# Effects of three-dimensional electric field on saltation during dust storms: An observational and numerical study Huan Zhang<sup>1</sup>, You-He Zhou<sup>1,\*</sup> <sup>1</sup>Department of Mechanics and Engineering Science, College of Civil Engineering and Mechanics, Lanzhou University, Key Laboratory of Mechanics on Disaster and Environment in Western China, The Ministry of Education of China, Lanzhou 730000, PR China. \*Correspondence to: You-He Zhou (zhouyh@lzu.edu.cn)

Abstract. Particle triboelectric charging being ubiquitous in nature and industry, potentially plays a key role in dust events, including the lifting and transport of sand and dust particles. However, the properties of the electric field (E-field) and its influences on saltation during dust storms remain obscure as the high complexity of dust storms and the existing numerical studies mainly limited to one-dimensional (1-D) E-field. Here, we quantify the effects of real three-dimensional (3-D) E-field on saltation during dust storms, through a combination of field observations and numerical modelling. The 3-D E-fields in the sub-meter layer from 0.05 to 0.7 m above the ground during a dust storm are measured at Qingtu Lake Observation Array site. The time-varying mean of E-field series over a certain timescale are extracted by the discrete wavelet transform and ensemble empirical mode decomposition methods. The measured results show that each component of the 3-D E-field data roughly collapses on a single 3-order polynomial curve when normalized. Such 3-D E-field data close to the ground within a few centimeters has never been reported and formulated before. Using discrete element method, we then develop a comprehensive saltation model, in which the triboelectric charging between particle-particle midair collisions is explicitly accounted for, allowing us to evaluate the triboelectric charging in saltation during dust storms properly. By combining the results of measurements and modelling, we find that although the vertical component of the E-field (i.e. 1-D E-field) inhibits sand transport, 3-D E-field enhances sand transport substantially. Furthermore, the model predicts that 3-D E-field enhances the total mass flux and saltation height by up to 20 % and 15 %, respectively. This suggests that a 3-D E-field consideration is necessary if one is to explain precisely how the E-field affects saltation during dust storms. These results further improve our understanding of particle triboelectric charging in saltation and help to provide more accurate characterizations of sand and dust transport during dust storms.

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#### 1. Introduction

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Contact or triboelectric charging is ubiquitous in dust events (Harrison et al., 2016; Kok and Renno, 2008; Lacks and Sankaran, 2011; Schmidt et al., 1998; Zheng et al., 2003). The pioneering electric field (E-field) measurements in dust storms by W. A. Douglas Rudge showed that the vertical atmospheric E-field was substantially increased to 5-10 kV m<sup>-1</sup> and its direction reversed (became upward-pointing) during a severe dust storm (Rudge, 1913). Later measurements in dust storms found downward-pointing (Esposito et al., 2016), upward-pointing (Bo and Zheng, 2013; Yair et al., 2016; Zhang and Zheng, 2018), and even alternating vertical E-field which continually reverses direction (Kamra, 1972; Williams et al., 2009), with the magnitude of up to ~100 kV m<sup>-1</sup>.

The significant influences of E-field on pure saltation (that is, in the absence of suspended dust/aerosol particles) have been verified, both numerically (e.g. Kok and Renno, 2008; Zhang et al., 2014) and experimentally (e.g. Esposito et al., 2016; Rasmussen et al., 2009). The effects of E-field on saltation during dust storms, however, remain obscure. A clear difference between the numerical simulation and field measurement is that: numerical simulation of pure saltation showed a reduction in saltation mass flux by E-field (e.g. Kok and Renno, 2008; Zheng et al., 2003), whereas recent field measurements found a dramatic increase in dust concentration during dust storms (up to a factor of 10) by E-field (Esposito et al., 2016), suggesting that Efield might enhance saltation mass flux during dust storms. This is probably because only the vertical component of the E-field (i.e. 1-D) should be considered in pure saltation, but there also in fact exist streamwise and spanwise components of E-field in dust events. For example, Jackson and Farrell (2006) recorded the horizontal component of the E-field of up to 120 kV m<sup>-1</sup> in dust devils. Zhang and Zheng (2018) also found the streamwise and spanwise components (termed horizontal component) of the E-field of up to 150 kV m<sup>-1</sup> in dust storms. Hence, E-field is actually threedimensional (3-D) during dust storms. In many cases, the magnitude of the horizontal component is larger than that of the vertical component (Bo and Zheng, 2013; Zhang

and Zheng, 2018). The horizontal component should therefore not be neglected when evaluating the role of E-field in saltation during dust storms.

Most field observations, such as Schmidt et al. (1998) and Bo et al. (2014), have studied the electrical properties of sand particles in dust events. However, many environmental (lurking) factors, such as relative humidity, soil moisture, surface crust, etc., cannot be fully controllable (recorded) in these field observations. The uncertainties in the field observations provide motivation for numerical studies of the particle triboelectric charging in saltation. In addition, unlike pure saltation, the dust storm is a very complex dusty phenomenon that is made up by numerous polydisperse particles embedded in a high Reynolds-number turbulent flow. Such high complexity of dust storms challenges the accurate simulation of 3-D E-field in dust storms. It is therefore more straightforward to characterize 3-D E-field experimentally.

In this study, we evaluate the effects of 3-D E-field on saltation during dust storms by combining measurements and modelling. To reveal the properties of 3-D E-field, we simultaneously measured the 3-D E-fields in the sub-meter layer from 0.05 to 0.7 m above the ground during a dust storm. Such vertical profile of the 3-D E-field in the sub-meter layer has not been previously characterized. To reveal how 3-D E-field affects saltation during dust storms, we develop a comprehensive numerical model of particle triboelectric charging in saltation. In this model, the charge transfers between contacting particles are explicitly calculated, but the 3-D E-field is formulated directly based on the data measured in our measurements, due to its huge challenges in modelling. The effects of various important parameters, such as the density of charged species and the height-averaged time-varying mean of the 3-D E-field, are also investigated and described herein.

# 2. Field campaign

# 2.1 Observational set-up and uncertainty

We performed 3-D E-field measurements at the Qingtu Lake Observation Array (QLOA) site (approximately 39°12′27″ N, 103°40′03″ E, as shown in Fig. 1a), in

May 2014. The measured physical quantities include: wind velocities at four heights measured by the sonic anemometers (CSAT3B, Campbell Scientific, Inc.) with 50 Hz sampling frequency; number of saltating particle passing through the measurement area (2 mm×25 mm) per second at six heights measured by sand particle counter (SPC-91, Niigata Electric Co., Ltd.) with 1 Hz sampling frequency, thus providing an estimation of the size distribution of saltating particles, saltation mass flux, and saltation height (Text S1 in the Supplement); 3-D E-field at five heights measured by the vibrating-reed E-field mill (VREFM, developed by Lanzhou University) with 1 Hz sampling frequency. The layout of all instruments is shown in Fig. 1b. All instruments are powered by solar panels.

A detailed description of VREFM can be found in the Supplement of Zhang et al. (2017), but we describe it here briefly. The working principle of VREFM is based on the dynamic capacity technique, as illustrated in the inset of Fig. 1b. Unlike traditional atmospheric electric field mill, VREFM is composed of only one vibrating electrode. As the electrode oscillates, it charges and discharges periodically. The magnitude of the induced electric current i(t) is proportional to the ambient E-field intensity E (Zhang et al., 2017), i.e.

$$i(t) \propto E\omega\cos(\omega t) \tag{1}$$

where  $\omega$  is the vibration frequency of the electrode. The induced electric current is then converted to an output voltage signal, which is linearly proportional to the ambient E-field, through functional modules within VREFM. In addition, the length and diameter of the VREFM sensor are approximately 2.5 cm and 7 cm, respectively. This small size sensor allows us to measure E-field very close to ground but do not disturb the ambient E-field significantly.

The measurement uncertainties in our field campaign are threefold: wind velocity (CSAT3B), particle mass flux (SPC-91), and E-field (VREFM). The CSAT3B is factory calibrated with an accuracy of  $\pm$  8 cm s<sup>-1</sup>. And the SPC-91 is factory calibrated by a set

of filamentation wires of equivalent diameters from 0.138 to 0.451 mm, with an uncertainty of  $\pm$  0.015 mm. The VREFM used in the field measurements is carefully calibrated and selected in our lab by a parallel-plate E-field calibrator (Zhang et al., 2017), and its maximum uncertainties range from ~1.38 % to ~2.24 % (see Text S2 in the Supplement).

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### 2.2 Data analysis

In general, the actual wind direction exits a specific angle from the prevailing wind direction. A projection step is therefore needed to obtain the streamwise E-field,  $E_1$ , and spanwise E-field,  $E_2$ . For example,  $E_1$  is equal to the sum of the projection of the measured  $E_x$  and  $E_y$  (E-field in the direction of x and y axes, as shown in Fig. 1b) to the streamwise wind direction.

