2	Variability and past long-term changes of brominated VSLS at the
3	tropical tropopause
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## 1 Abstract

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3 Halogenated very short-lived substances (VSLS), such as bromoform (CHBr<sub>3</sub>), can be 4 transported to the stratosphere and contribute to the halogen loading and ozone depletion. Given 5 their highly variable emission rates and their short atmospheric lifetimes, the exact amount as 6 well as the tempo-spatial variability of their contribution to the stratospheric halogen loading is 7 still uncertain. We combine observational data sets with Lagrangian atmospheric modelling in 8 order to analyse the spatial and temporal variability of the CHBr<sub>3</sub> injection into the stratosphere 9 for the time period 1979-2013. Regional maxima with mixing ratios of up to 0.4-0.5 ppt at 17 10 km altitude are diagnosed to be over Central America (1) and over the Maritime Continent/West 11 Pacific (2), both of which are confirmed by high-altitude aircraft campaigns. The CHBr<sub>3</sub> 12 maximum over Central America is caused by the co-occurrence of convectively driven short 13 transport time scales and strong regional sources, which in conjunction drive the seasonality of 14 CHBr<sub>3</sub> injection. Model results at a daily resolution reveal isolated, exceptionally high CHBr<sub>3</sub> 15 values in this region which are confirmed by aircraft measurements during the ACCENT 16 campaign and do not occur in spatially or temporally averaged model fields. CHBr<sub>3</sub> injection 17 over the West Pacific is centred south of the equator due to strong oceanic sources underneath 18 prescribed by the here applied bottom-up emission inventory. The globally largest CHBr<sub>3</sub> 19 mixing ratios at the cold point level of up to 0.6 ppt are diagnosed to occur over the region of 20 India, Bay of Bengal and Arabian Sea (3), however, no data from aircraft campaigns are 21 available to confirm this finding. Interannual variability of stratospheric CHBr<sub>3</sub> injection of 10-22 20% is to a large part driven by the variability of coupled ocean-atmosphere circulation systems. 23 Long-term changes, on the other hand, correlate with the regional SST trends resulting in 24 positive trends of stratospheric CHBr3 injection over the West Pacific and Asian monsoon 25 region and negative trends over the East Pacific. For the tropical mean, these opposite regional 26 trends balance each other out resulting in a relatively weak positive trend of 0.017±0.012 ppt 27 Br/decade for 1979-2013, corresponding 3% Br/decade. The overall contribution of CHBr<sub>3</sub> 28 together with CH<sub>2</sub>Br<sub>2</sub> to the stratospheric halogen loading accounts for 4.7 ppt Br, in good 29 agreement with existing studies, with 50%/50% being injected in form of source and product 30 gases, respectively.

# 1 1 Introduction

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3 It has long been recognized that the depletion of stratospheric ozone over the last 30 years is 4 mainly caused by human-made chlorine- and bromine-containing substances, often referred to 5 as ozone-depleting substances (ODS) (Carpenter and Reimann et al., 2014). The Montreal 6 Protocol, crafted in 1987 to control the production and consumption of ODSs, has been very 7 successful in reducing the emission of the long-lived halocarbons. As a result, the overall 8 abundance of ODS in the atmosphere has been decreasing since the beginning of the 21st 9 century and the stratospheric ozone layer is expected to recover around the middle of the 21st century (Austin and Butchart, 2003; Carpenter and Reimann et al., 2014, Salawitch et al., 2019). 10

11 In contrast to long-lived halocarbons, the so-called Very Short-Lived Substances (VSLS) with 12 chemical lifetimes of less than 6 months (e.g. Ko and Poulet et al., 2003), are not controlled by 13 the Montreal Protocol and are even suggested to increase in the future (e.g., Pyle et al., 2007; 14 Tegtmeier et al., 2015; Ziska et al., 2017). Brominated VSLS are primarily of natural origin 15 emitted by oceanic macroalgae and phytoplankton (e.g., Quack and Wallace, 2003). Over the 16 last years there has been increasing evidence from observational (e.g., Dorf et al., 2008; Sioris 17 et al., 2006; McLinden et al., 2010; Brinckmann et al., 2012) and modelling (e.g., Warwick et 18 al. 2006; Liang et al., 2010; Hossaini et al., 2012b; Tegtmeier et al., 2012; Hossaini et al., 2016) 19 studies that VSLS provide a significant contribution to stratospheric total bromine (Br<sub>v</sub>). 20 Current estimates of this contribution are about 5 (3 - 7) ppt bromine (Engel and Rigby, 2018; 21 Navarro et al., 2015; Wales et al., 2018). The injection of VSLS into the stratosphere in form 22 of source gases (SGs) or inorganic product gases (PGs) depends strongly on the efficiency of 23 troposphere-stratosphere transport versus the degradation of the source gases (through 24 photochemical loss) and product gases (through wet deposition). In particular, the question of 25 heterogeneous release of bromine back to the gas phase, which determines the efficiency of wet 26 deposition as a sink for Br<sub>v</sub>, is currently under discussion (e.g., Salawitch, 2006; Aschmann et 27 al., 2011, Fernandez et al., 2014, Schmidt et al., 2016). Once brominated VSLS have reached 28 the stratosphere in the form of SG or PG, they participate in ozone depletion at middle and high 29 latitudes (Braesicke et al., 2013; Yang et al., 2014; Sinnhuber and Meul, 2015). Through their relatively large impact on ozone in the lower stratosphere, they contribute -0.02Wm<sup>-2</sup> to global 30 31 radiative forcing (Hossaini et al., 2015).

1 The most abundant bromine containing VSLS are bromoform (CHBr<sub>3</sub>) and dibromomethane 2 (CH<sub>2</sub>Br<sub>2</sub>) with atmospheric lifetimes estimates ranging from 16 (50) days at the ocean surface 3 to 29 (400) days in the TTL for CHBr<sub>3</sub> (CH<sub>2</sub>Br<sub>2</sub>) (Hossaini et al., 2012b). Both gases have 4 potentially important source regions in tropical, subtropical and shelf waters (e.g., Butler et al., 5 2007; Quack et al., 2007). The emissions of brominated VSLS from the ocean into the 6 atmosphere can be derived based on their concentration gradient between water and air, wind 7 speed, sea surface temperature and salinity (e.g. Nightingale et al. 2000; Quack and Wallace 8 2003; Ziska et al. 2013). The magnitude and distribution of brominated VSLS emissions are 9 poorly constrained given the sparse observational data base of their oceanic and atmospheric 10 concentrations (Ziska et al., 2013). Current emission inventories have been mostly derived via 11 the top-down approach by adjusting the estimated VSLS emissions in a global atmospheric 12 model to produce agreement of the model simulations with aircraft observations. For CHBr<sub>3</sub>, 13 the current global top-down emissions range between 426 - 530 Gg Br/year (Liang et al., 2010; 14 Warwick et al., 2006, Ordonez et al., 2012), while the bottom-up approach based on statistical 15 gap filling of an observational data base suggests smaller global fluxes of 164-236 Gg Br/year 16 (Ziska et al., 2013). A recent oceanic modelling study taking into account source and sink 17 processes projects open ocean emissions of around 72 Gg Br/year in form of CHBr3, not 18 including the strong coastal sources (Stemmler et al., 2015). Quantitative evaluations of various 19 emission inventories demonstrated that the performance of the individual inventories depends 20 strongly on the region and model applied for the evaluation (Hossaini et al., 2013; Hossaini et 21 al., 2016).

22 Stratospheric injection of trace gases with lifetimes of days to weeks is most efficient in regions 23 of strong, high reaching convective activity such as the West Pacific (e.g., Aschmann et al., 24 2009; Pisso et al., 2010; Marandino et al., 2013). The Asian monsoon represents another 25 important pathway to the lower stratosphere (e.g., Randel et al. 2010, Tissier and Legras, 2016) 26 entraining mostly Southeast Asian planetary boundary layer air with the potential to include 27 emissions from the Indian Ocean and Bay of Bengal (Fiehn et al., 2017, 2018b). In both regions, 28 the West Pacific and the Indian Ocean, these effective transport pathways may coincide with 29 strong oceanic emissions (e.g., Ziska et al., 2013) potentially leading to anomalously large 30 injection of brominated VSLS. While aircraft measurements in the West Pacific have confirmed 31 high concentrations of brominated VSLS such as CHBr<sub>3</sub> (Wales et al., 2018), the role of the 32 Asian monsoon as an entrainment mechanism for VSLS is not clear due to the lack of 33 observations in this region. Given the high variability of VSLS measurements in the tropical

tropopause layer (TTL) (Liang et al., 2010), the overall distribution and temporal short- and long-term changes are not well known. Modelling the VSLS distribution in this region depends on the magnitude and distribution of prescribed oceanic emissions, on the representation of tracer transport in the models and on related uncertainties in both quantities (Hossaini et al., 2016). Reconciling snapshots of VSLS distributions derived from high resolution aircraft measurements with lower spatially and temporally smoothed global modelling fields remains a challenge.

8 Changes in oceanic biogeochmical systems over the last decades most likely lead to changes in 9 the marine VSLS production. However, due to the sparse data coverage and missing process 10 understanding, it is currently not possible to quantify such long-term changes of the oceanic 11 halocarbon production and consequences for the air-sea flux (Ziska et al., 2017). Changes in 12 meteorological and oceanic surface parameters, which also impact the oceanic emisson 13 strength, on the other hand, have been quantified. Based on increasing sea surface tempertature, 14 salinity and wind speed, VSLS emissions are projected to increase over the recent past (Ziska 15 et al., 2013) and for future climate projections until 2100 (Tegtmeier et al., 2015, Ziska et al., 16 2017). At the same time, atmospheric transport of VSLS is driven by changes of the 17 atmospheric circulation. In particular, changes of tropical, high reaching convection can be 18 expected to have a large influence on the transport of VSLS from the ocean surface to the TTL 19 (Aschmann et al., 2011; Hossaini et al., 2013). Long-term changes of VSLS injections into the 20 stratosphere are difficult to predict as they are driven by various processes including changes in 21 surface emissions, troposphere-stratosphere transport, and tropospheric chemistry (Pyle et al., 2007; 22 Hossaini et al., 2012a).

