

We thank the two anonymous referees for their valuable comments and constructive suggestions on the manuscript. Below, we explain how the comments and suggestions are addressed point-by-point and make note of the revision that we made in the manuscript.

Anonymous Referee #2

General comments:

- *In this work, the authors conduct a quasi-global WRF-Chem simulation and implement a tracer-tagging method to investigate dust source, intercontinental transport and radiative forcing at the different altitudes over the Tibetan Plateau (TP) for the period of 2010–2015. The main conclusions summarized briefly below are reasonable. (1) The model has a reliable representation of spatial distribution of dust AOD (DOD) at different altitudes compared with the CAIPSO retrievals. (2) The East Asia contributes more dust mass over the northern slope, and the Middle East contributes more dust mass over the southern slope. In the higher altitude (above 6 km) over the TP, the major contributor is Middle East with a value of 60%. (3) The East Asia dust number over the TP is mainly in 2 ~8 km, while North African and Middle East dust number is broader, even reaches to 12 km. (4) For the radiative forcing, dust produces the annual mean SW, LW and NET (SW + LW) radiation forcing is -1.40 , 0.13 , and -1.27 W/m² at the TOA, 0.67 , -0.26 and 0.41 W/m² in the atmosphere, and -2.08 , 0.39 and -1.69 W/m² at the surface over the TP, respectively. As stated by the authors in Section 6. This study provides a climatological view about the intercontinental transport characteristics of dust from different source regions over the TP, and these results are important to understand the source contribution of the dust over TP and the dust belt formation over the Northern Hemisphere. Overall, the model, method, and data utilized are suitable for this topic, and the context is basically well organized. However, the scientific importance need be further clarified because the dust number accounts for smaller contribution for total aerosols number compared with sulfate, OM and other aerosols in the column over TP. People might be more interested in to what a degree the mineral dust can affect the in situ and surrounding precipitation, diabatic heating, and cloud.*

Response: We thank the reviewer for a detailed review. We agree that the dust number has smaller contribution to total aerosol number compared with anthropogenic aerosols in the column over the Tibetan Plateau (TP). However, the dust number could not been ignored, because it is an important factor in dust climatic impact over the TP. Zhao et al. (2013) had pointed that dust size distributions and dust number could significantly change dust

radiative forcing. In order to make the scientific importance more clear, we add some discussion on page 5 line 131-138 as: “Moreover, although the dust global-scale transport has been studied using both observations and model simulations, the spatiotemporal characteristics of dust particle size and number and their associated climatic impact are poorly understood (Zhao et al., 2013). The dust number could be used to determine the cloud condensation nuclei and/or ice nuclei (e.g., Liu and Penner, 2005; DeMott et al., 2010) and dust particle deposition (Zhao et al., 2013). Consequently, this could affect the precipitation through modifying the cloud formation and distribution over the TP (Huang et al., 2014; Li et al., 2016), and further change the hydrological cycle and influence the lives of people in South and East Asia (Xu et al., 2009; Yao et al., 2012).”. Besides, we also add some discussion on page 22 line 605-607 as: “Also, this study explores the dust particle size and number distribution over the TP, and shows that the dust number has smaller contribution for total aerosols number compared with sulfate, OM and other anthropogenic aerosols in the column.”

Generally, the people pay more attention to research the impact of dust on clouds, precipitation, and diabatic heating (e.g., Liu et al., 2019; Ji et al., 2016; Lau et al., 2018), because it is important to understanding the climate change over the TP. However, it is not clear how dust aerosols may affect those critical factors in the climate system over the TP. Therefore, in future studies, we will study the impact of dust on clouds, precipitation, and diabatic heating. Also, we have added the discussion on page 22 line 608-610 as: “However, the impact of dust aerosols on cloud properties and precipitation, and the diabatic heating of dust over the TP are not discussed, which will be investigated in future studies.” In addition, both texts and figures are revised as the reviewer suggested.

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Ji, Z., Kang, S., Zhang, Q., Cong, Z., Chen, P., and Sillanpää, M.: Investigation of mineral aerosols radiative effects over High Mountain Asia in 1990–2009 using a regional

climate model, *Atmos. Res.*, 178–179, 484–496, 2016.

Lau, K. K., and Kim, K. M.: Impact of Snow Darkening by Deposition of Light-Absorbing Aerosols on Snow Cover in the Himalayas–Tibetan Plateau and Influence on the Asian Summer Monsoon: A Possible Mechanism for the Blanford Hypothesis, *Atmosphere*, 9, 438; doi:10.3390/atmos9110438, 2018.

Li, Z., Lau, W. K., Ramanathan, V., Wu, G., Ding, Y., Manoj, M. G., Liu, J., Qian, Y., Li, J., Zhou, T., Fan, J., Rosenfeld, D., Ming, Y., Wang, Y., Huang, J., Wang, B., Xu, X., Lee, S.-S., Cribb, M., Zhang, F., Yang, X., Zhao, C., Takemura, T., Wang, K., Xia, X., Yin, Y., Zhang, H., Guo, J., Zhao, P., Sugimoto, N., Babu, S. S., and Brasseur, G. P.: Aerosol and monsoon climate interactions over Asia, *Rev. Geophys.*, 54, 866–929, 2016.

Liu, Y., Zhu, Q., Huang, J., Hua, S., and Jia, R., Impact of dust-polluted convective clouds over the Tibetan Plateau on downstream precipitation, *Atmos. Environ.*, 209, 67–77, 2019.

Xu, B., Cao, J., Hansen, J., Yao, T., Joswiak, D. R., Wang, N., Wu, G., Wang, M., Zhao, H., Yang, W., Liu, X., and He, J.: Black soot and the survival of Tibetan glaciers, *P. Natl. Acad. Sci. USA*, 106, 22114–22118, 2009.

Yao, T., Thompson, L., Yang, W., Yu, W., Gao, Y., Guo, X., Yang, X., Duan, K., Zhao, H., Xu, B., Pu, J., Lu, A., Xiang, Y., Kattel, D. B., and Joswiak, D.: Different glacier status with atmospheric circulations in Tibetan Plateau and surroundings, *Nat. Clim. Change*, 2, 663–667, doi:10.1038/nclimate1580, 2012.

Zhao, C., Chen, S., Leung, L. R., Qian, Y., Kok, J. F., Zaveri, R. A., and Huang, J.: Uncertainty in modeling dust mass balance and radiative forcing from size parameterization, *Atmos. Chem. Phys.*, 13, 10733–10753, doi:10.5194/acp-13-10733-2013, 2013.

Specific comments:

- ***1. The references in the text should not be limited in the aerosol community, especially for the climate effect of the TP in terms of dynamical and thermal effects.***

Response: Thank you so much for your suggestions. We have added discussion about the importance of the TP in modulating the regional climate in page 3–4 line 74–88 as: “**The TP exerts profound influences on the climate system in the Northern Hemisphere by modulating the thermodynamics of the atmosphere (Yeh et al., 1957; Ye and Wu et al., 1998; Wu et al., 2007). Generally, the TP serves as a huge heat source in mid- and upper-**

troposphere that largely affects the Asian summer monsoon in summer, while in winter the TP as a giant wall across the Eurasian continent can block cold outbreaks from the north and confines the winter monsoon to the eastern and southern Asia (Wu et al., 2012). Recent studies have indicated that the climate of the TP is warming rapidly (e.g., Duan and Wu et al., 2008; Wang et al., 2008; Xu et al., 2009; Kang et al., 2010) and the glacier retreat is accelerating (Yao et al., 2007). These changes over the TP are primarily attributed to increasing greenhouse gases (e.g., Duan et al., 2006; Ren et al., 2006), but other major factors could also contribute to these changes, such as absorbing aerosols (e.g., dust and black carbon), which can heat atmosphere and reduce the snow albedo (Kang et al., 2000; Lau et al., 2006, 2010; Xu et al., 2009). The intercontinental transport of dust aerosols originated from surrounding major deserts, i.e., Gobi and Taklamakan deserts on the northern side and Sahara and Arabian deserts on the western side, frequently attacks the TP (Huang et al., 2008; Liu et al., 2008) and greatly influences the regional climate over the TP (Lau et al., 2006; Wu et al., 2007).”

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Duan, A., Wu, G., Zhang, Q., and Liu, Y.: New proofs of the recent climate warming over the Tibetan Plateau as a result of the increasing greenhouse gases emissions, *Chin. Sci. Bull.*, 51, 1396–1400, doi:10.1007/s11434-006-1396-6, 2006.

Huang, J., Minnis, P., Chen, B., Huang, Z., Liu, Z., Zhao, Q., Yi, Y., and Ayers, J.: Long-range transport and vertical structure of Asian dust from CALIPSO and surface measurements during PACDEX, *J. Geophys. Res.*, 113, D23212, doi:10.1029/2008JD010620, 2008.

Lau, K. M., Kim, M. K., and Kim, K. M.: Asian monsoon anomalies induced by aerosol direct forcing: the role of the Tibetan Plateau, *Clim. Dyn.*, 26, 855–664, 2006.

Lau, K. M., Kim, M. K., Kim, K.-M., and Lee, W. S.: Enhanced surface warming and accelerated snow melt in the Himalayas and Tibetan Plateau induced by absorbing aerosols, *Environ. Res. Lett.*, 5, 025204, doi:10.1088/1748-9326/5/2/025204, 2010.

Liu, Z., Omar, A., Vaughan, M., Vaughan, M., Hair, J., Kittaka, C., Hu, Y., Powell, K., Trepte, C., Winker, D., Hostetler, C., Ferrare, R., and Pierce, R.: CALIPSO lidar observations of the optical properties of Saharan dust: A case study of long-range transport, *J. Geophys. Res.*, 113(D7):D07207, 2008.

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- Wu, G., Liu, Y., Wang, T., Wan, R., Liu, X., Li, W., Wang, Z., Zhang, Q., Duan, A., and Liang, X.: The Influence of Mechanical and Thermal Forcing by the Tibetan Plateau on Asian Climate, *J. Hydrometeorol.*, 8, 770–789, 2007.
- Wu, G., Liu, Y., He, B., Bao, Q., Duan, A., and Jin, F.-F.: Thermal controls on the Asian summer monsoon, *Sci. Rep.*, 2, 404, doi:10.1038/srep00404, 2012.
- Xu, B., Cao, J., Hansen, J., Yao, T., Joswiak, D. R., Wang, N., Wu, G., Wang, M., Zhao, H., Yang, W., Liu, X., and He, J.: Black soot and the survival of Tibetan glaciers, *P. Natl. Acad. Sci. USA*, 106, 22114–22118, 2009.
- Yao, T., Pu, J., Lu, A., Wang, Y., and Yu, W.: Recent glacial retreat and its impact on hydrological processes on the Tibetan Plateau, China, and surrounding regions, *Arct. Antarct. Alp. Res.*, 39, 642–650, 2007.
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- Yeh, T., Lo, S., and Chu, P.: The wind structure and heat balance in the lower troposphere over Tibetan Plateau and its surrounding, *Acta Meteor. Sinica*, 28, 108–121, 1957.

- **2. Abstract.** *“The East Asian dust transports southward and is lifted up to the TP”. This statement seems to be misleading because East Asia area defined here, i.e., (25° N – 50° N and 75° E – 150° E) covers a large domain with the TP itself included. According the context, it might mainly be Gobi and Taklamakan. A more specific description is needed.*

Response: Thank you so much for your suggestions. In the updated model, we divide the dust sources into four source regions: East Asia (25° N – 50° N and 75° E – 150° E), North Africa (0° N – 40° N and 20° W – 35° E), North America (15° N – 50° N and 80° W – 140°

W), and the elsewhere in the world (dominated by the Middle East in the Northern Hemisphere, 0 – 50° N and 35° E – 75° E). Over the East Asian source region, the dust emission mainly appears in the Gobi and Taklamakan deserts, while the dust emission over the TP is much smaller. So, we think that the long-range transport East Asia dust is mainly from the Gobi and Taklamakan deserts. In order to better showing the results, we have changed the sentence in abstract to “**The East Asian dust (mainly from Gobi and Taklamakan deserts) transports southward and is lifted up to the TP,**” in page 2 line 32, and a specific description as “**Clearly, dust emission from the TP is much smaller than that of the Gobi and Taklamakan deserts, so the East Asian dust defined here is mainly contributed by that emitted from Gobi and Taklamakan deserts.**” in page 8 line 198-199.

- **3. Introduction. “As the highest (about 4000 m) and largest plateau in the world, the Tibetan Plateau (TP): : :”, the largest plateau is not true.**

Response: Thank you so much for your suggestions. We have changed it to “**As the highest plateau (averaged elevation about 4000 m) in the world, the Tibetan Plateau (TP) has received extensive scientific attentions in the past decades due to its unique topographic characteristics (Ma et al., 2014; Yao et al., 2012).**”

- **4. Introduction. The conception of “elevated heat pump” can be traced to some earlier literatures.**

Response: Thank you so much for your suggestions. We have been traced to some earlier literatures (e.g., Lau et al., 2006; Lau and Kim, 2006; Nigam and Bollasina, 2010; D’Errico et al., 2015). Also, In this study, we have changed this sentence to “**Because of the strong ability to absorb and scatter solar radiation, dust can heat up the air in the mid-to-upper troposphere (Lau et al., 2006; Lau and Kim, 2006; Nigam and Bollasina, 2010; D’Errico et al., 2015), which provides cyclonic conditions in the lower layer and induces dry convection over the TP (Ma et al., 2011; Xu et al., 2018).**” in page 4 line 98-102.

D’Errico, M., Cagnazzo, C., Fogli, P. G., Lau, K. M., von Hardenberg, J., Fierli, F. and Cherchi, A.: Indian monsoon and the elevated-heat-pump mechanism in a coupled aerosol-climate model, *J. Geophys. Res. Atmos.*, 120, 8712–8723, doi:10.1002/2015JD023346, 2015.

- Lau, K. M., Kim, M. K., and Kim, K. M.: Asian summer monsoon anomalies induced by aerosol direct forcing: the role of the Tibetan Plateau, *Clim. Dyn.*, 26, 855–864, 2006.
- Lau, K. M. and Kim, M. K.: Observational relationships between aerosol and Asian monsoon rainfall, and circulation, *Geophys. Res. Lett.*, 33, L21810, doi:10.1029/2006GL027546, 2006.
- Ma, Y., Zhong, L., Wang, B., Ma, W., Chen, X., and Li, M.: Determination of land surface heat fluxes over heterogeneous landscape of the Tibetan Plateau by using the MODIS and in situ data, *Atmos. Chem. Phys.*, 11, 10461–10469, <https://doi.org/10.5194/acp-11-10461-2011>, 2011.
- Nigam, S., and Bollasina, M.: “Elevated heat pump” hypothesis for the aerosol-monsoon hydroclimate link: “Grounded” in observations?, *J. Geophys. Res.*, 115, D16201, doi:10.1029/2009JD013800, 2010.
- Xu, C., Ma, Y., Yang, K., and You, C.: Tibetan Plateau Impacts on Global Dust Transport in the Upper Troposphere, *J. Climate*, 31, 4745–4756, 2018.

- **5. Caption of Fig. 1. It should be climate mean spatial distribution.**

Response: Thank you so much for your suggestions. We have changed the caption to “**Figure 1. Spatial distribution of annual mean (a) dust emission ($\mu\text{g}/\text{m}^2/\text{s}$) and (b) elevation (m) simulated in WRF-Chem for the period of 2010–2015.**” in page 33 line 966-967.

- **6. Section 4. Evaluation of AOD and DOD simulated by WRF-Chem. “Between 6–9 km and 9–12 km, the modeled DOD are higher than CALIPSO. The reason would be that the CALIPSO nighttime retrievals in cloud-free condition are used, which have higher accuracy than daytime observations (Winker et al., 2009). Besides, the model results are averaged in all day.” This explanation for model bias seems to be questionable, is it possible related to circulation condition? How about the nighttime results for model? Also, it’s better to put CALIPSO results in the left panels of Fig. 3.**

Response: Thank you so much for your suggestions. We compare the model nighttime results against the CALIPSO nighttime retrievals. It shows that evident differences still exist between the model results and the CALIPSO retrievals. Therefore, we change the sentence to “**Between 6–9 km and 9–12 km, the modeled DOD are higher than CALIPSO, which is possibly induced by model biases, such as those related to circulation condition.**”

at page 12 line 312-314. Also, we have changed the Figure 3 (below).

