

# Peroxy Radical Chemistry and the Volatility Basis Set

Meredith Schervish<sup>1</sup> and Neil M. Donahue<sup>1</sup>

<sup>1</sup>Center for Atmospheric Particle Studies, Carnegie Mellon University, 5000 Forbes Avenue, Pittsburgh PA 15213 USA

**Correspondence:** Neil M. Donahue (nmd@andrew.cmu.edu)

**Abstract.** Gas-phase autoxidation of organics can generate highly-oxygenated organic molecules (HOMs) and thus increase secondary organic aerosol production and enable new-particle formation. Here we present a new implementation of the Volatility Basis Set (VBS) that explicitly resolves peroxy radicals ( $\text{RO}_2$ ) products formed via autoxidation. The model includes a strong temperature dependence for autoxidation as well as explicit termination of  $\text{RO}_2$ , including reactions with  $\text{NO}$ ,  $\text{HO}_2$ , and other  $\text{RO}_2$ . The  $\text{RO}_2$  cross reactions can produce dimers ( $\text{ROOR}$ ). We explore the temperature and  $\text{NO}_x$  dependence of this chemistry, showing that temperature strongly influences the intrinsic volatility distribution and that  $\text{NO}$  can suppress autoxidation under conditions typically found in the atmosphere.

## 1 Introduction

Atmospheric particles have dangerous health effects and influence the environment both through their direct scattering of incoming radiation and their indirect effect on clouds as cloud condensation nuclei. New-particle formation (secondary particle production) constitutes the largest source of particles in the atmosphere; furthermore many if not most particles with significant health or climate effects consist largely of secondary material, having grown via condensation from a much smaller initial size. Organic vapors contribute to both nucleation and growth of new particles as well as growth of primary nano particles, all of which constitutes secondary organic aerosol (SOA). Especially for condensation to the smallest particles, the volatility of those condensible organics is important. Therefore it is of interest to investigate and model how volatile precursors oxidize in the atmosphere to low volatility or extremely-low volatility products (LVOCs and ELVOCs) that will contribute to SOA. Furthermore, organic oxidation products alone can drive “pure biogenic” nucleation (Kirkby et al., 2016), and so the formation mechanisms and nucleation rates of a new class of ultra-low volatility products (ULVOCs) that can drive nucleation is also of interest.

One important class of condensible organics is the group known as highly-oxygenated organic molecules (HOMs). HOMs are organic compounds containing at least six oxygen atoms formed through peroxy-radical ( $\text{RO}_2$ ) isomerization and subsequent addition of molecular oxygen, which preserves  $\text{RO}_2$  functionality in a recursive process known as autoxidation, followed by  $\text{RO}_2$  termination to molecular products (Crouse et al., 2013; Ehn et al., 2014; Bianchi et al., 2019). HOMs open up a rich space for gas-phase organic oxidation chemistry to strongly influence new-particle formation involving condensible organic products. Because the chemistry involves competition between internal isomerization (which typically will have a relatively high energy barrier and thus a strong temperature dependence) and radical-radical termination reactions (which typically have

low barriers and thus only a modest temperature dependence), we expect a strong temperature dependence to HOM formation. Furthermore, because  $\text{NO}_x$  (especially NO) can greatly shorten  $\text{RO}_2$  lifetimes, we also expect a strong  $\text{NO}_x$  dependence to HOM formation. Finally, to the extent that  $\text{RO}_2$ - $\text{RO}_2$  cross reactions between radicals from different organic precursors can be important, there may be a rich interplay among the oxidation mechanisms for a host of different organic compounds.

5 The volatility basis set (VBS) has been developed and used widely as a framework to represent, track, and model the oxidation of volatile organic precursors, the subsequent production of condensible products, and their evolution through multiple generations of oxidation chemistry and fragmentation (Donahue et al., 2005, 2006, 2011, 2012b, 2013; Chuang and Donahue, 2016). For numerical calculations, the 2D-VBS space is discretized into bins, with each  $\text{C}^*(300\text{ K})$  bin typically separated by 1 order of magnitude and each O:C bin separated by 0.1. It is not necessary to know the identity of molecules in these bins, 10 but this can be inferred by application of composition activity relations such as SIMPOL (Pankow and Asher, 2007). In general, the perspective of the VBS has been to explicitly track the most important observable properties of organics with respect to organic-aerosol formation – volatility ( $C^\circ(\text{T})$ ) and the degree of oxidation (O:C) – forming the so-called two-dimensional volatility basis set (2D-VBS). Where specific products have known properties, those molecules can be located individually in the 2D-VBS. Where specific products are not known, the 2D-VBS can still track the overall carbon in a reactive system, with 15 properties ( $C^\circ$  and O:C) evolving following known chemistry during photochemical aging. In this work, we plot everything using a  $\text{C}^*(300\text{ K})$  x-axis so as to visualize how the products of the chemistry are changing rather than inferring how this would affect condensation.

The importance of  $\text{RO}_2$  branching chemistry has so far been recognized implicitly in VBS formulations (Presto and Donahue, 2006; Henry and Donahue, 2011), but not explicitly. Instead, the product (volatility) distribution in the VBS has been based 20 on some measure of the  $\text{RO}_2$  branching (i.e.  $\text{VOC}:\text{NO}_x$  or  $\text{RO}_2$  branching in an explicit mechanism). Consequently, there have been “high- $\text{NO}_x$ ” products and “low- $\text{NO}_x$ ” products (volatility distributions) (Presto and Donahue, 2006), or even “high- $\text{HO}_2$ ” and “high- $\text{RO}_2$ ” products (Henry and Donahue, 2011), that can be mixed to form products at intermediate  $\text{NO}_x$  (or  $\text{HO}_x:\text{RO}_x$ ) based on this indirect measure of  $\text{RO}_2$  branching. Further, relatively little has been done to represent any temperature dependence of VOC oxidation in VBS implementations.

25 The purpose of this paper is to extend the 2D-VBS to explicitly represent  $\text{RO}_2$  chemistry, including  $\text{RO}_2$  oxidation and HOM formation as well as all forms of  $\text{RO}_2$  termination, and only distribute products into volatility bins after  $\text{RO}_2$  termination in an explicit gas-phase chemical mechanism. In this way we can represent the gas-phase HOM formation mechanism, its temperature dependence, and the rich array of competing  $\text{RO}_2$  termination processes in a scheme that can merge seamlessly with any gas-phase chemical mechanism (MCM, etc.) while maintaining the generality of the VBS and its ability to represent 30 the ongoing chemistry of organic species associated with organic aerosol formation and particle growth.

## 2 Background

HOMs and their properties have been measured in multiple laboratory and field studies. HOMs can drive pure biogenic nucleation indicating that, in the VBS context, at least some of them must be ELVOCs/ULVOCs. Stolzenburg *et al.* (Stolzenburg

et al., 2018) and Tröstl *et al.* (Tröstl et al., 2016) report measurements of HOMs in the LVOC and SVOC range during experiments in the CLOUD chamber at CERN, showing that these HOMs (especially LVOCs) drive growth during new-particle formation, providing a significant reservoir of condensible species to stabilize particles after initial clustering (Stolzenburg et al., 2018; Tröstl et al., 2016). From these data, both collected under NO<sub>x</sub>-free conditions, HOM products are expected to be distributed in SVOC, LVOC, and ELVOC range, with the largest concentration as LVOCs.

The composition and properties of HOMs produced during oxidation of a precursor such as  $\alpha$ -pinene (as well as their quantitative yields) depend on the conditions under which they are formed. Frege *et al.*, again at CLOUD, found that at lower temperatures fewer total HOMs are formed, with dimers seeing the sharpest fall-off (Frege et al., 2018). Lehtipalo *et al.* showed that increasing NO<sub>x</sub> concentrations suppress the ELVOC HOMs but have less of an effect on the LVOC and SVOC HOMs (Lehtipalo et al., 2018).

There are two important elements to HOM formation and their volatility distribution. The first is autoxidation itself, which produces progressively more oxidized peroxy radicals via H-atom transfer and thus -OOH functionalization before the radicals ultimately terminate to form stable products. The second is dimerization, which is one of the termination processes, where these functionalized RO<sub>2</sub> associate to form a covalently bonded dimer (presumably a peroxide, ROOR). The first process plays a key role in particle growth and the temperature dependence of condensible vapor yields. The second process may be rate limiting for pure biogenic nucleation.

Autoxidation involves an internal H-atom transfer, which almost certainly has a significant activation energy. Further, the process is observed to be competitive with bimolecular reactions on a timescale of 1 s or longer (Ehn et al., 2014). Unimolecular reactions have an intrinsic rate constant (A-factor) given by molecular vibrational frequencies, and even loose bending modes in molecules have frequencies of THz. To slow down unimolecular reactions to 1 s<sup>-1</sup> or so, a high activation energy is thus almost essential. Rate coefficients for competitive reactions suggest an autoxidation energy barrier in the range of 15-18 kcal mol<sup>-1</sup> (7500-9000 K). This is also consistent with quantum chemical calculations as well as experimental data fitted to an extended MCM model (Rissanen et al., 2014; Molteni et al., 2019). This high activation energy also means that the RO<sub>2</sub> isomerization reactions will have a strong temperature dependence, becoming very slow at low temperature.

In contrast to the strong temperature dependence of autoxidation, the two canonical RO<sub>2</sub> loss reactions, with HO<sub>2</sub> and NO, both have only a weak temperature dependence with similar rate coefficients near 10<sup>-11</sup> cm<sup>3</sup> molec<sup>-1</sup> s<sup>-1</sup> largely independent of RO<sub>2</sub> structure (Atkinson et al., 2008). HO<sub>2</sub> levels typical in the lower atmosphere are roughly 3 pptv, or 10<sup>8</sup> molec cm<sup>-3</sup>, giving a first-order low-NO<sub>x</sub> loss frequency of 10<sup>-3</sup> s<sup>-1</sup>. NO in polluted areas can go much higher, increasing the high-NO<sub>x</sub> loss to 0.1 s<sup>-1</sup> or even higher. This establishes the competitive range for RO<sub>2</sub> first-order loss in the 0.001 - 1 s<sup>-1</sup> range in the atmosphere. Because these rate coefficients are only weakly sensitive to temperature, the high activation energy for the H-atom transfer in autoxidation means we expect the reactions with HO<sub>2</sub> and NO to be more competitive at low temperature.

Another RO<sub>2</sub> loss is to react with other RO<sub>2</sub>. Unlike their reactions with HO<sub>2</sub> and NO, in which the rate coefficients depend only weakly on the nature of the R group, the rate coefficients for RO<sub>2</sub>-RO<sub>2</sub> self and cross reactions are extremely sensitive to the nature of the R group. This has been well established for decades for the self reactions of different small RO<sub>2</sub> (Madronich and Calvert, 1990; Donahue and Prinn, 1990; Wallington et al., 1992; Tyndall et al., 2001). However, because ambient RO<sub>2</sub>

concentrations rarely exceed ambient HO<sub>2</sub> (Mihelcic et al., 2003; Tan et al., 2018), reactions with other RO<sub>2</sub> will only be a major RO<sub>2</sub> loss pathway in the atmosphere when the rate coefficient equals or exceeds 10<sup>-11</sup> cm<sup>3</sup> molec<sup>-1</sup> s<sup>-1</sup>. This is in contrast to low-NO<sub>x</sub> chamber experiments, where RO<sub>2</sub> concentrations can greatly exceed HO<sub>2</sub> concentrations, potentially biasing the chambers away from reactions important in the atmosphere.

5 At least for highly oxygenated peroxy radicals, association to form dimers has been found in some experimental studies to proceed with a rate coefficient near the collisional limit ( $k > 10^{-10}$  cm<sup>3</sup> molec<sup>-1</sup> s<sup>-1</sup>) (Berndt et al., 2018; Molteni et al., 2019). However Zhao *et al.* find that the typical rate coefficient for presumably similar RO<sub>2</sub> + RO<sub>2</sub> association reactions is at least an order of magnitude slower (Zhao et al., 2017). These findings may or may not be directly in conflict given the wide range of peroxy-radical rate coefficients. The general tendency is that electron withdrawing groups (i.e. acyl groups in  
10 peroxy acyl radicals) increase the RO<sub>2</sub> self reaction rate coefficients while electron donating groups (i.e. t-butyl in t-butyl peroxy radicals) lower the rate coefficients. Thus, very fast cross-reaction rate coefficients for highly oxygenated RO<sub>2</sub> radicals is consistent with the general trend.

Autoxidation includes a unimolecular hydrogen shift reaction in which a peroxy moiety abstracts a hydrogen elsewhere on the molecule, generating an alkyl radical, which molecular oxygen will add to in turn, regenerating a peroxy radical function-  
15 ality on a now more-oxidized carbon backbone. This process is significant in its ability to rapidly generate multi-functional oxygenation products in only a single “generation” of chemistry (stable molecule to stable molecule). However, experimental and theoretical evidence suggests that not every peroxy radical is readily capable of undergoing autoxidation. The fraction of peroxy radicals that will auto-oxidize will be different depending on the precursor of interest; for α-pinene three of the four peroxy radicals from ozonolysis can auto-oxidize. Ehn *et al.* found that the yield of highly oxidized peroxy radicals  
20 from OH oxidation of α-pinene was lower than from ozonolysis, indicating that while OH radical-derived peroxy radicals can auto-oxidize, the process is either slower or there are fewer that auto-oxidize readily (Ehn et al., 2014).

To summarize, three important characteristics of a “strongly auto oxidizing” system such as O<sub>3</sub> + α-pinene are emerging. First, most of the products under atmospheric conditions are likely to be “traditional” oxidation products formed without an autoxidation step. Second, the amount of autoxidation is likely to be strongly temperature dependent, with more at higher  
25 temperature. Third, some termination of at least the highly oxygenated RO<sub>2</sub> results in covalently bound (and highly oxygenated) dimers, which will have extraordinarily low vapor pressures.

Each consequence has an important implication, which we give in reverse order. First, the dimerization may be the rate-limiting step for “pure organic” nucleation; because it involves a cross reaction, this means that organic nucleation may also be highly sensitive to interactions between different hydrocarbon oxidation sequences, especially at low NO<sub>x</sub>. It remains some-  
30 what unclear how important this termination is in the real atmosphere vs chambers, given the high RO<sub>2</sub>:HO<sub>2</sub> in chambers; however, the characteristic dimer products are observed in the atmosphere. This strongly suggests that these association reactions are important in the atmosphere.

Second, the temperature dependence of the monomer autoxidation products may well be counterbalanced by the simple temperature dependence of vapor pressures. Both of these govern organic condensation, especially to very small particles.  
35 Consequently, particle growth rates from organic oxidation (as well as secondary organic aerosol mass yields) are likely to be

less sensitive to temperature than one would otherwise expect. They are also likely to be less sensitive to interactions between different hydrocarbon oxidation sequences. Particle nucleation and growth thus may be partially decoupled, making treatment of each separately important, especially in the “valley of death” region below 3-5 nm where highly diffusive particles are most vulnerable to coagulation loss.

