

1 **Examination of effects of aerosols on a pyroCb and their dependence on fire**
2 **intensity and aerosol perturbation**

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25 Abstract

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27 This study investigates how a pyrocumulonimbus (pyroCb) event influences water vapor
28 concentrations and cirrus cloud properties near the tropopause, specifically focusing on
29 how fire-produced aerosols affect this role via a modeling framework. Results from a case
30 study show that when observed fire intensity is high, there is an insignificant impact of
31 fire-produced aerosols on the convective development of the pyroCb and associated
32 changes in water vapor and the amount of cirrus cloud near the tropopause. However, as
33 fire intensity weakens, effects of aerosols on microphysical variables and processes such
34 as droplet size and autoconversion increase. Modeling results shown herein indicate that
35 aerosol-induced invigoration of convection is significant for pyroCb with weak-intensity
36 fires and associated weak surface heat fluxes. Thus, there is a greater aerosol effect on the
37 transportation of water vapor to the upper troposphere and the production of cirrus cloud
38 with weak-intensity fires, whereas these effects are muted with strong-intensity fires.

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56 1. Introduction

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58 Recent studies (e.g., Pumphrey et al., 2011; Kablick et al., 2018) have shown that
59 pyrocumulonimbus (pyroCbs) can transport significant amounts of water vapor to the
60 upper troposphere and the lower stratosphere (UTLS) and thus may play a role in seasonal
61 UTLS water vapor budgets. Any change in water vapor in the UTLS has an exceptionally
62 strong influence on the global radiation budget and thus Earth's climate (Solomon et al.,
63 2010). PyroCbs involve and control cirrus clouds around their tops that reach the UTLS.
64 Changes in cirrus clouds in the UTLS are known to have a strong influence on the global
65 radiation budget (Solomon et al., 2010). The level of our understanding of impacts of
66 pyroCbs on water vapor and cirrus clouds in the UTLS over the global scale is very low
67 and studies to improve this understanding has been going on (Fromm et al., 2010).
68 However, this paper does not focus on these pyroCb impacts at the global scale. Instead,
69 this paper aims to gain a process-level understanding of mechanisms that control impacts
70 of individual pyroCbs on water vapor and cirrus clouds in the UTLS. The examination of
71 these mechanisms can provide useful information to parameterize interactions among
72 pyroCbs, water vapor and cirrus clouds in climate models. Hence, this examination can
73 contribute to studies that try to improve our understanding of the global-scale impacts of
74 pyroCbs on water vapor and cirrus clouds by using climate models.

75 By definition, pyroCbs initiate over a fire, and the large surface energy release affects
76 their dynamic, thermodynamic and microphysical development (Fromm et al., 2010;
77 Peterson et al., 2017). The dynamics of these events has been shown to be mostly controlled
78 by fire-induced latent and sensible heat fluxes at and near the surface. However, questions
79 remain about what role the large concentration of cloud condensation nuclei (CCN)
80 contained in smoke has on the vertical development and microphysical properties. Studies
81 (e.g., Rosenfeld et al., 2008; Storer et al., 2010; Tao et al., 2012) have shown that aerosols
82 affect cumulonimbus clouds, and this raises a possibility that fire-generated aerosols affect
83 pyroCb development. As an example of aerosol impacts on cumulonimbus clouds, these
84 studies have demonstrated that increases in aerosol loading can make the size of droplets
85 (i.e., cloud-liquid particles) smaller. Individual aerosol particles act as seeds for the
86 formation of droplets and thus increasing aerosol loading or increasing aerosol

87 concentrations lead to more droplets formed. More droplets mean more competition among
88 them for available water vapor needed for their condensational growth, and this more
89 competition makes individual droplets smaller (Twomey, 1977; Albrecht, 1989). Aerosol-
90 induced smaller sizes of droplets reduce the efficiency of the growth of cloud-liquid
91 particles to raindrops via autoconversion that is a collection process among cloud-liquid
92 particles for them to grow to be raindrops, given that the efficiency is proportional to the
93 sizes (Pruppacher and Klett, 1978; Rogers and Yau, 1991). This reduced efficiency leads
94 to less cloud liquid converted to rain. More cloud liquid is thus available for transport to
95 places above the freezing level by updrafts. This eventually induces more freezing of cloud
96 liquid, which enhances parcel buoyancy, and this enhancement invigorates updrafts and
97 associated convection (Rosenfeld, 2008).

98 Compared to the research done on the role played by fire-generated heat fluxes in
99 the development of pyroCb and their effects on water vapor and cirrus clouds in the UTLS,
100 the research on that role by fire-generated aerosols has been scarce. Motivated by this lack
101 of understanding, this paper focuses on the role by those aerosols in the development of a
102 pyroCb and its effects on water vapor and cirrus clouds in the UTLS. To examine that role,
103 this study extends the previous modeling work that was described in Kablick et al. (2018).
104 That modeling work compared effects of fire-generated heat fluxes on the development of
105 a pyroCb and its impacts on the UTLS water vapor and cirrus clouds to those of fire-
106 generated aerosols. In that comparison, those effects of fire-generated aerosols were shown
107 to be negligible as compared to those effects of heat fluxes. However, aerosol effects on
108 cloud development vary with cloud typical properties such as typical updraft speeds that
109 are determined by environmental conditions (e.g., Khain et al., 2008; Lee et al., 2008; Tao
110 et al., 2012). For the simplicity of the term, in this study, “typical updraft speeds” are
111 referred to as “typical updrafts”. Typical updrafts are determined by environmental
112 instability as represented by convective available potential energy (CAPE). Lee et al. (2008)
113 have shown that different clouds with different typical updrafts, which are due to different
114 CAPE, show different sensitivity of cloud microphysical and thermodynamic development
115 to aerosol concentration. Hence, it is hypothesized that aerosol effects on the pyroCb
116 development and its impacts on the UTLS water vapor and cirrus clouds can vary
117 depending on the intensity of the pyroCb typical updrafts.

118 Based on this hypothesis, to examine the potential variation of aerosol effects on the
119 pyroCb development and its impacts on the UTLS water vapor and cirrus clouds with the
120 varying typical updrafts of pyroCbs, numerical simulations are performed. These
121 simulations are for a case of a pyroCb which is identical to that in Kablick et al. (2018),
122 and performed by using a cloud-system resolving model (CSRМ) which is able to resolve
123 cloud-scale dynamic and thermodynamic processes. By resolving these processes that play
124 a critical role in the development of clouds and their interactions with aerosols, we are able
125 to obtain information on aerosol effects on the pyroCb development and its impacts the
126 UTLS water vapor and cirrus clouds, and on associated dynamic and thermodynamic
127 mechanisms. This information is likely to be more confident than that from a model that
128 does not resolve but parameterize those cloud-scale processes. The basic modeling
129 methodology in this study is similar to that used by Kablick et al. (2018). However, this
130 study uses a more sophisticated microphysical scheme, i.e., a bin scheme, rather than the
131 two-moment bulk scheme used by Kablick et al. (2018). Through extensive comparisons
132 between various types of bin schemes and bulk schemes, Fan et al. (2012) and Khain et al.
133 (2015) have concluded that the use of bin schemes is desirable for reasonable simulations
134 of clouds, precipitation, and their interactions with aerosols. This is because the bin scheme
135 explicitly predicts cloud-particle size distributions, while the bulk scheme prescribes those
136 size distributions. The bin scheme also uses collection efficiencies and terminal velocities
137 varying with varying cloud-particle sizes to emulate this variation in reality, while the bulk
138 scheme in general uses fixed efficiencies and terminal velocities, which are not able to
139 consider the variation of collection efficiencies and terminal velocities in reality. This
140 makes the bin scheme more sophisticated than the bulk scheme.

141 Note that Kablick et al. (2018) examined aerosol effects on the convective
142 development of a specific pyroCb case study, simulating microphysical conditions,
143 detrained water vapor mixing ratios, and cirrus cloud properties only considering a typical
144 updraft framework. The present study expands upon that work by performing sensitivity
145 simulations in which typical updrafts in the pyroCb are allowed to vary, enabling us to
146 ascertain the dependence of those aerosol effects on typical updrafts. Note that CAPE,
147 which determines typical updrafts in convective clouds, are strongly dependent on surface
148 latent and sensible heat fluxes (e.g., Houze, 1993), and in the case of pyroCb these fluxes

149 are controlled by fire intensity. Hence, these sensitivity simulations in turn enable us to
150 study the dependence of those aerosol effects on fire intensity. Here, we see that the
151 pyroCb typical updrafts are controlled by fire intensity and thus the pyroCb typical updrafts
152 are referred to as fire-driven updrafts, henceforth.

153 Aerosol effects on clouds are initiated by an increase in aerosol concentration, which
154 can be caused by an increase in aerosol emission at and near the surface, and dependent on
155 how much aerosol concentration increases, or on the magnitude of an increase in aerosol
156 concentration, i.e., aerosol perturbation (e.g., Rosenfeld et al., 2008; Koren et al., 2012).
157 This dependence has not been examined in Kablick et al. (2018) and this study examines
158 this dependence by performing additional sensitivity simulations where the magnitude of
159 aerosol perturbation varies.

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161 **2. Modeling framework**

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163 We use the Advanced Research Weather Research and Forecasting (ARW) model, a
164 nonhydrostatic compressible model, as the CSRM. Prognostic microphysical variables are
165 transported with a fifth-order monotonic advection scheme (Wang et al., 2009). Shortwave
166 and longwave radiation parameterizations have been included in all simulations by
167 adopting the Rapid Radiation Transfer Model (RRTM; Mlawer et al., 1997; Fouquart and
168 Bonnel, 1980).

169 To represent the microphysical processes, the CSRM adopts a bin scheme based on the
170 Hebrew University Cloud Model described by Khain et al. (2009). The bin scheme solves
171 a system of kinetic equations for the size distribution functions of water drops, ice crystals
172 (plate, columnar and branch types), snow aggregates, graupel and hail, as well as cloud
173 condensation nuclei (CCN) and ice nuclei (IN). Each size distribution is represented by 33
174 mass doubling bins, i.e., the mass of a particle m_k in the k th bin is determined as $m_k =$
175 $2m_{k-1}$.

176 The cloud-droplet nucleation parameterization, which is based on Köhler theory, is
177 used to represent cloud-droplet nucleation. Arbitrary aerosol mixing states and arbitrary
178 aerosol size distributions can be fed to this parameterization. To represent heterogeneous
179 ice-crystal nucleation, the parameterizations by Lohmann and Diehl (2006) and Möhler et

180 al. (2006) are used. In these parameterizations, contact, immersion, condensation-freezing,
181 and deposition nucleation paths are all considered by taking into account the size
182 distribution of IN, temperature and supersaturation. Homogeneous aerosol (or haze particle)
183 and droplet freezing, based on the size distribution of droplets, is also considered following
184 the theory developed by Koop et al. (2000).

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186 **3. Case description and simulations**

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188 **3.1 Control run**

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190 The control run for an observed pyroCb case is performed over a forested site in the
191 Canadian Northwest Territories (60.03° N, 115.45° W). Kablick et al. (2018) give details
192 about the site and the pyroCb case. The control run is identical to the Full Simulation in
193 Kablick et al. (2018) except for the different microphysical schemes between them;
194 remember that this study uses a bin scheme, while Kablick et al. (2018) used a bulk scheme.
195 The control run is performed for one day from 12:00 GMT on August 5th to 12:00 GMT
196 on August 6th in 2014 and captures the initial, mature, and decaying stages of the pyroCb.
197 Balloon soundings of winds, temperature and dew-point temperature were obtained every
198 6 hours from Ft. Smith observation station, which is located near the forested site, as
199 described in Kablick et al. (2018). The sounding data at 12:00 GMT on August 5th are used
200 to prescribe the initial atmospheric condition. Using the sequential soundings, at each
201 altitude, temperature and humidity tendencies are obtained. These tendencies represent the
202 impacts of synoptic- or large-scale motion on temperature and humidity with the
203 assumption that sounding data represent the synoptic conditions, following Grabowski et
204 al. (1996), Krueger et al. (1999) and Lee et al. (2018). These tendencies are horizontally
205 homogeneous and applied to the control run every time step by interpolation. The control
206 run is performed in a three dimensional domain with horizontal and vertical lengths of 300
207 km and 20 km, respectively. For the simulation, the horizontal resolution is 500 m and the
208 vertical resolution is 200 m to resolve cloud dynamic and thermodynamic processes.

209 Figure 1 shows a satellite image of the observed pyroCb and the fire spot whose spatial
210 length is ~ 40 km when it is about to advance into its mature stage. To emulate this in the

211 simulation, at the center of the simulation domain, a fire spot with a diameter of 40 km is
212 placed (Figure 2). In the fire spot, the surface latent and sensible heat fluxes are set at 1800
213 and 15000 W m⁻², respectively. In areas outside of the fire spot in the domain, the surface
214 latent and sensible heat fluxes are set at 310 and 150 W m⁻², respectively. These surface
215 heat-flux values follow the previous studies which are Trentmann et al. (2006) and Luderer
216 et al. (2006) and adopt boreal forest emissions. Following Kablick et al. (2018), the surface
217 heat-flux values are prescribed with no temporal variation and no consideration of
218 interactions between heat fluxes and the atmosphere in the control run. Hence, the setup
219 for the surface heat fluxes is idealized and this enables a better isolation of aerosol effects
220 themselves on the pyroCb development and its impacts on the UTLS water vapor and cirrus
221 clouds for the given surface heat fluxes by excluding effects of interactions between the
222 surface heat fluxes and atmosphere on those development and impacts.

