



## 1 **Potential Regional Air Quality Impacts of Cannabis Cultivation Facilities in** 2 **Denver, Colorado**

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### 13 **Abstract**

14 The legal commercialization of cannabis for recreational and medical use has  
15 effectively created a new and almost unregulated cultivation industry. In 2018, within the  
16 Denver County limits, there were more than 600 registered cannabis cultivation facilities  
17 (CCFs) for recreational and medical use, mostly housed in commercial warehouses.  
18 Measurements have found concentrations of highly reactive terpenes from the headspace above  
19 cannabis plants that, when released in the atmosphere, could impact air quality. Here we  
20 developed the first emission inventory for cannabis emissions of terpenes. The range of  
21 possible emissions from these facilities was 66-657 metric tons/year of terpenes across the state  
22 of Colorado; half of the emissions are from Denver County. Our estimates are based on the  
23 best available information and highlight the critical data gaps needed to reduce uncertainties.  
24 These realizations of inventories were then used with a regulatory air quality model, developed  
25 by the State of Colorado to predict regional ozone impacts. It was found that most of the  
26 predicted changes occur in the vicinity of CCFs concentrated in Denver. An increase of 362  
27 metric tons/year of terpene emissions in Denver County resulted in increases of up to 0.34 ppb  
28 in hourly ozone concentrations during the morning and 0.67 ppb at night. Model predictions  
29 indicate that in Denver County every 1,000 metric tons/year increase of terpenes results in 1  
30 ppb increase in daytime hourly ozone concentrations and a maximum daily 8-hour average  
31 (MDA8) increase of 0.3 ppb. The emission inventories developed here are highly uncertain,  
32 but highlight the need for more detailed cannabis and CCFs data to fully understand the



33 possible impacts of this new industry on regional air quality.

34 **Keywords:** *Cannabis spp.*; emission inventory; biogenic volatile organic compound; terpene;  
35 particulate matter; ozone; air quality

## 36 1. Introduction

37 The rapid expansion of one of the United States' newest industries, the commercial  
38 production and sale of recreational cannabis, was recently likened to the millennial "dot com"  
39 boom (Borchardt, 2017). With an increasing number of states passing bills to legalize  
40 recreational cannabis, the enterprise is set to rival all but the largest of current businesses. The  
41 cultivation, sale, and consumption of recreational cannabis annual sales revenues had reached  
42 \$1.5 billion in the US state of Colorado by 2017 (CDOR, 2018b), exceeding revenues  
43 generated by grain farming in the state. The commercial cultivation and sale of cannabis is not  
44 subject to the same strict environmental monitoring and reporting procedures as other  
45 industries of similar size. While the relaxation of laws has provided certain medicinal and  
46 economic opportunities for the states involved, the potentially significant environmental  
47 impact on air quality due to the production of cannabis has largely been ignored.

48 Previous research on the wider impacts of cannabis production has been limited due to  
49 its federal status as an illegal or controlled substance (Crick et al., 2013; Eisenstein, 2015;  
50 Andreae et al., 2016; Stith and Vigil, 2016). As a result of this status, most studies have focused  
51 on the pharmacological and health effects of the psychoactive constituents of *Cannabis spp.*  
52 (Ashton, 2001; Borgelt et al., 2013; WHO, 2016), or the societal impacts associated with the  
53 illicit nature of the industry (IDCP, 1995; Sznitman and Zolotov, 2015; WHO, 2016). The few  
54 assessments to date on the environmental impacts of the production of *Cannabis spp.* have  
55 centered on the detrimental effects of outdoor cultivation on ecosystems and watersheds due  
56 to land clearance and high water demand (Bauer et al., 2015; Carah et al., 2015; Butsic and  
57 Brenner, 2016). Studies have also quantified the energy consumption of the industry and the  
58 resulting greenhouse gas emissions associated with indoor cultivation (Mills, 2012). Little  
59 attention has been paid to the possible biogenic volatile organic compounds (BVOCs) emitted  
60 from the growing of cannabis and its impact on indoor and outdoor air quality.

61 The only studies that have measured the composition of gaseous emissions from  
62 cannabis have been limited to headspace samples above the plants (Hood et al., 1973; Turner  
63 et al., 1980; Martyny et al., 2013). These studies have shown high concentrations of VOCs



64 such as monoterpenes ( $C_{10}H_{16}$ ), sesquiterpenes ( $C_{15}H_{24}$ ), and cannabinoids. These studies also  
65 measured thiols, a sulfur-containing compound responsible for the characteristic odor of  
66 *Cannabis spp.* (Rice and Koziel, 2015a, b). The principle (trace) components are reported to  
67 be:  $\alpha$ - and  $\beta$ -pinene,  $\beta$ -myrcene, d-limonene, cis-ocimene,  $\beta$ -caryophyllene,  $\beta$ -farnesene and  $\alpha$ -  
68 humulene (Hood et al., 1973; Turner et al., 1980; Hillig, 2004; Fishedick et al., 2010; Martyny  
69 et al., 2013; Marchini et al., 2014; Rice and Koziel, 2015a). The precise mix of chemical  
70 species, however, was strongly dependent on strain and the growing conditions (Fishedick et  
71 al., 2010). It should be noted that the pharmacologically active ingredients, e.g.,  
72 Tetrahydrocannabinol ( $\Delta^9$ -THC), generally have low volatility and therefore are rarely  
73 detected in the gas-phase (Martyny et al., 2013). Measurements in (illicit) CCFs in conjunction  
74 with law enforcement raids in Colorado in 2012 found VOC concentrations of terpenes to be  
75 50-100 ppb within growing rooms (Martyny et al., 2013). In these cases, the CCF operation  
76 contained fewer than 100 plants, compared with the thousands of plants found in currently  
77 licensed premises (CDOR, 2018a). Further, the Spokane Regional Clean Air Agency (SRCAA)  
78 study in Washington state measured indoor VOCs in seven flowering rooms and two dry bud  
79 rooms across four different CCFs. The average terpene concentration was  $361 \pm 497$  ppb in  
80 those facilities (Southwellb et al., 2017). These indoor measurements indicate the presence of  
81 BVOCs, but only limited studies have actually determined the chemical profile of gases  
82 actually emitted by the growing plants. For comparison, summertime outdoor monoterpene  
83 concentrations in forested regions of Colorado are typically less than 4 ppb (Ortega et al.,  
84 2014).

85 Terpenoids, such as monoterpenes ( $C_{10}H_{16}$ ) and sesquiterpenes ( $C_{15}H_{24}$ ), are highly  
86 reactive compounds with atmospheric lifetimes ranging from seconds to hours (Fuentes et al.,  
87 2000; Seinfeld and Pandis, 2006). They are primarily biogenic in origin (Fuentes et al., 2000;  
88 Guenther et al., 2012) and their reactions alter the atmospheric oxidizing capacity, resulting in  
89 a range of low volatility products that can partition into the aerosol phase and, depending on  
90 the concentration of nitrogen oxides ( $NO_x$ ), lead to the formation of ozone (Laothawornkitkul  
91 et al., 2009; Guenther et al., 2012). Both ozone and aerosols are climate-relevant components  
92 of the atmosphere as well as criteria air pollutants (USEPA, 2016).

