP after a minor revision. The disparity between kchem and kgf during rush hour could also be attributed to the bias from the HTDMA measurements. The HTDMA may overestimate the Ddry for the external mixed fractal BC particles, as BC-containing particles may shrink when humidified, leading to underestimate the hygroscopic growth factor.

Re: We have included a statement to address the uncertainty caused by the HTDMA techniques in the revised version (see lines 273-275).

The language and expression need to be improved. Some examples are listed as follows. Re: Careful corrections of technical errors throughout the manuscript have been done. Line 57, the sentence could be revised as "In a heavily polluted atmosphere with varied aerosol sources and sinks as well as complex physical and chemical processes, the mixing state and its impact on aerosols hygroscopicity is more complicated."

Re: Revised.

Line 61, this is not a complete sentence.

Re: Corrected and Revised.

Line 69, "during the periods dominated by primary emissions"

Re: Revised.

Line 76, "has been lacking" is really a weird expression.

Re: Revised as "A comprehensive investigation on the causes and magnitude of the effect is with great significance to parameterize the effect of atmospheric processes/emissions of aerosols on particles hygroscopicity in models."

Line 83, this sentence is weird, try revising it.

Re: Revised.

Line 289, change "which" to "it".

Re: Corrected.

Line 302, delete "and"

Re: Deleted.

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Contrasting ambientsize-resolved hygroscopicity of fine particles hygroscopicity derived by HTDMA

and HR-AMS measurements between summer and winter in urban Beijing: the impacts of aerosol

aging and local emissions on its hygroscopicity

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Abstract

The effects of aerosols on visibility through scattering and absorption of light and on climate through altering cloud droplet concentration are closely associated with their hygroscopic properties. Here, based on field campaigns in winter and summer in Beijing, we compare the size-resolved hygroscopic parameter (κ_{gf}) of ambient fine particles derived by an HTDMA (Hygroscopic Tandem Differential Mobility Analyzer) to that (denoted as κ_{chem}) of calculated by an HR-ToF-AMS (High-resolution Time-of-Flight Aerosol Mass Spectrometer) measurements using a simple rule with athe hypothesis of uniform internal mixing hypothesis of aerosol particles. We mainly focus on contrasting the disparity of κ_{gf} and κ_{chem} between summer and winter to reveal the impact of atmospheric processes/emission sources on aerosols hygroscopicity and to evaluate the uncertainty in estimating particles hygroscopicity with the hypothesis. We show that, in summer, the κ_{chem} for 110, 150 and 200 nm particles was averagelyon average ~10% - 12% lower than κ_{gf} , with the

greatest difference between the values observed around noontime when aerosols experience rapid photochemical aging. In winter, no apparent disparity between κ_{chem} and κ_{gf} is observed for those >100 nm particles around noontime, but the κ_{chem} is much higher than κ_{gf} in the late afternoon when ambient aerosols are greatly influenced by local traffic and cooking sources. By comparing with the observation from other two sites (Xingtai, Hebei and Xinzhou, Shanxi) of north China, we verify that atmospheric photochemical aging of aerosols enhances their hygroscopicity and may induce a coating effect which thereby leads to 10%-20% underestimation of the hygroscopic parameter in κ_{chem} if using the uniform internal mixing assumption. The coating effect is found more significant for these >100 nm particles observed in remote or clean regions. HoweverThe lower κ_{chem} is likely resulted from multiple impacts of inappropriate application of density and hygroscopic parameter of organic aerosols in the calculation, as well as influences from chemical interaction between organic and inorganic compounds on the overall hygroscopicity of mixed particles. We also find that, local/regional primary sourcesemissions, which result in a large number of externally-mixed BC and POA (Primary Organic Aerosol) in urban Beijing during traffic rush hour time, cause 20-40% overestimation of the hygroscopic parameter. This is largely due to an inappropriate use of density of the BC particles that is closely associated with its morphology, and the or degree of its aging. The results show that the calculation can be improved by applying an effective density of freshly BC within the range of (0.25-0.45 g cm⁻³) in the mixing rule assumption. Our study suggest that it is critical to measure the effective density and morphology of ambient BC in particularity particularly in those regions with complexinfluences of rapid secondary conversion/aging processes and local sources, so as to accurately parameterize the effect of BC aging on particles hygroscopicity.

1. Introduction

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The effects of aerosols on visibility through scattering and absorption of light and on climate through altering cloud droplet concentration are influenced by their hygroscopic growth. Understanding and reducing the uncertainty in prediction of the aerosol hygroscopic parameter (κ) using chemical composition would improve model predictions of aerosol effects on clouds and climate.

The hygroscopic properties of both the natural and anthropogenic aerosols, in addition to being affected by its chemical composition (Gunthe et al., 2009), are also affected by the particle mixing state and aging (Schill et al., 2015; Peng et al., 20172017a). For example, a recent laboratory study shownshowed that the coexisting hygroscopic species have a strong influence on the phase state of particles, thus affecting chemical interactions between inorganic and organic compounds as well as the overall hygroscopicity of mixed particles (Peng et al., 20162016a). The field measurements also demonstrated that the hydrophobic black carbon particles became hygroscopic with atmospheric mixing and aging by organics (i.e. Peng et al., 20172017a). In a heavily polluted atmosphere, the with varied aerosol sources and sinks are varied, theas well as complex physical and chemical processes experienced by the aerosols are complex, and, the mixing state and its impact on aerosols hygroscopicity is more complicated. The hygroscopicity of mixed particles and mutual impacts between the components are still poorly understood.

Previous studies have shown that the difference between the κ obtained from H-TDMA or CCNc measurements and that calculated based on the volume mixing ratio of chemical components, κ_{chem} . Laboratory results from Cruz and Pandis (2000) indicate that κ_{gf} of internally mixed ammonium sulfate and organic matter is higher than κ_{chem} calculated for assumed uniform internal mixing. But Peng et al (20162016a) found that, for sodium chloride and organic aerosols mixed particles, the measured growth factors by H-TDMA were significantly lower than calculations from the mixing rule methods. In some field studies on aged aerosols, the κ was underestimated by the calculation based on uniform internal mixing assumption and thus lead to an underestimation of CCN concentration(Bougiatioti, et al., 2009; Chang, et al., 2007; Kuwata, et al., 2008; Wang, et al., 2010; Ren et al., 2018). However, forduring primary emissionsemission dominated periods, the κ value from calculations based on bulk chemical composition was much higher than that measured by H-TDMA measurements (Zhang et al., 2017). The various results from previous studies suggest distinct effects of aerosols mixing state on their hygroscopicity. Overall, to what extent do the differences depend on the mixing state and the extent of aging of the particles, and how the different atmospheric processes and what kinds of mixing structure of the particles may result in those

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previous studies. –A comprehensive and systematic-investigation on the eausecauses and magnitude of the effect has been lacking with great significance to parameterize the effect of atmospheric processes/emissions of aerosols on particles hygroscopicity in models.