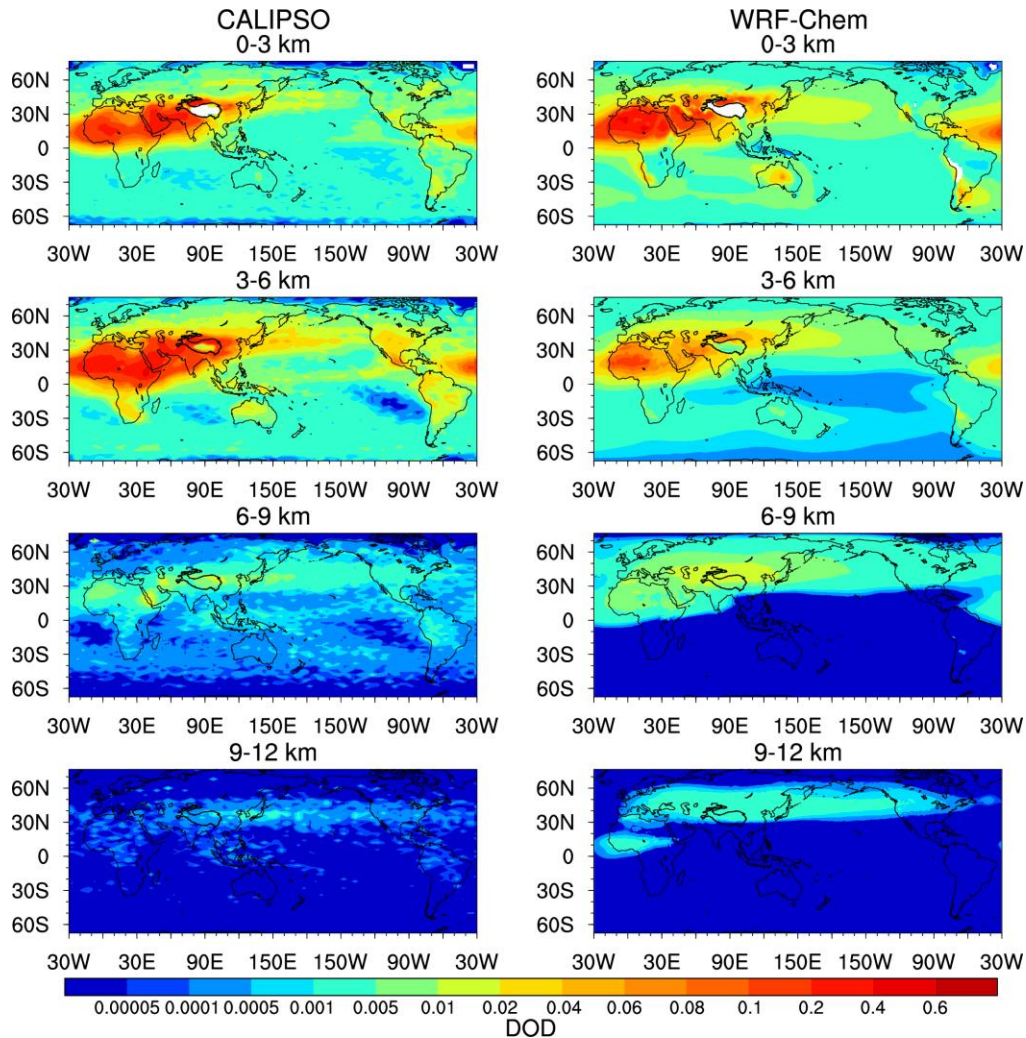


Figure 3. Spatial distributions of annual mean DOD from CALIPSO (left) and WRF-Chem (right) between 0–3 km, 3–6 km, 6–9 km, and 9–12 km for the period of 2010–2015.

- **7. The TP domain plotted in Fig. 4 is too large, with many surrounding plains included such as north Indian subcontinent and north Indo-China Peninsula.**

Response: Thank you so much for your suggestions. We have changed TP domain plotted in Figure 4. In order to better describe the dust transport over the TP, we extend the south fringe of the TP at 23° N in Figure 4, which is similar with that in Xu et al. (2018).

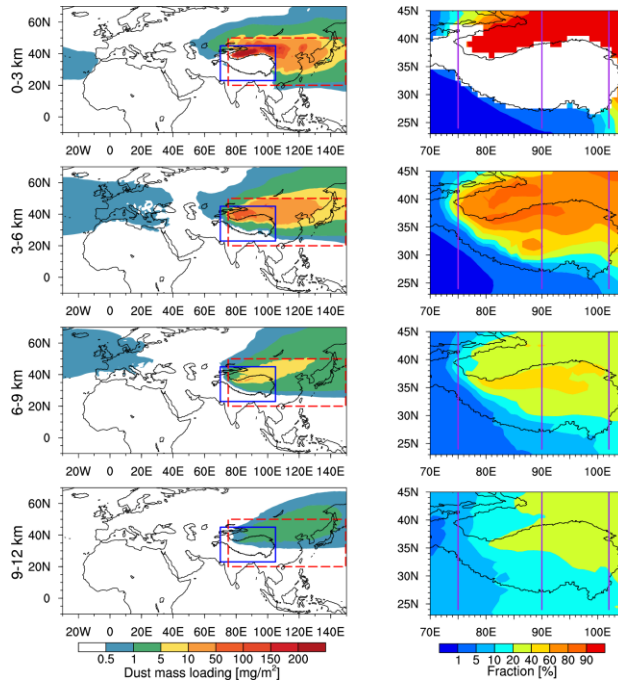


Figure 4a. Spatial distributions of (Left) annual mean dust mass loading (mg/m^2) emitted from East Asia between 0–3 km, 3–6 km, 6–9 km, and 9–12 km and (Right) the corresponding fractions compared with total dust mass loading over TP. The box in red dotted lines shows the dust source regions, while the box in blue solid lines shows the TP regions in this study. The purple solid lines are at 75°E , 90°E and 102°E for the dust height–latitude cross sections.

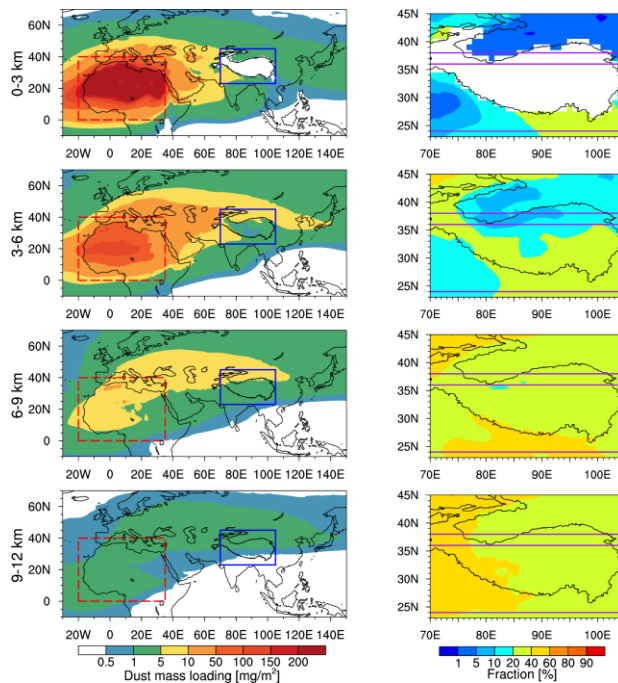


Figure 4b. Same as figure 4a, but for dust emitted from North Africa. The purple solid lines are at 24°N , 36°N and 38°N for the dust height–longitude cross sections.

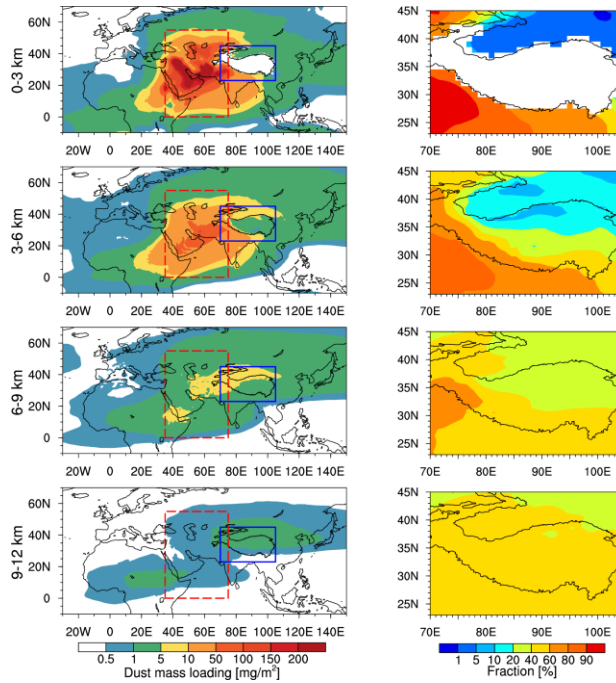


Figure 4c. Same as figure 4a, but for dust emitted from the Middle East.

- **8. Fig. 5.** *The annual mean horizontal and vertical wind field may neglect the remarkable seasonality of TP and adjacent monsoon regions. Particularly for the ascending at 3-6 km but descending above it in the south fringe of the TP.*

Response: Thank you so much for your suggestions. We have added the seasonal mean horizontal and vertical wind field in figure S3. The corresponding discussion is shown in page 14 line 373-378 as “**Also, the horizontal and vertical wind has significant seasonal variability (Fig. S3). In the 0-3 km, the maximum updrafts appear in JJA over major dust source regions, followed by MAM. Over the TP, the updrafts are clearly in JJA, while the downdrafts are dominated in DJF. In MAM and SON, the updrafts and downdrafts occur in a spatially uniform way. Additionally, the vertical wind is ascending at 3-6 km in the south fringe of the TP across all seasons, while the vertical wind is descending above 6 km in the DJF, MAM and SON.**”

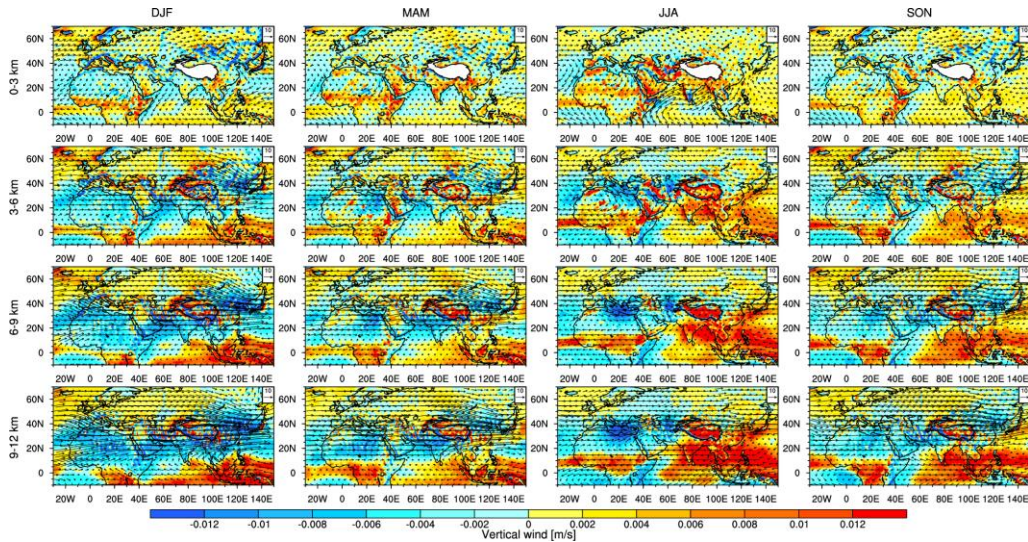


Figure S3. Seasonal mean wind field between 0–3 km, 3–6 km, 6–9 km, and 9–12 km from WRF-Chem simulations for the period of 2010–2015. The arrows indicate the horizontal wind (m/s). The colors indicate the vertical wind velocity (m/s) and positive values are for updrafts and negative values are for downdrafts.

- **9. Section 5.4 Dust radiative forcing over the TP. “the dust LW radiative forcing at TOA is waring with a positive value of 0.5 W/m²”. Waring is a typo.**

Response: Thank you so much for your suggestions. We have change it to “warming”.

- **10. Fig. 9. The average altitude of TP is 4 Km, how can get the results at 0-3 Km?**

Response: Thank you so much for your question. We have add the description in page 15-16 line 432-434 as: “It should be noted that the TP is defined as 27° N – 38° N and 75° S – 103° S (Fig. S4), which shows that some areas within the TP domain have altitude of smaller than 3 km.” So, we can calculate the dust mass flux at 0-3 km.

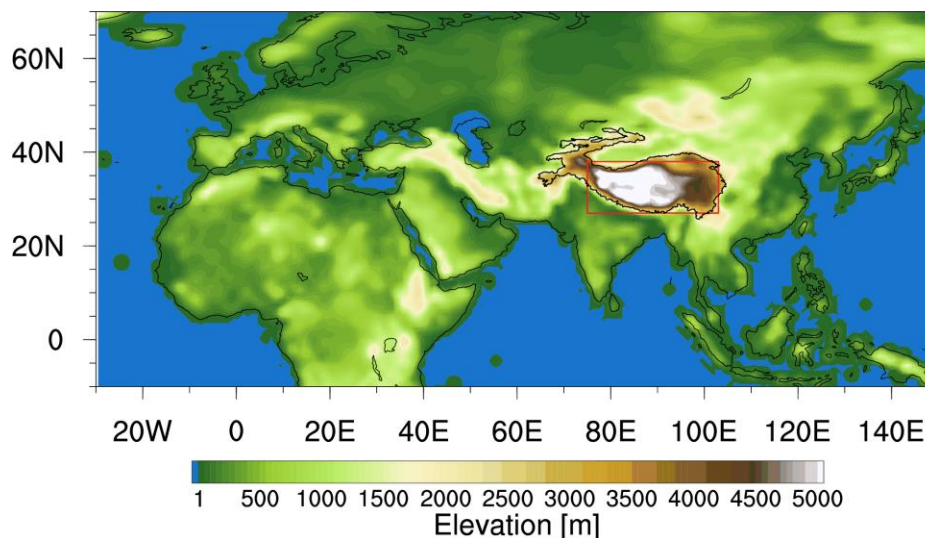


Figure S4. Spatial distribution of annual elevation (m) simulated in WRF-Chem for the period of 2010–2015.

- *11. Fig. 10 and 12 can be merged in one.*

Response: Thank you so much for your suggestions. We have merged them as Figure 10a and Figure 10b.

- *12. Section 6 Conclusions and Discussion. “the dust particle can reach upper-troposphere (above 8 km) and even stratosphere, which can provide a pathway for dust into the upper-troposphere (above 9 km).” This expression is strange and hard to follow.*

Response: Thank you so much for your suggestions. We have changed it to “**Under the effect of TP and strong Indian summer monsoon, the dust particles can reach the upper-troposphere (above 8 km) and even lower-stratosphere. This study proposes a pathway for dust transport into the upper-troposphere, and provides a climatological view about the intercontinental transport characteristics of dust from different source regions over the TP.**” in page 21-22 line 598-601.

- *13. Caption of Fig. 13. “Spatial distribution of seasonal mean: : :”. For which season the authors mentioned here.*

Response: Thank you so much for your suggestions. We have changed it to “**Figure 12.** Spatial distribution of annual mean SW, LW, and NET (SW + LW) direct radiative forcing (W/m^2) of dust at the TOA (top panels), in the atmosphere (ATM: middle panels) and at the surface (SFC: bottom panels) under all-sky conditions from the WRF-Chem simulation averaged for 2010–2015.”

Anonymous Referee #3

General comments:

- *This study provides long-term quasi-global simulations of dust aerosols and investigates the dust source contribution and transport over the Tibetan Plateau (TP). By a tracer-tagging technique, the dust emitted from different deserts are identified and the contributions of dusts from the global major deserts to the TP are quantitatively estimated. Furthermore, the dust radiative effects over the TP are also studied. The manuscript is well organized and written. Therefore, I recommend publishing it once the following comments are addressed.*

Response: We thank the reviewer for a detailed review. Both text and figures are revised as the reviewer suggested.

Specific comments:

- *1. The simulation is conducted with the CBMZ-MOSAIC scheme, please briefly introduce how the anthropogenic gas and aerosol emissions from the HTAPv2 are mapped to the CBMZ-MOSAIC species. Otherwise, it is difficult for readers to reproduce the experiment.*

Response: Thank you so much for your suggestions. We have add the briefly introduction of HTAPv2 in the Supplementary materials as “The HTAP_V2 dataset consists of CH₄, CO, SO₂, NO_x, NMVOC, NH₃, PM₁₀, PM_{2.5}, BC and OC. The anthropogenic gases are CH₄, CO, SO₂, NO_x, NMVOC and NH₃, and the aerosol particles are PM₁₀, PM_{2.5}, BC and OC. For the anthropogenic gases, the CH₄, CO, SO₂, NO_x and NH₃ can be mapped directly to the CBMZ-MOSAIC species. However, the NMVOC emissions are calculated for 23 VOCs species, using the VOCs breakdown that was generated by the TNO (boxlat1). Also, The RETRO ratios are applied to HTAPv2 total NMVOC emissions files for the five HTAPv2 sectors (Power, Industry, Transport, Residential, Agriculture), for which individual VOC can be calculated. More details on the HTAPv2 VOCs breakdown are available online at: <http://iek8wikis.iek.fz-juelich.de/HTAPWiki/WP1.1?highlight=%28%28WP1.1%29%29>. Moreover, the aerosol particles (include PM₁₀, PM_{2.5}, BC and OC) can be mapped directly to the MOSAIC species.”

- **2. Could you briefly explain the tracer-tagging technique used in the study without reader to refer to other reference.**

Response: Thank you so much for your suggestions. We have briefly explained the tracer-tagging in page 8-9 line 219-227 as: “The dust particles emitted from the four source regions are tagged and explicitly tracked using additional model variables within a single simulation in the model following Hu et al. (2016, 2019a, 2019b) and Mao et al. (2019). During the model simulation, the physical and advective tendencies of all tagged dust variable are treated in the same way. Therefore, the contribution of a dust source to the dust property in a receptor region is defined by the ratio of the dust property in the receptor region from the dust source to the dust property of all sources combined. This direct tagging technique neither introduces aerosol source perturbations to the model nor employs assumptions for aerosol sources/sinks along the transport pathways (Hu et al., 2019a; Mao et al., 2019).”

