1		Large contribution of meteorological factors to inter-decadal
2		changes in regional aerosol optical depth
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#### 20 Abstract

Aerosol optical depth (AOD) has become a crucial metric for assessing global 21 climate change. Although global and regional AOD trends have been studied 22 extensively, it remains unclear what factors are driving the inter-decadal variations in 23 24 regional AOD and how to quantify the relative contribution of each dominant factor. This study used a long-term (1980-2016) aerosol dataset from the Modern-Era 25 Retrospective Analysis for Research and Applications, version 2 (MERRA-2) 26 reanalysis, along with two satellite-based AOD datasets (MODIS/Terra and MISR) 27 from 2001 to 2016, to investigate the long-term trends in global and regional aerosol 28 loading. Statistical models based on emission factors and meteorological parameters 29 were developed to identify the main factors driving the inter-decadal changes of 30 regional AOD and to quantify their contribution. Evaluation of the MERRA-2 AOD 31 with the ground-based measurements of AERONET indicated significant spatial 32 agreement on the global scale (r = 0.85, RMSE = 0.12, MFE = 38.7%, FGE = 9.86%, 33 and IOA = 0.94). However, when AOD observations from the China Aerosol Remote 34 35 Sensing Network (CARSNET) were employed for independent verification, the results showed that MERRA-2 AODs generally underestimated CARSNET AODs in 36 China (RMB = 0.72 and FGE = -34.3%). In general, MERRA-2 was able to 37 quantitatively reproduce the annual and seasonal AOD trends on both regional and 38 global scales, as observed by MODIS/Terra, albeit some differences were found when 39 compared to MISR. Over the 37-year period in this study, significant decreasing 40 trends were observed over Europe and the eastern United States. In contrast, eastern 41 China and South Asia showed AOD increases, but the increasing trend of the former 42 reversed sharply in the most recent decade. The statistical analyses suggested that the 43 meteorological parameters explained a larger proportion of the AOD variability 44 45 (20.4%-72.8%) over almost all regions of interest (ROIs) during 1980-2014 when compared with emission factors (0%-56%). Further analysis also showed that SO<sub>2</sub> 46 47 was the dominant emission factor, explaining 12.7%-32.6 % of the variation in AOD 48 over anthropogenic aerosol-dominant regions, while BC or OC was the leading factor over the biomass burning-dominant (BBD) regions, contributing 24.0%-27.7% of the 49 variation. Additionally, wind speed was found to be the leading meteorological 50 parameter, explaining 11.8%–30.3% of the variance over the mineral dust-dominant 51 regions, while ambient humidity (including soil moisture and relative humidity) was 52 the top meteorological parameter over the BBD regions, accounting for 11.7%–35.5% 53 of the variation. The results of this study indicate that the variation in meteorological 54 parameters is a key factor in determining the inter-decadal change in regional AOD. 55 56

#### 57 **1. Introduction**

Atmospheric aerosols play a key role in the energy budget of the Earth's climate system through aerosol-radiation interactions (direct effect) and aerosol-cloud interactions (indirect effect). On the one hand, by absorbing and scattering solar and terrestrial radiation, aerosols generally cool the Earth's surface and heat the atmosphere,

depending on the absorption level of the aerosols (McCormick and Ludwig 1967; Ding 62 et al., 2016; Sun et al., 2018; Zheng et al., 2019). This effect is termed the aerosol direct 63 effect. The cooling effect of aerosols may partly counteract the warming caused by the 64 increase in  $CO_2$  and other greenhouse gases in the past several decades (IPCC, 2007). 65 On the other hand, by acting as cloud condensation nuclei or ice nuclei, not only can 66 aerosols alter the microphysical and radiative properties of clouds, as well as their 67 lifetimes (Rosenfeld et al., 2019; Andreae 2009), but they can also change the 68 precipitation efficiency [depending on the aerosol type (Jiang et al., 2018)], modify the 69 characteristics of the atmospheric circulation, and affect the global hydrological cycle 70 (Ramanathan et al., 2001; Ackerman et al., 2000; Hansen et al., 1997; Sarangi et al., 71 2018). This effect is termed the aerosol indirect effect. Furthermore, depending on their 72 physical and chemical properties, as well as their composition, aerosols can affect 73 74 ecosystems (Yue et al., 2017; Liu et al., 2017), atmospheric visibility (Che et al., 2007; Wang et al., 2009; Che et al., 2014), and even human health [such as through their roles 75 in lung cancer, respiratory infection, and cardiovascular disease (Silva et al., 2013; 76 Lelieveld et al., 2015; Cohen et al., 2017)]. Unlike the long-lived greenhouse gases (e.g., 77 78 CO<sub>2</sub>, CH<sub>4</sub> and N<sub>2</sub>O), aerosols produced via anthropogenic activity or naturally have relatively short life spans and large spatial and temporal variability. Therefore, it is 79 essential to investigate the long-term variability and inter-decadal trends of atmospheric 80 aerosol loadings on both regional and global scales. 81