In the atmosphere, the **, which is related to the particle mixing state diversity, varies largely across the size range of ambient fine particles (Rose et al., 2010). PreviousHowever, previous study onlyjust compared the measured ** to that calculated based on from bulk chemical composition to that measured by H-TDMA (Zhang et al., 2017). Using size-resolved, not bulk; chemical composition measurements in different seasons, is expected to provide more comprehensive understanding and insights of how the aerosols mixing state influence on their hygroscopicity, motivating our analysis that employs size-resolved chemical composition measured by an HR-ToF-AMS in this study. The aim of this paper is to study the hygroscopicity and mixing state characteristics of fine particles in the Beijing urban area, and to reveal the impact of atmospheric processes/sources and mixing/aging on aerosols hygroscopicity and elucidate the uncertainty in calculating the hygroscopic parameter using simple mixing rule estimates based on size-resolved chemical composition. The experiment and theory in the study are introduced in Sect. 2. The comparison between the hygroscopic parameter obtained from the HTDMA and and that calculated using size-resolved chemical composition is discussed in Sect. 3. Conclusions from the study are given in Sect. 4.

2. Experiment and Theory

2.1. Site and instruments

Two field campaigns are conducted during winter 2016 and summer 2017 of urban Beijing (Fig. 1, BJ: 39.97 °N, 116.37 °E) for measurements of aerosols physical and chemical properties. The BJ site is located at the Institute of Atmospheric Physics (IAP), Chinese Academy of Sciences, which is between the north third and fourth ring roads in northern Beijing. Local traffic and cooking emissions can be important at the site (Sun et al., 2015). The sampling period in cold season was from 16 November to 10 December 2016,

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during the domestic heating period in Beijing. The sampling period in warm season was from 25 May to 18 June 2017.

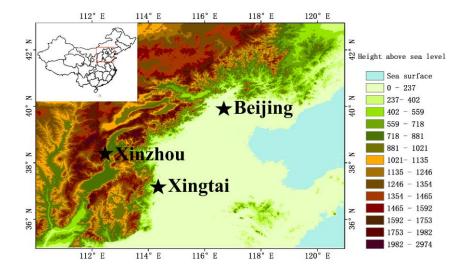


Figure 1. The map location of the sites

Particle number size distribution (PNSD) in the size range from 10 nm to 550 nm was measured with a Scanning Mobility Particle Sizer (SMPS; Wang & Flagan, 1990; Collins et al., 2002), which consists of a long differential mobility analyzer (DMA, model 3081L, TSI Inc) to classify the particle and a condensation particle counter (CPC, model 3772, TSI Inc.) to detect the size classified particles. The sampled particles were dried to relative humidity < 30% before entering the DMA. The measurement time for each size distribution was five minutes.

The HTDMA system used in this study has been described in detail in previous publications (Tan et al., 2013; Wang et al., 2017; Zhang et al., 2017). Here, only a brief description is given. A Nafion dryer dried the sampled particles to relative humidity < 20%, after which the steady state charge distribution was reached in a bipolar neutralizer. The first differential mobility analyzer (DMA₁, model 3081L, TSI Inc.) selected the quasi-monodisperse particles through applying a fixed voltage. The dry diameters selected in this study were 40, 80, 110, 150, and 200 nm. The quasi-monodisperse particles were humidified to a

controlled RH (90% in this study) using a Nafion humidifier. A second DMA (DMA₂, same model as the DMA₁) coupled with a water-based condensation particle counter (WCPC, model 3787, TSI Inc.) measured the particle number size distributions of the humidified aerosol. RH calibration with ammonium sulfate was carried out regularly during the study.

The hygroscopic growth factor (Gf) is defined as the ratio of the mobility diameter at a given RH to the dry diameter:

$$Gf = \frac{D(RH)}{D(dry)}$$

The Gf probability density function (PDF) is retrieved based on the TDMA_{inv} algorithm developed by Gysel et al. (2009). Dry scans in which the RH between the two DMAs was not increased were used to define the width of the transfer function.

Size-resolved non-refractory submicron aerosol composition was measured with an Aerodyne high-resolution time-of-flight aerosol mass spectrometer (HR-ToF-AMS; Xu et al., 2015). The particle mobility diameter was estimated by dividing the vacuum aerodynamic diameter from the AMS measurements by particle density. Because the uncertainty caused by the fixed density across the size range is negligible (Wang et al. 2016), here, the particle density is assumed to be 1600 kg m⁻³ (Hu et al., 2012). AMS positive matrix factorization (PMF) with the PMF2.exe (v4.2) method was performed to identify various factors of organic aerosols. Xu et al. (2015) have described the operation and calibration of the HR-ToF-AMS in detail. Black carbon (BC) mass concentration was derived from measurements of light absorption with a 7-wavelength aethalometer (AE33, Magee Scientific Corp.; Zhao et al., 2017).

2.2. Data

The time series of the submicron particle mass concentration PM₁, bulk mass concentrations of the main species in PM₁, mass fraction of the chemical composition of PM₁, and probability density function of growth factor (Gf-PDFs) for 40 and 150 nm particles during the campaign are presented in Fig. 2. Quite distinct temporal variability of aerosol chemical and physical properties was observed between winter and

summer. The average mass concentration of PM₁ was 55.2 μg/m³ in the winter and 16.5 μg/m³ in the summer during our study periods. In this study, we define the conditions when the mass concentration in winter period was < 20 μg m⁻³ and >80 μg m⁻³ as clean and polluted conditions, respectively. Organic aerosol (OA), consisting of secondary organic aerosol (SOA) and primary organic aerosol (POA), was the major fraction during both the winter and summer sampling periods. POA concentration was higher than that of SOA in the winter, which reflects the influence of primary emissions such as coal combustion OA (COOA) in Beijing (Hu et al., 2016; Sun et al., 2016). In contrast, SOA usually dominated in the summer, which is evident that secondary aerosol formation played a key role in the source of PM₁. Distinct hydrophobic (with Gf of ~1.0) and more hygroscopic (with Gf of ~1.5) modes were observed from Gf-PDFs of both small and large particles. Sometimes the more hygroscopic mode particles were more concentrated and at others the hydrophobic particles were. In general though, the more hygroscopic mode dominated for larger particles (i.e. 150 nm), and the less hygroscopic mode did for the smallest particles (e.g. 40 nm). Occasionally, only the hydrophobic mode was evident for 150 nm particles, which occurred when POA dominated the PM₁. Only the hygroscopic mode was discernable for 40 nm particles during new particle formation (NPF) events that occurred more frequently in summer than winter (Fig. 3).

