

1 We thank the reviewers for taking the time to read and comment on our paper. Please find
2 below our responses below to all four reviewers. **Reviewer comments are in bold**, author
3 responses in plain text.

4

5 **Reviewer #1:**

6 **The authors present an interesting analysis of oxygen isotopes in nitrate as a constraint on**
7 **global chemistry. They consider the main processes, the role of a variety of interesting more**
8 **minor ones and conclude that N2O5 and OH driven processes are about equal contributors to**
9 **the global average nitrate source.**

10

11 **I recommend publication as is.**

12 Thank you for your positive comments.

13

14 **Reviewer #2:**

15 **Abstract: revisit the abstract after incorporating comments from all reviewers.**

16

17 We have revised the abstract in response to reviewer #3 and to your comments below.

18

19 **Additionally, clarify the percentages of the different pathways – one page 2 lines 2-4 it, at**
20 **first read, appears as if you are only talking about 41% + 41% + 6%.**

21

22 We added “individually” in this section of the abstract to clarify that 6% is not the sum of all the
23 other pathways but represents the maximum contribution from each individual pathway. The
24 sentence now reads:

25 “All other nitrate production mechanisms *individually* represent less than 6% of global nitrate
26 production near the surface, but can be dominant locally.”

27

28 **It would be useful to keep in mind 1) that the isotopic composition from ozone does not**
29 **appear as certain as presented, and 2) that the global compilation of observations is still**
30 **heavily biased towards the northern mid-latitudes. In the sentence ending on line 11, I**
31 **suggest adding “on a global scale.” at the end of the sentence.**

32

33 The phrase “on the global scale” has been added to the end of the abstract as suggested.

34

35 **Page 3, line 2: double check the wavelength and provide a reference (e.g., JPL); if memory**
36 **serves this should be <400 nm.**

37

38 Correct, this has been changed to 398 nm based on the IUPAC recommendation.

39

1 **Page 3, lines 11-15: citations should be provided for each of these pathways, or at least**
2 **something that sums this up.**

3
4 *Atkinson* [2000] sums this up nicely and has been cited.

5
6 **Page 3, line 17: It does not make sense to cite Alexander et al., 2009 here. The global lifetime**
7 **is not presented in that work, nor is it expressly calculated in this current manuscript, which it**
8 **should be. Note below too that there are a variety of statements in the manuscript that are**
9 **inconsistent with this broad statement here, which also may or may not represent the**
10 **lifetime actually found in GEOS-Chem.**

11
12 The *Park et al.* [2004] reference has been cited here instead. We have also added the following
13 sentence to the end of the first paragraph of section 3:

14
15 “In the model, the global, annual mean lifetime of NO_x in the troposphere against oxidation to
16 nitrate is about 1 day; about 50% of this loss is from the reaction of NO₂ + OH. NO_x loss from
17 N₂O₅ becomes more important near the surface where aerosol surface area is relatively high.
18 The global, annual mean lifetime of nitrate in the troposphere against wet and dry deposition
19 to the surface is about 3 days.”

20
21 **Page 3, line 21: add “For example” before “the photolysis of NO₃- in snow grains. . .”**

22 Done. Thanks for this suggestion.

23 **Page 5, lines 5-12. This is a major suggestion – please introduce here a clear distinction**
24 **between the bulk ozone isotopic value versus the terminal isotopic value. This distinction was**
25 **not made well in Alexander et al 2009 – was 35‰ (O₃)_{bulk} or (O₃)_{trans}? Similar for Michalski**
26 **et al. This is a critical distinction that comes up later in the manuscript. Further discussion and**
27 **review of the differences in these assumptions amongst studies would be a useful addition to**
28 **this manuscript. Otherwise, the reader is left feeling that there is a much wider gap in**
29 **knowledge than suggested in the current study.**

30
31 We added here that we are referring to the bulk isotopic composition of ozone. The sentence
32 now reads:

33 “Previous modeling studies showed good agreement with observations of $\Delta^{17}\text{O}(\text{nitrate})$ when
34 assuming *that the bulk oxygen isotopic composition of ozone* ($\Delta^{17}\text{O}(\text{O}_3)$) is equal to 35‰.”

35 Later in the manuscript (methods section) we present the distinction between bulk and
36 terminal O-atom isotopic composition, where we define the terminal O-isotopic composition as
37 $\Delta^{17}\text{O}(\text{O}_3^*)$, as has been done in previous publications. Throughout the manuscript, we have
38 changed the “*” symbol from a superscript font to regular font so it is easier for the reader to
39 see. We also redefine the $\Delta^{17}\text{O}(\text{O}_3)$ symbol in the conclusions section.

40

1 **The language regarding new O3 observations “around the globe” needs to be expanded upon**
2 **and clarified. Three studies, using the same technique and largely averaging over vast**
3 **stretches of the globe do not equal “around the globe”.**

4
5 Good point. The wording “around the globe” has been removed from the introduction. We
6 double checked and this is the only time this term was used in the manuscript.

7
8 **This is a minor point, but please do consider that, while the newer observations are certainly**
9 **more consistent than previous work, a detailed look at the methodology in Vicars et al. (RCM,**
10 **26, 1219-1231) shows that VERY large corrections are necessary for this method to yield the**
11 **appropriate D17O(O3) results. It would behoove the authors of the current manuscript to**
12 **consider whether they want to hang their hat on the absolute certainty of this new technique**
13 **before it is, at the very least, used by other groups in laboratory and field studies.**

14
15 Thank you for this comment. Reviewer #3 also had this same concern. We have changed the
16 following sentence:

17 “Reduction in uncertainty in the value of $\Delta^{17}\text{O}(\text{O}_3)$ enables improved interpretation of
18 $\Delta^{17}\text{O}(\text{nitrate})$ as an observational constraint for the relative importance of nitrate formation
19 pathways in the atmosphere.”

20 to:

21 “These new observations of $\Delta^{17}\text{O}(\text{O}_3)$, combined with improved understanding and hence more
22 comprehensive chemical representation of nitrate formation in models, motivates an updated
23 comparison of observed and modeled $\Delta^{17}\text{O}(\text{nitrate})$ as an observational constraint for the
24 relative importance of nitrate formation pathways in the atmosphere.”

25
26 We have also changed wording on the value of $\Delta^{17}\text{O}(\text{O}_3)$ in the abstract, introduction, and
27 conclusions so as not to imply that there is no remaining uncertainty in its value.

28
29 **Page 6, line 13: In Figure 1, NO2 is not shown to react with HO2. This should be OH?**

30
31 I assume you are referring to the reaction $\text{NO} + \text{HO}_2$ to form HNO_3 ? This is a termolecular
32 reaction that is in competition with the bimolecular reaction $\text{NO} + \text{HO}_2 \rightarrow \text{NO}_2 + \text{OH}$. The
33 branching between the termolecular and bimolecular reactions is such that less than 1%
34 proceeds via the termolecular pathway. Hence, the termolecular reaction is often ignored.
35 However, since it is included in the GEOS-Chem chemical mechanism, I show it in Figure 1.
36 Figure 1 shows that this reaction is negligible. Kinetic data for these reactions can be found in
37 IUPAC. We have added the following sentence to the methods section:

38
39 “The reaction of $\text{NO} + \text{HO}_2$ can also form HNO_3 directly, although the branching ratio for this
40 pathway is $< 1\%$ (Butkovskaya et al., 2005).”

41
42 **Page 7, lines 21-23. Transport of NO3- is not considered in the model, such that the results**
43 **will reflect the “locally” produced NO3-. Here it is suggested that this will make” little**
44 **difference in polluted regions where most nitrate is formed locally.” Evidence for this – from**

1 **the model and/or from the literature – need to be included here. At first glance, this is**
2 **inconsistent with the statement in the introduction that the average lifetime is 3 days.**

3
4 This is difficult to quantify without comparing model simulations with and without transporting
5 the isotopic tracers. One would expect the highest bias in regions without a local source of
6 NO_x , i.e., where all nitrate is formed elsewhere and transported to remote locations.
7 Conversely, one would expect less bias in regions with strong local sources of NO_x and hence
8 nitrate production. However, since we do not quantify this bias, I changed “little” to “less”. The
9 sentence now reads:

10 “This should make *less* difference in polluted regions where most nitrate is formed locally.”

11
12 **Furthermore, as highlighted later, the actual results from the model do not agree well enough**
13 **with observations to assume that the lack of transport is not important. Can the authors**
14 **further comment on the potential bias this might cause, particularly for regions where long-**
15 **range organic nitrate transport would be important?**

16
17 Long-range transport of organic nitrates such as PAN would effectively represent a local source
18 of NO_x to remote regions upon decomposition to NO_x . Any other source of NO_x that is
19 effectively recycled, such as the photolysis of nitrate on snow grains, would also represent a
20 local source of NO_x and reduce the model bias resulting from lack of transport. To that end, we
21 have further modified the previously mentioned sentence to the following to show that
22 polluted areas are not the only regions where one might expect local source of NO_x to
23 dominate the source of nitrate:

24 “This should make less difference in polluted regions where most nitrate is formed locally, or
25 for example in polar regions in summer when photochemical recycling of nitrate in the
26 snowpack represents a significant local source of NO_x at the surface.”

27
28 We note that we don’t state that transport is not important for simulating $\Delta^{17}\text{O}(\text{nitrate})$ at any
29 particular location. Our approach without transporting the isotopic tracers will reflect the full
30 range of calculated $\Delta^{17}\text{O}(\text{nitrate})$ on the global scale for any particular isotopic assumption. To
31 be sure not to unintentionally imply that lack of transport is not a concern, we have modified
32 this sentence to the following:

33 “Although lack of transport of the isotope tracers hinders direct comparison of the model with
34 observations at any particular location, this approach will reflect the full range of possible
35 modeled $\Delta^{17}\text{O}(\text{nitrate})$ values for the current chemical mechanism, which can then be
36 compared to the range of observed $\Delta^{17}\text{O}(\text{nitrate})$ values around the globe.”

37 In addition, we have elaborated on the potential role of lack of transport at particular locations
38 in our extended discussion of comparison of the model with the locations shown in Figure 6.

39
40 **Page 9, line 7: please further explain this equation, I simply do not understand it. Why is 0.25**
41 **simply added?**

42

1 The value of 0.25 is a result of our low-end assumption of $A_{night} = 0.5$, and that half of nitrate
2 formed during the nighttime originates from NO emitted during that same night. The equation
3 now reads:

4 " $A_{low} = 0.5A + 0.5A_{night}$, where $A_{night} = 0.5$ "

5
6 **Page 9, line 19: the measurement work for D17O(O3) does present error (i.e. analytical
7 reproducibility and differences from the average when combining all measurements "across
8 the globe"). This should be expressly stated here. Further, this uncertainty should be
9 discussed in the results and discussion in terms of how sensitive the final products are to the
10 fact that D17O(O3) can vary by a couple of per mil.**

11
12 I think you are referring here to the standard deviation of the $\Delta^{17}\text{O}(\text{O}_3)$ observations, which is
13 1‰. This leads to an uncertainty of less than 1.5‰ in the calculated values of nitrate. We
14 hesitate to add discussion of error bars based on this observed standard deviation (beyond
15 stating it in the manuscript) because we don't want to suggest this represents a significant
16 contribution to uncertainty in modeled values of $\Delta^{17}\text{O}(\text{nitrate})$. Indeed, some (see reviewer #3)
17 suggest that these observations are biased low on the order of 5‰. To represent the full range
18 of likely possible $\Delta^{17}\text{O}(\text{O}_3)$ values, we also show a comparison of model results to observations
19 when assuming a value of $\Delta^{17}\text{O}(\text{O}_3)$ that is at the high end (35‰) of the possible range based on
20 observations, laboratory studies, and model simulations. This figure is shown in the SI (Fig. S6).

21
22 **Page 10, lines 1-2: It probably should be noted that many of the observations compared with
23 are precipitation nitrate, and therefore not only representative of the surface.
24 Perhaps here it could be stated how many datasets compared with represent surface aerosol
25 collection versus precipitation? How important is this in the disagreement between the
26 observations and model?**

27 We changed this sentence:

28 "We focus on model results near the surface because these can be compared to observations;
29 currently only surface observations of $\Delta^{17}\text{O}(\text{nitrate})$ are available."

30 to:

31 "We focus on model results near the surface (below 1 km) because these can be compared to
32 observations; currently only surface observations of $\Delta^{17}\text{O}(\text{nitrate})$ are available. We note that
33 two observation data sets (from Bermuda (Hastings et al., 2003) and Princeton, NJ (Kaiser et al.,
34 2007)) are rainwater samples and thus may represent nitrate formed aloft. However, since
35 cloud water peaks on average near 1 km altitude in the MERRA2 meteorology used to drive
36 GEOS-Chem, our model sampling strategy should capture the majority of the influence of
37 clouds on nitrate formation."

38
39
40 **Page 11, line 15 and line 25: Is the $\Delta^{17}\text{O}(\text{O}_3)$ on the order of 25‰ representative of the bulk
41 or transferable component of O3? Again, a careful discussion of bulk versus terminal is
42 warranted in this manuscript and should be made clear throughout when referring to the
43 isotopic composition that is actually transferred to nitrate ultimately.**

1
2 We clarify in this sentence that we are referring to the bulk isotopic composition of ozone.

3
4 **Page 11, lines 15-18: How much does the D17O(nitrate) increase? Can you elaborate further**
5 **on this point about the increase in modeled nitrate due to increased importance of O3 in NOx**
6 **cycling (85%) compared to the earlier 80%? Does this increase play a larger role than the post-**
7 **NO2 reactions?**

8
9 A back of the envelope calculation suggests that calculated $\Delta^{17}\text{O}(\text{nitrate})$ would need to
10 increase between 7 - 13.5‰ in order to explain why we got good agreement assuming a bulk
11 ozone isotopic composition of 35‰ in the 2009 paper compared to only needing to assume
12 26‰ in the present paper. The value of 13.5‰ is from the difference between 35‰ and 26‰
13 (9‰) times 1.5. The upper limit (13.5‰) is assuming that all O-atoms come from ozone ($A = 1$
14 and all nitrate from R2). The lower limit is assuming a lower end value of $A = 0.4$ (from Figure 2)
15 and all nitrate forms from R1. The actual difference is between these two end members,
16 suggesting a difference on the order of 10‰. On average, the increase in the value of A from
17 0.80 to 0.85 would result in a difference of $0.05 * 39\text{‰} = 2\text{‰}$. This suggests, that on average,
18 the main difference is due to the increase in R2, R4, R5, and R6, although there is likely some
19 temporal and spatial variability. We have added the following sentence to address this:
20 "An increase in the average A value from 0.80 to 0.85 would tend to increase the calculated
21 $\Delta^{17}\text{O}(\text{nitrate})$ on the order of 2‰ ($0.05 \times \Delta^{17}\text{O}(\text{O}_3^*)$), suggesting that the increase in the relative
22 importance of the terminal reactions R4, R5, R6, R8, and R10 explains the majority of the
23 difference between the results presented here compared to Alexander et al. (2009)."

24
25 **Page 12, lines 5-14: This section should also include comment on why observations of D17O**
26 **have found lower values than produced by the model.**

27 We have added the following sentence to this paragraph:
28 "However, observations of $\Delta^{17}\text{O}(\text{nitrate})$ in autumn and winter in Beijing suggest much higher
29 values ($30.6 \pm 1.8\text{‰}$) than was measured at Mt. Lulin (15 – 30‰ in winter). A potential reason
30 for the model overestimate of the observed values at Mt. Lulin could be qualitatively explained
31 by transport of nitrate formed in the free troposphere to this high altitude location, where the
32 high $\Delta^{17}\text{O}(\text{nitrate})$ producing pathways (R4-R8) should be negligible due to minimal aerosol
33 surface area for heterogeneous chemistry."

