

Reply to Reviewer 1

Major comments

Reviewer says: 1) My main comment, which needs to be addressed before publication is due to methodology. Section 4, which is the main results section investigates turbulent fluxes and TKE during the passage of storm systems. However, I am not convinced that the data during these episodes is reliable and supports the conclusions. During rain events or with water on the transducers CSAT3 do not work very well. While light rain may be acceptable, during heavy rain (>3 or so mm/h) sonic anemometers generally produce no accurate readings. There may also be an issue with vibrations of sensor mounts and tower that affects measurements during storms. For example I find the reported values of TKE (increase by factor of ~50 during passage of cells) and H (up to -800 W/m²) questionable/ unrealistic. Can values like this be supported from the literature. The methodology does not mention any kind of data quality assurance. For example, the authors should look at turbulence spectra to check whether these look OK and eliminate data observed during rain events or during periods when sonic transducers are likely wet.

Reply: This is a valid concern. This issue needs to be addressed to give the readers confidence in the results. We are confident in them, and these are the main reasons:

- i. Precipitation was never large. Total precipitation along the entire duration of the events was 2.3, 1.0, 5.3 and 1.5 for events 1 to 4 respectively, therefore only in event 3 exceeding the limit mentioned by the reviewer for “heavy rain”. We are now including this fact in the text and adding the plot below, showing precipitation evolution along each event, as a supplementary figure.
- ii. Nevertheless, it did rain in all cases and there is also the issue raised by the reviewer regarding vibration of the mounts and tower. To address that, and following the suggestion from the reviewer, we plotted TKE spectra and heat flux cospectra for the 4 different portions of events 1 and 2: before the gust front (I); the period of upward heat flux that marks the gust front arrival (II); the period of large downward heat flux that corresponds to enhanced storm-generated turbulence (III) and the wake period after the event (IV). This is only done for events 1 and 2, because these are the cases when these periods can be easily identified. The plots are shown below (Figs. R₁₂ to R₁₅). It is clear that the TKE spectra and heat flux cospectra are, in all cases, well-organized, tending to zero in the high-frequency limit, indicating that there is reduced levels of noise. Besides, the upward or downward fluxes happen over the entire range of turbulence scales, being well organized vertically as well. It gives us a high degree of confidence in our dataset. These plots have also been included as supplementary material and a discussion referring to them has been included in the main text. In Figs. R₁₆ and R₁₇, the raw velocity and temperature turbulent data from events 1 and 2 are also shown, indicating the absence of spikes and random fluctuations. They have also been included as supplementary material. Paragraphs explaining that the data quality analysis is shown in the supplementary material have also been added to the main manuscript.

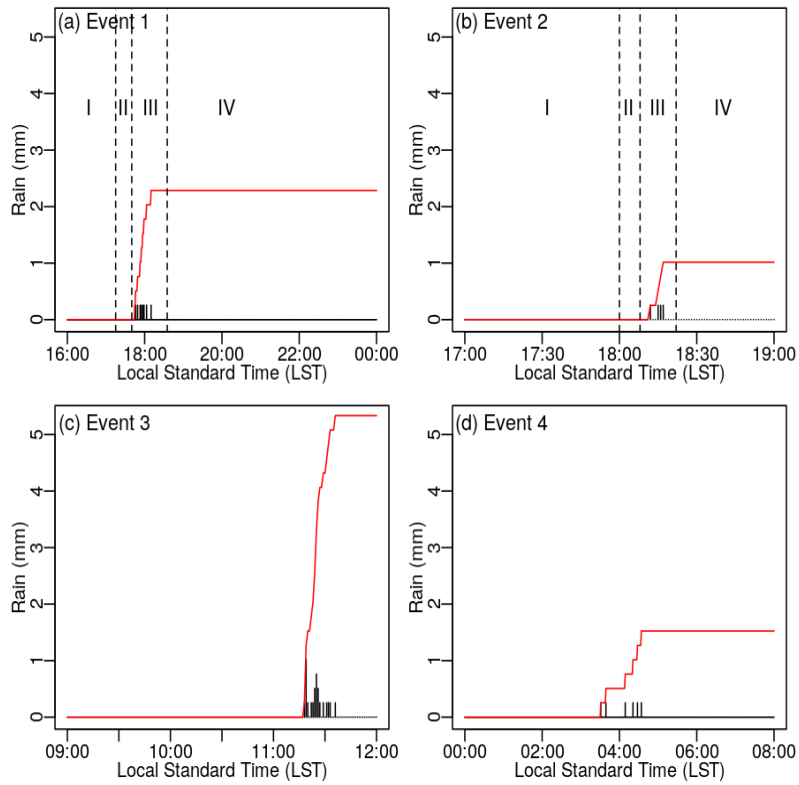


Fig. R₁1. 1-minute and total precipitation for each event.

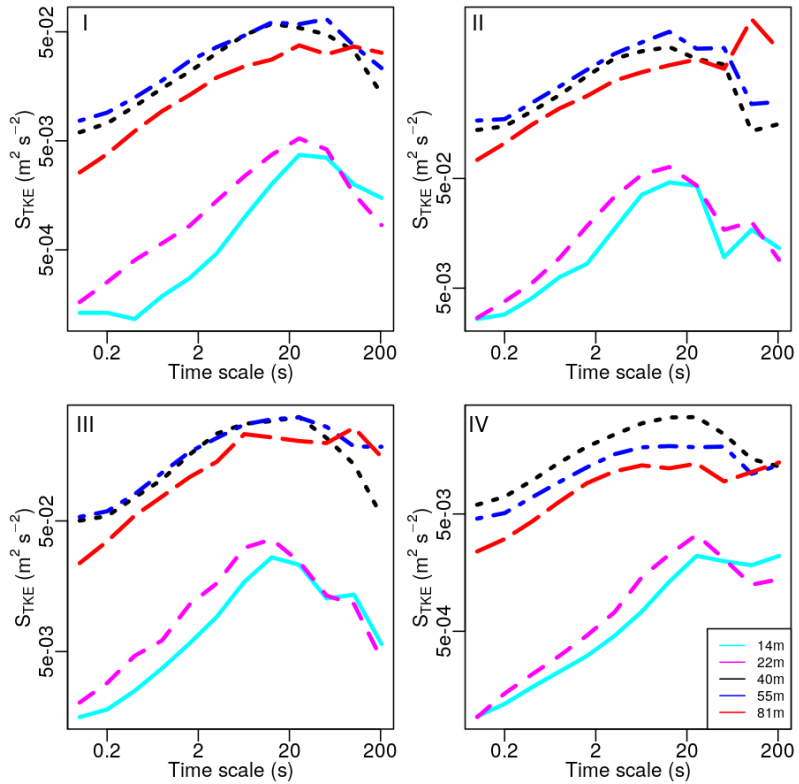


Fig. R₂2. Multiresolution TKE spectra for the 4 periods of event 1.

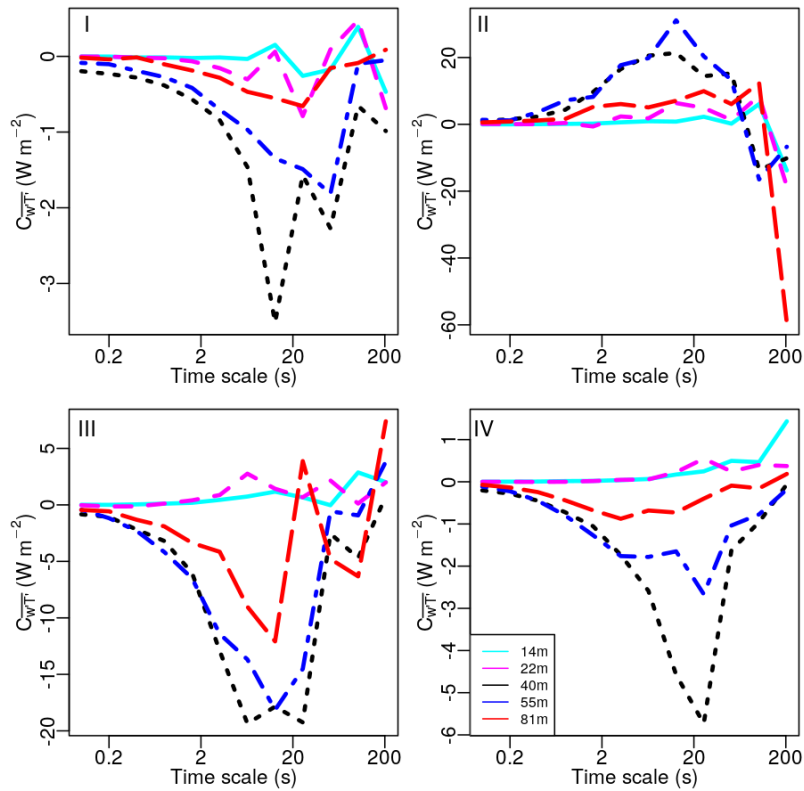


Fig. R₁₃. The same as in Fig. R₁₂, but for heat flux cospectra.

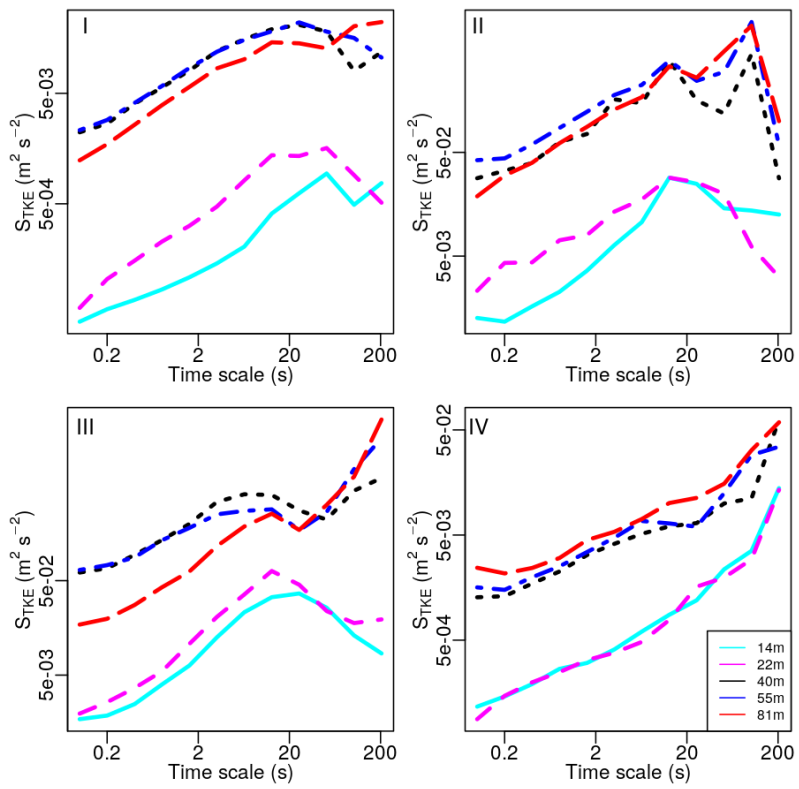


Fig. R₁₄. The same as in Fig. R₁₂, but for event 2.

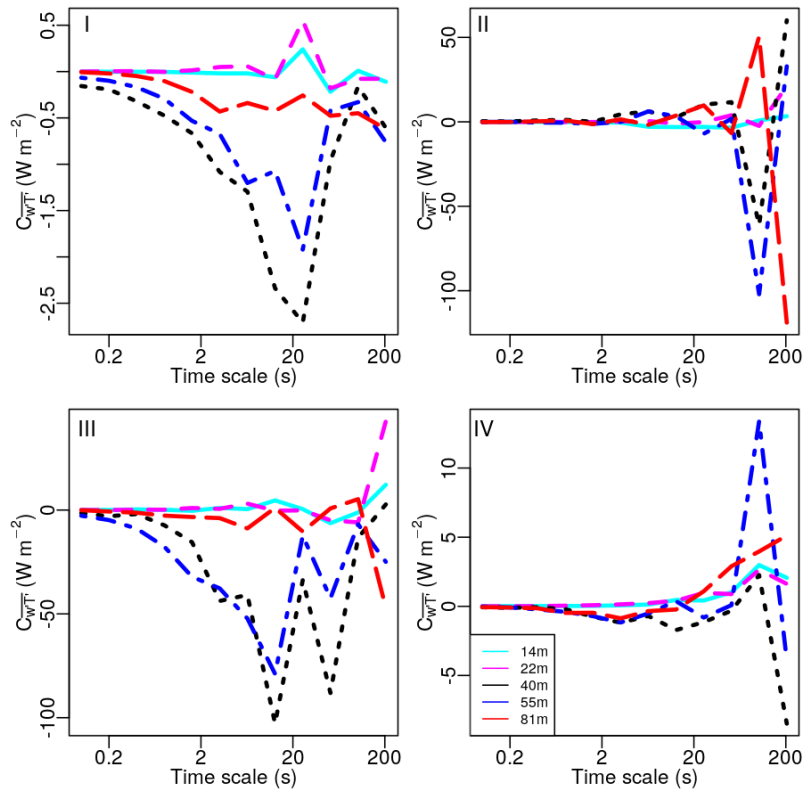


Fig. R15. The same as in Fig. R13, but for event 2.

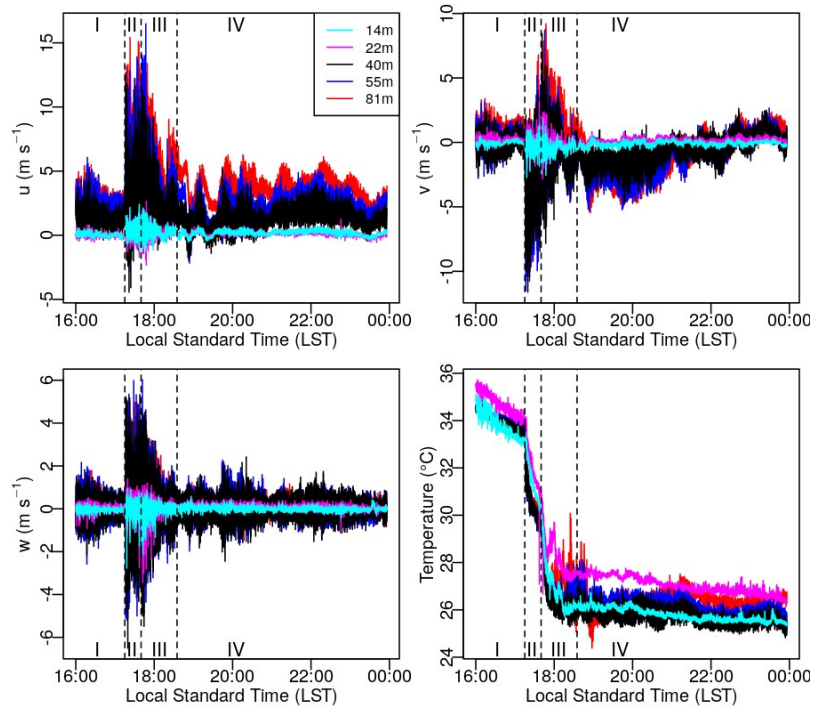


Fig. R16. Time series of the velocity components and temperature along event 1.