After completing the projection step, we then perform the following steps sequentially to reveal the pattern of 3-D E-field in the sub-meter layer: (1) estimating time-varying mean values of E-field; (2) computing height-averaged time-varying mean in the measurement region from 0.05 to 0.7 m above the ground; (3) normalizing Efield by height-averaged mean values; and (4) finally fitting the vertical profiles of normalized E-field by the 3-order polynomial functions. It is worth noting that the measured time series in dust storms are generally non-stationary when viewed as a whole (e.g. Zhang and Zheng, 2018). In such cases, the statistical values are timevarying. Here, we use the discrete wavelet transform (DWT) method (Daubechies, 1990) and the ensemble empirical mode decomposition (EEMD) method (Wu and Huang, 2009), which are widely used in various geophysical studies (e.g. Grinsted et al., 2004; Huang and Wu, 2008; Wu et al., 2011), to estimate the time-varying mean values of the measured non-stationary 3-D E-field data. We select these two methods since the DWT with higher orders of Daubechies wavelet (e.g. db10) and the EEMD can extract a reasonable and physically meaningful time-varying mean (Su et al., 2015). Each step for revealing the 3-D E-field pattern is described in detail as follows:

The DWT uses a set of mutually orthogonal wavelet basis functions, which are

dilated, translated and scaled versions of a mother wavelet, to decompose an E-field series *E* into a series of successive octave band components (Percival and Walden, 2000), i.e.,

$$E = \sum_{i=1}^{N} \psi_i + \chi_N \tag{2}$$

Where N is the total number of decomposition levels,  $\psi_i$  denotes the i-th level wavelet detail component, and  $\chi_N$  represents the N-th level wavelet approximation (or smooth) component. As N increases, the frequency contents become lower and thus the N-th level approximation component could be regarded as the time-varying mean values (e.g. Percival and Walden, 2000; Su et al., 2015). In this study, the DWT decomposition is performed with the Daubechies wavelet of order 10 (db10) at level 10, and thus the 10-th order approximation component can be defined as the time-varying mean:

$$\overline{E} = \chi_{10} \tag{3}$$

which reflect the averages of the E series over a scale of  $2^{10}$  s (Percival and Walden, 2000).

On the other hand, according to the empirical mode decomposition (EMD) method, the time series E can be decomposed as (Huang et al., 1998)

$$E = \sum_{i=1}^{N} \xi_i + \eta_N \tag{4}$$

through a sifting process, where  $\xi_i$  are the intrinsic mode functions (IMFs), and  $\eta_N$  is a residual (which is the overall trend or mean). To reduce the end effects and mode mixing in EMD, the EEMD method is proposed by Wu and Huang (2009). In EEMD, a

set of white noise series,  $w_j$   $(j=1,2,...N_e)$ , are added to the original signal E. Then, each noise-added series is decomposed into IMFs followed by the same sifting process as in EMD. Finally, the i-th EEMD component is defined as the ensemble mean of the i-th IMFs of the total of  $N_e$  noise-added series (see Wu and Huang, 2009 for details).

In this study, the time-varying mean values  $\overline{E}$  can be alternatively defined as the sum of the last four EEMD components,  $\xi_{10}$  to  $\xi_{13}$ , and the residual,  $\eta_{13}$ , i.e.

$$\overline{E} = \sum_{i=10}^{13} \xi_i + \eta_{13} \tag{5}$$

According to the above definitions, the time-varying mean can be synchronously obtained by the DWT and EEMD methods. As an example, Fig. 2 shows the results of DWT analysis (Fig. 2b) and EEMD decompositions (Fig. 2c) for an E-field time series E in our field campaign. It can be seen that DWT and EEMD can properly capture a similar time-varying mean (Fig. 2a). This is because the EEMD is conceptually very similar to the DWT and thus behaves as a "wavelet-like" filter bank (Flandrin, 2004). As shown in Fig. 3, the frequencies contained in the DWT and EEMD components become progressively lower, where the mean frequencies of  $\psi_{10}$  and  $\xi_9$  are  $7.69 \times 10^{-4}$  and  $7.24 \times 10^{-4}$  Hz, respectively. The time-varying means (defined as the summation of the components below the dashed line in Fig. 3)  $\chi_{10}$  and  $\sum_{i=10}^{13} \xi_i + \eta_{13}$  show very close mean frequencies of  $7.71 \times 10^{-6}$  and  $7.85 \times 10^{-6}$  Hz, respectively. We thus conclude that such definitions in Eq. (3) and (5) can extract the time-varying mean over a certain scale of about  $7.47 \times 10^{-4}$  Hz (below the dashed line in Fig. 3).

Since the 3-D E-field are measured at five heights in our field campaign, we thus define the height-averaged time-varying mean values as

$$\langle \overline{E_i} \rangle = \left| \frac{1}{(0.7 - 0.05)} \int_{0.05}^{0.7} \overline{E_i} dz \right| \tag{6}$$

in the range of 0.05 to 0.7 m height, in order to normalize the E-field data by a unified

2 quantity. Further, the E-field data can be normalized as

$$E_i^* = \frac{E_i}{\langle \overline{E_i} \rangle} \tag{7}$$

Additionally, to obtain the dimensionless vertical profile of 3-D E-field, the height z should also be a dimensionless parameter. Here, the dimensionless height  $z^*$  is defined as the ratio of height z to the mean saltation height  $\bar{z}_{salt}$  during the whole observed dust storm, i.e.

$$z^* = \frac{z}{\bar{z}_{salt}} \tag{8}$$

where the saltation height  $z_{salt}$  during a certain time interval is defined as the height below which 99 % of the total mass flux is present and can be estimated based on the measured SPC-91 data (see Text S1 in the Supplement for more details).

Finally, the dimensionless vertical profiles of 3-D E-field at different periods are together fitted by the 3-order polynomial functions:

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$$E_i^*(z^*) = a_{0,i} + a_{1,i}z^* + a_{2,i}(z^*)^2 + a_{3,i}(z^*)^3, \qquad i = 1,2,3$$
 (9)

where i=1, 2, and 3 correspond to the streamwise, spanwise, and vertical components, respectively.

### 3. Saltation model

For modelling steady-state saltation, there are four primary processes, including (1) particle saltating motion, (2) particle-particle midair collisions, (3) particle-bed collisions, and (4) particle-wind momentum coupling (Dupont et al., 2013; Kok and Renno, 2009). Also, the changes in both momentum and electrical charge of each

particle are taken into account in the particle-particle midair and particle-bed collisions. To avoid overestimating midair collisions in 2-D simulation (Carneiro et al., 2013), we simulate saltation trajectories in a real 3-D domain. We use the discrete element method (DEM), which explicitly simulates each particle motion and describes the collisional forces between colliding particles encompassing normal and tangential components, to advance the evaluation of the effects of particle midair collisions. In the following subsections, we will describe each process in detail.

# 3.1 Size distribution of particle sample

Granular materials in natural phenomena, such as sand, aerosols, pulverized material, seeds of crops, etc., are made up of discrete particles with a wide range of sizes ranging from a few micrometers to millimeters. The log-normal distribution is generally used to approximate the size distribution of the sand sample (Dupont et al., 2013; Marticorena and Bergametti, 1995). Thus, the mass distribution function of a sand sample with two parameters, average diameter  $d_m$ , and geometric standard deviation  $\sigma_p$ , can be written as

$$\frac{dM(d_p)}{d\ln(d_p)} = \frac{1}{\sqrt{2\pi}\ln(\sigma_p)} \exp\left\{-\frac{\left[\ln(d_p) - \ln(d_m)\right]^2}{2\left[\ln(\sigma_p)\right]^2}\right\}$$
(10)

#### 3.2 Equations of saltating particles motion

The total force acting on a saltating particle consists of three distinct interactions (Minier, 2016). The first one refers to the wind-particle interaction, which is dominated by the drag force with lifting forces such as Saffman force and Magnus force being of secondary importance (Dupont et al., 2013; Kok and Renno, 2009). The second interaction refers to the particle-particle collisional forces or cohesion caused by physical contact between particles. Such interparticle collisional forces can be described as a function of the overlaps between the colliding particles. The third interaction refers to the forces due to external fields such as gravity and E-field. In this

study, in addition to the drag force, we also take into account the Magnus force because of the remarkable rotation of saltating particles on the order of 100-1000 rev s<sup>-1</sup> (Xie et al., 2007). The effects of electrostatic forces on particle motion, which are significant for large wind velocity (Schmidt et al., 1998; Zheng et al., 2003), are also taken into account. Consequently, the full governing equations of saltating particles can be written as

$$m_{p,i} \frac{d\vec{u}_{p,i}}{dt} = \vec{F}_i^d + \vec{F}_i^m + \sum_i (\vec{F}_{ij}^n + \vec{F}_{ij}^t) + m_i \vec{g} + \zeta_{p,i} \vec{E}$$
 (11a)

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$$I_i \frac{d\vec{\omega}_{p,i}}{dt} = \vec{M}_i^{w-p} + \sum_i (\vec{M}_{ij}^c + \vec{M}_{ij}^r)$$
 (11b)

where  $m_{p,i}$  is the mass of the i-th particle;  $\vec{u}_{p,i}$  is the velocity of the particle;  $\vec{F}_i^d$  is the drag force;  $\vec{F}_i^m$  is the Magnus force;  $\vec{F}_{ij}^d$  and  $\vec{F}_{ij}^t$  are the normal and tangential collisional forces from the j-th particle, respectively;  $\vec{g}$  is the gravitational acceleration;  $\zeta_{p,i}$  is the charge-to-mass ratio of the sand particles and is altered during every collision (see section 3.4);  $\vec{E}$  is the 3-D E-field given by our measurements;  $I_i$  is the moment of inertia;  $\vec{\omega}_{p,i}$  is the angular velocity of the particle;  $\vec{M}_i^{w-p}$  is the torque caused by the wind on the particle;  $\vec{M}_{ij}^c$  and  $\vec{M}_{ij}^r$  are the tangential torque due to the tangential component of the particle collisional forces and the rolling resistance torque, respectively. The summation  $\Sigma$  represents considering all particles that are in contact with the i-th particle.