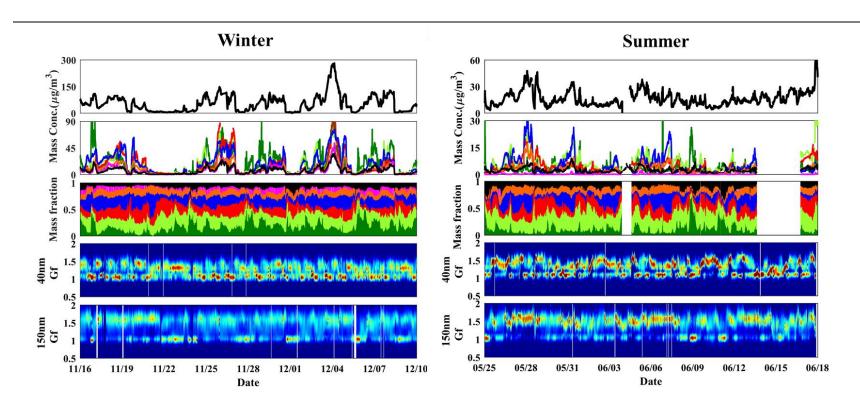


Figure 2. Winter (left) and summer (right) time series of mass concentration of PM_1 , bulk mass concentration of the main species in PM_1 , mass fraction of the chemical composition of PM_1 and PM_2 and PM_3 and PM_4 an

2.3. Theory and method

2.3.1 Derivation of the hygroscopic parameter, κ , from the growth factor (Gf)

According to κ -Köhler Theory (Petters and Kreidenweis, 2007), the hygroscopicity parameter κ can be derived using the growth factor measured by an HTDMA.

$$\kappa = (Gf^3 - 1)(\frac{\exp\left(\frac{A}{D_dGf}\right)}{RH} - 1) , \qquad (1)$$

$$A = \frac{4\sigma_{S_{/a}} M_w}{RT \rho_w} , \qquad (2)$$

where Gf is hygroscopic growth factor measured by HTDMA, D_d is the dry diameter of the particles, RH is the relative humidity in the HTDMA (90%, in our study), $\sigma_{S/a}$ is the surface tension of the solution/air (assumed here to be the surface tension of pure water, $\sigma_{S/a} = 0.0728 \text{ N m}^{-2}$), M_w is the molecular weight of water, R is the universal gas constant, T is the absolute temperature, and ρ_w is the density of water.

2.3.2 Derivation of the hygroscopic parameter, κ , from chemical composition data

For an assumed internal mixture, κ can also be calculated by a simple mixing rule on the basis of chemical volume fractions (Petters and Kreidenweis, 2007; Gunthe et al., 2009):

$$\kappa_{chem} = \sum_{i} \varepsilon_{i} \kappa_{i} , \qquad (3)$$

where κ_i and ϵ_i are the hygroscopicity parameter and volume fraction for the individual (dry) component in the mixture, respectively. The AMS provides mass concentrations of organics and of many inorganic ions. The inorganic components mainly consisted of $(NH_4)_2SO_4$ and NH_4NO_3 (Zhang et al., 2014). And the values of κ are 0.48 for $(NH_4)_2SO_4$ and 0.58 for NH_4NO_3 (Petters and Kreidenweis, 2007). To estimate κ_{Org} , we used the following linear function derived by Mei et al. (2013): $\kappa_{Org} = 2.10 \times f_{44} - 0.11$. We derived the

volume fraction of each species by dividing mass concentration by its density. The density are 1.77 g cm⁻³ for $(NH_4)_2SO_4$ and 1.72 g cm⁻³ for NH_4NO_3 . The densities of organics are assumed to be 1.2 g cm⁻³ (Turpin et al., 2001). The κ and density of BC are assumed to be 0 and 1.7 g cm⁻³. In the following discussions, κ_{gf} and κ_{chem} denote the values derived from HTDMA measurements and calculated using the ZSR mixing rule, respectively.

In addition, we also compare the results from the field campaigns with those from other two sites, Xingtai (XT: 37.18 °N, 114.37 °E), and Xinzhou (XZ: 38.24 °N, 112.43 °E), in North China Plain (Fig. 1). At XZ site, we use the hygroscopic parameter (defined as κ_{CCNc}) from size-resolved CCN measurements (Zhang et al., 2014, 2016) for comparison. More detailed descriptions of the method to retrieve κ_{CCNc} can be found in (Petters and Kreidenweis (2007). Both of the κ_{gf} and κ_{CCNc} are derived based on κ -Köhler Theory (Petters and Kreidenweis, 2007). But, different from the κ_{gf} measured by the HTDMA system which is operated at RH of 90%, the κ_{CCNc} is derived by measuring aerosols CCN activity under the condition of supersaturations with relative humidity of >100%. Previous studies from filed measurements and laboratory experiments showed that the κ_{CCNc} is generally slight larger or smaller than κ_{gf} , but they are basically comparable and can well represent an overall aerosols hygroscopisity (e.g. Carrico et al., 2008; Wex et al., 2009; Good et al., 2010; Irwin et al., 2010; Cerully et al., 2011; Wu et al., 2013; Zhang et al., 2017).

3. Results and discussion

3.1. Diurnal variations of ambient fine particles physiochemical properties and hygroscopic growth factor

The diurnal variations of the PNSD, mass concentration of PM₁, mass concentration and fraction of chemical components in PM₁, and Gf-PDFs for 40 and 150 nm particles during the campaign are shown in Fig. 3. During the summer an obvious peak value in the PNSD is observed around noontime due to NPF events that typically started around 10:00 LT (Local Time). The resulting sharp increase in number

concentration of nucleation mode particles was followed by decreased concentration and a rapid growth in diameter of the particles along with increased mass concentration of SOA and sulfate in PM₁, indicating strong photochemical and secondary formation processes during daytime in the summer (Peng et al., 2017b, Marked in red box in Fig. 3). In contrast, NPF was not evident during the winter period, which may in part be due to the much higher (~3x) PM₁ mass concentrations in the winter than in the summer. Note that peak values in number concentration and in mass concentrations of PM₁ and POA occurred during the early evening (17:00-21:00, LT) indicating the strong impact of local sources from traffic emissions and cooking (Marked in black box in Fig. 3, Peng et al., 2014). In addition, the diurnal cycles of aerosol physical and chemical properties are also influenced by the diurnal changes in the planetary boundary layer (PBL) that leads to accumulation of particles during nighttime when higher values of both number and mass concentration were observed.

