

1 Substantial ozone enhancement over the North China Plain from
2 increased biogenic emissions due to heat waves and land cover in
3 summer 2017

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Abstract

In the summer of 2017, heavy ozone pollution swamped most of the North China Plain (NCP), with the maximum regional average of daily maximum 8-h ozone concentration (MDA8) reaching almost 120 ppbv. In light of the continuing reduction of anthropogenic emissions in China, the underlying mechanisms for the occurrences of these regional extreme ozone episodes are elucidated from two perspectives: meteorology and biogenic emissions. The significant positive correlation between MDA8 ozone and temperature, which is amplified during heat waves concomitant with stagnant air and no precipitation, supports the crucial role of meteorology in driving high ozone concentrations. We also find that biogenic emissions are enhanced due to factors previously not considered. During the heavy ozone pollution episodes in June 2017, biogenic emissions driven by high vapor pressure deficit (VPD), land cover change and urban landscape yield an extra mean MDA8 ozone of 3.08, 2.79 and 4.74 ppbv, respectively over the NCP, which together contribute as much to MDA8 ozone as biogenic emissions simulated using the land cover of 2003 and ignoring VPD and urban landscape. In Beijing, the biogenic emission increase due to urban landscape has a comparable effect on MDA8 ozone to the combined effect of high VPD and land cover change between 2003 and 2016. This study highlights the vital contributions of heat waves, land cover change and urbanization to the occurrence of extreme ozone episode, with significant implications for ozone pollution control in a future when heat wave frequency and intensity are projected to increase under global warming.

Keywords

Ozone pollution, heat waves, biogenic emission, land cover change, urban landscape

58 **1 Introduction**

59 In recent decades, China has been facing severe air pollution issues, particularly for the winter
60 PM_{2.5} and summer ozone (Zheng et al., 2015; Cheng et al., 2016; Zhao et al., 2016). It has been
61 noted that the mean concentration of PM_{2.5} has generally decreased in the past few years but the
62 concentration of O₃ shows an increasing trend (Li et al., 2017b; Wang et al., 2017; Chen et al.,
63 2018a; Li et al., 2019), suggesting a greater urgency for ozone pollution control. For instance, Li
64 et al. (2017b) revealed an increase of annual mean ozone in 2016 by 11 μg/m³ compared to 2014
65 in China. Lu et al. (2018) found a 3.7-6.2% increase per year in the mean ozone concentration
66 over 74 cities in China from 2013 to 2017. Since ozone is harmful to both human health (Soriano
67 et al., 2017) and vegetation (Emberson et al., 2009; Avnery et al., 2011), it is vital to investigate
68 the possible mechanisms related to high ozone concentrations. Based on ozone observations
69 from 2013-2017, the North China Plain (NCP, an area about 400,000 km² in size with Beijing
70 located on its northeast edge, 35°-42°N 112°-119°E), is identified as the area with the most
71 severe ozone pollution in China compared to other regions such as the Yangtze River Delta and
72 Pearl River Delta, possibly linked to the stimulation effect from enhanced hydroperoxy radicals
73 (HO₂) due to reduction in aerosol sink resulting from the decrease of PM_{2.5} during this period (Li
74 et al., 2019). Chen et al. (2019) investigated the impact of meteorological factors such as
75 temperature, wind speed and solar radiation on ozone pollution from 2006-2016 and noted that
76 the severe ozone events in June 2017 around Beijing stand out and suggested a possible
77 connection with the abnormal meteorological conditions. These studies motivated a need for a
78 better understanding of the high ozone problem over NCP.

79 Tropospheric ozone is closely related to both anthropogenic emissions and biogenic
80 emissions, including volatile organic compounds (VOCs) and nitrogen oxides (NO_x) (Sillman,
81 1995, 1999; Tonnesen and Dennis, 2000; Xing et al., 2011; Fu et al., 2012). In the past few years
82 (i.e., 2012-2017), anthropogenic emissions such as NO_x continued to decrease (Liu et al., 2016)
83 and anthropogenic VOCs changed little (Zhao et al., 2018; Zheng et al., 2018; Li et al., 2019).
84 Biogenic VOCs (BVOC) were reported to enhance hourly ozone by 3-5 ppbv in NCP, especially
85 in areas north of Beijing, based on a two-day simulation from July 31 to August 1, 1999 (Wang
86 et al., 2008). The annual BVOC emission in this area increased by 1-1.5% per year from 1979-
87 2012 (Stavrakou et al., 2014) due to changes of land use and climate. Broadleaf trees in general

88 have a higher emission rate of BVOC than grass, shrub and crops (Guenther et al., 2012). A
89 dramatic increase of forest (trees) coverage is evident in the last 20 years over NCP (Chen et al.,
90 2018b), partly attributable to the “Three-north Forest Protection Project”. For example, trees
91 planted before the 2008 Olympic Games doubled the BVOC emissions in Beijing from 2005 to
92 2010 (Ghirardo et al., 2016). Urban landscape may even emit more BVOC than natural forest
93 because of favorable conditions such as lower tree densities and better light illumination (Ren et
94 al., 2017). Ren et al. (2017) found that BVOC emitted by urban landscape accounted for 15% of
95 total BVOC emissions in Beijing in 2015. Over highly polluted urban areas of the NCP, ozone
96 production is highly sensitive to VOC emissions (Liu et al., 2012; Han et al., 2018). Therefore,
97 elevated BVOC emissions can greatly enhance ozone formation in NCP.

98 Besides emissions, tropospheric ozone is also closely related to meteorological conditions,
99 such as heat waves (Gao et al., 2013; Fiore et al., 2015; Otero et al., 2016), low wind speed and
100 stagnant weather (Jacob and Winner, 2009; Sun et al., 2017; Zhang et al., 2018). Weather
101 conditions concomitant with heat waves including high temperature, low wind speed, and little
102 cloud coverage may enhance ozone production (Jaffe and Zhang, 2017; Pu et al., 2017; Sun et
103 al., 2019). At the same time, such meteorological conditions also promote emissions of BVOC
104 and ozone formation (Zhang and Wang, 2016). Using a global model, Fu and Liao (2014)
105 suggested a slight-to-moderate increase of biogenic isoprene west and north of Beijing due to
106 land cover and land use alone, and an even more obvious increase when meteorological changes
107 are considered. In the summer of 2017, heat waves swept over a majority of area of NCP,
108 providing an excellent opportunity to investigate how the heat wave may have modulated
109 biogenic VOC emissions and subsequent severe ozone events in NCP. Observation data and
110 modeling are used to delineate various factors contributing to enhanced biogenic emissions and
111 elevated ozone concentrations. More details of the data and model are provided in Methods.