23 In our study, we combine observational data sets derived during upper TTL aircraft campaigns 24 with Lagrangian model simulations and an observation based VSLS emission climatology in 25 order to analyse the spatial and temporal variability of VSLS injection into the stratosphere. 26 Model simulations and data sets are introduced in Section 2. A detailed picture of the 27 distribution of CHBr<sub>3</sub> in the TTL (Section 3.1) is derived from Lagrangian transport simulations 28 applied to a bottom-up, observation-based emission inventory. Analyses of the trajectory 29 pathways and comparisons to aircraft observations allow us to evaluate how well we know the 30 hotspots of CHBr<sub>3</sub> injection (Sections 3.2 to 3.4). We will investigate if such hotspots are 31 mainly driven by oceanic or by atmospheric processes by analysing emission patterns and 32 transport pathways derived from the Lagrangian simulations. We present the first estimates of 1 the long-term changes of CHBr<sub>3</sub> injection based on changing oceanic emissions and transport processes (Section 3.5). Finally, the overall contribution of CH<sub>2</sub>Br<sub>2</sub> and CHBr<sub>3</sub> to the 2 3 stratospheric bromine loading is determined from the model simulations (Section 3.6) and 4 compared to existing studies. A summary and discussion of the key results is given in Section 5 4.

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#### 7 2 **Data and Model**

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### 2.1 **Global emission climatology**

9 The global emission scenario from Ziska et al. (2013) is a bottom-up estimate of oceanic CHBr<sub>3</sub>, 10 CH<sub>2</sub>Br<sub>2</sub>, and CH<sub>3</sub>I fluxes. Here we focus on the two brominated compounds. Static global 11 surface concentration maps of the two compounds were generated from atmospheric and oceanic surface ship-borne in-situ measurements collected within the HalOcAt (Halocarbons in 12 13 the ocean and atmosphere) database project (https://halocat.geomar.de). In a first step, the in-14 situ surface measurements were classified based on physical and biogeochemical characteristics 15 of the ocean and atmosphere important for the CH<sub>2</sub>Br<sub>2</sub> and CHBr<sub>3</sub> distribution and sources. In a second step, the global 1°x1° grid was filled by extrapolating the in-situ measurements within 16 17 each classified region based on the ordinary least square and robust fit regression techniques. 18 The method includes all in situ-measurements available through the HalOcAt data base at the 19 time, regardless of season and year of the measurement. The resulting concentration maps are 20 taken to represent climatological fields of a 23-year long time period covering 1979 to 2013. 21 Based on the global concentration maps the oceanic emissions were calculated with the transfer 22 coefficient parameterization of Nightingale et al. (2000), which was adapted to CHBr<sub>3</sub> and 23 CH<sub>2</sub>Br<sub>2</sub> (Quack and Wallace, 2003). While the concentration maps do not provide any temporal 24 variability, the emission parameterization is based on 6 hourly meteorological ERA-Interim 25 data (Dee et al., 2011) allowing for relative emission peaks related to maxima in the horizontal 26 wind fields and sea surface temperature. The emission inventory is available at 6-hourly, daily, 27 and monthly temporal resolution or as a climatology product calculated as a long-term average 28 emission field. Seasonal CHBr<sub>3</sub> emission maps averaged over 1979-2013 are shown in the 29 supplementary material (Fig. S1).

# 1 2.2 Aircraft campaigns

We analyse the spatial and temporal variability of CHBr<sub>3</sub> in the TTL based on the comparison of Lagrangian transport simulations to data from aircraft campaigns. CHBr<sub>3</sub> measurements in the upper TTL are currently available from seven aircraft campaigns. Nearly all of the campaigns took place over Central America, except for the ATTREX campaign which was in large part conducted over the Pacific. Detailed information about the aircraft missions including location and time period are presented in Table 1.

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Campaign (Aircraft)	Full name	Max. altitude	Location	Time period	Database/ Reference
ACCENT (WB-57)	Atmospheric Chemistry of Combustion Emissions Near the Tropopause	19 km	Southern US Gulf of Mexico East Pacific	1999 April, September	http://espoarchive.n asa.gov/archive/bro wse/accent
Pre-AVE (WB-57)	Pre-Aura Validation Experiment	19 km	Southern US Gulf of Mexico East Pacific	2004 January – February	http://espoarchive.n asa.gov/archive/bro wse/pre_ave
<b>AVE</b> (WB-57)	Aura Validation Experiment	19 km	Southern US Gulf of Mexico	2005 June	https://espoarchive. nasa.gov/archive/br owse/ave
<b>CR-AVE</b> (WB-57)	Aura Validation Experiment (Costa Rica)	19 km	Southern US Gulf of Mexico East Pacific	2006 January - February	https://espoarchive. nasa.gov/archive/br owse/cr_ave
<b>TC4</b> (WB-57)	Tropical Composition, Cloud and Climate Coupling	19 km	Southern US Gulf of Mexico East Pacific	2007 August	Toon et al. (2010)

9 Table 1. Aircraft campaigns with CHBr<sub>3</sub> measurements used in the study.

SEAC4RS (ER-2)	Studies of Emissions, Atmospheric Composition, Clouds and Climate Coupling by Regional Surveys	19 km	Southern US Gulf of Mexico	2013 September	https://espo.nasa. gov/missions/seac 4rs
ATTREX (Global Hawk)	Airborne Tropical TRopopause Experiment	19 km	East Pacific	2013 February - March	http://espo.nasa.g ov/missions/attre x
		18 km	West Pacific	2014 February - March	

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# 2 2.3 VSLS transport modelling

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4 We are interested in the direct contribution of CHBr<sub>3</sub> and CH<sub>2</sub>Br<sub>2</sub> to stratospheric halogen 5 loading in the form of source and product gas contributions. Therefore, the atmospheric 6 transport of the two compounds from the oceanic surface into the upper troposphere and TTL 7 is simulated with the FLEXPART Lagrangian particle dispersion model (Version 9.2 beta; Stohl et al., 2005; 2010). The oceanic emissions, based on the sea-to-air flux data from Ziska 8 9 et al. (2013), prescribe the amount of CH<sub>2</sub>Br<sub>2</sub> and CHBr<sub>3</sub> released in the FLEXPART 10 simulations with each air parcel trajectory. The global sea-to-air flux, given on a 1°x1° grid, is 11 used here at a monthly mean temporal resolution. For CHBr<sub>3</sub>, 90 trajectories are released per 12 month from each grid box carrying the gas amount prescribed by the emission scenario. For the 13 longer-lived CH<sub>2</sub>Br<sub>2</sub>, 45 trajectories are released per month. Once all brominated SG and PG 14 has been removed from a trajectory through chemical decay and wet deposition, the trajectory 15 is automatically terminated, so that the number of all active trajectories stays roughly constant 16 (~ 20 million) at all times after the initial spin-up period. The global CHBr<sub>3</sub> simulations are run 17 for 35 years from 1979 to 2013 with a spin-up period of 6 months in order to analyse in detail 18 the spatial-temporal variability and long-term changes of stratospheric injection. For the longer-19 lived CH<sub>2</sub>Br<sub>2</sub> the spatial-temporal variability is known to be much smaller (Hossaini et al., 20 2010) and runs are carried out for three years from 2011 to 2013 with a spin-up period of 18 21 months.

The transport in FLEXPART is driven by meteorological fields from the ECMWF (European
 Centre for Medium-Range Weather Forecasts) reanalysis model. FLEXPART includes

1 parameterizations for moist convection (Forster et al., 2007) and turbulence in the boundary 2 layer and free troposphere (Stohl and Thomson, 1999), dry deposition, and scavenging (Stohl 3 et al., 2005). The runs are based on the 6-hourly fields of horizontal and vertical wind, 4 temperature, specific humidity, convective precipitation, and large scale precipitation from the 5 ECMWF reanalysis product ERA-Interim (Dee et al., 2011) given at a horizontal resolution of 6 1°x1° on 60 model levels. A pre-processor retrieves the meteorological fields from the ECMWF 7 archive, including the vertical wind, which is calculated in hybrid coordinates mass-consistently 8 from spectral data. FLEXPART has been validated based on comparisons with measurement 9 data from three large-scale tracer experiments (Stohl et al., 1998) and with results from 10 intercontinental air pollution transport studies (e.g., Forster et al., 2001; Stohl and Trickl, 1999). 11 Previous FLEXPART studies using a similar model setup as applied here have shown a very 12 good agreement between diagnosed and observed VSLS profiles (e.g., Tegtmeier et al., 2013; 13 Fuhlbrügge et al., 2016).

14 FLEXPART includes the simulation of chemical decay by reducing the tracer mass carried by 15 each air parcel corresponding to its prescribed chemical lifetime. We set the atmospheric 16 lifetime of CHBr<sub>3</sub> (CH<sub>2</sub>Br<sub>2</sub>) to an altitude-dependent lifetime profile ranging from 16 (50) days 17 at the ocean surface to 29 (400) days in the TTL (Hossaini et al., 2012b). The lifetime profiles 18 were derived from simulations of the chemical tropospheric loss processes of CHBr<sub>3</sub> and 19 CH<sub>2</sub>Br<sub>2</sub> with the chemical transport model TOMCAT (Chipperfield, 2006). Previously, profiles 20 from TOMCAT have been shown to agree well with aircraft observations in the tropical 21 troposphere (Hossaini et al., 2012b).

