

# A methodology to constrain carbon dioxide emissions from coal-fired power plants using satellite observations of co-emitted nitrogen dioxide

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**Abstract.** We present a method to infer CO<sub>2</sub> emissions from individual power plants based on satellite observations of co-emitted nitrogen dioxide (NO<sub>2</sub>), which could serve as complementary verification of bottom-up inventories or be used to supplement these inventories. We demonstrate its utility on eight large and isolated US power plants, where accurate stack emission estimates of both gases are available for comparison. In the first step of our methodology, we infer nitrogen oxides (NO<sub>x</sub>) emissions from US power plants using Ozone Monitoring Instrument (OMI) NO<sub>2</sub> tropospheric vertical column densities (VCDs) averaged over the ozone season (May-September) and a “top-down” approach that we previously developed. Second, we determine the relationship between NO<sub>x</sub> and CO<sub>2</sub> emissions based on the direct stack emissions measurements reported by continuous emissions monitoring system (CEMS) programs, accounting for coal quality, boiler firing technology, NO<sub>x</sub> emission control device type, and any change in operating conditions. Third, we estimate CO<sub>2</sub> emissions for power plants using the OMI-estimated NO<sub>x</sub> emissions and the CEMS NO<sub>x</sub>/CO<sub>2</sub> emission ratio. We find that the CO<sub>2</sub> emissions estimated by our satellite-based method during 2005–2017 are in reasonable agreement with the US CEMS measurements, with a relative difference of 8% ± 41% (mean ± standard deviation). The broader implication of our methodology is that it has the potential to provide an additional constraint on CO<sub>2</sub> emissions from power plants in regions of the world without reliable emissions accounting. We explore the feasibility by comparing the derived NO<sub>x</sub>/CO<sub>2</sub> emission ratios for the US with those from a bottom-up emission inventory for other countries and applying our methodology to a power plant in South Africa, where the satellite-based emission estimates show reasonable consistency with other independent estimates. Though our analysis is limited to a few power plants, we expect to be able to apply our method to more US (and world) power plants when multi-year data records become available from new OMI-like sensors with improved capabilities, such as the Tropospheric Monitoring Instrument (TROPOMI) and upcoming geostationary satellites, such as the Tropospheric Emissions: Monitoring Pollution (TEMPO) instrument.

## 1 Introduction

Thermal power plants, particularly coal-fired power plants, are among the largest anthropogenic CO<sub>2</sub> emitters, contributing ~40% of energy-related CO<sub>2</sub> emissions globally in 2010 (Janssens-Maenhout et al., 2017). Coal-fired power plants are expected to be one of the primary contributors of CO<sub>2</sub> emissions in the coming decades because of abundant world coal reserves (Shindell and Faluvegi, 2010). Therefore, it is important to accurately monitor global CO<sub>2</sub> emissions from power production in order to better predict climate change (Shindell and Faluvegi, 2010) and to support the development of effective climate mitigation strategies.

1 CO<sub>2</sub> emissions from power plants are typically quantified based on bottom-up approaches using fuel consumption and  
2 fuel quality, though fuel properties are not always well known, resulting in uncertainties in the estimated CO<sub>2</sub> emissions for  
3 individual plants (Wheeler and Ummel, 2008). Even for US power plants that are considered to have the most accurate  
4 information on fuel usage among world nations, the difference between emissions estimated based on fuel usage and those  
5 reported as part of continuous emissions monitoring systems (CEMS) programs is typically about 20% (Ackermann and  
6 Sundquist, 2008). Thus, emission estimates based on independent data sources, such as satellite observations, are a desirable  
7 complement to validate and improve the current CO<sub>2</sub> emissions inventories, especially in countries without CEMS data,  
8 which is the case in most of the world.

9 Anthropogenic CO<sub>2</sub> emissions have been estimated from space-based CO<sub>2</sub> observations, but the existing satellite CO<sub>2</sub>  
10 sensors are designed to provide constraints on natural CO<sub>2</sub> sources and sinks (Basu et al., 2013; Houweling et al., 2015), and  
11 thus their capability for monitoring anthropogenic point sources is limited (Nassar et al., 2017). Observations from sensors,  
12 including the Scanning Imaging Absorption Spectrometer for Atmospheric Chartography (SCIAMACHY; Burrows et al.,  
13 1995), Greenhouse gases Observing SATellite (GOSAT; Yokota et al., 2009), and Orbiting Carbon Observatory-2 (OCO-2;  
14 Crisp et al., 2015), show statistically significant enhancements over metropolitan regions (Kort et al., 2012; Schneising et al.,  
15 2013; Janardanan et al., 2016; Buchwitz et al., 2018; Reuter et al., 2019; Wang et al., 2018). However, very few studies have  
16 focused on individual point sources. Bovensmann et al. (2010) and Velazco et al. (2011) presented a promising satellite  
17 remote sensing concept to infer CO<sub>2</sub> emissions for power plants based on the atmospheric CO<sub>2</sub> column distribution. Nassar et  
18 al. (2017) presented the first quantification of CO<sub>2</sub> emissions from individual power plants using OCO-2 observations.  
19 However, because of the narrow swath (~10 km at nadir) and 16-day repeat cycle of the OCO-2 sensor, the number of clear-  
20 day overpasses is too small to allow for the development of a global CO<sub>2</sub> emissions database.

21 In contrast to CO<sub>2</sub>, inferring NO<sub>x</sub> emissions from individual power plants using satellite NO<sub>2</sub> column retrievals has been  
22 done with a higher degree of confidence (e.g., Duncan et al., 2013; de Foy et al., 2015). The Dutch-Finnish Ozone  
23 Monitoring Instrument (OMI) on NASA's Earth Observing System Aura spacecraft (Schoeberl et al., 2006) provides near  
24 daily, global NO<sub>2</sub> tropospheric VCDs at a spatial resolution of 13×24 km<sup>2</sup> (at nadir) (Levelt et al., 2006; 2018; Krotkov et al.,  
25 2017), which allows emission signals from individual power plants to be resolved. Beirle et al. (2011) first analyzed isolated  
26 large sources (i.e., megacities and the US Four Corners power plant) by averaging OMI NO<sub>2</sub> tropospheric VCDs separately  
27 for different wind directions, which allows for the estimation of NO<sub>x</sub> emissions and lifetimes by fitting an exponentially  
28 modified Gaussian function. Several follow-up studies (e.g., de Foy et al., 2015; Lu et al., 2015 and Goldberg et al., 2019a)  
29 further developed this approach and inferred NO<sub>x</sub> emissions from isolated power plants and cities. More recently, we  
30 advanced this approach for sources located in polluted areas to infer NO<sub>x</sub> emissions for 17 power plants and 53 cities across  
31 China and the US (Liu et al., 2016; 2017).

32 Since NO<sub>x</sub> is co-emitted with CO<sub>2</sub>, NO<sub>x</sub> emissions inferred from satellite data may be used to estimate CO<sub>2</sub> emissions  
33 from thermal power plants. Previous analyses estimated regional CO<sub>2</sub> emissions based on satellite-derived NO<sub>x</sub> emissions  
34 and the NO<sub>x</sub> to CO<sub>2</sub> emission ratios from bottom-up emission inventories (Berezin et al., 2013; Kononov et al., 2016;  
35 Goldberg et al., 2019b) or co-located satellite retrievals of CO<sub>2</sub> and NO<sub>2</sub> (Reuter et al., 2014). Hakkarainen et al. (2016)  
36 confirmed the spatial correlation between CO<sub>2</sub> spatial anomalies and OMI NO<sub>2</sub> VCD enhancements at the regional scale  
37 using satellite observations at higher resolution. Hakkarainen et al. (2019) also showed how overlapping OCO-2 CO<sub>2</sub> data  
38 and data of NO<sub>2</sub> from the recently launched (October 2017) European Union Copernicus Sentinel 5 precursor  
39 TROPospheric Monitoring Instrument (TROPOMI) can be used to identify small scale anthropogenic CO<sub>2</sub> signatures.

40 More recently, the co-located regional enhancements of CO<sub>2</sub> observed by OCO-2 and NO<sub>2</sub> observed by TROPOMI were  
41 analysed to infer localized CO<sub>2</sub> emissions for six hotspots including one power plant globally (Reuter et al., 2019). As  
42 emissions plumes are significantly longer than the swath width of OCO-2 (10 km), OCO-2 sees only cross sections of

1 plumes, which may not be sufficient to infer emission strengths. Because power plant emissions can have substantial  
 2 temporal variations (Velazco et al., 2011) and the cross-sectional CO<sub>2</sub> fluxes are valid only for OCO-2 overpass times, the  
 3 cross-sectional fluxes may not adequately represent the annual or monthly averages, which are required for the development  
 4 of climate mitigation strategies. In addition, the cross-sectional fluxes may not be a good approximation for emission  
 5 strengths if meteorological conditions are not taken into account (Varon et al., 2018). As compared to the method proposed  
 6 in this study, Reuter’s method has the advantage of not requiring a priori emission information. However, there are currently  
 7 no satellite instruments with a wide enough swath to allow wider application of Reuter’s method.

8 In this study, we present a method to estimate CO<sub>2</sub> emissions from individual power plants using OMI NO<sub>2</sub> observations  
 9 and auxiliary CEMS information necessary to estimate NO<sub>x</sub> to CO<sub>2</sub> emission ratios. Such estimates could serve as  
 10 complementary verification of bottom-up CO<sub>2</sub> inventories or be used to supplement these inventories. For instance, Liu et al.  
 11 (2018) used satellite data of SO<sub>2</sub> to identify large SO<sub>2</sub> sources that were missing from a bottom-up emissions inventory and  
 12 created a merged bottom-up/top-down SO<sub>2</sub> emissions inventory. We apply our approach to US power plants, which have an  
 13 exceptionally detailed CEMS database of NO<sub>x</sub> and CO<sub>2</sub> emissions, in order to validate our method. Using auxiliary CEMS  
 14 information, we explore the relationship between NO<sub>x</sub> and CO<sub>2</sub> emissions for individual power plants, assessing variations in  
 15 the ratio associated with coal quality, boiler firing type, NO<sub>x</sub> emission control device technology, and changes in operating  
 16 conditions. Understanding the causes of these variations will allow for better informed assumptions when applying our  
 17 method to power plants that have no or uncertain information on the factors that affect their emissions ratios. We discuss the  
 18 uncertainties and applications of our approach, and the potential of NO<sub>2</sub> datasets from new and upcoming satellite  
 19 instruments, which will improve the utility of our method for inferring CO<sub>2</sub> emissions from power plants around the world.  
 20 Finally, we discuss future research directions.