Aerosol optical depth (AOD), representing the attenuation of sunlight induced by 82 aerosols and serving as an important measure of aerosol loading, has become a crucial 83 metric in assessing global climate change and the effects of aerosols on radiation, 84 precipitation and clouds. Through the efforts of scientists in various countries over the 85 past three decades, a series of AOD datasets with different time spans derived from 86 continuous ground-based and satellite observations have been accumulated. These 87 datasets have been widely employed to investigate the long-term annual and seasonal 88 trends of AOD at global and regional scales. Although ground-based observations have 89 limited spatial and/or temporal coverage, they can provide more detailed information on 90 aerosol properties and long-term variations for satellite and model validation. For 91 example, using the long-term and high-quality AOD datasets from the Aerosol Robotic 92 Network (AERONET), Li et al. (2014) found that North America and Europe 93 experienced a uniform decrease in AOD from 2000 to 2013. Che et al. (2015) estimated 94 95 the change in AOD based on AOD data at 12 long-term ground-based sites in China from the China Aerosol Remote Sensing Network (CARSNET) and found that AOD 96 showed a downward trend from 2006 to 2009 and an upward trend from 2009 to 2013. 97 Compared with the spatial sparseness of ground-based observations, inferences from 98 satellite-based sensors can provide a global perspective of AOD change, due to their 99 continuous spatial measurements. Previous studies (Hsu et al., 2012; Pozzer et al., 2015; 100 Mehta et al., 2016; Klingmüller et al., 2016; De Leeuw et al., 2018; Zhang and Reid 101 2010) have investigated global and regional AOD trends by using multiple satellite 102 103 observations, including the Moderate Resolution Imaging Spectroradiometer (MODIS), Multiangle Imaging Spectroradiometer (MISR), the Sea-viewing Wide Field-of-view 104 Sensor (SeaWiFS), and others. These studies have shown increased AODs over eastern 105

106 China, India, the Middle East (ME), and the Bay of Bengal, and decreased AODs over107 the eastern United States (EUS) and Europe.

In general, regional AOD changes are closely linked to the variations in natural 108 emissions driven by meteorological conditions (such as mineral dust) and local 109 anthropogenic emissions associated with economic and population growth. For example, 110 over anthropogenic aerosol-dominant regions, most of the primary pollutant emissions 111 [such as black carbon (BC)] and aerosol precursors (such as  $SO_2$ ,  $NO_x$  and  $NH_3$ ) in 112 North America and Europe have declined in response to emissions control (Hammer et 113 al., 2018). In contrast, pollutant emissions and their precursors in the rapidly developing 114 countries (such as India and China) have increased over the past few decades, 115 attributable to enhanced industrial activity. However, as a consequence of clean-air 116 actions, anthropogenic emissions in China have declined significantly in recent years 117 (Zheng et al., 2018). It has been proven that these changes in local pollutant emissions or 118 aerosol precursors over the above regions can to a certain extent explain the regional 119 AOD variability, as observed in long-term satellite aerosol data records (Meij et al., 120 2012; Itahashi et al., 2012; Feng et al., 2018). On the other hand, various studies have 121 122 shown that meteorological changes play a major role in determining the inter-decadal trend of AOD over mineral dust-dominant regions, particularly in the Sahara Desert (SD) 123 and the ME (Pozzer et al., 2015; Klingmüller et al., 2016). Based on model simulations 124 during 2001–2010, Pozzer et al. (2015) suggested that, over biomass burning-dominant 125 regions, the changes in both meteorology and emissions are equally important for 126 driving AOD trends. Considering the localized changes in anthropogenic aerosol 127 emissions and meteorological conditions in different regions, a key question is whether 128 these factors are responsible for the regional AOD trends, or which main factors 129 dominate the trends. Therefore, it is important to investigate the cause of regional AOD 130 trends in terms of the variations in both anthropogenic emissions and meteorological 131 132 factors for projecting the response of the Earth-atmosphere system to future changes.

In this study, we used a long-term (1980-2016) aerosol dataset obtained from the 133 Modern-Era Retrospective Analysis for Research and Applications, version 2 134 (MERRA-2) reanalysis, along with two satellite-based datasets (MODIS/Terra and 135 MISR) during 2001–2016, to conduct a comprehensive estimation of global and regional 136 AOD trends over different periods. To ensure the reliability of the trend assessment, 468 137 AERONET sites and 37 CARSNET sites with continuous observations for at least one 138 year were used to assess the performance of the MERRA-2 AOD on a global scale. 139 Twelve regions dominated by different aerosol types were selected to explore the 140 relationships between local anthropogenic emissions, meteorological factors, and 141 regional AOD. Furthermore, stepwise multiple linear regression (MLR) models were 142 developed to estimate the regional AOD as a function of emission factors and other 143 meteorological parameters, which allowed the influences of emissions and meteorology 144 to be separated. Then, the Lindeman, Merenda and Gold (LMG) method was applied to 145 the MLR models to identify the main factors driving the regional AOD variability and to 146 147 quantitatively evaluate the contribution of each driving factor.

