



1	Significant contribution of organics to aerosol liquid water content in
2	winter in Beijing, China
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### 26 Abstract

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The aerosol liquid water content (ALWC), an important component of atmospheric particles, has a 28 significant effect on atmospheric optical properties, visibility and multiphase chemical reactions. In 29 30 this study, ALWC is determined from aerosol hygroscopic growth factor and particle number size distribution (PNSD) measurements and also simulated by the ISORROPIA II thermodynamic model 31 with measured aerosol chemical composition data at an urban site in Beijing from 8 November to 15 32 December 2017. Rich measurements made during the experiment concerning virtually all aerosol 33 34 properties allow us not only to derive the ALWC but also to study the contributions by various species for which little has been done in this region. The simulated ALWC including the contribution of 35 36 organics and the calculated ALWC are highly correlated (coefficient of determination  $R^2 = 0.92$ ). The ALWC contributed by organics (ALWC<sub>org</sub>) accounts for 30  $\% \pm 22$  % of the total ALWC during the 37 sampling period. These results suggest a significant contribution of organics to ALWC, which is rather 38 different from previous studies that showed negligible contributions by organics. Our results also show 39 that ALWC correlates well with the mass concentrations of sulfate, nitrate, and secondary organic 40 aerosols (SOA) ( $R^2 = 0.66, 0.56, and 0.60, respectively$ ). We further noted that accumulation mode 41 particles play a key role in determining ALWC, dominating among all the aerosol modes. ALWC is an 42 exponential function of ambient relative humidity (RH) whose strong diurnal variation influence the 43 diurnal variation of ALWC. However, there is a three-hour lag between the extremes of ALWC and 44 45 RH values, due to the diurnal variations in PNSD and aerosol chemical composition. Finally, a case study reveals that ALWCorg plays an important role in the formation of secondary aerosols through 46 multiphase reactions at the initial stage of a heavy haze episode. 47

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## 51 1. Introduction

52 China has experienced rapid economic developments during the past few decades, resulting in 53 frequent heavy haze events. Severe air pollution may harm human health and affect the regional 54 climate through aerosol direct and indirect radiation effects (Li et al., 2016; G. X. Wu et al., 2016). 55 However, air pollution formation mechanisms and aerosol climate effects remain highly uncertain due 56 to the complex physical and chemical processes involved (Tao et al., 2012; Y. Wang et al., 2014).

Aerosol liquid water (ALW), a component of atmospheric particles in the atmosphere, exists 57 58 universally and plays an important role in many atmospheric physical and chemical processes (Nguyen et al., 2016). For example, ALW can influences aerosol optical properties, resulting in increased 59 extinction coefficients, lowered atmospheric visibilities, enhanced aerosol optical depths (AODs), and 60 61 changes in the direct climatic effect of aerosols (Dougle et al., 1996; Adams et al., 2001; Liao et al., 2005; Seinfeld and Pandis, 2006). Secondary aerosols (SA) are considered to be the main source of 62 particulate pollution during heavy haze events in China (Huang et al., 2014). Many studies now 63 highlight the significance of aerosol liquid water content (ALWC) in the formation of SA through 64 chemical reactions (e.g., Arellanes et al., 2006; G. Wang et al., 2016; Cheng et al., 2016). This is 65 because ALW can dilute the absolute concentration of solutes, adjust aerosol acidity, and serve as a 66 reactant, resulting in increases in trace gas (e.g., N<sub>2</sub>O<sub>5</sub> and HO<sub>2</sub>) uptake coefficients (Wahner et al., 67 1998; Bertram et al., 2009; Abbatt et al., 2012). H. Wang et al. (2017) found that the uptake coefficient 68 69 of  $N_2O_5$  can be high, which is related to high ALWC in Beijing, thereby increasing the formation of nitrates. ALW can also speed up the aqueous phase chemical reaction by serving as a reactor for the 70 transformation of SO<sub>2</sub> to sulfate (Zheng et al., 2015; G. Wang et al., 2016; Cheng et al., 2016). Some 71 studies have found that ALWC can facilitate the formation of secondary organic aerosols (SOA) 72 through aqueous-phase chemistry and photochemistry (Blando et al., 2001; Surratt et al., 2007; 73 Hennigan et al., 2008; Song et al., 2019). Furthermore, observations in Beijing have shown that 74 aqueous-phase processes play a dominant role in the additional formation of oxidized SOA (Xu et al., 75





<sup>76</sup> 2017). Overall, investigating the formation of SA and haze in North China requires an examination of

77 ALWC and its factors in this region.

However, directly measuring real-time ALWC is difficult because of technical limitations, 78 especially under high RH conditions (Kuang et al., 2018). Four indirect methods have been proposed 79 to calculate real-time ALWC: (1) the aerosol particle number size distribution (PNSD) under dry 80 conditions and ambient relative humidity (RH) conditions are first measured, then ALWC is calculated 81 as the difference between dry and ambient aerosol volumes (Stanier et al., 2004); (2) the increased 82 aerosol volume due to water uptake (i.e., ALWC) is calculated according to the measured dry PNSD, 83 84 size-dependent aerosol hygroscopicity, and ambient RH (Kitamori et al., 2009; Bian et al., 2014; Tan et al., 2017); (3) the dry and ambient aerosol volumes are first estimated using the measured aerosol 85 86 optical enhancement and Ångström exponent, then ALWC is calculated as the difference between dry and ambient aerosol volumes (Kuang et al., 2018); and (4) ALWC is simulated using thermal 87 equilibrium models such as the ISORROPIA thermodynamic model (Nenes et al., 1998), Aerosol 88 Inorganics Model models (Wexler and Clegg, 2002), the Simulating Composition of Atmospheric 89 Particles in Equilibrium model (Kim et al., 1993), and the Gibb's Free Energy Minimization model 90 (Ansari et al., 1999) with aerosol chemical composition information as input. 91

