

1 **A revisit of parametrization of downward longwave radiation in**
2 **summer over the Tibetan Plateau based on high temporal resolution**
3 **measurements**

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Abstract

The Tibetan Plateau (TP) is one of research hot spots in the climate change research due to its unique geographical location and high altitude. Downward longwave radiation (DLR), as a key component in the surface energy budget, is of practical implications for radiation budget and climate change. A couple of attempts have been made to parametrize DLR over the TP based on hourly or daily measurements and crude clear sky discrimination methods. This study uses 1-minute shortwave and longwave radiation measurements at three stations over TP to parameterize DLR during summer months. Three independent methods are used to discriminate clear sky from clouds based on 1-minute radiation and Lidar measurements. This guarantees strict selection of clear sky samples that is fundamental for the parameterization of clear-sky DLR. Eleven clear-sky and four cloudy DLR parameterizations are examined and locally calibrated. Comparing to previous studies, DLR parameterizations here are shown be characterized by smaller root mean square error (RMSE) and higher coefficient of determination (R^2). Clear-sky DLR can be estimated from the best parametrization with RMSE of $3.8 \text{ W}\cdot\text{m}^{-2}$ and $R^2 > 0.98$. Systematic overestimation of clear-sky DLR by the locally calibrated parametrization in previous study is found to be approximately $25 \text{ W}\cdot\text{m}^{-2}$ (10%), which is very likely due to potential residual cloud contamination on previous clear-sky DLR parametrization. Cloud-base height under overcast conditions is shown to play an important role in cloudy DLR parameterization, which is considered in the locally calibrated parameterization over the TP for the first time. Further studies on DLR parameterization during nighttime and in seasons except summer are required for our better understanding of DLR's role in climate change based on 1-minute high-quality DLR measurements.

42 **1 Introduction**

43 The downward longwave radiation (DLR) at the Earth's surface is the largest
44 component of the surface energy budget, being nearly double the downward shortwave
45 radiation (DSR) (Kiehl and Trenberth, 1997). DLR has shown a remarkable increase
46 during the process of global warming (Stephens et al., 2012). This is closely related to
47 the fact that both a warming and moistening of the atmosphere (especially at the lower
48 atmosphere associated with the water vapor feedback) positively contribute to this
49 change. Understanding of complex spatiotemporal variation of DLR and its implication
50 is necessary for improving weather prediction, climate simulation as well as water
51 cycling modeling. Unfortunately, errors in DLR are considered substantially larger than
52 errors in any of the other components of surface energy balance, which is most likely
53 related to the lack of DLR measurements with high quality (Stephens et al., 2012).

54 The 2-sigma uncertainty of DLR measurement by using a well-calibrated and
55 maintained pyrgeometer is estimated to be 2.5% or $4 \text{ W} \cdot \text{m}^{-2}$ (Stoffel, 2005). However,
56 global-wide surface observations are very limited, especially in those remote regions.
57 On the other hand, it has been known for almost one century that clear-sky DLR is
58 determined by the bulk emissivity and effective temperature of the overlying
59 atmosphere (Ångström, 1918). Since these two quantities are not easily observed for a
60 vertical column of the atmosphere, clear-sky DLR is widely parameterized as a function
61 of surface air temperature and water vapor density, assuming that the clear sky radiates
62 toward the surface like a grey body at screen-level temperature. Dozens of
63 parameterization formulas of DLR have been developed in which clear-sky effective
64 emissivity (ϵ_c) is a function of the screen-level temperature (T) and water vapor pressure
65 (e), or simply in the localized coefficients with given functions. Two formulas, i.e., an
66 exponential function (Idso, 1981) and a power law function (Brunt, 1932; Swinbank,
67 1963), have been widely used to depict the relationship of ϵ_c to T and e . The coefficients
68 of these functions are derived by a regression analysis of collocated measurements of
69 T , e and DLR. Most of these proposed parameterizations are empirical in nature and
70 only specific for definite atmospheric condition. An exception is that Brutsaert (1975)
71 developed a model based on the analytic solution of the Schwarzschild's equation for a

72 standard atmospheric lapse rates of T and e . Prata (1996) found that the precipitable
73 water content (w) was much better to represent the effective emissivity of the
74 atmosphere than e , which was loosely based on radiative transfer simulations. Dilley
75 and O'Brien (1998) adopted this scheme but tuned empirically their parameterization
76 using an accurate radiative transfer model. Since DLR is to some extent impacted by
77 water vapor and temperature profile (especially in case of existence of an inversion
78 layer) and diurnal variation of T , a new model with two more coefficients considering
79 these effects was developed (Dupont et al., 2008a).

80 In the presence of clouds, total effective emissivity of the sky is remarkably
81 modulated by clouds. The existing clear-sky parameterization should be modified
82 according to the cloud fraction (CF) and other cloud parameters such as cloud base
83 height (CBH). CF is generally used to represent a fairly simple cloud modification
84 under cloudy conditions. Dozens of equations with cloudiness correction have been
85 developed and evaluated by DLR measurements across the world (Crawford and
86 Duchon, 1999; Niemela et al., 2001). CF can be obtained by trained human observers
87 (Iziomon et al., 2003) or derived from DSR (Crawford and Duchon, 1999) and DLR
88 measurements (Durr and Philipona, 2004). High temporal resolution of DSR or DLR
89 measurements (for example, 1-minute) can also provide cloud type information
90 (Duchon and O'Malley, 1999), and thereby allow to consider potential effects of cloud
91 types on DLR (Orsini et al., 2002).

92 With an average altitude exceeding 4 km above the sea level (ASL), the Tibetan
93 Plateau (TP) exerts a huge influence on regional and global climate through mechanical
94 and thermal forcing because of its highest and most extensive highland in the world
95 (Duan and Wu, 2006). TP, compared to other high altitude regions and the poles, has
96 been relatively more sensitive to climate change. The most rapid warming rate over the
97 TP occurred in the latter half of the 20th century was likely associated with relatively
98 large increase in DLR. Duan and Wu (2006) indicated that increase in low level
99 nocturnal cloud amount and thereby DLR could partly explain the increase in the
100 minimum temperature, despite decrease in total cloud amount during the same period.
101 By using observed sensitivity of DLR to change in specific humidity for the Alps,

102 Rangwala et al. (2009) suggested that increase in water vapor appeared to be partly
103 responsible for the large warming over the TP. Since the coefficients of certain
104 empirical parameterizations and their performances showed spatiotemporal variations,
105 establishment of localized DLR parameterizations over the TP is of highly significance.
106 Further studies on DLR, including its spatiotemporal variability, its parameterization as
107 well as its sensitivity to changes in atmospheric variables, would be expected to
108 improve our understanding of climate change over the TP (Wang and Dickinson, 2013).