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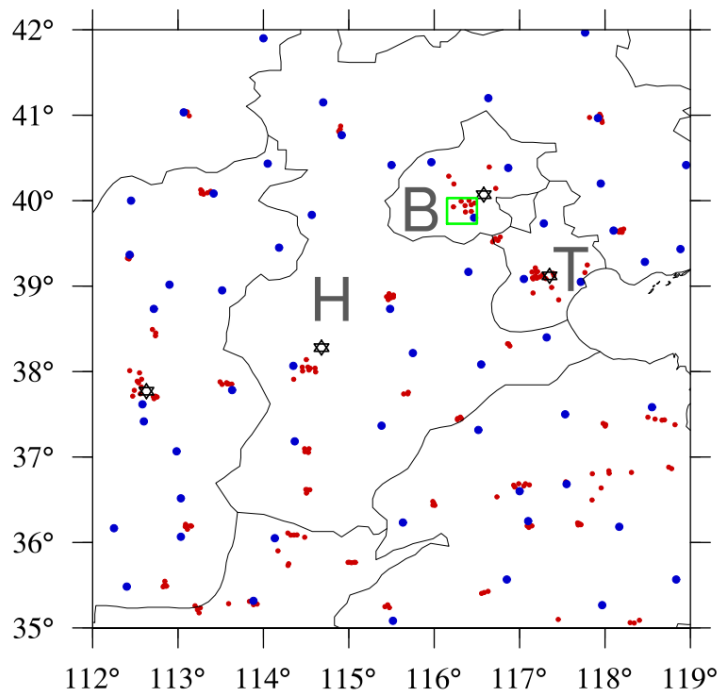
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116 **2 Methods**

117 **Data and model configuration**



118
119 **Fig. 1** Distribution of observational sites over the NCP. (blue dots: daily maximum temperature daily
120 mean wind speed at 10-meter and daily total precipitation from China Meteorological Administration
121 (CMA); red dots: O₃ monitoring sites from China National Environmental Monitoring Centre; black
122 hexagon: hourly temperature at 2-meter (T2), specific humidity at 2-meter (Q2), wind speed (WS10) and
123 direction (WD10) at 10-meter from MADIS; green box: urban area of Beijing). B, H, T represent Beijing,
124 Hebei Province and Tianjin, respectively.

125
126 The distribution of observed data was shown in Fig. 1. For instance, the meteorological
127 observations used in this study such as daily maximum temperature, daily mean wind speed, daily
128 total precipitation were obtained from the China Meteorological Data Service Center (CMA,
129 <http://data.cma.cn>), with blue dots shown in Fig. 1. Observed surface ozone data are obtained from
130 China National Environmental Monitoring Centre (<http://www.pm25.in>), with red dots shown in
131 Fig. 1. Meteorological Assimilation Data Ingest System (MADIS) hourly 2-meter temperature,
132 specific humidity, 10-meter wind speed and direction are available from The Meteorological

133 Assimilation Data Ingest System (MADIS; <https://madis.ncep.noaa.gov>), with hexagons shown in
134 Fig. 1.

135 For modeling the meteorological conditions, WRF V3.8.1 is used in this study. The domain is
136 centered at 110° E, 34° N, with a total of 34 vertical layers and top pressure at 50 hPa. The spatial
137 resolution is 36 km. The physics parameterizations used in this study are the same as our previous
138 studies (Gao et al., 2017; Zhang et al., 2019), including the Morrison double moment microphysics
139 (Morrison et al., 2009), the Rapid Radiative Transfer Model for GCMs (RRTMG) longwave and
140 shortwave radiation (Iacono et al., 2008; Morcrette et al., 2008), the unified Noah land surface
141 model (Chen and Dudhia, 2001), the Mellor-Yamada-Janjic planetary boundary layer (PBL)
142 scheme (Janjić, 1990, 1994; Mellor and Yamada, 1982), and the Grell-Freitas cumulus scheme
143 (Grell and Freitas, 2014). The initial and boundary conditions were generated from the NCEP
144 Climate Forecast System Reanalysis (CFSR) version 2 (Saha et al., 2013), with a spatial resolution
145 of 0.5°×0.5°.

146 For modeling atmospheric chemistry, the widely used Community Multi-scale Air Quality
147 (CMAQ) model (Byun and Ching, 1999; Byun and Schere, 2006), with the latest version 5.2, was
148 used in this study. The major gas phase chemistry was represented by the carbon-bond version 6
149 (CB06) and AERO6 aerosol module. Initial and boundary conditions were from Model for Ozone
150 and Related chemical Tracers, version 4 (MOZART-4) (Emmons et al., 2010). A dynamical
151 downscaling tool was developed in this study to link the Mozart output to CMAQ, based upon the
152 package of Mozart to WRF-Chem (mozbc: [https://www2.acom.ucar.edu/wrf-chem/wrf-chem-](https://www2.acom.ucar.edu/wrf-chem/wrf-chem-tools-community)
153 [tools-community](https://www2.acom.ucar.edu/wrf-chem/wrf-chem-tools-community)). With this tool, the default clean air profile provided by the CMAQ 5.2 package
154 was replaced by more realistic boundary variations at both the surface and different vertical levels.
155 A continuous run from June 1 to July 4 was performed, with the first week discarded as spinup.

156 The anthropogenic emissions of air pollutants in China were estimated by Tsinghua University,
157 detailed in previous studies (Wang et al., 2014; Zhao et al., 2013; 2017; 2018) and updated based
158 on the Multiresolution Emission Inventory for China (MEIC, 0.25°×0.25°;
159 <http://www.meicmodel.org/>) (Li et al., 2017a).

160 The biogenic emissions were calculated by the Model of Emissions of Gases and Aerosols
161 from Nature version 2.1 (MEGAN; Guenther et al., 2006; Guenther et al., 2012). MEGAN input
162 data includes three components: plant functional type (PFT), leaf area index (LAI) and emission
163 factors (EF). There is a total of 19 emission species including isoprene, terpenes, etc., derived

164 from more than 100 emissions compounds. For each of the 19 species, the emission rates F_i (μg
165 $\text{m}^{-2} \text{h}^{-1}$) for a certain grid were defined in Eq. 1 with i denoting the species.

$$166 \quad F_i = \gamma_i \sum \varepsilon_{i,j} \chi_j \quad (\text{Eq. 1})$$

167 where $\varepsilon_{i,j}$ and χ_j are the emission factor and fractional coverage of plant functional type (j) in
168 each grid respectively. γ_i is the emission activity defined based on light (denoted as L),
169 temperature (T), leaf age (LA), soil moisture (SM), leaf area index (LAI) and CO_2 inhibition
170 (denoted as CI), following Eq. 2.

$$171 \quad \gamma_i = C_{CE} LAI \gamma_{L,i} \gamma_{T,i} \gamma_{LA,i} \gamma_{SM,i} \gamma_{CI,i} \quad (\text{Eq. 2})$$

172 where C_{CE} is the canopy environment coefficient and 0.57 was used following Guenther et al.
173 (2012).

174 Compared with the previous version 2.0 with only 4 PFTs, there are 16 types of PFTs
175 represented in the new MEGAN version (Guenther et al., 2006; Guenther et al., 2012), allowing
176 for more accurate estimations of PFT-differentiated emission factors. PFT and LAI data were
177 from the MODIS MCD12Q1 (Friedl et al., 2010) and MCD15A2H datasets (Myneni et al., 2015)
178 respectively. The 8 vegetation types in MODIS were apportioned to the 16 PFT types in
179 MEGAN2.1 based on the temperature zone. For example, MODIS has only one type of broad
180 leaf deciduous trees, while MEGAN 2.1 has three, including broad leaf deciduous tropical,
181 temperate and boreal trees. The broad leaf deciduous trees in MODIS are mapped onto the three
182 MEGAN types based on the latitudinal boundaries of the tropical, temperate and boreal zones,
183 with detailed mapping information provided in Table S4 in the supporting information. Monthly
184 mean LAIs were used in this study. The meteorological conditions used to generate biogenic
185 emission in MEGAN were provided by the WRF simulation.