Hu, Z., Huang, J., Zhao, C., Bi, J., Jin, Q., Qian, Y., Leung, L. R., Feng, T., Chen, S., Ma, J.: Modeling the contributions of Northern Hemisphere dust sources to dust outflow from East Asia, *Atmos. Environ.*, 202, 234–243, 2019a.

Hu, Z., Huang, J., Zhao, C., Ma, Y., Jin, Q., Qian, Y., Leung, L. R., Bi, J., and Ma, J.: Trans-Pacific transport and evolution of aerosols: spatiotemporal characteristics and source contributions, *Atmos. Chem. Phys.*, 19, 12709–12730, <https://doi.org/10.5194/acp-19-12709-2019>, 2019b.

Mao, R., Z. Hu, C. Zhao, D. Gong, D. Guo, G. Wu: The source contributions to the dust over the Tibetan Plateau: A modelling analysis, in review, *Atmos. Environ.*, 2019.

- **3. How the dust radiative forcing is calculated in this study? Is it the double call of the radiative module or other method?**

Response: Thank you so much for your suggestions. In this study, the dust radiative forcing is calculated as double call of the radiative module following Zhao et al. (2013). More specifically, the calculation of aerosol radiative transfer is performed multiple times with the mass of one or more aerosol species (i.e., the mass of an individual or a group of aerosol species). After this diagnostic iteration procedure, the aerosol direct radiative forcing for an individual or a group of species are estimated by subtracting the optical

properties and direct radiative forcing in the diagnostic iterations from those in the standard procedure including all the aerosol species (Zhao et al., 2013). So, the dust radiative forcing can be calculated as:

$$\text{Forcing}_{[\text{dust}]} = \text{Forcing}_{[\text{all-aerosol}]} - \text{Forcing}_{[\text{without dust}]}$$

Zhao, C., Leung, L. R., Easter, R., Hand, J., and Avise, J.: Characterization of speciated aerosol direct radiative forcing over California, *J. Geophys. Res.*, 118, 2372–2388, doi:10.1029/2012JD018364, 2013a.

Modeling dust sources, transport, and radiative effects at different altitudes over the Tibetan Plateau

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Abstract

Mineral dust plays an important role in the climate of the Tibetan Plateau (TP) by modifying the radiation budget, cloud macro- and microphysics, precipitation, and snow albedo. Meanwhile, the TP with the highest topography in the world can affect intercontinental transport of dust plumes and induce typical distribution characteristics of dust at different altitudes. In this study, we conduct a quasi-global simulation to investigate the characteristics of dust source contribution and transport over the TP at different altitude by using a fully coupled meteorology-chemistry model (WRF-Chem) with a tracer-tagging technique. Generally, the simulation reasonably captures the spatial distribution of satellite retrieved dust aerosol optical depth (AOD) at different altitudes. Model results show that dust particles are emitted into atmosphere through updrafts over major desert regions, and then transported to the TP. The East Asian dust (mainly from Gobi and Taklamakan deserts) ~~is transported~~ transports southward and is lifted up to the TP, contributing a mass loading of 50 mg/m² at 3 km height and 5 mg/m² at 12 km height over the northern slope of the TP. Dust from North Africa and Middle East are concentrated over both northern and southern slopes below 6 km, where mass loadings range from 10 to 100 mg/m² and 1 to 10 mg/m² below 3 km and above 9 km, respectively. As the dust is transported to the north and over the TP, mass loadings are 5-10 mg/m² above 6 km.

The imported dust mass flux from East Asia to the TP is 7.9 Tg/year mostly occurring at the heights of 3–6 km. The North African and Middle East dust particles are transported eastward following the westerly jet, and then imported into the TP at West side with the dust mass flux of 7.8 and 26.6 Tg/year, respectively. The maximum mass flux of the North African dust mainly occurs in 0–3 km (3.9 Tg/year), while the Middle East within 6–9 km (12.3 Tg/year). The dust outflow occurs at East side (–17.89 Tg/year) and South side (–11.22 Tg/year) of the TP with a peak value (8.7 Tg/year) in 6–9 km. Moreover, the dust mass is within the size range of 1.25–5.0 μm and dust number is concentrated in the size range of 0.156–1.25 μm. Compared with other aerosols, the dust contributes more than 50% to the total AOD over the TP. The direct radiative forcing induced by the dust is –1.28 W/m² at the top of the atmosphere (cooling), 0.41 W/m² in the atmosphere (warming) and –1.68 W/m² at the surface (cooling). Our quantitative analyses of the dust contribution from different source regions and the associated radiative forcing can help better understand the role of dust on the climate over the TP and surrounding regions.

1 Introduction

Mineral dust, emitted from the deserts such as Sahara, Arabian, Gobi and Taklamakan, is a major contributor to the global aerosol burden (e.g., [Uno et al., 2009](#); Yu et al., 2012; Hu et al., 2016; [Chen et al., 2018](#); ~~Uno et al., 2009~~). Dust aerosols can be lifted into upper-troposphere by the strong frontal/postfrontal convection from major desert source regions, and then transported to downwind regions following westerlies (Zhao et al., 2006; Hu et al., 2019a, 2019b), with parts of the dust even being transported for one full circuit around the globe (Uno et al., 2009). During the intercontinental transport, dust has a significant effect on the regional and global climate system (Lau et al., 2006; Jin et al., 2015, Pu et al., 2018; [Chen et al., 2018](#)). For instance, previous studies showed that dust could change the atmospheric heating and affect atmospheric circulation patterns in the mid- and upper-troposphere (Lau et al. 2006; Lau and Kim 2006; Alizadeh-Choobari et al., 2014). Further, dust could spur anomalous water cycle feedback and alter the rainfall distribution over East Asia (Lau et al., 2016; Liu et al., 2011) and South Asia (Lau and Kim 2006; Kim et al., 2017; Jin et al., 2014, 2017). Dust particles were also found to change regional radiation budget (Huang et al., 2005, 2006; Zhao et al., 2014; [Bi et al., 2017](#)) by scattering and absorbing the solar radiation, and modify the properties of cloud (Li et al., 2017, 2018) by serving as cloud condensation nuclei and ice nuclei. Dust aerosols also exacerbate air quality (Chin et al., 2007; Hu et al., 2016) and reduce regional visibility (Watson, 2002). When deposited on snow/ice surface, dust could accelerate the snowmelt and glacier retreat, and change the hydrological cycle (Qian et al., 2009; Painter et al., 2010; Huang et al., 2011; Zhao et al., 2014); when deposited into rainforests and ocean, dust could provide nutrients to ecosystems and influence carbon cycle (Mahowald et al., 2008; Yu et al., 2015).

As the highest ~~_(about 4000 m) and largest~~ plateau ([averaged elevation about 4000 m](#)) in the world, the Tibetan Plateau (TP) has received extensive scientific attentions in the past decades due to its unique topographic characteristics (Ma et al., 2014; Yao et al., 2012). [The TP exerts profound influences on the climate system in the Northern Hemisphere by modulating the thermodynamics of the atmosphere \(Yeh et al., 1957; Ye and Wu et al., 1998; Wu et al., 2007\). Generally, the TP serves as a huge heat source in mid- and upper-troposphere that largely affects the Asian summer monsoon in summer, while in winter the TP as a giant wall across the Eurasian continent can block cold outbreaks from the north and confines the winter monsoon to the eastern and southern Asia \(Wu et al., 2012\). Recent studies have indicated that](#)

80 the climate of the TP is warming rapidly (e.g., Duan and Wu et al., 2008; Wang et al., 2008; Xu et al.,
2009; Kang et al., 2010) and the glacier retreat is accelerating (Yao et al., 2007). These changes over the
TP are primarily attributed to increasing greenhouse gases (e.g., Duan et al., 2006; Ren et al., 2006), but
other major factors could also contribute to these changes, such as absorbing aerosols (e.g., dust and
black carbon), which can heat atmosphere and reduce the snow albedo (Kang et al., 2000; Lau et al.,
85 2006, 2010; Xu et al., 2009). The intercontinental transport of dust aerosols originated from surrounding
major deserts, i.e., Gobi and Taklamakan deserts on the northern side and Sahara and Arabian deserts on
the western side, frequently attacks the TP (Huang et al., 2008; Liu et al., 2008) and greatly influences
the regional climate over the TP (Lau et al., 2006; Wu et al., 2007). Frequently, the TP is attacked by the
intercontinental transport dust (Huang et al., 2008; Liu et al., 2008) from surrounding major deserts, i.e.,
90 Gobi and Taklamakan deserts on the northern side and Sahara and Arabian deserts on the western side,
and the regional climate over the TP has been greatly influenced (Lau et al., 2006; Wu et al., 2007).
Meanwhile, the TP plays an important role in the dust global-scale transport through dynamical and
thermal forcing in the mid-latitude (Xu et al., 2018). When dust plumes arrive at the TP, they are split
into two branches and stack up around the slopes of the TP (Lau et al., 2006; Qin et al., 2016; Hu et al.,
95 2019a); then dust particles climb to the TP due to the topographic uplifting. Because of the strong ability
to absorb solar radiation, dust can heat up the air in the mid-to-upper troposphere by an “elevated heat
pump” (EHP) effect (Lau et al., 2006), which provides cyclonic conditions in the lower layer and induces
dry convection over the TP (Lau et al., 2006; Ma et al., 2011; Xu et al., 2018). Because of the strong
ability to absorb and scatter solar radiation, dust can heat up the air in the mid-to-upper troposphere (Lau
100 et al., 2006; Lau and Kim, 2006; Nigam and Bollasina, 2010; D’Errico et al., 2015), which provides
cyclonic conditions in the lower layer and induces dry convection over the TP (Ma et al., 2011; Xu et al.,
2018). Under these conditions, dust particles can be more easily elevated into upper-troposphere and
lower stratosphere, and then continue the long-range transport with a relatively longer lifetime in upper
troposphere and lower stratosphere (Huang et al., 2008; Xu et al., 2018). Also, because of the rising of
105 hot air induced by absorbing aerosol (e.g., dust, black carbon) over the TP, more warm and moist air is
draw in Indian sub-continent, leading to an earlier onset of the South Asia summer monsoon and an
intensification of the summer rainfall over the Indian subcontinent (Lau et al., 2006).

Although the long-range transport dust aerosols play an essential role in a rapid climate change over
the TP, the characteristics of dust vertical distribution are not well studied, which are crucial to

110 understanding the dust intercontinental transport (Bourgeois et al. 2015, Xu et al., 2018). Using the
Cloud-Aerosol Lidar and Infrared Pathfinder Satellite Observation (CALIPSO) retrievals, Xu et al. (2018)
shown that the dust particles were uplifted to the mid-tropospheric over the source region, and then
transported eastward. When reached the TP, they were uplifted to the upper-troposphere with dust mass
flux of about 10^{10} g by dry and deep convections, which were prevailing in spring. Chen et al. (2013)
115 addressed a dust storm occurred in Taklimakan Desert during 26–30 July 2007. They pointed out that a
value of 6.6 Gg/day mass flux was brought into the TP and -5.58 , 1.61 , and -3.97 W/m² of dust radiative
forcing were produced at the surface, in the atmosphere and at the top of the atmosphere, respectively.
However, we are not aware of studies on the dust source contributions at different altitudes over the TP.

In generally, many studies focused on dust events over the TP (Uno et al., 2004; Huang et al., 2007,
120 2008; Yumimoto et al., 2009, 2010; Chen et al., 2013). They showed that the dust could made an
important impact on aerosol mass loading through all year with the largest efficiency in spring and
summer (Uno et al., 2004; Huang et al., 2007, 2008; Chen et al., 2013). For example, Middleton (1986)
showed that the dust coupled with locally generated pollution aerosols from the Arabian Peninsula and
Indian sub-continent was transported and loaded into the TP over the southern slop. The strength of the
125 North African and Arabian Peninsula dust had significantly seasonal variability and it was observed to
peak in summer (Liu et al., 2008; Alizadeh-Choobari et al. 2014; Jin et al., 2016). Huang et al. (2007)
indicated that dust plumes originated from the nearby Taklimakan desert were lifted to a very high
altitude (7–10 km) over the northern slop. Chen et al. (2017) pointed that a dust storm occurred in 18–23
March 2010 brought dust aerosols from Taklimakan Desert dust (up to 0.8 ton/day) and Gobi Desert (up
130 to 3.7 ton/day) into the TP. So far, however, few studies described the dust plumes transported into the
TP on a climatological view. Moreover, although the dust global-scale transport has been studied using
both observations and model simulations, the spatiotemporal characteristics of dust particle size and
number and their associated climatic impact are poorly understood (Zhao et al., 2013). The dust number
could be used to determine the cloud condensation nuclei and/or ice nuclei (e.g., Liu and Penner, 2005;
135 DeMott et al., 2010) and dust particle deposition (Zhao et al., 2013). Consequently, this could affect the
precipitation through modifying the cloud formation and distribution over the TP (Huang et al., 2014; Li
et al., 2016), and further change the hydrological cycle and influence the lives of people in South and
East Asia (Xu et al., 2009; Yao et al., 2012). ~~Moreover, although the dust global scale transport has been
observed or simulated, the spatiotemporal characteristics of dust particle size and number are poorly~~

140 understood, which could affect the precipitation through modifying the cloud formation and distribution
over the TP (Huang et al., 2014; Li et al., 2016), further changing the ice clouds and hydrological cycle
to influence the lives of people in South and East Asia (Xu et al., 2009; Yao et al., 2012).

In this study, we implement a newly developed tracer-tagging method in Weather Research and
Forecasting model with chemistry (WRF-Chem) to characterize the intercontinental transports of dust
145 emitted from different major desert sources and quantify their contributions to the total dust mass loading
over the TP (Wang et al., 2014; Hu et al., 2016, 2019a). A 6-year (2010-2015) quasi-global simulation is
conducted in the updated version of WRF-Chem. Hu et al. (2016, 2019a, 2019b) has shown that model
results could well represent the dust intercontinental transport over East Asia and the Pacific Ocean
compared with multiple observations. Here, our purposes are to (1) explore the transport characteristics
150 of dust particles from different major deserts at different altitudes over the TP; (2) describe the dust
particle size distributions at different altitudes over the TP; (3) analysis the dust radiative forcing over
the TP; (4) compare dust mass and number concentrations with other aerosols at different altitudes over
the TP.

The model setup and satellite retrievals will be described in Section 2 and Section 3, respectively.
155 In Section 4, we will evaluate the WRF-Chem simulated dust aerosol optical depth (AOD) at different
altitudes over the TP against satellite observations. In Section 5, the main results will be presented,
including the transport characteristics of dust from different source regions, the contribution of different
major deserts to the dust mass and number at different altitudes over the TP, the dust mass flux import
into and outflow from the TP and the dust radiative forcing. The conclusion is summarized in Section 6.

160 **2 Model**

2.1 WRF-Chem

In this study, the WRF-Chem model is updated at the University of Science and Technology of
China (USTC) based on v3.5.1 (Zhao et al., 2013b, 2014; Hu et al., 2016), particularly, including the
capability of quasi-global simulation and tracer source tagging (Hu et al., 2019a, 2019b). It incorporates
165 Model for Simulation Aerosol Interactions and Chemistry (MOSAIC) aerosol scheme (Zaveri et al., 2008)
and the Carbon Bond Mechanism (CBM-Z) photochemical mechanism (Zaveri and Peters, 1999) for
simulating the complex photolysis rates and aerosol radiative properties (Fast et al., 2006). Eight size

bins are used in the MOSAIC aerosol scheme to describe the aerosol size distributions by using the bin approach (Fast et al. 2006), which could better represent the spatial distribution of dust number and dust size loading than 3-mode and 4-bin approaches (Zhao et al., 2013b). Meanwhile, all major aerosol components including mineral dust, sulfate (SO_4^{2-}), ammonium (NH_4^+), nitrate (NO_3^-), organic matter (OM), black carbon (BC) and sea-salt are simulated in the model to represent the sophisticated chemistry during the long-range transport. The aerosol physical and chemical processes in MOSAIC include coagulation, condensation, nucleation, water uptake, and aqueous phase chemistry. The approach of aerosol dry deposition including gravitational settling and turbulent diffusion follows Binkowski and Shankar (1995). Wet removal of aerosols induced by grid-precipitation and resolved stratiform clouds is simulated by the approach of Easter et al. (2004) and Chapman et al. (2009). The function of wavelength is used for aerosols optical properties of each model grid box. The aerosol longwave (LW) and shortwave (SW) refractive indices use the Optical Properties of Aerosols and Clouds (OPAC) data set, except the dust SW refractive index, which is defined as $1.53+0.003i$ following Zhao et al. (2010, 2011). Zhao et al. (2013a) implemented the aerosol radiative feedback for LW and SW radiation into WRF-Chem, which had been coupled with the Rapid Radiative Transfer Model (RRTMG) (Mlawer et al., 1997; Iacono et al., 2000).

