# **1 Reply to reviewers**

#### 2 Referee 1

On my comment on the assumption of phase states used in thermodynamic model calculations, I suggest 1> the
 authors to add Figure R1 in the authors' responses to the supplement; and, 2> make clear which phase state is
 assumed in the calculations.

Re: Good suggestion. We have added the Figure R1 in the supplement. And we stated that in this study,
the phase state was assumed to be stable in the model calculation in line 190-191.

#### 10 11 **Referee 2**

Regarding my last comment, "Line 320 (and 370), I am not sure whether using PNSD is proper here. The ALWC 12 13 depends on the amount of hygroscopic materials, no matter which sizes they are present." I think that the authors 14 did not address explicitly. Maybe my comment is not fully clear. My point is that the ALWC mainly depends on the amount (mass) of hygroscopic materials, no matter which 15 16 sizes these materials are present, either in Aiken mode or in accumulation mode. The Kelvin effect has only minimal influence on ALWC for particles in the Aiken mode and accumulation mode range. Therefore, the 17 PNSD does not influence ALWC directly. 18 19

20 Re: Thanks for the reviewer's comment. As you stated, we also found the influence of Kelvin effect to 21 ALWC is small. However, the PNSD is still important to ALWC. This is because the particles in different sizes have different hygroscopicity. We found most hygroscopic materials were distributed in 22 23 accumulation mode. In this paper, three factors (PNSD, ambient RH, aerosol chemical composition) to ALWC were discussed. It's obvious that PNSD and aerosol chemical composition determine the 24 distribution and mass concentration of hygroscopic materials. So PNSD is an important factor for 25 ALWC although it does not influence ALWC directly. Therefore, the effect of PNSD on ALWC was 26 discussed here, as followed by the method in Bian et al. (2014) and Tan et al. (2017). 27

For avoiding confusion, we added: "PNSD does not influence ALWC directly, but it's an important factor to determine the mass concentration and distribution of hygroscopic materials." in Line339-340.

#### Reference:

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- Tan, H., Cai, M., Fan, Q., Liu, L., Li, F., & Chan, P. W., et al.: An analysis of aerosol liquid water content and related
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   https://doi.org/10.1016/j.scitotenv.2016.11.167, 2017.
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54	Significant contribution of organics to aerosol liquid water content in		
55	winter in Beijing, China		
56			
57			
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## 78 Abstract

#### 79

The aerosol liquid water content (ALWC), an important component of atmospheric particles, has a 80 significant effect on atmospheric optical properties, visibility and multiphase chemical reactions. In 81 this study, ALWC is determined from aerosol hygroscopic growth factor and particle number size 82 distribution (PNSD) measurements and also simulated by the ISORROPIA II thermodynamic model 83 with measured aerosol chemical composition data at an urban site in Beijing from 8 November to 15 84 December 2017. Rich measurements made during the experiment concerning virtually all aerosol 85 properties allow us not only to derive the ALWC but also to study the contributions by various species 86 for which little has been done in this region. The simulated ALWC including the contribution of 87 organics and the calculated ALWC are highly correlated (coefficient of determination  $R^2 = 0.92$ ). The 88 ALWC contributed by organics (ALWC<sub>org</sub>) accounts for 30  $\% \pm 22$  % of the total ALWC during the 89 sampling period. These results suggest a significant contribution of organics to ALWC, which is rather 90 different from previous studies that showed negligible contributions by organics. Our results also show 91 that ALWC correlates well with the mass concentrations of sulfate, nitrate, and secondary organic 92 aerosols (SOA) ( $R^2 = 0.66$ , 0.56, and 0.60, respectively). We further noted that accumulation mode 93 94 particles play a key role in determining ALWC, dominating among all the aerosol modes. ALWC is an exponential function of ambient relative humidity (RH) whose strong diurnal variation influence the 95 96 diurnal variation of ALWC. However, there is a three-hour lag between the extremes of ALWC and RH values, due to the diurnal variations in PNSD and aerosol chemical composition. Finally, a case 97 study reveals that ALWCorg plays an important role in the formation of secondary aerosols through 98 multiphase reactions at the initial stage of a heavy haze episode. 99

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# 103 1. Introduction

104 China has experienced rapid economic developments during the past few decades, resulting in 105 frequent heavy haze events. Severe air pollution may harm human health and affect the regional 106 climate through aerosol direct and indirect radiation effects (Li et al., 2016; G. X. Wu et al., 2016<u>; Wei</u> 107 <u>et al., 2019a</u>; Wei et al., 2019<u>b</u>). However, air pollution formation mechanisms and aerosol climate 108 effects remain highly uncertain due to the complex physical and chemical processes involved (Tao et 109 al., 2012; Y. Wang et al., 2014).

Aerosol liquid water (ALW), a component of atmospheric particles in the atmosphere, exists 110 universally and plays an important role in many atmospheric physical and chemical processes (Nguyen 111 et al., 2016). For example, ALW can influences aerosol optical properties, resulting in increased 112 extinction coefficients, lowered atmospheric visibilities, enhanced aerosol optical depths (AODs), and 113 changes in the direct climatic effect of aerosols (Dougle et al., 1996; Adams et al., 2001; Liao et al., 114 115 2005; Seinfeld and Pandis, 2006). Secondary aerosols (SA) are considered to be the main source of particulate pollution during heavy haze events in China (Huang et al., 2014). Many studies now 116 highlight the significance of aerosol liquid water content (ALWC) in the formation of SA through 117 chemical reactions (e.g., Arellanes et al., 2006; G. Wang et al., 2016; Cheng et al., 2016). This is 118 119 because ALW can dilute the absolute concentration of solutes, adjust aerosol acidity, and serve as a 120 reactant, resulting in increases in trace gas (e.g., N2O5 and HO2) uptake coefficients (Wahner et al., 1998; Bertram et al., 2009; Abbatt et al., 2012). H. Wang et al. (2017) found that the uptake coefficient 121 122 of N<sub>2</sub>O<sub>5</sub> can be high, which is related to high ALWC in Beijing, thereby increasing the formation of 123 nitrates. ALW can also speed up the aqueous phase chemical reaction by serving as a reactor for the transformation of SO<sub>2</sub> to sulfate (Zheng et al., 2015; G. Wang et al., 2016; Cheng et al., 2016). Some 124 studies have found that ALWC can facilitate the formation of secondary organic aerosols (SOA) 125 through aqueous-phase chemistry and photochemistry (Blando et al., 2001; Surratt et al., 2007; 126 127 Hennigan et al., 2008; Song et al., 2019). Furthermore, observations in Beijing have shown that

aqueous-phase processes play a dominant role in the additional formation of oxidized SOA (Xu et al.,
2017). Overall, investigating the formation of SA and haze in North China requires an examination of
ALWC and its factors including aerosol particle number size distribution (PNSD), aerosol chemical
composition and ambient related humidity (RH) in this region.