34
35
36 **Page 12, line 7-14: This discussion is strange. The Savarino et al, 2007 work comes before the**
37 **updated and much more certain (according to this manuscript) observation of D17O(O3). The**
38 **error in $\Delta^{17}\text{O}(\text{O}_3^*)$ has been reported to be 39+/-2 per mil, which seems to indicate that a**
39 **tropospheric value as high as 41‰ could be possible. Further, Savarino's later work (Vicars**
40 **and Savarino, 2014 cited in the manuscript! And comments of Savarino himself in**
41 **presentations and in discussions on ACPD) in fact negates this conclusion suggesting that the**
42 **photolysis and reformation of stratospheric ozone that enters the troposphere should reset**
43 **the tropospheric ozone to local values (see discussion of this in Fibigier et al., JGR, 2016).**
44

1 It is important here to differentiate between transport of ozone versus nitrate from the
2 stratosphere to the troposphere. Indeed, transport of ozone from the stratosphere to the
3 troposphere would not retain its stratospheric isotopic signature for very long (on the order of
4 3 hours as suggested by Michalski et al. [2014]). Here we are referring to the transport of
5 nitrate (not ozone) that was formed in the stratosphere and deposited to the surface.
6 However, I do agree that the range in the observed values of $\Delta^{17}\text{O}(\text{O}_3^*)$ of 2‰ certainly allows
7 for a value of 41‰ for nitrate formed within the troposphere assuming a $\Delta^{17}\text{O}(\text{O}_3^*)$ value at the
8 upper end of the range and that all O-atoms of nitrate originate from ozone ($A = 1$ and all
9 nitrate forms from R2 and/or R5). Although this is not outside the realm of possibility for
10 nitrate formed in the Antarctic troposphere during winter, it does seem unlikely that all nitrate
11 in wintertime in Antarctica formed locally. Since there are no known local source of NO_x in the
12 Antarctic winter, there must be a significant amount of nitrate formed at lower latitudes (where
13 there is some sunlight and 41‰ would thus be unlikely) and transported to Antarctica. We
14 have added the following to the discussion:

15 “As previously noted in Savarino et al. (2007), the maximum observed $\Delta^{17}\text{O}(\text{nitrate})$ value
16 (40.6‰) is not possible given our isotope assumption for the terminal oxygen atom of ozone
17 ($\Delta^{17}\text{O}(\text{O}_3^*) = 39\%$); however, it is theoretically possible given the 2‰ uncertainty in observed
18 $\Delta^{17}\text{O}(\text{O}_3^*)$. A value of $\Delta^{17}\text{O}(\text{nitrate}) = 41\%$ is possible if $\Delta^{17}\text{O}(\text{O}_3^*) = 41\%$ and all oxygen atoms
19 of nitrate originate from ozone ($A = 1$ and all nitrate forms from R2 and/or R5). Although this
20 may be possible for nitrate formed locally in the Antarctic winter due to little to no sunlight,
21 lack of local NO_x sources during Antarctic winter makes it unlikely that all nitrate observed in
22 Antarctica forms locally. Long-range transport from lower latitudes and/or the stratosphere
23 likely contributes to nitrate observed in Antarctica during winter (Lee et al., 2014).”

24
25 **Values near 40 per mil have also been observed in Greenland – by a different research group**
26 **using different techniques – so it seems highly improbable that the values near 40 per mil**
27 **simply cannot exist. Please update and reframe this discussion based upon more recent work**
28 **and consider also acknowledging the observations in Greenland such as (Fibiger et al, JGR-**
29 **Atmos., 121, 5010 5030, 2016) and references therein.**

30
31 A look at Fibiger et al. [2016] suggest values up to about 30‰, not 41‰. There’s a mention of
32 39‰ but this is an end-member extrapolation, not an observed value. Am I missing something?
33 It would be nice to include this data set in the model-observation comparison; however, I
34 cannot seem to find the actual data on the JGR web site or mention of where I can find it in the
35 manuscript.

36
37 **Page 12 Lines 18-20: A more detailed comparison between modeled values and values**
38 **observed in mid-latitudes should be made. The model results do not match well with results**
39 **in Princeton despite the authors claims. In fact, the model matches better with La Jolla than**
40 **with Princeton, so it is not clear why La Jolla is highlighted here. Point to figure comparisons**
41 **more specifically here (ie Figure #'s).**

42
43 **The time series comparisons overall are not nearly as impressive as the global, bunched,**
44 **comparison. What needs to be done in the community to get this more right? The time series**

1 **speak to a lot of inconsistency in making local assumptions. For instance, there are important**
2 **differences in model vs obs in the winter/spring of Princeton, Mt. Lulin, and La Jolla (and this**
3 **likely speaks to the fact that local versus transported nitrate could be important); and then**
4 **the fall values at Princeton, Mt. Lulin and Cape Verde are all not captured at all. More care**
5 **should be taken and a more full discussion of the model/obs comparison should be done.**
6

7 Originally we focused on the largest discrepancies, i.e., the largest overestimates (Mt. Lulin) and
8 the largest underestimates (polar winter). We have added additional discussion of the
9 discrepancies at all of the other locations shown in Figure 6 to this section.

10 “The model compares better to the mid-latitude locations close to pollution sources (La Jolla and
11 Princeton), although the model overestimates wintertime $\Delta^{17}\text{O}(\text{nitrate})$ in Princeton, NJ, USA by
12 up to 6‰ and underestimates winter time $\Delta^{17}\text{O}(\text{nitrate})$ in La Jolla, CA, USA by up to 4‰. The
13 model overestimate at Princeton during winter could be due to the fact that these are
14 precipitation samples and not ambient aerosol samples, and thus may reflect nitrate formed at
15 altitudes higher than we are sampling in the model. The underestimate at La Jolla, CA could be
16 due to underestimates in reactive chlorine chemistry in the model, which would tend to increase
17 $\Delta^{17}\text{O}(\text{nitrate})$ by increasing nitrate formation by the hydrolysis of halogen nitrates (R6) in this
18 coastal location. The model underestimates the $\Delta^{17}\text{O}(\text{nitrate})$ observations at Cape Verde in late
19 summer/early autumn by up to 6‰ (Savarino et al., 2013). Comparison with results from the
20 steady-state model employed in Savarino et al. (2013) suggests that the low bias could be due to
21 an underestimate of nitrate formation via $\text{NO}_3 + \text{DMS}$ (R2). The steady-state model in Savarino
22 et al. (2013) agreed with observations when R2 represented about one-third of total nitrate
23 formation. The model results presented here have R2 representing about 15% of total nitrate
24 formation in this season. An underestimate of the relative importance of R2 could result from a
25 model underestimate of atmospheric DMS abundances.”

26 We note that this added discussion of discrepancies at particular locations and times is
27 speculative. A thorough comparison of the model with observations at individual locations would
28 benefit from using the meteorology of the specific year of the observations (we ran only for the
29 years 2015 and 2000) and a higher spatial resolution. The goal here is to present a comparison
30 of all of the observations at once yielding a global perspective. This approach facilitates
31 examination of isotopic assumptions in a way that comparisons at one location do not.

32
33 **Page 12-13: It would be useful to summarize here what impact the model uncertainties**
34 **reported in the other works has on D17O(NO3-). Nowhere in the current work is the model**
35 **compared to NOx or nitrate observations – only the isotopic composition of nitrate. So at**
36 **least framing what uncertainties are important for consideration and the type of impact they**
37 **would have on D17O(NO3-) seem important here.**
38

39 We have an entire section (section 4) following this section (section 3) devoted to discussion of
40 model uncertainties utilizing several sensitivity studies. If you feel that something is missing
41 from this section please specify.
42

1 It's true that we don't compare the model to observations of NO_x and nitrate concentrations.
2 Concentrations are dependent on many factors such as emissions, chemistry, transport and
3 deposition, all of which have their own uncertainties. The advantage of $\Delta^{17}\text{O}(\text{nitrate})$ is that it is
4 mainly sensitive to chemistry, and thus provides a metric to assess NO_x chemistry in models in a
5 way that concentration observations cannot.

6
7 **Page 13, lines 9-11 and line 27-28: I am not clear here why the uncertainty in the**
8 **gamma(N2O5) is not considered here? E.g., the work by Bertram and Thornton (At-mos.**
9 **Chem. Phys., 9, 8351–8363, 2009) and Tham et al. (Atmos. Chem. Phys., 18, 13155–13171,**
10 **2018) that suggest uptake coefficients range a lot from 0.001-0.035 and 0.005-0.039,**
11 **respectively. How much uncertainty in D17O(NO3-) would changes in this parameter yield?**

12
13 The “cloud chemistry” model as presented here utilizes the Bertram and Thornton
14 parameterization. As described in section 2, $\gamma_{\text{N}_2\text{O}_5}$ is calculated in the model as a function
15 aerosol water content, chemical composition, and temperature and thus does vary over the
16 range you describe. This would be better addressed in a paper comparing modeled and
17 observed $\Delta^{17}\text{O}(\text{nitrate})$ at a location and time period when the N₂O₅ pathway is dominant.
18 Indeed, we are examining the importance of heterogeneous reactions in general for nitrate
19 formation and $\Delta^{17}\text{O}(\text{nitrate})$ at a location (Beijing) where heterogeneous chemistry is likely very
20 high. This is a paper in preparation.

21
22 That said, we do examine the impact of the changing importance of the N₂O₅ pathway on
23 $\Delta^{17}\text{O}(\text{nitrate})$ by comparing our “standard” and “cloud chemistry” simulations. The cloud
24 chemistry simulation results in an increase in $\Delta^{17}\text{O}(\text{nitrate})$ over the standard simulation due to
25 the increase in the N₂O₅ pathway (compare Figure 5 and Figure S3) as a result of adding N₂O₅
26 hydrolysis in clouds.

27
28 **Page 16, Section 4.2: it should be made clear here why the “standard” simulation is used for**
29 **this on not the cloud chemistry simulation, the latter is treated as if it is the state of the art**
30 **through the rest of the manuscript.**

31
32 We decided to highlight the cloud chemistry simulation as it is the state of the science.
33 However, this new cloud chemistry parametrization is very new, and is not yet included in any
34 models (it is only now being implemented into the public version of GEOS-Chem). Thus, all the
35 sensitivity simulations were performed against the standard simulation of the model. The
36 conclusions drawn in the sensitivity simulations described in section 4.2 (hydrolysis of organic
37 nitrates) and section 4.3 (photolysis of aerosol nitrate) should not change with the addition of
38 cloud chemistry, as the cloud chemistry does not impact either of these reactions and the
39 sensitivity simulations suggests that these uncertainties do not significantly impact the
40 calculated $\Delta^{17}\text{O}(\text{nitrate})$ nor the conclusions.

41
42 **This section (and the previous) is really interesting. The authors should consider adding in**
43 **figures of change in D17O(NO3-) based on the sensitivity studies. The emphasis is placed on**

1 gas phase chemistry changes in the figures, which is interesting, but since the paper is really
2 about D17O(NO3-) it seems a missed opportunity to show some change in D17O. This is
3 especially important in that the comparison with the time series observations (Figure 6) is
4 underserved in the manuscript and makes the model seem much more uncertain. Regional
5 digestion might speak to why they are such inconsistencies in seasonality at several stations
6 in the mid-lats OR it might speak to how much difference in D17O is not captured by not
7 having transported nitrate. Furthermore, future observational studies that compare with this
8 work will be 1) better served, 2) this work will be more cited, and 3) this will advance the
9 community forward in terms of our understanding of atmospheric chemistry based upon
10 D17O (i.e. where we understand it and where we don't!).

11
12 I made and considered adding figures showing the change in calculated annual-mean
13 $\Delta^{17}\text{O}(\text{nitrate})$ for each of the sensitivity simulations described in section 4. I decided not to
14 show these figures because while the change in the *annual mean* $\Delta^{17}\text{O}(\text{nitrate})$ is small, the
15 change in a particular month or time of year can be significantly larger. I was thus afraid that
16 showing the change in the annual mean $\Delta^{17}\text{O}(\text{nitrate})$ would imply that $\Delta^{17}\text{O}(\text{nitrate})$ is not very
17 sensitive to nitrate production mechanisms, which is not the case. I could show Figures 5 and 6
18 for each sensitivity simulation, which would not hide details that the annual mean hides. I
19 currently show these figures only for the “standard” and “cloud chemistry” simulations (Figures
20 S3 and S4 compared to Figures 5 and 6). This (difference between cloud chemistry and
21 standard simulations) is the largest difference between sensitivity simulations (the difference
22 between the other sensitivity simulations is smaller, as discussed in section 4). If the editor
23 wishes, I can add these additional figures (this would add 6 figures to the SI). But again, the
24 differences will be smaller than what is already shown.

25
26 **Page 16, lines 26-28: Globally, the D17O of nitrate remains relative unchanged from 2000 to**
27 **2015 emissions, but nitrate is not globally mixed. A more detailed regional analysis again**
28 **would be really interesting here. For example, how does decreased NOx emissions impact the**
29 **modeled D17O (and oxidation chemistry) and how does increased NOx emissions alter D17O**
30 **in China? What are the implications for future observations?**

31
32 Please see the reply above. This point is addressed in the text in section 4.2, which examines
33 the impact of changing NOx emissions from 2000 to 2015 on nitrate formation pathways and
34 $\Delta^{17}\text{O}(\text{nitrate})$. The manuscript states:
35 “Relatively small changes (< 10%) in nitrate formation pathways yield small changes (< 2‰) in
36 modeled annual-mean $\Delta^{17}\text{O}(\text{nitrate})$ between the year 2000 and 2015, differences in
37 $\Delta^{17}\text{O}(\text{nitrate})$ over shorter time periods may be larger.”

38
39 **Page 17, Conclusions: I again stress that the authors should make a full discussion of bulk**
40 **versus transferred isotopic anomaly and the implications of previous assumptions. While it is**
41 **compelling that the global model agrees better with the updated bulk and transferred value**
42 **(and note that the transferred values reported by Vicars have an uncertainty of 2 per mil!),**
43 **the global model still only explains 51% of the variance and the time series plots by location**

1 **show important and significant disagreements. In other words, it is not a convincing**
2 **assumption that because the global agreement is better with 25 per mil as the bulk that the**
3 **observations are correct.**

4
5 We have rephrased our conclusions (and abstract and introduction) to avoid suggesting that the
6 $\Delta^{17}\text{O}(\text{O}_3)$ value is now well known. A thorough analysis of why the new observations of
7 $\Delta^{17}\text{O}(\text{O}_3)$ may be incorrect is beyond the scope of this paper and would only be speculative.
8 This issue is best addressed by a group other than the Savarino group repeating these nitrate-
9 coated-filter measurements or utilizing another technique to measure $\Delta^{17}\text{O}(\text{O}_3)$ for comparison.

10
11 **As suggested above, having some discussion of regionality and figures of change in**
12 **D17O(NO3) based upon the sensitivity studies would be useful, especially for observational**
13 **work to compare with the model results and make progress on our understanding of key**
14 **oxidation pathways.**

15
16 Please see previous responses to this point.

17
18 **This is minor, but it might be useful to acknowledge key assumptions in the work here and**
19 **acknowledge what important steps forward are needed. Otherwise I imagine there will be a**
20 **paper in another 10 years that will tell us “actually now we really know even better what**
21 **we’re doing” in atmospheric chemistry models.**

22
23 Hopefully our understanding of atmospheric chemistry will improve every 10 years!

24
25 **For instance, some key assumptions include: nitrate is locally produced; transported NO3- is**
26 **not considered/treated nor is there any acknowledgement of how much of a difference this**
27 **could make (see time series diffs!); NO emitted at night contains one-half its original O and**
28 **one-half from local oxidant; the D17O(NO2) is calculated using 24-hour production rates [this**
29 **is an improvement over earlier work but also means the production rates are out of sync with**
30 **the nighttime versus daytime calculations]; model is compared with observations based on**
31 **surface only.**

32
33 These assumptions and their impact on calculated $\Delta^{17}\text{O}(\text{nitrate})$ are all addressed explicitly in
34 the manuscript. All of what is suggested in this comment is related to not transporting the
35 isotopic tracers of NO, nitrate, and everything in between. We acknowledge up front in the
36 manuscript that we don't transport the isotope tracers and discuss how this will lead to
37 discrepancies, particularly at locations without local NO_x sources. In order to quantify the
38 effect at any particular location we would need to transport the tracers, which we do not do
39 here due to the computational expense. However, as stated in the manuscript, the approach
40 we use here will give the full range of calculated $\Delta^{17}\text{O}(\text{nitrate})$ values in the model which can be
41 compared with observations. We think this is still quite useful for e.g., examining isotopic
42 assumptions (for example, compare Figure 5 with Figure S5).

43
44 **Page 18, line 11: NO2+HO2 again? This not happening in the model correct?**

1
2 Please see previous reply to this point.

3
4 **Table 1: define A or refer directly to equation in text.**

5
6 Done.

7
8 **Figure 1: Consider that comparison (in the text) to the NO oxidation branching ratios of**
9 **Alexander et al., (2009) would be interesting to allow for an understanding on how model**
10 **updates have changed the modeled branching ratios with implications for D17O. Please make**
11 **D's symbols in the fig caption.**

12
13 The text compares the global mean (80% versus 85% for NO + O₃). In Alexander et al. (2009),
14 the rest (20%) is from NO + HO₂/RO₂. In the current version we also have NO + XO, which is
15 small.