2.2 dust emission

The dust emissions are simulated by the Goddard Chemistry Aerosol Radiation and Transport (GOCART) model (Ginoux et al., 2001), which has been fully coupled with the MOSAIC in WRF-Chem (Zhao et al., 2010). Zhao et al. (2010) and Hu et al. (2016, 2019a, 2019b) pointed that the model could well represent the dust emission distributions and long-range transport processes over the Northern Hemisphere. In GOCART, the dust emission flux G is calculated as:

$$G = CS_s u_{10m}^2 (u_{10m} - u_t),$$

where C ($\mu\text{g s}^2 \text{m}^{-5}$) is defined as a dimensionless empirical proportionality constant with the value of $1.0 \mu\text{g s}^2 \text{m}^{-5}$ provided by Ginoux et al. (2001), S is soil erodibility calculated by a potential dust source function, s_p is a fraction of mass of each size bin of dust emissions, u_{10m}^2 (m/s) is the horizontal wind speed at 10 m above the surface, and u_t is the wind speed threshold under which dust emissions do not occur and is a function of surface moisture, air density, and particle size. To match the particle size distribution in the aerosol scheme, the dust mass fractions are set to 45%, 26%, 6%, 1.5%, 0.2%, 0.02%,

10⁻⁴%, and 10⁻⁶%, respectively. The dust emissions within the research area are indicated in Figure 1a.

Clearly, dust emission from the TP is much smaller than that of the Gobi and Taklamakan deserts, so the East Asian dust defined here is mainly contributed by that emitted from Gobi and Taklamakan deserts.

200 2.3 other emissions

The Hemispheric Transport of Air Pollution version-2 (HTAPv2) is used in the simulations as anthropogenic emissions with a monthly temporal resolution and 0.1° × 0.1° horizontal resolution (Janssens-Maenhout et al., 2015). The Fire INventory from NCAR (FINN) supplies biomass burning emissions with 1 km horizontal resolution and hourly temporal resolution (Wiedinmyer et al. 2011), and the vertically distribution is followed the injection heights suggested by Dentener et al. (2006). The Sea-salt emission is obtained from Jaeglé et al. (2011) depended on sea surface temperature and Gong et al. (2003) based on the correction of particles with radius less than 0.2 μm.

2.4 Numerical experiments

Following Hu et al. (2016), a quasi-global WRF-Chem simulation is performed at 1° horizontal resolution with 360 × 145 grid cells (180° W–180° E, 67.5° S–77.5° N) and 35 vertical layers up to 50 hPa over the period of 2010–2015 (Figure 1b). The lateral meridional boundary conditions and meteorological initial are obtained from the National Center for Environmental Prediction final analysis (NCEP/FNL) data at 6 h temporal and 1° horizontal resolution. In order to better modeling aerosol long-range transport, the nudged method is used to provide a more realistic atmospheric temperature and wind components (Stauffer and Seaman, 1990). In addition, a tracer-tagging method is used to tag and explicitly track dust particles during the intercontinental transport. In the model, four dust source regions are selected, i.e., East Asia (25° N – 50° N and 75° E – 150° E), North Africa (0° N – 40° N and 20° W – 35° E), North America (15° N – 50° N and 80° W – 140° W), and the elsewhere in the world (dominated by the Middle East in the Northern Hemisphere, 0 – 50° N and 35° E – 75° E). The dust particles emitted from the four source regions are tagged and explicitly tracked using additional model variables within a single simulation in the model following Hu et al. (2016, 2019a, 2019b) and Mao et al. (2019). During the model simulation, the physical and advective tendencies of all tagged dust variable are treated in the same way. Therefore, the contribution of a dust source to the dust property in a receptor region is defined by the ratio of the dust property in the receptor region from the dust source to the dust property of all

225 sources combined. This direct tagging technique neither introduces aerosol source perturbations to the
model nor employs assumptions for aerosol sources/sinks along the transport pathways (Hu et al., 2019a;
Mao et al., 2019). The dust particles emitted from independent regions are tagged and explicitly tracked
in the model following Hu et al. (2016, 2019) and Mao et al. (2019), respectively. Also, the physical and
advective tendencies of all tagged dust variable are treated in the same way. Zhao et al. (2013b) pointed
230 that the updated model could better simulate the distribution of aerosol size and number and gave more
detailed information about the horizontal and vertical distribution of aerosols and the aerosol
intercontinental transport process. More detailed information on numerical experiment, included physical
and chemical schemes, can be found in Hu et al. (2016).

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3 Satellite retrievals

235 3.1 MODIS

The AOD datasets obtained from Moderate Resolution Imaging Spectroradiometer (MODIS)
instrument onboard the NASA EOS Terra satellite is used to evaluate model simulations. It provides
datasets with daily and $1^\circ \times 1^\circ$ resolution for a period of 2010–2015. In order to qualify better presumably
AOD, the “dark target” algorithm is used to retrieve aerosol size parameters and AOD over vegetated
240 and waters lands (Kaufman et al., 1997; Remer et al., 2005), and the “deep blue” algorithm is used to
retrieve the AOD over vegetated land and bright targets (Hsu et al., 2006, 2013). The uncertainties in the
merged AOD (at 550 nm) from MODIS collection 6 are about 0.11 over global and 0.069 over the North-
East Asia (Sayer et al., 2014) when compared with AErosol RObotic NETwork (AERONET)
observations. In this study, the “dark target” AOD over ocean and “deep blue” AOD over land are merged
245 in MODIS collection 6.

3.2 MISR

The AOD from Multi-angle Imaging SpectroRadiometer (MISR) aerosol Level 2 version 23 is
also used. MISR instrument onboard the Terra spacecraft is designed to view the Earth using nine separate
cameras. Four spectral bands (centered at 446, 558, 672 and 866 nm) are measured along the orbital track
250 angles with the ranging from $\pm 70.5^\circ$ (Diner et al., 1998). They can provide well retrieval AOD daily
products (Level 2) with a spatial resolution of $0.5^\circ \times 0.5^\circ$, especially over highly reflective surfaces such

as deserts (Martonchik et al., 2002). The uncertainties in MISR AOD (version 23) is about 0.049, which is more realistic than 0.003 uncertainty in version 22 products (Witek et al., 2017). Here, we compare the MISR AOD at 550 nm from Level 2 with the model results. It is noticed that the model results are sampled in the same time of MISR overpass.

3.3 CALIPSO

The aerosol extinction profiles retrieved by the Cloud-Aerosol Lidar with Orthogonal Polarization (CALIOP) are used in this study. It was launched into a Sun-synchronous orbit onboard CALIPSO satellite on 28 April 2006. The vertical profiles of attenuated backscatter can be acquired during both night and day phase (Winker et al., 2007). The CALIPSO Level-3 profile products, which are retrieved from Level-2 aerosol profile datasets through a quality-screened, are used to evaluate the model. For the CALIPSO aerosol extinction retrievals, the uncertainties are mainly determined by the lidar ratio and the misclassification of aerosol type (Winker et al., 2009). Yu et al. (2010) reported that the fraction uncertainty of the lidar ratio is about 30%, which could result in an AOD fraction uncertainty of about 50% (for the AOD is about 0.5). Moreover, the dust extinction at 532 nm with the vertical resolution of 60 m (from -0.5 to 12 km) and the spatial resolution of $5^\circ \times 2^\circ$ (longitude \times latitude) is used. In this study, the CALIOP nighttime observations are used to compared with the dust extinction profiles of model in cloud-free condition, because the CALIOP are influenced by less noises during nighttime than daytime (Winker et al., 2010).

4 Evaluation of AOD and dust AOD simulated by WRF-Chem

Figure 2 illustrates the spatial distributions of the observed (MODIS and MISR onboard Terra) and modeled (WRF-Chem simulation) seasonal AOD at 550 nm for the period of 2010–2015. The simulated AOD is interpolated at 550 nm by using the Ångström exponent from 400 nm and 600 nm results due to the wavelength discrepancy between simulations and observation. Also, we extract and average the simulated AOD at 10:30 LT (local time) to match the MISR and MODIS retrievals. Because of the missing values of satellite retrievals, there are blank areas over the ocean, which are relatively larger in JJA (Hu et al., 2016). The MODIS retrievals show a higher AOD over anthropogenic polluted regions (i.e., Eastern China and Southern India) and the major desert regions (i.e., Sahara, Arabian, Gobi and

Taklamakan desert) than the MISR retrievals and model simulations. This is partly because the MODIS
280 retrievals overestimate the AOD magnitude in semi-arid regions due to the large uncertainties associated
with the assumed surface reflectance (Remer et al., 2005; Levy et al., 2013). Relatively, the MISR
characterizes a better land surface reflectance and retrieves better quality presumably. Moreover, the
simulation overestimated the AOD over the North African deserts and the northern Pacific with the peak
value (about 0.16) in spring (MAM) (Fig. S1). Over the TP, the simulation AOD is smaller than that of
285 MISR and MODIS, and the difference is about -0.08. Overall, the WRF-Chem simulated mean AOD
shows consistent spatial pattern when compared with MISR and MODIS retrievals. The spatial
correlation coefficients of AOD are about 0.65 and 0.79 for MODIS and MISR, respectively. The
seasonal variabilities of spatial correlation coefficients between the simulation and MODIS (MISR) are
0.55 (0.62), 0.63 (0.66), 0.63 (0.68), and 0.67 (0.75) for winter (DJF), MAM, JJA, and autumn (SON),
290 respectively. Over the dust source regions, the simulated results are closer to the MISR retrievals with
the largest AOD (more than 0.6) with the correlation coefficient of about 0.87. The trans-Pacific transport
of East Asian aerosols and trans-Atlantic transport of Saharan dust are also well simulated, which is
consistent with the studies of Hu et al. (2016) and Yu et al. (2010, 2012). The model also captures the
seasonal variabilities of AOD over the major desert regions shown in the satellite retrievals. The
295 maximum AOD over North Africa and Middle East appears in JJA, while that over East Asia is in MAM.

Globally, the dust emissions often occur over source regions with the terrain elevation generally
below 3 km and then they can be lifted into upper-troposphere (Yu et al., 2008). By using the CALIPSO
retrievals, Xu et al. (2018) studied the dust transport at different altitude and found that the TP had an
important impact on global dust long-range transport on the upper-troposphere. In order to evaluate the
300 model results, we compare the spatial distribution of annual dust AOD from WRF-Chem between 0–3
km, 3–6 km, 6–9 km, and 9–12 km with the CALIPSO results (Figure 3). It shows that the model
simulations reasonably reproduce the spatial distributions of dust AOD at different altitudes with
correlation coefficients of 0.71 (0–3 km), 0.86 (3–6 km), 0.49 (6–9 km), and 0.32 (9–12 km), respectively.
The maximum dust AOD appears around the dust sources between 0–3 km with a peak value of 0.6, in
305 which the overestimate of simulation (more than 0.06) is over the North African deserts and the
underestimate (less than -0.06) is over Middle East and India (Fig. S2). Between 3–6 km, the difference
have similar spatial distributions in 0–3 km. The positive difference is about 0.02 over the North African
deserts, and the negative difference is about -0.02 over southern Arabian Peninsula, Somali Peninsula

and the northern slope of the TP (Fig. S2). Between 6–9 km and 9–12 km, the modeled ~~dust~~ AOD are
310 higher than CALIPSO with the positive difference of 0.001~0.01 (Fig. S2). ~~The reason would be that the
CALIPSO nighttime retrievals in cloud-free condition are used, which have higher accuracy than daytime
observations (Winker et al., 2009). Besides, the model results are averaged in all-day. Between 6–9 km
and 9–12 km, the modeled DOD are higher than CALIPSO, which is possibly induced by model biases,
such as those related to circulation condition.~~

315 5 Result

5.1 Characteristics of dust transport from different source regions

The TP is surrounded by several large deserts in the world. On the northern side, the major deserts
of Taklimakan and Gobi emit mineral dust particles that can be transported into the TP and elevated to a
very high altitude, i.e., approximately 4–7 km (Huang et al., 2007) in most years and up to 12 km during
320 some extreme conditions (Liu et al., 2008). Also, the dust particles from deserts on the western side (i.e.,
Thar desert, Middle East desert) could be brought into the TP by the westerlies (Jin et al., 2016; Lau et
al., 2016). Hu et al. (2019a) has pointed out that the North African dust mixes with the Middle East dust
before importing into East Asia. In order to better describe the impact of dust from different sources over
the TP, the horizontal spatial distributions of annual mean dust mass loading emitted from East Asia
325 (Figure 4a), North Africa (Figure 4b) and Middle East (Figure 4c) are illustrated. Clearly, The East Asian
dust dominates the northern slope of the TP and even extends to the southern TP. Meanwhile, the dust
mass loading decreases with altitude. In 0–3 km, the maximum dust mass loading occurs over the source
regions with the value more than 200 mg/m². In 3–6 km, dust can climb up to the TP and even reach the
southern edge of the TP. Over the northern slope, the dust mass loading is about 50 and 10 mg/m² in 3–6
330 km and 6–9 km, respectively. In 9–12 km, the dust (5 mg/m²) appears over the TP due to the effect of
the TP orography. Compared with other sources, the East Asian desert contributes more than 90% in 0–
3 km over the northern slope of the TP. In 3–6 km, the contribution from East Asian dust is dominated the
north of 35° N with the contribution of about 80%. However, the contribution decreases to 40% above 6
km because of the mixing of dust particles from North Africa and Middle East (Figure 4b and 4c). It
335 worth noting that there are significant dust plumes over the TP (Figure 3), especially the northeast region.
This dust transport can be attribute to the effect of the TP, which could provide more favorably condition

(i.e., cyclonic and dry convection) to make dust uplift into upper-troposphere (Xu et al., 2018).

The North African dust can be transport westward across Atlantic Ocean by African Easterly Waves, which is the major global dust long-range transport, and has been addressed by many studied (e.g., Liu et al., 2008; Su and Toon, 2011; Nowottnick et al. 2011; Ben-Ami et al., 2012; Yu et al., 2013). Beyond that, the North African dust could transport into Europe (Park et al., 2005; Lee et al., 2010) and Middle East (Hu et al., 2019a). Hu et al. (2019a) pointed that the North African dust mixed with the Middle East dust could be transported eastward and imported into East Asia. During the eastward transport, the TP stands on the way and plays an important role to affect the dust transport and vertical distribution over the TP. Clearly, the TP can affect the dust intercontinental transport and split it into two branches below 6 km (Figure 4b). When above 6 km, the major transport dust appears over the northern branch and this can be attributed to the easterlies northward shift (Yu et al., 2008). Meanwhile, more wet deposition induced by Indian summer monsoon rainfall over the southern branch can reduce the dust transport. The North African dust brought 10 mg/m² mass loading over the southern slop below 3 km, but the dust can reach the northern slop with the value of 10 mg/m² in 6–9 km. Compared with the East Asia, North Africa dominates the contribution above 6 km with the value of 40%.

Similar to the North African dust eastward transport, the Middle East dust can also be transported into East Asia (Hu et al., 2019a). Over the TP, the dust mass loading is about 50 mg/m² in 3–6 km, which are concentrated in both southern and northern slops (Figure 4c). In the higher altitude, the dust can overpass the TP with 10 mg/m² in 6–9 km and 5 mg/m² in 9–12 km. Also, the Middle East dust is transported over the 30° N to north and this is similar with the North African dust above the 6 km. Different with North Africa and East Asia, the contribution from Middle East dust is concentered over the southern slop of the TP, and the value decreases with the altitude. Below 3 km, the maximum contribution value occurs over the source region (more than 90%). In 3–6 km, the contribution is about 80% over the southern slop, and the value reduces to 60% in 6–12 km.

Figure 5 illustrates the annual mean wind field in the horizontal (denoted by arrows) and vertical directions (indicated by the colors) at various altitudes from WRF-Chem simulations for the period of 2010–2015. The positive (negative) value of vertical wind velocity is shown an updraft (downdraft). In 0–3 km, the updrafts appear over major dust source regions, i.e., Sahara desert, Arabian desert, Thar desert, and Gobi and Taklamakan desert. Because of the updrafts, dust particles emit into the atmosphere over the sources and then transport to downwind regions (Figure 4a, 4b, and 4c). In 3–6 km, a significant

updraft occurs around the TP, especially over the southern slop. Therefore, the dust from Middle East and North Africa accounts more fraction contribution than the northern slop. In 6–9 km and 9–12 km, the updraft wind over the TP reach 0.012 m/s, which is greater than the vertical wind velocity over the surrounding areas. The reason could be attributed to the dynamical (Huang et al., 2008, Liu et al., 2008) and thermal forcing due to the TP (Lau et al., 2006) on the atmospheric flows. Under these updraft conditions, the dust particles can be brought into upper-troposphere (~12 km), which is consistent with the results from CALISPO observation (Liu et al., 2008). Also, the horizontal and vertical wind has significant seasonal variability (Fig. S3). In the 0-3 km, the maximum updrafts appear in JJA over major dust source regions, followed by MAM. Over the TP, the updrafts are clearly in JJA, while the downdrafts are dominated in DJF. In MAM and SON, the updrafts and downdrafts occur in a spatially uniform way. Additionally, the vertical wind is ascending at 3-6 km in the south fringe of the TP across all seasons, while the vertical wind is descending above 6 km in the DJF, MAM and SON.

