

1     **Hygroscopic properties and CCN activity of atmospheric aerosols under**  
2     **the influences of Asian continental outflow and new particle formation at a**  
3                     **coastal site in East Asia**

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1 **Abstract**

2 The chemical composition of fine particulate matters ( $PM_{2.5}$ ), the size distribution and number  
3 concentration of aerosol particles ( $N_{CN}$ ) and the number concentration of cloud condensation  
4 nuclei ( $N_{CCN}$ ) were measured at the northern tip of Taiwan Island during an intensive  
5 observation experiment from April 2017 to March 2018. The parameters of aerosol  
6 hygroscopicity (i.e. activation ratio, activation diameter and kappa of CCN) were retrieved  
7 from the measurements. Significant variations were found in the hygroscopicity of aerosols ( $\kappa$ :  
8 0.18 - 0.56 for SS: 0.12 -0.80 %), which were subject to various pollution sources, including  
9 aged air pollutants originating in the eastern/northern China and transported by the Asian  
10 continental outflows, fresh particles emitted from local sources and distributed by land-sea  
11 breeze circulations as well as produced by new particle formation (NPF) processes. Cluster  
12 analysis was applied to the backward trajectories of air masses to investigate their respective  
13 source regions. The results showed that aerosols associated with Asian continental outflows  
14 were characterized with lower  $N_{CN}$  and  $N_{CCN}$ , and with higher kappa of CCN, whereas higher  
15  $N_{CN}$  and  $N_{CCN}$  with lower kappa of CCN were observed in the aerosols associated with local  
16 air masses. Besides, it was revealed that the kappa of CCN exhibited a decrease during the  
17 early stage of a new particle formation event, and turned to an increasing trend over the later  
18 period. The distinct features in the hygroscopicity of aerosols were found to be consistent with  
19 the characteristics in the chemical composition of  $PM_{2.5}$ . This study has depicted a clear  
20 seasonal characteristic of hygroscopicity and CCN activity under the influences of a complex  
21 mixture of pollutants from different regional and/or local pollution sources. Nevertheless, the  
22 mixing state and chemical composition of the aerosols critically influence the aerosol  
23 hygroscopicity and further investigations are necessary to elucidate the atmospheric processing  
24 involved in the CCN activation in coastal areas.

25

26 **Keywords:** Cloud condensation nuclei, Asian continental outflows, aerosol hygroscopicity,  
27 kappa of CCN

## 1 **1. Introduction**

2 Aerosols suspended in the atmosphere allow condensation of water vapor under certain super-  
3 saturation conditions and subsequently evolve into cloud droplets. Activation of cloud  
4 condensation nuclei (CCN) depends on the size and chemical composition of aerosol particles,  
5 as well as on the meteorological conditions (i.e. water vapor supersaturation (SS), and uplift  
6 force for air parcels) (Seinfeld and Pandis 1998). Among the chemical and physical properties  
7 of aerosols, hygroscopicity plays critical roles in the complex aerosol-cloud interactions  
8 (McFiggans et al., 2006; Lee et al., 2010). Atmospheric aerosols are a mixture of different  
9 chemical species rather than a single compound and exist in various size ranges and mixing  
10 states. A single parameter called kappa ( $\kappa$ ) has been developed to evaluate hygroscopicity of  
11 aerosols, which represents a scaled volume fraction of soluble materials in particles and  
12 provides a theoretical framework to derive bulk hygroscopicity for aerosols with internal  
13 mixtures (Petters and Kreidenweis, 2007). However, while the hygroscopicity and CCN  
14 activity of a single component can be characterized in laboratories, the properties of their  
15 mixture in ambient air are difficult to estimate owing to the complexity in physiochemical  
16 characteristics of aerosols. Thus, field investigations have been conducted to study aerosol  
17 hygroscopicity and CCN activity in various environmental settings including rural, urban,  
18 forest and marine boundary layer (Ehn et al., 2007; Massling et al., 2007; Gunthe et al., 2009;  
19 Wu et al., 2016; Schmale et al., 2017; Park et al., 2018). Furthermore, in-situ measurements of  
20 physicochemical properties of aerosols and CCN in critical geographical areas in climate  
21 system could provide a means of constraining representation of relevant schemes in global  
22 climate models (Khairoutdinov and Randall, 2001; Morales and Nenes, 2014; Seinfeld et al.,  
23 2016).

24

25 Due to the rapid industrialization and economic development in the East Asia (EA) during the  
26 past few decades, the EA has become one of the most polluted regions in the world where  
27 significant amount of particulate matters (PM) and their precursors were emitted (Streets et al.,  
28 2003; Dentener et al., 2006; Zhang et al., 2009). Taiwan is located in the downwind area of the  
29 EA continental outflows, and thereby is influenced by the pollution outbreaks during the winter  
30 monsoon seasons. Furthermore, the air quality in Taiwan is also known to be affected by the  
31 photochemical production of secondary aerosols. The geographical location thus provides a  
32 strategic platform to investigate the CCN activation of aerosols influenced by a complex  
33 mixture of pollutants (Chou et al., 2005, 2017; Chang et al., 2010; Cheung et al., 2013, 2016;  
34 Li et al., 2016; Lee et al., 2019). Cheung et al. (2013) reported that new particle formation

1 (NPF) events occurred frequently during summertime in Taiwan, where the number  
2 concentration of nucleation mode particles formed from photochemical reactions was nearly  
3 ten-fold of that attributed to local primary pollution, indicating the critical impact of NPF on  
4 particle concentration. Previous studies suggested that the freshly formed particles could  
5 further grow into larger particles by up-taking condensable vapors (i.e. organic and sulfuric  
6 vapors) and increased CCN concentration (Merikanto et al., 2009; Pierce et al., 2012); however,  
7 the detailed processes were not clear yet. To date, most of the studies upon CCN and its  
8 interaction with NPF have been conducted in Europe and North America, whereas only a few  
9 studies with 1-3 months measurement periods in East Asia were available despite the frequent  
10 NPF observed in this region (Yue et al., 2011; Leng et al., 2014; Ma et al., 2016). In order to  
11 investigate the hygroscopicity and CCN activity of the aerosols with a complex pollution  
12 sources and aging processes, a one-year observation study on characteristics of aerosols and  
13 CCN was conducted in the northern Taiwan. The aim of this study was to characterize the  
14 variations in aerosol hygroscopicity and CCN activity under the influences of continental  
15 outflows and new particle formation during different seasons.

16

## 17 **2. Methodology**

### 18 *2.1 Observation site and instrumentation*

19 A field study was conducted at the Cape Fuguei Research Station (named CAFÉ, 25.30°N,  
20 121.54°E, 10 m a.s.l.) located at the northern tip of Taiwan Island (see **Figure 1** for map) from  
21 1 April 2017 to 31 March 2018. The air quality in northern Taiwan exhibited significant  
22 seasonal variations, depending on the origins of polluted air masses. The EA continental  
23 pollution outbreaks dominated during the seasons of winter monsoons, whereas local pollution  
24 associated with southerly flows affected the study site, particularly during summer (Chou et al.,  
25 2017). Therefore, this station provides an ideal platform for studies on the aerosol  
26 hygroscopicity and CCN activity under the influences of various pollution sources. Further  
27 detailed information of the site description of CAFÉ station is referred to Chou et al. (2017).