ALWC mostly depends on aerosol PNSD, chemical composition, and ambient RH. Hodas et al. 92 (2014) reported that ALWC in the Po Valley in Italy is driven by locally formed anthropogenic nitrates. 93 The implications for the lifetimes of water-soluble organic compounds and its potential influence on 94 95 SOA formation were also discussed. Another study also revealed that ALWC in Beijing was driven by secondary inorganic aerosols (SIA; Z. Wu et al., 2018). Most previous studies have focused on the 96 interaction between inorganic salts and ALWC, but the impact of organic species on ALWC been 97 ignored to our knowledge (Blando et al., 2001; Surratt et al., 2007; Hennigan et al., 2008; Carlton et 98 al., 2014). A thorough understanding of the association of ALWC with organic aerosols in the 99 atmosphere is lacking. 100





101	In this study, ALWC is calculated using the indirect method (2) and simulated using the
102	ISORROPIA II model, i.e., indirect method (4), discussed previously. The effects of inorganic aerosols,
103	organic aerosols, PNSD, and ambient RH on ALWC are then investigated separately. We demonstrate
104	the significant contribution of organics to ALWC in Beijing and provide evidence that the ALW
105	contributed by organics serves as a reactor for sulfate and SOA formation.

#### Data and measurements 106 2.

#### 2.1. Sampling site 107

The Air Pollution and Human Health (APHH) winter field campaign took place from 8 November 108 to 15 December 2016 at the Chinese Academy of Sciences' Institute of Atmospheric Physics Tower 109 Branch in Beijing. Beijing is located in the northwest part of the North China Plain, which has 110 111 experienced rapid economic developments during the last few decades. A large amount of gaseous precursors and other air pollutants are emitted in this region every year, causing serious air pollution 112 problems. The sampling site is located in the northwestern urban area of Beijing (39.97°N, 116.37°E), 113 between the north third and fourth ring roads and surrounded by restaurants. Traffic and cooking 114 emissions are thus the main pollutants at the site. Aerosols at this site can, therefore, well represent 115 anthropogenic aerosols in highly polluted areas. Sun et al. (2013) and Y. Wang et al. (2017) provide 116 more detailed descriptions of the sampling site. 117

#### 2.2. Instrumentation 118

119 Sampling instruments used during the field campaign included a scanning mobility particle sizer (SMPS) equipped with a long differential mobility analyzer (DMA; model 3081A, TSI) and a 120 condensation particle counter (CPC; model 3772, TSI). A custom-built hygroscopicity tandem 121 122 differential mobility analyzer (H-TDMA) was installed in an air-conditioned mobile container at ground level. The temperature inside the container was maintained at 20-25°C. A high-resolution 123





aerosol mass spectrometer (HR-AMS) was set up in a sampling room located on a two-story roof, about 25 m north from the container. Sampled air went through a  $PM_{2.5}$  cyclone inlet fixed on the top of the container before entering the instruments. The RH of the sampled air was dried to below 20 % by a dryer system consisting of a tube filled with silica gel and a Nafion dryer (model PD-70T-24ss, Perma Pure Inc., USA). Various meteorological parameters, including wind speed (WS), wind direction (WD), temperature (*T*), and RH, were measured from a 325-m meteorological tower located ~20 m west of the container. In this study, all times are reported in Beijing local time (UTC+8 h).

PNSDs with electrical-mobility diameters ranging from 10 to 600 nm were measured by a scanning mobility particle sizer (SMPS) at a 5-min time resolution. PNSDs were extended to diameters ranging from 0.6 to 1 µm by fitting the measured PNSDs with functions consisting of three-mode log-normal distributions (Hussein et al., 2005). Thus generated are PNSDs with a diameter range of 10 nm to 1 µm.

The H-TDMA system developed by the Guangzhou Institute of Tropical and Marine Meteorology 136 measured the size-dependent aerosol hygroscopic growth factor (GF). The H-TDMA system mainly 137 consists of four parts. The first part is a Nafion dryer to keep the RH of sampled air below 20 % and a 138 bipolar neutralizer (soft X-ray, model 3088, TSI Inc.) to equilibrate the particle charge (Wiedensohler 139 et al., 1988). Next, the sampled air passes through the first differential mobility analyzer (DMA1; 140 model 3081L, TSI Inc.) to produce mono-dispersed particles. In this study, the diameters were set to 141 40, 80, 110, 150, and 200 nm. The sampled air then went through a Nafion humidifier (model PD-70T-142 143 24ss, Perma Pure Inc., USA) used to humidify the RH of sampled air to 90 %. The last part of the H-TDMA is the second DMA (same model as the DMA1) and a water-based condensation particle 144 counter (model 3787, TSI Inc.), used to measure the number size distribution of humidified particles 145 in the five selected diameters. Y. Wang et al. (2017) provide a detailed introduction to the H-TDMA 146 147 system.

148 Size-resolved non-refractory sub-micron aerosol chemical species, including organics (Org),





149	sulfate (SO <sub>4</sub> <sup>2-</sup> ), nitrate (NO <sub>3</sub> <sup>-</sup> ), ammonium (NH <sub>4</sub> <sup>+</sup> ), and chloride (Cl <sup>-</sup> ), were measured by the HR-AMS.
150	The sampled air dried by diffusion silica gel dryers was drawn into the HR-AMS through a PM <sub>2.5</sub>
151	cyclone inlet to remove coarse particles larger than 2.5 $\mu$ m. The HR-AMS was calibrated with pure
152	ammonium nitrate following the procedures detailed in Jimenez et al. (2003). Sun et al. (2016b)
153	provide operational details about the HR-AMS.

Method 154 3.

#### 3.1. ALWC calculation based on H-TDMA measurements 155

The ALWC is calculated based on measurements of the aerosol GF and particle number size 156 distribution. Briefly, H-TDMA data are first used to derive the size-resolved particle GFs at various 157 RHs. Then ALWC is calculated as the increased aerosol volume due to hygroscopic growth attributed 158 159 to water uptake.