132 However, directly measuring real-time ALWC is not feasible yet because of technical limitations, (Kuang et al., 2018). Four indirect methods have been proposed to calculate real-time ALWC: (1) the 133 aerosol PNSD under dry conditions and ambient RH conditions are first measured, then ALWC is 134 calculated as the difference between dry and ambient aerosol volumes (Stanier et al., 2004); (2) the 135 136 increased aerosol volume due to water uptake (i.e., ALWC) is calculated according to the measured dry PNSD, size-dependent aerosol hygroscopicity, and ambient RH (Kitamori et al., 2009; Bian et al., 137 2014; Tan et al., 2017); (3) the dry and ambient aerosol volumes are first estimated using the measured 138 139 aerosol optical enhancement and Ångström exponent, then ALWC is calculated as the difference between dry and ambient aerosol volumes (Kuang et al., 2018); and (4) ALWC is simulated using 140 thermal equilibrium models such as the ISORROPIA thermodynamic model (Nenes et al., 1998), 141 Aerosol Inorganics Model models (Wexler and Clegg, 2002), the Simulating Composition of 142 143 Atmospheric Particles in Equilibrium model (Kim et al., 1993), and the Gibb's Free Energy Minimization model (Ansari et al., 1999) with aerosol chemical composition information as input. 144

ALWC mostly depends on aerosol PNSD, chemical composition, and ambient RH. Hodas et al. 145 146 (2014) reported that ALWC in the Po Valley in Italy was driven by locally formed anthropogenic nitrates. The implications for the lifetimes of water-soluble organic compounds and its potential 147 influence on SOA formation were also discussed. Another study also revealed that ALWC in Beijing 148 149 was driven by secondary inorganic aerosols (SIA; Z. Wu et al., 2018). Most previous studies have focused on the interaction between inorganic salts and ALWC, but the impact of organic species on 150 ALWC been ignored to our knowledge (Blando et al., 2001; Surratt et al., 2007; Hennigan et al., 2008; 151 Carlton et al., 2014). A thorough understanding of the association of ALWC with organic aerosols in 152

### the atmosphere is lacking.

In this study, ALWC is calculated using the indirect method (2) and simulated using the ISORROPIA II model, i.e., indirect method (4), discussed previously. The effects of inorganic aerosols, organic aerosols, PNSD, and ambient RH on ALWC are then investigated separately. We demonstrate the significant contribution of organics to ALWC in Beijing and provide evidence that the ALW contributed by organics serves as a reactor for sulfate and SOA formation.

#### 159 2. Data and measurements

### 160 2.1. Sampling site

The Air Pollution and Human Health (APHH) winter field campaign took place from 8 November 161 to 15 December 2016 at the Chinese Academy of Sciences' Institute of Atmospheric Physics Tower 162 Branch in Beijing. Beijing is located in the northwest part of the North China Plain, which has 163 164 experienced rapid economic developments during the last few decades. A large amount of gaseous precursors and other air pollutants are emitted in this region every year, causing serious air pollution 165 problems. The sampling site is located in the northwestern urban area of Beijing (39.97°N, 116.37°E), 166 167 between the north third and fourth ring roads and surrounded by restaurants. Traffic and cooking 168 emissions are thus the main pollutants at the site. Aerosols at this site can, therefore, well represent anthropogenic aerosols in highly polluted areas. Sun et al. (2013) and Y. Wang et al. (2017) provide 169 more detailed descriptions of the sampling site. 170

#### 171 2.2. Instrumentation

Sampling instruments used during the field campaign included a scanning mobility particle sizer (SMPS) equipped with a long differential mobility analyzer (DMA; model 3081A, TSI) and a condensation particle counter (CPC; model 3772, TSI). A custom-built hygroscopicity tandem differential mobility analyzer (H-TDMA) was installed in an air-conditioned mobile container at 176 ground level. The temperature inside the container was maintained at 20-25°C. A high-resolution aerosol mass spectrometer (HR-AMS) was set up in a sampling room located on a two-story roof, 177 about 25 m north from the container. Sampled air went through a PM2.5 cyclone inlet fixed on the top 178 of the container before entering the instruments. The RH of the sampled air was dried to below 20 % 179 180 by a dryer system consisting of a tube filled with silica gel and a Nafion dryer (model PD-70T-24ss, Perma Pure Inc., USA). Various meteorological parameters, including wind speed (WS), wind 181 direction (WD), temperature (T), and RH, were measured from a 325-m meteorological tower located 182 ~20 m west of the container. In this study, all times are reported in Beijing local time (UTC+8 h). 183

PNSDs with electrical-mobility diameters ranging from 10 to 600 nm were measured by a scanning mobility particle sizer (SMPS) at a 5-min time resolution. PNSDs were extended to diameters ranging from 0.6 to 1 µm by fitting the measured PNSDs with functions consisting of three-mode lognormal distributions (Hussein et al., 2005). Thus generated are PNSDs with a diameter range of 10 nm to 1 µm.

The H-TDMA system developed by the Guangzhou Institute of Tropical and Marine Meteorology 189 measured the size-dependent aerosol hygroscopic growth factor (GF). The H-TDMA system mainly 190 191 consists of four parts. The first part is a Nafion dryer to keep the RH of sampled air below 20 % and a 192 bipolar neutralizer (soft X-ray, model 3088, TSI Inc.) to equilibrate the particle charge (Wiedensohler et al., 1988). Next, the sampled air passes through the first differential mobility analyzer (DMA1; 193 194 model 3081L, TSI Inc.) to produce mono-dispersed particles. In this study, the diameters were set to 40, 80, 110, 150, and 200 nm. The sampled air then went through a Nafion humidifier (model PD-70T-195 24ss, Perma Pure Inc., USA) used to humidify the RH of sampled air to 90 %. The last part of the H-196 TDMA is the second DMA (same model as the DMA1) and a water-based condensation particle 197 counter (model 3787, TSI Inc.), used to measure the number size distribution of humidified particles 198 in the five selected diameters. Y. Wang et al. (2017) provide a detailed introduction to the H-TDMA 199 200 system.