## 21 **2 Method**

22 In this section, we present our method to infer CO<sub>2</sub> emissions ( $E_{CO_2}^{Sat}$ ) from satellite-derived NO<sub>x</sub> emissions ( $E_{NO_x}^{Sat}$ ) for  
 23 individual coal-fired power plants using the following equation:

$$24 \quad E_{CO_2,y}^{Sat} = \frac{E_{NO_x,y}^{Sat}}{ratio_{i,y}^{CEMS}}, \quad (1)$$

25 where  $i$  represents coal type and  $y$  represents the target year. We demonstrate our method on US power plants since there are  
 26 accurate CEMS stack measurements of NO<sub>x</sub> and CO<sub>2</sub> emissions with which to validate  $E_{CO_2}^{Sat}$ . In Section 2.1, we describe  
 27 how we estimate  $E_{NO_x}^{Sat}$  from OMI NO<sub>2</sub> tropospheric VCD observations. In Section 2.2, we discuss how we estimate the ratio  
 28 of NO<sub>x</sub> to CO<sub>2</sub> emissions ( $ratio_y^{CEMS} = E_{NO_x,y}^{CEMS} / E_{CO_2,y}^{CEMS}$ ) from CEMS stack measurements in the US Emissions & Generation  
 29 Resource Integrated Database (eGRID; USEPA, 2018). Since post-combustion NO<sub>x</sub> control systems, including selective  
 30 noncatalytic reduction (SNCR) and selective catalytic reduction (SCR), change the relationship between  $E_{NO_x}^{CEMS}$  and  $E_{CO_2}^{CEMS}$ ,  
 31 we present separate methods to determine  $ratio_y^{CEMS}$  for power plants without and with post-combustion NO<sub>x</sub> control  
 32 systems in Section 2.2.1 and Section 2.2.2, respectively. We discuss the validation of the estimated  $E_{CO_2}^{Sat}$  in Section 3.

### 33 **2.1 Estimating satellite-derived NO<sub>x</sub> emissions ( $E_{NO_x}^{Sat}$ )**

34 From all US coal-fired power plants, we selected 21 power plants for estimating  $E_{NO_x}^{Sat}$ . We chose these plants based on the  
 35 magnitude of their annual emissions (i.e.,  $E_{NO_x}^{CEMS} > 10$  Gg/yr in 2005) and relative isolation from other large sources to avoid  
 36 “contamination” of a power plant’s NO<sub>x</sub> plume. Power plants located in urban areas (i.e., within a radius of 100 km from a  
 37 city center), or clustered in close proximity (i.e., 50 km) with other large industrial plants were excluded by visual inspection

1 using satellite imagery from Google Earth. We used the top 200 largest US cities (ranked by 2018 population as estimated by  
2 the United States Census Bureau, available at [https://en.wikipedia.org/wiki/List\\_of\\_United\\_States\\_cities\\_by\\_population](https://en.wikipedia.org/wiki/List_of_United_States_cities_by_population)) to  
3 select power plants. As discussed below, we were able to estimate  $E_{NO_x}^{Sat}$  for 8 of the 21 plants. The locations of the 8 plants  
4 are shown in Figure 1 and given in Table S1.

5 We followed the method of Liu et al. (2016; 2017) to estimate  $E_{NO_x}^{Sat}$  for 2005 to 2017. In our analysis, we used OMI NO<sub>2</sub>  
6 tropospheric VCDs from the NASA OMI standard product, version 3.1 (Krotkov et al., 2017) together with meteorological  
7 wind information from the Modern-Era Retrospective Analysis for Research and Applications, version 2 (MERRA-2; Gelaro  
8 et al., 2017). We only analysed data for the ozone season (May-September), in order to exclude winter data, which have  
9 larger uncertainties and NO<sub>x</sub> lifetimes are longer. As in our previous study (Liu et al., 2017), we calculated 1-dimensional  
10 NO<sub>2</sub> “line densities”, i.e. NO<sub>2</sub> per cm, as function of distance for each wind directions separately by integration of the mean  
11 NO<sub>2</sub> VCDs (i.e. NO<sub>2</sub> per cm<sup>2</sup>) perpendicular to the wind direction. We then used the changes of NO<sub>2</sub> line densities under  
12 calm wind conditions (wind speed < 2 m/s below 500 m) and windy conditions (wind speed > 2 m/s) to fit the effective NO<sub>x</sub>  
13 lifetime. We then estimated the average NO<sub>2</sub> total mass integrated around a power plant on the basis of the 3-year mean  
14 VCDs, in agreement with previous studies (Fioletov et al., 2011; Lu et al., 2015). The NO<sub>2</sub> total mass was scaled by a factor  
15 of 1.32 in order to derive total NO<sub>x</sub> mass following Beirle et al. (2011). The uncertainty associated with the NO<sub>x</sub>/NO<sub>2</sub> ratio  
16 has been discussed in detail in Section 3 of the supplement in Liu et al. (2016). The 3-year average  $E_{NO_x}^{Sat}$  was derived from  
17 the corresponding 3-year average NO<sub>x</sub> mass divided by the average NO<sub>x</sub> lifetime of the entire study period (Liu et al., 2017).  
18 Fitting results of insufficient quality (e.g., correlation coefficient of the fitted and observed NO<sub>2</sub> distributions <0.9) were  
19 excluded from this analysis, consistent with the criteria in Section 2.2 of Liu et al. (2016). This final filtering left 18 power  
20 plants, of which 8 had valid results for all consecutive 3-year periods between 2005 and 2017. More details of the approach  
21 are documented in Liu et al. (2017). The fitted lifetimes and other fitting parameters for all power plants are given in Table  
22 S1.

23 We use the Rockport power plant (37.9 °N, 87.0 °W) in Indiana to demonstrate our approach. This power plant is  
24 particularly well suited for estimating  $E_{NO_x}^{Sat}$ , because it is a large and isolated NO<sub>x</sub> point source. Figure 2 presents the NO<sub>2</sub>  
25 VCD map around Rockport and the fitted results. Figure 3 displays  $E_{NO_x}^{Sat}$  based on 3-year mean VCDs. Each 3-year period is  
26 represented by the middle year with an asterisk (e.g., 2006\* denotes the period from 2005 to 2007). For comparison to  $E_{NO_x}^{Sat}$ ,  
27  $E_{NO_x}^{CEMS}$  is from Air Markets Program Data (available at <https://ampd.epa.gov/ampd/>) and averaged over the period of May to  
28 September. For this particular plant,  $E_{NO_x}^{Sat}$  is always higher than  $E_{NO_x}^{CEMS}$  during the entire period, except the last two years.  
29 The coefficient of determination for the entire period is  $R^2=0.68$ . The relative differences for individual 3-year means  
30 (defined as  $(E_{NO_x}^{Sat} - E_{NO_x}^{CEMS})/E_{NO_x}^{CEMS}$ ) range from -20% to 41%, because of the uncertainties of  $E_{NO_x}^{Sat}$  as discussed in Section  
31 3.2. Both datasets present a declining trend from 2012\*. The total declines of 45% and 26% since 2012\* in  $E_{NO_x}^{Sat}$  and  $E_{NO_x}^{CEMS}$   
32 are attributed to the 25% decrease in net electricity generation for the plant. The average relative difference of  $E_{NO_x}^{Sat}$  and  
33  $E_{NO_x}^{CEMS}$  for the 8 plants in this study is  $0\% \pm 33\%$ , ranging from -58% to 72% for individual 3-year periods (Figure 1).

## 34 2.2 Estimating NO<sub>x</sub> to CO<sub>2</sub> emission ratios using CEMS data (*ratio*<sup>CEMS</sup>)

35 We determined the relationship between  $E_{NO_x}^{CEMS}$  and  $E_{CO_2}^{CEMS}$  for coal-fired power plants using eGRID information about  
36 each plant’s net electric generation, boiler firing technology (e.g., tangential or wall-fired boiler), NO<sub>x</sub> control device type,  
37 fossil fuel category (i.e., coal, oil, gas and other), and coal quality (i.e., bituminous, lignite, subbituminous, refined and waste  
38 coal). We used data of power plants with more than 99% of the fuel burned being coal as reported in eGRID. We analyzed  
39 the relationship between  $E_{NO_x}^{CEMS}$  and  $E_{CO_2}^{CEMS}$  by coal type, as emission characteristics vary widely by coal type.

1 eGRID includes two datasets of emissions for NO<sub>x</sub> and CO<sub>2</sub>: 1) calculated from fuel consumption data and 2) observed by  
 2 stack monitoring (i.e.,  $E_{NO_x}^{CEMS}$  and  $E_{CO_2}^{CEMS}$ ). Here we focus on eGRID CEMS data as  $E_{NO_x}^{CEMS}$  are reported to be highly accurate  
 3 with an error of less than 5% (e.g., Glenn et al., 2003).  $E_{CO_2}^{CEMS}$  may have larger uncertainties than fuel-based emissions  
 4 estimates because of uncertainties in the calculation of flue gas flow (Majanne et al., 2015). Nevertheless, we used  $E_{CO_2}^{CEMS}$  to  
 5 relate NO<sub>x</sub> emissions to CO<sub>2</sub> emissions, since the primary uncertainty of  $E_{NO_x}^{CEMS}$  and  $E_{CO_2}^{CEMS}$  arises from the calculation of the  
 6 flue gas flow, which will cancel in  $ratio^{CEMS}$ .

### 7 2.2.1 Coal-fired power plants without post-combustion NO<sub>x</sub> control systems

8 We initially limited our analysis to  $E_{NO_x}^{CEMS}$  and  $E_{CO_2}^{CEMS}$  from coal-fired power plants without post-combustion NO<sub>x</sub> control  
 9 systems in operation in a given year (Table 1). We find that  $E_{NO_x}^{CEMS}$  and  $E_{CO_2}^{CEMS}$  have a strong linear relationship (Figure 4). In  
 10 Figure 4a, we compare  $E_{NO_x}^{CEMS}$  and  $E_{CO_2}^{CEMS}$  from power plants (using bituminous coal) by boiler firing type in 2005. We use  
 11 bituminous coal-fired plants for illustration, as bituminous coal is the most widely used coal in US power plants. We  
 12 analyzed power plants that use cyclone or cell burner boilers separately and exclude them in Figure 4 because they typically  
 13 produce higher NO<sub>x</sub> emissions than other boiler types (USEPA, 2009; available at  
 14 <https://www3.epa.gov/ttn/chief/ap42/ch01/index.html>). A strong linear relationship between  $E_{NO_x}^{CEMS}$  and  $E_{CO_2}^{CEMS}$  is evident with  
 15 excellent correlation ( $R^2 = 0.93$ ,  $N = 278$ ), regardless of boiler firing type. Similar linear relationships exist for other years  
 16 (e.g., year 2016 in Figure 4b) and other types of coal (Table 1). The slope of the regression of  $E_{NO_x}^{CEMS}$  and  $E_{CO_2}^{CEMS}$ ,  
 17  $ratio_{regressed}^{CEMS}$ , is assumed by setting the intercept to zero. Table 1 shows  $ratio_{regressed,i,y}^{CEMS}$  by coal type and year. In Section  
 18 3.1,  $ratio_{regressed,i,y}^{CEMS}$  will be applied to approximate  $ratio_{i,y}^{CEMS}$  when estimating  $E_{CO_2}^{Sat}$  from  $E_{NO_x}^{Sat}$  for the 8 plants (Figure 1)  
 19 for years before post-combustion control systems were in operation.

20  $ratio_{regressed}^{CEMS}$  for power plants using bituminous coal decreased from 2005 (Figure 4a) to 2016 (Figure 4b) by 31% on  
 21 average because of reductions in NO<sub>x</sub> emission factors associated with improvements in boiler operations, such as by  
 22 optimizing furnace design and operating conditions. The NO<sub>x</sub> emissions factors, defined as NO<sub>x</sub> emission rates per net  
 23 electricity generation (Gg/TW · h), declined by 33% from 2005 to 2016 (Figure 4c). We interpolated  $ratio_{regressed}^{CEMS}$  to get  
 24 year-specific ratios by coal type for the entire study period, as eGRID data are only available for some years (i.e., 2005,  
 25 2007, 2009, 2010, 2012, 2014 and 2016).