93 In Colorado, the commercial growing of *Cannabis spp.* is restricted to secure and  
94 locked premises, resulting in indoor operations in most counties (CDOR, 2018a). Since  
95 legalization, the number of cannabis cultivation facilities (CCFs) has risen to 1,400 across the  
96 state of Colorado in 2018, including more than 233 registered recreational and 375 medical



97 CCFs within the Denver city limits alone. In Denver, the CCFs are commonly housed in  
98 commercial warehouses and the majority of these are located near transport links such as train  
99 hubs and major interstate highways (CDOR, 2019; Mills, 2012). Denver and the Front Range  
100 area are currently classified as “moderate” nonattainment of the ozone standard (USEPA,  
101 2017). Due to that status, a federally mandated State Implementation Plan (SIP) was developed  
102 and mutually agreed upon between the state of Colorado and the United States Environmental  
103 Protection Agency (EPA) (CDPHE, 2009). Under the terms of the SIP, Colorado Air Quality  
104 Control Commission (AQCC) developed regulatory models to predict reductions in ozone  
105 precursors (CDPHE, 2009). These studies have found that ozone concentrations in Denver are  
106 VOC-sensitive, meaning that an increase in VOC concentrations will increase ozone  
107 production (UNC-IE and ENVIRON, 2013). The location of CCFs in a VOC sensitive region  
108 in Denver suggests a potential emission source that may impact regional air quality (UNC-IE  
109 and ENVIRON, 2014). This work used the best available information to produce the first  
110 emission inventory of VOCs from CCFs in Colorado. Colorado’s regulatory model was then  
111 used to determine the extent that these emissions could impact regional air quality.



## 112 2. Materials and Methods

### 113 2.1 Emission Rate calculation

114 Figure 1A shows the locations of the licensed 739 recreational and 733 medical CCFs  
 115 in Colorado as of March 2018 (CDOR, 2018a). Eq. (1) was first used to estimate an emission  
 116 rate for each CCF, and then all CCFs were used to build a bottom-up BVOC emission inventory.

$$117 \quad ER_i = \sum_j EC_{ij} \times DPW_{ij} \times PC_{ij} \quad (1)$$

118 Where,  $ER_i$  ( $\mu\text{g h}^{-1}$ ) is the total emissions rate for CCF  $i$  based on the sum of emission  
 119 rates for all  $j$  cannabis strains;  $EC_{ij}$  is the emission capacity ( $\mu\text{g dwg}^{-1} \text{h}^{-1}$ ) for cannabis strain  $j$   
 120 in facility  $i$ ,  $DPW_{ij}$  is the dry plant weight per plant (g) for cannabis strain  $j$ , and  $PC$  is the plant  
 121 count number for strain  $j$  in facility  $i$ .

122 Since state legalization only occurred in 2014, and given the current federal illicit status  
 123 of *Cannabis spp.*, there is a lack of available data for the three parameters used in Eq. (1). The  
 124 following describes the assumptions made for a range of potential values of  $EC$ ,  $DPW$ , and  $PC$   
 125 given the best information available.

#### 126 2.1.1 Emission Capacity (EC)

127 The only data of  $EC$  from a leaf enclosure measurement are of three strains namely:  
 128 Critical Mass, Lemon Wheel and Rockstar Kush, that were 45 days old (Wang et al., 2018).  
 129 This study found that at this growth stage the  $EC$  for total monoterpenes varied among strains:  
 130  $10 \mu\text{g gdw}^{-1} \text{h}^{-1}$  for Critical Mass,  $7 \mu\text{g gdw}^{-1} \text{h}^{-1}$  for Lemon Wheel, and  $6 \mu\text{g gdw}^{-1} \text{h}^{-1}$  for  
 131 Rockstar Kush. The Department of Revenue (DOR) in Colorado has classified *Cannabis spp.*  
 132 in a CCF into four different growth stages: immature (0-24 days old), vegetative (25-79 days  
 133 old), flowering (80-132 days old), and at harvest (132-140 days old) (Hartman et al., 2018a).  
 134 Wang et al. (2018) only sampled at two intervals during the vegetative stage, and it is not  
 135 known how much  $EC$  will change during other stages. There are over 610 other strains (Leafly,  
 136 2018) that are grown in Colorado and the  $EC$  for these strains, or their emission rates during  
 137 other growth stages are currently unknown.

138 CCFs that operate in Colorado will have a wide variety of strains at all four stages of  
 139 growth whose inventory may vary throughout the year. Currently, no database exists that can  
 140 provide the number of plants by strain and growth stage. Thus, it was assumed that each CCF  
 141 had plants that consisted of only one strain and at the vegetative growth stage resulting in a  
 142 single and constant  $EC$  for each CCF. An  $EC$  of  $10 \mu\text{g gdw}^{-1} \text{h}^{-1}$  of total monoterpenes was



chosen based on the Critical Mass strain from the leaf enclosures data (Wang et al., 2018). The plants studied by Wang et al., however, were not grown in the optimized conditions found in a CCF and the reported *ECs* could be conservative. Given this uncertainty in *EC*, and the variety of possible plant stages and strains, sensitivity studies were performed with *ECs* being multiplied by a factor of 5 and 10.

### 2.1.2 Dry Plant Weight (DPW)

No published studies report the *DPW* of a *Cannabis spp.* plant. Both the states of Colorado (METRC, 2018) and Washington (LCB, 2017; Topshelfdata, 2017) track the mass of the commercially sold portion of the plant, the “dry bud.” The Colorado database, however, is not publicly accessible and was not available for this study. In Washington, using data from all type of facilities (outdoor and indoor) from August–October 2017, it was found that the average dry bud mass per plant was  $210 \pm 272$  g (Fig. S1A). The Washington database also includes the “wet bud” weight defined as the mass of the bud after it was just harvested (Fig. S1B), but prior to the 7-10 day drying process. The total waste weight, or the remaining mass of the plant after the buds have been harvested, is also recorded. As shown in Eq. (2), the sum of these two masses should equal the total mass of the wet plant.

$$M_{\text{wet plant}} = M_{\text{wet buds}} + M_{\text{waste}} \quad (2)$$

Where,  $M_{\text{wet plant}}$  is the mass of the entire wet plant (g), and  $M_{\text{wet bud}}$  is the mass of the wet bud (g), and  $M_{\text{wet waste}}$  is the mass of the waste (g).

Data from August-October of 2017 were used with Eq. (2), to estimate the wet plant weight resulting in an average of  $3.77 \pm 3.62$  kg (Fig. S1C). The large range in mass is due to the different growing conditions found in CCFs, and the type of strain being grown. The ratio of the wet and dry bud mass data from Washington was used as a surrogate to determine the percentage of water found in the total plant material as shown in Eq. (3).

$$R_{D/W} = M_{\text{dry bud}} / M_{\text{wet bud}} \quad (3)$$

Where,  $R_{D/W}$  is the ratio of the masses of the dry to wet bud, and  $M_{\text{dry bud}}$  (g) is the mass of the harvested buds after 7-10 days of drying (Fig. S1D).

It was assumed that the same factor could be applied to the total wet plant weight to estimate the remaining the *DPW* as shown in Eq. (4).

$$DPW = M_{\text{wet plant}} \times R_{D/W} \quad (4)$$



173 The average and standard deviation of *DPW* was  $754 \pm 723$  g (Fig. S1E). For the  
174 development of these emission inventories, a *DPW* of 750 g was assumed based on the average  
175 from the Washington database. As a sensitivity, a *DPW* of 1,500 g was chosen for one standard  
176 deviation range, and 2,500 g was chosen based on the upper statistical boundary as shown in  
177 Fig. S1E.

### 178 2.1.3 Plant Count (PC)

179 Counts of all plants larger than 8 inches have been recorded by the Colorado DOR once  
180 per month since 2014. As of June 2018, there are 1.06 million plants (Hartman et al., 2018b,  
181 a). Therefore, 1 million was used as the base number for the emission inventory. The DOR data  
182 only provides county-level information and does not provide details concerning the number of  
183 plants for each CCF. Thus, the county level data and number of CCFs per county was used to  
184 calculate an average number of plants per facility. The average plant count per CCF in Denver  
185 County was 905, and for areas outside of the county, it was 521 plants (Table S1).