- 5 Third, the large majority of organic oxidation products are likely to be oxygenated species with lower vapor pressure than the precursor that remain in the gas phase (“traditional” SVOCs). Later-generation chemistry of these products remains an important topic that can not be forgotten (Donahue et al., 2005, 2012a); these subsequent steps have already been shown to themselves be efficient sources of HOMs (Schobesberger et al., 2013; Ye et al., 2018).

### 3 The model

- 10 For this work we will model an idealized batch reactor with oxidation of  $\alpha$ -pinene leading to HOM products. The model is split into two pieces: a general chemical mechanism and a specific implementation for the batch reactor. Here we only simulate the gas-phase chemistry, culminating in formation (and loss) of  $\text{RO}_2$  species, with  $\text{RO}_2$  termination mapping into the 2D-VBS via a succession of kernels specific to each termination step.

#### 3.1 Chemical mechanism

- 15 The model we use here is an explicit gas-phase photochemical box model using a custom written perl mechanism parser that produces Matlab code for the coupled differential equations describing an arbitrary set of input reactions. The reaction set here is relatively standard small-molecule  $\text{HO}_x$  chemistry with added  $\alpha$ -pinene. We list the full set of reactions and rate coefficients in the supplemental material.

- 20 The reactions begin with oxidation of a precursor, in this case  $\alpha$ -pinene via ozonolysis, OH, and the nitrate radical (when  $\text{NO}_x$  is present). Most of the products of these oxidation reactions will be peroxy radicals, with 10 carbons each, which will undergo two types of reactions: autoxidation or radical termination. Autoxidation, as described previously, is a unimolecular reaction followed by  $\text{O}_2$  addition that generates a more oxidized peroxy radical that will have the same reaction pathways available to it again as the parent  $\text{RO}_2$ . It is thus effectively recursive. The radical termination reactions available to a peroxy radicals are reaction with  $\text{HO}_2$ , NO, or another peroxy radical.

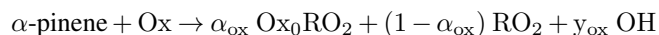
- 25 It is likely that peroxy radicals have a diversity of H-transfer activation energies (and A-factors), and that this diversity is important to the overall system behavior. We currently lack sufficient kinetic information to constrain this, and so we adopt a simple approach. For the initial  $\text{RO}_2$  (without additional -OOH functionality), we assume that some fraction can undergo autoxidation and the remainder cannot (it is a binary choice). All of the  $\text{RO}_2$  that can auto oxidize does so with a single barrier height and A-factor, and this is common to all of the subsequent oxidized  $\text{RO}_2$ .

- 30 A second complication is the role of alkoxy radicals (RO), which can be chain propagating (including a much more widely recognized 1-4 H shift that is broadly similar to the H shift in autoxidation) (Atkinson, 1997; Lim and Ziemann, 2009) However, for this set of simulations only we shall assume that RO radicals effectively terminate to molecular products; that is consistent

with our broad objective to upgrade the 2D-VBS from simply generating ( $\text{NO}_x$  dependent) products from a precursor (i.e.  $\alpha$ -pinene) to allow the products to be controlled by peroxy radicals. In the future we shall relax this assumption and permit regeneration of  $\text{RO}_2$  from  $\text{RO}$ .

### 3.1.1 $\alpha$ -pinene reactions

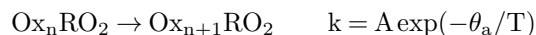
5 We are simulating  $\alpha$ -pinene ozonolysis but this chemistry will produce OH radicals and, with added  $\text{NO}_x$ ,  $\text{NO}_3$  as well. Consequently, we have three oxidants to consider. It has been found that OH oxidation of  $\alpha$ -pinene produces far fewer HOMs than  $\text{O}_3$  (Kirkby et al., 2016), presumably because the OH addition does not immediately cleave the 6-member ring in  $\alpha$ -pinene. Further, oxidation of  $\alpha$ -pinene by  $\text{NO}_3$  has been shown to produce very little first-generation SOA (Fry et al., 2014; Ng et al., 2017). However, almost all primary oxidation results in peroxy radicals (with the exception of some small fraction  
10 of stabilized Criegee Intermediates), though only some of those may have rapid autoxidation pathways. To address this, we assign two first-generation  $\text{RO}_2$  radicals to each oxidation pathway; one,  $\text{Ox}_0\text{RO}_2$ , has the potential for autoxidation, while the second,  $\text{RO}_2$ , does not.



Here we assume that  $\alpha_{\text{O}_3} = 0.25$ ,  $\alpha_{\text{OH}} = 0.1$ , and  $\alpha_{\text{NO}_3} = 0$ . Further,  $y_{\text{O}_3} = 0.8$  (Presto and Donahue, 2004),  $y_{\text{OH}} = 0$ , and  $y_{\text{NO}_3} =$   
15 0.

### 3.1.2 Autoxidation

The autoxidation process involves an internal H-atom transfer in an  $\text{RO}_2$  radical, immediately followed by  $\text{O}_2$  addition to reform a new  $\text{RO}_2$  radical with an added -OOH group. Broadly, we label these reactions



20 where  $n$  is the number of -OOH groups (the number of generations of autoxidation). The rate-limiting kinetic parameter is the unimolecular rate constant for H-atom transfer, which we express as an Arrhenius rate coefficient with a pre-exponential  $A = 10^8 \text{ s}^{-1}$  for the  $\text{Ox}_0\text{RO}_2$ , which decreases to  $A = 7 \times 10^7$  and  $A = 6 \times 10^7$  for the  $\text{Ox}_1\text{RO}_2$  and the  $\text{Ox}_2\text{RO}_2$  respectively, and an activation energy  $\theta_a = 7500 \text{ K}$  that is consistent for all autoxidation steps in our base-case simulation. This gives an H-atom transfer rate coefficient for  $\text{Ox}_0\text{RO}_2$  of  $0.01 \text{ s}^{-1}$  at 298 K and  $10^{-7} \text{ s}^{-1}$  at 248 K for the  $\text{Ox}_0\text{RO}_2$ . Raising the activation energy  
25 to  $\theta_a = Ea/R = 8000 \text{ K}$  gives an H-atom transfer rate coefficient of  $2 \times 10^{-4} \text{ s}^{-1}$  at 298 K and  $7 \times 10^{-7} \text{ s}^{-1}$  at 248 K. Even though each H-atom transfer step proceeds with different rate coefficients, we are still likely missing a large amount of the diversity of barriers and rate constants. In addition it is plausible that the barriers drop with increasing extent of autoxidation.

There are multiple peroxy radical structures formed both initially and via every autoxidation reaction. Furthermore, HOM  $\text{RO}_2$  radicals with multiple -OOH groups can rapidly interconvert, and even the exact same peroxy radical can react with other  
30 radicals to produce different product structures. This leads to a large and complex distribution of final product structures. In order to reduce this complexity, we represent the peroxy radicals as individual surrogate molecules – points in the 2D-VBS

space – with autoxidation moving surrogate to lower volatility and higher O:C. We thus explicitly represent the volatility of the RO<sub>2</sub> radicals, which we show in Figure 1 as a sequence of four colored circles (along with α-pinene itself as a red circle), ranging from light green for all “traditional” RO<sub>2</sub> that have not undergone autoxidation through a succession of deeper green colors for Ox<sub>n</sub>RO<sub>2</sub> that have undergone  $n = 1-3$  generations of autoxidation.

### 5 3.1.3 Unimolecular RO<sub>2</sub> termination

Peroxy radicals may undergo unimolecular termination at any point in the autoxidation chain, but exact barrier height and pre-factors for these reactions remain unknown. For example, radical termination may occur via OH loss following a H-abstraction from a carbon with an -OOH group attached. Assuming that the termination involves a bond scission and potentially an H-transfer between neighboring groups, this termination is likely to have a higher A-factor but a higher activation energy than the internal isomerization (which has a long cyclic transition state and thus a relatively low A-factor). Here we represent this process with a pre-factor of  $10^{15} \text{ s}^{-1}$  and a barrier of 13000 K. This gives a termination rate coefficient of  $10^{-4} \text{ s}^{-1}$  at 298 K and  $1.7 \times 10^{-8} \text{ s}^{-1}$  at 248 K. As with autoxidation, there is every reason to believe that different functional groups on the peroxy radical would hinder or enhance the unimolecular termination pathway, but we use a consistent pre-factor and barrier for every peroxy radical to represent a complicated reaction pathway more simply.

15 In our framework, unimolecular termination products are treated as “monomer” products previously discussed. They may or may not be HOMs depending on whether the peroxy radical that produced them underwent autoxidation to any extent.

### 3.1.4 RO<sub>2</sub> cross reaction kinetics

Based on the available evidence that RO<sub>2</sub> self reaction rate constants accelerate with the presence of electron withdrawing functional groups near the -OO moiety, we assume that the self reaction rate constants for the Ox<sub>n</sub>RO<sub>2</sub> are given by

RO <sub>2</sub>	$k_{n,n}$ cm <sup>3</sup> molec <sup>-1</sup> s <sup>-1</sup>
RO <sub>2</sub>	$1.0 \times 10^{-13}$
Ox <sub>0</sub> RO <sub>2</sub>	$1.0 \times 10^{-13}$
Ox <sub>1</sub> RO <sub>2</sub>	$1.0 \times 10^{-12}$
Ox <sub>2</sub> RO <sub>2</sub>	$1.0 \times 10^{-11}$
Ox <sub>3</sub> RO <sub>2</sub>	$1.0 \times 10^{-10}$

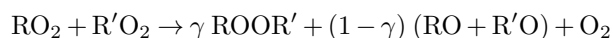
20

Given the enormous number of possible cross reactions (and paucity of kinetic data) Madronich (Madronich and Calvert, 1990) proposed a simple parameterization to estimate the cross-reaction rate coefficient for two dissimilar RO<sub>2</sub> radicals as the geometric mean of their self reaction rate constants,  $k_{n,m} = 2 \sqrt{k_{n,n} k_{m,m}}$ . The factor of 2 is due to the different symmetry of the self and cross reactions.

### 3.1.5 RO<sub>2</sub> dimerization

The mechanism for RO<sub>2</sub> dimerization is unknown. It is a theoretical puzzle because RO<sub>2</sub> reactions are thought to proceed through a weakly bound “tetraoxide” intermediate, ROO-OOR, which is a singlet closed-shell species (Lee et al., 2016). If by whatever (presumably multiple step) process this singlet species eliminates molecular oxygen, O<sub>2</sub>(<sup>3</sup>Σ), the singlet peroxide ROOR is spin forbidden. In the more common radical pathway, which produces RO + RO + O<sub>2</sub>, the two RO radicals are spin entangled in a triplet state. They cannot re-combine into a peroxide (ROOR) without first crossing to the singlet state.

Here we model the RO<sub>2</sub> cross reactions as



with “products” being treated as stable molecules within the Volatility Basis Set. A more general treatment would also include both the radical (RO) and molecular (alcohol + carbonyl) pathways, which are treated with a branching ratio  $\beta$  (Tyndall et al., 2001); here we assume that  $\beta = 1$  for all of these peroxy radicals, consistent with findings that the radical pathway is favored for peroxy radicals with electron withdrawing functional groups near the -OO moiety.

It may be that the ability to form dimers is directly dependent on additional functional groups in the RO<sub>2</sub> molecules, or it may be an indirect consequence, for example related to volatility and possibly cluster lifetime when two RO<sub>2</sub> radicals interact. Because we are explicitly tracking the volatility of the RO<sub>2</sub> radicals produced via autoxidation (and because some have very low volatility), here we shall explore the possibility that dimerization is somehow tied to the volatility of the reacting RO<sub>2</sub> radicals. Specifically, we hypothesize that when low volatility RO<sub>2</sub> species collide they will form a short-lived (radical) cluster, both before and after reacting. We further assume that ROOR dimer formation in this cluster follows the more traditional radical (RO) step, and that the product cluster must be long enough lived for collisions with air molecules to induce a spin flip in the initially triplet entangled system. As a rough measure of cluster lifetime we will use the geometric mean volatility of two interacting RO<sub>2</sub> species (i.e. the average of the  $\log C^\circ$  values).

If dimerization is volatility dependent, the probability of dimerization occurring when two peroxy radicals interact may be approximated by:

$$\gamma = \frac{1}{1 + C_{\text{GM}}^\circ / C_{\text{ref}}^\circ} \quad (1)$$

where  $C_{\text{GM}}^\circ$  is the geometric mean of the effective saturation concentrations of the two reacting peroxy radicals and  $C_{\text{ref}}^\circ$  is a temperature dependent reference saturation concentration representing where we expect half of the reacting peroxy radicals to dimerize. The value of  $C_{\text{ref}}^\circ$  used in this work is  $10^{-2}$  at 298 K and moves 1 order of magnitude lower in volatility per 10 K reduction in temperature. In our base case we assume a rate coefficient for highly oxidized  $\alpha$ -pinene peroxy radical reactions near the collisional limit, but given the branching ratio in Eq. 1 the dimerization product yield can be much lower (depending on RO<sub>2</sub> volatility).



### 3.2 RO<sub>2</sub> termination kernels and the VBS

Following  $\alpha$ -pinene oxidation, the initial, “traditional” RO<sub>2</sub> is a di-carbonyl peroxy radical (C<sub>10</sub>H<sub>15</sub>O<sub>4</sub>). Each carbonyl functional group reduces the volatility by about one order of magnitude (Pankow and Asher, 2007), and we assume that the -OO moiety decreases the volatility by roughly another 1.5 orders of magnitude; consequently, this RO<sub>2</sub> has a  $C^\circ(300K) \simeq 10^4$   $\mu\text{g m}^{-3}$ . Each successive Ox<sub>*n*</sub>RO<sub>2</sub> differs from its predecessor by having an additional -OOH functional group. These nominally decrease volatility by 2.5 orders of magnitude (Pankow and Asher, 2007); however, there is evidence that multifunctional molecules with opportunities for internal hydrogen bonding have higher volatilities than simple composition activity relations suggest (Kurtén et al., 2016). To crudely represent this we assign  $C^\circ(300K) \simeq 10 \mu\text{g m}^{-3}$  for Ox<sub>1</sub>RO<sub>2</sub> but assume that each successive generation of autoxidation decreases volatility by only 2 orders of magnitude, as shown in Figure 1. It is interesting to note that Ox<sub>2</sub>RO<sub>2</sub> on up the autoxidation sequence have a low enough volatility that they are likely to remain in particles if they collide with them.

Figure 1 also shows the broad “XVOC” ranges as colored bands. This includes the new ULVOCs in purple, which have a sufficiently low volatility ( $C^\circ(T) \leq 3 \times 10^{-9} \mu\text{g m}^{-3}$ ) to nucleate efficiently under typical conditions (it is supersaturation that ultimately drives this). The ELVOCs, in contrast, will stick to any particle of any size they hit, but may not contribute significantly to nucleation itself (in practice it may be the geometric mean of  $C^\circ(T)$  for two colliding vapors that governs nucleation, just as we hypothesize for ROOR formation).