Size-resolved non-refractory sub-micron aerosol chemical species, including organics (Org), sulfate (SO4<sup>2-</sup>), nitrate (NO3<sup>-</sup>), ammonium (NH4<sup>+</sup>), and chloride (Cl<sup>-</sup>), were measured by the HR-AMS. The sampled air dried by diffusion silica gel dryers was drawn into the HR-AMS through a PM<sub>2.5</sub> cyclone inlet to remove coarse particles larger than 2.5  $\mu$ m. The HR-AMS was calibrated with pure ammonium nitrate following the procedures detailed in Jimenez et al. (2003). Sun et al. (2016b) provide operational details about the HR-AMS.

207 **3.** Method

# 208 3.1. ALWC calculation based on H-TDMA measurements

The ALWC is calculated based on measurements of the aerosol GF and particle number size distribution. Briefly, H-TDMA data are first used to derive the size-resolved particle GFs at various RHs. Then ALWC is calculated as the increased aerosol volume due to hygroscopic growth attributed to water uptake.

213 Chen et al. (2012) showed how to calculate size-resolved particle GFs at different RHs. First, a 214 three-mode log-normal distribution is applied to fit the measured PNSD to produce fitting parameters 215 for each mode. The hygroscopicity parameter ( $\kappa$ ) in any mode is assumed to be constant. The H-216 TDMA-derived size-dependent  $\kappa$  can then be used to deduce the corresponding  $\kappa$  for the nucleation 217 mode, the Aitken mode, and the accumulation mode of PNSDs according to the following equation:

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$$\kappa(D_P) = \frac{\sum_{i=1}^{3} \kappa_i \cdot N_i(D_P)}{\sum_{i=1}^{3} N_i(D_P)},$$
 (1)

where  $\kappa_i$  refers to the  $\kappa$  of the *i*th mode, and  $N_i(D_p)$  refers to the number concentration of particles in the *i*th mode. According to  $\kappa$ -Köhler theory (Petters and Kreidenweis, 2007),  $\kappa$  at a certain diameter ( $D_d$ ) can be calculated as

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$$\kappa(D_{\rm d}) = (\rm GF^3 - 1) \cdot \left[\frac{1}{\rm RH} \exp\left(\frac{4\sigma_{s/a}M_{\rm w}}{RT\rho_{\rm w}D_{\rm d}\rm GF}\right) - 1\right], \tag{2}$$

223 where RH is the control value by the humidifier in the H-TDMA system, T is the mean room

temperature of the container set to 293 K,  $\sigma_{s/a}$  is the surface tension of the solution/air interface assumed to be the same as the surface tension coefficient between water and air (about 0.0728 N m<sup>-1</sup> at 293 K),  $M_w$  is the molecular weight of water, R is the universal gas constant,  $\rho_w$  is the density of water, and  $D_d$ is the diameter of the dry particles. The GF at a given RH is defined as the ratio of the humidified diameter [ $D_p$  (RH)] to  $D_d$ :

$$GF = D_p(RH)/D_d .$$

The known  $\kappa$  of each mode derives the size-resolved  $\kappa$  at 90 % RH using Eq. (1). Substituting the sizeresolved  $\kappa$  into Eq. (2) results in size-resolved GFs at various RHs. Finally, the volume of ALWC at ambient RH is equal to the increased aerosol volume due to water uptake, i.e., ALWC can be calculated as

(3)

234 
$$ALWC_{HTDMA} = \left[\frac{1}{6}\sum_{i} n_{i} D_{p_{i}}^{3} \left(GF\left(D_{p_{i}}, RH\right)^{3} - 1\right)\right] \cdot \rho_{w} , \qquad (4)$$

where  $n_i$  refers to the particle number concentration of dry particles for the corresponding particle size range in the *i*th mode, and  $D_{p,i}$  refers to the particle diameter for the corresponding particle size range.

## 237 3.2. ALWC simulations based on the ISORROPIA II model

The thermodynamic equilibrium model ISORROPIA II developed by Fountoukis and Nenes 238 239 (2007) using aerosol chemical composition information from the HR-AMS can simulate ALWC 240 (ALWC<sub>ISO</sub>). The bulk chemical composition was used in the model. However, the ISORROPIA II model only considers the contribution of inorganic species (Ca<sup>2+</sup>, K<sup>+</sup>, Mg<sup>2+</sup>, NH<sup>+</sup>, Na<sup>+</sup>, SO4<sup>2-</sup>, NO3<sup>-</sup>, 241 Cl<sup>-</sup> and H<sub>2</sub>O) on ALWC and neglects the contribution of organics. In this study, the model was set up 242 243 to reverse mode due to the lack of measurements of gaseous ammonia, and the phase state was assumed 244 to be stable in the model calculation. As shown in Figure S1, the simulated ALWC in stable mode is 245 similar to that in metastable mode ( $R^2 = 0.99$ ). and

According to the model assumptions that the aerosol curvature effect in Köhler theory is ignored, and the aerosol water uptake has no effect on ambient vapor pressure, the water activity  $(a_w)$  defined as the effective mole fraction of water is equal to the ambient RH in this model (Seinfeld and Pandis,

249 2006):

261

$$a_w = RH \tag{5}$$

251 The ALWC can be calculated using the Zdanovskii–Stokes–Robinson (ZSR) mixing rule (Stokes and

252 Robinson, 1966),

ALWC<sub>ISO</sub> = 
$$\sum_i \frac{M_i}{m_{oi}(a_w)}$$
, (6)

where  $M_i$  is the mole concentration of the *i*th species (mol m<sup>-3</sup> in air), and  $m_{0i}$  ( $a_w$ ) is the corresponding molality of the binary solution of the *i*th species under the same  $a_w$  with complex solution. Finally, with measured ambient RH and *T* values as input ALWC<sub>ISO</sub> values under different RH and *T* conditions can be derived.