16
17 The symbols disappeared after uploading to ACPD. I will resolve this issue with the final
18 version.

19
20 **Figure 2: Adding an image of the D17O of NO2 would be interesting too.**

21
22 Good suggestion since other groups are trying to measure this. I've added this figure to the SI
23 (Figure S5).

24
25 **Figure 5: I'm not sure +/- 50% is really appropriate for this figure (also these are not identified**
26 **in the figure caption). If the model were more than +/-25% off we could consider it**
27 **completely not in the right world! It might be interesting to add the best fit line from**
28 **Alexander et al. (2009) to compare with present study. References for the observational**
29 **studies should be explicit in the figure or make a table and refer to that table.**

30
31 I'm not sure what you mean here by +/- 50%. I think that showing a best fit line for data from
32 another study that is not shown on the plot would be confusing to the reader. References to
33 the observations are in the text as stated in the figure caption. Adding the reference list to the
34 figure caption would make a long figure caption, but I'm happy to do this if the editor thinks it's
35 appropriate.

36
37 **Figure 6: Again, it might be interesting to compare the time series with a what was predicted**
38 **by the 2009 model. Reference for the observations need to be made. Delta should be a**
39 **symbol. Why are there more than one observational point for DDU?**

40
41 The problem with what you suggest is that I cannot just use the data shown in the figures from
42 the 2009 paper on this plot because different isotopic assumptions were made in the different
43 studies, making the comparison misleading. There were 2 year-long observation campaigns at

1 DDU (Savarino et al. (2007) and Ishino et al. (2017) and I have shown each as separate data
2 points. Both of these studies are referenced in the text.

3
4 **Figure 7: Gammas should be symbols.**

5
6 Again, symbols disappeared somewhere between uploading and publication. I'll fix all symbols
7 in the final version.

8
9 **Figure 9: this is not particularly useful – it is exactly described in the text, could be moved to
10 supplement.**

11
12 Agreed, it is now in the SI.

13
14 **Figure 10: Not sure “acidity-dependent yield” is how it is referred to in the main text? It is
15 difficult to see these figures in this format. For Figs 7-11 I found myself wanting to understand
16 how much change in D17O there would be associated with different regions.**

17
18 The acidity dependent yield is shown in Figure 9 (not 10), which was the previous comment and
19 is now moved to the SI.

20
21 As far as the change in $\Delta^{17}\text{O}(\text{nitrate})$ for the sensitivity simulation, please see my response in
22 previous comments.

23
24 **Supplement: Which simulation is used to produce Figure S1? Probably should make this
25 explicit for all figures, or at least when it is NOT the cloud chemistry simulation. Is it possible
26 to extend the color bar? It is difficult to digest since so much of the globe ends up close to 2
27 days.**

28
29 It is the “cloud chemistry” simulation. I've noted this in the figure caption. I chose to saturate
30 the color bar at 2 days because extending the color bar makes it difficult to see the regions with
31 lifetimes shorter than 1 day. It is the regions with the shorter lifetimes that are important for
32 this part of the discussion, so I wanted to make sure they are clear.

33
34 **Figure S2-S6: suggest including a more complete caption stating that this is . . . then same as. .
35 .or for comparison with Figure...**

36
37 These have all been changed except for Figure S5. I don't want the different isotopic
38 assumption made in this figure to get lost in a long figure caption.

39
40 **Figure S5 caption is incorrect?**

41
42 This has been fixed. It is the same as Figure 5, not S1.

43
44

1 **Reviewer #3:**

2
3 **The discussion on A values on page 8 has a serious flaw, namely it ignores the rapid isotopic**
4 **exchange between NO and NO₂ (Sharma) and N₂O₅. This means that the $\delta^{17}\text{O} = 0$ NO**
5 **emitted at night does not have to be oxidized into NO₂ to dilute NO₂ $\delta^{17}\text{O}$ value, but can**
6 **simply exchange with existing NO₂. Likewise, nighttime equilibrium NO₃+NO₂ < N₂O₅ would**
7 **ultimately incorporate additional ozone into NO₂.**

8 **In other words there is a serious limitation to the counting oxidations and ignoring the**
9 **exchanges during the nighttime. It probable that that at night isotope exchange equilibrium**
10 **results in $\delta^{17}\text{O}$ of NO = NO₃ = NO₂ This in turn would impact HONO $\delta^{17}\text{O}$ and NO₂ “cloud**
11 **chemistry” at night and HNO₃ production early morning when O₃ levels are low due to**
12 **nighttime titration.**

13
14 Thank you for this point. I do think it's important that we discuss this isotopic exchange in the
15 manuscript; however, it won't impact our isotopic assumptions. Isotopic exchange between NO
16 and NO₂ may increase $\Delta^{17}\text{O}(\text{NO})$, but it will decrease $\Delta^{17}\text{O}(\text{NO}_2)$ by the same amount (isotopic
17 mass balance). Similarly, isotopic exchange between NO₂ and NO₃ (via the N₂O₅ intermediate)
18 may increase $\Delta^{17}\text{O}(\text{NO}_2)$, but it will decrease $\Delta^{17}\text{O}(\text{NO}_3)$ by the same amount. So our assumed
19 value of N₂O₅ won't change, and thus the calculated value of $\Delta^{17}\text{O}(\text{nitrate})$ from N₂O₅ hydrolysis
20 (R4) won't change. Remember, this is a global model so we aren't keeping track of individual
21 molecules but are making assumptions about the bulk isotopic composition within a grid box.
22 Of course, atmospheric measurements also represent a bulk quantity. We have updated our
23 discussion of $\Delta^{17}\text{O}(\text{NO}_x)$ during the daytime versus the nighttime in the introduction and
24 methods sections and added appropriate references.

25
26
27
28 **The other serious limitation is the treatment of the ozone $\delta^{17}\text{O}$ value. It is well known that**
29 **$\delta^{17}\text{O}$ and $\delta^{18}\text{O}$ in ozone is a strong function of temperature and pressure. The choice of**
30 **Vicars (Over cryogenic collection studies) because of the apparent constant $\delta^{17}\text{O}$ values is**
31 **because these were all surface measurements at effectively the same pressure and a narrow**
32 **temperature range. It is unlikely O₃ being recycled above the boundary layer will have a 26**
33 **per mil $\delta^{17}\text{O}$. How much nitrate is formed in the mixed layer versus free troposphere?**

34
35 I don't see how this matters. You say in your 2014 paper in ACP that ozone transported from
36 the stratosphere into the troposphere won't retain its stratospheric isotopic signature because
37 the isotopic lifetime of ozone is short in the troposphere due to rapid ozone photolysis and
38 reformation. Why would this be any different for ozone transported from the free troposphere
39 to the boundary layer?

40
41 **Also the authors have chosen to ignore our Atmos. Chem. Phys., 14, 4935–4953, 2014 paper**
42 **where we showed the pressure and temperature dependence in NO₂ $\delta^{17}\text{O}$ values in**
43 **equilibrium with O₃ as a function of temperature and pressure that demonstrates this effect.**
44 **A lot hinges on the validity of “Recently, much more extensive observations of $\delta^{17}\text{O}(\text{O}_3)$**

1 using a new technique (Vicars et al., 2012) show $\delta^{17}\text{O}(\text{O}_3) = 26 \pm 1\%$ around the globe
2 (Vicars et al., 2012;Ishino et al., 2017b;Vicars and Savarino, 2014), and suggest that previous
3 modeling studies are biased low in $\delta^{17}\text{O}(\text{nitrate})$ (e.g., Alexander et al. (2009)), which
4 would occur if the model underestimated the relative role of ozone in NO_x chemistry.”

5
6 These are nearly all clean marine boundary layer measurements and simply ignoring the
7 Johnston and Krankowsky cryogenic collection is polluted urban environments seems to be
8 cherry picking the data. Likewise our experimental NO₂-¹⁷O values match well with that
9 predicted by the T and P dependence of O₃ formation experiments (i.e Thiemens,
10 Mauersberger group). This is not the first paper to ignore these unpleasant contradictions. It
11 seems no ones wants to acknowledge that something we do not understand is going on with
12 either tropospheric O₃-¹⁷O dynamics or their measurements.

13
14 I initially neglected any discussion of the potential uncertainty in the $\Delta^{17}\text{O}(\text{O}_3)$ observations
15 using the nitrite coated filter technique as it has yet to be specifically shown that there are
16 problems with this. However, I see your point that this also has yet to be replicated by other
17 groups. Another reviewer also had this same issue. I have now have modified some wording
18 and added some additional discussion so as not to place too much certainty in this value of
19 $\Delta^{17}\text{O}(\text{O}_3) = 26\%$. Wording changes are in the abstract, introduction, and conclusions.

20
21 Your 2014 paper should have been cited in the original manuscript and we have added this
22 citation in several locations in the revised manuscript.

23
24
25 **Also they might include Wang et al. for some additional south American data**

26 <https://www.sciencedirect.com/science/article/pii/S0016703714001811?via%3Dihub>

27
28 [Thanks for this suggestion. It would be great to include this in Figure 5; however, the data is](#)
29 [not provided in the paper or in the supplement \(it is only plotted\). Due to the low spatial](#)
30 [resolution of the model, including this data would add one data point to Figure 5. The](#)
31 [\$\Delta^{17}\text{O}\(\text{nitrate}\)\$ observations from this paper represent a 3.5 year mean value.](#)

32
33 **Specific comment:**

34
35 **Certainly this is a comprehensive modelling study on global inorganic nitrate production**
36 **mechanisms with a major aim of investigating how they affect global oxygen isotopic**
37 **composition of nitrate. A state-of-the-art model (GEOS-Chem) is used in this study. Here what**
38 **I want to address is the role of reactive halogens (BrO, ClO or IO) on the formation of nitrate.**
39 **As mentioned in the manuscript, the hydrolysis of halogen nitrates (XNO₃, where X=Br, Cl, or**
40 **I) is an important pathway for the inorganic nitrate formation, particularly in marine**
41 **boundary layer, where open ocean sea spray serves as a large source of inorganic halogens.**
42 **Basing on their modelling integrations, the authors conclude that halogens is not important**
43 **and only accounts for ~6% of the global (<1 km) nitrate production. The dominant processes**

1 are reaction of NO₂+OH and hydrolysis of dinitrogen pentoxide (N₂O₅), each accounting for
2 41% of the production respectively.

3
4 However, in an early tropospheric global model (p-TOMCAT) bromine study (Yang et al.,
5 2005, Figure 12c), what they derived is just opposite: A month-long integration (March)
6 shows that BrNO₃ hydrolysis reaction can cause a net reduction of lower tropospheric NO_x
7 (=NO+NO₂) by 40-80% at latitudes >50S in the Southern Hemisphere and by 20-60% at
8 latitudes >70N in the Northern Hemisphere, though the reduction in the tropical regions is
9 very small (<1%) (due to very lower BrO simulated and relatively higher OH concentrations).
10 Note that this modelling work did include any sea ice sourced bromine source (an additional
11 bromine source to the polar troposphere apart from sea spray and short-lived halocarbons).
12 Thus the actual contribution from halogens could be even higher than the result shown in the
13 paper. Then my question is why these two global models give such a big different result of
14 the bromine-related NO_x reduction (or HNO₃ production)? Please discuss about it and supply
15 more information such as surface layer BrO from the GEOS-Chem model for comparison. To
16 help diagnose which halogen dominates, please supply each individual contribution (from Br,
17 Cl and I) or tell clearly which halogen dominates the nitrate formation.

18
19 A detailed description of the reactive bromine (and iodine) chemistry in the version of the
20 model used in this study can be found in Sherwen et al., ACP, 2016. We have not made any
21 further modifications to the reactive halogen chemistry for this paper. There is no conflict
22 between this work and Yang et al. (2005). Both Yang and Sherwen show that halogens have a
23 large impact on NO_x levels in the remote marine atmosphere. However, NO_x levels and nitrate
24 production in these regions are small regardless of the halogen chemistry, so halogens (XNO₃
25 hydrolysis) have a modest impact on global nitrate production, as shown here.

26
27 Sherwen et al. (2016) compared model results with and without reactive halogen chemistry.
28 They found that the global, annual tropospheric NO_x burden decreased by 3.1% due to NO_x loss
29 from the hydrolysis of XNO₃. ClNO₃ and BrNO₃ hydrolysis were approximately equal
30 contributors, while IONO₃ was minor. I cannot find a similar value for the impact of reactive
31 halogens on global, annual tropospheric NO_x in Yang et al. (2005) for direct comparison. Yang
32 et al. (2005) state that BrNO₃ hydrolysis accounts for up to 60-80% of NO_x loss at high latitudes,
33 but it is much smaller (a few percent) at low latitudes. Figure 18 in Sherwen et al. (2018)
34 suggests a similar spatial pattern, with NO_x reductions up to ~80% in the high latitudes, and
35 much smaller impacts in the low latitudes. Based on this, the results from these two models do
36 not seem inconsistent. The Sherwen et al. (2016) results are also consistent with previous
37 studies (Long et al., 2014; von Glasow et al., 2004; Parrella et al., 2012; Schmidt et al., 2016).

38
39 Sherwen et al. (2016) found that the model underestimates the tropospheric BrO column in
40 high latitudes, especially in the southern hemisphere (see Figure 9 from Sherwen et al. (2016)).
41 This is mentioned in the manuscript as a possible explanation for why the model
42 underestimates Δ¹⁷O(nitrate) at high latitudes.

43

1 **In addition, the values shown in Figure 3 of the manuscript really puzzle me. The annual**
2 **fraction of HNO₃ formation from the oxidation of NO_x in the troposphere below 1km altitude**
3 **from the 'XNO₃+H₂O' is almost at same level as the 'NO₂+OH' and 'N₂O₅+H₂O' reactions.**
4 **Why their global integration numbers are so different, e.g. by almost an order of magnitude,**
5 **6% vs 41%? Please explain it.**
6

7 Figure 3 shows the *fractional* importance of nitrate production pathways. XNO₃ hydrolysis is a
8 dominant nitrate production pathway relative to the other pathways over the remote oceans in
9 the mid to high latitudes. However, NO_x emissions are pretty small in these regions, so that the
10 contribution to total, global nitrate production in these remote oceanic regions is small. In the
11 main (anthropogenic) NO_x source regions, the NO₂ + OH and N₂O₅ hydrolysis pathways
12 dominate local nitrate production, resulting in these reactions being dominant globally.
13

14 Although previous studies have not specifically reported the importance of XNO₃ hydrolysis for
15 nitrate production (they focus instead on the importance for NO_x loss), Sherwen et al. (2016)
16 state that the rate of nitrate production from XNO₃ hydrolysis proceeds at a rate of 10% of NO_x
17 loss though the NO₂ + OH pathway. This seems consistent with results from the present study
18 that NO₂ + OH is 41% of global nitrate production near the surface and XNO₃ hydrolysis is about
19 6%. We have added the following sentence to section 3:
20

21 “Although XNO₃ hydrolysis is the dominant nitrate formation pathway over the remote oceans
22 (Figure 3), its contribution to total, global nitrate production is relatively small due to small local
23 NO_x sources in these regions.”
24
25
26
27
28

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1 **Global inorganic nitrate production mechanisms:**
2 **Comparison of a global model with nitrate isotope**
3 **observations**

4
5 Becky Alexander¹, Tomás Sherwen^{2,3}, Christopher D. Holmes⁴, Jenny A. Fisher⁵, Qianjie
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7
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15
16 Correspondence to: Becky Alexander (beckya@uw.edu)

17
18 **Abstract.** The formation of inorganic nitrate is the main sink for nitrogen oxides ($\text{NO}_x = \text{NO} + \text{NO}_2$). Due to the
19 importance of NO_x for the formation of tropospheric oxidants such as the hydroxyl radical (OH) and ozone,
20 understanding the mechanisms and rates of nitrate formation is paramount for our ability to predict the atmospheric
21 lifetimes of most reduced trace gases in the atmosphere. The oxygen isotopic composition of nitrate ($\Delta^{17}\text{O}(\text{nitrate})$) is
22 determined by the relative importance of NO_x sinks, and thus can provide an observational constraint for NO_x
23 chemistry. Until recently, the ability to utilize $\Delta^{17}\text{O}(\text{nitrate})$ observations for this purpose was hindered by our lack
24 of knowledge about the oxygen isotopic composition of ozone ($\Delta^{17}\text{O}(\text{O}_3)$). Recent and spatially widespread
25 observations of $\Delta^{17}\text{O}(\text{O}_3)$ ~~have greatly reduced this uncertainty~~, and ~~allow formotivate~~ an updated comparison of

1 modeled and observed $\Delta^{17}\text{O}(\text{nitrate})$ and a reassessment of modeled nitrate formation pathways. Model updates based
2 on recent laboratory studies of heterogeneous reactions renders dinitrogen pentoxide (N_2O_5) hydrolysis as important
3 as $\text{NO}_2 + \text{OH}$ (both 41%) for global inorganic nitrate production near the surface (below 1 km altitude). All other
4 nitrate production mechanisms individually represent less than 6% of global nitrate production near the surface, but
5 can be dominant locally. Updated reaction rates for aerosol uptake of NO_2 result in significant reduction of nitrate
6 and nitrous acid (-HONO) formed through this pathway in the model, and render NO_2 hydrolysis a negligible pathway
7 for nitrate formation globally. Although photolysis of aerosol nitrate may have implications for NO_x , HONO and
8 oxidant abundances, it does not significantly impact the relative importance of nitrate formation pathways. Modeled
9 $\Delta^{17}\text{O}(\text{nitrate})$ ($28.6 \pm 4.5\text{‰}$) compares well with the average of a global compilation of observations ($27.6 \pm 5.0\text{‰}$)
10 when assuming $\Delta^{17}\text{O}(\text{O}_3) = 26\text{‰}$, giving confidence in the model's representation of the relative importance of ozone
11 versus HO_x ($= \text{OH} + \text{HO}_2 + \text{RO}_2$) in NO_x cycling and nitrate formation on the global scale.