### 3.2.1 Wind-particle interactions

In the absence of saltating particles, the mean wind profile over a flat and homogeneous surface is well approximated by the log-law (Anderson and Haff, 1988)

$$u_m(z) = \frac{u_*}{\kappa} \ln \frac{z}{z_0} \tag{12}$$

where  $u_m$  is the mean streamwise wind speed; z is the height above the surface;  $u_*$  is the friction velocity;  $\kappa\approx 0.41$  is the von Kármán constant;  $z_0$  is the aerodynamic roughness, which varies substantially form different flow conditions and can be approximately estimated as  $d_m/30$  for the aeolian saltation on Earth (e.g. Carneiro et al., 2013; Kok et al., 2012). In the presence of saltation, due to the momentum coupling between the saltating particles and wind flow, the modified wind speed gradient can be written as follows for steady-state and horizontally-homogeneous saltation (e.g. Kok and Renno, 2009; Pähtz et al., 2015)

$$\frac{du_m(z)}{dz} = \frac{u_*}{\kappa z} \sqrt{1 - \frac{\tau_p(z)}{\rho_a u_*^2}} \tag{13}$$

where  $\rho_a$  is the air density,  $\tau_p(z)$  is the particle momentum flux and can be numerically determined by (Carneiro et al., 2013; Shao, 2008)

$$\tau_p(z) = -\frac{\sum m_{p,i} u_{p,i} w_{p,i}}{L_x L_y \Delta z} \tag{14}$$

with  $L_x$ ,  $L_y$ , and  $\Delta z$  being the streamwise-, spanwise-width of the computational domain, and vertical grid size, respectively;  $u_{p,i}$  and  $w_{p,i}$  are the streamwise and vertical components of particle velocity. The summation in Eq. (14) is performed on the particles located in the range of  $[z,z+\Delta z]$ . Once saltating particle trajectories are known, the wind profile can be determined through integrating Eq. (13) with the noslip boundary condition  $u_m=0$  at  $z=z_0$ .

Since sand particles are much heavier than the air and are well smaller than the Kolmogorov scales, the drag force is the dominant force affecting particle motion, which is expressed by (Anderson and Haff, 1991)

$$\vec{F}_i^d = -\frac{\pi d_p^2}{8} \rho_a C_d \vec{u}_r \mid \vec{u}_r \mid \tag{15}$$

where  $d_p$  is the diameter of the particle;  $C_d$  is the drag coefficient; and  $\vec{u}_r = \vec{u}_p - \vec{u}_w$  is the particle-to-wind relative velocity. The drag coefficient  $C_d$  is a function of the particle Reynolds number,  $Re_p = \rho_a \mid \vec{u}_r \mid d_p/\mu$ , where  $\mu$  is the dynamic viscosity of the air. We calculate the drag coefficient by an empirical relation  $C_d = \left[\left(32/Re_p\right)^{2/3} + 1\right]^{3/2}$ , which is applicable to the regimes from Stokes flow  $Re_p \ll 1$  to high Reynolds number turbulent flow (Cheng, 1997).

Additionally, we also account for the effects of particle rotation on particle motion using the Magnus force expressed as (Anderson and Hallet, 1986; Loth, 2008; White and Schulz, 1977)

$$\vec{F}_i^m = \frac{\pi d_p^2}{8} \rho_a C_m (\vec{\omega}_{p,i} \times \vec{u}_r)$$
 (16)

where  $C_m$  is a normalized spin lift coefficient depended on the particle Reynolds number and the circumferential speed of the particle. The torque acting on a particle caused by wind flow is calculated from (Anderson and Hallet, 1986; Kok and Renno, 2009; Shao, 2008)

$$\vec{M}_i^{w-p} = \pi \mu d_i^3 \left( \frac{1}{2} \frac{du_m}{dz} - \vec{\omega}_i \right) \tag{17}$$

# 3.2.2 Particle-particle midair collisions

Under moderate conditions, saltation is a dilute flow in which the particle-particle collisions are negligible. However, as wind velocity increases, midair collisions become increasingly pronounced, especially in the near-surface region (Sørensen and McEwan, 1996). Previous studies found that the probability of midair collisions of saltating particles almost increased linearly with wind speed (Huang et al., 2007) and such collisions indeed enhanced the total mass flux substantially (Carneiro et al., 2013). For

spherical particles, one of the most commonly-used collisional force model is the nonlinear viscoelastic model, consisting of two components, i.e. elastic and viscous forces (Brilliantov et al., 1996; Haff and Anderson, 1993; Silbert et al., 2001; Tuley et al., 2010).

Considering two spherical particles i and j with diameters  $d_i$  and  $d_j$ , and position vectors  $\vec{x}_i$  and  $\vec{x}_j$ , are in contact with each other. The relative velocity  $\vec{v}_{ij}$  at the contact point and its normal and tangential components,  $\vec{v}_{ij}^n$  and  $\vec{v}_{ij}^t$ , are respectively defined as (Norouzi et al., 2016; Silbert et al., 2001)

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$$\vec{v}_{ij} = \vec{u}_{p,i} - \vec{u}_{p,j} + 0.5(d_i \vec{\omega}_{p,i} + d_j \vec{\omega}_{p,j}) \times \vec{n}_{ij}$$
 (18a)

$$\vec{v}_{ij}^n = (\vec{v}_{ij} \cdot \vec{n}_{ij}) \vec{n}_{ij} \tag{18b}$$

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$$\vec{v}_{ij}^t = \vec{v}_{ij} - \vec{v}_{ij}^n \tag{18c}$$

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where  $\vec{n}_{ij} = (\vec{x}_j - \vec{x}_i)/|\vec{x}_i - \vec{x}_j|$  is the unit vector in the direction from the center of particle i point toward the center of particle j. Suppose that these colliding particles having identical mechanical properties with Young's modulus Y, shear modulus G, and Poisson's ratio v, and thus the normal collisional force can be calculated by (Brilliantov et al., 1996; Silbert et al., 2001)

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$$\vec{F}_{ij}^{n} = -\frac{4}{3}Y^*\sqrt{R^*}\delta_n^{3/2}\vec{n}_{ij} - 2\sqrt{\frac{5}{6}m^*S_n}\beta v_n\vec{n}_{ij}$$
 (19)

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where  $Y^* = Y/2/(1-\nu^2)$  is the equivalent Young's modulus;  $\delta_n = 0.5 \big(d_i + d_j \big) - 0.5 \big(d_i + d_j \big)$ 

23  $|ec{x}_i - ec{x}_j|$  is the normal overlap;  $m^* = m_i m_j / (m_i + m_j)$  is the equivalent particle

24 mass;  $S_n=2Y^*\sqrt{R^*\delta_n}$  is the normal contact stiffness;  $R^*=d_id_j/2/\left(d_i+d_j\right)$  is

25 the equivalent particle radius;  $\,eta\,$  is related to the coefficient of restitution  $\,e_n\,$  by the

relationship  $\beta=\ln\!e_n/\sqrt{(\ln\!e_n)^2+\pi^2}$ ; and  $v_n=\vec{v}_{ij}\cdot\vec{n}_{ij}$ . The first term on the right-

hand side of Eq. (18) represents the elastic force described by Hertz's theory, and the

2 second term represents the viscous force reflecting the inelastic collisions between

sand particles. Similarly, the tangential collisional force, which is limited by the

4 Coulomb friction, is given as (Brilliantov et al., 1996; Silbert et al., 2001)

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$$\vec{F}_{ij}^{t} = \begin{cases} -8G^* \sqrt{R^* \delta_n} \delta_t \vec{t}_{ij} - 2 \sqrt{\frac{5}{6}} m^* S_t \beta v_t \vec{t}_{ij}, & \text{if } |\vec{F}_{ij}^t| \le \gamma_s |\vec{F}_{ij}^n| \\ -\gamma_s |\vec{F}_{ij}^n| \vec{t}_{ij}, & \text{if } |\vec{F}_{ij}^t| > \gamma_s |\vec{F}_{ij}^n| \end{cases}$$
(20)

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8 where  $G^* = G/2/(2-\nu)$  is the equivalent shear modulus;  $\delta_t$  is the tangential

9 overlap;  $\vec{t}_{ij}=\vec{v}_{ij}^t/\left|\vec{v}_{ij}^t\right|$  is the tangential unit vector at the contact point;  $S_t=$ 

10  $8G^*\sqrt{R^*\delta_n}$  is the tangential stiffness;  $v_t=\vec{v}_{ij}\cdot\vec{t}_{ij}$ ; and  $\gamma_s$  is the coefficient of static

friction. The torque on the i-th particle arising from the j-th particle collisional force

is defined as (Haff and Anderson, 1993)

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$$\vec{M}_{ij}^c = 0.5 d_i \vec{n}_{ij} \times \vec{F}_{ij}^t \tag{21}$$

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To account for the significant rolling friction, we apply a rolling resistance torque

17 (Ai et al., 2011)

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$$\vec{M}_{ij}^r = -\gamma_r R^* |\vec{F}_{ij}^n| \vec{\omega}_{ij}$$
 (22)

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on each colliding particle, where  $\mu_r$  is the coefficient of rolling friction, and  $\vec{\omega}_{ij}=$ 

22  $(\vec{\omega}_{p,i} - \vec{\omega}_{p,j})/|\vec{\omega}_{p,i} - \vec{\omega}_{p,j}|$  is the unit vector of relative angular velocity.