28

29 The aerosol sampling inlets were located at the rooftop of the station and ambient air was drawn  
30 into the instruments through conductive tubing. **Figure 2** illustrates the **schematic** of aerosol  
31 sampling. Two inlets were deployed for aerosol sampling and were equipped with diffusion  
32 dryers filled with silica gel to reduce RH. One of the inlets was for particle size distribution  
33 measurement (13-736 nm), which was carried out by a scanning mobility particle sizer (SMPS,  
34 TSI Inc.). The SMPS system consisted of an electrostatic classifier (TSI 3080) with long-

1 differential mobility analyzer (TSI 3081) and a water-based condensation particle counter  
2 (WCPC, TSI 3786). The sheath and sample flow rates were 3 and 0.6 lpm, respectively, and  
3 the sample time interval was 5 minutes. The accuracy of particle sizing was checked using  
4 polystyrene latex spheres (PSLs). The nominal diameters of the PSLs were  $97\pm 3$  nm (Part#:  
5 3100A, Thermo Scientific Inc.) and  $240\pm 5$  nm (Part#: 3240A, Thermo Scientific Inc.). The  
6 averaged modes of the PSLs measured by the SMPS were found to be  $100\pm 2.1$  and  $232.9\pm 0$   
7 nm, respectively, and the differences from the nominal diameters were within 3%. Multiple  
8 charge and diffusion loss corrections were applied to the particle size distribution data using  
9 the internal algorithm from the Aerosol Instrument Manager Software. Furthermore, diffusion  
10 loss in sampling tube was corrected according to the algorithm proposed by Holman (1972).  
11 The nucleation, Aitken and accumulation modes particle number concentrations were  
12 represented by  $N_{30}$  ( $13 \text{ nm} < d \leq 30 \text{ nm}$ ),  $N_{30-100}$  ( $30 \text{ nm} < d \leq 100 \text{ nm}$ ) and  $N_{100-736}$  ( $100 \text{ nm}$   
13  $< d \leq 736 \text{ nm}$ ), respectively.

14

15 The sample air from another inlet split into two streams for the CCN ( $N_{CCN}$ ) and total particle  
16 number concentrations ( $N_{CN}$ ) measurements, respectively, which were used to calculate the  
17 CCN activation ratio (AR). The instruments for  $N_{CCN}$  and  $N_{CN}$  measurements were cloud  
18 condensation nuclei counter (CCNC-100, DMT Inc.) and butanol-based condensation particle  
19 counter (BCPC 3022, TSI Inc.). The calibrated super-saturation (SS) condition setting of the  
20 CCN counter was periodically changed from  $0.12\pm 0.044$ ,  $0.28\pm 0.015$ ,  $0.54\pm 0.002$  to  
21  $0.80\pm 0.067\%$  with time interval of 21, 13, 13, and 13 minutes (a total of 1 hour for each cycle).  
22 Since the CCNC needs several minutes to stable after changing the SS setting, therefore only  
23 the last 5-minute data were used for kappa calculation. The flow rates for the CCNC and BCPC  
24 instruments were 0.5 and 0.3 lpm, respectively, which were checked routinely during sampling  
25 periods by the DryCal flow calibrator (Defender 520, Mesa Labs Inc.). The flow ratio between  
26 sheath and sample flow of CCNC was maintained at  $10\pm 0.3$ .

27

28 The SS calibration of CCN counter was conducted using ammonium sulfate particles at the  
29 start, middle and end of the campaign. Since the counting efficiencies of CCNC were lower  
30 than CPC, thus the maximum activated fraction of  $N_{CCN}/N_{CN}$  would be smaller than 1.  
31 Therefore, the activation diameters used to calculate the SS values were determined by using  
32 half of maximum activated fraction of  $N_{CCN}/N_{CN}$  (Rose et al. 2010). The operation of the DMT  
33 CCNC adopted in this study is referred to Lance et al. (2006). It should be noted that the CCNC  
34 malfunctioned from Aug 2017, and sampling was resumed from Oct 2017. Hence, data was not

1 available during that period.

2

3 PM<sub>2.5</sub> samples were collected by two sequential sampling systems (PNS 18-3.1DM, Comde-  
4 Derenda GmbH) and both samplers were equipped with PM<sub>2.5</sub> sharp cut cyclone with 16.7 lpm  
5 sampling flow rate. One sampler was equipped with Teflon filters which were used for the  
6 analysis of soluble ions (i.e. Na<sup>+</sup>, NH<sub>4</sub><sup>+</sup>, K<sup>+</sup>, Mg<sup>2+</sup>, Ca<sup>2+</sup>, Cl<sup>-</sup>, NO<sub>3</sub><sup>-</sup>, SO<sub>4</sub><sup>2-</sup>) using ion  
7 chromatograph (IC). Another sampler was equipped with quartz filters which were used for  
8 analysis of carbonaceous components (i.e. organic carbon, OC, and elemental carbon, EC)  
9 using a DRI-20001A carbonaceous aerosol analyzer with IMPROVE-A protocol (Chow et al.,  
10 2007). Details of the in-lab analysis are as described previously (Salvador and Chou, 2014).  
11 The sampling duration of each sample set was from 08:00 to 08:00LT (24h), and in total 282  
12 samples were collected during the entire sampling period. Moreover, to assist the data  
13 interpretation, the hourly average mass concentration of PM<sub>2.5</sub>, the mixing ratio of trace gases  
14 (i.e. CO, O<sub>3</sub>, SO<sub>2</sub> and NO<sub>2</sub>) and the meteorological parameters (i.e. wind direction/speed)  
15 reported from the air quality station of Taiwan EPA that collocated with the CAFÉ station were  
16 analyzed in this study.

17

## 18 *2.2 Data processing and analysis for aerosol hygroscopicity*

19 Firstly, the N<sub>CCN</sub> and N<sub>CN</sub> data were synchronized into **5-minute** averages which matched the  
20 time interval for PSD data measured by SMPS. The CCN activation ratio (AR), i.e. the ratio of  
21 N<sub>CCN</sub> to N<sub>CN</sub>, was calculated for a given SS condition. Assuming that the particles were a  
22 homogeneous internal mixture, that large particles were activated first, and that the number of  
23 particles out of the measured particle size range was negligible in N<sub>CCN</sub>, the cut-off diameter  
24 (D<sub>cut</sub>) required for the CCN activation with the AR was calculated from equation (1) (Hung et  
25 al., 2014).

26

$$27 \quad AR = \frac{N_{CCN}}{N_{CN}} = \frac{\int_{D_{cut}}^{D_f} n(D) d \ln D}{\int_{D_i}^{D_f} n(D) d \ln D} \quad (1)$$

28

29 The corresponding kappa (K<sub>cut</sub>) was then calculated by equation (2), which represents the  
30 effective average hygroscopicity of CCN-active particles in the size range above D<sub>cut</sub> (Rose et  
31 al. 2010).

$$s = \frac{D_{wet}^3 - D^3}{D_{wet}^3 - D^3(1-\kappa)} \exp\left(\frac{4\sigma_{sol}M_W}{RT\rho_W D_{wet}}\right) \quad (2)$$

4  $\kappa$  was determined by inserting the  $D_{cut}$  for  $D$  and varying both  $\kappa$  and the droplet diameter  $D_{wet}$   
 5 until the saturation ratio  $s$  was equivalent at the same time to the prescribed supersaturation  $S$   
 6 and to the maximum of a Kohler model curve of CCN activation. Where  $S$  is the water  
 7 saturation ( $= SS + 1$ ),  $\sigma_{S/W}$  is the solution surface tension ( $0.072 \text{ J m}^{-2}$ ),  $\rho_W$  is the water density  
 8 ( $997 \text{ kg m}^{-3}$ ),  $M_W$  is the molecular weight of water ( $0.018 \text{ kg mole}^{-1}$ ),  $R$  is the universal gas  
 9 constant ( $8.314 \text{ J K}^{-1} \text{ mole}^{-1}$ ) and  $T$  is  $298.15 \text{ K}$ . It should be noted that the kappa value  
 10 calculated by the cut-off diameter is an alternative approach by using integrated CCN  
 11 concentration measurements of polydisperse aerosols and should be used with caution when  
 12 comparing with the kappa values obtained by alternative approaches such as size-resolved  
 13 CCN measurement in which aerosols are not poly-dispersed.

14  
 15 The kappa value is used to describe the hygroscopicity of the aerosols; for example, ammonium  
 16 nitrate and ammonium sulfate have kappa values of 0.67 and 0.61, respectively, whereas it is ~  
 17 0.1-0.2 for organic species (Petters and Kreidenweis, 2007). To remove the outliers in kappa  
 18 data, we defined an outlier by values larger or smaller than 1.5 inter-quarter range (IQR) as  
 19 following:

$$Q1 - 1.5 \text{ IQR or } Q3 + 1.5 \text{ IQR} \quad (4)$$

22  
 23 where  $Q1$  and  $Q3$  are first and third quarterly of kappa data, and  $IQR$  is  $Q3$  minus  $Q1$ . About  
 24 12% of data point has been removed according to eq. (4).