26 In addition,  $ratio_{regressed}^{CEMS}$  shows significant variation by coal type and year (Figure 5).  $ratio_{regressed}^{CEMS}$  is 1.7, 1.3 and 0.91  
 27 Gg NO<sub>x</sub>/Tg CO<sub>2</sub> for bituminous, subbituminous and lignite coal types in 2005, respectively. A reduction over time in  
 28  $ratio_{regressed}^{CEMS}$  is observed for all coal types (Figure 5).  $ratio_{regressed}^{CEMS}$  displays a large decrease of 31%, 36% and 20% from  
 29 2005 to 2016 for bituminous, subbituminous, and lignite coal types, respectively.

### 30 2.2.2 Coal-fired power plants with post-combustion NO<sub>x</sub> control systems

31 Here, we describe how we estimated  $ratio^{CEMS}$  for the entire study period for plants that had post-combustion NO<sub>x</sub>  
 32 control systems installed at some time during our study period, 2005–2017. The estimation is based on  $ratio_{regressed}^{CEMS}$   
 33 derived in Section 2.2.1 for plants without post-combustion control systems in operation. We introduce a NO<sub>x</sub> removal  
 34 efficiency parameter,  $f$ , to adjust  $ratio_{regressed}^{CEMS}$  for years after the installation of post-combustion control systems,  
 35  $ratio^{CEMS-Estimated}$ :

$$36 \quad ratio_{i,y}^{CEMS-Estimated} = ratio_{regressed,i,y}^{CEMS} \times (1 - f_y), \quad (2)$$

37  $f$  is commonly measured for individual power plants to describe the performance of their post-combustion NO<sub>x</sub> control  
 38 systems. It is directly reported by some power plant databases, such as the China coal-fired Power plant Emissions Database

(CPED; Liu et al., 2015). For databases that do not report  $f$ , like eGRID used in this study, one can estimate it for an individual power plant by first estimating the unabated emissions per electricity generation,  $e_{unabated}$ , which is the emission factor before the flue gas enters the post-combustion control system:

$$f_y = \frac{e_{unabated,y} - e_{CEMS,y}}{e_{unabated,y}}, \quad (3)$$

where  $e_{CEMS}$  denotes the actual emission factor in terms of CEMS NO<sub>x</sub> emissions per net electricity generation (Gg/TW h).  $e_{unabated}$  for a given year,  $e_{unabated,y}$  is estimated based on the emission per electricity generation for years prior,  $p$ , to the installation of the post-combustion control system,  $e_{unabated,p}$ :

$$e_{unabated,y} = k_y \times e_{unabated,p}, \quad (4)$$

where the scaling factor,  $k_y$ , is used to account for the change over time in  $e_{unabated}$  associated with improvements in boiler operations discussed in Section 2.2.1.  $k_y$  is calculated as the ratio of the averaged  $e_{unabated}$  (i.e., the slope of the regression of NO<sub>x</sub> emissions on electricity generation) in year,  $t$ , to that in year,  $p$ .

To assess the reliability of  $ratio^{CEMS-Estimated}$ , we selected all power plants which had post-combustion devices installed between 2005 and 2016. Figure 6 shows a scatterplot of  $ratio^{CEMS}$  (i.e., the ratio of  $E_{NO_x}^{CEMS}$  to  $E_{CO_2}^{CEMS}$  for individual plants) and  $ratio^{CEMS-Estimated}$ . We used the NO<sub>x</sub> emissions factor in 2005,  $e_{unabated,2005}$ , to predict the unabated emission factor in 2016,  $e_{unabated,2016}$ , following Equations (3) and (4) in order to quantify the removal efficiencies for 2016,  $f_{2016}$ .  $ratio_{2016}^{CEMS-Estimated}$  is based on the estimated  $f_{2016}$  and  $ratio_{regressed,2016}^{CEMS}$  from Section 2.2.1.  $ratio^{CEMS}$  and  $ratio^{CEMS-Estimated}$  show good correlation ( $R^2 = 0.64$ ), which increases our confidence that the estimated removal efficiencies approximate the actual efficiencies. The slight underestimation suggested by the slope of 0.85 arises from uncertainties in estimating unabated NO<sub>x</sub> emission factors ( $e_{unabated,y}$ ) using Equation (4) and thus removal efficiencies ( $f$ ), which is a major source of error of  $E_{CO_2}^{Sat}$  for power plants that install post-combustion NO<sub>x</sub> control systems (see details in Section 3.2).

### 3 Results and Discussion

In Section 3.1, we present  $E_{CO_2}^{Sat}$  for our eight selected power plants and, in Section 3.2, we discuss the uncertainties associated with  $E_{CO_2}^{Sat}$ . In Section 3.3, we compare the US ratios derived in this study with those from a bottom-up inventory for other regions to explore the potential of applying our method to regions outside the US. We finally apply our approach to one power plant in South Africa, which has several independent estimates for its CO<sub>2</sub> emissions as presented in the scientific literature. Table 2 shows three-year means of  $E_{NO_2}^{Sat}$ ,  $E_{NO_2}^{CEMS}$ ,  $E_{CO_2}^{Sat}$  and  $E_{CO_2}^{CEMS}$  for eight power plants (Figure 1). Table 3 lists the mean and the standard deviation of the relative differences between  $E_{NO_x}^{CEMS}$  and  $E_{NO_x}^{Sat}$ , and  $E_{CO_2}^{CEMS}$  and  $E_{CO_2}^{Sat}$  for all eight power plants.

#### 3.1 Satellite-derived CO<sub>2</sub> emissions ( $E_{CO_2}^{Sat}$ )

Figure 7a is a scatterplot of  $E_{CO_2}^{Sat}$  and  $E_{CO_2}^{CEMS}$  for the eight power plants (Figure 1), seven of which did not have post-combustion NO<sub>x</sub> control systems installed during the study period, 2005–2017. The comparison shows a good correlation,  $R^2$ , of 0.66. The average  $E_{CO_2}^{CEMS}$  for all power plants is 2.0 Gg/h and the average  $E_{CO_2}^{Sat}$  is 1.8 Gg/h. The relative difference for individual three-year means (defined as  $(E_{CO_2}^{Sat} - E_{CO_2}^{CEMS})/E_{CO_2}^{CEMS}$ ) is 8% ± 41% (mean ± standard deviation). For example, Figure 3 shows  $E_{CO_2}^{Sat}$  for the Rockport power plant, which typically has a positive bias as compared to  $E_{CO_2}^{CEMS}$  because of a positive bias in  $E_{NO_x}^{Sat}$ .

1 Figure 7b presents the generally consistent time series between  $E_{CO_2}^{Sat}$  and  $E_{CO_2}^{CEMS}$ , with their annual averages for the eight  
 2 power plants exhibiting a declining trend of 5%/yr and 3%/yr from 2006\* to 2016\* for  $E_{CO_2}^{Sat}$  and  $E_{CO_2}^{CEMS}$ , respectively. The  
 3 reduction in net electricity generation is the driving force underlying the emission changes, which decreased by 37% for the  
 4 eight power plants from 2005 to 2016, as power producers shut down coal-fired units in favor of cheaper and more flexible  
 5 natural gas as well as solar and wind (USEIA, 2018). It is interesting to note that the temporal variations in  $E_{CO_2}^{Sat}$  are not as  
 6 “smooth” as those in  $E_{CO_2}^{CEMS}$ , which results from fluctuations in  $E_{NO_x}^{Sat}$ . Such fluctuations are caused by uncertainties  
 7 associated with  $E_{NO_x}^{Sat}$  as discussed in Section 3.2. For example, changes in VCDs do not necessarily relate linearly with NO<sub>x</sub>  
 8 emissions (e.g., Figure 2 in Duncan et al., 2013) because of temporal variations in meteorology, and nonlinear NO<sub>x</sub>  
 9 chemistry (Valin et al, 2013) and transport. Averaging VCDs for a long-term period (3 years in this study) helps reduce those  
 10 influences, but small fluctuations may still exist.

### 11 3.2 Uncertainties

12 We estimated the uncertainty of  $E_{CO_2}^{Sat}$  based on the fit performance of  $E_{NO_x}^{Sat}$  and comparison with  $E_{CO_2}^{CEMS}$ . The major  
 13 sources of uncertainty are (a)  $E_{NO_x}^{Sat}$  (Liu et al., 2016); (b)  $ratio_{regressed}^{CEMS}$ ; and (c)  $f$ . We give the estimated uncertainties of  
 14 each source for individual power plants in Table S2.

15  $E_{NO_x}^{Sat}$ : The uncertainty of  $E_{NO_x}^{Sat}$  is quantified following the method described in Liu et al. (2017), accounting for errors  
 16 arising from the fit procedure, the NO<sub>x</sub>/NO<sub>2</sub> ratio and OMI NO<sub>2</sub> VCD observations (Liu et al., 2016). The number of 1.32  
 17 used for scaling the NO<sub>2</sub> to NO<sub>x</sub> ratio is based on assumptions presented in section 6.5.1 of Seinfeld and Pandis (2006) for  
 18 “typical urban conditions and noontime sun”. Note that conditions are quite similar in this study because of the overpass time  
 19 of OMI close to noon, the selection of cloud-free observations, the focus on the ozone season, and the focus on polluted  
 20 regions. A case study of CTM simulations shows an identical value of 1.32 for Paris in summer (Shaiganfar et al., 2017).  
 21 The simulated NO<sub>x</sub>/NO<sub>2</sub> ratio at the OMI overpass time within the boundary layer (up to 2 km) in a chemistry–climate  
 22 model, EMAC (Jöckel et al., 2016), was  $1.28 \pm 0.08$  for polluted ( $NO_x > 1 \times 10^{15}$  molec cm<sup>-2</sup>) regions for the July 1, 2005, and  
 23  $1.32 \pm 0.06$  on average for the ozone season. However, the coarse grid of EMAC ( $2.8^\circ \times 2.8^\circ$  in latitude and longitude) may  
 24 not capture the true range of variation of the NO<sub>x</sub>/NO<sub>2</sub> ratio. Therefore, we assumed an uncertainty of 20% arising from the  
 25 NO<sub>x</sub>/NO<sub>2</sub> ratio, double than the standard deviation of the EMAC ratio.

26 Additionally, the tropospheric air mass factors (AMF) used in NO<sub>2</sub> retrievals are based on relatively coarsely-resolved  
 27 surface albedo data and a priori NO<sub>2</sub> vertical profile shapes, likely causing low-biased VCDs over strong emission sources  
 28 (e.g., Russell et al., 2011; McLinden et al., 2014; Griffin et al., 2019). The average AMF uncertainty of ~30% (see Table 2 in  
 29 Boersma et al., 2007) likely contributes to the underestimation of emissions from some power plants in this study. Both  
 30 random and systematic (bias) uncertainties in VCDs directly propagates into the uncertainty of  $E_{NO_x}^{Sat}$  (see details in the  
 31 supplement of Liu et al. (2016) and Section 3.4 of Liu et al. (2017)).