Owing to the continued local and primary emissions near the study site, the Gf-PDFs for 40 nm particles generally display a bimodal shape with more and less hygroscopic modes (with Gf of ~ 1.5 and ~ 1.1 respectively) throughout the day both in winter and summer periods, indicating an external mixing state for the 40 nm particles. Note that, during nighttime and early morning in the winter, the more hygroscopic mode dominated and was shifted to higher Gf than during the daytime. This is thought to be due to heterogeneous/aqueous reactions on pre-existing primary small particles, and/or coagulation/condensation processes that are enhanced at night under lower ambient temperature and higher relative humidity, all of which result in a more hygroscopic and more internally-mixed aerosol (Liu et al., 2011; Massling et al., 2005; Ye et al., 2013; Wu et al., 2016; Wang et al., 2018a).). Interestingly, in the summer period, the concentration of the hydrophilic mode increased quickly around noontime and in the early afternoon (12:00-16:00), with a corresponding decrease in the relative concentration of the hydrophobic mode, which likely indicates a transformation of the particles from externally to internally mixing state as a result of the species condensation from the photochemical reaction (Wu et al., 2016; Wang et al., 2017), resulting in an increase in particle hygroscopicity. In addition, it is evident that 40 nm particles after 12:00 were dominated by NPF (Fig. 3). Therefore, the increase of hydrophobic mode particles suggests that a large amount of

hydrophilic particles are generated from NPF. For 150 nm particles, the hygroscopic mode in the Gf-PDF is more dominant during daytime in particular during the summer period when the strong solar radiation promotes photochemical aging and growth, thus producing a more internally-mixed aerosol. The dominant hydrophobic mode at around 18:00 was observed both in winter and summer and reflects abundant traffic emissions and cooking sources (primarily with POA) during the early evening period.

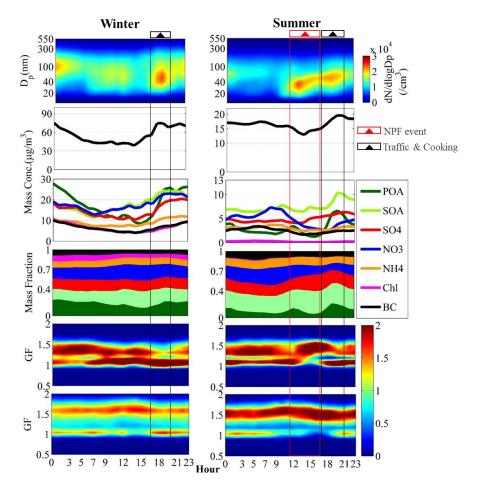
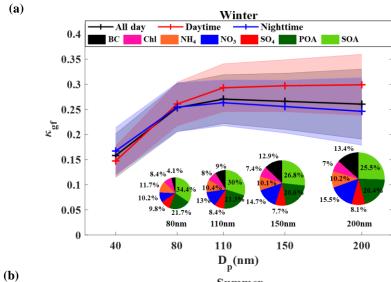


Figure 3. Campaign averaged diurnal variations in particle number size distribution; mass concentration of PM₁, bulk mass concentration of main species in PM₁, mass fraction of chemical composition of PM₁; and Gf-PDFs for 40 and 150 nm particles in winter (left panels) and summer (right panels) measured in urban Beijing..

$3.2 \kappa_{gf}$ dependence on D_p

The size dependence of particle hygroscopicity parameters for the winter and summer periods are presented in Fig.4. In the winter, the 40 nm particles were least hygroscopic and the hygroscopicity of larger particles (>80 nm) displayed insignificant dependence on particle size. The size independence for the larger particles is consistent with the observed similarity in mass fractions of inorganic and organic species across



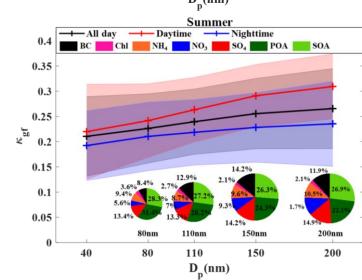


Figure 4. The dependence of κ on D_p at the urban Beijing site during winter (a) and summer (b). The κ values are retrieved from the size-resolved HTDMA measurements. The error bars represent $\pm 1\sigma$. The size-resolved chemical mass fractions at the corresponding D_p is also presented.

the size range as shown in the pie charts in Figure 4a. A similar dependence of particle hygroscopicity on particle size was also observed in the urban area of Beijing during the wintertime of 2014 (Wang et al., 2018b). In the summer, hygroscopicity increased with increasing particle size, which is expected based on the size dependent patterns shown in the pie charts, with the mass fraction of POA decreasing with the particles size and the mass fraction of inorganics like sulfate and nitrate increasing with particle size.

3.3. Closure of HTDMA and chemical composition derived κ

A closure study was conducted between κ_{chem} and κ_{gf} (Fig. 5) to investigate the uncertainty of the two methods, and especially to further illustrate whether particle hygroscopicity can be well predicted by κ_{chem} calculated by assuming internal mixing. Since a size-resolved BC mass concentration measurement was not

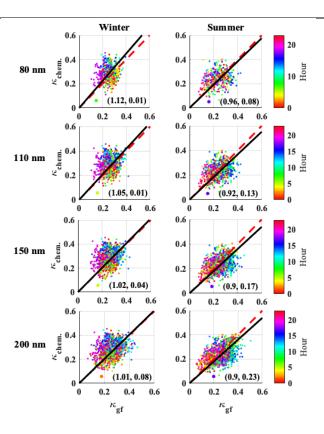


Figure 5. Closure of κ_{chem} calculated from size-resolved chemical composition data and κ_{gf} retrieved from hygroscopic growth factor by HTDMA measurements in winter (left panels) and summer (right panels) period. The dots with different color correspond to observed time of a day during the campaign as shown by the color bar. On each plot, red dotted line is 1:1 line, black solid line is fitting line. The numbers in parentheses are slopes of linear fits and correlation coefficients (R²).

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available during the campaign, we use the bulk mass fraction of BC particles measured by the AE33 combining with size-resolved BC distribution measured by a single particle soot photometer (SP2) in Beijing (Liu et al., 2018) to estimate κ_{chem} . During the calculation, the BC core diameter measured by SP2 has been converted to the diameter of coated BC particles by multiplying factors of 1.4 and 2.6 under clean (with bulk BC mass concentrations <2 µg m⁻³) and polluted (with bulk BC mass concentrations >2 µg m⁻³) conditions respectively (Liu et al., 2018).