We represent RO<sub>2</sub> termination reactions to products as kernels anchored to the peroxy radical point that produced them. These kernels allow us to represent a wide variety of stabilization pathways producing a wide variety of different products through one surrogate species; the variety of species that the surrogate represents are instead mapped to a distribution of products within the 2D-VBS defined by a transformation relative to the surrogate RO<sub>2</sub> volatility and O:C. In Figure 1 we show the sum of these kernels (weighted by branching) for the three broad classes of products defined earlier: “traditional” oxidation products, monomers involving at least one generation of autoxidation, and ROOR dimers. We provide the individual kernels (relative to the  $C^\circ$  and O:C values for each RO<sub>2</sub>) in the supplemental material. The net effect is a concentration of traditional products with O:C modes in the SVOC and IVOC range, which in earlier VBS parameterizations of  $\alpha$ -pinene SOA have constituted all of the products (Presto and Donahue, 2006; Jimenez et al., 2009; Donahue et al., 2012b), augmented by a tongue of HOM monomer products extending through the LVOC range and up to O:C > 1, and finally with a parallel shoal of HOM dimer products spanning the ELVOC range and extending into the ULVOC range (causing “pure biogenic” nucleation (Kirkby et al., 2016)).

We fully resolve the reaction products and then take the final concentration of every surrogate species (for example “Ox<sub>2</sub>RONO<sub>2</sub>” represents all organonitrates derived from second-generation auto-oxidized peroxy radicals) and map those to a distribution of products in the 2D-VBS using the appropriate kernel. This can readily be adapted to form a module (operator) within a larger framework representing particle microphysics, transport, wall loss, etc.

### 3.3 Ideal reactor

Here we model an ideal batch reactor with an initial input of precursor  $\alpha$ -pinene that is oxidized over time leaving only stable oxidation products, with essentially no precursor remaining at the end of the simulation. There is no wall loss, ventilation, additional vapors added after the initialization, nor particle condensation or nucleation; our goal here is to just probe the chemistry of interest without interference of the physics of typical chamber experiments. We present results varying the temperature,  $\text{NO}_x$  and barrier-height dependence of the yields of  $\alpha$ -pinene ozonolysis. We simulate 600 ppt of  $\alpha$ -pinene ( $1.5 \times 10^{10}$  molec  $\text{cm}^{-3}$ ) reacting with 40 ppb of ozone, which corresponds to a lifetime of approximately 4 h ( $1.4 \times 10^4$  s) at 298 K. When we simulate  $\text{NO}_x$  chemistry we add the  $\text{NO}_x$  to the system as NO, after which it undergoes reaction to  $\text{NO}_2$  and reaches an equilibrium ratio of  $\text{NO}:\text{NO}_2 \simeq 1:10$ . The value we report as the concentration of  $\text{NO}_x$  is the amount of NO initially added into the system. All the simulations here are run in light so that photochemistry is able to occur.

## 4 Results

We present results showing the final products of the chemistry described above and how they resolve within the 2D-VBS. We make qualitative comparisons with previous experimental results to show the validity of our approaches.

### 4.1 Base-case run

We will start with a "base-case" run at 298 K without  $\text{NO}_x$  to demonstrate the model and its output. As the  $\alpha$ -pinene reacts, the peroxy radicals quickly build up to a steady state and then decay in consort with the  $\alpha$ -pinene as they auto-oxidize and form stable products. As Figure 2 shows, each of the successively more oxidized peroxy radicals reaches a maximum concentration at a similar time, but to progressively lower maximum values. The stable products are formed via the various peroxy radical termination processes and in this simulation they have no sinks and so simply accumulate. Their yields are thus simply their final concentrations divided by the initial  $\alpha$ -pinene concentration.

In Figure 3a we show one such set of terminal products, labeled  $\text{Ox}_n$  to indicate the number of autoxidation steps. These products form from both  $\text{RO}_2 + \text{NO}$  and  $\text{RO}_2 + \text{RO}_2$  reactions and so scale with the  $\text{RO}_2$  radicals themselves, but because they are not lost in the batch reaction (here we do not simulate aging with the 2D-VBS) their concentrations accumulate over time.

In Figure 3b we show the corresponding dimer (ROOR) concentrations. We identify the dimers by a number pair  $(n, m)$  indicating the autoxidation extent of the associated  $\text{Ox}_n\text{RO}_2$ . Here the situation is more nuanced because the dimers are formed exclusively through cross reactions and we assume (Eq. 1) that the dimer yields depend on the  $\text{RO}_2$  volatility. Consequently, even though the most oxidized  $\text{RO}_2$  have lower concentrations, they produce dimers with higher yields. The 2-2 and 3-3 symmetric dimers, along with the 2-3 cross dimer, are the most abundant.

The ultimate product distribution is dependent on the competition between autoxidation and termination via other radical species. Thus it is important to fully implement  $\text{HO}_x$  and  $\text{NO}_x$  chemistry and it is informative to see how the concentrations of these radicals vary throughout the simulation. We show  $\text{HO}_2$  and NO for various levels of added  $\text{NO}_x$  in Figure 4, along with

the sum of oxidized  $\text{RO}_2$  ( $\sum \text{Ox}_n \text{RO}_2$ ). Characteristically for chamber experiments,  $\text{HO}_2$  remains at a low level ( $\lesssim 10^7$  molec  $\text{cm}^{-3}$ ) compared to the atmosphere due to the relative scarcity of species such as  $\text{CO}$  and  $\text{CH}_2\text{O}$  that directly convert  $\text{OH}$  into  $\text{HO}_2$  and also photolyze to produce  $\text{HO}_2$ . Thus  $\text{HO}_2$  remains far rarer than even the  $\text{OxRO}_2$  while most  $\alpha$ -pinene is oxidized, with  $\text{OxRO}_2:\text{HO}_2 \simeq 40$  as shown in Figure 4. Including the  $\text{RO}_2$  that wasn't oxidized, shown in Figure 2, then we increase  
5 that ratio, all  $\text{RO}_2:\text{HO}_2 \simeq 80$ . Because in our simulation we add  $\text{NO}_x$  as  $\text{NO}$ , at first the  $\text{NO}$  levels drop rapidly via reaction with  $\text{HO}_2$  and  $\text{RO}_2$ , but then reach a steady state as  $\text{NO}_2$  photolysis replenishes  $\text{NO}$ . In general for batch chamber experiments,  $\text{NO}_x$  is not added at the steady-state  $\text{NO}:\text{NO}_2$ , and it is common to add  $\text{NO}$  as we simulate here; the rapidly evolving  $\text{NO}$  concentrations complicate interpretation of the results as the conditions can move from "high  $\text{NO}$ " early in the experiment to "low  $\text{NO}$ " later on. However, in this simulation, for 100 pptv added  $\text{NO}_x$  the  $\text{NO}$  stabilizes near 3 pptv. This is sufficient to  
10 slightly suppress the  $\text{HO}_2$ , more significantly reduce the oxidized  $\text{RO}_2$ , and to dominate  $\text{RO}_2$  termination.

The  $\text{OxRO}_2$  curves in Figure 4 allow one to assess  $\text{RO}_2$  termination in general, remembering that the rate coefficients for  $\text{HO}_2$  and  $\text{NO}$  termination are similar and (in our model) the  $\text{OxRO}_2$  self reaction rate coefficients are up to ten times faster. This means that  $\text{HO}_2$  is never an important  $\text{RO}_2$  sink under these conditions, while  $\text{NO}$  becomes competitive between 50 and 100 ppt added  $\text{NO}_x$  (the  $\text{NO}$  concentration must be 10 times higher than the  $\text{OxRO}_2$  to compete). Increasing  $\text{NO}$  significantly  
15 suppresses  $\text{OxRO}_2$  and so by 1000 ppt  $\text{NO}_x$  the  $\text{NO}$  pathway is dominant at all times.

## 4.2 Temperature dependence

The temperature dependence of the HOM formation chemistry is a key diagnostic with great atmospheric significance. Experimental data indicate that HOM yields decrease with decreasing temperature and that HOM dimers especially follow this trend. This does not mean that condensible LVOC products necessarily decrease as products with a higher  $C^\circ(300\text{K})$  will condense  
20 when it is cold; the XVOC color patches in the 2D-VBS figures show this volatility temperature dependence. Each XVOC region shifts to higher  $C^\circ(300\text{K})$  at lower temperatures meaning that a compound with a  $\log(C^\circ(300\text{K})) = -4$  would be in the LVOC range at 298 K, the ELVOC range at 278 K, and the ULVOC range at 248 K. However since essentially all of the (room temperature) ULVOCs and ELVOCs are dimers, their yields will follow the dimer trends.

In Figure 5 we show the temperature dependent results for our base-case  $\text{NO}_x$ -free simulation. Each column is a different  
25 temperature (248, 278, and 298 K). The top row is a 2D-VBS contour plot of the products, recapitulating Figure 1 with the volatility classes (defined by  $C^\circ(T)$ ) shifting with temperature following Stolzenburg (Stolzenburg et al., 2018). The middle row is a 1D-VBS plot (summing the top plots over O:C) with histograms of carbon yields in each volatility bin, colored by the  $\text{RO}_2$  termination process ( $\text{NO}$ , dimerization,  $\text{HO}_2$ , etc). The bottom row is color coded by the generation of autoxidation, with darker colors corresponding to products of  $\text{RO}_2$  that have undergone more autoxidation steps.

30 In the bottom row of Figure 5 we can clearly see that the amount of products formed from auto-oxidized peroxy radicals decreases with decreasing temperature to the point where at 248 K, there is no significant contribution of autoxidation to the yields at all. This is expected from the temperature dependence of the autoxidation rate coefficient and the trend is supported with experimental data. In the middle plots, we see a reduction in HOM monomers at lower temperatures, but because the volatility classes shift toward higher  $C^\circ(300\text{K})$  at lower temperature, there are still relatively high LVOC yields, which is con-

sistent with previous growth rate measurements indicating large contributions from LVOCs to growth even at low temperature. We also see that at lower temperatures dimer yields are greatly reduced. This makes sense based on the hypothesis that when two peroxy radicals react the probability that they formed a dimer is dependent on their volatility, and thus dependent on the extent of autoxidation. However, at 248 K, we see an emergence of non-HOM dimers, dimers formed via reaction between two non-auto-oxidized peroxy radicals. These are “non-HOM” dimers as the definition of “HOMs” requires there to be an autoxidation step in the formation process. They are a consequence of our hypothesis that dimer formation is related to RO<sub>2</sub> complex lifetime, as expressed in Eq. 1; any temperature dependence of dimer yields (and their O:C) is thus a test of this hypothesis.

In addition, we see almost no formation of products from an RO<sub>2</sub> + HO<sub>2</sub> pathway. This is not a major pathway for peroxy radical termination at any temperature, given our base-case assumption of a fast RO<sub>2</sub> + RO<sub>2</sub> reaction and the high RO<sub>2</sub>:HO<sub>2</sub> in low-NO<sub>x</sub> chamber conditions. There is however a slight temperature dependence of hydroperoxide formation as at low temperatures (with no NO<sub>x</sub>) there is a larger build-up of the OX<sub>0</sub>RO<sub>2</sub>, which have a relatively slow self reaction rate constant and thus do terminate with HO<sub>2</sub>.

### 4.3 NO<sub>x</sub> dependence

Experimental data indicate that ULVOC/ELVOC yields are strongly reduced with increasing NO<sub>x</sub> while LVOC and SVOC yields are less affected. In addition, at intermediate NO<sub>x</sub> concentrations, HOM organo-nitrates will be formed contributing HOMs in the SVOC to LVOC range not found under low-NO<sub>x</sub> conditions.

We show the NO<sub>x</sub> dependent results for our 298 K simulation in Figure 6, which follows the temperature-dependent Figure 5 in form. Each column is a different NO<sub>x</sub> concentration (50, 100, and 1000 ppt), but the results show the same qualitative pattern as those in Figure 5, with high NO<sub>x</sub> taking the place of low temperature. NO suppresses autoxidation and HOM formation just as low temperature does, but “normal” hydroperoxides (formed via HO<sub>2</sub> termination) are replaced by organonitrates. Further, because the temperature is not reduced, the high-NO<sub>x</sub> products remain largely in the SVOC class, so high NO<sub>x</sub> will suppress nucleation.

At very low NO<sub>x</sub> (< 20 ppt), we see relatively little effect on yields, either type or autoxidation, compared to the zero-NO<sub>x</sub> 298 K results in Figure 5. There is, however, some suppression of dimers. In our simulation, NO<sub>x</sub> becomes competitive with autoxidation around 500 ppt and completely overpowers autoxidation around 1000 ppt. This is based on an RO<sub>2</sub> + NO rate coefficient of 10<sup>-11</sup> cm<sup>3</sup> molec<sup>-1</sup> s<sup>-1</sup> and an activation barrier to autoxidation producing a rate coefficient of about 10<sup>-3</sup> s<sup>-1</sup> at 298 K. In this simulation we do not incorporate any variation in kinetics with the RO<sub>2</sub> classes (e.g. the isomerization activation energy) other than the dependence of dimerization on the geometric mean of RO<sub>2</sub> volatility expressed in Eq. 1 and the small change in the pre-factor for autoxidation discussed in section 3.1.2. Therefore we caution against making quantitative comparisons to experimental results such as Zhao et al., who found that competition between autoxidation and NO<sub>x</sub> termination begins to favor the NO<sub>x</sub> pathway at about 20 ppbv of NO<sub>x</sub>. It is highly likely that the RO<sub>2</sub> kinetics vary considerably depending on the exact RO<sub>2</sub> structure and very possible that more of this will need to be represented to obtain quantitative agreement with experimental observations.

In Figure 7 we show the  $\text{NO}_x$  effects on HOM monomers and dimers at different temperatures. For the  $\text{NO}_x$  range we simulate here, the major effect of NO is to suppress the total HOMs uniformly by roughly a factor of 10. In this plot we see that while temperature has a relatively uniform effect of suppressing HOM monomers and dimers,  $\text{NO}_x$  suppress dimer yields more aggressively than it does HOM monomer yields. This can be explained due to the emergence of HOM nitrates, which are included in the monomer yields, as well as the consequence of NO reducing total  $\text{OxRO}_2$  and thus the rate of peroxy radical association reactions.

It is important to note that the relatively low  $\text{HO}_2$  concentrations in these runs may be enhancing the importance of  $\text{NO}_x$  chemistry here. With higher  $\text{HO}_2$  concentrations, the  $\text{RO}_2 + \text{HO}_2$  reaction will compete with the  $\text{RO}_2 + \text{NO}$  and reduce the nitrate yields. However, the  $\text{HO}_2$  concentrations simulate here line up with what is often seen in very clean chamber experiments and thus our  $\text{NO}_x$  conclusions hold for those cases.