186 From June 2016 (826,963 of plants) to June 2018 (1,062,765 of plants), the average  
187 yearly increase in the number of plants was 118,000. Assuming this rate remains constant, by  
188 2025, there could be 2 million plants in the state of Colorado. Hence, a sensitivity case was  
189 developed to account for future growth that included these numbers of plants. Finally, each  
190 CCF must apply for a tiered permit that determines the maximum number of plants that can be  
191 grown and is shown in supplemental Table S2 (CDOR, 2019). As a sensitivity, it was assumed  
192 that each recreational and medical CCF would contain the maximum number of the tier one  
193 permitted plants leading to a state-wide total of 4 million plants. The average plant counts per  
194 CCF and total state-wide plant counts are shown in Table S1.

## 195 2.2 Emission Inventories for Cannabis Cultivation Facilities (CCF)

196 Given the large gaps in knowledge, this study will focus only on variabilities in *EC*,  
197 *DPW*, and *PC* and will hold other parameters constant. For example, to maximize growing  
198 conditions relative humidity, temperatures, CO<sub>2</sub> concentrations, and fertilizer usage are all  
199 optimized and vary widely by CCF. Further, this study did not consider other processes such  
200 as trimming, harvesting and drying buds which may also release BVOCs.

201 For this study, it was assumed that all CCFs operated in the same way at a temperature  
202 of 30°C and 1000  $\mu\text{mol m}^{-2} \text{s}^{-1}$  of photosynthetically active radiation (PAR). In addition, it was  
203 assumed that all emissions from the plants inside a CCF enter the atmosphere. Ventilation to



the atmosphere varies widely by the operation, and there are no current regulations or industry-wide practices that are being used to mitigate emissions.

In total, seven scenarios of emission inventories were created to explore sensitivities in *EC*, *DPW*, and *PC* as shown in Table 1. In scenarios 1-3, the *PC* was held to a total of 1 million and a 750 g *DPW* was assumed. The *EC* of 10  $\mu\text{g gdw}^{-1} \text{h}^{-1}$  as reported by Wang et al. (2018) was used in 1\_*EC*, with a sensitivity that multiplied that rate by a factor of 5 (scenario 2\_*EC*), and 10 (scenario 3\_*EC*). The remaining scenarios in Table 1 kept the *EC* constant at 10  $\mu\text{g gdw}^{-1} \text{h}^{-1}$ . Scenarios 4\_*DPW* and 5\_*DPW* explored the sensitivity of increasing *DPW*, and scenarios 6\_*PC* and 7\_*PC* increased the total plant count.

## 2.3 Model description and analysis tools

### 2.3.1 Model protocols and evaluation

The Comprehensive Air Quality Model with Extensions, CAMx6.10 (Environ and Geophysics, 2017), was used to predict ground-level ozone concentrations. The model and protocols used in this study are based on the Western Air Quality Modeling Study (WAQS) for 2011 (Adelman et al., 2016; Environ and Geophysics, 2017). The WAQS 2011b baseline model simulation period runs from June 15<sup>th</sup> to September 15<sup>th</sup>, 2011, and is driven with meteorological data from WRF version 3.3 for the same time period and domain. The model was initialized using Three-State Air Quality Modeling Study standard boundary and initial conditions (Environ and Geophysics, 2017). The model domain is a 2-way nested grid at 12 and 4 km grid cell resolutions (Fig. 1B). Anthropogenic emissions were derived from EPA National Emission Inventory (NEI) version 2011 NEIv2 with updates for point and area sources of oil and gas emissions in the western US. The biogenic emissions inventory was based on the Model of Emissions of Gases and Aerosols from Nature version 2.1 (MEGANv2.1) (Guenther et al., 2012). All data and supporting documentation are publicly available via the Intermountain West Data Warehouse (IWDW) website (WAQS, 2017).

The revision 2 of Carbon Bond 6 (CB6r2) (Ruiz and Yarwood, 2013) chemistry mechanism was used in all model runs. This groups all monoterpenes as a single compound species, TERP. Thus, the total monoterpenes EC reported in Wang et al. (2018) was converted into the TERP species. TERP undergoes oxidation reactions with the nitrate radical ( $\text{NO}_3$ ), the hydroxyl radical ( $\text{OH}$ ), ozone ( $\text{O}_3$ ), and singlet oxygen. It should be noted that the TERP category includes a wide variety of monoterpenes whose reaction rate constants may vary from TERP ( $k_{298} = 6.77 \times 10^{-11} \text{ molecules cm}^{-3} \text{ s}^{-1}$ ). For example, the rate constant of  $\beta$ -myrcene





236 with OH radical (Hites and Turner, 2009) is  $3.35 \times 10^{-10}$  molecules  $\text{cm}^{-3} \text{ s}^{-1}$  ( $k_{298}$ ), which is 4  
237 time higher than TERP and 5.6 times faster than  $\alpha$ -pinene (Carter, 2010).

238 The details of the WAQS model setup protocol (Environ and Geophysics, 2017) and  
239 model performance (Adelman et al., 2016) can be found in IWDW website. In summary, the  
240 model performance evaluation concluded that this simulation had met all performance goals  
241 for both maximum daily 1-hour (MDA1) and maximum daily 8-hour average (MDA8) ozone.  
242 In the performance review report, it was found that the WAQS model had a positive bias for  
243 ozone simulated in a  $4 \text{ km} \times 4 \text{ km}$  resolution domain, when compared with EPA Air Quality  
244 System (AQS) surface monitors (MDA1: 0.8%, MDA8: 0.9%). On days when ozone  
245 concentrations higher than 60 ppb were measured, the model had a negative bias of  $-6.2\%$  for  
246 MDA1 and  $-6.3\%$  for MDA8. The model evaluation result also noted that the model  
247 performance was best during the spring and summer months.

### 248 2.3.2 Process Analysis

249 CAMx runs used in this analysis had the process analysis (PA) option enabled  
250 (ENVIRON, 2013). The CAMx configuration used here produces two additional files needed  
251 for PA: the integrated reaction rate (IRR) and integrated process rate (IPR). These files include  
252 the rates of change in concentration of every species due to chemistry and transport for every  
253 grid cell and timestep. Python-based Process Analysis (pyPA) and the Python Environment for  
254 Reaction Mechanisms/Mathematics (PERMM) (Henderson et al., 2010; Henderson et al.,  
255 2011) were then applied to post-processing the CAMx PA output. PERMM was used to  
256 aggregate the chemical and physical process rates for selected model grid cells and layers  
257 allowing for tracking of plumes within the planetary boundary layer (PBL).



### 258 3. Results

#### 259 3.1 Emissions Inventory

260 The seven scenarios were used to estimate a range of emissions of BVOCs from CCFs  
 261 for the entire state of Colorado. As shown in Table 2, the base case (BC) scenario estimates  
 262 731,442 ton/year of all VOCs being emitted in Colorado, of which 47% are BVOCs. The BC  
 263 scenario does not include any emissions from the cannabis industry. Table 2 also shows the  
 264 seven scenarios that did include CCF emissions ranked in order of their increases in state-wide  
 265 BVOC emissions. As expected the CCF BVOC emissions scaled linearly with each factor that  
 266 was changed in Eq. (1). In scenario 3\_EC, a 10-fold increase in the emission rate ( $100 \mu\text{g gdw}^{-1} \text{h}^{-1}$ )  
 267 resulted in a 657 metric tons/year increase. Similarly, scenario 2\_EC assumes  $50 \mu\text{g gdw}^{-1} \text{h}^{-1}$   
 268 and produces 329 metric tons/year. Scenarios 4 and 5 showed the sensitivity of terpene  
 269 emissions from CCFs to variation in *DPW* while holding *PC* constant and an *EC* of  $10 \mu\text{g gdw}^{-1} \text{h}^{-1}$ .  
 270 It was estimated that an additional 66 ton/year of emissions were produced when a 750 g  
 271 *DPW* is assumed. This doubles to 131 metric tons/year with a *DPW* of 1500 g and reaches 219  
 272 metric tons/year with a *DPW* of 2500 g. Comparing scenario 1\_EC with scenario 6 and 7 shows  
 273 how the growth in *PC* will impact emissions of BVOCs. In Colorado, a doubling of the *PC*  
 274 increases BVOC emissions by 131 metric tons/year in scenario 6\_PC and 261 metric tons/year  
 275 for the 4 million plants in scenario 7\_PC. The largest increases in BVOC emissions were  
 276 predicted in scenarios 3\_EC and 2\_EC showing that the total emission rate of BVOCs from  
 277 CCFs were most sensitive to *EC*.