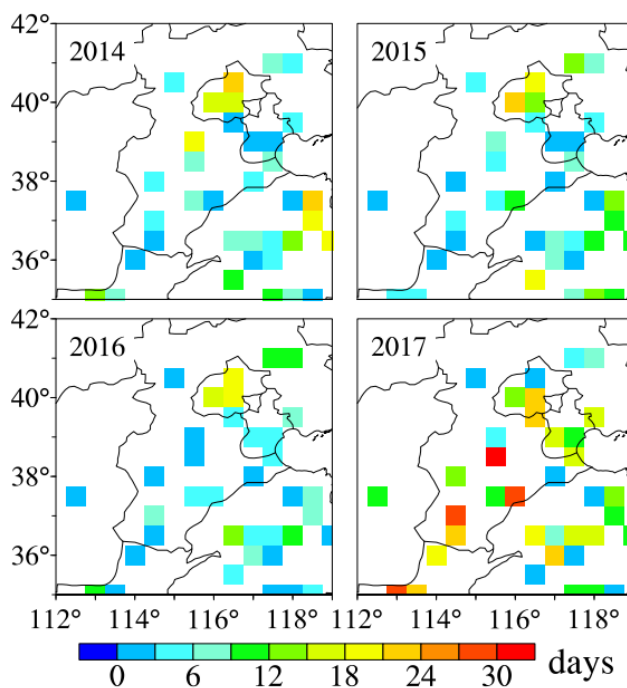
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187 **3 Results**

188 **3.1 Observed ozone features**

189 The Technical Regulation on Ambient Air Quality Index (HJ633-2012) defines six classes of
190 ozone related pollution based on the daily maximum 8-h ozone concentration (MDA8). Classes I
191 and II are clean conditions (MDA8 less than 82 ppbv), class III (82-110 ppbv) indicates slight

192 pollution, class IV (110-135 ppbv) represents medium pollution, and classes V and VI are severe
 193 pollution conditions with MDA8 higher than 135 ppbv. Utilizing the observed MDA8 from
 194 China National Environmental Monitoring Centre (<http://www.pm25.in>), we first analyze the
 195 severe ozone pollution events considering their large impact on human health. The observed
 196 MDA8 was interpolated to a $0.5^\circ \times 0.5^\circ$ grid. Fig. 2 shows the number of severe ozone pollution
 197 days (MDA8 greater than 110 ppbv) during the summer of 2014-2017. The number of severe
 198 ozone pollution days in 2017 is larger than 9 in most areas, which is substantially higher than
 199 that of the other three years when most areas have fewer than 6 days. Frequent occurrence of
 200 severe ozone pollution happens in southern Beijing and south of Hebei Province (the area
 201 marked with letter H in Fig. 1).



202
 203 **Fig. 2** The number of severe ozone pollution days (MDA8 greater than 110 ppbv) during the
 204 summer of 2014-2017 over NCP.

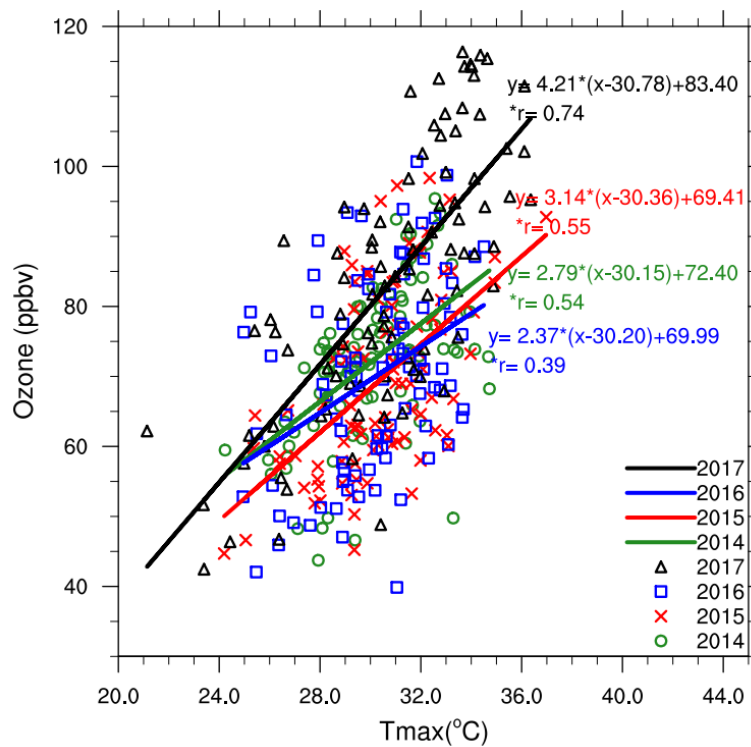
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 206 **3.2 Meteorological factors modulating the high ozone events**

207 Correlation between MDA8 ozone and daily maximum 2-meter temperature (Fig. 3) shows
 208 statistically significant values for all four years, confirming the significant impact of temperature
 209 on ozone. However, the correlation in 2017 is obviously higher than the other three years, and
 210 the regression slope of $4.21 \text{ ppbv}/^\circ\text{C}$ is about 1.07 to $1.84 \text{ ppbv}/^\circ\text{C}$ higher than the other three

211 years, demonstrating the larger impact of temperature in 2017. Both the higher correlation (0.74)
 212 and the larger slope in 2017 are contributed mainly by days with ozone above the top 10% (104
 213 ppbv), which are related to the long-lasting high-ozone periods (see Table S1 and Fig. S1) during
 214 June 14-21 and June 26-July 3. Removing data above the top 10% brings the correlation (0.63)
 215 and slope closer to those of the other three years (Fig. S2). Furthermore, the mean temperature in
 216 2017 is not statistically different from that of the other three years, suggesting that the higher
 217 temperature period has disproportionate effects on ozone. Jaffe and Zhang (2017) also found a
 218 larger regression slope between ozone and temperature during the abnormally-warm month of
 219 June 2015 in the western U.S. compared to the previous five years with more normal
 220 temperatures.

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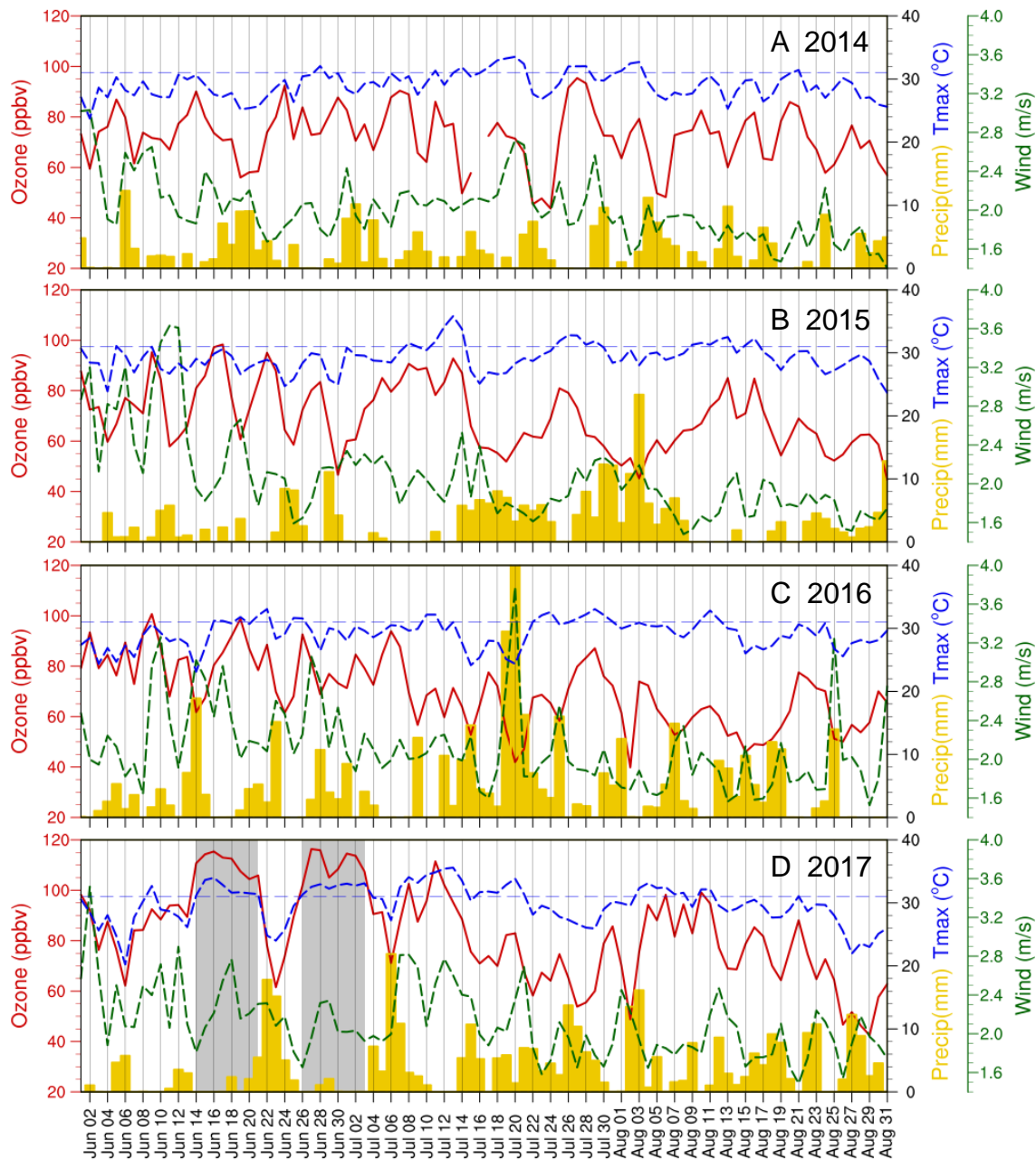