223 For the selected pyroCb case, aerosol properties that can be represented by aerosol
224 chemical composition, size distribution and concentration are unknown. Hence, in the fire
225 spot for the first time step, the concentration of aerosols acting as CCN is prescribed to be
226 15000 cm⁻³ in the planetary boundary layer (PBL), and decreases exponentially with height
227 above the PBL top. Outside of the fire spot for the first time step, the concentration of
228 aerosols acting as CCN is prescribed to be 150 cm⁻³ in the PBL and also decreases
229 exponentially with height above this layer. These prescribed concentrations of aerosols are
230 typically observed in fire spots and their background (Pruppacher and Klett, 1997; Seinfeld
231 and Pandis, 1998; Reid et al., 1999; Andreae et al., 2004; Reid et al., 2005; Luderer et al.,
232 2009).

233 For the control run, the other aerosol properties are assumed to follow typical values
234 determined in previous studies. For example, Reid et al. (2005) have shown that aerosol
235 mass produced by forest fires is generally composed of ~50-70% of organic-carbon (OC)
236 compounds, ~5-10% of black-carbon (BC) material, and ~20-45% of inorganic species.
237 Based on those results, the approximate median value of each chemical component
238 percentage range is used in the control run. Aerosol particles are assumed to be composed
239 of 60% OC, 8% BC, and 32% inorganic species. In the control run, OC is assumed to be
240 water soluble and composed of (by mass) 18 % levoglucosan (C₆H₁₀O₅, density = 1600
241 kg m⁻³, van't Hoff factor = 1), 41 % succinic acid (C₄H₆O₄, density = 1572 kg m⁻³, van't

242 Hoff factor = 3), and 41 % fulvic acid (C₃₃H₃₂O₁₉, density = 1500 kg m⁻³, van't Hoff
243 factor = 5) based on typically observed chemical composition of OC compounds over fire
244 sites (Reid et al., 2005). In the control run, the inorganic species is assumed to be
245 ammonium sulfate, a representative inorganic species associated with fires (Reid et al.,
246 2005). This chemical composition taken for aerosol particles is assumed to be
247 spatiotemporally unvarying in the control run. According to Reid et al. (2005),
248 Knobelspiessel et al. (2011), and Lee et al. (2014), it is reasonable to assume that the initial
249 aerosol size distribution follows the unimodal lognormal distribution in fire sites. Hence,
250 the control run adopts the unimodal lognormal distribution as an initial aerosol size
251 distribution. Those studies have indicated that in general, median aerosol diameter and
252 standard deviation of the distribution range from ~0.01 to ~0.03 μm and from ~2.0 to ~2.2,
253 respectively, for aerosols that act as CCN. By taking the approximate median value of each
254 of these ranges, median aerosol diameter and standard deviation of the adopted unimodal
255 distribution of aerosols as CCN are assumed to be 0.02 μm and 2.1, respectively, for the
256 control run. Following Seinfeld and Pandis (2006) and Phillips et al. (2007), for aerosols
257 that act as IN, median aerosol diameter and standard deviation of the unimodal distribution
258 are assumed to be 0.1 μm and 1.6 that are typical values in the continent. For the control
259 run, aerosol properties of IN and CCN are assumed to be identical except that at the first
260 time step, median aerosol diameter and standard deviation of the size distribution between
261 IN and CCN are different, and the IN concentration is 100 times lower than the CCN
262 concentration based on a general difference in concentration between CCN and IN
263 (Pruppacher and Klett, 1978). Aerosols are diffused and advected by air flow in clouds.
264 After activation or captured by precipitating hydrometeors, aerosols are transported within
265 hydrometeors and removed from the atmosphere once hydrometeors that contain aerosols
266 reach the surface. It is assumed that in non-cloudy areas, aerosol size and spatial
267 distributions are set to follow the background counterparts which are set at the first time
268 step. In other words, once clouds disappear completely at any grid points, aerosol size
269 distribution and number concentration at those points recover to the background
270 counterparts. This assumption has been used by numerous CSRM studies and proven to
271 simulate overall aerosol properties and their impacts on clouds and precipitation reasonably
272 well (Morrison and Grabowski, 2011; Lebo and Morrison, 2014; Lee et al., 2016). This

273 assumption means that a situation where fire continuously produces aerosols to maintain
274 the initial background aerosol concentrations is adopted by this study.

275 The observed cirrus cloud at the top of the pyroCb is located to the northeast of the
276 fire spot due to the northeastward winds at the altitude of the cirrus cloud (Figure 1). The
277 cloud first formed around the fire spot. However, winds advected it northeastward. The
278 extent of the observed cirrus cloud is ~ 100 km. Figure 2 shows the simulated field of cloud-
279 ice mass density at a time that corresponds to the satellite image in Figure 1. This field in
280 Figure 2 represents the simulated cirrus cloud in the control run. As observed, the simulated
281 cirrus is located to the northeast of the fire spot and the extent of the simulated cirrus cloud
282 is ~ 100 km. Hence, we see that there is good agreement in the morphology of the cirrus
283 cloud between the observation and the simulation.

284 The averaged liquid-water path (LWP) over areas with non-zero LWP in the control
285 run is 960 g m^{-2} , while the averaged ice-water path (IWP) over areas with non-zero IWP in
286 the control run is 202 g m^{-2} . These simulated LWP and IWP are $\sim 10\%$ different from the
287 satellite-retrieved counterparts. In this study, for the calculation of LWP (IWP), we only
288 considered droplets (ice crystals); drops with radii smaller (greater) than $20 \mu\text{m}$ are
289 classified as droplets (raindrops). Stated differently, droplet mass but not rain mass is used
290 to obtain liquid-water content (LWC) and LWP, and the mass of ice crystals but not the
291 mass of snow aggregates, graupel and hail is used to obtain ice-water content (IWC) and
292 IWP. The averaged cloud-top height and cloud-base height over the period between when
293 the pyroCb forms and when the pyroCb disappears is 10.3 km and 3.6 km in the control
294 run, respectively, and these simulated top and base heights are $\sim 7\%$ different from the
295 satellite-retrieved counterparts. This indicates the overall cloud macro-physical structures,
296 as represented by LWP, IWP, cloud-top and cloud-base heights, are simulated reasonably
297 well as compared to the observation.

298 The details of the reflectivity field are given in Kablick et al. (2018). There is good
299 agreement between observed and simulated cloud reflectivity fields for this study (Figure
300 3). The agreement in the observed and simulated cirrus cloud, cloud macro-physical and
301 reflectivity fields demonstrates that the pyroCb-case simulation is reasonable.

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303

3.2 Low-aerosol run

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305 To see the role played by fire-generated aerosols in the development of the pyroCb and its
306 effects on water vapor and cirrus clouds in the UTLS, we repeat the control run by reducing
307 aerosol concentration in the fire spot from 15000 cm^{-3} to the background aerosol
308 concentration (i.e., 150 cm^{-3}). This reduction removes fire-generated aerosols in the fire
309 spot. The only difference is in aerosol concentration in the fire spot and there are no other
310 differences in the simulation setup which is described in Section 3.1 between the control
311 run and this repeated run. Hence, comparisons between the control run and this repeated
312 run, which is referred to as the low-aerosol run, will identify the role played by fire-
313 generated aerosols in the pyroCb development and its impacts on the UTLS water vapor
314 and cirrus clouds. Here, the low-aerosol run is identical to the Low Aerosol Simulation in
315 Kablick et al. (2018) except for the different microphysical schemes between them.

316

317 **3.3 Additional runs**

318

319 We examine the above-mentioned potential variation of effects of fire-generated aerosols
320 on the pyroCb development and its impacts on the UTLS water vapor and cirrus clouds
321 with varying fire intensity and associated fire-driven updrafts. For the examination, we
322 repeat the control run by varying fire intensity. Remember that surface latent and sensible
323 heat fluxes on which fire-driven updrafts in convective clouds are strongly dependent are
324 controlled by fire intensity. Hence, the variation of fire intensity can be represented by the
325 variation of fire-induced surface latent and sensible heat fluxes. As a first step, the control
326 run is repeated by reducing fire-induced surface latent and sensible heat fluxes by factors
327 of 2 and 4. The first repeated run represents a case with medium fire intensity, while the
328 second repeated run represents a case with weak fire intensity. Relative to these repeated
329 runs, the control run represents a case with strong fire intensity. Henceforth, the first
330 repeated run is referred to as “the medium run” and the second repeated run is referred to
331 as “the weak run”. Then, to see effects of fire-generated aerosols on the pyroCb
332 development and its impacts on the UTLS water vapor and cirrus clouds for each of those
333 cases with different fire intensity, the medium run and the weak run are repeated with the
334 identical initial aerosol concentration to that in the low-aerosol run. The repeated medium

335 run and weak run are referred to as “the medium-low run” and “the weak-low run”,
336 respectively. The control run, the medium run, and the weak run are the polluted-scenario
337 runs, while the low-aerosol run, the medium-low run, and the weak-low run are the clean-
338 scenario runs. Comparisons between the medium run and the medium-low run and those
339 between the weak run and the weak-low run isolate those effects of fire-generated aerosols
340 for the case of medium fire intensity and the case of weak fire intensity, respectively.
341 Comparisons between the control run and the low-aerosol run identify those aerosol effects
342 for the case of strong fire intensity.

343 Effects of fire-generated aerosols on the pyroCb development and its impacts on the
344 UTLS water vapor and cirrus clouds can also be dependent on the magnitude of fire-
345 induced increases in aerosol concentrations or aerosol perturbation in a fire spot. Motivated
346 by this, the previously described simulations are repeated by varying the magnitude of
347 aerosol perturbation in the fire spot. To test the sensitivity of results to the magnitude of
348 fire-induced aerosol perturbation, for each fire intensity, we repeat the polluted-scenario
349 run by increasing and reducing the magnitude by a factor of 2 in the fire spot but not outside
350 of the fire spot. These simulations with the increased magnitude have an aerosol
351 concentration of 30000 cm^{-3} at the first time step over the fire spot in the PBL and are
352 referred to as the control-30000 run, the medium-30000 run, and the weak-30000 run for
353 strong, medium, and weak fire intensity, respectively. These simulations with the reduced
354 magnitude have an aerosol concentration of 7500 cm^{-3} at the first time step over the fire
355 spot in the PBL and are referred to as the control-7500 run, the medium-7500 run, and the
356 weak-7500 run for strong, medium, and weak fire intensity, respectively. Motivated by the
357 analysis described in Section 4.3, we additionally repeat the medium run and the weak run
358 with aerosol concentrations of 2000 and 1000 cm^{-3} at the first time step over the fire spot
359 in the PBL, respectively. The repeated medium (weak) run is referred to as the medium-
360 2000 (the weak- 1000) run. Table 1 summarizes the simulations.

361 The aerosol concentration of 30000 cm^{-3} over the fire spot corresponds to a situation
362 when fire produces a larger concentration of aerosols than a typically observed range
363 between 10000 and 20000 cm^{-3} , while the aerosol concentrations of 7500 , 2000 and 1000
364 cm^{-3} over the fire spot corresponds to a situation when fire produces a lower concentration

365 of aerosols than the typically observed range (Reid et al, 1999; Andreae et al, 2004; Reid
366 et al, 2005; Luderer et al., 2009).

367

368 **4. Results**

369

370 **4.1 The control run and the low-aerosol run**

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372 Results from the control run and the low-aerosol run, which are equivalent to the Full
373 Simulation and the Low Aerosol Simulation in Kablick et al. (2018), respectively, are
374 described here. Kablick et al. (2018) mainly focused on comparisons themselves between
375 aerosol effects and heat-flux effects on pyroCb development and its impacts on the UTLS
376 water vapor and cirrus clouds. In this study, we expand upon the results of Kablick et al.
377 (2018) by focusing on aerosol effects on pyroCb development and its subsequent impacts
378 on the UTLS water vapor and cirrus clouds.

379 The updraft mass flux is one of the most representative variables that are indicative of
380 the cloud dynamic intensity and the magnitude of convective invigoration. The updraft
381 mass flux is averaged over the simulation period between 17:00 GMT on August 5th and
382 12:00 GMT on August 6th, and 17:00 GMT on August 5th is a time around which the
383 pyroCb starts to form (Figure 4).

384 Regarding the UTLS, in this study, the upper troposphere is defined to be between ~ 9
385 km in altitude and the tropopause that is ~ 13 km in altitude; the equilibrium level where
386 the buoyancy of a rising air parcel becomes zero above the level of free convection is
387 considered to be the tropopause (Emanuel, 1994). Hence, the defined upper troposphere
388 occupies around a quarter of the total vertical extent of the troposphere. The lower
389 stratosphere is defined to be between the tropopause and an altitude which is 10 km above
390 the tropopause. Hence, the UTLS is between ~9 km and ~23 km in this study. Considering
391 that the stratosphere is between the tropopause and its top that is generally ~ 50 km in
392 altitude, the defined lower stratosphere occupies around a quarter of the total vertical extent
393 of the stratosphere.

394 Updraft mass fluxes in the control run are only ~3% greater than those in the low-
395 aerosol run (Figure 4 and Table 2). Given the hundredfold difference in aerosol loading
396 over the fire spot between the runs, this 3% difference in updraft fluxes is negligibly small.
397 The comparison between water-vapor mass density over the cloudy columns and that over
398 non-cloudy columns in the control run demonstrates that there is a substantial increase in
399 the amount of water vapor in a part of UTLS at and above the tropopause due to the pyroCb
400 (Figure 5 and Table 2). There is about five times greater water-vapor mass over the cloudy
401 columns that represent the pyroCb area than in the background outside the pyroCb area in
402 the control run. Henceforth, the UTLS water vapor means water vapor in a part of the
403 UTLS at and above the tropopause.