Chen et al. (2012) show how to calculate size-resolved particle GFs at different RHs. First, a three-160 mode log-normal distribution is applied to fit the measured PNSD to produce fitting parameters for 161 162 each mode. The hygroscopicity parameter ( $\kappa$ ) in any mode is assumed to be constant. The H-TDMAderived size-dependent  $\kappa$  can then be used to deduce the corresponding  $\kappa$  for the nucleation mode, the 163 Aitken mode, and the accumulation mode of PNSDs according to the following equation: 164

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$$\kappa(D_P) = \frac{\sum_{i=1}^{3} \kappa_i \cdot N_i(D_P)}{\sum_{i=1}^{3} N_i(D_P)},$$
 (1)

where  $\kappa_i$  refers to the  $\kappa$  of the *i*th mode, and  $N_i(D_p)$  refers to the number concentration of particles in 166 the *i*th mode. According to *k*-Köhler theory (Petters and Kreidenweis, 2007), *k* at a certain diameter 167 168  $(D_d)$  can be calculated as

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$$\kappa(D_{\rm d}) = (\mathrm{GF}^3 - 1) \cdot \left[\frac{1}{\mathrm{RH}} \exp\left(\frac{4\sigma_{\rm s/a}M_{\rm w}}{RT\rho_{\rm w}D_{\rm d}\mathrm{GF}}\right) - 1\right], \tag{2}$$

where RH is the control value by the humidifier in the H-TDMA system, T is the mean room 170 temperature of the container set to 293 K,  $\sigma_{s/a}$  is the surface tension of the solution/air interface assumed 171



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to be the same as the surface tension coefficient between water and air (about 0.0728 N m<sup>-1</sup> at 293 K),  $M_w$  is the molecular weight of water, R is the universal gas constant,  $\rho_w$  is the density of water, and  $D_d$ is the diameter of the dry particles. The GF at a given RH is defined as the ratio of the humidified diameter [ $D_p$  (RH)] to  $D_d$ :

 $GF = D_{p}(RH)/D_{d} .$ (3)

The known  $\kappa$  of each mode derives the size-resolved  $\kappa$  at 90 % RH using Eq. (1). Substituting the sizeresolved  $\kappa$  into Eq. (2) results in size-resolved GFs at various RHs. Finally, the volume of ALWC at ambient RH is equal to the increased aerosol volume due to water uptake, i.e., ALWC can be calculated as

181 
$$ALWC_{HTDMA} = \left[\frac{1}{6}\sum_{i} n_{i} D_{p,i}^{3} \left(GF\left(D_{p,i}, RH\right)^{3} - 1\right)\right] \cdot \rho_{w} , \qquad (4)$$

where  $n_i$  refers to the particle number concentration of dry particles for the corresponding particle size range in the *i*th mode, and  $D_{p,i}$  refers to the particle diameter for the corresponding particle size range.

## 184 **3.2.** ALWC simulations based on the ISORROPIA II model

The thermodynamic equilibrium model ISORROPIA II developed by Fountoukis and Nenes (2007) using aerosol chemical composition information from the HR-AMS can simulate ALWC (ALWC<sub>ISO</sub>). However, the ISORROPIA II model only considers the contribution of inorganic species (Ca<sup>2+</sup>, K<sup>+</sup>, Mg<sup>2+</sup>, NH<sup>+</sup>, Na<sup>+</sup>, SO<sub>4</sub><sup>2-</sup>, NO<sub>3</sub><sup>-</sup>, Cl<sup>-</sup> and H<sub>2</sub>O) on ALWC and neglects the contribution of organics. In this study, the phase state was assumed to be stable in the model calculation, and the model was set up to reverse mode due to the lack of measurements of gaseous ammonia.

According to the model assumptions that the aerosol curvature effect in Köhler theory is ignored, and the aerosol water uptake has no effect on ambient vapor pressure, the water activity  $(a_w)$  defined as the effective mole fraction of water is equal to the ambient RH in this model (Seinfeld and Pandis, 2006):

$$a_w = RH \tag{5}$$





## 196 The ALWC can be calculated using the Zdanovskii–Stokes–Robinson (ZSR) mixing rule (Stokes and

## 197 Robinson, 1966),

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$$ALWC_{ISO} = \sum_{i} \frac{M_i}{m_{0i}(a_w)},$$
(6)

where  $M_i$  is the mole concentration of the *i*th species (mol m<sup>-3</sup> in air), and  $m_{0i}$  ( $a_w$ ) is the corresponding molality of the binary solution of the *i*th species under the same  $a_w$  with complex solution. Finally, with measured ambient RH and *T* values as input ALWC<sub>ISO</sub> values under different RH and *T* conditions can be derived.

## 203 **3.3.** Inferring the contribution of organics to ALWC

According to the  $\kappa$ -Köhler theory and the ZSR mixing rule,  $\kappa$  can also be expressed as the sum of the contributions of each aerosol component:

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$$\kappa = \sum_{i} \varepsilon_{i} \kappa_{i} , \qquad (7)$$

where  $\varepsilon_i$  and  $\kappa_i$  are the volume fraction and hygroscopicity of the *i*th species, respectively. Submicron aerosols mainly consist of organic and inorganic species (Carbone et al., 2013; Zieger et al., 2017). As mentioned in section 2.2, the HR-AMS measures the mass concentrations of organics and inorganics, including SO<sub>4</sub><sup>2-</sup>, NO<sub>3</sub><sup>-</sup>, NH<sub>4</sub><sup>+</sup>, and Cl<sup>-</sup>. The volume fraction of inorganic species can be calculated based on the ion-pairing scheme given by the following equations (Gysel et al., 2007):

212  $n_{\rm NH_4NO_3} = n_{\rm NO_3^-},$ 

213 
$$n_{\rm NH_4HSO_4} = \min(2n_{\rm SO_4^{2-}} - n_{\rm NH_4^+} + n_{\rm NO_3^-}, n_{\rm NH_4^+} - n_{\rm NO_3^-}),$$

214 
$$n_{(\rm NH_4)_2SO_4} = \max(n_{\rm NH_4^+} - n_{\rm NO_3^-} - n_{\rm SO_4^{2-}}, 0),$$

215 
$$n_{H_2SO_4} = \max(0, n_{SO_4^{2^-}} - n_{NH_4^+} + n_{NO_3^-}),$$

216 
$$n_{\rm HNO_3} = 0,$$
 (8)