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224 **Fig. 3** The correlation between summer MDA8 ozone and daily maximum 2-meter temperature (Tmax)
 225 for 2014-2017 over NCP. Regional mean was calculated from the observational sites over NCP so each
 226 data point corresponds to a regional mean value of MDA8.

227

228 To further delve into the meteorological factors modulating the ozone variations in the summer of
229 2014-2017, the time series of summer MDA8 ozone is shown in Fig. 4, along with daily maximum
230 temperature, wind speed and daily total precipitation. From Fig. 4D, the two long-lasting ozone
231 episodic events (event 1: June 14-21 and event 2: June 26-July 3) occur during heat waves
232 concomitant with stagnant (calm or low wind speed), dry (little or no precipitation) air and strong
233 solar radiation (not shown), conducive to ozone formation and accumulation. During the first three
234 days of these two high ozone episodic events, the regional mean daily maximum temperature is
235 32.3 °C, accounting for 90th percentile relative to a thirty-year period during 1987-2016. Moreover,
236 almost half of the stations with at least three continuous days exceeding their respective 95th
237 percentile from 1987-2016, satisfying the definitions of heat waves. This feature during the heat
238 wave period was illuminated in Table S2 as well, showing that among all the observational stations
239 with MDA8 ozone exceeding 110 ppbv, 87% (62%) and 96% (81%) occurs with daily precipitation
240 less than 1 mm (daily precipitation less than 1 mm and daily mean wind speed lower than 3 m/s).
241 Long lasting hot and stagnant weather conditions were not clearly observed during 2014-2016 (Fig.
242 4A-C).
243



244
 245 **Fig. 4** Time series of observed MDA8 O3 (red lines; based on sites from China National Environmental
 246 Monitoring Centre; red points in Fig. 1), daily maximum temperature at 2m (blue lines), daily mean wind
 247 speed at 10m (green lines) and daily total precipitation (yellow bars) over NCP (based on sites from CMA;
 248 blue dots in Fig. 1) during the summer from 2014 to 2017. The regional precipitation was set to zero for a
 249 certain day if less than 15% (9 sites) of the total sites (58 sites) with daily total precipitation greater than 1
 250 mm. The horizontal blue dash lines in each panel donate 31 °C.

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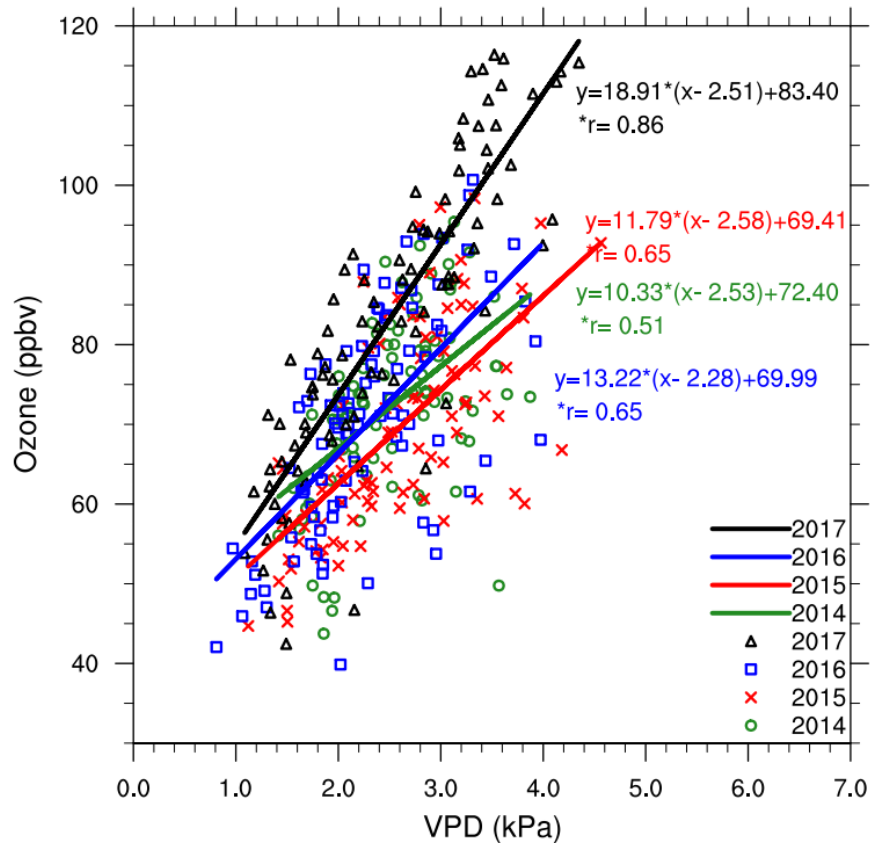
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253 **3.3 Effect of land use and biogenic emission on ozone**

254 Biogenic emissions contribute importantly to ozone formation. The MEGAN model has been
255 widely used to simulate biogenic emissions in air quality modeling studies (Guenther et al., 2012),
256 but recent research suggested that biogenic emissions may be underestimated in the model for
257 several reasons:

258 *a) Water-stressed impact on biogenic emissions.* Zhang and Wang (2016) found that two
259 high ozone events in the U.S. were associated with excess isoprene release due to dry and hot
260 weather conditions that induced water stress in plants. The increased vapor pressure deficit
261 (VPD; the pressure difference between saturation vapor and ambient vapor) drives the release of
262 more isoprene but the VPD effect on biogenic emissions has not been taken into consideration in
263 MEGAN 2.1, so the subsequent influence of biogenic emissions on ozone may be largely
264 underestimated. Zhang and Wang (2016) suggested a doubling of daily biogenic isoprene when
265 the daily VPD reaches 1.7 kPa or greater. It should be noted that this parameterization was based
266 upon the observed information over US, more tests may be needed in future when applying to
267 areas besides US. The monthly mean VPD spatial distribution in June 2017 (Fig. S3) as well as
268 the high correlation between observed MDA8 ozone and VPD (Fig. 5; with time series shown in
269 Fig. S4) suggests enhanced isoprene emission in NCP so we will test this VPD mechanism using
270 model simulations. Please note that in the latest version MEGAN 3 (Jiang et al., 2018), a new
271 approach was developed to quantify the drought effect on the isoprene emissions based on both
272 photosynthesis and water stress, yielding a general reduction of monthly mean isoprene emission
273 across the globe, including northern China. The impact of changes in isoprene emissions, based
274 on the new method, on ozone formation deserves further evaluation in future.

