



1	
2	
3	
4	The roles of island size and orography on tropical convection and aerosol transport
5	Stacey Kawecki
6	Colorado State University
7	
8	Susan van den Heever
9	Colorado State University
10	





12 Abstract

This study investigates the impact of island diameter size and orographic height on precipitation, 13 convective organization and aerosol transport on and around tropical islands. Twenty-four model 14 simulations are set up and run, in which the island diameter (50 km, 100 km, and 200 km) and 15 peak orographic height (flat, 500 m, 1 km, and 2 km) are independently and simultaneously 16 varied under weak and strong zonal wind regimes. In these simulations, unlike many of the 17 18 previous island flow investigations, the full three-dimensional flow over and around islands is 19 resolved. Analysis of these numerical experiments demonstrates that island orographic height is a stronger control than island size on precipitation, convective organization, and aerosol 20 redistribution. The wind regime is found to modulate these results. Under the strong zonal wind 21 regime, increasing orographic height induces changes to the flow around the island, leading to 22 lee-vortex formation, reverse flow, and the earlier development of deep convection than in the 23 flat simulation. In the weaker zonal wind experiment, increasing orographic height enhances the 24 role of radiational heating, leading to enhanced upslope flow and stronger convergence, which 25 26 produces earlier deep convection than in the flat simulation. The timing and location of the sea 27 breeze/mountain breeze convergence determines the location of the initial vertical aerosol mixing with cloud formation and entrainment, whereas the timing of deep convection formation 28 29 dictates how quickly the aerosols are mixed out of the boundary layer. Finally, it is demonstrated 30 that cold pools play an important role in the propagation of convection towards the shore in the simulations with orography. 31

32

33 **1.0 Introduction**

Coastal regions are attractive places to live for numerous reasons including health and
 recreational benefits. Sea ports and harbors also play an important role in the global economy





and transportation industry. Approximately 10% of the global population lives in low-elevation
coastal zones (McGranahan et al., 2007), and it is projected that the population density within
these regions will continue to grow throughout the next century (Neumann et al., 2015). Any
changes to the meteorology, air quality and visibility of these regions will therefore affect a
substantial portion of the population and its livelihood.

The considerable uncertainty surrounding aerosol effects on clouds and climate (Boucher 41 42 et al., 2013; Tao et al., 2012) extends to aerosol transport within coastal and island regions. Furthermore, the meteorology and associated air quality and visibility within coastal regions are 43 44 frequently governed by local-scale thermally-induced circulations, such as land and sea breezes 45 and mountain-slope flows for land masses with near-coastal topography. In spite of their importance to human health and safety, these coastal circulations are often poorly represented in 46 models, from climate models to regional and weather prediction models (Birch et al., 2015), 47 which makes the accurate prediction of these features challenging. Furthermore, mountainous 48 islands can exert a significant impact on the large-scale dynamics through drag (McFarlane, 49 50 1987), and yet are often too small to be resolved in global models (Glover, 1999; Neal and Slingo, 2003). Efforts are currently underway to parameterize these effects (Choi and Hong, 51 2015). To effectively parameterize these processes, we need to enhance our understanding of the 52 53 roles played by mesoscale coastal circulations, such as land and sea breezes, on the distribution 54 of coastal aerosols, as well as the impacts of local environmental conditions including 55 precipitation and convective processes, on this aerosol transport.

The land and sea breeze circulation is driven by differential heating over land and ocean that results in a baroclinic circulation (Pielke, 1974; Mahrer et al., 1977; Baker et al., 2001). This circulation is induced when the differential heating of the ocean and adjacent land causes a tilt in the isobaric and isopycnal surfaces over the land-sea interface. The intersection of the tilted





60 pressure and density surface induces the circulation from ocean to land during the day (Holton, 61 2012). At night, the circulation is reversed because the land surfaces cool more rapidly than the ocean. Anabatic and katabatic winds are mountain-plains circulations that also occur due to the 62 differential heating and cooling of mountain slopes and the surrounding plains, leading to 63 upslope flow during the day and downslope flow at night. On mountainous islands, the sea 64 breeze and the mountain breeze can interact with each other, thereby impacting convergence and 65 66 associated convection (Wang et al., 2017). Wang and Kirschbaum (2017) investigated the 67 influence that mountain height has on the sea breeze and mountain-plains solenoid circulation using a suite of numerical simulations. They found that with quiescent initial conditions, 68 increasing orographic height eventually decreases the strength of the sea breeze front. The 69 daytime upslope flow from the mountain warming draws the pre-sea-breeze frontal air upslope, 70 thereby weakening the convergence that would typically occur at the sea breeze front, as the 71 mass ahead of the front is moving away from, rather than towards, the front. 72 Convection and precipitation on and around islands have also been investigated as a 73 function of island size and background winds using the Tropical Rainfall Measuring Mission 74 75 (TRMM), idealized modeling, and a satellite simulator (Robinson et al., 2011). Robinson et al (2011) defined convective vigor as stronger precipitation rates and higher cloud top heights. 76 77 They found from their study that convective vigor systematically increased with increasing island size in several different convective environments in which the relative humidity and wind 78 79 shear were altered. The presence of a strong background wind has also been found to increase

80 precipitation amounts on the windward side of islands, and increasing the orographic height of

81 the island was associated with an increase in windward precipitation amounts (Wang and Sobel,

82 2017). In the tropical Atlantic, the trade winds encountering mountainous islands have also been

83 found to mechanically force convection as air travels up and over the mountains, subsequently





increasing the windward precipitation, (Nugent et al., 2013). Also, the formation of vortex pairs

85 in the lee of islands can contribute to the initiation of convection (Smolarkiewicz et al., 1989).

While our understanding of the impacts of island flow regimes on convection and 86 precipitation has been significantly enhanced by these previous idealized modeling studies, a 87 number of them have been based on simulations using simplified representations of island 88 morphology and characteristics. "Gaussian strip" islands have been implemented in which the 89 90 zonal mountain profile is prescribed with a Gaussian curve (unvarying in the meridional direction), and the horizontal area of the island is represented by a rectangle that extends the 91 92 entire length of the meridional dimension of the model domain. While this type of idealized 93 configuration has often been used to great effect (Robinson and Sherwood, 2011; Nugent and Minder, 2013; Wang and Kirchbaum, 2017; Wang and Sobel, 2017), it may have unintended 94 shortfalls when considering the three-dimensional wind flow and associated mass transport 95 around islands in that "strip" islands do not allow for flow to divert around the obstacle. The 96 absence of the around island flow may also have implications for flow that is blocked, either 97 98 partially or fully, by topography, and hence on convective initiation and precipitation accumulation. The land surface assumptions of these "strip" islands is also quite often simplified. 99 For example, Robinson et al (2011) made use of water as their lower boundary condition, and 100 101 land was mimicked by disabling the latent heat fluxes and increasing the radiative fluxes in the region of the strip. Aspects such as differential surface roughness lengths, latent heat fluxes and 102 103 surface friction are also quite often excluded (Robinson and Sherwood, 2011). The research presented in this paper will build on and extend these prior studies through the use of simulations 104 that include three-dimensional, circular, mountainous islands and a fully interactive surface 105 106 scheme.





107	To address the difficulties in forecasting and observing three-dimensional aerosol
108	distribution and visibility in the littoral zones, a holistic approach involving a large team of
109	scientists utilizing process-based modeling, satellite observations and data assimilation has been
110	undertaken in the Office of Naval Research (ONR)-supported Holistic Analysis of Aerosols in
111	Littoral Environments (HAALE) Multidisciplinary University Research Initiative (MURI). Such
112	a holistic approach is necessary in order to draw on the complementary strengths of each
113	methodology. For example, while the characteristics and transport of aerosols are often detected
114	and successfully analyzed through the use of remote sensing platforms, such approaches are
115	complicated within coastal regions by the high spatio-temporal heterogeneity of water turbidity,
116	tidal influences, and complex coastlines (Kanitz et al., 2014). Combining the satellite and
117	modeling platforms through the use of tools such as satellite simulators, the physical processes
118	that govern aerosol transport in such heterogeneous environments can be investigated, and this
119	new knowledge can then be applied to aspects such as retrieval algorithms and parameterization
120	development.