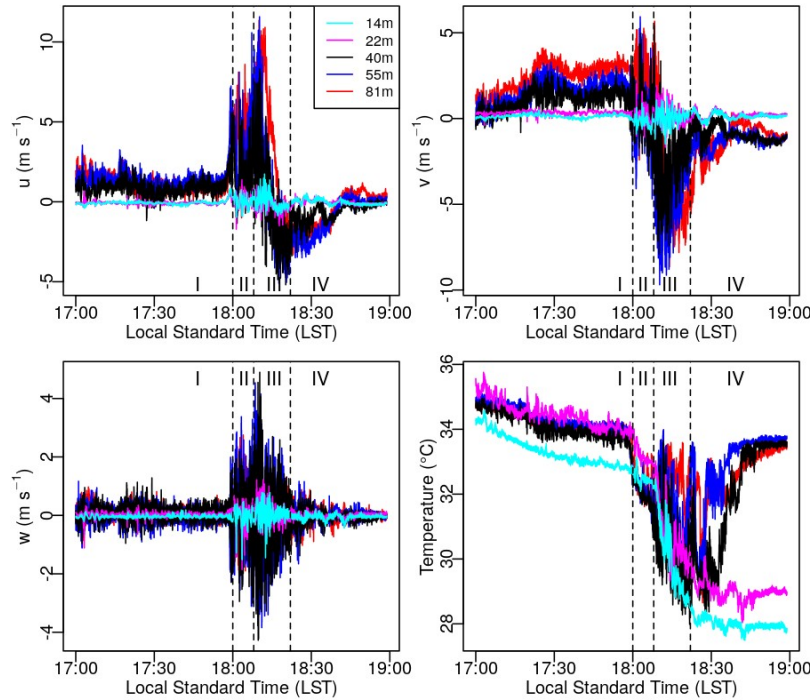


Fig. R₁₇. Time series of the velocity components and temperature along event 2.

iii. Regarding the large observed values of both TKE and heat flux, it is important to stress that these values refer to transient events, and they have been determined using 1-min time windows. The spectra and cospectra shown in Figs. R₁₂₋₅ show that this time window captures the majority of the turbulent fluctuations. Transient events such as these may, indeed, have very large magnitudes, and still be genuine. Certainly, the average flux determined over a more typical 30-min window in would have a much smaller magnitude in these cases, but it would miss all the dynamics of the event passage. There are previous observations from the literature that support these values. Hohenegger and Bretherton (2011) reported observed values of PBL-averaged TKE during cases of deep convection in ARM and KWAJEX experiments. TKE values that exceed $10 \text{ m}^2/\text{s}^2$ are common during cases of deep convection. We have not found published observations of vertical sensible heat fluxes as large as those we are reporting, but this is precisely one of the main objectives of the paper: to report this type of observations for the first time. However, in a previous study of our group, we reported similarly high transient fluxes of sensible heat in the horizontal direction, this time caused by the advance of an air mass with distinct characteristics along the surface of a river (Acevedo et al., 2007). Besides, we also have observations taken during GO-Amazon project that show heat flux evolutions and magnitudes that are similar to those being presently reported (Fig. 6.2 in Oliveira (2017), in Portuguese). This is the Doctorate thesis of one of the coauthors, Pablo Oliveira, where these GO-Amazon events have also been simulated using a simple column model that uses K-theory to predict the fluxes, indicating that the very large thermal gradients and wind speeds observed during the transient events may indeed drive very large fluxes, although for a very brief period. TKE is also very large in these observations, reaching $12 \text{ m}^2/\text{s}^2$.

Reviewer says: 2) The paper presents 4 events (mostly with time series of theta, U and other variables during the course of the event), but it is not clear to what extent atmospheric behavior during these events is generalization. Are these events the norm, or are they unusual. I feel that this severely limits the knowledge that can be gained from this work.

Reply: Yes, the reviewer is correct. We have added the following sentences at the end of the conclusion to make it clear that we are not claiming that the results are general:

Despite the consistency found among the events analyzed, it is important to stress that the study is based on a reduced number of events (4) and that a more detailed analysis with a larger number of cases is necessary to validate the conclusions. They will be possible along ATTO project, when continuous turbulence observations will be available from the surface to 320 m.

Reviewer says: Specific: P2L12: "Much of the knowledge on the effects of DMC on PBL evolution has been gained from research based on the GARP" > I suggest to modify this statement, as it sounds as if this experiment delivered a majority of knowledge on the topic.

Reply: Yes, the reviewer is correct, although we believe GATE was extremely relevant in the early developments on the field. We reworded it to "Much of the *initial* knowledge on the..."

Reviewer says: Section 2.1: Given that the study concerns DMC, the authors should expand here on their treatment of periods with rain. Rainfall and water on CSAT3 transducers impacts turbulence measurements. How was this dealt with? Are there any longer datasets available? For example, the work described in Fuentes et al has 9 levels of turbulence between 0.5 and 55 m and data is collected for _ 1 year.

Reply: The issue regarding rainfall has been addressed in the reply to major comment (1), above. The dataset used in this paper comes from an Intensive Operating Period (IOP) at the ATTO site. This was carried out before most of the instruments were deployed for the continuous measurements (scheduled to happen in the upcoming months). Although data from the GO-Amazon project could be used for comparison, it has not been done. It presently focuses on case studies, and for this purpose the ATTO IOP dataset has the advantage of a deeper vertical coverage as compared to GO-Amazon. Such a comparison is certainly a good idea for future work.

Reviewer says: P4L3: "The study period extended from 29 October 2015 to 20 November 2015" I have a question regarding the study period. I know that this site is used extensively for research (mainly Atmospheric Chemistry). I am a bit surprised that there is only 1 month of data available for turbulence measurements. Could the authors elaborate on the deployment of the CSAT3s.

Reply: As mentioned previously, the dataset correspond to an IOP carried out in 2015. As of October 2019, the full micrometeorological instrumentation have not yet been deployed, and the continuous observations are scheduled to start early in 2020. Although some levels have operated continually for a long time, it is only during this IOP that there has been multiple CSATs operating simultaneously. In reply to comment 2, above, we have added a sentence stating the relevance of the upcoming continuous measurements for the generalization of the present results. It has also been added to the manuscript that the period of observations corresponded to an IOP.

Reviewer says: P5L9: "Following the aforementioned procedure, four DMC events were selected for investigation" It would be good if the authors could provide some measure of how many systems there were in total. I understand that this work more or less presents case studies, but I feel some quantification of events should be done.

Reply: The 4 cases described are the only occurrences found during the IOP. As described in the manuscript, "Only storms that produced detectable impacts on the evolution of meteorological variables at the tower site were selected."

Reviewer says: Table 1: Are there other measures that could be included, such as cloud brightness temperature/ cloud top height or precipitation to get a sense of the strength. The Table caption should indicate where V_h and θ_v where measured, as well as location of RAOBS.

Reply: Total precipitation for each event has been included to the table.

Reviewer says: LP6L18: "In this situation, the establishment of a shallow, cool near-surface stable layer occurs earlier than it would be the case for a typical undisturbed diurnal cycle." > This may or may not be true, but 18 LST is roughly the time of sunset, so I am not sure to what extent this really constitutes and "early nightfall" because from this work, we don't know what the normal transition looks like.

Reply: The reviewer is correct for the cases shown when the event happens near 1800 LST, but the idea is still valid for earlier events. For that reason, we reworded the sentence to "In this situation, the establishment of a shallow, cool near-surface stable layer *may occur* earlier than it would be the case for a typical undisturbed diurnal cycle."

Reviewer says: P6L30: "As the gust front impacted the tower after sunset, an early nightfall effect was also observed, similar to event 1." I don't understand this. I thought an early nightfall means that there is no recovery since there is no additional energy input in the system that can lead to recovery, but this Figure 3b does show that θ recovers.

Reply: It is a valid point. The sentence has been removed.

Reviewer says: P7L8: "very stable stratification" > can this be quantified. if not, I suggest to remove the "very"

Reply: "Very" has been removed from the sentence.

Reviewer says: P8L2: "An "attempt" of a recovery phase was observed as a slight increase in θ_v around 04:00" > I don't find this very convincing. What is different at 4:00 to lets say 5:00.

Reply: It is not much different, but the first "attempt", at 04:00 was longer and had a larger change in θ_v , being therefore mentioned.

Reviewer says: Figure 3d: Why does θ_v at 55m and 40m behave so differently, between 3:30 and 5:00. Can you make sure that this is not an issue with the data.

Reply: The data at 40 m were, indeed, faulty. This line has been removed from the plot.

Reviewer says: *Section 4: I feel that there are very likely methodological issues with this section. We know that CSAT3 analyzers don't work well during (strong) rain. Also, storms might introduce vibrations to tower and sensor mounts that affect 'observed' H. In summary much care needs to be taken to make sure that the findings in this section are robust. I feel that the increase in H is consistent with the cooling of the air and a surface response. At the same time, I find sustained fluxes of -800 W/m² for several minutes surprising (Figure 4b). Especially since before and after the passage of the front, fluxes are +/- zero. I would feel much more confident, if the authors could back up their findings with a comparison to H fluxes observed during other studies. Also if fluxes are integrated to 30 minutes (which is the conventional standard). Do they make sense? This problem affects Figures 4,6,7 as all these rely on data from the CSAT3s. One indication of issues with the data is for example, that Vh changes from ~3-4 to 10m/s (factor of 3) during the passage from the first storm, but observed TKE goes from 0.1 (?) to 6 m²/s², which is a factor of 60. I am don't think that this is real.*

Reply: This issue has been addressed in the reply to major comment 1, above.

Reviewer says: *Technical: P2L10: "into the surface" > "into the ABL" or "towards the surface" P3L8: "engender the venting" > affect the venting P4L34: "BLIS" > consider writing out for readability. I had already forgotten what BLIS stood for and had to look it up. P6L17: "an effective" > this does not work very well in English (since it sounds as if the nightfall is effective" Maybe: "a situation akin to an early nightfall" ?*

Reply: Done.

REFERENCES:

- Acevedo, O.C., O.L. Moraes, R. da Silva, V. Anabor, D.P. Bittencourt, H.R. Zimmermann, R.O. Magnago, and G.A. Degrazia, 2007: Surface-to-Atmosphere Exchange in a River Valley Environment. *J. Appl. Meteor. Climatol.*, 46, 1169–1181, <https://doi.org/10.1175/JAM2517.1>
- Hohenegger, C. and Bretherton, C. S.: Simulating deep convection with a shallow convection scheme, *Atmos. Chem. Phys.*, 11, 10389-10406, <https://doi.org/10.5194/acp-11-10389-2011>, 2011.
- Oliveira, P. E. S. (2017) Estudo da turbulência atmosférica na floresta Amazônica - análise de dados micrometeorológicos e modelagem numérica (Doctoral dissertation). Available at <http://repositorio.ufsm.br/handle/1/14596>

Title: Planetary boundary layer evolution over the Amazon rain forest in episodes of deep moist convection at ATTO.

Manuscript Number: acp-2019-373

Authors: Maurício I. Oliveira, Otávio C. Acevedo, Matthias Sörgel, Ernani L. Nascimento, Antonio O. Manzi, Pablo E. S. Oliveira, Daiane V. Brondani, Anywhere Tsokankunku, and Meinrat O. Andreae

Manuscript type: Article

Recommendation from the reviewer: Publish

Reply to Reviewer #2:

General remarks

Reviewer says: *This manuscript analyzes turbulence data measured at several levels at an 80-m high tower at the ATTO site in the Amazon. The data are analyzed around the occurrence of deep moisture convection (DMC) and strong downdrafts of cold air from above associated with the passage of storms by the tower, as identified by meteorological radar.*

The manuscript is well written and easy to understand. It is also basically an observational study. It consists of the description of the evolution in time of the response in atmospheric variables measured by the tower to the passage of the pool of cold air from the storm downdrafts.

As such, the manuscript does not contain new quantitative theories, nor does it describe any new phenomena, with the possible exception of the detailed attention to the temporal behavior of the sensible and latent heat fluxes, and the turbulence kinetic energy, measured at several levels at the tower during those episodes. It is however useful as a good example of the application of high-quality research data to the understanding of influence of storm downdrafts on the planetary boundary layer. As such, I think it deserves publication.

Because it is well written and documented, and of its descriptive nature, there are very few remarks that I can make on the manuscript. They are listed in the specific comments below.

Reply: The authors would like to thank the reviewer for positive remarks.

Specific comments

Reviewer says: *p. 2, l. 19–20 “This stage initiates in the wake of the storms and it may take 7-10 h for the ML to re-establish undisturbed conditions.”*

Reply: The sentence has been changed.

Reviewer says: p.2, l. 32–33 “They found latent and sensible heat flux enhancements reaching peak values of 60 W m^{-2} and 250 W m^{-2} for large, organized Mesoscale Convective Systems (MCSs).”

In general $LE \gg H$ over the ocean, but here you are saying $\Delta LE = 60 \text{ W m}^{-2}$, $\Delta H = 250 \text{ W m}^{-2}$. Please confirm that the enhancements are much larger for H.

Reply: The reviewer is correct. We rephrase the sentence to “They found sensible and latent heat flux enhancements reaching peak values of 60 W m^{-2} and 250 W m^{-2} for large, organized Mesoscale Convective Systems (MCSs).”