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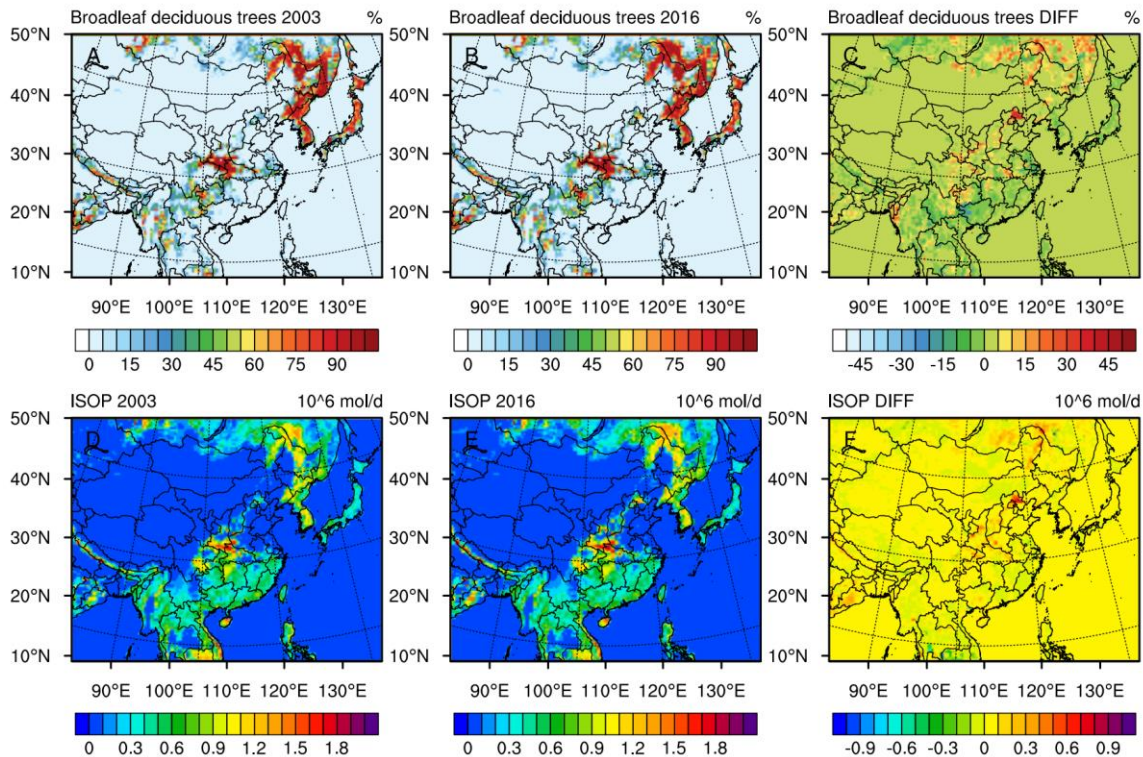


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277 **Fig. 5** The correlation between summer MDA8 ozone and daily maximum VPD during 2014-2017
 278 over NCP. Regional mean was calculated from the observational sites over NCP so each data point
 279 corresponds to a regional mean value of MDA8.

280 *b)* Changes in land cover may affect biogenic emissions. As reflected by the much higher
 281 emission factor, biogenic isoprene emission is enhanced in broad leaf forest relative to other land
 282 cover types such as needle leaf forest, shrub, grass or crop (Table 2 in Guenther et al. (2012)). In
 283 NCP, broad leaf tree is the dominant land cover type and its coverage has been increasing
 284 dramatically since the 1970s, primarily a result of the “Three-North Protection Forest System”
 285 project. For example, based on Moderate Resolution Imagine Spectroradiometer (MODIS) land
 286 use data (Friedl et al., 2010), the coverage of broadleaf deciduous temperate tree nearly doubled
 287 from 2003 to 2016 over NCP (top row of Fig. 6). This has resulted in a substantial increase of
 288 isoprene emissions between 2003 and 2016 (Fig. 6), particularly north of the Beijing, Hebei and
 289 Tianjin, where the increase is more than 200%. Combining the point *a)* described above, the
 290 underestimation of biogenic emission due to changes in land cover may be exaggerated in years
 291 with high temperatures and high VPD. It is vital to quantify the effect of land cover changes on

292 biogenic emissions such as isoprene and the subsequent impact on ozone formation.

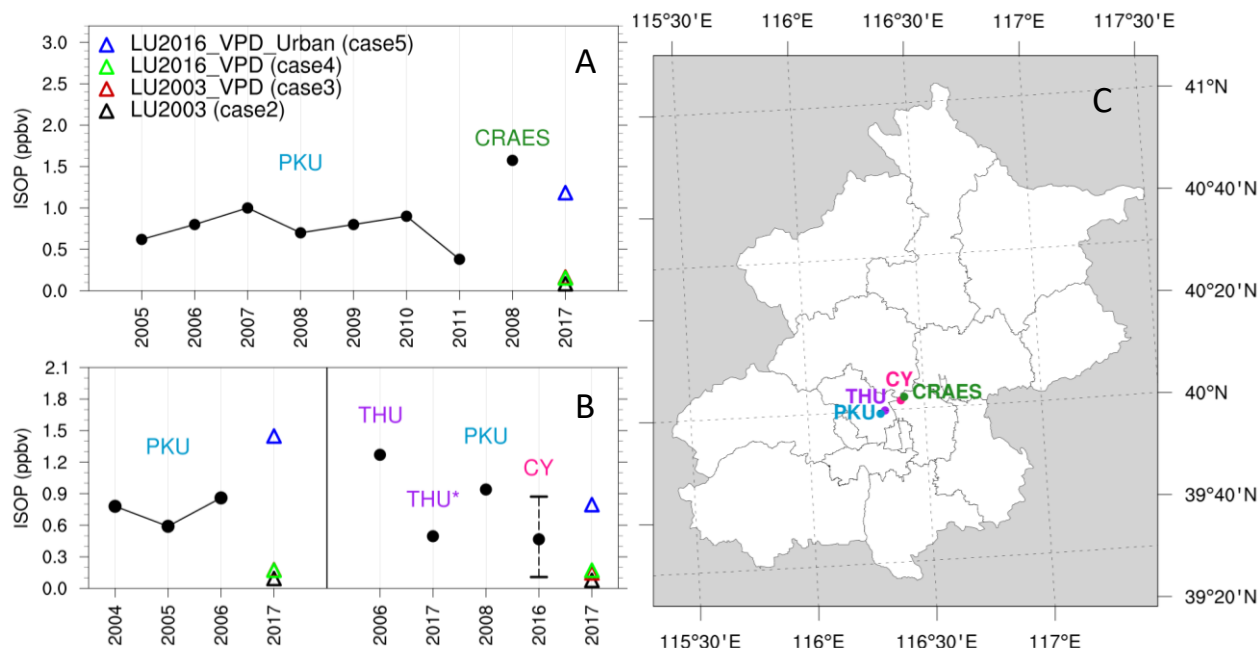


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294 **Fig. 6** Spatial distribution of broadleaf deciduous trees in 2003 (Fig. 6A), 2016 (Fig. 6B) and their
295 differences (2016-2003; Fig. 6C), and the biogenic isoprene emissions during the heat waves periods (June
296 14-21 2017; June 26-July 3 2017) based on the land cover in 2003 (Fig. 6D), 2016 (Fig. 6E) and their
297 differences (2016-2003; Fig. 6F).