109 DLR measurements from high quality radiometer with high temporal resolution
110 over the TP are quite scarce. To the best of our knowledge, there are very few
111 publications on DLR and its parameterization over the TP. Wang and Liang (2009)
112 evaluated clear-sky DLR parameterizations of Brunt (1932) and Brutsaert (1975) at 36
113 globally distributed sites, in which DLR data at two TP stations were used. Yang et al.
114 (2012) used hourly DLR data at 6 stations to study major characteristics of DLR and to
115 assess the all-sky parameterization of Crawford and Duchon (1999). Zhu et al. (2017)
116 evaluated 13 clear-sky and 10 all-sky DLR models based on hourly DLR measurements
117 at 5 automatic meteorological stations. The Kipp & Zonen CNR1 is composed of CM3
118 pyranometer and CG3 pyrgeometer that are used to measure DLR and DSR,
119 respectively. The CG3 is the second class radiometer according to the International
120 Organization for Standardization (ISO) classification. The root mean square of hourly
121 DLR is less than 5 Wm^{-2} after field recalibration and window heating correction
122 (Michel et al., 2008). Note that human observations of cloud every 3-6 hours or hourly
123 DLR and DSR data are respectively used to determine clear sky and cloud cover in
124 these previous studies.

125 In order to further our understanding of DLR and DSR over the TP, measurements
126 of 1-minute DSR and DLR at 3 stations over the TP using state-of-the-art instruments
127 have been performed in summer months since 2011. These data provide us opportunity
128 to evaluate clear-sky DLR models and quantitatively assess cloud impacts on DLR.
129 This study makes progress in the following aspects as compared to previous studies: 1)
130 clear-sky discrimination and CF estimation are based on 1-minute DSR and DLR
131 measurements that are objective in nature; 2) misclassification of cloudiness into cloud-

132 free skies would be minimized by adopting strict cloud-screening procedures based on
133 1-minute DSR, DLR and Lidar measurements; 3) potential effects of CBH on DLR are
134 also investigated. Localized parameterizations of clear-sky and all-sky DLRs are finally
135 achieved, which would be expected to improve DLR estimations over the TP.

136

137 **2. Site, Instrument and Data**

138 Measurements of DLR and DSR are conducted 1~4 months over the TP at three
139 stations (Table 1), including Nagqu (NQ, 92.04°E, 31.29°N, 4507 m ASL), Nyingchi
140 (NC, 94.2°E, 29.4°N, 2290 m ASL) and Ali (AL, 80°E, 32.5°N, 4287 m ASL). DLR
141 and DSR were respectively measured by CG4 and CM21 radiometers (Kipp & Zonen,
142 Delft, Netherlands). The sampling frequency is 1 Hz and the averages of the samples
143 over 1-minute intervals are logged on a Campbell Scientific CR23X datalogger.
144 Simultaneous 1-minute averages of T and e are taken from the automatic meteorological
145 stations. With the aid of its specific material and unique construction, CG4 is designed
146 for the DLR measurement with high reliability and accuracy. Window heating due to
147 absorption of solar radiation in the window material, the major error source of DLR
148 measurement, is strongly suppressed by its unique construction conducting away the
149 absorbed heat very effectively. CM21 is a high performance research grade pyranometer.
150 Introduction of individually optimized temperature compensation for CM21 makes it
151 having much a smaller thermal offset than CM3. The installation of the CG4 and CM21
152 on the Kipp & Zonen CV2 ventilation unit prevents dew deposition on the window of
153 the CG4 and the quartz dome of the CM21. The radiometers are calibrated before and
154 after field measurements to the standards held by the China National Centre for
155 Meteorological Metrology.

156 A Micropulse Lidar (MPL-4B, Sigma Space Corporation, United States) was
157 installed site-by-site with radiometers. The Nd:YLF laser of the MPL produces an
158 output power of 12 μ J at 532 nm. The repetition rate is 2500 Hz. The vertical resolution
159 of the MPL data is 30 m and the integration time of the measurements is 30s. The MPL
160 backscattering profiles are used to identify the cloud boundaries and derive the CBHs
161 (He et al., 2013). The dataset contains about 700 hours of coincident DLR, DSR, Lidar

162 and meteorological measurements.

163

164 **3. Methods**

165 **3.1 Clear-sky discrimination**

166 Clear skies should be discriminated from cloudy conditions before performing
167 DLR parametrization, which is achieved by the synthetical analysis of DSR, DLR, and
168 CBH from MPL.

169 Following the method initiated by Crawford and Duchon (1999), we calculate two
170 quantities reflecting DSR magnitude and variability based on 1-minute observed DSR
171 (DSR_{obs}) and calculated clear-sky DSR (DSR_{cal}) values. DSR_{cal} is calculated by the
172 model C of Iqbal (1983), in which direct and diffuse DSR are parametrized separately.
173 Direct DSR (DSR_{dir}) is calculated as follows.

$$174 \quad DSR_{dir} = S_0 \tau_r \tau_w \tau_o \tau_a \tau_g \quad (1)$$

175 where $\tau_r, \tau_w, \tau_o, \tau_a$ and τ_g are transmittances due to Rayleigh scattering, water
176 vapor absorption, ozone absorption, aerosol extinction and absorption by uniformly
177 mixed gases O_2 and CO_2 , respectively. Diffuse radiation is estimated as the sum of
178 Rayleigh and aerosol scattering as well as multiple reflectance. Total ozone column
179 (DU) is provided by Brewer spectrophotometer. w values (cm) are from Vaisala-92
180 radiosonde profiles in AL and Global Position System measurements in NC and NQ,
181 respectively. They are used to create linear regression relationship to collocated ground
182 level e (hPa) measurements, which is then used to estimate w from 1-minute
183 measurements of e . Ångström wavelength exponent and Ångström turbidity are from
184 CIE-318 sunphotometer observations in NC and AL, while in NQ we adopt the same
185 value as that in AL. Mean single scattering albedo retrieved from CIE-318 observation
186 in Lhasa (91.13, 29.67, 3663m) is 0.90 (Che et al., 2019), which is used in three stations.
187 Surface Albedo is 0.25 and 0.22 in Al and NQ according to in situ measurements (Liang
188 et al., 2012). In NC, it is 0.183 (Zhao et al., 2011).