22 The bromine resulting from the photochemical loss of CH<sub>2</sub>Br<sub>2</sub> and CHBr<sub>3</sub>, based on prescribed 23 loss terms, contributes to the inorganic product gases. In the FLEXPART simulations, these 24 product gases are grouped together as Bry and transported together with the VSLS source gases 25 along the trajectory. Thus, we assume instantaneous conversion between organic intermediate 26 product gases and Bry, which has been shown to be reasonable by Hossaini et al. (2010). Bry 27 can be removed effectively from the troposphere through wet scavenging by rain or ice (Yang 28 et al., 2005). FLEXPART includes in-cloud as well as below-cloud scavenging, which is 29 initiated if the relative humidity as calculated from meteorological input data exceeds 80% and 30 the precipitation rate is larger than zero. In FLEXPART, the cloud scavenging ratio is used to 31 model washout of soluble species. The ratio is calculated within FLEXPART with the help of 32 the effective Henry's law coefficient, Heff, which describes the physical solubility of a species

1 as well as the effects of dissociation. Among the members of the Bry family, HBr and HOBr 2 can be washed out while the remaining species Br, BrO, BrONO<sub>2</sub>, and Br<sub>2</sub> are not soluble. HBr 3 has a very large acidity dissociation constant resulting in an effective Henry's law coefficient of 7.1 x  $10^{13}$  M/atm for T = 298 K and pH = 5 (Yang et al., 2005). While HBr provides the main 4 pathway for wet removal of inorganic Br<sub>y</sub>, HOBr is also soluble due to physical solubility, but 5 6 not due to dissociation (Frenzel et al., 1998) with  $H_{eff} = 6.1 \times 10^3$  M/atm. In order to determine 7 which fractions of Br<sub>v</sub> are in the form of HBr and HOBr, we apply the Br<sub>v</sub> partitioning derived 8 from p-TOMCAT simulations (Yang et al., 2010).

9 Based on analysed wind-fields together with complex chemical schemes p-TOMCAT simulates 10 the tracer distribution in the troposphere and lower stratosphere including gaseous phase 11 bromine chemistry. The 3-dimensional Bry field from p-TOMCAT and its partitioning into 12 HOBr, HBr, Br, BrO, BrONO<sub>2</sub>, and Br<sub>2</sub> are given at a time step of 30 min. As the partitioning 13 of the Br<sub>v</sub> field varies strongly with location and time, we apply it in a first step to every air 14 parcel according to its location each time before the wet deposition is initiated. In a second step, 15 wet deposition is calculated individually for each inorganic bromine species based on its 16 solubility specified by the effective Henry's law coefficient, as described above. Once wet 17 deposition is initiated the Br<sub>v</sub> fraction determined to be washed out is removed completely.

18 Dissolved inorganic bromine can be released back to the gas phase by heterogeneous chemical 19 reactions (Abbatt, 2003; Salawitch, 2006), extending the tropospheric lifetime of Br<sub>v</sub> by altering 20 the efficiency of wet deposition (von Glasow et al., 2004). The heterogeneous reactions on 21 aerosols which reactivate bromine radicals from the reservoir species (Yang et al., 2005; 2010) 22 are included in the chemical scheme of p-TOMCAT. This release of bromine back to the gas 23 phase results in elevated BrO/Br<sub>v</sub> ratios (Yang et al., 2010) and thus a lower fraction of Br<sub>v</sub> is 24 subject to wet deposition compared to a scenario without the heterogeneous chemical reactions. 25 As we directly use the p-TOMCAT Bry partitioning for our trajectory simulations, these aerosol 26 effects have indirectly been taken into account when simulating the wet removal of Bry. 27 Uncertainties in the modelled wet deposition arise from the parameterization of solubility via 28 the effective Henry's law coefficient and from uncertainties in the Bry partitioning caused by 29 errors in the aerosols loading and in the mechanism used for heterogeneous reactions. Clouds 30 and aerosols within p-TOMCAT are not matched with those in FLEXPART which might lead to an 31 additional error source.

1 For the analysis of the spatial and temporal variability of CHBr<sub>3</sub> in the TTL from FLEXPART 2 simulations and aircraft observations in Sections 3.1 to 3.5, we use mixing ratios at 17 km 3 (approximate cold point) and mixing ratios averaged over 16-18 km (upper part of the TTL). In 4 order to derive the amount of VSLS source and product gases entrained into the stratosphere from the model simulations in Section 3.6, we explicitly calculate the cold point along each 5 6 trajectory based on the ERA-Interim meteorological fields as stratospheric entrainment point. 7 The derived estimates of stratospheric VSLS entrainment depend on the meteorological input data sets and on various FLEXPART model parameters, such as the convective 8 9 parameterization. The accurate representation of convection has been validated with tracer experiments and <sup>222</sup>Rn measurements (Forster et al., 2007). The application of transport 10 11 timescales based on vertical heating rates instead of vertical wind fields in the TTL between 15 12 and 17 km results in only minor differences of VSLS entrainment (Tegtmeier et al., 2012).

- 1 3 Results
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# 3 **3.1** CHBr<sub>3</sub> in the TTL

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5 Figure 1a shows the long-term annual mean CHBr<sub>3</sub> distribution at 17 km as derived from the 6 Lagrangian transport calculations driven by monthly mean oceanic emission fields for the time 7 period 1979 – 2013. Clearly, CHBr<sub>3</sub> has a very pronounced spatial variability due to its short 8 lifetime. Largest CHBr<sub>3</sub> mixing ratios of up to 0.4 to 0.5 ppt can be found over 1) Central 9 America, 2) the Maritime Continent and tropical West Pacific and 3) tropical Indian Ocean (all 10 regions are highlighted by black squares in Figure 1a labelled from 1 to 3). Other tropical 11 regions with only little convective uplift show smaller mixing ratios, mostly between 0.1 and 12 0.2 ppt.

13 Entrainment of CHBr<sub>3</sub> into the stratosphere shows also a large temporal variability. The 14 seasonal variability is given here by the standard deviation over all monthly, multi-annual mean 15 values (Figure 1b). The by far most pronounced variability is found in the region of the Asian 16 Monsoon anticyclone, which is characterized by a strong seasonality of vertical transport 17 processes (Randel et al., 2010). Furthermore, the distribution of CHBr<sub>3</sub> at the cold point over 18 Central America shows some seasonal variations; however, of smaller magnitude. The 19 Maritime Continent and tropical West Pacific have only a very weak seasonal cycle. Overall, 20 the seasonal variations are more pronounced in the NH tropics and quite low in the SH tropics. 21 Seasonal CHBr<sub>3</sub> entrainment averaged over 1979-2013 are shown in the supplementary 22 material (Fig. S2).

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Interannual variations are given in form of the standard deviation over all annual mean CHBr<sub>3</sub> mixing ratios at 17 km (Figure 1c). In comparison to the seasonal variability, the interannual variability is relatively small in the NH tropics, but is of similar magnitude in the SH tropics. Drivers of the seasonal and interannual variability will be discussed in the following sections. We will analyse the three regions with maximum CHBr<sub>3</sub> entrainment identified above and investigate the relative importance of emissions and transport processes for the overall distribution and seasonality of stratospheric injection.

CHBr<sub>3</sub> [ppt] annual mean 1979–2013, 17 km a) 0.5 40 30 0.4 20 10 0.3 latitude 2 0 0.2 -10 -20 0.1 -30 -40 0 -150 -100 -50 0 50 100 150 longitude b) CHBr<sub>3</sub> [ppt] intermonthly standard deviations 1979–2013, 17 km 0.2 40 30 0.15 20 10 latitude 0 0.1 -10 0.05 -20 -30 0 -40 -150 -100 50 -50 0 100 150 longitude CHBr<sub>3</sub> [ppt] interanual standard deviations 1979–2013, 17 km c) 40 0.2 30 20 0.15 10 latitude 0 0.1 -10 -20 0.05 -30 0 -40 -150 -100 -50 0 50 100 150 longitude

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Figure 1. Modelled annual mean distribution of CHBr<sub>3</sub> at 17 km for 1979-2013 (a) and the inter-monthly (b) and inter-annual (c) variations given by the standard deviations over all monthly, multi-annual mean and annual mean values, respectively. Rectangles stand for regions of maximum CHBr3 mixing ratios and will be discussed in detail in sections 3.2 to 3.4.

- 1 **3.2** Central America
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3 CHBr<sub>3</sub> in the TTL, on its way from the ocean surface to the stratosphere, shows a pronounced 4 maximum over Central America between 0°-20°N and 60°W-110°W (black square in Figures 5 1a and 2a). This maximum is present all year, but most pronounced during NH summer and 6 autumn. In the following, we will use the simulations for June/July/August to address the 7 question, if this maximum arises from very strong oceanic sources or from strong convective 8 transport. The impact of transport on the CHBr<sub>3</sub> distribution in the upper TTL is analysed by 9 estimating the time air masses need from the ocean surface to 17 km based on the FLEXPART 10 model simulations. The transport time of each trajectory is assigned to the location where the 11 trajectory reaches 17 km. A map of the 'ocean surface – 17 km transit times' is derived by 12 averaging over all trajectories on a 1°x1° grid. The tropical annual mean transit time is around 55 days with variations between 45 and 70 days (not shown here). Transit times over Central 13 14 America for the June/July/August season are relatively short with values around 48 days (Figure 15 2b). However, the transit times over the East and Central Pacific are similar or even shorter, 16 suggesting that the vertical transport in this region is as efficient as over Central America. 17 Therefore, atmospheric transport time scales alone cannot explain the CHBr<sub>3</sub> maximum over 18 Central America.

19 In addition to the transit time, we analyse the oceanic sources of CHBr<sub>3</sub> over Central America. 20 Each trajectory reaching the TTL over Central America (black square in Figure 2a) contributes 21 a certain amount of CHBr<sub>3</sub> to this local maximum by carrying it's prescribed oceanic emission 22 (Ziska et al., 2013) from the surface to the cold point. The relative contribution (in %) of each 23 trajectory is assigned to its oceanic release point, thus quantifying which ocean region 24 contributes the largest amounts of CHBr<sub>3</sub> to the local maximum in the TTL. The relative 25 contributions averaged over 1°x1° grid cells (Figure 2c) demonstrate that the largest sources 26 stem from the Gulf of Mexico, the Caribbean Sea and the western North Atlantic. Some smaller 27 contributions come from the west coast of North Africa and from the equatorial Atlantic. The 28 co-occurrence of strong sources and the relatively short transport time scales over the Caribbean 29 Sea and Central America mainly cause the local CHBr<sub>3</sub> maximum in the Central American 30 TTL. While transport time scales are also short (or even shorter) in the eastern Pacific, oceanic emissions are very small there and vice versa more pronounced emissions over the Atlantic and 31 32 along the coast of Africa do not cause a global maximum due to longer transport time scales.