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## 150 **2. Data and methods**

#### 151 2.1 MERRA-2 aerosol reanalysis data

MERRA-2 is the latest atmospheric reanalysis version for the modern satellite era 152 153 provided by the NASA Global Modeling and Assimilation Office (Gelaro et al., 2017), using the Goddard Earth Observing System, version 5 (GEOS-5), earth system model 154 (Molod et al., 2012, 2015), which includes atmospheric circulation and composition, 155 ocean circulation and land surface processes, and biogeochemistry. Note that, in 156 MERRA-2, in addition to providing assimilation of traditional meteorological 157 observations, a series of AOD observation datasets, including bias-corrected AODs 158 retrieved from the Advanced Very High Resolution Radiometer (AVHRR) instrument 159 160 over the oceans (Heidinger et al., 2014) and MODIS (onboard both the Terra and Aqua satellites) (Levy et al., 2010; Remer et al., 2005), and non-bias-corrected AODs 161 retrieved from MISR (Kahn et al., 2005) over bright surfaces and ground-based 162 AERONET observations (Holben et al., 1998), were also assimilated within the 163 GEOS-5 earth system model. An overview of the MERRA-2 modeling system and a 164 more detailed description of aerosols in the MERRA-2 system can be found in Gelaro 165 et al. (2017) and Buchard et al. (2017), respectively. In this study, the three-hourly 166 MERRA-2 analyzed AOD fields, at a resolution of 0.5 ° latitude by 0.625 ° longitude, 167 were used for evaluation, while the monthly mean AOD values were used for climate 168 analysis. 169

#### 170 **2.2 Satellite aerosol data**

Two AOD datasets during 2001-2016 retrieved from MODIS and MISR, both 171 onboard the Terra platform, were used in this study. The MODIS sensor onboard the 172 Terra satellite observes the Earth at multiple wavelengths (range: 410–1450 nm; 36 173 bands) with a 2330-km swath, which has provided near-daily global coverage since 174 2000 (King et al., 2003; Levy et al., 2015). This study employed the combined Dark 175 Target/Deep Blue (DTB) AOD algorithm at 550 nm, with a  $1^{\circ} \times 1^{\circ}$  resolution, from 176 the Level 3 monthly global aerosol dataset for MODIS Terra, Collection 6.1. The 177 average MAE (RMSE) of the Level 3 MODIS/Terra DTB monthly AOD data have 178 been estimated to be about 0.075 (0.120) over land (Wei et al., 2019). Note that 179 MODIS/Aqua L3 was not used because it started late (June 2002). In addition, 180 compared with the linear trend in MODIS/Aqua AOD during the overlapping period 181 (2003-2016), MODIS/Terra AOD shows similar performance worldwide (including 182 spatial-temporal consistency and distribution patterns of trend values) (Fig. S1), 183 although the Terra sensor has been documented to suffer from degradation issues. The 184 similar performance between MODIS/Terra and MODIS/Aqua is mainly attributed to 185 a new calibration approach in the C6 version, which can remove major 186 non-polarimetric calibration trends from the MODIS data (Levy et al., 2013, 2015; De 187 188 Leeuw et al., 2018).

189 Total column AOD observations from the MISR sensor onboard the Terra 190 satellite, which provides observations of the Earth's atmosphere with nine different

along-track viewing zenith angles at four different spectral bands (440-866 nm) 191 (Diner et al., 1998), were utilized. It should be noted that, although MISR has a much 192 narrower swath (~360 km) compared with MODIS, the multi-angle observation from 193 MISR provides the capability for retrieving a more reliable AOD over bright surfaces 194 such as desert areas (Diner et al., 1998; Kahn et al., 2010). The AOD retrieval in the 195 196 555-nm channel from monthly global aerosol datasets at a spatial resolution of 0.5  $^{\circ} \times$ 0.5 ° were used in this study. The uncertainty of the MISR Level 2 AOD data over land 197 and ocean has been estimated to be  $\pm 0.05$  or  $\pm (0.2 \times AOD)$  (Kahn et al., 2005). Note 198 that the wavelength of AOD (555 nm) reported by MISR is different from that of the 199 MERRA-2 and MODIS/Terra datasets (550 nm); however, this slight wavelength 200 difference is not expected to affect our analysis and conclusions regarding AOD 201 annual and seasonal trends. 202

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## **2.3 Ground-based reference data: AERONET and CARSNET**

