



1 **Six Global Biomass Burning Emission Datasets: Inter-comparison and Application**
2 **in one Global Aerosol Model**

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19 **Abstract**

20 Aerosols from biomass burning (BB) emissions are poorly constrained in global and
21 regional models, resulting in a high level of uncertainty in understanding their impacts. In
22 this study, we compared six BB aerosol emission datasets for 2008 globally as well as in
23 14 sub-regions. The six BB emission datasets are: (1) GFED3.1 (Global Fire Emissions
24 Database version 3.1); (2) GFED4s (Global Fire Emissions Database version 4 with small
25 fires); (3) FINN1.5 (Fire INventory from NCAR version 1.5); (4) GFAS1.2 (Global Fire
26 Assimilation System version 1.2); (5) FEER1.0 (Fire Energetics and Emissions Research
27 version 1.0), and (6) QFED2.4 (Quick Fire Emissions Dataset version 2.4). Although
28 biomass burning emissions of aerosols from these six BB emission datasets showed similar
29 spatial distributions, their global total emission amounts differed by a factor of 3-4, ranging
30 from 13.76 to 51.93 Tg for organic carbon and from 1.65 to 5.54 Tg for black carbon. In
31 most regions, QFED2.4 and FEER1.0, which are based on the satellite observations of fire
32 radiative power (FRP) and utilize the aerosol optical depth (AOD) from the Moderate
33 Resolution Imaging Spectroradiometer (MODIS), yielded higher BB emissions than the
34 rest by a factor of 2-4. In comparison, the BB emission from GFED4s and GFED3.1, which
35 are based on satellite retrieval of burned area and no AOD constraints, were at the low end
36 of the range. In order to examine the sensitivity of model simulated AOD to the different
37 BB emission datasets, we ingested these six BB emission datasets separately into the same
38 global model, the NASA Goddard Earth Observing System (GEOS) model, and compared
39 the simulated AOD with observed AOD from the AEROSOL ROBOTIC NETWORK (AERONET)
40 and MODIS in 14 sub-regions during 2008. In Southern hemisphere Africa (SHAF) and
41 South America (SHSA), where aerosols tend to be clearly dominated by smoke in
42 September, the simulated AOD were underestimated in all experiments. More specifically,
43 the model-simulated AOD based on FEER1.0 and QFED2.4 were the closest to the
44 corresponding AERONET data, being about 73% and 100% of the AERONET observed
45 AOD at Alta-Floresta in SHSA, 49% and 46% at Mongu in SHAF, respectively. The
46 simulated AOD based on the other four BB emission datasets accounted for only ~ 50% of
47 the AERONET AOD at Alta Floresta and ~ 20% of at Mongu. Overall, during the biomass
48 burning peak seasons, at most of the selected AERONET sites in each region, the AOD



49 simulated with QFED2.4 were the highest and closest to AERONET and MODIS
50 observations, followed closely by FEER1.0. The differences between these six BB
51 emission datasets are attributable to the approaches and input data used to derive BB
52 emissions, such as whether AOD from satellite observations is used as a constraint, whether
53 the approaches to parameterize the fire activities are based on burned area, FRP, or active
54 fire count, and which set of emission factors is chosen.
55



56 **1. Introduction**

57 Biomass burning (BB) is estimated to contribute about 62% of the global particulate
58 organic carbon (OC) and 27% of black carbon (BC) emissions annually (Wiedinmyer et
59 al., 2011), thereby significantly affecting, not only air quality by acting as a major source
60 of particulate matter (PM), but also the climate system by modulating solar radiation and
61 cloud properties. For instance, a number of studies have revealed that wildfire smoke
62 exposure is harmful to human health by causing general respiratory morbidity and
63 exacerbating asthma, because approximately 80–90% of the smoke particles produced by
64 biomass burning fall within the PM_{2.5} size range (PM with aerodynamic diameter less
65 than 2.5 μm) (Reid et al., 2005, 2016). Moreover, biomass burning emissions have been
66 shown to impact the atmospheric composition in different regions, such as South
67 America (Reddington et al., 2016), Central America (Wang et al., 2006), sub-Saharan
68 African region (Yang et al., 2013), Southeast Asia (Wang et al., 2013; Pan et al., 2018),
69 Indo-China (Zhu et al., 2017), and Western Arctic (Bian et al., 2013). Additionally, BB-
70 produced aerosols can also directly impact the upper troposphere and lower stratosphere
71 via extreme pyro-convection events associated with intense wildfires that generate the
72 storms injecting smoke particles and trace gases into high altitudes (e.g., Peterson et al.,
73 2018). Therefore, emissions from biomass burning constitute a significant component of
74 the climate system, and are crucial inputs required by chemical transport and atmospheric
75 circulation models used to simulate the atmospheric compositions, radiation, and
76 circulation processes needed for air-quality and climate-impact studies (e.g., van Marle et
77 al., 2017).

78
79 With the advent of satellite remote sensing of active fire and burned area products in the
80 last couple of decades, a number of global BB emission datasets based on these
81 observations have become available (e.g., Ichoku et al., 2012), for example, two BB
82 datasets based on burned area approaches, namely, the Global Fire Emissions Database
83 (GFED, van der Werf et al., 2006, 2010, 2017) and the Fire INventory from NCAR
84 (FINN, Wiedinmyer et al., 2011), and three BB emissions datasets based on fire radiative
85 power (FRP) approaches, namely, the Global Fire Assimilation System (GFAS, Kaiser et
86 al., 2012), which was developed in the European Centre for Medium-Range Weather
87 Forecasts (ECMWF), and two National Aeronautics and Space Administration (NASA)
88 products, i.e., the Fire Energetics and Emissions Research algorithm (FEER, Ichoku and
89 Ellison, 2014) and the Quick Fire Emissions Dataset (QFED, Darmenov and da Silva,
90 2015). The aforementioned BB datasets will be compared in this study.

91
92 Although much progress has been made over the last couple of decades in improving the
93 quality of BB emission datasets, for example, by incorporating more recent satellite
94 measurements with better calibration and spatial resolution (e.g., van der Werf et al.
95 2010; 2017), biomass-burning aerosol emissions still have large uncertainty, and thus are
96 still poorly constrained in models at global and regional levels (e.g., Lioussé et al., 2010;
97 Kaiser et al., 2012; Petrenko et al., 2012, 2017; Bond et al., 2013; Zhang et al., 2014; Pan
98 et al., 2015; Ichoku et al., 2016a; Reddington et al., 2016; Pereira et al., 2016).
99 Specifically, large uncertainty exists in the description of the magnitude, patterns, and
100 drivers of wildfires and other types of biomass burning (e.g., Hyer et al, 2011). For
101 instance, a global enhancement of particulate matter BB emission by a factor of 3.4 was



102 recommended for GFAS by Kaiser et al. (2012) to match the observed aerosol loading. A
103 recent analysis with multiple models has been conducted under the auspices of the
104 Aerosol Comparisons between Observations and Models (AeroCom) Phase III biomass
105 burning emission experiments using the GFED version 3.1 (GFED3.1) as input to several
106 models (hereinafter, “multi-model study”, [https://wiki.met.no/aerocom/phase3-](https://wiki.met.no/aerocom/phase3-experiments)
107 [experiments](https://wiki.met.no/aerocom/phase3-experiments)) (Petrenko et al., manuscript in preparation). Multi-model study concluded
108 that the modelled AOD from different models exhibits large diversity in most regions, i.e.
109 some models overestimate while other models underestimate, but over two major
110 biomass burning dominated regions, South America and southern hemisphere Africa, all
111 models consistently underestimate AOD. That result suggests that the underestimation of
112 AOD in these two regions is more likely from this biomass burning emission dataset (i.e.,
113 GFED3.1) rather than the model configurations.

114
115 Our study aims to explore multiple BB emission datasets, including GFED3.1, GFED
116 version 4 with small fires (GFED4s), FINN version 1.5, GFAS version 1.2, QFED
117 version 2.4, and FEER version 1.0, in order to investigate the discrepancies between
118 these six BB emission datasets by comparing them at both regional and global levels.
119 Such a comparative evaluation of BB emission datasets would show the differences
120 between them as well how these differences propagate through the physical processes of
121 related aerosols in models, such as dry and wet deposition, transport, atmospheric
122 abundance, and the resulting AOD. The detailed diagnosis is expected to provide further
123 insight into the development of possible mitigation measures for the current large
124 uncertainties in BB emissions. It is noted that similar comparative studies of multiple BB
125 aerosol emission datasets have been previously conducted at regional scales, e.g., by
126 Zhang et al. (2014) in the northern sub-Saharan African region, Pereira et al. (2016) in
127 South America, and Reddington et al. (2016) in entire tropical region, while the current
128 study provides for the first time a global assessment and analysis of 14 sub-regions of
129 these six BB emission datasets to provide a world-wide perspective.

130
131 In the rest of this paper, we first described these six BB emission datasets, the GEOS
132 model configuration and experiment designs, and observations in Sect. 2, then we
133 showed the comparison of biomass burning emissions among the datasets and the
134 resulting model simulated AOD in Sect. 3. We discussed the possible attributions of the
135 difference between the six BB emission datasets, and the sources of uncertainty
136 associated with the biomass burning emissions as well as aerosol modeling in Sect. 4.
137 Conclusions and recommendations were presented in Sect. 5.

139 2. Methodology

140 2.1 Six BB emission datasets

141 General information about each of the six biomass burning emission datasets investigated
142 in this study, namely GFED3.1, GFED4s, FINN1.5, GFAS1.2, FEER1.0, and QFED2.4,
143 is given below. Their main attributes, such as their spatial and temporal resolutions, the
144 methods used to estimate burned area (where applicable), the method to derive emission
145 coefficients (where applicable), and the references of the emission factors, are compared
146 in Table 1. Overall, all datasets provide daily global biomass burning emissions since
147 2003.



148

149 **2.1.1 GFED3.1**

150 The total dry matter consumed from biomass burning in GFED3.1 (van der Werf et al.,
151 2010) is estimated by the multiplication of the MODIS burned area product at 500-m
152 spatial resolution (Giglio et al. 2010, for the MODIS era) and fuel consumption per unit
153 burned area, the latter being the product of the fuel loads per unit area and combustion
154 completeness. This estimation is conducted using the Carnegie–Ames–Stanford approach
155 (CASA) biogeochemical modeling framework that provides estimates of biomass in
156 various carbon “pools” including leaves, grasses, stems, coarse woody debris, and litter.
157 Fuel loads in CASA are estimated according to carbon input information on vegetation
158 productivity, and carbon outputs through heterotrophic respiration, herbivory, fires, and
159 tree mortality (Giglio et al., 2010; van der Werf et al., 2010; Randerson et al., 2012).
160 Then, the biomass burning emission of a certain species is calculated by multiplying the
161 total consumed dry matter with an emission factor of that species (*EF*, with a unit of g
162 species per kg dry matter burned). *EF* is applicable to other BB emission datasets as well
163 but may be from various sources, mainly chosen from Andreae and Merlet (2001) or
164 Akagi et al. (2011). Among the existing BB emission datasets, GFED3.1 has hitherto
165 been the most widely used by modeling communities, such as by the Coupled Model
166 Intercomparison Project (CMIP, Van Marle et al., 2017) and AeroCom (Petrenko 2017).
167 The GFED3.1 dataset can be accessed through the link:
168 https://daac.ornl.gov/VEGETATION/guides/global_fire_emissions_v3.1.html.

169

170 **2.1.2 GFED4s**

171 Compared to GFED3.1, the latest GFED version, GFED4s, has a few significant
172 upgrades as described in detail by van der Werf et al. (2017), including (1) additional
173 burned area associated with small fires which were previously omitted by the burned area
174 product but now are compensated by including the active fires to augment the burned
175 area product MCD64A1 (Giglio et al., 2013; Randerson et al., 2012); (2) a revised fuel
176 consumption parameterization optimized using field observations (e.g., van Leeuwen et
177 al., 2014); (3) partitioning of the extratropical forest category into temperate and boreal
178 forests; (4) further dividing forest into temperate and boreal forest ecosystems and
179 applying different sets of emission factors. The link to the GFED4s dataset is
180 <http://www.globalfiredata.org>.