The regional oceanic measurements in surface water, which were used to derive the extrapolated concentration and emission maps (Ziska et al., 2013), are given in Figure 2d. The available data show in particular high oceanic CHBr<sub>3</sub> concentrations at the Florida coastline and in the eastern part of the Gulf of Mexico. A reasonable amount of measurements with a distinctive distribution is available in this region supporting the extrapolated climatological source distribution, which leads to the CHBr<sub>3</sub> maximum in the TTL over Central America discussed above.



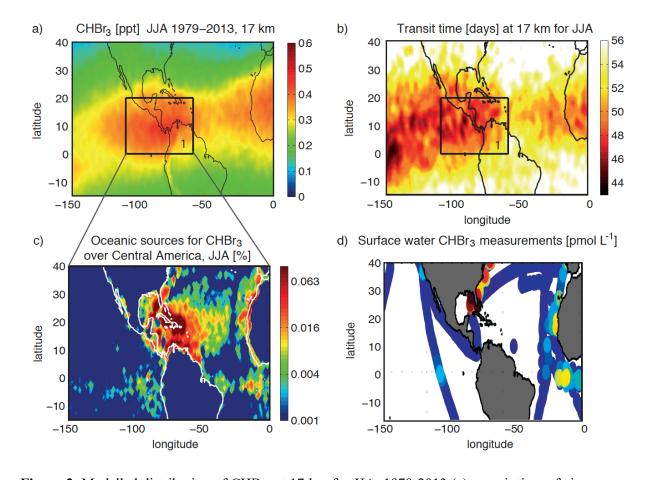


Figure 2. Modelled distribution of CHBr<sub>3</sub> at 17 km for JJA, 1979-2013 (a), transit time of air masses from the ocean surface to the TTL (b), oceanic source regions for CHBr<sub>3</sub> (c), and measurements of oceanic CHBr<sub>3</sub> concentrations from the HalOcAt database used for Ziska et al. (2013) (d). The oceanic source regions in panel c are colour coded according to their contribution [% per  $1^{\circ}x1^{\circ}$  grid box] to the amount of CHBr<sub>3</sub> at 17 km in the black box over Central America (highlighted in panels a and b).

15

1 Over the last decades, the atmospheric distribution of CHBr3 over Central America has been 2 investigated by a number of different aircraft campaigns. We will use available upper air 3 measurements to evaluate the distribution and variability of the model-derived CHBr<sub>3</sub> fields. 4 Details of the aircraft campaigns are given in Table 1. We show the spatial CHBr<sub>3</sub> distribution 5 in the TTL as observed during three different campaigns in comparison to the model simulations 6 (Figure 3). The altitude ranges in the upper TTL have been chosen so that each comparison 7 includes a maximum number of observational data. While for the aircraft campaigns individual 8 measurements are shown at the measurement locations, the model fields are averaged over the 9 duration of the respective campaign. This method allows us to evaluate the spatial distribution 10 of measured and modelled CHBr<sub>3</sub> fields, but it has the disadvantage of comparing in-situ data 11 with temporally averaged fields. We will discuss how this can impact the comparison and how 12 the temporal variability can be taken into account.

For the Pre-AVE campaign during Norther Hemisphere (NH) winter, CHBr<sub>3</sub> in the upper TTL (16-18 km) shows a latitudinal gradient with small values of 0-0.1 ppt in the northern subtropics and with higher values of up to 0.3-0.4 ppt around the equator. The same gradient is also evident from the model simulation resulting in an overall good agreement. Similarly, for the AVE campaign during NH summer, both, the observations and the model results, show a latitudinal gradient with increasing values towards lower latitudes. However, here the overall agreement is poor, since the model results are on average 50% larger than the measurements.

### CHBr3 [ppt], Aircraft campaign vs. FLEXPART

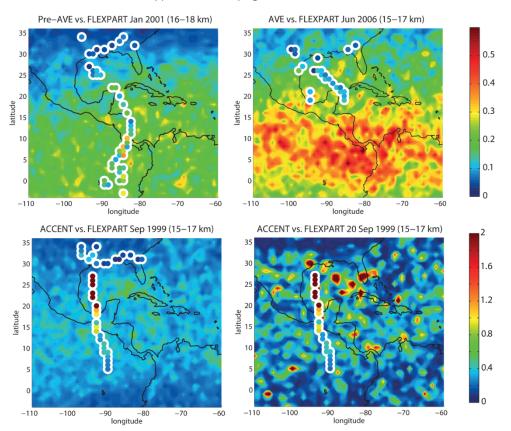


Figure 3. Modelled distribution of CHBr<sub>3</sub> in the upper TTL from FLEXPART (background colouring) in comparison with aircraft campaign measurements (coloured symbols with white edges). In the upper panels and lower left panel, all individual measurements from the respective campaign and the model mean over the same time period are shown. Only in the lower right panel, one individual flight (ACCENT flight from 20.09.1999) is shown with FLEXPART daily mean values to illustrate the large spatial variability including maximum values  $\geq 2$  ppt.

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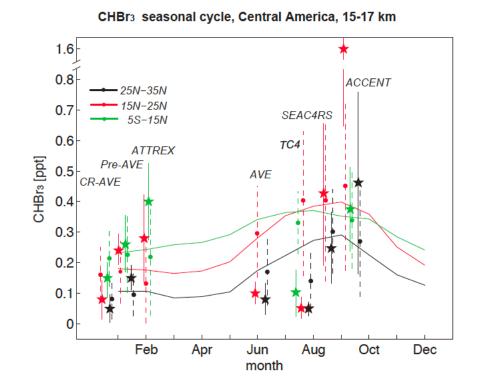
9 Finally, for the ACCENT campaign during NH autumn, the observations reveal extremely high CHBr<sub>3</sub> (up to 2 ppt) between 30°N and 20°N. While CHBr<sub>3</sub> is decreasing north and south of 10 11 this area towards the range of 0.5-1 ppt, the values are still very high when compared to other 12 campaigns over Central America. FLEXPART results, averaged over the time period of the 13 ACCENT campaign (Sep 1999), show largest monthly mean CHBr<sub>3</sub> values of around 0.7 ppt, 14 which are substantially smaller than the observations of 2 ppt. However, the model results look 15 quite different and show large spatial inhomogeneities when evaluated at a daily mean 16 resolution. Maximum model values are much higher for the daily resolution and in some 17 locations, very close to the flight track, of similar size as the observations (around 2 ppt). The

1 spotty features in the model simulations are a result of the high oceanic sources directly 2 underneath interacting with localized convective transport. The latter brings localized air 3 masses with very high CHBr<sub>3</sub> mixing ratios from the boundary layer into the 15-17 km layer. 4 The differences between monthly and daily mean model values make clear that CHBr3 model-5 measurement comparisons may be obscured by the high variability of the field. Given this high 6 variability and the existing uncertainties in the diagnosed oceanic sources and atmospheric 7 transport processes, it is very difficult for a model to predict the correct in-situ values at a given 8 time and measurement position. Nevertheless, if the large-scale emissions and transport fields 9 are correct, spatial and temporal averaging of the model results can be expected to produce 10 realistic mean VSLS fields and to improve the agreement with observations. Only in cases 11 where rare events have been observed, averaging the CHBr<sub>3</sub> fields will not necessarily lead to 12 a better agreement with the measurements, as demonstrated above for the ACCENT campaign. 13 In consequence, it is important to include estimates of the spatial and temporal variability of 14 the CHBr<sub>3</sub> field in all comparisons.

15 A summary of the CHBr<sub>3</sub> model results compared to all aircraft campaigns in the Central 16 American region, taking into account spatial and temporal variability, is provided in Figure 4. 17 Here, we compare measurements averaged over different parts of the flight tracks (split by 18 latitude) with FLEXPART coincidences averaged over the same latitudinal bins. In addition, 19 the FLEXPART seasonal cycle averaged over 110°W-80°W, the main longitudinal extent of 20 the aircraft campaigns, and the entire campaign time period (1999-2013), is shown. The 21 variability of the CHBr<sub>3</sub> distribution from observations and coincident model values is given 22 by the standard deviation over all values in the respective region. The comparison of the three 23 campaigns during NH winter shows an overall good agreement. For some latitude bins, the 24 modelled mean values agree very well with the observations (e.g., Pre-AVE for 5°S-15°N°), 25 for other regions, differences of the mean values can be up to 50-100%. However, all 26 observational mean values are within the standard deviations of the modelled field indicating 27 good agreement of model and measurements.

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**Figure 4.** Seasonal cycle of CHBr<sub>3</sub> in the upper TTL (15-17 km) over Central America from FLEXPART simulations (solid lines) averaged over 110°W-80°W and 5°S-15°N (green), 15°N-25°N (red), and 25°N-35°N (black) for 1999-2013. In addition, aircraft measurements (stars) and coincident FLEXPART values (filled circles) are shown averaged over the same latitude bins and corresponding to the respective year of the campaign. Temporal and spatial variability of average measurements (solid vertical lines) and coincident model values (dashed vertical lines) is shown in form of the 1-sigma standard deviations over all values in the respective bin.