Owing to the accuracy of ground-based AOD observations, long-term 204 instantaneous AOD observation records from two independent operational 205 networks—AERONET and CARSNET—were used to validate the three-hourly 206 207 MERRA-2 AOD values. Since there are not enough long-term AERONET observations in China, it was necessary to examine the performance of the MERRA-2 208 analyzed AOD fields using additional AOD observations from CARSNET. 209 CARSNET is a ground-based network for monitoring aerosol optical properties that 210 was first established by the China Meteorological Administration in 2002 (Che et al., 211 2009). Both AERONET and CARSNET use the same types of sunphotometers, which 212 can observe direct solar and sky radiances at seven wavelengths (typically 340, 380, 213 440, 500, 670, 870 and 1020 nm) within a 1.2 ° full field of view at intervals of about 214 15 min (Holben et al., 1998; Che et al., 2009). For CARSNET, operating instruments 215 216 are calibrated and standardized using CARSNET reference instruments, which in turn are regularly calibrated at Izaña, Tenerife, Spain, together with the AERONET 217 program (Che et al., 2009; Che et al., 2018). The cloud-screened AOD [based on the 218 work of Smirnov et al. (2000)] in CARSNET has the same accuracy as AERONET, 219 with an estimated uncertainty of 0.01–0.02 (Eck et al., 1999; Che et al., 2009). 220

In this work, we collected ground-based AOD observations (more than one year 221 of data) from 468 AERONET sites worldwide and 37 CARSNET sites in China. The 222 223 locations of these ground-based sites are shown in Fig. 1. Detailed information about these AERONET and CARSNET sites is given in Tables S4 and S5. The combined 224 instantaneous AOD data collected by AERONET (quality-assured and cloud-screened 225 Level 2.0 data) during 1993–2016 and CARSNET (cloud-screened Level 2.0 data) 226 during 2002–2014 were used. Moreover, to ensure the reliability of AOD evaluation, 227 the AOD measurements in two adjacent channels (i.e., 440 and 675 nm) from 228 AERONET and CARSNET were subsequently interpolated to 550 nm for MERRA-2, 229 using a second-order polynomial fit to ln (AOD) vs. ln (wavelength) (Eck et al., 230 1999). 231

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## 234 **2.4 Emissions inventory and meteorological data**

The anthropogenic emissions inventories used in this study were obtained from 235 the Peking University (PKU) website (http://inventory.pku.edu.cn/), including total 236 suspended particles (TSP) (Huang et al., 2014), SO<sub>2</sub> (Su et al., 2011), BC (Wang et al., 237 238 2014), and organic carbon (OC) (Huang et al., 2015), with a spatial resolution of 0.1  $^{\circ}$  $\times$  0.1 ° and spanning the period 1980–2014. The emissions were calculated using a 239 bottom-up approach based on fuel consumption and an emissions factor database. 240 Huang et al. (2015) showed that the PKU emissions inventories are broadly similar to 241 those of EDGARv4.2 (Edgar, 2011). Monthly meteorological fields from the 242 MERRA-2 global reanalysis were also utilized, including total surface precipitation, 243 surface wind speed, surface relative humidity (RH), mean sea level pressure, etc. 244 These data have a spatial resolution of  $0.5 \circ \times 0.625 \circ$  and span the period 1980–2016 245 (Gelaro et al., 2017). For more detailed information on the selected meteorological 246 parameters, see Table 1. 247

### 248 **2.5 ROIs**

In this study, 12 regions of interest (ROIs) dominated by different aerosol types 249 were selected to study the long-term trends in regional aerosol loading and how they 250 are related to local emission changes as well as the variation in meteorological 251 variables. These 12 ROIs included three mineral dust-dominant regions [SD (17 W-252 20 °E, 3 °N-25 °N), ME (38 °E-56 °E, 14 °N-33 °N), and Northwest China (NWC; 253 73 E-94 E, 35 N-47 N)], three biomass burning-dominant regions [the Amazon 254 255 Zone (AMZ; 46 W-60 W, 1 S-22 S), Central Africa (CF; 12 E-33 E, 2 S-18 S) and Southeast Asia (SEA; 96 E-127 E, 8 S-18 N)], and six anthropogenic aerosol-256 dominant regions [EUS (73 W-94 W, 29 N-45 N), western Europe (WEU; 10 W-257 258 18 °E, 37 °N-59 °N), South Asia (SA; 72 °E-90 °E, 10 °N-30 °N), northern China (NC; 108 E-120 E, 30 N-40 N), southern China (SC; 108 E-120 E, 20 N-30 N) and 259 260 Northeast Asia (NEA; 125 E-145 E, 30 N-41 N)]. The geographical boundaries of 261 these ROIs are shown in Fig. 1.