404 Updrafts in the pyroCb transport water vapor to the UTLS at and above the tropopause,
405 which leads to the substantial increase in the amount of the UTLS water vapor over the
406 pyroCb area. For the simulation period between 17:00 GMT on August 5th and 12:00 GMT
407 on August 6th, the averaged water-vapor mass fluxes at the tropopause over cloudy and
408 non-cloudy grid columns are 8.30×10^{-6} and 0.57×10^{-6} kg m⁻² s⁻¹, respectively. Due to the
409 presence of the pyroCb and associated updrafts in cloudy grid columns, there are
410 substantial increases in water vapor fluxes at the tropopause over those cloudy grid
411 columns as compared to those fluxes in the background over non-cloudy grid columns.
412 This leads to the larger amount of the UTLS water vapor over the pyroCb than in the
413 background outside the pyroCb or the pyroCb area in the control run. It is also shown that
414 the vertical extent of water vapor is extended further up to ~ 16 km by the pyroCb as
415 compared to the extent of ~14 km in the background (Figure 5). This means that air parcels
416 that include water vapor and rise from below the tropopause overshoot the tropopause by
417 ~ 3 km in the pyroCb, while those parcels in the background do so by ~ 1 km. This in turn
418 implies that air parcels and associated updrafts in the pyroCb are stronger to reach higher
419 altitudes before their demise in the stratosphere than those in the background. Those
420 stronger air parcels enable water-vapor layers to be deepened in the lower stratosphere,
421 which in turn enable the interception of longwave radiation by water vapor to occur over
422 longer paths in the lower stratosphere. These longer paths and greater water-vapor mass
423 over the paths both contribute to more interception of longwave radiation by water vapor
424 in the UTLS over the pyroCb than in the background.

425 Similar to the situation with updraft mass fluxes, there is only a small ($\sim 2\%$) increase
426 in the averaged mass of the UTLS water vapor in the control run as compared to that in the
427 low-aerosol run for strong fire intensity (Figure 5 and Table 2). The small variation in
428 updraft mass fluxes between the control run and the low-aerosol run results in a small
429 variation in the transportation of water vapor to the UTLS at and above the tropopause, and
430 the averaged water-vapor fluxes at the tropopause between these two simulations. These
431 averaged fluxes are over cloudy columns for the simulation period between 17:00 GMT on
432 August 5th and 12:00 GMT on August 6th. The averaged water-vapor fluxes vary from
433 $8.30 \times 10^{-6} \text{ kg m}^{-2} \text{ s}^{-1}$ in the control run to $8.21 \times 10^{-6} \text{ kg m}^{-2} \text{ s}^{-1}$ in the low-aerosol run.

434 The altitude of homogeneous freezing is at 9 km, so cirrus clouds which are
435 composed of ice crystals (or cloud ice) only are between 9 km and 13 km. Between 9 km
436 and 13 km, there are the presence of cloud ice and thus cirrus clouds in the control run,
437 meaning that the pyroCb, which is simulated in the control run, produces cirrus clouds
438 (Figure 6). The amount of cirrus clouds in the control run, as represented by the averaged
439 cloud-ice mass density, ranges from 0.028 to 0.037 g m^{-3} between 9 km and 13 km (Figure
440 6). The averaged cloud-ice number concentration and cloud-ice size, as represented by its
441 volume mean radius, between 9 km and 13 km ranges from 6 to 20 cm^{-3} , and from 10 to
442 20 micron, respectively. The altitudes between 9 km and 13 km correspond to a part of the
443 UTLS below the troposphere. Henceforth, the UTLS cirrus clouds mean those clouds in a
444 part of the UTLS below the tropopause.

445 Updrafts in the pyroCb produce supersaturation, which leads to the generation of cloud-
446 ice mass and associated cirrus clouds via deposition, the primary source of cloud-ice mass.
447 Similar to the situation with updraft mass fluxes, comparisons between the control run and
448 the low-aerosol run for strong fire intensity show that there is only a small increase ($\sim 4\%$)
449 in the mass of the UTLS cirrus clouds in the control run as compared to that in the low-
450 aerosol run (Figure 6 and Table 2). However, mainly due to the larger aerosol
451 concentrations, and associated greater homogeneous aerosol and droplet freezing, there is
452 a large ~ 20 -fold increase in cloud-ice number concentration and associated with this, there
453 is a large ~ 2 -fold decrease in cloud-ice size in the control run between 9 km and 13 km as
454 compared to that in the low-aerosol run. Due to the negligible variation of updraft mass
455 fluxes, there are negligible variations of supersaturation and deposition between the

456 simulations (Figure 7), and thus a negligible variation of the mass of the UTLS cirrus
457 clouds between the control run and the low-aerosol run. Mainly due to the variation of
458 aerosol concentrations, there are significant variations of cloud-ice number concentration
459 and size between the control run and the low-aerosol run.

460 In summary, the pyroCb and associated updrafts cause a substantial enhancement of
461 the transportation of water vapor to the UTLS at and above the tropopause. They also
462 produce cirrus clouds. The role, which is played by fire-generated aerosols and their effects
463 on the pyroCb and its updrafts, in the enhancement of the transportation of water vapor to
464 the UTLS at and above the tropopause, and in the production of the mass of the UTLS
465 cirrus clouds is not significant for strong fire intensity.

466

467 **4.2 Dependence of aerosol effects on fire intensity**

468

469 Taking interest in the negligible sensitivity of updrafts and their impacts on the UTLS water
470 vapor and the mass of the UTLS cirrus clouds to aerosol loading in the pyroCb, we raise a
471 possibility that this sensitivity is affected by fire intensity. When fire-generated surface
472 heat fluxes and fire intensity are increased, it is likely that in-cloud latent heat is also
473 increased because a major source of in-cloud latent heating is surface heat flux. Therefore,
474 the aerosol-induced perturbations of latent heating may be relatively small compared with
475 large in-cloud latent heat contributed by surface fluxes with very intense burning. Thus,
476 aerosol-induced increases in parcel buoyancy, updrafts and their impacts on water vapor
477 and the amount of cirrus clouds are relatively small compared with the large buoyancy,
478 strong fire-driven updrafts, produced by strong fire intensity and the associated large in-
479 cloud latent heat, and their impacts on water vapor and the amount of cirrus clouds.

480 Considering that a major source of in-cloud latent heat is surface heat fluxes, when the
481 fire-generated surface heat fluxes and the fire intensity are reduced, in-cloud latent heat is
482 also likely to be smaller. Here, we are interested in how the magnitude of an aerosol-
483 induced perturbation of latent heating for a pyroCb with weak fire intensity is compared to
484 that with strong fire intensity. This is to evaluate the possibility that with background in-
485 cloud latent heat varying with fire intensity, the relative magnitude of aerosol-induced
486 perturbation of latent heat to surface flux-dominated latent heat may vary.

4.2.1 Effects of Updrafts on the UTLS water vapor and cirrus clouds

487

488

489 The average updraft mass fluxes in the low-aerosol run, the medium-low run and the weak-
 490 low run as shown in Figure 4 represent fire-driven updrafts for strong, medium and weak
 491 fire intensity, respectively. Due to different fire intensity and associated CAPE, fire-driven
 492 updrafts vary between these runs. The variation of these fluxes between the clean-scenario
 493 run and the polluted-scenario run for each fire intensity is induced by fire-generated
 494 aerosols. All of the cases of weak, medium and strong fire intensity show aerosol-induced
 495 increases in updraft mass fluxes (Figure 4 and Table 2). Of interest is that the greatest
 496 percentage increase in updraft mass flux is in the case of weak fire (weak-low to weak
 497 runs), smallest in the case of strong fire (low-aerosol to control runs), and intermediate in
 498 the case of medium fire (medium-low to medium runs) (Figure 4 and Table 2). Since the
 499 updrafts mass flux is updraft speed that is multiplied by air density, and air density at each
 500 altitude does vary negligibly among simulations, differences in updraft mass fluxes are
 501 mostly explained by those in updraft speed. Hence, it can be said that percentage
 502 differences in updraft mass fluxes mean percentage differences in updraft speed with good
 503 confidence. Here, the percentage difference, including both the percentage increase and
 504 decrease, is the relative difference in the value of variables between the polluted-scenario
 505 run than the clean-scenario run for each fire intensity. This percentage difference for strong
 506 fire intensity is obtained as follows in this study:

507

$$508 \quad \frac{\text{The control run minus the low-aerosol run}}{\text{The low-aerosol run}} \times 100 (\%) \quad (1)$$

509

510 The percentage difference for medium (weak) fire intensity is obtained by replacing the
 511 control run with the medium (weak) run, and the low-aerosol run with the medium-low
 512 (weak-low) run in Equation (1). Associated with the greater increases in updraft mass
 513 fluxes, the percentage increases in the UTLS water vapor and cloud-ice mass (Equation 1)
 514 are greater in the case of weaker fire (Figures 5 and 6 and Table 2).

515

516

517

In this section, we see that although fire-produced aerosols invigorate updrafts in in all
 three types of fire intensity, the invigoration-induced increases in the UTLS water vapor
 and cloud-ice mass gets larger as fire intensity weakens.

4.2.2 Volume mean radius of droplets (R_v)

a. Cloud droplet number concentration (CDNC) and LWC

The simulation period is divided into four sub-periods for this next analysis: period 1 (initial formation of the pyroCb) between 17:00 and 19:00 GMT on August 5th, period 2 between 19:00 and 21:00 GMT on August 5th, and period 3 between 21:00 GMT and 23:00 GMT on August 5th (initial stages of cloud development), and period 4 between 23:00 GMT on August 5th and 12:00 GMT on August 6th (mature and decaying stages). CDNC, which is averaged at all altitudes in cloudy areas and over period 1, decreases as the fire intensity and updrafts decrease (Figure 8). The control run, the medium run and the weak run have higher aerosol concentrations over the fire spot (Table 1), which lead to the much higher averaged CDNC than the low-aerosol run, the medium-low run, and the weak-low run, respectively. Increasing CDNC enhances competition among droplets for a given amount of water, which is available for the condensational growth of droplets, in a cloud. Enhanced competition eventually curbs the condensational growth and reduces droplet size, which is represented by R_v in this study. This explains why R_v , which is averaged at all altitudes in cloudy areas and over period 1, is smaller in the polluted-scenario run than in the clean-scenario run for each fire intensity (Figure 8). Of interest is that as fire intensity weakens, although the averaged CDNC reduces, which tends to lower the competition among droplets, the averaged R_v decreases not only among the polluted-scenario runs over the fire spot but also among the clean-scenario runs over the fire spot (Figure 8). This is because R_v is proportional to $(\frac{LWC}{CDNC})^{\frac{1}{3}}$. Here, LWC represents the given amount of water which is available for the condensational growth of droplets. This proportionality means that for a given CDNC, a decrease in LWC also causes R_v to decrease, i. e., a decrease in the available amount of water for the condensational growth with no changes in CDNC induces a decrease in R_v . LWC, which is averaged at all altitudes in cloudy areas and over period 1, also decreases with weakening fire intensity and updrafts not only among the polluted-scenario runs but also among the clean-scenario runs (Figure 8). Effects of LWC on R_v outweigh those of CDNC and this leads to the decrease in the averaged R_v with weakening fire intensity (Figure 8).

549 Using the averaged LWC and the averaged CDNC that are shown in Figure 8, it is
 550 found that $(\frac{LWC}{CDNC})^{\frac{1}{3}}$ varies by 1.50×10^{-5} kg from 3.50×10^{-5} kg in the control run for strong
 551 fire intensity to 2.00×10^{-5} kg in the weak run for weak fire intensity, while it varies by
 552 9.80×10^{-6} kg from 1.03×10^{-4} kg in the low-aerosol run for strong fire intensity to 9.32×10^{-5}
 553 kg in the weak-low run for weak fire intensity. Associated with this, the averaged R_v
 554 shows a 47 % reduction from $3.20 \mu\text{m}$ in the control run to $1.70 \mu\text{m}$ in the weak run, and
 555 the averaged R_v shows a 10 % reduction from $7.75 \mu\text{m}$ in the low-aerosol run to $6.98 \mu\text{m}$
 556 in the weak-low run during period 1 (Figure 8).

557 In summary, the simulated LWC, CDNC, their variation with varying fire intensity,
 558 and the functional relation between LWC, CDNC and R_v , which is $R_v \propto (\frac{LWC}{CDNC})^{\frac{1}{3}}$, leads to
 559 a situation where R_v reduce much more among the polluted-scenario runs than among the
 560 clean-scenario runs during the period with the initial formation of the pyroCb.

561

562 **b. Equilibrium supersaturation**

563

564 During period 1, as fire intensity weakens and updraft speed decreases, parcel equilibrium
 565 supersaturation, which is supersaturation when supersaturation in a rising air parcel stops
 566 to increase (Rogers and Yau, 1991), lowers and thus, the minimum size of activated aerosol
 567 particles increases not only among the clean-scenario runs but also among the polluted-
 568 scenario runs. Mostly due to greater aerosol concentrations, the averaged equilibrium
 569 supersaturation and the averaged associated minimum size of activated aerosol particles
 570 over areas with positive updraft speed and period 1, are lower and higher, respectively, in
 571 the polluted-scenario run than in the clean-scenario run for each fire intensity. Rogers and
 572 Yau (1991) have also shown that higher aerosol concentrations induce lower and higher
 573 equilibrium supersaturation and the averaged associated minimum size of activated aerosol
 574 particles, respectively.