To describe the transport characteristics of dust from various deserts, we analyze the vertical cross-sections of meridional circulation and zonal dust mass concentration at 75° E, 90° E and 102° E, respectively (Figure 6). The three longitudes crossed the TP are donated by purple solid lines in figure 4a. Clearly, strong dust mass from East Asia and Middle East is accumulated over the northern and southern slop of the TP, then lifted up to the TP due to the orographic lifting. The East Asian dust lifts up to the TP from its northern slop, and the Middle East dust is major from the southern slop. Also, the strong northwesterly can transport the East Asian dust continuously eastward (Liu et al., 2015) and the dust mass concentration increases significantly from 75° E to 102° E. The Middle East dust imports into the TP along with the westerly wind, but the dust mass concentration decrease from 75° E to 102° E. The vertical-latitude cross-section of the modeled dust mass concentration centered at 90° E suggests that the dust particles can lift up to 9 km with the concentration value of 2 $\mu\text{g}/\text{m}^3$, especially for the Middle East dust (higher than 10 km). Different with the East Asian and Middle East dust, the North African dust has a peak mass concentration (~4 $\mu\text{g}/\text{m}^3$) in the 3–8 km (at 90° E) after imported into the TP.

Figure 7 shows the vertical-longitude cross-section at 24° N, 36° N and 38° N of zonal circulation and meridional dust mass concentration from the WRF-Chem simulation for the period of 2010–2015. These latitudes are same with Xu et al. (2018) (Figure 4b), which can well represent the dust zonal transport over the TP. The maximum East Asian dust mass concentration is located in the 80° E – 120° E, and the North African and Middle East dust are in 20° W – 40° E and 30° E – 80° E, respectively. These

distributions are consistent with their source regions. The dust emitted from East Asia lifts up to the TP at 38° N with the peak mass concentration of 40 $\mu\text{g}/\text{m}^3$, then arrives over the TP (at 36° N) with a value of 2–10 $\mu\text{g}/\text{m}^3$. After pass through the TP, there are very few dust particles over the southern slop of the TP. Because the dust from North Africa and Middle East is mainly concentrated over 30° N to north, the eastward transport of dust particles is not significantly. At the 36° N and 38° N, the dust eastward transport is larger with two peaks on both sides of 100° E. These peak values are attributed to the updraft wind on the western side and downdraft on the eastern side in the westerly circulation.

Overall, the East Asian dust is lifted up to the TP over the northern slop and the Middle East dust is over the southern slop (Figure 4a and 4b). However, the North African dust important into the TP in a higher altitude (Figure 5). Above 3 km, the westerly wind is effected by the TP, which results in that the major transport pathway of dust is over the north of 30° N (Figure 4a, 4b and 4c). In the higher altitude (above 6 km), the major contributor of dust over the TP is the Middle East dust with a value 60%. Obviously, the dust particles can be brought to 9 km under the TP orographic effect (Figure 5).

5.2 Dust mass flux inflowed into and outflowed from the TP

The annual dust mass flux imported into or outflowed from the boundaries of the TP at various altitudes is shown in Figure 8. In order to better describe the dust mass flux imported into or outflow from the TP, we use meridional wind to calculate the dust mass flux at West (75° E) and East (104° E) side with the latitude range of 27° N - 38° N, and use zonal wind to calculate the dust mass flux at North (38° N) and South side (27° N) with the longitude range of 75° E - 104° E, respectively. The annual dust mass imported into the TP from the West side is dominated by the Middle East dust (26.6 Tg/year), followed by the North African dust (7.8 Tg/year). The Middle East dust mass flux decreases from 12.3 Tg/year (0–3 km) to 1.2 Tg/year (9–12 km) with the altitude. The North African dust mass flux increases from the surface to 9 km with a peak value of 3.3 Tg/year. In 9–12 km, the North African dust mass flux (1.4 Tg/year) is greater than Middle East (1.2 Tg/year). At East side, the dust is mainly outflowed from the TP with a peak value (8.7 Tg/year) in 6–9 km. Impacted by East Asian dust, the dust mass flux at North side is dominated by the East Asian dust with a peak value (6.2 Tg/year) in 3–6 km, followed by 0–3 km (3.1 Tg/year). In 6–9 km, the North Africa contributes about 1.1 Tg/year, while the East Asia is -0.9 Tg/year (outflow) due to the westerly wind shift to north (Figure 5). In 9–12 km, the East Asian dust mass flux is -0.5 Tg/year. At South side, the dust is mainly outflow from the TP with the maximum value

from Middle East (-10.4 Tg/year) below 6 km. The Middle East (North Africa) mass flux is about -5.0 (-0.5) Tg/year in 0–3 km and -4.2 (-0.6) Tg/year in 3–6 km.

Although most case studies of dust aerosols have been focused on the late spring and early summer over the TP (Huang et al., 2008; Chen et al., 2013; Liu et al., 2015), seasonal variabilities of long-range transport dust at different altitude are not well understood. Figure 9 shows the model-based estimation of dust annual mass flux between 0–3 km, 3–6 km, 6–9 km and 9–12 km over the TP for the period of 2010–2015. It should be noted that the TP is defined as 27° N – 38° N and 75° S – 103° S (Fig. S4), which shows that some areas within the TP domain have altitude of smaller than 3 km. Clearly, the dust mainly imports into the TP below 6 km. In 0–3 km, the peak inflow and outflow are in JJA and MAM, with the flux of 7.2 Tg and 2.1 Tg, respectively. The weakest inflow (outflow) is in DJF, with a magnitude of 0.82 Tg (0.6 Tg) of the mass flux. In 3–6 km, the inflow and outflow is the greatest in MAM. The maximum value is 8.9 Tg and 4.4 Tg, respectively. Differently, the second greatest inflow is in JJA (5.6 Tg), but the outflow is in DJF (2.7 Tg). The minimum season is SON, with the mass flux of 2.0 Tg (inflow) and 1.5 Tg (outflow). In 6–9 km, the peak value is in MAM with a mass flux of 4.6 Tg (inflow) and 5.3 Tg (outflow), followed by DJF (2.2 Tg for inflow and 2.4 Tg for outflow). In 9–12 km, the peak value occurs in MAM with the inflow mass flux of 1.8 Tg and the outflow mass flux of 2.7 Tg, which accounts about 50% and 54% of the annual flux, respectively. Moreover, we can see that the outflow is greater than the inflow above 6 km while the inflow is greater than the outflow below 6 km especially in 9–12 km due to the effect of the TP topographic.

5.3 Characteristics of size distribution of dust over the TP

Dust size representation can significantly influence dust mass balance in the atmosphere and dust dry/wet deposition (Zhao et al., 2013b). In order to better understanding the dust particle characteristics over the TP, the vertical distribution of dust mass vs. dust particle size from 0.039 to $10.0 \mu\text{m}$ over the TP is analyzed in Figure 10a+0. Obviously, dust mass vertical distribution vs. dust size has significant seasonal and altitudinal variabilities. The East Asian dust is dominated in the size range of $1.25\text{--}10.0 \mu\text{m}$ above 1 km, in which the maximum dust mass concentration (up to $4 \mu\text{g}/\text{m}^3$) ranges in the size of $2.5\text{--}5.0 \mu\text{m}$ with a peak in MAM, followed by the JJA. This is consistent with previous studies that Taklimakan Desert has a peak emission rate of dust in MAM (i.e., Huang et al., 2008, 2013; Chen et al., 2013; Liu et al., 2015). The North African dust mass is mainly in the range of $1.25\text{--}5.0 \mu\text{m}$, which is smaller than that

455 of East Asia and Middle East. This is likely attributed to the larger particles scavenged easier by dry/wet
deposition during dust intercontinental transport, and fine particles can be more easily transported and
reside in the atmosphere. Also, the maximum dust mass concentration is centered at $2.5 \mu\text{m}$ and these
particles can reach about 9 km in the MAM, followed by the DJF. Similar with the East Asian dust, the
Middle East dust mass is concentrated in the size range of $1.25\text{--}10.0 \mu\text{m}$ below 3 km, in which the
460 maximum mass concentration ranges in the size of $1.25\text{--}5.0 \mu\text{m}$ with a peak (up to $10 \mu\text{g}/\text{m}^3$) in MAM,
followed by the JJA. Above 3 km, the Middle East dust has similar seasonal and vertical distribution in
different particle size to the East Asian dust, both of which can reach 12 km.

Beside dust mass and AOD, dust number is another critical factor to influence the ice nuclei
concentration number (e.g., Phillips et al., 2008; DeMott et al., 2010), and determines the distribution of
465 cloud, radiation, and precipitation (Zhao et al., 2013a). By comparing dust number loading from three
different approaches of representing dust size distribution (8-bin, 4-bin, and 3-mode), Zhao et al. (2013b)
pointed that 8-bin approach could better represent the dust number distribution in different size. In this
study, we use the MOSAIC aerosol scheme with 8-bin to simulate long-range transport of dust particles.

470 Figure 10b shows the vertical distribution of dust number vs. particle size from 0.039 to $10.0 \mu\text{m}$ over
the TP. It suggests that dust number has similar seasonal variability with the dust mass vs. dust particle
size. However, the size range is different for the dust from various sources over the TP. The East Asian
and North African dust number are dominated in the range of $0.156\text{--}1.25 \mu\text{m}$, but the Middle East dust
number is fell in a broader range of $0.078\text{--}2.5 \mu\text{m}$. Compared with the dust mass, dust number loading
over the TP is mainly in smaller particle size. This is likely due to easier suspension and long-range
475 transport of smaller dust particles (Chin et al., 2007). The East Asian dust number concentration over the
TP is mainly in 2–8 km with a peak ($\sim 0.4 \text{mm}^{-3}$) in MAM, and has the smaller dust number concentration
compared with other sources. While the North African and Middle East dust number concentration have
a broader vertical distribution, even reach to 12 km. For the Middle East dust number, there is a
significant peak value occurred (more than 1mm^{-3}) below 4 km, which is larger than other sources. This
480 result implies that the dust from Middle East could contribute more particles in the dust number, which
could influence the regional cloud and precipitation.

Figure 11 shows the spatial distribution of dust number loading between 0–3 km, 3–6 km, 6–9 km
and 9–12 km. Clearly, the dust number concentration reduces from west to east, but the gradient is smaller
than that of dust mass due to a faster removal rate of mass in general (Zhao et al., 2013b). Between 0–3

485 km, the greatest dust number appears over the source regions (i.e., Sahara desert, Arabian desert, Thar
desert, Gobi and Taklamakan desert) with a value of $0.08 \mu\text{m}^{-2}$. Between 3–6 km, dust number loading
reduces and a value of $\sim 0.008 \mu\text{m}^{-2}$ appears over the TP. The dust number loading increases ($\sim 0.02 \mu\text{m}^{-2}$)
due to the orographic effect, which is consistent with the dust AOD distribution in 6–9 km (Figure 3).
In 9–12 km, there is a peak in dust number over the north of the TP with a value of $\sim 0.008 \mu\text{m}^{-2}$.

490 ~~Figure 12 shows the vertical distribution of dust number vs. particle size from 0.039 to 10.0 μm over
the TP. It suggests that dust number has similar seasonal variability with the dust mass vs. dust particle
size. However, the size range is different for the dust from various sources over the TP. The East Asian
and North African dust number are dominated in the range of 0.156–1.25 μm , but the Middle East dust
number is fell in a broader range of 0.078–2.5 μm . Compared with the dust mass, dust number loading
over the TP is mainly in smaller particle size. This is likely due to easier suspension and long-range
transport of smaller dust particles (Chin et al., 2007). The East Asian dust number concentration over the
TP is mainly in 2–8 km with a peak ($\sim 0.4 \text{mm}^{-3}$) in MAM, and has the smaller dust number concentration
compared with other sources. While the North African and Middle East dust number concentration have
a broader vertical distribution, even reach to 12 km. For the Middle East dust number, there is a
500 significant peak value occurred (more than 1mm^{-3}) below 4 km, which is larger than other sources. This
result implies that the dust from Middle East could contribute more particles in the dust number, which
could influence the regional cloud and precipitation.~~

5.4 Dust radiative forcing over the TP

505 Figure ~~1243~~ shows the spatial distribution of annual mean SW, LW, and net (SW + LW) direct
radiative forcing of dust at the TOA, in the atmosphere (ATM) and at the surface (SFC) from the WRF-
Chem simulations averaged for 2010–2015. Over the TP, the dust SW radiative forcing is negative at
TOA with a value around -0.5W/m^2 , which is far less than the value over the desert regions due to the
high dust albedo and absorbing capability (Zhao et al., 2011). Because of dust LW absorption, the dust
LW radiative forcing at TOA is warming with a positive value of 0.5W/m^2 . Overall, the dust net radiative
510 forcing at TOA have a cooling effect. In the atmosphere, the dust warms the atmosphere through
absorbing SW and cools the atmosphere by increasing atmospheric outgoing LW radiation. Dust
produces a net warming effect with a value of 0.5W/m^2 over the TP. At the surface (SFC), the dust
induces a cooling effect by reducing downward SW radiation and a warming effect by trapping the LW

radiation emitted from surface. Moreover, the dust net radiative forcing is negative and cooling the
515 surface. The seasonal and annual mean SW, LW, and net radiative forcing of dust simulated by WRF-
Chem over the TP are summarized in Figure 14. The dust significantly cools the TOA and SFC, and
warms the atmosphere with a peak effect in MAM, because of larger dust mass concentration in this
season over the TP (Hu et al., 2019a). Also, the annual dust SW radiation forcing is -1.40 W/m^2 (TOA),
0.67 W/m^2 (ATM) and -2.08 W/m^2 (SFC), which is far larger than dust LW radiation forcing (0.13 W/m^2
520 (TOA), -0.26 W/m^2 (ATM) and 0.39 W/m^2 (SFC)). Overall, the dust-induced change of net radiation
forcing is -1.28 W/m^2 at the TOA, 0.41 W/m^2 in the ATM and -1.68 W/m^2 at the SFC over the TP. The
annual mean radiative effect of dust is less than the results from Chen et al. (2013), which investigated
the dust radiative forcing under an intense dust storm event.

5.5 Comparison between dust and other aerosols at different altitudes over the TP

525 Figure 1415 shows the vertical distribution of seasonal AOD, aerosol mass and aerosol number over
the TP. Significantly, AOD, aerosol mass and aerosol number decrease with the altitude. In 0–3 km, the
peak AOD (0.24) is in JJA, but the peak aerosol mass ($88 \mu\text{g/m}^3$) and number ($7.2 \mu\text{m}^{-3}$) are in MAM.
While, above 6 km, the effect of aerosols has a peak in MAM. Also, the aerosol mass and number have
a larger decrease from surface to 6 km. In the 6–12 km, the weaker decreasing indicates that dust and
530 sulfate particles have less decrease, which account for more than 50% in total aerosols (Figure 1546). In
the higher altitude (9–12 km), there is no obvious seasonal changes for the aerosols.

In order to explore the relative contribution of dust, the fraction from dust and other components is
shown in Figure 1546. Clearly, the fraction of dust AOD shows an increasing trend with altitude. The
dust AOD fraction has significant seasonal variabilities in different altitude with a peak in MAM. For
535 aerosol mass, the dust fraction is more than 50% in MAM (57%) and JJA (65%) due to the maximum
dust intercontinental into the TP in 0–3 km. Above 3 km, the dust mass contribution fraction is more than
55%. The maximum contribution value occurs in 6–9 km in all seasons, except JJA (occurred in 3–6 km).
However, the dust number contribution can be ignored. The major components for aerosol number are
sulfate, OM and other aerosols. The sulfate number contribution increases with the altitude, but the OM
540 decreases. In 0–3 km, the OM contributes the greatest number with the value more than 40%. In 3–9 km,
other aerosols contribute about 40% number. In 9–12 km, the sulfate contributes more than 45% number.

6 Conclusions and Discussion

In this study, we conduct a quasi-global WRF-Chem simulation and implement a newly developed tracer-tagging method, which divide the global deserts into four source regions and explicitly on-line track the emission, transport and deposition of the dust particles. Using this method, we investigate dust source, intercontinental transport and radiative forcing at the different altitudes over the TP for the period of 2010–2015. The main conclusions are summarized below:

1. The spatial and seasonal variability of AOD can be well capture by the model simulation. The observation and simulated results show that the maximum AOD appeared over North Africa, Middle East and Central Asia in JJA, but in MAM over East Asia. Also, the model has a reliable representation of spatial distribution of dust AOD at different altitudes compared with the CAIPSO retrievals. The maximum dust AOD appears around the dust sources with a peak value of 0.6.
2. Lifted by updrafts, dust particles are emitted into the atmosphere over the major desert regions, and then transport to downwind regions. The East Asian dust transports southward and climbs up to the TP over the northern slop with the mass loading from 50 (at 3 km) to 5 (at 12 km) mg/m^2 . The North African dust transports eastward under the westerly wind, mixes with the Middle East dust, and then imports into East Asia. However, the TP stands on the way and splits the dust plumes into two branches. The North African dust imports into the TP over the northern slop with the mass of 10 mg/m^2 below 6 km. While, the Middle East dust concentrates over the southern slop with the dust mass loading of $\sim 50 \text{ mg}/\text{m}^2$ below 6 km, and overpasses the TP with mass loading of 5–10 mg/m^2 above 6 km. Overall, the East Asia contributes more dust mass over the northern slop, and the Middle East contributes more dust mass over the southern slop. In the higher altitude (above 6 km) over the TP, the major contributor is Middle East with a value of 60%.
3. On an annual basis, the Middle East dust and North African dust mainly imports into the TP at the West side with the mass flux of 26.6 and 7.8 Tg/year, while the East Asian dust imports into the TP at North side with the mass flux of 8.0 Tg/year. The mass flux of different source dust outflow the TP from the East and South side is -5.4 (East Asia), -6.2 (North Africa), -6.4 (Middle East) Tg/year, and -0.2 (East Asia), -1.3 (North Africa), -9.7 (Middle East) Tg/year,

respectively. The greatest dust mass flux imported into and outflowed from the TP is in 3–6 km. Also, the imported and outflowed dust mass flux are mainly occurs in MAM. The peak values are 8.9 Tg (inflow) and 4.4 Tg (outflow) in 3–6 km, and 6.4 Tg (inflow) and 8.0 Tg (outflow) above 6 km.