Reviewer says: p.4, l 10–11 “Computation of turbulent quantities from tower data such as mean flow, heat fluxes and turbulent kinetic energy were accomplished by employing Reynolds averaging at 1-min time intervals.”

Strictly speaking, “Reynolds averaging” is ensemble averaging, for which the so-called Reynolds postulates apply. Here, you should say “time averages over 1-min. intervals”.

Reply: It is a valid point. The sentence has been changed.

Reviewer says: p.4, l 31 “gust (not gusts) fronts.”

Reply: The sentence has been changed.

Title: Planetary boundary layer evolution over the Amazon rain forest in episodes of deep moist convection at ATTO.

Manuscript Number: acp-2019-373

Authors: Maurício I. Oliveira, Otávio C. Acevedo, Matthias Sörgel, Ernani L. Nascimento, Antonio O. Manzi, Pablo E. S. Oliveira, Daiane V. Brondani, Anywhere Tsokankunku, and Meinrat O. Andreae

Manuscript type: Article

Recommendation from the reviewer: Minor revisions

Replies to Reviewer #3 (Dr. Kathleen Schiro):

This study uses data from a tall tower in the Amazon to assess the thermodynamic and kinematic properties of convective downdrafts/outflows/cold pools. The study focuses on four deep convective cases of differing spatial characteristics. Three of the four cases were nocturnal, while one occurred during the early afternoon hours. The authors find interesting differences between the thermodynamic and kinematic properties of the PBL after the different convective system passages. Notable differences include (1) well-defined gust fronts in the nocturnal cases vs. A weakly defined gust front in the daytime case; (2) different PBL layers recover quite differently after system passage for the isolated system cases; (3) nighttime cases have clearly defined increases in sensible heat near the time of gust front arrival and decreases afterwards, whereas the daytime case exhibits different behavior. Interesting differences are noted in the response of the surface layer of the PBL vs. the top of the canopy, including that heat fluxes are most pronounced above the canopy rather than within the canopy.

I think this study is well-written and presents many interesting findings. The authors provide insightful discussions throughout. The authors' findings are complementary to past studies, yet provide new insights into processes that are difficult to observe and are thus not readily studied (downdrafts, PBL dynamics and thermodynamics, detailed land-atmosphere interactions).

Overall, I recommend that this study be published in ACP with minor revisions.

The authors deeply appreciate the in-depth critics and suggestions provided by the reviewer. We believe the manuscript has been significantly improved as a result of this revision. Below the reviewer will find our point-by-point responses, written in bold-faced dark blue.

General comments:

1. You provide various explanations for defining and choosing your cases. You also attempt to explain why you chose such a short study period on page 4. However, your explanations seem rather unclear to me. More specifically, could you clarify what you mean by "We have chosen such a short time window primarily because of the nonstationary nature of the events under study, but also to avoid contamination from low- frequency, non- turbulent processes,

and, therefore, guarantee that the discussion refers to turbulent quantities alone (lines 11-14, page 4)”? Stating that “Only storms that produced detectable impacts on the evolution of meteorological variables at the tower site were selected (p. 4, lined 28-29)” makes sense over such a short time period, but again, I don’t feel that the short time period is ever adequately justified.

We agree with the reviewer that both the choice of the period of study as well as the use of short averaging windows can be further explained and clarified. These points are addressed below.

Period of study: The dataset used in this paper refers to an Intensive Operating Period (IOP) at the ATTO site focused on the period from late October through mid-November 2015. At the time this IOP was conducted, most of the instruments had not been deployed for continuous measurements; this is scheduled to happen in the upcoming months. Nevertheless, only during this IOP, there was multiple micrometeorological instruments (CSATs) operating simultaneously at several tower levels, making this period suitable for conducting the case studies we presented. We have added to the manuscript that the period of observations refer to an IOP.

Averaging time window: The short, 1-min time window we describe in lines 11-14 (pg. 4) refers to the averaging time interval from which turbulent fluctuations are calculated from. Such short averaging time window is needed to capture the dynamics of the gust front passage given the highly transient, abrupt nature of the phenomenon. Average flux calculations determined over a more typical 30-min window would yield much smaller flux magnitude in the cases studied, i.e., introducing the adverse effect of smoothing out the flux peaks and thus, missing all the dynamics of the event passage.

2. Since it’s hard to generalize day vs. night, organized vs. disorganized convection differences in PBL behavior following system passage when you only have four cases, I think you should add a few concluding sentences cautioning the readers against generalizing these conclusions. Perhaps an appropriate place to do so is after the schematic is introduced in the conclusion?

Thank you for the comment. This concern, also raised by Reviewer #1, is a relevant suggestion which helps to present our conclusions more clearly and caution readers about the generality of our findings. Motivated by your suggestion, we have included the following statements in the conclusion:

“Despite the consistency found among the events analyzed, it is important to stress that the study is based on a reduced number of events (4) and that a more detailed analysis with a larger number of cases is necessary to validate the conclusions. They will be possible along ATTO project, when continuous turbulence observations will be available from the surface to 320 m.”

Specific comments:

Lines 9-10: Please revise to read “The nocturnal events had well-defined gust fronts with moderate decreases in virtual potential temperature and increases in wind speed.”

The sentence has been modified as suggested.

Line 12: “experienced an increase” – how about just “increased” ?

The modification has been done.

Page 5, line 21: Schiro and Neelin (2018, ACP) compare statistics on downdraft/cold pool properties from both sub-MCS size system and MCS systems at the GoAmazon2014/5 site. Wang et al. (2019) also uses GoAmazon2014/5 data to look at cold pool/downdraft characteristics. Both studies use the S-Band radar to classify the deep convection. It seems that references to these studies could be appropriate here.

Thank you for pointing that out. Your comment has motivated us to rephrase a couple of sentences in the manuscript. On page 4 we have added a citation to Schiro and Neelin (2018) when mentioning previous studies that have applied quantitative criteria to select the convective events. On page 5 we now cite both Schiro and Neelin (2018) and Wang et al. (2019) together with SR98.

Figure 1: It would be very helpful to add spatial information to the axes on the subpanels, especially since you discuss the degree of spatial organization. Also, please mention what the circles (dashed lines) mean in the caption (what distance is this from the radar?). Lastly, please label the panels a-d.

We agree that relevant spatial information was lacking in the subpanels and caption of Figure 1; in the new version such information is provided. Thank you.

Oct 31 case – It seems to me (from Fig. 1) that this exhibits a decent amount of organizational structure (leading line, trailing stratiform), even though the individual leading-edge cells passing over the tower may have seemed disorganized or separated from one another at any given time or may have merged with other isolated cells (as you mention). The thermodynamic and dynamic responses (Figs. 2 and 3) also suggest that this is an MCS. If you agree with this assessment, you may wish to revise your classification in the table and in lines 24-25 in Section 3 (p 5): “In comparison to SR98, the storms on 31 October (event 1), 2 November (event 2), and 4 November (event 3) mostly resembled the unorganized arrangement that they referred to as sub-MCS-scale nonlinear systems.”

Thank you very much for raising this important point, but during this event we found no contiguous region of reflectivity above 30 dBZ displaying 100 km or more in length. To further verify if an MCS could be characterized in any given moment of the evolution of this event, we checked the GOES-13 thermal IR imagery during the life

cycle of the storm system, but the only MCS observed in that period was located in northern Pará state, hundreds of km to the northeast of the region of interest. To illustrate that, we are copying, in this reply, the GOES-13 enhanced thermal IR image valid around the time of the radar image shown in Fig. 1a. Given these points we have no solid argument to support a claim that the event was indeed an MCS.

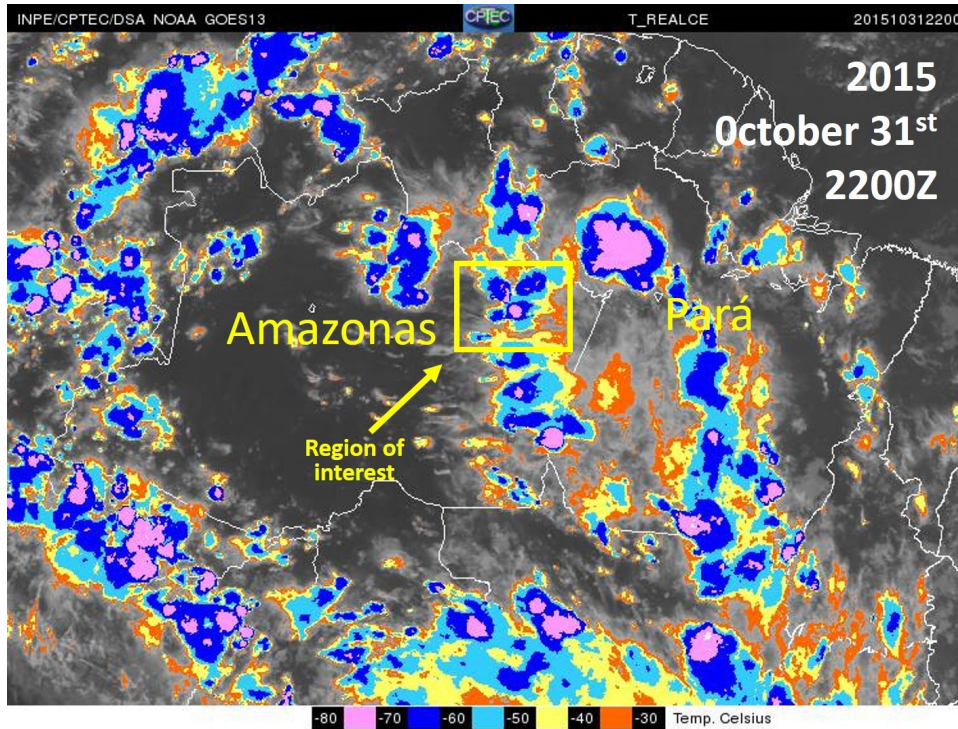


Figure R3.1: enhanced thermal infrared GOES 13 image at 22:00 UTC 31 Oct 2015 over the Amazon region. Brightness temperatures indicated by the color shading, in °C). The yellow rectangle indicates the convective system of interest.

P. 6, lines 9-10: You could probably reword this sentence to make it reference Figs. 2a and 3a respective to the order in which they are mentioned. Same for lines 28-29. (and pg. 7 line 26).

We agree with your suggestion. The sentences in lines 9-10, 28-29 and 26 (pg. 7) have been reworded to properly reference Figures 2a and 3a.

Page 6, line 12: What is the time of the first drop, shown in the dashed vertical line on Fig. 2a?

The time of the drop represented by the dashed vertical line on Fig. 2a is 17:15 h Local Standard Time (UTC = LST + 4 h). In view of this comment, we also included the times of the drops in the caption of Figure 2 for all events. These correspond to: 17:58 LST on 2 Nov 2015 (Fig. 2b), 10:00 LST on 4 Nov 2015, (Fig. 2c) and 03:00 LST 9 Nov 2015 (Fig. 2d).

Page 6, line 10: I wouldn't say that the temperature decrease was significantly damped in Fig. 3a, especially if you look out past the 2nd drop in temperature. In fact, it's interesting that the 14m temperatures seem to be lowest, whereas at 22m, they are highest (after 18:00 LST). You could maybe discuss that here and speculate why you think that might be.

The reviewer is right when we look out after the 2nd drop in temperature. However, this is addressed later in the same paragraph. When we said that the temperature was damped inside the canopy, we were referring to the 1st drop, during the period right after the outflow starts (period II in Fig. 3a), as the drop rate of temperature at 14 and 22 m was smaller than above the canopy. In fact, temperature at 14 m was smaller than above the forest before the outflow starts, and became larger during period II.

The fact that temperature at 22 m is larger than at the lower levels inside the canopy is very interesting, but it is not surprising. Previous studies have shown that the temperature within the forest is consistently smaller close to the ground, especially during daytime (Viswanadham et al., 1990; Kruijt et al., 2000). This occurs because the radiative heating inside the forest starts from the canopy top towards the ground. During the night, however, we think that the energy loss at 22 m is not enough to reduce the temperature to levels below those observed close to the ground.

P. 6, lines 29-30 – That increase in moisture is interesting. Maybe you could speculate here about why that might have occurred. Maybe it was moisture convergence occurring along the gust front edge? Saturated convective downdrafts from low levels entering a previously unsaturated PBL?

Thank you very much for drawing our attention to these ideas. This is indeed an interesting aspect of this particular event. We agree with the reviewer's suggestions for the possible physical processes operating and, hence, we have added a new sentence taking into account these plausible hypotheses (following the "not shown" statement):

"This transient moisture increase may have been caused by moisture convergence along the gust front or the intrusion of low-level saturated convective downdrafts into a previously unsaturated PBL."

Nov 2 and Nov 8 event recovery vs. Oct 31 and Nov 9 recovery: The fact that the smaller, more isolated convective cells have a detectable PBL recovery time period than the larger MCSs, regardless of the time of day, is consistent with what we found in Schiro and Neelin (2018).

Thank you again for point this out. The results regarding PBL recovery time as a function of convective mode/organization discussed in Schiro and Neelin (2018) are definitely in line with the results we found. Therefore, we have included a new paragraph at the end of subsection 3.4 and referenced Schiro and Neelin (2018) in order to shed light on the relationship between PBL recovery and convective system spatial scale.

“The longer recovery period observed in event 4, as well as that found in event 1, are in contrast with the short recovery observed in event 2, which points to the dependence on the spatial scale of the outflow-producing system. This observation is in line with the results of Schiro and Neelin (2018), who show that recovery time of the PBL tends to be shorter for isolated convective cells than for MCSs, regardless of the time of the day when the convective activity occurs.”

Pg. 7, line 13 – I wouldn’t classify this as a drop; it’s more like a “decrease,” since it’s rather gradual.

Thank you for pointing that out. We have changed “drop” by “decrease”.

Pg. 7 line 16: instead of “slow”, how about “gradual”?

The word has been changed.

Insightful discussion in lines 16-22 of pg. 7. I agree with your assessment, since radar reflectivity at 14:57Z does seem to suggest that the cell did not pass directly over the tower.