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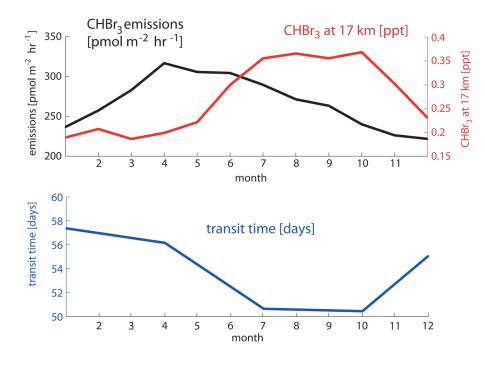
10 For the campaigns during NH summer, mean differences are in general larger than during NH 11 winter. At the same time, the temporal and spatial variability of the simulated and observed 12 CHBr<sub>3</sub> distribution is also larger so that most observations agree with the coincident model 13 values within their uncertainties. The large differences between the individual campaigns 14 during NH summer confirm the increased variability suggested by the model results. For two 15 of the campaigns (AVE and TC4), FLEXPART overestimates the CHBr<sub>3</sub> values during this 16 time of the year, while for the other two campaigns (SEAC4RS and ACCENT), the observations 17 and modelled values agree relatively well except for one outlier. Particularly high CHBr<sub>3</sub> exists 18 for the 15°N-25°N region, observed during the ACCENT campaign at the top altitude of a 19 plume extending from 14 – 16 km near Houston, Texas. This value is larger than the model 20 mean, although observational and model uncertainties slightly overlap. In total, observations

and model agree reasonably well with a larger variability during the NH summer and early
 autumn period. For this time of the year, the model also suggests a seasonal CHBr<sub>3</sub> maximum
 which is confirmed by measurements from SEAC4RS and ACCENT, but not by the AVE and
 TC4 campaigns.

5

6 CHBr<sub>3</sub> in the upper TTL over Central America shows pronounced seasonal variations as 7 revealed by the comparisons to aircraft campaigns in Figure 4. The CHBr<sub>3</sub> seasonal cycle at 17 8 km shows a maximum from July to October (~0.37 ppt) and a minimum from January to April 9 (~0.17 ppt) (Figure 5a). Such seasonal variations can be caused by variations of the oceanic 10 emissions or the atmospheric transport times. First, we analyse the seasonal cycle of CHBr<sub>3</sub> 11 emissions, averaged over the source region identified earlier, which show peak emissions from April to June of up to 320 pmol m<sup>-2</sup> hr<sup>-1</sup>. This peak in surface emissions in late spring/early 12 summer is consistent with a peak in the TTL around 2 months later, as the mean transit time 13 14 from the surface to 17 km in this region is about 55 days. Second, we analyse the seasonal cycle 15 of the transit time and find a minimum from July to October, which is also consistent with the 16 highest CHBr<sub>3</sub> values in the TTL during the same time period. While the amplitude of the seasonal cycle in CHBr<sub>3</sub> in the TTL is around 74%, seasonal variation of the emissions and the 17 18 transit time are only 36% and 15%, respectively. However, the amplitude in transit time does 19 not directly translate into the amplitude in CHBr<sub>3</sub> in the TTL, given the logarithmic nature of 20 the atmospheric lifetime of chemical compounds. Overall, the interaction of both processes, 21 oceanic emissions and transit time, cause the pronounced seasonal cycle of CHBr<sub>3</sub> over Central 22 America.

23



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Figure 5. Seasonal cycle of CHBr<sub>3</sub> at 17 km over Central America (black square in Figure 2a) from
 FLEXPART simulations (red line), of oceanic CHBr<sub>3</sub> emissions averaged over the respective source

4 region (black line) and of the 'surface – 17 km' mean transit time (blue line) are shown.

- 1 **3.3** Maritime Continent and tropical West Pacific
- 2

3 CHBr<sub>3</sub> in the TTL shows a pronounced maximum over the Maritime Continent and tropical 4 West Pacific between 15°S-5°N and 130°E-220°E (black square in Figures 1a and 6a). An 5 important characteristic of this CHBr3 maximum (referred to as the West Pacific maximum 6 hereinafter) is that the high values are not distributed symmetrically across the equator but are 7 shifted southwards. The maximum is present all year with no pronounced seasonal cycle (see 8 Figure 1b). In the following, we will use annual mean results to investigate if the high values 9 arise from very strong oceanic sources or from strong convective transport. The transit time 10 shows smallest values of around 45 days in the West Pacific and over the Maritime continent 11 (Figure 6b). The most important deviation from the CHBr<sub>3</sub> distribution at 17 km is that over the 12 West Pacific the shortest time scales and thus most efficient transport are not centred in the 13 Southern Hemisphere, but they are distributed symmetrically across the equator.

14 Oceanic sources for CHBr<sub>3</sub> in the West Pacific upper TTL (black square in Figure 6a) stem 15 mostly from the Pacific Ocean, the Maritime Continent and also to a smaller degree from 16 Central America (Figure 6c). The trajectory analysis clearly shows that the largest contribution 17 comes from the West Pacific south of the equator, while the oceanic contributions north of the 18 equator are lower. This pattern is directly related to the emission inventory used in this study 19 (Ziska et al., 2013), which suggests overall stronger emissions in the southern Pacific Ocean 20 (see Figure S1 in the supplementary material). However, available open ocean surface 21 measurements in both, NH and SH Pacific Ocean, were sparse during the time of the 22 construction of the inventory and mostly based on the TransBrom Sonne campaign (Krüger and 23 Quack, 2013). The latitudinal gradient of the emission inventory with stronger emissions in the 24 SH is based on the in-situ measurements along one cruise track from Japan to Australia during 25 October 2009 and may not be representative for other seasons and other West Pacific regions. 26 Future ship campaigns are necessary to confirm or improve the existing emission inventory.

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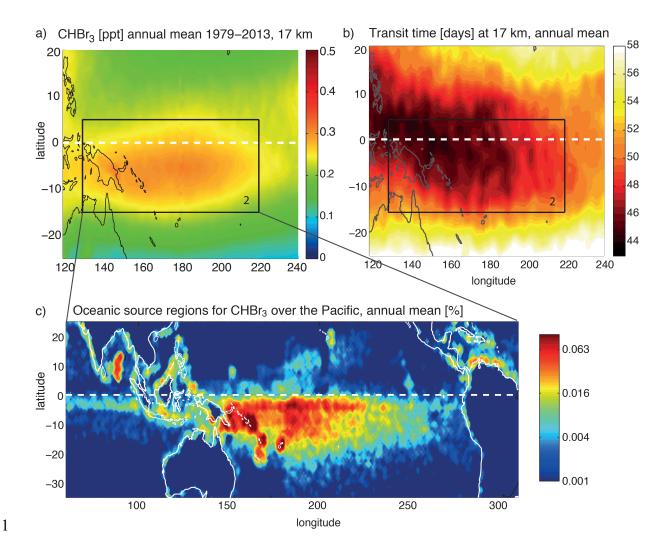
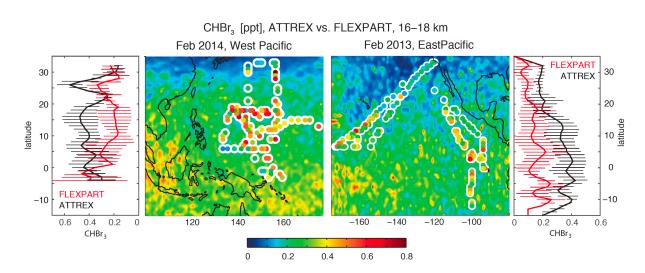


Figure 6. Modelled distribution of CHBr<sub>3</sub> at 17 km, annual mean 1979-2013 (a), transit time of air masses from the ocean surface to the TTL (b), and oceanic source regions for CHBr<sub>3</sub> at 17 km (c). Oceanic source regions are colour coded according to their contribution [% per 1°x1° grid box] to the amount of CHBr3 at 17km in the black box over the West Pacific (highlighted in panels a and b).

- 6
- 7

Pacific aircraft campaigns are used to further analyse the hemispheric differences of the diagnosed CHBr<sub>3</sub> distribution. ATTREX measurements in the West Pacific in 2014 and in the East Pacific in 2013 are compared to FLEXPART simulations in Figure 7. In both regions, the comparison reveals a reasonably good agreement with increasing CHBr<sub>3</sub> values towards lower latitudes. In the West Pacific, measurements and coincident model values agree best south of 10°N, while north of this the model underestimates observations by up to 0.3 ppt. In the East Pacific, model values and measurements are closer in the NH and agree mostly within their

- error bars. South of the equator, however, measurements are constantly larger with differences of up to 0.3 ppt. In total the modelled CHBr<sub>3</sub> entrainment over the Pacific is too small when compared to measurements, which could be due to an underestimation of the oceanic emissions in this region.
- 5



**Figure 7**. Modelled distribution of CHBr<sub>3</sub> in the uppermost TTL from FLEXPART (background colouring) in comparison with ATTREX aircraft campaign measurements (coloured symbols with white edges). Zonal means of coincident model-measurement comparisons are given in the leftmost panel for FLEXPART and the ATTREX campaign in February/March 2014 in the West Pacific and in the rightmost panel for FLEXPART and the ATTREX campaign in February/March 2013 in the East Pacific. Temporal and spatial variability of measurements and coincident model values is shown in form of the 1-sigma standard deviations over all values in the respective zonal bin (horizontal lines).

## 1 3.4 Tropical Indian Ocean

2

3 Annual mean CHBr<sub>3</sub> in the uppermost TTL shows a pronounced maximum over India, the Bay 4 of Bengal and the Arabian Sea between 2°N-22°N and 35°E-110°E (Figures 1a, referred to as 5 the Indian Ocean maximum hereinafter). The simulations diagnose in the long-term mean, the 6 globally highest TTL CHBr<sub>3</sub> values of up to 0.5 ppt over the southern tip of India. At the same 7 time, the intermonthly standard deviation is very high over this region (Figure 1b) due to 8 pronounced seasonal variations. During NH summer (June/July/August), high CHBr3 values of 9 around 0.6 ppt are found over a large region stretching from South-East Asia all the way to North-East Africa between 10°N and 25°N. During SH summer (December/January/February), 10 11 smaller maximum values of around 0.4 ppt CHBr<sub>3</sub> are diagnosed south of India over the Indian Ocean between 5°S-10°N (Figure 8). 12

13 In order to evaluate the transport efficiency for oceanic short-lived trace gases in this region, 14 the transit time is calculated from the trajectory analysis for the NH and SH summer seasons. 15 During NH winter, transit times from the surface to the TTL show a very similar pattern as 16 CHBr<sub>3</sub> in the TTL, with shortest transit times of around 45 days over the Indian Ocean 17 coinciding with largest CHBr<sub>3</sub> abundance. During NH summer, on the other hand, the transit 18 times minimize not in the region of maximum CHBr<sub>3</sub> abundance, but instead south of this 19 region where air masses can reach the TTL within 43 days. Between 10°N and 25°N, the 20 transport is still fast and the transit of short-lived species from their ocean sources will take 21 around 48 days. Overall the transit time is similar to values found for the West Pacific and 22 cannot solely account for the simulated maximum CHBr<sub>3</sub> values.