189 DSR_{cal} values are first scaled to a constant value of $1400 \text{ W} \cdot \text{m}^{-2}$ for each minute of
190 each day. We adopt this value according to Duchon and O'Malley (1998) and Long and
191 Ackerman (2000), which only favors for a clear presentation of the normalized and

192 observed DSR values in the same figure. Afterwards, DSR_{obs} values are scaled by
193 multiplying the same set of scale factors. Finally, the mean and standard deviation of
194 the scaled DSR in a 21-minute moving window (± 10 minute centered on the time of
195 interest) are used for cloud screening. Selection of the width of 21-minute is empirical
196 but a consequence of having a reasonable time span for estimating the mean and
197 variance (Duchon and O'Malley, 1999). Clear-sky DSR should satisfy three
198 requirements: 1) ratio of DSR_{obs} to DSR_{cal} is within 0.95 to 1.05; 2) difference between
199 scaled DSR_{obs} and DSR_{cal} is less than $20 \text{ W}\cdot\text{m}^{-2}$; and 3) standard deviation (δ) of scaled
200 DSR_{obs} in a 21-minute moving window is less than $20 \text{ W}\cdot\text{m}^{-2}$.

201 Temporal variability of DLR is also used for cloud screening according to Marty
202 and Philipona (2000) and Sutter et al. (2004). Here, δ of scaled DLR (scaled to 500
203 $\text{W}\cdot\text{m}^{-2}$) in a 21-minute moving window is used for this purpose. Cloud-free sample is
204 determined if δ is less than $5 \text{ W}\cdot\text{m}^{-2}$.

205 Since both DSR and DLR experience difficulties in detecting clouds in the portion
206 of the sky far away from the sun (Duchon and Malley, 1999) or high-altitude cirrus
207 clouds (Dupont et al., 2008b), coincident MPL backscatter measurements are used to
208 strictly select clear-sky samples. There should be a cloud element somewhere in the sky
209 when MPL identifies cloud, it is thus required that no clouds are detected by MPL in a
210 21-minute moving window, otherwise it is defined as cloudy.

211 Given the fact that these methods are complementary to each other to some extent
212 (Orsini et al., 2002), we use the following strategy to guarantee a proper selection of
213 clear-sky samples. If DSR, DLR and MPL measurements at the time of interest
214 synchronously satisfy these specified clear-sky conditions, the sample is thought to be
215 taken under unambiguously cloud-free condition; on the contrary, the measurement are
216 made under unambiguously cloudy condition if any method suggests cloudy. Our
217 following clear-sky and cloudy DLR parameterizations are respectively based on
218 measurements under unambiguously cloud-free (8195 minutes) and cloudy conditions
219 (69318 minutes).

220 Fig. 1 shows an example of clear sky discrimination results based on our method.
221 DSR_{obs} presents a smooth temporal variation from sunrise to about 14:00 (LST), being

222 consistent with DSR_{clr} . Similarly, DLR also varies very smoothly during the same
223 period when 21-minute standard deviations of DLR are $< 5 \text{ W}\cdot\text{m}^{-2}$. Both facts suggest
224 sunny and cloudless skies. This inference is supported by MPL that suggests no cloud
225 detected overhead. Contrarily, an abruptly changes of 1-minute DSR_{obs} and DLR are
226 evident during 14:00~17:00 LST and we can see DSR_{obs} occasionally exceeds the
227 expected DSR_{clr} , indicating frequent occurrence of fair weather cumuli clouds. MPL
228 detect a persistent thin cloud layer at 4 km above ground, which agrees with DSR and
229 DLR measurements very well.

230

231 **3.2 Cloud fraction estimation**

232 Given synoptic cloud observations are very limited and temporally sparse, various
233 parameterizations using DSR or DLR data have been developed to estimate CF (e.g.,
234 Deardorff, 1978; Marty and Philipona, 2000; Durr and Philipona, 2004; Long et al.,
235 2006; Long and Turner, 2008). Because of good agreement between clear-sky DSR_{obs}
236 and DSR_{cal} calculated by the Iqbal C calculations (Iqbal, 1983; Gubler et al., 2012),
237 with mean bias of $1.7 \text{ W}\cdot\text{m}^{-2}$ and root mean square error (RMSE) of $10.7 \text{ W}\cdot\text{m}^{-2}$ (not
238 shown), we use Deardorff (1978)'s method to calculate CF from DSR_{obs} and DSR_{cal} .
239 The method is based on a fairly simple cloud modification to DSR as follows.

$$240 \quad CF = 1 - \frac{DSR_{obs}}{DSR_{cal}} \quad (2)$$

241 CF (no unit) has values ranging from 0 to 1. To avoid the error caused by abrupt
242 DSR variation, 21-minute mean DSR value rather than its instantaneous measurements
243 are used here.

244

245 **4 Results**

246 4.1 Clear-sky DLR parameterization evaluation and localization

247 Eleven clear-sky DLR (DLR_{clr}) parameterizations (Table 2) are evaluated based
248 on 1-minute DLR measurements under unambiguously cloud-free conditions. To
249 compare the performance of these 11 models, RMSE and the coefficient of
250 determination (R^2) are shown by a Taylor diagram in Fig. 2(a). Relatively smaller

251 RMSE (generally $< 15 \text{ W} \cdot \text{m}^{-2}$) and larger R^2 (>0.95) are derived for the Brutsaert (1975);
252 Konzelmann (1994), Dilley and O'Brien (1998) and Prata (1996) models. This is likely
253 because these parameterizations were developed in cool and dry areas, for example, in
254 England (Brutsaert, 1975); in Greenland (Konzelmann, 1994) and dry desert region in
255 Australia (Prata, 1996). The climate in those areas is likely similar to that over the TP
256 to some extent, so those parameterizations are expected to perform well. The higher
257 RMSE ($>37 \text{ W} \cdot \text{m}^{-2}$) and the lower R^2 (~ 0.7) are derived for Swinbank (1963) and Idso
258 and Jackson (1969) models. This can be partly explained by the fact that only T is used
259 in these two methods. Previous studies suggests substantial uncertainty (RMSE >37.5
260 $\text{W} \cdot \text{m}^{-2}$ and $R^2 < 0.75$) if water vapor effect on DLR_{clr} is not accounted for (Duarte et al.,
261 2006). Since w is very low over the TP and thereby DLR is highly sensitive to variation
262 of w in that case, much more attention should be paid to water vapor effect on the
263 parameterization of DLR_{clr} .