### 26 2.3 Back-trajectories cluster analysis

27 Five-day backward trajectories of air masses were calculated every 4 hours using the Hybrid  
 28 Single-Particle Lagrangian Integrated Trajectory (HYSPLIT) model of NOAA (National  
 29 Oceanic and Atmospheric Administration) for the entire sampling period (Stein et al., 2015).  
 30 The meteorological data used in the model were the 6-hourly Global Data Assimilation System  
 31 (GDAS) archived data with a resolution of 0.5 degree in longitude and latitude. The end-point  
 32 of the trajectories was 200 m above ground level at the CAFÉ station. Cluster analysis was  
 33 then used to group trajectories into 5 clusters (see **Figure 3**). The air masses of Clusters 1, 2

1 and 4 were associated with Asian continental outflows induced by the high pressure system  
2 during autumn to spring seasons. The air-mass members of both Clusters 1 and 2 were  
3 originating in the inlands of the Asian continent, but the movement of Cluster 2 air masses was  
4 faster and from higher altitudes. Air masses in Cluster 4 were also induced by high pressure  
5 system but were moving slowly toward the Pacific Ocean and along marine boundary before  
6 reaching CAFÉ station. In contrast, Clusters 3 and 5 include air masses originating in the  
7 Pacific areas and passing through Taiwan Island during warm seasons. The occurrence  
8 frequency of each cluster is listed in **Table 1**. The implications of origins and trajectories of air  
9 masses for CCN activation will be discussed in details in Section 3.2.

### 11 **3. Results and discussion**

12 In the followings, we first present the overall statistics of aerosol hygroscopicity and CCN  
13 activity, and the seasonal and diurnal variations. Then, the features in aerosol hygroscopicity  
14 for respective air mass clusters are depicted. Finally, the implications of NPF for CCN activity  
15 will be discussed.

#### 17 *3.1. Overall statistics for seasonal and diurnal variations of aerosol hygroscopicity*

18 Statistics for the number concentration of cloud condensation nuclei ( $N_{CCN}$ ) and total particles  
19 ( $N_{CN}$ ) as well as for the activation ratio (AR), activation diameter ( $D_{cut}$ ) and kappa ( $\kappa$ ) values  
20 under specific SS conditions are summarized in **Table 2**. The median  $N_{CCN}$  ranged from 820  
21 to  $1880 \text{ cm}^{-3}$  for  $SS = 0.12 - 0.80 \%$ . The median  $\kappa$  values calculated for the sampling period  
22 ranged from 0.18 to 0.56 ( $SS = 0.12 - 0.80 \%$ ), which exhibited larger variations than that  
23 reported from coastal sites in Hong Kong ( $\kappa$ : 0.28 – 0.39 for  $SS$ : 0.15 – 0.70%, Meng et al.  
24 2014) and in Noto Peninsula, Japan ( $\kappa$ : 0.19 – 0.37 for  $SS$ : 0.13 – 0.81%, Iwamoto et al. 2016),  
25 as well as from the Asian rainforest sites at Bukit Atur, Malaysia ( $\kappa$ : 0.05-0.37 for  $SS$ : 0.11-  
26 0.73%, Irwin et al. 2011). Schmale et al. (2018) summarized the results of CCN measurements  
27 reported from 12 sites on 3 continents. The standardized  $\kappa$  values at  $SS$  of 0.5 % were found to  
28 be 0.48, 0.41, 0.55, and 0.30 for rural background, alpine, coastal background, and urban  
29 environmental settings, respectively. The estimated  $\kappa$  value at  $SS$  of 0.5 % was 0.35 for this  
30 study, which was significantly lower than that for coastal background and was more similar to  
31 that of urban aerosols.

32  
33 The larger variations of  $\kappa$  values measured at CAFÉ station compared to the results of the  
34 coastal studies at Hong Kong and Noto Peninsula Japan (Meng et al., 2014, Iwamoto et al.,



1 2016) may be attributed to the shorter periods of measurements in these two studies which  
2 lasted for only 1 month in May and October, respectively, while the present study lasted for 1  
3 year and thereby was subject to seasonal variations. Moreover, the  $\kappa$  values reported in these  
4 previous Asian studies were derived by size-resolved CCN data which represent the average  
5 hygroscopicity of the activated aerosols around the activation diameter ( $D_a$ ), while the kappa  
6 was calculated by  $D_{cut}$  in this study which represent the average hygroscopic of the aerosols  
7 above the size of  $D_{cut}$ . Nevertheless, the aerosol composition at CAFÉ station are frequently  
8 influenced by local pollution from urban region and regional pollution associated with winter  
9 monsoons through different seasons, as indicated in previous studies (Chou et al., 2008, 2010,  
10 2017), hence this explains the larger variations in  $\kappa$  values observed in this study. The  
11 implications of air mass history for aerosol hygroscopicity will be discussed in Section 3.2.  
12

13 It is noteworthy that both the  $\kappa$  and  $D_{cut}$  decrease with the increase of SS. This could be due to  
14 the differences in the chemical composition of the aerosols for different size ranges, with less  
15 hygroscopic species in smaller particles and more hygroscopic species in larger ones. While  
16 the SS increases, smaller and less hygroscopic particles have had got activated in the CCNC.  
17 Consequently, a smaller  $\kappa$  is retrieved as the cut-off diameter is adopted in the calculation (Rose  
18 et al., 2008). Previous studies on size-resolved chemical composition of  $PM_{2.5}$  at northern  
19 Taiwan reported that the size distribution of aliphatic carbons peaked at 0.12-0.15  $\mu m$  and 0.62-  
20 0.87  $\mu m$  while that for carbonyl carbons peaked only at 0.6-0.64  $\mu m$  (Chou et al., 2005).  
21 Cheung et al. (2016) showed that the ultra-fine particles (i.e.  $d < 100$  nm) collected from Taipei  
22 City, an urban site in northern Taiwan, consisted mostly of organic matters. Moreover, Salvador  
23 et al. (2016) revealed that low-molecular-weight organic acids were abundant in the submicron  
24 aerosols in Taipei, Taiwan. In this context, the low hygroscopicity of small aerosols found in  
25 this study is consistent with the results of investigations upon aerosol chemical composition.  
26

27 **Figure 4** illustrates the monthly median of  $N_{CCN}$ ,  $\kappa$  and  $D_{cut}$  under different SS conditions and  
28  $N_{CN}$  for the entire campaign period. Distinct seasonal variations were observed in the  
29 measurements. Elevated levels of  $N_{CN}$  and  $N_{CCN}$  were observed in April (spring time) and July  
30 2017 (summer time) (median  $N_{CN}$ : 4960-5650  $cm^{-3}$ , median  $N_{CCN}$  750-1060  $cm^{-3}$  at SS:0.12,  
31 to 2690-2930  $cm^{-3}$  at SS:0.80%). During spring and summer of 2017, NPF events were  
32 observed frequently which induced an elevated  $N_{CN}$  (maximum median: 5650  $cm^{-3}$  in July  
33 2017). The consistency in  $N_{CN}$  and  $N_{CCN}$  suggests that the particles generated by NPF processes  
34 could have contributed significantly to the increases in  $N_{CCN}$ . On the other hand, according to

1 the  $\kappa$  values, more hygroscopic particles were observed in June and October 2017. The  
2 variations of  $\kappa$  values could be under the influences of several mechanisms. The EA continental  
3 outflows affected the study site frequently in the seasons of EA winter monsoons, during which  
4 more inorganic aerosols could have been transported to the study site. Strong surface winds of  
5 winter monsoons could have also increased the production of sea salt particles around the  
6 coastal site and, thereby, resulted in increases in the kappa values. In addition, up-taking  
7 hygroscopic species during particle growth and coagulation processes may influence the  
8 hygroscopicity of aerosols, which will be discussed in further details later on.