## 258 **3.3.** Inferring the contribution of organics to ALWC

According to the  $\kappa$ -Köhler theory and the ZSR mixing rule,  $\kappa$  can also be expressed as the sum of the contributions of each aerosol component:

$$\kappa = \sum_{i} \varepsilon_{i} \kappa_{i} , \qquad (7)$$

where  $\varepsilon_i$  and  $\kappa_i$  are the volume fraction and hygroscopicity of the *i*th species, respectively. Submicron aerosols mainly consist of organic and inorganic species (Carbone et al., 2013; Zieger et al., 2017). As mentioned in section 2.2, the HR-AMS measures the mass concentrations of organics and inorganics, including SO<sub>4</sub><sup>2-</sup>, NO<sub>3</sub><sup>-</sup>, NH<sub>4</sub><sup>+</sup>, and Cl<sup>-</sup>. The volume fraction of inorganic species can be calculated based on the ion-pairing scheme given by the following equations (Gysel et al., 2007):

267 
$$n_{\rm NH_4NO_3} = n_{\rm NO_3^-},$$

268 
$$n_{\rm NH_4HSO_4} = \min(2n_{\rm SO_4^{2-}} - n_{\rm NH_4^+} + n_{\rm NO_3^-}, n_{\rm NH_4^+} - n_{\rm NO_3^-}),$$

269 
$$n_{(\rm NH_4)_2SO_4} = \max(n_{\rm NH_4^+} - n_{\rm NO_3^-} - n_{\rm SO_4^{2-}}, 0),$$

270 
$$n_{H_2SO_4} = \max(0, n_{SO_4^2} - n_{NH_4^+} + n_{NO_3^-}),$$

$$n_{\rm HNO_2} = 0$$
,

(8)

where n represents the mole numbers, and "min" and "max" are minimum and maximum values, 272 respectively. The  $\kappa$  values of the inorganic species sulfuric acid, ammonium sulfate, ammonium 273 hydrogen sulfate, and ammonium nitrate are 1.19, 0.48, 0.56, and 0.58, respectively (Topping et al., 274 2005; Petters and Kreidenweis, 2007). So the ZSR model can be used to estimate the contribution of 275 inorganic species to the  $\kappa$  value. In this paper, the chloride was not taken into account in ion-pairing 276 because its source is hard to determine. This may result in a minor uncertainty in  $\kappa$  calculation. The 277 278 hygroscopicity parameter of organics ( $\kappa_{Org}$ ) can be calculated using the volume fraction of organics 279 and the total  $\kappa$  value derived from the H-TDMA, according to Eq. (7). Finally, the ALWC contributed 280 by organic species (ALWCorg) can be calculated as (Petters and Kreidenweis, 2007)

281 
$$ALWC_{Org} = \frac{m_{Org}\rho_W}{\rho_{Org}} \frac{\kappa_{Org}}{\left(\frac{1}{RH} - 1\right)},$$
 (9)

where  $m_{\text{Org}}$  is the organic mass concentration from the AMS (Xu et al., 2015), and  $\rho_{\text{Org}}$  is the density of organics, taken as 1.4 g cm<sup>-3</sup> (Moore et al., 2011; Lathem et al., 2013; Cerully et al., 2014).

284 4. Results and discussion

## 285 4.1. Comparison of calculated and simulated ALWC

The trends in ALWC calculated based on the hygroscopic growth factor and PNSD 286 (ALWC<sub>HTDMA</sub>) and simulated from ISOPPOPIA II model (ALWC<sub>ISO</sub>) are generally consistent. Figure 287 1a shows that ALWC<sub>HTDMA</sub> and ALWC<sub>ISO</sub> agree well and that their coefficient of determination (R<sup>2</sup>) 288 289 is 0.89. The correlation is especially strong for RH over 90 %. However, for RH below 60 %, ALWC<sub>ISO</sub> is less than ALWC<sub>HTDMA</sub> and even close to 0 in some cases. Bian et al. (2014) and Tan et al. (2017) 290 observed a similar phenomenon in northern and southern China. There are three possible explanations 291 for these results. H-TDMA samples were humidified to 90 % RH during the field campaign, thereby 292 293 leading to the neglect of the deliquescence process in the ALWC<sub>HTDMA</sub> calculation. This may lead to

294 overestimation of ALWC<sub>HTDMA</sub> for RH below the deliquescence relative humidity (DRH). Second, the assumption of constant  $\kappa$  in each mode may lead to small uncertainty in size-resolved GFs at different 295 RHs, resulting in small deviation in ALWC calculation. This may be another reason for the difference 296 between ALWC<sub>HTDMA</sub> and ALWC<sub>ISO</sub>. In addition, the ISORROPIA II model ignores the effect of 297 298 aerosol shape and complex organic species on the DRH. Previous studies have suggested that the particle spherical assumption and simplified aerosol chemical species in this model can overestimate 299 the DRH (Seinfeld and Pandis, 2006; Sjogren et al., 2007). So for RH below the simulated DRH 300 301 (~60 %), particles may still be dry in the ISORROPIA II model, but may have been hydrated in the real atmosphere. Therefore, this model underestimates ALWC. The ambient aerosol deliquescent 302 phenomenon is rare in the North China Plain (Kuang et al., 2016). In addition, the ISORROPIA II 303 model cannot simulate water uptake by organics, which can lead to some bias between simulated and 304 305 calculated ALWCs. As described in section 3.3, ALWCorg can be inferred and used to discuss differences between ALWCISO and ALWCHTDMA. Figure 1b shows that adding ALWCOrg to ALWCISO 306 leads to a stronger correlation with ALWC<sub>HTDMA</sub> ( $R^2 = 0.92$ ). The correlation improves significantly 307 for RH below 60 %. This demonstrates that (1) organic species contribute significantly to ALWC, and 308 309 (2) the underestimation of ALWC by the ISORROPIA II model is also related to the neglect of organic 310 species in the model.

311

### 312 **4.2.** Impact of different factors on ALWC

### 313 4.2.1. Impact of aerosol chemical species on ALWC

Figure 2 shows the characteristics of seven heavy pollution events selected for examination. Figures 2a and 2c display the time series of WS, WD, and ambient RH. The prevailing wind during the haze episodes was a weak southerly wind that was favorable for bringing in pollutants from the highly populated and industrialized neighboring regions to the sampling site. This is beneficial to the formation and accumulation of SA (T. Wang et al., 2010; Y. Wang et al., 2017). However, the prevailing winds during the clean events were strong northerly winds that always carried in a clean air mass, resulting in pollutants being quickly removed (Figure 2c). Note that the PM<sub>1</sub> mass concentration decreases somewhat in the evening during haze episodes, following the short-term change of WD from southerly to northerly. This is related to mountain-valley breezes in Beijing (Wehner et al., 2008; Gao et al., 2011; Y. Wang et al., 2017). These results demonstrate that heavy haze episodes have a strong correlation with local wind direction in Beijing.

325 Figures 2a and 2d show the time series of ambient RH and mass concentrations of aerosol chemical 326 species in PM<sub>1</sub>. These figures suggest that the increase in inorganic and organic aerosols is synchronous with the increase in ambient RH during the heavy pollution periods (P1-P7). This is likely 327 because of a positive feedback mechanism driven by Henry's law and thermodynamic equilibrium (Z. 328 329 Wu et al., 2018). Figure 2b also shows that ALWC continuously increases during the pollution accumulation period. On average, ALWC increases from 8 to  $89 \,\mu g \, m^{-3}$  as ambient RH increases from 330 15 to 80 %, and the inorganic and organic aerosol mass concentrations increase from 15 to 120  $\mu$ g m<sup>-</sup> 331 <sup>3</sup> and from 12 to 78 µg m<sup>-3</sup>, respectively. These results imply that the increase in ambient RH and 332 333 aerosol mass concentration are all important for the increase in ALWC.