264 The coefficients in eleven parameterizations (Table 2) were originally calibrated
265 and determined in different geographical locations; therefore, they may not be the
266 optimal values for the TP. Thus we take use of 1-minute clear-sky DLR samples to
267 locally calibrate the parameters of these parametrizations. We use 10-fold cross-
268 validation method to determine the parameters. This is a widely used method to
269 estimate the skill of a regression model on unseen data. It is expected to result in a less
270 biased or less optimistic estimate of the model skill than other methods, such as a simple
271 train/test split (James et al., 2013). All the data was randomly dividing into 10 groups
272 of approximately equal size, the coefficients are computed by using 9 groups as training
273 set, and the remaining 1 group is used as validation. This procedure is repeated 10 times
274 to get the representational value of coefficients (with the lowest test error).

275 The coefficient values derived from the non-linear least-squares fitting of the
276 DLR_{clr} parameterizations (Table 2) over the TP are presented in Table 3. For each fitted
277 parameterization, we calculated RMSE and R^2 and the results are shown in Fig. 2b.
278 When using the parameterizations with the locally fitted parameters, the accuracy of
279 the parameterization relative to the published values is obviously improved. Most
280 RMSEs are $< 10 \text{ W} \cdot \text{m}^{-2}$ except the parameterization proposed by Swinbank (1963) and

281 Idso and Jackson (1969) that still produce the worst results (with R^2 of 0.71 and RMSE
282 of $15 \text{ W}\cdot\text{m}^{-2}$) even after the parameters are locally calibrated.

283 The Dilley and O'Brien (1998)'s parameterization, which is initially developed by
284 considering the adaptation of climatological diversities, is expected to be able to fit the
285 measurements in tropical, mid-latitude and Polar Regions. This expectation is verified
286 by its wide deployment in DLR_{clr} estimations in different climate regimes and altitude
287 levels, for example, in the tropical lowland (eastern Pará state, Brazil) and the mild
288 mountain area (Boulder, the United States) (Marthews et al., 2012; Li et al., 2017).
289 The present study confirms that Dilley and O'Brien (1998) is the best clear-sky
290 parameterization over the TP. The locally calibrated equation is as follows.

$$291 \quad \text{DLR}_{\text{clr}} = -2.53 + 158.10 \times \left(\frac{T}{273.16}\right)^6 + 106.40 \times \left(\frac{46.50 \times e}{2.50 T}\right)^{\frac{1}{2}} \quad (3)$$

292 Where T and e represent air temperature (K) and water vapor pressure (hPa),
293 respectively (T and e have the same meaning and unit in following equations if not
294 specified). The RMSE and R^2 of Eq.(3) are $\sim 3.8 \text{ W}\cdot\text{m}^{-2}$ and > 0.98 respectively, which
295 are substantially lower than those in previous studies over the TP, for example, the
296 RMSE was $9.5 \text{ W}\cdot\text{m}^{-2}$ (Zhu et al., 2017). The Dilley and O'Brien (1998)'s
297 parameterization was suggested to be the most reliable estimates of DLR_{clr} over the TP
298 (Zhu et al., 2017). Note that the parameters here differ quite a lot from their values (Zhu
299 et al., 2017), as shown in Eq. (4).

$$300 \quad \text{DLR}_{\text{clr}} = 30.00 + 157.00 \times \left(\frac{T}{273.16}\right)^6 + 97.93 \times \left(\frac{46.50 \times e}{2.50 T}\right)^{\frac{1}{2}} \quad (4)$$

301 Fig.3 compares instantaneous clear-sky DLR data from measurements against
302 calculations by Eq. (3) of this study and by Eq. (4) from Zhu et al. (2017). The former
303 performs very well as shown by an overwhelmingly large number of data points falling
304 along or overlapping the 1:1 line. By contrast, the latter overestimates DLR by $25 \text{ W}\cdot\text{m}^{-2}$
305 (10%). This difference is not very likely due to different DLR measurements used to
306 produce Eq. (3) and (4) giving the following considerations. First, this systematic
307 overestimation is much larger than the expected uncertainty of DLR measurements (2.5%
308 or $4 \text{ W}\cdot\text{m}^{-2}$) (Stoffel, 2005). More important, comparison of cloudy DLR
309 parameterizations between this study and Zhu et al. (2017) showed good agreement

310 (not shown). Note that only 1-hour CG3 DLR observations are used for clear sky
 311 discrimination in Zhu et al. (2017). This method was shown to be very likely
 312 contaminated by the thin high cloud (Sutter et al., 2004). This certainly would produce
 313 an overestimation of clear sky DLR parameterization since larger DLRs are associated
 314 with potential residual clouds relative to real clear sky DLRs.

315 **4.2 Parameterization of cloudy-sky DLR**

316 Parameterizations of cloudy-sky DLR (DLR_{clld}) are based on estimated DLR_{clr}
 317 coupled with the effect of cloudiness or cloud emissivity, which depends primarily on
 318 CF as well as other cloud parameters, like CBH and cloud type (Arking, 1990; Viúdez-
 319 Mora et al., 2015). Four parameterizations (Table 4), which modifies the bulk
 320 emissivity depending on CF, are assessed and locally calibrated in this section.

321 DLR_{clr} is estimated according to Eq. (3). The fitted values of the coefficients (using
 322 10-Fold Cross-Validation) of the four cloudy parameterizations are presented in Table
 323 5. RMSE and R^2 of original and locally fitted parameterizations over the TP are
 324 presented in Fig. 4.

325 Relative to clear-sky conditions, cloudy parameterizations using the given
 326 parameters have higher error RMSE (generally exceeding $35 \text{ W}\cdot\text{m}^{-2}$) except that
 327 developed by Jacobs (1978) (RMSE of $18 \text{ W}\cdot\text{m}^{-2}$). R^2 was generally smaller than 0.9.
 328 RMSE values decrease significantly in Maykut and Church (1973) and Sugita and
 329 Brutsaert (1993) as locally calibrated parameters are used. Relative smaller and almost
 330 no RMSE improvements are found for the methods developed by Konzelmann (1994)
 331 and Jacobs (1978).