181

182 **2.1.3 FINN1.5**

183 The FINN1.5 biomass burning emission dataset is developed from its previous version
184 FINN1 (Wiedinmyer et al., 2011) with several updates. It uses satellite observation of
185 active fire (with confidence level greater than 20%) and land cover from the MODIS
186 instruments onboard the NASA Terra and Aqua polar orbiting satellites, together with the
187 estimated fuel consumption to derive biomass burning emissions. The burned area in
188 each active fire pixel is assumed as 1 km², except for grasslands and savannas where it is
189 assigned a value of 0.75 km². The fuel consumption at each fire pixel is estimated
190 according to its generic land use/land cover type (LULC) which is assigned using values
191 updated from Table 2 of Hoelzemann et al. (2004) in the various world regions based on
192 Global Wildland Fire Emission Model (GWEM). With the estimated burned area, fuel
193 consumption, and *EF* of individual species, the daily global open biomass burning



194 emissions of each species are then calculated at a 1 km spatial resolution. The FINN1.5
195 emissions dataset is archived at: <http://bai.acom.ucar.edu/Data/fire/>.

197 **2.1.4 GFAS1.2**

198 GFAS1.2 (Kaiser et al., 2012) estimates biomass burning emission rates by multiplying
199 FRP with the eight biome-specific conversion factors (Units: kg species per MJ) which
200 were previously found to link FRP with the fuel combustion rate (Wooster et al., 2005)
201 and smoke aerosol emission rate quantitatively (Ichoku and Kaufman, 2005). In GFAS,
202 the global distribution of FRP observations obtained from the MODIS instruments
203 onboard the Terra and Aqua satellites is assimilated into the GFAS system. The gaps in
204 FRP observations, which are mostly due to cloud cover and spurious FRP observations of
205 volcanoes, gas flares and other industrial activity, are corrected or filtered in the GFAS
206 system (Kaiser et al., 2012). The eight biome-specific conversion factors are calculated
207 by linear regressions between the GFAS FRP and the dry matter combustion rate of
208 GFED3.1 in each biome (see Table 2 and Fig.3 in Kaiser et al., 2012). Therefore, the
209 biomass burning emission calculated by GFAS is close to that of GFED3.1. Then the
210 biomass burning emission from a certain aerosol species is converted by multiplying the
211 total consumed dry matter with EF of that species. More information on the latest GFAS
212 product can be found at
213 [https://confluence.ecmwf.int/display/CKB/CAMS++Global+Fire+Assimilation+System+](https://confluence.ecmwf.int/display/CKB/CAMS++Global+Fire+Assimilation+System+(GFAS)+data+documentation)
214 [\(GFAS\)+data+documentation](https://confluence.ecmwf.int/display/CKB/CAMS++Global+Fire+Assimilation+System+(GFAS)+data+documentation). The GFAS1.2 dataset can be downloaded at
215 <https://apps.ecmwf.int/datasets/data/cams-gfas/>.

217 **2.1.5 FEER1.0**

218 The FEER1.0 (Ichoku and Ellison, 2014) uses FRP from the GFAS1.2 analysis system
219 (Kaiser et al., 2012) multiplied by emission coefficient C_e (Units: kg species per MJ) to
220 derive aerosol biomass burning emission rates; however, the way how C_e , which is called
221 conversion factor by Kaiser et al. (2012), is derived in FEER1.0 is more sophisticated
222 than that in GFAS1.2 (Kaiser et al., 2012). The C_e in FEER1.0 for smoke aerosol total
223 particulate matter (TPM) is derived through zero-intercept regression of the emission rate
224 of smoke aerosol (i.e., R_{sa}) against the corresponding FRP (Ichoku and Kaufman, 2005;
225 Ichoku and Ellison, 2014) at pixel-level within each grid. C_e corresponds to the slope of
226 the linear regression fitting. In the FEER methodology, R_{sa} is estimated through a spatio-
227 temporal analysis of MODIS AOD data along with wind fields from the NASA Modern-
228 Era Retrospective Analysis for Research and Applications (MERRA) reanalysis dataset
229 (Rienecker et al., 2011). The smoke aerosol C_e in FEER1.0 is available at $1^\circ \times 1^\circ$ spatial
230 resolution global grid, and covers most land areas where fires have been detected by
231 MODIS for at least 30 times during the period 2003-2010 (Ichoku and Ellison, 2014) to
232 ensure statistical representativeness. In the current version of FEER1.0 emission dataset,
233 C_e for other smoke constituents, say OC, at each grid cell are obtained by scaling the C_e
234 of smoke aerosol according to the ratio of their emission factors, such as EF_{oc} to EF_{sa}
235 (i.e., ratio of emission factor for OC to that for total smoke aerosol). The FEER1.0 dataset
236 is available at <http://feer.gsfc.nasa.gov/data/emissions/>.

238 **2.1.6 QFED2.4**



239 The earlier version of QFED (Darmenov and da Silva 2015) estimated biomass burning
240 emissions by multiplying level 2 MODIS FRP with an emission coefficient C_e which is
241 the product of the initial constant value C_0 (1.37 kg per MJ, reported by Kaiser et al.,
242 2009) and a scaling factor, with the scaling factor calculated by regressing the carbon
243 monoxide (CO) BB emission (product of FRP, C_0 and CO emission factor) to that in the
244 GFED version 2. The scaling factor used by the QFED 2.4, the version used in this
245 study, was obtained by further regressing the Goddard Earth Observing System (GEOS)
246 Model simulated AOD to the MODIS AOD in 46 sub-regions, and then the resulting
247 scaling factors in the 46 sub-regions were aggregated into four major fire-prone biomes,
248 i.e., savanna, grassland, tropical forests, and extratropical forests, as values of 1.8, 1.8,
249 2.5, and 4.5, respectively. The QFED2.4 also used a sequential model with temporally
250 damped emissions to estimate the emissions in cloudy areas. The real-time QFED2.4 fire
251 emission is produced on a daily basis and used in the operational GEOS data assimilation
252 system. In addition to the near real-time emissions, a longer historical record dataset
253 which we used is also maintained based on data from the MODIS Adaptive Processing
254 System (MODAPS) Service (<http://modaps.nascom.nasa.gov/services/>).

256 2.2 Application of the BB emission datasets in the NASA GEOS model

258 2.2.1 Description of the NASA GEOS model

259 The GEOS model consists of an atmospheric general circulation model, a catchment-
260 based land surface model, and an ocean model, all coupled together using the Earth
261 System Modeling Framework (ESMF, Rienecker et al., 2011; Molod et al., 2015). Within
262 the GEOS model architecture, several interactively coupled atmospheric constituent
263 modules have been incorporated, including an aerosol and carbon monoxide (CO)
264 module based on the Goddard Chemistry Aerosol Radiation and Transport model
265 (GOCART, Chin et al., 2000, 2002, 2009, 2014; Colarco et al., 2010; Bian et al., 2010)
266 and a radiation module from the Goddard radiative transfer model (Chou and Suarez,
267 1999; Chou et al., 2001). The GOCART module used in this study includes
268 representations of dust, sea salt, sulfate, nitrate, and black and organic carbon aerosol
269 species. A conversion factor of 1.4 is used to scale organic carbon mass to organic
270 aerosol (OA), which is on the low end of current estimates (Simon and Bhave, 2012).

271
272 In this study the GEOS model (Heracles-5.2 version) was run globally on a cubed-sphere
273 horizontal grid (c180, ~50 km resolution) and with 72 vertical hybrid-sigma levels
274 extending from the surface to ~85 km for the year 2008. The model was run in a “replay”
275 mode, where the winds, pressure, moisture, and temperature are constrained by the
276 MERRA-2 reanalysis meteorological data (Gelaro et al., 2017), a configuration that
277 allows a similar simulation of real events as in a traditional off-line chemistry transport
278 model (CTM) but exercises the full model physics for, e.g., radiation, and moist physics
279 processes. We used the HTAP2 anthropogenic emissions (Janssens-Maenhout et al.,
280 2015) that provides high-spatial resolution monthly emissions. The BB emissions are
281 uniformly distributed within the boundary layer without considering the specific injection
282 height of each plume. All six BB emissions are daily emissions with the diurnal cycle
283 prescribed in the model: the maximum is around local noon, which is more prominent in
284 the tropics, gradually weakened in the extra-tropics (Randles et al., 2017). The natural



285 aerosols are either generated by the model itself (i.e., wind-blown dust and sea salt) or
286 from prescribed emission files (i.e., volcanic and biogenic aerosols).

287

288 **2.2.2 Experiment design**

289 In order to investigate the sensitivity of the modelled AOD to different BB emission
290 datasets, seven experiments were conducted with the GEOS model, differing only in the
291 source of biomass burning emissions. The first six runs are GFED3.1, GFED4s, FINN1.5,
292 GFAS1.2, FEER1.0, and QFED2.4, using the corresponding biomass burning datasets
293 described above in Section 2.1. A seventh run is called “NOBB,” where the model is run
294 without including biomass burning emissions.

295

296 **2.4. AOD Observations**

297 **2.4.1 MODIS retrievals**

298 We used the AOD retrieved from the MODIS collection 6 products from both the Terra
299 and Aqua satellites with the combination of Dark Target (DT) aerosol algorithm (Remer
300 et al., 2005; Levy et al., 2010), which was designed for aerosol retrievals over dark land
301 (mostly vegetated) and ocean surfaces in the visible (VIS) to shortwave infrared (SWIR)
302 parts of the spectrum, and the Deep Blue aerosol algorithm (Sayer et al., 2014), which
303 was designed for aerosol retrieval over bright surfaces (e.g., desert).

304

305 **2.4.2 AERONET sites**

306 We also evaluated the modelled AOD at 550nm and Angstrom Exponent (AE, 440–870
307 nm) with that from the ground-based AERosol RObotic NETwork (AERONET, Holben et
308 al., 1998) sites situated in biomass burning source regions. AERONET Version 3 Level
309 2.0 data, which are cloud-screened and quality-assured aerosol products with a 0.01
310 uncertainty (Giles et al., 2019), were used in this study. The AERONET AOD at 550nm
311 is interpolated from the measurements at 440 and 675nm. Angstrom Exponent (AE) is
312 calculated with AOD at 440 and 870nm. We compared model simulations with
313 AERONET data at 14 selected sites, each representing the spatiotemporal characteristics
314 at different biomass burning regions shown in Fig. 1 that are defined previously by the
315 GFED studies (e.g., Van der Werf et al., 2006, 2010, and 2017). Some regions have no
316 AERONET sites with data measured in 2008, i.e., Northern Hemisphere South America
317 (NHSA) and Equatorial Asia (EQAS), we thus also showed the average of multiple years
318 or climatology of AERONET AOD at each site for reference. Locations of these 14
319 selected AERONET sites are represented by the numbered magenta dots in Fig.1.

320

321 **3. Results**

322 **3.1 Inter-comparison of the six biomass burning emission datasets**

323 The OC biomass burning emissions were compared throughout this study, since OC is the
324 major constituent in fresh biomass burning smoke particles, with mass fractions ranging
325 from 37% to 67% depending on fuel type (e.g. grassland/savanna, forests, or others),
326 according to various studies based on thermal evolution techniques (Reid et al., 2005,
327 part II, Table 2). These inter-comparisons were carried out in terms of both annual and
328 seasonal variations in Sect. 3.1.1 and Sect. 3.1.2 respectively.