217 where *n* represents the mole numbers, and "min" and "max" are minimum and maximum values,





respectively. The  $\kappa$  values of the inorganic species sulfuric acid, ammonium sulfate, ammonium hydrogen sulfate, and ammonium nitrate are 1.19, 0.48, 0.56, and 0.58, respectively (Topping et al., 2005; Petters and Kreidenweis, 2007). So the ZSR model can be used to estimate the contribution of inorganic species to the  $\kappa$  value. The hygroscopicity parameter of organics ( $\kappa_{Org}$ ) can be calculated using the volume fraction of organics and the total  $\kappa$  value derived from the H-TDMA, according to Eq. (7). Finally, the ALWC contributed by organic species (ALWC<sub>Org</sub>) can be calculated as (Petters and Kreidenweis, 2007)

ALWC<sub>org</sub> = 
$$\frac{m_{Org}\rho_W}{\rho_{Org}}\frac{\kappa_{Org}}{\left(\frac{1}{RH}-1\right)}$$
, (9)

where  $m_{\text{Org}}$  is the organic mass concentration from the AMS (Xu et al., 2015), and  $\rho_{\text{Org}}$  is the density of organics, taken as 1.4 g cm<sup>-3</sup> (Moore et al., 2011; Lathem et al., 2013; Cerully et al., 2014).

## 228 4. Results and discussion

### 229 4.1. Comparison of calculated and simulated ALWC

The trends in ALWC calculated based on the hygroscopic growth factor and PNSD 230 (ALWC<sub>HTDMA</sub>) and simulated from ISOPPOPIA II model (ALWC<sub>ISO</sub>) are generally consistent (Fig. 231 S1). However, the difference between ALWC<sub>HTDMA</sub> and ALWC<sub>ISO</sub> is large at low RH, especially at 232 233 ultra-low RH (< 30 %). Figure 1a shows that ALWC<sub>HTDMA</sub> and ALWC<sub>ISO</sub> agree well and that their coefficient of determination ( $R^2$ ) is 0.89. The correlation is especially strong for RH over 90 %. 234 235 However, for RH below 60 %, ALWCISO is less than ALWCHTDMA and even close to 0 in some cases. 236 Bian et al. (2014) and Tan et al. (2017) observed a similar phenomenon in northern and southern China. There are two possible explanations for these results. H-TDMA samples were humidified to 90 % RH 237 during the field campaign, thereby leading to the neglect of the deliquescence process in the 238 ALWC<sub>HTDMA</sub> calculation. This may lead to overestimation of ALWC<sub>HTDMA</sub> for RH below the 239 deliquescence relative humidity (DRH). Second, the ISORROPIA II model ignores the effect of 240 241 aerosol shape and complex organic species on the DRH. Previous studies have suggested that the





particle spherical assumption and simplified aerosol chemical species in this model can overestimate 242 the DRH (Seinfeld and Pandis, 2006; Sjogren et al., 2007). So for RH below the simulated DRH 243 (~60 %), particles may still be dry in the ISORROPIA II model, but may have been hydrated in the 244 real atmosphere. Therefore, this model underestimates ALWC. The ambient aerosol deliquescent 245 phenomenon is rare in the North China Plain (Kuang et al., 2016). In addition, the ISORROPIA II 246 model cannot simulate water uptake by organics, which can lead to some bias between simulated and 247 calculated ALWCs. As described in section 3.3, ALWCorg can be inferred and used to discuss 248 differences between ALWCISO and ALWCHTDMA. Figure 1b shows that adding ALWCOrg to ALWCISO 249 leads to a stronger correlation with ALWC<sub>HTDMA</sub> ( $R^2 = 0.92$ ). The correlation improves significantly 250 for RH below 60 %. This demonstrates that (1) organic species contribute significantly to ALWC, and 251 252 (2) the underestimation of ALWC by the ISORROPIA II model is also related to the neglect of organic 253 species in the model.

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## 255 4.2. Impact of different factors on ALWC

## 256 4.2.1. Impact of aerosol chemical species on ALWC

Figure 2 shows the characteristics of seven heavy pollution events selected for examination. 257 Figures 2a and 2c display the time series of WS, WD, and ambient RH. The prevailing wind during 258 259 the haze episodes was a weak southerly wind that was favorable for bringing in pollutants from the highly populated and industrialized neighboring regions to the sampling site. This is beneficial to the 260 261 formation and accumulation of SA (T. Wang et al., 2010; Y. Wang et al., 2017). However, the prevailing 262 winds during the clean events were strong northerly winds that always carried in a clean air mass, resulting in pollutants being quickly removed (Figure 2c). Note that the PM<sub>1</sub> mass concentration 263 decreases somewhat in the evening during haze episodes, following the short-term change of WD from 264 265 southerly to northerly. This is related to mountain-valley breezes in Beijing (Wehner et al., 2008; Gao





et al., 2011; Y. Wang et al., 2017). These results demonstrate that heavy haze episodes have a strong

correlation with local wind direction in Beijing.

Figures 2a and 2d show the time series of ambient RH and mass concentrations of aerosol chemical 268 species in PM<sub>1</sub>. These figures suggest that the increase in inorganic and organic aerosols is 269 synchronous with the increase in ambient RH during the heavy pollution periods (P1-P7). This is likely 270 because of a positive feedback mechanism driven by Henry's law and thermodynamic equilibrium (Z. 271 Wu et al., 2018). Figure 2b also shows that ALWC continuously increases during the pollution 272 accumulation period. On average, ALWC increases from 8 to 89 µg m<sup>-3</sup> as ambient RH increases from 273 274 15 to 80 %, and the inorganic and organic aerosol mass concentrations increase from 15 to 120 µg m<sup>-</sup>  $^{3}$  and from 12 to 78 µg m<sup>-3</sup>, respectively. These results imply that the increase in ambient RH and 275 276 aerosol mass concentration are all important for the increase in ALWC.