332 Eq. (5) shows the best cloudy-sky parameterization over the TP by combining the
 333 clear-sky parameterization of Dilley and O'Brien (1998) with the cloud modulation
 334 correction scheme of Jacobs (1978).

$$335 \quad DLR_{clld} = (1 + 0.23 \times CF) \times \left(59.38 + 113.70 \times \left(\frac{T}{273.16} \right)^6 + 96.96 \times \left(\frac{46.50 \times e}{2.50} \right)^{\frac{1}{2}} \right) \quad (5)$$

336 RMSE and R^2 are $\sim 18 \text{ W}\cdot\text{m}^{-2}$ and ~ 0.89 respectively. RMSE here is close to $15 \text{ W}\cdot\text{m}^{-2}$
 337 obtained in different altitude areas in Swiss (Gubler et al., 2012) and slightly lower than
 338 $23 \text{ W}\cdot\text{m}^{-2}$ obtained in mountain area in Germany (Iziomon et al., 2003). Comparing to

339 previous studies over the TP (RMSE of 22 W m^{-2} in Zhu et al., 2017), our cloudy model
340 produces better results.

341

342 **4.3 Effect of CBH on DLR under Overcast Conditions**

343 Since clouds behave approximately as a blackbody, the most relevant cloud
344 parameter (besides CF) to DLR under overcast skies (DLR_{ovc}) is the temperature of its
345 lower boundary (CBH). Radiative transfer model simulation has suggested that CBH
346 under overcast conditions is an important modulator for DLR. The cloud radiation
347 effect (CRE), the difference between DLR_{obs} and DLR_{clr} , decreases with increasing
348 CBH at a rate of $4\sim 12 \text{ W}\cdot\text{m}^{-2}$ that depends on climate profiles (Viúdez-Mora et al.,
349 2015). This indicates that cloudy DLR parameterization would be improved if CBH is
350 considered.

351 The statistical relationship between CRE and CBH under overcast conditions over
352 the TP is presented in Fig. 5. The peak and median values of CRE decrease with the
353 increase of CBH from the box plot in Fig.5. CRE variation increases from 25 to 50
354 $\text{W}\cdot\text{m}^{-2}$ as CBH increases because water vapor influence and its variation goes up.
355 Compared to Viúdez-Mora (2015) results derived at Girona, Spain, a mid-latitude site
356 with low altitude, CRE over the TP is generally lower by $5\sim 10 \text{ W}\cdot\text{m}^{-2}$. This is likely
357 because clouds over the TP with the same CBH as that at Girona have relatively lower
358 temperature, thereby producing lower radiative effect on DLR. It is interesting that the
359 decreasing tendency of CRE with CBH is apparent. CRE is about $70 \text{ W}\cdot\text{m}^{-2}$ for clouds
360 $< 1 \text{ km}$ and decreases to $\sim 40 \text{ W}\cdot\text{m}^{-2}$ for clouds at $3\sim 4 \text{ km}$ in TP. The decreasing rate of
361 CRE with CBH is estimated to be $-9.8 \text{ W}\cdot\text{m}^{-2}\cdot\text{km}^{-1}$ over the TP that agrees with model
362 simulations (Viúdez-Mora et al., 2015).

363 To consider CBH effect under overcast conditions, we introduced a modified
364 parameterization according to Viúdez-Mora et al. (2015):

$$365 \quad \text{DLR}_{\text{ovc}} = 1.23 \times \text{DLR}_{\text{clr}} \times (1.01 - 0.06 \times \text{CBH}) \quad (6)$$

366 Where CBH has unit of km. The bias and RMSE of Eq. (6) between measurements
367 and calculations is $1.3 \text{ W}\cdot\text{m}^{-2}$ and $16.5 \text{ W}\cdot\text{m}^{-2}$, respectively, which are significantly

368 lower than that of Eq. (5) ($10.3 \text{ W}\cdot\text{m}^{-2}$ and $21.4 \text{ W}\cdot\text{m}^{-2}$) in overcast conditions. The
369 result indicates a remarkable improvement in the estimation of DLR under overcast
370 conditions by introducing CBH to the DLR parameterization.

371

372 **5 Discussion and conclusions**

373 The parameterization of clear-sky DLR requires a well-defined distinction
374 between clear-sky and cloudy-sky situations that commonly depends on human cloud
375 observations 4~6 times each day. Human observation is subjective in nature and its low
376 temporal resolution cannot resolve dramatic high-resolution variation of clouds.
377 Furthermore, synoptic human cloud observations show the tendency to stronger weight
378 to the horizon that DLR is not highly sensitive (Marty and Philipona, 2004). Clear sky
379 discrimination based on hourly DSR or DLR measurements also tends to be very
380 suspect of residual clouds due to their low temporal resolution. Parameterization of
381 clear-sky DLR based on these two methods is hence very likely biased as a consequence
382 of selection of cloud contaminated clear-sky measurements. This would result in biased
383 estimation of cloud DLR effect since it is the difference between clear-sky and
384 measured all-sky DLRs (Dupont et al., 2008b).

385 Using 1-minute DSR and DLR at 3 stations over the TP, DLR parameterizations
386 are evaluated and localized parameterizations have been developed based on a
387 comprehensive cloud-screening method. Potential CBH effect on overcast DLR is
388 experimentally determined. Major conclusions are as follows.

389 Among 11 clear-sky DLR parameterizations tested in this study, two methods
390 using only atmospheric temperature largely deviate from other parameterizations. The
391 best method suitable for TP is the parameterization developed by Dilley and O'Brien
392 (1998). DLR estimation can be improved by localization of these parameterizations.
393 Locally calibrated parameterization can produce clear sky DLR with RMSE of 3.8
394 $\text{W}\cdot\text{m}^{-2}$.

395 Overcast DLR is highly sensitive to CBH. The parameterization can be
396 substantially improved by consideration of CBH effect. The bias between empirically
397 parameterized calculations and measurements decreases from 10.3 to $1.3 \text{ W}\cdot\text{m}^{-2}$.

398 The focus of this study is on daytime DLR parameterization over the TP since DSR
399 is used in the cloud-screening method. Given a significant role of DLR played in the
400 surface energy budget during nighttime, it is highly desirable to perform further study
401 on the nighttime DLR parametrization. These results are based on summer DLR
402 measurements, so the conclusions here need to be further tested in other seasons,
403 especially in winter when an increasing tendency of DLR has been observed (Rangwala
404 et al., 2009). Further investigations on these issues are expected to shed new light on
405 how and why DLR has changed over the TP.