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#### 3.3 Particle-bed collisions

As a saltating particle collides with the sand bed, it has not only a chance to

rebound but also may eject several particles from the sand bed. For simplicity, we use a probabilistic representation, termed as "splash function", to describe the particle-bed interactions quantitatively (Kok et al., 2012; Shao, 2008). Currently, the splash function is primarily characterized by wind-tunnel and numerical simulations (e.g. Anderson and Haff, 1991; Haff and Anderson, 1993; Huang et al., 2017; Rice et al., 1996). The rebounding probability of a saltating particle colliding with the sand bed is approximately by (Anderson and Haff, 1991)

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$$P_{reb} = 0.95 [1 - \exp(-v_{imp})]$$
 (23)

where  $v_{imp}$  is the impact speed of the saltating particle. The kinetic energy of the rebounding particles is taken as  $0.45 \pm 0.22$  of the impact particle (Kok and Renno, 2009). The rebounding angles  $\theta$  and  $\varphi$ , as depicted in Fig. 3a, obey an exponential distribution with a mean value of  $40^\circ$ , i.e.  $\theta \sim \text{Exp}(40^\circ)$ , and a normal distribution with parameters  $0 \pm 10^\circ$ , i.e.  $\varphi \sim \text{N}(0^\circ, 10^\circ)$ , respectively (Dupont et al., 2013; Kok and Renno, 2009).

impact speed and its cross-sectional area. Thus, the number of ejected particles from the k-th particle bin is (Kok and Renno, 2009)

It is reasonable to assume that the number of ejected particles depends on the

$$N_k = \frac{0.02}{\sqrt{gD_{250}}} \frac{D_{imp}}{D_{eje}^k} p_k v_{imp}$$
 (24)

where  $D_{250}=0.25\times 10^{-4}$  m is a reference diameter;  $D_{imp}$  and  $D_{eje}^k$  are the diameter of the impact and ejected particles, respectively; and  $p_k$  is the mass fraction of the k-th particle bin. The speed of the ejected particles obeys an exponential distribution with mean value taken as  $0.6 \left[1-\exp(-v_{imp}/40/\sqrt{gD_{250}})\right]$  (Kok and Renno, 2009). Similar to the rebound process, the ejected angles  $\theta$  and  $\varphi$ 

are assumed to be  $\theta \sim \text{Exp}(50^\circ)$  and  $\phi \sim \text{N}(0^\circ, 10^\circ)$ .

### 3.4 Particle charge exchanges

In this study, the calculation of the charge transfer between sand particle collisions is based on the asymmetric contact model, assuming that the electrons trapped in high energy states on one particle surface can relax to the other particle surface (Hu et al., 2012; Kok and Lacks, 2009). Thus, the net increment of the charge of particle i after colliding with particle j,  $\Delta q_{ij}$ , can be determined by

$$\Delta q_{ij} = -e(\rho_h^j S_j - \rho_h^i S_i) \tag{25}$$

where  $e=1.602\times 10^{-19}\,$  C is the elementary charge;  $\rho_h^i$  is the density of the electrons trapped in the high energy states on the surface of particle i (assuming that all particles have an identical initial value  $\rho_h^0$ ), which is modified as

$$\rho_{h,i}^{\text{after}} = \rho_{h,i}^{\text{before}} - \frac{\Delta q_{ij}}{e\pi d_i^2}$$
 (26)

due to collisions between particle i and j;  $S_i$  is the particle contact area, which can be approximately calculated as a line integral along the contact path  $L_i$  of particle i

$$S_i = 2 \int_{L_i} \sqrt{R^* \delta_n} dl_i \tag{27}$$

where  $dl_i$  is the differential of the contact length. In general, when two particles are in contact with each other, the relative sliding motion between the two particles results in two unequal contact areas  $S_i$  and  $S_j$ , thus producing net charge transfer  $\Delta q_{ij}$  between the two particles. If the particle's net electrical charge is known, its charge-to-mass ratio can be easily determined.

# 3.5 Particle-phase statistics

Similar to particle momentum flux (i.e. Eq. 14), particle horizontal mass flux q, total mass flux Q, mean particle mass concentration  $m_c$ , and mean particle charge-to-mass ratio  $\langle \zeta_p \rangle$  can be numerically determined by (Carneiro et al., 2013; Dupont et al., 2013)

$$q(z) = \frac{\sum m_{p,i} u_{p,i}}{L_x L_y \Delta z} \tag{28a}$$

$$Q = \frac{\sum m_{p,i} u_{p,i}}{L_{x} L_{y}} \tag{28b}$$

$$m_c(z) = \frac{\sum m_{p,i}}{L_x L_y \Delta z} \tag{28c}$$

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$$\langle \zeta_p \rangle (z) = \frac{\sum \zeta_{p,i} m_{p,i} u_{p,i}}{\sum m_{p,i} u_{p,i}}$$
 (28*d*)

where the summation  $\Sigma$  is performed over the saltating particles located in the range of  $[z,z+\Delta z]$  for q,  $m_c$ , and  $\langle \zeta_p \rangle$ , but it is performed over all saltating particles for Q. The  $\langle \zeta_p \rangle$  is defined as the ratio of charge flux and mass flux in the range of  $[z,z+\Delta z]$ .

#### 3.6 Model implementation

We consider polydisperse soft-spherical sand particles having log-normal mass distribution in a 3-D computational domain  $0.5 \text{ m} \times 0.1 \text{ m} \times 1.0 \text{ m}$  (as shown in Fig. 4a), with periodic boundary condition in the x and y directions. Here, the upper boundary is set to be high enough so that the particle escapes from the upper boundary can be avoided. To reduce the computational cost, the spanwise dimension is chosen as  $L_y = 0.1$ , since the saltating particles are mainly moving along the streamwise direction.

As shown in Fig. 4b, the model is initiated by randomly releasing 100 uncharged

particles, within the region below 0.3 m, and then such released particles begin to move under the action of the initial log-law wind flow, triggering saltation through a series of particle-bed collisions. We use cell-based collision searching algorithms, which perform collision search for particles located in the target cell and its neighboring cells, to find the midair colliding pairs. The random processes, particlebed collisions described previously, are simulated using a general method called the inverse transformation. The particle motion and wind flow equations are integrated by predictor-corrector method AB3AM4; that is, 3-order Adamas-Bashforth method to perform prediction and 4-order Adams-Moulton method to perform the correction. One of the main advantages of using such multi-step integration method is that the accuracy of results is not sensitive to the detection of exact moments of collision (Tuley et al., 2010). The charge transfer between the colliding pairs is caused by their asymmetric contact and can be determined by Eqs. (25)-(27). When calculating particle-bed charge transfer, the bed is regarded as an infinite plane. According to the law of charge conservation, the surface charge density of the infinite bed plane and the newly ejected particles,  $\sigma$ , is (Kok and Renno, 2008; Zhang et al., 2014)

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$$\sigma = -\int_{z_0}^{+\infty} \rho_c(z) dz \tag{28}$$

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where  $\rho_{\rm c}$  is the space charge density. For modelling pure saltation, the E-field is calculated by Gauss's law (e.g. Zhang et al., 2014). For modelling saltation during dust storms, the 3-D E-field is directly formulated by Eq. (9) based on our field measurements, as mentioned above. The variables used in this study are listed and described in Table 1.

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### 4. Results

### 4.1. Vertical profiles of 3-D E-field

On May 6, 2014, field measurements began at ~12:00 due to the limited power

supply by solar panels. As shown in Fig. 5, although the early stage of dust storm has not been observed completely, we successfully recorded data of about 8 hours, which is substantial enough to reveal the pattern of 3-D E-field. From Fig. 5, it can be seen that, in general, the streamwise and spanwise components (up to ~80 kV m<sup>-1</sup>) are consistently larger than the vertical component of the E-field (up to ~40 kV m<sup>-1</sup>). The vertical profiles of the normalized streamwise, spanwise, and vertical components of E-field are shown in Figs. 6a-6c, respectively. To the best of our knowledge, these data are the first measured 3-D E-field data in the sub-meter layer during dust storms. Numerous studies showed that the vertical component of E-field in pure saltation decreased with increasing height (e.g., Kok and Renno, 2008; Schmidt et al., 1998; Zhang et al., 2014). Interestingly, Fig. 6c shows that during dust storms, all normalized components,  $E_1^*$  to  $E_3^*$ , decreases monotonically as height increases in the saltation layer (i.e.  $z^* \le 1$ ), similar to the pattern of vertical component in pure saltation. As shown in Figs. 6a-6c, in different periods, each component of the normalized 3-D E-field roughly collapses on a single 3-order polynomial curve (with  $R^2$ = 0.67-0.97, see Table 2 for details). This suggests that during dust storms, the 3-D E-field in the sub-meter layer can be characterized as  $\langle \overline{E_i} \rangle E_i^*$ , where  $E_i^*$  represents the pattern of the dimensionless E-field vertical profile (formulated by Eq. 9), and  $\langle \overline{E_i} \rangle$  represents the height-averaged time-varying mean defined in Eq. (6). It is worth noting that the E-field pattern  $E_i^*$  and their intensities  $\langle \overline{E_i} \rangle$  are strongly dependent on the saltation conditions, such as dust mass loading, temperature, relative humidity (RH), etc. For example, at given ambient temperature and RH, the mean E-field intensities  $\langle E_i \rangle$ increases linearly with dust mass loading (e.g. Esposito et al., 2016; Zhang et al., 2017). In addition, both  $E_i^*$  and  $\langle \overline{E_i} \rangle$  could vary from event to event, among them, the saltation conditions are quite different. So far, a quantitative representation of  $\langle \overline{E_i} \rangle$  is challenging due to its high complexity, and thus we regard it as a basic parameter in

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the following sections for exploring the effects of 3-D E-field on saltation. The fitting

- results of Eq. (9) are listed in Table 2, with coefficients as rounded to two decimals. The
- 2 formulations of the 3-D E-field can be readily substituted into the numerical model (i.e.
- 3 Eq. 11a).