278 In March 2018, Denver County housed 41% of CCFs and 55% of all cannabis plants in  
 279 Colorado (Hartman et al., 2018b). As a result, about 43% of state-wide CCF BVOC emissions  
 280 occur there (Table 2). Current emission inventories of Denver County show negligible amounts  
 281 of biogenic emissions accounting for only 0.1% of the total state-wide BVOC emissions. CCF  
 282 emissions increased BVOC emission rates in Denver Country up to 136% in scenario 3\_EC.  
 283 This changes the total VOC emission rate in Denver County by up to 3.5%. Other cities in  
 284 Colorado do not have as high a concentration of CCFs, and thus the relative increases were  
 285 smaller as shown in Table 2.

286 The introduction of additional cannabis BVOC emissions into model simulations  
 287 increased the predicted TERP concentrations. Figure 2 shows the maximum increase in TERP  
 288 concentrations for three scenarios for Denver County over the entire 90-day simulation period.  
 289 Regardless of the scenario, the largest increases in TERP occurred near the largest



concentrations of CCFs. The absolute maximum changes ranged from 0.5-5.0 ppb located at the Elyria Swansea and Globeville neighborhoods in north-central Denver. Increases in TERP were also predicted to the north due to the dominant wind flows in that direction throughout the simulation period. Figure S2 shows the maximum increase in TERP concentrations for the 1\_EC, 5\_DPW, and 3\_EC scenarios in the 4 km × 4 km domain for the entire 90-day simulation period. As expected substantially lower increases in TERP concentrations were predicted for other cities in Colorado: 0.26 ppb in Colorado Springs and 0.24 ppb in Pueblo. Figure 3 shows the hourly changes in TERP concentrations across the entire 4 km × 4 km domain. The largest increases for all scenarios occurred at night with a peak of 5 ppb at 4:00 AM local standard time (LST). Given that the hourly emissions of terpenes from CCFs were assumed constant for 24 hours, these larger nighttime changes can be primarily ascribed to the lack of photochemistry and a shallow nocturnal PBL. These results suggest that the increases of TERP are highly correlated with locations of CCFs, accumulate at night, and have significant losses during the day.

### 3.2 Regional Ozone impacts

Predicted increases in hourly ozone concentrations in excess of 0.1 ppb only occurred when terpene emissions were in excess of 219 metric tons per year, with scenarios 4\_DPW, 6\_PC, and 1\_EC having little impact on predicted ozone. Thus, this analysis will focus on two scenarios, 5\_DPW, and 3\_EC to explore potential regional ozone impacts in the present and future. Figure 4 shows the hourly changes in ozone concentrations across the entire 4 km × 4 km domain for these two scenarios. During the daytime, the increase in TERP emissions results in a peak ozone increase of 0.34 ppb at 9:00 AM LST for 3\_EC with only minimal changes in 5\_DPW. Figure 5 shows, for Denver County and the Front Range Metropolitan Area, the locations of the daytime (6:00 AM – 6:00 PM LST) maximum increases in hourly ozone concentrations for all 90 days when emissions were added for scenarios 5\_DPW and 3\_EC. Ozone increases for the entire 4 km × 4 km domain can be found in Fig. S3. The largest predicted ozone concentrations occurred in Denver County with impacts of 0.11 ppb in 5\_DPW, and 0.34 ppb in 3\_EC as shown in Fig. 5. Both scenarios show that daytime increases in ozone were limited to Denver County and just to the northwest, west, and southwest of Denver County.

There were also night time variations in ozone observed for the modeling domain. In scenario 5\_DPW and 3\_EC, nighttime increases were more than double the increases predicted



during the day. The largest changes in hourly ozone concentrations of 0.67 ppb occurred at 0:00 AM LST (i.e. midnight) for 3\_EC. Figure 6 shows the location and magnitude of the maximum changes in hourly ozone concentrations during the night (6:00 PM – 6:00 AM LST) in 5\_DPW and 3\_EC. The extent of ozone increases at night are primarily to the north of Denver indicating a northern outflow. The maximum increase in hourly ozone for the whole of Colorado is shown in Fig. S3, with visibly little changes at night in other cities. These model results suggest that the additional emissions of TERP have immediate impacts on local ozone production chemistry during both the day and night, but little wider impact.

A critical metric for the attainment of the NAAQS ozone standard in Denver County is the maximum daily average 8-hour ozone concentration (MDA8). Figure 7 shows the maximum difference in MDA8 for each grid cell centered on Denver County, across the entire 90-day simulation period for the 5\_DPW, and 3\_EC scenarios. Maximum increases in MDA8 are 0.14 ppb for 3\_EC (Fig. 7B) co-located with the maximum increases in TERP concentrations.

### 3.2.1 Ozone impact at night

The maximum hourly ozone increase of 0.67 ppb for the 3\_EC scenario occurred on Thursday, July 28th, 2011, at 0:00 AM LST (i.e. midnight) near the largest concentration of CCFs (see Fig. 8). In subsequent hours the plume of ozone moved slowly to the east before being dispersed by the rise of the morning PBL at 6:00 AM LST.

To investigate the nighttime ozone increases, the PA model output was analysed to quantify the chemical and physical processes producing ozone. Plume tracking was used so that only grid cells where the increase in ozone occurred were included in our analysis, which ran from 9:00 PM LST July 27<sup>th</sup> to 6:00 AM LST on July 28<sup>th</sup>. Vertical model layers were also aggregated to follow the hourly evolution of the PBL. Figure S4 provides snapshots of the horizontal grid cells used and the vertical layers that were aggregated throughout the simulation time period. For these grid cells and layers, Fig. S5 shows the changes in final ozone concentrations compared to BC and the physical and chemical process rates that impact those concentrations. Figure S5 shows that the process that contributes most to the modelled increases in ozone concentrations is chemical production.

For the chosen vertical layers and grid cells, Table 3A shows the total loss of TERP in BC and 3\_EC across the entire period. For this period, TERP consumption due to the OH reactions led to a reduction in TERP from 0.01 to 0.1 ppb, NO<sub>3</sub> reactions led to a reduction



from 0.39 to 1.58 ppb, and  $O_3$  reactions led to a reduction from 0.04 to 0.2 ppb, across scenarios. These in turn increased the production of OH radicals and total peroxy radicals ( $TRO_2$ ). Table 3B also shows that the OH radical and total peroxy radicals ( $TRO_2$ ) source increased by 10.0% and 25.1% due to the TERP initial reactions. Ultimately, this TERP consumption in 3\_EC led to increases in NO to  $NO_2$  conversions via the  $TRO_2$  pathway by 44%, and reduction of ozone titration by 1 ppb (0.8%), as shown in Table 3C. Thus, the increased ozone concentration at night is due to a decrease in ozone loss rather than an increase in production. The TERP emission in 3\_EC also resulted in increasing by 27%  $NO_x$  termination products ( $NO_z$ ). Organic nitrate (NTR) representing ~71% of  $NO_z$  product increased from 0.66 ppb to 1.6 ppb (+142%) with this increased TERP emission in 3\_EC. This increase in  $NO_z$  production at night results in lower NO concentrations further reducing the ozone titration.