#### 4.4 Sensitivity studies

As stated, the energy barrier to autoxidation is an important unknown in this model. It is constrained experimentally by the competition with the  $\text{RO}_2 + \text{NO}$  reaction, but the coefficient associated with autoxidation could span orders of magnitude for different peroxy radicals. In all the simulations described so far, we used a single barrier for autoxidation for every peroxy radical, and reduced the pre-factor as  $n$ , the extent of autoxidation, increased.

We employ two methods to investigate the barrier height dependence look at the yields: fixing the rate coefficient at 298 K and varying its activation energy (in a Clausius-Clapeyron like expression) and allowing the rate coefficient to vary within an order of magnitude of what was used in the "base-case" scenario over the whole temperature range. This is to see the effect of the autoxidation barrier height on the HOM and dimer yields as well as to provide a context for the error associated with our assumption regarding the barrier height. The effect of these methods on the autoxidation rate constant is shown in the Supplemental Information.

As shown in Figure 8, changing the barrier height strongly affects the calculated amount of autoxidation, especially at low temperatures. There is little effect near 298 K because that is where we prescribe the rate coefficient. In Figure 9, we show results from allowing the rate coefficient to vary an order of magnitude over the entire temperature range, producing dimer and monomer yields that span orders of magnitude. The solid curves indicate the base-case scenario described above. This allows us to put some bounds on the rate coefficient of autoxidation as experimentally dimer and monomer yields fall well within the shaded region of the plot.

There is ample evidence in the kinetic literature for a high degree of variability in the autoxidation rate. Therefore, it is possible that to adequately describe autoxidation, its effects on new-particle formation as well as SOA formation, and the sensitivity of both to NO, T,  $\text{HO}_2$ , etc, we will need to treat "fast" and "slow" reacting  $\text{RO}_2$ . While it is possible for us to put bounds on autoxidation with the assumptions made here, it is very likely that the autoxidation of specific peroxy radicals lie outside these bounds. For example, the low barrier shown in Figure 9 is likely outside these bounds as at the highest temperatures the monomer yields are lower than the dimer yields, which contradicts experimental evidence. However, with relatively minor changes to other parameters (e.g. the dimerization rate coefficient, the branching ratio to dimers  $\gamma$ , the

unimolecular termination rate coefficient), this barrier height would be completely reasonable and therefore still represents a good lower bound for the auto-oxidation barrier height. The " $O_{x_n}RO_2$ " represent a variety of peroxy radicals and therefore these bounds are representative of the general behavior of these peroxy radicals rather than being bounds for any specific peroxy radical species.

#### 5 4.4.1 $NO_x$ dependence of temperature dependence

We also want to investigate how the similar trends of decreasing temperature and increasing  $NO_x$  work together. The bottom plot of Figure 10 clearly shows this synergistic effect on autoxidation, as products that have undergone any autoxidation are almost completely suppressed at the highest  $NO_x$  concentration and the lowest temperature investigated here. The top plot of Figure 10 shows once again that decreasing temperature and increasing  $NO_x$  work together to suppress both HOM monomers and HOM dimers as well as a few other trends. As  $NO_x$  is increased, the yield of nitrates increases, but at any specific  $NO_x$  concentration the amount of nitrate formed is effectively temperature-independent. However, HOM nitrates are only formed at the highest temperature and at lower  $NO_x$  concentrations; obviously, to form nitrates some  $NO_x$  needs to be present, but if too much is present, the peroxy radicals will terminate before they can auto-oxidize to form HOM nitrates. At low  $NO_x$  concentrations the HOM formation is dependent on temperature as discussed previously, but at high  $NO_x$  concentrations the yields are completely dominated by termination with NO. We can also see at low  $NO_x$  and low temperatures, the appearance of non-HOM dimers; formation of these less oxidized peroxides is a consequence of our assumption that a long-lived  $RO_2$  molecular cluster is required to allow the products to cross to the singlet spin surface. This plot also shows the temperature and  $NO_x$  dependence of yields from the  $RO_2 + HO_2$  reaction. While never a large portion of the total yield, products of this reaction increase under low temperature and low  $NO_x$  conditions. When the temperature is low, most of the peroxy radicals present are  $O_{x_0}RO_2$ , which based on our association rate constants, will react the slowest with each other. This allows for more of the peroxy radicals to react with  $HO_2$ , even at the relatively low  $HO_2$  concentrations present. At high  $NO_x$ , the  $RO_2 + NO$  reactions dominates everything including the  $RO_2 + HO_2$  reaction and very few to no ROOH products are formed.

## 5 Conclusions

Here we present a model that represents peroxy radical chemistry semi-explicitly and maps the products of that chemistry onto the 2D-VBS. We investigate the dependence of the product yields on temperature,  $NO_x$ , and the energy barrier to autoxidation. Ultimately both HOM and dimers are suppressed under conditions when autoxidation is suppressed via the competition with radical termination processes. Competition with bimolecular termination processes is enhanced when the  $RO_2$  lifetime with respect to bimolecular radical termination is shortened (higher radical concentrations) or when autoxidation is slower (higher energy barrier). Therefore HOM and dimer production is highest under high temperature, low  $NO_x$  conditions and if we assume a lower energy barrier to autoxidation. These simulations were conducted assuming relatively fast dimerization rate constants. Therefore should some or all peroxy radicals dimerize slower, another important competition, that is not discussed at length here due to its relatively low impact, the  $RO_2 + HO_2$  reaction, could be much more important.

Overall, our simulation results are consistent with emerging experimental observations and strongly suggest that HOM formation will be strongly temperature dependent under atmospheric conditions, as well as highly sensitive to NO. The new “radical” VBS allows us to explicitly simulate the RO<sub>2</sub> termination without making ad-hoc assumptions about “high” or “low” NO conditions and also enables general consideration of RO<sub>2</sub> termination chemistry, including cross reactions among RO<sub>2</sub> derived from many hydrocarbon precursors. It is thus well suited to describe these rich chemical systems.

*Author contributions.* MS conducted the model runs and wrote the paper; NMD designed the study, advised research progress, and commented extensively on the paper drafts.

*Competing interests.* The authors declare that they have no conflict of interest.

*Acknowledgements.* This work was supported by grant AGS 1801897 from the U. S. National Science Foundation.

## References

- Atkinson, R.: Gas phase tropospheric chemistry of organic compounds, *Journal of Physical and Chemical Reference Data*, 26, 215–290, <https://doi.org/10.1063/1.556012>, 1997.
- Atkinson, R., Baulch, D. L., Cox, R. A., Crowley, J. N., Hampson, R. F., Hynes, R. G., Jenkin, M. E., Rossi, M. J., Troe, J., and Wallington, T. J.: Evaluated kinetic and photochemical data for atmospheric chemistry: Volume IV - gas phase reactions of organic halogen species, *Atmospheric Chemistry and Physics*, 8, 4141–4496, 2008.
- Berndt, T., Scholz, W., Mentler, B., Fischer, L., Herrmann, H., Kulmala, M., and Hansel, A.: Accretion Product Formation from Self- and Cross-Reactions of RO<sub>2</sub> Radicals in the Atmosphere, *Angewandte Chemie International Edition*, 57, 3820–3824, <https://doi.org/10.1002/anie.201710989>, <https://onlinelibrary.wiley.com/doi/abs/10.1002/anie.201710989>, 2018.
- 10 Bianchi, F., Kurtén, T., Riva, M., Mohr, C., Rissanen, M., Pontus, R., Berndt, T., Crounse, J., Wennberg, P., Mentel, T. F., Wildt, J., Junninen, H., Jokinen, T., Kulmala, M., Worsnop, D., Thornton, J., Donahue, N. M., Kjaergaard, H. G., and Ehn, M.: Highly-oxygenated organic molecules (HOM) from gas-phase autoxidation of organic peroxy radicals: A key contributor to atmospheric aerosol, *Chemical Reviews*, 119, 3472–3509, <https://doi.org/10.1021/acs.chemrev.8b00395>, <https://pubs.acs.org/doi/10.1021/acs.chemrev.8b00395>, 2019.
- Chuang, W. K. and Donahue, N. M.: A 2-Dimensional Volatility Basis Set – Part 3: Prognostic modeling and NO<sub>x</sub> dependence, *Atmospheric Chemistry and Physics*, 16, 123–134, <https://doi.org/10.5194/acp-16-123-2016>, <http://www.atmos-chem-phys.net/16/123/2016/>, 2016.
- 15 Crounse, J. D., Nielsen, L. B., Jørgensen, S., Kjaergaard, H. G., and Wennberg, P. O.: Autoxidation of Organic Compounds in the Atmosphere, *The Journal of Physical Chemistry Letters*, 4, 3513–3520, <https://doi.org/10.1021/jz4019207>, <http://pubs.acs.org/doi/abs/10.1021/jz4019207>, 2013.
- Donahue, N. M. and Prinn, R. G.: Nonmethane Hydrocarbon Chemistry in the Remote Marine Boundary Layer, *Journal of Geophysical Research Atmospheres*, 95, 18 387–18 411, <http://www.agu.org/pubs/crossref/1990/JD095iD11p18387.shtml>, 1990.
- 20 Donahue, N. M., Huff Hartz, K. E., Chuong, B., Presto, A. A., Stanier, C. O., Rosenørn, T., Robinson, A. L., and Pandis, S. N.: Critical Factors Determining the Variation in SOA Yields from Terpene Ozonolysis: A Combined Experimental and Computational Study, *Faraday Discussions*, 130, 295–309, <http://www.rsc.org/Publishing/Journals/FD/article.asp?doi=b417369d>, 2005.
- Donahue, N. M., Robinson, A. L., Stanier, C. O., and Pandis, S. N.: Coupled Partitioning, Dilution, and Chemical Aging of Semivolatile Organics, *Environmental Science & Technology*, 40, 2635 – 2643, <https://doi.org/10.1021/es052297c>, <http://dx.doi.org/10.1021/es052297c>, 2006.
- 25 Donahue, N. M., Epstein, S. A., Pandis, S. N., and Robinson, A. L.: A Two-Dimensional Volatility Basis Set: 1. Organic Mixing Thermodynamics, *Atmospheric Chemistry and Physics*, 11, 3303–3318, <https://doi.org/10.5194/acp-11-3303-2011>, <http://www.atmos-chem-phys.net/11/3303/2011/>, 2011.
- 30 Donahue, N. M., Henry, K. M., Mentel, T. F., Scharr, A. K., Spindler, C., Bohn, B., Brauers, T., Dorn, H. P., Fuchs, H., Tillmann, R., Wahner, A., Saathoff, H., Naumann, K. H., Möhler, O., Leisner, T., Müller, L., Reinnig, M.-C., Hoffmann, T., Salow, K., Hallquist, M., Frosch, M., Bilde, M., Tritscher, T., Barmet, P., Praplan, A. P., DeCarlo, P. F., Dommen, J., Prévôt, A. S. H., and Baltensperger, U.: Aging of Biogenic Secondary Organic Aerosol via Gas-Phase OH Radical Reactions, *Proceedings of the National Academy of Sciences*, 109, 13 503–13 508, <https://doi.org/10.1073/pnas.1115186109>, <http://www.pnas.org/content/109/34/13503.abstract>, 2012a.
- 35 Donahue, N. M., Kroll, J. H., Robinson, A. L., and Pandis, S. N.: A two-dimensional volatility basis set - Part 2: Diagnostics of organic-aerosol evolution, *Atmospheric Chemistry and Physics*, 12, 615–634, <https://doi.org/10.5194/acp-12-615-2012>, <http://www.atmos-chem-phys.net/12/615/2012/>, 2012b.