Thank you for your comment. In fact, it seems that the cell actually “glanced off” the station site at the time shown in the radar image. It may be speculated that the outflow in the wake of the cell reached the tower site later resulting in the observed gradual decrease in temperature and attendant increase in wind speed.

Pg. 7, Line 24: I’d be careful about using phrases like “the most organized.” It’s hard to distinguish organization in the first place (though it’s often loosely defined using spatial characteristics). I think classifying it as “organized” is speculative as it is, since you mention that the spatial scale is somewhere in between “isolated” and MCS. Instead, maybe you could classify it as the “system with the largest convective core”?

We agree with the reviewer’s point. Deep convection organization classification is indeed difficult, especially in situations lacking significant vertical wind shear, characteristic of barotropic atmospheric environments. As a result, we incorporated the reviewer’s suggestion and change the term “the most organized” to “system with the largest convective core”, as it is more appropriate.

Fig. 3d – Why do you think the 40 m spikes are so much larger (and the data generally noisier) than at 14 and 55 m? Also, where is the rest of the data? Does missing data suggest data quality issues for this sample?

In Fig. 3d, the data at 40 m had, indeed, quality issues between 3:30 and 5:00 and it has been removed from Figs. 3, 4 and 7. The 80-m data is not available for this event and the 22 m has been added to the Figure.

Heat flux measurements and discussion: I can't comment too much on the reliability of these data, but I don't doubt that there are noteworthy data concerns here (especially given the really large magnitudes observed in certain instances). At the very least, I think a discussion of the strengths and limitations of using these data during pre-storm and precipitating conditions is warranted in these sections.

This is a valid concern. Following the suggestion of reviewer #1, we analyze TKE spectra and heat flux cospectra for the 4 different portions of events 1 and 2: before the gust front (I); the period of upward heat flux that marks the gust front arrival (II); the period of large downward heat flux that corresponds to enhanced storm-generated turbulence (III) and the wake period after the event (IV). We also analyze the raw turbulent velocity and temperature data from events 1 and 2 and the precipitation evolution along each event. All plots have been included as supplementary material and a brief discussion referring to them has been included to the manuscript.

Please explicitly define TKE and how it is computed.

TKE is computed as:

$$\text{TKE} = \frac{1}{2}(\overline{u'^2} + \overline{v'^2} + \overline{w'^2}),$$

where:

u' , v' , and w' are turbulent fluctuations relative to the 1-min Reynolds averaged x , y , and z wind components, respectively, calculated as:

$$u' = u - \overline{u}$$

$$v' = v - \overline{v}$$

$$w' = w - \overline{w},$$

where u , v , and w represent total (non-averaged) wind components. Overbars indicate Reynolds-averaged quantities.

We have included in line 21 (pg. 10) the definition of TKE presented above for clarification.

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Planetary boundary layer evolution over the Amazon rain forest in episodes of deep moist convection at ATTO

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Abstract. In this study, high-frequency, multi-level measurements performed from late October to mid-November of 2015 at a 80-m tall tower of the Amazon Tall Tower Observatory (ATTO) project in central Amazonas State, Brazil, were used to diagnose the evolution of thermodynamic and kinematic variables as well as scalar fluxes during the passage of outflows generated by deep moist convection (DMC). Outflow associated with DMC activity over or near the tall tower was identified through the analysis of storm echoes in base reflectivity data from S-band weather radar at Manaus, combined with the detection of gust fronts and cold pools utilizing tower data. Four outflow events were selected, three of which took place during the early evening transition or nighttime hours and one during the early afternoon. Results show that the magnitude of the drop in virtual potential temperature and changes in wind velocity during outflow passages vary according to the type, organization, and life cycle of the convective storm. ~~Overall, the nocturnal events highlighted the passage of~~ The nocturnal events had well-defined gust fronts with moderate ~~decrease~~ decreases in virtual potential temperature and ~~increase~~ increases in wind speed. The early afternoon event lacked a sharp gust front and only a gradual drop in virtual potential temperature was observed, probably because of weak or undeveloped outflow. Sensible heat flux (H) ~~experienced an increase~~ increased at the time of gust front arrival, which was possibly due to sinking of colder air. This was followed by a prolonged period of negative H , associated with enhanced nocturnal negative H in the storms' wake. In turn, increased latent heat flux (LE) was observed following the gust front, owing to drier air coming from the outflow; however, malfunctioning of the moisture sensors during rain precluded a better assessment of this variable. Substantial enhancements of Turbulent Kinetic Energy (TKE) were observed during and after gust front passage, with values comparable to those measured in grass fire experiments, evidencing the highly turbulent character of convective outflows. The early afternoon event displayed slight decreases in the aforementioned quantities in the passage of the outflow. Finally, a conceptual model of the time evolution of H in nocturnal convective outflows observed at the tower site is presented.

1 Introduction

Deep moist convection (DMC) is a ubiquitous feature of the atmospheric environment of the Amazon rain forest. Because of intense diurnal solar heating in the moist planetary boundary layer (PBL), conditional instability builds up and convective storms form regularly in order to redistribute energy in the atmospheric column (Johnson and Mapes, 2001). The barotropic regime of the Amazonian atmosphere, devoid of strong vertical wind shear, most often gives rise to convective storms that display a life cycle typical of single cells (or "pulse-type storms") described in Byers and Braham (1949). During the stage at which convective storms produce precipitation, latent cooling from the evaporation of rain (or melting of ice species below the 0°C isotherm) cools air parcels that eventually become negatively buoyant. The acquired downward acceleration is reinforced by the drag caused by hydrometeor loading, and a downdraft is initiated (Wakimoto, 2001). Downdrafts, in turn, introduce cooler and drier air from above the PBL (and cloud base) into the surface. Since this airflow has different thermodynamic and kinematic properties than the near-surface air mass, it disturbs the mean evolution of the PBL.

Much of the initial knowledge on the effects of DMC on PBL evolution has been gained from research based on the GARP Atlantic Tropical Experiment (GATE) in 1974 (Kuettner and Parker, 1976). Fitzjarrald and Garstang (1981, hereafter referred to as FG81), using Boundary Layer Instrument System (BLIS) profiles collected on three ships, showed that DMC can affect drastically the thermodynamic evolution of the oceanic mixed layer (ML) near the Intertropical Convergence Zone (ITCZ). They showed for a squall line event that convective downdrafts modify the evolution of the ML primarily by inducing an abrupt drop in temperature, usually accompanied by a drop in moisture, resulting in shallower MLs. After this stage, the cooler and drier ML is maintained by the continued influence of downdrafts. Finally, they identified a recovery phase in which the ML returns to its undisturbed state. This stage initiates in the wake of the storms and it may take 7-10 h for the ML to re-establish undisturbed conditions. Studying the same squall line system analyzed by FG81, Johnson and Nicholls (1983) provided a composite analysis of all marine rawinsonde observations that were collected during the event. They found similar reduction in the mixing layer height following the passage of the squall line, with associated temperature and moisture drops of 4°C and 3-4 g kg⁻¹, respectively.

The occurrence of DMC has significant impacts upon the evolution of surface scalar fluxes, since convective outflows are responsible for cooling and drying the PBL (Fitzjarrald and Garstang, 1981; Johnson and Nicholls, 1983; Saxen and Rutledge, 1998). Johnson and Nicholls (1983) computed sensible and latent heat fluxes over an area encompassing the entire convective system and surrounding areas. The authors found large sensible and latent heat flux enhancements in the wake of the squall line, which increased, respectively, by factors of 5 and 2 over their undisturbed values of 10 W m⁻² and 90 W m⁻². Scalar flux enhancements in DMC situations was further investigated by Saxen and Rutledge (1998, henceforth, SR98), who computed surface fluxes from meteorological data measured by an instrumented buoy as part of the Coupled Ocean-Atmosphere Response Experiment (COARE) of the Tropical Ocean Global Atmosphere (TOGA) project that took place in the western Pacific warm pool from November 1992 through March 1993. They found ~~latent and sensible~~ sensible and latent heat flux enhancements reaching peak values of 60 W m⁻² and 250 W m⁻² for large, organized Mesoscale Convective Systems (MCSs). For less organized storm types, such as maturing linear MCSs and scattered storms, weaker heat fluxes were reported.

While most studies have focused on the DMC-PBL interaction over the tropical oceans, the evolution of turbulent fluxes in DMC situations in forest environments has also been addressed, either observationally (Fitzjarrald et al., 1990; Betts et al., 2002; Gerken et al., 2016) or numerically (Garrett, 1982). Specifically for the Amazon rain forest, Fitzjarrald et al. (1990) showed that, in daytime conditions, outflow air penetrates the weakly-stratified layer above canopy level and, depending on the strength of downdrafts, can occasionally penetrate the semi-permanent stable layer within the canopy, leading to deep mixing throughout the inside and above the forest. To further justify the relevance of better documenting the DMC effects on the Amazonian PBL it is important to recognize that it can also affect forest-atmosphere exchanges of chemical species. Even though turbulence is reduced below canopy, the perturbation induced by outflows may engender the venting of hydrocarbons and trace gases out of the canopy (Fitzjarrald et al., 1990; Fuentes et al., 2016). In addition, outflows can promote sudden increases of ozone concentration in the PBL through downward transport of mid-tropospheric ozone-rich air by storm downdrafts (Betts et al., 2002; Gerken et al., 2016).

In this context, high-frequency tower measurements performed at the Amazon Tall Tower Observatory (ATTO) (Andreae et al., 2015) experiment site provide an excellent way to assess the impacts of tropical DMC on the mean evolution of the PBL in the Amazon rain forest. In this study, we employ multiple-level high-frequency measurements performed at one of the ATTO towers situated in central Amazonas State, Brazil, in tandem with radiosonde and Doppler radar data, to carry out a multiplatform analysis of the effects of DMC on the evolution of turbulent quantities in the lower Amazon PBL. Differently from previous studies, which focused mainly on daytime changes in the ML caused by storms over tropical oceans, most of our results are from storm events that occurred during nighttime hours when the establishment of stable boundary layers was either underway or already present. Furthermore, previous studies of DMC-PBL have devoted little attention to the evolution of turbulence intensity in observed tropical DMC events, partially because of a lack of high-frequency micrometeorological measurements in storm situations. In light of this need, we take advantage of the high-frequency tower observations from ATTO to present the evolution of turbulent kinetic energy (TKE) in storm outflows.

This paper is organized as follows: Section 2 provides information about the datasets employed in this study along with the methods utilized for identifying storm events and computing turbulent quantities at the tower. Section 3 presents an overview of the main aspects of the convective storms that were analyzed, in terms of radar features and meteorological changes detected at the tower. Section 4 is aimed at investigating the mechanisms by which the fluxes of sensible and latent heat are enhanced throughout the instrumented tower depth and how they relate to PBL evolution in the wake of storms. In Section 5 we investigate the TKE evolution during storm outflows using high-frequency tower observations from ATTO. Finally, the conclusions are presented in Section 6.

2 Data and Methods

2.1 ATTO data and instrumentation site

The primary data source employed in this investigation consists of high-frequency (10 Hz) micrometeorological measurements performed at the 80 m tall walk-up tower, located 150 km northeast of Manaus, in the Uatumã Sustainable Development

Reserve. The tower is situated at a base elevation of 130 m above sea level (a.s.l.). A detailed description of the site, instrumentation capabilities, underlying vegetation and nearby topography, as well as other relevant features, can be found in Andreae et al. (2015). The study period extended from 29 October 2015 to 20 November ~~2015~~, 2015, when an Intensive Operating Period (IOP) was carried out. Data used here were recorded at five distinct height levels, namely: 14, 22, 41, 55 and 81 m (above ground level; a.g.l.). The average height of trees in this portion of the Amazon rain forest is approximately 37 m (Andreae et al., 2015). Therefore, the first two measurement levels reside within the forest canopy, while the three uppermost levels are situated above it. At 14, 41 and 55 m, sonic anemometers (model CSAT3, Campbell Scientific, Inc.) performed fast response wind (u , v , and w) measurements in addition to sonic virtual temperature (T_v). Using different instrumentation, similar temperature and wind measurements were obtained at 22 m (Irgason, Campbell Scientific Inc.) and at 80 m (Windmaster, Gill Instruments Limited) anemometers.

Computation of turbulent quantities from tower data such as mean flow, heat fluxes and turbulent kinetic energy were accomplished by employing ~~Reynolds averaging at 1-min time~~ time averages over 1-min intervals. We have chosen such a short time window primarily because of the nonstationary nature of the events under study, but also to avoid contamination from low-frequency, non-turbulent processes, and, therefore, guarantee that the discussion refers to turbulent quantities alone. Most of the cases analyzed occurred when stable stratification was present at the site. This choice was based on the results of Campos et al. (2009), who found that the time scale for turbulent fluxes at nighttime was consistently smaller than 200 s above a similar Amazonian canopy.

2.2 Doppler radar data

Radar data used in this study came from the operational S-Band Doppler radar located in Manaus (3° 09' S; 59° 59' W), operated by the Department of Airspace Control (SIPAM/DECEA; acronym in Portuguese) of the Brazilian Air Force. The Manaus radar is a single polarization system with a relatively broad beamwidth of approximately 1.8°. In short pulse mode, the radar operates with a range and pulse repetition frequency of 250 km and 600 Hz, respectively. In volume scan mode, the radar performs a full set of plan position indicators (PPIs) at 15 elevations at 10 min intervals. Radar data were plotted using the Python ARM Radar Toolkit (Py-ART) software (Helmus and Collis, 2016).

2.3 Selection of DMC events

In this study, the selection of DMC events was accomplished by following a two-step procedure relying on Doppler radar imagery and thermodynamic and kinematic changes associated with the storms as detected at the ATTO walk-up tower. The first step consisted of subjectively inspecting radar reflectivity fields using low-elevation Plan Position Indicator (PPI) to identify the passage of convective storms over or near the instrumentation site. Only storms that produced detectable impacts on the evolution of meteorological variables at the tower site were selected. To that end, time series of virtual potential temperature (θ_v) and horizontal wind speed (V_h) measured at levels above the forest canopy were analyzed to identify thermodynamic and kinematic changes caused by ~~gusts~~ gust fronts (i.e., low-level outflow) from the convective storms.