Uncertainty in κ is due in part to measurement uncertainty of the HTDMA system and uncertainty resulting from non-ideality effects in the solution droplets, surface tension reduction due to surface active substances, and the presence of slightly soluble substances that dissolve at RH higher than that maintained in the HTDMA (e.g., Wex et al., 2009; Good et al., 2010; Irwin et al., 2010; Cerully et al., 2011; Wu et al., 2013). For example, the HTDMA may overestimate the D_p of dry particles for the external mixed BC particles, as BC-containing particles may shrink when humidified, leading to underestimate the hygroscopic growth factor, However, our previous study demonstrated that, for this region, estimates using HTDMA data are still better representing the aerosols hygroscopicity than those using the simple mixing rule based on chemical volume fractions for an assumed internal mixture (Zhang et al., 2017). Therefore, here we focus on discussing and exploring the uncertainty of κ_{chem} by taking κ_{gf} as the reference.

The results show that, although the slopes from linear fitting of $\kappa_{\rm chem}$ and $\kappa_{\rm gf}$ are close to 1.0, it is with quite poor correlations (typically with correlation coefficients, R^2 , of < 0.3) between $\kappa_{\rm chem}$ and $\kappa_{\rm gf}$ of the 80, 110, 150, 200 nm particles both in winter and summer. The poor correlations reflect large uncertainty in one or both of the calculated parameters that are likely due to the unreasonable assumption of particle mixing state (e.g. Cruz and Pandis, 2000; Svenningsson et al., 2006; Sjogren et al., 2007; Zardini et al., 2008), which varies with their aging and other physiochemical processes in the atmosphere. Note that underestimation of $\kappa_{\rm chem}$ for the summer occurred mostly in the afternoon (Marked in blue dots in Fig. 5). This may be associated with photochemical processes at around noontime. More specific investigations of the particle mixing and aging impacts on $\kappa_{\rm chem}$ will be further addressed in the following sections.

3.4 Aerosols aging processes and sources effects indicated by diurnal cycles of κ_{chem} and κ_{ef}

The diurnal cycles of particle hygroscopicity in the summer and winter with the use of the size-resolved chemical composition observations and the ratio of κ_{chem} to κ_{gf} are shown in Fig. 6. In summer, at 09:00-15:00, the disparity between κ_{chem} and κ_{gf} is insignificant for smaller particles (80 and 110 nm), both of whichthem show slight decrease from 09:00 or 10:00 to 12:00-13:00 due to the frequent NPF event that usually corresponds to a large fraction of organics (Fig. 3) in urban Beijing. For larger particles (150 and

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200 nm), the disparity between κ_{chem} and κ_{gf} around noontime and in the early afternoon is very significant, corresponding to >20% underestimation of particle hygroscopisity by κ_{chem} (with the ratio of κ_{chem} to κ_{gf} of ~0.8). Similar patterns were also noted by Zhang et al., (2017) but which is only based on a comparison between $\kappa_{\rm chem}$ derived from bulk chemical composition and $\kappa_{\rm ef}$. Our results based on size-resolved measurements are consistent with that observed by Zhang et al., (2017), and thus again indicate that confirming an effect of the rapid photochemical aging of BCaerosol particles, which are generally with uinant size modes of 100 200 nm in the atmosphere, may lead to the core shell structure in which certain secondary aerosol generated from photochemical reactions is thickly coated on the surface of BC (Wang et al., 2019). The on their hygroscopicity of the coated BC particles may only depend on the coating layer (Ma et al., 2013), thus resulting in the noontime/early afternoon underestimation of particle hygroscopicity by κ_{chem} While, no significant differences between κ_{chem} and κ_{gf} are observed during night time in summer. Note that κ_{chem} is slightly higher than κ_{gf} during early evening traffic rush hour and cooking time, when emissions of primary hydrophobic particles (e.g. BC and POA) are high (Fig. 3), thus resulting in a large percentage of externally-mixed particles). Causes of the overestimation in κ_{chem} during the traffic rush hour and cooking time will be discussed in the following paragraph. The particles experience rapid conversion and mixing in urban Beijing due to high precursor gases (Sun et al., 2015; Wu et al., 2016; Ren et al., 2018), and thus the coated/aged particles produced through photochemical processing processes in the afternoon can mix and interact with and the freshly emitted primary particles emitted during rush hour from traffic and cooking sources (Wu et al., 2008). Therefore, during nighttime (22:00-06:00, LT), the particles are more uniform and internally-mixed, which is reflective of the assumption for calculation of κ_{chem} , a much better consistency between κ_{chem} and κ_{gf} is observed. hence presented.

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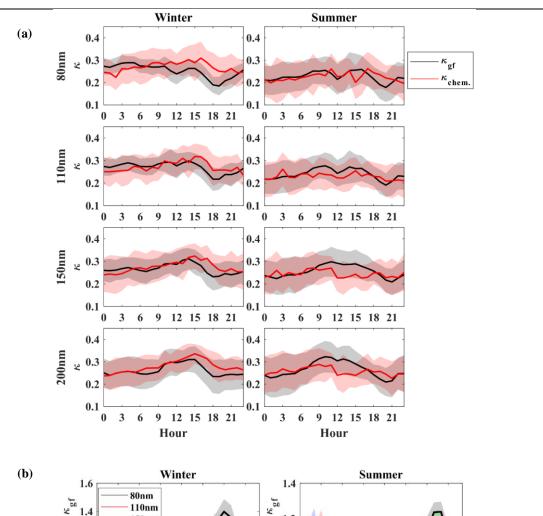
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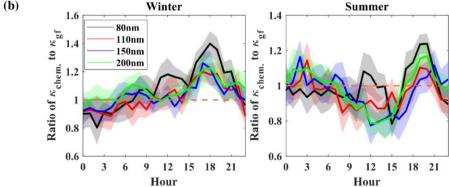


Figure 6. Diurnal variations of (a) κ_{chem} using size-resolved chemical composition data and κ_{gf} in winter and summer period; and (b) ratio of κ_{chem} to κ_{gf} in winter and summer period. The shade regions denote the error bars (1 σ).