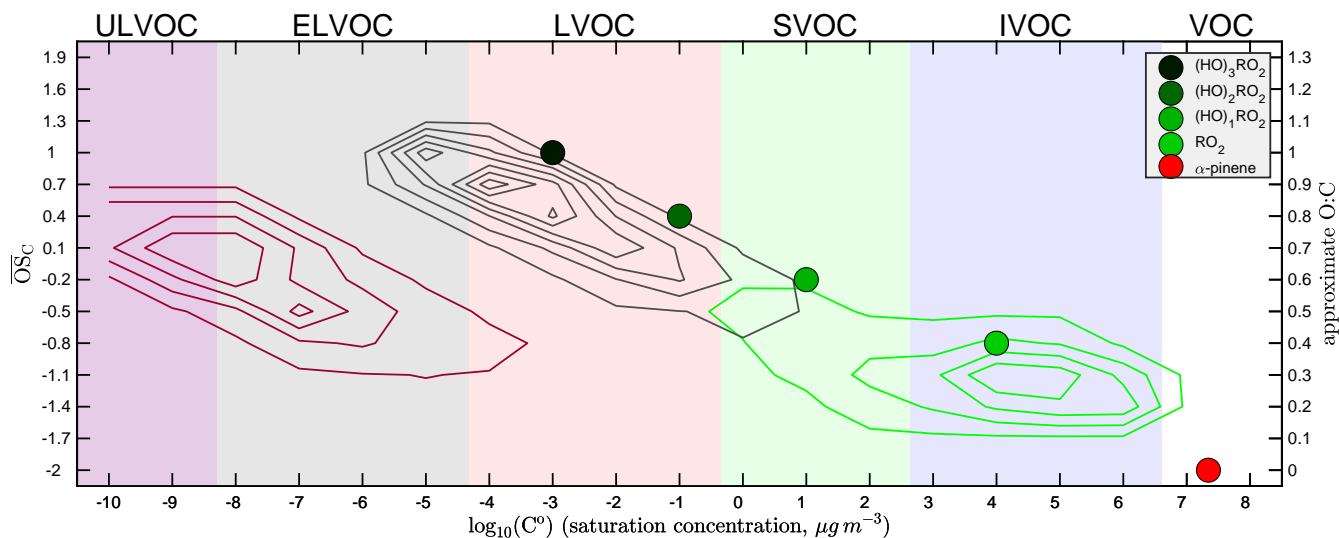


- Donahue, N. M., Chuang, W. K., Epstein, S. A., Kroll, J. H., Worsnop, D. R., Robinson, A. L., Adams, P. J., and Pandis, S. N.: Why Do Organic Aerosols Exist? Understanding Aerosol Lifetimes using the Two-Dimensional Volatility Basis Set, *Environmental Chemistry*, 10, 151–157, <https://doi.org/10.1071/EN13022>, <http://dx.doi.org/10.1071/EN13022>, 2013.
- 5 Ehn, M., Thornton, J. A., Kleist, E., Sipila, M., Junninen, H., Pullinen, I., Springer, M., Rubach, F., Tillmann, R., Lee, B., Lopez-Hilfiker, F., Andres, S., Acir, I.-H., Rissanen, M., Jokinen, T., Schobesberger, S., Kangasluoma, J., Kontkanen, J., Nieminen, T., Kurten, T., Nielsen, L. B., Jorgensen, S., Kjaergaard, H. G., Canagaratna, M., Maso, M. D., Berndt, T., Petaja, T., Wahner, A., Kerminen, V.-M., Kulmala, M., Worsnop, D. R., Wildt, J., and Mentel, T. F.: A large source of low-volatility secondary organic aerosol, *Nature*, 506, 476–479, 2014.
- 10 Frege, C., Ortega, I. K., Rissanen, M. P., Praplan, A. P., Steiner, G., Heinritzi, M., Ahonen, L., Amorim, A., Bernhammer, A.-K., Bianchi, F., Brilke, S., Breitenlechner, M., Dada, L., Dias, A., Duplissy, J., Ehrhart, S., El-Haddad, I., Fischer, L., Fuchs, C., Garmash, O., Gonin, M., Hansel, A., Hoyle, C. R., Jokinen, T., Junninen, H., Kirkby, J., Kürten, A., Lehtipalo, K., Leiminger, M., Mauldin, R. L., Molteni, U., Nichman, L., Petäjä, T., Sarnela, N., Schobesberger, S., Simon, M., Sipilä, M., Stolzenburg, D., Tomé, A., Vogel, A. L., Wagner, A. C., Wagner, R., Xiao, M., Yan, C., Ye, P., Curtius, J., Donahue, N. M., Flagan, R. C., Kulmala, M., Worsnop, D. R., Winkler, P. M., Dommen, J., and Baltensperger, U.: Influence of temperature on the molecular composition of ions and charged clusters during pure biogenic nucleation, *Atmospheric Chemistry and Physics*, 18, 65–79, <https://doi.org/10.5194/acp-18-65-2018>, <https://www.atmos-chem-phys.net/18/65/2018/>,  
15 2018.
- Fry, J. L., Draper, D. C., Barsanti, K. C., Smith, J. N., Ortega, J., Winkler, P. M., Lawler, M. J., Brown, S. S., Edwards, P. M., Cohen, R. C., and Lee, L.: Secondary Organic Aerosol Formation and Organic Nitrate Yield from NO<sub>3</sub> Oxidation of Biogenic Hydrocarbons, *Environmental Science & Technology*, 48, 11 944–11 953, <https://doi.org/10.1021/es502204x>, <https://doi.org/10.1021/es502204x>, PMID: 25229208, 2014.
- 20 Henry, K. M. and Donahue, N. M.: Effect of the OH radical scavenger hydrogen peroxide on secondary organic aerosol formation from  $\alpha$ -pinene ozonolysis, *Aerosol Science and Technology*, 45, 686–690, <https://doi.org/10.1080/02786826.2011.552926>, <http://www.informaworld.com/smpp/content~db=all~content=a933399706~frm=titlelink>, 2011.
- 25 Jimenez, J. L., Canagaratna, M. R., Donahue, N. M., Prévôt, A. S. H., Zhang, Q., Kroll, J. H., DeCarlo, P. F., Allan, J., Coe, H., Ng, N. L., Aiken, A. C., Docherty, K. D., Ulbrich, I. M., Grieshop, A. P., Robinson, A. L., Duplissy, J., Smith, J. D., Wilson, K. R., Lanz, V. A., Hueglin, C., Sun, Y. L., Laaksonen, A., Raatikainen, T., Rautiainen, J., Vaattovaara, P., Ehn, M., Kulmala, M., Tomlinson, J. M., Collins, D. R., Cubison, M. J., Dunlea, E. J., Huffman, J. A., Onasch, T. B., Alfarra, M. R., Williams, P. I., Bower, K., Kondo, Y., Schneider, J., Drewnick, F., Borrmann, S., Weimer, S., Demerjian, K., Salcedo, D., Cottrell, L., Griffin, R., Takami, A., Miyoshi, T., Hatakeyama, S., Shimono, A., Sun, J. Y., Zhang, Y. M., Dzepina, K., Kimmel, J. R., Sueper, D., Jayne, J. T., Herndon, S. C., Trimborn, A. M., Williams, L. R., Wood, E. C., Kolb, C. E., Baltensperger, U., and Worsnop, D. R.: Evolution of Organic Aerosols in the Atmosphere, *Science*, 326,  
30 1525–1529, <https://doi.org/10.1126/science.1180353>, <http://www.sciencemag.org/cgi/content/abstract/326/5959/1525>, 2009.
- 35 Kirkby, J., Duplissy, J., Sengupta, K., Frege, C., Gordon, H., Williamson, C., Heinritzi, M., Simon, M., Yan, C., Almeida, J., Tröstl, J., Nieminen, T., Ortega, I. K., Wagner, R., Adamov, A., Amorim, A., Bernhammer, A.-K., Bianchi, F., Breitenlechner, M., Brilke, S., Chen, X., Craven, J., Dias, A., Ehrhart, S., Flagan, R. C., Franchin, A., Fuchs, C., Guida, R., Hakala, J., Hoyle, C. R., Jokinen, T., Junninen, H., Kangasluoma, J., Kim, J., Krapf, M., Kürten, A., Laaksonen, A., Lehtipalo, K., Makhmutov, V., Mathot, S., Molteni, U., Onnela, A., Peräkylä, O., Piel, F., Petäjä, T., Praplan, A. P., Pringle, K., Rap, A., Richards, N. A., Riipinen, I., Rissanen, M. P., Rondo, L., Sarnela, N., Schobesberger, S., Scott, C. E., Seinfeld, J. H., Sipilä, M., Steiner, G., Stozhkov, Y., Stratmann, F., Tomé, A., Virtanen, A., Vogel, A. L., Wagner, A., Wagner, P. E., Weingartner, E., Wimmer, D., Winkler, P. M., Ye, P., Zhang, X., Hansel, A., Dommen, J., Donahue, N. M.,

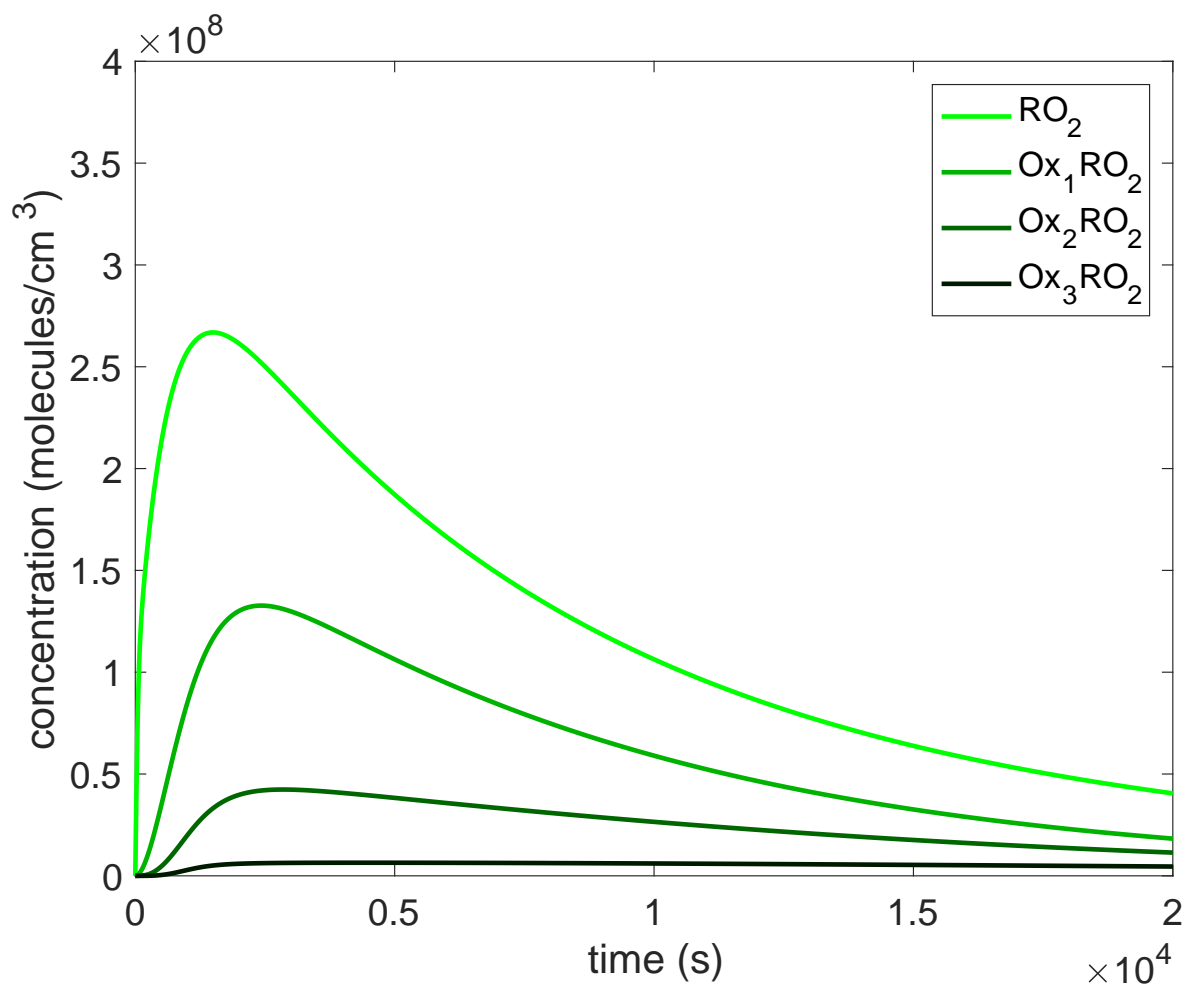
- Worsnop, D. R., Baltensperger, U., Kulmala, M., Carslaw, K. S., and Curtius, J.: Ion-induced nucleation of pure biogenic particles, *Nature*, 530, 521–526, <https://doi.org/10.1038/nature17953>, <http://dx.doi.org/10.1038/nature17953>, 2016.
- Kurtén, T., Tiusanen, K., Roldin, P., Rissanen, M., Luy, J.-N., and Donahue, N. M.: Saturation Vapor Pressures of  $\alpha$ -pinene Autoxidation Products May Be Severely Underpredicted by Group Contribution Methods, *Journal of Physical Chemistry A*, 120, 2569–2582, <https://doi.org/10.1021/acs.jpca.6b02196>, <http://dx.doi.org/10.1021/acs.jpca.6b02196>, 2016.
- Lee, R., Gryn'ova, G., Ingold, K. U., and Coote, M. L.: Why are sec-alkylperoxyl bimolecular self-reactions orders of magnitude faster than the analogous reactions of tert-alkylperoxyls? The unanticipated role of CH hydrogen bond donation, *Physical Chemistry Chemical Physics*, 18, 23 673–23 679, <https://doi.org/10.1039/C6CP04670C>, <http://dx.doi.org/10.1039/C6CP04670C>, 2016.
- Lehtipalo, K., Yan, C., Dada, L., Bianchi, F., Xiao, M., Wagner, R., Stolzenburg, D., Ahonen, L. R., Amorim, A., Baccarini, A., Bauer, P. S., Baumgartner, B., Bergen, A., Bernhammer, A.-K., Breitenlechner, M., Brilke, S., Buckholz, A., Mazon, S. B., Chen, D., Chen, X., Dias, A., Dommen, J., Draper, D. C., Duplissy, J., Ehn, M., Finkenzeller, H., Fisher, L., Frege, C., Fuchs, C., Garmash, O., Gordon, H., Hakala, J., He, X. C., Heikkinen, L., Heinrizi, M., Helm, J. C., Hofbauer, V., Hoyle, C. R., Jokinen, T., Kangasluoma, J., Kerminen, V.-M., Kim, C., Kirkby, J., Kontkanen, J., Kürten, A., Lawler, M. J., Mai, H., Mathot, S., Mauldin III, R. L., Molteni, U., Nichman, L., Nie, W., Nieminen, T., Ojdanic, A., Onnela, A., Passananti, M., Petäjä, T., Piel, F., Pospisilova, V., Quéléver, L. L. J., Rissanen, M. P., Rose, C., Sarnela, N., Schallhart, S., Sengupta, K., Simon, M., Tauber, C., Tomé, A., Tröstl, J., Väisänen, O., Voge, A. L., Volkamer, R., Wagner, A. C., Wang, M., Weitz, L., Wimmer, D., Ye, P., Ylisirniö, A., Zha, Q., Carslaw, K., Curtius, J., Donahue, N., Flagan, R. C., Hansel, A., Riipinen, I., Virtanen, A., Winkler, P. M., Baltensperger, U., Kulmala, M., and Worsnop, D. R.: Multi-component new particle formation from sulfuric acid, ammonia and biogenic vapors, *Science Advances*, 4, 1–9, <https://doi.org/10.1126/sciadv.aau5363>, <http://advances.sciencemag.org/content/4/12/eaau5363>, 2018.
- Lim, Y. B. and Ziemann, P. J.: Effects of Molecular Structure on Aerosol Yields from OH Radical-Initiated Reactions of Linear, Branched, and Cyclic Alkanes in the Presence of NO<sub>x</sub>, *Environmental Science & Technology*, 43, 2328–2334, 2009.
- Madronich, S. and Calvert, J. G.: Atmospheric Chemistry of small organic peroxy radicals, *Journal of Geophysical Research Atmospheres*, 95, 5697, 1990.
- Mihelcic, D., Holland, F., Hofzumahaus, A., Hoppe, L., Konrad, S., Müsgen, P., Pätz, H.-W., Schäfer, H.-J., Schmitz, T., Volz-Thomas, A., Bächmann, K., Schlomski, S., Platt, U., Geyer, A., Alicke, B., and Moortgat, G.: Peroxy radicals during BERLIOZ at Pabstthum: Measurements, radical budgets and ozone production, *Journal of Geophysical Research: Atmospheres*, 108, <https://doi.org/10.1029/2001JD001014>, <https://agupubs.onlinelibrary.wiley.com/doi/abs/10.1029/2001JD001014>, 2003.
- Molteni, U., Simon, M., Heinrizi, M., Hoyle, C. R., Bernhammer, A.-K., Bianchi, F., Breitenlechner, M., Brilke, S., Dias, A., Duplissy, J., Frege, C., Gordon, H., Heyn, C., Jokinen, T., Kürten, A., Lehtipalo, K., Makhmutov, V., Petäjä, T., Pieber, S. M., Praplan, A. P., Schobesberger, S., Steiner, G., Stozhkov, Y., Tomé, A., Tröstl, J., Wagner, A. C., Wagner, R., Williamson, C., Yan, C., Baltensperger, U., Curtius, J., Donahue, N. M., Hansel, A., Kirkby, J., Kulmala, M., Worsnop, D. R., and Dommen, J.: Formation of highly-oxygenated organic molecules from  $\alpha$ -pinene ozonolysis: chemical characteristics, mechanism and kinetic model development, *Earth and Space Chemistry*, 3, in press, 2019.
- Ng, N. L., Brown, S. S., Archibald, A. T., Atlas, E., Cohen, R. C., Crowley, J. N., Day, D. A., Donahue, N. M., Fry, J. L., Fuchs, H., Griffin, R. J., Guzman, M. I., Herrmann, H., Hodzic, A., Iinuma, Y., Jimenez, J. L., Kiendler-Scharr, A., Lee, B. H., Luecken, D. J., Mao, J., McLaren, R., Mutzel, A., Osthoff, H. D., Ouyang, B., Picquet-Varrault, B., Platt, U., Pye, H. O. T., Rudich, Y., Schwantes, R. H., Shiraiwa, M., Stutz, J., Thornton, J. A., Tilgner, A., Williams, B. J., and Zaveri, R. A.: Nitrate radicals and biogenic volatile organic compounds:

- oxidation, mechanisms, and organic aerosol, *Atmospheric Chemistry and Physics*, 17, 2103–2162, <https://doi.org/10.5194/acp-17-2103-2017>, <http://www.atmos-chem-phys.net/17/2103/2017/>, 2017.
- Pankow, J. F. and Asher, W. E.: SIMPOL.1: A simple group contribution method for predicting vapor pressures and enthalpies of vaporization of multifunctional organic compounds, *Atmospheric Chemistry and Physics*, 7, 11 839–11 894, <http://www.atmos-chem-phys-discuss.net/7/11839/2007/>, 2007.
- Presto, A. A. and Donahue, N. M.: Ozonolysis Fragment Quenching by Nitrate Formation: The Pressure Dependence of Prompt OH Radical Formation, *Journal of Physical Chemistry A*, 108, 9096–9104, <http://pubs.acs.org/doi/abs/10.1021/jp047162s>, 2004.
- Presto, A. A. and Donahue, N. M.: Investigation of  $\alpha$ -pinene + ozone Secondary Organic Aerosol Formation at Low Total Aerosol Mass, *Environmental Science & Technology*, 40, 3536–3543, <https://doi.org/10.1021/es052203z>, <http://dx.doi.org/10.1021/es052203z>, 2006.
- 10 Rissanen, M. P., Kurtén, T., Sipilä, M., Thornton, J. A., Kangasluoma, J., Sarnela, N., Junninen, H., Jørgensen, S., Schallhart, S., Kajos, M. K., Taipale, R., Springer, M., Mentel, T. F., Ruuskanen, T., Petäjä, T., Worsnop, D. R., Kjaergaard, H. G., and Ehn, M.: The Formation of Highly Oxidized Multifunctional Products in the Ozonolysis of Cyclohexene, *Journal of the American Chemical Society*, 136, 15 596–15 606, <https://doi.org/10.1021/ja507146s>, <http://dx.doi.org/10.1021/ja507146s>, PMID: 25283472, 2014.
- Schobesberger, S., Junninen, H., Bianchi, F., Lönn, G., Ehn, M., Lehtipalo, K., Dommen, J., Ehrhart, S., Ortega, I. K., Franchin, A., Nieminen, T., Riccobono, F., Hutterli, M., Duplissy, J., Almeida, J., Amorim, A., Breitenlechner, M., Downard, A. J., Dunne, E. M., Flagan, R. C., Kajos, M., Keskinen, H., Kirkby, J., Kupc, A., Kürten, A., Kurtén, T., Laaksonen, A., Mathot, S., Onnela, A., Rondo, A. P. P. L., Santos, F. D., Schallhart, S., Schnitzhofer, R., Sipilä, M., Tomé, A., Tsagkogeorgas, G., Vehkamäki, H., Wimmer, D., Baltensperger, U., Carslaw, K. S., Curtius, J., Hansel, A., Petäjä, T., Kulmala, M., Donahue, N. M., and Worsnop, D. R.: Molecular understanding of atmospheric particle formation from sulfuric acid and large oxidized organic molecules, *Proceedings of the National Academy of Sciences*, 110, 17 223–17 228, <https://doi.org/10.1073/pnas.1306973110>, <http://www.pnas.org/cgi/doi/10.1073/pnas.1306973110>, 2013.
- 20 Stolzenburg, D., Fischer, L., Vogel, A. L., Heinritzi, M., Schervish, M., Simon, M., Wagner, A. C., Dada, L., Ahonen, L. R., Amorim, A., Baccarini, A., Bauer, P. S., Baumgartner, B., Bergen, A., Bianchi, F., Breitenlechner, M., Brilke, S., Buenrostro Mazon, S., Chen, D., Dias, A., Draper, D. C., Duplissy, J., El Haddad, I., Finkenzeller, H., Frege, C., Fuchs, C., Garmash, O., Gordon, H., He, X., Helm, J., Hofbauer, V., Hoyle, C. R., Kim, C., Kirkby, J., Kontkanen, J., Kürten, A., Lampilahti, J., Lawler, M., Lehtipalo, K., Leiminger, M., Mai, H., Mathot, S., Mentler, B., Molteni, U., Nie, W., Nieminen, T., Nowak, J. B., Ojdanic, A., Onnela, A., Passananti, M., Petäjä, T., Quéléver, L. L. J., Rissanen, M. P., Sarnela, N., Schallhart, S., Tauber, C., Tomé, A., Wagner, R., Wang, M., Weitz, L., Wimmer, D., Xiao, M., Yan, C., Ye, P., Zha, Q., Baltensperger, U., Curtius, J., Dommen, J., Flagan, R. C., Kulmala, M., Smith, J. N., Worsnop, D. R., Hansel, A., Donahue, N. M., and Winkler, P. M.: Rapid growth of organic aerosol nanoparticles over a wide tropospheric temperature range, *Proceedings of the National Academy of Sciences*, 115, 9122–9127, <https://doi.org/10.1073/pnas.1807604115>, <http://www.pnas.org/content/115/37/9122>, 2018.
- 30 Tan, Z., Rohrer, F., Lu, K., Ma, X., Bohn, B., Broch, S., Dong, H., Fuchs, H., Gkatzelis, G. I., Hofzumahaus, A., Holland, F., Li, X., Liu, Y., Liu, Y., Novelli, A., Shao, M., Wang, H., Wu, Y., Zeng, L., Hu, M., Kiendler-Scharr, A., Wahner, A., and Zhang, Y.: Wintertime photochemistry in Beijing: observations of RO<sub>x</sub> radical concentrations in the North China Plain during the BEST-ONE campaign, *Atmospheric Chemistry and Physics*, 18, 12 391–12 411, <https://doi.org/10.5194/acp-18-12391-2018>, <https://www.atmos-chem-phys.net/18/12391/2018/>, 2018.
- 35 Tröstl, J., Chuang, W. K., Heinritzi, M., Yan, C., Molteni, U., Ahlm, L., Frege, C., Bianchi, F., Wagner, R., Simon, M., Lehtipalo, K., Williamson, C., Craven, J. S., Duplissy, J., Adamov, A., Almeida, J., Bernhammer, A.-K., Breitenlechner, M., Brilke, S., Dias, A., Ehrhart, S., Flagan, R. C., Franchin, A., Fuchs, C., Gordon, H., Guida, R., Gysel, M., Hansel, A., Hoyle, C. R., Jokinen, T., Junninen, H., Kangasluoma, J., Keskinen, H., Kim, J., Krapf, M., Kürten, A., Laaksonen, A., Lawler, M., Leiminger, M., Mathot, S., Möhler, O., Nieminen,

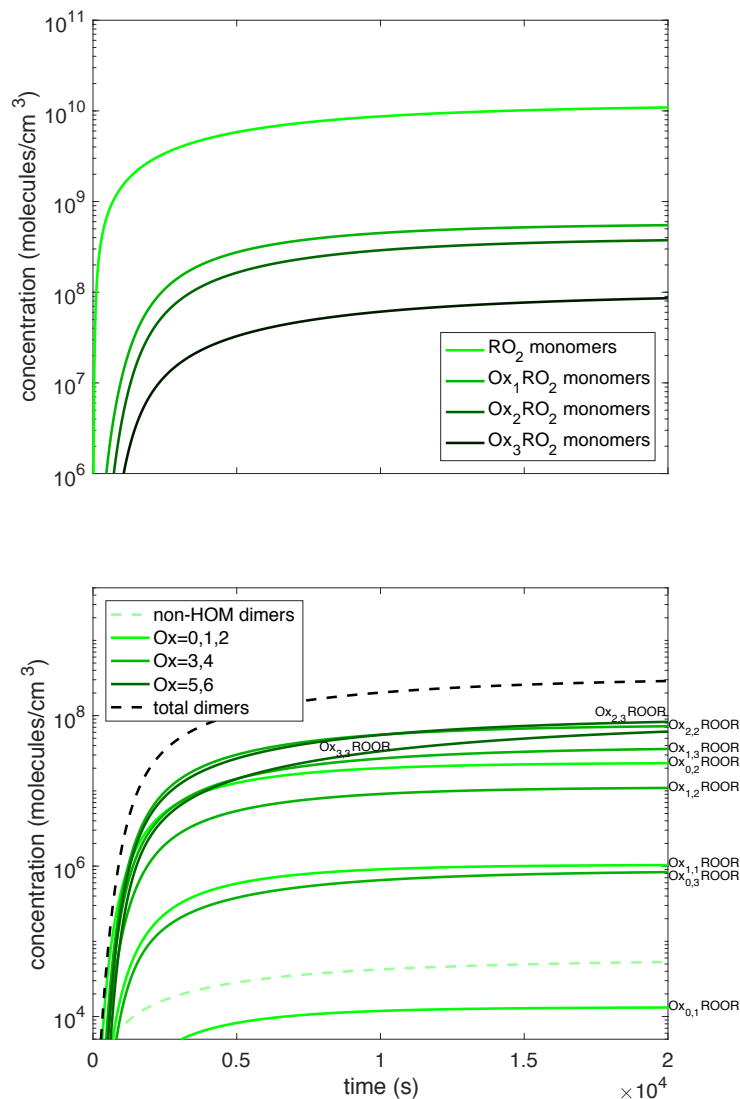
- T., Onnela, A., Petäjä, T., Piel, F. M., Miettinen, P., Rissanen, M. P., Rondo, L., Sarnela, N., Schobesberger, S., Sengupta, K., Sipilä, M., Smith, J. N., Steiner, G., Tomè, A., Virtanen, A., Wagner, A. C., Weingartner, E., Wimmer, D., Winkler, P. M., Ye, P., Carslaw, K. S., Curtius, J., Dommen, J., Kirkby, J., Kulmala, M., Riipinen, I., Worsnop, D. R., Donahue, N. M., and Baltensperger, U.: The role of low-volatility organic compounds in initial particle growth in the atmosphere, *Nature*, 530, 527–531, <https://doi.org/10.1038/nature18271>,  
5 <http://dx.doi.org/10.1038/nature18271>, 2016.
- Tyndall, G. S., Cox, R. A., Granier, C., Lesclaux, R., Moortgat, G. K., Pilling, M. J., Ravishankara, A. R., and Wallington, T. J.: Atmospheric Chemistry of Small Organic Peroxy Radicals, *J. Geophys. Res.*, 106, 12 157, 2001.
- Wallington, T. J., Daugaut, P., and Kurylo, M. J.: Ultraviolet Absorption Cross Sections and Reaction Kinetics and Mechanisms for Peroxy Radicals in the Gas Phase, *Chemical Reviews*, 92, 667–710, 1992.
- 10 Ye, P., Zhao, Y., Chuang, W. K., Robinson, A. L., and Donahue, N. M.: Secondary organic aerosol production from pinanediol, a semi-volatile surrogate for first-generation oxidation products of monoterpenes, *Atmospheric Chemistry and Physics*, 18, 6171–6186, <https://doi.org/10.5194/acp-18-6171-2018>, <https://www.atmos-chem-phys.net/18/6171/2018/>, 2018.
- Zhao, Y., Saleh, R., Saliba, G., Presto, A. A., Gordon, T. D., Drozd, G. T., Goldstein, A. H., Donahue, N. M., and Robinson, A. L.: Reducing secondary organic aerosol formation from gasoline vehicle exhaust, *Proceedings of the National Academy of Sciences*, 114, 6984–6989,  
15 <https://doi.org/10.1073/pnas.1620911114>, <http://www.pnas.org/content/114/27/6984.abstract>, 2017.



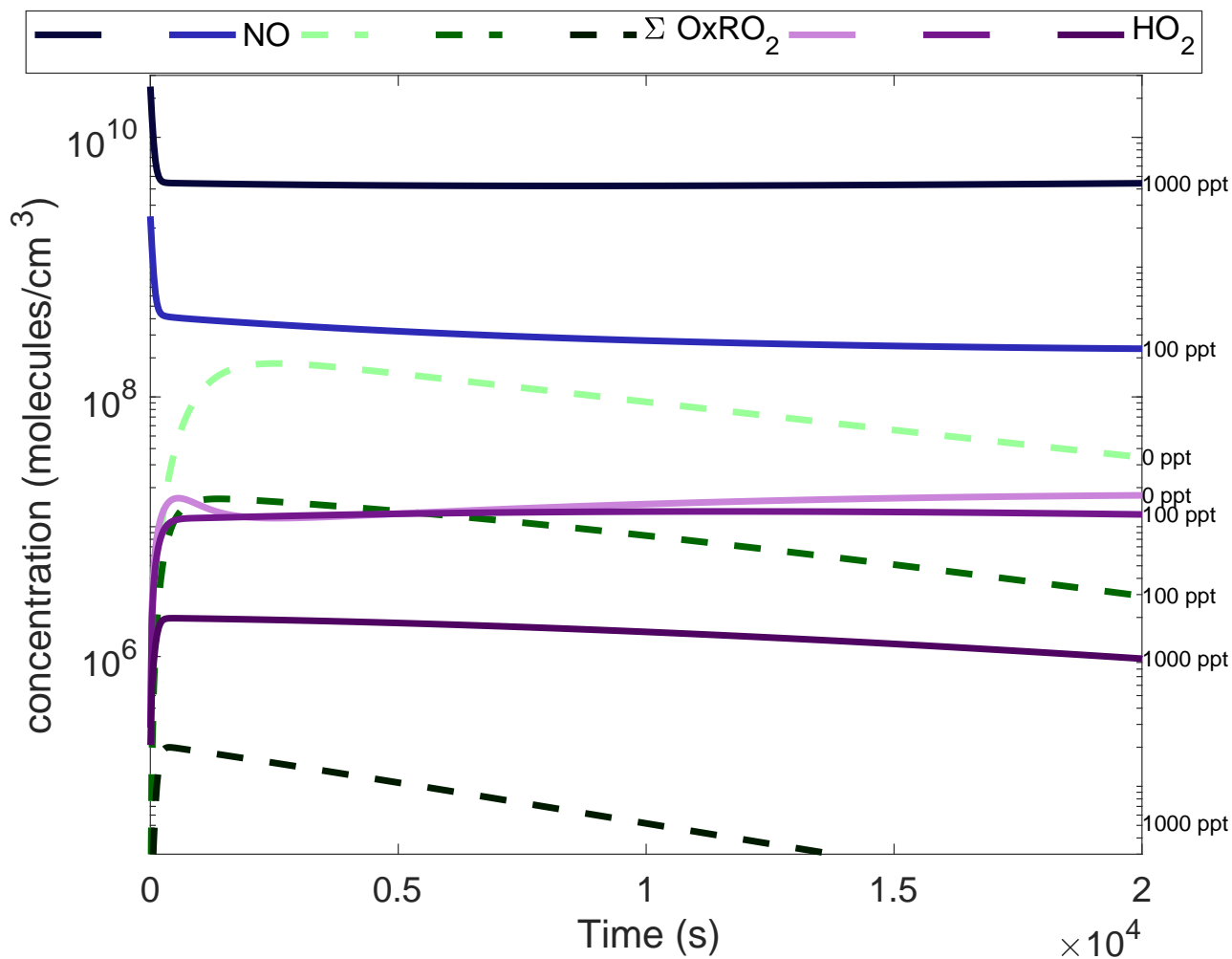
**Figure 1.** Basic  $\alpha$ -pinene oxidation and the two-dimensional volatility basis set (2D-VBS), including explicitly treated peroxy radicals ( $\text{RO}_2$ ). Axes are volatility  $\log_{10} C^\circ(300\text{K})$  and O:C. Broad colored bands are volatility classes, indicated along the top: ULVOCs are efficient nucleators.  $\alpha$ -Pinene (red, lower right) oxidizes to produce a succession of peroxy radicals, first a “traditional” radical ( $\text{RO}_2$ , in light green) and then a succession of oxidized  $\text{RO}_2$  via autoxidation ( $\text{Ox}_n\text{RO}_2$ , darker shades of green).  $\text{RO}_2$  radicals ultimately terminate into molecular products, represented here by contours depending on whether they derive from the traditional  $\text{RO}_2$  (green contours), autoxidation ( $\text{C}_{10}$  monomers, gray contours), or  $\text{Ox}_n\text{RO}_2$  dimerization ( $\text{C}_{20}$  ROOR, maroon contours).



