**Why models perform differently on particulate matter over East** 

**Asia? – A multi-model intercomparison study for MICS-Asia III**

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 **Abstract.** This study compares the performances of twelve regional chemical transport models (CTM) from the third phase of Model Inter-Comparison Study for Asia (MICS-Asia III) on simulating the particulate matter (PM) over East Asia (EA) in 2010. The participating models include WRF-CMAQ (v4.7.1 and v5.0.2), WRF-Chem (v3.6.1 and v3.7.1), GEOS-Chem, NHM- Chem, NAQPMS and NU-WRF. This study investigates three model processes as the possible reasons for different model performances on PM: (1) Models perform very differently in the gas- particle conversion of sulphur (S) and oxidized nitrogen (N). The model differences in sulphur 30 oxidation ratio (50%) is of the same magnitude as that in  $SO_4^2$  concentrations. The gas-particle conversion is one the main reasons for different model performances on fine mode PM. (2) Models 32 without dust emissions/modules can perform well on PM<sub>10</sub> at non-dust-affected sites, but largely 33 underestimate (upmost  $50\%$ ) the  $PM_{10}$  concentrations at dust sites. The implementation of dust emissions/modules in models has largely improved the model accuracies at dust sites (reduce model bias to -20%). However, both the magnitudes and distributions of dust pollutions are not

fully captured. (3) The amounts of modelled depositions vary among models by 75%, 39%, 21%

 and 38% for S wet, S dry, N wet and N dry depositions, respectively. Large inter-model differences are found in the washout ratios of wet deposition (at most 170% in India) and dry deposition 39 velocities (general  $0.3$ -2 cm s<sup>-1</sup> differences over inland regions). This study investigates the reasons for different model performances on PM over EA and offers suggestions for future model development.

#### **1 Introduction**

 Atmospheric pollution due to particulate matter (PM) has raised world-wide attention for its relationship with environmental and public health issues (Fuzzi et al., 2015;Nel, 2005). Fine 45 particles ( $PM_{2,5}$ ) are associated with cardiovascular and respiratory related cancer and premature 46 deaths (Hoek and Raaschou-Nielsen, 2014;Knol et al., 2009). Outdoor PM<sub>2.5</sub> pollution is estimated to cause 2.1-5.2 million premature deaths worldwide annually (Lelieveld et al., 2015;Rao et al., 2012;Silva et al., 2013). It accounts for eight percent of global mortality in 2015 and ranks fifth in the global mortality risk (Cohen et al., 2017). East Asia (EA) has been suffering from severe PM pollutions due to anthropogenic emissions and natural dust emissions (Akimoto, 2003). China and India are the top two countries suffering from outdoor air pollution, which altogether account for 20% of global mortalities caused by PM2.5 exposure in 2010 (Lelieveld et al., 2015). The mixing of dust with anthropogenic pollutants can even enlarge the effects of pollution (Li et al., 2012). However, the impact evaluation of PM pollution is of high uncertainty due to unclearness in the toxicity of PM components (Lippmann, 2014) and difficulty in the measurement and prediction of PM concentrations.

 For a better understanding of PM pollution, modelling approach has been adopted to study the spatial distributions of PM with the aid of measurements. Multi-model ensemble approach, which interprets modelling results with combined information from several models, has been proven to increase the reliability of model accuracy (Tebaldi and Knutti, 2007). This method has been widely used for studies in Europe (Bessagnet et al., 2016;Vivanco et al., 2017) and at global scales (Lamarque et al., 2013;Galmarini et al., 2017) on air quality issues. The Model Inter- Comparison Study Asia Phase (MICS-Asia) aims at understanding the air quality issues over EA. The first phase of MICS-Asia (MICS-Asia I) was carried out in the 1990s with eight regional chemical transport models (CTMs). The study focused on air pollution issues related to sulphur 66 (S) (including  $SO_2$ ,  $SO_4^2$  and wet  $SO_4^2$  deposition). The second phase of MICS-Asia (MICS-Asia

 II) was launched in early 2000s with nine CTMs (Carmichael and Ueda, 2008). The study covered the chemistry and transport of S, nitrogen (N), PM and acid deposition. Multi-model results on  $SO_4^2$ ,  $NO_3^-$  and  $NH_4^+$  (SNA) were evaluated with measurements from fourteen sites of Acid Deposition Monitoring Network in East Asia (EANET) and the Fukue site in Japan. However, a non-exhaustive evaluation on PM<sup>10</sup> concentrations in China with scarce datasets left an unclear view of models' ability in this area, a region recognized as one of the most heavily polluted in EA. Meanwhile, model results were found with high inconsistencies on simulating both gas and aerosol phases of S and N (Hayami et al., 2008). Further efforts are needed to investigate the reasons for model differences to improve model accuracies.

 This study compares the performances of twelve regional models participated in the third phase of MICS-Asia (MICS-Asia III) on simulating PM over EA. The comparison among models aims at identifying the reasons for different model performances. The models involved in this study include Weather Research and Forecasting Model (WRF) coupled with Community Multiscale Air Quality Modeling (CMAQ) (version 4.7.1 and v5.0.2), WRF model coupled with Chemistry (WRF-Chem) (v3.6.1 and v3.7.1), Goddard Earth Observing System coupled with Chemistry (GEOS-Chem), Non-Hydrostatic Model coupled with Chemistry (NHM-Chem), Nested Air Quality Prediction Modeling System (NAQPMS) and NASA-Unified WRF (NU- WRF). The model performance on simulating PM has been reported in a companion paper (Chen et al., 2019). The main findings are described in sect. 3.1. Sections 3.2-3.4 examine the influences of three model processes on model performances: (1) Formation of fine particles (PMF): model differences in the gas-particle conversion. (2) Formation of coarse particles (PMC): model improvements by implementing dust emissions/modules on simulating PM and the remaining problems. (3) Removal processes of particles from the atmosphere: uncertainties lay on the efficiencies of wet and dry depositions. Section 4 concludes the findings of this study and provides suggestion for further study.