406

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557

558

559 Table 1: Description of stations and measurements (magnitude and variability) in the

560

Tibetan Plateau

| Site | Altitude (m ASL) | Period | T (°C) | e (hPa) | DLR ($\text{W}\cdot\text{m}^{-2}$) | Data Points |
|------|---------------------|-------------------------|--------------|-------------|---|-------------|
| NQ | 4507 | 2011.7.20- 2011.8.26 | 9.4 ± 8 | 7.4 ± 5 | 242.75 ± 40 | 52980 |
| NC | 2290 | 2014.6.7- 2014.7.31 | 16.8 ± 10 | 13.4 ± 4 | 368.25 ± 40 | 69609 |
| AL | 4279 | 2016.5.27- 2016.9.22 | 7.8 ± 4 | 4.8 ± 4 | 253.11 ± 50 | 86596 |

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Table 2. 11 clear-sky DLR parameterizations and their specific conditions

| Reference | Clear-Sky Parameterization | Conditions |
|---------------------------|---|--|
| Angstrom (1915) | $DLR_{clr} = \{0.83 - 0.18 \times 10^{-0.067e}\} \sigma T^4$ | Alt.: 1650~3500 T: 283.15~303.15 e: 4~1 |
| Brunt (1932) | $DLR_{clr} = (0.52 + 0.065\sqrt{e}) \sigma T^4$ | Alt.: 6~3500 T: 269.15~303.15 e: 2.5~16 |
| Swinbank (1963) | $DLR_{clr} = 5.31 \times 10^{-13} T^6$ | Alt.: 2 T: 281.15~302.15 e: 8~30 |
| Idso and Jackson (1969) | $DLR_{clr} = (1 - 0.261 \cdot \exp(-0.000777 \times (273 - T)^2)) \sigma T^4$ | Alt.: 3, 331 T: 228.15~318.15 |
| Brutsaert (1975) | $DLR_{clr} = 1.24 \left(\frac{e}{T}\right)^{\frac{1}{7}} \sigma T^4$ | Alt.: 6~3500 T: 269.15~313.15 e: 2.5~16 |
| Satterlund (1979) | $DLR_{clr} = 1.08 \left(1 - \exp\left(-e^{\frac{T}{2016}}\right)\right) \sigma T^4$ | Alt.: 594 T: 236.15~309.15 e: 0~18hPa |
| Idso (1981) | $DLR_{clr} = \left(0.7 + 5.95 \times 10^{-5} \times e \times \exp\left(\frac{1500}{T}\right)\right) \sigma T^4$ | Alt.: 331 T: 258.15~278.15 e: 2~6 |
| Konzelmann (1994) | $DLR_{clr} = \left(0.23 + 0.443 \left(\frac{e}{T}\right)^{\frac{1}{8}}\right) \sigma T^4$ | Alt.: 340~3230 T: 257.15~279.15 e: 1.5~5.5 |
| Prata (1996) | $DLR_{clr} = (1 - (1 + 46.5 \frac{e}{T}) \times \exp(-(1.2 + 3 \times 46.5 \frac{e}{T})^{0.5})) \sigma T^4$ | Not specified |
| Dilley and O'Brien (1998) | $DLR_{clr} = 59.38 + 113.7 \left(\frac{T}{273.16}\right)^6 + 96.96 \sqrt{46.5 \frac{e}{T} / 2.5}$ | Not specified |
| Iziomon (2001) | $DLR_{clr} = \left(1 - 0.43 \exp\left(-\frac{11.5e}{T}\right)\right) \sigma T^4$ | Alt.: 1489 $\bar{T} = 277.55 \bar{e} = 7.4$ |

564 *Where Alt. is the altitude above sea level, and its unit is (m ASL), e is screen-level water vapor

565 pressure in hPa and T represents surface temperature in K

566

567

Table 3. Locally fitted clear-sky DLR parameterizations in TP

| Reference | Locally fitted Clear-Sky Parameterization |
|--------------------------|---|
| Angstrom(1915) | $DLR_{clr} = \{0.8 - 0.19 \times 10^{-0.068e}\} \sigma T^4$ |
| Brunt(1932) | $DLR_{clr} = (0.56 + 0.07\sqrt{e}) \sigma T^4$ |
| Swinbank(1963) | $DLR_{clr} = 4.7 \times 10^{-13} T^6$ |
| Idso & Jackson(1969) | $DLR_{clr} = (1 - 0.36 \cdot \exp(-0.00065 \times (273 - T)^2)) \sigma T^4$ |
| Brutsaert(1975) | $DLR_{clr} = 1.03 \left(\frac{e}{T}\right)^{0.09} \sigma T^4$ |
| Satterlun (1979) | $DLR_{clr} = \left(1 - \exp\left(-e^{\frac{T}{2016}}\right)\right) \sigma T^4$ |
| Idso(1981) | $DLR_{clr} = \left(0.63 + 7.5 \times 10^{-5} \times e \times \exp\left(\frac{1500}{T}\right)\right) \sigma T^4$ |
| Konzelmann(1994) | $DLR_{clr} = \left(0.23 + 0.45 \left(\frac{e}{T}\right)^{0.13}\right) \sigma T^4$ |
| Prata(1996) | $DLR_{clr} = (1 - (1 + 46.5 \frac{e}{T}) \times \exp(-(1 + 3 \times 46.5 \frac{e}{T})^{0.5})) \sigma T^4$ |
| Dilley and O'Brien(1998) | $DLR_{clr} = -2.54 + 158.1 \left(\frac{T}{273.16}\right)^6 + 106.4 \sqrt{46.5 \frac{e}{T} / 2.5}$ |
| Iziomon(2001) | $DLR_{clr} = \left(1 - 0.38 \exp\left(-\frac{14.52e}{T}\right)\right) \sigma T^4$ |

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Table 4. 4 Cloudy-sky DLR Parameterizations in the references

| Reference | Cloudy-Sky Parameterization |
|----------------------------|--|
| Maykut and Church, 1973 | $DLR_{cld} = (0.7855 + 0.000312 \times CF^{2.75})\sigma T^4$ |
| Jacobs, 1978 | $DLR_{cld} = (1 + 0.26 \times CF)DLR_{clr}$ |
| Sugita and Brutsaert, 1993 | $DLR_{cld} = (1 + 0.0496 \times CF^{2.45}) DLR_{clr}$ |
| Konzelmann, 1994 | $DLR_{cld} = (1 - CF^4)DLR_{clr} + 0.954CF^4\sigma T^4$ |