277 Equation (4) also suggests that the absolute value of ALWC is dependent on the value of ambient RH and aerosol chemical composition (i.e., the GF value). To further investigate the impact of 278 chemical composition on ALWC, the impact of RH on ALWC should be accounted for. Previous 279 studies suggest there is an exponential relationship between ALWC and RH (e.g., Z. Wu et al., 2018). 280 Here, we define the relative ALWC as the ratio of ALWC<sub>HTDMA</sub> and the function of ambient RH ( $e^{bRH}$ ). 281 Figure 3a shows the relationship between relative ALWC and primary aerosols (PA) or SA mass 282 concentrations. PA consists of primary organic aerosols (POA) and black carbon (BC), and SA consists 283 of SOA, sulfate, and nitrate. The relative ALWC is highly correlated with SA mass concentrations ( $R^2$ 284 = 0.94) but poorly correlated with PA mass concentrations ( $R^2 = 0.69$ ). High relative ALWCs 285 coincident with high SA mass concentrations suggest that SA plays a key role in the increase in ALWC. 286 This is likely because SA is mainly generated from photochemical reactions in the daytime or reactions 287 at night, making SA highly aged with a hygroscopicity stronger than that of PA (Ervens et al., 2011; 288 Sareen et al., 2017). SA can, therefore, absorb more water vapor than PA in the atmosphere. The 289 enhanced aerosol liquid water induced by SA is further favorable for the formation of SA by speeding 290





up the atmospheric chemical reaction rate and serving as the medium for gas-particle heterogeneous reactions (G. Wang et al., 2016; Cheng et al., 2016). This further increases the bulk aerosol hygroscopicity. This is also the reason why inferred  $\kappa$  based on the ZSR model continuously increases during haze episodes (Figure 2c).

Secondary aerosols are mainly composed of nitrate, sulfate, and SOA. To determine which species 295 is the driver for ALWC in Beijing, Figure 3b shows the correlation analysis between relative ALWC 296 and the mass concentrations of different aerosol chemical species. Relative ALWC and all SIA agree 297 well  $[R^2$  equal to 0.66 (sulfate) and 0.56 (nitrate)]. It has been reported that ALWC is driven by 298 299 inorganic salts with both nitrate and sulfate playing key roles in determining ALWC (Z. Wu et al., 2018). ALWC also agrees well with SOA ( $R^2 = 0.60$ ) in our study. This is unexpected because the 300 301 hygroscopicity of SOA is relatively lower than that of nitrate and sulfate. Some studies have also suggested that the water uptake of aged organics accounts for only a few percent of the total aerosol 302 water uptake (e.g., Gysel et al., 2007; Engelhart et al., 2011). In our study, the contribution of ALWCorg 303 to total ALWC is significant, accounting for 30  $\% \pm 22$  %. Studies of ALWC in Beijing, therefore, 304 cannot neglect ALWCorg. This is different from the studies in other regions such as in the Po Valley in 305 Italy (Hodas et al., 2014) and the eastern U.S. (Carlton et al., 2013) where the ALWC was found to be 306 only driven by nitrate and sulfate respectively. 307

An interesting phenomenon is frequently observed at the initial stage of heavy haze episodes (e.g., 308 P4, P5, P6, and P7). ALWCISO is almost close to 0, but both ALWCHTDMA and ALWCOrg are always 309 310 larger than 0, and the organic aerosol mass fraction is high at this stage. These observations reveal that at the initial stage of heavy haze episodes, the ALWC is mostly contributed by organic species. 311 Meanwhile,  $\kappa$  is not very low and increases markedly as the PM<sub>1</sub> mass concentration increases, which 312 is unexpected because of the lower hygroscopicity of organic aerosols compared to SIA. Therefore, 313 some highly hygroscopic substance (i.e., SA) must be generated through multiphase chemical reaction 314 at this stage. We propose that the liquid water contributed by organic species provides a reactor for the 315





transformation of gaseous precursors to SA at the initial stages of heavy haze episodes, increasing the

- 317 uptake of more liquid water by more SA and further accelerating the formation of heavy haze. Section
- 318 4.3 provides a case study to demonstrate this.

## 319 4.2.2. Impact of PNSD on ALWC

In addition to aerosol chemical composition, ALWC also depends on PNSD (Bian et al., 2014). As described in section 3.1, the nucleation mode (< 30 nm), the Aitken mode (30–110 nm), and the accumulation mode (110 nm to1  $\mu$ m) (Whitby, 1978; Birmili et al., 2001) are considered in this study. Particles with diameters greater than 1  $\mu$ m are not considered because most of these large particles are composed of hydrophobic species (e.g., dust or mineral substances) that contribute little to ALWC (Hussein et al., 2004; S. Liu et al., 2008; Bian et al., 2014; Tan et al., 2017).

326 Figure S2 in the supplement shows that the contributions of nucleation mode, Aitken mode, and accumulation mode particles to ALWC are < 1.0 %, 18.0 %, and 82.0 %, respectively. Figure 4 shows 327 the correlations between ALWC<sub>HTDMA</sub> and the volume concentrations of different mode particles, and 328 the average contribution of different mode particles to ALWC<sub>HTDMA</sub> (f<sub>ALWC</sub>) under five different RH 329 conditions. The  $R^2$  and  $f_{ALWC}$  of the nucleation mode particles (left column in Figure 4) are all less than 330 0.1 and 1 %, respectively, under all RH conditions. This is likely because the volume concentration of 331 332 nucleation mode particles is very low, and most of these small particles are composed of hydrophobic chemical species such as BC and POA. Similarly, the number concentration of Aitken mode particles 333 also shows weak correlations with ALWC<sub>HTDMA</sub> ( $R^2 < 0.2$ ) under RH < 90 % conditions, but their 334 correlation ( $R^2 = 0.25$ ) is enhanced significantly under RH > 90 % conditions (middle column of Figure 335 4). This is because there are more aged particles in the Aitken mode which can absorb much more 336 water when the ambient RH is higher than 90 %. However, the contribution of the Aitken mode to 337 ALWC ranges from 14 % to 21 % and decreases as RH increases. ALWC<sub>HTDMA</sub> is strongly correlated 338 to the volume concentration of accumulation mode particles, with  $R^2$  and  $f_{ALWC}$  greater than 0.6 and 339 75 %, respectively, under all RH conditions (right column of Figure 4). Figure 4 also shows that ALWC 340





increases slightly as the volume concentration of accumulation mode particles increases under RH <70 % conditions (slope < 0.001), but increases strongly under higher RH conditions, especially under RH > 90 % conditions (slope = 0.0041). This is likely because there are more accumulation mode SA formed due to multiphase chemical reactions under high ambient RH conditions. Swietlicki et al. (1999) have suggested that the contribution of accumulation mode particles to ALWC is largest for allmode particles.