575 The averaged equilibrium supersaturation reduces from 0.21% in the control run for
 576 strong fire intensity to 0.10% in the weak run for weak fire intensity. Associated with this,
 577 the averaged minimum size in diameter increases from $0.09 \mu\text{m}$ in the control run to $0.12 \mu\text{m}$
 578 μm in the weak run over period 1. The averaged equilibrium supersaturation reduces from

579 0.55% in the low-aerosol run for strong fire intensity to 0.31% in the weak-low run for
 580 weak fire intensity. Associated with this, the averaged minimum size increases from 0.04
 581 μm in the low-aerosol run to 0.07 μm in the weak-low run over period 1.

582 The increase in the minimum-activation size with weakening fire intensity occurs
 583 closer to the right tail of the assumed unimodal aerosol size distribution among the
 584 polluted-scenario runs than among the clean-scenario runs. A smaller portion of the total
 585 aerosol concentration is in the size range which is closer to the right tail of the assumed
 586 unimodal aerosol size distribution than that which is less close to the right tail as long as
 587 changes in the minimum size in these two size ranges are similar and these ranges are on
 588 the right-hand side of the aerosol distribution; most of aerosol activation occurs for aerosol
 589 sizes on the right-hand side of the distribution peak, here we are only concerned with the
 590 size ranges on the right-hand side. So, a similar increase in the averaged minimum-
 591 activation size for a weakened fire results in a smaller percentage reduction in the total
 592 activated aerosol concentration and thus CDNC among the polluted-scenario runs than
 593 among the clean-scenario runs during period 1. CDNC, which is averaged in cloudy areas
 594 and period 1, decreases by 8% from 850 cm^{-3} in the control run to 780 cm^{-3} in the weak
 595 run. The averaged CDNC decreases by 76% from 33 cm^{-3} in the low-aerosol run to 8 cm^{-3}
 596 in the weak-low run (Figure 8). This contributes to greater reduction in $(\frac{LWC}{CDNC})^{\frac{1}{3}}$ and thus
 597 R_v as fire intensity weakens among the polluted-scenario runs than among the clean-
 598 scenario runs during period 1. This is for a similar simulated LWC between the polluted-
 599 scenario run and the clean-scenario run for each fire intensity (Figure 8).

600 In summary, due to larger aerosol concentrations and associated lower equilibrium
 601 supersaturation, the variation of the number of activated aerosols with varying fire intensity
 602 and updrafts occurs in the aerosol size range that is closer to the right tail of the assumed
 603 aerosol size distribution in the polluted-scenario runs than in the clean-scenario runs. In
 604 the size range that is closer to the right tail of the size distribution, there is a smaller portion
 605 of aerosol concentrations and thus the smaller percentage variation of the number of
 606 activated aerosols and CDNC in the polluted-scenario runs than in the clean-scenario runs.
 607 This smaller variation of CDNC aids the greater reduction in R_v among the polluted-
 608 scenario runs than among the clean-scenario runs via the relation of $R_v \propto (\frac{LWC}{CDNC})^{\frac{1}{3}}$, in the

609 situation where LWC is similar between the polluted-scenario run and the clean-scenario
610 run for each fire intensity.

611

612 **4.2.3 Autoconversion, freezing, deposition and condensation**

613

614 According to previous studies (e.g., Khairoutdinov and Kogan, 2000; Liu and Daum, 2004;
615 Lee and Baik, 2017), autoconversion is proportional to the size of cloud droplets. This is
616 explained by the fact that the efficiency of collection among droplets is proportional to
617 droplet size (Pruppacher and Klett, 1978; Rogers and Yau, 1991). Due to the larger R_v
618 during period 1, the subsequent autoconversion rates, which are averaged in cloudy areas
619 and over period 2, are higher in the clean-scenario run than in the polluted-scenario run for
620 each fire intensity (Figure 9a). Due to the larger absolute and percentage reduction in R_v ,
621 as described in Section 4.2.2, there is a larger absolute and percentage reduction in
622 autoconversion rate among the polluted-scenario runs than among the clean-scenario runs
623 with weakening fire intensity during period 2 (Figure 9a). The averaged autoconversion
624 rates over period 2 reduce from $3.61 \times 10^{-6} \text{ g m}^{-3} \text{ s}^{-1}$ in the control run with strong fire
625 intensity to $0.93 \times 10^{-6} \text{ g m}^{-3} \text{ s}^{-1}$ in the weak run with weak fire intensity through 2.01×10^{-6}
626 $\text{g m}^{-3} \text{ s}^{-1}$ in the medium run with medium fire intensity by 74%. Those averaged
627 autoconversion rates reduce from $4.52 \times 10^{-6} \text{ g m}^{-3} \text{ s}^{-1}$ in the low-aerosol run with strong fire
628 intensity to $3.94 \times 10^{-6} \text{ g m}^{-3} \text{ s}^{-1}$ in the weak-low run with weak fire intensity through
629 $4.43 \times 10^{-6} \text{ g m}^{-3} \text{ s}^{-1}$ in the medium-low run with medium fire intensity by 14%. Associated
630 with this, differences in the averaged autoconversion rates between the polluted-scenario
631 run and the clean-scenario run get greater as fire intensity weakens during period 2 (Figure
632 9a).

633 Due to smaller autoconversion rates, there is more cloud liquid available for freezing
634 in the polluted-scenario run than in the clean-scenario run for each fire intensity,
635 particularly during period 2. Hence, the rate of cloud-liquid freezing, which is averaged in
636 cloudy areas and period 2, is greater in the polluted-scenario run than in the clean-scenario
637 run for each fire intensity (Figure 9a). Differences in autoconversion rates between the
638 polluted-scenario run and the clean-scenario run, which increase with weakening fire
639 intensity, induce those differences in the amount of cloud liquid available for freezing to

640 get greater with weakening fire intensity (Figure 9a). Thus, differences in the averaged rate
641 of cloud-liquid freezing between the polluted-scenario run and the clean-scenario run over
642 period 2 gets greater with weakening fire intensity (Figure 9a). Due to this, differences in
643 freezing-related latent heat between the runs increase with weakening fire intensity. When
644 fire intensity is strong, the difference in freezing-related latent heat, which is averaged in
645 cloudy areas and period 2, between the polluted-scenario run, which is the control run, and
646 the clean-scenario run, which is the low-aerosol run, is $1.60 \times 10^{-4} \text{ J m}^{-3} \text{ s}^{-1}$. However, with
647 medium fire intensity, that difference between the polluted-scenario run, which is the
648 medium run, and the clean-scenario run, which is the medium-low run, is $6.98 \times 10^{-4} \text{ J m}^{-3}$
649 s^{-1} , while with weak fire intensity, that difference between the polluted-scenario run, which
650 is the weak run, and the clean-scenario run, which is the weak-low run, is $7.94 \times 10^{-4} \text{ J m}^{-3}$
651 s^{-1} . This corresponds to the variation of the percentage differences, which are calculated by
652 Equation (1), in the averaged freezing-related latent heat between the polluted-scenario run
653 and the clean-scenario run from 9% with strong fire intensity to 83% with weak fire
654 intensity through 51% with medium fire intensity over the period 2.

655 As shown in Lee et al. (2017), enhanced freezing-related latent heat strengthens
656 updrafts in places where freezing occurs and this, in turn, enhances deposition and
657 deposition-related latent heat. Hence, although deposition, which is averaged in cloudy
658 areas and period 2, is slightly lower, due to those strengthened updrafts, the averaged
659 deposition and deposition-related latent heat are greater in the polluted-scenario run than
660 in the clean-scenario run for each fire intensity during period 3 (Figures 9a and 9b).
661 Differences in the averaged freezing rate (and thus the averaged freezing-related latent
662 heating) in cloudy areas between the polluted-scenario run and the clean-scenario run for
663 each fire intensity do not change much up to ~20:30 GMT after they start to appear around
664 18:30 GMT (Figure 10). However, after ~20:30 GMT, these differences start to increase
665 as time goes by for each fire intensity. This is because as convection intensifies, the
666 transportation of cloud liquid to places above the freezing level starts to be effective around
667 20:30 GMT.

668 The greater freezing and thus freezing-related latent heat in the polluted-scenario run
669 than in the clean-scenario run for each fire intensity, which start to be significant around
670 20:30 GMT as compared to those before 20:30 GMT, invigorates updrafts, which are

671 represented by the averaged updraft mass fluxes in cloud areas. This subsequently causes
672 updrafts to be stronger in the polluted-scenario run than in the clean-scenario run for each
673 fire intensity from ~21:00 GMT on (Figure 10). Then, the stronger updrafts induce
674 deposition, which is averaged in cloudy areas, to be greater in the polluted-scenario run
675 than in the clean-scenario run for each fire intensity. This is around 10-20 minutes after the
676 stronger updrafts in the polluted-scenario run than in the clean-scenario run for each fire
677 intensity start to occur (Figure 10). Note that deposition-related latent heat is about one
678 order of magnitude greater than freezing-related latent heat for a unit of mass of
679 hydrometeors involved in phase-transition processes. This contributes to much greater
680 differences in deposition-related latent heat during period 3 than those in freezing-related
681 latent heat between the polluted-scenario run and the clean-scenario run for each fire
682 intensity during period 2 or 3 (Figures 9a and 9b).

683 To satisfy mass conservation, the enhanced updrafts above the freezing level, due
684 to enhanced freezing and deposition, induce more updraft mass fluxes below the freezing
685 level in polluted-scenario run than in the clean-scenario run for each fire intensity. This
686 leads to more convergence around and below cloud base, which is air flow from
687 environment to cloud, in the polluted-scenario run than in the clean-scenario run for each
688 fire intensity. The more mass fluxes and the more convergence below the freezing level, in
689 turn, enhance condensation. Hence, condensation, which is averaged in cloud areas, starts
690 to be greater when time reaches ~22:30 GMT in the polluted-scenario run than in the clean-
691 scenario run for each fire intensity (Figure 10). This induces the averaged condensation
692 and condensation-related latent heat to be greater in the polluted-scenario run than in the
693 clean-scenario run for each fire intensity during period 4 (Figure 9c). Enhanced
694 condensation in turn enhances updrafts, establishing a positive feedback between freezing,
695 deposition, condensation, and updrafts and thus, enhancing freezing, deposition,
696 condensation, and updrafts further. This enhancement due to feedback eventually
697 determines the overall differences in the pyroCb properties and their impacts on the UTLS
698 water vapor and cloud ice between the polluted-scenario run than in the clean-scenario run
699 for each fire intensity.

700 Differences in freezing-related latent heat between the polluted-scenario run and the
701 clean-scenario run increase with weakening fire intensity, particularly during period 2.

702 Thus, percentage differences in freezing-affected updrafts and subsequently in deposition-
703 related latent heat, which is averaged in cloudy areas and over period 3, between the
704 polluted-scenario run and the clean-scenario run also increase with weakening fire intensity
705 (Figures 9a, 9b and 10). Those differences, as calculated by Equation (1), in deposition-
706 related latent heat are 16%, 181%, and 417 % for strong, medium, and weak fire intensity,
707 respectively (Figures 9b and 10). Since percentage increases in deposition-related latent
708 heat in the polluted-scenario run get greater with weakening fire intensity, the subsequent
709 percentage increases in updrafts in the polluted-scenario run as compared to updrafts in the
710 clean-scenario run get greater with weakening fire intensity, particularly during period 3
711 (Figure 10). During period 4, due to these greater increases in updrafts in the polluted-
712 scenario run with weaker fire intensity, the percentage increases in condensation in the
713 polluted-scenario run as compared to condensation in the clean-scenario run get greater
714 with weakening fire intensity (Figures 9c and 10). Then, the increases in condensation, in
715 turn, further enhance the increases in updrafts in the polluted-scenario run for each fire
716 intensity. This enhancement is greater with weaker fire intensity due to the greater
717 increases in condensation with weaker fire intensity. This leads to the greater overall effects
718 of fire-produced aerosols on the UTLS water vapor and ice with weaker fire intensity.

719 In this section, we see that the smaller R_v leads to lower autoconversion rates and a
720 larger amount of cloud liquid as a source of freezing, which in turn induce higher freezing
721 rates and stronger feedbacks between freezing, deposition, condensation and updrafts in
722 the polluted-scenario run than in the clean-scenario run for each fire intensity. This results
723 in stronger updrafts and their impacts on the UTLS water vapor and ice in the polluted-
724 scenario run than in the clean-scenario run for each fire intensity. The greater R_v reduction
725 among the polluted-scenario runs than among the clean-scenario runs with weakening fire
726 intensity induces the differences in autoconversion, freezing and the feedbacks between
727 the polluted-scenario run and the clean-scenario run to get greater as fire intensity weakens.
728 This results in the greater impacts of aerosol-induced stronger updrafts on the UTLS water
729 vapor and ice with weaker fire intensity.