329

330 **3.1.1 Annual total**



331 Figure 2 shows the spatial distributions of annual total OC biomass burning emissions in
332 2008 from the six BB emission datasets. The hot spots in Africa, boreal Asia, and South
333 America were pronounced in all six BB emission datasets, albeit to different degrees. The
334 regional differences of the annual OC biomass burning emissions in different BB
335 emission datasets can be appreciated more quantitatively in Fig. 3. Relevant statistics for
336 the six BB emission datasets in the 14 regions were also listed in Fig. 3 at the top of the
337 panel, with the annual mean averaged over the six BB emission datasets in the first row
338 (*mean*). We used three different measures to quantify the spread of the six BB emission
339 datasets: (1) standard deviation (*std*) of the annual mean, (2) ratio of maximum to
340 minimum (*max/min*), and (3) the coefficient of variation (*cv*, defined as the ratio of the
341 *std* to the *mean*). The rank of *cv* for each of the 14 regions was also listed in Fig. 3 (e.g., a
342 ranking of 1 means that this region shows the least spread among the six BB emission
343 datasets, while a ranking of 14 indicates that this region has the largest spread). The best
344 agreements among the six emission datasets occurred in Northern Hemisphere Africa
345 (NHAF), Equatorial Asia (EQAS), Southern Hemisphere Africa (SHAF), and Southern
346 Hemisphere South America (SHSA), which have the top *cv* ranks (1-4) and relatively low
347 *max/min* ratio (a factor of 3-4). The worst agreements occurred in Middle East (MIDE),
348 Temperate North America (TENA), Boreal North America (BONA) and Europe
349 (EURO), which have the bottom *cv* ranks (14-11) and large *max/min* ratio (a factor of 66-
350 10). This diversity was mostly driven by the QFED2.4 emission dataset, which estimated
351 the largest emission amount for almost all regions (except EQAS), especially in MIDE
352 where BB emission from QFED2.4 is more than 50 times higher than that from other
353 emission datasets. Globally, the QFED2.4 dataset showed the highest OC emission of
354 51.93 Tg C in 2008, which was nearly four times that of GFED4s of 13.76 Tg C (the
355 lowest among the six BB datasets).

356
357 Overall, two FRP-based BB emissions, QFED2.4 and FEER1.0, were a factor of 2-4
358 larger than other BB datasets, which is consistent with the findings of Zhang et al. (2014)
359 over sub-Saharan Africa. It is worth noting that the BB emission amount of GFAS1.2 was
360 close to that of GFED3.1 confirming that GFAS1.2 is tuned to GFED3.1 (described in
361 Sect. 2.1.4). Globally, FINN1.5 yielded more OC emissions than the two GFED and
362 GFAS1.2 datasets (e.g., 40% larger than the GFED4s). Regionally, FINN1.5 was
363 generally comparable to the two GFED datasets in most regions, but was higher than
364 them in the tropical regions, such as EQAS, Southeast Asia (SEAS), Central America
365 (CEAM) and NHSA. Interestingly, the FINN1.5 was even the largest among all six
366 datasets over EQAS region (i.e., the Tropical Asia), which might be associated with its
367 assumption of continuation of burning into the second day over there (to be discussed in
368 section 4.1.2). The global OC emissions from GFED4s were lower than those from its
369 GFED3.1 counterpart, although higher in several regions, such as TENA, Central
370 America (CEAM), Northern Hemisphere South America (NHSA), Boreal Asia (BOAS)
371 and Central Asia (CEAS). Possible explanations for these differences among the six
372 global BB emissions datasets are provided in Sect. 4.1.

373 374 **3.1.2 Seasonal variation**

375 Biomass burning is generally characterized by distinct seasonal variations in each of the
376 14 sub-regions and globally, as shown in Fig. 4. Overall, there were four peak fire



377 seasons across the regions: (1) During the boreal spring (March-April-May), fires peak in
378 BOAS mainly because of forest fires (see the contribution of different fire categories in
379 Table 3 of van der Werf et al., 2017), in SEAS, CEAM, and NHSA because of savanna
380 and deforestation fires, and in Central Asia (CEAS) mainly due to the agricultural waste
381 burning to prepare the fields for spring crops. (2) During the boreal summer (June-July-
382 August), fires peak in BONA and TENA, mostly due to wildfires that occur under the
383 prevailing dry and hot weather, in EURO probably associated with the burning of
384 agricultural waste, and in MIDE, although the seasonal maximum in QFED may have
385 been significantly influenced by emissions from gas flares and other activities. (3) During
386 the austral spring (September-October-November), fires peak in the southern hemispheric
387 regions of SHSA, SHAF and AUST, associated with savanna burning (in addition to
388 deforestation fires in SHSA); (4) During the boreal winter (December and January), fires
389 peak in NHAF, particularly along the sub-Sahel belt (Fig. 2), where savanna fires are
390 associated with agricultural management and pastoral practices across that region (e.g.
391 Ichoku et al., 2016b). Overall, all six BB emission datasets exhibited similar seasonal
392 variations, although they differed in magnitude. In particular, it is noteworthy that in
393 EQAS, the annual OC emissions from GFED4s was lower than that of GFED3.1 by 18%,
394 but higher in the month of April by a factor of two.

395
396 For reference, biomass burning black carbon (BC) emissions were also shown, but in the
397 supplement (Fig. S1, S2 for annual mean and Fig. S3 for seasonal variation), which
398 exhibited similar features as OC. The amounts of biomass burning BC emission were
399 almost proportional to their OC counterparts (about 1/10 to 1/15 of OC).

400 401 **3.2 Comparison of model-simulated AOD with remote sensing data**

402 As in other similar situations where several different datasets are available to be chosen
403 from (e.g. Bian et al., 2007), a question that invariably comes to mind is: which BB
404 emission dataset is the most accurate or should be used in a given situation? In fact, it is
405 difficult to give a conclusive answer, as it is often challenging to measure the emission
406 rate of an active fire in real time or to disentangle the contribution of smoke aerosols
407 from the total atmospheric aerosol loading/concentration in observations. Therefore, in
408 this study we have implemented all six global BB emission datasets in the GEOS model,
409 and evaluated the simulated aerosol loading associated with each BB emission dataset
410 instead. More specifically, we compared the simulated AOD with the satellite-retrieved
411 AOD data from MODIS (primarily to examine the spatial coverage) as well as with
412 ground-based measurements from AERONET sites near biomass burning source regions
413 to examine the seasonal variation. Our analysis was focused during biomass burning peak
414 seasons, when smoke aerosol emissions dominate those from other sources, such as
415 pollution or dust. With such an effort to evaluate the sensitivity of the simulated AOD to
416 the different BB emission datasets, the results from this study may shed some lights on
417 answering the aforementioned question, i.e., which BB dataset is the most accurate or
418 should be used in a given situation? We acknowledge that although focusing on a
419 particular model (e.g., GOES in this case) can potentially introduce additional uncertainty
420 through various complicated and non-linear procedures employed to calculate the AOD,
421 such as the modelled relative humidity and the related aerosol's hygroscopic growth
422 (Bian et al., 2009; Pan et al., 2015), still, evaluation of the model-simulated AOD has



423 proven to be a feasible approach to compare various BB emission datasets in reference to
424 the currently available observations (e.g., Petrenko et al. 2012; Zhang et al., 2014).

425
426 Aiming to evaluate the sensitivity of the modelled AOD to different BB emissions
427 datasets, we compared the spatial distribution of GEOS model-simulated AOD with
428 MODIS-retrieved AOD in Sect. 3.2.1 and with the AERONET measured AOD at 14
429 AERONET sites in Sect. 3.2.2.

430

431 **3.2.1 Global spatial distribution**

432 Comparisons for September and April in 2018 are shown in Fig. 5 and Fig. 6
433 respectively, representing the peaking biomass burning months in the southern
434 hemisphere and many regions in the northern hemisphere, respectively. The MODIS-
435 Aqua AOD is displayed on the top left panel and the model biases relative to it (model
436 minus MODIS) are shown on other panels in each of the two cases.

437

438 In September 2008, the high AOD observed from MODIS-Aqua (i.e., MODIS-a) in the
439 Southern hemisphere (Fig. 5a) was mostly attributable to biomass burning. The observed
440 AOD peaked in the region of SHAF with an area-averaged value as 0.351 (see the area-
441 averaged value for each region in Table S1) and the adjacent eastern South Atlantic
442 Ocean (>1.0), and gradually decreased westwards. The observed AOD was 0.199
443 averaged over SHSA with a maximum value of nearly 0.4 in western Brazil (Fig. 5a).
444 Large negative biases of -0.301 and -0.140 in the regions of SHAF and SHSA
445 respectively are found in the NOBB run (see Fig. 5b, Table S1 shows the area-averaged
446 values). These negative biases were reduced to the largest degree in the QFED2.4 run,
447 i.e., to -0.059 and 0.004 in these two regions respectively (See Fig. 5h), followed by the
448 FEER1.0 run to -0.094 and -0.034 respectively (Fig. 5g). The reductions of negative
449 biases were the least in GFED4s, only to -0.226 and -0.093 respectively (Fig. 5d),
450 followed by GFAS1.2 (Fig. 5f).

451

452 In April 2008 (Fig. 6), biomass burning emissions peaked in the regions of Southeast Asia
453 (SEAS), Central Asia (CEAS), Boreal Asia (BOAS), Central America (CEAM), and
454 Northern Hemisphere South America (NHSA). FINN1.5 and QFED2.4 had the best
455 agreement with MODIS-a in regions of SEAS and CEAM (see Table S1). Being mixed
456 with, and often surpassed by other aerosol types, however, the contribution of biomass
457 burning aerosols to the total AOD are hardly distinguishable in this month, especially if
458 the simulated background AOD in NOBB (simulation without biomass burning emission)
459 was already biased high relative to MODIS (e.g., in EURO-Europe). Such complicated
460 situations occurred during most of other months (not shown), leading to the difficulties in
461 evaluating the BB emission datasets with the AOD observations.

462

463 **3.2.2 Seasonal variations of AOD at AERONET sites**

464 In order to better quantify the sensitivity of the simulated AOD to the six different BB
465 emission datasets, we further compared the simulated monthly AOD with the ground-
466 based AOD observations from AERONET stations by choosing one representative station
467 in each region (Fig. 7). The exception is in two regions NHSA and EQAS, where there
468 are no valid AERONET observations during 2008, thus we used the multi-year



469 climatology of AOD at Medellin and Palangkaraya to represent NHSA and EQAS,
470 respectively. We also included the climatology of AERONET AOD in the other 12
471 AERONET sites for reference. As shown in Fig. 7, AOD in 2008 at available sites
472 (brown thin bars) were similar to their respective climatology (light gray thick bars)
473 within 0.05. The MODIS-t (Terra) and MODIS-a (Aqua) AOD were plotted for reference
474 as purple diamond and blue triangle, respectively. In most cases, these two MODIS
475 observations agreed with each other, with MODIS-Terra being the higher one at most
476 sites except for Mongu and Lake_Argyle, where savanna burning is dominant. Note that
477 the panels representing the AERONET stations in Fig. 7 were arranged in a way that their
478 placements correspond to those of their respective regions in Fig. 4 for easy inter-
479 comparison. In this section, the modelled monthly mean AOD was calculated by
480 averaging over the modelled instantaneous AOD in each month; while the monthly AOD
481 of AERONET and MODIS are simply calculated by averaging over available
482 observations in each month.

483
484 In general, runs with different BB emission datasets showed almost identical AOD during
485 non-biomass burning seasons in each region, thereby leaving their differences noticeable
486 during the biomass burning peak seasons. The larger spread of the seven runs at each
487 selected AERONET station usually occurred in the peak month(s) of biomass burning in
488 respective region, as expected. At most other AERONET sites, the simulated AOD based
489 on QFED2.4 were the highest and closest to AERONET AOD during the corresponding
490 peak biomass burning seasons, followed by FEER1.0 and FINN1.5, and then GFED3.1,
491 GFEDv4 and GFAS1.2. Meanwhile, contributions from non-BB emissions to the total
492 AOD are represented by NOBB experiment (black line in Fig. 7). The contribution of
493 non-BB AOD was more than that of BB AOD during the burning seasons at most
494 selected AERONET sites, except at Alta Floresta (Fig. 7.5), Mongu (Fig. 7.9), and
495 Chiang Mai Met Sta (Fig. 7.12). Model simulations had difficulty representing the non-
496 BB AOD at three high-latitude ($> 55^{\circ}\text{N}$) AERONET sites almost year around, i.e., Fort
497 McMurray in BONA, Toravere in EURO, and Moscow_MSU_MO in BOAS (three
498 panels in the top row of Fig. 7). However, the simulated AOD with QFED2.4 were
499 apparently overestimated during October and November at Fort McMurray.