23 CHBr<sub>3</sub> contributing to the Indian Ocean TTL maximum mostly stems from the Bay of Bengal, 24 the Arabian Sea, the equatorial region of the Indian Ocean and the coast lines of South-East 25 Asian countries like China. Compared to the oceanic contributions identified for the Central 26 America and West Pacific maxima, sources for the Indian Ocean CHBr<sub>3</sub> maxima show a large 27 regional extent including coastal and open ocean emissions from 20°S to 30°N. Given that 28 oceanic emissions from large parts of the Indian Ocean and adjacent coastal areas can be 29 transported into the Asian monsoon region (Fiehn et al., 2017), the CHBr<sub>3</sub> maxima can be explained by the strong oceanic emissions in this region combined with efficient boundary layer 30 31 -TTL transport.

1 The global maximum of CHBr3 over India, Bay of Bengal and the Arabian Sea is also subject 2 to the largest uncertainties when compared to the other maxima found in our model simulations. 3 For the construction of the emission inventory from Ziska et al. (2013), only one data set was 4 available for the Indian Ocean (Yamamoto et al., 2001). The data set is based on measurements 5 at seven stations in the open ocean waters of the Bay of Bengal and reveals relatively high 6 CHBr<sub>3</sub> values between 8 and 15 ng/L. Given the great distance of the sampling points from the 7 coasts, the authors hypothesized that planktonic production is the most probable source for this 8 high CHBr<sub>3</sub> abundance. Independent measurements from the OASIS campaign in 2014 confirm 9 the subtropical and tropical West Indian Ocean as a strong source for CHBr<sub>3</sub> to the atmosphere, 10 although open ocean surface concentrations were overall lower with maximum values of 8 ng/L 11 (Fiehn et al., 2017). A recent update of the Ziska bottom-up CHBr<sub>3</sub> emission climatology (Fiehn et al., 2018b) suggests enhanced emissions in the tropical Indian Ocean, which would lead to 12 13 even higher stratospheric entrainment in this region. While the high values from Yamamoto et 14 al. (2001) were used locally for the emission climatology, the rest of the tropical Indian Ocean 15 was filled by applying open ocean data from the tropical Atlantic and Pacific. In consequence, 16 the emission scenario for the Indian Ocean has large uncertainties and further VSLS 17 measurements are required to confirm or improve our estimates of the Indian Ocean as the region of strongest CHBr3 entrainment into the stratosphere. 18

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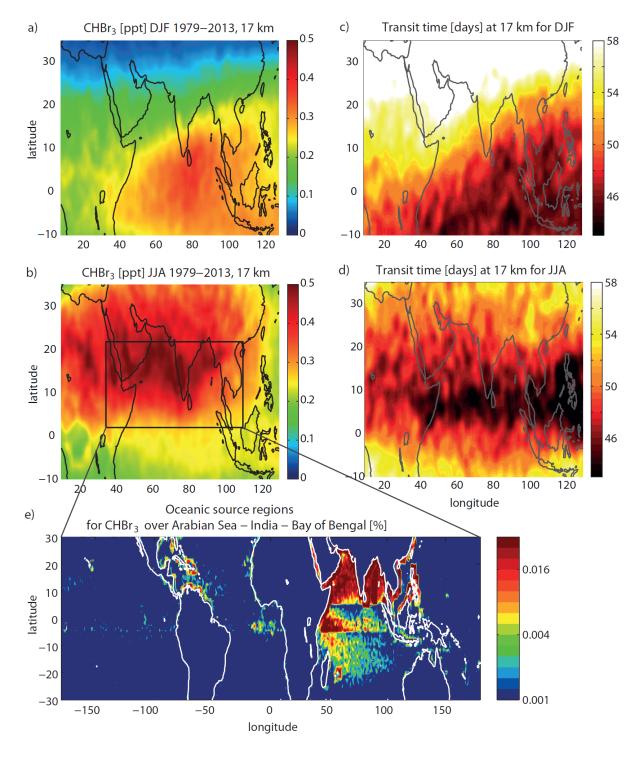


Figure 8. Modelled distribution of CHBr<sub>3</sub> at 17 km for DJF and JJA 1979-2013 (a, b). Transit time of
air masses from the ocean surface to the TTL for DJF and JJA (c, d). Oceanic source regions colour
coded according to their contribution to CHBr<sub>3</sub> at 17km over Arabian Sea, India and Bay of Bengal
(black box in panel b) given in % per 1°x1° grid box (e).

# 1 **3.5** Interannual and long term changes

2 Long term changes of tropical mean (30°N-30°S) CHBr<sub>3</sub> mixing ratios at 17 km show a weak 3 but significant trend of  $0.017\pm0.012$  ppt Br/decade, corresponding to a 10% increase of CHBr<sub>3</sub> 4 over the whole time period (1979-2013). Regionally, the long term changes are more 5 pronounced and FLEXPART simulations suggest decreasing or increasing CHBr<sub>3</sub> in the TTL 6 depending on the location (Figure 9). Over South America, Australia and the Central/East 7 Pacific, the trend is not significant given the relatively small trend values compared to the 8 interannual variability found here. For all other regions, CHBr<sub>3</sub> shows a significant, positive 9 trend of 2-10% per decade. CHBr3 over the Indian Ocean and Maritime Continent is highlighted 10 in Figure 9c as the region with the maximum trend (0.04 ppt Br/decade), mostly driven by the 11 ENSO-related steep changes over the time period 2000-2013 (Fiehn et al., 2018a). CHBr<sub>3</sub> over 12 the East Pacific is highlighted in Figure 9b as an example of a negative, but not significant trend 13 (-0.017 ppt Br/decade).

14 The projected interannual and long-term changes of CHBr<sub>3</sub> injections are driven by the 15 variability of oceanic emissions (Ziska et al., 2013), convective transport from the surface to 16 the TTL (Aschmann et al., 2011) and transport in the TTL (Krüger et al., 2009). Our model 17 runs are based on CHBr<sub>3</sub> emissions that allow for changes over time due to changing 18 meteorological surface parameters (mostly ERA-Interim), but do not take into accout oceanic 19 biogeochemical and related CHBr<sub>3</sub> production changes. Due to increasing sea surface 20 temperature and wind speed, CHBr<sub>3</sub> emissions increase considerably by 7.9% from 1979 to 21 2013 (Ziska et al., 2017). Changes in the modelled atmospheric transport are driven by long-22 term changes in ERA-Interim parameters such as temperature, winds and humidity fields 23 leading to an overall trend of CHBr3 at 17 km of 10% for 1979-2013.

The two CHBr<sub>3</sub> time series over the East Pacific and Indian Ocean/Maritime Continent (Figure 9b and 9c) show the opposite long-term behaviour, but also share some of the same patterns of interannual variability. In particular, signals like the steep CHBr<sub>3</sub> decrease from 1997/1998 to 1999, the increase from 2008 to 2009/2010 and the relatively high values in 1982 are common to both time series. We analyse the common and separate drivers of the variability of the two time series further by comparing them to modes of tropical climate variability.

30 First, we compare the time series of stratospheric bromine in the East Pacific with the

- 31 Multivariate ENSO Index (MEI; Wolter and Timlin, 2011) in Figure 9d. The irregular ENSO
- 32 variations in winds and sea surface temperatures over the tropical eastern Pacific Ocean drive

1 changes in CHBr<sub>3</sub> emissions and atmospheric transport leading to a high correlation of the two 2 time series (r = 0.78). During El Niño years, water in the central and eastern Pacific becomes 3 warmer than usual and the dry and steady easterly winds turn into warm and moist westerlies 4 leading to an increase of the oceanic emissions. This increase is driven by meteorological and 5 oceanic surface variations but does not allow for possible changes in biogenic CHBr<sub>3</sub> 6 production related to changes in the eastern Pacific upwelling system (Hepach et al., 2016). At 7 the same time the warm East Pacific favours stronger convection intensifying the VSLS 8 transport into the TTL (Aschmann et al., 2011). Overall, El Niño years lead to enhanced CHBr3 9 injection over the East Pacific (e.g., 1982, 1986, 1991, and 1997), while La Nina corresponds to weaker CHBr<sub>3</sub> injection (e.g., 1988, and 2010). 10

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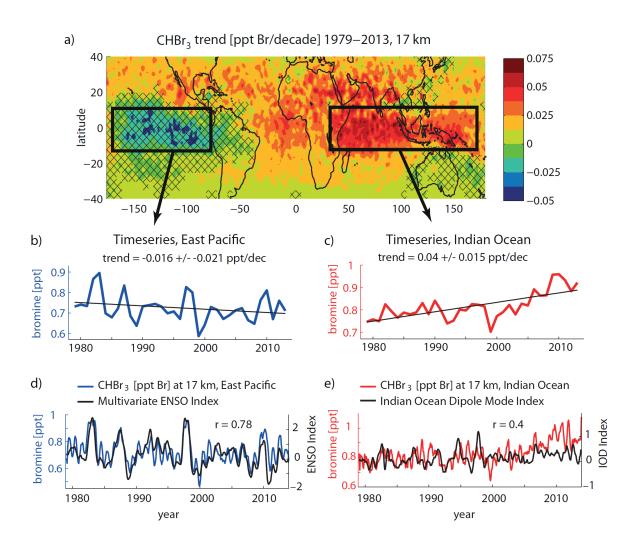




Figure 9. Modelled long-term change of CHBr<sub>3</sub> [Br/decade] at 17 km for the time period 1979-2013
 (a). Time series (annual means) averaged over the East Pacific and the Indian Ocean/Maritime
 Continent/West Pacific region are shown together with the trend (b, c). Time series (5 months running
 mean) are shown together with the ENSO index and Indian Ocean Dipole index, respectively (d, e).