#### **2 Methodology**

#### **2.1 Framework of MICS-Asia**

 MICS-Asia is a model intercomparison study with contributions from international modelling groups to simulate the air quality and deposition over EA. MICS-Asia I focused on air quality 96 issues related to S. The multi-model performances on simulating  $SO_2$  and  $SO_4^2$  concentrations and

 $SO_4^2$  wet deposition were evaluated with observation from eighteen stations (Carmichael et al., 2002). A source-receptor relationship of S deposition was developed based on the sensitivity simulations for seven prescribed receptor regions: Komae, Oki, Fukue, Yangyang, Beijing, Nanjing and Taichung (Carmichael et al., 2002).

 MICS-Asia II was initiated in 2003. Nine regional models simulated the air qualities for four months (March, July and December of 2001 and March of 2002) to study the chemistry and transport of air pollutants and acid deposition (Carmichael and Ueda, 2008). All modelling groups were enforced to use the same emission: the Transport and chemical Evolution over the Pacific (TRACE-P) emission of 2000, and common IC/BC to facilitate a comparison on the physical and chemical mechanisms of models. The modelling species expanded to S, N, O3, PM and acid deposition. Model evaluations and major findings can be found in literature (Carmichael et al., 2008;Fu et al., 2008;Han et al., 2008;Hayami et al., 2008).

 MICS-Asia III is launched in 2010. The simulation time covers the whole year of 2010. All modelling groups are required to use the prescribed anthropogenic and natural inputs (Li et al., 2017). Three purposes are set for this project– topic I: evaluating the strengths and weaknesses of current multi-scale air quality models in simulating air qualities over EA and providing suggestion to reduce uncertainty for future simulations, topic II: developing a reliable anthropogenic emission inventory for EA, topic III: investigating the interaction of aerosol-weather-climate by using online coupled air quality models. This study focuses on topic I.

#### **2.2 Model configurations**

 The model set-up can be found in Table 1 of Chen et al. (2019). Fourteen modeling groups (M1- M14) participated, but M3 and M9 are not included in this study due to uncompleted model submission. M14 model has a smaller simulation domain than the others, therefore it is not included in the multi-model mean (MMM) results. The gas and aerosol modules and dust schemes employed by the participating models were introduced in detail in sector 2.1 of Chen et al., 2019. Following are the descriptions on the model set-up for wet and dry deposition.

124 Wet deposition removes gases and aerosols from the atmosphere by rain droplets, involving both in-cloud scavenging (rainout) and below-cloud scavenging (washout). The gases in the  atmosphere are dissolved in the raindrop and then removed from the atmosphere. For the non- reactive gases, the removal rate depends on the solubility of gases and is a function of the Henry's Law. Particles participate in the cloud condensation nuclei in the presence of supersaturation water vapor and then grow into cloud droplets. In this study, only M2, M4, M6, M11 and M12 have submitted the main components of S and N depositions. All these models use the same wet deposition scheme based on Henry's law. The efficiency of wet deposition is assessed by the so- called "washout ratio", calculated as the ratio of particle concentrations in deposition to particle concentrations in surface air as shown in Eq. 1.

$$
\lambda_{wet} = \frac{c_{\text{depo}}}{c_{\text{surface\_air}}} \times 100\%
$$
 (1)

135 where  $\lambda_{wet}$  is the washout ratio for wet deposition,  $C_{deno}$  is the concentration of particles in deposition and *Csurface\_air* is the concentration of particles at near surface atmosphere.

 Dry deposition is mainly driven by turbulent and molecular diffusion processes. All models except M12 use the same dry deposition scheme from Wesely (1989). The dry deposition flux is proportional to the concentration of pollutants at height. The dry deposition velocity is calculated with Eq. 2.

$$
V_d = -F_c \, / \, C_a \tag{2}
$$

142 
$$
V_d = \frac{1.0}{r_{surf} + r_a + r_{bc}}
$$
 (3)

143 where  $F_c$  is the dry deposition flux,  $V_d$  is the deposition velocity and  $C_a$  is the concentration of species at height. The negative mark indicates the direction of the dry deposition velocity. The *V<sup>d</sup>* is determined by the resistance of air layer (r). The total r is composed of three factors (Eq. 3): the aerodynamic resistance (*ra*), boundary layer resistance (*rbc*) and canopy resistance (*rsurf*).

 M12 uses the general approach from Wesely (1989) and updates by Zhang et al. (2003). Zhang et al. (2003) updates the value of non-stomatal resistance (*Rns*), which is a component of *Rsurf* related to the soil uptake and cuticle uptake of dry deposition. Model evaluation shows the 150 updates can improve the model prediction on dry deposition velocities of  $SO<sub>2</sub>$  (Zhang et al., 2003).