The research outlined in this paper contributes to the ONR MURI effort by addressing the 121 122 following question: "How do island size and orography affect convective organization, precipitation and aerosol distributions within tropical maritime environments?" More 123 124 specifically, the goal of this study is to understand how island size and topographic height influence flow over and around three-dimensional islands and the subsequent impacts on 125 convective cloud systems and aerosol transport. The suite of numerical experiments used to 126 achieve this goal are described in Section 2. A discussion of the experiment results occurs in 127 section 3 and the implications of these results and conclusions are presented in section 4. 128

129 2.0 Model Setup and Experimental Design





Twenty-four simulations are performed using the open source Regional Atmospheric 130 131 Modeling System (RAMS: Pielke et al., 1992; Saleeby and van den Heever, 2013), version 6.2.05 in an idealized setting. RAMS includes sophisticated microphysical (Saleeby et al., 2004; 132 Saleeby et al., 2008), land surface (Walko et al., 2000b) and tracer parameterizations, all of 133 which are necessary to conduct the proposed research. The microphysical parameterization is a 134 two-moment, bin-emulating scheme (Saleeby and Cotton 2004) and tracks microphysical and 135 aerosol process information at all timesteps throughout the domain (Saleeby and van den Heever 136 2013). The RAMS model allows the user to methodically specify and vary the inputs. For 137 example, the user can build a model domain surface that consists of a circular and mountainous 138 island that is surrounded by ocean, where the ocean surface and island surface have specific 139 roughness lengths and emissivities. This means that for simulations utilizing identical 140 atmospheric conditions over the land and ocean that the respective surface fluxes and friction-141 induced convergence will differ. Table 1 summarizes the model simulation configurations and 142 parameterizations used for this investigation. 143

To test the effects of island size on the flow around three-dimensional islands, we start 144 with circular islands with three different diameters: 50km, 100km, and 200km. The experimental 145 setup is shown in Table 2. The horizontal model domain size is varied with island size in order to 146 147 ensure that the land to ocean ratios are similar, and hence that each island has similar amounts of water vapor available for convective processes. Domain sizes of 100km x 100km, 200km x 148 200km and 400km x 400km are used for the 50 km, 100 km and 200km diameter islands, 149 respectively. The lateral boundary conditions are cyclic. The number of vertical levels is constant 150 for all simulations, with 200 levels at 100 m grid spacing. The model top reaches 20 km AGL. 151 All of the simulations utilize a horizontal grid spacing of 1-km. The horizontal and vertical grid 152 spacing mimic the grid set up from Robinson et. al (2011), thereby facilitating comparisons. 153





154 Sensitivity tests with orography use the "Witch of Agnesi" mountain and four peak orographic heights are tested: flat, 500 meters, 1 kilometer, and 2 kilometers (Figure 1a; Table 2). Mountain 155 half-widths vary with island size, but not with peak orographic height and are 12 km for the 50-156 km diameter islands, 25 km for the 100 km islands, and 50 km for the 200 km islands. Therefore, 157 for a given island diameter, the orographic slopes increase with increasing orographic height. 158 Figure 1a demonstrates the topography and domain setup for the 100-km diameter, 1-km 159 orography simulation. Each simulation is run for one full diurnal cycle and does not include the 160 161 Coriolis effect.

162 This suite of 12 simulations testing island size and orographic height (Table 2) is 163 initialized with two different soundings. These two soundings are representative of typical tropical maritime convective regimes and are utilized in order to further understand the role that 164 background winds play in convective organization and tracer redistribution (Figure 1b). They are 165 taken from the group of six soundings used by Robinson et al (2011), which were formulated 166 from 405 NCEP reanalysis soundings taken from January 1998 through December 2007 in order 167 to correspond to the TRMM data they analyzed. To test the effects that different wind regimes 168 have on island flows and aerosol redistribution, soundings with a strong zonal wind (STRONG-169 EXP) and a weak zonal wind (WEAK-EXP) are selected for model initialization. All of the 170 171 simulations are initialized horizontally homogeneously using the selected soundings. Evergreen shrub was specified as the land surface vegetation, and the surrounding oceans have a fixed SST 172 of 293 K. 173

To gauge the aerosol redistribution that occurs as a function of island size, orography and wind regime, eight different tracers are released within 4 vertical levels, one in each level over land and one in each of the 4 corresponding levels over ocean. The levels are as follows: 0-500 m above ground level (AGL), 500-1000 m AGL, 1000-1500 AGL, and 1500-2000 AGL (Figure





- 178 1c). These eight distinct tracers are tracked throughout the model domain at every time step.
- 179 Using the tracers as proxies for aerosols, the tracer placement allows for the assessment of the
- 180 predominant altitudes from which aerosols are entrained into the convection and / or lofted over
- 181 and around the islands. The tracers are released at the beginning of the simulation with a
- 182 concentration of 1 kg tracer/kg air and are passive in that they are not microphysically nor
- radiatively active, however they are advected around the domain by the three-dimensional wind
- 184 field and thus are most suitable for the goals of this research.

185 **3.0 Results**

186 In the following sections, flow regimes, convective intensity metrics, precipitation, and the tracer

187 redistribution are all examined in order to understand the influence that island size, island

- 188 orographic height, and background winds have on convective organization and tracer
- 189 redistribution.

190 **3.1 Flow Fields and Moisture Convergence**

Orography is known to substantially alter the wind flow over mountainous terrain via dynamical and / or thermo-dynamical forcing (Chen et al., 1994; Houze, 2012). In order to examine the influence that island orography and island size have on convective organization, precipitation accumulation, and aerosol redistribution, we must first determine how changes to these factors alter the flow over and around the island.

196 **3.1.1 Surface Streamlines**

The WEAK-EXP and STRONG-EXP surface streamlines are examined at 08:30 UTC
(Figure 2). This time is selected as it falls after sunrise but before deep convection initiates,
which substantially alters the surface winds. As our analysis below demonstrates that island

200 orography has a stronger influence than island size on the flow regime, only the results from the





201	100-km diameter island	simulations are shown	here. Furthermore.	in the interest	of brevity, just
201	100 kill dialieter ibland		nore, i unununut,	m me merese	

- 202 the flat and 1-km simulation results are discussed. However, the trends are consistent across both
- 203 island size and orography. It should also be noted that the background flow in the STRONG-
- 204 EXP and WEAK-EXP are westerly and northwesterly, respectively (Figure 1b).

The STRONG-EXP flat simulation (Figure 2a) clearly shows that the zonal flow is only 205 weakly altered by the presence of the island, with some convergence of the flow on the lee side 206 207 of the island. Over the island, the surface roughness is greater than that over the ocean, which 208 increases the frictional drag, thus slowing the flow and producing the observed convergence. 209 Downwind of the island, the reduced frictional drag over the ocean produces divergence of the 210 flow, a drop in the low-level pressure, and a corresponding turn of the downstream flow towards the lower pressure. The 1-km STRONG-EXP simulation (Figure 2b), in which the 1km 211 orography has been incorporated, has considerably different flow features at the same point in 212 time. First, a strong reversal of the flow develops in the lee of the mountain (Figure 2b, black 213 triangle). The reverse flow occurs as a result of lee-vortex formation, a phenomenon that has 214 215 both been previously observed (Smith et al., 1993) and modeled (Smolakareic and Rotunno, 1989) for Froude numbers ranging from 0.1-0.5. The streamlines do show the flow going around 216 the island, which aids in the formation of the lee vortices (Figure 2b, black 'X') that then assist in 217 218 driving the reverse flow (Smolakareic and Rotunno, 1989). This reverse flow then meets the 219 over-the-mountain flow at the stagnation point (Figure 2b, black diamond).

To compare our simulations with previous work, we calculate the dimensionless Froude number (1) at the model initialization for each grid point for each simulation, where U (m s⁻¹) is the u-component of the wind, N (s⁻¹) is the Brunt-Vaisala frequency (2) and \mathbf{h}_m is the height of the obstacle in meters. For the Brunt-Vaisala frequency, **g** is gravity (9.8 m s⁻²), θ_0 is the lowest model level potential temperature (K), and $\partial \theta / \partial z$ is calculated from the model grid.