501 3.3 Case studies in biomass burning dominated regions

502 In order to investigate the relationship between AOD and biomass burning emission, we
503 focused on two AERONET stations, namely, Alta Floresta in SHSA and Mongu in
504 SHAF, for the in-depth analysis in this section in the context of daily variation during
505 September. Biomass burning emissions are known to be dominant at such locations and
506 month as estimated by Chin et al. (2009). They found that 50-90% of the AOD was
507 attributable to biomass burning emissions using a GOCART model simulation. Based on
508 previous studies, e.g., Pereira et al. (2016) in SHSA, and Reddington et al. (2016) in
509 tropical regions including SHSA and SHAF, there appears to be a general consensus that
510 the simulated AOD is consistently underestimated over these two regions in many models
511 with different BB emission datasets. We calculated the 3-hourly AOD by sorting the
512 instantaneous AOD from both AERONET and model outputs on each day into eight
513 time-steps, namely, 0, 3, 6, 9, 12, 15, 18, and 21Z. In this section, the modelled monthly
514 mean AOD was calculated by averaging over the modelled 3-hourly AOD, which



515 coincided with 3-hourly AERONET AOD in that month. The detailed analyses are
516 discussed below.

517

518 3.3.1 Alta Floresta in South America

519 The monthly averaged AOD observed from AERONET at Alta Floresta is 0.47 during
520 September 2008 (Fig. 8a). Figure 8a shows that the simulated AOD from all six
521 experiments captured the sustained aerosol episode observed in the AERONET dataset in
522 the middle of September, on September 13-14 (AOD about 1.0-1.5). The simulation with
523 QFED2.4 BB emission produced the closest agreement with the AERONET-observed
524 AOD with an average *ratio* of 1.00. In contrast, the simulated AOD with FEER1.0,
525 FINN1.5, GFAS1.2, GFED3.1, and GFED4s emissions tended to underestimate most of
526 the time, with GFED4s having the largest negative bias (*ratio*=0.36). The AE (an
527 indicator of particle size) from AERONET is 1.66 (not shown), indicating that smoke
528 particles are from burning of both forests and grasses at Alta Floresta (Eck et al., 2001).
529 All experiments matched the observed AE (now shown).

530

531 There was a large contrast of local biomass burning OC emission between September 24-
532 25 (as high as $1\text{-}2\ \mu\text{g m}^{-2}\ \text{s}^{-1}$) and the other days (close to zero) (Fig. 8b). Such sharp
533 contrast was completely missing in the simulated AOD (Fig. 8a). Nevertheless, the OC
534 column dry mass load (Fig. 8c) resembled with the corresponding AOD (Fig. 8a),
535 implying that the day-to-day variation of relative humidity (RH) in the dry season is too
536 small to influence the AOD. All experiments showed relatively low skill of capturing the
537 temporal variability of the observed AOD at Alta Floresta (*corr*=0.24-0.60). All these
538 evidences, therefore, collectively suggest that the temporal variations of AOD (and
539 aerosol mass loading) in Alta Floresta during the burning season do not directly respond
540 to the local BB emission at the daily and sub-daily time scales. Other processes which
541 determine the residence time of aerosols (typically a few days), such as the regional scale
542 transport and removal, likely play critical roles in determining the local aerosol loading.
543 The MODIS-Terra true color image overlaid with fire hotspots (red dots) on September
544 13, 2008 confirms that dense smoke over Alta Floresta (blue circle) was transported from
545 the upwind areas instead from local BB emissions during this peak aerosol episode (Fig.
546 8d). Thus, accurate estimation of regional emissions and representations of regional scale
547 transport and removal are rather important.

548

549 3.3.2 Mongu in Southern Hemisphere Africa

550 The case at Mongu is different from that of Alta Floresta. There were numerous fire hot
551 spots (represented by the red dots in this MODIS-Aqua true color image) at and close to
552 Mongu (blue circle), as revealed by Fig. 9d on September 12, 2008, one of peak aerosol
553 episodes. The visibility over entire region was apparently low due to smoke aerosols.
554 Accordingly, the biomass burning OC emissions averaged over the grid box of Mongu
555 exhibited distinct daily variations in each BB dataset (Fig. 9b). Similar emission patterns
556 are found when averaged over nine or 25 surrounding grid boxes (not shown). At this
557 site, the day-to-day variations of AOD still cannot be totally explained by the
558 corresponding emission at Mongu. For example, emission from FEER1.0 on September
559 17 is six times higher than that on September 2 (Fig. 9b) but the simulated AOD on
560 September 17 is twice lower than that on September 2 (Fig. 9a). Although the temporal



561 variation of the ambient RH may partially contribute to the day-to-day changes of the
562 emission-AOD relationship, the close resemblance between the model simulated AOD
563 and column OC dry mass loading (Fig. 9c) excludes such possibility. All model
564 experiments almost reproduced the AERONET AE throughout September at this site (not
565 shown), which is 1.80, confirming the dominance of the fine-mode aerosol particles
566 emitted from smoke aerosols that is captured by the model irrespective of the BB
567 emission dataset used. These evidences therefore suggest again that the temporal
568 variations of AOD (and aerosol mass loading) in Mongu, where local emissions were
569 present, do not also directly respond to the local BB emission at the daily and sub-daily
570 time scales during the burning season, further confirming the importance of accurate
571 estimation of regional emissions and representations of regional scale transport and
572 removal as aforementioned in the case of Alta Floresta.

573
574 On broader spatial scales, AOD at Mongu did closely vary with the BB emission. It is
575 apparent that overall higher BB emissions still resulted in higher column mass loading
576 and thus AOD in general, as indicated by comparing six BB emission datasets. For
577 instance, FEER1.0 and QFED2.4, which had the largest month total biomass burning OC
578 emission among the six BB emission datasets during September over the region of SHAF
579 (2.27 and 2.92 Tg mon⁻¹ respectively in Fig. 4), resulted in the highest AOD (*ratio*=49%
580 and 46% of the observed respectively in Fig. 9a); while FINN1.5 and GFED4s, which
581 represented the lowest monthly mean biomass burning OC emission among the six BB
582 emission datasets (0.87 and 0.85 Tg mon⁻¹ respectively in Fig.4), resulted in very low
583 AOD (15% and 19% of the observed respectively).

584
585 Considering regional scale transport and removal processes as well as wind fields are the
586 same across six BB emission experiments since they were run under the same model
587 configurations, therefore, enhancement of BB emission amounts in all BB emission
588 datasets (although in different degrees) in the region of Mongu are suggested by this
589 study based on the results of AOD. The similar suggestion is applicable to the region of
590 Alta Floresta (except for QFED2.4).

591

592 **4. Discussion**

593 The simulated AOD is biased low in biomass burning dominated regions and seasons as
594 demonstrated in this study. More explanations on differences among the six BB
595 emissions datasets are discussed in Sect. 4.1. Basically, the uncertainty of the simulated
596 AOD could be attributable to two main sources: (1) BB emissions-related biases, (2)
597 Model-related biases, which are discussed in Sections 4.2 and 4.3, respectively.

598

599 **4.1 The possible explanations of differences among the six BB emission datasets**

600 **4.1.1 Higher BB emissions estimated from QFED2.4 and FEER1.0**

601 This study has shown that the QFED2.4 and FEER1.0 BB emission datasets are
602 consistently higher than the others, with QFED2.4 being the highest overall. Some of the
603 possible reasons responsible for this difference includes:

604

605 ***Constrains with MODIS AOD.*** The emission coefficients (C_e) used to derive biomass
606 burning emissions in both QFED2.4 and FEER1.0 are constrained by the MODIS AOD,



607 although in different ways (detailed in Sec.2.1.6 and 2.1.5 respectively). This is not the
608 case for other BB emission datasets especially GFAS1.2 which also uses the same FRP
609 products in deriving dry mass combustion rate as FEER1.0 but tuned to the GFED3.1 dry
610 matter combustion rate instead. QFED2.4 applied four biome-dependent scaling factors
611 to the initial constant value C_0 when deriving its C_e , by minimizing the discrepancy
612 between the AOD simulated by the GEOS model and the MODIS AOD in the respective
613 biomes. The resulting scaling factors are 1.8 for savanna and grassland fires, 2.5 for
614 tropical forests, and 4.5 for extratropical forests (Darmanov and da Silva 2015). This
615 partially explains its very high emission over the extratropical regions of TENA, BONA
616 and BOAS relative to the other emission datasets (Fig. 2-4). However, the high BB
617 emission estimated by QFED2.4 is questionable during October and November of 2008
618 in the region of BONA (Fig. 4) according to the evaluation of its resulting AOD relative
619 to the AERONET AOD at the site Fort McMurray (Fig. 7.1). As for FEER1.0, the
620 process of deriving C_e involved calculating the near-source smoke-aerosol column mass
621 with the MODIS AOD (total minus the background) for individual plumes, thereby
622 limiting influence from other emission sources (Ichoku and Ellison, 2014).

623

624 ***Fuel consumption.*** In general, the FRP-based estimation such as QFED2.4 and FEER1.0
625 may enable more direct estimates of fuel consumption from energy released from fires,
626 without being affected by the uncertainties associated with the estimates of fuel loads and
627 combustion completeness (e.g., Kaufman et al., 1998; Wooster et al., 2003, 2005; Ichoku
628 and Kaufman, 2005; Ichoku et al., 2008). However, FRP from non-BB sources, such as
629 the gas flare, could be mistakenly identified as BB sources (one example is the possible
630 misidentification of fires in MIDE by QFED2.4) thus requiring additional screening.

631

632 **4.1.2 Features of FINN1.5**

633 Globally, the FINN1.5 dataset is lower than QFED2.4 and FEER1.0, but larger than
634 GFAS1.2, GFED3.1 and GFED4s (Fig. 3). Although FINN1.5 can capture the location of
635 the large wildfires using the active fire products, the estimation of burned area is rather
636 simple without the complicated spatial and temporal variability in the amount of burned
637 area per active fire detection or variability in fuel consumption within biomes. For
638 example, it estimates 1 km² burned area per fire pixel for all biomass types except for
639 savanna and grassland where 0.75 km²/fire pixel is estimated instead. That might partially
640 explain why the FINN1.5 is extremely low in AUST, as suggested by Wiedinmyer et al.
641 (2011). Additionally, the FINN1.5 dataset is the least over boreal regions, such as in
642 regions of BOAS and BONA, where the FINN1.5 is only 1/3 and 3/5 of the GFED4s
643 respectively. Large forest fires dominate in BOAS and BONA, so that the direct mapping
644 of burned area as done in the GFED4s and GFED3.1 has more biomass burning
645 emissions (van der Werf et al., 2017). On the other hand, the BB emission in FINN1.5
646 dataset is relatively large near the equator. For instance, it is the largest among the six
647 datasets over the region EQAS, and the second largest over the regions of CEAM and
648 SEAS. This might be attributed to the smoothing of the fire detections in these tropical
649 regions to compensate for the lack of daily coverage by the MODIS instruments
650 (Wiedinmyer et al., 2011): for each fire detected in the equatorial region only, a fire is
651 counted for a 2-day period in FINN1.5, by assuming that fire continues into the next day
652 but at half of its original size.



653

654 **4.1.3 Difference between GFED4s and GFED3.1**

655 Globally and in some regions, OC biomass burning emission in GFED4s is lower than
656 that in GFED3.1 (see Fig. 2-4), although the former has 11% higher global carbon
657 emissions and includes small fires. There are a few possible reasons: 1) For aerosols, the
658 implementation of lower *EF* for certain biomes in GFED4s than in GFED3.1 reduces the
659 aerosol biomass burning emissions. As for the savanna and grassland, for instance, the
660 GFED4s dataset mainly applies *EF* values recommended by Akagi et al. (2011), which
661 are 2.62 g OC per kg dry matter burned, 18% lower than *EF* from Andreae and Merlet
662 (2001) used in GFED3.1 (see Table 2); 2) In addition, the improvement on inclusion of
663 small fires in GFED4s over GFED3.1 is offset by the occasional optimization of fuel
664 consumption using field observations for overall carbon emissions. For instance, the
665 turnover rates of herbaceous leaf (e.g., savanna) are increased in GFED4s, leading to the
666 lower fuel loading and thus lower consumption for this land-cover type in GFED4s (van
667 Leeuwen et al., 2014; van der Werf et al., 2017). Therefore, the OC biomass burning
668 emissions are lower in GFED4s over SHAF, NHAf, and AUST (Fig. 3 and 4), where
669 ~88% of carbon emission is from savanna and grassland (van der Werf et al., 2017).