334 Equation (4) also suggests that the absolute value of ALWC is dependent on the value of ambient RH and aerosol chemical composition (i.e., the GF value). To further investigate the impact of 335 336 chemical composition on ALWC, the impact of RH on ALWC should be accounted for. Previous studies suggest there is an exponential relationship between ALWC and RH (e.g., Z. Wu et al., 2018). 337 Here, we define the relative ALWC as the ratio of ALWC<sub>HTDMA</sub> and the function of ambient RH ( $e^{bRH}$ ). 338 The b is derived according to the relationship between ALWC<sub>HTDMA</sub> and RH that is fitted by the 339 function  $y = ae^{bx}$ . Figure 3a shows the relationship between relative ALWC and primary aerosols 340 (PA) or SA mass concentrations. PA consists of primary organic aerosols (POA) and black carbon 341 342 (BC), and SA consists of SOA, sulfate, and nitrate. The positive matrix factorization (Paatero and

343 Tapper, 1994) was applied on the organic aerosols (OA) spectral matrices to identify POA and SOA. The relative ALWC is highly correlated with SA mass concentrations ( $R^2 = 0.94$ ) but poorly correlated 344 with PA mass concentrations ( $R^2 = 0.69$ ). High relative ALWCs coincident with high SA mass 345 concentrations suggest that SA plays a key role in the increase in ALWC. This is likely because SA is 346 347 mainly generated from photochemical reactions in the daytime or reactions at night, making SA highly aged with a hygroscopicity stronger than that of PA (Ervens et al., 2011; Sareen et al., 2017). SA can, 348 therefore, absorb more water vapor than PA in the atmosphere. The enhanced aerosol liquid water 349 induced by SA is further favorable for the formation of SA by speeding up the atmospheric chemical 350 351 reaction rate and serving as the medium for gas-particle heterogeneous reactions (G. Wang et al., 2016; Cheng et al., 2016). This further increases the bulk aerosol hygroscopicity. This is also the reason why 352 inferred  $\kappa$  based on the ZSR model continuously increases during haze episodes (Figure 2c). 353

354 Secondary aerosols are mainly composed of nitrate, sulfate, ammonium and SOA. To determine 355 which species is the driver for ALWC in Beijing, Figure 3b shows the correlation analysis between relative ALWC and the mass concentrations of different aerosol chemical species. Relative ALWC and 356 all SIA agree well [ $R^2$  equal to 0.66 (sulfate) and 0.56 (nitrate)]. It has been reported that ALWC is 357 358 driven by inorganic salts with both nitrate and sulfate playing key roles in determining ALWC (Z. Wu 359 et al., 2018). ALWC also agrees well with SOA ( $R^2 = 0.60$ ) in our study. This is unexpected because the hygroscopicity of SOA is relatively lower than that of nitrate and sulfate. Some studies have also 360 361 suggested that the water uptake of aged organics accounts for only a few percent of the total aerosol water uptake (e.g., Gysel et al., 2007; Engelhart et al., 2011). In our study, the contribution of ALWCorg 362 to total ALWC is significant, accounting for  $30 \% \pm 22 \%$ . As shown in Figure 4, the contribution of 363 organics to total ALWC varies strongly. This is likely related with the variation in mass fraction and 364 hygroscopicity parameter of organics ( $\kappa_{org}$ ). The mass concentration of inorganics increases more than 365 that of organics as RH increases, leading to a lower mass fraction of organics in the case of high 366 367 ambient RH. Figure 4 also shows ALWC<sub>org</sub> fraction increases significantly with the increase of  $\kappa_{org}$ .

368 All these help explain a large variation in the ALWCorg contribution to total ALWC. Considering the distinct ambient RH and Korg between clean and polluted periods, we calculated respectively the 369 fraction of ALWC<sub>org</sub> during two periods. There is a higher ALWC<sub>org</sub> fraction  $(33\% \pm 23\%)$  during 370 clean periods than that during polluted periods ( $26\% \pm 11\%$ ). Yet, there is little variability of ALWC<sub>Org</sub> 371 372 fraction during polluted periods. The larger variability in ALWCorg fraction during clean periods is likely caused by the highly variable  $\kappa_{org}$  when the ambient RH is low. In summary, the contribution of 373 organics in total ALWC varies with the variations of the mass fraction of organics and  $\kappa_{\text{org}}$ , and this 374 375 contribution is significant during both clean and polluted periods. Studies of ALWC in Beijing, 376 therefore, cannot neglect ALWCorg. This is different from the studies in other regions such as in the Po Valley in Italy (Hodas et al., 2014) and the eastern U.S. (Carlton et al., 2013) where the ALWC was 377 found to be only driven by nitrate and sulfate respectively. 378

379 An interesting phenomenon is frequently observed at the initial stage of heavy haze episodes (e.g., P4, P5, P6, and P7). ALWCISO is almost close to 0, but both ALWCHTDMA and ALWCOrg are always 380 larger than 0, and the organic aerosol mass fraction is high at this stage. These observations reveal that 381 at the initial stage of heavy haze episodes, the ALWC is mostly contributed by organic species. 382 383 Meanwhile,  $\kappa$  is not very low and increases markedly as the PM<sub>1</sub> mass concentration increases, which 384 is unexpected because of the lower hygroscopicity of organic aerosols compared to SIA. Therefore, some highly hygroscopic substance (i.e., SA) must be generated through multiphase chemical reaction 385 386 at this stage. We propose that the liquid water contributed by organic species provides a reactor for the transformation of gaseous precursors to SA at the initial stages of heavy haze episodes, increasing the 387 uptake of more liquid water by more SA and further accelerating the formation of heavy haze. Section 388 4.3 provides a case study to demonstrate this. 389