In summary, the contribution of nucleation mode particles to ALWC is very low. The contribution of Aitken mode particles is much higher than nucleation mode particles and decreases with increasing ambient RH. The contribution of accumulation mode particles to ALWC is largest under all RH conditions and increases with increasing ambient RH, thus playing a key role in determining ALWC.

## 351 4.2.3. Impact of RH on ALWC

352 As discussed in 4.2.1, the absolute value of ALWC has an exponential relationship with ambient RH. Figure 5 shows the relationship between ALWC and RH for different PM<sub>1</sub> mass concentration 353 ranges. ALWC increases slowly as RH increases under lower ambient RH conditions then sharply 354 increases when RH exceeds a critical RH value. This critical RH value is different for different PM1 355 mass concentrations. This is because the low RH conditions cannot provide enough water for aerosol 356 particles, even though the PNSD is dominated by accumulation mode particles with higher 357 hygroscopicity (Tan et al., 2016). This demonstrates the important influence of RH on ALWC. The 358 lower critical RH value for higher  $PM_1$  mass concentrations (~80 %) suggests that ALWC is easily 359 formed under heavily polluted conditions. This is likely because there are more SA and accumulation 360 mode particles during pollution periods (Sun et al., 2016a; Y. Wang et al., 2017). 361

Figure 6a shows the diurnal variations of ALWC<sub>HTDMA</sub> and ambient RH during the sampling period. The extreme ALWC<sub>HTDMA</sub> values appear at night and during the day respectively, likely related to the diurnal variations of ambient RH. The elevated ambient RH at night not only increases ALWC through water uptake of particles directly, but also facilitates the formation of hydrophilic particulate





nitrate through the speeding up of the uptake coefficient of  $N_2O_5$  (Thornton et al., 2003; Bertram et 366 al., 2009). This can further enhance ALWC. However, although the diurnal variations of ALWC<sub>HTDMA</sub> 367 and ambient RH are similar, the peak and nadir of ALWC<sub>HTDMA</sub> (0300 LT and 1100 LT, respectively) 368 appear three hours earlier than the peak and nadir of ambient RH (0600 LT and 1400 LT, respectively). 369 This time difference is likely related to changes in PNSD. The diurnal variation of PNSD (Figure 6b) 370 shows that the number concentrations of Aitken and accumulation mode particles begin to decrease 371 quickly at 0300 LT. ALWC also begins to decrease, although the ambient RH increases slightly at that 372 time. In the morning, ALWC decreases sharply following the ambient RH and PNSD changes due to 373 374 the lifting planetary boundary layer height. ALWC decreases to its minimum value at ~1100 LT then begins to increase quickly. However, ambient RH still decreases at that time and reaches its minimum 375 376 value at ~1400 LT. The increase in ALWC is likely associated with changes in aerosol chemical species 377 and PNSD. Figure 6b and 6c show that there are many newly formed Aitken and accumulation mode particles and that the fraction of SA increases at noon, likely because of strong photochemical 378 reactions. Y. Wang et al. (2017, 2018) have suggested that daytime photochemical reactions are 379 efficient enough to enhance aerosol hygroscopicity and change the aerosol mixing state from external 380 to internal in North China through the formation of hydrophilic chemical species. All this suggests that 381 ambient RH is not the only determining factor for ALWC. PNSD and aerosol chemical composition 382 are also important for ALWC. 383

# 384 4.3. A case study of the impact of ALWC<sub>Org</sub> on SA formation

As discussed in section 4.2.1, a hypothesis is proposed that ALWC<sub>0rg</sub> maybe provide a reactor for the formation of secondary species. To verify this hypothesis, the P4 case shown in Figure2 is selected to further analyze the influence of ALWC<sub>0rg</sub> on the formation of secondary aerosols (Figure 7). Figure 7a shows the time series of ALWC<sub>HTDMA</sub>, ALWC<sub>ISO</sub>, and ALWC<sub>0rg</sub> during this case. On 27 November 2017, ALWC<sub>ISO</sub> was close to 0 all day long because of the low ambient RH, but both ALWC<sub>HTDMA</sub> and ALWC<sub>0rg</sub> were always larger than 0, increasing with increasing PM<sub>1</sub> mass concentration (Figure 7a).





Figure 7a also shows that the fraction of ALWCorg in ALWCHTDMA was high at the initial stage of this 391 pollution case, but this fraction decreased as haze increased. This case was further divided into three 392 periods (Figure 7b). Organics were the most abundant chemical species during the first period (64 %), 393 which explains the high fraction of ALWC<sub>Org</sub> in ALWC<sub>HTDMA</sub> at the initial stage of this haze case. The 394 pie charts in Figure 7b also show that both SOA and SIA (sulfate, nitrate, and ammonium) increases 395 from the first to third periods but POA decreases, likely related to multiphase reactions (i.e., aqueous-396 phase reactions) due to the enhanced ALWC. Time series of f44 and the fraction of sulfate in total sulfur 397  $(F_{SO4}^{2-})$  are also shown to further illustrate the influence of aqueous-phase reactions on aerosol 398 chemical species. The m/z 44 signal intensity  $f_{44}$  (mostly contributed by the CO<sub>2</sub><sup>+</sup> ion) measured by the 399 AMS can be used as an indicator of the oxidation level in organic species (Mei et al., 2013). The sulfur 400 401 oxidation ratio  $F_{SO4}^{2-}$  (Sun et al., 2006) is defined as