670

671 On the other hand, there are regions in the northern hemisphere where GFED4s is higher
672 than GFED3.1, for example, over CEAS and EURO, where small fires associated with
673 burning of agricultural residues contribute to 43.6% and 58.6% of the carbon emissions
674 (van der Werf et al., 2017). In spite of the 30% reduction of the *EF* in these two regions,
675 the effect of including small fires in GFED4s exceeds, resulting in twice as high OC
676 biomass burning emission from GFED4s as that from GFED3.1. Another example is in
677 BOAS where the OC biomass burning emissions is 10% higher in GFED4s than in
678 GFED3.1. It is likely attributable to a higher *EF* used in the former BB dataset than in the
679 latter one for boreal forest fire in BOAS (9.60 vs. 9.14 g OC per kg dry matter, see Table
680 2), where 86.5 % of the carbon emission is from the Siberian forest (van der Werf et al.,
681 2017).

682

683 It is interesting that the yearly total biomass burning OC emission from GFED4s is 20%
684 lower than that from GFED3.1 in EQAS (Fig. 4), even though the small fires are included
685 and the *EF* of peatland and tropical forest are higher in the former (Table 2). By
686 examining the monthly variations over EQAS (Fig. 4), however, we found that GFED4s
687 is actually higher than GFED3.1 in August by a factor of two when peatland burning is
688 predominant, but equal to or lower than GFED3.1 in other months, particularly in May,
689 leading to the overall lower annual total value in GFED4s.

690

691 **4.2 Sources of the uncertainty associated with biomass burning emissions**

692 Uncertainty in any of the six BB emissions datasets considered in this study could have
693 been introduced from a variety of measurement and/or analysis procedures, including:
694 detection of fire hot spots or area burned, retrieval of FRP, emission factors (see Table 1),
695 land cover maps, and fuel consumption estimates, some of which are explained in detail
696 below.

697 ***Fire detection.*** Most of the current global estimation of biomass burning emissions are
698 heavily dependent on polar-orbiting satellite measurements from MODIS on Terra and



699 Aqua (e.g., MCD14DL, MOD14A1, MYD14A1, and MCD14ML as listed in Table 1).
700 The temporal and spatial resolutions of these measurements impose limitations on their
701 ability to detect and characterize the relevant attributes of fires, such as the locations and
702 timing of active fires and the extent of the burned areas. Each of the two MODIS sensors,
703 from which all of the major BB datasets derive their inputs, can only possibly observe a
704 given fire location twice in 24 hours, which leaves excessive sampling gaps in the diurnal
705 cycle of fire activity. Even for these few times that MODIS makes observations at its
706 nominal spatial resolution of 1 km at nadir, it has the potential to miss a significant
707 number of smaller fires (e.g. Hawbaker et al., 2008, Burling et al, 2011, Yokelson et al.,
708 2011), as well as to miss fires obstructed by clouds and those located in the gaps between
709 MODIS swaths in the tropics (Hyer et al., 2009; Wang et al., 2018). These issues can
710 propagate into the uncertainties of the emissions datasets that are dependent on active fire
711 detection product, especially those based on FRP, e.g., GFAS1.2 (Kaiser et al., 2012),
712 FEER1.0 (Ichoku and Ellison, 2014), QFED2.4 (Darmenov and da Silva, 2015), as well
713 as FINN1.5 (Wiedinmyer et al., 2011) which does not use FRP product but uses active
714 fire product to derive burned area, and even GFED4s which does not use FRP either but
715 uses active fire product to derive burned area for small fires.

716
717 On the other hand, although the sparse diurnal sampling frequency may not necessarily
718 be an issue for the MODIS burned area product, upon which some of the emission
719 datasets are based (e.g., GFED3.1), burned area product may not account for small fires
720 due to its low spatial resolution of 500-m, which may limit the identification of small
721 burned scars such as those generated by small fires from crop lands (fire size < 21 ha).
722 In addition, MODIS fire detection sensitivity is reduced at MODIS off nadir views, with
723 increasing view zenith angles especially toward the edge of scan, where its ground pixel
724 size is almost a factor of 10 larger (Peterson and Wang, 2013; Roberts et al., 2009; Wang
725 et al., 2018), resulting in dramatic decreases in the total number of detected fire pixels
726 and total FRP (Ichoku et al., 2016b; Wang et al., 2018). Moreover, all operational remote
727 sensing fire products have difficulty accounting for understory fires or fires with low
728 thermal signal or peatland fires such as those in Indonesia, where smoldering can last for
729 months (Tansey et al, 2008).

730
731 **Emission factor (EF).** The *EF*, used for deriving individual particulate or gaseous
732 species of smoke emissions from burned dry matter in all major BB emission datasets,
733 heavily depends on the literature compiled by Andreae and Merlet (2001) and Akagi et
734 al. (2011). The *EF* can have significant uncertainties. In general, most *EFs* are derived
735 from very few lab-based studies whereby samples of fuels are burned in combustion
736 chambers (i.e. Christian et al., 2003; Freeborn et al., 2008), where the combustion
737 characteristics can be very different from those of large-scale open biomass burning and
738 wildfires. It is somewhat surprising that the aerosol emissions from GFED4s are lower
739 than those from GFED3.1 in most savanna regions (e.g., SHAF), even though the former
740 includes smaller fires and has 11% higher global carbon emissions. This discrepancy
741 between GFED4s and GFED3.1 can be partially explained by the fact that different
742 emission factors were used to derive these two products, as explained earlier in Sect.
743 4.1.3.

744



745 ***Burning stages.*** Furthermore, most current BB emission datasets do not distinguish the
746 different burning stages, such as the flaming and smoldering stages that have distinctive
747 emission characteristics. Typically, flaming dominates the earlier stage of the fire while
748 smoldering dominates the later part. In the case of boreal forest fires, for example, about
749 40% of combustion originates from the flaming phase while 60% comes from the
750 smoldering phase (Reid et al., 2005). In addition, smoldering combustion produces more
751 OC and CO than flaming combustion; whereas flaming combustion produces more BC
752 and carbon dioxide (CO₂) than smoldering (e.g., Freeborn et al., 2008).
753

754 **4.3 Sources of the uncertainty associated with aerosol modeling**

755 The model-related biases in the GEOS model, which other models most probably also
756 suffer from, include, for example, inaccurate representations of horizontal and vertical
757 transport of aerosol with wind, fire emission plume height, estimation of aerosol removal
758 in models, and other model assumptions. Modeling of AOD properties such as optical
759 properties and water uptake probably generates additional uncertainty. The ratio of OA to
760 OC is 1.4 in this study, which is at the low end of the generally suggested range of 1.4-
761 2.3. Observations suggest that OA/OC values of 1.6 ± 0.2 should be used for urban
762 aerosols and 2.1 ± 0.2 for non-urban aerosols respectively (Turpin and Lim, 2001; Aiken
763 et al., 2008). Enhancing this ratio can obviously increase the resulting AOD, but a more
764 accurate measurement of this ratio during biomass burning is needed. Furthermore, the
765 production of secondary organic aerosol (SOA) in biomass burning plumes, which has
766 been observed in lab studies and ambient plumes (e.g., Bian et al., 2017; Ahern et al.,
767 2019), are missing in these GEOS simulations. In addition, Ge et al. (2017) have shown
768 that the choice of different meteorological fields, such as those from ECMWF and
769 National Centers for Environmental Prediction (NCEP), can yield a factor of two
770 difference in the resulting surface PM_{2.5} concentration during the fire season of
771 September in the Maritime continents.
772

773 **5. Conclusions and recommendations**

774 In this study, we compared six global biomass burning aerosol emission datasets in 2008,
775 i.e., GFED3.1, GFED4s, FINN1.5, and GFAS1.2, FEER1.0 and QFED2.4. We also have
776 examined the sensitivity of the modelled AOD to the different BB emission datasets in
777 the NASA GEOS model globally and in 14-subregions. The main results are summarized
778 as follows:

- 779 a. The biomass burning emissions derived from GFED3.1, GFED4s, FINN1.5,
780 GFAS1.2, FEER1.0, and QFED2.4 can differ by up to a factor of 3.8 for OC on
781 annual average, with values of 15.65, 13.76, 19.48, 18.22, 28.48, and 51.93 Tg C in
782 2008, respectively. In general, higher emissions are estimated from QFED2.4 globally
783 and regionally, followed by FEER1.0.
- 784 b. The best agreement among the six emission datasets occurred in Northern
785 Hemisphere Africa (NHAF), Equatorial Asia (EQAS), Southern Hemisphere Africa
786 (SHAF), and South Hemisphere South America (SHSA), where the biomass burning
787 emissions are predominant in determining aerosol loading, with the top coefficient of
788 variation ranks (1-4) and relatively low *max/min* ratio (a factor of 3-4); and the least
789 agreement occurred in Middle East (MIDE), Temperate North America (TENA),
790 Boreal North America (BONA) and Europe (EURO) with the bottom coefficient of



791 variation ranks (14-11) and large *max/min* ratio (a factor of 66-10), where the biomass
792 burning is either not dominant in total aerosol loading or QFED2.4 is extremely large.
793 It seems that the diversity among the six BB emission datasets is largely driven by
794 QFED2.4, which estimates the largest emission amount for almost all regions (except
795 for equatorial Asia).

796 c. In SHAF and SHSA during September 2008, where and when biomass burning
797 aerosols are dominant over other aerosol types, the amounts of OC biomass burning
798 emissions from QFED2.4 and FEER1.0 are at least double those from the remaining
799 BB emission datasets. The AOD simulated by the NASA GEOS based on these two
800 BB emission datasets are the closest to those from MODIS and AERONET, but still
801 biased low. In particular, at Alta Floresta in SHSA, they can account for 36%-100%
802 of the observed AOD, and at Mongu in SHAF, the simulated AOD with six biomass
803 burning emission datasets only account for 15%-49% of the observed AOD. Overall,
804 during the biomass burning peak seasons at most representative AERONET sites
805 selected in each region, the AOD simulated by QFED2.4 is the highest and closest to
806 AERONET and MODIS observations, followed by FEER1.0. Considering regional
807 scale transport and removal processes as well as wind fields are the same across six
808 BB emission experiments since they were run under the same model configurations,
809 therefore, enhancement of BB emission amounts in all BB emission datasets
810 (although in different degrees) in the regions of Mongu and Alta Floresta are
811 suggested by this study based on the results of AOD. We acknowledge that the result
812 of this study is partially model-dependent, nevertheless, it sheds some light on our
813 understanding of the uncertainty of the simulated AOD associated with the choice of
814 aerosol biomass burning emission datasets.

815
816 Based on the results from the current study, it is appropriate to make some
817 recommendations for future studies on improving BB emission estimation. Our
818 understanding of the complexity, variability, and interrelationships between different fire
819 characteristics (behavior, energetics, emissions) need to be improved (Hyer et al, 2011).
820 For example, more accurate estimation of emission factors (*EF*) for different ecosystem
821 types and burning stages would greatly improve the emission overall, as demonstrated by
822 the discrepancy between GFED3.1 and GFED4s (see Sect. 4.1.3). The evaluation in this
823 study is solely based on remote sensing AOD data. More global dense and continuous
824 surface measurements are needed to validate the fire-generated aerosol loading in specific
825 contexts, including surface and vertical aerosol concentrations and aerosol compositions,
826 especially in the major BB regions.