262 **2.6 Statistical analysis** 

#### 263 **2.6.1 Comparison methods**

AOD data from the 468 AERONET sites worldwide and the 37 CARSNET sites in China were used to evaluate the performance of the three-hourly AOD datasets from MERRA-2. To ensure the accuracy of the assessment, instantaneous ground-based AOD observations within one hour, obtained from AERONET and CARSNET, were averaged as the hourly mean AOD and compared with those from the MERRA-2 three-hourly AOD datasets (see Fig. 2a for the whole procedure).

The errors and quality of the MERRA-2 AOD retrievals are reported using the (Pearson) correlation coefficient [R, Eq. (1)], the mean absolute error [MAE, Eq. (2)], root-mean-square error [RMSE, Eq. (3)], the relative mean bias [RMB, Eq. (4)], the mean fractional error [MFE, Eq. (5)], the fractional gross error [FGE, Eq. (6)], and the index of agreement [IOA, Eq. (7)] for validating the reanalysis (Yumimoto et al., 2017).

276 
$$R = \frac{\sum_{i=1}^{N} (O_i - \overline{O}) (M_i - \overline{M})}{\sqrt{\sum_{i=1}^{N} (O_i - \overline{O})^2 \sum_{i=1}^{N} (M_i - \overline{M})^2}}$$
(1)

277 
$$MAE = \frac{1}{N} \sum_{i=1}^{N} |M_i - O_i|$$
 (2)

278 
$$RMSE = \sqrt{\frac{1}{N} \sum_{i=1}^{N} (M_i - O_i)^2} \quad (3)$$

279 
$$RMB = \overline{M}/\overline{O}$$
 (4)

280 
$$MFE = \frac{2}{N} \sum_{i=1}^{N} \frac{|M_i - O_i|}{M_i + O_i} \times 100$$
(5)

281 
$$FGE = \frac{2}{N} \sum_{i=1}^{N} \frac{M_i - O_i}{M_i + O_i} \times 100$$
(6)

282 
$$IOA = 1 - \frac{\sum_{i=1}^{N} (O_i - M_i)^2}{\sum_{i=1}^{N} (|O_i - \overline{O}| + |M_i - \overline{M}|)^2}$$
 (7)

Where N is the total number of pairs of modeled (M, i.e. MERRA-2) and 283 284 observed (O, i.e. AERONET or CARSNET) values. MFE represents a measure of overall modeling error without emphasizing outliers. MFE can range from 0 (best 285 score) to 200%. FGE represents a measure of the estimation bias error that allows 286 287 symmetric analysis of over- or underestimation by the model relative to observations. The maximum and minimum values of FGE are +200 and -200% respectively, and 0 288 is the best value. IOA represents a standard measure of the degree of model accuracy, 289 290 and it ranges from 0 to 1 (perfect agreement) (Willmott, 1981).

#### 291 **2.6.2 Trend analysis and stepwise MLR model**

Long-term trend analysis of the AOD from MERRA-2, MODIS/Terra and MISR 292 was performed, on monthly time series data, using ordinary least-squares linear 293 regression—a technique widely employed for trend analysis of aerosol data (Hsu et al., 294 2012; Pozzer et al., 2015; Klingmüller et al., 2016; Ma et al., 2016; Hammer et al., 295 2018). Prior to regression, these data were first deseasonalized by subtracting the 296 monthly mean for different study periods for each grid cell to eliminate the large 297 influence of the annual cycle. To better compare the results of the trend analysis, the 298 MERRA-2 and MISR datasets at high spatial resolution ( $0.5 \circ \times 0.625 \circ$  and  $0.5 \circ \times 0.5 \circ$ , 299 respectively) were bilinear interpolation to the MODIS/Terra resolution of  $1^{\circ} \times 1^{\circ}$  (see 300 Fig. 2b for the whole procedure). Incomplete sampling from the satellite instruments 301 may introduce biases in long-term trend analysis. Thus, to ensure the reliability of the 302 trend analysis, each grid cell for the MISR and MODIS/Terra AODs was required to 303

have valid data for at least 60% of the time period before regression was performed.
Two-tailed Student's *t*-tests were used to assess the robustness of each trend estimate,
and the criterion for statistical significance was set at the 95% confidence level.

Pearson's R was used to measure the strength of the relationship between AOD, anthropogenic emissions, and meteorological parameters. MLR models of monthly MERRA-2 AODs were built for the 12 ROIs using emission factors, meteorological parameters, and both, as predictors. Four emission factors and 32 meteorological parameters were considered in the MLR models (Table 1). For each ROI, the MLR model could be expressed as

$$y = \beta_0 + \sum_{i=1}^n \beta_i x_i + \varepsilon, \tag{4}$$

where y is the standardized monthly AOD and  $(x_1, ..., x_n)$  is the ensemble of standardized monthly explanatory variables. The standardized regression coefficient

315  $\beta_i$  was determined by the least-squares method, and  $\varepsilon$  is an error term.