- 575 4. The dust can be brought into 9 km under the effect of the TP orography. Inside, the East Asian dust mass is dominated in the size range of 1.25–10.0 μm in spring (MAM), followed by the JJA. While, the North African and Middle East dust mass are mainly in the range of 1.25–5.0 μm and the dust particles can reach to 12 km, but the Middle East dust take more mass fraction. For the dust number, the East Asian and North African dust are dominated in the range of
- 580 0.156–1.25 μm , but the Middle East dust number is fell in 0.078–2.5 μm . Relatively, the East Asian dust number over the TP is mainly in 2–8 km, while the North African and Middle East dust number are broader, even reaches to 12 km.
- 585 5. For the radiative forcing, dust produces the annual mean SW, LW and net (SW + LW) radiation forcing is -1.40 , 0.13 , and -1.27 W/m^2 at the TOA, 0.67 , -0.26 and 0.41 W/m^2 in the atmosphere, and -2.08 , 0.39 and -1.69 W/m^2 at the surface over the TP, respectively. For the aerosol mass, the dust contribution fraction more than 50% in MAM (57%) and JJA (65%) due to the maximum dust intercontinental into the TP in 0–3 km. Above 3 km, the dust mass contribution fraction more than 55% with a peak contribution in 6–9 km. However, there is smaller contribution for total aerosols dust number compared with sulfate, OM and other aerosol
- 590 particles in the column. For the aerosol optical characteristics, the fraction of dust AOD has an increasing trend with altitude, while the dust AOD is decreasing.

The WRF-Chem quasi-global simulation not only captures the spatial and seasonal variabilities of dust long-range transport but also tags the dust particle loading into the TP from different major deserts over North America, East Asia, North Africa and the elsewhere in the world (mainly for Middle East).

595 ~~Also, this study explores the dust particle size and number distribution over the TP.~~ The dust from Gobi and Taklimakan and desert transport southward and is lifted up to the TP from northern slop. While, dust from North Africa and Middle East transports eastward and then lifts up to the TP from both the northern and southern slops. Under the effect of TP and strong Indian summer monsoon, the dust particles can reach the upper-troposphere (above 8 km) and even lower-stratosphere. This study proposes a pathway for dust transport into the upper-troposphere, and provides a climatological view about the

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intercontinental transport characteristics of dust from different source regions over the TP. Under the effect of high TP topography, the dust particles can reach upper troposphere (above 8 km) and even stratosphere, which can provide a pathway for dust into the upper troposphere (above 9 km). This study provides a climatological view about the intercontinental transport characteristics of dust from different source regions over the TP. Also, this study explores the dust particle size and number distribution over the TP, and shows that the dust number has smaller contribution for total aerosols number compared with sulfate, OM and other anthropogenic aerosols in the column. Our results are important to understand the source contribution of the dust over TP and the dust belt formation over the Northern Hemisphere. However, the impact of dust aerosols on cloud properties and precipitation, and the diabatic heating of dust over the TP are not discussed, which will be investigated in future studies. However, the impact of dust aerosols on cloud properties and precipitation are not discussed, which is an important issue over the TP. Dust-cloud-precipitation interactions will be investigated in future.

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615 **Code availability**

The release version 3.5.1 of WRF-Chem can be download from http://www2.mmm.ucar.edu/wrf/users/download/get_source.html. The updated model is available to contact the first author (huzy@lzu.edu.cn). Also, the code modifications will be incorporated the release version of WRF-Chem.

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Author contributions

Zhiyuan Hu and Chun Zhao conducted the quasi-global simulations. Zhiyuan Hu performed the analyses, wrote the paper and coordinated the paper. All authors contributed to the final version of the paper.

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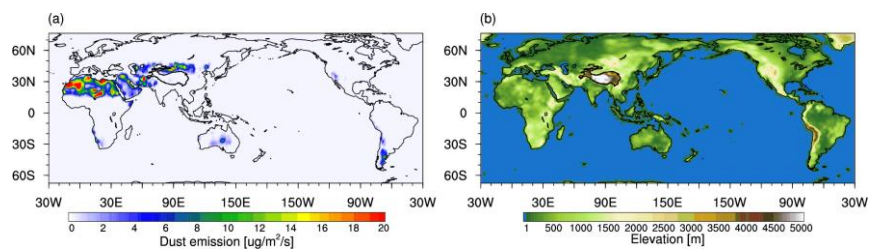


Figure 1. Spatial distribution of annual mean (a) dust emission ($\mu\text{g}/\text{m}^2/\text{s}$) and (b) elevation (m) simulated in WRF-Chem for the period of 2010–2015.

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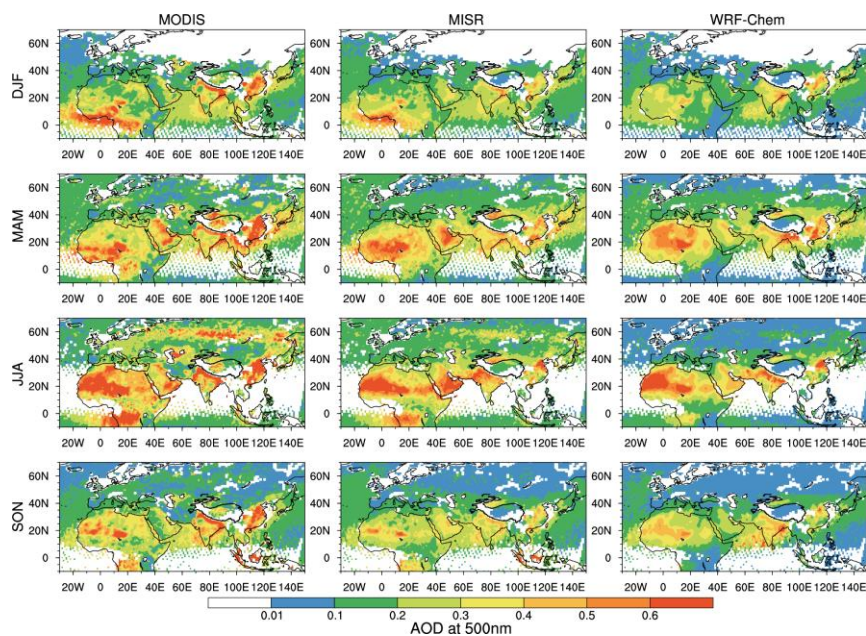
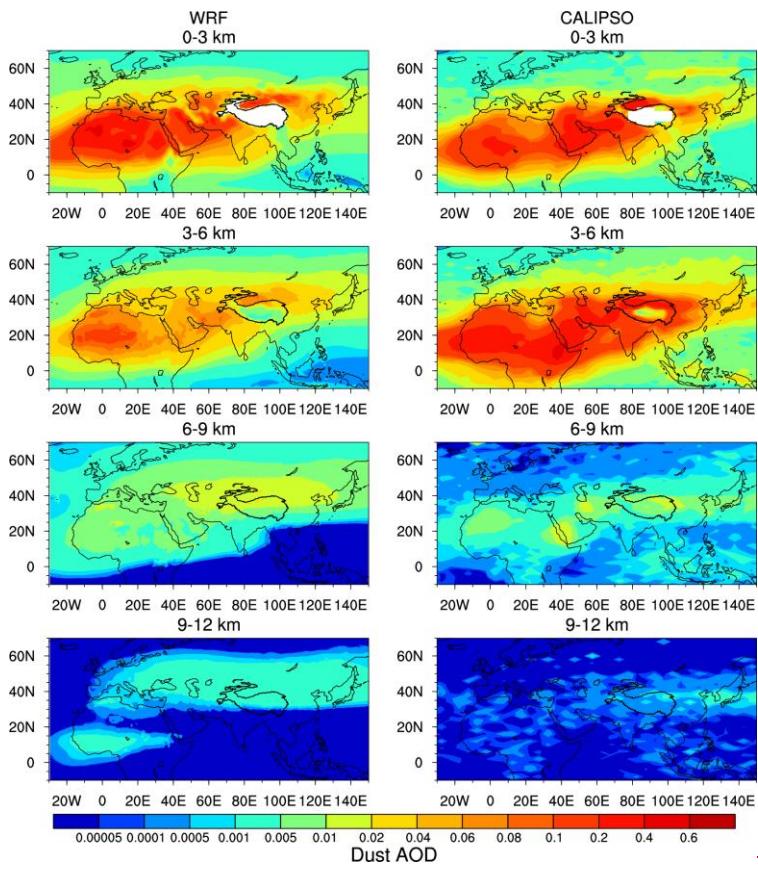


Figure 2. Spatial distributions of seasonal mean AOD at 550 nm from the retrievals of MODIS and MISR onboard Terra and the WRF-Chem simulation for the period of 975 2010–2015. The daily results from MISR, MODIS, and WRF-Chem are only sampled for averaging when all of them have valid values at the same location and time.



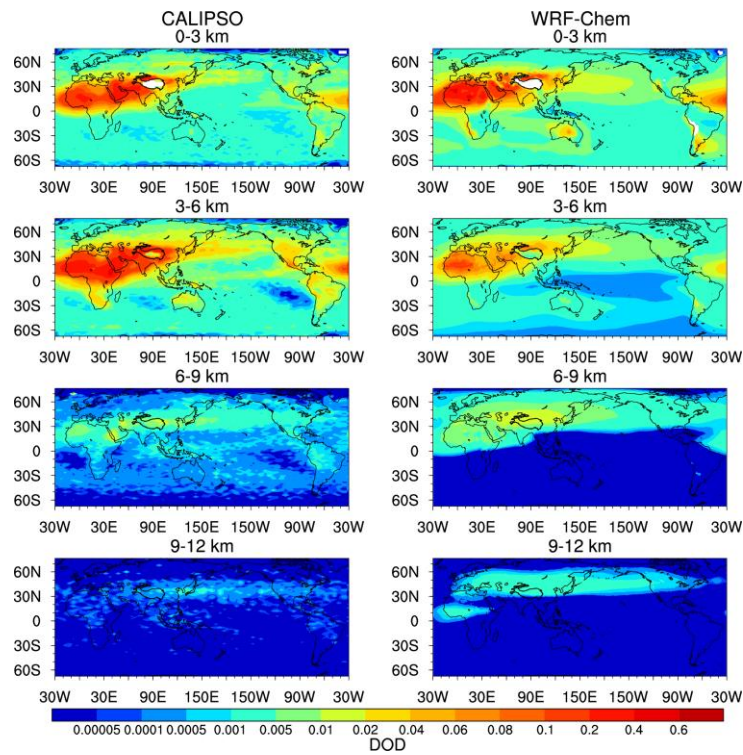
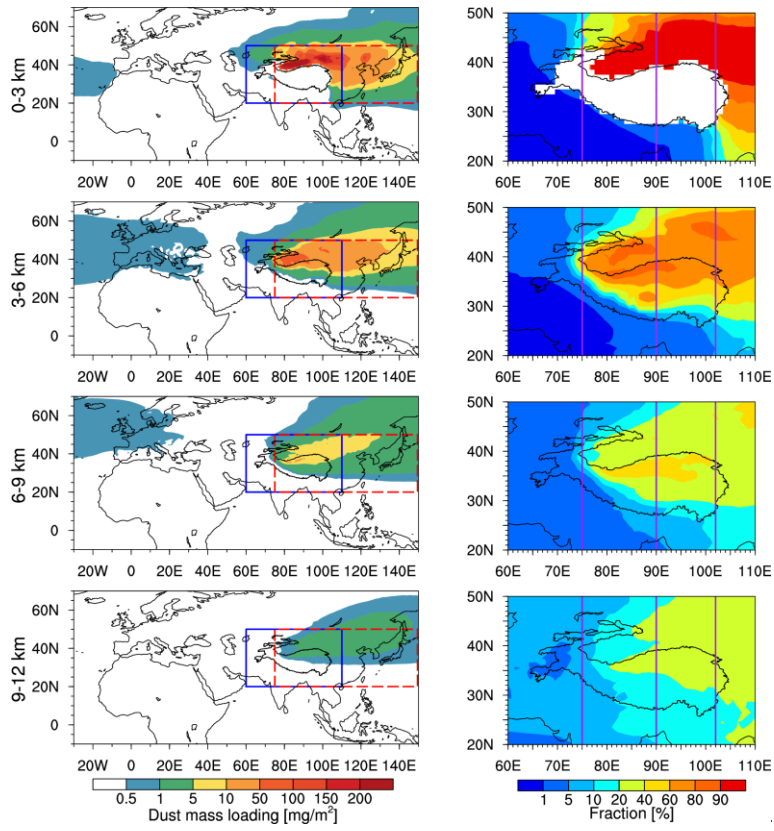
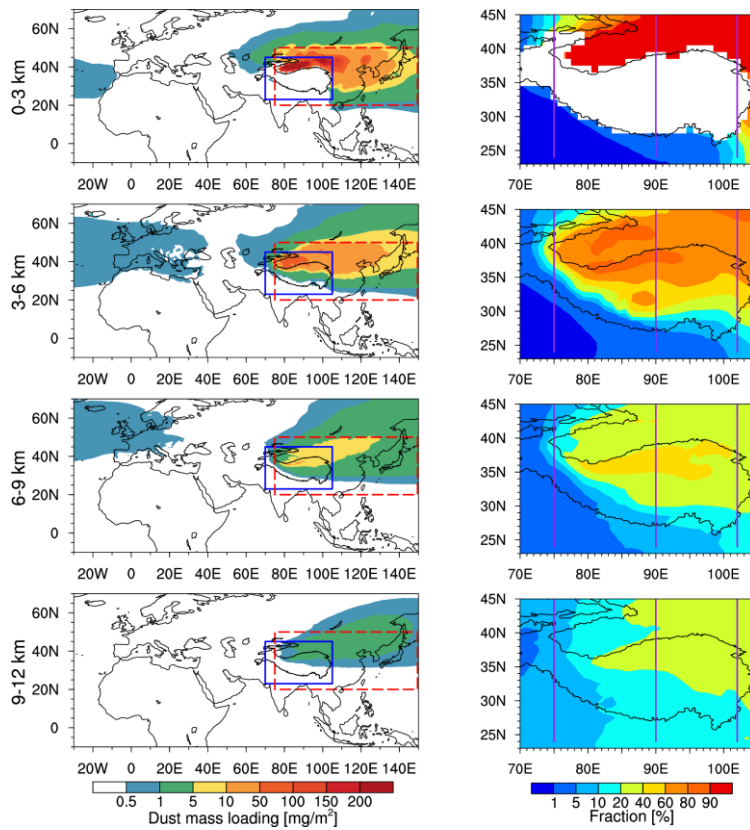
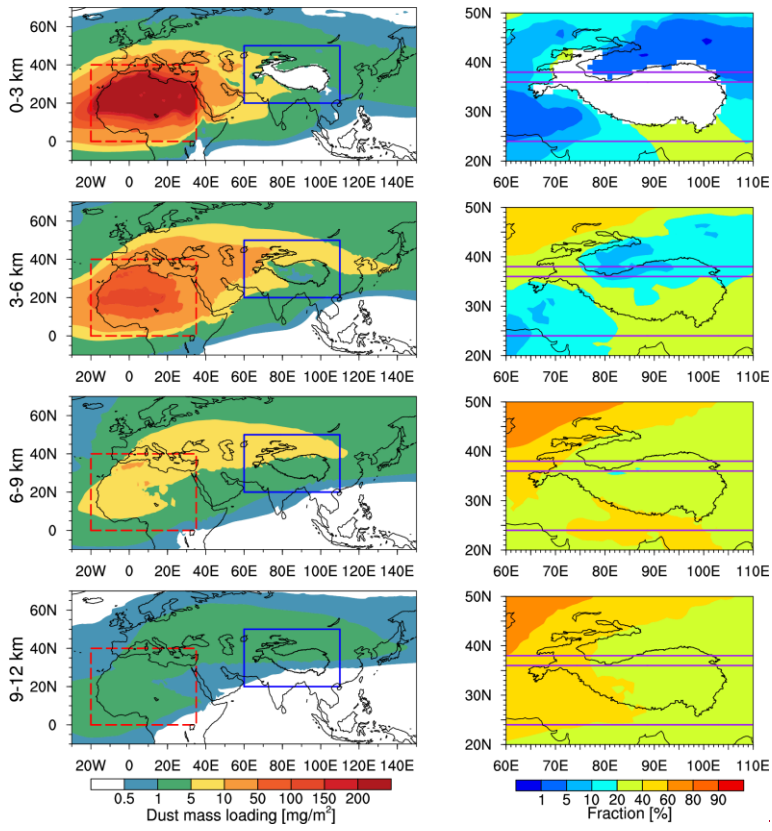


Figure 3. Spatial distributions of annual mean dust AOD from CALIPSO (left) and WRF-Chem (right) between 0–3 km, 3–6 km, 6–9 km, and 9–12 km for the period of 2010–2015.