9  
10 **Figure 5** depicts the variations in daily chemical composition of PM<sub>2.5</sub>, where a higher fraction  
11 of inorganic pollutants was found during Apr. – May 2017 and Feb. – Mar. 2018, whereas sea-  
12 salt (calculated by  $1.47 \cdot [\text{Na}^+] + [\text{Cl}^-]$ ) elevated during Oct. 2017 – Jan. 2018. The seasonality  
13 of aerosol composition was consistent with the long-term records of aerosol observation at this  
14 site (Chou et al., 2017). Petters and Kreidenweis (2007) have estimated CCN-derived  $\kappa$  values  
15 for inorganic and organic species, which showed that significantly higher  $\kappa$  values were found  
16 for major inorganics species in aerosols, such as ammonium sulfate, ammonium nitrate, sodium  
17 chloride (kappa: 0.61-1.28), while  $\kappa$  values for organic species were usually lower than 0.2.  
18 Thus, relatively lower kappa values observed during Jul. – Aug. 2017 were consistent to the  
19 PM<sub>2.5</sub> chemical composition data in which a higher mass fraction of organic carbon was found.

### 20 21 *3.2 Implications of different types of air masses*

22 The air masses reaching this study site are known to be associated with the Asian continental  
23 outflows and/or with local pollution in northern Taiwan (Cheung et al., 2016). Since CO has  
24 longer atmospheric lifetime than NO<sub>2</sub>, a higher  $\Delta\text{CO}/\Delta\text{NO}_2$  can be used to indicate influences  
25 of aged regional air pollutants. The averaged median  $\Delta\text{CO}/\Delta\text{NO}_2$  ratios for the 5 trajectory  
26 clusters were 76, 75, 32, 60 and 33, respectively. A higher  $\Delta\text{CO}/\Delta\text{NO}_2$  ratio was found in  
27 Clusters 1, 2 and 4, whereas  $\Delta\text{CO}/\Delta\text{NO}_2$  of Cluster 4 was found slightly lower than that of  
28 Cluster 1 and 2. This was attributed to the differences in air mass history; the air masses of  
29 both Clusters 1 and 2 were originating in the inland areas of the Asian Continent, whereas the  
30 air masses of Cluster 4 passed through the south of Korea and Japan and came from the east of  
31 CAFÉ station and, thereby, was occasionally impacted by some fresh emissions. The mixing  
32 ratio of O<sub>3</sub>, a typical secondary pollutant, provided further information about the sources of air  
33 plumes. The results showed that higher O<sub>3</sub> levels (43-46 ppb) were found in continental  
34 outflows (i.e. Cluster 1, 2 and 4) as compared to those of marine air masses (i.e. 26-28 ppb for

1 Cluster 3 and 5).

2

3 Furthermore, higher  $\kappa$  values were found for CCN transported with the continental outflows,  
4 which ranged from 0.16 to 0.69 for SS of 0.12-0.80 %. On the contrary, lower  $\kappa$  values (0.11 -  
5 0.50) were found for the CCN in air mass of Clusters 3 and 5, which originated in the remote  
6 Pacific region and passed through Taiwan Island during summertime. This result was  
7 reasonable since aged polluted air masses contained more inorganic species (with higher  $\kappa$   
8 values), while the organic species (with lower  $\kappa$  values) contributed a higher fraction to the  
9 aerosol mass loading in urban areas of Taiwan (Chou et al., 2010, 2017). On the other hand,  
10 higher  $N_{CCN}$  and  $N_{CN}$  were found in Clusters 3 and 5 compared to that in Clusters 1 and 2 (see  
11 **Table 3**). This could be due to the substantial production of new particles during warmer  
12 seasons (Cheung et al., 2013, 2016).

13

### 14 *3.3 Implications of New Particle Formation*

15 As described in **Section 3.1**, large variations in  $N_{CCN}$  and kappa values were found in summer  
16 during which NPF events occurred frequently. A NPF event is defined as the increase of the  
17 number concentration of nucleation mode particles, and those particles are growing into Aitken  
18 and/or accumulation mode size ranges and last for a few hours until they disappear into the  
19 atmospheric condensation/coagulation sinks (Dal Maso et al., 2005). In total 53 NPF events  
20 were observed during the entire study period and among which 31 were observed in warm  
21 months (from June to September 2017). Investigations reported that NPF occurred more  
22 frequently during summer (34.6 - 42.8 %) and occasionally during spring (11.5 %) in urban  
23 areas of northern Taiwan (Cheung et al., 2013, 2016). **Figure 6** illustrates the median particle  
24 size distribution for NPF and non-NPF days as well as the quartiles. The particle number  
25 concentration for NPF events was significantly higher than that for non-NPF case. In addition,  
26 large variations were associated with the particle size range below 100 nm in NPF events,  
27 suggesting that a large amount of ultra-fine particles formed.

28

29 In **Figure 7**, diurnal variations in particle size distribution for NPF and non-NPF cases are  
30 presented along with the aerosol hygroscopic parameters  $D_{cut}$ ,  $\kappa$  and AR at SS = 0.28 %. In the  
31 plot of particle size distribution for NPF events, a banana feature (growth of particle diameter  
32 indicated by the geometric mean diameter, GMD) is obviously illustrated, which is typical for  
33 NPF process (Dal Maso et al., 2005; Cheung et al., 2011), whereas relatively stable particle  
34 size distribution exhibits for non-NPF periods with the particles of 50-60 nm dominate

1 throughout a day. On NPF days, a nucleation burst as indicated by a surge in nucleation mode  
2 particles ( $N_{30}$ , number concentration of particle size  $\leq 30$  nm) from 06:00 to 10:00 LT is shown.  
3 Note that the number concentration of Aitken mode particles (indicated by  $N_{30-100}$ , for particle  
4 size between 30 to 100 nm) increases consistently, implying active coagulation for the period.  
5  $N_{CCN}$  starts to increase around 07:00 LT. Note that the increasing rate of  $N_{CN}$  is higher than that  
6 of  $N_{CCN}$ , which in turn results in the decrease in AR. Note that the observed increase in CCN  
7 accompanying with the growth of particles could be due to various mechanisms (e.g. vapor  
8 condensed on existing sub-CCN, coagulation between CCNs, and other oxidation processes),  
9 and the causes for the increase in CCN and the roles of NPF need to be further studied.

10  
11 It was revealed that the kappa values exhibited a decreasing trend **at** the early stage of a NPF  
12 and turned to an increase from 0.32 to 0.44 during the later stage (as shown in **Figure 7**).  
13 Similar increase of  $\kappa$  values during the particle growth period was observed in a suburban  
14 region of northern China (Li et al., 2017). The  $\kappa$  values reached  $\sim 0.4$  after the growth process,  
15 which was likely a result of a mixture of hygroscopic species like ammonium sulfate ( $\kappa = 0.61$ )  
16 and organic matters ( $\kappa = 0.1 - 0.2$ ). This was evidenced by the measurement of chemical  
17 composition as shown in **Figure 5**, where the  $PM_{2.5}$  was composed mostly of sulfate and  
18 organics, particularly during the warm months with frequent NPF events. Note that the  
19 chemical composition of ultrafine particles at urban Taipei was dominated by organic matters  
20 (Cheung et al., 2016), which generally has lower  $\kappa$  values. Therefore, our results indicated that  
21 these “growing” particles were consisted of a mixture of sulfate and organic matters, which  
22 evidenced the influences of local (e.g. particles from vehicles emissions) and regional pollution  
23 (e.g. aged sulfate particles). In contrast, the increases in kappa during the later NPF course  
24 suggested that the “new CCN” were dominated by hygroscopic species. The field studies at  
25 North China Plain found two types of NPF events (Yue et al., 2010, Ma et al. 2016), including  
26 sulfur-rich NPF, i.e., condensation and neutralization of sulfuric acid contributed most to the  
27 growth of the new particles with high particle hygroscopicity, and sulfur-poor NPF, i.e.,  
28 condensation of organic compounds had a higher contribution to the growth with a lower  
29 particle hygroscopicity. Indeed, our results showed that the NPF events in northern Taiwan  
30 were characterized by elevated levels in both sulfur and organic matters (as shown in **Figure**  
31 **5**). In particular, the submicron particles in northern Taiwan were known to be enriched in  
32 sulfate (Cheung et al., 2016) and organic acids (Salvador et al., 2016).