In Addis et al. (1984), gust fronts were detected by imposing a minimum virtual temperature decrease of 0.5°C on 3-min-averaged data from BLIS data. Studies addressing disturbances in the tropical PBL caused by DMC activity, such as Addis et al. (1984) and Schiro and Neelin (2018), have adopted quantitative criteria to generate the sample of events to be analyzed, by imposing, for example, a threshold for the decrease in the equivalent or virtual potential temperature accompanying the passage of the convective cells. However, in this study we have chosen not to apply any threshold to θ_v or V_h variations to detect a storm outflow, but simply to subjectively select those events that displayed noticeable perturbations in the temperature and wind fields at the time of storm occurrence. This choice was motivated by: (a) our interest in evaluating DMC events that influenced the evolution of PBL properties through their outflows, regardless of the magnitude of the temperature and wind variations produced by them; (b) recognizing that perturbations associated with convective storms were easy to identify as they represented drastic interference in the mean evolution of PBL quantities; (c) the short period of study, which did not demand defining a set of objective criteria as would be the case for large datasets as in Addis et al. (1984). We should add that when a convective event consisted of more than one cell affecting the tower, the entire period of DMC activity was investigated in order to obtain the most complete description of PBL evolution during the full life cycle of the storm system.

Following the aforementioned procedure, four DMC events were selected for investigation. Dates, duration, radar characteristics, and other relevant features of the storm events are presented in Table 1. The wind speed increase in the outflow (i.e., the gust front intensity) was measured as the bulk difference between the 1-min mean wind in the pre-storm environment and the maximum wind after storm arrival. Similarly, the maximum temperature drop (i.e., the cold pool intensity) was measured as the bulk difference between the 1-min mean θ_v in the pre-storm environment and the minimum θ_v obtained after outflow establishment at the tower region. Note that the times of maximum wind increases and temperature drops may not coincide as the largest wind increases usually occur just as the leading edge (i.e., the gust front) impacts the tower and the largest temperature deficits often occur after the storm's cold pool is well established. In all events there was precipitation, but it never exceeded 6 mm along any entire event. This is very important in the context of the present observations, because sonic anemometers may fail during intense precipitation, which was not the case in any of the events selected. Nevertheless, raw data from the first two events show that although turbulence fluctuations were largely enhanced during the gust front passages, spikes and noise are absent in the wind components and temperature time series (Figs. S1-2).

3 Overview of the DMC events

Previous studies of tropical DMC-PBL interaction have demonstrated the importance of characterizing morphological aspects of the convective activity that disturbs the PBL with the aid of radar imagery. For example (e.g., SR98 classified storm organization according to the horizontal extent of precipitation echoes in the reflectivity field, the presence (or absence) of stratiform precipitation, and whether convection was linearly organized or not; Schiro and Neelin (2018); Wang et al. (2019)). In this study, all of the four storm events investigated consisted of either a single cell or a small cluster of multicell storms (Moller et al., 1994). These storms never developed upscale to reach the minimum horizontal extent of 100 km necessary to fit the classification of a Mesoscale Convective System (MCS) (Houze, 2014). In comparison to SR98, the storms on 31 October

(event 1), 2 November (event 2), and 4 November (event 3) mostly resembled the unorganized arrangement that they referred to as sub-MCS-scale nonlinear systems. The exception was event 4, on 9 November, which displayed a more organized structure, but remained slightly below the minimum spatial threshold for MCS classification.

Storms struck the walk-up tower site at different times of the day. Two events (1 and 2) took place during the late afternoon or early evening transition (EET) while event 3 occurred during late morning hours. Event 4 occurred at dawn and was the longest-lived event. These differences in the time of storm occurrence are relevant as the convective outflows interact with the PBL during distinct stages of its evolution. In the following subsections, a description of each event is presented, focusing on their radar characteristics and the intensity of the thermodynamic and kinematic effects detected at the walk-up tower site.

3.1 31 October 2015 - Line of multicells (Event 1)

At approximately 17:15 LST on 31 October 2015, the Manaus Doppler radar indicated a northeast-southwest-oriented band of convective cells advancing over the eastern-northeastern Amazon as part of a larger area of intense but disorganized convective activity (Fig. 1a). At the southern tip of the convective band, westward-moving decaying cells merged with semi-stationary cells to the south of the ATTO site and started moving northwestward. As the cells passed directly above the site, they intensified as noted by a rapid increase in reflectivity.

Pre-storm measurements of winds and virtual potential temperature at the walk-up tower revealed a slight tendency of decreasing turbulence and temperature typical of pre-sunset conditions. However, as the outflow from the storm cluster arrived at ATTO, a sudden drop in θ_v was observed (Fig. 2a) in tandem with an increase in wind speed ~~at heights above the canopy~~ (Fig. 2a and Fig. 3a) at heights above the canopy. The temperature and wind disturbances were significantly damped inside the canopy, at 14 m and 22 m heights. This is expected since the dense rain forest and its interior stable layer act to inhibit strong air flow (Fitzjarrald et al., 1990). The flow remained very turbulent and θ_v continued to decrease, though at a slower rate, until 17:35 LST when a new 4 K θ_v drop was observed at the same time a V_h increase was observed. This cold-air reinforcement was probably caused by a secondary outflow surge trailing the leading gust front; in fact, the 17:33 LST reflectivity image (not shown) displayed a brief period of convective re-intensification, preceding the decay of the system and onset of stratiform precipitation. The PBL cooling and consequent stabilization induced by this storm system during the early evening period caused an effective “early nightfall” as described by Fitzjarrald et al. (1990). In this situation, the establishment of a shallow, cool near-surface stable layer ~~occurs~~ may occur earlier than it would be the case for a typical undisturbed diurnal cycle.

After the strongest cooling associated with the convective active stage of the system, minimum θ_v values (299-300 K) were attained by 18:20 LST and low-amplitude θ_v perturbations persisted in the wake of the storm as result of weaker downdrafts. Once the perturbation caused by the storms decayed, the θ_v time series showed that a steady state was attained, though it took place at much lower temperatures than in the undisturbed pre-storm environment. Full PBL recovery did not occur for this EET event, since solar heating had long ceased and surface stabilization (and thus, demise of the ML) was underway when storms impacted the tower site.

3.2 2 November 2015 - Isolated cell (Event 2)

Small clusters of short-lived thunderstorms were observed by the Manaus radar near the ATTO location during the late afternoon and early evening period on 2 November 2015 (Fig. 1b). Around 18:00 LST, the gust front from an isolated short-lived cell reached the tower resulting in maximum 6-8 K θ_v drops (Fig. 2b) and an attendant increase in V_h as high as 6 m s⁻¹ ~~for all~~
5 ~~above-canopy levels~~ (Fig. 2b and Fig. 3b) for all above-canopy levels. Interestingly, a short increase in θ_e equivalent potential temperature occurred associated with a 1 g kg⁻¹ increase in water vapor mixing ratio (r_v) briefly after the gust front arrival (not shown). ~~As This transient moisture increase may have been caused by moisture convergence along the gust front impacted the tower after sunset, an early nightfall effect was also observed, similar to event 1. or the intrusion of low-level saturated convective downdrafts into a previously unsaturated PBL.~~

10 The convectively active stage of the storm over the tower lasted approximately 20 min, being considerably less than what was observed with event 1, which was associated with a much larger storm and more easily detected in the θ_v times series. During the disturbed period, a wave-like behavior could be seen in both temperature and wind time series throughout the whole profile suggesting the presence of large eddies capable of penetrating deep inside the forest. Different from event 1, a recovery phase did exist for this event, despite solar heating having already ceased.

15 There are some clear differences between the recovery phase inside and above the canopy, as indicated by in-canopy measurements. The levels above the canopy show full recovery after 50 min, as stated above, while below the canopy, cooler temperatures are maintained long after the above-forest air mass had attained a new steady state. In this scenario, it seems that the forest slowed down the recovery in its interior, thus fostering the establishment of a ~~very~~ stable stratification next to the ground. Hence, some process(es) related to upward fluxes of heat and moisture must have occurred in order to warm and
20 moisten the layers near the top and above the canopy. The mechanisms responsible for these processes will be described in detail in Section 3.

3.3 4 November 2015 - Scattered cells (Event 3)

Around 10:20 LST, an unorganized cluster of convective cells rapidly formed around the ATTO site at the back side of a westward-moving MCS (Fig. 1c). θ_v gradually began to decrease from 305.5 K at 10:48 LST; surprisingly, this ~~drop~~ decrease
25 in θ_v was followed by only a modest increase in V_h . Wind speed slightly increased from 6 m s⁻¹ up to 8 m s⁻¹ and then weakened when a minimum θ_v of 302 K was reached at 11:27 LST, amounting to a total 3.5 K decrease (Fig. 2c and Fig. 3c).

This event clearly displayed a behavior that was quite different from the other cases studied, especially in light of the ~~slow~~ gradual nature of the potential temperature (wind speed) decreases (increases). The most probable explanation for this anomalous behavior is that the arrival of the outflow from the scattered storms at the ATTO site was not preceded by a sharp
30 gust front, as was the case for the other events. Rather, it is plausible that merging of weak outflows from the incipient or decaying storm cells generated a slow-moving cold pool that gently spread over the site. If this was the case, it is safe to state that, although the PBL was disturbed by the DMC outflow, the downdraft cores of the parent cells or the strongest portion of their gust fronts did not pass directly over the instruments.

3.4 9 November 2015 - Strong cluster with trailing stratiform precipitation (Event 4)

The ~~most organized system~~ system with the largest convective core investigated was associated with a large southwestward moving cluster of strong storms with a trailing stratiform precipitation region (Fig. 1d). Before the arrival of the storm system, a sequence of smaller cells advanced over the tower site, producing a weak wave-like perturbation in both θ_v ~~and~~ V_h (Fig. 2d) ~~and~~ V_h (Fig. 3d). The weakness of these disturbances is probably associated with the existence of a well-established nocturnal stable boundary layer (SBL). It is well known that SBLs tend to damp convective downdrafts (Market et al., 2017) and therefore, the weak downdrafts from the small cells were unable to drastically disturb the SBL. At 04:00 LST, however, the large cluster of cells passed by the tower causing a θ_v 3 K drop and winds increasing from 1 m s^{-1} to 10 m s^{-1} , making this event the strongest one in terms of gust front strength. The outflow from the system was strong enough to penetrate the in-canopy stable layer, even in the presence of the aforementioned deeper SBL. It is suggested that this event contained the most intense downdrafts among the four cases.

Considering only the main convective system, the convectively active period in this episode was also longer compared to the other events. An "attempt" of a recovery phase was observed as a slight increase in θ_v around 04:00; nonetheless, it was short-lived (lasting approximately 15 min) owing to the existence of trailing stratiform precipitation (with embedded weaker echoes) following the storm system. The persistence of the DMC over the ATTO site reduced the early morning incidence of solar radiation and slowed down the subsequent development of a ML.

The longer recovery period observed in event 4, as well as that found in event 1, are in contrast with the short recovery observed in event 2, which points to the dependence on the spatial scale of the outflow-producing system. This observation is in line with the results of Schiro and Neelin (2018), who show that recovery time of the PBL tends to be shorter for isolated convective cells than for MCSs, regardless of the time of the day when the convective activity occurs.

4 Evolution of heat fluxes and intensity of outflow turbulence

4.1 Sensible heat flux (H)

Surface heat fluxes play a major role in the initiation process of convective storms in tropical regions, as intense diurnal heating drives thermals or plumes that grow upscale into large cumulonimbus clouds. On the other hand, when convective downdrafts introduce cool air from aloft into the PBL, the evolution of surface heat fluxes may also be affected significantly. Figure 4 displays the evolution of the sensible heat flux (H) measured at the tower for the four DMC events. For the sake of consistency, we shall first discuss overall similarities in the behavior of H for events 1, 2, and 4, separately from event 3, before scrutinizing the particular characteristics of each event. We will address event 3 separately, because, as discussed in Section 3, it displayed a quite distinct behavior from the other three events.

Prior to the occurrences of events 1, 2, and 4 (Fig. 4a, b, and d), H was downward (negative), as the boundary layer at that time had already experienced the evening transition. A common feature in these three events was an abrupt switch to upward H as soon as the gust front arrived at the tower, especially for levels above the canopy. Inside the canopy, positive H occurred, but

it was weaker than above the canopy. Peak H values were most pronounced during the gust front phase and arrival of the storm, with 1-min mean values exceeding 300 W m^{-2} , 175 W m^{-2} , and 150 W m^{-2} for events 1, 2, and 4, respectively. These H enhancements agree with findings from previous studies that showed an increase in H following gust front passages for marine DMC (Johnson and Nicholls, 1983; Fitzjarrald et al., 1990; Saxen and Rutledge, 1998); however, as discussed below, it seems
5 that the mechanisms responsible for the upward H found here differ from those governing daytime DMC-ocean interactions.

During this early stage of the DMC activity over the tower, the H time series is well correlated with V_h (as well as with w ; not shown), with peaks in wind speed matching peaks in H . This is particularly evident in the double-peak structures of both V_h and positive H for event 2. Combined with the fact that the Manaus radar showed the strongest echo situated over the tower site at this time (not shown), this behavior indicates that H enhancements are related to processes associated with the arrival
10 of the gust front at the tower location. In fact, an analysis of the time series of w' and θ_v shows that both variables are mostly negatively skewed at the gust front arrival and that this period is marked by a strong correlation between these two quantities. Thus, it seems that the arrival of the gust front and its associated convective downdraft during nighttime conditions resulted in enhanced H through intense turbulent mixing of cool air from above the PBL. The analysis of the temperature time series along the events (Fig. 5a, b, and d) shows that the short period with upward H coincides with a brief inversion of the vertical
15 temperature gradient, an interval when the temperature within the canopy (26 m) is larger than that above it, characterizing an unstable layer at just above the surface. In fact, a stable layer was already established when the event took place but, as the cold air moved down, there was a brief period when the thermal gradient switched sign, characterizing an unstable layer, at exactly the period when the upward H occurred.