730

731 **4.3 Dependence of aerosol effects on the magnitude of aerosol perturbation**

732

733 Table 3 shows that for each of the strong-, medium-, and weak-fire cases, there are
734 increases in the UTLS water-vapor mass and in the amount of the UTLS cirrus clouds in
735 the run with the fire-induced aerosol perturbations of 30000 or 7500 cm^{-3} . These increases
736 are relative to the mass and the amount in the low-aerosol run for the strong-fire case, in
737 the medium-low run for the medium-fire case, and in the weak-low run for the weak-fire
738 case, respectively, with no fire-induced aerosol perturbation. Note that for each of the three
739 types of fire-induced aerosol perturbations of 30000, 15000 and 7500 cm^{-3} , aerosol-
740 perturbation-induced percentage increases in the UTLS water-vapor mass and the amount
741 of the UTLS cirrus clouds get greater as fire intensity weakens (Tables 2 and 3). The
742 qualitative nature of results regarding the dependence of the percentage increases in the
743 UTLS water-vapor mass and the amount of the UTLS cirrus clouds on fire intensity thus
744 does not depend on the magnitude of the fire-induced aerosol perturbation.

745 Until now, we considered the situation where the fire-induced aerosol perturbation
746 does not vary with fire intensity. Note that so far, we have taken interest in the sensitivity
747 to fire intensity of an aerosol perturbation on pyroCb development, the UTLS water vapor,
748 and cirrus clouds. Hence, to examine and isolate the sensitivity, we have shown
749 comparisons among sensitivity simulations by varying only fire intensity while
750 maintaining a constant aerosol perturbation. While working well for the isolation aspect,
751 this strategy does not reflect reality well. It may be that weaker fire intensity produces a
752 smaller aerosol concentration. This possibility is not that unrealistic, since stronger fire
753 likely involves more material burnt and more aerosols from it.

754 With this situation in mind, we make comparisons among three pairs of simulations:
755 the low-aerosol run and the control-30000 run for strong fire vs. the medium-low run and
756 the medium run for medium fire vs. the weak-low run and the weak-7500 run for weak fire.
757 Hence, among these three pairs, the magnitude of fire-induced aerosol perturbation reduces
758 with weakening fire, emulating the possibility that weaker fire intensity involves a less
759 amount of aerosols. For strong fire, the perturbation-related aerosol concentration is 30000
760 cm^{-3} , for medium fire, it is 15000 cm^{-3} , and for weak fire, it is 7500 cm^{-3} . As shown in
761 Tables 2 and 3, comparisons among these three pairs show that relative importance of
762 aerosol effects on the pyroCb development and its impacts on UTLS water vapor and cirrus
763 clouds increases for weaker fires, and it does not matter if the aerosol perturbation reduces

764 or stays constant with weakening fire intensity. In these comparisons, it is also possible
765 that when fire-induced aerosol perturbation is very low for medium or weak fire intensity,
766 the latent heat perturbation by aerosol perturbation can be very low. This very low latent
767 heat is not large enough to increase the relative importance of those aerosol effects with
768 weakening fire intensity. Based on this, the medium run and the weak run are repeated
769 again. The medium run is repeated with lower fire-induced aerosol perturbations than the
770 perturbation of 15000 cm^{-3} , while the weak run is repeated with lower fire-induced aerosol
771 perturbations than the perturbation of 7500 cm^{-3} . Recall that when the repeated medium
772 run has the aerosol perturbation of 2000 cm^{-3} , the repeated medium run is referred to as the
773 medium-2000 run; when the repeated weak run has the aerosol perturbation of 1000 cm^{-3} ,
774 the repeated weak run is referred to as the weak-1000 run. The percentage increases in the
775 UTLS water vapor and cirrus-cloud amount from the medium-low run to the medium-2000
776 run or from the weak-low run to the weak-1000 run are smaller than those increases, for
777 the case of strong fire, from the low-aerosol run to the control-30000 run. This indicates
778 that when fire-induced aerosol perturbation reduces too much with weakening fire intensity,
779 the relative importance of aerosol effects on pyroCb development and its impacts on the
780 UTLS water vapor and cirrus clouds no longer increases with the weakening fire intensity.

781 Results in this section shows that the increasing impacts of fire-induced aerosol
782 perturbations on the UTLS water vapor and cirrus clouds with weakening fire intensity is
783 robust whether those aerosol perturbations vary with varying fire intensity or not, unless
784 the variation of aerosol perturbations is extremely high.

785

786 **5. Conclusions**

787

788 This study investigates an observed case of a pyroCb using a modeling framework. In
789 particular, this study focuses on effects of fire-produced aerosols on pyroCb development
790 and its impacts on the UTLS water vapor and cirrus clouds. Results show that pyroCb
791 updrafts transport water vapor to the tropopause and above efficiently. This leads to a much
792 greater amount of water vapor around and above the tropopause (i.e., the UTLS) over the
793 pyroCb as compared to that in the background outside the pyroCb. The pyroCb also
794 generates a deck of cirrus cloud around the tropopause. It is found that the role played by

795 fire-produced aerosols or the fire-induced aerosol perturbation in the water-vapor
796 transportation to UTLS and the production of cirrus cloud in the pyroCb gets more
797 significant as fire intensity weakens.

798 As fire intensity weakens, due to the reduction in LWC, R_v decreases despite the
799 reduction in CDNC that tends to increase R_v . During the initial stage, there is a similar
800 LWC between the polluted-scenario run (i.e., the control run for strong fire intensity, the
801 medium run for medium fire intensity and the weak run for weak fire intensity with the
802 fire-induced aerosol perturbation) and the clean-scenario run (i.e., the low-aerosol run for
803 strong fire intensity, the medium-low run for medium fire intensity and the weak-low run
804 for weak fire intensity with no fire-induced aerosol perturbation) for each fire intensity.
805 The reduction in LWC with weakening fire intensity among the polluted-scenario runs is
806 also similar to that among the clean-scenario runs. During the initial stage, there are much
807 greater CDNC in the polluted-scenario run than in the clean-scenario run for each fire
808 intensity, and the smaller CDNC reduction among the polluted-scenario runs than among
809 the clean-scenario runs with weakening fire intensity. This situation during the initial stage
810 induces R_v to reduce much more among the polluted-scenario runs than among the clean-
811 scenario runs with weakening fire intensity. This reduces autoconversion more among the
812 polluted-scenario runs than among the clean-scenario runs with weakening fire intensity.
813 This makes differences in autoconversion between the polluted-scenario run and the clean-
814 scenario run increase as fire intensity weakens. The increasing difference in autoconversion
815 between the polluted-scenario run and the clean-scenario run causes greater differences in
816 freezing-related latent heat as fire intensity weakens. Through feedback between freezing,
817 deposition, updrafts, and condensation, differences in freezing-related latent heat induce
818 differences in updrafts between the polluted-scenario run and the clean-scenario run. Those
819 greater differences in freezing-related latent heat also lead to greater differences in updrafts,
820 producing the greater differences in the UTLS water vapor and cirrus clouds between the
821 runs with weaker fire intensity. This means that the role of fire-produced aerosols in water-
822 vapor transport to the UTLS and the production of cirrus cloud in the pyroCb becomes
823 more significant as fire intensity weakens.

824 The more significant role of fire-produced aerosols in water-vapor transport to the
825 UTLS and the production of cirrus cloud in the pyroCb with weaker fire intensity is robust

826 to the magnitude of the given fire-induced aerosol perturbation which was assumed not to
827 vary with varying fire intensity. This more significant role with weaker fire intensity is also
828 robust to the variation of the fire-induced aerosol perturbation with the varying fire
829 intensity unless the variation is very high.

830 It is true that the level of the understanding of a mechanism that controls the role
831 played by fire-produced aerosols in the development of pyroCb and their impacts on the
832 UTLS water vapor and cirrus clouds has been low. This study shows that fire-produced
833 aerosols can invigorate convection and updrafts and thus cause enhanced transportation of
834 water vapor to the UTLS and enhanced formation of cirrus clouds. This study finds that
835 the mechanism that controls the invigoration of convection by aerosols in the pyroCb is
836 consistent with the traditional invigoration mechanism which was proposed and detailed in
837 Rosenfeld et al. (2008). However, this study shows that for pyroCbs produced by strong
838 fires, the aerosol-induced invigoration and its effects on the UTLS water vapor and cirrus
839 clouds are insignificant. Note that traditional understanding generally focuses on effects of
840 fire-produced heat and water vapor and their associated fluxes around the surface on the
841 pyroCb and does not consider effects of fire-produced aerosols on the pyroCb, and this
842 understanding adequately explains the mechanics for pyroCbs in association with strong
843 fires. However, this study suggests that the role of fire-produced aerosols in pyroCb
844 development and its effects on the UTLS water vapor and cirrus clouds should be
845 considered for cases where pyroCbs form over weak-intensity fires, should one be observed
846 in nature.

847 It is of interest to note that when fire-induced aerosol perturbations are strongly
848 reduced for cases of weaker-intensity fires compared with strong-intensity fires, the
849 significance of the role played by fire-produced aerosol perturbation does not increase any
850 longer and starts to reduce with weakening fire. This suggests that there is a critical level
851 of aerosol perturbation below which the increase in the significance with weakening fire
852 intensity ceases.

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857 Author contributions

858 SSL came up with the research goals and aims, performed the simulations, and wrote the
859 manuscript. GK and ZL selected the case, analyzed observations, and provided data to set
860 up the simulations while reviewing and providing comments on the manuscript. CHJ and
861 YSC revised manuscript based on the reviewers' comments and perform associated
862 analyses of simulation and observation data.

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1088 **FIGURE CAPTIONS**

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1091 Figure 1. VIIRS visible image of the fire, smoke and cirrus cloud which are associated with
1092 the selected pyroCb. Bright white represents cirrus (anvil) at the top of the pyroCb, while
1093 the red circle marks the fire spot. Dark white represents smoke produced by the fire.
1094 Adapted from Kablick et al. (2018).

1095

1096 Figure 2. The simulated fire spot (red circle) and the field of cloud-ice mass density (cirrus
1097 cloud) at the top of the simulated pyroCb when the pyroCb is about to advance to its mature
1098 stage.

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1100 Figure 3. The vertical distribution of the radar reflectivity which is averaged over the
1101 Cloudsat path.

1102

1103 Figure 4. Vertical distributions of the averaged updraft mass fluxes at all altitudes in cloudy
1104 areas (where the sum of LWC and IWC is non-zero) over the simulation period between
1105 17:00 GMT on August 5th and 12:00 GMT on August 6th.

1106

1107 Figure 5. Vertical distributions of average water-vapor mass density at altitudes above 13
1108 km and over the simulation period between 17:00 GMT on August 5th and 12:00 GMT on
1109 August 6th. Colored lines represent the averaged values over cloudy grid columns (non-
1110 zero sum of LWP and IWP). The black line represents those values over non-cloudy
1111 columns (zero sum of LWP and IWP) in the control run.

1112

1113 Figure 6. Vertical distributions of the averaged cloud-ice mass density at all altitudes in
1114 cloudy areas (non-zero sum of LWC and IWC) over the simulation period between 17:00
1115 GMT on August 5th and 12:00 GMT on August 6th.

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1117 Figure 7. Same as Figure 6 but for deposition rate.

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1119 Figure 8. The averaged CDNC, R_v , and LWC at all altitudes in cloudy areas, over the period
1120 between 17:00 and 19:00 GMT on August 5th.

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1122 Figure 9. The averaged rates of condensation, deposition and cloud-liquid freezing at all
1123 altitudes in cloudy areas and over periods (a) 2, (b) 3 and (c) 4. In panel (a), the average
1124 autoconversion rates are additionally shown.

1125

1126 Figure 10. Time series of differences in the average values of variables related to aerosol-
1127 induced invigoration of convection, at all altitudes in cloudy areas between the (a) control
1128 and low-aerosol runs for strong fire intensity, (b) medium and medium-low runs for
1129 medium fire intensity and (c) weak and weak-low runs for weak fire intensity.

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Simulations	Surface sensible heat fluxes in the fire spot (W m^{-2})	Surface latent heat fluxes in the fire spot (W m^{-2})	Aerosol concentration in the PBL over the fire spot (cm^{-3})
Control run	15000	1800	15000
Low-aerosol run	15000	1800	150
Control-30000	15000	1800	30000
Control-7500	15000	1800	7500
Medium run	7500	900	15000
Medium-low run	7500	900	150
Medium-30000	7500	900	30000
Medium-7500	7500	900	7500
Medium-2000	7500	900	2000
Weak run	3750	450	15000
Weak-low run	3750	450	150
Weak-30000	3750	450	30000
Weak-7500	3750	450	7500
Weak-1000	3750	450	1000

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1151 Table 1. Summary of simulations

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	Backg- round	Control	Low- aerosol	Differe- nce (%)	Medium	Meidum- low	Differe- nce (%)	Weak	Weak- low	Differe- nce (%)
Updraft mass fluxes (kg m ⁻² s ⁻¹)		1.23	1.19	3	0.89	0.70	27	0.42	0.21	100
Water- vapor mass density between 13 and 16 km (10 ⁻³ g m ⁻³)	0.46	2.31	2.26	2	1.61	1.32	22	0.93	0.58	60
Cirrus- cloud mass density between 9 and 13 km (g m ⁻³)		0.024	0.023	4	0.017	0.012	42	0.008	0.004	100

1171

1172 Table 2. The averaged updraft mass fluxes at all altitudes in cloudy areas, the averaged
1173 water-vapor mass density over altitudes between 13 and 16 km and over cloudy columns
1174 except for the averaged background water-vapor mass density which is also over altitudes
1175 between 13 and 16 km but over non-cloudy columns, and the averaged cirrus-cloud mass
1176 density between 9 and 13 km in cloudy areas. 16 km is an altitude to which the non-zero
1177 water-vapor mass density over cloudy columns extends (Figure 5). These averaged values
1178 are obtained over the simulation period between 17:00 GMT on August 5th and 12:00 GMT
1179 on August 6th. “Difference” is the percentage difference between the polluted-scenario run
1180 and the clean-scenario run for each fire intensity

1181 $\left(\frac{\text{The polluted-scenario run minus the clean-scenario run}}{\text{The clean-scenario run}} \times 100 (\%) \right)$.