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13 1. Introduction

14
15 Nitrogen oxides ($\text{NO}_x = \text{NO} + \text{NO}_2$) are a critical ingredient for the formation of tropospheric ozone (O_3).
16 Tropospheric ozone is a greenhouse gas, is a major precursor for the hydroxyl radical (OH), and is considered an air
17 pollutant due to its negative impacts on human health. The atmospheric lifetime of NO_x is determined by its oxidation
18 to inorganic and organic nitrate. The formation of inorganic nitrate ($\text{HNO}_3(\text{g})$ and particulate NO_3^-) is the dominant
19 sink for NO_x globally, while formation of organic nitrate may be significant in rural and remote continental locations
20 (Browne and Cohen, 2014). Organic nitrate as a sink for NO_x may be becoming more important in regions in North
21 America and Europe where NO_x emissions have declined (Zare et al., 2018). Uncertainties in the rate of oxidation of
22 NO_x to nitrate has been shown to represent a significant source of uncertainty for ozone and OH formation in models
23 (e.g., Newsome and Evans (2017)), with implications for our understanding of the atmospheric lifetime of species
24 such as methane, whose main sink is reaction with OH .

25
26 NO_x is emitted to the atmosphere primarily as NO by fossil fuel and biomass/biofuel burning, soil microbes, and
27 lightning. Anthropogenic sources from fossil fuel and biofuel burning and from the application of fertilizers to soil
28 for agriculture currently dominate NO_x sources to the atmosphere (Jaeglé et al., 2005). After emission, NO is rapidly

1 oxidized to NO₂ by ozone (O₃), peroxy (HO₂) and hydroperoxy radicals (RO₂), and halogen oxides (e.g., BrO). During
2 the daytime, NO₂ is rapidly photolyzed to NO + O at wavelengths (λ) < 424-398 nm. NO_x cycling between NO and
3 NO₂ proceeds several orders of magnitude faster than oxidation of NO_x to nitrate during the daytime (Michalski et al.,
4 2003).

5
6 Formation of inorganic nitrate is dominated by oxidation of NO₂ by OH during the day and by the hydrolysis of
7 dinitrogen pentoxide (N₂O₅) at night (Alexander et al., 2009). Recent implementation of reactive halogen chemistry
8 in models of tropospheric chemistry show that formation of nitrate from the hydrolysis of halogen nitrates (XNO₃,
9 where X = Br, Cl, or I) is also a sink for NO_x with implications for tropospheric ozone, OH, reactive halogens, and
10 aerosol formation (Schmidt et al., 2016; Sherwen et al., 2016; Saiz-Lopez et al., 2012; Long et al., 2014; Parrella et al.,
11 2012; von Glasow and Crutzen, 2004; Yang et al., 2005). Other inorganic nitrate formation pathways include
12 hydrogen-abstraction of hydrocarbons by the nitrate radical (NO₃), heterogeneous reaction of N₂O₅ with particulate
13 chloride (Cl⁻), heterogeneous uptake of NO₂ and NO₃, direct oxidation of NO to HNO₃ by HO₂, and hydrolysis of
14 organic nitrate (Atkinson, 2000). Inorganic nitrate partitions between the gas (HNO₃(g)) and particle (NO₃⁻) phases,
15 with its relative partitioning dependent upon aerosol abundance, aerosol liquid water content, aerosol chemical
16 composition, and temperature. Inorganic nitrate is lost from the atmosphere through wet or dry deposition to the
17 Earth's surface with a global lifetime against deposition on the order of 3-4 days (Park et al., 2004) (Alexander et al.,
18 2009).

19
20 Formation of inorganic nitrate was thought to be a permanent sink for NO_x in the troposphere due to the slow
21 photolysis of nitrate compared to deposition. However, laboratory and field studies have shown that NO₃⁻ adsorbed
22 on surfaces is photolyzed at rates much higher than HNO₃(g) (Ye et al., 2016). For example, the photolysis of NO₃⁻
23 in snow grains on ice sheets has a profound impact on the oxidizing capacity of the polar atmosphere (Domine and
24 Shepson, 2002). More recently, observations of NO_x and nitrous acid (HONO) provide evidence of photolysis of
25 aerosol NO₃⁻ in the marine (Reed et al., 2017; Ye et al., 2016) and continental (Ye et al., 2018; Chen et al., 2019)
26 boundary layer, with implications for ozone and OH (Kasibhatla et al., 2018).

27

1 Organic nitrates form during reaction of NO_x and NO₃ with biogenic volatile organic compounds (BVOCs) and their
2 oxidation products (organic peroxy radicals, RO₂) (Browne and Cohen, 2014;Liang et al., 1998). Products of these
3 reactions include peroxy nitrates (RO₂NO₂) and alkyl and multifunctional nitrates (RONO₂) (O'Brien et al., 1995).
4 Peroxy nitrates are thermally unstable and decompose back to NO_x on the order of minutes to days at warm
5 temperatures. Decomposition of longer-lived peroxy nitrates such as peroxyacetyl nitrate (PAN) can provide a source
6 of NO_x to remote environments (Singh et al., 1992). The fate of RONO₂ is uncertain. First-generation RONO₂ is
7 oxidized to form second-generation RONO₂ species with a lifetime of about a week for the first-generation species
8 with ≥ 4 carbon atoms, and up to several weeks for species with fewer carbon atoms (e.g., days to weeks for methyl
9 nitrate) (Fisher et al., 2018). Subsequent photolysis and oxidation of second-generation RONO₂ species can lead to
10 the recycling of NO_x (Müller et al., 2014), although recycling efficiencies are highly uncertain (Horowitz et al.,
11 2007;Paulot et al., 2009). RONO₂ can also partition to the particle phase (pRONO₂) contributing to organic aerosol
12 formation (Xu et al., 2015). pRONO₂ is removed from the atmosphere by deposition to the surface, or through
13 hydrolysis to form inorganic nitrate and alcohols (Rindelaub et al., 2015;Jacobs et al., 2014).

14
15 The oxygen isotopic composition ($\Delta^{17}\text{O} = \delta^{17}\text{O} - 0.52 \times \delta^{18}\text{O}$) of nitrate is determined by the relative importance of
16 oxidants leading to nitrate formation from the oxidation of NO_x (Michalski et al., 2003). Observations of the oxygen
17 isotopic composition of nitrate ($\Delta^{17}\text{O}(\text{nitrate})$) have been used to quantify the relative importance of different nitrate
18 formation pathways and to assess model representation of the chemistry of nitrate formation in the present day
19 (Alexander et al., 2009;Michalski et al., 2003;Costa et al., 2011;Ishino et al., 2017a;Morin et al., 2009;Morin et al.,
20 2008;Savarino et al., 2007;Kunasek et al., 2008;Savarino et al., 2013;McCabe et al., 2007;Morin et al., 2007;Hastings
21 et al., 2003;Kaiser et al., 2007;Brothers et al., 2008;Ewing et al., 2007) and in the past from nitrate archived in ice
22 cores (Sofen et al., 2014;Alexander et al., 2004;Geng et al., 2014;Geng et al., 2017). Ozone-influenced reactions in
23 NO_x oxidation lead to high $\Delta^{17}\text{O}(\text{nitrate})$ values while HO_x-influenced reactions lead to $\Delta^{17}\text{O}(\text{nitrate})$ near zero.
24 Oxidation by XO (where X = Br, Cl, or I) leads to $\Delta^{17}\text{O}(\text{nitrate})$ values similar to reactions with ozone because the
25 oxygen atom in XO is derived from the reaction X + O₃. Therefore, $\Delta^{17}\text{O}(\text{nitrate})$ is determined by the relative
26 importance of O₃ + XO versus HO_x (= OH + HO₂ + RO₂) in both NO_x cycling and oxidation to nitrate. Although
27 freshly emitted NO will have $\Delta^{17}\text{O}(\text{NO}) = 0\%$. Due to rapid NO_x cycling during the daytime, NO_x achieves isotopic

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1 equilibrium ~~during the daytime due to rapid NO_x cycling, and so that~~ its $\Delta^{17}\text{O}$ value ($\Delta^{17}\text{O}(\text{NO}_x)$) is solely determined
2 by the relative abundance of ($\text{O}_3 + \text{XO}$) to ($\text{HO}_2 + \text{RO}_2$) (Michalski et al., 2003).

3
4 The $\Delta^{17}\text{O}$ value of HO_x ($\Delta^{17}\text{O}(\text{HO}_x)$) is near zero due to isotopic exchange of OH with water vapor (Dubey et al.,
5 1997). ~~Previously, observations of $\Delta^{17}\text{O}$ value of ozone ($\Delta^{17}\text{O}(\text{O}_3)$) showed a large range (~20–40‰) (Johnston~~
6 ~~and Thiemens, 1997; Krankowsky et al., 1995), in contrast to laboratory and modeling studies suggesting that the range~~
7 ~~of $\Delta^{17}\text{O}(\text{O}_3)$ in the troposphere should be narrow (32 ± 2 ‰) (Morton, 1990; Thiemens, 1990). The large range of~~
8 ~~observed $\Delta^{17}\text{O}(\text{O}_3)$ values is thought to be due to was until recently not well known due to uncertainties arising from~~
9 ~~sampling artifacts (Brenninkmeijer et al., 2003) in the earlier measurements (Johnston and Thiemens,~~
10 ~~1997; Krankowsky et al., 1995) and $\Delta^{17}\text{O}(\text{O}_3)$ has been the largest source of uncertainty in~~
11 quantification of nitrate formation pathways using observations of $\Delta^{17}\text{O}(\text{nitrate})$ (Alexander et al., 2009). Previous
12 modeling studies showed good agreement with observations of $\Delta^{17}\text{O}(\text{nitrate})$ when assuming ~~that the bulk oxygen~~
13 ~~isotopic composition of ozone ($\Delta^{17}\text{O}(\text{O}_3)$) is equal to 35‰ (Alexander et al., 2009; Michalski et al., 2003). Recently,~~
14 much more extensive observations of $\Delta^{17}\text{O}(\text{O}_3)$ using a new technique (Vicars et al., 2012) ~~consistently show~~ $\Delta^{17}\text{O}(\text{O}_3)$
15 ~~= 26 ± 1 ‰ in diverse locations around the globe (Vicars et al., 2012; Ishino et al., 2017b; Vicars and Savarino, 2014),~~
16 and suggest that previous modeling studies are biased low in $\Delta^{17}\text{O}(\text{nitrate})$ (e.g., Alexander et al. (2009)), which would
17 occur if the model underestimated the relative role of ozone in NO_x chemistry. ~~Reduction in uncertainty in the~~
18 ~~value~~ ~~These new observations of $\Delta^{17}\text{O}(\text{O}_3)$, combined with improved understanding and hence more comprehensive~~
19 ~~chemical representation of nitrate formation in models, enables improved interpretation, motivates an updated~~
20 ~~comparison of observed and modeled $\Delta^{17}\text{O}(\text{nitrate})$ as an observational constraint for the relative importance of~~
21 nitrate formation pathways in the atmosphere. Here, we examine the relative contribution of each nitrate formation
22 pathway in a global chemical transport model and compare the model with observations of $\Delta^{17}\text{O}(\text{nitrate})$ from around
23 the world.

25 2. Methods

1 We use the GEOS-Chem global chemical transport model version 12.0.0 driven by assimilated meteorology from the
2 MERRA-2 reanalysis product with a native resolution of $0.5^\circ \times 0.625^\circ$ and 72 vertical levels from the surface up to
3 the 0.01 hPa pressure level. For computational expediency, the horizontal and vertical resolution were downgraded
4 to $4^\circ \times 5^\circ$ and 47 vertical levels. GEOS-Chem was originally described in Bey et al. (2001) and includes coupled
5 HO_x - NO_x -VOC-ozone-halogen-aerosol tropospheric chemistry as described in Sherwen et al. (2016) and Sherwen et
6 al. (2017) and organic nitrate chemistry as described in Fisher et al. (2016). Aerosols interact with gas-phase chemistry
7 through the effect of aerosol extinction on photolysis rates (Martin et al., 2003) and heterogeneous chemistry (Jacob,
8 2000). The model calculates deposition for both gas species and aerosols (Liu et al., 2001; Zhang et al., 2001; Wang
9 et al., 1998).

10
11 Global anthropogenic emissions, including NO_x , are from the Community Emissions Data System (CEDS) inventory
12 from 1950 – 2014 C.E. (Hoesly et al., 2018a). The CEDS global emissions inventory is overwritten by regional
13 anthropogenic emissions inventories in the U.S. (EPA/NE11), Canada (CAC), Europe (EMEP), and Asia (MIX (Li et
14 al., 2017)). Global shipping emissions are from the International Comprehensive Ocean-Atmosphere Data Set
15 (ICOADS), which was implemented into GEOS-Chem as described in Lee et al. (2011). NO_x emissions from ships
16 are processed using the PARANOX module described in Vinken et al. (2011) and Holmes et al. (2014) to account for
17 non-linear, in-plume ozone and HNO_3 production. Lightning NO_x emissions match the OTD/LIS satellite
18 climatological observations of lightning flashes as described by Murray et al. (2012). Emissions from open fires are
19 from the Global Fire Emissions Database (GFED4.1). Biogenic soil NO_x emissions are described in Hudman et al.
20 (2012). Aircraft emissions are from the Aviation Emissions Inventory Code (AEIC) (Stettler et al., 2011).

21
22 Chemical processes leading to nitrate formation in GEOS-Chem have expanded since the previous work of Alexander
23 et al. (2009). Figure 1 summarizes the formation of inorganic nitrate in the current model. In the model, NO is
24 oxidized by O_3 , HO_2 , RO_2 and halogen oxides ($\text{XO} = \text{BrO}$, ClO , IO , and OIO) to form NO_2 . The reaction of $\text{NO} +$
25 HO_2 can also form HNO_3 directly, although the branching ratio for this pathway is $< 1\%$ (Butkovskaya et al., 2005).
26 NO_2 can form HNO_3 directly from its reaction with OH and HO_2 and through hydrolysis on aerosol surfaces. NO_2
27 can react with XO to form halogen nitrates (BrNO_3 , ClNO_3 , and INO_3), which can then form HNO_3 upon hydrolysis
28 (as described in Sherwen et al. (2016)). NO_2 can also react with O_3 to form NO_3 , which can then react with NO_2 ,

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1 hydrocarbons (HC), and the biogenic VOCs monoterpenes (MTN) and isoprene (ISOP). Reaction of NO_3 with NO_2
2 forms N_2O_5 , which can subsequently hydrolyze or react with Cl^- in aerosol to form HNO_3 . Reaction of NO_3 with HC
3 forms HNO_3 via hydrogen abstraction. Reactions of NO_3 are only important at night due to its short lifetime against
4 photolysis. Formation of organic nitrate (RONO_2) was recently updated in the model as described in Fisher et al.
5 (2016). Reaction of NO_3 with MTN and ISOP can form RONO_2 . RONO_2 also forms from the reaction of NO with
6 RO_2 derived from OH oxidation of BVOCs. RONO_2 hydrolyzes to form HNO_3 on a timescale of 1 hour. Inorganic
7 nitrate partitions between the gas ($\text{HNO}_3(\text{g})$) and particle (NO_3^-) phase according to local thermodynamic equilibrium
8 as calculated in the ISORROPIA-II aerosol thermodynamic module (Fountoukis and Nenes, 2007). $\text{HNO}_3(\text{g})$ and
9 NO_3^- are mainly lost from the atmosphere via wet and dry deposition to the surface.