298 c) Impact of urban landscape on biogenic emission. Land use type cataloged in the MODIS
299 MCD12Q1 product (Friedl et al., 2010) does not take into consideration urban green spaces, which
300 may lead to a 15% underestimation of total BVOC emissions in 2015 over Beijing (Ren et al.,
301 2017). Generally, urban ozone production is highly sensitive to VOC emissions (Xing et al., 2011;
302 Liu et al., 2012). Bell and Ellis (2004) found a doubling of ozone in urban area relative to rural
303 areas for the same percentage increase of biogenic emissions. The impact of biogenic emission
304 from urban landscape on urban ozone formation has not been considered in previous studies. For
305 sensitivity analysis, we added a 15% increase of the total BVOCs emissions in Beijing to
306 investigate its impact on urban ozone formation. These emissions were distributed evenly in the
307 urban core area of Beijing as the increase of biogenic emissions from urban landscape were only
308 available for Beijing.

309 To elucidate the mechanism modulating the ozone events discussed above, the regional
310 meteorology and air quality model WRF/CMAQ was used to conduct simulations during June 8
311 to July 4 2017. The WRF simulations generally meet the benchmark standard for meteorological
312 variables (Table S3). For air quality simulations, five scenarios were designed, with biogenic
313 emissions ignored in the base case. Compared to the base case, case 2 adds biogenic emission
314 associated with the land cover of 2003, and cases 3, 4 and 5 are the same as case 2 except for the
315 inclusion of the VPD effect, both VPD and land cover of 2016, and VPD and land cover of 2016
316 combined with the effect of urban green spaces, respectively. To validate the reasonableness of
317 adding the biogenic emission, we first evaluate the simulated isoprene concentration, one of the
318 most important species closely related to ozone formation, from WRF/CMAQ among different
319 cases. Since there is a lack of observed ambient isoprene concentration during this study period,
320 the data available (mostly over Beijing) from the literature was retrieved and used as cross
321 comparison with the model results (Fig. 7). From Fig. 7A,B, the observed mean isoprene
322 concentration ranges from 0.4 ppbv to 1.6 ppbv in various sites of Beijing. The model simulations
323 by taking into consideration of isoprene emissions from VPD, land cover of 2016 and urban green
324 spaces (case 5) yield the best performance, with isoprene concentration of 0.8 ppbv to 1.4 ppbv.
325 However, the other cases (with isoprene concentrations of 0.1 ppbv to 0.2 ppbv) substantially
326 underestimate the isoprene concentrations. Therefore, the isoprene emissions from urban green
327 spaces (comparing case 5 and case 4) in Beijing plays a vital role in the isoprene concentrations,
328 which subsequently affect the ozone formation which will be further evaluated and discussed
329 below.



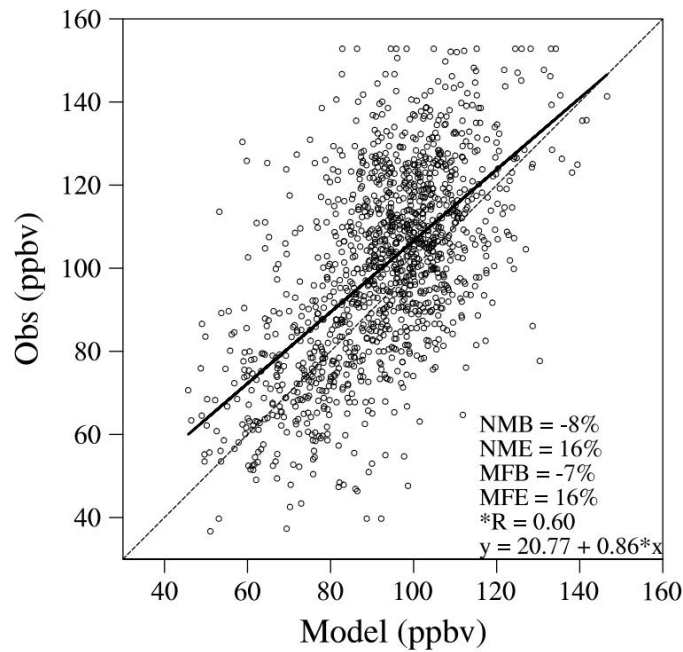
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331 **Fig. 7** The comparison of isoprene concentrations between model simulations and observations in Beijing.
 332 The black dots represent the observed data from various of literatures, whereas the hollow triangles (in
 333 black, red, green and blue) represent the model simulations for the four cases described above (cases 2-5).
 334 For each observational dataset, the corresponding reference number was labelled on the right of the site
 335 name in Fig. 7A,B, with site locations shown in Fig. 7C. One exception is the unpublished work in THU*
 336 which is from the observations using proton-transfer-reaction time-of-flight mass spectrometer (PTR-ToF-
 337 MS) conducted by Tsinghua University (manuscript in preparation). Please note that no observation period
 338 matches exactly our simulation time, making the comparison more qualitative rather than quantitative.
 339 However, the model evaluation did match the respective location and time (i.e., day-time or selected hour)
 340 among different observations. The model simulation period used in the comparison is from June 8 to July
 341 4, 2017. For observations, in Fig. 7A, the dots represent the mean isoprene concentrations during day-time
 342 in August from 2005 to 2011 at Peking University (PKU; (Zhang et al., 2014); left of Fig. 7A) and from 16
 343 July to 18 August 2008 at Chinese Research Academy of Environmental Science (CRAES; (Yang et al.,
 344 2018); right of Fig. 7A). In Fig. 7B, the dots on the left represent the mean isoprene concentration of hour
 345 8:00 and hour16:00 (local standard time) in August from 2004-2006 (with detailed measurement time
 346 shown in Table 1 of (Shao et al., 2009)) in PKU. The observational data on the right of Fig. 7B is on daily
 347 mean scale during a certain period (with one site of CY showing minimal and maximal daily mean values
 348 during the period) from four sources. The two leftmost dots are located at the campus of Tsinghua
 349 University (THU), with one from August 15-20 2006 (Duan et al., 2008) and the other from July 14 to
 350 August 5 2017 (manuscript in preparation as explained above). The third dot represents data measured at
 351 PKU from July 24 to August 27, 2008 (Liu et al., 2015) and the fourth dot indicates data observed at
 352 Chaoyang District (CY; (Gu et al., 2019)).

353

354 Since the effect of urban landscape was only applied to Beijing in case 5, we use case 4
 355 (combination of VPD and land cover change effects) (referred to as B_MDA8) as the reference.

356 Therefore, we first compare MDA8 ozone in case 4 with observations. To facilitate the comparison,
 357 observational data was interpolated to the model grids and reasonable performance is achieved
 358 with MFB/MFE of -7%/16% (Fig. 8). Considering the mean bias likely attributed to the factors
 359 such as emission uncertainty or model inherent biases, thus a bias correction was applied to each
 360 case by adding 7% of mean observed MDA8 ozone during June 8-July 4 2017.