## 390 4.2.2. Impact of PNSD on ALWC

In addition to aerosol chemical composition, ALWC also depends on PNSD (Bian et al., 2014).
 PNSD does not influence ALWC directly, but it's an important factor to determine the mass
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Figure 5 shows that the The contributions of nucleation mode, Aitken mode, and accumulation 398 mode particles to ALWC<sub>HTDMA</sub> are *cabout 1.0 %*, 18.0 %, and 82.0 %, respectively. Figure 6-5 shows 399 400 the correlations between ALWCHTDMA and the volume concentrations of different mode particles, and the average contribution of different mode particles to ALWC<sub>HTDMA</sub> (f<sub>ALWC</sub>) under five different RH 401 402 conditions. The  $R^2$  and  $f_{ALWC}$  of the nucleation mode particles (left column in Figure 65) are all less than 0.1 and 1 %, respectively, under all RH conditions. This is likely because the volume 403 404 concentration of nucleation mode particles is very low, and most of these small particles are composed of hydrophobic chemical species such as BC and POA. Similarly, the number concentration of Aitken 405 mode particles also shows weak correlations with ALWC<sub>HTDMA</sub> ( $R^2 < 0.2$ ) under RH < 90 % conditions, 406 but their correlation ( $R^2 = 0.25$ ) is enhanced significantly under RH > 90 % conditions (middle column 407 408 of Figure 65). This is because there are more aged particles in the Aitken mode which can absorb much 409 more water when the ambient RH is higher than 90 %. However, the contribution of the Aitken mode to ALWC ranges from 14 % to 21 % and decreases as RH increases. ALWCHTDMA is strongly correlated 410 to the volume concentration of accumulation mode particles, with  $R^2$  and  $f_{ALWC}$  greater than 0.6 and 411 412 75 %, respectively, under all RH conditions (right column of Figure 65). Figure 65 also shows that ALWC increases slightly as the volume concentration of accumulation mode particles increases under 413 414 RH < 70 % conditions (slope < 0.001), but increases strongly under higher RH conditions, especially under RH > 90 % conditions (slope = 0.0041). This is likely because there are more accumulation 415 mode SA formed due to multiphase chemical reactions under high ambient RH conditions. Swietlicki 416 et al. (1999) have suggested that the contribution of accumulation mode particles to ALWC is largest 417

418 for all-mode particles.

In summary, the contribution of nucleation mode particles to ALWC is very low. The contribution of Aitken mode particles is much higher than nucleation mode particles and decreases with increasing ambient RH. The contribution of accumulation mode particles to ALWC is largest under all RH conditions and increases with increasing ambient RH, thus playing a key role in determining ALWC.

### 423 4.2.3. Impact of RH on ALWC

As discussed in 4.2.1, the absolute value of ALWC has an exponential relationship with ambient 424 RH. Figure 7-6 shows the relationship between ALWC and RH for different PM1 mass concentration 425 ranges. ALWC increases slowly as RH increases under lower ambient RH conditions then sharply 426 427 increases when RH exceeds a critical RH value. This critical RH value is different for different PM<sub>1</sub> mass concentrations. This is because the low RH conditions cannot provide enough water for aerosol 428 particles, even though the PNSD is dominated by accumulation mode particles with higher 429 430 hygroscopicity (Tan et al., 2016). This demonstrates the important influence of RH on ALWC. The lower critical RH value for higher PM<sub>1</sub> mass concentrations (~80 %) suggests that ALWC is easily 431 formed under heavily polluted conditions. This is likely because there are more SA and accumulation 432 mode particles during pollution periods (Sun et al., 2016a; Y. Wang et al., 2017). 433

434 Figure 8a-7a shows the diurnal variations of ALWCHTDMA and ambient RH during the sampling 435 period. The extreme ALWC<sub>HTDMA</sub> values appear at night and during the day respectively, likely related to the diurnal variations of ambient RH. The elevated ambient RH at night not only increases ALWC 436 437 through water uptake of particles directly, but also facilitates the formation of hydrophilic particulate 438 nitrate through the speeding up of the uptake coefficient of N<sub>2</sub>O<sub>5</sub> (Thornton et al., 2003; Bertram et al., 2009). This can further enhance ALWC. However, although the diurnal variations of ALWC<sub>HTDMA</sub> 439 and ambient RH are similar, the peak and nadir of ALWC<sub>HTDMA</sub> (0300 LT and 1100 LT, respectively) 440 appear three hours earlier than the peak and nadir of ambient RH (0600 LT and 1400 LT, respectively). 441 442 This time difference is likely related to changes in PNSD. The diurnal variation of PNSD (Figure 8b7b) 17

443 shows that the number concentrations of Aitken and accumulation mode particles begin to decrease quickly at 0300 LT. ALWC also begins to decrease, although the ambient RH increases slightly at that 444 time. In the morning, ALWC decreases sharply following the ambient RH and PNSD changes due to 445 the lifting planetary boundary layer height. ALWC decreases to its minimum value at ~1100 LT then 446 447 begins to increase quickly. However, ambient RH still decreases at that time and reaches its minimum value at ~1400 LT. The increase in ALWC is likely associated with changes in aerosol chemical species 448 and PNSD. Figure 8b-7b and 8e-7c show that there are many newly formed Aitken and accumulation 449 450 mode particles and that the fraction of SA increases at noon, likely because of strong photochemical 451 reactions. Y. Wang et al. (2017, 2018) have suggested that daytime photochemical reactions are efficient enough to enhance aerosol hygroscopicity and change the aerosol mixing state from external 452 to internal in North China through the formation of hydrophilic chemical species. All this suggests that 453 454 ambient RH is not the only determining factor for ALWC. PNSD and aerosol chemical composition are also important for ALWC. 455

456

## 6 4.3. A case study of the impact of ALWCorg on SA formation

As discussed in section 4.2.1, a hypothesis is proposed that ALWC<sub>Org</sub> maybe provide a reactor for 457 the formation of secondary species. To verify this hypothesis, the P4 case shown in Figure2 is selected 458 459 to further analyze the influence of ALWC<sub>org</sub> on the formation of secondary aerosols (Figure 98). Figure 460 9a-8a shows the time series of ALWCHTDMA, ALWCISO, and ALWCOrg during this case. On 27 November 2017, ALWCISO was close to 0 all day long because of the low ambient RH, but both 461 ALWC<sub>HTDMA</sub> and ALWC<sub>Org</sub> were always larger than 0, increasing with increasing PM1 mass 462 463 concentration (Figure 9a8a). Figure 9a8a also shows that the fraction of ALWCorg in ALWCHTDMA was high at the initial stage of this pollution case, but this fraction decreased as haze increased. This 464 465 case was further divided into three periods (Figure 948b). Organics were the most abundant chemical species during the first period (64 %), which explains the high fraction of  $ALWC_{Org}$  in  $ALWC_{HTDMA}$  at 466 467 the initial stage of this haze case. The pie charts in Figure 9b-8b also show that both SOA and SIA 18