**Figure 2.** Concentration time series of peroxy radicals ( $\text{RO}_2$ ). The peroxy radical concentrations over the course of a simulation peak early as they are produced via  $\alpha$ -pinene oxidation and decrease as  $\alpha$ -pinene decays and the  $\text{RO}_2$  react away. This simulation is run at 298 K and with no  $\text{NO}_x$  although the trends are similar across the temperatures and  $\text{NO}_x$  concentrations investigated here, with the difference being in the absolute concentrations, especially those of the more oxidized peroxy radicals.

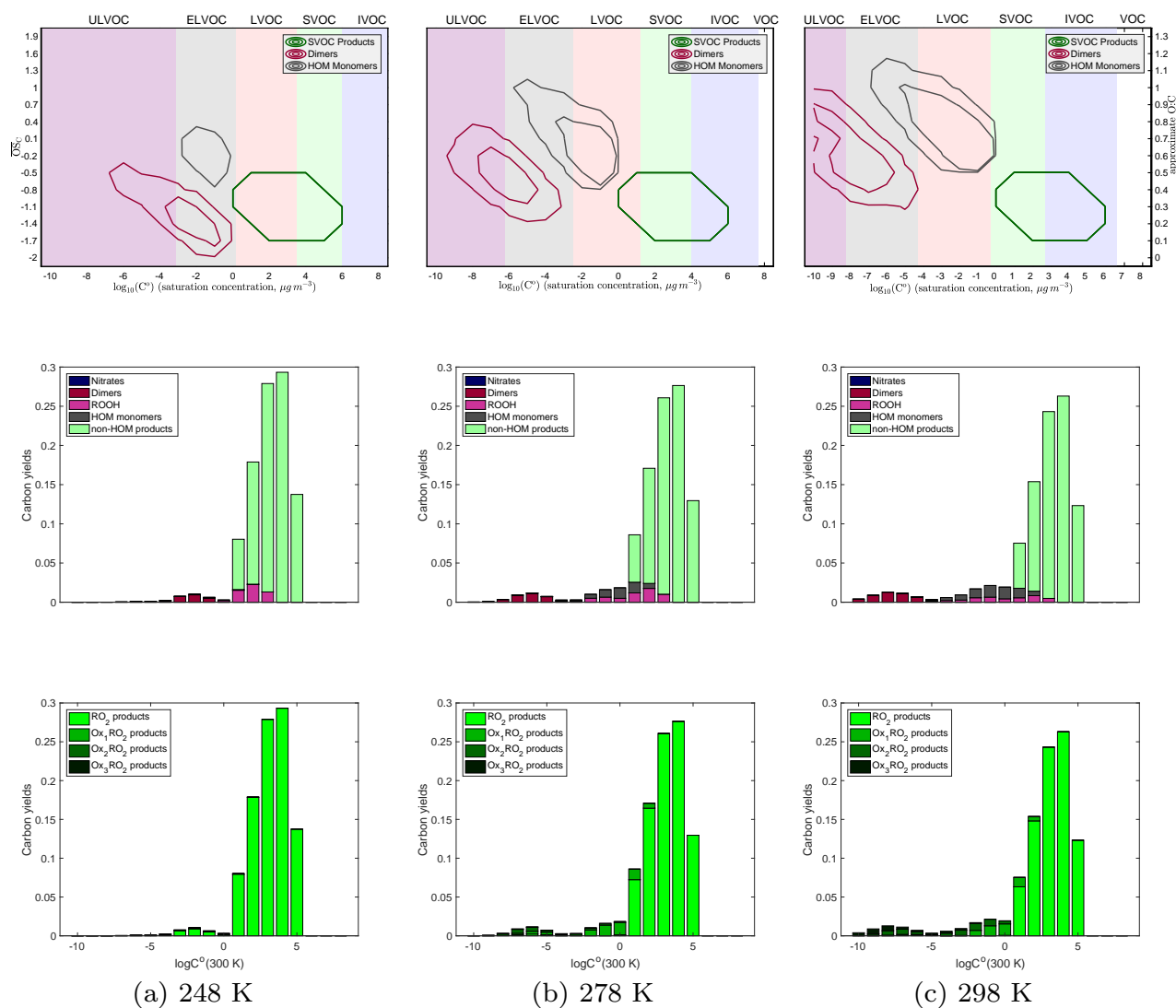


**Figure 3.** Concentration time series of monomer and dimer products. Ox<sub>*n*</sub> indicates the number of autoxidation steps. All of these products are formed via peroxy radical chemistry, but the products are still predominantly non-HOM. This trend persists for hydroperoxides and organonitrates when NO<sub>*x*</sub> is present. The dimers do not follow the same trend of forming more from the less oxidized peroxy radical as the other species do. The dimer plot is colored by the sum of how oxidized the two peroxy radicals that reacted to form the dimer are (i.e. the dimerization of an Ox<sub>1</sub>RO<sub>2</sub> and an Ox<sub>2</sub>RO<sub>2</sub> would be consider sum of Ox = 3). However, they also do not follow the exact opposite trend. This is due to the branching ratio to dimers being larger for low volatility peroxy radicals, but those peroxy radicals being less common leading to mid-range oxidized peroxy radicals forming the most dimers.

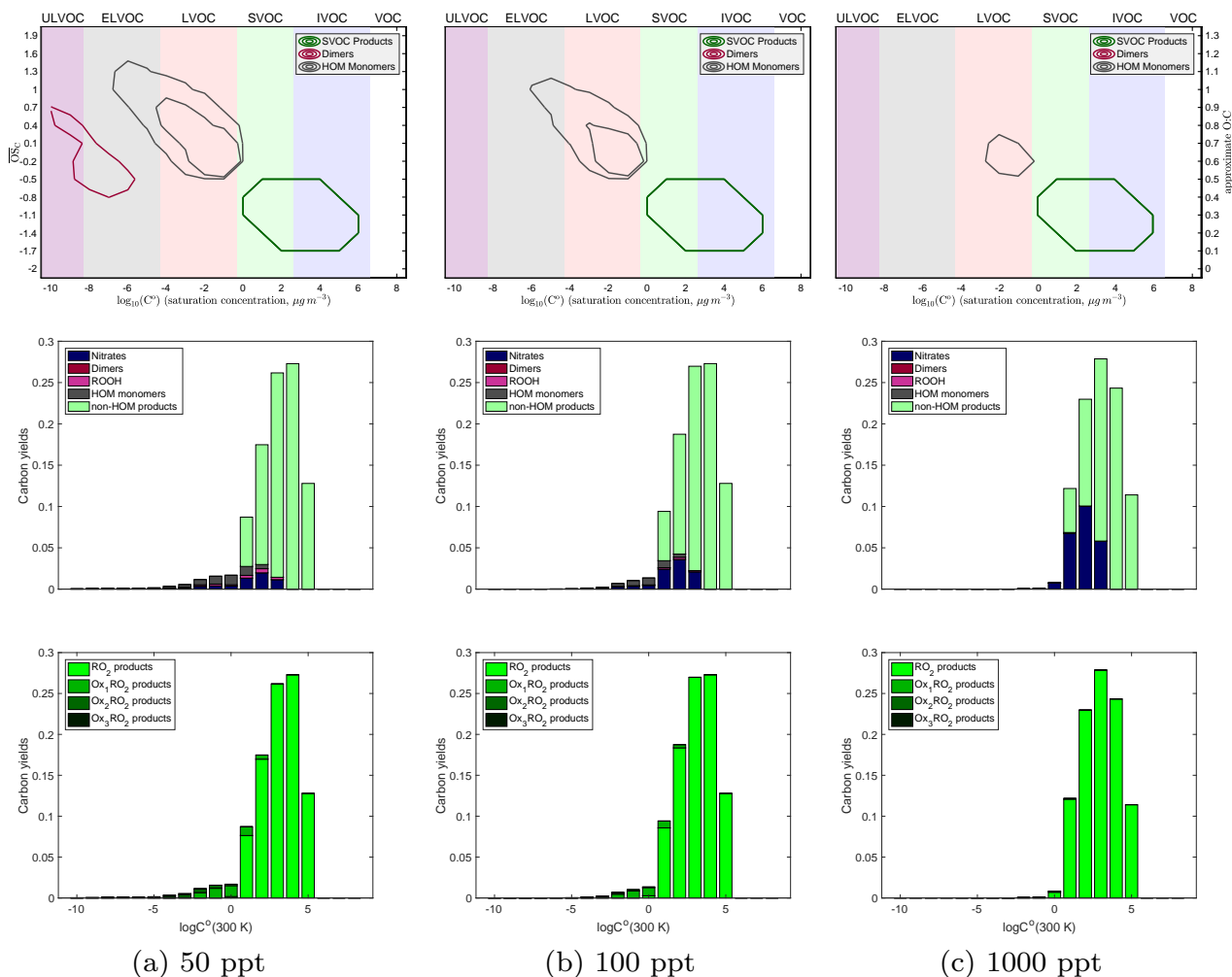


**Figure 4.** Concentration time series of HO<sub>2</sub>, NO, and oxidized RO<sub>2</sub> at various NO<sub>x</sub> levels. The numbers along the right-hand y-axis refer to the NO<sub>x</sub> concentration for each simulation, which is also indicated by the shading of each curve, going from light at low NO<sub>x</sub> to dark at high NO<sub>x</sub>. HO<sub>2</sub> remains reasonably stable near 10<sup>7</sup> molec cm<sup>-3</sup> (0.3 pptv). NO<sub>x</sub> is introduced as NO and drops to a steady state as it reacts with HO<sub>2</sub> and RO<sub>2</sub> to form NO<sub>2</sub>, which in turn photolyzes. By 1000 pptv added NO<sub>x</sub> the NO greatly exceeds the HO<sub>2</sub> and somewhat suppresses HO<sub>2</sub> concentrations. The oxidized RO<sub>2</sub> ( $\sum \text{Ox}_n \text{RO}_2$ ) reaches a peak just over 10<sup>8</sup> molec cm<sup>-3</sup> at zero NO<sub>x</sub> before gradually decaying as a function of time.