361
 362 **Fig. 8** MDA8 ozone evaluation over NCP during June 8 to July 4 in 2017. NMB, NME, MFB, MFE
 363 represent normalized mean bias, normalized mean error, mean fractional bias and mean fractional error,
 364 respectively.

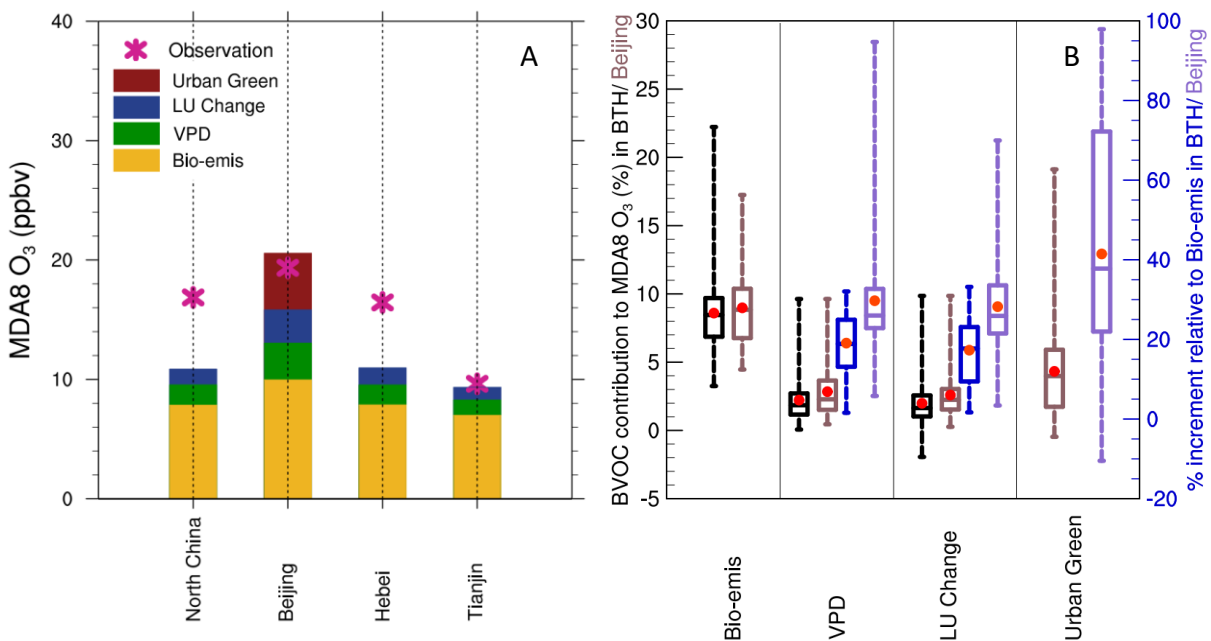
365
 366 Zooming into the two ozone episodic events (June 14-21, June 26-July 3), the mean MDA8
 367 values of case 4 are 98.02 ppbv, 108.89 ppbv, 95.75 ppbv, and 98.98 ppbv for NCP, Beijing, Hebei
 368 and Tianjin, respectively, during the heat wave periods (June 14-21, 2017; June 26-July 3, 2017),
 369 whereas the MDA8 ozone value for the case (case 1) without biogenic emission are 87.15 ppbv,
 370 93.06 ppbv, 84.78 ppbv and 89.65 ppbv for the corresponding region. The ozone increment from
 371 case 2 to case 5 (as well as observations; magenta stars in Fig. 9A) relative to case 1 was shown in
 372 Fig. 9A for these regions. Including biogenic emission based on the land cover of 2003 (case 2)
 373 yields an extra mean MDA8 ozone of 7.84 ppbv (8% of B_MDA8), 9.96 ppbv (9% of B_MDA8),
 374 7.86 ppbv (8% of B_MDA8) and 6.99 ppbv (7% of B_MDA8) for NCP, Beijing, Hebei and Tianjin,

375 respectively (yellow bars in Fig. 9A), compared to case 1. Including the VPD effect (case 3) adds
376 an extra mean MDA8 of 1.71 ppbv in NCP compared to case 2, and the enhancement is highest in
377 Beijing (3.08 ppbv) (green bars in Fig. 9A). Additional MDA8 ozone enhancement is simulated
378 by including the effect of land cover change (increase in natural broadleaf forest; top row in Fig.
379 6; case 4), i.e., an extra MDA8 of 1.32 ppbv in NCP relative to case 3, with the highest contribution
380 of 2.79 ppbv in Beijing (blue bars in Fig. 9A). The urban landscape (case 5) in Beijing yields an
381 extra 4.74 ppbv or 4% of MDA8 compared to case 4, almost doubling the effect of VPD and land
382 cover change in Beijing. The larger percentage increase in MDA8 ozone (41% from Fig. 9A, which
383 will be discussed in Fig. 9B as well) due to urban landscape relative to the prescribed 15% increase
384 in BVOC emission in Beijing supports the notion of an amplified MDA8 ozone response in urban
385 areas because of the high sensitivity of ozone to VOC emissions, which well matches observational
386 data (magenta star).

387 To further illustrate the contributions of BVOC to MDA8, Fig. 9B shows the contribution of
388 biogenic emissions (Bio_emis, based on land cover of 2003), VPD, land cover change, and urban
389 landscape (or urban green) to MDA8 as a fraction of the MDA8 of B_MDA8 (left y-axis in Fig.
390 9B) and as percentage increment relative to the MDA8 contributed by biogenic emissions in case
391 2 (right y-axis in Fig. 9B) in BTH (Beijing, Tianjin, Hebei; with letters B, T and H marked in Fig.
392 1) and Beijing. For BTH, the mean contribution to B_MDA8 is 9%, 2% and 2% for Bio_emis,
393 VPD and land cover change (red dots in the black bars in Fig. 9B), respectively, with maximum
394 contributions of 22%, 10% and 10%. For Beijing, the contributions of Bio_emis, VPD, land cover
395 change, and urban landscape are 9%, 3%, 3% and 4% respectively (red dots in the brown bars in
396 Fig. 9B). Urban landscape (19%) contributes more than Bio_emis (17%) in the urban area of
397 Beijing in terms of the maximal contribution (maximum value of the brown box in Fig. 9B).
398 Compared with Bio_emis, the mean increments are 19% and 17% for VPD and land cover change
399 (red dots in the blue bars in Fig. 9B). For Beijing, the mean additional enhancements are 30%, 28%
400 and 41% for VPD, land cover change and urban landscape relative to Bio_emis (red dots in the
401 purple bars in Fig. 9B), with a combined increment of 99% compared to the MDA8 ozone
402 contributed by biogenic emission based on the land cover of 2003. Although only grid cells with
403 both simulations and observations available are used in Fig. 9B, the results are similar if all model
404 grids points were used (not shown).

405 In order to demonstrate whether changes of land cover and VPD play any roles during normal
 406 ozone conditions, we conducted another sets of simulations (the same as cases 2-4 discussed above)
 407 during June 8 to mid-July in 2016, similar period as 2017. The mean MDA8 ozone concentrations
 408 over NCP during this entire period in 2017 for case 2 is 79.03 ppbv, and statistical significant
 409 enhancement (1.34 ppbv) was achieved in case 3. In comparison to case 3, the land cover change
 410 in case 4 shows statistical significant increase as well (1.13 ppbv). As expected, looking at the
 411 entire period in 2016 (June 8–July 4), statistical significant, and even higher in relative to 2016,
 412 increase was achieved in case 3 (1.55 ppbv) compared to case 2 (90.11 ppbv), and case 4 (1.23
 413 ppbv) compared to case 3. Therefore, the land cover and VPD may be applied in both episodic
 414 events and conditions with normal ozone concentrations.