(sulfate, nitrate, and ammonium) increases from the first to third periods but POA decreases, likely related to multiphase reactions (i.e., aqueous-phase reactions) due to the enhanced ALWC. Time series of  $f_{44}$  and the fraction of sulfate in total sulfur ( $F_{SO4}^{2-}$ ) are also shown to further illustrate the influence of aqueous-phase reactions on aerosol chemical species. The m/z 44 signal intensity  $f_{44}$  (mostly contributed by the  $CO_2^+$  ion) measured by the AMS can be used as an indicator of the oxidation level in organic species (Mei et al., 2013). The sulfur oxidation ratio  $F_{SO4}^{2-}$  (Sun et al., 2006) is defined as

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$$F_{SO_4^{2-}} = \frac{n[SO_4^{2-}]}{n[SO_4^{2-}] + n[SO_2]},$$
 (10)

where  $n[SO_4^{2-}]$  and  $n[SO_2]$  refer to the molar concentrations of  $SO_4^{2-}$  and  $SO_2$ , respectively. Figure 9b 475 476 <u>8b</u> suggests that  $f_{44}$  and  $F_{SO4}^{2-}$  both increase gradually with increasing ALWC<sub>HTDMA</sub> and PM<sub>1</sub> mass concentration from 27 November to 30 November 2017. This is likely because the increase in ALWC 477 478 is beneficial to the oxidation of organics and the transformation of SO<sub>2</sub> to  $SO_4^{2-}$ , implying the importance of aqueous-phase chemical reaction on haze formation in Beijing. The production of 479 480 secondary organic and inorganic species can further enhance aerosol hygroscopicity, increasing ALWC in the atmosphere. This positive feedback is the reason behind the rapid formation of heavy haze events 481 in Beijing (G. Wang et al., 2016). A rapid increase in  $f_{44}$  and  $F_{S04}^{2-}$  was seen during the first period at 482 night on 27 November (shown by green and red arrows in Fig. 9) when organics contributed the most 483 to ALWC. This suggests that ALWC contributed by organics may have played an important role in the 484 formation of secondary species at the initial stage of the pollution event. 485

486

# 487 5. Conclusions

In this study, the aerosol liquid water content (ALWC) was calculated using the size-resolved aerosol hygroscopic growth factor and the particle number size distribution (PNSD) measured at a Beijing urban site during the APHH winter campaign (8 November to 15 December 2017). Also done 491 were simulations using the ISORROPIA II model with measured aerosol chemical composition data 492 as input data. During the sampling period, seven heavy haze episodes were selected to investigate the 493 influence of different factors (PNSD, ambient RH, and aerosol chemical composition) on ALWC.

The calculated and simulated ALWC (ALWC<sub>HTDMA</sub> and ALWC<sub>ISO</sub>) agree well (correlation of 494 495 determination  $R^2$  equal to 0.89). However, ALWC<sub>ISO</sub> is much lower than ALWC<sub>HTDMA</sub> for RH below 60 %, even approaching zero many times. This deviation is in part attributed to the neglect of the 496 contribution of organics to ALWC (ALWCorg) in the ISORROPIA II model, contradicting with 497 previous studies ignoring this contribution. The aerosol hygroscopicity of organics was also derived 498 499 in this study for use in calculating ALWCorg. The sum of ALWCISO and ALWCorg has a higher correlation ( $R^2 = 0.92$ ) with the calculated ALWC (i.e., ALWC<sub>HTDMA</sub>), especially for RH below 60 %. 500 This implies that organic aerosols are also an important contributor to ALWC. 501

502 PNSD, ambient RH, and aerosol chemical composition are all found to affect ALWC significantly. 503 Nucleation mode and Aitken mode particles have little influence on ALWC. Accumulation mode particles play a key role in determining ALWC and dominate among all aerosol modes. ALWC is 504 highly related to the relative humidity (RH) when RH exceeds a critical RH value that is different for 505 506 different PM1 mass concentrations. ALWC varies diurnally with its extreme values appearing at night 507 and during the day respectively. The diurnal variation of ambient RH explains this. However, there is a three-hour difference between when the extreme ALWC and RH values occur, caused by the diurnal 508 509 variations in PNSD and aerosol chemical composition.

510 On average,  $ALWC_{Org}$  accounts for ~30 % ± 22 % of the total aerosol liquid water during the 511 sampling period. This shows the significant contribution of organic species to ALWC. Our results 512 suggest that ALWC is not only driven by inorganic salts but also driven by organics in Beijing. This is 513 different from the results obtained in the Po Valley in Italy (Hodas et al., 2014) and the eastern U.S. 514 (Carlton et al., 2013) where the ALWC is driven by nitrate and sulfate respectively. Finally, one case 515 study was used to study the importance of ALWC<sub>Org</sub> on multiphase chemical reactions. ALWC<sub>Org</sub> was

516	found to play an important role in the formation of secondary aerosols by speeding up aqueous-phase	
517	reactions at the initial stage of heavy haze. Our study is important for investigating the contribution of	
518	organics to ALWC and its importance on haze formation in Beijing.	
519		
520	Data availability. Data used in the study are available from the first author upon request	
521	( <u>201631490012@mali.bnu.edu.cn</u> ).	
522		
523	Author contributions. ZL and YW designed the experiment; YW, XJ, and WX carried it out and	
524	analyzed the data; other co-authors participated in science discussions and suggested additional	
525	analyses. XJ and YW prepared the paper with contributions from all co-authors.	
526		
527	Competing interests. The authors declare no competing interests.	
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533	2013CB955801). We thank all participants of the field campaign for their tireless work and	<b>带格式的:</b> 字体:(中文)宋体,字体颜色:文字1
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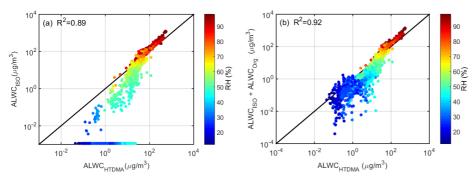
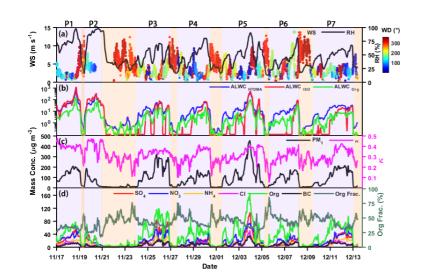


Figure 1. Comparison between ALWC<sub>HTDMA</sub> and (a) ALWC<sub>ISO</sub> and (b) the sum of ALWC<sub>ISO</sub> and ALWC<sub>Org</sub>. ALWC<sub>HTDMA</sub> refers to calculated ALWC based on the measured growth factor and PNSDs, ALWC<sub>ISO</sub> refers to simulated ALWC from the ISORROPIA II model, and ALWC<sub>Org</sub> refers to the inferred ALWC contributed by organic species. The coefficient of determination R<sup>2</sup> is given in each panel. The color of the dots denotes the ambient RH; the solid line denotes the 1:1 line.