#### **2.3 Observation data**

 To make the discussion clear, we define the regions used in the following analysis here: northern EA (Russia and Mongolia), central EA (China), western EA (Japan and Korea) and southern EA (Cambodia, Lao PDR, Myanmar, Thailand, Vietnam, Indonesia, Malaysia and Philippines). Following monitoring datasets are used in the analysis in sects. 3.2-3.4: Air Pollution Indices 157 (APIs) provides monthly average  $PM_{10}$  data from eighty-six sites (A1-A86 in Fig 1) (http://datacenter.mep.gov.cn/). This dataset has been widely used to study the PM pollution (Qu et al., 2010;Chen et al., 2008;Deng et al., 2011) as well as model evaluation (Wang et al., 2012;Xing et al., 2015) in China. It is replaced by the Air Quality Index (AQI) after 2013. The APIs data covers the eastern China well with intensively located sites, but sites in western China 162 are very limited. EANET (E1-E54) provides monthly average concentrations of  $PM_{10}$ , SNA and S and N depositions from fifty-four sites (http://www.eanet.asia/, last access: 28 May 2018). For PM10, this dataset has very limited number of sites in China. The sites are generally located along 165 the east coast of China and couldn't well cover the areas with high PM<sub>10</sub> pollution, such as the Hebei-Beijing-Tianjin (HBT) region (Fig. 1). And the data completeness in northern EA is not as satisfied as the other regions. Only three sites located in Rishiri (E15), Ochiishi (E16) and Oki 168 (E21) in Japan have  $PM_{2.5}$  observation during our study period. R1-R35 (green) are thirty-five Reference (Ref) sites provided by the Institute of Atmospheric Physics Chinese Academy of Science (IAP\_CAS). The sites are intensively located in three regions: HBT region, Pearl River Delta (PRD) and Taiwan.

#### **3 Result and discussion**

### **3.1 Brief results of model performance evaluation**

175 All models have submitted the monthly average concentrations of  $PM<sub>10</sub>$ ,  $PM<sub>2.5</sub>$  and SNA at surface 176 layer except PM<sub>10</sub> from M13 and NO<sub>3</sub><sup>-</sup> and NH<sub>4</sub><sup>+</sup> from M10. Evaluation of model performance on aerosols can be found in our companion paper (Chen et al., 2019). Following are the main findings: 178 PM<sub>10</sub> concentrations were generally underestimated over the simulation domain. PM<sub>2.5</sub> concentrations were also underestimated over Eastern EA, but were well simulated in Central EA. 180 Models failed to reproduce the high peaks of  $SO<sub>4</sub><sup>2</sup>$  concentration in Central EA, probably due to

181 missing  $SO_4^2$  formation mechanisms (such as heterogeneous  $SO_4^2$  chemistry), which has been 182 reported as an important formation pathway of  $SO<sub>4</sub><sup>2</sup>$  in China. NO<sub>3</sub> concentrations were 183 overpredicted by most models over the simulation domain and were associated with the 184 underestimation of  $SO_4^2$ . M7 and M8 models produced significantly lower  $NO_3^-$  concentrations 185 than observations and other models, due to model bias in simulating the NH<sub>3</sub> concentrations and 186 missing the N<sub>2</sub>O<sub>5</sub> heterogeneous reaction that sever as an import formation pathway of NO<sub>3</sub>. The 187 spatial distributions of AOD were generally well simulated except the underestimation around the 188 Himalaya mountains, Taklamakan Desert and Gobi Desert.

189 This study compares the model performances with global-scale model study. The Task 190 Force on Hemispheric Transport of Air Pollution (TF HTAP) is an inter-comparison study of 191 global and regional models to assess the impact of hemispheric transport of air pollutants on 192 regional atmosphere. The second phase of HTAP (HTAP-II) involved more than twenty global 193 models to simulate the air quality in 2010 (Galmarini et al., 2017). Most models utilize coarse-194 resolution grids at about  $2^{\circ}$ -3°. The HTAP-II and MICS-Asia III share some common points like 195 using the same emission inventory in East Asia (Li et al., 2017) and using the same observation 196 dataset to evaluate  $PM_{10}$  (more than 100 EANET and API sites) and  $PM_{2.5}$  (two EANET sites) 197 (Dong et al., 2018). The mean bias (MB) of PM<sub>10</sub> over EA is -30.7  $\mu$ g m<sup>-3</sup> and -18.6  $\mu$ g m<sup>-3</sup> for 198 HTAP-II and this study, respectively (values for sites used by both studies). And the MB of PM<sub>2.5</sub> 199 is -1.6  $\mu$ g m<sup>-3</sup> and -4.3  $\mu$ g m<sup>-3</sup> for HTAP-II and this study, respectively. Both studies find 200 underestimation of  $PM_{10}$  concentrations, while  $PM_{2.5}$  concentrations are well produced. Models of 201 MICS-Asia III perform slightly better than those of HTAP-II with lower model bias in  $PM_{10}$ , 202 probably taking the advantage of finer resolutions of model grids.

 The so-called "diagnostic evaluation" approach is adopted to check the model bias oriented by individual process (Dennis et al., 2010). Although all modelling group are required to use the prescribed emission inventory, but mismatch was found during the temporal and vertical treatments of emission files by different modelling group and has caused differences in the model inputs (Itahashi et al., 2019). To avoid the possible impacts on inter-model comparison, we compare the indicators (i.e. sulphur oxidation ratio (SOR)) instead of direct model outputs (i.e.  $209 \text{ SO}_4^2$  concentrations) to focus on the differences caused by model mechanisms. The following three processes are examined:

 (1) Formation of PMF: sect. 3.2 investigates the differences in the gas-particle conversion of S and N among different models.

 (2) Formation of PMC: sect. 3.3 assesses the model abilities in reproducing the spatial and temporal distributions of PM in regions affected by dust storm. A comparison is conducted between models with and without dust emissions/modules.

 (3) Removal of particles from the atmosphere: sect. 3.4 compares the model performances in simulating the amounts of deposition and the efficiencies of wet and dry depositions.