### 3.2.2 Ozone impact during the day

The maximum daytime hourly ozone increase of 0.34 ppb occurred at 9:00 AM on Monday, July 18<sup>th</sup>, 2011, as shown in Fig. 9. On this day, the meteorological conditions favoured the maximum possible production of ozone. This day featured “upslope flows” that are a common meteorological condition linked to ozone exceedances periods (Pfister et al., 2017). We thus chose to focus on July 18<sup>th</sup> to understand the daytime changes in chemistry that occur from increased BVOC emissions. As expected, the location of predicted ozone increases coincides with the location of the strongest terpene emissions in the domain as shown in Fig. 9A. For the daytime hours of 6:00 AM – 2:00 PM LST, the PA option was used to quantify changes in chemical processes for the grid cells and model layers shown in Fig. S6. For these grid cells and layers, Fig. S7 shows the changes in final ozone concentrations compared to the base case and the physical and chemical process rates that impact those concentrations. Table S3 sums the key chemical processes for these hours. The increases in CCF emissions resulted in a 100% increase in OH reactions with TERP producing intermediate oxidation products and ultimately increasing OH production by 0.6%. As a result of this oxidation chemistry, there was an increase of 0.9% in NO to  $NO_2$  conversion by  $TRO_2$  pathway, ultimately leading to a 0.1% increase in ozone production.

### 3.2.3 Ozone impact sensitivity

The maximum modelled daytime hourly ozone increase due to additional CCF emissions occurred on July 18<sup>th</sup>. Using this day multiple sensitivity simulations were performed, where CCF emissions from Denver County were incrementally increased up to 3,800 ton/year. Figure 10 shows the increase in terpene emissions from Denver County versus



the largest daily increase in hourly ozone concentrations. Figure 10A shows a linear relationship, indicative of a VOC limited environment, where hourly ozone concentrations are predicted to increase by 1 ppb for every 1,000 ton/year increase in TERP emissions during the day, and 0.85 ppb at night. Also shown is the sensitivity to the MDA8 ozone where there is a 0.30 ppb increase for every 1,000 ton/year of TERP emissions. According to projected emission inventories provided by the state of Colorado, the ozone non-attainment area was expected to see reductions of 26.4% of NO<sub>x</sub> and 24.6% of VOC emissions by the year 2017 (Environ, 2017). Under these reduced anthropogenic emission scenarios, Fig. 10B shows how ozone would then respond to additional CCF TERP emissions. Figure 10B continues to show a linear relationship, where hourly ozone concentrations are predicted to increase by 1.5 ppb for every 1,000 ton/year increase in TERP emissions during the day, and 1.8 ppb at night. In the future case, the MDA8 ozone increases by 0.38 ppb increase for every 1,000 ton/year of TERP emissions. Therefore, Denver will still be VOC-limited and ozone is predicted to more sensitive to CCF emissions of terpenes.



401     **4.     Conclusion**

402             This study provides the first VOC emission inventory for the cannabis industry in the  
403     U.S. Given the current state of knowledge of emission rates and growing practices, there are  
404     considerable uncertainties in the basic parameters required to build such an inventory. Using  
405     realistic bounds on each parameter, we developed seven scenarios, which resulted in estimated  
406     emission rates that ranged over an order of magnitude. The highest emissions occur in Denver  
407     County, with rates ranging between 36-362 metric tons/year for the different scenarios, from a  
408     total of 66-652 metric tons/year across Colorado as a whole.

409             We included these additional terpene emissions in the Comprehensive Air Quality  
410     Model with Extensions (CAMx), the model used by the state of Colorado for regulatory  
411     monitoring and projections. Taking the worst case (3\_EC) and median scenario (5\_DPW) we  
412     consider representative of current uncertainty upper boundary and future industry expansion;  
413     we find that these projected increases in emissions lead to maximum increases in terpene  
414     concentrations of up to 5.0 ppb. The largest impacts were seen in locations with the highest  
415     terpene emissions coming from CCFs, i.e. in Denver County. We further found that these  
416     increases in terpene concentrations affected the local atmospheric chemistry and air quality  
417     with ground-level ozone concentrations increasing by as much as 0.34 ppb during the day and  
418     0.67 ppb at night. In general, simulated nighttime increases were higher than those during the  
419     daytime were, and we take the nighttime of July 27<sup>th</sup> – 28<sup>th</sup> as a case study to further investigate.  
420     By applying process analysis (PA), following the evolving plume of VOCs and ozone, we find  
421     that the initial reactions of the additional terpenes with OH, NO<sub>3</sub> and ozone result in increased  
422     formation of peroxy radicals which increases the NO to NO<sub>2</sub> conversion rate; also removes  
423     the NO<sub>x</sub> to generate more NO<sub>z</sub> product. This effectively reduces the loss of ozone by reaction  
424     with NO, increasing the total ozone concentration.

425             We acknowledge, however, the considerable uncertainties that surround our projections  
426     and call for the need for continued efforts to reduce these such that a more accurate assessment  
427     of the regional air quality implications of this industry can be made. Future studies that include  
428     ambient BVOC measurements are critical for comparisons with model predictions.  
429     Additionally, in the model chemical mechanism more accurate and mechanistic representation  
430     of terpene species is needed that can reflect the current cannabis emission composition.  
431     Currently, the model surrogate “TERP”, which represents all monoterpene species in the  
432     mechanisms, may not represent the precise rate constant for BVOC emissions from cannabis.



433 Further data are urgently required regarding CCF-specific information on plant counts and  
434 weight by strain and growth stage, coupled with information about the agronomical practices  
435 of cannabis cultivation in CCFs. Additional measurements of emission capacities of different  
436 cannabis strains at different growth stages are also needed. Further, the emission inventory  
437 version is for the year 2011; it may not be suitable to estimate the ozone impacts by the CCF  
438 industry.

439 We chose to focus on ozone, since Denver is a moderate non-attainment area with an  
440 ozone State Implementation Plan (SIP) (Environ, 2017; Environ and Geophysics, 2017;  
441 Colorado, 2018) in accordance with the EPA regulations. But assessments of the impact of  
442 these additional terpene emissions on particulate matter ( $PM_{2.5}$ ) is warranted given the high  
443 secondary organic aerosol (SOA) yields of terpenes from 0.3 to 0.8 (Iinuma et al., 2009; Lee  
444 et al., 2006; Fry et al., 2014; Slade et al., 2017). It should also be borne in mind that  
445 investigations of indoor air quality are needed given the findings of Martyny et al. (2013) and  
446 Southwellb et al. (2017) that indoor terpene concentrations reached 50-100 ppb in growth  
447 rooms and 30-1,600 ppb in flowering room, likely initiating intense photochemistry under the  
448 powerful grow lamps in use in CCFs.





449 **Author contribution**

450 Chi-Tsan Wang and Dr. William Vizuete are lead researchers in this study responsible for  
451 research design, experiments, analyzing results and writing the manuscript. Dr. Christine  
452 Wiedinmyer and Dr. Kirsti Ashworth are also co-head researchers, and guided the research  
453 design, assessed model results, and contributed to writing the manuscript. Dr. John Ortega, and  
454 Dr. Peter Harley helped in collecting data and writing the manuscript. Dr. Quazi Z. Rasool  
455 helped to analyze model results and contributed in writing the manuscript.

456

457 **Competing interests**

458 The Authors declare that they have no conflict of interest.

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663 **Tables**

664 **Table 1.** Simulation scenarios and assumed values for emission capacity (*EC*) rate, dry plant  
 665 weight (*DPW*), and the plant count (*PC*) for Colorado and Denver County. The base case (BC)  
 666 scenario has no cannabis emissions.