Soon after the most intense DMC perturbations stage ends, a sudden transition to a prolonged period of negative H begins.
20 This period is associated with the establishment of the trailing precipitation zone and windy wake of the convective system, which usually persist for tens of minutes to hours after the core of the storm has passed. Within the period of negative H , minimum 1-min mean values up to -350 W m^{-2} , -800 W m^{-2} , and -200 W m^{-2} were observed in events 1, 2, and 4, respectively. In event 4, in particular, short periods of weak negative H occurred in association with small, short-lived cells (Section 3) within the larger storm system. Such a period of markedly negative H has not been addressed in prior studies owing to the fact
25 that they were mainly interested in daytime convective storms interacting with well-established MLs. Fitzjarrald et al. (1990) mentioned the existence of negative kinematic sensible heat flux values during their daytime DMC cases in the Amazon but did not provide an in-depth discussion of the reasons for these negative fluxes.

The strong persistent negative H period coincides with the continued DMC perturbation as evidenced by lowered temperature, strong winds and turbulence, similarly to the period of positive H . During EET (events 1 and 2) or nighttime conditions
30 (event 4), where a SBL is forming or is already established, the effect of convective outflows seems to enhance pre-existing negative H through cooling and increased turbulence by strong winds, with the wind component of the perturbations being the main modulator of the duration of negative H enhancements.

In summary, positive, intense H enhancements are primarily a feature of the convectively active phase of the storm system, i. e., the arrival of the gust front, intense downdrafts and the brief formation of an unstable layer as the air travels downward. In
35 turn, after the strongest part of the convective system moved away from the tower and weaker downdrafts and windy surface

conditions remain, H rapidly becomes negative again (as it was in the undisturbed conditions), but displays higher values for several minutes owing to continued higher surface wind and lower temperature.

[A data quality analysis for the sensible heat fluxes in events 1 and 2 is presented in the supplemental material, using multiresolution cospectra.](#)

5 We now turn our attention to event 3 (Fig. 4c). As shown in Section 3.3, event 3 was the only one to occur during daytime hours, under strong insolation and mixing. Under these conditions, increasing H values were in place by mid-morning, when θ_v started to decrease at 10:20 LST. As the temperature gradually dropped, a negative tendency in H was observed, especially above the canopy. At the tower levels above the canopy, even negative values (approximately -50 W m^{-2}) were observed, while within the canopy H did not change considerably (although small perturbations were noticed). After 13:00 LST, there was a
10 tendency of slow warming and weakening winds.

4.2 Latent heat flux (LE)

Latent heat fluxes are mainly controlled by the wind magnitude and vertical humidity gradients. SR98 showed that large enhancements of LE occur in the wake of oceanic gust fronts, which can sometimes be over 300% stronger than in pre-storm conditions. Time series of LE for our case studies are shown in Fig. 6; because no water vapor measurements were available
15 at 80 m for event 4, the only full time series depicted for this event is for the 22 m height. Time series of LE exhibited an appreciably noisier behavior than their H counterparts. For this reason, the analysis of LE will be more qualitative, with less focus on fine details in the magnitude of the fluxes. Figure 6a displays abrupt LE enhancements taking place as the gust fronts arrived at the tower. Most of the DMC-disturbed period was marked by positive LE enhancements, contributing to a net positive LE at 80 m.

20 The occurrence of such enhancements of LE as a response to convective storm downdrafts has been demonstrated in previous studies (Johnson and Nicholls, 1983). Mixing ratio deficits ranging from $4\text{-}6 \text{ g kg}^{-1}$ were observed at 80 m, in line with results from other studies. It is worth noting that significant surface drying was observed in our events, regardless of the size of the storm. As an example, consider storm event 2 (small single cell storm); even though the horizontal dimension of this storm was small compared to the other cases (especially events 1 and 4), its downdrafts were able to bring down air from sufficiently
25 high altitudes to produce significant surface drying. Thus, contrary to SR98, who showed that large storm systems (MCSs) are more prolific in drying the PBL through mesoscale downdrafts, we show that tropical isolated convection can also be able to produce intense PBL drying, as long as it can develop deep, virtually undiluted downdrafts.

5 Turbulence intensity of convective outflow

Cool outflows from convective storms tend to be very turbulent in nature. As discussed throughout the paper, many studies
30 have shown significant enhancements in turbulent quantities, such as heat, moisture and momentum fluxes, during and after the occurrence of convective outflows (Johnson and Nicholls, 1983; Fitzjarrald et al., 1990; Saxen and Rutledge, 1998). For example, in a high-resolution numerical study addressing some of the shortcomings of utilizing Large Eddy Simulations for

severe storms research, Markowski and Bryan (2016) provided evidence of the abundance of storm-generated turbulent eddies within the outflow (see their Fig. 1).

Probably, the simplest way to analyze the intensity of turbulence in a given flow is to compute the Turbulent Kinetic Energy (TKE) associated with it (Stull, 1988). However, previous studies investigating PBL processes have typically employed different quantities to assess turbulence intensity (e.g., the standard deviation of vertical velocity, Acevedo et al. (2009); Thomas et al. (2013)). In this study, we opted to compute TKE over other quantities because of the simplicity in directly interpreting the underlying physics of energy changes associated with momentum transfers in convective outflows. TKE is computed as the half of the sum of the variances of wind components ($TKE = 1/2[\overline{u'^2} + \overline{v'^2} + \overline{w'^2}]$).

Figure 7 shows time series of TKE for the four storm events investigated here. As also found with heat fluxes, events 1, 2, and 4 displayed sudden increases in TKE as soon as the gust fronts arrived at the tower. TKE rises to very high values, exceeding $8 \text{ m}^2 \text{ s}^{-2}$ at the time of the most intense downdraft in event 4, for example. These values are much larger than those observed in typical undisturbed PBL situations, being comparable in magnitude to TKE reported during grassfires (Clements et al., 2008).

TKE peaks follow closely those seen in the V_h time series, as is expected since stronger winds imply augmented mechanical (i.e., shear) production of turbulence. Although the computation of the forcing terms in the prognostic TKE equation is outside the scope of this paper, it is reasonable to infer that one very important forcing mechanism of turbulence within the storm outflow is the mechanical production. Turbulence production by buoyancy, in contrast, is an unlikely mechanism here since storm-induced temperature drops and the nighttime character of the events would point to buoyancy sinks and turbulence destruction. However, we cannot dismiss the role played by turbulence transport and pressure correlation terms to TKE evolution in these outflows. To assess these processes, it would be necessary to conduct an in-depth qualitative analysis of each term in the prognostic TKE equation, a topic that will be addressed in a future study.

Multiresolution spectra of TKE for events 1 and 2 are presented in the supplemental material, showing that the turbulence data are consistent, in spite of the precipitation in the period.

Considering event 3 (Fig. 7c), the evolution of TKE is remarkably different from the other events. Because this event took place during daytime hours, under clear sky and windy conditions, TKE values were rising at a steady rate until 09:30 LST (not shown), when θ_v started to decrease. From this moment, TKE correspondingly decreased during most of the period under DMC activity (except between 11:00 and 11:30 LST) in response to generally lighter winds (less mechanical production) and cooler surface temperatures (damping buoyancy production).

6 Conclusions

The time evolution of atmospheric variables and scalar fluxes during the occurrence of surface outflows produced by deep convective storms in a tropical rainforest was analyzed utilizing high-frequency, multi-level measurements performed at the 80-m walk-up tower of the Amazon Tall Tower Observatory (ATTO) located in northern Brazil. Four convective outflows that passed over ATTO from late October to mid-November of 2015 were studied, with three of them occurring during the early

evening transition or nighttime hours and one during the early afternoon. The evening/nocturnal events were characterized by well-defined gust fronts associated with moderate decreases in virtual potential temperature and increases in wind speed. In contrast, the early afternoon event was a weak outflow, lacking a sharp gust front and producing only a slight drop in virtual potential temperature. With the gust front arrival, positive sensible heat flux (H) was enhanced, possibly due to sinking of colder air. This behavior was mainly observed at above-canopy levels in the three evening/nocturnal events; within the canopy the perturbations in H caused by the outflow were weaker. Following the period with prevailing positive values, H experienced a significant change becoming negative in the wake of the storms, characterizing an enhanced nocturnal regime. The highly turbulent nature of the convective outflows was highlighted by TKE enhancements accompanying the passage of the gust fronts over ATTO, with TKE values during this period being comparable to those observed in grass fire experiments. As for the latent heat flux (LE), it increased right after the gust front in response to drier air coming from the outflow. The high-frequency, multi-level data and quantitative analyses of enhanced heat fluxes and associated intense turbulence caused by storm outflows in a rainforest presented in this study help not just to better document the complex interactions between storm-modified air masses and forest canopy, but also highlight features that are challenging, or perhaps impossible, to measure based solely on conventional observational platforms. More specifically, the observations of highly positive H flux and TKE magnitude could be used to qualitatively and quantitatively verify numerically simulated gust front interactions with the lower PBL in forested regions. To summarize our results, Figure 8 depicts a conceptual model for the time evolution of H above and within the canopy for the evening/nocturnal gust front events, with t_1 being representative of pre-gust front conditions and t_2 representative of the wake of the convective storms. Despite the consistency found among the events analyzed, it is important to stress that the study is based on a reduced number of events (4) and that a more detailed analysis with a larger number of cases is necessary to validate the conclusions. They will be possible along ATTO project, when continuous turbulence observations will be available from the surface to 320 m.

Author contributions. MIO, AOM and MOA developed the scientific idea of the study and project. OCA, MS, PESO and AT took part in data collecting and analysis and provided the scientific support on micrometeorological issues. ELN provided scientific support on severe weather concepts. MIO and DVB analyzed the data. All authors contributed to the discussion and interpretation of the results.

25 *Competing interests.* The authors declare that they have no conflict of interest.

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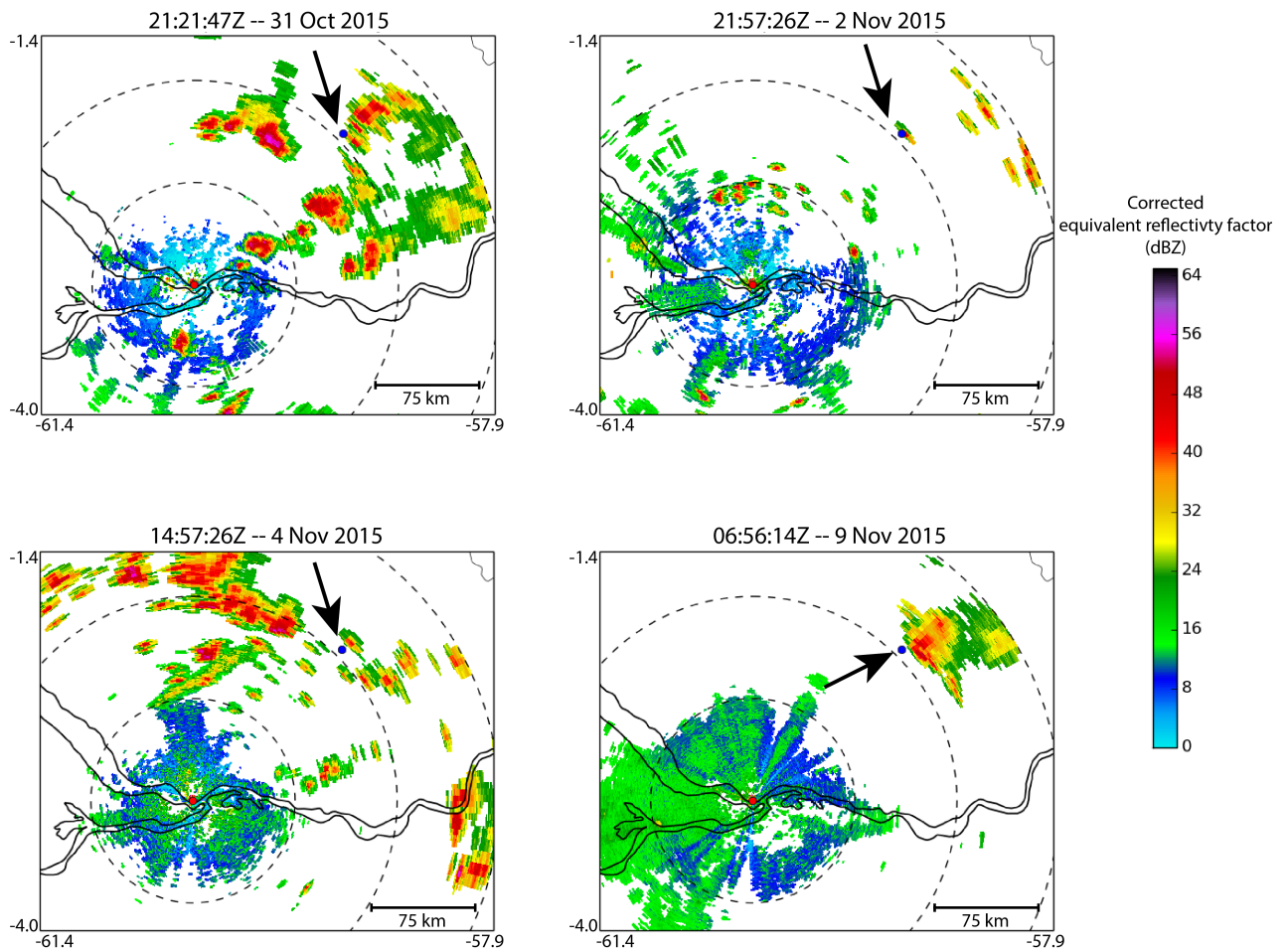


Figure 1. 0.9° PPI reflectivity imagery from the Manaus Doppler radar for the four DMC events studied. (upper-left) 1721 on LST on 31 Oct 2015, (upper-right) 1757 LST on 2 Nov 2015, (lower-left) 1057 LST on 4 Nov 2015, and (lower-right) 0256 LST on 9 Nov 2015. The red (blue) dot shows the location of Manaus (ATTO tower). The concentric dashed lines around the radar site are the 75, 150, and 225 km radar range circles. The black arrow indicates the convective elements that were sampled at the walk-up tower.