415



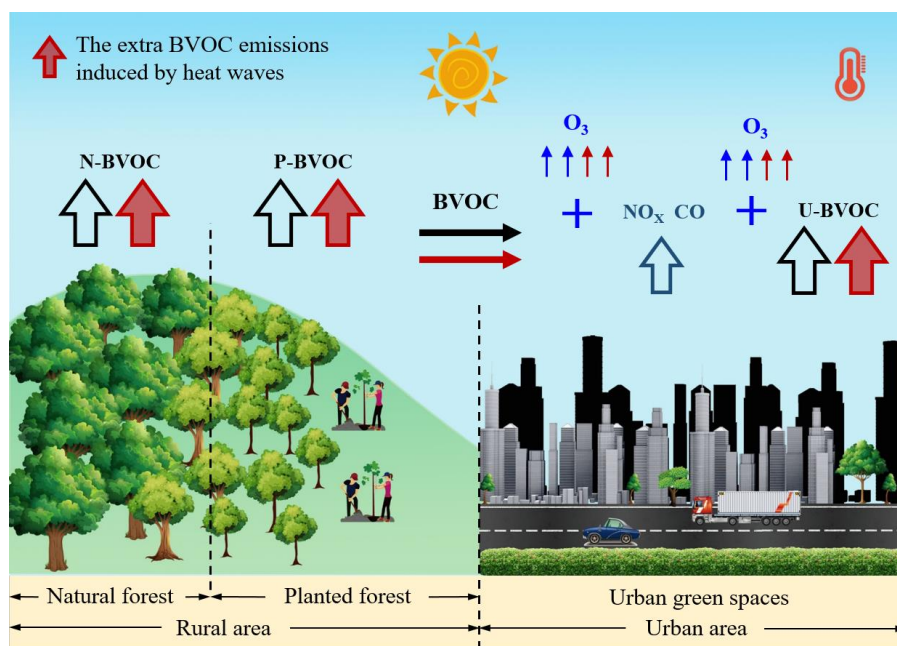
416

417 **Fig. 9** Biogenic contribution to MDA8 ozone during the heat wave periods (June 14-21; June 26-July 3),
 418 shown by the individual (left) and percentage contribution (right) of standard biogenic emissions using
 419 MEGAN 2.1 with the land cover of 2003 (Bio-emis), VPD effect, land cover (LC) change and urban green
 420 spaces. The color bars (Fig. 9A) represent the simulated contributions of biogenic emissions (yellow), VPD
 421 (green), land use changes (blue), and urban green (red) to the MDA8 ozone concentrations in NCP, Beijing,
 422 Hebei and Tianjin respectively. The magenta stars in Fig. 9A represent the observed biogenic emissions
 423 calculated by subtracting the contribution to MDA8 ozone simulated in the base case from the observed

424 total MDA8 ozone. The box-and-whisker plot shows the contribution of biogenic emissions, VPD, land
 425 cover change and urban green spaces to the total MDA8 ozone in BTH (black) and Beijing (brown) (y-axis
 426 on the left), and the percentage increment (right y-axis) of VPD, land cover change and urban green relative
 427 to MDA8 induced by Bio-emis for BTH (blue) and Beijing (purple). Please note that urban green spaces
 428 are only available for Beijing. The top and bottom edges of the boxes represent the 75 and 25 percentiles,
 429 with the centered line and red dot showing the median and mean, respectively.

430 Herein the mechanisms for ozone enhancement are summarized in the schematic of Fig. 10.
 431 Both natural and anthropogenic emissions contribute to ozone formation. Because of the “Three-
 432 North Protection Forest System” project, natural forest north of Beijing has more than tripled in
 433 area coverage compared to 2003, leading to an increasing trend in biogenic emissions. Under heat
 434 wave conditions, biogenic emissions may be further enhanced through the effect of VPD in
 435 addition to the effect of temperature. For urban areas, even more biogenic emissions may be
 436 emitted from urban landscape. All these mechanisms for increasing biogenic emissions could
 437 enhance ozone formation, particularly over urban areas such as Beijing.

438



439

440 **Fig. 10** A schematic diagram of the impact of biogenic emission on ozone formation. N-BVOC refers to
 441 natural biogenic emission, P-BVOC refers to the biogenic emission from planted forest and in this study

442 representing the increase of forest coverage. U-BVOC refers to urban biogenic VOCs generated from urban
443 green spaces. The red thick upward arrows indicate extra VOCs may be induced by the heat waves.

444

445 **4 Discussion**

446 The mechanisms contributing to the severe ozone pollution events in the summer of 2017 in
447 NCP were investigated. Two severe tropospheric ozone pollution events occurred in the NCP
448 during the periods of June 14 to 21 and June 26 to July 3. We provided support for the roles of
449 the observed meteorological conditions including high temperature and stagnant dry weather,
450 which favor high ozone concentrations. More importantly, the influence of biogenic emissions
451 on ozone formation was investigated in more detail by incorporating important biogenic
452 emission factors that are typically ignored in regional model simulations. Biogenic emissions
453 based on the land cover of 2003 yields an extra mean MDA8 ozone of 7.84 ppbv for the NCP.
454 Including the VPD effect and land cover change adds 1.71 ppbv and 1.32 ppbv of ozone in the
455 NCP. These contributions are even larger in Beijing, with VPD adding 3.08 ppbv and land cover
456 change adding 2.79 ppbv. Most notably, biogenic emissions from urban landscape (i.e., green
457 spaces) have so far not been considered in ozone regional modeling studies to our knowledge. By
458 adding this source in the urban area of Beijing, substantial ozone enhancement was simulated,
459 bringing the WRF/CMAQ simulation of MDA8 closer to observations. The urban landscape in
460 Beijing yields an extra 4.74 ppbv of MDA8, comparable to the combined effect of VPD and land
461 cover change in Beijing. Together, the combined effect of VPD, land cover change, and urban
462 landscape doubles the effect of biogenic emission calculated based on the land cover of 2003 and
463 not including the VPD and urban landscape effects. Please note that although the urban isoprene
464 emission from landscape in Beijing only accounts for 15% (Ren et al., 2017), the location of the
465 emissions may play a much larger role in contributing to the urban isoprene concentration. As
466 was shown in Fig. 6, most of the isoprene emissions from the forest in Beijing is located in the
467 rural area, which is relatively far from the urban area. Considering the short lifetime of isoprene,
468 it may not be as efficient as the urban isoprene emission resulting from urban landscape directly
469 in modulating the isoprene concentrations. Therefore, the urban isoprene emission may play
470 much more significant role in urban photochemical reactions compared to the isoprene emissions
471 from the forest over the rural areas.

472 The BVOC emissions from urban green spaces are projected to increase by more than two
473 times in 2050 due to urban area expansion (Ren et al., 2017). Together with the more frequent heat
474 waves projected for the future (Gao et al., 2012; Zhang et al., 2018), the impact of biogenic
475 emissions on ozone pollution in the NCP will likely play an increasingly important role in ozone
476 pollution and should be taken into considerations in future air quality management plans to address
477 issues of air quality and health. The effect of urban green spaces was only considered in Beijing
478 in this study as we lack the data to parameterize this effect in other regions. Considering the
479 substantial effect of urban green spaces on urban ozone formation, it is vital to evaluate similar
480 effects in other cities where ozone pollution is a concern.

481

482 **Competing interests.** The authors declare that they have no conflict of interest.

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489

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492 wrote the paper.

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