#### 1 **4. Conclusion**

2 This study presents the observation of aerosol hygroscopicity parameters, including  $\kappa$ ,  
3 activation diameter ( $D_{cut}$ ) and activation ratio ( $AR = N_{CCN}/N_{CN}$ ) of CCN at a coastal research  
4 station (CAFÉ) in northern Taiwan during a 1-year campaign from April 2017 to March 2018.  
5 The parameters exhibited distinct seasonal variations. High levels of  $N_{CN}$  and  $N_{CCN}$  were  
6 consistently observed in spring and summer, whereas kappa elevated in autumn and exhibited  
7 minimal in summer. Measurements of the chemical composition of  $PM_{2.5}$  and cluster analysis  
8 of the backward trajectories were deployed to elucidate the seasonality observed in the  
9 hygroscopicity of aerosols. The results of this study indicated that aerosols associated with  
10 Asian continental outflows contained more inorganic species and thereby were characterized  
11 with higher  $\kappa$  values, as comparing to those associated with local urban pollution which  
12 consisted substantially of organic matters.

13

14 The higher levels of  $N_{CCN}$  and  $N_{CN}$  found in spring and summer were attributed mainly to the  
15 NPF events occurred frequently during warm months. Moreover, it was found that the kappa  
16 of CCN exhibited a decrease at the early stage of a NPF and turned to an increasing trend during  
17 the later period. A two-stage hypothesis was proposed according to the results of this study for  
18 the implications of NPF for CCN activity. At the early stage of a NPF event, new particles  
19 formed and resulted in increases in  $N_{30}$  and thereby  $N_{CN}$ , which was followed immediately by  
20 increases in the number density of Aiken mode particles ( $N_{30-100}$ ). The newly formed particles  
21 were composed mostly of organic matters, which could have “diluted” the hygroscopicity of  
22 preexisting CCN by coagulation and resulted in the decrease in kappa. In the later stage of the  
23 NPF event, as the preexisting sub-CCN particles grew up to the size of CCN, kappa got  
24 increased because the sub-CCN particles were consisted mostly of highly hygroscopic  
25 components.

26

27 The seasonal characteristics of hygroscopicity and CCN activity under the influences of a  
28 complex mixture of pollutants from different regional and/or local pollution sources have been  
29 presented in this study, and the impacts of NPF was demonstrated. Nevertheless, the mixing  
30 state and chemical composition of the aerosols, in particular the organic content of the sea spray  
31 aerosols, would critically influence the aerosol hygroscopicity in coastal areas. Hence further  
32 investigations are warranted to understand the atmospheric processing involved in the CCN  
33 activation which would in turn affect cloud formation and the regional climate.

34

## 1 **Author contributions**

2 HC Cheung performed the instrumentation and data analysis. CCK Chou initiated the research  
3 program, led the research team and was in charge of the chemical analysis. CSL Lee  
4 participated in **scientific discussions** and writing of this manuscript. WC Kuo conducted data  
5 analysis for CCN. SC Chang was in charge of the operation of the air quality station of Taiwan  
6 EPA. HC Cheung prepared the manuscript with contributions from all co-authors.

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1 **Table 1.** Statistics on the occurrence of respective air mass clusters for each month during the  
 2 study period.

3

Month	Cluster 1		Cluster 2		Cluster 3		Cluster 4		Cluster 5		Undefined	
	n	(%)	n	(%)	n	(%)	n	(%)	n	(%)	n	(%)
17-Apr	66	(36.7%)	17	(9.4%)	20	(11.1%)	43	(23.9%)	34	(18.9%)	0	(0.0%)
17-May	30	(16.1%)	12	(6.5%)	18	(9.7%)	95	(51.1%)	31	(16.7%)	0	(0.0%)
17-Jun	10	(5.6%)	0	(0.0%)	105	(58.3%)	43	(23.9%)	22	(12.2%)	0	(0.0%)
17-Jul	0	(0.0%)	0	(0.0%)	26	(14.0%)	3	(1.6%)	157	(84.4%)	0	(0.0%)
17-Aug	0	(0.0%)	4	(2.2%)	129	(69.4%)	20	(10.8%)	33	(17.7%)	0	(0.0%)
17-Sep	50	(27.8%)	12	(6.7%)	26	(14.4%)	24	(13.3%)	68	(37.8%)	0	(0.0%)
17-Oct	96	(51.6%)	31	(16.7%)	1	(0.5%)	41	(22.0%)	14	(7.5%)	3	(1.6%)
17-Nov	96	(53.3%)	42	(23.3%)	2	(1.1%)	39	(21.7%)	0	(0.0%)	1	(0.6%)
17-Dec	88	(47.3%)	84	(45.2%)	0	(0.0%)	9	(4.8%)	0	(0.0%)	5	(2.7%)
18-Jan	77	(41.4%)	77	(41.4%)	7	(3.8%)	21	(11.3%)	0	(0.0%)	4	(2.2%)
18-Feb	90	(53.6%)	50	(29.8%)	3	(1.8%)	25	(14.9%)	0	(0.0%)	0	(0.0%)
18-Mar	58	(31.2%)	38	(20.4%)	16	(8.6%)	65	(34.9%)	6	(3.2%)	3	(1.6%)
All data	661	(30.2%)	367	(16.8%)	353	(16.1%)	428	(19.5%)	365	(16.7%)	16	(0.7%)

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1 **Table 2.** Statistics for the number concentrations of cloud condensation nuclei ( $N_{CCN}$ ) and  
 2 total particles ( $N_{CN}$ ), kappa value ( $\kappa$ ), activation diameter ( $D_{cut}$ ) and activation ratio (AR)  
 3 under four different SS conditions during the study period.

	<b>SS(%)</b>	<b>Median</b>	<b>1Q</b>	<b>3Q</b>
<b><math>N_{CCN}</math> (<math>cm^{-3}</math>)</b>	0.12	819	529	1205
	0.28	1202	715	1770
	0.54	1689	1013	2497
	0.8	1884	1161	2796
<b><math>N_{CN}</math> (<math>cm^{-3}</math>)</b>		2880	1830	4690
<b><math>\kappa</math></b>	0.12	0.56	0.40	0.78
	0.28	0.42	0.27	0.58
	0.54	0.22	0.11	0.42
	0.8	0.18	0.11	0.29
<b><math>D_{cut}</math> (nm)</b>	0.12	110.9	99.1	122.2
	0.28	73.8	65.7	84.2
	0.54	53.7	47.3	61.9
	0.8	47.6	42.1	55.6
<b>AR</b>	0.12	0.22	0.13	0.32
	0.28	0.41	0.28	0.52
	0.54	0.59	0.44	0.69
	0.8	0.66	0.51	0.76