### **3.2 Gas-particle conversion**

The following two indicators are calculated to illustrate the gas-particle conversions of S and N.

$$
SOR = \frac{n - SO_4^{2-}}{n - SO_4^{2-} + n - SO_2} \tag{4}
$$

$$
C(NO_2) = \frac{n - NO_3^-}{n - NO_3^- + n - NO_2}
$$
 (5)

223 where  $n-SO_4^2$ ,  $n-SO_2$ ,  $n-NO_3$  and  $n-NO_2$  are the mole concentrations of  $SO_4^2$  particle,  $SO_2$  gas, 224 NO<sub>3</sub><sup>-</sup> particle and NO<sub>2</sub> gas. The  $C(NO<sub>2</sub>)$  indicator only has NO<sub>3</sub><sup>-</sup> and NO<sub>2</sub> in the denominator due 225 to the limitation of observation data. But it still can portrait the conversion of N between gas phase and particle phase.

 Figures 2 and 3 show the distributions of *SOR* and *C(NO2)* values of models. The *SOR* values are lowest around the HBT region in north-eastern China (10-40%) and highest in south- western China (60-80%) (Fig. 2). The X-CMAQ models (including WRF-CMAQ and RAMS- CMAQ) produce similar *SOR* patterns, except that the CMAQv5.0.2 models (M1 and M2) predict 10% higher *SOR* in the HBT region than the CMAQv4.7.1 models (M4, M5 and M6). CMAQv502 232 updated the production of  $SO_4^2$  in the aqueous reaction of the older version (Appel et al., 2013; Fountoukis and Nenes, 2007). The explicit treatment of Fe and Mn allows more consistent 234 treatment of aqueous reaction from  $SO_2$  to  $SO_4^2$ . For the X-Chem models (including WRF-Chem, GEOS-Chem and NHM-Chem), the two WRF-Chem models (M7 and M8) produce similar magnitudes and distributions of *SOR* in all regions, except the south-western China (around Tibet in Fig. 1) and the open oceans, while the NHM-Chem (M12) and GEOS-Chem (M13) models produce slightly higher *SOR* values over the whole simulation domain. The differences between  the X-CMAQ and the X-Chem models are significant over the inland regions of northern and eastern China, Japan and southern EA. The X-CMAQ models generally predict 5-20% higher *SOR* values than the X-Chem models. Similarly, the X-CMAQ models generally give 20% higher *C(NO<sub>2</sub>*) values than the WRF-Chem models, especially in eastern EA (Fig. 3). The  $C(NO_2)$  of M8 243 is extremely low due to unreasonably low  $NO<sub>3</sub>$ <sup>-</sup> concentrations.

 Figure 4 shows the gas-particle conversions of S and N by models and observation at the EANET sites. The red bars represent concentrations of gases and the black bars represent concentrations of aerosols. The values with blue color above the bars are observed and modelled *SOR* and *C(NO2)* values. Results for individual sites are available in supplementary Fig. S1. 248 According to Fig. 4(a), the total amount of S (SO<sub>2</sub> gas+SO<sub>4</sub><sup>2</sup> particle) is about 0.15 µmole(S) m<sup>-3</sup>. Most models have biases on this value, especially the moderate underestimation by M7, M8 and M13. On the other hand, the *SOR* value (0.25) is well simulated by M1 (0.26), M2 (0.20), M10 (0.29) and M13 (0.26). Other models generally under-predict the *SOR* value except M12 (0.33) and M14 (0.57). The WRF-CMAQv5.0.2 models (M1 and M2) produce higher *SOR* than WRF- CMAQv4.7.1 models (M4, M5 and M6), probably attributed to the updates in the formation 254 pathway of  $SO_4^2$ .

 Figure 4(b-e) show the results in different regions. In northern EA, the total amount of S is underestimated by all models except M13 and M14. However, the *SOR* value (0.12) is well reproduced by most models (0.08-0.20) except M12 (0.25) and M10 (0.32). There is only one site available for central EA. Most models (except M12 and M13) have largely underestimated the *SOR* value, while M14 has largely overestimated it. For eastern EA, the total amount of S is well captured by all models except M11, M12 and M14. The *SOR* value (0.55) is generally 261 underestimated by all models except M10 (0.55) and M14 (0.71). For southern EA, the total amount of S is generally overestimated by all models except M13, while the *SOR* value is underestimated by all models except M13 and M14. Overall, the models have both positive and negative biases in simulating the total amounts of S, but generally underestimated the *SOR* values in all regions. Furthermore, the modelled *SOR* values vary largely among models (ranging from 266 0.12 to 0.57), resulting in a large inter-model difference (1sd% =  $50\%$ ). This variation is of the 267 same magnitude as the variation of  $SO_4^2$  concentration (1sd% = 50% in supplementary Fig. S2).  The results suggest that differences in gas-particle conversion among models could account largely 269 for the models' inconsistency in simulating the  $SO<sub>4</sub><sup>2</sup>$  concentrations.

 Figure 4(f-h) compares the gas-particle conversion of N with the *C(NO2)* indicator. Only 271 one site in China and one site in Japan have both  $NO<sub>2</sub>$  and  $NO<sub>3</sub>$ <sup>-</sup> observations. At the Hongwen 272 sites in China, all models except M5 underestimate the sum of  $NO<sub>2</sub>$  and  $NO<sub>3</sub>$ , but the modelled  $C(NO<sub>2</sub>)$  values are close to the observation (0.18) except M5 (0.07), M8 (0.00) and M12 (0.40). Similar to the results of S conversion, the newer version of WRF-CMAQ model generally produces higher *C(NO2)* than the older version, but the differences between the two are smaller. At the 276 Banryu site in Japan, the sum of  $NO<sub>2</sub>$  and  $NO<sub>3</sub>$  is well simulated by all models except M8. The  $C(NO<sub>2</sub>)$  (0.19) value is also well simulated by all models except M8 (0.00), M12 (0.53) and M14 (0.77). Overall, the model accuracy on *C(NO2)* is slightly higher than that on *SOR* according to the comparison with observed values. Models also have higher consistencies on *C(NO2)* than *SOR*. However, further validation is required due to the limited number of observations for the conversion of N.