In each step of the MLR model, a variable is considered to be moved or removed from the set of explanatory variables using the stepwise regression method to obtain the best model fit. In other words, for each step the model adds a significant (P < 0.05) explanatory variable to the model, it can be removed only if it is insignificant (P > 0.1) after adding or removing another variable. A similar model has been widely used to investigate the relationship between aerosols and meteorology (e.g., Yang et al., 2016; Tai et al., 2010).

Although the most important explanatory variables were obtained via the above 323 stepwise MLR model, there might be multiple collinearities among different 324 explanatory variables. In that situation, the standardized regression coefficient as an 325 326 explanation of relative importance is unstable and misleading. To eliminate the influence of multi-collinearity, the variance inflation factor (VIF) (Altland et al., 2006) 327 328 was used to test whether there was a multi-collinearity problem among the variables. VIF is often regarded as a measure of collinearity between each variable and another 329 variable in the model. VIF can be calculated from the following relationship: 330

$$\text{VIF} = \frac{1}{1 - R_i^2},\tag{5}$$

where  $R_i^2$  is the coefficient of determination of linear regression between the *i*th independent variable and other independent variables in the model. The present study used a VIF threshold of 10, which is widely recommended in the literature (e.g., Hair et al., 2010; Barnett et al., 2006; Field, 2005), to represent the maximum acceptability of collinearity.

Finally, to better quantify the relative contributions of each independent explanatory variable, which were obtained from the stepwise MLR model, to AOD variability, the LMG method (Bi 2012; Grömping 2006; Lindeman et al., 2014) was applied. This approach is one of the most advanced methods for determining the relative importance of explanatory variables in a linear model and provides a decomposition of the fraction of model-explained contributions (i.e.,  $R^2$ ) into nonnegative contributions using semi-partial *R* values. The LMG measure for the *i*th regressor  $x_i$  can be expressed as

$$LMG(x_i) = \frac{1}{p!} \sum_{r \text{ permutation}} seq R^2(\{x_i\}|r), \qquad (6)$$

where *r* represents the *r*th permutation (r = 1, 2, ..., p!), and  $seqR^2(\{x_i\}|r)$  represents the sequential sum of squares for the regressor  $x_i$  in the ordering of the regressors in the *r*th permutation.

For a detailed introduction to and description of the calculation process of the 347 LMG measure, refer to Grömping (2006). For all variables (including the AODs from 348 MERRA-2, MISR and MODIS/Terra, the meteorological variables from MERRA-2, 349 and the emission estimates from PKU), the regional mean was calculated by 350 351 averaging valid variable values over all grids within the twelve ROIs. For the seasonal analysis, the four seasons were considered as follows: spring (March-April-May), 352 summer (June-July-August), autumn (September-October-November), and winter 353 (December–January–February). 354

#### **355 3 Results and discussion**

## 356 **3.1 Assessing the performance of the MERRA-2 AOD datasets**

#### 357 on the global scale

Although the official documentation points out that a large number of AOD 358 observations have been assimilated into the system (Buchard et al., 2017), the global 359 performance of MERRA-2 AOD is still unknown. In addition, since MERRA-2 360 assimilates a variety of AOD datasets from different observation periods (such as 361 AVHRR before 1999, AERONET since 1999, and EOS-era satellite after 2000) 362 (Buchard et al., 2017), it is difficult to disentangle the influence of each assimilated 363 dataset alone on the overall accuracy of MERRA-2. Considering that AERONET is 364 assimilated in MERRA-2 but CARSNET did not, we first use AERONET to evaluate 365 the overall performance of MERRA-2 AOD on the global scale, and then use 366 CARSNET to independently examine the performance of the MERRA-2 analyzed 367 AOD field in China. 368

#### 369 **3.1.1 MERRA-2** versus AERONET

Using all of the collected AERONET observations, the overall performance of the 370 MERRA-2 AOD on a global scale was validated first. The results showed significant 371 spatial agreement between MERRA-2 and ground-based AOD on the global scale, 372 with an acceptable bias (r = 0.85, RMSE = 0.12, MAE = 0.06, and MFE = 38.73%)373 (Fig. 3a). Moreover, Fig. 4 shows site-to-site comparisons of the three-hourly 374 MERRA-2 AOD at 550 nm and the collocated AERONET AOD observations, and a 375 statistical summary of the comparison and the location information for each site are 376 377 given in Table S4. Globally, the MERRA-2 AOD datasets exhibited high R values against ground-based observations: over 83.3%, 59.0% and 28.0% of sites had an R 378 greater than 0.6, 0.7 and 0.8, respectively; 95.9% and 87.6% of sites had an IOA 379 380 greater than 0.8 and 0.9, respectively; 85.3 % and 50.4% of sites had an MAE lower than 0.1 and 0.05, respectively; 22.6% and 59.8% of sites had an MFE lower than 30% 381 and 40%, respectively; and more than 69.9% and 89.3% of sites had an RMSE less 382 than 0.1 and 0.2, respectively. These results indicated that, although MERRA-2 does 383 not perform well in some individual regions, it does not affect the global accuracy of 384 MERRA-2 as the latest global aerosol reanalysis dataset, especially in comparison 385 with other satellite datasets. In addition, the obvious regional differences in the global 386 performance of MERRA-2 AOD should not be overlooked. According to Figs. 4c and 387 e, the RMB was greater than 1 and FGE was greater than 0% in the United States, 388 southern South America and Australia, which indicates that MERRA-2 overestimates 389 the AOD in these regions. This overestimation may be attributed to the bias of MISR 390 AOD in these areas (not shown here) and the fact that AERONET was not assimilated 391 in MERRA-2 until 1999 (Buchard et al., 2017). In contrast, there clear 392 underestimation was found in other regions, such as the Amazon Basin, southern 393 Europe, SA, and SEA. This apparent underestimation (FGE = -23.9%, see Fig. S2b) 394