$$F_{SO_4^{2-}} = \frac{n[SO_4^{2-}]}{n[SO_4^{2-}] + n[SO_2]},$$
(10)

where  $n[SO_4^{2-}]$  and  $n[SO_2]$  refer to the molar concentrations of SO<sub>4</sub><sup>2-</sup> and SO<sub>2</sub>, respectively. Figure 7b 403 suggests that  $f_{44}$  and  $F_{SO4}^{2-}$  both increase gradually with increasing ALWC<sub>HTDMA</sub> and PM<sub>1</sub> mass 404 concentration from 27 November to 30 November 2017. This is likely because the increase in ALWC 405 is beneficial to the oxidation of organics and the transformation of SO<sub>2</sub> to  $SO_4^{2-}$ , implying the 406 importance of aqueous-phase chemical reaction on haze formation in Beijing. The production of 407 secondary organic and inorganic species can further enhance aerosol hygroscopicity, increasing ALWC 408 in the atmosphere. This positive feedback is the reason behind the rapid formation of heavy haze events 409 in Beijing (G. Wang et al., 2016). A rapid increase in  $f_{44}$  and  $F_{SO4}^{2-}$  was seen during the first period at 410 night on 27 November (shown by green and red arrows in Fig. 7) when organics contributed the most 411 to ALWC. This suggests that ALWC contributed by organics may have played an important role in the 412 formation of secondary species at the initial stage of the pollution event. 413

414





## 415 5. Conclusions

In this study, the aerosol liquid water content (ALWC) was calculated using the size-resolved aerosol hygroscopic growth factor and the particle number size distribution (PNSD) measured at a Beijing urban site during the APHH winter campaign (8 November to 15 December 2017). Also done were simulations using the ISORROPIA II model with measured aerosol chemical composition data as input data. During the sampling period, seven heavy haze episodes were selected to investigate the influence of different factors (PNSD, ambient RH, and aerosol chemical composition) on ALWC.

422 The calculated and simulated ALWC (ALWC<sub>HTDMA</sub> and ALWC<sub>ISO</sub>) agree well (correlation of 423 determination  $R^2$  equal to 0.89). However, ALWC<sub>ISO</sub> is much lower than ALWC<sub>HTDMA</sub> for RH below 60 %, even approaching zero many times. This deviation is in part attributed to the neglect of the 424 contribution of organics to ALWC (ALWCorg) in the ISORROPIA II model, contradicting with 425 previous studies ignoring this contribution. The aerosol hygroscopicity of organics was also derived 426 in this study for use in calculating ALWC<sub>Org</sub>. The sum of ALWC<sub>ISO</sub> and ALWC<sub>Org</sub> has a higher 427 correlation ( $R^2 = 0.92$ ) with the calculated ALWC (i.e., ALWC<sub>HTDMA</sub>), especially for RH below 60 %. 428 This implies that organic aerosols are also an important contributor to ALWC. 429

PNSD, ambient RH, and aerosol chemical composition are all found to affect ALWC significantly. 430 Nucleation mode and Aitken mode particles have little influence on ALWC. Accumulation mode 431 particles play a key role in determining ALWC and dominate among all aerosol modes. ALWC is 432 highly related to the relative humidity (RH) when RH exceeds a critical RH value that is different for 433 different PM<sub>1</sub> mass concentrations. ALWC varies diurnally with its extreme values appearing at night 434 and during the day respectively. The diurnal variation of ambient RH explains this. However, there is 435 a three-hour difference between when the extreme ALWC and RH values occur, caused by the diurnal 436 variations in PNSD and aerosol chemical composition. 437

438 On average, ALWC<sub>0rg</sub> accounts for  $\sim 30 \% \pm 22 \%$  of the total aerosol liquid water during the 439 sampling period. This shows the significant contribution of organic species to ALWC. Our results





440	suggest that ALWC is not only driven by inorganic salts but also driven by organics in Beijing. This is
441	different from the results obtained in the Po Valley in Italy (Hodas et al., 2014) and the eastern U.S.
442	(Carlton et al., 2013) where the ALWC is driven by nitrate and sulfate respectively. Finally, one case
443	study was used to study the importance of $ALWC_{Org}$ on multiphase chemical reactions. $ALWC_{Org}$ was
444	found to play an important role in the formation of secondary aerosols by speeding up aqueous-phase
445	reactions at the initial stage of heavy haze. Our study is important for investigating the contribution of
446	organics to ALWC and its importance on haze formation in Beijing.
447	
448	Data availability. Data used in the study are available from the first author upon request
449	( <u>201631490012@mali.bnu.edu.cn</u> ).
450	
451	Author contributions. ZL and YW designed the experiment; YW, XJ, and WX carried it out and
452	analyzed the data; other co-authors participated in science discussions and suggested additional
453	analyses. XJ and YW prepared the paper with contributions from all co-authors.
454	
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462	
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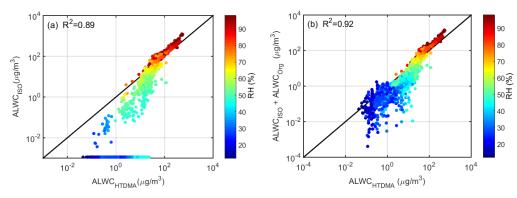


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Figure 1. Comparison between ALWC<sub>HTDMA</sub> and (a) ALWC<sub>ISO</sub> and (b) the sum of ALWC<sub>ISO</sub> and ALWC<sub>Org</sub>. ALWC<sub>HTDMA</sub> refers to calculated ALWC based on the measured growth factor and PNSDs, ALWC<sub>ISO</sub> refers to simulated ALWC from the ISORROPIA II model, and ALWC<sub>Org</sub> refers to the inferred ALWC contributed by organic species. The coefficient of determination R<sup>2</sup> is given in each panel. The color of the dots denotes the ambient RH; the solid line denotes the 1:1 line.