#### **3.3 Implementation of dust emissions/modules in models**

284 The PMC concentrations at surface layer are calculated by subtracting  $PM_{2.5}$  from  $PM_{10}$ . Figure 5 shows the spatial distribution of annual average PMC of models. Most models show very low (<  $2\mu$ g m<sup>-3</sup>) concentrations of PMC around the Takalmakan Desert and the Gobi Desert in northern China except M10, M11 and M14. These three models use dust emissions/modules in simulations 288 (Chen et al., 2019). M12 also includes dust emissions, but its  $PM_{10}$  concentrations over northern China are much lower than the three models. The predicted PMC concentrations of the three 290 models differ largely. The domain-average concentrations of PMC are 21, 7 and 12  $\mu$ g m<sup>-3</sup> for M10, M11 and M14, respectively. The distributions of PMC also differ largely over north-west China, where the impacts of dust are most significant. The differences among the models mainly comes from the different parameterizations such as source functions, dust-lifting mechanisms and size distributions of particles (Chen et al., 2019). Different PMC concentrations are also found over oceans, mainly attributed to the sea-salt emissions in this study. The sea-salt emissions are parameterized in the models with various formula (Chen et al., 2019). In this study, the WRF-Chem models (M7 and M8) do not account for sea-salt emissions, thus their PMC concentrations

 over the oceans and seas are not defined. The two WRF-CMAQ models use the in-line sea-salt emission module of Gong (2003) and updated by Kelly et al. (2010). They predict consistent distributions of PMC over oceans. M10 and M11 use the same module as the CMAQ models (Gong, 2003), but produce higher PMC on oceans. M12 adopts the method of breaking wave over seashore by Clarke et al. (2006) and produces the highest PMC over oceans among all models.

 The implementation of dust emission is expected to improve the model performances, but how significant could the improvement be? And can models predict the PM concentrations reasonably at regions affected by dust with current dust emissions/modules? To answer these questions, all sites are grouped to dust and non-dust sites according to their locations. The sites located in regions that have been reported to receive severe impacts and rapid deposition of dust are marked as dust sites (Shao and Dong, 2006) (grey-color shaded areas in Fig. 1). Figure 6(a-b) and Table 1 compare the model performances at the dust and non-dust sites. For the non-dust sites 310 (Fig. 6(b)), most models have well captured the magnitudes of  $PM_{10}$  at the "API non-coastal, non-311 dust" sites ( $MB = -8\%$  and  $NMB = -8\%$ ). The sites marked as "API coastal" sites, which are located 312 close to the coastal regions, are all slightly underestimated by about 25  $\mu$ g m<sup>-3</sup> (30%). Similarly, the PRD and Taiwan sites, which are also located near the coastal regions, are all underestimated 314 by about 20  $\mu$ g m<sup>-3</sup> (37%). Bias in sea-salt emissions is the possible reason. Sea-salt emission is reported to contribute to 20-40% of SNA and PM<sup>10</sup> over coastal regions (Liu et al., 2015). Including the sea-salt emission in model simulation can improve the model accuracy with 8-20% 317 increase in  $PM_{10}$ , SNA, Na<sup>+</sup> and Cl<sup>-</sup> (Kelly et al., 2010;Im, 2013). The influence of sea-salt emission is not the focus of this study, but further study is strongly recommended.

319 For the dust sites (Fig.  $6(a)$ ), most models have generally underestimated the PM<sub>10</sub> 320 concentrations by 10-40  $\mu$ g m<sup>-3</sup> (15-50%). And the three models with dust module perform better than the others at the dust sites, especially site A2, A30, A68, A69, R5 and R18. However, they 322 miss the high  $PM_{10}$  concentrations at sites like R1-R3 and R11, and overestimate the  $PM_{10}$  concentrations at sites such as A60 and A80. This indicates that the dust emissions/modules involved in this study can't fully capture the magnitudes and distributions of dust pollutions over EA. In addition, the modelled PMC differ a lot with different dust emissions/modules (Fig. 5). M10 model produces very high PMC over the whole eastern China, while M11 model only predicts high PMC around the HBT region. Overall, the model performance on PM over dust regions can  be improved largely by including dust emissions/modules. However, the concentrations and distributions are not yet well captured and large inconsistencies are found among different dust emissions/modules.

331 Figure 6(c-d) compares the modelled monthly trends of  $PM_{10}$  with observations at the dust and non-dust sites and Fig. 6(e) shows the correlations (R) values between models and observation. For the non-dust sites (Fig. 6(d)), the trends are well caught by most models. The R values are close to 0.70 for all models except M7 (0.62), M8 (0.58) and M14 (0.63). The WRF-Chem models 335 (M7 and M8) simulate too low  $PM_{10}$  concentrations in winter. M14 model overestimates the  $PM_{10}$  concentrations during March to May. Most models have much lower R values at the dust sites than 337 the non-dust sites (Fig.  $6(e)$ ), due to underestimation of the  $PM_{10}$  concentrations during winter. For instance, R values of M10 drop from 0.7 at the non-dust sites to 0.11 at the dust sites. Spring (March, April and May) has the largest model biases at the dust sites, which is coincident with the dust storm season in Asia (Arimoto et al., 2006). M10 and M14 models perform well in most months at both the dust and non-dust sites, taking the advantage of their dust emissions/modules. But their R values at the dust sites are very low. Future study is strongly suggested on a better understanding of the seasonal variations of dust pollutions.