225
$$Fr = U/h_m *N$$
 [1]

 $\mathbf{N} = \sqrt{\left[\frac{g}{\theta o}\frac{\partial\theta}{\partial z}\right]}$

226

Because of the wind shear in the simulations, only the first 30 model levels (lowest 3 km) are 227 used in the calculation. To attain one value for each simulation, the Froude number values for 228 229 each model grid cell were averaged over both the lowest 30 model levels and the entire horizontal extent of the domain(s). The Froude number for the STRONG-EXP 1-km simulation 230 231 is 0.04, about one order of magnitude smaller than the conditions utilized in previous modeling work that exhibit critical or supercritical flows (Smolakareic and Rotunno, 1989; Wang and 232 Sobel, 2017). The STRONG-EXP simulations, while having a relatively low Froude number, 233 exhibits characteristics of critical flows, such as a wake and lee vortex formation. In the WEAK-234 235 EXP flat simulation (Figure 2c), the streamlines originate from the northwest and weakly 236 converge in the lee of the island. However, the 1-km WEAK-EXP simulation conveys a different flow regime (Figure 2d). The WEAK-EXP has a Froude number of 0.01, which is characteristic 237 238 of tranquil flow. Under such conditions diurnal heating can more easily influence local circulations than with stronger, more turbulent flows evident in the STRONG-EXP 1-km 239 simulation. At 08:30 UTC, upslope flow exists symmetrically around the entire island, which is 240 241 characteristic of daytime mountain circulations, and hence of the role of diurnal heating. Finally, after deep convection is initiated in all simulations, these early morning initial 242 flow features break down (not shown) in all of the STRONG-EXP and WEAK-EXP simulations. 243

[2]

244 3.3.2 Moisture Flux Divergence

The moisture divergence field conveys how the island-altered flow redistributes the water vapor near the surface, and thus how the three basic ingredients for convection, moisture, instability, and lift (Emmanuel, 1994), are altered. To obtain the near-surface three-dimensional





248	moisture flux divergence field, the lowest model level above the model ground was used (Figure
249	3). A snapshot at 08:30 UTC is examined to relate the streamlines to the moisture field shown
250	above. At 08:30 UTC in the STRONG-EXP Flat simulation (Figure 3a), the region of strongest
251	moisture convergence unsurprisingly corresponds to the regions where the streamlines are
252	diverted inwards towards the island, as described in the previous section. In the WEAK-EXP flat
253	simulation (Figure 3c), the region of moisture flux convergence circumnavigates the island
254	almost symmetrically and is reflective of the inland propagation of the sea breeze. In the WEAK-
255	EXP, where the background winds are weaker than in the STRONG-EXP, the sea breeze
256	circulation dominates the near-surface moisture flux distribution. In the STRONG-EXP 1-km
257	simulation, the predominant moisture convergence is coincident with the stagnation point (Figure
258	3b, black diamond) and subsequent vortex and wake formation (Figure 3b, 2b, black triangle).
259	These regions of moisture flux convergence indicate those regions that deep convection is more
260	likely to initiate and will also impact convective organization. Finally, in the WEAK-EXP 1-km
261	simulation, moisture flux divergence occurs within the upslope flow (Figure 3d, black circles)
262	ultimately culminating in moisture flux convergence at the top of the island. A region of
263	moisture flux convergence also develops where the upslope flow interacts with the background
264	flow on the western side of the island (Figure 3d, black star). These regions of moisture flux
265	convergence are early indicators of where clouds are most likely to develop as they are
266	associated with both low-level convergence and enhanced moisture availability.
267	In summary, in the flat island cases there are zones of enhanced moisture convergence,
268	and thus potential connective initiation, near the island edges. However, with the addition of
269	topography, the moisture flux convergence shifts towards the mountain tops in the WEAK-EXP
270	cases and to the lee in the STRONG-EXP cases. These topographically-induced shifts govern

271 where convective initiation is most likely to occur.





272 **3.2.** Convective Organization and Intensity

As was shown in the previous section, increasing the topography of a circular island substantially alters the flow and the moisture flux convergence around the island. It follows that these flow changes can affect the organization and intensity of the convection that develops. In this section, metrics of convective intensity and organization are examined to understand how the changes in the flow regime can alter the character of deep convection.

278 **3.2.1 Cloud top height**

Cloud top height is frequently used as a proxy for convective intensity (Adler et al, 1986; 279 Sherwood et al 2004) as this variable is indicative of updraft strength and hence as to how deep 280 the convection becomes. Figure 4 is a Hovmoller diagram of latitudinally averaged cloud top 281 height, with time ranging from 06:00 - 16:20 UTC on the ordinate axis, and the averaged 282 283 latitudinal value along the abscissa. Due to the use of the cyclic boundary conditions, the 284 convective features from the eastern boundary do begin to affect the incoming/western boundaries after 1620 UTC, and the analysis is therefore terminated at that time. The STRONG-285 286 EXP (Figure 4 a-d) and WEAK-EXP (Figure 4 e-h) simulations demonstrate similar trends in the orographic influence on convective storm development. The flat topography simulations (Figure 287 4a and d) are characterized by shallow convection over the island early (9:00 UTC). The cloud 288 top heights increase vertically, expand horizontally and ultimately converge in the island center 289 at ~12:00 UTC. This phenomenon is more obvious in the STRONG-EXP flat simulation, but 290 also exists in the WEAK-EXP flat simulation. Including topography changes this behavior of this 291 292 convective organization. In the STRONG-EXP, increasing orographic height to only 500 meters causes a substantial change in the character of convection (Figure 4b). Instead of convection 293 294 beginning within shallow clouds systems and converging towards the island center as in the flat





295 case, convection begins earlier (08:30 UTC) near the center of the island, and subsequently 296 expands towards the shore with time (Figure 4b). The speed of the shoreward expansion increases with increasing orographic height (Figures 4c-d). While increasing the topographic 297 height to 1 km AGL increases the occurrence of 13 km cloud top heights, further increasing the 298 orographic height to 2 km does not have the same result (Figure 4d). The deepest convection is 299 still generally concentrated in the middle of the island, with cloud tops exceeding 13 km, 300 301 however, the contiguous area of cloud top heights greater than 13 km is smaller in the 2-km 302 topography simulation than in the 1-km topographic simulation, in spite of the more rapid expansion towards the shore in this simulation. 303

304 Enhancing the orography in the WEAK-EXP simulations also changes the behavior of the convection development and organization. However, the change in convective behavior in 305 the WEAK-EXP simulations does not appear to be as extensive as in the STRONG-EXP 306 simulations. In the 500-m orographic height simulation, the initial convection is shallow and 307 scattered, but it is not until 12:00 UTC that the cloud top heights become higher, although the 308 cloud coverage is more contiguous in the 500-m simulation (Figure 4f) than in the flat simulation 309 (Figure 4e). The change in the timing of convection becomes more apparent when the orographic 310 height is increased to 1 km (Figure 4g). In this simulation, the evolution of cloud top height 311 312 begins to more closely resemble the STRONG-EXP orographic simulations. Convection begins near the island center and expands horizontally and vertically, reaching up to 11 km AGL in 313 height in most of the convective region, and expanding towards the shore from 11:00-12:00 314 UTC. The 2-km WEAK-EXP simulation looks the most like the STRONG-EXP orographic 315 simulations, with deep convective triggering at the center of the island and convective cloud tops 316 reaching over 13 km at 09:00 UTC (Figure 4h), and subsequently expanding towards the shore at 317 a rate similar to the 1-km STRONG-EXP. 318





319	Overall, increasing the orographic height changes the distribution and organization of
320	convection regardless of the wind regime. In the flat topography simulations, convection begins
321	as scattered, low-level cumulus clouds that grow upscale, developing progressively towards the
322	center of the island. However, with increasing orography, deep convection initiates near the
323	island center and then radially expands towards the shoreline.
324	While deep convection initiates near the island center in both the WEAK-EXP and
325	STRONG-EXP with high topography, the processes that produce this deep convective initiation
326	vary as a function of wind regime. In the STRONG-EXP simulations, the lee vortex pair
327	development and strong reverse flow associated with the increased orography (Figures 1 and 2)
328	increases surface moisture flux convergence (Figure 3) near the stagnation point on the lee side
329	of the island, which leads to the earlier development of deeper convection. However, in the
330	WEAK-EXP experiments, the thermally induced upslope flow creates moisture flux convergence
331	near the mountain top, which then initiates deep convection in the island center, followed by the
332	expansion of this cloud development towards the coast.