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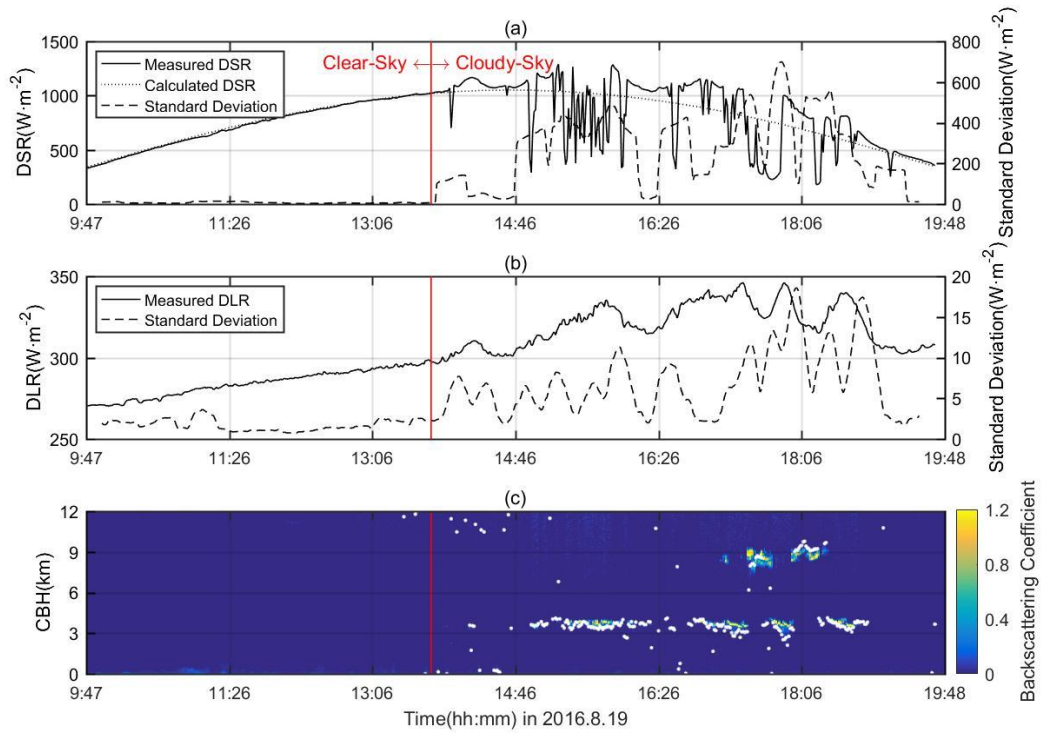
576

Table 5. Locally fitted cloudy-sky DLR parameterizations in TP

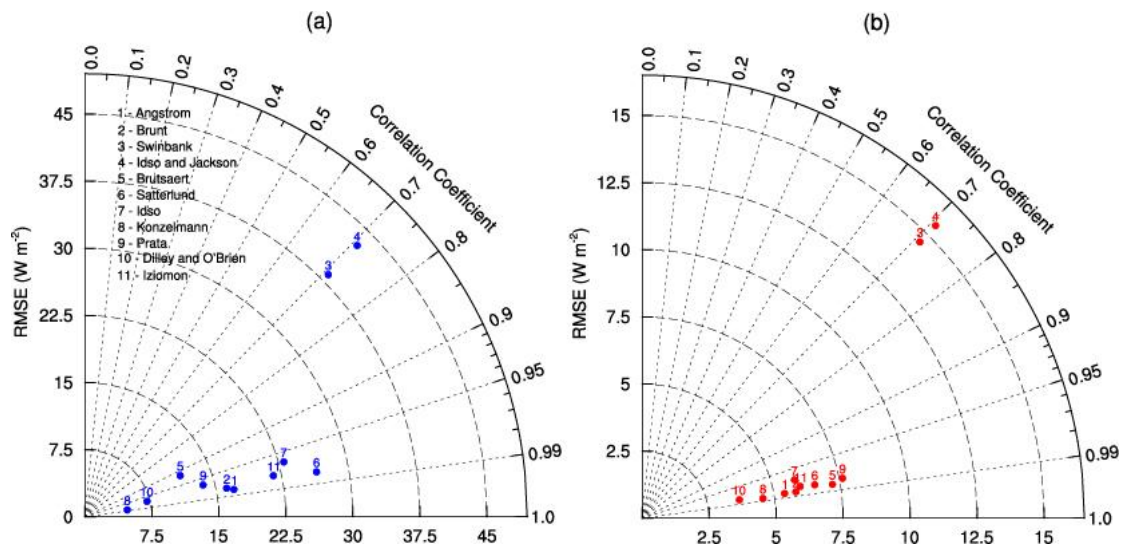
| Reference | Locally fitted Cloudy-Sky Parameterization |
|----------------------------|--|
| Maykut and Church, 1973 | $DLR_{cld} = (0.85 + 0.01 \times CF^3)\sigma T^4$ |
| Jacobs, 1978 | $DLR_{cld} = (1 + 0.23 \times CF)DLR_{ctr}$ |
| Sugita and Brutsaert, 1993 | $DLR_{cld} = (1 + 0.2 \times CF^{1.3}) DLR_{ctr}$ |
| Konzelmann, 1994 | $DLR_{cld} = (1 - CF^{3.5})DLR_{ctr} + CF^{3.5}\sigma T^4$ |

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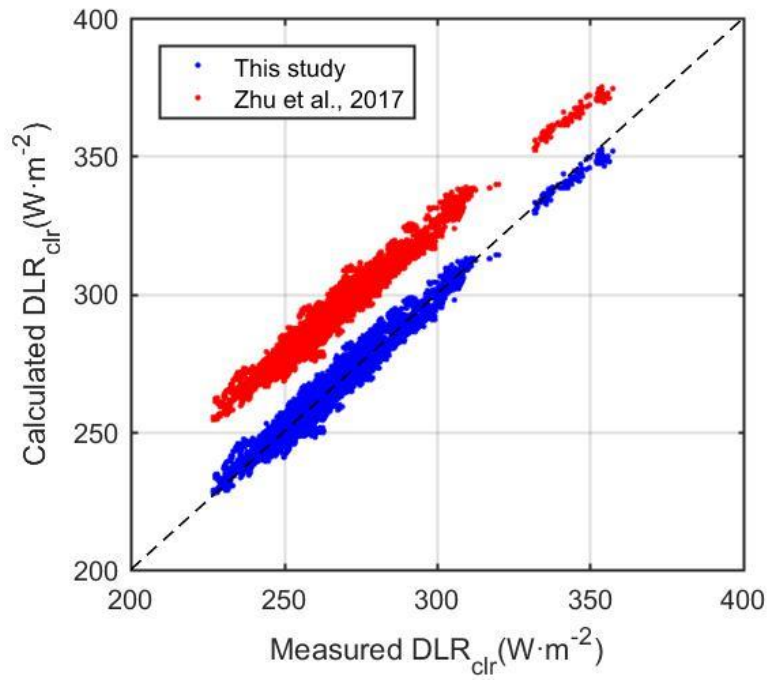


579
 580 Fig. 1. Time series of data sample on 2016.8.19 transited from clear-sky to cloudy-sky: (a)
 581 measured (black line) and calculated (dotted black line) downward shortwave radiation and its 21-
 582 min standard deviation (grey line), (b) measured downward longwave radiation and 21-min standard
 583 deviation and (c) MPL backscattering coefficient and the cloud base height.