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	Control-30000	Control-7500	Medium-30000	Medium-7500	Medium-2000	Weak-30000	Weak-7500	Weak-1000
Water vapor mass density between 13 and 16 km (10^{-3} g m ⁻³)	2.38 (5%)	2.28 (0.9%)	1.87 (42%)	1.50 (14%)	1.36 (3%)	1.31 (125%)	0.75 (29%)	0.60 (3%)
Cirrus cloud mass density between 9 and 13 km (g m ⁻³)	0.025 (9%)	0.023 (0.2%)	0.023 (92%)	0.014 (17%)	0.012 (3%)	0.013 (225%)	0.006 (50%)	0.004 (8%)

1189

1190 Table 3. The averaged water-vapor mass density between 13 and 16 km over cloudy
 1191 columns and, the averaged cirrus-cloud mass density between 9 and 13 km in cloudy areas,
 1192 over the simulation period between 17:00 GMT on August 5th and 12:00 GMT on August
 1193 6th. The numbers in parentheses are the percentage differences:

1194 $\frac{\text{The control-30000 (or the control-7500) run minus the low-aerosol run}}{\text{The low-aerosol run}} \times 100$ (%) for strong

1195 fire intensity,

1196 $\frac{\text{The medium-30000 (or the medium-7500 or the medium-2000) run minus the medium-low run}}{\text{The medium-low run}} \times$

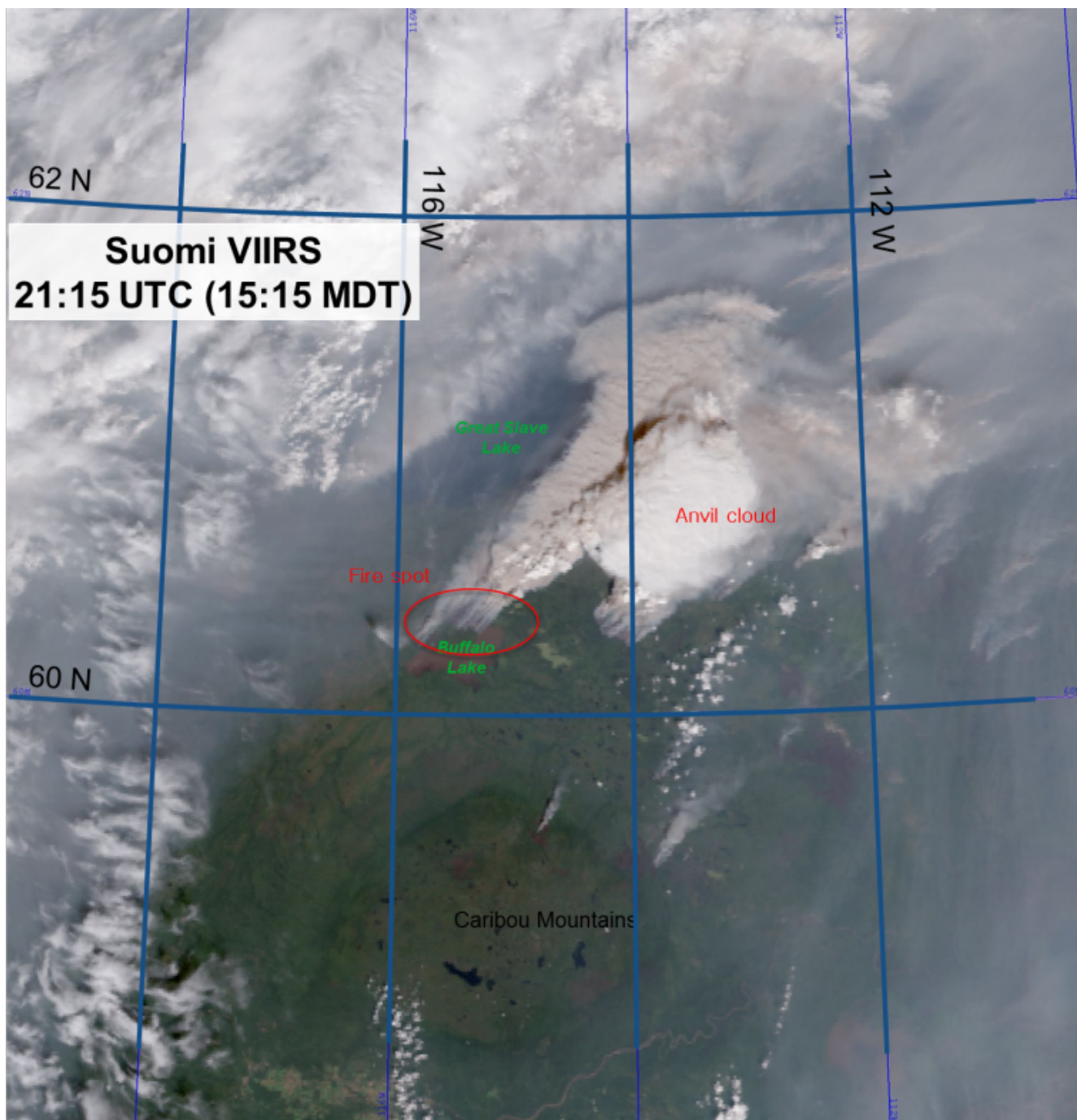
1197 100 (%) for medium fire intensity, and

1198 $\frac{\text{The weak-30000 (or the weak-7500 or the weak-1000) run minus the weak-low run}}{\text{The weak-low run}} \times 100$ (%) for

1199 weak fire intensity.

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Figure 1

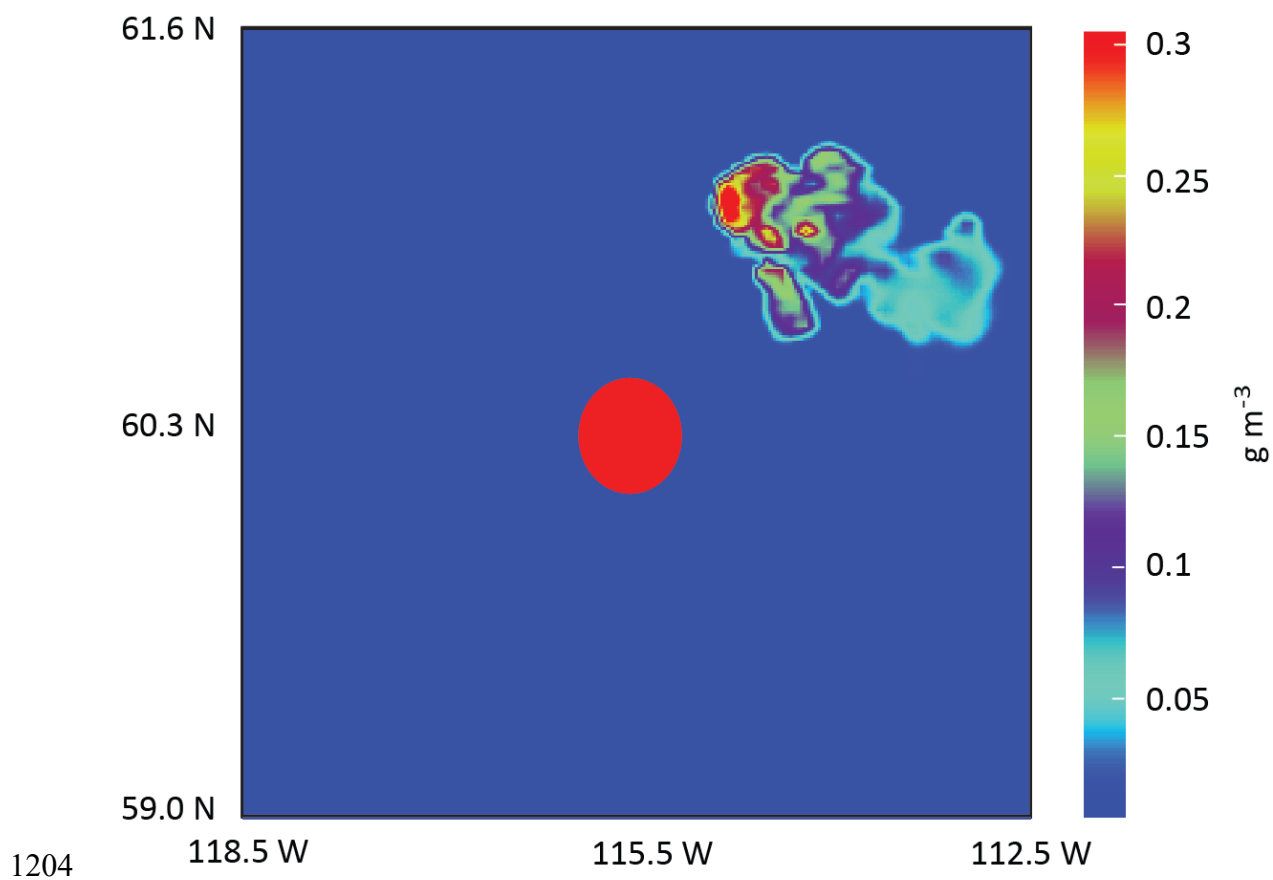


Figure 2

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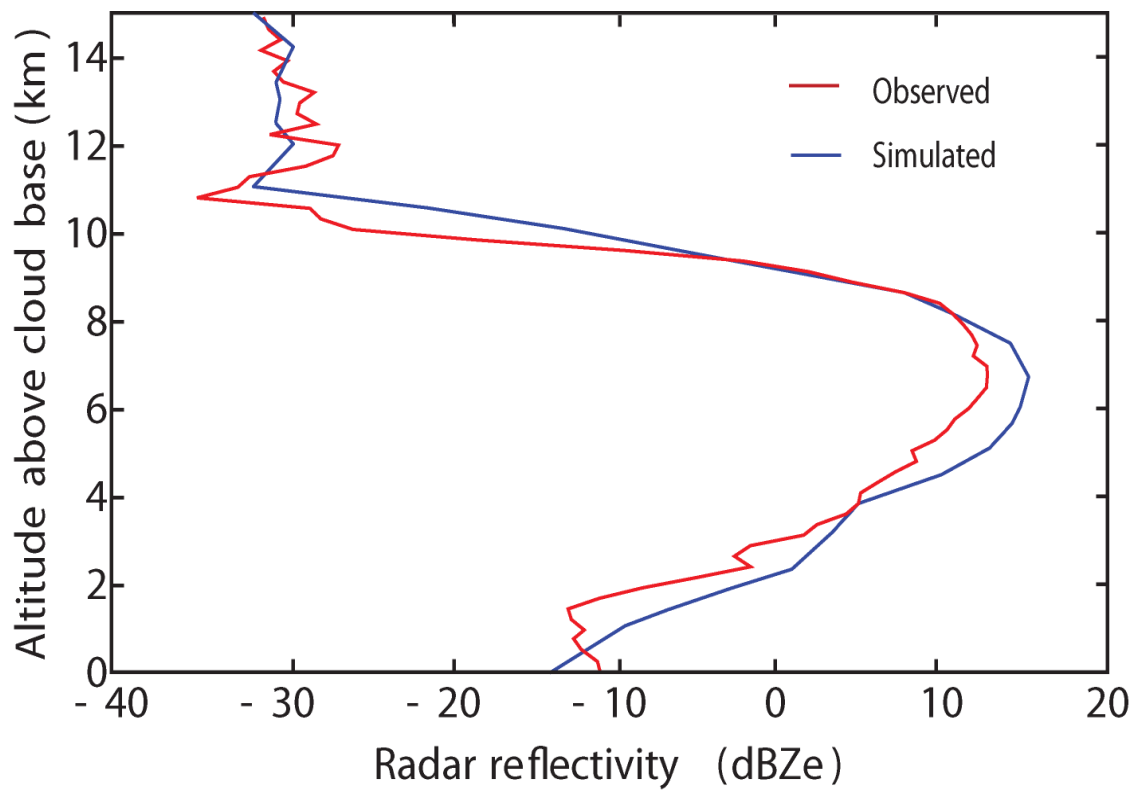
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Figure 3