10
11 In the “standard” model, hydrolysis of N_2O_5 , NO_3 ($\gamma_{\text{NO}_3} = 1 \times 10^{-3}$), and NO_2 ($\gamma_{\text{NO}_2} = 1 \times 10^{-4}$) occur on aerosol surfaces
12 only. Uptake and hydrolysis of N_2O_5 on aerosol surfaces depends on the chemical composition of aerosols,
13 temperature, and humidity as described in Evans and Jacob (2005). Recently, Holmes et al. (2019) updated the
14 reaction probabilities of the NO_2 and NO_3 heterogeneous reactions in the model to depend on aerosol chemical
15 composition and relative humidity. Holmes et al. (2019) also updated the N_2O_5 reaction probability to additionally
16 depend on the H_2O and NO_3^- concentrations in aerosol (Bertram and Thornton, 2009). In addition to these updates
17 for hydrolysis on aerosol, Holmes et al. (2019) included the uptake and hydrolysis of N_2O_5 , NO_2 , and NO_3 in cloud
18 water and ice limited by cloud entrainment rates. We incorporate these updates from Holmes et al. (2019) into the
19 “cloud chemistry” model to examine the impacts on global nitrate production mechanisms. We consider the “cloud
20 chemistry” model as state-of-the science, and as such we focus on the results of this particular simulation. Additional
21 model sensitivity studies are also performed and examined relative to the “standard” model simulation. These
22 additional sensitivity simulations are described in Section 4.

23
24 $\Delta^{17}\text{O}(\text{nitrate})$ is calculated in the model using monthly-mean, local chemical production rates, rather than by treating
25 different isotopic combinations of nitrate as separate tracers that can be transported in the model. Alexander et al.
26 (2009) transported four nitrate tracers, one each for nitrate production by NO_2+OH , N_2O_5 hydrolysis, NO_3+HC , and
27 nitrate originating from its formation in the stratosphere. Since $\Delta^{17}\text{O}(\text{NO}_x)$ was not transported in the Alexander et al.
28 (2009) model, it was calculated using local production rates, so effectively only one-third of the $\Delta^{17}\text{O}(\text{nitrate})$ was

1 transported in Alexander et al. (2009). Accurately accounting for transport of $\Delta^{17}\text{O}(\text{nitrate})$ in the model would require
2 transporting all individual isotopic combinations of the primary reactant (NO), the final product (nitrate), and each
3 reaction intermediate (e.g., N_2O_5), which we do not do here due to the large computational costs. Thus, the model
4 results shown here represent $\Delta^{17}\text{O}(\text{nitrate})$ from local NO_x cycling and nitrate production. This may lead to model
5 biases, particularly in remote regions such as polar-regions in winter-time when most nitrate is likely transported from
6 lower latitudes or the stratosphere. This should make ~~little-less~~ difference in polluted regions where most nitrate is
7 formed locally, or for example in polar regions in summer when photochemical recycling of nitrate in the snowpack
8 represents a significant local source of NO_x at the surface (Domine and Shepson, 2002). Although lack of transport
9 of the isotope tracers hinders direct comparison of the model with observations at any particular location. This
10 approach will ~~however~~ reflect the full range of possible modeled $\Delta^{17}\text{O}(\text{nitrate})$ values for the current chemical
11 mechanism, which can then be compared to the range of observed $\Delta^{17}\text{O}(\text{nitrate})$ values around the globe.

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12
13 The $\Delta^{17}\text{O}(\text{nitrate})$ value of nitrate produced from each production pathway is calculated as shown in Table 1. The
14 value of A in Table 1 represents the relative importance of the oxidation pathways of NO to NO_2 where the oxygen
15 atom transferred comes from ozone ($\text{NO} + \text{O}_3$ and $\text{NO} + \text{XO}$):

$$16 \quad A = \frac{k_{\text{O}_3+\text{NO}}[\text{O}_3] + k_{\text{XO}+\text{NO}}[\text{XO}]}{k_{\text{O}_3+\text{NO}}[\text{O}_3] + k_{\text{XO}+\text{NO}}[\text{XO}] + k_{\text{HO}_2+\text{NO}}[\text{HO}_2] + k_{\text{RO}_2+\text{NO}}[\text{RO}_2]} \quad (\text{E1})$$

17 In E1, k represents the local reaction rate constant for each of the four reactions, $\text{XO} = \text{BrO}$, ClO , IO , and OIO , and
18 we assume $\Delta^{17}\text{O}(\text{XO})$ is equal to the $\Delta^{17}\text{O}$ value of the terminal oxygen atoms of ozone, as described in more detail
19 below. This effectively assumes that the other oxidation pathways ($\text{NO} + \text{HO}_2$ and $\text{NO} + \text{RO}_2$) yield $\Delta^{17}\text{O}(\text{NO}_x) =$
20 0% . Although HO_2 may have a small ^{17}O enrichment on the order of 1-2‰ (Savarino and Thiemens, 1999b), the
21 assumption that this pathway yields $\Delta^{17}\text{O}(\text{NO}_x) = 0\%$ simplifies the calculation and leads to negligible differences in
22 calculated $\Delta^{17}\text{O}(\text{nitrate})$ (Michalski et al., 2003). This approach assumes that NO_x cycling is in photochemical steady-
23 state, which only occurs during the daytime. A is calculated in the model as the 24-hour average NO_2 production rate,
24 rather than the daytime average only. As was shown in Alexander et al. (2009), rapid daytime NO_x cycling dominates
25 the calculated 24-hour averaged A value, leading to negligible differences in calculated $\Delta^{17}\text{O}(\text{nitrate})$ for 24-hour
26 averaged values versus daytime averaged values.

27

1 NO_x formed during the day will retain its daytime $\Delta^{17}\text{O}(\text{NO}_x)$ signature throughout the night due to lack of NO₂
2 photolysis (Morin et al., 2011), suggesting similar *A* values for the nighttime reactions (R2, R4, R5, R8, and R10 in
3 Table 1). However, NO emitted at night will ~~not undergo photochemical recycling; initially suggesting that NO will~~
4 ~~retain its emitted $\Delta^{17}\text{O}(\text{NO})$ value of 0‰ prior to sunrise.~~ (Sharma et al., 1970;Michalski et al., 2014;Morin et al.,
5 2011) ~~retain its originally emitted isotopic signature ($\Delta^{17}\text{O}(\text{NO}) = 0\%$) due to lack of NO_x cycling under dark~~
6 ~~conditions.~~ Thus, ~~A~~any NO emitted at night and oxidized to NO₂ before sunrise will result in $\Delta^{17}\text{O}(\text{NO}_2)$ equal to
7 one-half of the $\Delta^{17}\text{O}$ value of the oxidant, since only one of the two oxygen atoms of NO₂ will originate from the
8 oxidant. Since HO_x abundance is low at night, ozone will be the dominant oxidant. Thus, NO both emitted and
9 oxidized to NO₂ at night will lead to $A_{\text{night}} = 0.5$ (half of the O atoms of NO₂ originate from O₃). ~~Although isotopic~~
10 ~~exchange between NO + NO₂ (Sharma et al., 1970) and NO₂ and NO₃ via thermal dissociation of N₂O₅ (Connell and~~
11 ~~Johnston, 1979) will tend to increase $\Delta^{17}\text{O}(\text{NO})$ above its emitted value of 0‰, the bulk $\Delta^{17}\text{O}$ value of NO_x plus NO₃~~
12 ~~system will be lower at night than during the daytime due to the absence of photochemical cycling at night (Michalski~~
13 ~~et al., 2014;Morin et al., 2011).~~ Since the atmospheric lifetime of NO_x ~~near the surface~~ against nighttime oxidation to
14 nitrate (R2+R4+R5) is typically greater than 24 hours (Figure S1), most nitrate formed during the nighttime will form
15 from NO_x that reached photochemical equilibrium during the previous day. Thus, we use values of *A* calculated as
16 the 24-hour average NO₂ production rate for calculating the $\Delta^{17}\text{O}(\text{nitrate})$ value of all nitrate production pathways,
17 including those that can occur at night. This is consistent with a box modeling study that explicitly calculated the
18 diurnal variability of $\Delta^{17}\text{O}(\text{NO}_x)$ and $\Delta^{17}\text{O}(\text{nitrate})$ suggesting similar (within 5%) values for $\Delta^{17}\text{O}(\text{nitrate})$ when
19 assuming the NO_x reached photochemical steady-state versus explicit calculation of diurnal variability of $\Delta^{17}\text{O}(\text{NO}_x)$
20 and $\Delta^{17}\text{O}(\text{nitrate})$ (Morin et al., 2011). Using 24-hour averaged *A* values may lead to an overestimate of $\Delta^{17}\text{O}(\text{nitrate})$
21 in locations with more rapid nighttime nitrate formation rates such as in China and India (Figure S1). However, even
22 in these locations the lifetime of NO_x against nighttime oxidation is greater than 12 hours, suggesting that over half of
23 nitrate formation at night occurs from the oxidation of NO_x that reached photochemical equilibrium during the
24 daytime. When comparing modeled $\Delta^{17}\text{O}(\text{nitrate})$ with observations, we add error bars to model values in these
25 locations (Beijing and Mt. Lulin, Taiwan) that reflect the range of possible *A* values for nighttime nitrate formation,
26 with the high end (A_{high}) reflecting 24-hour average *A* values and the low end assuming that half of nitrate formation

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1 occurs from oxidation of NO_x that reached photochemical equilibrium during the daytime ($A_{low} = 0.5A + 0.5A_{night} =$
2 $0.5A + 0.25$, where $A_{night} = 0.5$).

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3
4 $\Delta^{17}O(\text{nitrate})$ for total nitrate is calculated in the model according to:

$$5 \Delta^{17}O(\text{nitrate}) = \sum_{R=R1}^{R10} f_R \Delta^{17}O(\text{nitrate})_R \quad (E2)$$

6 where f_R represents the fractional importance of each nitrate production pathway (R1-R10 in Table 1) relative to total
7 nitrate production, and $\Delta^{17}O(\text{nitrate})_R$ is the $\Delta^{17}O(\text{nitrate})$ value for each reaction as described in Table 1. To calculate
8 $\Delta^{17}O(\text{nitrate})$, we assume that the mean $\Delta^{17}O$ value of the ozone molecule ($\Delta^{17}O(O_3)$) is equal to 26‰ based on recent
9 observations (Vicars et al., 2012;Ishino et al., 2017b;Vicars and Savarino, 2014). Since the ¹⁷O enrichment in O₃ is
10 contained entirely in its terminal oxygen atoms (Vicars et al., 2012;Berhanu et al., 2012;Bhattacharya et al.,
11 2008;Savarino et al., 2008;Michalski and Bhattacharya, 2009;Bhattacharya et al., 2014), and it is the terminal oxygen
12 atom that is transferred to the oxidation product during chemical reactions (Savarino et al., 2008;Berhanu et al., 2012),
13 the $\Delta^{17}O$ value of the oxygen atom transferred from ozone to the product is 50% larger than the bulk $\Delta^{17}O(O_3)$ value.

14 Thus, we assume that the $\Delta^{17}O$ value of the oxygen atom transferred from O₃ ($\Delta^{17}O(O_3^*)$) = 1.5 x $\Delta^{17}O(O_3)$ = 39‰,
15 as in previous work (e.g., (Morin et al., 2011)), where $\Delta^{17}O(O_3^*)$ represents the $\Delta^{17}O$ value of the terminal oxygen
16 atoms in ozone. Assuming that $\Delta^{17}O(O_3) = 26$ ‰ based on recent observations, this leads to $\Delta^{17}O(O_3^*) = 39$ ‰.

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19 3. Results and Discussion

20
21 Figure 1 shows the relative importance of the different oxidation pathways of NO to NO₂ and nitrate formation below
22 1 km altitude in the model for the “cloud chemistry” simulation, with equivalent values for the “standard” simulation
23 shown in parentheses. We focus on model results near the surface (below 1 km) because these can be compared to
24 observations; currently only surface observations of $\Delta^{17}O(\text{nitrate})$ are available. We note that two observation data
25 sets (from Bermuda (Hastings et al., 2003) and Princeton, NJ (Kaiser et al., 2007)) are rainwater samples and thus
26 may represent nitrate formed aloft. However, since cloud water peaks on average near 1 km altitude in the MERRA2
27 meteorology used to drive GEOS-Chem, our model sampling strategy should capture the majority of the influence of

1 clouds on nitrate formation. The dominant oxidant of NO to NO₂ is O₃ (84-85%). Much of the remaining oxidation
2 occurs due to the reaction with peroxy radicals (HO₂ and RO₂). Oxidation of NO to NO₂ by XO is minor (1%) and
3 occurs over the oceans because the main source of tropospheric reactive halogens is from sea salt aerosol and sea
4 water (Chen et al., 2017; Sherwen et al., 2016; Wang et al., 2018) (Figure 2). In the model, the global, annual mean
5 lifetime of NO_x in the troposphere against oxidation to nitrate is about 1 day; about 50% of this loss is from the reaction
6 of NO₂ + OH. NO_x loss from N₂O₅ becomes more important near the surface where aerosol surface area is relatively
7 high. The global, annual mean lifetime of nitrate in the troposphere against wet and dry deposition to the surface is
8 about 3 days.

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9
10 For both the “cloud chemistry” and “standard” simulations, the two most important nitrate formation pathways are
11 NO₂ + OH (41-42%) and N₂O₅ hydrolysis (28-41%), the latter of which is dominant over the mid- to high-northern
12 continental latitudes during winter where both NO_x emissions and aerosol abundances are relatively large (Figures 1
13 and 3). The “cloud chemistry” simulation results in an equal importance of nitrate formation via NO₂ + OH and N₂O₅
14 hydrolysis (both 41%) due to increases in the rate of N₂O₅ uptake in clouds and decreases in the importance of NO₂
15 hydrolysis, which can compete with N₂O₅ formation at night. In the “standard” model, NO₂ hydrolysis represents an
16 important nitrate production mechanism (12%), but it is negligible in the “cloud chemistry” simulation due to the
17 reduction in the reaction probability (from $\gamma_{\text{NO}_2} = 10^{-4}$ to $\gamma_{\text{NO}_2} = 10^{-4}$ to 10^{-8}) in the model, which is supported by
18 laboratory studies (Burkholder et al., 2015; Crowley et al., 2010; Tan et al., 2016). The formation of HNO₃ from the
19 hydrolysis of RONO₂ formed from both daytime (NO + RO₂) and nighttime (NO₃ + MTN/ISOP) reactions represents
20 6% of total, global nitrate formation (Figure 1) and is dominant over Amazonia (Figure 3). RONO₂ hydrolysis
21 represents up to 20% of inorganic nitrate formation in the southeast U.S. (Figure 3). This is similar to Fisher et al.
22 (2016) who estimated that formation of RONO₂ accounts for up to 20% of NO_x loss in this region during summer,
23 with RONO₂ hydrolysis representing 60% of RONO₂ loss. Globally, the formation of inorganic nitrate from the
24 hydrolysis of RONO₂ is dominated by RONO₂ formation from the daytime reactions (3-6%), while the formation of
25 RONO₂ from nighttime reactions represents up to 3%. The relative importance of nighttime and daytime RONO₂
26 formation is expressed as a range because precursors to RONO₂ that formed from monoterpenes can form from both
27 daytime and nighttime reactions, and these precursors are not separately diagnosed in the model output. HNO₃

1 formation from $\text{NO}_3 + \text{HC}$ and the hydrolysis of XNO_3 are small globally (5-6%), ~~but the latter is dominant over the~~
2 ~~remote oceans (Figure 3).~~ Although XNO_3 hydrolysis is the dominant nitrate formation pathway over the remote
3 oceans (Figure 3), its contribution to total, global nitrate production is relatively small due to small local NO_x sources
4 in these regions.

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5
6 Figures 4 - 6 show modeled $\Delta^{17}\text{O}(\text{nitrate})$ for the “cloud chemistry” simulation (the “standard” simulation is shown in
7 Figures S2 – S4). Figure 4 shows modeled annual-mean $\Delta^{17}\text{O}(\text{nitrate})$ below 1 km altitude ($\Delta^{17}\text{O}(\text{NO}_2)$ is shown in
8 Figure S5). The model predicts an annual-mean range of $\Delta^{17}\text{O}(\text{nitrate}) = 4 - 33\%$ near the surface. The lowest values
9 are over Amazonia due to the dominance of RONO_2 hydrolysis and the highest values are over the mid-latitude oceans
10 due to the dominance of XNO_3 hydrolysis (Figures 3 and 4).