5

6 Second, variations of CHBr3 at 17 km over the Indian Ocean and Maritime Continent are shown 7 together with the Indian Ocean Dipole (IOD) Mode Index (Figure 9e), an indicator of the east-8 west temperature gradient across the tropical Indian Ocean (Saji et al., 1999). The two 9 timeseries are weakly correlated (r=0.4) sharing some of their variability. The IOD is a coupled ocean-atmosphere phenomenon with anomalous cooling of the south eastern tropical Indian 10 11 Ocean and anomalous warming of the western tropical Indian Ocean during a positive phase. 12 Associated with these changes the convection normally situated over the eastern Indian Ocean 13 warm pool shifts to the west. For some years, the positive phase results in slightly stronger 14 CHBr<sub>3</sub> emissions and more effective atmospheric transport (e.g., 1982-83, 2006). In other years, 15 strong IOD events will not impact the CHBr3 abundance over the Indian Ocean/Maritime 16 Continent (e.g., 1997-98). The relatively weak correlation of CHBr<sub>3</sub> injection and IOD results 17 from the influence of the ENSO signal on atmospheric transport in this region. A combination 18 of SST anomalies in the West Indian Ocean and the ENSO signal can have varying impacts on 19 the CHBr<sub>3</sub> injection depending on the time of year (Fiehn et al., 2018a). While positive SST 20 anomalies together with El Niño conditions in boreal winter and spring enhance stratospheric 21 VSLS injection, La Niña conditions in boreal fall can also cause stronger than normal 22 stratospheric injection. Overall, the inter-annual variability of the CHBr<sub>3</sub> time series is driven 23 by a combination of the ocean-atmosphere modes in the Indian and Pacific Ocean, however, 24 the strong increase during 2009-2013 is not related to either of the two modes.

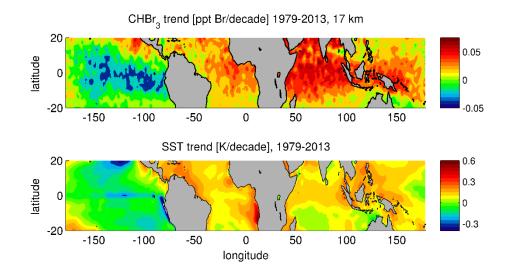


Figure 10. Modelled long-term change of FLEXPART CHBr<sub>3</sub> [ppt Br/decade] at 17 km and ERAInterim sea surface temperature (SST) [K/decade] for the time period 1979-2013.

4

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5 The overall pattern of long-term CHBr<sub>3</sub> changes at 17 km shows a strong similarity to the long-6 term changes in sea surface temperature derived from ERA-Interim data (Figure 10). While the 7 global mean surface temperature has increased due to anthropogenic greenhouse gas emissions 8 (Hegerl et al., 2007), the spatial pattern of global warming is more complex. Most regions 9 exhibit a warming trend over the 35 year period, however, much of the eastern Pacific cooled. 10 This cooling may either be related to an unusual strong manifestation of internal variability in 11 the observations or may be caused by external, regional forcings (e.g., Wang et al., 2012; Luo 12 et al., 2012). ERA-Interim long-term temperature changes over the tropcial oceans show good 13 agreement with HadCRUT, a combined dataset of instrumental temperature records, with only 14 small differences (Simmons et al., 2014). Most interesting for our analysis is the correlation 15 between the SST trends and the long-term changes of stratospheric CHBr3 entrainment. Regions with large positive SST trends such as the Indian Ocean, East Atlantic and Maritime Continent 16 17 coincide with regions where the CHBr3 entrainment trend is strongest. The east Pacific, on the 18 other hand, stands out as the region where the SST cooling trend coincides with decreasing 19 CHBr<sub>3</sub> entrainment. While this relation holds for many oceanic regions, we also find outliers 20 such as the southern Indian Ocean, where SST trends are around zero but CHBr<sub>3</sub> entrainment 21 shows a strong positive trend. Based on our modelling approach, the interaction of two 22 mechanisms causes the strong correlation between the SST and CHBr<sub>3</sub> trends. Higher sea 23 surface temperatures and stronger surface winds force a larger flux of CHBr3 out of the ocean

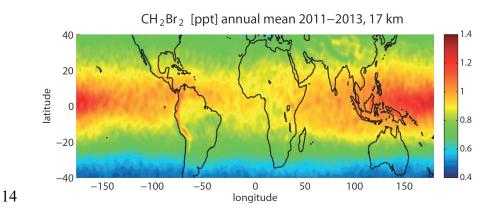
into the atmosphere (Ziska et al., 2013) and at the same time cause enhanced convection, transporting surface air masses into the TTL (Tegtmeier et al., 2015). As the cold point tropopause altitude shows no significant trend in radiosondes or ERA-Interim data over the 1980-2013 time period (Tegtmeier et al., 2020), CHBr<sub>3</sub> changes at 17 km corresond directly to changes of stratospheric CHBr<sub>3</sub> entrainment. Future SST changes can be expected to drive a continued postive trend of stratospheric CHBr<sub>3</sub> entrainment (Hossaini et al., 2012a).

## 1 3.6 Overall CH<sub>2</sub>Br<sub>2</sub> and CHBr<sub>3</sub> contribution to stratospheric bromine

2

3 CHBr<sub>3</sub> together with CH<sub>2</sub>Br<sub>2</sub> provides the main contribution of oceanic bromine to the 4 stratosphere. CH<sub>2</sub>Br<sub>2</sub> mixing ratios in the inner tropical belt (10°S-10°N) show less variability 5 than CHBr<sub>3</sub>, consistent with the longer lifetime, and range between 0.9 and 1.4 ppt. Largest 6 values can be detected over the West and Central Pacific and are distributed evenly over both 7 hemispheres (Figure 11). There is no local CH<sub>2</sub>Br<sub>2</sub> maxima over the Indian Ocean, as observed 8 for CHBr<sub>3</sub>, since no strong localized sources in the region exist according to the Ziska et al., 9 (2013) climatology. However, new ship measurements in the western Indian Ocean revealed high CH<sub>2</sub>Br<sub>2</sub> surface water concentrations, i.e., south of Madagascar in July 2011 (Fiehn et al., 10 11 2017). Seasonal and interannual variations of CH<sub>2</sub>Br<sub>2</sub> are much weaker than for CHBr<sub>3</sub> resulting 12 in a continuous bromine entrainment into the stratosphere.

13



15 **Figure 11.** Modelled tropical annual mean distribution of CH<sub>2</sub>Br<sub>2</sub> [ppt] at 17 km for 2011-2013.

Figure 12 shows the annual, tropical mean CHBr<sub>3</sub> and CH<sub>2</sub>Br<sub>2</sub> profiles averaged over 1979-17 2013. At the surface, tropical mean values of 1 ppt CH<sub>2</sub>Br<sub>2</sub> and 0.6 ppt CHBr<sub>3</sub> are simulated, 18 19 which are slightly smaller than reported observations (Ziska et al., 2013 and references therein). Mixing ratios in the free troposphere decrease by nearly 50% (10%) for CHBr<sub>3</sub> (CH<sub>2</sub>Br<sub>2</sub>) when 20 21 compared to the marine boundary layer. Both gases are well mixed in the free troposphere with nearly constant mixing ratios of 0.3 and 0.9 ppt for CHBr<sub>3</sub> and CH<sub>2</sub>Br<sub>2</sub>, respectively, 22 23 corresponding to 0.9 ppt and 1.8 ppt bromine (Figure 12). CHBr<sub>3</sub> shows a slight S-shape with 24 elevated abundances around 12-14 km related to strong convective outflow at this level bringing

<sup>16</sup> 

marine boundary layer air directly into the lower TTL. Above 14 km, CHBr<sub>3</sub> mixing ratios start to decrease reaching values of 0.22 ppt at 17 km close to the cold point, corresponding to 0.66 ppt bromine. CH<sub>2</sub>Br<sub>2</sub> mixing ratios, on the other hand, stay nearly constant up to 18 km, as expected based on its quite long lifetime of 400 to 500 days in the TTL, reaching values of 0.9 ppt (1.8 ppt bromine).

6 CHBr<sub>3</sub> profiles for four different regions (Figure 12) show that surface atmospheric mixing 7 rations are strongest in the Indian Ocean and Central America. Overall maximum mixing ratios 8 over the Indian Ocean result from strong surface emissions combined with a relatively strong 9 transport and main convective outflow between 11 and 14 km giving an S-shape CHBr<sub>3</sub> profile. 10 Only for the West Pacific, transport into the stratosphere is more efficient, however, smaller 11 emissions lead to the total entrainment over this region being smaller than over the Indian 12 Ocean.

13

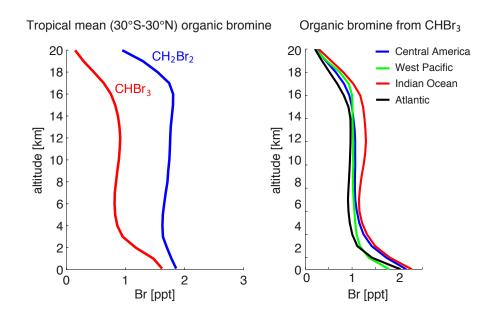


Figure 12. Modelled vertical profiles of CHBr<sub>3</sub> and CH<sub>2</sub>Br<sub>2</sub> [ppt Br] in the tropics (30°S-30°N) (left
panel) and of CHBr<sub>3</sub> for Central America (0°-20°N, 70°W-110°W), West Pacific (15°S-5°N, 140°E150°W), Indian Ocean (0°-20°N, 40°E-110°E), and Atlantic (0°-20°N, 20°W-50°W) (right panel) for
1979-2013.