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### 4.2. Effects of particle-particle midair collisions on saltation

Before quantifying the effects of 3-D E-field on saltation by our numerical model, we draw a comparison of several key physical quantities between the simulated results and measurements in the case of pure saltation, in order to ensure the convergence and validity of our numerical code, as shown in Figs. 7a-7c. It is clearly shown that the saltation eventually reaches a dynamic steady-state after ~4 seconds. The number of the impacting particles (~72 grains) is equal to the sum of the rebounding (~50 grains) and the ejected particles (~22 grains) during the time interval of 10<sup>-4</sup> s. At steady-state, each impacting particle, on average, produces a single saltating particle, either by rebound or by ejection. As shown in Fig. 7b, the total mass flux is well predicted by our numerical model, and midair collisions enhance the total mass flux dramatically, especially for less particle viscous dissipation (i.e. large  $e_n$ ) and large friction velocity. As in previous studies (e.g. Haff and Anderson, 1993; Carneiro et al., 2013), the selected  $e_n$  is larger than 0.5 since the  $e_n$  of quartz sand particles has been expected to lie in the range of ~0.5-0.6 (Haff and Anderson, 1993; Kok et al., 2012). Also, the predicted charge-to-mass ratios of saltating particles are widely distributed from -400 to +60 μC kg<sup>-1</sup>, consistent with the previous measurements of charge-tomass ratio in pure saltation (Bo et al., 2014; Schmidt et al., 1998; Zheng et al., 2003). To our knowledge, so far there are no actual measurements of charge on a single sand particle in dust events. In the case of Fig. 7c, the magnitude of the simulated mean charge-to-mass ratio is around 100 µC kg<sup>-1</sup>, corresponding to a mean charge of  $1.64 \times 10^{-12}$  C/particle. This is in accordance with the empirical values of  $10^{-14}$ - $10^{-12}$ C/particle (Merrison, 2012).

In addition to affecting sand transport, midair collisions also affect charge exchanges between saltating particles. When considering midair collisions, the charge-

to-mass ratio distribution shifts slightly toward zero as the wind velocity increases, as shown in Figs. 8a-8c. As wind speed increases, the difference of the charge-to-mass ratio distribution between the cases with and without midair collisions is increasingly notable. This is because the probability of midair collisions become more significant for larger wind speed (Sørensen and McEwan, 1996; Huang et al., 2007).

### 4.3. Effects of 3-D E-field on saltation

By substituting the formulations of the 3-D E-field (i.e.  $\langle \overline{E_i} \rangle E_i^*$ , i=1,2,3) into our model (i.e. Eq. 11a), we then evaluate the effects of 3-D E-field on saltation during storms properly. As shown in Fig. 9a, compared to the case without E-field, the vertical component of the E-field (i.e. 1-D E-field) inhibits mass flux, in agreement with previous studies (Kok and Renno, 2008; Zheng et al., 2003). However, the mass flux is enhanced by 3-D E-field, causing the simulated value closer to our measured data. Such enhancement of mass flux by 3-D E-field can be qualitatively explained by the considerable enhancements of  $m_c$  below ~0.02 m height (Fig. 10a) and  $\langle u_p \rangle$  in the range from 0.01 to 0.1 m height (Fig. 10b), due to the streamwise and spanwise components. Meanwhile, although the saltation height is not sensitive to E-field vertical component, 3-D E-field enhances the saltation height significantly and, therefore, makes the numerical prediction more accurate (Fig. 9b). This is because when considering the E-field vertical component, the mass flux profile is very similar to the case of no E-field consideration (Figs. 9a and 10). In contrast, 3-D E-field causes a distortion of the mass flux profile (as well as  $m_c$  and  $\langle u_p 
angle$ ), and thus alters saltation height significantly (Figs. 9a and 10).

Additionally, we also explore how the key parameter, density of charged species  $\rho_h^0$ , affects saltation, as shown in Figs. 11a-11c. Since the height-averaged time-varying mean is strongly dependent on the ambient conditions such as temperature and RH, the height-averaged time-varying mean is set at two different levels. The predicted results show that, at each height-averaged time-varying mean level, the magnitude of the charge-to-mass ratio increases with increasing  $\rho_h^0$ , and then reaches a relatively

equilibrium value at approximately  $ho_h^0=10^{18}\,$  m $^{-2}$  (Fig. 10a), thus leading to a constant enhancement of total mass flux  $\,Q\,$  and saltation height  $\,z_{salt}\,$  (Figs. 10b and 10c). From Eqs. (25)-(26), it can be seen that the net charge transfer  $\Delta q_{ij}$  is proportional to the initial density  $ho_h^0$  so that  $\langle \zeta_p 
angle$  increases rapidly with increasing  $ho_h^0$  for small values of  $ho_h^0$ . However, for larger  $ho_h^0$ ,  $\Delta q_{ij}$  is no longer proportional to  $ho_h^0$  because  $\Delta q_{ij}$  is limited by the difference of the number of charged species (i.e.  $ho_h^j S_j - 
ho_h^i S_i$ ) between two colliding particles (Kok and Lacks, 2009). Fig. 11c shows a peak of increase in  $\,z_{salt}\,$  at  $\,\rho_h^0\,$  of about 10 $^{16}$ -10 $^{17}$  m $^{-2}$ , because  $\,\langle\zeta_p\rangle\,$  also exhibits a peak in the same range of  $\,
ho_h^0.$  In addition, the peak is more apparent in Fig. 11c. This is because  $z_{salt}$  is very sensitive to mass flux profile. A little change in mass flux profile can lead to an apparent change in  $z_{salt}$  (see Text S1 in the Supplement). For the larger height-averaged time-varying mean, the enhancements of the total mass flux  $\,Q\,$  and saltation height  $\,z_{salt}\,$  could exceed 20 % and 15 %, respectively. 

### 5. Discussion

#### 5.1. Field measurements of 3-D E-field in the sub-meter layer

To determine the effects of particle triboelectric charging on saltation precisely, 3-D E-field measurements in the saltation layer (i.e. sub-meter above the ground) is required. Although the E-field measurements, such as Bo and Zheng (2013), Esposito et al. (2016), Kamra (1972), Rudge (1913), Williams et al. (2009), and Zhang et al. (2017) in dust storms are numerous, 3-D E-field in the sub-meter layer have not been studied so far. This is because the traditional atmospheric E-filed sensors, such as CS110 sensor manufactured by Campbell Scientific, Inc., have dimensions of 15.2×15.2×43.2 cm³ (e.g. Esposito et al., 2016; Yair et al., 2016), which is too large compared to the height of saltation layer. Thus, it will lead to significant disturbances of the ambient E-field. Fortunately, the diameter of the VREFM sensor developed by Lanzhou University is only 2.5 cm and thus could considerably eliminate the E-field disturbances (Zhang et al., 2017; Zheng, 2013). In this study, using the VREFM sensors, we have measured and

characterized the 3-D E-field from 0.05 to 0.7 m height during dust storms, which can provide valuable data for investigating the mechanisms of particle triboelectric charging in saltation.

In E-field data analysis, the E-field is normalized by its time-varying mean over a certain timescale, which can be extracted by the DWT and EEMD methods with negligible end effects and mode mixing (Percival and Walden, 2000; Wu and Huang, 2009). At the same time, since the saltation height  $z_{salt}$  slightly varies with time (i.e.  $0.172 \pm 0.0343$  m, see Fig. S3 in the supplement), the height z above the ground is normalized by the mean saltation height  $\bar{z}_{salt}$ . Note that we calculate the saltation height and mass flux over every 30-min time interval because the sufficiently long period is needed to capture all scales of turbulence (Martin and Kok, 2017; Sherman and Li, 2012). The 3-D E-field pattern is finally characterized as the 3-order polynomials, but it is only valid in the range that is not too far beyond the measurement points. Additionally, the 3-D E-field pattern of dust storms may vary event to event, because it is strongly related to the driving mechanisms of dust storms, such as monsoon winds, squall lines, and thunderstorms (Shao, 2008), and ambient conditions, such as temperature and relative humidity (Esposito et al., 2016; Zhang and Zheng, 2018). Although the 3-D E-field pattern revealed in this study may not be a universal feature, the proposed E-field data analysis method can be easily applied to other cases.