32 The overall uncertainties of  $E_{NO_x}^{Sat}$  range from 57% to 64% for all power plants in our analysis, which is comparable with  
 33 the level of differences between  $E_{NO_x}^{Sat}$  and  $E_{NO_x}^{CEMS}$ . We expect this uncertainty to be less for new (e.g., TROPOMI) and  
 34 upcoming (e.g., NASA Tropospheric Emissions: Monitoring Pollution, TEMPO) OMI-like sensors, which have enhanced  
 35 capabilities relative to OMI. Further details are provided in Text S1 of the Supplement.

36  $ratio_{regressed}^{CEMS}$ : For power plants without post-combustion devices,  $ratio_{regressed}^{CEMS}$  derived from the regression (Figure 4a  
 37 & b) and the plant-specific CEMS measurements are within 15%, which is assumed as the uncertainty of the ratio for all  
 38 power plants.

39  $f$ : For power plants with post-combustion devices, an additional uncertainty of 20% is applied to reflect the difference  
 40 between the predicted and the true removal efficiency as suggested by Figure 6.

1 We assume that their contributions to the overall uncertainty are independent. We then define the total uncertainty,  
2 expressed as a 95% confidence interval, as the sum of the root of the quadratic sum of the aforementioned contribution. The  
3 overall uncertainties of  $E_{CO_2}^{Sat}$  are ~60% for all power plants in our analysis.

4 However, it is worth noting that this uncertainty estimate is rather conservative. The mean and the standard deviation of  
5 the relative differences between  $E_{NO_x}^{CEMS}$  and  $E_{NO_x}^{Sat}$ , and  $E_{CO_2}^{CEMS}$  and  $E_{CO_2}^{Sat}$  for all eight power plants provide a good alternative  
6 measure of uncertainties (Table 3). The relative differences are rather small, which are  $0\% \pm 33\%$  and  $8\% \pm 41\%$  (mean  $\pm$   
7 standard deviation) for  $NO_x$  and  $CO_2$ , respectively. We additionally calculate the geometric standard deviations (GSDs) of  
8 the difference between  $E_{CO_2}^{CEMS}$  and  $E_{CO_2}^{Sat}$  from 2006\* to 2016\* for individual power plants in Table S2. The small values of  
9 GSDs ranging from 1.07 to 1.31 further improve our confidence in the accuracy of the derived emissions in this study.

### 10 3.3 Application

11 In this section, we assess the feasibility of applying our method to infer  $CO_2$  emissions ( $E_{CO_2}^{Sat}$ ) for power plants outside the  
12 US. We first compare the  $NO_x$  to  $CO_2$  emission ratios derived from this study with those from a bottom-up emission  
13 database in Section 3.3.1. We then apply the US ratio to a power plant in South Africa in Section 3.3.2.

#### 14 3.3.1 Comparison with bottom-up ratios

15 Figure 8 shows the  $NO_x$  to  $CO_2$  emission ratios for 2010 from the global power emissions database (GPED; Tong et al.,  
16 2018), which is the only publicly-available bottom-up emission database that reports both  $NO_x$  and  $CO_2$  emissions for  
17 individual power plants for every country. All countries with over 30 coal-fired power plants in GPED are shown in Figure 8.  
18 Not surprisingly, countries with more strict standards in place for  $NO_x$  emissions from power plants (i.e.,  $NO_x$  emission limit  
19 value (ELV)  $< 200 \text{ mg/m}^3$ ; hereafter referred to as “more strict countries”) have smaller  $NO_x$  to  $CO_2$  ratios (i.e., 1.0 versus  
20 2.5 on average) than countries with less strict standard (i.e.,  $NO_x$  ELV  $> 200 \text{ mg/m}^3$ ; hereafter referred to as “less strict  
21 countries”). Additionally, the correlation coefficients are smaller for more strict countries (i.e., 0.82 on average) as compared  
22 to less strict countries (i.e., 0.96 on average), because power plants in more strict countries are more likely to have installed  
23 post-combustion  $NO_x$  control systems, which likely lowered  $ratio_y^{CEMS}$ , similar to what occurred in the US over our analysis  
24 period (Section 2.2.2).

25 We further compare the 2005 US  $ratio_{regressed}^{CEMS}$  in Table 1 with the GPED  $NO_x$  to  $CO_2$  emission ratios for less strict  
26 countries. We chose the 2005 value for comparison based on the following considerations. In 2005, the US EPA issued the  
27 Clean Air Interstate Rule (CAIR) to address the interstate transport of ozone and fine particulate matter pollution for eastern  
28 US states, which reduced  $NO_x$  emissions and, thus,  $NO_x$  to  $CO_2$  ratios ( $ratio_y^{CEMS}$ ). However, similar comprehensive control  
29 strategies have not been adopted in less strict countries. In this way, the 2005 values are expected to show better consistency  
30 with the  $NO_x$  to  $CO_2$  ratios of less strict countries than values for more recent years. Note that the GPED database does not  
31 give information on ratios by coal type. Therefore, we use  $ratio_{regressed}^{CEMS}$  for bituminous coal, which is the most widely used  
32 coal type in coal-fired power plants in most countries.

33 The ratios for individual power plants in less strict countries tend to be larger than the US  $ratio_{regressed}^{CEMS}$  for 2005,  
34 considering that power plants in those countries may not be equipped with any  $NO_x$  control devices or even low- $NO_x$  burners,  
35 a technology which is widely installed in US power plants with and without post-combustion  $NO_x$  control devices. Most  
36 ratios range from US 2005  $ratio_{regressed}^{CEMS}$  to 2005  $ratio_{regressed}^{CEMS} + \text{standard deviation}$  (Figure 8). It is no surprise that some  
37 less strict countries have ratios higher than this range, which also occurs for some US power plants without post-combustion  
38 emission controls (Figure 4). However, there are considerable uncertainties in the GPED database given the scarcity of  
39 reliable emissions information in less strict countries. For example, the GPED  $NO_x$  and  $CO_2$  emissions estimates for Turkey  
40 and Russia, which are outliers in Figure 8, are subject to more assumptions and, thus, larger uncertainties than countries with



1 high-quality country-specific emission data, such as China, which has a high-resolution emissions database (CPED; Liu et al.,  
2 2015), and India, which has a database developed by Argonne National Laboratory (Lu et al., 2011).

3 Figure 9 shows a schematic of our methodology to estimate the NO<sub>x</sub> to CO<sub>2</sub> emission ratios for power plants outside the  
4 US. We adopt different approaches for more and less strict countries. More strict countries, including Canada, European  
5 Union (EU) member states, Japan, South Korea, and, more recently, China, usually use CEMS to monitor emissions,  
6 particularly from the largest emitters. For power plants with CEMS measurements for both NO<sub>x</sub> and CO<sub>2</sub> emissions, it is  
7 straightforward to use the measured ratios. However, there is still a significant number of power plants in those countries  
8 without CEMS technology, particularly for CO<sub>2</sub> measurements. For example, EU member states do not require power plants  
9 to use CEMS for CO<sub>2</sub> reporting and the majority of plants in the EU therefore reports CO<sub>2</sub> emissions based on emission  
10 factors (Sloss, 2011). Therefore, we recommend applying our method described in Section 2.2 to infer region-specific ratios  
11 for those power plants. The US  $ratio_{regressed}^{CEMS}$  could be a less accurate, but reasonable approximation when no CEMS data  
12 are available, considering those countries share similar NO<sub>x</sub> ELVs for power plants as the US. For less strict countries, we  
13 recommend using the 2005 US values by coal type when ratios from countries with similar NO<sub>x</sub> emission standard are not  
14 available. We also recommend assigning a range from 2005  $ratio_{regressed}^{CEMS}$  to 2005  $ratio_{regressed}^{CEMS}$  + standard deviation,  
15 instead of a fixed value, to the ratio for inferring CO<sub>2</sub> emissions, considering the knowledge on ratios from those regions are  
16 too few to narrow the constraint.

17 As demonstrated in Section 2.2, our method presented in this study provides a reasonable estimate of the ratio for power  
18 plants without post-combustion NO<sub>x</sub> control devices with only knowing coal type. Even for regions without reliable  
19 emission information, the information on coal type, particularly for large power plants, are very likely publicly-available. For  
20 power plants that install post-combustion NO<sub>x</sub> control technology, we additionally require the removal efficiency of the  
21 device to derive the ratio. The removal efficiency of post-combustion NO<sub>x</sub> control devices is usually directly reported, as the  
22 operation of such devices is very expensive and is expected to be subject to strict quality control and assurance standards. In  
23 contrast to bottom-up approaches, many details are required for calculating NO<sub>x</sub> and CO<sub>2</sub> emissions, including coal type,  
24 coal quality, boiler firing type, NO<sub>x</sub> emission control device type, and operating condition of boiler and emission control  
25 device.

### 26 3.3.2 Application to Matimba power plant in South Africa

27 We apply the methodology shown in Figure 9 to estimate CO<sub>2</sub> emissions from a South African power plant, Matimba,  
28 which is a strong isolated NO<sub>x</sub> point source (Figure 10). It is a well-studied power plant, having had its emissions estimated  
29 using several different methods as reported in the literature. We estimate  $E_{NO_x}^{Sat}$  for Matimba from 2005 to 2017 based on  
30 OMI NO<sub>2</sub> observations following the approach in Section 2.1. Matimba uses subbituminous coal with a calorific value of ~  
31 20 MJ/kg (Makgato and Chirwa, 2017). We apply the ratio ranging from 2005  $ratio_{regressed}^{CEMS}$  to 2005  $ratio_{regressed}^{CEMS}$  +  
32 standard deviation to Matimba, following the methodology in Figure 9, considering that South Africa is a less strict country  
33 without any post-combustion NO<sub>x</sub> control devices (Pretorius et al., 2015). Our derived  $E_{CO_2}^{Sat}$  is shown in Figure 11 and  
34 fluctuates over time. The growth after 2008\* is most likely caused by the increased unit operating hours driven by the desire  
35 to meet fully the demand for electricity in South Africa after a period of rolling blackouts (2007–2008) (Duncan et al., 2016).  
36 The decline afterwards may be associated with the tripping of generating units at the Matimba because of overload and  
37 shortage of coal as reported by South African government news agency (available at [https://www.sanews.gov.za/south-  
38 africa/eskom-alone-cannot-solve-our-energy-challenges](https://www.sanews.gov.za/south-africa/eskom-alone-cannot-solve-our-energy-challenges)). The increase in 2016\* may be associated with a newly-built power  
39 plant, Medupi, which began limited operations in 2015. Note that the range of  $E_{CO_2}^{Sat}$  (grey band) in Figure 11 represents the  
40 emissions based on a range of NO<sub>x</sub>-to-CO<sub>2</sub> ratios, not the uncertainty. We calculate the uncertainty of  $E_{CO_2}^{Sat}$  for Matimba  
41 following Section 3.2 with an additional uncertainty of ~50% to reflect the fact that the ratio may range from  $ratio_{regressed}^{CEMS}$

1 to  $ratio_{regressed}^{CEMS} +$  standard deviation. The overall uncertainty of  $E_{CO_2}^{Sat}$  for Matimba is 81%, as shown by the error bars in  
2 Figure 11.