827 828 **Author contribution**

829 CI, MC, and XP conceived this project. XP conducted the data analysis and the model
830 experiments. XP and CI wrote the majority of this manuscript, and all other authors
831 participated in the writing process and interpretation of the results. HB, AD, PC and AS
832 helped on model set-up. CI, AD, and LE provided the biomass burning emission datasets
833 and interpretation of these datasets. TK, JW, and GC provided the help to apply the
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835
836



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851

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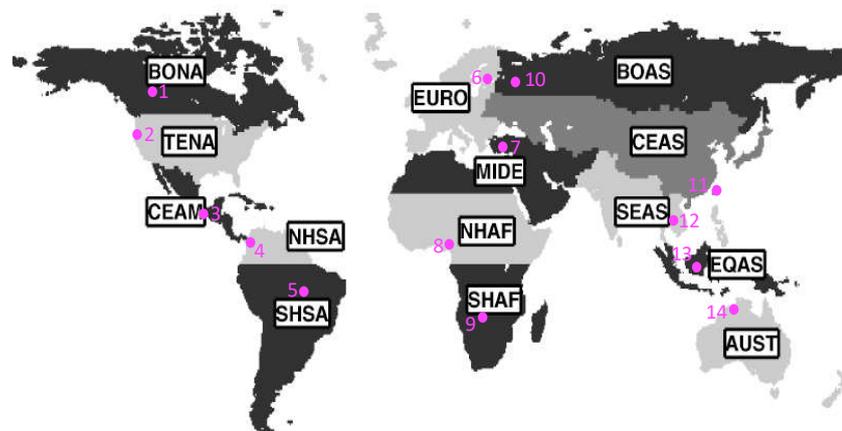


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FIGURES



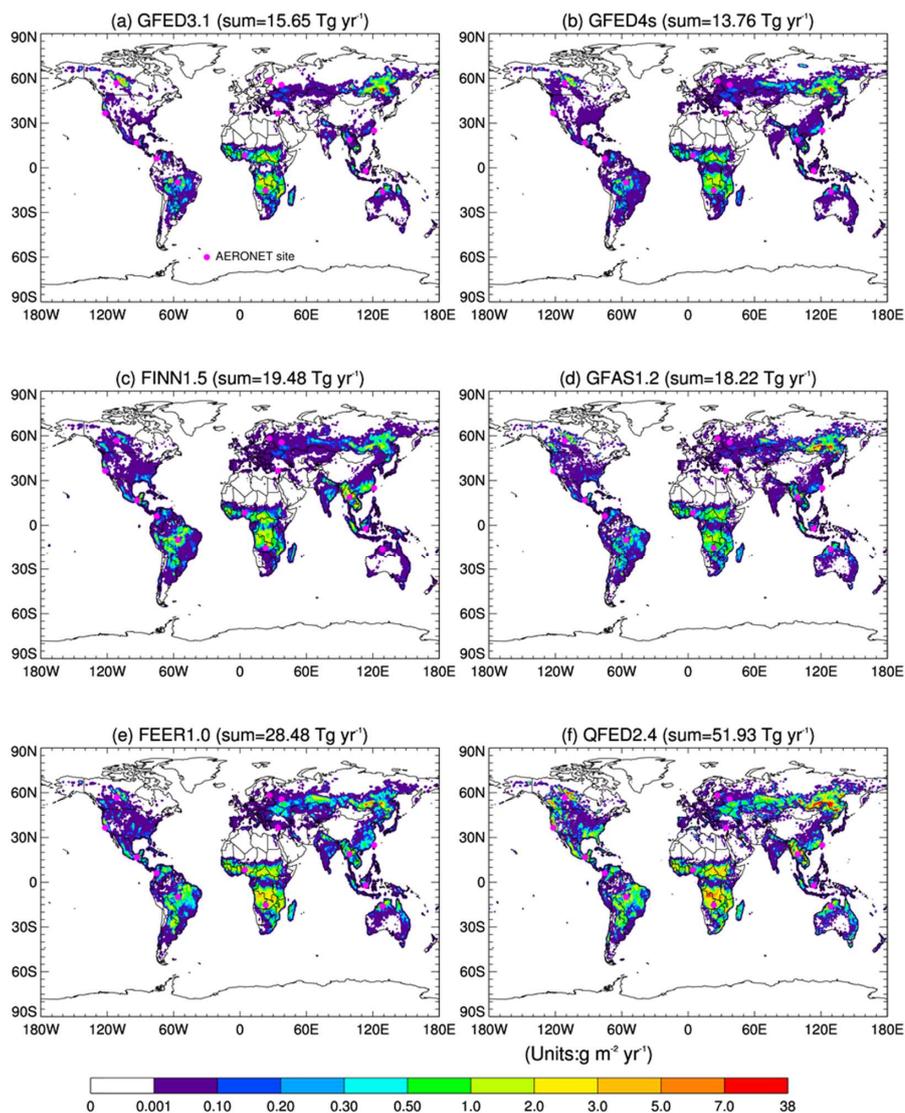
BONA	Boreal North America	NHAF	Northern Hemisphere Africa
TENA	Temperate North America	SHAF	Southern Hemisphere Africa
CEAM	Central America	BOAS	Boreal Asia
NHSA	Northern Hemisphere South America	CEAS	Central Asia
SHSA	Southern Hemisphere South America	SEAS	Southeast Asia
EURO	Europe	EQAS	Equatorial Asia
MIDE	Middle East	AUST	Australia and New Zealand

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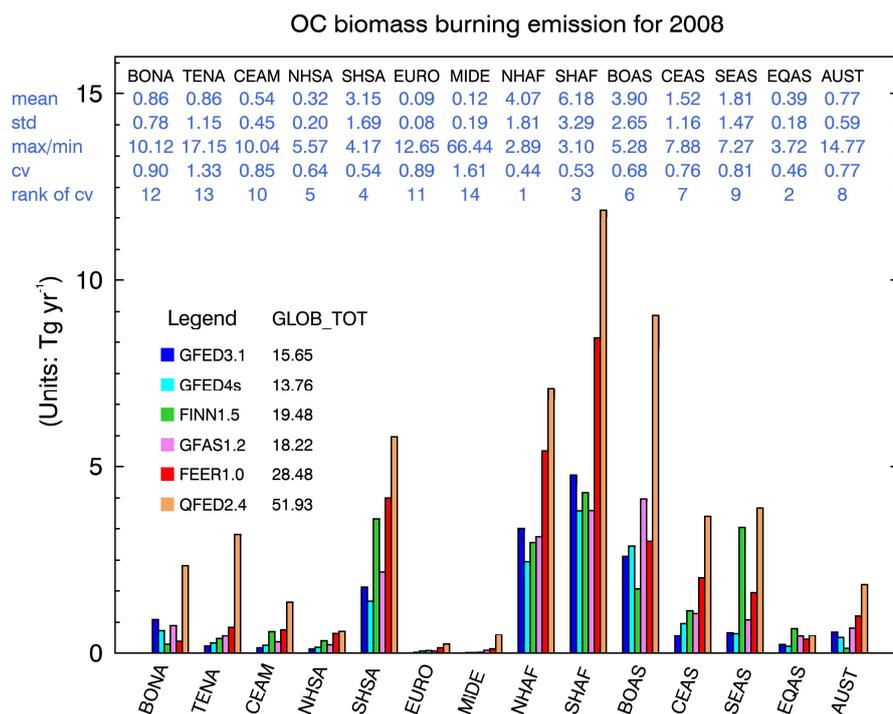
Figure 1. Map showing the 14 regions used in this study, following GFED regionalization defined by Giglio et al. (2006) and van der Werf et al. (2006; 2017). The fourteen AERONET sites selected for detailed analysis in the respective regions are represented by the numbered magenta dots. These AERONET sites and the included data years (in parentheses) for calculating aerosol climatology are: 1-Fort McMurray (2005-2018), 2-Monterey (2002-2018), 3-Tuxtla Gutierrez (2005-2010), 4-Medellin (2012-2016), 5-Alta Floresta (1993-2018), 6-Toravere (2002-2017), 7-IMS METU ERDEMLI (1999-2017), 8-Ilorin (1998-2018), 9-Mongu (1997-2010), 10-Moscow MSU MO (2001-2017), 11-EPA NCU (2004-2018), 12-Chiang Mai Met Sta (2007-2017), 13-Palangkaraya (2012-2017), 14-Lake Argyle (2001-2017).