#### 5.2. Potential mechanisms for generating intense horizontal E-field in dust storms

Like many previous studies, the E-field can be simplified to 1-D (i.e. vertical component) in pure saltation (e.g. Kok and Renno, 2008), since in such cases the magnitude of the streamwise and spanwise components is much less than that of vertical component (Zhang et al., 2014). However, during dust storms, the streamwise and spanwise components of the E-field are consistently larger than the vertical component, as mentioned previously. E-field is therefore 3-D in dust storms. In contrast to the vertical component which is closely related to the total mass loading (Esposito et al., 2016; Williams et al., 2009), the intense streamwise and spanwise

components of the E-field in dust storms may be aerodynamically created by the unsteady wind flows (Zhang et al., 2014) and turbulent fluctuations (Cimarelli et al., 2013; Renzo and Urzay, 2018). It is well-known that dust storm is a polydisperse (having dust particles with diameters from <10  $\mu$ m to ~500  $\mu$ m) particle-laden turbulent flow at very high-Reynolds-number (up to ~108). The wind flow in dust storms is certainly unsteady and random. Numerical simulation by Zhang et al. (2014) showed that the unsteady incoming flow could lead to the nonuniform transport of charged particles in the streamwise direction and thus resulted in fluctuating streamwise and vertical Efields. In addition to unsteadiness, recent direct numerical simulation (Renzo and Urzay, 2018) and laboratory experiment (Cimarelli et al., 2013) of particle-laden turbulent flows demonstrated that the generation of 3-D E-field could be caused by turbulent fluctuations. That is, the negatively charged small particles are affected by local turbulence and tend to accumulate in the interstitial regions between vortices, while the positively charged larger particles are unresponsive to turbulent fluctuations and are more uniformly distributed than the smaller (Cimarelli et al., 2013; Renzo and Urzay, 2018). We thus reasonably expect that the negatively charged finer dust particles (<10 μm) accumulate in specific regions, while the positively charged coarser sand particles (>100 μm) are more uniformly distributed due to its large inertia. Doubtless, such charge segregation could produce 3-D E-field (e.g. Renzo and Urzay, 2018). To sum up, the generating mechanisms responsible for the streamwise and spanwise E-fields in dust storms are probably the charge segregation caused by unsteady wind flows and turbulent fluctuations.

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Additionally, one possible explanation for the intense streamwise and spanwise E-fields is that there exists large- and very-large-scale motions in atmospheric surface flows, leading to a large extent charge segregation in the streamwise and spanwise directions. In atmospheric surface layer flows, the largest vortices or coherent motions of the wind flows are found to be compared to the boundary layer thickness (~60-200 m) (Kunkel and Marusic, 2006; Hutchins et al., 2012). This may lead to a phenomenon that the charged particles are more nonuniformly distributed (over a larger spatial

- scale) in the streamwise and spanwise directions than in the vertical direction.
- 2 Accordingly, the intensity of the streamwise and spanwise E-fields is probably larger
- 3 than that of the vertical E-field.

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### 5.3. Particle-particle triboelectric charging resolved model

Although most physical mechanisms, such as asymmetric contact, polarization by external E-fields, statistical variations of material properties and shift of aqueous ions, are responsible for particle triboelectric charging, contact or triboelectric charging is the primary mechanism (e.g. Harrison et al., 2016; Lacks and Sankaran, 2011; Zheng, 2013). In previous model, however, the charge-to-mass ratios of the saltating particles are either assumed to be a constant value (e.g. Schmidt et al., 1998; Zhang et al., 2014; Zheng et al., 2003), or are not accounted for in the particle-particle midair collisions (e.g. Kok and Renno, 2008). In this study, by using DEM together with an asymmetric contact electrification model, we account for the particle-particle triboelectric charging during midair collisions in saltation. The DEM implemented by cell-based algorithms is effective to detect and evaluate most of the particle-particle midair collisional dynamics (Norouzi et al., 2016). Meanwhile, the charge transfer between colliding particles can be determined by Eqs. (25) and (26). Compared to the previous studies (e.g. Kok and Lacks, 2009), the main innovation of this model is that the comprehensive consideration of the particle collisional dynamics affecting particle charge transfer is involved. In summary, the present model is a particle-particle midair collision resolved model, and the predicted charge-to-mass ratio agrees well with the published measurement data (see Fig. 7c). These findings indicate that midair collisions in saltation are important, both in momentum and charge exchanges.

One limitation of our model is that the effects of turbulent fluctuations on particle charging and dynamics are not explicitly accounted for. In actual conditions, saltation is unsteady and inhomogeneous at small scales, and the wind flow is mathematically described by the continuity and Navier-Stokes equations. However, in many cases, wind flow is statistically steady and homogeneous over a typical timescale of 10 min

(Durán et al., 2011; Kok et al., 2012). For example, in the relatively stationary period in Fig.5, all long-period averaged statistics become independent of time. In this case, the governing equations of the wind flow can be reduced to a simple model described by equation Eq. (13). There is no doubt that 3-D turbulent fluctuations could affect particle charging and dynamics considerably (e.g. Cimarelli et al., 2013; Dupont et al., 2013). Further work is therefore needed to incorporate turbulence into the numerical model.

### 5.4. Implications for evaluating particle triboelectric charging in dust events

It is generally accepted that E-field could considerably affect the lifting and transport of sand particles. As the findings of previous 1-D E-field models (e.g. Kok and Renno, 2008), the E-field has been proven to inhibit sand transport in our model, when considering the vertical component of the E-field alone. It is worth noting that, unlike the natural 1-D E-field produced by the charged sand particles, the man-made 1-D E-field may enhance sand transport in pure saltation. For example, Rasmussen et al. (2009) found that sand mass flux in pure saltation is significantly enhanced when a downward-pointing external E-field (opposite to the direction of actual vertical E-field) with magnitude of 270 kV m<sup>-1</sup> is applied. In contrast to the 1-D E-field, our model further shows that the real 3-D E-field in dust storms enhances sand transport substantially, consistent with a recent measurement by Esposito et al. (2016). This 3-D E-field model may resolve the discrepancy between the 1-D E-field model in pure saltation (e.g. Kok and Renno, 2008) and the recent measurement in dust storms (i.e. Esposito et al., 2016). In addition, the saltation height has also been enhanced by 3-D E-field. Therefore, it is necessary to consider 3-D E-field in further studies.

However, a remaining critical challenge is still to simulate particle triboelectric charging in dust storms precisely. The driving atmospheric turbulent flows having a typical Reynolds number on the order of 10<sup>8</sup> cover a broad range of length and time scales, which needs huge computational cost to resolve (e.g. Shao, 2008). On the other hand, particle triboelectric charging is so sensitive to particle's collisional dynamics

that it needs to resolve each particle collisional dynamics (e.g. Hu et al., 2012; Lacks and Sankaran, 2011). To model the particle's collisional dynamics properly, the time steps of DEM are generally from 10<sup>-7</sup> to 10<sup>-4</sup> s (Norouzi et al., 2016). However, steadystate saltation motion often requires several seconds to several tens of seconds to reach the equilibrium state. In this study, when  $u_* = 0.5 \, \text{m s}^{-1}$  and the computational domain is  $0.5 \times 0.1 \times 1.0$  m<sup>3</sup>, the total number of saltating particles exceeds  $7 \times 10^4$  (Fig. S8 in the Supplement). Consequently, the triboelectric charging in saltation is currently very difficult to simulate, where a large number of polydisperse sand particles, the high Reynolds-number turbulent flow, and the inter-particle electrostatic forces are mutually coupled. In the present version of the model, we do not consider the particleparticle interactions such as particle agglomeration and fragmentation during particle collision or frictional contact, as well as the particle-turbulence interaction that is the effects of turbulent fluctuations on the triboelectric charging and dynamics of particles. Further studies require considerable effort to incorporate these interactions into a tractable numerical model, especially turbulence, which is very important for large wind velocity.

### 6. Conclusions

Severe dust storms occurring in arid and semiarid regions threaten human lives and result in substantial economic damages. Intense E-field up to ~100 kV m<sup>-1</sup> does exist in dust storms and could strongly affect particle dynamics. In this study, we performed the field measurements of 3-D E-field in the sub-meter layer from 0.05 to 0.7 m above the ground during dust storms by VREFM sensors. Meanwhile, by introducing the DEM and asymmetric charging mechanism into the saltation model, we numerically study the effects of 3-D E-field on saltation. Overall, our results show that: (1) measured 3-D E-field data roughly collapse on the 3-order polynomial curves when normalized, providing a simple representation of the 3-D E-field during dust storms for the first time; (2) the inclusion of 3-D E-field in saltation model may resolve the discrepancy between previous 1-D E-field model (e.g. Kok and Renno, 2008) and

measurements (Esposito et al., 2016) in the aspect of whether the E-field inhibits or enhances saltation; (3) midair collisions dramatically affect both momentum and charge exchanges between saltating particles; and (4) the model predicts that 3-D E-field enhances the total mass flux and saltation height significantly, suggesting that 3-D E-field should be considered in future models, especially for dust storms.

We have also performed discussions about various sensitive parameters such as the density of charged species, the coefficient of restitution, and the height-averaged time-varying mean of the 3-D E-field. These results significantly add new knowledge to the role of particle triboelectric charging in determining the transport and lifting of sand and dust particles. A great effort is further needed to understanding the interactions such as particle agglomeration and fragmentation, as well as the effects of the turbulence on the triboelectric charging and dynamics of particles.

### Data availability

The E-field data recorded in our field campaign are provided as a CSV file in the Supplement.

#### **Author contribution**

H.Z. performed the field observations, numerical simulation, and data analyses as well as wrote the manuscript, which was guided and edited by Y.H.Z. All authors discussed the results and commented on the manuscript.

#### **Competing interests**

The authors declare that they have no conflict of interest.

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## **Table 1.** Description of all variables used in this study.