3 Figure 11 shows  $E_{CO_2}^{Sat}$  derived in this study and other independent estimates reported in the literature, including two top-  
4 down (Nassar et al., 2017; Reuter et al., 2019) and three bottom-up estimates (Wheeler and Ummel, 2008; Tong et al., 2018;  
5 Oda et al., 2018). Despite the uncertainties associated with each of these methods, the CO<sub>2</sub> emissions estimates agree  
6 reasonably well, but we do not have sufficient information to understand the differences between these estimates. However,  
7 Tong et al. (2018) present in their CPED database both CO<sub>2</sub> and NO<sub>x</sub> emissions, which allows us to determine that the  
8 difference between  $E_{NO_x}^{Sat}$  and the CPED bottom-up estimate contributes significantly to the difference in CO<sub>2</sub> estimates from  
9 the two methods.  $E_{NO_x}^{Sat}$  for Matimba is 3.8 Mg/h for 2010\*, which is 65% smaller than the estimate by Tong et al. (2018) for  
10 2010. It is not surprising to see such differences considering the uncertainties of satellite-derived NO<sub>x</sub> emissions and bottom-  
11 up estimates for power plants without reliable CEMS measurements. For instance,  $E_{NO_x}^{Sat}$  is potentially underestimated  
12 because of the bias in the OMI NO<sub>2</sub> standard product (version 3.1) associated with a low-resolution static climatology of  
13 surface Lambert-Equivalent Reflectivity (OMLER) (Kleipool et al., 2008). We perform a sensitivity analysis by using the  
14 preliminary new version of the OMI NO<sub>2</sub> product, which uses new geometry dependent Moderate Resolution Imaging  
15 Spectroradiometer (MODIS)-based surface reflectivity. The inferred  $E_{NO_x}^{Sat}$  based on the new product is over 10% higher than  
16 version 3.1. The bottom-up estimates for Matimba are subject to significant uncertainties as well. For example, Tong et al.  
17 (2018) used national total fuel consumption of the power sector for South Africa as reported by the International Energy  
18 Agency to estimate fuel consumption at the plant level as detailed fuel consumption for each plant is not currently available.  
19 Additionally, they used default NO<sub>x</sub> emission factors obtained from the literature because of the absence of country-specific  
20 measurement data.

#### 21 **4 Conclusions**

22 In our study, we investigated the feasibility of using satellite data of NO<sub>2</sub> from power plants to infer co-emitted CO<sub>2</sub>  
23 emissions, which could serve as complementary verification of bottom-up inventories or be used to supplement these  
24 inventories that are highly uncertain in many regions of the world. For example, our estimates will serve as an independent  
25 check of CO<sub>2</sub> emissions that will be inferred from satellite retrievals of future CO<sub>2</sub> sensors (Bovensmann et al., 2010).  
26 Currently, uncertainties in CO<sub>2</sub> emissions from power plants confound national and international efforts to design effective  
27 climate mitigation strategies.

28 We estimate NO<sub>2</sub> and CO<sub>2</sub> emissions during the “ozone season” from individual power plants from satellite observations  
29 of NO<sub>2</sub> and demonstrate its utility for US power plants, which have accurate CEMS with which to evaluate our method. We  
30 systematically identify the sources of variation, such as types of coal, boiler, and NO<sub>x</sub> emission control device, and change in  
31 operating conditions, which affect the NO<sub>x</sub> to CO<sub>2</sub> emissions ratio. Understanding the causes of these variations will allow  
32 for better informed assumptions when applying our method to power plants that have no or uncertain information on the  
33 factors that affect their emissions ratios. For example, we estimated CO<sub>2</sub> emissions from the large and isolated Matimba  
34 power plant in South Africa, finding that our emissions estimate shows reasonable agreement with other independent  
35 estimates.

36 We found that it is feasible to infer CO<sub>2</sub> emissions from satellite NO<sub>2</sub> observations, but limitations of the current satellite  
37 data (e.g., spatio-temporal resolution, signal-to-noise) only allow us to apply our method to eight large and isolated U.S.  
38 power plants. Looking forward, we anticipate that these limitations will diminish for the recently launched (October 2017)  
39 TROPOMI, and three upcoming (launches expected in the early 2020s) geostationary instruments (NASA TEMPO;  
40 European Space Agency and Copernicus Programme Sentinel-4; Korea Meteorological Administration Geostationary

1 Environment Monitoring Spectrometer, GEMS), which are designed to have superior capabilities to OMI. High resolution  
2 TROPOMI observations are capable of describing the spatio-temporal variability of NO<sub>2</sub>, even in a relatively small city like  
3 Helsinki (Ialongo et al., 2019) and allow estimates of NO<sub>x</sub> emissions to be calculated for shorter timeframes (Goldberg et al.,  
4 2019c). Higher spatial and temporal resolutions will likely reduce uncertainties in estimates of NO<sub>x</sub> emissions as well as  
5 allow for the separation of more power plant plumes from nearby sources, thus increasing the number of power plants  
6 available for analysis. Therefore, future work will be to apply our method to these new datasets, especially after several years  
7 of vetted data become available. Additional future work will include applying our method to other regions of the world with  
8 reliable CEMS information, such as Europe, Canada and, more recently, China, to develop a more reliable and complete  
9 database with region-specific ratios.

## 10 **Data availability**

11 The OMI NO<sub>2</sub> and MERRA-2 data can be downloaded from the Goddard Earth Sciences Data and Information Services  
12 Center (GES DISC; available at <https://disc.gsfc.nasa.gov/datasets>). The CEMS emissions data can be downloaded from Air  
13 Markets Program Data (available at <https://ampd.epa.gov/ampd/>). The GPED data are available at  
14 <http://www.meicmodel.org/dataset-gped.html>.

## 15 **Author contribution**

16 Fei Liu, Bryan N. Duncan, and Nickolay A. Krotkov designed the framework. Fei Liu, Steffen Beirle, Lok N. Lamsal,  
17 Debora Griffin, Chris A. McLinden, and Daniel L. Goldberg developed the NO<sub>x</sub> emission fitting algorithm and Fei Liu  
18 carried it out. Fei Liu and Zifeng Lu analysed the NO<sub>x</sub>/CO<sub>2</sub> emission ratio. Fei Liu and Bryan N. Duncan prepared the  
19 manuscript with contributions from all co-authors.

## 21 **Competing interests**

22 The authors declare that they have no conflict of interest.

## 23 **Acknowledgments**

24 This research has been funded by the NASA's Earth Science Division Atmospheric Composition: Modeling and Analysis  
25 Program (ACMAP) and the Aura Science team. The Dutch-Finnish-built OMI instrument is part of the NASA EOS Aura  
26 satellite payload. KNMI and the Netherlands Space Agency (NSO) manage the OMI project. We thank the US EPA for  
27 making the Emissions & Generation Resource Integrated Database (eGRID) available on line.

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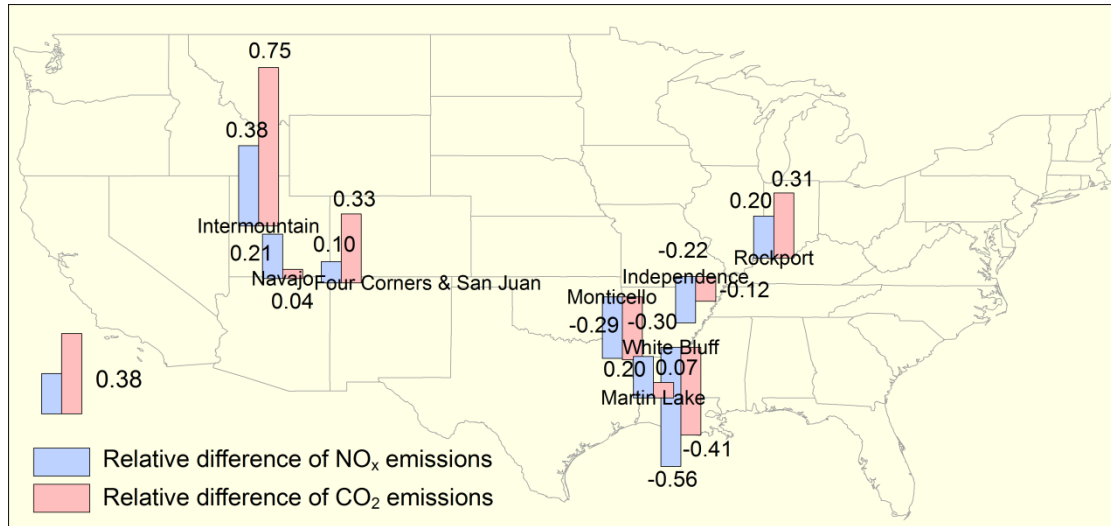
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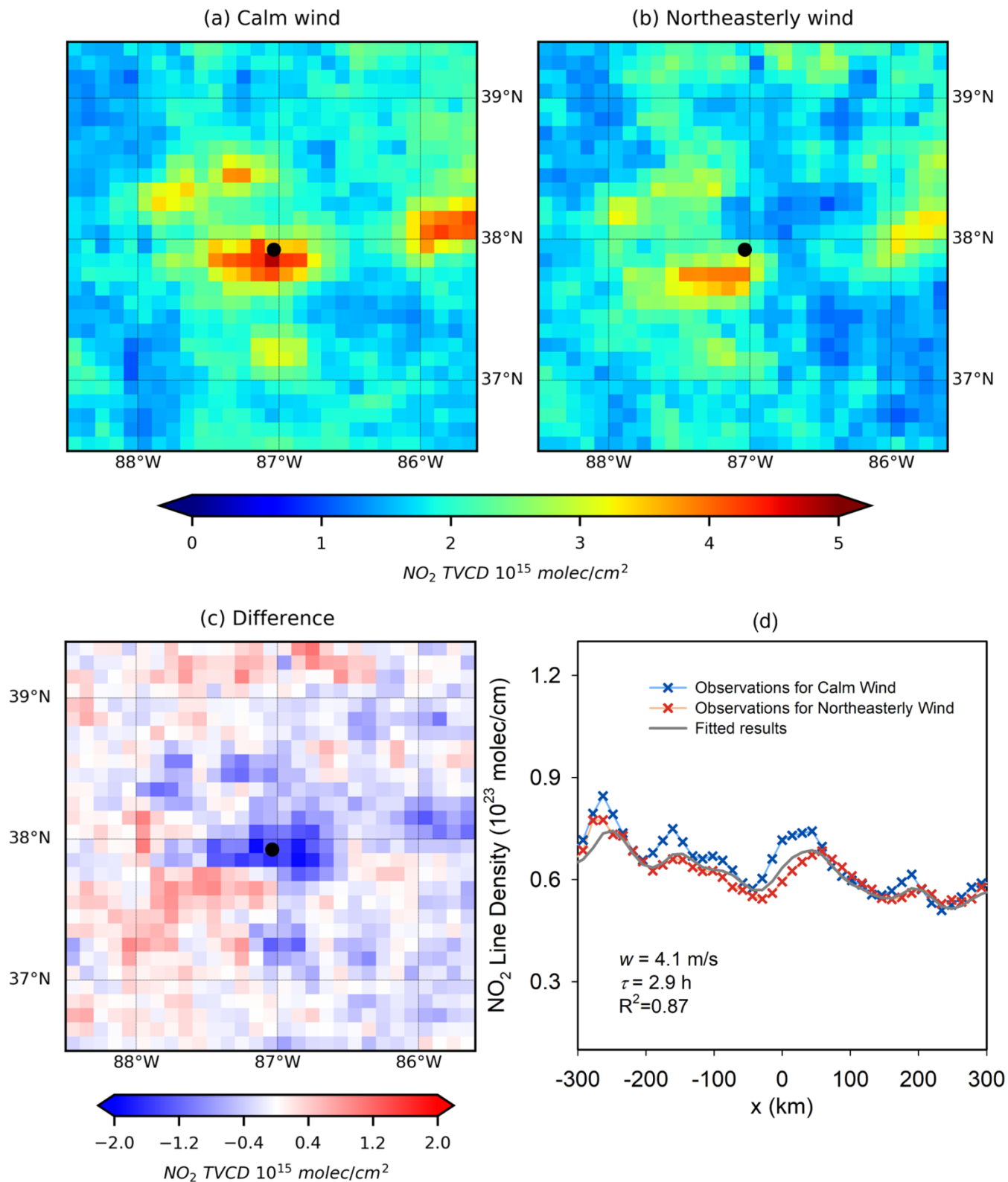