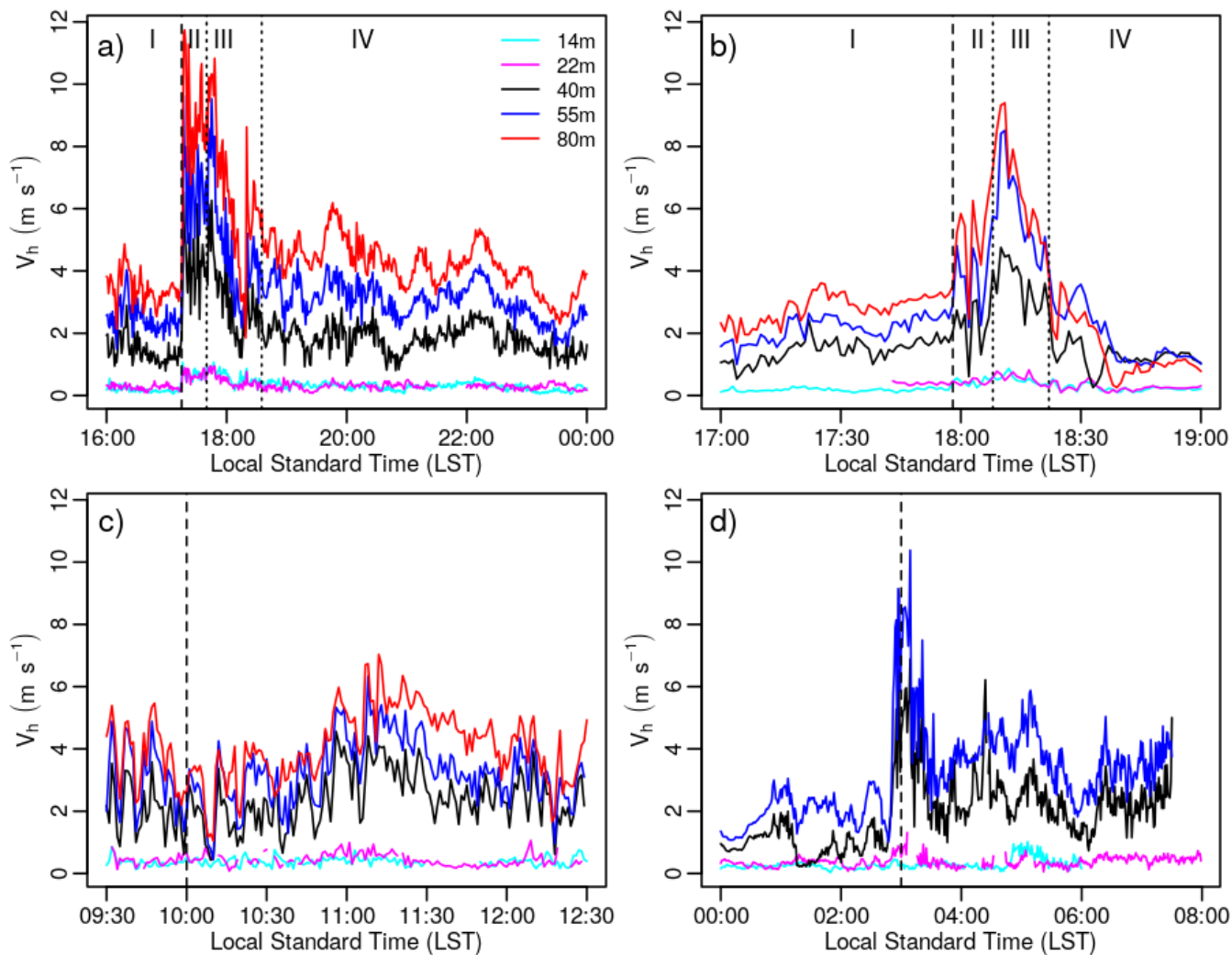


Figure 2. Temporal evolution of the mean horizontal wind speed at the different vertical levels, according to legend, for event 1 (a), event 2 (b), event 3 (c) and event 4 (d). The dashed vertical lines indicate the passage of the storm over or near the site [at \(a\) 1715 LST, \(b\) 1758 LST, \(c\) 1000 LST and \(d\) 0300 LST](#). [The analysis of the cases I to IV is carried out in the supplementary material.](#)

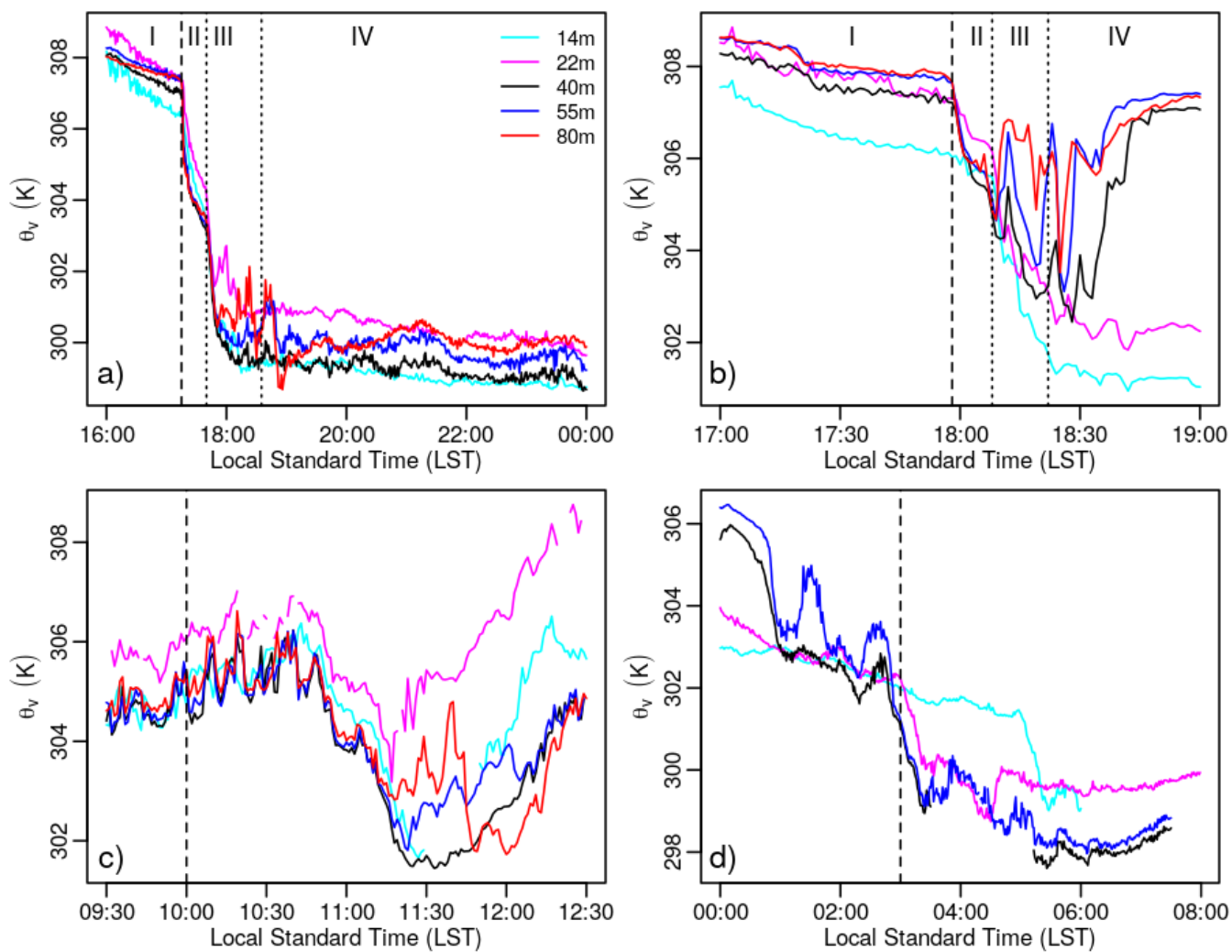


Figure 3. The same as in Fig. 2, but for virtual potential temperature.

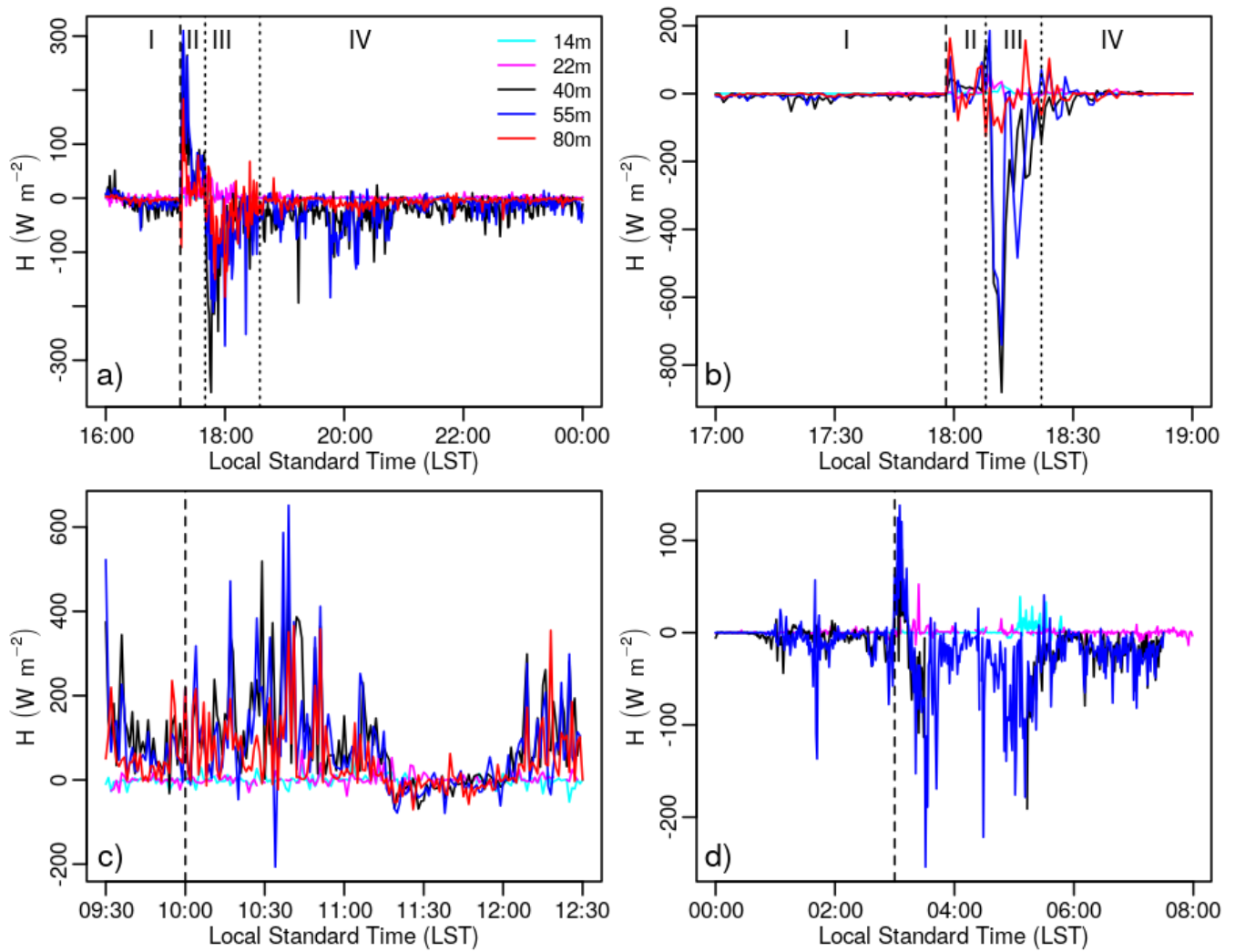


Figure 4. The same as in Fig. 2, but for sensible heat flux.

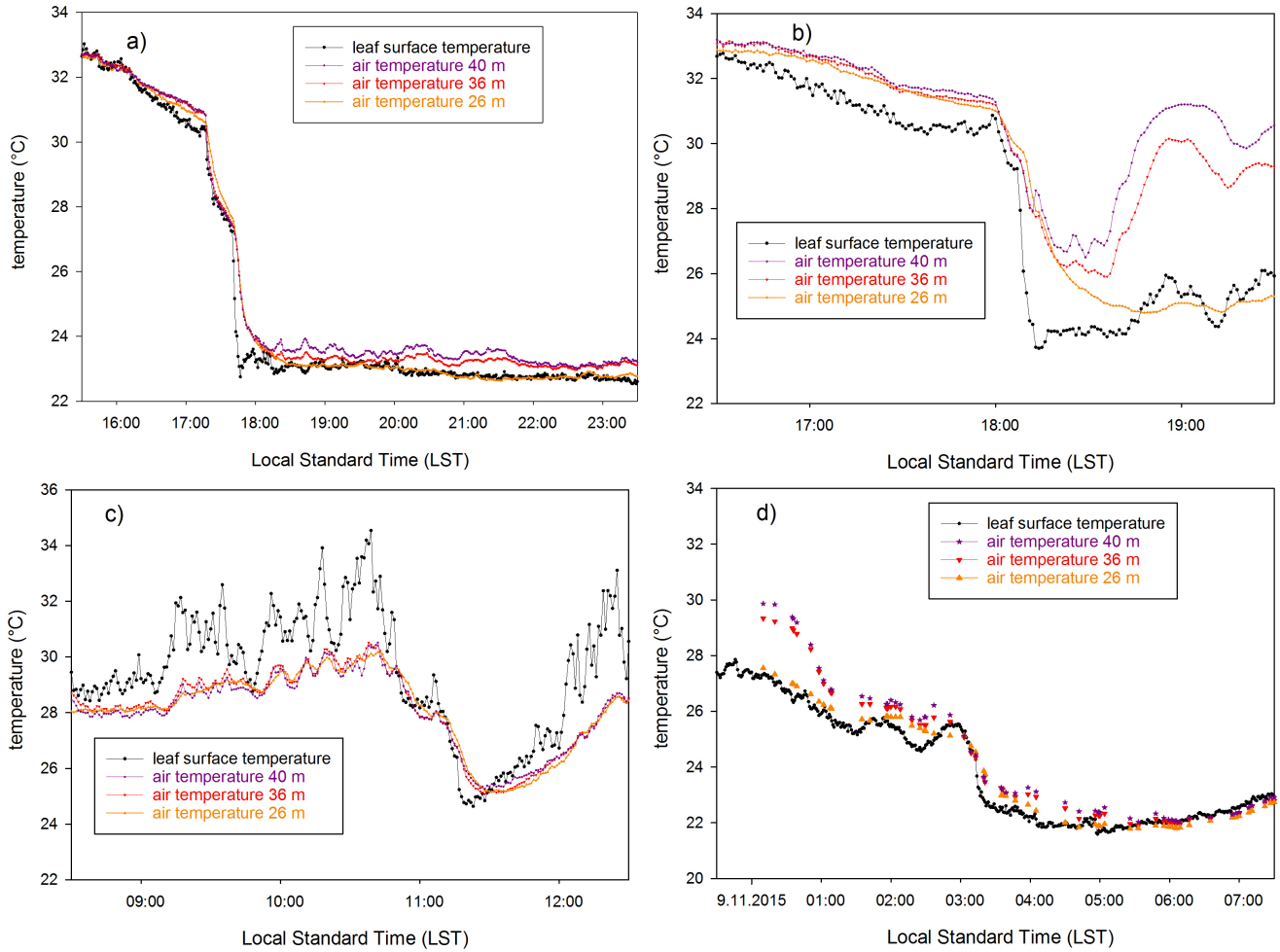


Figure 5. The same as in Fig. 2, but for air and leaf surface temperature.