333 3.2.2 Cloud water mixing ratios

Another metric that is often used to examine convective organization is the cloud water 334 mixing ratio distribution as represented through contour frequency with altitude diagrams 335 (CFADs) (Yuter and Houze, 2005) (Figure 5). Cloud water mixing ratios over land are 336 337 considered for the period 0600-1620 UTC, which is the same period as the cloud top height hovmoller plots. As with the cloud top height analysis, increasing orographic height substantially 338 alters the distributions of cloud water mixing ratio. In the STRONG-EXP flat simulation (Figure 339 5a), there is a bimodal distribution of the cloud water mixing ratio, with peaks in the boundary 340 layer and upper levels. The boundary layer peak is representative of the shallow cumulus 341





342 convection that develops in response to the sea-breeze driven ring of moisture flux convergence near the coastline (Figure 2a). The second peak is evident at altitudes ranging from 10 km to 15 343 km. Compared to the boundary layer peak, this second peak has a wider cloud water distribution 344 at higher altitudes. This is indicative of the convection that grows upscale in the flat simulation. 345 Increasing the orographic height to 500 meters changes the cloud water distribution, both in 346 terms of the altitude of where clouds occur, as well as the mixing ratio magnitudes (Figure 5b). 347 The frequency of the shallow convective mode decreases, and the deep convective mode peaks at 348 14 km. Increasing orography to 1 km further diminishes the probability of shallow convection 349 (Figure 5c), while enhancing the likelihood of deep convection. Increasing the orographic height 350 therefore appears to increase the probability of higher clouds with greater water contents and 351 reduces the probability of shallow cumulus convection. 352

In the WEAK-EXP simulations, shallow convection gradually gives way to deeper 353 convection with increasing orographic height (Figure 5e-h). The deep convection is generally 354 shallower than the deep convection that is observed in the corresponding STRONG-EXPs, and 355 the cloud mixing ratios also tend to be less. Furthermore, in the WEAK-EXP simulations, the 356 bimodal distribution cloud water distribution requires an increase to 2 km orography before only 357 a single mode of convection is evident. The shallow clouds that occur in both the STRONG-358 359 EXP and WEAK-EXP are associated with the early moisture flux convergence near the surface. In the STRONG-EXP, this is comprised of the shallow clouds forming on the windward side of 360 361 the island in association with the more pronounced inland propagation of the sea breeze due to the stronger background winds. In the WEAK-EXP, the ring of clouds forming around the entire 362 island in association with the symmetrically developing sea breeze comprises the shallow mode. 363

364





365 **3.2.3 Cold pools**

366	In this section, the mechanisms behind the change from inland expansion and vertical
367	growth of convection to the shoreward propagation of convection with increasing orographic
368	height is assessed. First, the influence of cold pools on the development and propagation of
369	convection towards the shore is examined. Snapshots representing the temporal progression of
370	the near-surface perturbation potential temperature, surface winds, and the vertically-integrated
371	condensate are shown in Figure 6. The perturbation potential temperature has been calculated by
372	taking the difference of the potential temperature from the average potential temperature over the
373	entire lowest model level at that time, including both the ocean and the land portions of the
374	domain.

The WEAK-EXP flat simulation at 06:00 UTC (Figure 6a), demonstrates the 375 376 environmental state before sunrise, where the perturbation potential temperature is 377 approximately constant across the domain, and the flow is laminar and from the north northwest (Figure 6a). By 09:30 UTC in the flat simulation (Figure 6b), the surface winds are directed 378 379 towards the island center, which is a result of the sea breeze. At 10:30 UTC (Figure 6c), small cold pools circumnavigate the island in association with the sea breeze generated boundary layer 380 cumulus clouds. These cold pools have intensified and expanded by 11:30 UTC (Figure 6d). In 381 the 1-km WEAK-EXP simulation at 06:00 UTC (Figure 6e), the background surface winds 382 interact with the downslope winds on the western side of the island. Following sunrise, 383 symmetric upslope flow develops, with the region of convergence occurring on the mountain 384 top, as the slopes warm, with the higher elevations warming more quickly (Figure 6f). A strong 385 cold pool develops on the westward side of the mountain by 10:30 UTC in association with 386 387 precipitating convection at mountain top. The cold pool propagates down the mountain slope,





causing regions of convergence and convection as it propagates shoreward (Figure 6g), a trend

- 389 which continues through the next hour (Figure 6h).
- The cold pool development and surface flow patterns are different for the STRONG-EXP 390 simulations. The STRONG-EXP flat simulation at 06:00 UTC (Figure 7a), demonstrates the 391 environmental state in this regime before sunrise, where the perturbation potential temperature 392 approximately constant across the domain, and the zonal flow is laminar and from the west 393 394 (Figure 7a). No clouds have formed as yet at this time. However, after sunrise, clouds start to 395 develop inland, as the strong westerly flow advects the sea breeze eastward, as is evident from 396 the vertically-integrated condensate field (Figure 7b). By 10:30 UTC, cold pools being produced 397 in association with the developing convection are evident. The surface winds have become less westerly due to the interactions with the convectively-generated local mesoscale circulations 398 over and to the lee of the island (Figure 7c). By 11:30 UTC, the cold pools have intensified, 399 expanded, and are exclusively on the lee side of the island (Figure 7d). 400
- 401 The cold pool development is quite different with the introduction of orography. After sunrise in the STRONG-EXP 1 km simulation (9:30 UTC, Figure 7f), the region of reverse flow 402 is associated with convergence, cloud development and precipitation, which subsequently 403 produces cold pools near the top of the mountain (Figure 7f). A strong cold pool is evident 404 expanding towards the shore on the western slope of the island, which interacts with the reverse 405 flow by 10:30 UTC (Figure 7g). The convergence between the outflow boundary and the reverse 406 407 flow produces additional convection and precipitation (Figure 7g), as is evident with the 408 eastward and westward expansion of integrated condensate contour. By 11:30 UTC (Figure 7h), 409 the region of cold (< 4 K) perturbation potential temperatures has expanded further east in association with the enhanced convective precipitation, and smaller scale circulations are 410 411 dominating the mountain slope regions. Additionally, clouds and associated cold pools are





evident on the western coast. Clouds are still co-located along the outflow boundary that has now
reached the eastern shoreline. It therefore seems that the presence of orography in the STRONGEXPs initially produces deep convection at mountain top. The cold pool produced in association
with this convection converges with the return winds within the wake flow, thereby producing
additional convection. The latter continues to develop and move towards the coast with the
propagating outflow boundary. This sequence of events is evident in all of the STRONG-EXPs
with orography.

Overall, the expansion of clouds towards the shore with increasing orographic height is clearly tied to the development of cold pools from precipitation produced earlier in the day. Convection develops near mountain top and produces one or more cold pools. Because the cold pools are denser than the surrounding air, they flow downslope towards the island shores. The convergence and uplift produced by these shoreward propagating gust fronts initiates new convection. Convection is therefore initially observed at mountain top, but develops closer to the shore later in the day by virtue of these propagating cold pools.

426 **3.3 Precipitation**

427 In this section, we now examine how these changes in convective organization and intensity influence the accumulated precipitation averaged over the land and the entire domain. 428 In figure 8 the accumulated precipitation is demonstrated as a function of island diameter 429 (abscissa), orography (size of symbols) and wind regime (red vs blue). Firstly, it is evident from 430 this figure that regardless of island size and background wind regime more surface precipitation 431 432 is produced as orography is increased. For example, the 2-km high mountains consistently produce the greatest averaged accumulations. Increasing the topography to 2 kilometers causes 433 434 increases of 260%, 170%, and 150% in accumulated precipitation over land for the 50-km, 100-





435 km, and 200-km 2-km high STRONG-EXP simulations, respectively, and increases of 160%,

436 104%, and 98% for the 50-km, 100-km, and 200-km 2-km WEAK-EXPs, respectively, when

- 437 compared with the flat, 100km STRONG-EXP simulation. It is interesting that the increases
- 438 from the 50 km islands to the 100 km islands are larger than those from the 100 km islands to the
- 439 200 km islands.