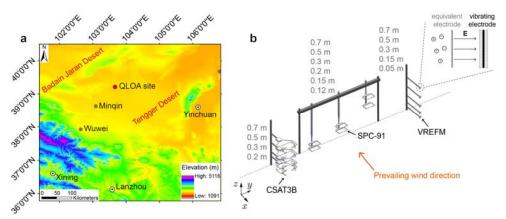
Symbols	Physical meaning	Units
$a_{0,i}$ , $a_{1,i}$ , $a_{2,i}$ , $a_{3,i}$	fitting coefficients in Eq. (8)	1
$C_d$	drag coefficient	1
$C_m$	normalized spin lift coefficient in Magnus force formula	1
$d_p$	particle diameter	m
$d_i$ , $d_j$	diameters of particle $i$ and $j$	m
$d_m$	mean diameter of particle sample in the numerical model	m
$D_{imp}$ , $D_{ej}^k$	diameter of the impact and ejected particles	m
$e_n$	coefficient of restitution of particles	1
E	a time series of measured E-field	kV m <sup>-1</sup>
$\overline{E}$	time-varying mean values of $\mathit{E}(t)$	kV m <sup>-1</sup>
$\langle \overline{E_i} \rangle$	height-averaged time-varying mean values of $\mathit{E}(t)$	kV m <sup>-1</sup>
$E_i^*(z^*)$	dimensionless E-field of component $i$	1
$E_1, E_2, E_3$	streamwise, spanwise, and vertical components of E-field	kV m <sup>-1</sup>
$ec{F}_i^{d}$ , $ec{F}_i^{m}$	drag force and Magnus force acting on particle $i$	N
$\vec{F}_{ij}^d, \vec{F}_{ij}^t$	the normal and tangential collisional forces	N
g=9.81	gravitational acceleration	m s <sup>-2</sup>
G	shear modulus of particles	Pa
$G^*$	equivalent shear modulus between two contacting particles	Pa
$I_i$	moment of inertia of particle $i$	kg m²
$L_x$ , $L_y$	streamwise and spanwise width of the computational domain	m
$m^*$	equivalent particle mass between two contacting particles	kg
$m_{p,i}$	mass of particle $i$	kg
$m_c$	mean particle mass concentration	kg m <sup>-3</sup>
$m_c$ $\vec{M}_i^{w-p}, \vec{M}_{ij}^c, \vec{M}_{ij}^r$	torque due to the wind, the torque due to the tangential component of the particle collisional forces, and the rolling resistance torque	N∙m
$ec{n}_{ij}$	unit vector in the direction from the center of particle $i$ point toward the center of particle $j$	-
N	number of the decomposition levels of DWT and EEMD	1
$N_e$	number of white noise series added to the original E-field series	1
$N_k$	number of ejected particles from the $k$ -th particle bin	1
$p_k$	mass fraction of the $k$ -th particle bin	1
$P_{reb}$	rebounding probability of a saltating particle colliding with the sand bed	1
q, $Q$	mass flux and total mass flux defined in Eq. (26)	$kg m^{-2} s^{-1}$ ,
		Kg m <sup>-1</sup> s <sup>-1</sup>
$R^*$	equivalent particle radius between two contacting particles	m
$Re_p$	particle Reynolds number	1
$S_i, S_j$	contact area of particle $i$ and $j$	$m^2$
$ec{u}_r$	particle-to-wind relative velocity	m s <sup>-1</sup>
$u_m$	mean streamwise wind speed	m s <sup>-1</sup>
$u_*$	friction velocity	m s <sup>-1</sup>

Table 1. Continued.

Symbols	Physical meaning	Units
$\vec{u}_{p,i}$	velocity of particle $i$	m s <sup>-1</sup>
$u_{p,i}$ , $w_{p,i}$	streamwise and vertical components of particle velocity	m s <sup>-1</sup>
$\langle u_p \rangle$	mean particle horizontal speed	m s <sup>-1</sup>
$v_{imp}$	impact speed of the saltating particle	m s <sup>-1</sup>
$\vec{v}_{ij}$ , $\vec{v}_{ij}^n$ , $\vec{v}_{ij}^t$	relative velocity between particle $i$ and $j$ at the contact	m s <sup>-1</sup>
	point, and its normal and tangential components	
$\vec{x}_i, \vec{x}_j$	position vectors of particle $i$ and $j$	m
$Y = 10^8$	Young's modulus of particles	Pa
<i>Y</i> *	equivalent Young's modulus between two contacting particles	Pa
$Z,Z^*$	height above the ground and dimensionless height	m, 1
$z_0$	the aerodynamic roughness	m
$z_{salt}$	saltation height	m
β	damping coefficient of collisional forces	1
$\gamma_s$ =0.5, $\gamma_r$ =0.1	coefficients of static and rolling friction	1
$\zeta_{p,i}$	charge-to-mass ratio of particle $i$	C kg <sup>-1</sup>
$\eta_n$	residual of EEMD or EMD	-
heta , $arphi$	rebounding angles of particles	٥
$\kappa \approx 0.41$	von Kármán constant	1
$ au_p$	particle momentum flux	Pa
$ec{\omega}_{p,i}$	angular velocity of the particle $i$	rad s <sup>-1</sup>
$\delta_n$ , $\delta_t$	normal and tangential overlap between two contacting particles	m
$\mu$ =1.8×10 <sup>-5</sup>	dynamic viscosity of the air	Pa·s
$\nu$ =0.3	Poisson's ratio of particles	1
$\xi_i$	EEMD component or IMF of EMD	-
$ ho_a$ =1.174	air density	kg m <sup>-3</sup>
$ ho_p$ =2650	particle mass density	kg m <sup>-3</sup>
$ ho_c$	space charge density	C m <sup>-3</sup>
$ ho_h^i$ , $ ho_h^j$	density of the electrons trapped in the high energy states on	m <sup>-2</sup>
	the surface of particle $i$ and $j$	
$\sigma$	surface charge density	C m <sup>-2</sup>
$\sigma_p$	geometric standard deviation of particle sample in the numerical model	1
$\chi_N$	the $i$ -th level wavelet detail component	-
$\psi_i$	the $\it N$ -th level wavelet approximation component	-
$\Delta q_{ij}$	net increment of the charge of particle $i$ after colliding	С
	with particle $j$	
$\Delta z$	vertical grid size	m

 Table 2. Fitting coefficients of the 3-order polynomial curves in Fig. 5.

Components	$a_{0,i}$	$a_{1,i}$	$a_{2,i}$	$a_{3,i}$	$R^2$
i = 1	-2.17	4.02	-2.24	0.31	0.97
i = 2	-0.71	2.06	-1.49	0.23	0.80
i = 3	0.55	-1.41	1.24	-0.21	0.67



**Figure 1.** Map of the QLOA site and the layout of all instruments. (a) The QLOA site is located between the Badain Jaran Desert and the Tengger Desert, approximately 90 km northeast of Minqin, Gansu, China. (b) Four CSAT3B sensors were mounted at 0.2-0.7 m height, respectively; six SPC-91 sensors were mounted at 0.12-0.7 m height, respectively; total fifteen VREFM sensors were mounted to measure the 3-D E-field at 0.05-0.7 m height, respectively (that is, at each measurement point, three VREFM sensors are mutually perpendicular). The CSAT3B, SPC-91, and VREFM sensors were distributed along a straight line parallel to the y axis, and the prevailing wind direction in the QLOA site is parallel to the x axis. The inset shows the working principle of the VREFM, where the charged particles and the vibrating electrode forms a dynamic capacity.



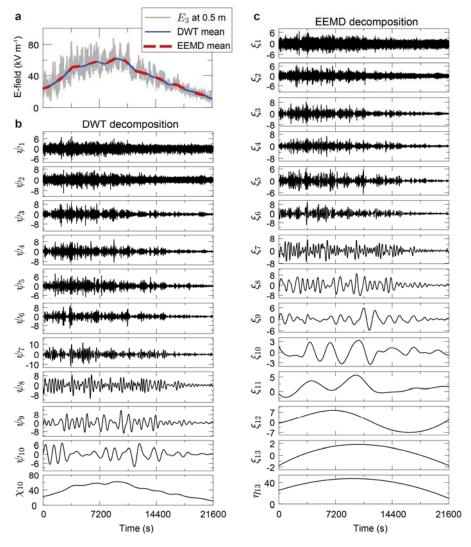


Figure 2. The resulting DWT and EEMD components from a measured vertical E-field component  $E_3$  at 0.5 m height, with a total of  $N_d$ =21600 data points. (a) shows the original E-field time series (gray line), as well as the time-varying mean obtained by DWT (blue line) and EEMD (red dashed line). (b) shows the detailed components  $\psi_1$ - $\psi_{10}$  and approximation component  $\chi_{10}$  of DWT. (c) shows the EEMD components  $\xi_1$ - $\xi_{13}$  and the residue  $\eta_{13}$ . In the EEMD, N is specified as  $\log_2(N_d)-1$ , the member of ensemble  $N_e$  is 100, and the added white noise in each ensemble member has a standard deviation of 0.2. Times are shown relative to May 6, 2014 at 13:00:00 UTC+8.

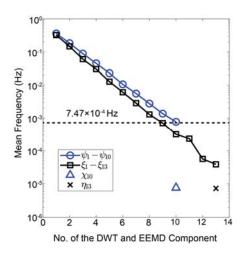


Figure 3. The Mean frequencies of DWT and EEMD components of  $E_3$  at 0.5 m height. The dashed line around the components  $\psi_{10}$  and  $\xi_9$  corresponds to the frequency

of 7.47×10<sup>-4</sup> Hz.