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11
12 Figure 5 compares the model with a global compilation of $\Delta^{17}\text{O}(\text{nitrate})$ observations from around the world.
13 Observations included in Figure 5 include locations where there is enough data to calculate monthly means ~~at each~~
14 ~~location~~ (McCabe et al., 2006;Kunasek et al., 2008;Hastings et al., 2003;Kaiser et al., 2007;Michalski et al.,
15 2003;Guha et al., 2017;Savarino et al., 2013;Ishino et al., 2017b;Savarino et al., 2007;Alexander et al., 2009;He et al.,
16 2018b). Figure 6 compares the seasonality in modeled $\Delta^{17}\text{O}(\text{nitrate})$ to the observations where samples were collected
17 over the course of approximately one year (McCabe et al., 2006;Kunasek et al., 2008;Kaiser et al., 2007;Michalski et
18 al., 2003;Guha et al., 2017;Savarino et al., 2013;Ishino et al., 2017b;Savarino et al., 2007;Alexander et al., 2009). In
19 contrast to Alexander et al. (2009), the model does not significantly underestimate the $\Delta^{17}\text{O}(\text{nitrate})$ observations when
20 assuming a bulk ozone isotopic composition ($\Delta^{17}\text{O}(\text{O}_3)$) on the order of 25‰ (see Figure 2d in Alexander et al. (2009)).
21 The increase in modeled $\Delta^{17}\text{O}(\text{nitrate})$ is due to increased importance of O_3 in NO_x cycling (85% below 1 km)
22 compared to Alexander et al. (2009) (80% below 1 km altitude), and an increase in the number and fractional
23 importance of nitrate formation pathways that yield relatively high values of $\Delta^{17}\text{O}(\text{nitrate})$ (red pathways in Fig. 1).
24 Although XO species themselves are only a minor NO oxidation pathway (1%), the addition of reactive halogen
25 chemistry in the model has altered the relative abundance of O_3 and HO_x (Sherwen et al., 2016) in such a way as to
26 increase the modeled $\Delta^{17}\text{O}(\text{NO}_x)$. The Alexander et al. (2009) study used GEOS-Chem v8-01-01, which included

1 tropospheric nitrate formation from the $\text{NO} + \text{OH}$, $\text{N}_2\text{O}_5 + \text{H}_2\text{O}$, and $\text{NO}_3 + \text{HC}$ pathways only. An increased
2 importance of N_2O_5 hydrolysis (R4) and additional nitrate formation pathways that yield relatively high values of
3 $\Delta^{17}\text{O}(\text{nitrate})$ (R5, R6, R8, and R10) in the present study also explain the increase in modeled $\Delta^{17}\text{O}(\text{nitrate})$ relative to
4 Alexander et al. (2009). An increase in the average A value from 0.80 to 0.85 would tend to increase the calculated
5 $\Delta^{17}\text{O}(\text{nitrate})$ on the order of 2‰ ($0.05 \times \Delta^{17}\text{O}(\text{O}_3^*)$), suggesting that the increase in the relative importance of the
6 terminal reactions R4, R5, R6, R8, and R10 explains the majority of the difference between the results presented here
7 compared to (Alexander et al., 2009). Assuming a value of 35‰ for bulk $\Delta^{17}\text{O}(\text{O}_3)$ in the model that did not include
8 reactive halogen chemistry or heterogeneous reactions in cloud water produced good agreement between modeled and
9 observed $\Delta^{17}\text{O}(\text{nitrate})$ in Alexander et al. (2009); however, in the current version of the model this bulk isotopic
10 assumption leads to a model overestimate at nearly all locations (Figure S56). The “cloud chemistry” model shows
11 somewhat better agreement with the observations ($R^2 = 0.51$ in Figure 5) compared to the “standard” model ($R^2 =$
12 0.48 in Figure S3). Improved agreement with the observations occurs in the mid- to high-latitudes (Figures 6 and S4)
13 is due to addition of N_2O_5 hydrolysis in clouds (Figures 3 and S6).

14
15 The mean $\Delta^{17}\text{O}(\text{nitrate})$ value of the observations ($27.7 \pm 5.0\text{‰}$) shown in Figure 5 is not significantly different from
16 the modeled values at the location of the observations ($28.6 \pm 4.5\text{‰}$); however, the range of $\Delta^{17}\text{O}(\text{nitrate})$ values of
17 the observations ($10.9 - 40.6\text{‰}$) is larger than in the model ($19.6 - 37.6\text{‰}$). As previously noted in Savarino et al.
18 (2007), the maximum observed $\Delta^{17}\text{O}(\text{nitrate})$ value (40.6‰) is not possible given our isotope assumption for the
19 terminal oxygen atom of ozone ($\Delta^{17}\text{O}(\text{O}_3^*) = 39\text{‰}$); however, it is theoretically possible given the approximately 2‰
20 uncertainty in observed $\Delta^{17}\text{O}(\text{O}_3^*)$. A value of $\Delta^{17}\text{O}(\text{nitrate}) = 41\text{‰}$ is possible if $\Delta^{17}\text{O}(\text{O}_3^*) = 41\text{‰}$ and all oxygen
21 atoms of nitrate originate from ozone ($A = 1$ and all nitrate forms from R2 and/or R5). Although this may be possible
22 for nitrate formed locally in the Antarctic winter due to little to no sunlight, lack of local NO_x sources during Antarctic
23 winter makes it unlikely that all nitrate observed in Antarctica forms locally. Long-range transport from lower latitudes
24 and/or the stratosphere likely contributes to nitrate observed in Antarctica during winter (Lee et al., 2014). Observed
25 $\Delta^{17}\text{O}(\text{nitrate}) > 39\text{‰}$ (in Antarctica) has been suggested to be due to transport of nitrate from the stratosphere (Savarino
26 et al., 2007), as stratospheric O_3 is expected to have a higher $\Delta^{17}\text{O}(\text{O}_3)$ value than ozone produced in the troposphere
27 (Krankowsky et al., 2000; Mauersberger et al., 2001; Lyons, 2001). Indeed, the model underestimates the observations

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1 at Dumont d'Urville (DDU) and the South Pole (both in Antarctica) during winter and spring (Figure 6), when and
2 where the stratospheric contribution is expected to be most important (Savarino et al., 2007). The model underestimate
3 in Antarctica may also be due to model underestimates of BrO column (Chen et al., 2017) and ozone abundance
4 (Sherwen et al., 2016) in the southern high latitudes. The largest model overestimates occur at Mt. Lulin, Taiwan
5 (Figures 5 and 6). Based on nitrogen isotope observations ($\delta^{15}\text{N}$), nitrate at Mt. Lulin is thought to be influenced by
6 anthropogenic nitrate emitted in polluted areas of mainland China and transported to Mt. Lulin, rather than local nitrate
7 production (Guha et al., 2017). However, observations of $\Delta^{17}\text{O}(\text{nitrate})$ in autumn and winter in Beijing suggest much
8 higher values ($30.6 \pm 1.8\text{‰}$) than was measured at Mt. Lulin ($15 - 30\text{‰}$ in winter). A potential reason for the model
9 overestimate of the observed values at Mt. Lulin could be qualitatively explained by transport of nitrate formed in the
10 free troposphere to this high altitude location, where the high $\Delta^{17}\text{O}(\text{nitrate})$ producing pathways (R4-R8) should be
11 negligible due to minimal aerosol surface area for heterogeneous chemistry. The model compares well-better to the
12 mid-latitude locations close to pollution sources (La Jolla and Princeton), although the model overestimates wintertime
13 $\Delta^{17}\text{O}(\text{nitrate})$ in Princeton, NJ, USA by up to 6‰ and underestimates winter time $\Delta^{17}\text{O}(\text{nitrate})$ in La Jolla, CA, USA
14 by up to 4‰. The model overestimate at Princeton during winter could be due to the fact that these are precipitation
15 samples and not ambient aerosol samples, and thus may reflect nitrate formed at altitudes higher than we are sampling
16 in the model. The underestimate at La Jolla, CA could be due to underestimates in reactive chlorine chemistry in the
17 model, which would tend to increase $\Delta^{17}\text{O}(\text{nitrate})$ by increasing nitrate formation by the hydrolysis of halogen nitrates
18 (R6) in this coastal location. The model underestimates the $\Delta^{17}\text{O}(\text{nitrate})$ observations at Cape Verde in late
19 summer/early autumn by up to 6‰ (Savarino et al., 2013). Comparison with results from the steady-state model
20 employed in Savarino et al. (2013) suggests that the low bias could be due to an underestimate of nitrate formation
21 via $\text{NO}_3 + \text{DMS}$ (R2). The steady-state model in Savarino et al. (2013) agreed with observations when R2 represented
22 about one-third of total nitrate formation. The model results presented here have R2 representing about 15% of total
23 nitrate formation in this season. An underestimate of the relative importance of R2 could result from a model
24 underestimate of atmospheric DMS abundances.

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26 4. Model uncertainties

1 The uncertainty in the two most important nitrate formation pathways, $\text{NO}_2 + \text{OH}$ and N_2O_5 hydrolysis, and their
2 impacts on NO_x and oxidant budgets, have been examined and discussed elsewhere (Macintyre and Evans,
3 2010; Newsome and Evans, 2017; Holmes et al., 2019). The impacts of the formation and hydrolysis of halogen nitrates
4 on global NO_x and oxidant budgets have also been previously examined (Sherwen et al., 2016). Here we focus on
5 three additional processes using a set of model sensitivity studies. First, we examine the importance of the third most
6 important nitrate production pathway on the global scale as predicted by the “standard” model, NO_2 aerosol uptake
7 and hydrolysis, and its implications for the global NO_x , nitrate, and oxidant budgets. Second, we examine the role of
8 changing anthropogenic NO_x emissions over a 15-year period (2000 to 2015) on the relative importance of the
9 formation of inorganic nitrate from the hydrolysis of organic nitrates. Finally, we examine the role of aerosol nitrate
10 photolysis on the relative importance of different nitrate formation pathways. The impact of aerosol nitrate photolysis
11 on NO_x and oxidant budgets has been examined in detail elsewhere (Kasibhatla et al., 2018).

12

13 **4.1 Heterogeneous uptake and hydrolysis of NO_2**

14 Heterogeneous uptake of NO_2 to form HNO_3 and HONO is the third most important nitrate formation pathway in the
15 “standard” model on the global scale (Figure 1). The reaction probability (γ_{NO_2}) measured in laboratory studies ranges
16 between 10^{-8} to 10^{-4} depending on aerosol chemical composition (Lee and Tang, 1988; Crowley et al., 2010; Gutzwiller
17 et al., 2002; Yabushita et al., 2009; Abbatt and Waschewsky, 1998; Burkhart et al., 2015; Broske et al., 2003; Li et al.,
18 2018a; Xu et al., 2018). A value of $\gamma_{\text{NO}_2} = 10^{-4}$ is used in the “standard” model, which is at the high end of the reported
19 range. A molar yield of 0.5 for both HNO_3 and HONO formation is assumed in the model based on laboratory studies
20 and hypothesized reaction mechanisms (Finlayson-Pitts et al., 2003; Jenkin et al., 1988; Ramazan et al., 2004; Yabushita
21 et al., 2009). However, both the reaction rate and mechanism of this reaction and its dependence on chemical
22 composition and pH is still not well understood (Spataro and Ianniello, 2014).

23

24 The “cloud chemistry” simulation uses a reaction probability formulation for aerosol uptake of NO_2 (γ_{NO_2}) that
25 depends on aerosol chemical composition, ranging from $\gamma_{\text{NO}_2} = 10^{-8}$ for dust to $\gamma_{\text{NO}_2} = 10^{-4}$ for black carbon based on
26 recent laboratory studies (Holmes et al., 2019). The updated NO_2 reaction probability results in a negligible (<1%)
27 importance of this reaction for nitrate formation, compared to 12% contribution in the “standard” model. The “cloud
28 chemistry” simulation significantly increases the fractional importance of N_2O_5 hydrolysis (from 28 to 41%, globally

1 below 1 km altitude) compared to the “standard” simulation, in part due to decreased competition from NO₂ hydrolysis
2 and in part due to increased N₂O₅ hydrolysis in clouds. To evaluate the relative importance of competition from NO₂
3 hydrolysis and the addition of N₂O₅ hydrolysis in clouds, we perform a model sensitivity study that is the same as the
4 “standard” simulation but decreases the reaction probability of NO₂ hydrolysis on aerosol ($\gamma_{\text{NO}_2} = 10^{-7}$), without adding
5 N₂O₅ hydrolysis in clouds. Similar to the “cloud chemistry” simulation, using $\gamma_{\text{NO}_2} = 10^{-7}$ renders NO₂ hydrolysis a
6 negligible nitrate formation pathway, and increases the relative importance of N₂O₅ hydrolysis from 28% to 37%.
7 This suggests that reduced competition from NO₂ hydrolysis is the main reason for the increased importance of N₂O₅
8 hydrolysis in the “cloud chemistry” simulation, though the addition of heterogeneous reactions on clouds also plays a
9 role.

10
11 NO₂ hydrolysis represents a significant source of HONO in the “standard” model simulation; the reduced NO₂ reaction
12 probability from $\gamma_{\text{NO}_2} = 10^{-4}$ to $\gamma_{\text{NO}_2} = 10^{-7}$ results in a reduction of HONO below 1 km altitude by up to 100% over
13 the continents, with relatively small (up to 1 ppb) changes in nitrate concentrations (Figure 7). The reduction in the
14 rate of heterogeneous NO₂ uptake leads to reductions in OH where this reaction was most important in the model
15 (over China and Europe) due to reductions in HONO, but leads to increases in OH elsewhere due to increases in ozone
16 (by up to a few ppb) resulting from small increases in the NO_x lifetime due to a reduction in the NO_x sink (Figure 8).
17 Similar changes in HONO are seen when comparing the “standard” and “cloud chemistry” simulation (not shown).
18 Increased importance of N₂O₅ hydrolysis in both the “cloud chemistry” simulation and the simulation without cloud
19 chemistry but with a reduced reaction probability for NO₂ hydrolysis increases modeled annual-mean $\Delta^{17}\text{O}(\text{nitrate})$
20 by up to 3‰ in China where this reaction is most important. This improves model agreement with monthly-mean
21 observations of $\Delta^{17}\text{O}(\text{nitrate})$ in Beijing (He et al., 2018a) (Figures 5 and S3).

22
23 The product yields of NO₂ hydrolysis are also uncertain. Jenkin et al. (1988) proposed the formation of a water
24 complex, NO₂·H₂O, leading to the production of HONO and HNO₃. Finlayson-Pitts et al. (2003) and Ramazan et al.
25 (2004) proposed the formation of the dimer N₂O₄ on the surface, followed by isomerization to form NO⁺NO₃⁻.
26 Reaction of NO⁺NO₃⁻ with H₂O results in the formation of HONO and HNO₃. Laboratory experiments by Yabushita
27 et al. (2009) suggested that dissolved anions catalyzed the dissolution of NO₂ to form a radical intermediate X-NO₂⁻
28 (where X = Cl, Br, or I) at the surface followed by reaction with NO₂(g) to form HONO and NO₃⁻. These experiments

1 described above were performed at NO₂ concentrations much higher than exist in the atmosphere (10 – 100 ppm)
2 (Yabushita et al., 2009;Finlayson-Pitts et al., 2003;Ramazan et al., 2004). A laboratory study utilizing isotopically
3 labeled water to investigate the reaction mechanism suggested that the formation of HONO resulted from the reaction
4 between adsorbed NO₂ and H⁺, while the formation of HNO₃ resulted from the reaction between adsorbed NO₂ and
5 OH⁻, and did not involve the N₂O₄ intermediate (Gustafsson et al., 2009). Results from Gustafsson et al. (2009)
6 suggest an acidity-dependent yield of HONO and HNO₃, favoring HONO at low pH values. A recent study in the
7 northeast U.S. during winter found that modeled nitrate abundance was overestimated using a molar yield of 0.5 for
8 HONO and HNO₃, and the model better matched the observations of NO₂ and nitrate when assuming a molar yield of
9 1.0 for HONO (Jaeglé et al., 2018). Particles were acidic (pH < 2) during this measurement campaign (Guo et al.,
10 2017;Shah et al., 2018), which may favor HONO production over HNO₃.