Secondly, the STRONG-EXP simulations consistently produce more precipitation than 440 441 the WEAK-EXP simulations, regardless of island size or island height, with one exception. The 50 km, flat STRONG-EXP simulation, produces the least amount of precipitation over land of all 442 443 simulations. This appears to occur because the strong zonal flow advects the convection that 444 initially forms over land off the island, with the result that much of the precipitation occurs over the ocean to the lee of the island. Two factors appear to contribute to the greater precipitation 445 amounts in the STRONG-EXP simulations: (a) the lee vortex formation and reverse flow 446 development in the STRONG-EXPs with orography cause earlier deeper convection as the 447 moisture flux convergence is concentrated near the stagnation point; and (b) the relative 448 humidity is greater in the STRONG-EXP sounding (Robinson et al 2011), which provides more 449 moisture for precipitation. 450

To further understand how the precipitation field evolves in time with respect to changing 451 island size and orography, we examine the time series of the accumulated precipitation for all the 452 simulations (Figure 9). As the differences between the domain-averaged precipitation amounts 453 were small compared to the land-only averaged precipitation differences, this section focuses on 454 455 the land-only averaged accumulated precipitation. Increasing the orographic height decreases the 456 time it takes for the initiation and intensification of the precipitation rates. For example, in the 100 km-diameter STRONG-EXP simulation, precipitation begins at 0940 UTC in the flat 457 458 simulation, but at 0820 UTC in the 2km simulation (Figure 9a). The trend is most noticeable in





459 the 50-km diameter STRONG-EXP simulations, where precipitation begins nearly two hours earlier in the 2-km simulation (0750 UTC) than in the flat simulation (0940 UTC). These trends 460 are similar in the WEAK-EXP simulations, although precipitation initiation in all of the WEAK-461 EXP simulations is delayed when compared with their corresponding STRONG-EXPs. The 462 maximum precipitation rate (mm/10 minutes) increases with increasing orography for all island 463 sizes in both the STRONG-EXP and WEAK-EXP simulations. In summary, precipitation occurs 464 earlier with increasing orography, and the average precipitation rate increases with increasing 465 topography. 466

467 Most of the precipitation has stopped accumulating in the STRONG-EXP 1 and 2kmorographic height tests by 12:00 UTC. The 50-km diameter islands have the fastest accumulation 468 (Figure 9a), followed by those of the 100-km and 200-km diameter islands. This appears to be a 469 function of the time it takes for the reverse flow on the lee side of the island to traverse the 470 longer radius in the larger diameter simulations. The 2-km high 100 and 200-km diameter 471 simulations receive similar amounts of precipitation (11.63 & 11.14 mm respectively), but the 472 100-km diameter island has its greatest accumulation rates from 0900 UTC - 1050 UTC, 473 whereas the 200-km diameter island has its greatest accumulation rates an hour later, from 1000 474 UTC – 1200 UTC. The trends in the timing of the initiation and most rapid precipitation rates are 475 476 similar for lower orographic heights, but the magnitudes are smaller, further corroborating that the peak orographic height is a stronger control on the accumulated precipitation than island size 477 478 in the strong zonal wind regime.

The WEAK-EXP simulations accumulate less precipitation than the STRONG-EXP
simulations, and the high precipitation rates do not last as long (Figure 9b). For the tallest
mountains, precipitation begins later in the WEAK-EXP simulations than in the STRONG-EXP.
For example, in the 50-km diameter island, 2-km high island simulations, precipitation begins to





- 483 accumulate at 0810 UTC (Figure 9b), compared to 0740 UTC in the STRONG-EXP (Figure 9a),
- 484 with accumulation rates of 0.8-1.1 mm/10 minutes through 0930 UTC, which taper off to lower
- rates more quickly than in the STRONG-EXP simulations. A similar pattern exists for the 100-
- 486 km diameter island. However, the WEAK-EXP 200-km diameter island has a steady
- 487 accumulation rate from 1000 UTC until 1600 UTC of about .2 mm/10 minutes (.02/minute).
- 488 In summary, both the timing of the greatest precipitation rates, as well as the average
- 489 precipitation accumulations increase monotonically with increasing orographic height,
- 490 irrespective of island size. When the orographic height is kept constant, then precipitation rates
- 491 and amounts decrease with increasing island size. These orographic- and diameter-induced
- 492 differences are driven by the orographic-induced changes to the flow characteristics and the
- 493 timing of low-level convergence. Convergence takes longer to occur on the 200 km-diameter
- 494 islands than on the smaller diameter islands, even with the highest topography. This holds true
- 495 for both the WEAK-EXP and the STRONG-EXP simulations.
- 496 **3.4 Tracer Redistribution**
- 497 As described above, all of the model experiments are initialized with 500m deep layers of 498 tracers which are tracked throughout the simulation. No additional release of the tracers occurs. 499 The values shown in the figures corresponding to this analysis are represented as a percentage of 500 the initial tracer amount in the lowest tracer level (0-500m AGL), and thus represent the 501 horizontal and vertical redistribution of the tracers initially located nearest the surface. For
- example, at the initial time the percentage of this tracer from 0-500 m AGL would be 100%,
- 503 whereas at higher altitudes, the value would be 0%. However, with the lofting of the tracers in
- time, the tracer percentage would decrease in the lowest level but increase higher up.





505	In figure 10, the plan view represents the percent change of the tracer initially located in
506	the lowest level (0-500m AGL) for two layers in the modeled atmosphere, the 0-500 meters AGI
507	level and the 500-1000 meters AGL level. In the STRONG-EXP flat simulations (Figure 10a-f),
508	before sunrise, more than 95% of the original tracer is still resident in the lowest 500 meters,
509	while less than 5% of this tracer is in the 500-1000 m AGL level (Figure 10a,b), indicating the
510	limited amount of vertical mixing that occurs before sunrise. This pattern is similar in the
511	WEAK-EXP simulations (Figure 10g,h). At 09:00 UTC, in the STRONG-EXP simulation, low-
512	level convergence produces tracer lofting which leads to an increase in this tracer at the 500-
513	1000 m AGL level (Figure 10c, d), and as shallow convection initiates, more tracers are lofted
514	from the surface level to the next level (Figure 10e, f). Tracers in the WEAK-EXP are similarly
515	lofted, although the patterns are symmetrical around the island with the weaker zonal flow, in
516	keeping with the flow regimes discussed above. At 09:00 UTC (Figure 10i, j), the transport of
517	the near-surface tracers around the island edges (Figure 10i) through vertical lofting to the 500-
518	1000 m AGL region (Figure 10j) is evidence of the sea-breeze related convergence
519	circumnavigating the island. The continued convergence diminishes the amount of the original
520	tracer in the lowest level with time (Figure 10k, 1).

The impacts of orography on the tracer redistribution are demonstrated in Figure 11. In 521 both the STRONG-EXP and WEAK-EXP simulations, tracers are rapidly lifted from the surface 522 at mountain top. This effect is stronger in the STRONG-EXP simulation, where only 5-15% of 523 the original tracer remains in the lowest level at 06:00 UTC (Figure 11a) before sunrise, whereas 524 30-40% of the original tracer is still evident at the same time (Figure 11g) in the WEAK-EXP. In 525 the STRONG-EXP, up to 70% of the near-surface tracers have been lofted in the lee-vortex 526 downwind of the mountain by 06:00 UTC (Figure 11b). In the WEAK-EXP, a slight downslope 527 wind develops in association with the mountain-valley circulation and is evident early on the 528





- western portion of the mountain (06:00 UTC, Figure 11g, h). This interaction and subsequent
- convergence of this flow with the background flow, lofts up to 65% of the original near-surface
- tracer into the second vertical level. After sunrise, along the coast line, \sim 70% of the original
- near-surface tracer gets lofted into the 500-1000 m AGL range (Figure 11i, j), in keeping with
- the convergence (Fig 3d) and wind flow (Fig 2d) regimes at this point in time. Increasing the
- orographic height causes the tracers to be lofted higher near mountain top in association with the
- mountain top convergence, and in the presence of a strong background wind, the tracers are
- effectively advected into the island wake (Fig 11).
- 537 In summary, the redistribution of aerosols in the flat topography cases is closely tied with cloud
- 538 formation via sea-breeze initiated convection. In the experiments with increased orographic
- height, the resulting aerosol redistribution occurs due to a combination of the changes to the flow
- around the islands and the manner in which the location of convection is altered.

541 4.0 Discussion and Conclusions

In this investigation 24 idealized simulations of tropical islands were conducted in which 542 the island size and orographic height were individually and simultaneously varied under a weak 543 and strong wind regime, in order to determine how the size and topography of an island 544 influences convection, precipitation and aerosol distribution. The simulations utilized three-545 dimensional circular islands with varying degrees of topographic height. This model setup allows 546 for the development of the complex three-dimensional flow both over and around the islands. 547 Additionally, each simulated island has a realistic land surface of evergreen brush, thus 548 representing the surface flux response to the meteorology. A number of conclusions have been 549 drawn from the analyses of these numerical experiments. 550





551 Varying the island diameter has little effect on the overall flow patterns, regardless of the 552 background wind regime. However, enhancing topography, regardless of island size or wind regime, results in substantial changes to the flow around the islands, which in turn impact three 553 primary features: (a) precipitation, (b) convective development and morphology, and (c) aerosol 554 transport. Orography is a stronger control on accumulated precipitation than is island size, with 555 increasing orography producing greater amounts of accumulated precipitation. While a weaker 556 influence, island size also impacts accumulated precipitation, with decreases in the land-557 averaged precipitation with increasing island size. This decrease in precipitation with increasing 558 island size is different from what has previously been reported by Robinson and Sherwood 559 (2011) who found that increasing island size increased convective vigor and associated 560 precipitation production. In the experiments performed here, precipitation was also found to 561 increase on the lee side of the mountains in the high wind regime in association with the 562 convergence produced by the lee vortices and return flow. This finding also diverges from 563 previous work on this topic (Wang and Sobel, 2017; Nugent et al., 2014). The differences 564 between the results of the simulations conducted here and many of the previous findings is most 565 likely due to the model setup, particularly the domain configuration and the island morphology. 566 Many of the previous simulations were two dimensional, and those that were three dimensional 567 typically used a channel approach, where the y-dimension was typically one tenth of the x-568 569 dimension (60 x 600 grid cells); the island was also frequently represented as a strip in the center 570 of the domain that extended the entire meridional extent of the domain. Furthermore, the island was typically modeled using an ocean surface without latent heat fluxes and/or a free-slip 571 boundary. These representations of island morphology do not allow for three dimensional flows 572 around the island, nor for the detailed representation of potentially important surface processes 573 including surface fluxes, surface roughness and the impacts of drag. As the experimental setup 574





used here was intended to mimic the atmospheric conditions of Robinson and Sherwood (2011)
as closely as possible, these results suggest that it may be important to fully capture the threedimensional nature of the flow over and around the island, as well as the role played by surface

578 properties.