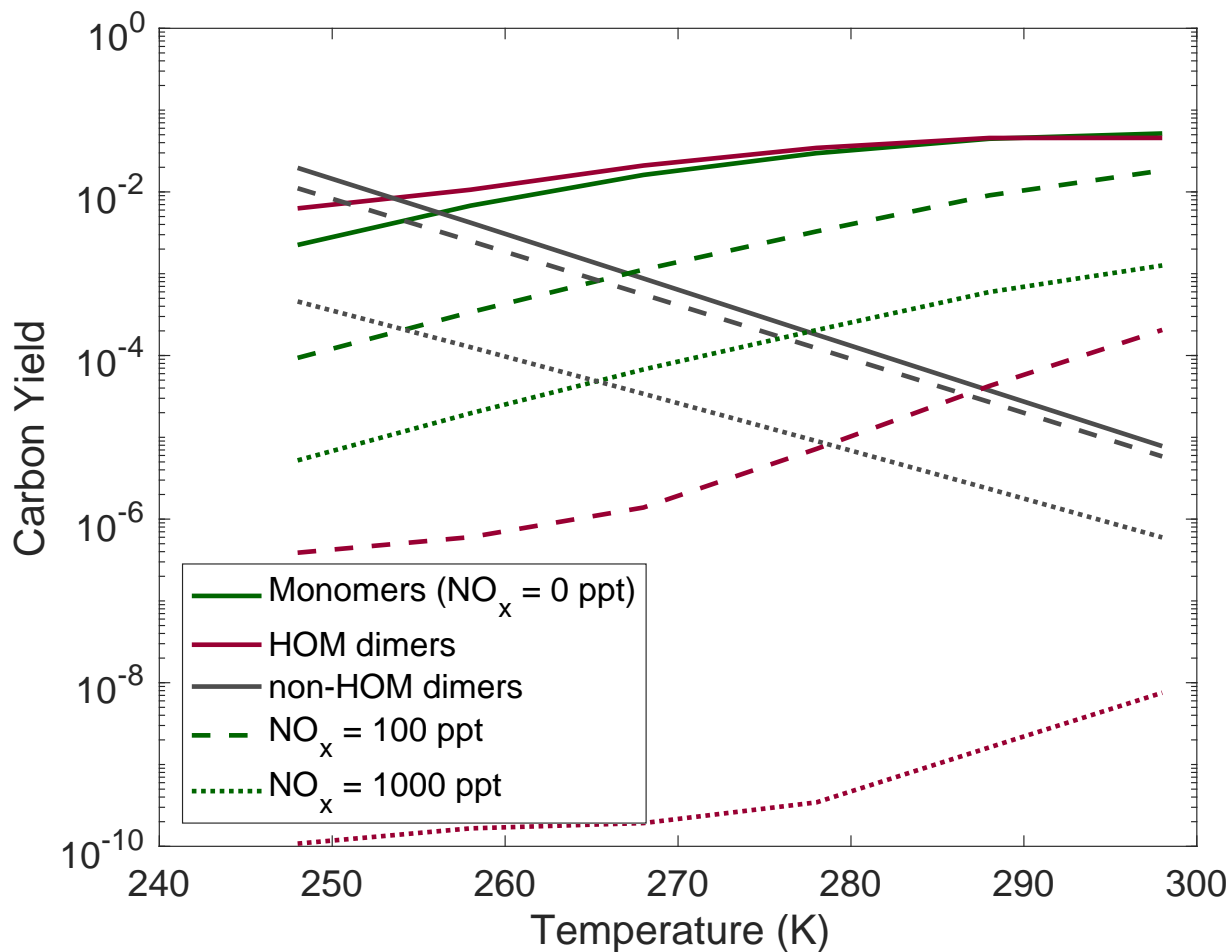




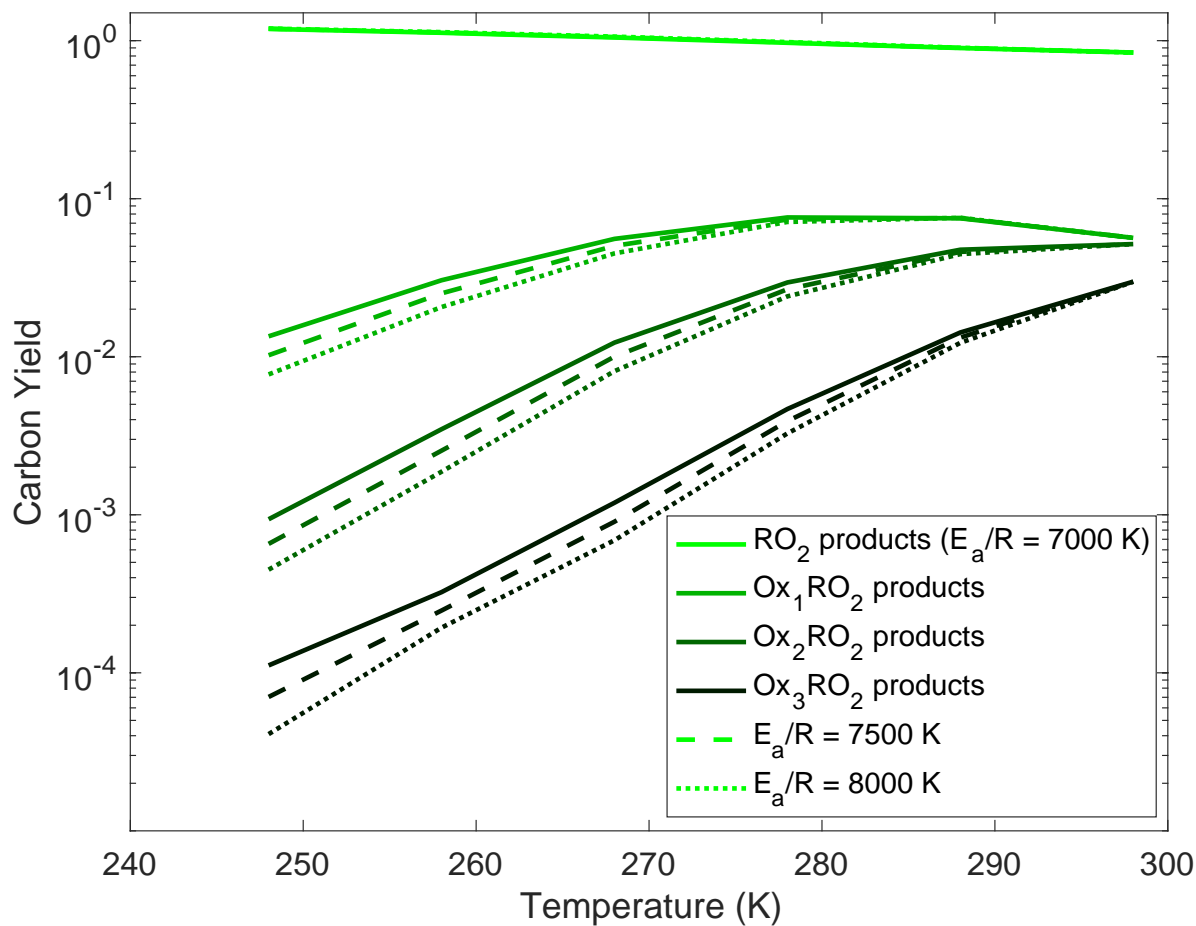
**Figure 5.** Yields of  $\alpha$ -pinene oxidation products without any  $\text{NO}_x$  present at 248 K, 278K, and 298K. As temperature decreases, in the top, contour plots the dimer products shift to higher volatilities and lower O:C, even to the point where the dimers have approximately the same  $\text{C}^*(300\text{ K})$  as the monomer products, which is supported by experimental data. In addition, the diversity of both monomer, and dimer products decreases with decreasing temperature. In the middle row of plots, where we color the yields by what type of product they are, we again see that shift of the products to higher volatilities. In addition, we see fewer dimers formed at lower temperatures. It is also of note that the formation of hydroperoxides is not a significant fraction of the yield at any temperature due to the low concentration of  $\text{HO}_2$ . The bottom plots, colored by the extent of autoxidation the products underwent before terminating, show that as we decrease temperature, the extent of autoxidation that the peroxy radicals undergo decreases. At 248 K, we see almost no products that have undergone any autoxidation.



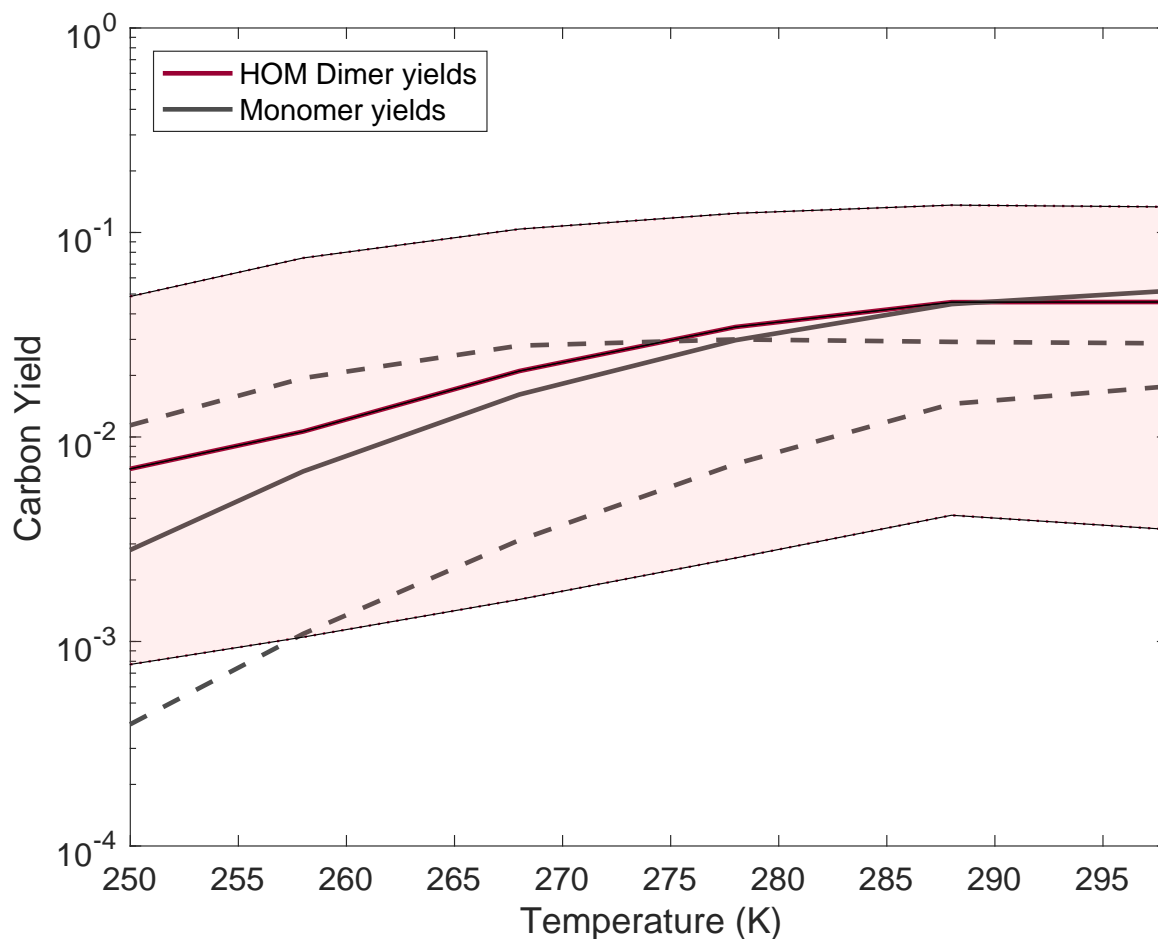
**Figure 6.** Yields of  $\alpha$ -pinene oxidation products at 298 K with 50, 100, and 1000 ppt of NO introduced into the system. There are similar trends seen in the contour plot with an increase in the volatility of both HOM monomer and dimer products as well as a decrease in the diversity of both with increasing  $\text{NO}_x$ . In the second row of plots, we see an increase in the amount of nitrates formed and the depletion of dimers formed with increasing  $\text{NO}_x$ . Similarly to the temperature trend, as we increase  $\text{NO}_x$  the products shift to higher volatilities. However, once we reach a high enough  $\text{NO}_x$  concentration to produce essentially no dimers, we still see some LVOC products that include nitrates. This is consistent with experimental evidence that  $\text{NO}_x$  suppresses nucleation, but not necessarily growth. From the last plots, we can clearly see fewer autoxidation products at higher  $\text{NO}_x$  concentrations with a nearly complete suppression of autoxidation between 500-1000 ppt of  $\text{NO}_x$ .



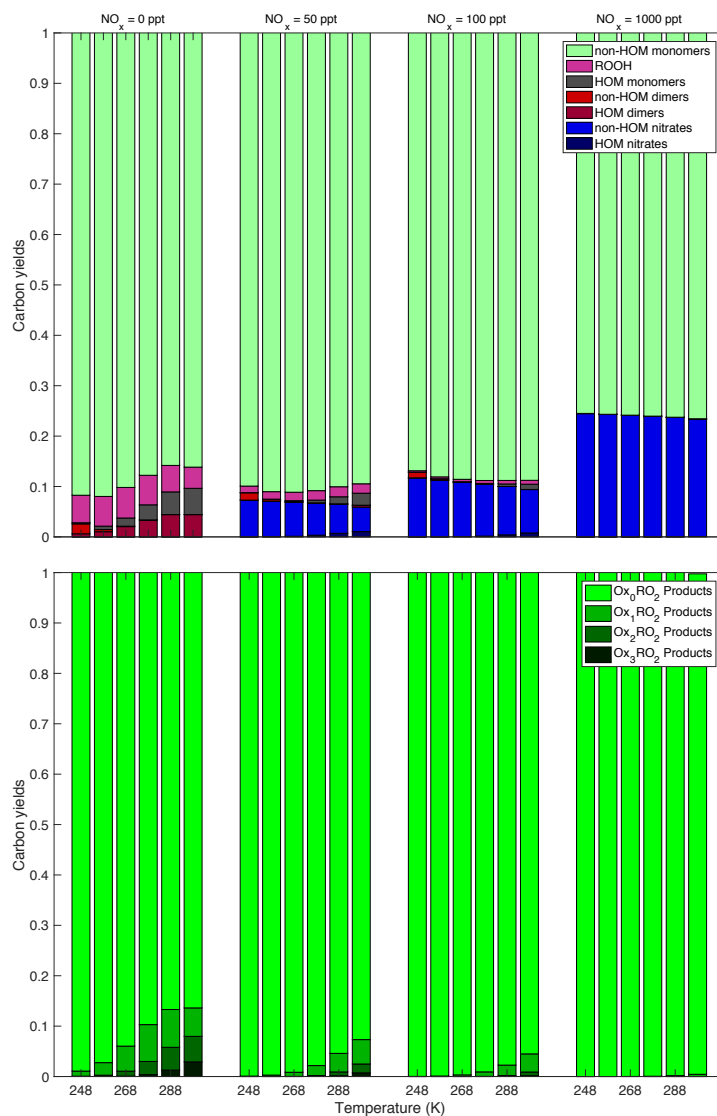
**Figure 7.** Yields at different temperatures and various  $\text{NO}_x$  levels: no  $\text{NO}_x$  present (solid curves), 100 ppt of  $\text{NO}_x$  present (dashed curves) and 1000 ppt of  $\text{NO}_x$  present (dotted curves). Very low  $\text{NO}_x$  concentrations have little effect on HOM yields, however  $\text{NO}_x$  eventually becomes competitive with HOM-producing pathways and there is a significant reduction in both dimer and HOM monomer yields accompanied by an increase in non-HOM dimers. HOM dimers are more strongly affected by suppression of autoxidation than HOM monomers.



**Figure 8.** Variation in carbon yields of the OxRO<sub>2</sub>s with temperature for different autoxidation barrier heights. Here the autoxidation rate coefficient at 298 K is fixed at 0.01 s<sup>-1</sup> and we vary the activation energy. The dashed curve shows the results for the rate coefficient in our base-case simulation. The solid curve has a lower activation energy and thus a weaker temperature dependence, while the dotted curve has a higher activation energy and thus a stronger temperature dependence. As expected autoxidation is suppressed more quickly as temperature drops when the activation energy is higher; this translates to lower HOM yields.



**Figure 9.** Changes to HOM monomer and dimer yields with an order of magnitude variation in the rate coefficient at 298 K by changing the autoxidation A factor. The rate coefficient at temperatures other than 298 K is calculated in the same manner as described above. Almost all of the yields at a particular temperature are increased relative to the traditional case when we assume the rate coefficient at 298 K is higher than the traditional case and the yields decrease at a particular temperature when we assume the rate coefficient is lower than the traditional case. The monomer yields decrease with a faster autoxidation rate constant at high temperatures because with more autoxidation, more association reactions successfully form dimers, thus reducing the monomer yields. Quantitatively, as we vary the rate coefficient of autoxidation by an order of magnitude, we are seeing a corresponding increase or decrease in the HOM product also by about an order of magnitude. The monomer yields are shown in dashed lines and show a more complicated trend with the low barrier monomer yields being higher than the “base-case” yields at lower temperatures, but lower at high temperatures.



**Figure 10.** This plot shows the synergistic effect of the NO<sub>x</sub> and temperature on the product yields. The same trend persists at every NO<sub>x</sub> concentration specifically the decrease in the amount of HOMs—both monomers and dimers with decreasing temperature. Of note is that at the highest NO<sub>x</sub> concentration shown here (1000 ppt NO added), the HOM production is close to 0 at every temperature. Thus complete suppression of HOMs occurs around this NO<sub>x</sub> concentration. This plot shows both the temperature dependence and the NO<sub>x</sub> dependence again, but colors are based on how oxidized the peroxy radical that produced the products were. The products represented by the darker colors underwent more autoxidation before terminating than those represented by the lighter colors. We can see that autoxidation is suppressed both by temperature and by NO<sub>x</sub>. Once again, we see that at a high enough NO<sub>x</sub> concentration, autoxidation is effectively suppressed at all the temperatures investigated here.