Name	<i>EC</i>	<i>DPW</i>	<i>PC</i>	
	(ug gdw <sup>-1</sup> hr <sup>-1</sup> )	(gdw plant <sup>-1</sup> )	Colorado	Denver County
BC	0	0	0	0
1_EC	10	750	$1.0 \times 10^6$	$5.5 \times 10^5$
2_EC	50	750	$1.0 \times 10^6$	$5.5 \times 10^5$
3_EC	100	750	$1.0 \times 10^6$	$5.5 \times 10^5$
4_DPW	10	1,500	$1.0 \times 10^6$	$5.5 \times 10^5$
5_DPW	10	2,500	$1.0 \times 10^6$	$5.5 \times 10^5$
6_PC	10	750	$2.0 \times 10^6$	$1.1 \times 10^6$
7_PC	10	750	$4.0 \times 10^6$	$2.2 \times 10^6$

667



**Table 2.** The estimated BVOC and total VOC emission rates (metric tons/year) for the base case (BC) scenario. Also shown are the increases in VOC emissions for all scenarios shown in Table 1 for Colorado, Denver County, Colorado Springs, Pueblo, and Boulder. The numbers in parenthesis are the percentage increases compared with the BC scenario.

Name	Colorado		Denver County		Colorado Springs		Pueblo		Boulder	
	BVOC	Total VOC	BVOC	Total VOC	BVOC	Total VOC	BVOC	Total VOC	BVOC	Total VOC
BC	340,268	731,442	265	10,465	5,184	15,143	5,870	9,184	3,677	9,820
3_EC	657 (+0.19%)	+0.09%	362 (+136%)	+3.5%	60 (+1.20%)	+0.40%	53 (+0.90%)	+0.58%	26 (+0.70%)	+0.26%
2_EC	329 (+0.10%)	+0.04%	181 (+68%)	+1.7%	30 (+0.58%)	+0.20%	27 (+0.45%)	+0.29%	13 (+0.35%)	+0.13%
7_PC	261 (+0.08%)	+0.04%	116 (+44%)	+1.1%	42 (+0.80%)	+0.27%	22 (+0.38%)	+0.24%	12 (+0.33%)	+0.12%
5_DPW	219 (+0.06%)	+0.03%	121 (+45%)	+1.2%	20 (+0.39%)	+0.13%	18 (+0.30%)	+0.19%	9 (+0.23%)	+0.09%
4_DPW	131 (+0.04%)	+0.02%	72 (+27%)	+0.69%	12 (+0.23%)	+0.08%	11 (+0.18%)	+0.12%	5 (+0.14%)	+0.05%
6_PC	131 (+0.04%)	+0.02%	72 (+27%)	+0.69%	12 (+0.12%)	+0.08%	11 (+0.18%)	+0.12%	5 (+0.14%)	+0.05%
1_EC	66 (+0.02%)	+0.01%	36 (+14%)	+0.35%	6 (+0.12%)	+0.04%	5 (+0.09%)	+0.06%	3 (+0.07%)	+0.03%





**Table 3.** All data summed from July 27<sup>th</sup>, 9:00 PM LST to July 28<sup>th</sup>, 5:00 AM LST for grid cells and layers shown in Fig. S4. The base case (BC) scenario column shows the absolute predicted values and, the subsequent columns show the predicted changes due to emissions from the 3\_EC scenario. Percentages in parenthesis are the changes in 3\_EC relative to BC. Shown are the **(A)** total amount of VOC and TERP consumed due to oxidation (ppb), the **(B)** total amount of hydroxyl radical (OH) and total peroxy radicals (TRO<sub>2</sub>) that were generated and their sources (ppb), and the **(C)** total amount of Nitrogen Dioxide (NO<sub>2</sub>) and NO<sub>x</sub> termination products (NO<sub>z</sub>) produced and their sources (ppb).

A

	BC	3_EC
VOC + OH	1.36	1.68 (+23.5%)
TERP + OH	0.01	0.10 (+900%)
VOC + NO <sub>3</sub>	0.91	2.05 (+125%)
TERP + NO <sub>3</sub>	0.39	1.58 (+305%)
VOC + O <sub>3</sub>	1.80	1.97 (+9.40%)
TERP + O <sub>3</sub>	0.04	0.20 (+400%)

682

B

	BC	3_EC
OH generation	1.00	1.10 (+10.0%)
from TERP + O <sub>3</sub>	0.03	0.11 (+267%)
TRO <sub>2</sub> generation	34.2	42.8 (+25.1%)
from VOC initial reactions	3.25	5.03 (+54.8%)
from TERP initial reactions	0.47	1.98 (+321%)

684

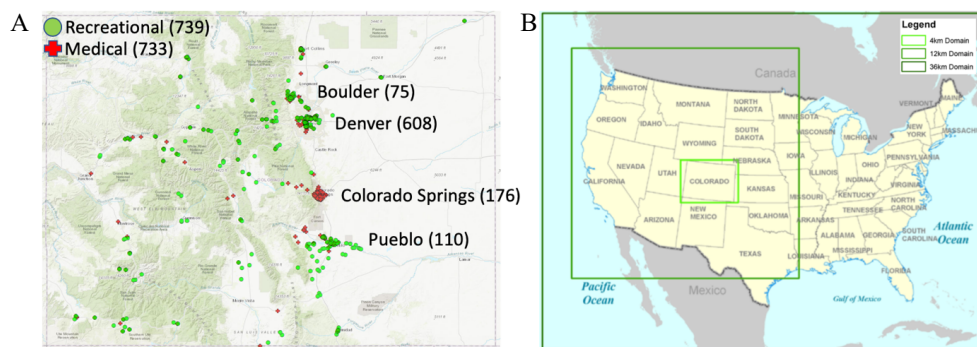
C

	BC	3_EC
NO to NO <sub>2</sub>	198	197 (-0.70%)
NO + O <sub>3</sub>	158	157 (-0.80%)
NO + TRO <sub>2</sub>	3.50	5.04 (+44.0%)
NO <sub>z</sub> generation	4.91	6.24 (+27.1%)
NTR generation	0.66	1.60 (+142%)
PAN generation	1.54	1.56 (+1.30%)
PANX generation	0.54	0.66 (+22.2%)
HNO <sub>3</sub> generation	2.17	2.42 (+11.5%)

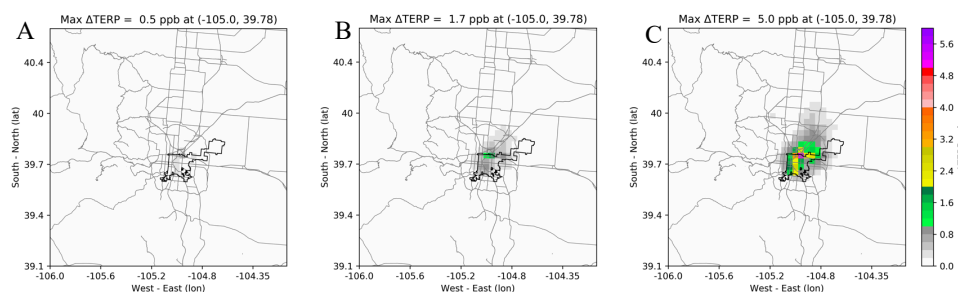
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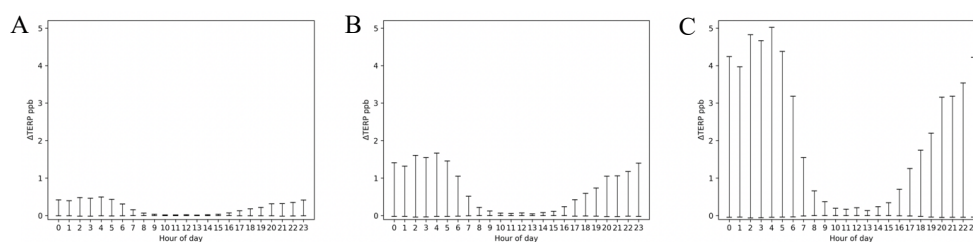
## Figures