Regardless of island diameter or wind regime, convection begins as scattered boundary 579 layer cumulus and grows upscale towards the island center in the flat island simulations. 580 581 Increasing the island topography changes this behavior. Heightened topography causes convection to initiate predominantly near the center of the islands, quickly become deep 582 583 convection, and then propagate towards the shorelines. The initiation of convection also varies as a function of wind regime. In the STRONG-EXP simulations, the increasing orography causes 584 lee vortex development, and a strong reverse on-island flow associated with the vortex pair. This 585 wake formation increases surface moisture flux convergence near the stagnation point on the lee 586 side of the island, which leads to earlier and deeper convection. In the WEAK-EXPs, the 587 thermally induced upslope flow creates initial moisture flux convergence near the mountain top, 588 which then initiates deep convection in this location. In both wind regimes with enhanced 589 orography, the expansion of convective activity towards the shoreline throughout the day is tied 590 to the development of cold pools near the island center earlier in the day and the subsequent 591 592 shoreward propagation of these cold pools. The cold pools play an important role in initiating and sustaining convection as they move towards the ocean. The cold pools do not propagate 593 594 towards the shore in the flat island cases, and hence this shoreward development of convection is 595 not observed.

596 The changes in convective organization also affect the horizontal and vertical distribution 597 of aerosols, which were represented using passive tracers in these experiments. In the STRONG-598 EXP orographic simulations, tracers are lifted from the surface near the mountain tops and





599	advected into the island wake, where $\sim 80\%$ of them remain for much of the rest of the day. This
600	is a function of the lower wind speeds that are characteristic within these wakes (Isoguchi et al.,
601	2010), as well as the reverse flow that develops. In the WEAK-EXP orographic experiments,
602	\sim 70% of the initial tracer is first lofted from the region of near-surface convergence that occurs
603	as a function of the downslope mountain flow interacting with the background flow. These
604	orographic patterns are different than those which occur in the flat topography simulations,
605	where tracers are entrained into the convection developing after sunrise. While the magnitude of
606	tracer lofting is similar between the WEAK-EXP and STRONG-EXP orographic and flat
607	simulations, the mechanisms are therefore different. In the STRONG-EXP, mechanical forcing
608	via wake and vortex formation plays a predominant role, whereas in the WEAK-EXP the
609	thermally-induced mechanisms of upslope flow arising from diurnal heating dominate. After
610	sunrise, when convection begins, the ability to distinguish the predominant role of the thermal
611	versus mechanical mechanisms becomes difficult to determine.
612	The results from this study highlight the potentially important role of wake formation in
613	convective organization and aerosol transport. This is keeping with other work that has shown
614	the importance of a hydraulic jump that develops in the lee of the island under conditions of
615	strong environmental flow (Yang et al., 2008). Additionally, the analysis presented here
616	demonstrates that the movement of the cold pools down the mountain slopes assists in causing
617	progressive convective initiation towards the shore as the day develops. This suggests that cold
618	pools and surface interactions may be important in the convective organization, precipitation
619	processes, and aerosol redistribution on mountainous islands. As such, they are worthy of

620 consideration and representation in future island studies.

While the experiments presented here were carefully designed, there are limitations bothto the experiment setup and with the analyses. The 1-km horizontal grid spacing, though





623 generally sufficient for convection permitting models, will not fully resolve boundary layer cumulus clouds. The constant vertical spacing of 100 meters is also a limitation, especially close 624 to the surface. The vertical structure was designed to mimic the vertical structure of similar past 625 works. However, enhancing the vertical resolution, particularly near the surface would enhance 626 the representation of the surface processes and cold pools, especially with the imposed orography 627 and surface heterogeneity. Another limitation of these experiments is with the treatment of 628 aerosols. In this experiment, given the focus of the study on aerosol transport, the redistribution 629 of aerosols is represented through the use of passive tracers - they do not absorb or scatter 630 radiation, they do not act as CCN, and they do not get scavenged by precipitation. Considering 631 that cloud formation and development is an important mechanism in tracer transport, the lack of 632 accountability in the cloud processing of aerosols means we are potentially missing aerosol loss 633 and production processes. By not including radiatively active aerosols, we are also not 634 accounting for both direct and semi-direct effects, which could influence the mesoscale 635 circulation (Grant et al., 2014; Lee, 2012). The next step in this research is to perform a similar 636 suite of experiments using aerosols that are fully microphysically and radiatively active. 637 Additionally, by not including the Corolis effect, the latitudinal shifts in the timing of the land-638 sea breeze circulation and the potential interactions with the mountain-breeze circulation 639 640 (Rotunno, 2983), are not included in this experiment. Finally, while we have tested two different 641 environmental regimes, countless others are observed in the tropics, and the study could be 642 expanded to include additional environments.

In summary, in spite of the study limitations, this research clearly demonstrates the importance of orography and the resulting three-dimensional flow around tropical islands in determining the initiation and amounts of precipitation, the convective organization, and aerosol redistribution. Firstly, increasing island orographic height consistently increased accumulated





647 precipitation on the islands, regardless of island size or wind regime. For a 100-km diameter island, increasing orography from flat to 2 km increases the accumulated precipitation by 170% 648 and encourages the earlier onset of precipitation. Secondly, by representing three-dimensional 649 flow, an interactive surface and the full diurnal cycle, the impacts of both the mountain and sea-650 breeze circulations on convective organization have been shown. With a weak zonal flow, the 651 increasing topography increases the convergence at mountain top, which occurs as a function of 652 653 diurnal heating. Under strong zonal flow, the formation of a wake, lee vortices and a reverse 654 flow increase convergence on the lee side of the mountain, which leads to earlier development of deep convection downwind of the mountain top. With both flow regimes, enhanced topography 655 results in the shoreward expansion of convection. This change in convective morphology occurs 656 as a function of cold pools, which form, propagate down the island mountain slopes, and initiate 657 and maintain convection as they progress towards shore. Finally, the aerosol redistribution is 658 closely tied to the development of convection. In the flat topography cases, aerosol redistribution 659 is driven by cloud formation associated with the sea breeze. In the enhanced topography cases, 660 the tracers are transported away from the mountain tops and their fates are more strongly 661 dependent on the specific circulations developing in association with the background wind 662 regime. Examining, understanding, and quantifying these relationships at these fine scales is 663 imperative if the community intends to create parameterizations that represent the impacts of 664 665 island size and orography on precipitation, convective organization and aerosol transport.

666 Author Contributions

Stacey Kawecki is the lead author and performed the experiment and wrote the manuscript.
Susan van den Heever guided the research and analysis and extensively contributed to the
manuscript.





670 Acknowledgements

- This work was supported by the Office of Naval Research under Grant N00014-16-1-2040 with
- 672 project titled, "Advancing Littoral Zone Aerosol Prediction via Holistic Studies in Regime-
- 673 Dependent Flows". The authors are grateful for conversations with Kristen Rasmussen on
- 674 mountain flow regimes.

675 References

- Adler, R.F., and Mack, R., A. Thunderstorm cloud top dynamics as inferred from satellite
 observations and a cloud top parcel model. Journal of the atmospheric sciences, 43.18, 19451960, 1986.
- Alexander, M. J., and Grimsdell, A., W. Seasonal cycle of orographic gravity wave occurrence
- above small islands in the Southern Hemisphere: Implications for effects on the general
- circulation. Journal of Geophysical Research: Atmospheres 118.20, 11-589, 2013.