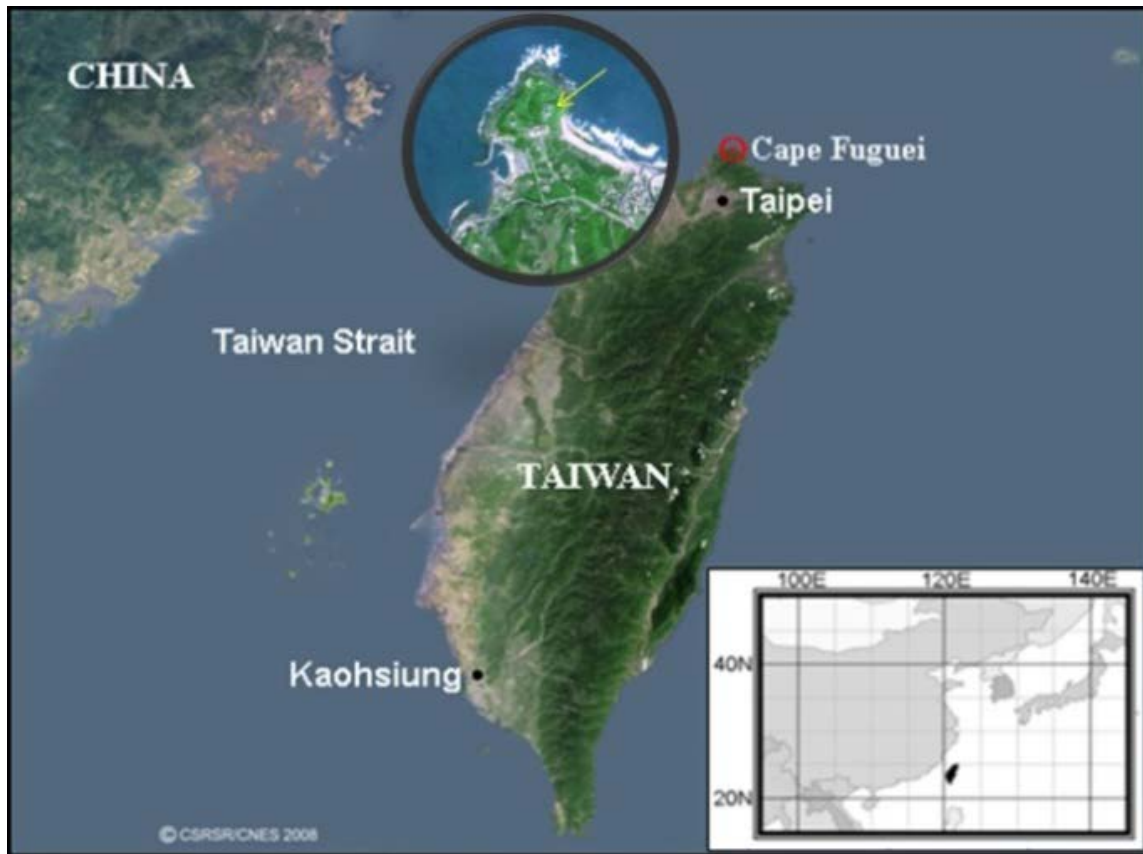
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1 **Table 3.** Statistics for the number concentration of cloud condensation nuclei ( $N_{CCN}$ ), kappa value ( $\kappa$ ), activation diameter ( $D_{cut}$ ),  
 2 activation ratio (AR), and concentrations of major air pollutants (i.e. CO, NO<sub>2</sub>, O<sub>3</sub>, and PM<sub>2.5</sub>).  
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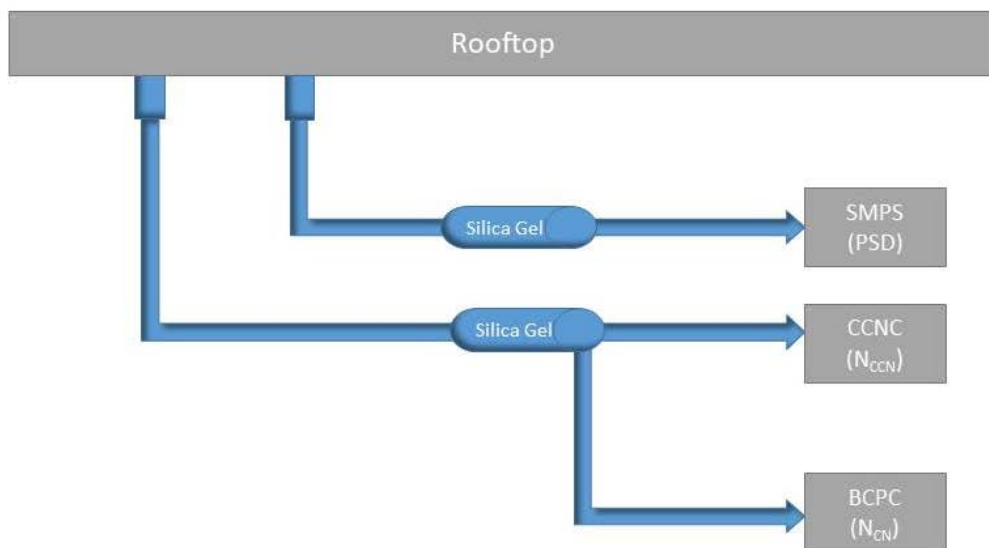
Parameters	cluster 1	cluster 2	cluster 3	cluster 4	cluster 5
<b>SS: 0.12%</b>					
$N_{CCN}$ (cm <sup>-3</sup> )	910 (600-1260)	790 (460-1000)	820 (590-1320)	810 (490-1230)	790 (560-1200)
<b>Kappa</b>	0.69 (0.50-0.99)	0.57 (0.36-1.03)	0.5 (0.42-0.65)	0.56 (0.36-0.76)	0.49 (0.37-0.63)
<b>D<sub>cut</sub></b>	112.2 (100.4-120.5)	113.9 (106.4-122.9)	108.1 (99.2-118.1)	114.1 (97.6-124.6)	106.7 (97.2-116.4)
<b>AR</b>	0.3 (0.17-0.42)	0.31 (0.21-0.38)	0.19 (0.10-0.27)	0.22 (0.16-0.29)	0.17 (0.11-0.24)
<b>SS: 0.28%</b>					
$N_{CCN}$ (cm <sup>-3</sup> )	1140 (680-1620)	1030 (700-1470)	1370 (910-2190)	1150 (650-1980)	1540 (950-2270)
<b>Kappa</b>	0.46 (0.27-0.59)	0.4 (0.20-0.59)	0.39 (0.31-0.52)	0.4 (0.27-0.56)	0.42 (0.28-0.55)
<b>D<sub>cut</sub></b>	70.9 (64.6-82.1)	70.8 (62.9-81.8)	78.7 (71.7-84.8)	74.9 (67.8-85.9)	76 (69.5-87.2)
<b>AR</b>	0.44 (0.33-0.55)	0.46 (0.36-0.57)	0.33 (0.21-0.49)	0.42 (0.31-0.52)	0.3 (0.21-0.43)
<b>SS: 0.54%</b>					
$N_{CCN}$ (cm <sup>-3</sup> )	1500 (960-2130)	1420 (820-1970)	2060 (1550-3340)	1540 (910-2680)	2420 (1620-3470)
<b>Kappa</b>	0.23 (0.11-0.45)	0.23 (0.11-0.45)	0.22 (0.15-0.36)	0.2 (0.12-0.40)	0.18 (0.11-0.26)
<b>D<sub>cut</sub></b>	53 (46.6-59.5)	51.7 (46.2-59.8)	55.6 (50.1-61.8)	55.5 (48.3-65.9)	56.7 (50.3-66.2)
<b>AR</b>	0.61 (0.48-0.70)	0.61 (0.50-0.69)	0.55 (0.30-0.67)	0.58 (0.46-0.70)	0.48 (0.34-0.63)
<b>SS: 0.80%</b>					
$N_{CCN}$ (cm <sup>-3</sup> )	1680 (1110-2410)	1530 (970-2100)	2440 (1780-3490)	1660 (1060-3230)	2860 (1810-3950)
<b>Kappa</b>	0.22 (0.11-0.31)	0.22 (0.11-0.34)	0.15 (0.11-0.23)	0.16 (0.10-0.27)	0.11 (0.09-0.21)
<b>D<sub>cut</sub></b>	46.1 (41.7-52.5)	46.6 (40.7-52.7)	47.7 (43.6-53.2)	48.9 (43.0-59.0)	49.5 (42.6-59.8)
<b>AR</b>	0.68 (0.56-0.75)	0.68 (0.54-0.76)	0.62 (0.46-0.75)	0.65 (0.55-0.77)	0.55 (0.43-0.69)
<b>N<sub>CN</sub> (cm<sup>-3</sup>)</b>	2460 (1730-3730)	2260 (1400-3260)	4770 (3120-7410)	2840 (1830-5090)	4920 (3270-7620)
<b>CO (ppb)</b>	170 (130-240)	160 (120-230)	150 (120-200)	170 (120-230)	140 (90-210)
<b>NO<sub>2</sub> (ppb)</b>	2 (1.2-3.9)	1.8 (1.1-3.5)	4.3 (1.9-7.2)	2.4 (1.2-4.6)	3.3 (1.7-6.3)
<b>O<sub>3</sub> (ppb)</b>	46 (38-56)	45 (36-50)	26 (16-39)	43 (28-54)	28 (19-41)
<b>PM<sub>2.5</sub> (μg m<sup>-3</sup>)</b>	13.2 (9.2-21.1)	11.6 (7.5-18.7)	10.5 (6.2-15.6)	14 (7.4-22.8)	11 (5.9-21)