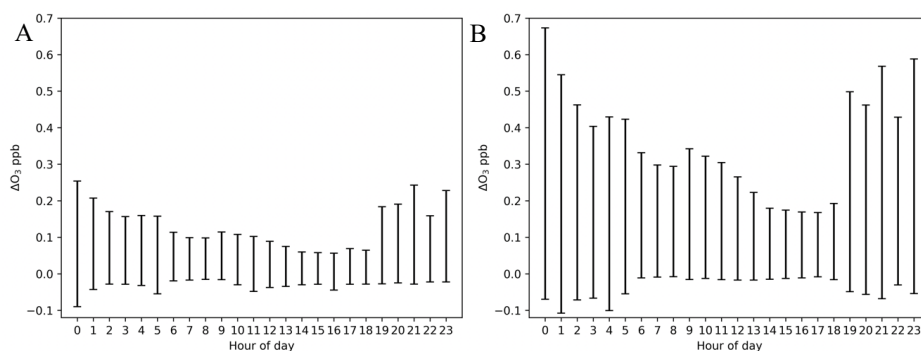
**Figure 1.** (A) The locations of medical (red) and retail (green) Cannabis cultivation facilities (CCFs) in Colorado as of March 1, 2018. The corresponding values are the number of CCFs found within each city. (B) The 36km × 36km resolution of Western Air Quality Model Study (WAQS) and nested inner 12km × 12km resolution domains and 4km × 4km resolution domain used by the Comprehensive Air Quality Model with Extensions (CAMx).



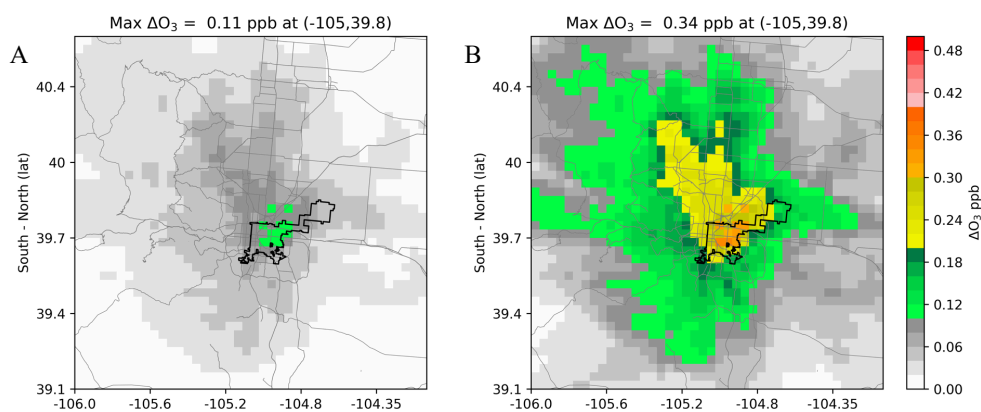
**Figure 2.** The maximum increase in TERP concentrations (ppb) for Denver County and Front Range over the entire 90-day simulation for the (A) 1\_EC, (B) 5\_DPW, and (C) 3\_EC scenarios. The black outlines Denver County and the grey lines are state and interstate highways.



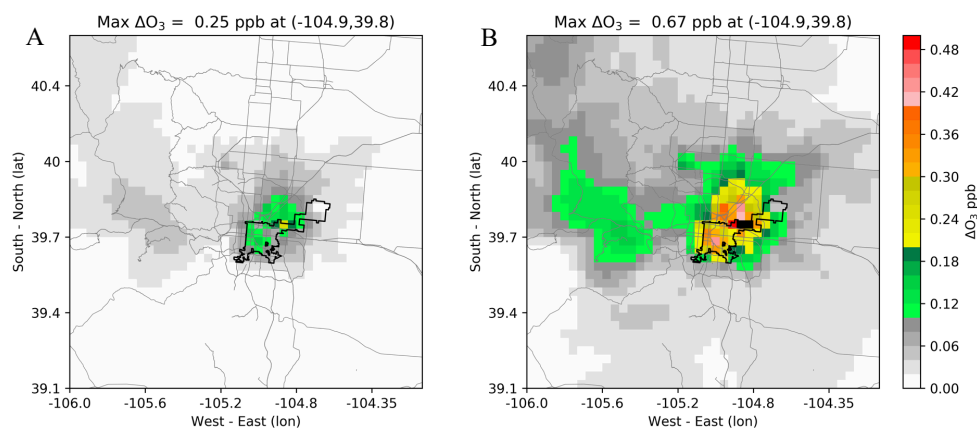
**Figure 3.** The hourly changes in TERP concentrations across the entire 4 km × 4 km domain, over the 90 days simulation for the (A) 1\_EC, (B) 5\_DPW and (C) 3\_EC scenarios.



**Figure 4.** The predicted differences in hourly ozone concentrations (ppb) across the entire Colorado domain, over the 90 days simulation for the (A) 5\_DPW and (B) 3\_EC scenarios.



**Figure 5.** The predicted changes in hourly ozone concentrations for the Denver region from 6 AM – 6 PM LST for all 90 days of the simulation for the (A) 5\_DPW and (B) 3\_EC scenarios. The grey lines indicate major highways and the black line outlines Denver County.



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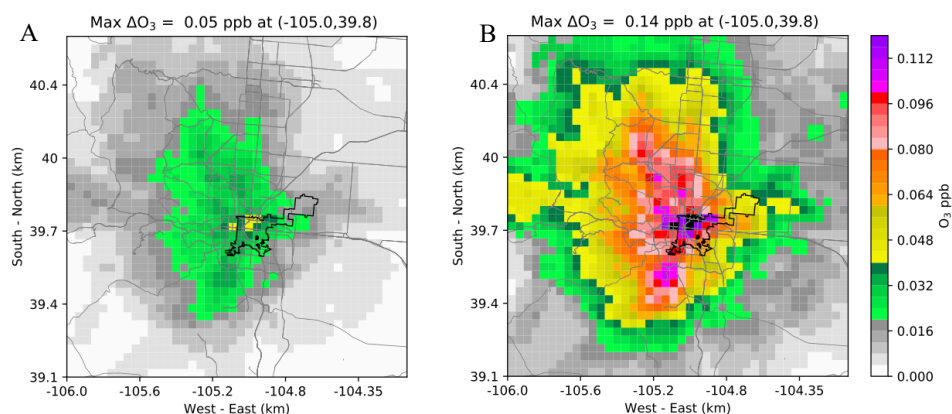
714 **Figure 6.** The predicted changes in hourly ozone concentrations for the Denver region from 6