in NC was further confirmed using additional ground-based AOD observations from
CARSNET (reported in the following section). Notably, this underestimation seems to
be systematic, as negative RMB and FGE were found in most parts of the Northern
Hemisphere, except the United States. Such systematic underestimation over these
regions is likely due to the lack of nitrate aerosols in the GOCART model (Buchard et
al., 2017). Furthermore, the underestimation seems to be more prominent in high
nitrate-emissions areas such as NC and SA.

To ensure the accuracy of inter-annual variations of AODs over different ROIs (as 402 defined in Fig. 1), the regional performance of MERRA-2 AOD was evaluated by 403 integrating all sites within each ROI (Table 2 and Figs. S2). Regionally, R ranged 404 from 0.7 to 0.95 among the 12 ROIs, with the highest R (0.95) occurring in the ME 405 and the lowest (0.7) in the EUS. Similar to the site-to-site FGE distribution, the FGE 406 407 presented a systematic overestimation in the EUS of around 17.82%. In contrast, the FGE showed significant systematic underestimation in NC, SA, CF and SEA, with the 408 degree of underestimation being 23.9%, 8.1%, 23.0% and 8.5%, respectively. 409 Significant differences in these regions were also supported by small RMBs of 0.71, 410 0.87, 0.75 and 0.84, respectively. 411

412 The MERRA-2 AOD datasets performed better over SA than over NC, which is one of the most polluted areas in the world, in terms of a smaller MAE (0.11) and 413 RMSE (0.18) (Fig. S2f). The better performance over SA is likely due to more AOD 414 observations having been assimilated in MERRA-2 compared to over NC (Buchard et 415 al., 2017). For NEA, SC and WEU, MERRA-2 AOD generally compared well to 416 AERONET AOD, with the MAE being less than 0.1, MFE less than 35%, and RMB 417 greater than 0.93. For the SD, results were relatively poor in that the MAE was greater 418 than 0.1 and the RMSE greater than 0.2. Besides, although MERRA-2 performed well 419 in NWC when only one AERONET site was used, after using additional CARSNET 420 421 ground-based observations it was found that the MERRA-2 AOD performance in NWC needs to be improved (Fig. S3c). Notably, MERRA-2 was found to produce 422 lower AOD than AERONET, and the bias between them was more obvious for high 423 AERONET AODs. For instance, the MERRA-2 AODs over most polluted areas (such 424 as the anthropogenic aerosol-dominant regions of NC and SA and the biomass 425 burning-dominant regions of SEA and South America) were almost always lower 426 than those of AERONET when the AERONET AOD was greater than 1.5. This 427 428 indicated that MERRA-2 does not capture all high-AOD events well (such as serious haze events over NC and SA, and frequent biomass burning events over SEA), due to 429 430 the following three reasons: (1) a relatively low quantity of ground-based-observed aerosol data can be used for assimilation; (2) the MERRA-2 system model lacks an 431 adequate source of anthropogenic emissions with high temporal resolution; and (3) a 432 lack of nitrate aerosols in the GOCART model (Chin et al., 2002; Colarco et al., 2010; 433 434 Buchard et al., 2017).