990 **Figure 4a.** Spatial distributions of (Left) annual mean dust mass loading (mg/m^2)
 emitted from East Asia between 0–3 km, 3–6 km, 6–9 km, and 9–12 km and (Right)
 the corresponding fractions compared with total dust mass loading over TP. The box
 in red dotted lines shows the dust source regions, while the box in blue solid lines
 shows the TP regions in this study. The purple solid lines are at 75° E, 90° E and 102°
 995 E for the dust height–latitude cross sections.



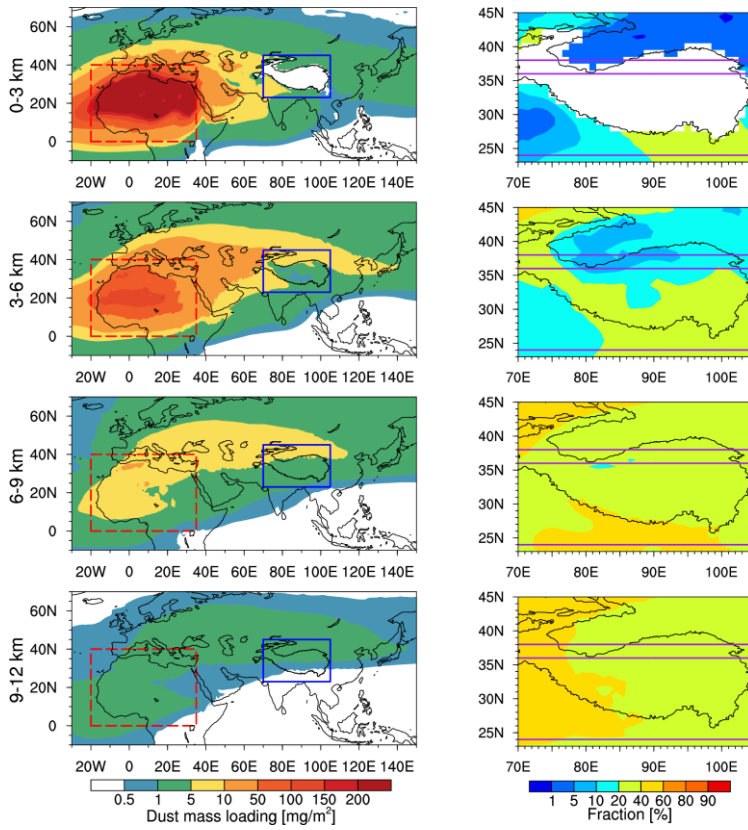
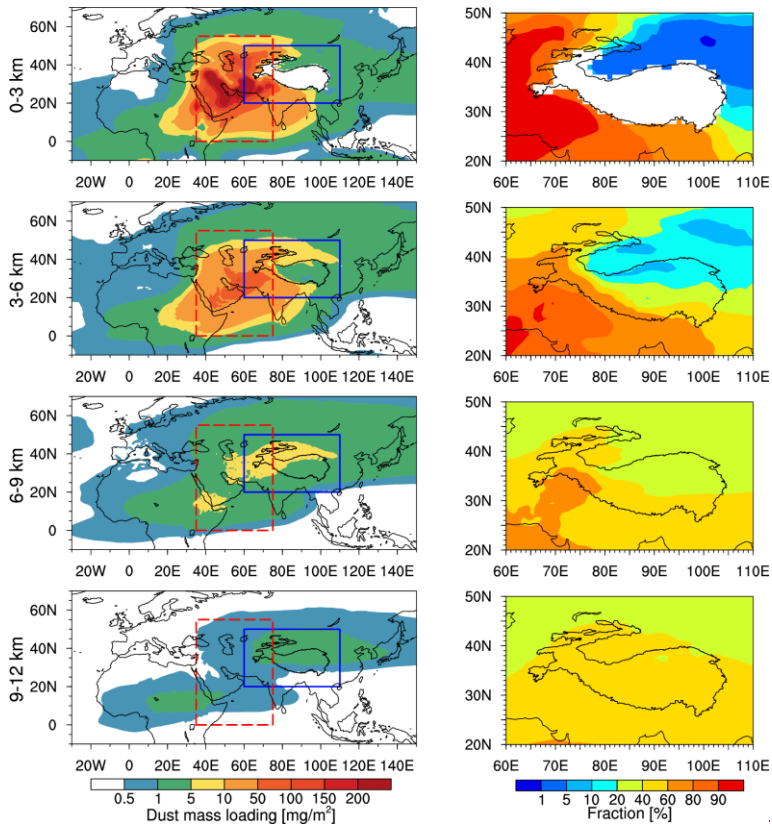


Figure 4b. Same as figure 4a, but for dust emitted from North Africa. The purple solid lines are at 24° N, 36° N and 38° N for the dust height–longitude cross sections.



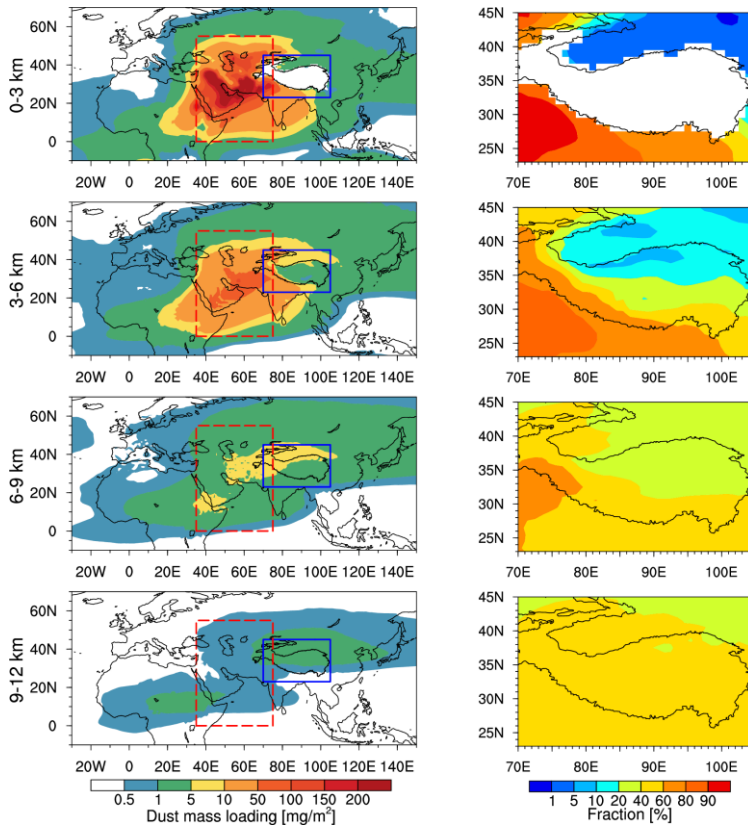


Figure 4c. Same as figure 4a, but for dust emitted from the Middle East.

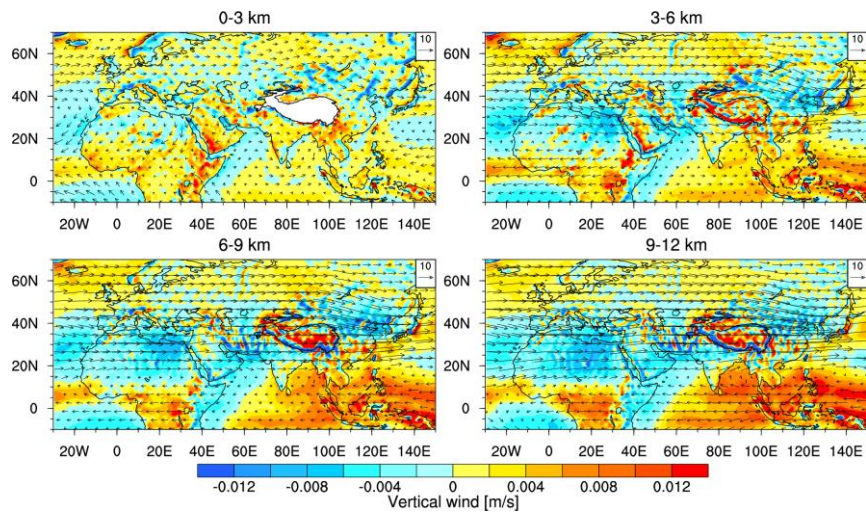
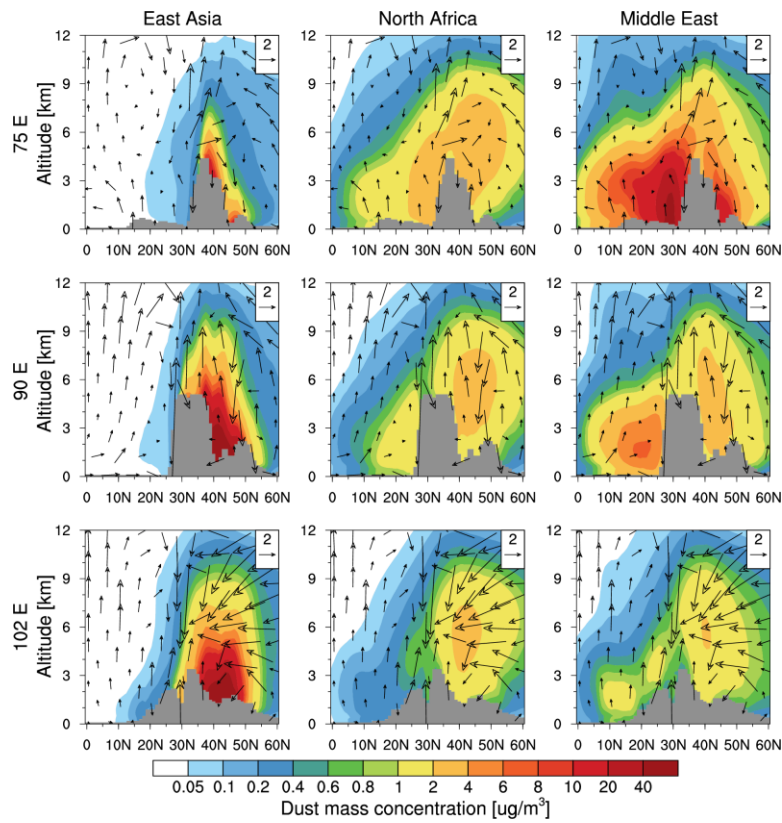
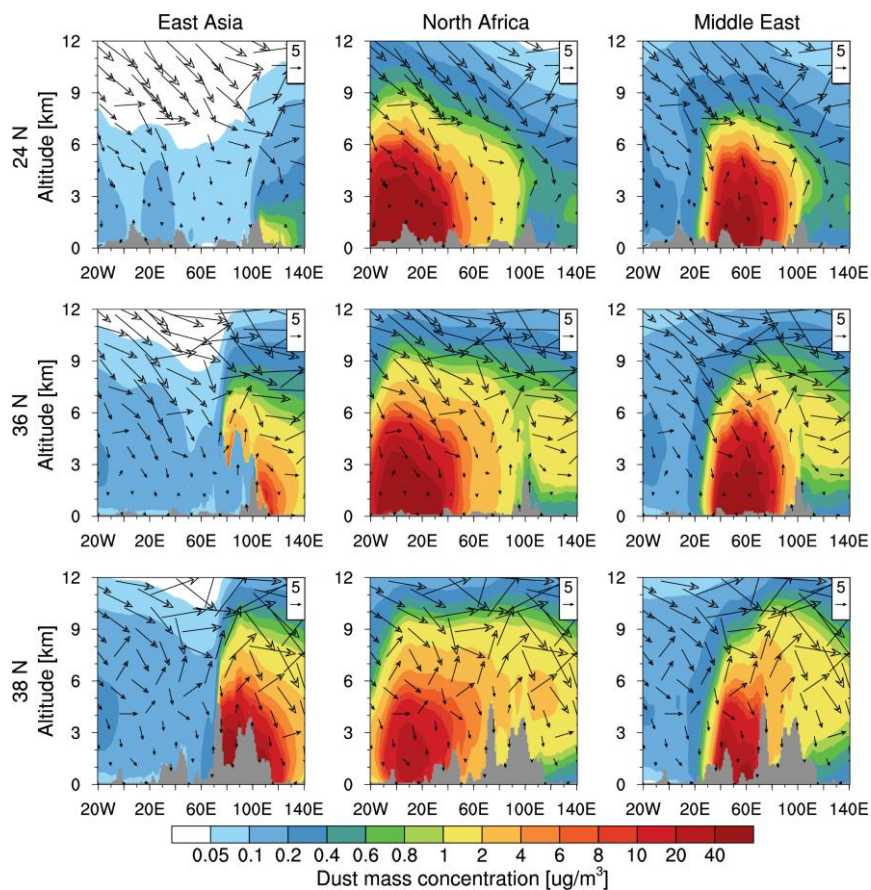


Figure 5. Annual mean wind field between 0–3 km, 3–6 km, 6–9 km, and 9–12 km from WRF-Chem simulations for the period of 2010–2015. The arrows indicate the horizontal wind (m/s). The colors indicate the vertical wind velocity (m/s) and positive values are for updrafts and negative values are for downdrafts.



1020 **Figure 6.** Vertical cross-sections of meridional circulation (m/s and scale factor 300 applied for vertical wind) and zonal dust mass concentration ($\mu\text{g}/\text{m}^3$) emitted from East Asia, North Africa and Middle East at 75°E , 90°E and 102°E .



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Figure 7. Vertical cross-sections of zonal circulation (m/s and scale factor 300 applied for vertical wind) and meridional dust mass concentration ($\mu\text{g}/\text{m}^3$) emitted from East Asia, North Africa and Middle East at 24° N, 36° N and 38° N.

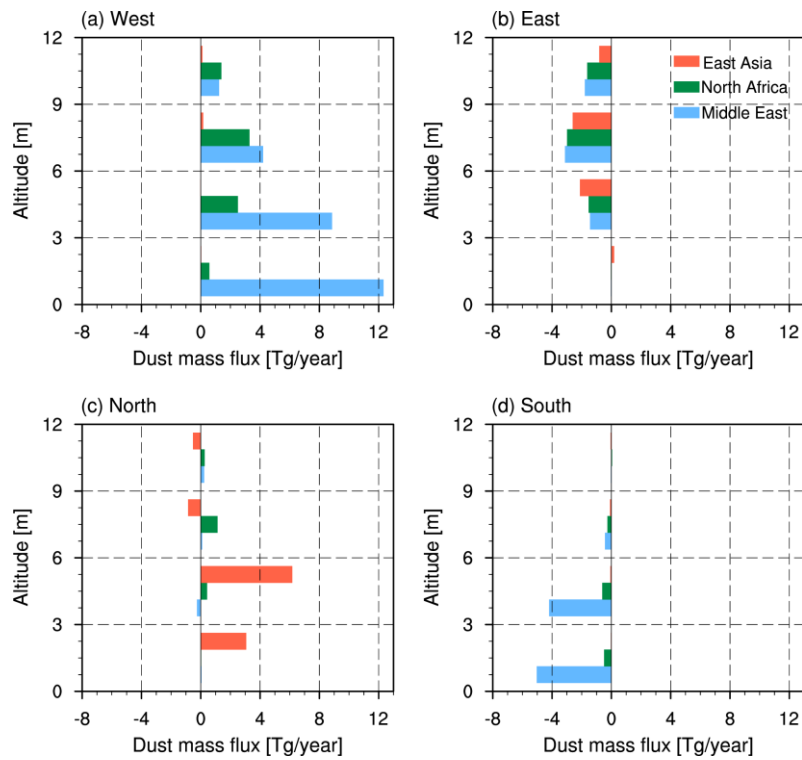


Figure 8. Model-based estimation of annual dust mass flux (Tg/year) at the West (a) and East (b), North (c) and South (d) side of the Tibetan Plateau, respectively. The latitude ranges in 27° N – 38° N and the longitude ranges in 75° E – 104° E. The west and east imported mass flux are based on the zonal wind, and South and North are based on the meridional wind. Also, the values are negative for imported and positive for outflowed.