715 PM – 6 AM LST for all 90 days of the simulation for the (A) 5\_DPW and (B) 3\_EC scenarios.

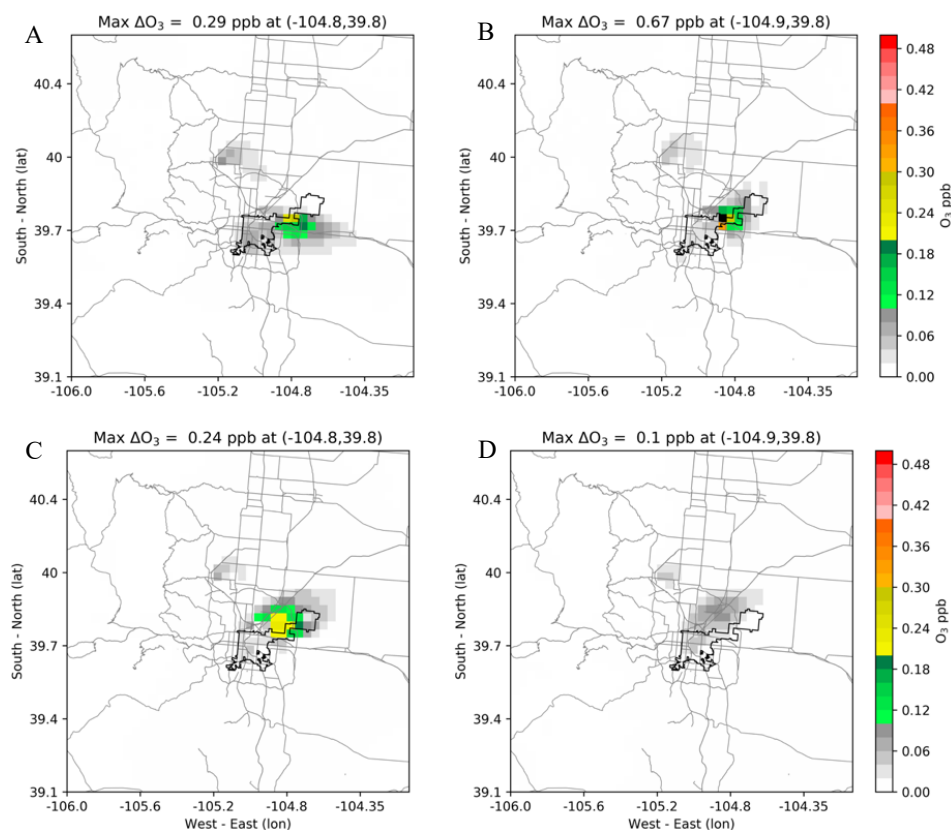
716 Black regions within the map indicate ozone increase values greater than 0.5 ppb. The grey

717 lines indicate major highways and the black line outlines Denver County.

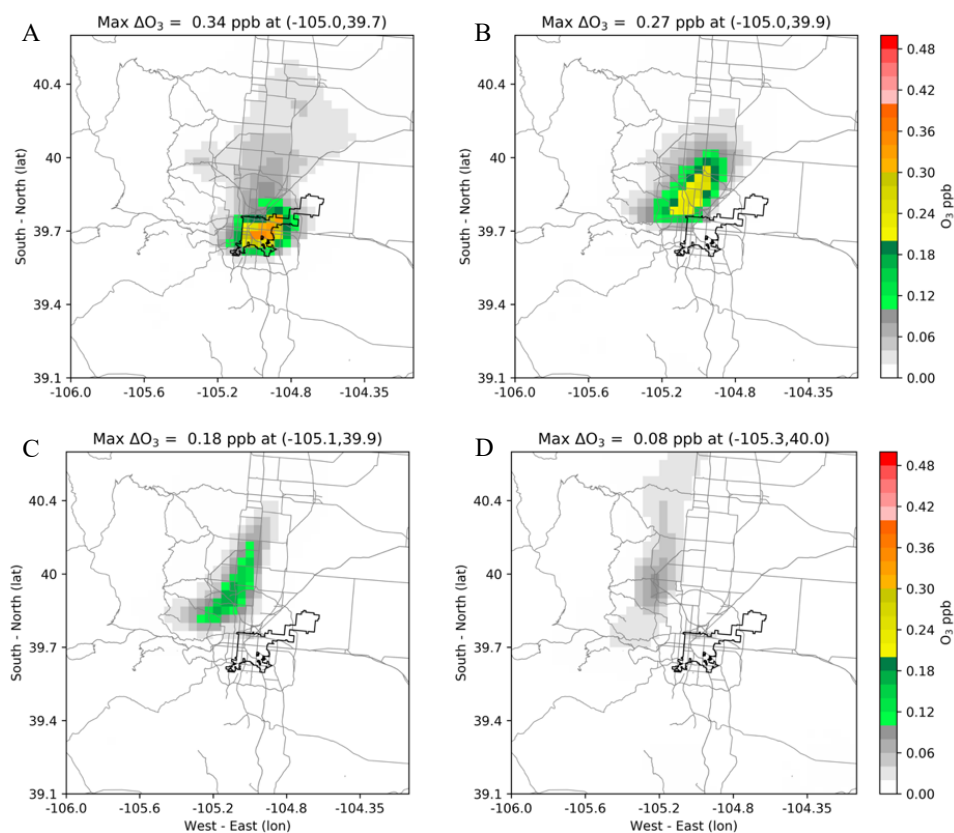
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719  
 720 **Figure 7.** The predicted maximum increases in the maximum daily average 8-hour (MDA8)  
 721 ozone concentration (ppb) for the (A) 5\_DPW and (B) 3\_EC scenarios for the Denver region  
 722 over the 90-day simulation period. The black indicates ozone increase values greater than  
 723 0.12 ppb.



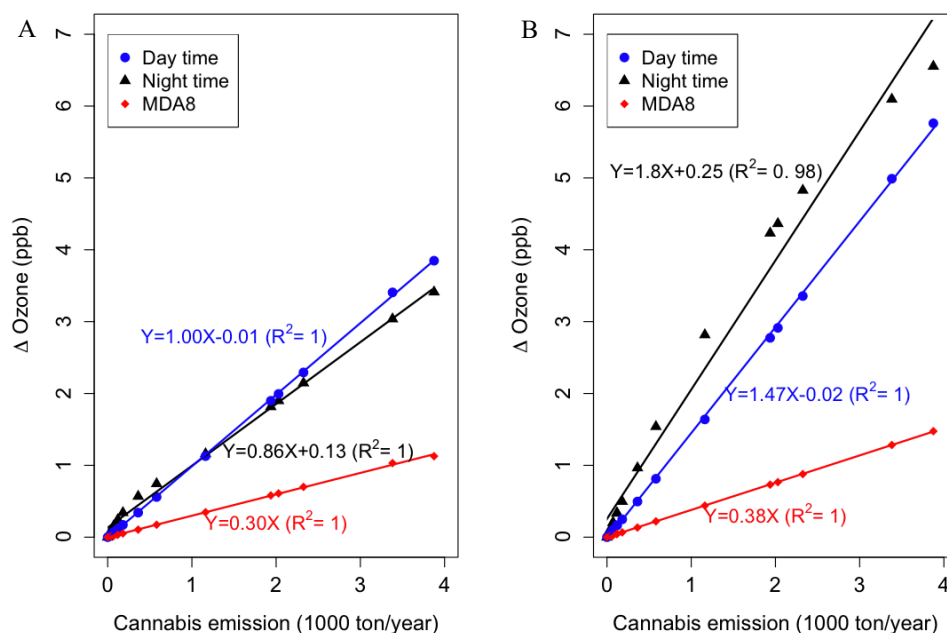
**Figure 8.** For the 3\_EC scenario on July 28<sup>th</sup>, 2011, the largest hourly predicted ground level ozone increases at (A) July 27<sup>th</sup>, 9 PM LST, and for July 28<sup>th</sup>, at (B) 0 AM LST (i.e. midnight), (C) 3 AM LST and (D) 6 AM LST.



728

729 **Figure 9.** For the 3\_EC scenario on July 18<sup>th</sup>, 2011 the largest hourly predicted ground level  
 730 ozone increases at (A) 9 AM LST, (B) 12 PM LST (i.e. noon), (C) 2 PM LST, and (D) 5 PM  
 731 LST. The maximum of 0.34 ppb occurred at 9 AM LST.





**Figure 10.** For July 18<sup>th</sup> during (A) 2011 and (B) 2017 the predicted maximum increase in hourly ozone concentrations during daytime hours (6 AM – 6 PM LST) in blue, and nighttime hours (6 PM– 6 AM LST) in black versus additional terpene emissions in Denver County. Also shown is the response in maximum daily average 8-hour ozone concentration (MDA8) in red.