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790 Figure 2. Time series of (a) wind speed (WS, left y-axis), ambient relative humidity (RH, right y-axis), and wind

direction (WD, colored dots), (b) ALWC<sub>HTDMA</sub> (in blue), ALWC<sub>ISO</sub> (in red), and ALWC<sub>Org</sub> (in green), (c) PM<sub>1</sub> mass
concentration (left y-axis) and hygroscopicity parameter (*κ*, right y-axis) calculated using the ZSR model described
by Eq. (7), and (d) mass concentrations of aerosol species in PM<sub>1</sub> (left y-axis) and organic aerosol mass fraction
(right y-axis). Seven polluted episodes (segments of the time series with a purple background) are selected for
examination.



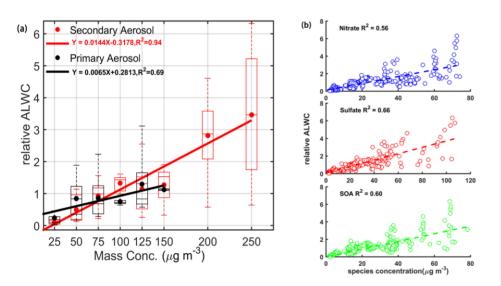
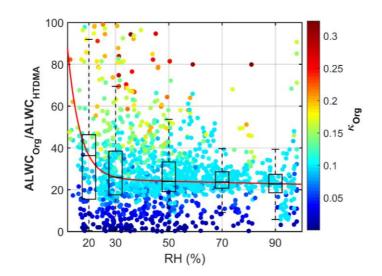
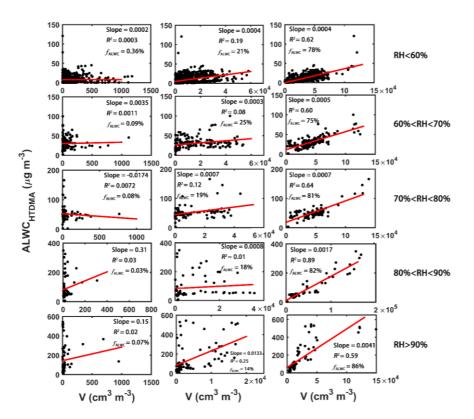


Figure 3. The correlation analysis between relative ALWC and (a) primary (in black) and secondary (in red) aerosol mass concentrations, and (b) nitrate, sulfate, and secondary organic aerosol (SOA) mass concentrations. Panel (a) shows mean relative ALWCs (solid dots) with boxes showing the 25th, 50th, and 75th percentiles. The extremities show the 5th and 95th percentiles. The solid lines in (a) and the dashed lines in (b) both represent the corresponding best-fit lines from linear regression.



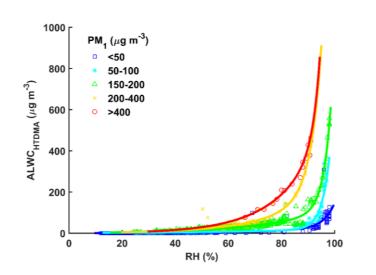
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Figure 4. The variation of the fraction of ALWCorg in total ALWC (ALWC<sub>HTDMA</sub>) with the ambient relative humidity (RH). The color of the dots denotes the hygroscopicity parameter of organics ( $\kappa_{0rg}$ ). The boxes show the fraction of ALWCorg with the 25th, 50th, and 75th percentiles. The extremities show the 5th and 95th percentiles. The red line shows the fitting curve with the function  $y = ae^{bx}$ .



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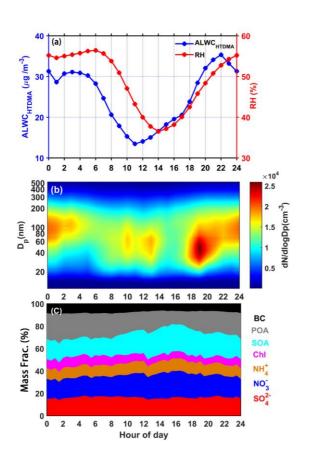
Figure 65. The correlation analysis between ALWC<sub>HTDMA</sub> and the volume concentration of nucleation mode (left column), Aitken mode (middle column), and accumulation mode (right column) particles under different ambient relative humidity (RH) conditions. The average contribution of each mode particles to ALWC under different ambient RH conditions is denoted by  $f_{ALWC}$ . The red lines represent the best-fit lines from linear regression.



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815 Figure 76. The dots show how ALWC<sub>HTDMA</sub> varies with the ambient relative humidity (RH) for different PM1 mass

- 816 concentration ranges (colored symbols). The colored curves represent the best-fit lines through the data using the
- 817 fitting function  $y = ae^{bx}$ .
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820 Figure 87. Diurnal variations of (a) ALWC<sub>HTDMA</sub> (in blue) and ambient RH (in red), (b) particle number size

821 distribution, and (c) the mass fraction of different chemical species. The time is in Beijing time.

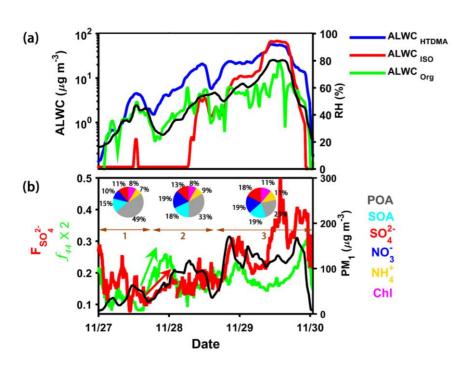


Figure 98. Time series of (a) ALWC<sub>HTDMA</sub> (in blue), ALWC<sub>ISO</sub> (in red), ALWC<sub>Org</sub> (in green), and RH (right y-axis), and (b) the sulfur oxidation ratio ( $F_{SO4}^{-2}$ ),  $f_{44}$ , and PM<sub>1</sub> mass concentration (right y-axis) during the P4 case in Figure 2. The pie charts in (b) represent the average chemical compositions of PM<sub>1</sub> during three stages of the pollution event (denoted by brown horizontal lines). The red and green arrows in (b) indicate the rapid increase in  $F_{SO4}^{2-}$  and  $f_{44}$  at the initial stage.