#### **3.4 Wet and dry depositions**

345 Figure 7 and Table 2 show the model performance on wet deposition. For wet  $SO_4^2$  deposition, despite that the two sites with highest deposition (E2 and E3) in China are underestimated, the other sites are generally well simulated by MMM with a low MB of -8%. The individual model bias varies from -22% to 41%. The CMAQ models (M2, M4 and M6) all underestimate the wet  $SO_4^2$  deposition. There are large differences between CMAQv4.7.1 and CMAQv5.0.2 in JP, 350 where the CMAQv4.7.1 models (M4 and M6) slightly overestimate the wet  $SO_4^2$  deposition at E19 and E23, while the CMAQv5.0.2 model (M2) slightly underestimates the value at these sites. 352 The M11 model produces considerably higher wet deposition of  $SO_4^2$  and  $NO_3^-$  than the other models in East EA. The possible reasons are discussed later. The MMM underestimates the  $NO<sub>3</sub>$  wet deposition by 29%, due to large under-prediction in southern EA. The southern EA has several sites with very high deposition, such as E29 site in MY and E35 and E36 sites in PH, but all models fail to catch those high peaks. The individual model bias varies from -59% to 30% among models. M2, M4, M6 and M12 perform similarly with high underestimation ranging from 39% to 59%.

358 The M11 is the only model that succeed to capture the high wet  $NO<sub>3</sub>$ <sup>-</sup> deposition at E2 and E3 in 359 CH, but it overestimates most sites in CH, JP and KR. In case of wet  $NH<sub>4</sub>$ <sup>+</sup> deposition, the MMM generally underestimates the amount at all sites with a bias of -40%, especially at E2-E4 in CH, E45 in TH and E35 and E36 in PH. The individual model bias varies from -10% to -37%. The M2, M4 and M6 models perform similarly, while M11 and M12 models predict higher depositions at all sites. Overall, large inter-model disagreements are found in eastern EA for wet deposition of  $SO_4^2$  and NO<sub>3</sub> and in southern EA for the wet NH<sub>4</sub><sup>+</sup> deposition. The observation of dry deposition is composed by observed concentration of air pollutants and simulated deposition velocity. Since the EANET network only provides the former one, complete evaluation of the dry deposition is not available in this study (complete dry deposition with velocity is available after 2013).

368 Table 3 lists the domain-total annual-accumulated amounts of S and N depositions by 369 models. The total wet S deposition  $(D_{Swet})$  includes wet depositions of  $SO_2$ ,  $H_2SO_4$  and  $SO_4^2$ . The 370 total dry S deposition ( $D_{Sdry}$ ) includes dry deposition of  $SO_2$ ,  $H_2SO_4$  and  $SO_4^2$ . The total wet N 371 deposition ( $D<sub>Nwet</sub>$ ) includes wet depositions of  $NO<sub>3</sub>$ ,  $NH<sub>4</sub>$ <sup>+</sup>,  $HNO<sub>3</sub>$ ,  $NH<sub>3</sub>$ . The total dry N 372 depositions ( $D_{\text{Nwet}}$ ) includes dry deposition of NO, NO<sub>2</sub>, NO<sub>3</sub><sup>-</sup>, NH<sub>4</sub><sup>+</sup>, HNO<sub>3</sub> and NH<sub>3</sub>. D<sub>Swet</sub> values 373 range from 10.5 to 31.3  $Tg(S)$  yr<sup>-1</sup> among models (1sd%=75%). The estimation by M11 model is 374 two folds higher than the other four models. The inter-model difference is significant even among 375 the same type of models with different versions. The CMAQv4.7.1 models (M4 and M6) produce 376 12.5 Tg(S)  $yr^{-1}$  (M4) and 13.8 Tg(S)  $yr^{-1}$  (M6) of D<sub>Swet</sub>, while the prediction by CMAQv5.0.2 377 model (M2) is 25% lower. Despite the large discrepancies in the total amount, all five models 378 agree that over 95% of  $D<sub>Swet</sub>$  is wet  $SO<sub>4</sub><sup>2</sup>$  deposition. The total amounts of  $D<sub>Sdry</sub>$  range from 4.3 to 379 10.6 Tg(S) yr<sup>-1</sup> among models (1sd% =39%). M11 predicts higher  $D_{Sdry}$  than other models and the 380 CMAQv5.0.2 model (M2) predicts 45% lower D<sub>Sdry</sub> than the two CMAQv4.7.1 models (M4 and 381 M6). Similar to D<sub>Swet</sub>, all models have high agreements on the proportions of the components. 382 D<sub>Nwet</sub> range from 12.2 to 20.0 Tg(N)  $yr^{-1}$  among models (1sd%=21%). The CMAQ models (M2, 383 M4 and M6) simulate close results (12-15 Tg(N) yr<sup>-1</sup>), while M11 (20.0 Tg(N) yr<sup>-1</sup>) and M12 (16.5 384  $\text{Tg(N)} \text{ yr}^{-1}$ ) simulate slightly higher amounts. As for the proportion of components, M2, M4, M6 385 and M12 models predict high proportions of wet  $NO<sub>3</sub>$  and wet  $NH<sub>4</sub>$ <sup>+</sup> depositions (particle phase), 386 while M11 model produces higher percentages of wet HNO<sub>3</sub> and wet NH<sub>3</sub> depositions (gas phase). 387 D<sub>Ndry</sub> range from 3.9 to 14.1 Tg(N)  $yr^{-1}$  (1sd%=38%). M12 gives a considerably lower amount 388 than the other models. Models are quite consistent on the proportions of components.