In winter, the disparity between κ_{chem} to κ_{gf} is insignificant at 09:00-15:00 due to the weakening effect of photochemical aging. From 15:00 to 21:00 LT, due to the strong vehicle and cooking sources around the site, the particles are dominated by the hydrophobic mode with a large concentration of externally-mixed BC and POA particles (Fig. 3), the calculated κ_{chem} is much higher than κ_{gf} , with the maximum ratio of κ_{chem} to κ_{gf} of 1.2.1.4, and the greatest disparity is observed for small particles. The disparity is further enhanced during clean periods when the hydrophobic mode is dominant (Fig. 7, Fig. S1). Note that during the nighttime, κ_{chem} is slight lower than κ_{gf} , with the minimum ratio of κ_{chem} to κ_{gf} of ~0.8 for 80 nm particles and ~0.9 for 110 and 150 nm particles at 02:00-04:00 LT (Fig. 6b), indicating an underestimation of particle hygroscopicity using composition data. The disparity at nighttime is further increased during heavily polluted events (Fig. S1), when the particles are more internally-mixed with only one hygroscopic mode (Fig. 7). We propose the increased underestimation during polluted conditions is likely due to enhanced condensation of secondary hygroscopic compounds (e.g. nitrate, sulfate, SOA) on pre-existing aerosols at lower temperature and or hydrophilic SOA formation under higher relative humidity at nighttime (Wu et al., 2008; Wang et al., 2016; An et al., 2019). However, such condensation effect during nighttime is less significant (indicated by the smaller disparity between κ_{chem} and κ_{ef} than the aging effect caused by aerosols photochemical processes around noontime (Peng et al., 2016b).

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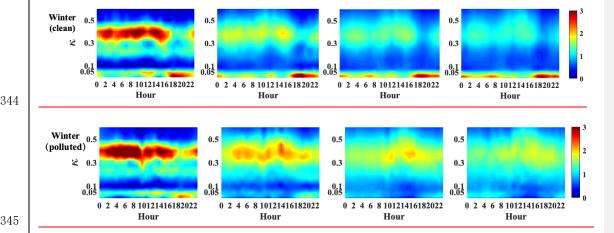


Figure 7. Diurnal cycles of κ_{gf} -PDF for 80, 110, 150 and 200 nm particles in clean and polluted events in winter.

We suppose that the large disparity between higher/lower $\kappa_{\rm chem}$ and $\kappa_{\rm sf}$ is due to should be firstly closely associated with temporal variations changes in actual effective density of BC and organics caused by with the particles aging-and/diurnal variations of local sources.emissions. It has been demonstrated that rapid aging of BC can occur over a few hours in the polluted urban area (Peng et al., 2016b). The externally-mixed BC particles are with fractal structure and chain-like aggregates and have been reported with effective density of 0.25-0.45 g cm⁻³(McMurry et al., 2002), Whilewhile the BC particles in the Kchem_calculation is assumed as void free with effective density of 1.7 g cm⁻³. Such inappropriate assumption would lead This leads to an underestimation of less BC volume fraction than it actually is and thus the overestimation ingreater κ_{chem} during the traffic rush hour and cooking time when BC particles are mostly freshly emitted with uncompacted structure. In addition, the significant increase in volume fraction of POA during the late afternoon would result in changes of composition of organic aerosols and thereby a lower density of organics, which is expected much closer to be smaller that of POA than the assumed one (1.2 g cm⁻³) in the calculation should be applied. A sensitivity test has been done to examine the effect of density of BC and organics on calculated $\kappa_{\rm chem}$ (Fig. 78). The result shows that the $\kappa_{\rm chem}$ value reduces an be reduced by 16-33% when applying by decreasing the BC effective density of 1.7 g cm⁻³ to 0.25-0.45 g cm⁻³. This basically explains the disparity between $\kappa_{\rm chem}$ and $\kappa_{\rm of}$ during the traffic rush hour. However, the when a large amount of BC is freshly emitted. The changes in $\kappa_{\rm chem}$ are within $\pm 4\%$ when changing by varying the organic density from 1.0 (typical2 (mixture of SOA and POA) to 1.0 (typically for POA) toor 1.4 (typicalg <u>cm⁻³</u> (typically for SOA) g cm⁻³, suggesting insensitivity of κ_{chem} to (Zamora et al., 2019), showing much <u>less impacts of variations of organic density. The on $\kappa_{\text{chem.}}$ In conclusion, the result also demonstrated that</u> the disparity between $\kappa_{\rm chem}$ and $\kappa_{\rm ef}$ during late afternoon in winter is largely due to the inappropriate use of the BC particles density that is closely associated with its morphology or degree of its aging. Our study suggest that, to accurately parameterize the effect of BC aging on particles hygroscopisty, it is critical to measure the effective density and morphology of ambient BC, in particularly in those regions with complex influences of rapid secondary conversion/aging processes and local sources.

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In that way, the lower κ_{chem} value derived around noontime in summer, when BC aerosols may be more compact through strong photochemical aging, is probably due to application of a lower BC density in the <u>calculation</u>. However, the <u>sensitivity test</u> indicates that, to fill the gap between κ_{chem} and κ_{gf} observed at noontime in summer, the effective density of BC should be extremely high due to the decreased sensitivity of κ_{chem} to BC density with theits aging of BC. In this case, the density of BC has been assumed density of BC is as 1.7 g cm⁻³, which reflects a very compacted and void free structure of the BC particles. The current This currently applied value represents an upper limit for the effective density of ambient BC particles according to previous observations at a site near urbanor in Beijing (Zhang et al., 2015), which suggested the aged BC is generally with effective density of 1.2-1.4 g cm⁻³. Using thisthese ambient observed density values would lead to further underestimation in κ_{chem} . Our results exhibit the increase In addition, the photochemical aging can change the overall effective density of the organic aerosols through changing their chemical composition. However, the effective density of the photochemical oxidized organic particles (e.g. SOA) does not change much on the timescale of several hours, and was observed ranging between 1.2 and 1.3 g cm⁻³(Bahreini et al., 2005). It can only explain ~4% at most of the underestimation in $\kappa_{\rm chem}$ around noontime in summer by applying a density value of 1.4 g cm⁻³ (typically for SOA). Therefore, application of higher densities of BC and organics in the calculation cannot fully explain the disparity between $\kappa_{\rm chem}$ and $\kappa_{\rm ef}$ observed around noontimeduring early afternoon in summer. This just, on the other hand, verifies the— when strong photochemical processes are expected.