11
12 We examine the potential importance of this acidity-dependent yield by implementing a pH-dependent product yield
13 in two separate sensitivity simulations, first using an NO₂ aerosol uptake reaction probability of $\gamma = 10^{-4}$ as in the
14 “standard” simulation and second with $\gamma_{\text{NO}_2} = 10^{-7}$. The acidity-dependent yield for HONO and HNO₃ formation is
15 based on the laboratory study by Gustafsson et al. (2009). We use aerosol pH calculated from ISORROPIA II
16 (Fountoukis and Nenes, 2007) to calculate the concentration of [H⁺] and [OH⁻] in aerosol water. The yield of HONO
17 (Y_{HONO}) from heterogeneous uptake of NO₂ on aerosol surfaces is calculated according to E3:

$$18 \quad Y_{\text{HONO}} = \frac{[\text{H}^+]}{[\text{H}^+] + [\text{OH}^-]} \quad (\text{E3})$$

19 where [H⁺] and [OH⁻] are in units of M. The yield of HNO₃ from this reaction is equal to (1 - Y_{HONO}). E3 yields values
20 of Y_{HONO} near unity for aerosol pH values less than 6, decreasing rapidly to zero between pH values between 6-8
21 (Figure S89). Calculated aerosol pH values are typically < 6 in the model except in remote regions far from NO_x
22 sources (Figure S97), favoring the product HONO.

23
24 The acidity-dependent yield implemented in the “standard” simulation with $\gamma_{\text{NO}_2} = 10^{-4}$ increases HONO
25 concentrations by up to 1 ppbv in China where this reaction is most important (Figure 940). Fractional increases in
26 HONO exceed 100% in remote locations (Figure 940). Increased HONO leads to increases in OH on the order of
27 10 – 20% in most locations below 1 km altitude, while ozone concentrations increase in most locations by up to several
28 ppbv (Figure 940). The exception is the southern high latitudes; likely due to decreased formation and thus transport

1 of nitrate to remote locations. The impact on NO_x and nitrate budgets is relatively minor. The global, annual mean
2 NO_x burden near the surface (below 1 km) increases slightly (+2%) as a result of the decreased rate of conversion of
3 NO₂ to nitrate; the change to the global tropospheric burden is negligible. Annual-mean surface nitrate concentrations
4 show small decreases up to 1 ppbv in China where this reaction is most important in the model; impacts on nitrate
5 concentrations over a shorter time period may be more significant (Jaeglé et al., 2018). The fraction of HNO₃ formed
6 from NO₂ + OH (49%) increases due to increases in OH from the HONO source. The fraction of HNO₃ formation
7 from the uptake and hydrolysis of N₂O₅ also increases (from 28% to 32%) due to reductions in the nighttime source
8 of nitrate from NO₂ hydrolysis. The calculated mean $\Delta^{17}\text{O}(\text{nitrate})$ at the location of the observations shown in Figure
9 5 ($27.9 \pm 5.0\text{‰}$) is not significantly impacted due to compensating effects from changes in both high- and low-
10 producing $\Delta^{17}\text{O}(\text{nitrate})$ values. Modeled monthly mean $\Delta^{17}\text{O}(\text{nitrate})$ in China, where NO₂ hydrolysis is most
11 important increases by ~1‰, but is still biased low by 1-2‰.

12
13 Using a combination of both the low reaction probability ($\gamma = 10^{-7}$) and the acidity-dependent yield gives similar results
14 as using $\gamma = 10^{-7}$ and assuming a molar yield of 0.5 for HONO and HNO₃ (not shown). In other words, including a
15 pH-dependent product yield rather than a yield of 0.5 for HONO and nitrate results in negligible differences for
16 oxidants, NO_x and nitrate abundances when the reaction probability (γ_{NO_2}) is low.

17

18 **4.2 Hydrolysis of organic nitrates (RONO₂)**

19 Anthropogenic NO_x emissions have been increasing in China and decreasing in the U.S. and Europe (Richter et al.,
20 2005;Hoesly et al., 2018b), with implications for the relative importance of inorganic and organic nitrate formation as
21 a sink for NO_x (Zare et al., 2018). To examine the impacts of recent changes in anthropogenic NO_x emissions for
22 nitrate formation pathways, we run the “standard” model using the year 2000 emissions and meteorology after a 1-
23 year model spin up, and compare the results to the “standard” model simulation run in the year 2015. This time-period
24 encompasses significant changes in anthropogenic NO_x emissions in the U.S., Europe, and China, and encompasses
25 most of the time period of the observations shown in Figures 5 and 6. Total, global anthropogenic emissions of NO_x
26 are slightly lower in the 2000-year simulation (30 Tg N yr⁻¹) compared to the year 2015 simulation (31 Tg N yr⁻¹) due
27 to decreases in North America and Europe, counteracted by increases in Asia (Figure [S7S10](#)). This leads to increases
28 of less than 10% in the annual-mean, fractional importance of the source of nitrate from the hydrolysis of organic

1 nitrates in the U.S., and corresponding decreases of less than 10% over China (Figure 10+). Relatively small changes
2 (< 10%) in nitrate formation pathways yield small changes (< 2%) in modeled annual-mean $\Delta^{17}\text{O}(\text{nitrate})$ between
3 the year 2000 and 2015, differences in $\Delta^{17}\text{O}(\text{nitrate})$ over shorter time periods may be larger. Changes in the formation
4 of nitrate from the hydrolysis of RONO_2 remains unchanged globally, as increases in the U.S. and Europe and
5 decreases in China counteract one another.

7 4.3 Photolysis of aerosol nitrate

8 Observations have demonstrated that aerosol nitrate can be photolyzed at rates much faster than $\text{HNO}_3(\text{g})$ (Reed et al.,
9 2017;Ye et al., 2016); however, the magnitude of the photolytic rate constant is uncertain. We examine the
10 implications of this process for global nitrate formation pathways by implementing the photolysis of aerosol nitrate as
11 described in Kasibhatla et al. (2018) into the “standard” model simulation, scaling the photolytic rate constant for both
12 fine- and coarse-mode aerosol nitrate to a factor of 25 times higher than that for $\text{HNO}_3(\text{g})$ (Kasibhatla et al.,
13 2018;Romer et al., 2018), with a molar yield of 0.67 for HONO and 0.33 for NO_x production. The global, annual
14 mean NO_x burden near the surface (below 1 km) increases slightly (+2%) as a result of the photolytic recycling of
15 nitrate to NO_x , similar to Kasibhatla et al. (2018). Aerosol nitrate photolysis results in only small impacts on the
16 relative importance of nitrate formation pathways (< 2%) likely due to simultaneous increases in O_3 and OH
17 (Kasibhatla et al., 2018), which in turn yields small impacts on calculated $\Delta^{17}\text{O}(\text{nitrate})$ at the location of the
18 observations shown in Figure 5 ($27.9 \pm 5.0\%$). Nitrate photolysis itself has minimal impact on $\Delta^{17}\text{O}(\text{nitrate})$ because
19 it is a mass-dependent process (McCabe et al., 2005).

21 5 Conclusions

22 Observations of $\Delta^{17}\text{O}(\text{nitrate})$ can be used to help quantify the relative importance of different nitrate formation
23 pathways. Interpretation of $\Delta^{17}\text{O}(\text{nitrate})$ requires knowledge of $\Delta^{17}\text{O}(\text{O}_3)$, ~~which until recently was highly uncertain.~~
24 Previous modeling studies showed good agreement between observed and modeled $\Delta^{17}\text{O}(\text{nitrate})$ when assuming a
25 bulk oxygen isotopic composition of ozone ($\Delta^{17}\text{O}(\text{O}_3)$) = of 35‰ based on laboratory and modeling studies (Morton,
26 1990;Thiemens, 1990;Lyons, 2001). However, recent and spatially widespread observations of $\Delta^{17}\text{O}(\text{O}_3)$ ~~from around~~
27 ~~the world~~ have consistently shown $\Delta^{17}\text{O}(\text{O}_3) = 26 \pm 1\%$, suggesting that models are underestimating the role of ozone

1 relative to HO_x in NO_x chemistry. We utilize a global compilation of observations of Δ¹⁷O(nitrate) to assess the
2 representation of nitrate formation in a global chemical transport model (GEOS-Chem), assuming that the bulk oxygen
3 isotopic composition of ozone (Δ¹⁷O(O₃)) = 26‰. The modeled Δ¹⁷O(nitrate) is roughly consistent with observations,
4 with a mean modeled and observed Δ¹⁷O(nitrate) of (28.6 ± 4.5‰) and (27.6 ± 5.0‰), respectively, at the locations
5 of the observations. Improved agreement between modeled and observed Δ¹⁷O(nitrate) is due to increased importance
6 of ozone versus HO₂ and RO₂ in NO_x cycling and an increase in the number and importance of nitrate production
7 pathways that yield high Δ¹⁷O(nitrate) values. The former may be due to implementation of tropospheric reactive
8 halogen chemistry in the model, which impacts ozone and HO_x abundances. The latter is due mainly to increases in
9 the relative importance of N₂O₅ hydrolysis, with the hydrolysis of halogen nitrates also playing an important role in
10 remote regions.

11
12 The main nitrate formation pathways in the model below 1 km altitude are from NO₂ + OH and N₂O₅ hydrolysis (both
13 41%). The relative importance of global nitrate formation from the hydrolysis of halogen nitrates and hydrogen-
14 abstraction reactions involving the nitrate radical (NO₃) are of similar magnitude (~5%). The formation of nitrate
15 from the hydrolysis of organic nitrate has increased slightly in the U.S. and decreased in China (changes <10%) due
16 to changing NO_x emissions from the year 2000 to 2015, although the global mean fractional importance (6%) remains
17 unchanged as the regional changes counteract one another. Nitrate formation via heterogeneous NO₂ and NO₃ uptake
18 and NO₂ + HO₂ are negligible (<2%). Although aerosol nitrate photolysis has important implications for O₃ and OH,
19 the impacts on nitrate formation pathways are small.

20
21 The model parameterization for heterogeneous uptake of NO₂ has significant impacts on HONO and oxidants (OH
22 and ozone) in the model. HONO production from this reaction has been suggested to be an important source of OH
23 in Chinese haze due to high NO_x and aerosol abundances (Hendrick et al., 2014; Tong et al., 2016; Wang et al., 2017),
24 with implications for the gas-phase formation of sulfate aerosol from the oxidation of sulfur dioxide by OH (Shao et
25 al., 2018; Li et al., 2018b). More recent laboratory studies suggest that the reaction probability of NO₂ on aerosols is
26 lower than that previously used in the model. Using an NO₂ reaction probability formulation that depends on the
27 chemical composition of aerosols as described in Holmes et al. (2019) renders this reaction negligible for nitrate
28 formation, and has significant implications for modeled HONO, ozone, and OH. Although uncertainty also exists in

1 the relative yield of nitrate and HONO from this reaction, the impacts of this assumption are negligible when we use
2 these updated NO₂ reaction probabilities. Observations of $\Delta^{17}\text{O}$ (nitrate) in Chinese haze events during winter (He et
3 al., 2018b) may help to quantify the importance of this nitrate production pathway in a region where the model predicts
4 it is significant.

5
6 Author contributions: B.A. designed the study and performed the model simulations and calculations. All other
7 authors provided model code and contributed to writing and analysis.

8

9 Data availability: The GEOS-Chem model is available at <http://acmg.seas.harvard.edu/geos>

10

11 **Acknowledgements:**

12 B.A. acknowledges NSF AGS 1644998 and 1702266 and helpful discussions with Joël Savarino and Ron Cohen.
13 C.D.H. acknowledges the NASA New Investigator Program grant NNX16AI57G. J.A.F. acknowledges Australian
14 Research Council funding DP160101598.

15

16 Competing interests: The authors declare that they have no conflict of interest.

17

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1 **Table 1.** Calculated $\Delta^{17}\text{O}(\text{nitrate})$ in the model for each nitrate production pathway (X = Br, Cl,
 2 and I; HC = hydrocarbon; MTN = monoterpene; ISOP = isoprene; $\Delta^{17}\text{O}(\text{O}_3^*) = 39\text{‰}$). A is
 3 defined in equation E1.

4

5

	Nitrate formation pathway	$\Delta^{17}\text{O}(\text{nitrate})$
Gas-phase reactions		
R1	$\text{NO}_2 + \text{OH}$	$\frac{2}{3} A \Delta^{17}\text{O}(\text{O}_3^*)$
R2	$\text{NO}_3 + \text{HC}$	$(\frac{2}{3} A + \frac{1}{3}) \Delta^{17}\text{O}(\text{O}_3^*)$
R3	$\text{NO} + \text{HO}_2$	$\frac{1}{3} A \Delta^{17}\text{O}(\text{O}_3^*)$
Aerosol uptake from the gas-phase followed by hydrolysis		
R4	$\text{N}_2\text{O}_5 + \text{H}_2\text{O}_{(\text{aq})}$	$(\frac{2}{3} A + \frac{1}{6}) \Delta^{17}\text{O}(\text{O}_3^*)$
R5	$\text{N}_2\text{O}_5 + \text{Cl}^{-}(\text{aq})$	$(\frac{2}{3} A + \frac{1}{3}) \Delta^{17}\text{O}(\text{O}_3^*)$
R6	$\text{XNO}_3 + \text{H}_2\text{O}_{(\text{aq})}$	$(\frac{2}{3} A + \frac{1}{3}) \Delta^{17}\text{O}(\text{O}_3^*)$
R7	$\text{NO}_2 + \text{H}_2\text{O}_{(\text{aq})}$	$\frac{2}{3} A \Delta^{17}\text{O}(\text{O}_3^*)$
R8	$\text{NO}_3 + \text{H}_2\text{O}_{(\text{aq})}$	$(\frac{2}{3} A + \frac{1}{3}) \Delta^{17}\text{O}(\text{O}_3^*)$
R9	$\text{RONO}_2 + \text{H}_2\text{O}_{(\text{aq})}$ (where RONO_2 is from $\text{NO} + \text{RO}_2$)	$\frac{1}{3} A \Delta^{17}\text{O}(\text{O}_3^*)$
R10	$\text{RONO}_2 + \text{H}_2\text{O}_{(\text{aq})}$ (where RONO_2 is from $\text{NO}_3 + \text{MTN/ISOP}$)	$(\frac{2}{3} A + \frac{1}{3}) \Delta^{17}\text{O}(\text{O}_3^*)$

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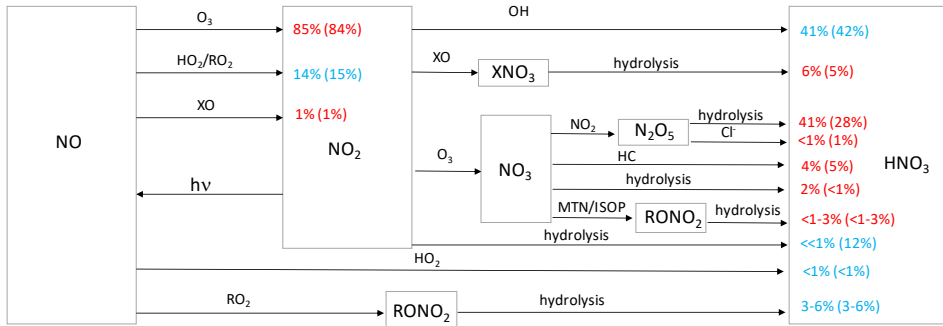
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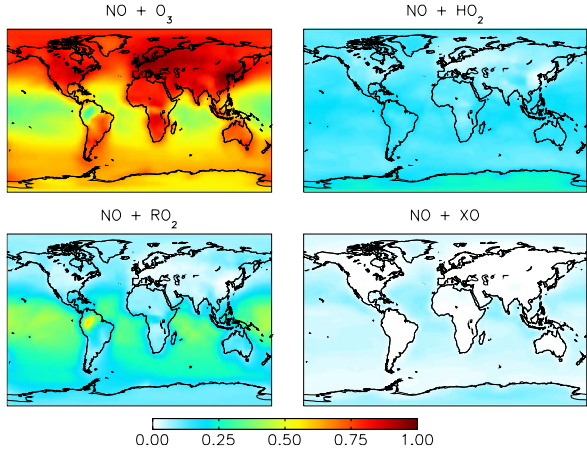
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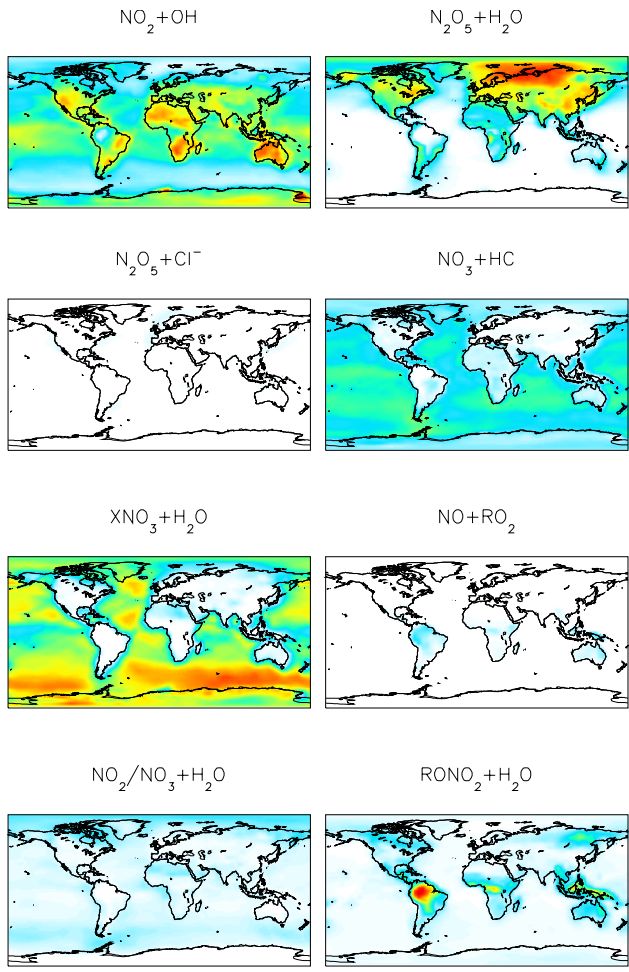
Figure 1. Simplified HNO₃ formation in the model. Numbers show the global, annual mean percent contribution to NO₂ and HNO₃ formation in the troposphere below 1 km for the “cloud chem” (“standard”) simulation. Red indicates reactions leading to high Δ¹⁷O values, blue indicates reactions leading to low Δ¹⁷O values. HO₂ = HO₂+RO₂; X = Br+Cl+I; HC = hydrocarbons; MTN = monoterpenes; ISOP = isoprene.