1 **Figures**

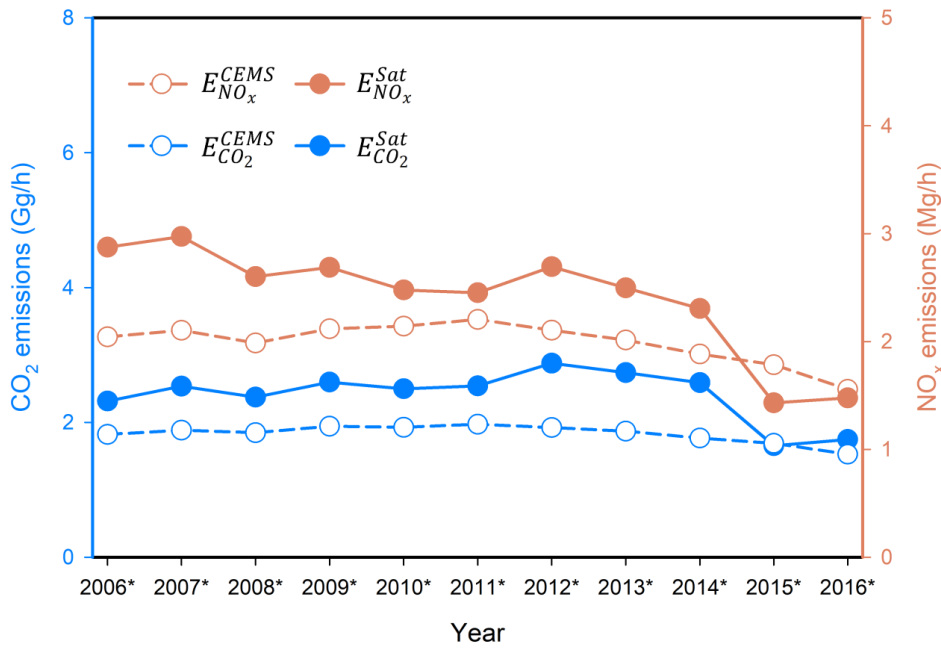


2

3 **Figure 1** Locations of the power plants investigated in this study. The bar charts denote the relative differences, defined as  $(E^{Sat} - E^{CEMS})/E^{CEMS}$ , averaged over 2005–2017, for NO<sub>x</sub> (blue) and CO<sub>2</sub> (red) emissions. The upward and downward bars represent positive and  
 4 negative differences, respectively. The Monticello power plant installed SNCR to control NO<sub>x</sub> emissions in 2008. The other power plants  
 5 are not equipped with post-combustion NO<sub>x</sub> control devices.  
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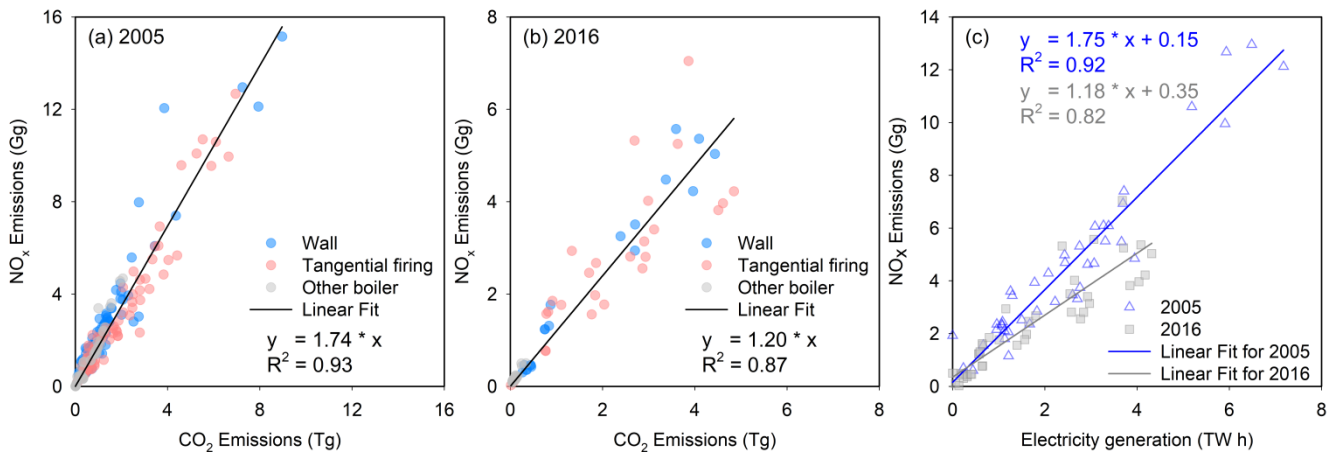


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 2 **Figure 2** Mean OMI NO<sub>2</sub> tropospheric VCDs around the Rockport power plant (Indiana, USA) for (a) calm conditions, (b) northeasterly  
 3 wind and (c) their difference (northeasterly – calm) for the period of 2005 – 2017. The location of Rockport is labelled by a black dot. (d)  
 4 NO<sub>2</sub> line densities around Rockport. Crosses: NO<sub>2</sub> line densities for calm (blue) and northeasterly winds (red) as function of the distance x  
 5 to Rockport center. Grey line: the fitted results for NO<sub>2</sub> line densities for northeasterly winds. The numbers indicate the net mean wind  
 6 velocities (windy – calm) from MERRA-2 ( $w$ ), the fitted lifetime ( $\tau$ ), and the coefficient of determination ( $R^2$ ) of the fit.



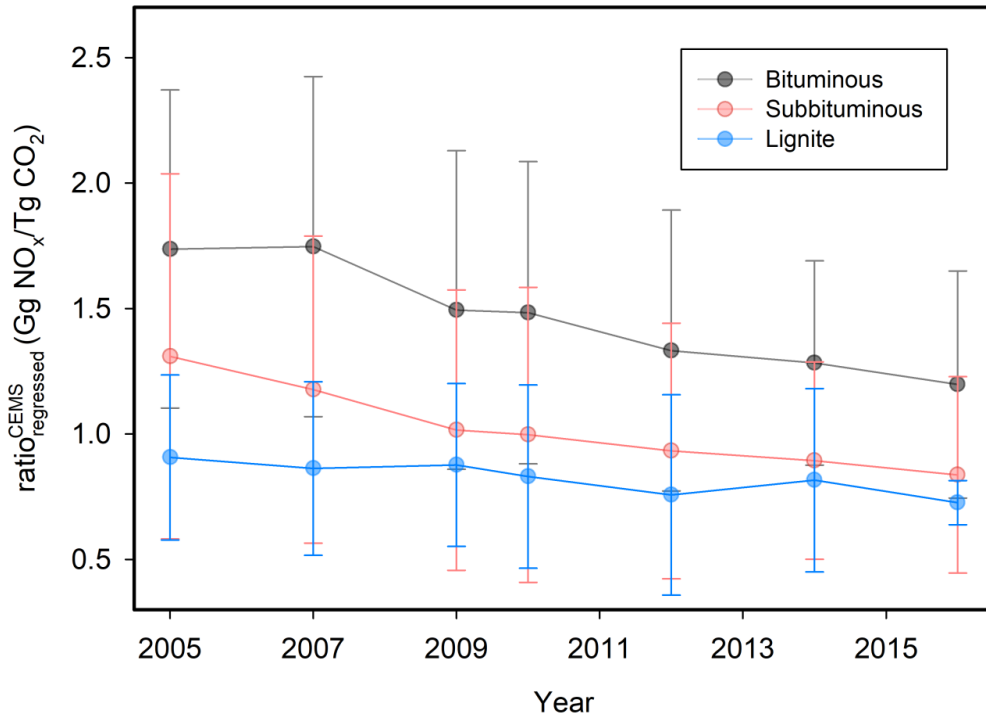
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**Figure 3**  $E_{NO_x}^{Sat}$  (Mg/h; orange solid lines – right axis) and  $E_{CO_2}^{Sat}$  (Gg/h; blue solid line – left axis) for the Rockport power plant from 2005 to 2017.  $E_{NO_x}^{CEMS}$  and  $E_{CO_2}^{CEMS}$  (dashed lines) are also shown. The 3-year periods are represented by the middle year with an asterisk (e.g., 2006\* denotes the period from 2005 to 2007).