 Figure 8(a-e) show *λwet* of S deposition (*λswet*) by models. The CMAQ models (M2, M4 and M6) have similar patterns in *λswet* over the inland regions, while M12 model predicts 30-90% lower ratios in India. M11 model generally predicts about 20-70% lower *λswet* than the other four models except India, where the difference could reach upmost 170%. For *λwet* of N deposition (*λNwet*) (Fig. 8(f-j)), the CMAQv4.7.1 models (M4 and M6) and M12 perform similarly, but the CMAQv5.0.2 model (M2) predicts 30% lower *λNwet* in India, Japan and Korea. M11 generally predicts lower ratios in India (60% lower), Indonesia and Philippines (120% lower) than the CMAQ models. 396 Figure 9 shows the spatial distributions of  $V_d$ . For  $V_d$  of S deposition ( $V_{Sd}$ ) (Fig. 9(a-e)), the CMAQ models (M2, M4 and M6) simulate very similar spatial distributions. M11 and M12 models predict  $\,$  0.5 cm s<sup>-1</sup> lower *V<sub>Sd</sub>* than the CMAQ models over the whole inland regions, especially in east China and India peninsular. For *V<sup>d</sup>* of N deposition (*VNd*) (Fig. 9(f-j)), the CMAQ models (M2, M4 and 400 M6) predict very similar distributions. M11 and M12 predict about 0.3 cm s<sup>-1</sup> and 1-2 cm s<sup>-1</sup> lower *VNd* than the CMAQ models over the inland regions. Both *λwet* and *V<sup>d</sup>* of M11 are much lower than the other models, especially over eastern EA. And this is a possible reason for the biased performance of M11 on wet deposition (Fig. 7). Overall, large inter-model differences are found in predicting both the amounts of depositions and the efficiencies of depositions.

#### **4 Conclusion**

 The topic I of the MICS-Asia III aims at (i) evaluating the strengths and weaknesses of current multiscale air quality models in simulating concentration and deposition fields over East Asia and (ii) providing suggestions for future model developments. This study compares the performances of twelve regional models for the prediction of PM concentrations over EA. The participating models includes WRF-CMAQ (v4.7.1 and v5.0.2), WRF-Chem (v3.6.1 and v3.7.1), GEOS-Chem, NHM-Chem, NAQPMS and NU-WRF. Three processes/mechanisms are investigated to identify the causes of inter-model differences:

 (1) For the formations of PMF, *SOR* and *C(NO2)* values are used to demonstrate the inter-model differences in gas-particle conversions. The *SOR* values are generally underestimated by most models at the EANET sites. A generally trend is found that the WRF-CMAQv5.0.2 models produce the highest *SOR* values among all models, followed by the WRF-CMAQv4.7.1 models (10% lower in HBT region), the WRF-Chem models and other models (5-20% lower over  inland regions). The inter-model variation on *SOR* (1sd% =50%) is of the same magnitude as 420 that on  $SO_4^2$  concentration. Similar results are found in  $C(NO_2)$ , but models have higher agreements on *C(NO2)* than *SOR*. The different treatments of gas-particle conversions account largely for the different model performances on PMF.

 (2) For the formations of PMC, the models without dust emissions/modules generate very low  $\left( \langle 2\mu g \, m^{-3} \rangle \right)$  PMC concentrations. They can well capture the PM<sub>10</sub> concentrations at non-dust-425 affected sites but underestimate the  $PM_{10}$  concentrations at sites affected by dust storms by upmost 50%. This underestimation is largely improved by implementing dust emissions/modules (bias reduced to around -20%). However, both the magnitudes and distributions of dust pollutions are not fully captured. In addition, models employing different dust emissions/modules show large disagreements on the distributions of PMC.

 (3) For the removal of PM from the atmosphere, the amounts of atmospheric deposition vary largely among models (1sd%) by 75%, 39%, 21% and 38% for *DSwet*, *DSdry*, *DNwet* and *DNdry*, 432 respectively. The  $\lambda_{wet}$  and  $V_d$  indicators are used to exclude the influences brought by model inputs. For *λwet*, models agree more on the *DSwet* than *DNwet*. The largest model inconsistencies are found in India (upmost 170%), Indonesia and Philippines (upmost 120%). For *Vd*, models 435 differ more on  $D_{Ndry}$  than  $D_{Sdry}$ , which is opposite to  $\lambda_{wet}$ . The inter-model differences are 436 widely found over the inland regions for  $D_{Sdry}$  (about 0.5 cm s<sup>-1</sup>) and  $D_{Ndry}$  (0.3-2 cm s<sup>-1</sup>).

 The main contributions of this study are: (1) comparing the conversions of S and N between gas and particle phases among different models as well as with observations. The comparison with observation makes it possible to both quantify the inter-model differences and tell which module might be more reasonable; (2) Several new updates on dust modules have been published in recent literature, but there is limited study on the inter-comparison. This study provides an opportunity to bring together the new updates on dust modules/emission and review their performance in EA; (3) providing a comprehensive view on the total budget of S and N aerosols, by including the analysis on the removal processes. It turns out that this process brings significant uncertainties to inter-model differences. It should be noted that other factors such as vertical diffusion can also contribute to model differences. Meanwhile, this study focuses on comparing the model abilities in simulating PM in 2010. The chemical regimes may have changed drastically due the rapid changes of emissions and implementation of control policies in Asia. Studies on more recent years and heavily polluted episodes are under preparation.



*Author Contributions*. JT and JSf designed the study. JT processed and analysed the data. JSF,

GRC, SI and ZT contributed to the results and discussions. JSF, ZT, KH, SI, KY, TN, YM, XW,

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- **Figures and tables**
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638<br>639 639 Figure 1 The geographical locations of observation networks of API (red color, A1-A86), EANET (blue color, E1-<br>640 E54, only sites with available observation during simulation time are shown) and Ref (green color, R1-R E54, only sites with available observation during simulation time are shown) and Ref (green color, R1-R35) sites.

Grey shaded regions have been reported to be affected by dust storms.