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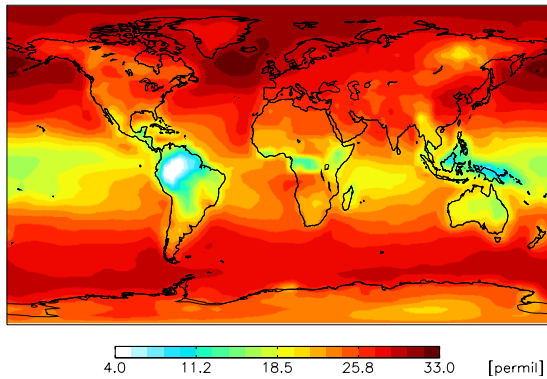
Figure 2. Annual-mean fraction of NO₂ formation from the oxidation of NO in the troposphere below 1 km altitude in the “cloud chemistry” model.



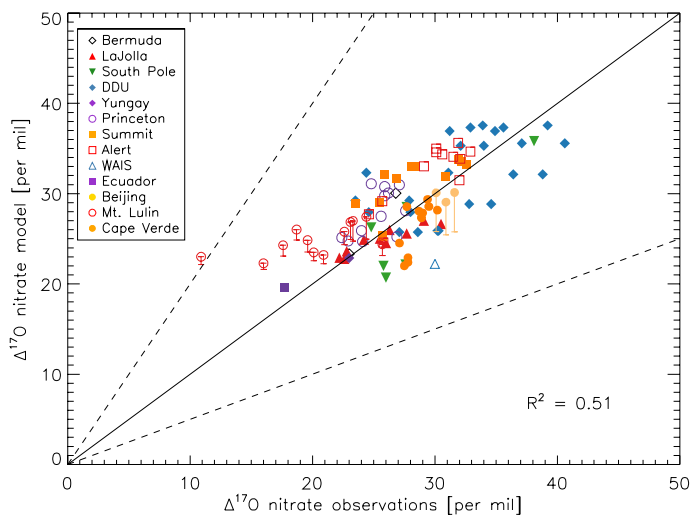
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2 **Figure 3.** Annual-mean fraction of HNO₃ formation from the oxidation of NO_x in the troposphere below 1

3 km altitude in the “cloud chemistry” model.



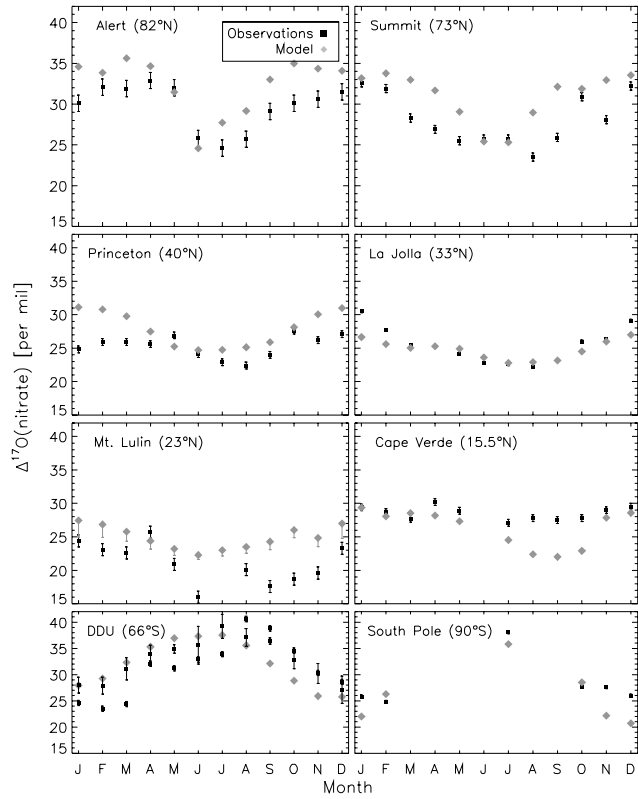
3 **Figure 4.** Modeled, annual-mean $\Delta^{17}\text{O}(\text{nitrate})$ below 1 km altitude for the “cloud chemistry” model.



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7 **Figure 5.** Comparison of monthly-mean modeled (“cloud chemistry”) and observed $\Delta^{17}\text{O}(\text{nitrate})$ at
8 locations where there are enough observations to calculate a monthly mean. References for the
9 observations are in the text. The error bars represent different assumptions for calculated modeled A
10 values for nighttime reactions as described in the text. Error bars for Beijing and Mt. Lulin reflect the
11 range of possible modeled A values for nighttime reactions as described in the text.

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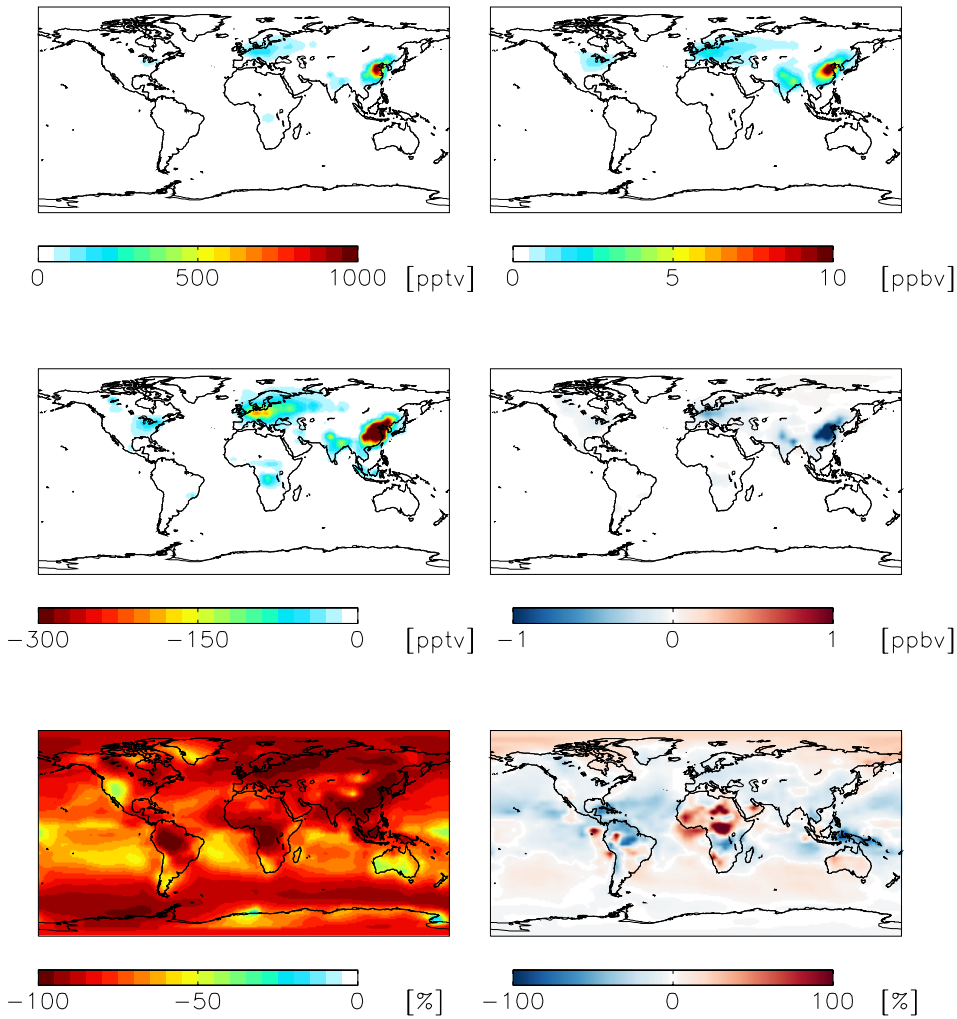
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 2 **Figure 6.** Comparison of monthly-mean modeled (“cloud chemistry”) and observed $\Delta^{17}\text{O}(\text{nitrate})$. Error
 3 bars for Mt. Lulin reflect the range of possible modeled A values for nighttime reactions as described in
 4 the text.

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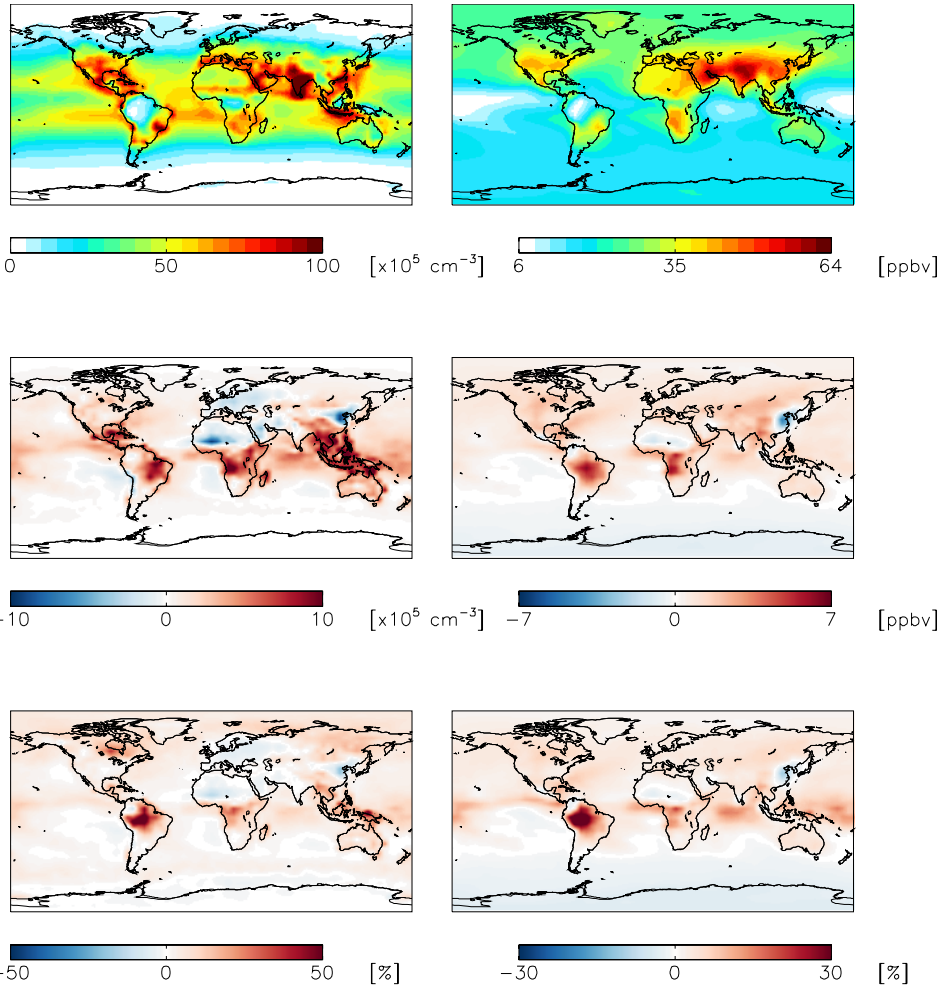
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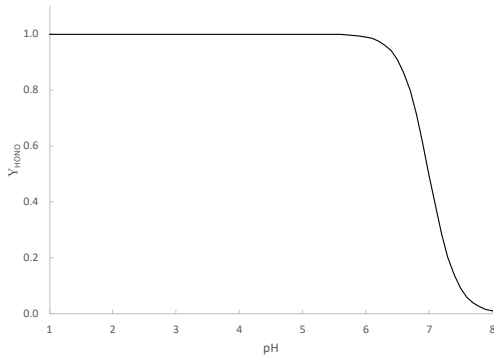
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3 **Figure 7.** Modeled annual-mean HONO (left) and fine-mode nitrate (right) concentrations below 1 km
4 altitude in the “standard” simulation (top) with $\gamma_{\text{NO}_2} = 10^{-4}$ for NO_2 hydrolysis. Absolute (middle) and
5 and relative (bottom) change in concentrations below 1 km altitude between the “standard” model and the
6 model simulation with $\gamma_{\text{NO}_2} = 10^{-7}$. Negative numbers represent a decrease relative to the standard
7 simulation.

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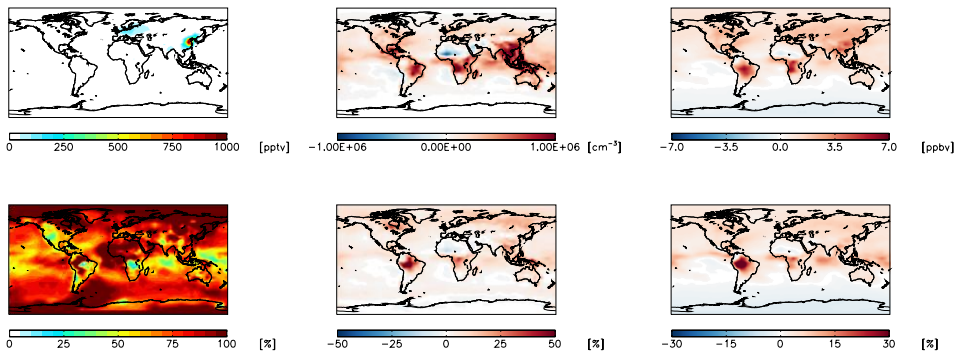
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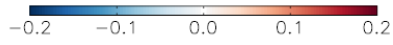
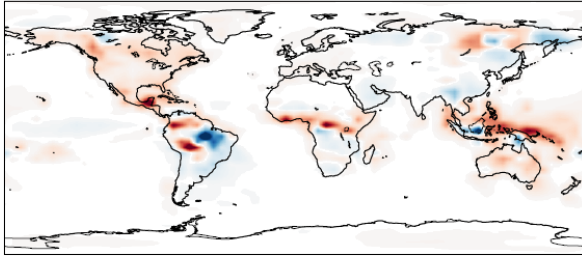
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2 **Figure 8.** Same as Figure 7 except for OH (left) and ozone (right).
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2 **Figure 9.** Calculated yield of HONO from the heterogeneous reaction of NO_2 on aerosol surfaces as a
3 function of pH.



4
5 **Figure 9.10.** Absolute (top) and relative (bottom) change in HONO (left), OH (middle), and ozone (right)
6 concentrations below 1 km altitude between the “standard” model and the model simulation with an
7 acidity-dependent yield from NO_2 hydrolysis. Positive numbers represent an increase relative to the
8 “standard” simulation.



1
2 **Figure 104.** Modeled annual-mean difference in the fractional production rate of HNO_3 from the
3 hydrolysis of organic nitrate below 1 km altitude in the year 2015 relative to 2000 (2015 – 2000).

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