19

Table 2 gives the contribution of CHBr<sub>3</sub> and  $CH_2Br_2$  to the stratospheric bromine loading based on source gas (SG) injection alone and based on the sum of source and product gas (PG)

1 injection. CHBr3 and CH2Br2 have been evaluated directly at the cold point (as given by ERA-2 Interim) and contribute 2.4 ppt Br to stratospheric bromine loading directly in form of SG 3 entrainment with 75% (25%) resulting from CH<sub>2</sub>Br<sub>2</sub> (CHBr<sub>3</sub>). The CHBr<sub>3</sub> estimates of 0.2 ppt (corresponding to 0.6 ppt Br) are in agreement with other studies which range from 0.1 ppt 4 5 (Warwick et al., 2006; Aschmann et al., 2009) to 0.35 ppt (Hossaini et al., 2012b). For CH<sub>2</sub>Br<sub>2</sub>, 6 our results of 0.9 ppt (corresponding to 1.8 ppt Br) agree very well with CTM modelling studies 7 (Hossaini et al., 2012b) which give estimates of 0.75 - 0.9 ppt. The overall contribution of the 8 two gases in form of SG and PG entrainment of 4.7 ppt is also in good agreement with earlier 9 studies giving estimates ranging from 4-5 ppt (Hossaini et al., 2013) to 7.7 ppt (Liang et al., 10 2014).

11

12 **Table 2**. Modelled contribution of CHBr<sub>3</sub> and CH<sub>2</sub>Br<sub>2</sub> to the stratospheric halogen loading in form of

Br [ppt]	Inner tropics	s (10°S-10°N)	Tropics (30°S-30°N)		
	PG	PG+SG	PG	PG+SG	
	[ppt Br]	[ppt Br]	[ppt Br]	[ppt Br]	
CHBr <sub>3</sub>	0.9	1.1	0.6	0.9	
CH <sub>2</sub> Br <sub>2</sub>	2.1	4.4	1.8	3.8	
CHBr <sub>3</sub> + CH <sub>2</sub> Br <sub>2</sub>	3.0	5.5	2.4	4.7	

13 source gas (SG) and total (SG+PG) contribution for 2011-2013.

14

A detailed comparison of our results over the eastern and western tropical Pacific to results 15 16 derived from ATTREX and CONTRAST aircraft measurements and related model calculations is given in Table 3. Considering that CH<sub>2</sub>Br<sub>2</sub> and CHBr<sub>3</sub> contribute >80% of the total SG Br in 17 18 the TTL, our SG estimates agree very well with the measurements (Navarro et al., 2015; Werner 19 et al., 2017; Wales et al., 2018). PG estimates are in general characterized by larger 20 uncertainties. The PG contribution can be inferred from atmospheric measurements of BrO, the 21 most abundant Bry species, and the partitioning of inorganic Bry derived from a photochemical 22 model (König et al., 2017; Werner et al., 2017; Wales et al., 2018). Uncertainties in this method 23 arise from modelling the Bry partitioning and from uncertainties in measuring BrO and can be 24 as large as  $\pm 2.1$  ppt (e.g., Wales et al., 2018).

1 Our study uses a simplified approach with a prescribed Bry partitioning including its spatial and 2 temporal variations. We have carried out sensitivity studies to analyse how variations of the Bry 3 partitioning impact the total amount of PG reaching the cold point tropopause (not shown here). 4 Our studies show that uncertainties of 20% in the partitioning will lead to variations of  $\pm 0.4$ ppt in the PG entrainment. Such uncertainties in the Bry partitioning can result from errors in 5 6 the aerosols loading and in the heterogeneous reactions. Distributions of total Bry and BrO in 7 p-TOMCAT, the model used to derive the partitioning, have been shown to agree well with in-8 situ and satellite observations (Yang et al., 2005; 2010). If the uncertainties in the partitioning 9 would be as large as 50%, the PG entrainment would show variations of  $\pm$  1.1 ppt. Overall the 10 PG entrainment based on our simplified approach agrees very well (within ± 25%) with 11 estimates from other studies derived from BrO measurements and photochemical modelling 12 (Table 3).

13

14 **Table 3.** Comparison of VSLS source gas (SG) contribution derived from this study and from aircraft

15 measurements. Product gas (PG) contribution derived from this study and studies linking aircraft 16 measurements and modelling.

Br [ppt]	Tropical eastern Pacific			Tropical western Pacific			
	SG	PG	SG+PG	SG	PG	SG+PG	
	[ppt Br]	[ppt Br]	[ppt Br]	[ppt Br]	[ppt Br]	[ppt Br]	
This study	3	2.5	5.5	2.8	2.4	5.2	
Navarro et al. (2015)	3 ± 0.4	3 ± 1.9	6 ± 1.9	$3.3 \pm 0.5$	2 ± 0.2	$5.2 \pm 0.5$	
Werner et al. (2017)	3 ± 0.4	2.6 ± 1.0	5.6				
Wales et al. (2018)				$2.9 \pm 0.6$	2.1 ± 2.1	5.0 ± 2.1	
König et al. (2017)					$2.6\pm0.6$		

## 1 4 Discussion and summary

2

3 We combine observational data sets, including surface and upper air measurements, with high 4 resolution atmospheric modelling in order to analyse the spatial and temporal variability of 5 VSLS entrainment into the stratosphere. Oceanic CHBr<sub>3</sub> in the TTL, on its way from the marine 6 boundary layer into the stratosphere, shows a very high spatial and temporal variability. 7 Regional maxima with mixing ratios of up to 0.4 to 0.5 ppt are simulated to be over Central 8 America (1) and the Maritime Continent and tropical West Pacific (2), both of which are 9 confirmed by high-altitude aircraft campaigns. The strongest stratospheric CHBr<sub>3</sub> entrainment 10 is projected to occur over the region of India, Bay of Bengal and Arabian Sea (3), however, no 11 data from aircraft campaigns are available to confirm this finding. Other tropical regions with 12 only little convective uplift show smaller mixing ratios, mostly between 0.1 and 0.2 ppt. CHBr<sub>3</sub> 13 fields on daily mean or shorter time scales is characterized by pronounced spatial variations 14 with highly localized injections.

15 The modelled CHBr<sub>3</sub> maximum over Central America is caused by the co-occurrence of 16 convectively driven short transport time scales and strong regional sources, with the latter being 17 confirmed by data from various ship campaigns. Moreover, the combined seasonality of 18 transport efficiency and emission strength causes the strong seasonality of CHBr<sub>3</sub> at 17 km over 19 Central America. The model simulations also show a high spatial variability of CHBr<sub>3</sub> with 20 strong latitudinal gradients, which is confirmed by available aircraft campaigns. The 21 comparisons reveal that our model results are similar to the measurements for NH winter, but 22 over- and underestimate (depending on the campaign) observations during NH summer, when 23 the variability is largest. Exceptionally high CHBr<sub>3</sub> observed during the ACCENT campaign 24 is also evident in the model results, but only in the daily and not in the monthly mean values. 25 Given that individual campaigns may not be representative of mean values but may rather 26 describe one side of the large spectrum, differences between model simulations and 27 measurements, such as the ones discussed above, have to be interpreted with caution.

The modelled CHBr<sub>3</sub> maximum in the TTL over the West Pacific is centred south of the equator. This distribution cannot be explained by transport times scales, which are similar north and south of the equator and do not reveal strong hemispheric differences. Instead, strong oceanic sources south of equator, prescribed based on limited available measurements, are responsible for the high CHBr<sub>3</sub> mixing ratios in the SH. Measurements in the upper TTL from the ATTREX aircraft campaign show an overall good agreement with model results, but also indicate that the model underestimates CHBr<sub>3</sub> in the tropics. Furthermore, ATTREX measurements did not show any significant gradient between the NH and SH tropics near the tropopause. Given the scarcity of in-situ measurements in the open ocean water of the West Pacific, it may be possible that oceanic emissions estimates used here are too low, especially north of the equator. Future ship campaigns are needed to confirm spatial and temporal differences and to improve existing bottom-up emission climatologies.

8 The overall strongest maximum over India, Bay of Bengal and Arabian Sea is caused by very 9 large local sources. Transport from the ocean surface to 17 km is also efficient, but not strong 10 enough to solely explain the pronounced maxima. No upper air measurements are available to 11 back this upper TTL maximum and oceanic measurements used for the emission scenarios are 12 also scarce. For the global tropical/extratropical distribution of CHBr<sub>3</sub> entrainment, largest 13 uncertainties exist for estimated maxima in the region over India, Bay of Bengal and Arabian 14 Sea. In situ measurements of the oceanic sources and the atmospheric distribution are needed 15 to reduce local uncertainties and confirm global mean values.

16 Our understanding of stratospheric VSLS entrainment is also limited by the fact that currently 17 available emission inventories do not take seasonal variations of oceanic concentrations into 18 account.

19 Interannual variability of stratospheric CHBr<sub>3</sub> entrainment is to a large part driven by the 20 variability of the coupled ocean-atmosphere circulation systems such as ENSO in the Pacific 21 and IOD in the Indian Ocean. Long-term trends of the CHBr3 entrainment, on the other hand, 22 show a pronounced correlation with the SST trends. Both relations are based on the fact the 23 stratospheric CHBr<sub>3</sub> entrainment is driven by strong sources and convective entrainment, which 24 maximize for high surface temperatures and strong wind speeds. Following the SST trends, 25 long term changes of CHBr3 entrainment are positive in the West Pacific and Asian monsoon 26 region but negative in the East Pacific. The tropical mean trend accounts for an increase of 0.017±0.012 ppt Br/decade resulting in a 10% increase over the 1979-2013 time period. The 27 28 overall contribution of CHBr<sub>3</sub> and CH<sub>2</sub>Br<sub>2</sub> to the stratospheric halogen loading is 4.7 ppt Br 29 with 50% being entrained in form of source gases, and the other 50% being entrained in form 30 of product gases.

1

Data availability. The bromoform and dibromomethane emission inventory data (Ziska et al.,
2013) are available at ACP/Pangaea and the FLEXPART model output can be inquired about
by contacting the authors.

5 Author contributions. ST, KK, and BQ developed the idea for this paper and the model 6 experiments. ST carried out the FLEXPART model calculations and the comparison to the 7 aircraft observations. EA provided aircraft data. FZ compiled the Ziska et al. (2013) climatology 8 for this study. ST wrote the manuscript with contributions from all co-authors. KK and BQ led 9 the ROMIC THREAT project.

10 **Competing interests.** The authors declare that they have no conflict of interest.

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