Baker, R. D., Lynn, B. H., Boon, A., Tao, W. K., and Simpson, J. The influence of soil moisture,
coastline curvature, and land-breeze circulations on sea-breeze-initiated precipitation. Journal of
Hydrometeorology, 2.2, 193-211, 2001.

685 Birch, C. E., Roberts, M. J., Garcia-Carreras, L., Ackerly, D., Reeder, M. J., Lock, A. P., and

- Schiemann, R. Sea-breeze dynamics and convection initiation: The influence of convective
 parameterization in weather and climate model biases. Journal of Climate, 28.20, 8093-8108,
 2015.
- 689 Boucher, O., et al. Clouds and aerosols. Climate change 2013: the physical science basis.
- 690 Contribution of Working Group I to the Fifth Assessment Report of the Intergovernmental Panel691 on Climate Change. Cambridge University Press, 571-657, 2013.
- Broccoli, A. J., and Manabe, S. The effects of orography on midlatitude Northern Hemisphere
 dry climates. Journal of Climate 5.11, 1181-1201, 1992.
- Campetella, C. M., and Vera, C. S. The influence of the Andes mountains on the SouthAmerican low-level flow. Geophysical Research Letters 29.17, 2002.
- Chen, Y., and Wang, J. Diurnal variation of surface thermodynamic fields on the island ofHawaii. Monthly weather review 122.9, 2125-2138, 1994.
- Choi, H. and Hong, S. An updated subgrid orographic parameterization for global atmospheric
 forecast models. Journal of Geophysical Research: Atmospheres 120.24, 12445-12457, 2015.
- 700 Corfidi, S. F., Cold pools and MCS propagation: Forecasting the motion of downwind-
- 701 developing MCSs. Weather and forecasting, 18.6, 997-1017, 2003.





- 702 Emanuel, K. A. Atmospheric convection. Oxford University Press on Demand, 1994.
- Figure 703
 Figure 703
 Epifanio, C. C., and Rotunno, R. The dynamics of orographic wake formation in flows with upstream blocking. Journal of the atmospheric sciences 62.9, 3127-3150, 2005.
- Etling, D. On atmospheric vortex streets in the wake of large islands. Meteorology andAtmospheric Physics 41.3, 157-164, 1989.
- Fan, J., Rosenfeld, D., Yang, Y., Zhao, C., Leung, L. R., and Li, Z. Substantial contribution of
- anthropogenic air pollution to catastrophic floods in Southwest China. Geophysical Research
- 710 Letters 42.14, 6066-6075, 2015.
- 711 Fan, Jiwen, et al. "Review of aerosol-cloud interactions: mechanisms, significance, and
- challenges." *Journal of the Atmospheric Sciences* 73.11 (2016): 4221-4252.
- Glover, R.W. Influence of spatial resolution and treatment of orography on GCM estimates ofthe surface mass balance of the Greenland Ice Sheet. Journal of Climate 12.2, 551-563, 1999.
- Grant, L. D., and van den Heever, S. C. Aerosol-cloud-land surface interactions within tropical
 sea breeze convection. Journal of Geophysical Research: Atmospheres, 119.13, 8340-8361,
 2014.
- 718 Hassim, M. E. E., Lane, T. P., and Grabowski, W. W. The diurnal cycle of rainfall over New
- 719 Guinea in convection-permitting WRF simulations. Atmospheric Chemistry & Physics
- 720 Discussions, 16.1, 2016.
- Hill, G. E. Factors controlling the size and spacing of cumulus clouds as revealed by numerical
- experiments. Journal of the Atmospheric Sciences, 31.3, 646-673, 1974.
- 723
- Holton, J. R., and Hakim, G. J. An introduction to dynamic meteorology. Vol. 88. Academic
 press, 2012.
- Houze, R.A. Orographic effects on precipitating clouds. Reviews of Geophysics, 50.1, 2012.
- 727 Isoguchi, O., Shimada, M., and Kawamura, H. Characteristics of ocean surface winds in the lee
- of an isolated island observed by synthetic aperture radar. Monthly Weather Review, 139.6,
 1744-176, 2010.
- 730 Kanitz, T., Ansmann, A., Foth, A., Seifert, P., Wandinger, U., Engelmann, R., Baars, H.,
- 731 Althausen, D., Casiccia, C., and Zamorano, F. Surface matters: limitations of CALIPSO V3
- aerosol typing in coastal regions. Atmospheric Measurement Techniques, 7.7, 2061-2072, 2014.
- Lee, S. S. Effect of aerosol on circulations and precipitation in deep convective clouds. Journal
 of the Atmospheric Sciences, 69.6, 1957-1974, 2012.
- 735
- 736 Mahrer, Y. and Pielke, R. A. The effects of topography on sea and land breezes in a two-
- dimensional numerical model. Monthly weather review, 105.9, 1151-1162, 1977.
- 738 McFarlane, N. A. The effect of orographically excited gravity wave drag on the general
- riculation of the lower stratosphere and troposphere. Journal of the atmospheric sciences,
- **740** 44.14, 1775-1800, 1987.





- 741 McGranahan, G., Balk, D., and Anderson, B. The rising tide: assessing the risks of climate
- change and human settlements in low elevation coastal zones. Environment and urbanization,19.1, 17-37, 2007.
- 744 Minder, J. R., Smith, R. B., and Nugent, A. D. The dynamics of ascent-forced orographic
- convection in the tropics: Results from Dominica. Journal of the Atmospheric Sciences, 70.12,4067-4088, 2013.
- Neale, R. and Slingo, J. The Maritime Continent and its role in the global climate: A GCMstudy. Journal of Climate, 16.5, 834-848, 2003.
- 749 Neumann, B., Vafeidis, A. T., Zimmermann, J., and Nicholls, R. J. Future coastal population
- growth and exposure to sea-level rise and coastal flooding-a global assessment. PloS one, 10.3,e0118571, 2015.
- Nugent, A. D., Smith, R. B., and Minder, J. R. Wind speed control of tropical orographic
 convection. Journal of the Atmospheric Sciences, 71.7, 2695-2712, 2014.
- Pielke, R. A. A three-dimensional numerical model of the sea breezes over southFlorida. Monthly weather review, 102.2, 115-139, 1974.
- 756 Pielke, R. A., Cotton, W. R., Walko, R. E. A., Tremback, C. J., Lyons, W. A., Grasso, L. D.,
- Nicholls, M. E., Moran, M. D., Wesley, D. A., Lee, T. J., and Copeland, J. H. A comprehensive
 meteorological modeling system—RAMS. Meteorology and atmospheric Physics, 49.1-4, 69-
- 759 91, 1992.
- Rasmussen, K. L., and Houze, R. A. Convective initiation near the Andes in subtropical South
 America. Monthly Weather Review, 144.6, 2351-2374, 2016.
- 762 Reid, J. S., Hyer, E. J., Johnson, R. S., Holben, B. N., Yokelson, R. J., Zhang, J., Campbell, J. R.,
- 763 Christopher, S. A., Di Girolamo, L., Giglio, L., and Holz, R. E. Observing and understanding the
- **764** Southeast Asian aerosol system by remote sensing: An initial review and analysis for the Seven
- Southeast Asian Studies (7SEAS) program. Atmospheric Research, 122, 403-468, 2013.
- Robinson, F. J., Sherwood, S. C., Gerstle, D., Liu, C., and Kirshbaum, D. J. Exploring the landocean contrast in convective vigor using islands. Journal of the Atmospheric Sciences, 68.3,
 602-618, 2011.
- Rotunno, R. On the linear theory of the land and sea breeze. Journal of the AtmosphericSciences, 40.8, 1999-2009, 1983.
- Rotunno, R., Grubišić, V., and Smolarkiewicz, P. K. Vorticity and potential vorticity in mountain
 wakes. Journal of the atmospheric sciences, 56.16, 2796-2810, 1999.
- 773 Saleeby, S. M., and Cotton, W. R. A large-droplet mode and prognostic number concentration of
- cloud droplets in the Colorado State University Regional Atmospheric Modeling System
- 775 (RAMS). Part I: Module descriptions and supercell test simulations. Journal of Applied
- 776 Meteorology, 43.1, 182-195, 2004.