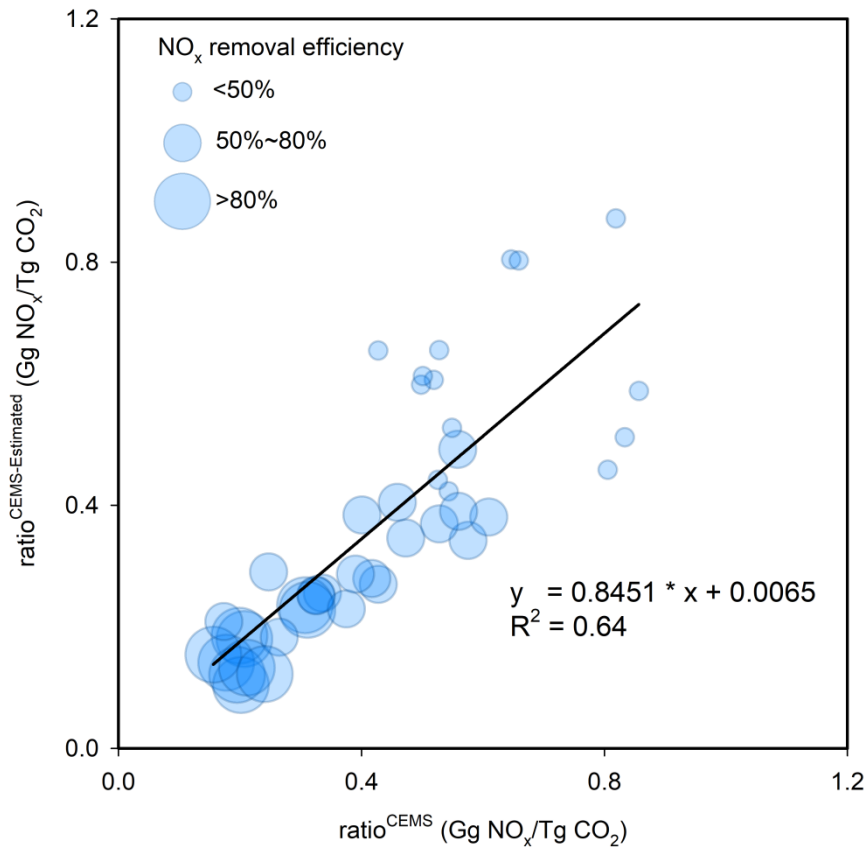


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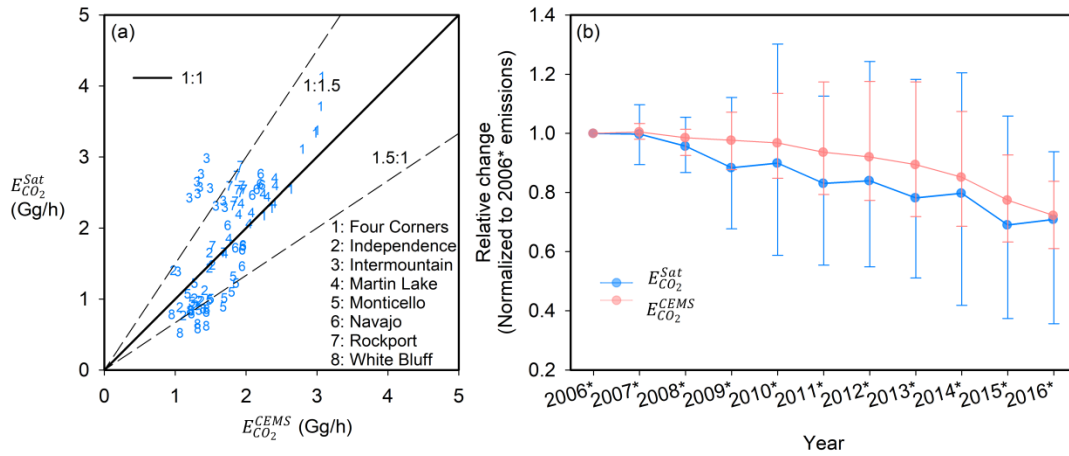
**Figure 4** Scatter plots of  $E_{NO_x}^{CEMS}$  versus  $E_{CO_2}^{CEMS}$  for all the US bituminous coal-fired electric generating units for (a) 2005 and (b) 2016. Values are color coded by firing type. (c) Scatter plot of  $E_{NO_x}^{CEMS}$  versus electricity generation of the same units for years 2005 (triangle) and 2016 (square). Only plants without post-combustion  $NO_x$  control devices within a given year are used. The electricity generation data are also from eGRID. The lines in all three panels represent the computed linear regressions.



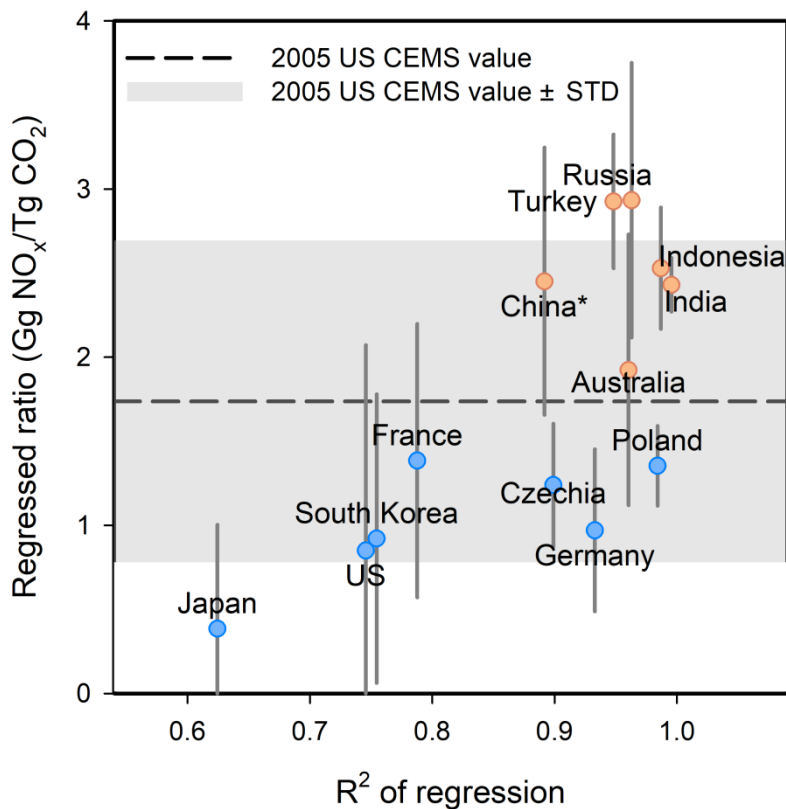
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 2 **Figure 5** Interannual trends of  $ratio_{regressed}^{CEMS}$  for power plants using bituminous, subbituminous and lignite coal types and without post-  
 3 combustion  $NO_x$  control devices in a given year. Error bars show the standard deviations for ratios of  $E_{NO_x}^{CEMS}$  to  $E_{CO_2}^{CEMS}$  for individual power  
 4 plants.



5  
 6 **Figure 6** Scatterplot of  $ratio^{CEMS-Estimated}$  as compared to  $ratio^{CEMS}$  for 2016. All 44 coal-fired power plants that operated post-  
 7 combustion devices after 2005 and before 2016 (including 2016) are used in the plot. The sizes of the circles denote the magnitude of the  
 8  $NO_x$  reduction efficiency of post-combustion control devices estimated in this study. The line represents the linear regression of  $ratio^{CEMS}$   
 9 to  $ratio^{CEMS-Estimated}$ .

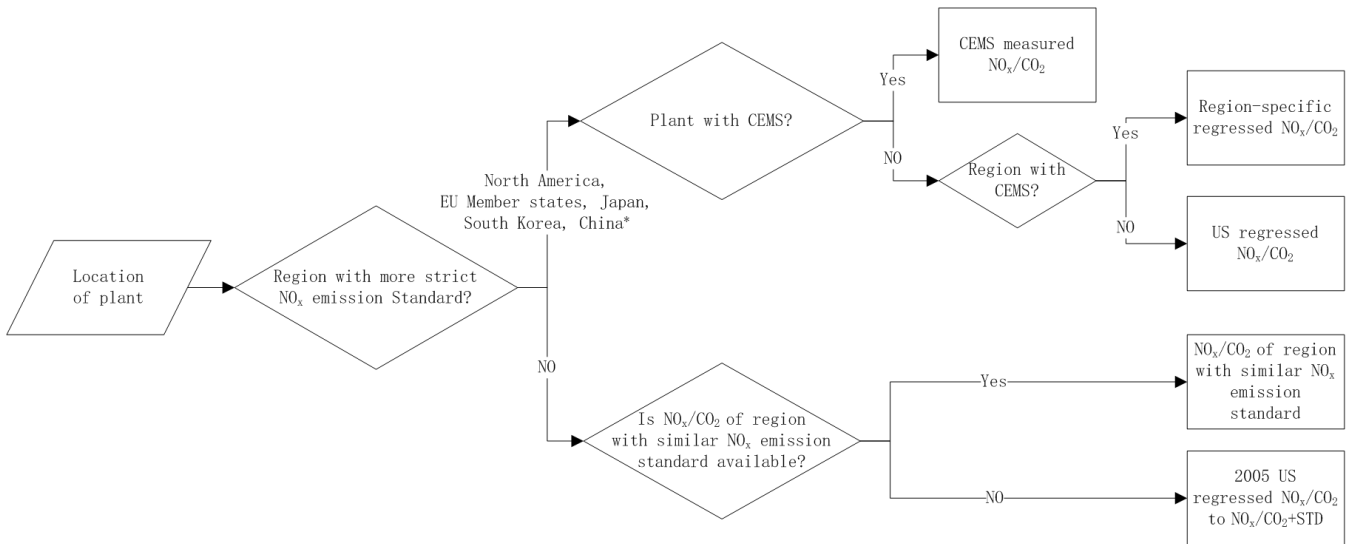


**Figure 7** (a) Scatterplot of  $E_{CO_2}^{Sat}$  for eight power plants as compared to  $E_{CO_2}^{CEMS}$  from 2006\* to 2016\*. The solid lines represent the ratio of 1:1. The dashed lines represent the ratio of 1:1.5 and 1.5:1, respectively. (b) Interannual trends of the averaged  $E_{CO_2}^{Sat}$  (blue lines) and  $E_{CO_2}^{CEMS}$  (pink lines) are for all power plants analyzed in this study from 2006\*–2016\*, as normalized to the 2006\* value. The whiskers denote the maximum and minimum values.



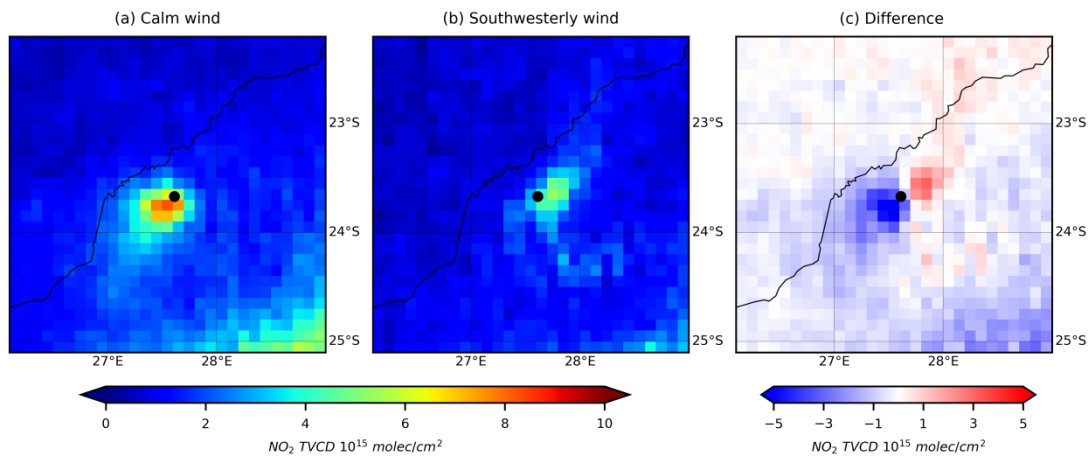
**Figure 8** Comparison of the regressed  $NO_x$  to  $CO_2$  emission ratios derived from the global power emissions database (GPED) for different regions versus the correlation coefficient of the regression. The blue and red circles denote regions that are subject to more strict standard for  $NO_x$  emissions from power plants (i.e., a  $NO_x$  ELV of  $200\ mg/m^3$  or less) and other regions, respectively. Y axis: the slope of the regression of the  $NO_x$  to  $CO_2$  emissions with an assumed y-intercept of zero. Error bars show the standard deviations for the  $NO_x$  to  $CO_2$  emission ratios for individual power plants. X axis: correlation coefficient of the regression. The dashed line represents 2005 US  $ratio_{regressed}^{CEMS}$  for bituminous coal derived in this study. The grey shadow represents 2005 US  $ratio_{regressed}^{CEMS} \pm$  standard deviation.

\*China switched from being a less strict country to a more strict country in 2014, when most coal-fired power plants in China were required to comply with its new emission standards (GB13223-2011).