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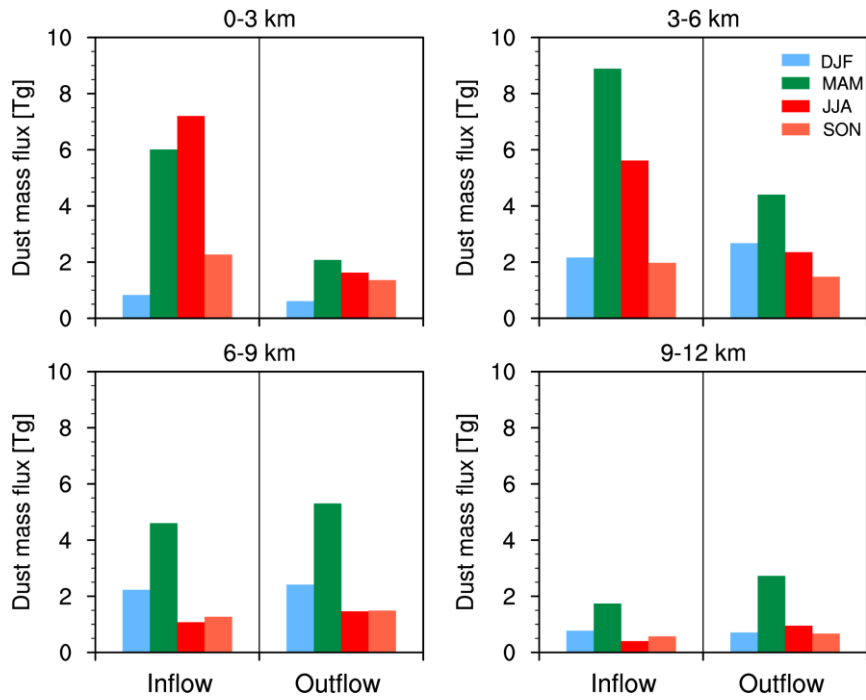


Figure 9. Model-based estimation of annual dust mass flux (Tg) inflow and outflow from the TP between 0–3 km, 3–6 km, 6–9 km and 9–12 km from the WRF-Chem simulations averaged for 2010–2015.

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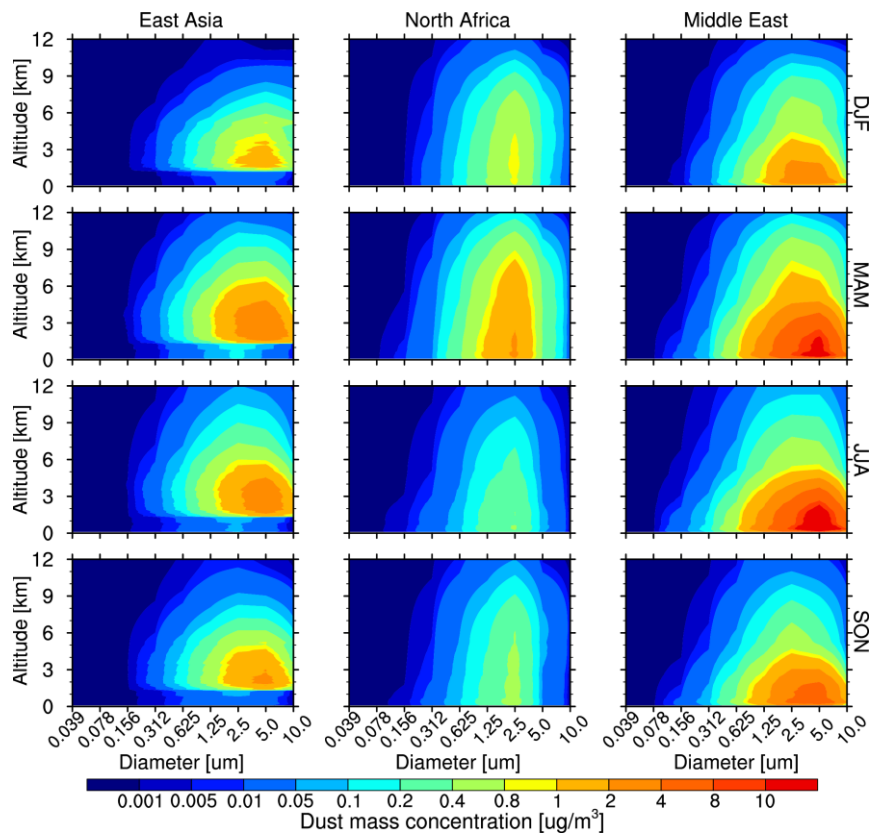


Figure 10a10. Vertical distribution of seasonal dust mass concentration ($\mu\text{g}/\text{m}^3$) over the TP within various particle size sections emitted from East Asia, North Africa and Middle East averaged for 2010–2015.

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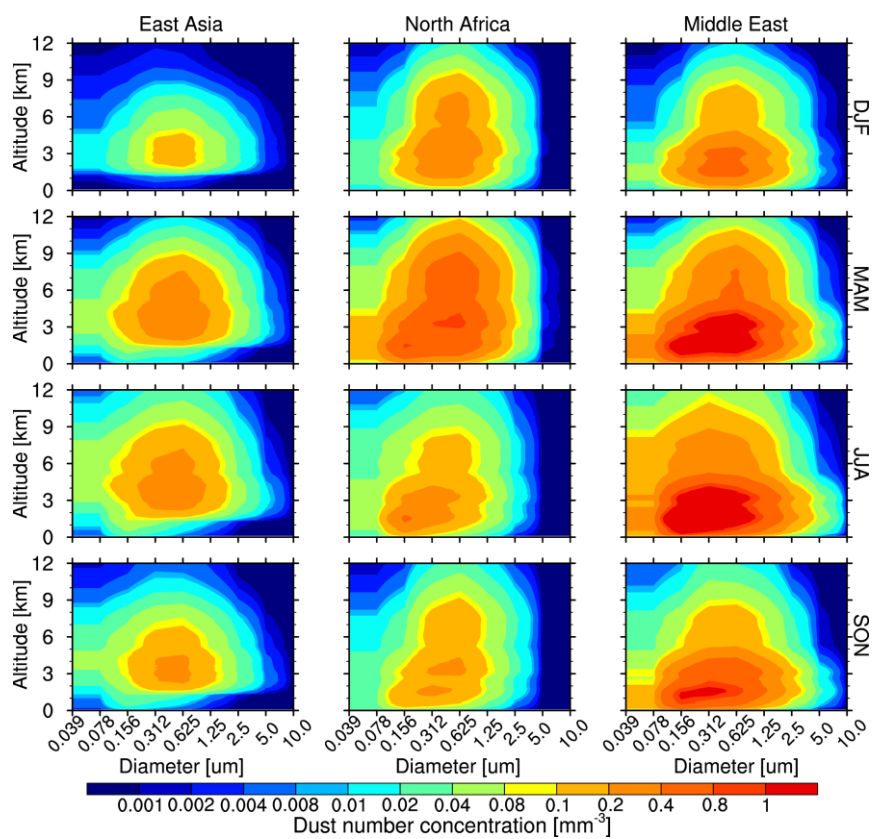
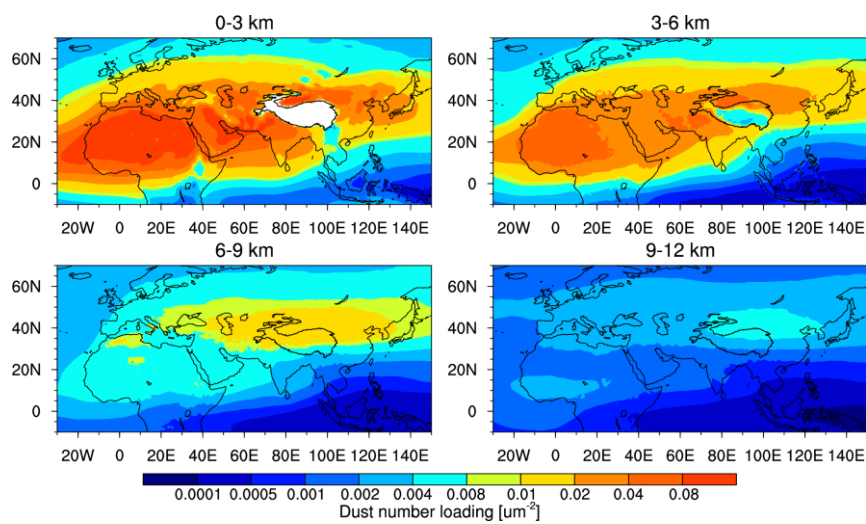
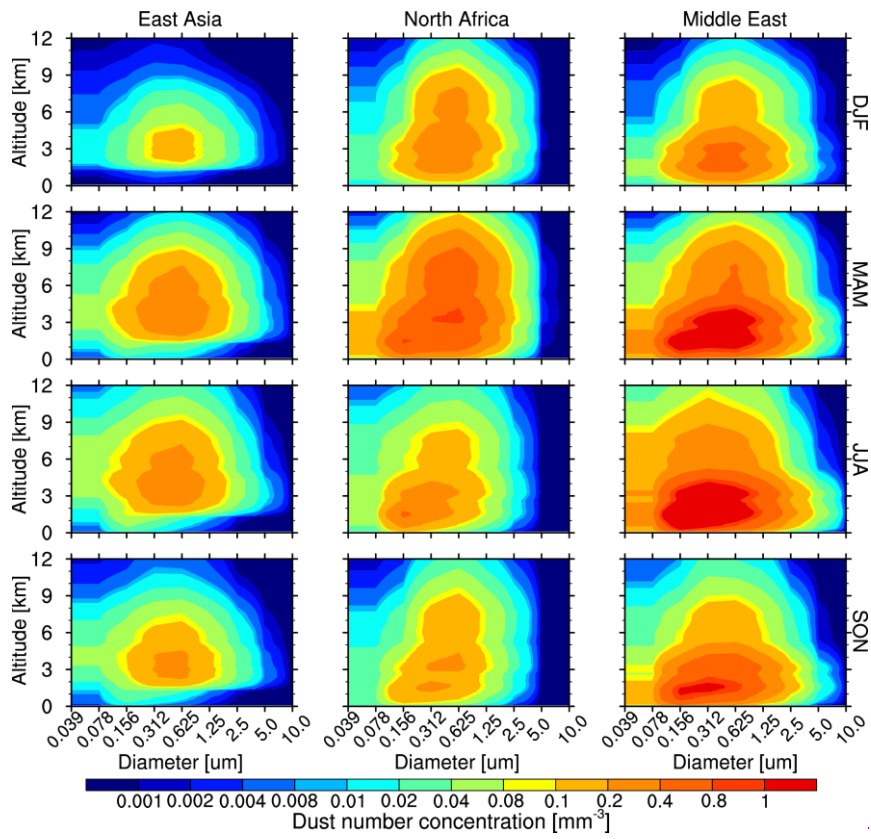


Figure 10b. Vertical distribution of seasonal dust number concentration (mm^{-3}) over the TP in different particle size emitted from East Asia, North Africa and Middle East averaged for the period of 2010–2015.

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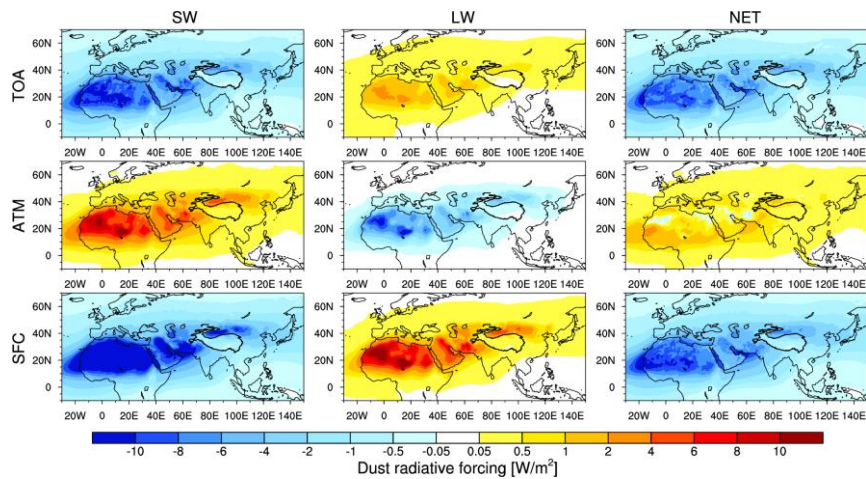
1060 **Figure 11.** Spatial distributions of annual mean dust number loading (μm^{-2}) from WRF-Chem between 0–3 km, 3–6 km, 6–9 km, and 9–12 km for the period of 2010–2015.



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Figure 12. Vertical distribution of seasonal dust number concentration (mm^{-3}) over the TP in different particle size emitted from East Asia, North Africa and Middle East averaged for the period of 2010–2015.

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1075 **Figure 1312.** Spatial distribution of ~~seasonal~~annual mean SW, LW, and net (SW + LW) direct radiative forcing (W/m^2) of dust at the TOA (top panels), in the atmosphere (ATM: middle panels) and at the surface (SFC: bottom panels) under all-sky conditions from the WRF-Chem simulation averaged for 2010–2015.

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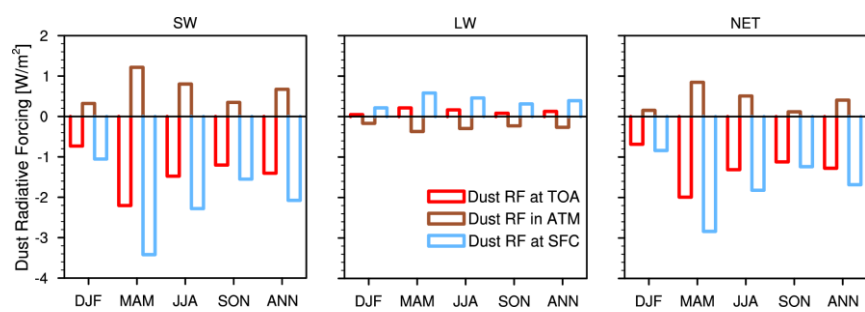
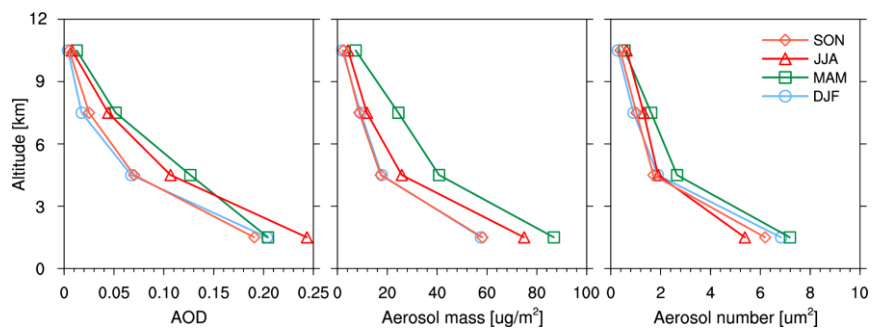


Figure 1413. Seasonal and annual mean SW, LW, and net (SW + LW) radiative forcing (W/m^2) of dust simulated by WRF-Chem for the period of 2010–2015 over the TP.

1085 Negative values represent downward radiation.



1090 **Figure 1514.** Vertical distribution of seasonal AOD, aerosol mass loading ($\mu\text{g m}^{-2}$), and aerosol number (μm^2) over the TP from WRF-Chem simulation averaged for the period of 2010–2015.

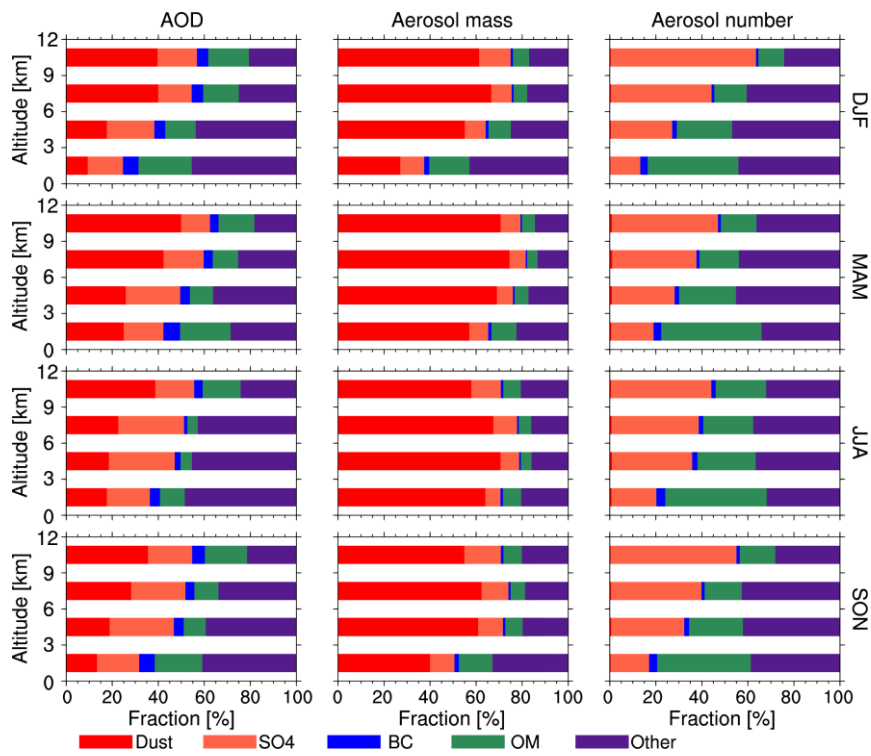


Figure 1615. Fractions of seasonal aerosol composition in total AOD (left), aerosol mass concentration (middle), and aerosol number (right) in four separate vertical layers: 0–3 km, 3–6 km, 6–9 km and 9–12 km from the WRF-Chem simulation averaged for the period of 2010–2015 over the TP.

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