OC biomass burning emission for 2008

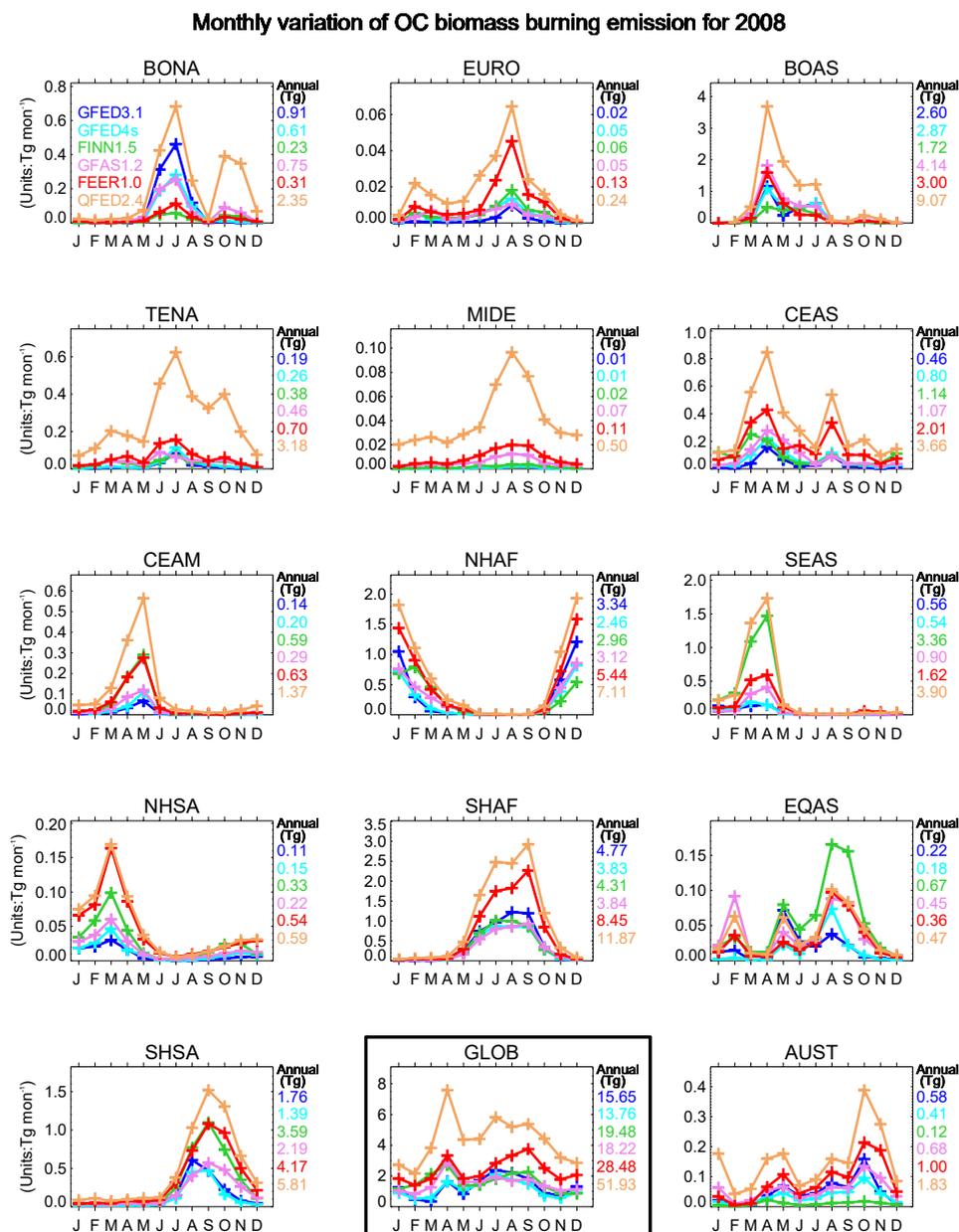


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1251 Figure 2. The spatial distribution of annual organic carbon biomass burning emissions for 2008
1252 estimated by six biomass burning emission datasets. The fourteen selected AERONET sites
1253 are indicated as magenta dots.
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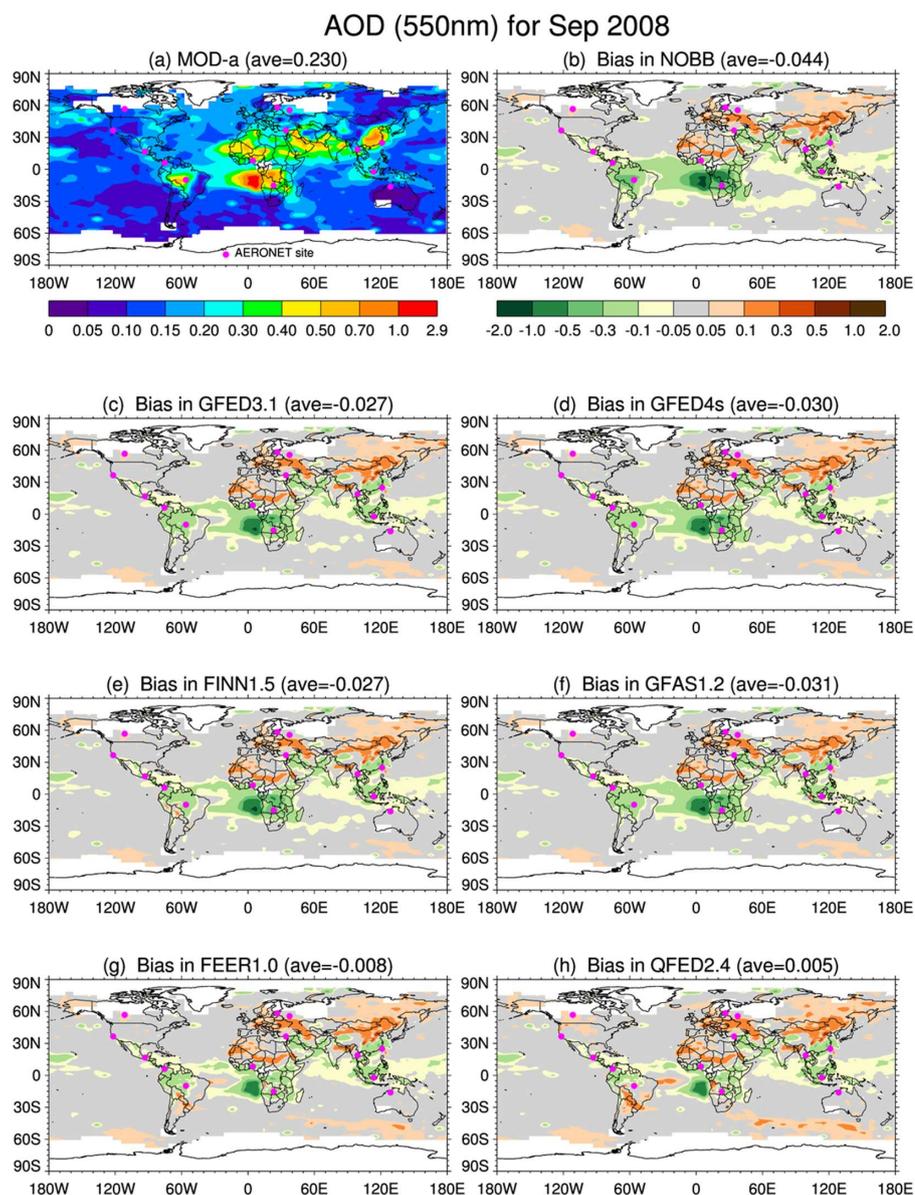
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Figure 3. The regional total annual organic carbon biomass burning emissions for 2008 in six biomass burning emission datasets (units: Tg yr⁻¹). Relevant statistics for the six BB emission datasets in each region are also listed under the short name of each region on the top of the panel in blue, with the mean of the six BB emission datasets in the first row. Three different methods to measure the spread of the six BB emission datasets are shown as well: one absolute method, i.e., the standard deviation (std) in the second row, and two relative methods, i.e., the ratio of max to min (i.e., maximum/minimum) shown in the third row, and the coefficient of variation (cv), defined as the ratio of the std to the mean, in the fourth row. The rankings of the regions regarding the spread of the BB emissions datasets according to cv are shown in the fifth row (i.e., a ranking of 1 means that this region shows the least spread among the six BB emissions datasets, while a ranking of 14 indicates that this region has the largest spread among the 14 regions).

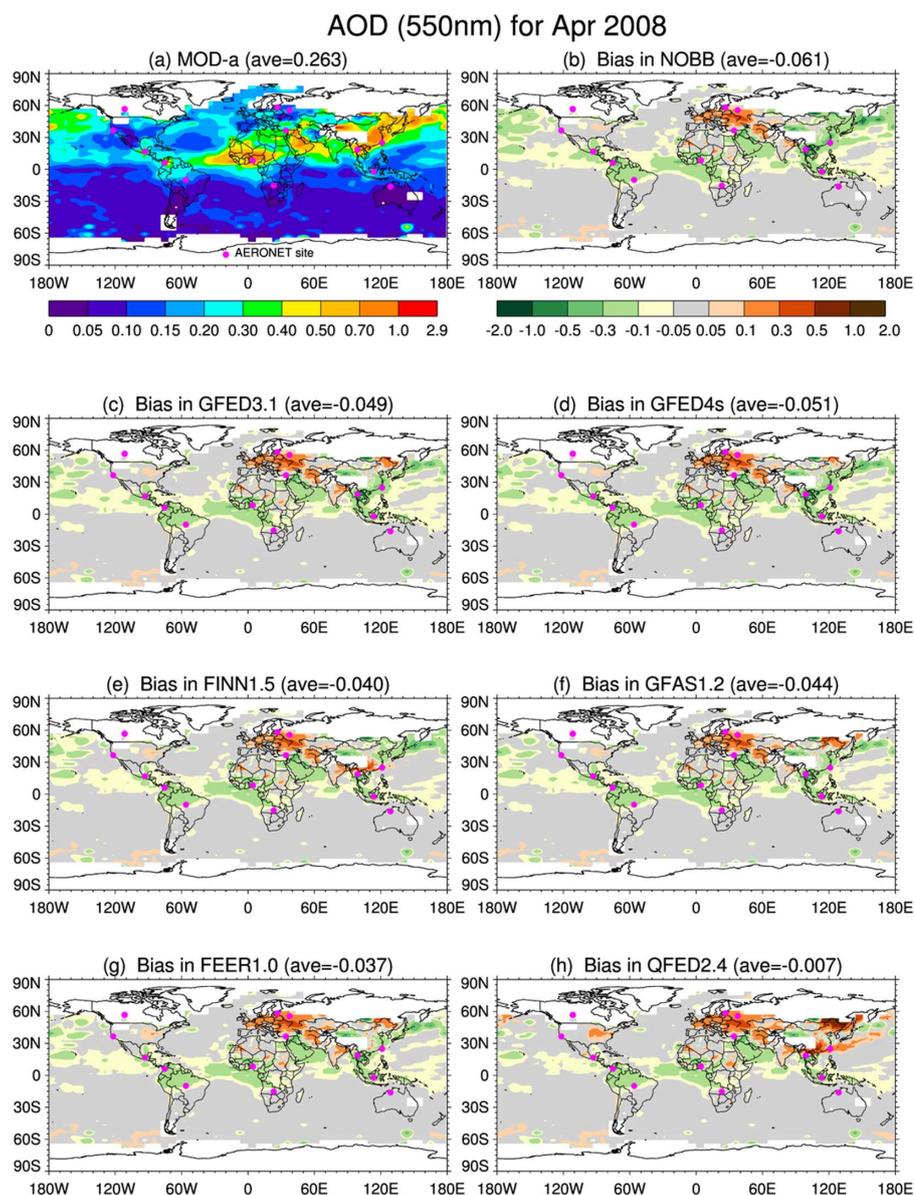


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Figure 4. Monthly variation of organic carbon biomass burning emissions for 2008 in six biomass burning emission datasets in 14 regions and the globally (i.e., GLOB, highlighted with a black box). The total annual emission is listed on the right side of each panel.



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1278 Figure 5. (a) The spatial distribution of monthly mean AOD at 550nm for September 2008 from
1279 MODIS-aqua (i.e., MOD-a) with the white color representing missing value. The global averaged
1280 value (ave) is shown in the parentheses. The fourteen selected AERONET stations are labeled as
1281 magenta dots. (b)-(h) are for GEOS model biases (i.e., model minus MODIS-a) in seven model
1282 experiments, i.e., bias in (b) NOBB, (c) GFED3.1, (d) GFED4s, (e) FINN1.5, (f) GFAS1.2, (g)
1283 FEER1.0, (h) QFED2.4, respectively.
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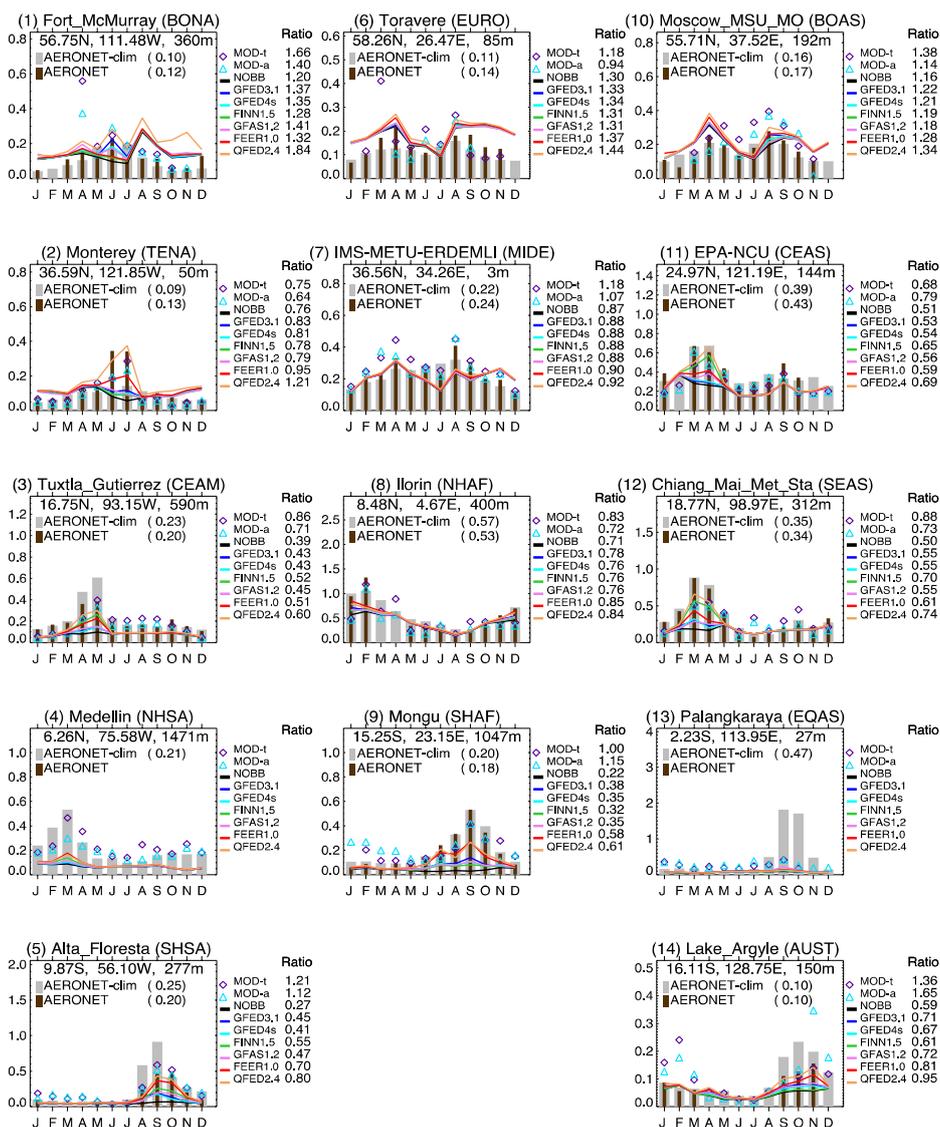


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Figure 6. Same as Figure 5 except for April 2008.