4



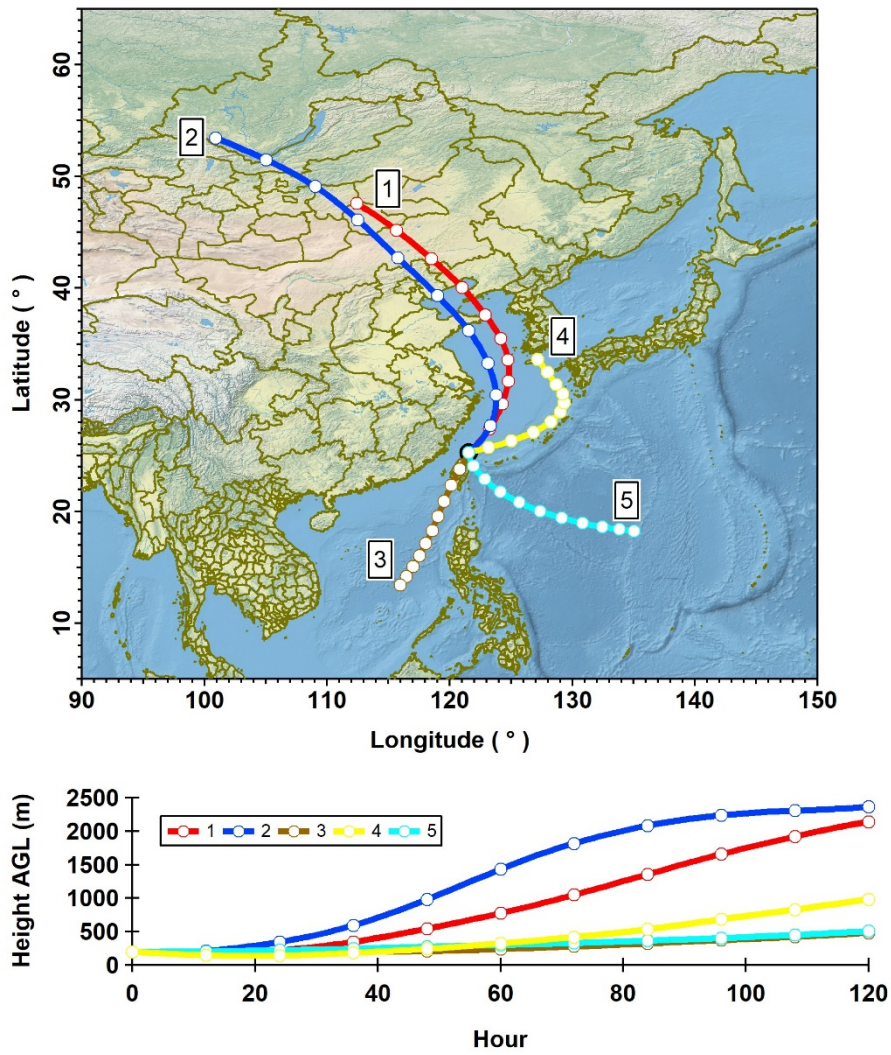
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2 **Figure 1.** The geographical location of CAFÉ research station (25.30°N 121.54°E), which is at  
3 the northern tip of Taiwan Island in the East Asia. The digital map was provided by Center for  
4 Space and Remote Sensing Research (CSRSR), National Central University, Taiwan.





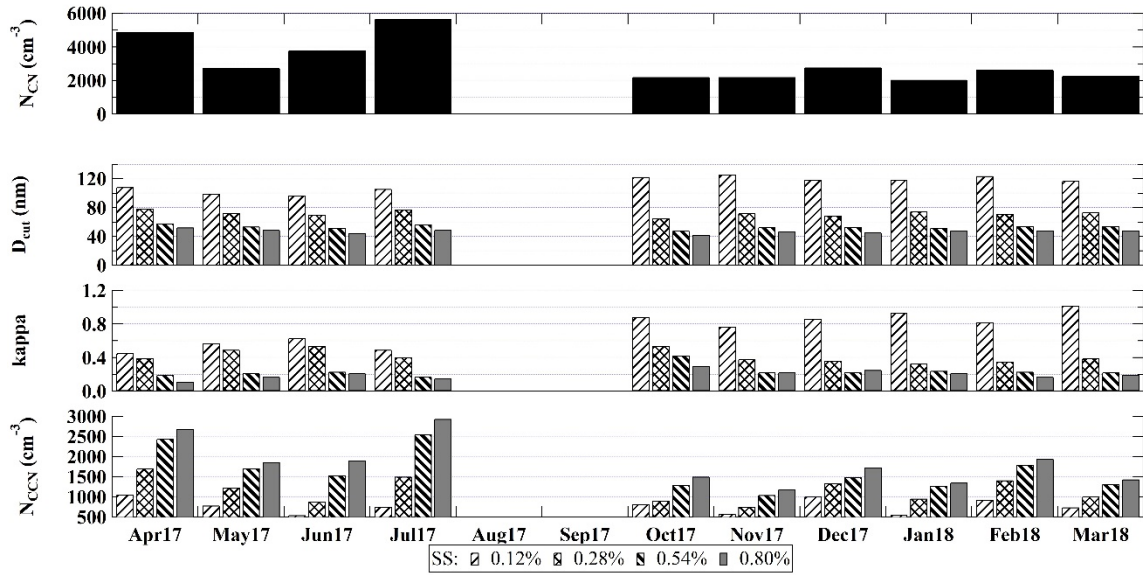
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**Figure 2.** Schematic diagram for  $N_{CN}$ ,  $N_{CCN}$  and PSD measurements.



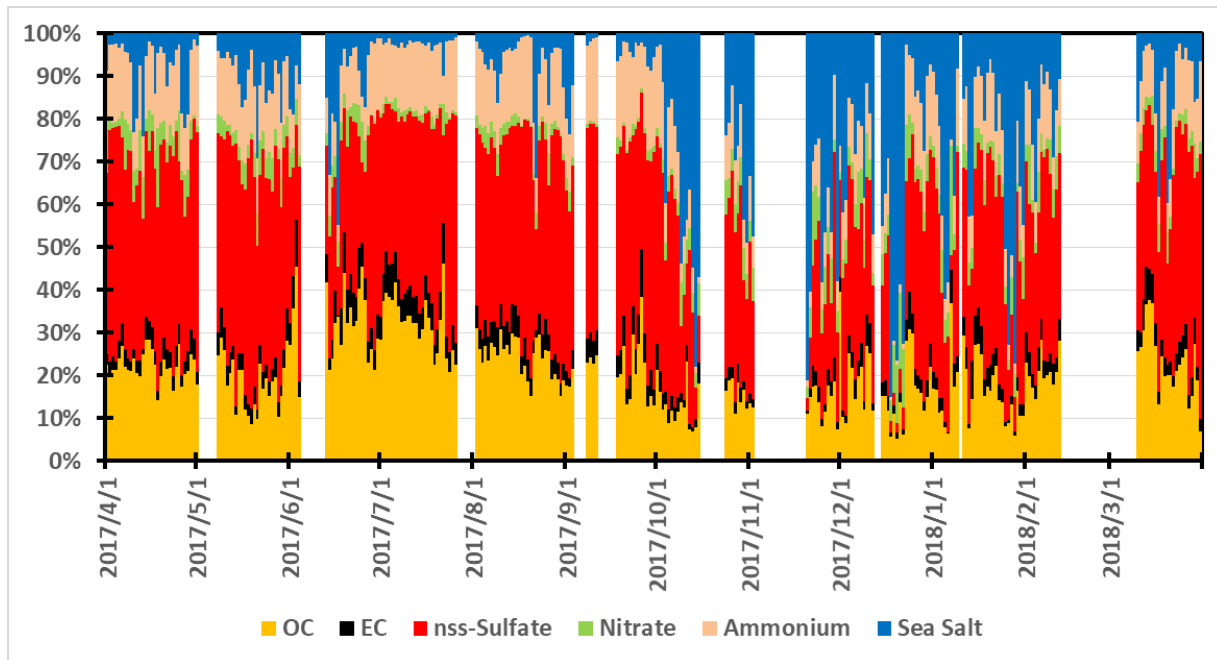
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2 **Figure 3.** Cluster classification of 120h backward trajectories during measurement period (upper  
3 panel) and air masses heights are shown in lower panel. Air masses with both clusters 1 and 2  
4 originated in the inlands of the Asian Continent, but the movement of cluster 2 air masses was  
5 faster and from higher elevation. Air masses in cluster 4 were pushed by high pressure system  
6 towards the south of Korea and Japan, then moved along marine boundary slowly before reaching  
7 CAFÉ station, while Cluster 3 and 5 represent air masses originated in the South China Sea and  
8 remote Pacific region, respectively. The digital map applied in this figure is provided by the  
9 graphing software Igor Pro 6.37, WaveMetrics. The data for the back-trajectory plot overlaid on  
10 the map is obtained from the HYSPLIT mode, NOAA.