- Saleeby, S. M., and Cotton, W. R. A binned approach to cloud-droplet riming implemented in a
 bulk microphysics model. Journal of Applied Meteorology and Climatology, 47.2, 694-703,
- 779 2008.
- 780 Saleeby, S. M., and van den Heever, S. C. Developments in the CSU-RAMS aerosol model:
- 781 Emissions, nucleation, regeneration, deposition, and radiation. Journal of Applied Meteorology
- 782
 and Climatology, 52.12, 2601-2622, 2013.
- 783 Sherwood, S.C., Minnis, P., and McGill, M. Deep convective cloud-top heights and their
- 784 thermodynamic control during CRYSTAL-FACE. Journal of Geophysical Research:
- 785 Atmospheres, 109.D20, 2004.
- Smith, R. B., and Grubišić, V. Aerial observations of Hawaii's wake. Journal of the atmospheric
 sciences, 50.22, 3728-3750, 1993.
- 788 Smith, R. B., Minder, J. R., Nugent, A. D., Storelvmo, T., Kirshbaum, D. J., Warren, R., Lareau,
- 789 N., Palany, P., James, A., and French, J. Orographic precipitation in the tropics: The Dominica
- Experiment. Bulletin of the American Meteorological Society, 93.10, 1567-1579, 2012.
- 791 Smolarkiewicz, P. K., and Rotunno, R. Low Froude number flow past three-dimensional
- obstacles. Part I: Baroclinically generated lee vortices. Journal of the AtmosphericSciences, 46.8, 1154-1164, 1989.
- Tao, W. K., Chen, J. P., Li, Z., Wang, C., and Zhang, C. Impact of aerosols on convective clouds
 and precipitation. Reviews of Geophysics, 50.2, 2012.
- 796 Walko, R. L., Band, L. E., Baron, J., Kittel, T. G., Lammers, R., Lee, T. J., Ojima, D., Pielke Sr,
- **797** R. A., Taylor, C., Tague, C., and Tremback, C. J. Coupled atmosphere–biophysics–hydrology
- models for environmental modeling. Journal of applied meteorology, 39.6, 931-944, 2000.
- Wang, C. C., and Kirshbaum, D. J. Thermally forced convection over a mountainous tropical
 island. Journal of the Atmospheric Sciences, 72.6, 2484-2506, 2015.
- Wang, C. C., and Kirshbaum, D. J. Idealized simulations of sea breezes over mountainous
 islands. Quarterly Journal of the Royal Meteorological Society, 143.704, 1657-1669, 2017.
- 803 Wang, J., Ge, C., Yang, Z., Hyer, E. J., Reid, J. S., Chew, B. N., Mahmud, M., Zhang, Y., and
- Zhang, M. Mesoscale modeling of smoke transport over the Southeast Asian Maritime
- 805 Continent: Interplay of sea breeze, trade wind, typhoon, and topography. Atmospheric
- 806 Research, 122, 486-503, 2013.
- 807 Wang, S., and Sobel, A. H. Factors controlling rain on small tropical islands: Diurnal cycle,
- large-scale wind speed, and topography. Journal of the Atmospheric Sciences, 74.11, 3515-3532, 2017.
- 810 Yang, G. Y., and Slingo, J. The diurnal cycle in the tropics. Monthly Weather Review, 129.4,
- 811 784-801, 2001.
- 812





- 813 Yuter, S. E., and Houze Jr., R. A. Three-dimensional kinematic and microphysical evolution of
- 814 Florida cumulonimbus. Part II: Frequency distributions of vertical velocity, reflectivity, and
- 815 differential reflectivity. Monthly weather review, 123.7, 1941-1963, 1995.





Domain size:Nx,Ny,Nz	[100,200,400],[100,200,400],200
$\Delta x, \Delta y, \Delta z, \Delta t$	1km, 1km, 100m, 1 second
Boundary conditions	Initialized from soundings (Robinson et al, 2011) Cyclic
Microphysics	2 moment bulk scheme (Saleeby and Cotton 2004)
SW & LW radiation, Δt	Harrington 2-stream, 60 seconds
Surface model	LEAF3 (Walko et al, 2000)
Dynamics	Fully compressible; No Coriolis Force
Aerosols	Passive Tracers, near surface [0-500m above ground level; mixing ratio of 1 kg/kg]

817 Table 1: Regional Atmospheric Modeling System (RAMS) Configuration

818

819 Table 2: Experimental Set Up: For each island diameter (top row), simulations are run for

820 increasing peak orographic heights. This set of twelve simulations is run for a strong zonal wind

821 regime (STRONG-EXP simulations) and a weak zonal wind regime (WEAK-EXP simulations).

50 km	100 km	200 km
Flat	Flat	Flat
500 meters	500 meters	500 meters
1 km	1 km	1 km
2 km	2 km	2km





823 Figures

824 Figure 1



827 Figure 1: Schematic of the experimental design: (a) the horizontal domain and the varying

topographic height of the 100-km diameter island; (b) the vertical profiles of zonal wind

components, potential temperature, and water vapor mixing ratio for the STRONG-EXP (red)

and WEAK-EXP (blue) experiments; (c) the vertical tracer distribution over the 100 km diameter

831 flat island, where hashing indicates tracers released over ocean.





STRONG-EXP FLAT 08:30 UTC WEAK-EXP FLAT 08:30 UTC aþ 175 km 125 km 75 km 25 km 25 km 75 km 125 km 175 km 25 km 75 km 125 km 175 km STRONG-EXP 1 km 08:30 UTC WEAK-EXP 1 km 08:30 UTC 175 km b d 125 km 75 km 25 km 125 km 175 km 25 km 75 km 125 km 175 km 25 km 75 km

833 Figure 2

834

Figure 2: Surface streamlines (~ 50 meters) for 100-km diameter island simulations at 08:30
UTC, for the STRONG-EXP (a and b) and the WEAK-EXP (c and d), flat (a and c) and 1-km
height (b and d) simulations. The black diamond indicates the stagnation point, the black "x"
denote the placement of lee vortices and the black triangle demonstrates where the reverse flow
occurs.





841 Figure 3



Figure 3: Surface moisture flux divergence for 100-km diameter island simulations at 08:30
UTC, for the STRONG-EXP (a&b) and the WEAK-EXP (c&d), flat (a&c) and 1-km height
(b&d) simulations. The black X's, diamond, and triangle are the same as figure 2. The black
circles indicate regions of upslope flow and moisture flux divergence, whereas the black star
indicates a region of moisture flux convergence.





849 Figure 4



- 851 Figure 4: Hovmöller plots of latitudally-averaged cloud top height for the 100km island
- diameter simulations, with STRONG-EXP simulations (a-d) and WEAK-EXP simulations (e-h),
- 853 with orographic height increasing from left to right.
- 854
- 855
- 856
- 857
- 858
- 859





860 Figure 5



Figure 5: CFADs of cloud water mixing ratio [g/kg] for the STRONG-EXP simulations (a-d)

- and the WEAK-EXP simulations (e-h), with orographic height increasing from left to right.
- 864 Shading is the percent probability of occurrence.

- 866
- 867
- . -
- 868
- 869
- 870
- 871
- 872





873 Figure 6



874

875

876 Figure 6: Surface potential temperature perturbation (K, colored contours), surface winds

877 (arrows; m/s), and the 20 g/kg integrated condensate mixing ratio isoline (black contour) for the

878 100-km WEAK-EXP simulations, showing the time dependent evolution of convective features

```
879 for the FLAT simulation (a-d) and the 1-km Height simulation (e-h).
```

- 880
- 881
- 882
- 883
- 884





885

886 Figure 7







897

898 Figure 8



899

900 Figure 8: Scatter plot showing the area-averaged accumulated precipitation for each simulation

901 as a function of island size. Triangles indicate that the averages are performed over the entire

902 domain, whereas octagons indicate precipitation is averaged over land only. Blue markers

903 indicate the STRONG-EXP simulations, and red markers indicate the WEAK-EXP simulations.

904

905





907 Figure 9



908

- 909 Figure 9: Time series of accumulated precipitation, averaged over land, for (a) the STRONG-
- 910 EXP and (b) the WEAK-EXP simulations.

- 912
- 913
- 914
- 915
- 916
- 917





918 Figure 10



920 Figure 10: The temporal evolution of the percentage of the initial tracers (colored shading, %

- 921 kg/kg) located in the 0-500m level AGL found at 0-500m AGL (top row) and at 500-1000m
- 922 AGL (bottom row), for the 100-km, flat, STRONG-EXP (a-f) and WEAK-EXP (g-l).. Shown are





- 923 plans views at the respective heights (0-500m in the top row, 500-1000m in the bottom row).
- 924 Near surface winds are black wind vectors (m/s).





926 Figure 11