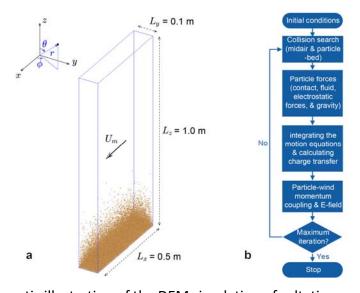


Figure 4. A schematic illustration of the DEM simulation of saltation and the numerical algorithm of the saltation model. (a) A 3-D view of the simulated wind-blown sand at the steady state, where the wind shear velocity  $u_*$ =0.5 m s<sup>-1</sup>, average sand diameter  $d_m$ =228  $\mu$ m, and geometric standard deviation  $\sigma_p$ =exp (0.3). Both the Cartesian and spherical coordinates are shown in the inset. (b) This flowchart shows the scheme for simulating the saltation according to the following steps implementing the DEM with particle triboelectric charging: initial conditions, collision search, particle forces, integrating motion equations and calculating charge transfer, particle-wind momentum coupling and evaluating E-field, and finally repeating these execute steps until reaching the maximum iteration steps.



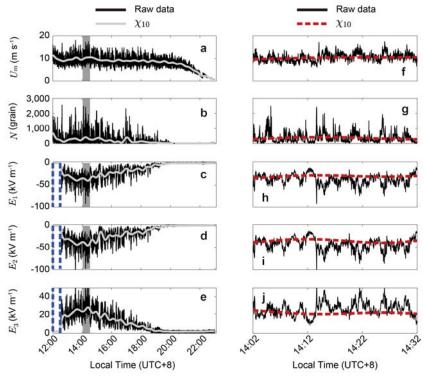
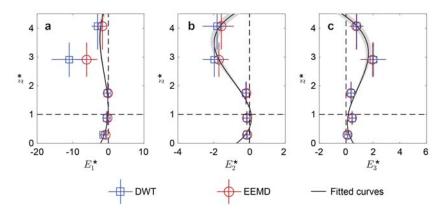
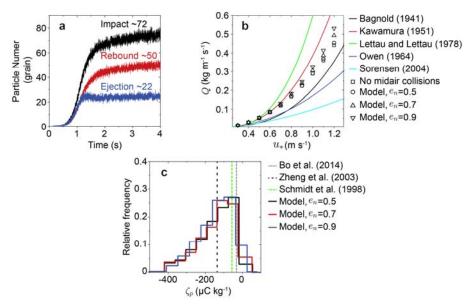


Figure 5. Measured results during a dust storm occurring on May 6, 2014, at the QLOA site. (a)-(e): the measured time series of the streamwise wind speed,  $u_m$  at 0.7 m; the number of saltating particle N at 0.15 m; streamwise E-field  $E_1$ , spanwise E-field  $E_2$ , and vertical E-field  $E_3$  at 0.7 m. Unfortunately, owing to the interruption of power supply, the 3-D E-field data have not been recorded before ~12:30, as represented by a dashed box in the last three panels (from top to bottom). The shaded area denotes the relatively stationary period of the observed dust storm, because during this period the time-varying means of all quantities (i.e.  $\chi_{10}$ ) do not vary notably as time varies (Bendat and Piersol, 2011), as shown in (f)-(j).



**Figure 6**. Vertical profiles of the normalized 3-D E-field. Subgraphs (a)-(c), in turn, correspond to the vertical profiles of  $E_1^*$ ,  $E_2^*$ , and  $E_3^*$  of the observed dust storm. Squares and circles denote the DWT mean and EEMD mean values of the normalized E-field data, respectively. Error bars are standard deviations. Lines denote robust linear least-squares fitting of the normalized E-field data obtained by DWT and EEMD method using 3-order polynomials (with  $R^2$  of 0.97, 0.80, and 0.67, respectively), where the shaded areas denote 95% confidence bounds.



**Figure 7.** Verification of the steady-state numerical model in the case of pure saltation. That is, only vertical E-field needs to be considered, which is produced by the charged saltating particles. (a) The number of the impacting, rebounding, and ejected particles within each time period of  $10^{-4}$  s, where  $u_*$ =0.5 m s<sup>-1</sup>,  $d_m$ =228 μm, and  $\sigma_p$ =exp (0.3). (b) Comparison of the simulated total mass flux with the most commonly-used semiempirical saltation mass flux equations (Bagnold, 1941; Kawamura, 1951; Lettau and Lettau, 1978; Owen, 1964; Sørensen, 2004), where  $d_m$ =228 μm, and  $\sigma_p$ =exp (0.3). (c) Comparison of the simulated charge-to-mass ratio distribution in the range of 0.07-0.09 m height with the measured mean charge-to-mass ratio, in the range of 0.06-0.1 m height (Zheng et al., 2003), at 0.05 m height (Schmidt et al., 1998) and 0.08 m height (Bo et al., 2014). Here,  $\rho_n^0$ =6×10<sup>15</sup> m<sup>-2</sup> is determined by calibrating the model with measurements;  $u_*$ =0.35 m s<sup>-1</sup>,  $d_m$ =203 μm, and  $\sigma_p$ =exp (0.33) are estimated from (Zheng et al., 2003).

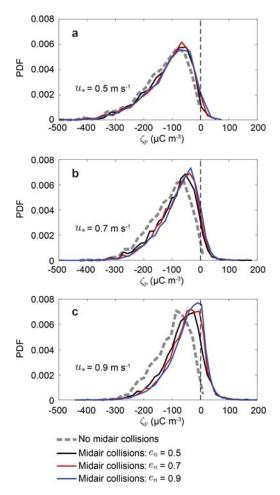


Figure 8. Effects of midair collisions on the probability density function (PDF) of charge-to-mass ratio of saltating particles for various wind velocities (a)  $u_*$ =0.5 m s<sup>-1</sup>, (b)  $u_*$ =0.7 m s<sup>-1</sup>, and (c)  $u_*$ =0.9 m s<sup>-1</sup>, where  $d_m$ =203  $\mu$ m,  $\sigma_p$ =exp (0.33), and  $\rho_h^0$ =6×10<sup>15</sup> m<sup>-2</sup>.

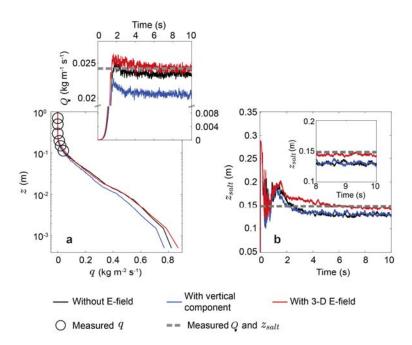


Figure 9. Comparison of the simulated mass flux q and total mass flux Q (a) and saltation height  $z_{salt}$  (b) with our measurements in the relatively stationary period of the observed dust storm (shaded areas in Fig. 4 and Fig. S3 in the Supplement), where  $u_*$ =0.37 m s<sup>-1</sup>,  $d_m$ =200  $\mu$ m,  $\sigma_p$ =exp (0.42),  $\rho_n^0$ =6×10<sup>15</sup> m<sup>-2</sup>, and  $e_n$ =0.7. (a) Circles are the measured mean mass flux, dashed line denotes the estimated mean total mass flux, and lines denote the simulated results. (b) Dashed lines denote the estimated saltation height based on our measurements and lines denote simulated results. Inset shows the same data from 8 to 10 s. The uncertainty analysis of the measured or estimated results can be found in Text S1 in the Supplement.

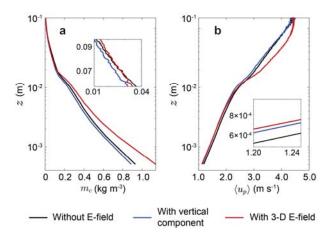


Figure 10. Vertical profiles of the particle mass concentration  $m_c$  and mean particle horizontal speed  $\langle u_p \rangle$  for different cases, where  $\langle u_p \rangle$  is calculated as the arithmetic mean of particle horizontal speed located in the range of  $[z,z+\Delta z]$ . Insets show the same data and emphasize the local information. In these cases  $u_*$  =0.37 m s<sup>-1</sup>,  $d_m$ =200  $\mu$ m,  $\sigma_p$ =exp(0.42),  $\rho_h^0$ =6×10<sup>15</sup> m<sup>-2</sup>, and  $e_n$ =0.7.

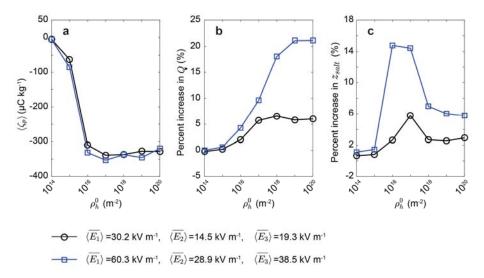


Figure 11. Effects of the density of charged species  $\, \rho_h^0 \,$  on saltation for two different height-averaged time-varying mean levels (i.e.  $\langle \overline{E}_t \rangle$ ,  $\, i=1,2,3$ ). (a) The mean charge-to-mass ratio  $\, \langle \zeta_p \rangle \,$  (in the range from 0.07 to 0.09 m height) as a function of  $\, \rho_h^0 \,$  ranging from  $\, 10^{14} \,$  to  $\, 10^{20} \,$  m $^{-2} \,$  (e.g. Kok and Lacks, 2009). (b) Percent increase in the total mass flux  $\, Q \,$  as a function of  $\, \rho_h^0 \,$ . (c) Percent increase in the saltation height  $\, z_{salt} \,$  as a function of  $\, \rho_h^0 \,$ . The squares correspond to the height-averaged time-varying mean in the stationary stage of the observed dust storm (shaded areas in Fig. S7 in the Supplement). In these cases,  $\, e_n = 0.7 \,$ .