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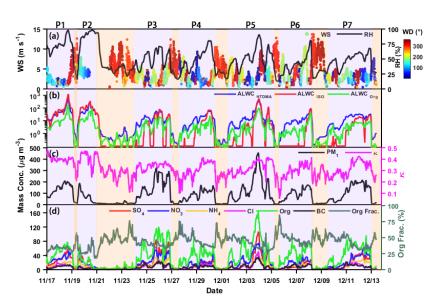


Figure 2. Time series of (a) wind speed (WS, left y-axis), ambient relative humidity (RH, right y-axis), and wind
direction (WD, colored dots), (b) ALWC<sub>HTDMA</sub> (in blue), ALWC<sub>ISO</sub> (in red), and ALWC<sub>Org</sub> (in green), (c) PM<sub>1</sub> mass
concentration (left y-axis) and hygroscopicity parameter (κ, right y-axis) calculated using the ZSR model described
by Eq. (7), and (d) mass concentrations of aerosol species in PM<sub>1</sub> (left y-axis) and organic aerosol mass fraction

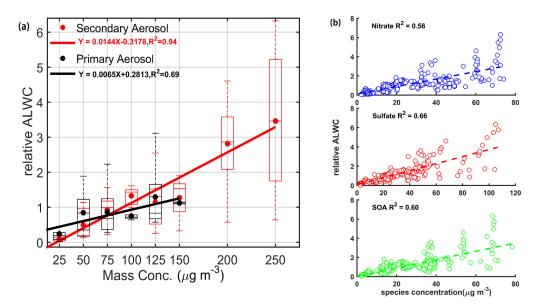




713 (right y-axis). Seven polluted episodes (segments of the time series with a purple background) are selected for

714 examination.

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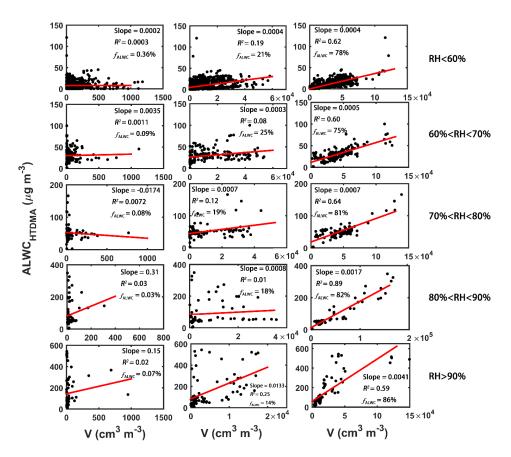


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Figure 3. The correlation analysis between relative ALWC and (a) primary (in black) and secondary (in red) aerosol mass concentrations, and (b) nitrate, sulfate, and secondary organic aerosol (SOA) mass concentrations. Panel (a) shows mean relative ALWCs (solid dots) with boxes showing the 25th, 50th, and 75th percentiles. The extremities show the 5th and 95th percentiles. The solid lines in (a) and the dashed lines in (b) both represent the corresponding best-fit lines from linear regression.





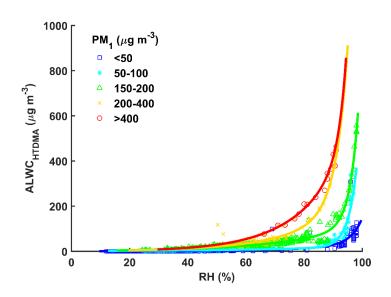


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Figure 4. The correlation analysis between ALWC<sub>HTDMA</sub> and the volume concentration of nucleation mode (left column), Aitken mode (middle column), and accumulation mode (right column) particles under different ambient relative humidity (RH) conditions. The average contribution of each mode particles to ALWC under different ambient RH conditions is denoted by *f*<sub>ALWC</sub>. The red lines represent the best-fit lines from linear regression.







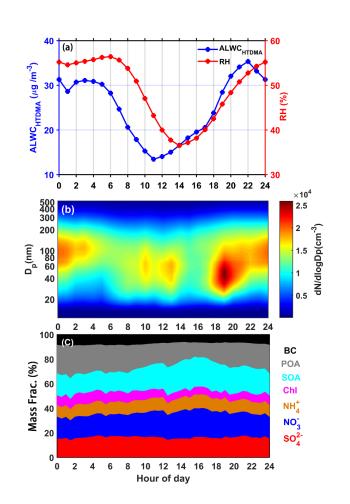
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730 Figure 5. The dots show how ALWC<sub>HTDMA</sub> varies with the ambient relative humidity (RH) for different PM<sub>1</sub> mass

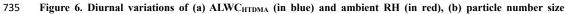
- 731 concentration ranges (colored symbols). The colored curves represent the best-fit lines through the data using the
- fitting function  $y = ae^{bx}$ .







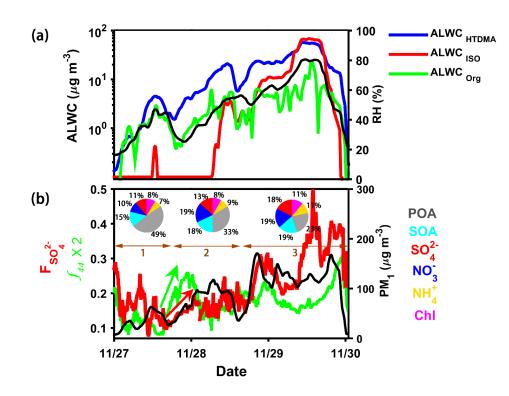
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736 distribution, and (c) the mass fraction of different chemical species. The time is in Beijing time.
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Figure 7. Time series of (a) ALWC<sub>HTDMA</sub> (in blue), ALWC<sub>1SO</sub> (in red), ALWC<sub>Org</sub> (in green), and RH (right y-axis), and (b) the sulfur oxidation ratio ( $F_{SO4^{2-}}$ ),  $f_{44}$ , and PM<sub>1</sub> mass concentration (right y-axis) during the P4 case in Figure 2. The pie charts in (b) represent the average chemical compositions of PM<sub>1</sub> during three stages of the pollution event

The first sum of the first set of the set of

742 (denoted by brown horizontal lines). The red and green arrows in (b) indicate the rapid increase in  $F_{S04}^{2-}$  and  $f_{44}$  at 743 the initial stage.