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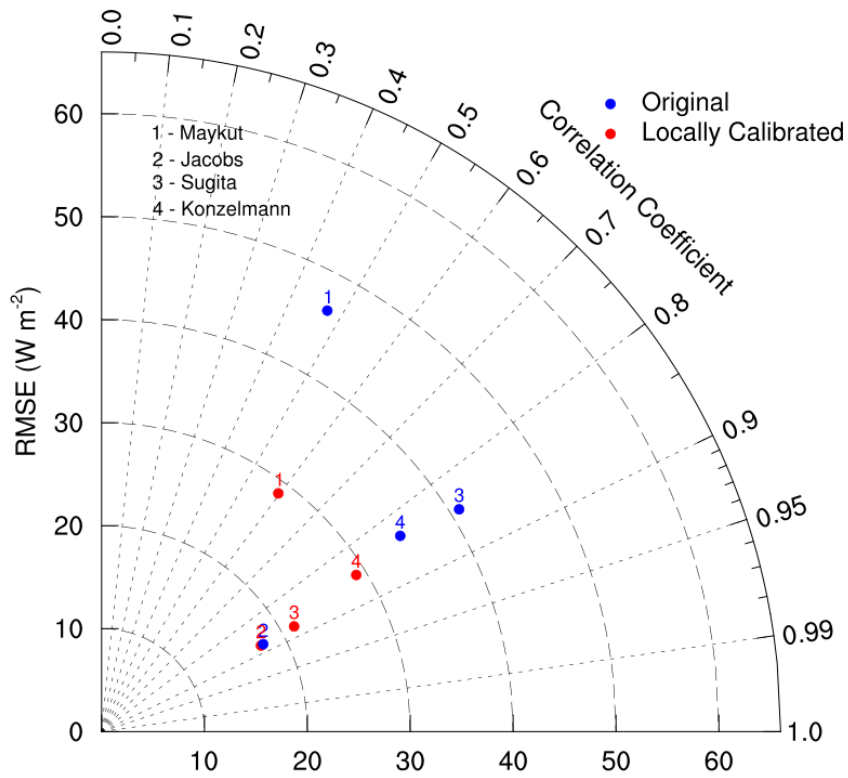
Fig. 2. RMSE and R^2 for the clear-sky DLR parameterizations using original (a) and locally calibrated (b) coefficients.



589

590 Fig. 3. Scatter plots of measured clear-sky DLR data from as a function of calculations
591 by the Eq.(3) this study (blue dots) and the Eq.(4) by Zhu et al. (2017) (red dots). The
592 dash black line is the 1:1 line.

593

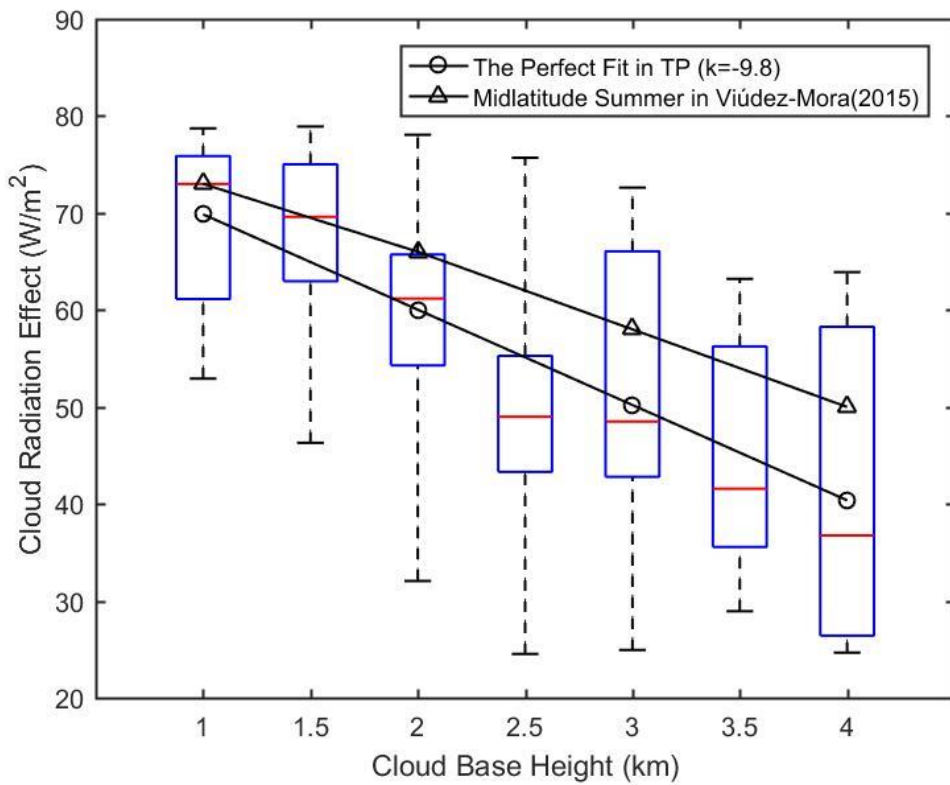


594

595 Fig. 4. RMSE and R^2 for the cloudy-sky DLR (DLR_{cld}) parameterizations using the
596 original (blue) and locally calibrated (red) coefficient.

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600 Fig. 5. Distributions of cloud radiative effect against measured cloud base height are
 601 represented by box plot (the blue box indicates the 25th and 75th percentiles, the
 602 whiskers indicate 5th and 95th percentiles, the red middle line is the median). The black
 603 circles line and the black triangles is mean values of cloud radiative effect over TP in
 604 this study and in midlatitude site (Girona, Spain) in Viúdez-Mora(2015) respectively.