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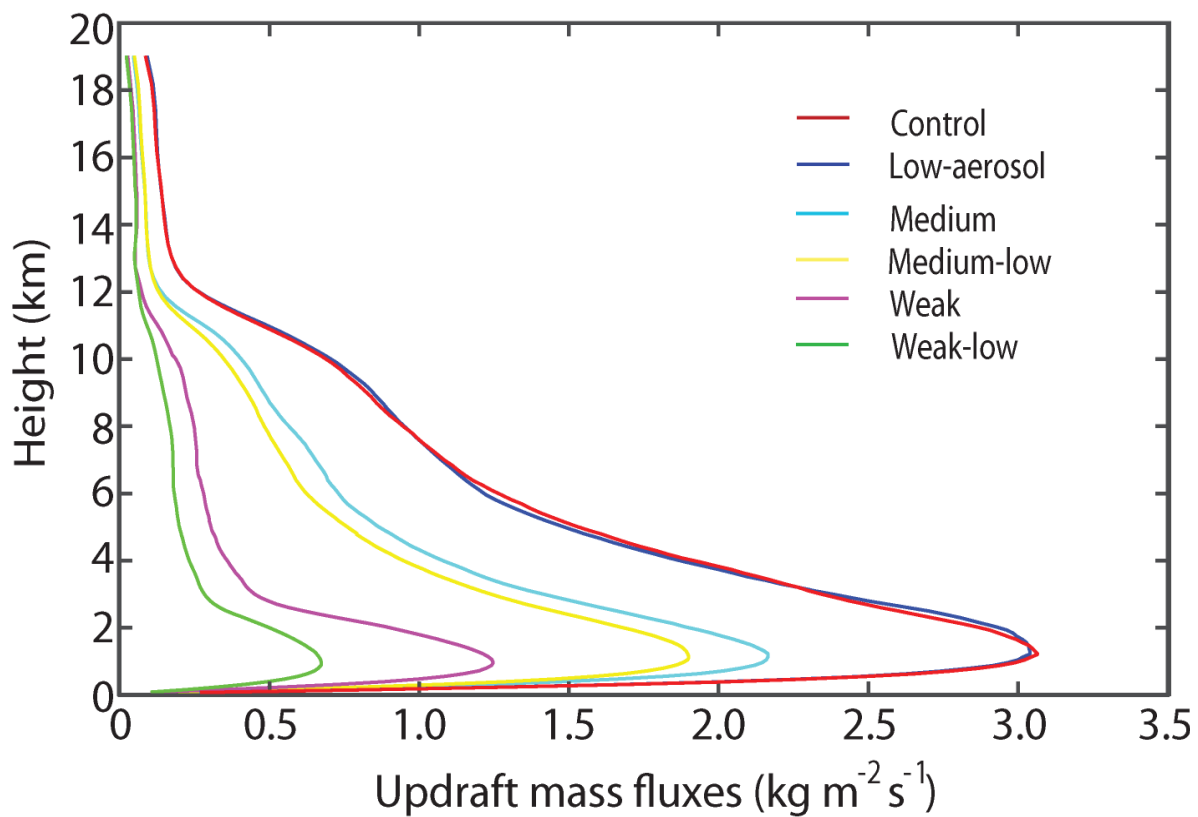
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Figure 4

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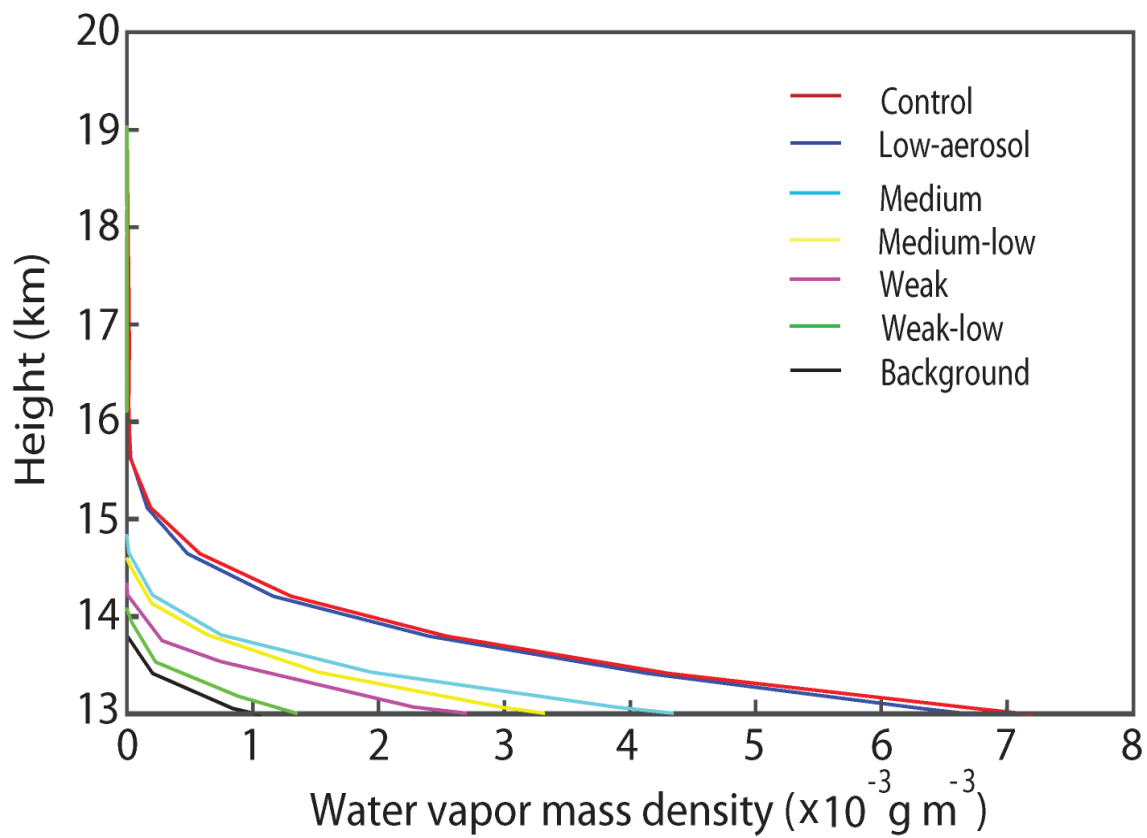
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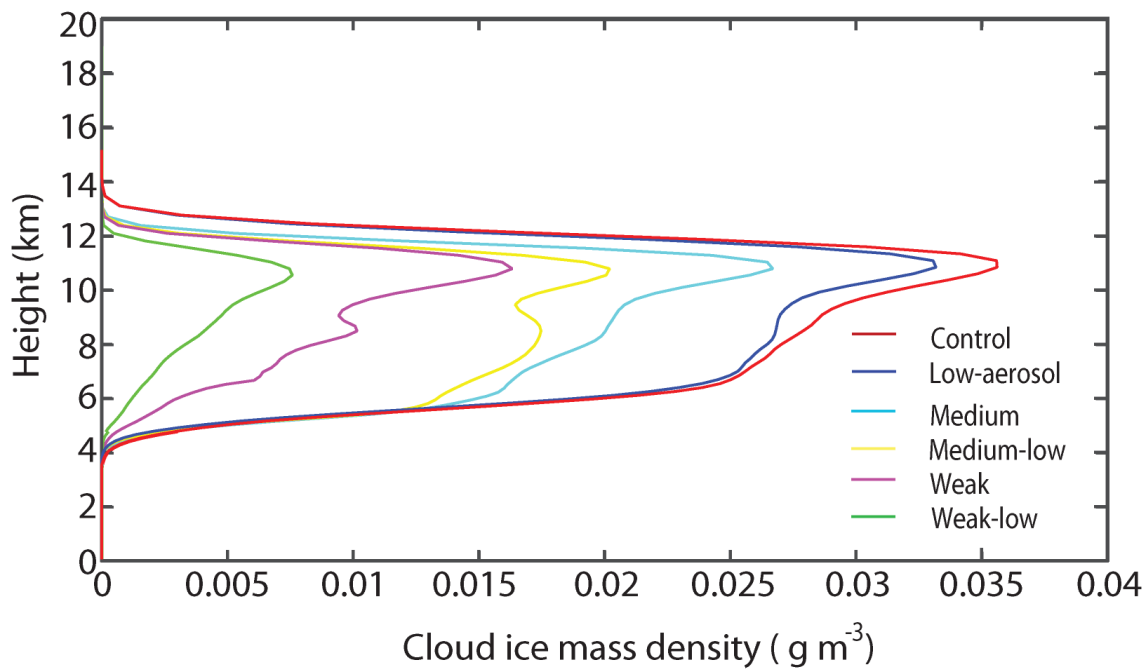
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Figure 5



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Figure 6

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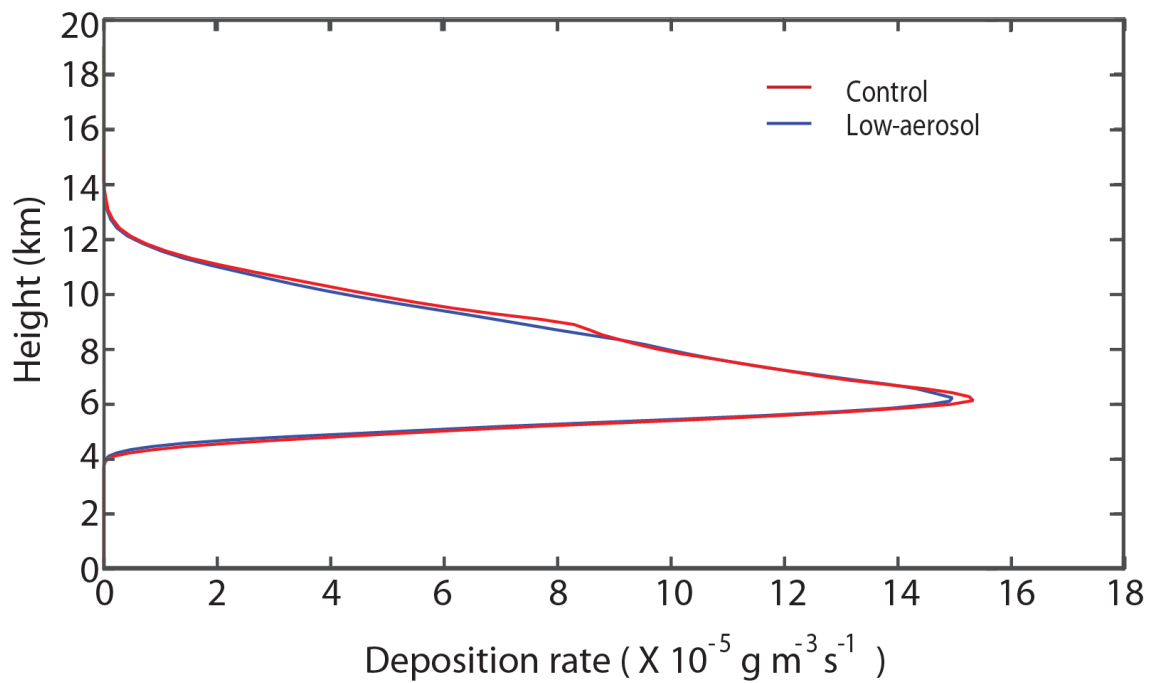
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Figure 7

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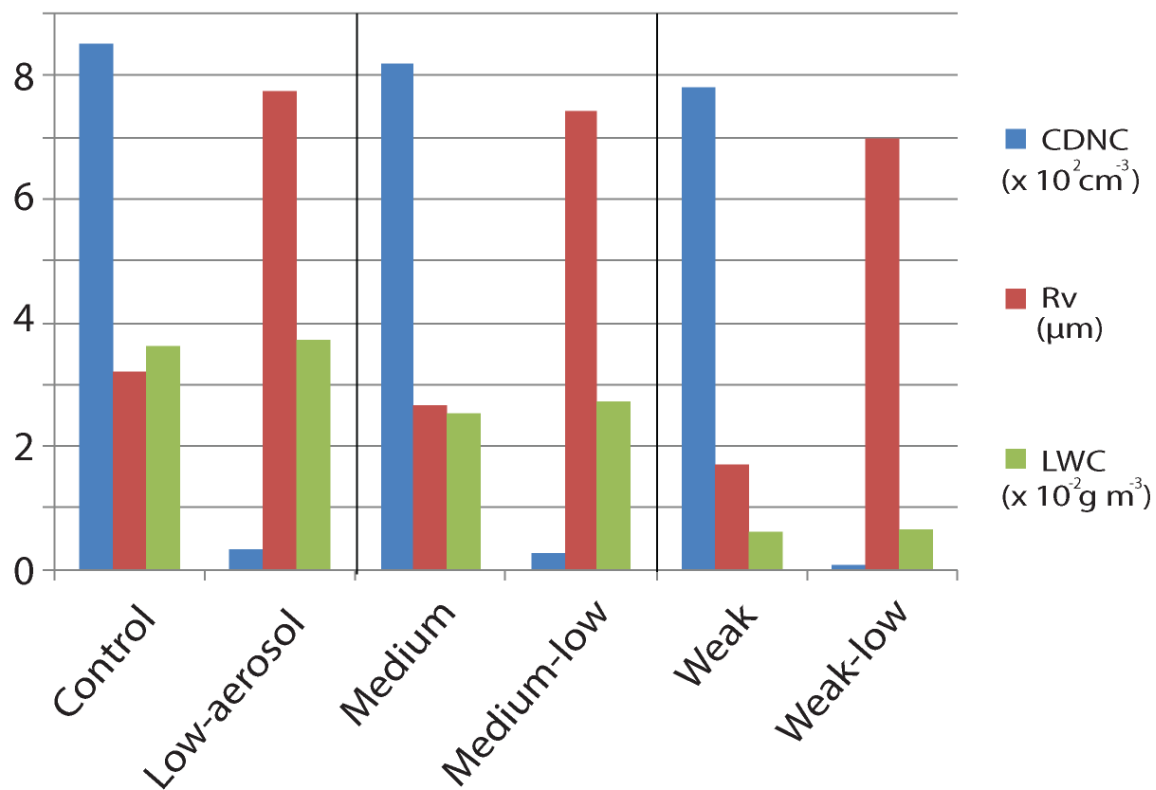
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Period 1 (17 GMT - 19 GMT on August 5th; initial stage)