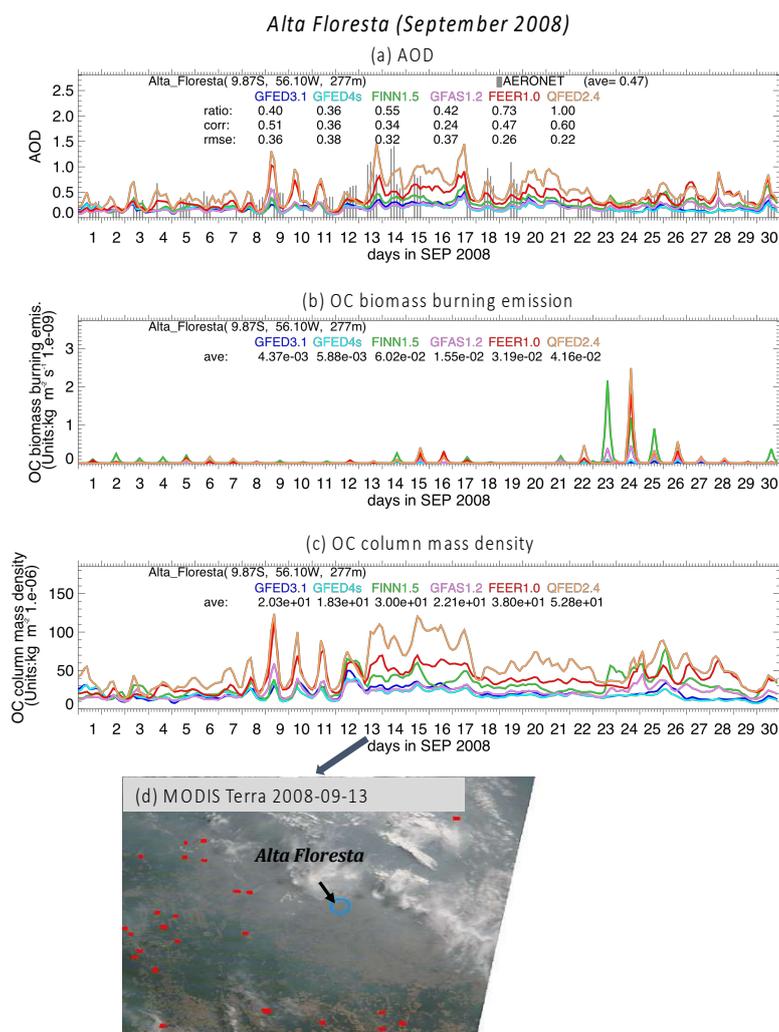


Monthly AOD (550nm) at AERONET sites for 2008



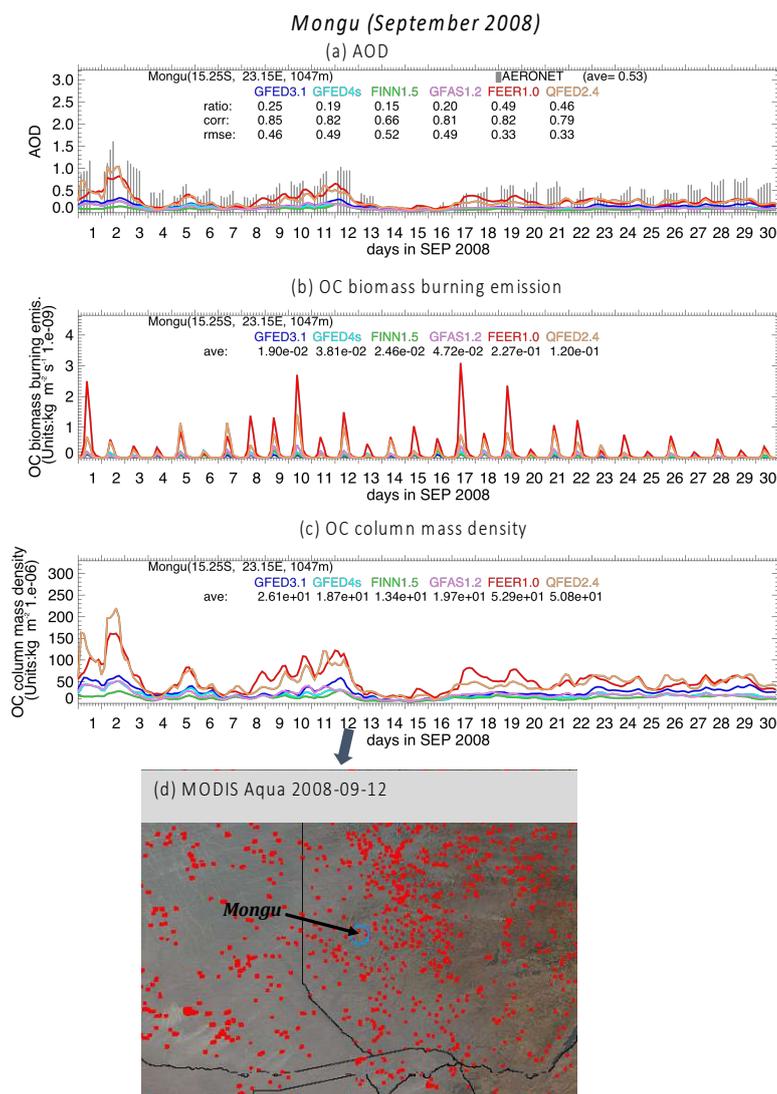
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Figure 7. Monthly variation of AOD (at 550nm wavelength) for 2008 over 14 AERONET sites selected from their respective regions, as indicated in parentheses. The climatology of AERONET AOD is represented by light gray thick bars with yearly mean value shown in the parenthesis after its name, along with the monthly AERONET AOD represented by brown thin bars. MODIS-Terra (MOD-t), MODIS-Aqua (MOD-a) are purple diamond and blue triangle, respectively, and seven GEOS experiments with different biomass burning emission options are represented in different line colors. The annual ratio (model/AERONET) listed on the right hand is estimated by averaging over monthly ratio.



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Figure 8. Characteristics of the observed and the simulated aerosols at Alta Floresta during September 2008: (a) The 3-hourly time series of AOD at 550nm. The AERONET is represented by vertical gray bars, and the outputs from the six model experiments are represented by the color curves. The relevant statistics are listed: *ave* is the monthly average, *ratio* is the fraction of the simulated to the observed AOD at all observed hours, *corr* is correlation between the observed and the simulated AOD, and *rmse* is root mean square error. (b) The 3-hourly time series of local biomass burning OC emission rate averaged over the grid box where Alta Floresta is located. (c) Same as (b) but OC column mass density. (d) MODIS-Terra true color image near and at Alta Floresta on September 13, 2008, overlaid with the active fire hot spots in red dots (Image credit: https://aeronet.gsfc.nasa.gov/cgi-bin/bamgommas_interactive and <https://worldview.earthdata.nasa.gov>).



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Figure 9. Characteristics of the observed and the simulated aerosols at Mongu during September 2008: (a) The 3-hourly time series of AOD at 550nm. The AERONET is represented by vertical gray bars, and the outputs from the six model experiments are represented by the color curves. The relevant statistics are listed: *ave* is the monthly average, *ratio* is the fraction of the simulated to the observed AOD at all observed hours, *corr* is correlation between the observed and the simulated AOD, and *rmse* is root mean square error. (b) The 3-hourly time series of local biomass burning OC emission rate averaged over one grid box where Mongu is located. (c) Same as (b) but OC column mass density. (d) MODIS-Aqua true color image near and at Mongu on September 12, 2008, overlaid with the active fire hot spots in red dots (Image credit: https://aeronet.gsfc.nasa.gov/cgi-bin/bamgommas_interactive and <https://worldview.earthdata.nasa.gov>).



Table 1. Summary of six biomass burning emission datasets during MODIS-era (i.e., 2000-present)

a. Burned area based approaches						
BB Emission Dataset	Original Grid	Time-Frame/Frequency	Burned Area	Active Fire Product	Fuel Consumption	Emission Factor
GFED3.1	0.5°×0.5° (lon×lat)	2000-2012/ 3-hourly, daily, monthly	MOD09GHK and/or MYD09GHK	Gridded composite L3 fire product MOD14A1 and/or MYD14A1	Estimated in CASA by product of fuel load and combustion completeness	Mainly from Andreae and Merlet (2001) with annual updates
GFED4s	0.25°×0.25° (lon×lat)	2000-2016/ 3-hourly, daily, monthly	Daily MCD64A1 product in Collection 5.1 at 500m spatial resolution	L3 MOD14A1 and MYD14A1; fire location product MCD14ML	Revised CASA by optimizing parameterization, reorientation of fuel consumption in frequently burned landscapes	Mainly from Akagi et al. (2011), supplemented by Andreae and Merlet (2001) and other
FINN1.5	1km ²	2002- 2015/ daily	Estimated by active fire counts: 0.75 km ² for savannas at each fire pixel, 1km ² for other types	MODIS NRT active fire product (MCD14DL)	Assigned according to the global wildland fire emission model (Hoelzemann et al., 2004) with updates	Mainly from Andreae and Merlet (2001) and Akagi et al. (2011), with updates through 2015

b. FRP based approaches						
BB Emission Dataset	Original Grid	Time-Frame/Frequency	FRP	Emission Coefficient (C _e)	Emission Factor	
GFAS1.2	0.1×0.1 (lon×lat)	2003- Present/daily	Assimilation of level 2 MOD14 and MYD14 FRP	Calculated by regression of FRP to dry matter combustion rate of GFED v3.1 in 8 biomes.	Mainly from Andreae and Merlet (2001) with updates from literatures through 2009	
FEER1.0	0.1×0.1 (lon×lat)	2003- Present/ daily, monthly	From GFASv1.2 (Kaiser et al., 2012, see above)	Calculated by linear regression between FRP and total particulate matter emission rate estimated from MODIS AOD at each grid	Andreae and Merlet (2001) with updates provided by Andreae in 2014	
QFED2.4	0.1×0.1 (lon×lat)	2000- Present/ daily, monthly	Level 2 fire products MOD14/MYD14	Calculated by regression of the GEOS simulated AOD to the MODIS AOD in 46 sub- regions and then aggregated into 4 biome.	Andreae and Merlet (2001)	

LCT: land cover type

VCF: Vegetation continuous fields

CASA: Carnegie-Ames-Stanford-Approach biogeochemical

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1332 **Table 2.** Comparison of emission factor (Units: g species per kg dry matter burned) used by GFED3.1¹ and GFED4s² (listed in the upper and lower part of the
 1333 cell respectively, bold if GFED4s is larger).

	Savanna and Grassland	Tropical Forest	Temperate Forest ³	Boreal forest ³	Peat Fires ⁴	Agricultural Residues
OC	3.21 2.62	4.30 4.71	9.14 9.60	9.14 9.60	4.30 6.02	3.71 2.30
BC	0.46 0.37	0.57 0.52	0.56 0.50	0.56 0.50	0.57 0.04	0.48 0.75
SO₂	0.37 0.48	0.71 0.40	1.00 1.10	1.00 1.10	0.71 0.40	0.40 0.40
CO₂	1646 1686	1626 1643	1572 1647	1572 1489	1703 1703	1452 1585
CO	61 63	101 93	106 88	106 127	210 210	94 102

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¹. Mainly from Andreae and Merlet (2001) with annual updates
². Mainly from Akagi et al. (2011), supplemented by Andreae and Merlet (2001) and other sources
³. GFED4s (van der Werf et al., 2017) further divides extra-tropical forest in GFED3 (van der Werf et al., 2010) into temperate forest and boreal forest.
⁴. Based on Christian et al. (2003) for CO₂, and CO.