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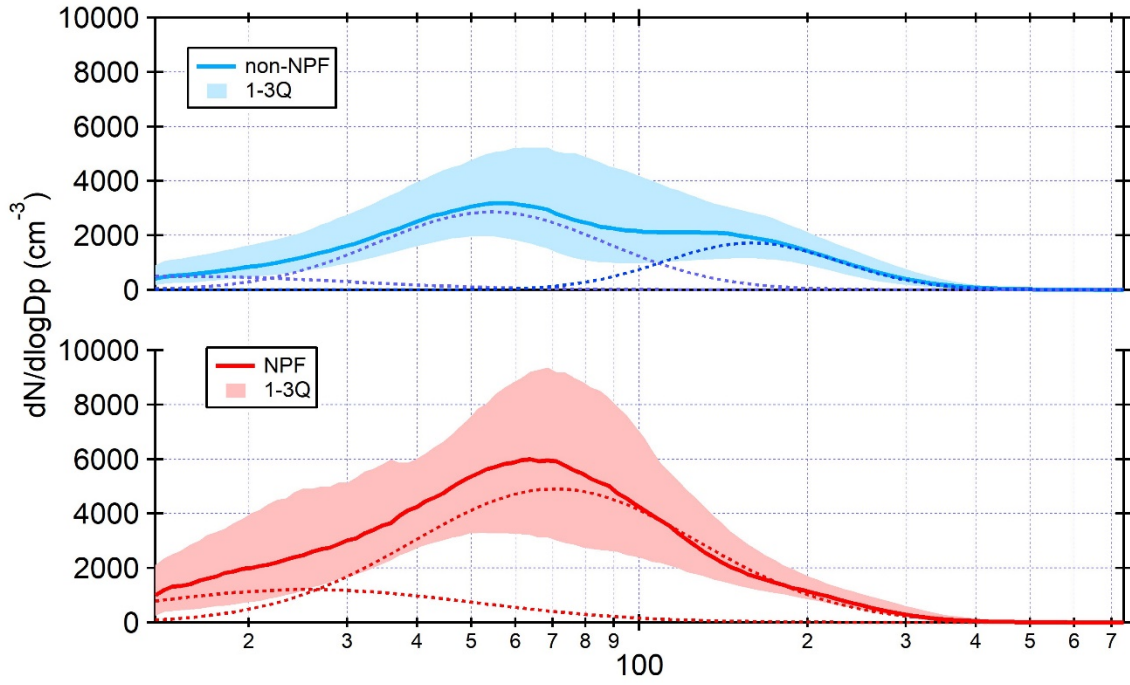
3 **Figure 4.** Seasonal variations in the number concentration of total particles ( $N_{CN}$ ) and the number  
 4 concentration of cloud condensation nuclei ( $N_{CCN}$ ), kappa value ( $\kappa$ ) and activation diameter ( $D_{cut}$ )  
 5 measured for SS: 0.12%, 0.28%, 0.54% and 0.80%.



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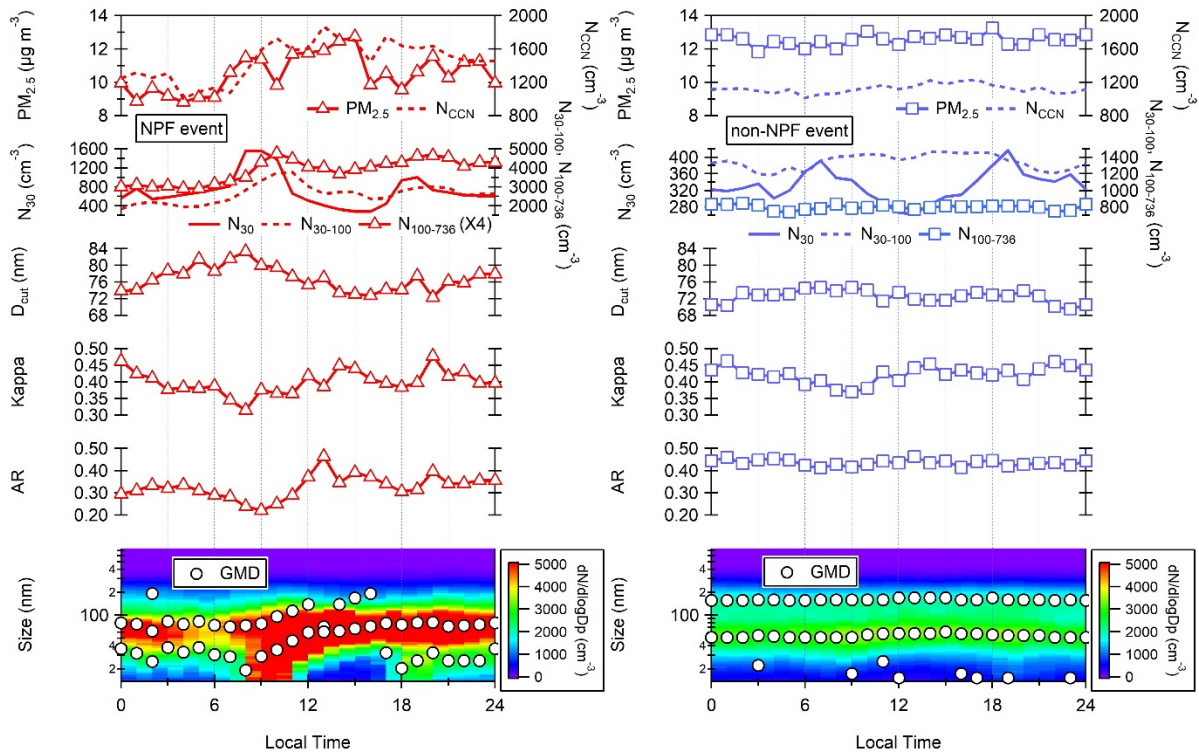
**Figure 5.** Daily mass fraction of major PM<sub>2.5</sub> chemical components measured during 1 April 2017 – 31 March 2018.

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2

3 **Figure 6.** Particle size distributions observed for NPF and non-NPF events. Solid lines: median,  
4 shadow: first and third quartiles, and dash lines: fitted PSD.



1  
2 **Figure 7.** Diurnal variations of particle size distribution and geometric mean diameter (GMD),  
3 activation ratio (AR), Kappa ( $\kappa$ ), activation diameter ( $D_{cut}$ ), particle number concentrations of  $N_{30}$ ,  
4  $N_{30-100}$ , and  $N_{100-736}$ ,  $N_{CCN}$  and  $N_{CCN}$  as well as  $PM_{2.5}$  for NPF and non-NPF events. CCN and related  
5 parameters were measured under  $SS = 0.28\%$ . GMD were calculated based on the multiple curves  
6 fitting result by DOFIT model which one to three modes were defined depends on the particle size  
7 distribution data.