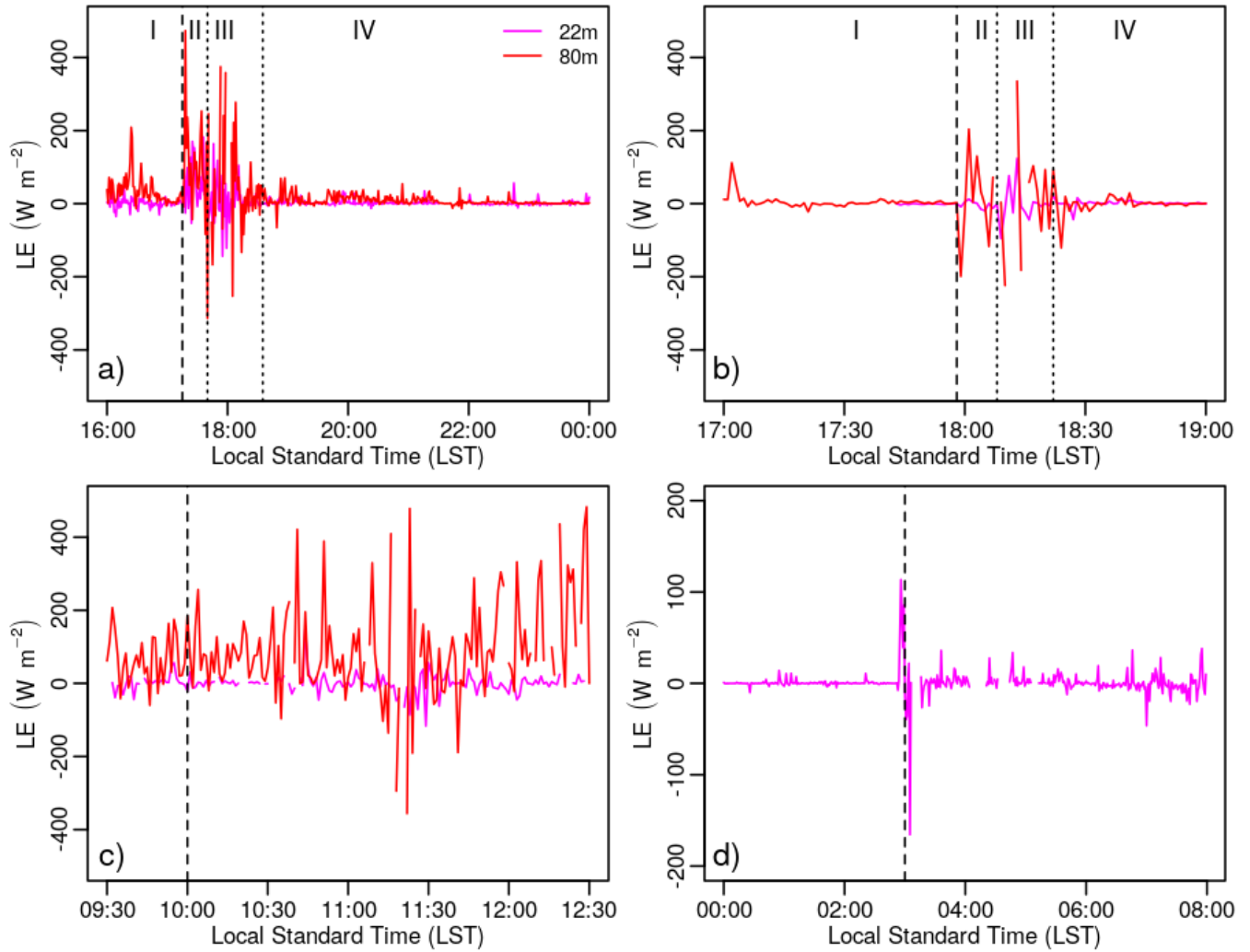


Figure 6. The same as in Fig. 2, but for latent heat flux.

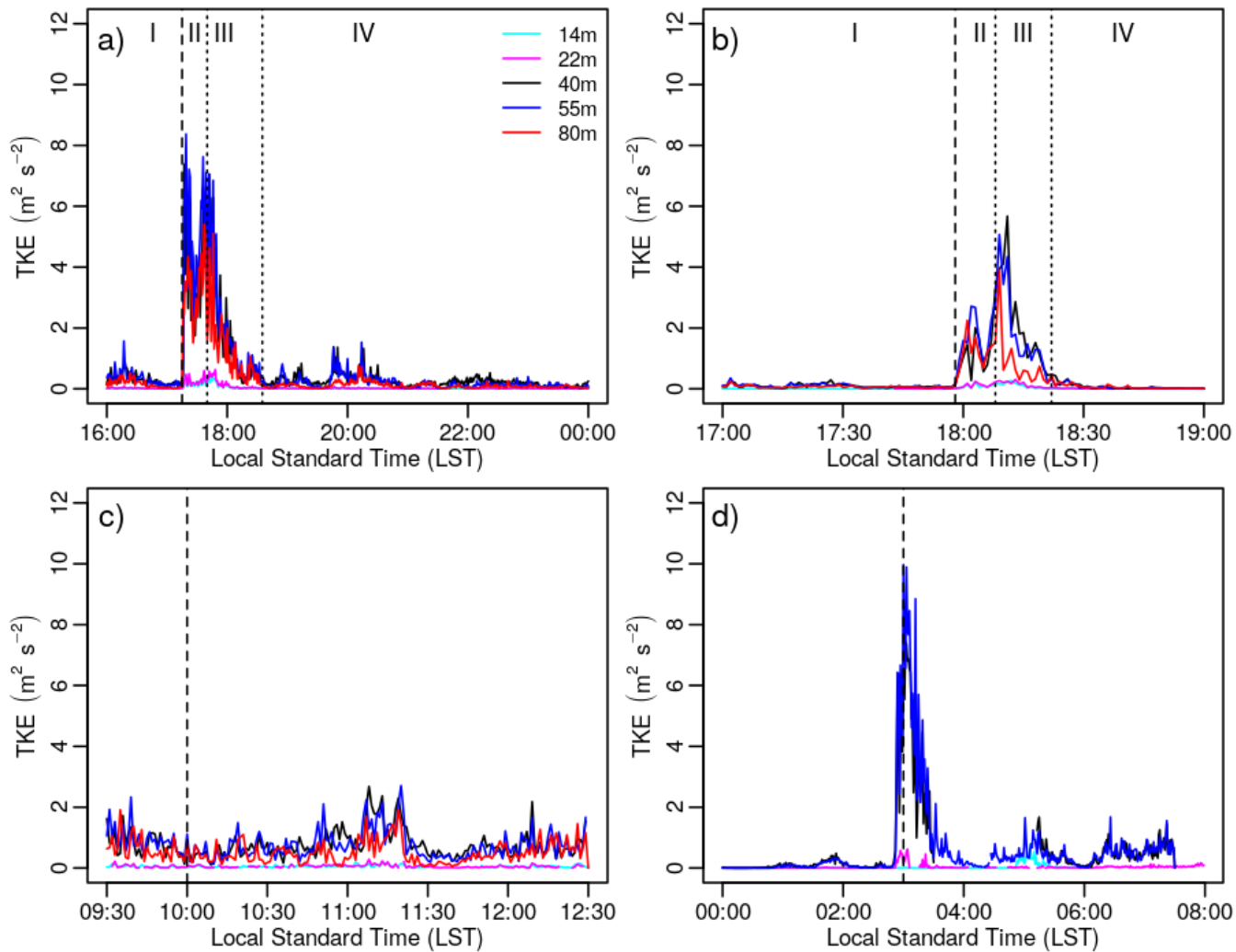


Figure 7. The same as in Fig. 2, but for turbulent kinetic energy.

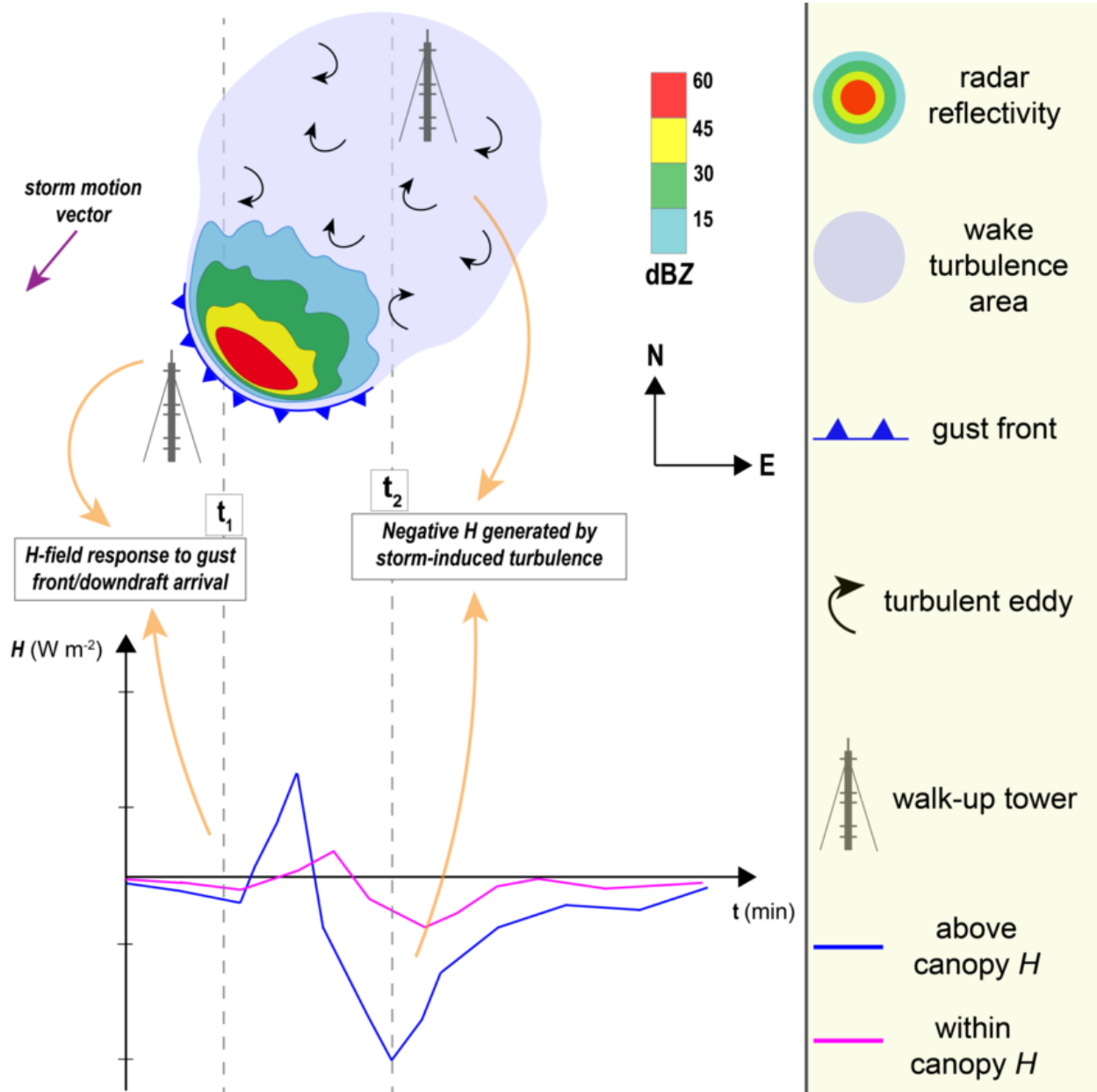


Figure 8. Schematics illustrating the effects of a gust front passage over a tall tower in the forest during nighttime hours. Top: a gust front (blue line with triangles) from a convective storm (color-shaded ellipsoids; cold [warm] colors represent low [high] radar reflectivity values) approaches the tall tower (gray square tower symbol) at t_1 . At t_2 , the gust front has passed by the tower site which now is embedded in the cold pool's turbulent wake (gray large ellipsoid-light purple shaded area, with circular arrows representing turbulent eddies are represented by curly, black arrows). Bottom: corresponding sensible heat flux response to gust front passage at tower levels above (blue) and below within (pink) the canopy. The dark purple arrow indicates the storm motion vector (due southwest).

Table 1. Main characteristics of the four storm events investigated in this study. Asterisks indicate (*) indicates SBMN (lower resolution) operational soundings. (**) indicates soundings taken at Campina site (2° 10' 53.72" S; 59° 01' 18.36" W; 48.4 m a.s.l)

Date	Event duration (LST)	Echo characteristics	Max. gust front at 55 m V_h (m s ⁻¹)	Max. θ_v drop at 55 m (K)	Total precipitation (mm)	Raobs (UTC)
31 October	1600-0000	Multicell cluster	8	8	0000 *-2.3	0000 (*)
2 November	1700-0000	Isolated cell	10	6-8	1.0	1725 (**)
4 November	0930-1230	Scattered cells	4	3.5	5.3	1329 (**)
9 November	0100-0600	Multicell cluster	9	4	0000 *-1.5	0000 (*)