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Figure 8

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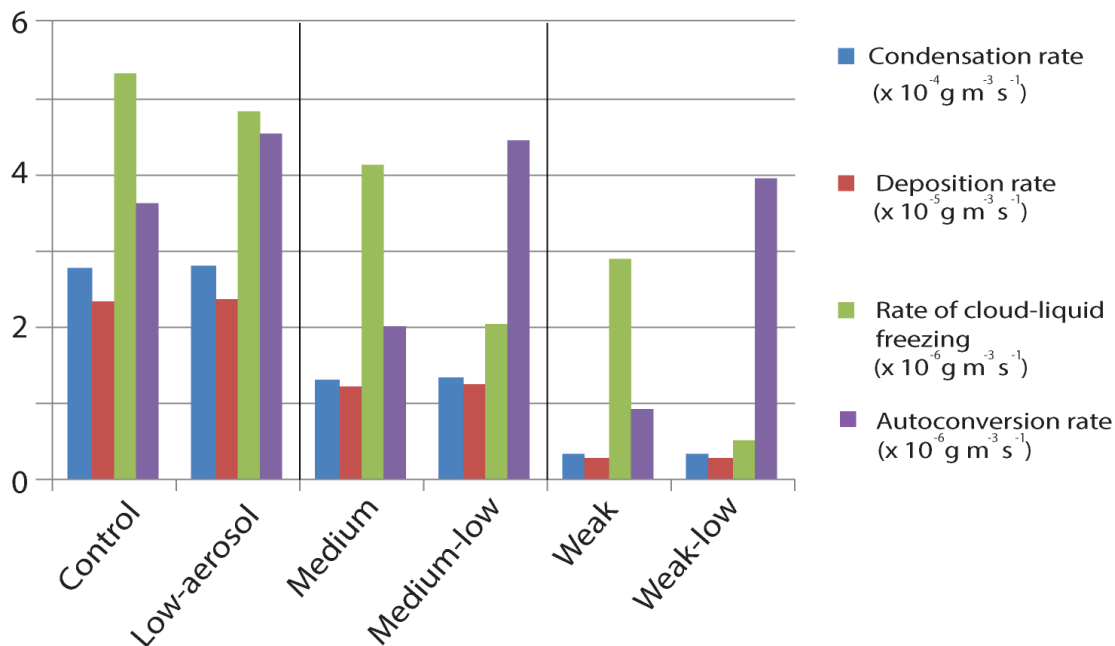
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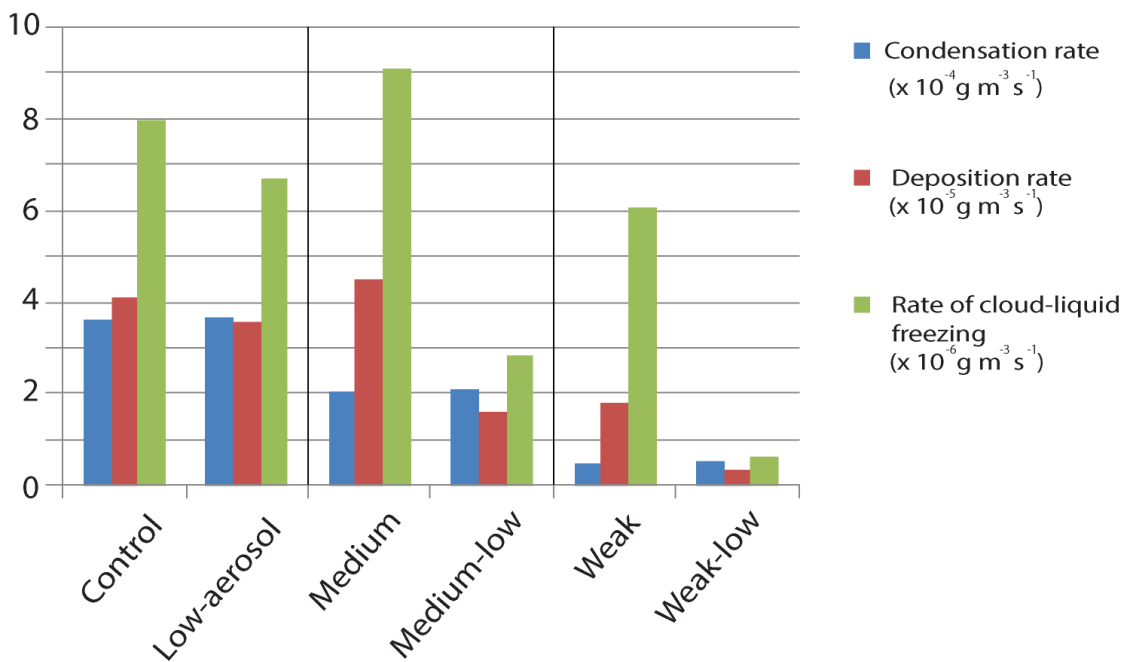
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a Period 2 (19 GMT - 21 GMT on August 5th; initial stage)



b Period 3 (21 GMT - 23 GMT on August 5th; initial stage)



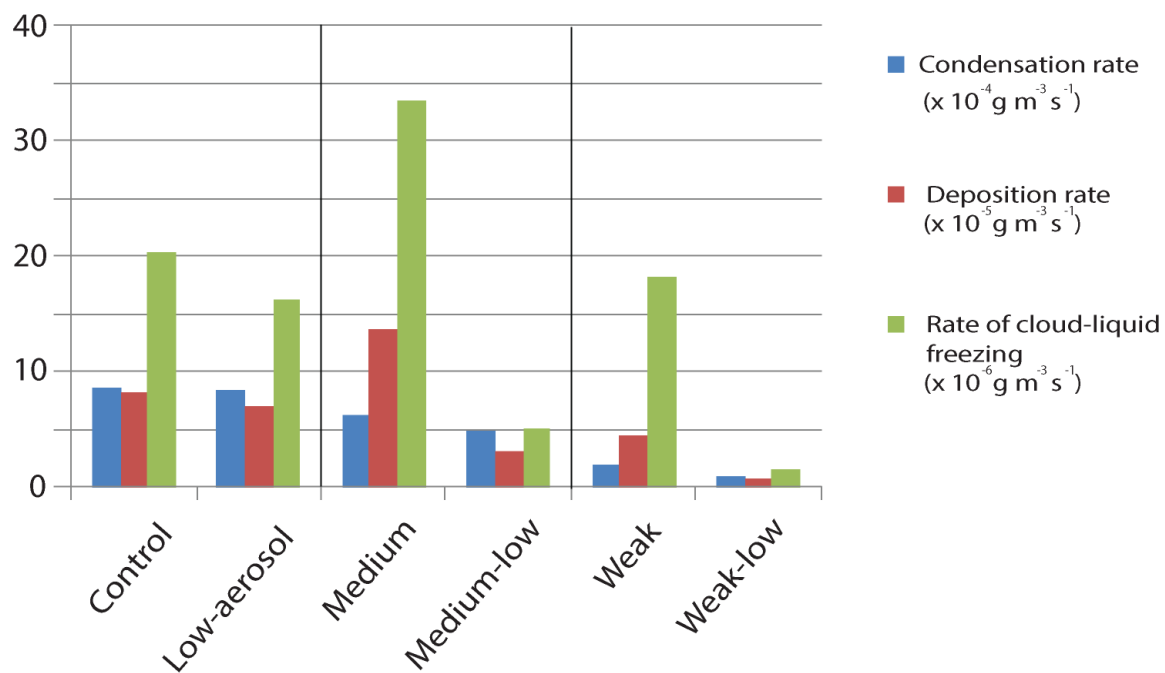
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Figure 9

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C Period 4 (23 GMT on August 5th - 12 GMT on August 6th
; mature and decaying stages)



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Figure 9

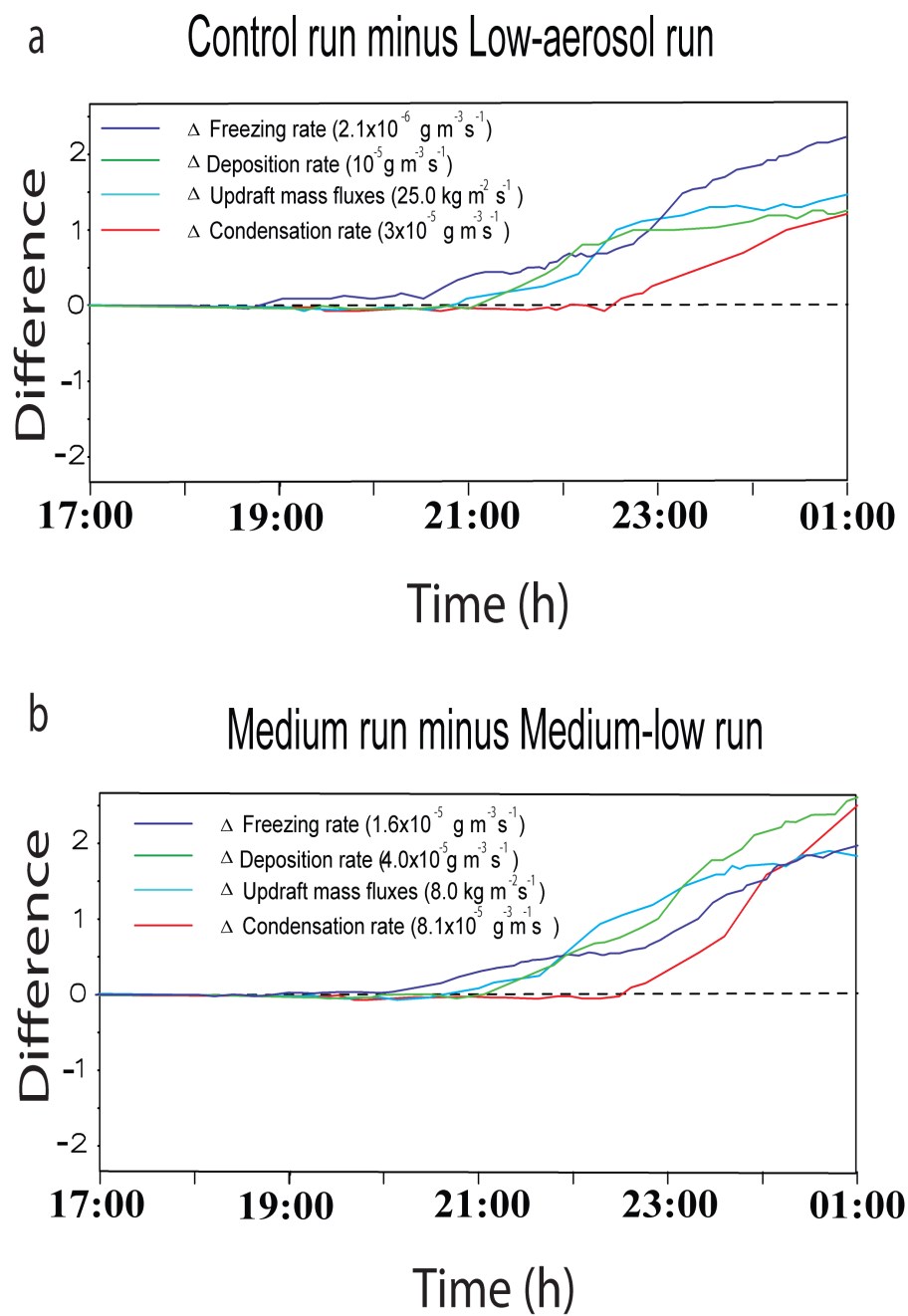
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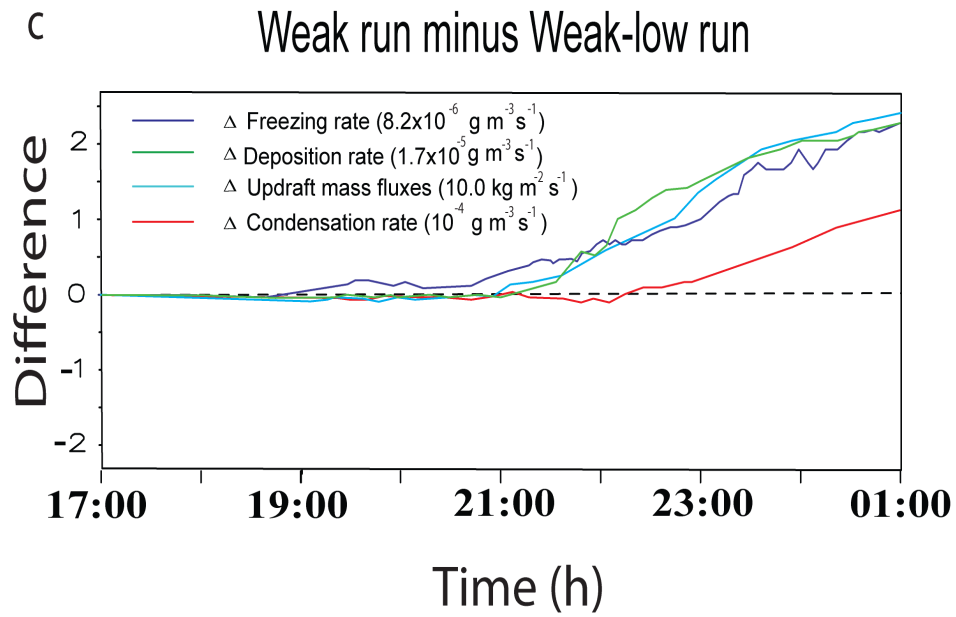
Differences in the averaged values



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Figure 10



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Figure 10

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