643<br>644 644 Figure 2 SOR values at surface layer for models (unit: %). SOR is calculated by  $SO_4^2/(SO_2+SO_4^2) \times 100\%$ . The  $SO_2$ 645 and  $SO_4^2$  concentrations are transferred from ppb and  $\mu$ g m<sup>-3</sup> to mole(S) m<sup>-3</sup> before calculating *SOR*. Values are 646 calculated by annual average data.



649<br>650 650 Figure 3 Same as Fig.2 but for  $C(NO_2)$  (unit: %).  $C(NO_2)$  is calculated by  $NO_3 / (NO_2 + NO_3) \times 100\%$ . The  $C(NO_2)$  of 651 M8 is extremely low due to unreasonable low  $NO_3$  concentration, which is considered as outliner in this study. Values

652 are calculated by annual average data.





Figure 4 Gas-particle conversions of S and N of observation and models at EANET sites. The unit is µmole (S or N) 656 m<sup>-3</sup>. The red bars and black bars represent the concentrations of gases and aerosols. The blue-color values above the bars are observed/modelled *SOR* and *C(NO2)*. Values are calculated with annual average concentrations. The 658 concentrations of gases and aerosols are all transferred to  $\mu$ mole (S or N) m<sup>-3</sup> before calculation. The blue-color numbers on top-right (e.g. E22) are site numbers. The locations of the sites are illustrated in Fig. 1. Results for individual sites are shown in supplementary Fig. S1.



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Figure 5 Annual average PMC concentrations at surface layer of individual models ( $\mu$ g m<sup>-3</sup>). The value is calculated by subtracting PM<sub>2.5</sub> from PM<sub>10</sub>. The values in left-bottom are domain average (Avg) and maximum (M by subtracting  $PM_{2.5}$  from  $PM_{10}$ . The values in left-bottom are domain average (Avg) and maximum (Max) values.



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Figure 6 Multi-model performances on (a-b) annual average PM<sub>10</sub> concentrations at the dust sites and non-dust sites and (c-d) monthly average PM10 concentrations at the dust sites and non-dust sites. X axis for (a-b) indicates site numbers. The locations of the sites are illustrated in figure 1. The yellow bars are observations, the blue lines are the MMM and different markers represent individual model results. (e) R values of models with observations at the dust and non-dust sites.



 China, JP-Japan, KR-Korea, KH-Cambodia, MN-Myanmar, TH-Thailand, VN-Vietnam, ID-Indonesia, MY-Malaysia, PH-Philippine.

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 Figure 8 Washout ratios (*λwet*) of (a-e) S deposition and (f-j) N deposition of models. Values are calculated with annual accumulated depositions. The unit is %.



Figure 9 Dry deposition velocities ( $V_d$ ) of (a-e) S deposition and (f-j) N deposition of models. Values are calculated

690 with annual accumulated depositions. The unit is  $cm s^{-1}$ .

## 692 **Table 1**

693 Table 1 Multi-model performance on annual average concentrations of  $PM_{10}$  at the dust and non-

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696 Table 1 Continued **Non-dust site** M1 M2 M4 M5 M6 M7 M8 M10 M11 M12 M14 MMM Mean Obs 77.2 Mean MMM 58.2 58.5 66.5 45.2 55.2 44.8 39.0 90.0 64.4 66.3 89.5 57.8 *S* 1.0 1.1 1.2 0.8 1.0 0.7 0.6 1.0 1.0 0.9 1.1 0.9 *MB* -19.0 -18.7 -10.8 -32.1 -22.1 -32.5 -38.3 12.7 -12.9 -10.9 12.2 -19.4 *R* 0.7 0.8 0.7 0.8 0.8 0.6 0.6 0.7 0.7 0.7 0.6 0.8 *F* 82.5 81.0 84.1 66.7 82.5 52.4 46.0 85.7 90.5 93.7 84.1 82.5 *NMB* (%) -24.6 -24.2 -14.0 -41.5 -28.6 -42.0 -49.5 16.5 -16.6 -14.1 15.8 -25.1 *NME* (%) 30.7 30.7 27.3 41.5 31.4 43.9 50.7 25.7 26.3 26.1 30.8 28.0 *MFB* (%) -36.8 -37.5 -25.1 -59.2 -41.8 -62.0 -75.0 13.1 -24.9 -20.3 8.3 -34.6 *MFE* (%) 42.0 42.8 35.3 59.2 44.4 64.0 76.1 23.4 33.5 31.3 29.1 37.5 Number of Sites 63

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# 699 **Table 2**



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## 705 **Table 3**

706 Table 3 Domain-total annual-accumulated S and N depositions of models (Tg(S or N)  $\text{yr}^{-1}$ ).

707		Empty values mean no model submissions or the values are 0.								
		Wet S deposition				Dry S deposition				
	Model	SO <sub>2</sub>	$H_2SO_4$	SO <sub>4</sub> <sup>2</sup>	Total Wet S	SO <sub>2</sub>	H <sub>2</sub> SO <sub>4</sub>	SO <sub>4</sub> <sup>2</sup>	<b>Total Dry S</b>	
	M <sub>1</sub>	0.06								
	M <sub>2</sub>	0.04		10.4	10.5	3.4	0.01	0.9	4.3	
	M <sub>4</sub>	0.06		12.5	12.5	6.6	0.01	1.1	7.6	
	M <sub>5</sub>									
	M <sub>6</sub>	0.05		13.7	13.8	6.3	0.01	1.4	7.7	
	M <sub>7</sub>									
	M8									
	M10									
	M11	1.1	0.3	29.9	31.3	6.9	2.2	1.5	10.6	
	M12			16.3	16.3	3.7	$\overline{\phantom{0}}$	0.4	4.2	
	M13	6.0			-					
	M14	0.02		6.2		5.4		3.2		

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