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The uncertainty in calculation of κ_{chem} may be also related to the uncertainty caused by hygroscopic parameter of organics that vary widely over a range of diverse constitutes of SOA (Suda et al., 2012). aging/coating effectThe lower κ_{chem} indicates that the κ of secondary organic aerosols formed through the strong photochemical oxidation processes in summer of urban Beijing are likely underestimated. In this study, the mean κ value of organics derived from the f_{44} parametrized equation is 0.20 ± 0.02 , ranging from 0.17 to 0.23 during 09:00-17:00. While the organic aerosols, especially for particles in accumulated mode, may be more hygrophilic with much larger κ , i.e. >0.2 due to large formation of highly-oxidized OA. One can easily get that increasing the κ of organic aerosols from 0.2 to 0.3 can explain about 11-13%

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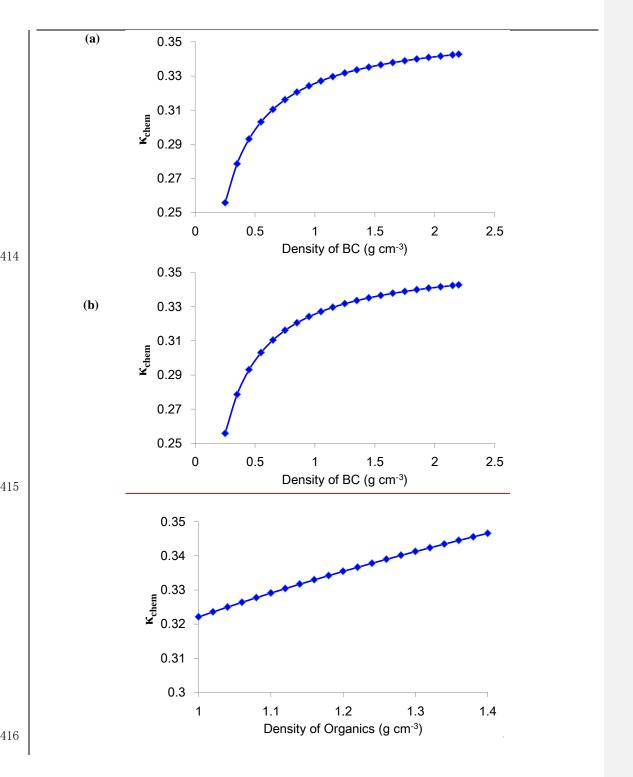
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underestimation of κ_{chem} , but representing an upper limit of the impact of hygroscopisty of organic aerosols, on the aerosols hygroscopisity. In additioncalculation. This is because that the κ value of 0.3 corresponds to the maximum possible for ambient organic aerosols. Additionally, the f_{44} parametrized equation tends to overestimate the κ according to Fröhlich et al. (2015), which should yield a larger κ_{chem} . Finally, the coexisting hygroscopic and hydrophobic species may have a strong influence on the phase state of particles, also likely affecting chemical interactions between inorganic and organic compounds as well as the overall hygroscopicity of mixed particles (Peng et al., 2016). Further investigations are needed to verify this. Our study suggest that, to accurately parameterize the effect of BC aging on particles hygroscopisty, future investigations need to measure the effective density and morphology of ambient BC, in particularity in those regions with complex local sources 2016a). Overall, The lower κ_{chem} caused by the photochemical aging effect is likely resulted from multiple impacts of inappropriate application of density and hygroscopic parameter of organic aerosols in the calculation, as well as the influences from chemical interaction between organic and inorganic compounds on the overall hygroscopicity of mixed particles. This topic warrants further investigations,

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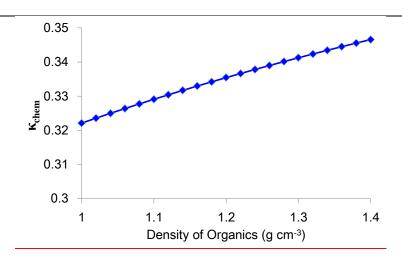


Figure 8. Sensitivity of κ_{chem} to variations of density of BC (a) and organics (b)

Besides the impacts of BC aging (changes in morphology/density) and variations of the overall density of organics on particles hygroscopicity, uncertainty in κ_{chem} may be related to the uncertainty in the hygroscopic parameter for organics that could vary widely over a range of diverse constitutes of SOA (Suda et al., 2012). However, Zhang et al. (2017) shown that using a smaller or larger κ_{soA} could not fully explain the overestimation during traffic hours or the underestimation around noontime. Furthermore, in this study, it is calculated from a simple parametrized equation based on the AMS measured f_{t4} value reported by Mei et al. (2013). The value for f_{t4} tends to be overestimated according to Frählich et al. (2015), which should yeild a larger κ_{chem} . Previous studies have shown that freshly emitted POA and BC particles may be rapidly coated by more hygroscopic components in polluted urban areas, resulting in enhanced hygroscopicity of the mixed particles (Zhang et al., 2004; Johnson et al., 2005; Zhao et al., 2017). Our results are consistent with those observations and clarify the photochemical aging and coating effect will largely underestimate the particles hygroscopicity using simple mixing rule based on chemical composition.

Note that during the nighttime, κ_{ehem} is slight lower than κ_{gf} , with the minimum ratio of κ_{ehem} to κ_{gf} of -0.8 for 80 nm particles and -0.9 for 110 and 150 nm particles at 02:00 04:00 LT (Fig. 6b), indicating an underestimation of particle hygroscopicity using composition data. The disparity at nighttime is further

increased during heavily polluted events (Fig. S1), when the particles are more internally mixed with only one hygroscopic mode (Fig. 8). We propose the increased underestimation during polluted conditions is likely due to enhanced condensation of secondary hygroscopic compounds (e.g. nitrate, sulfate) on pre-existing aerosols at lower temperature and higher relative humidity at nighttime (Wu et al., 2008; Wang et al., 2016; An et al., 2019). However, such condensation effect during nighttime is less significant (indicated by the smaller disparity between κ_{chem} and κ_{gf}) than the coating effect caused by aerosols photochemical aging at noontime, likely due to thinner coating layer formed on the pre-exist particles during nighttime or other factors influencing the particles hygroscopisity.

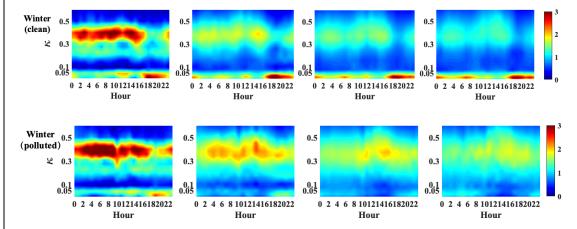


Figure 8. Diurnal cycles of κ_{gi} -PDF for 80, 110, 150 and 200 nm particles in clean and polluted events in winter.