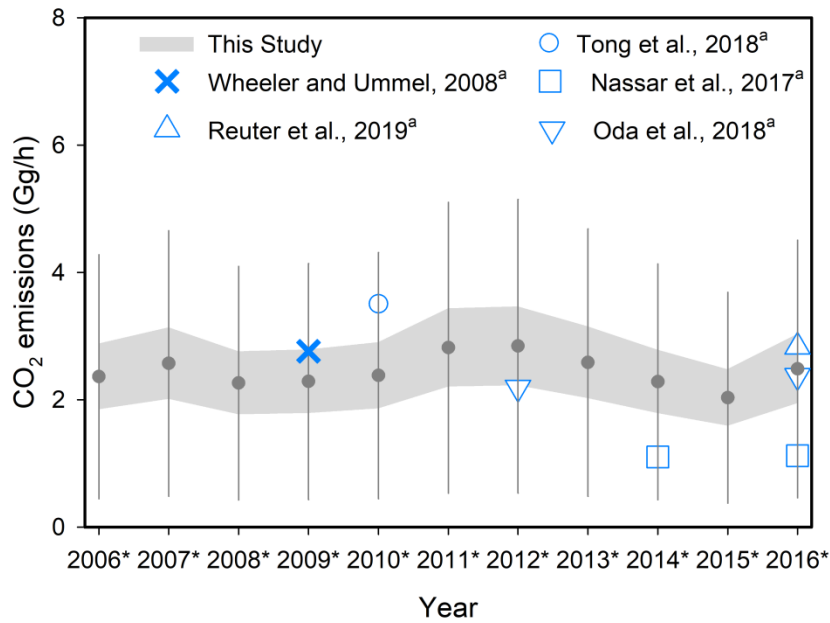


**Figure 9** Schematic of our methodology to estimate the  $\text{NO}_x$  to  $\text{CO}_2$  emission ratios for power plants outside the US.

\*China switched from being a less strict country to a more strict country in 2014, when most coal-fired power plants in China were required to comply with its new emission standards (GB13223-2011).



**Figure 10** Mean OMI  $\text{NO}_2$  tropospheric VCDs around the Matimba power plant (Lephalale, South Africa) for (a) calm, (b) southwesterly wind conditions and (c) their difference (southwesterly – calm) for the period of 2005 – 2017. The location of Matimba is represented by a black dot.



**Figure 11** Comparison of  $E_{CO_2}^{Sat}$  (Gg/h) derived in this study with existing estimates for the Matimba power plant during 2005 to 2017.  $E_{CO_2}^{Sat}$  is inferred based on the  $NO_x$  to  $CO_2$  emissions ratio ranging from  $ratio_{regressed}^{CEMS}$  to  $ratio_{regressed}^{CEMS} +$  standard deviation of ratio. The upper and lower grey bands denote the emissions inferred from  $ratio_{regressed}^{CEMS}$  and  $ratio_{regressed}^{CEMS} +$  standard deviation of ratio, respectively. The grey dots and error bars show the mean of the upper and lower grey bands and their uncertainties, respectively. <sup>a</sup>Emissions are estimated for 2009 by Wheeler and Ummel (2008); for 2010 by Tong et al. (2018); for 2014 and 2016 by Nassar et al. (2017); for 2016 by Reuter et al. (2019); and for 2012 and 2016 by Oda et al. (2018).

**Table 1** The slope ( $ratio_{regressed}^{CEMS}$ ), coefficient of determination, standard deviation and sample number of the linear regression of  $E_{NO_x}^{CEMS}$  and  $E_{CO_2}^{CEMS}$  by year for all US power plants without post-combustion NO<sub>x</sub> control devices from 2005 to 2016.

Coal type	Year	$ratio_{regressed}^{CEMS}$	R <sup>2</sup>	Standard deviation	Sample number <sup>a</sup>
Bituminous	2005	1.74	0.93	0.63	278
	2007	1.75	0.91	0.68	286
	2009	1.49	0.88	0.64	241
	2010	1.48	0.86	0.60	235
	2012	1.33	0.87	0.56	190
	2014	1.28	0.87	0.41	136
	2016	1.20	0.87	0.45	66
Subbituminous	2005	1.31	0.65	0.73	226
	2007	1.18	0.61	0.61	221
	2009	1.02	0.66	0.56	230
	2010	1.00	0.67	0.59	216
	2012	0.93	0.74	0.51	200
	2014	0.89	0.74	0.39	165
	2016	0.84	0.70	0.39	111
Lignite	2005	0.91	0.74	0.33	20
	2007	0.86	0.82	0.35	22
	2009	0.88	0.91	0.32	16
	2010	0.83	0.94	0.37	18
	2012	0.76	0.91	0.40	15
	2014	0.82	0.92	0.37	12
	2016	0.73	0.78	0.09	9

<sup>a</sup>The sample number generally decreases from 2005 to 2016 as power plants installed post-combustion NO<sub>x</sub> control devices over time.



**Table 2** Summary of effective NO<sub>x</sub> lifetimes, satellite-derived NO<sub>x</sub> emissions ( $E_{NO_x}^{Sat}$ ), CO<sub>2</sub> emissions ( $E_{CO_2}^{Sat}$ ) and bottom-up NO<sub>x</sub> emissions ( $E_{NO_x}^{CEMS}$ ), CO<sub>2</sub> emissions ( $E_{CO_2}^{CEMS}$ ) for 8 US power plants during May to September from 2005 to 2017. The 3-year periods are represented by the middle year with an asterisk.

Category	Year	Four Corners & San Juan	Independence	Intermountain	Martin Lake	Monticello	Navajo	Rockport	White Bluff	
NO <sub>x</sub> lifetime	2005-2017	2.7	2.5	2.2	2.3	3.2	2.3	2.4	4.3	
$E_{NO_x}^{Sat}$ (Mg/h)	2006*	10.5	2.0	4.0	2.4	1.1	4.6	2.9	1.0	
	2007*	10.0	1.7	4.1	2.3	1.1	4.4	3.0	0.9	
	2008*	9.4	1.6	3.7	2.0	0.8	4.5	2.6	0.9	
	2009*	7.2	1.2	3.9	2.1	0.7	3.9	2.7	0.7	
	2010*	6.8	1.0	4.4	2.1	0.6	3.6	2.5	0.9	
	2011*	6.5	0.9	3.6	1.8	0.7	2.5	2.5	0.8	
	2012*	6.3	0.9	3.4	1.6	0.6	2.3	2.7	0.8	
	2013*	5.6	0.8	3.5	1.8	0.5	1.9	2.5	0.6	
	2014*	4.4	0.7	3.5	1.7	0.8	2.2	2.3	0.5	
	2015*	3.8	0.8	3.0	1.4	0.7	2.1	1.4	0.4	
$E_{NO_x}^{CEMS}$ (Mg/h)	2006*	7.4	1.8	3.0	1.8	1.5	3.8	2.0	1.7	
	2007*	7.3	1.8	3.1	1.8	1.4	3.9	2.1	1.6	
	2008*	6.8	1.8	2.9	1.8	1.3	3.8	2.0	1.6	
	2009*	6.5	1.6	2.9	1.8	1.2	3.4	2.1	1.8	
	2010*	6.2	1.6	2.8	1.7	1.1	2.8	2.1	1.8	
	2011*	6.2	1.4	2.5	1.5	1.0	2.2	2.2	1.9	
	2012*	6.1	1.3	2.4	1.4	0.9	1.9	2.1	1.9	
	2013*	5.6	1.3	2.4	1.3	0.9	1.9	2.0	2.0	
	2014*	5.2	1.2	2.5	1.3	0.8	1.9	1.9	1.9	
	2015*	4.3	1.2	2.0	1.3	0.8	1.7	1.8	1.5	
$(E_{NO_x}^{Sat} - E_{NO_x}^{CEMS}) / E_{NO_x}^{CEMS}$	2005-2017	10%	-22%	38%	20%	-29%	21%	20%	-56%	
	$E_{CO_2}^{Sat}$ (Gg/h)	2006*	6.1	1.6	2.3	2.7	1.2	2.6	2.3	0.8
		2007*	5.9	1.5	2.4	2.6	1.3	2.6	2.5	0.8
		2008*	5.6	1.4	2.3	2.3	1.1	2.8	2.4	0.8
		2009*	4.1	1.1	2.6	2.4	1.0	2.5	2.6	0.6
		2010*	3.7	1.0	3.0	2.5	0.9	2.5	2.5	0.9
		2011*	3.4	1.0	2.6	2.2	1.0	1.7	2.5	0.8
		2012*	3.3	1.0	2.5	2.1	1.0	1.7	2.9	0.9
		2013*	3.1	0.9	2.6	2.3	0.8	1.5	2.7	0.6
		2014*	2.5	0.8	2.8	2.2	1.2	1.8	2.6	0.6
2015*		2.3	0.9	2.4	1.8	1.1	1.7	1.7	0.5	
$E_{CO_2}^{CEMS}$ (Gg/h)	2006*	3.1	1.5	1.7	2.4	1.9	2.2	1.8	1.2	
	2007*	3.1	1.5	1.7	2.4	1.8	2.2	1.9	1.2	
	2008*	3.0	1.5	1.6	2.4	1.8	2.2	1.8	1.2	
	2009*	3.1	1.4	1.5	2.3	1.7	2.1	1.9	1.3	
	2010*	3.0	1.4	1.4	2.2	1.7	2.1	1.9	1.4	
	2011*	3.0	1.3	1.3	2.1	1.5	2.0	2.0	1.4	
	2012*	3.0	1.3	1.3	2.0	1.5	1.9	1.9	1.4	

	2013*	2.8	1.3	1.3	1.9	1.3	1.9	1.9	1.4
	2014*	2.6	1.1	1.4	1.9	1.3	2.0	1.8	1.3
	2015*	2.4	1.1	1.2	1.8	1.2	1.8	1.7	1.1
	2016*	2.2	1.0	1.0	1.7	1.2	1.7	1.5	0.9
<hr/>									
$(E_{CO_2}^{Sat} - E_{CO_2}^{CEMS}) / E_{CO_2}^{CEMS}$	2005-2017	33%	-12%	75%	7%	-30%	4%	31%	-41%

**Table 3** Summary of relative difference between satellite-derived NO<sub>x</sub> emissions ( $E_{NO_x}^{Sat}$ ) and bottom-up NO<sub>x</sub> emissions ( $E_{NO_x}^{CEMS}$ ), satellite-derived CO<sub>2</sub> emissions ( $E_{CO_2}^{Sat}$ ) and bottom-up CO<sub>2</sub> emissions ( $E_{CO_2}^{CEMS}$ ) for 8 US power plants during May to September from 2005 to 2017. The 3-year periods are represented by the middle year with an asterisk.

Year	Relative Difference for NO <sub>x</sub>		Relative Difference for CO <sub>2</sub>	
	Mean	Standard Deviation	Mean	Standard Deviation
2006*	15%	29%	17%	39%
2007*	10%	29%	16%	38%
2008*	5%	30%	14%	39%
2009*	-3%	34%	6%	39%
2010*	-1%	38%	9%	46%
2011*	-5%	31%	3%	40%
2012*	-3%	31%	5%	41%
2013*	-4%	38%	4%	49%
2014*	-3%	36%	7%	46%
2015*	-8%	35%	2%	41%
2016*	-2%	29%	8%	22%