3.5. Observation from other stations

The aging process in the summer period is related to photochemical processing in strong solar radiation conditions. The photochemical reactions produce sulfate and secondary organic aerosol, condensing on the surface of slightly- or non-hygroscopic primary aerosols (such as BC) (Zhang et al., 2008). As discussed in 3.4, the core shell structure that accompanies aging of the particles results in calculated κ_{chem} that underestimates their hygroscopicity. To confirm such a coatingTo confirm such photochemical aging effect on particle hygroscopicity, we further examine the diurnal variations of κ_{chem} and κ_{gf} or κ_{CCNc} (only at XZ site)

based on observations in summer at two other sites in north China (Fig. 1). The XT site is located in the suburb of XT city, which is about 400 km south of Beijing, with high levels of industrialization and urbanization. Due to industrial emissions and typically weak ventilating winds, concentrations of PM_{2.5}, black carbon and gaseous precursors are usually high at the site (Fu et al., 2014). Xinzhou is located in north of Taiyuan and about 360 km southwest of Beijing, and is surrounded by mountains on three sides. Local emissions from motor vehicles and industrial activities have relatively little influence on the sampled aerosol (Zhang et al., 2016). Because of its location and elevation, the aerosol at the XZ site is usually aged and transported from other areas. The sampling period was from July 22 to August 26, 2014 and from May 17 to June 14, 2016 at XZ and XT site respectively.

We find that the case at the XT site is very similar to that observed in BJ (Fig. 9a), with a lower κ_{chem} than κ_{gf} around noon time. But, because of much less influences from the local sources at XT compared to that at BJ, such underestimation by κ_{chem} continued until night at XT (Fig. 9b). Interestingly, a noontime lower κ_{chem} was not observed in the diurnal cycles at the XZ site, where κ_{chem} and κ_{CCNc} had similar diurnal patterns (Fig. 9c) with a roughly constant ratio of κ_{chem} to κ_{CCNc} of ~0.8-0.9 (Fig. 9d). This is probably because the XZ site is usually the recipient of aerosols transported from other areas that are already aged and well-mixed, with minimal impact of additional coating further aging (Zhang et al., 2017). Also, the rate of oxidation and condensation may be slow in the relatively remote area where the gas precursors and oxidants are not as high as they are closer to sources regions. But at XT, which is located in the heavily polluted area in the north China Plain (Fu et al., 2014), aerosol emissions and processing are more similar to that in urban Beijing. These observations from other sites further confirms the the photochemical aging and coating effect that will largely underestimate the particles hygroscopicity using simple mixing rule based on chemical composition.

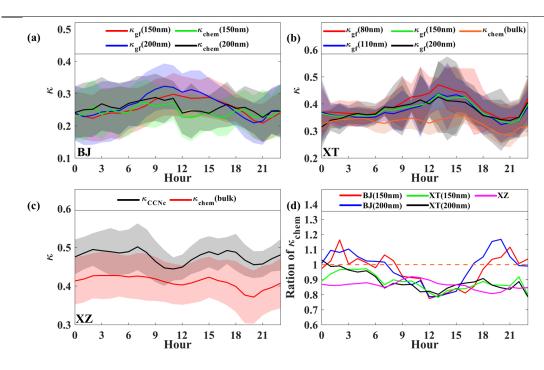


Figure 9. Diurnal variations in (a) κ_{chem} and κ_{gf} for 150 and 200 nm particles at BJ site; (b) κ_{chem} and κ_{gf} for 40, 80, 110, 150 and 200 nm particles at XT site; (c) κ_{chem} and mean κ_{CCNc} for particles at XZ site, and (d) ratio of mean κ_{chem} to κ_{gf} at the three sites.

4. Conclusion

Using measurements of aerosol composition and hygroscopicity made in Beijing (BJ) during a winter period of 2016 and a summer period of 2017, this paper analyzes the daily variation and seasonal differences of size-resolved aerosol hygroscopicity in urban Beijing. We mainly focus on studying the disparity of κ_{gf} and κ_{chem} between summer and winter to reveal the impact of atmospheric processes and mixing state of the particles on its hygroscopicity. The uncertainty in calculating κ by using chemical composition with a uniform internal mixing hypothesis is elucidated from the diurnal variations of the difference between the calculated values: in summer, lower κ_{chem} is obtained around noontime, with a ratio of κ_{chem} to κ_{gf} of about 0.8-0.9 for large particles (i.e. 150 nm and 200 nm), showing an underestimation of particles hygroscopisity by using simple mixing rule based on chemical composition. Combining with the observation from XT and

XZ, we attribute the underestimation to the rapid noontime photochemical aging processes in summer, which induces the coatingaging effect that will lead to a lower κ if assuming a uniform mixing of the particles. The lower κ_{chem} is likely resulted from multiple impacts of inappropriate application of density and hygroscopic parameter of organic aerosols in the calculation, as well as the unknown influences from chemical interaction between organic and inorganic compounds on the overall hygroscopicity of mixed particles.

In contrastwinter, larger κ_{chem} than κ_{gf} for >100 nm particles is derived around noontime and in the early afternoon—is derived in winter, with the maximum ratio of κ_{chem} to κ_{gf} of 1.2-1.4 when the particles are dominated by the hydrophobic mode with a large number of externally-mixed POA particles from strong vehicle and cooking sources. We attribute this large disparity between κ_{chem} and κ_{gf} to changes of BC morphology that can be indicated by effective density of BC. The sensitivity test shows that it can well explain the disparity during the traffic rush hour by applying BC effective density of 0.25-0.45 g cm⁻³. However, we suggest that,

to accurately parameterize or account for the effect of BC density on particles hygroscopisty, future investigations need to measure the effective density of ambient BC, in particularity in those regions with complex local sources.

A lower κ_{chem} than κ_{gf} for 80, 110 and 150 nm particles during the nighttime of winter is also noted, and the disparity is further enlarged in polluted days, probably due to a nighttime coating effect driven by condensation of secondary hygroscopic species on pre existing aerosols in cold season. Our results highlight the impacts of atmospheric processes, sources on aerosol mixing state and hygroscopicity, which should be quantified and considered in models for different atmospheric conditions.

Data availability. All data needed to evaluate the conclusions in the paper are present in the paper and/or the Supplementary Materials. Also, all data used in the study are available from the corresponding author upon request (fang.zhang@bnu.edu.cn).

Author contributions. F.Z. and J. L conceived the conceptual development of the manuscript. X. F. directed and performed of the experiments with L.C., X.J., Y. W., and F. Z., F.Z., J.L., and X.F. conducted the data analysis and wrote the draft of the manuscript, and all authors edited and commented on the various sections of the manuscript. J.L. and X.F. contribute equally to this work.

Competing interests. The authors declare no competing interests.

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