## 435 **3.1.2 MERRA-2** versus CARSNET

436 Since CARSNET is not assimilated in MERRA-2, it is considered for437 independent verification. Using all of the collected CARSNET observations, the

performance of the MERRA-2 AOD in China was validated. Statistical measures for 438 MERRA-2 AOD at each CARSNET site are shown in Fig. 4 and Table S5, and those 439 for regional performance (i.e. NEC, NC and SC) are shown in Table 2 and Fig. S3. In 440 general, the comparison results using CARSNET as reference showed that the 441 performance of MERRA-2 AOD in China (r = 0.70, RMSE = 0.33, MAE = 0.22, and 442 443 MFE = 46.63%) is much worse than that of MERRA-2 AOD on a global scale (Fig. 3a). Regionally, compared with the results from using three AERONET sites as a 444 comparison, the results comparing CARSNET and MERRA-2 AOD showed a similar 445 pattern-that is, the underestimation of MERRA-2 AOD over NC is universal. 446 MERRA-2 underestimated the AOD at almost all CARSNET sites (Fig. 4e and Table 447 S5), with an overall MAE of 0.23, RMSE of 0.33, MFE of 47.3%, and 448 underestimation of ~35.5% (Fig. S3a). Similar results based on CARSNET 449 450 observations in China have also been reported in the literature (Song et al., 2018; Qin et al., 2018). Specifically, there was higher agreement over SC compared with NC 451 (Fig. S3b), mainly because nitrate aerosols in China are mainly concentrated in 452 industrially intensive areas such as Henan, Shandong, Hebei, and the Sichuan Basin 453 454 (Zhang et al., 2012). The lack of a nitrate module in the GOCART model will cause 455 further AOD uncertainty in these above areas, which is the main reason behind the relatively low performance of MERRA-2 AOD in these areas. 456

The purpose of this work was to study the inter-annual or inter-decadal variations 457 of AOD in different regions. Therefore, taking MODIS/Terra and MISR AOD as a 458 reference, the accuracy of MERRA-2 annual-mean AOD was evaluated at global and 459 regional scales (Figs. S4 and S5). Globally, the overall spatial correlations between 460 the MERRA-2 AOD and MODIS/Terra and MISR AOD datasets was found to be 461 quite acceptable, with no apparent disagreements in the annual AOD variations during 462 2001-2016 (Fig. S5). Besides, although an offset was found between MERRA-2, 463 464 MODIS/Terra and MISR in terms of absolute values of AOD in some ROIs, the short-term tendency during the overlapping period was similar among the three 465 datasets (Fig. S4). Because the aerosol retrieval algorithm based on satellite 466 467 observation does not work well under cloudy conditions or for bright surfaces, there are always numerous missing values in satellite-retrieved AOD datasets. In contrast, 468 not only is the accuracy of the MERRA-2 AOD dataset comparable with satellite 469 observations (Fig. S4), it also provides a complete AOD record from 1980 to the 470 471 present day. These reasons give confidence that the MERRA-2 aerosol dataset is suitable for analysis of the variations in AOD. Thus, the AOD values from 472 MERRA-2's aerosol analysis fields, in combination with the AOD datasets derived 473 from two satellite sensors, were used to comprehensively analyze the spatiotemporal 474 variability of aerosols at global and regional scales. 475

## 476 **3.2 Global AOD distribution and inter-annual evolution of**

### 477 regional AOD

Figure S6 shows the global annual- and seasonal-mean AOD distribution calculated from the MERRA-2 AOD products during 1980–2016. Furthermore, the

distributional characteristics of the global annual-mean AOD from MERRA-2, 480 MODIS and MISR during the same period (2001-2016) are also compared in the 481 figure. The comparison shows that, although MISR underestimated the AOD (e.g., in 482 SA and eastern China), as expected because of insufficient sampling (Mehta et al., 483 2016; Kahn et al., 2009), the three AOD products were generally closely consistent on 484 485 the global scale (also see Fig. S5). Generally, high AOD loading was mainly observed in areas of high anthropogenic and industrial emissions, such as in eastern China and 486 India, and major source areas of natural mineral dust-particularly the Saharan, 487 Arabian and Taklimakan deserts. 488

Due to the seasonal variation of the atmospheric circulation driven by solar 489 radiation and the intensity of human activities in different regions, the global 490 distribution of AOD also shows obvious seasonal differences, with global aerosol 491 492 loading reaching its maximum in spring and summer. On the one hand, this can mainly be attributed to the enhanced circulation in spring and summer, which 493 increases the likelihood of natural mineral dust from several major dust sources in the 494 Northern Hemisphere (i.e., the Sahara and Sahel, the Arabian Peninsula, Central Asia, 495 496 and the Taklimakan and Gobi deserts) being brought into the atmosphere; plus, along 497 the westerly belt, airflow dust can be transmitted to surrounding sea areas (such as the strip of the northern tropical Atlantic stretching between West Africa and the 498 499 Caribbean, the Caribbean, the Arabian Sea, and the Bay of Bengal) and more remote areas (such as South America, the Indo-Gangetic Plain, and the eastern coastal areas 500 of China, Korea, and Japan) (Mao et al., 2014). On the other hand, higher 501 temperatures and damp air in summer can create favorable conditions for the 502 503 hygroscopic growth and secondary formation of aerosols (Minguillón et al., 2015; Zhao et al., 2018), which raises the AOD in some areas, such as NC and northern 504 India, dominated by anthropogenic aerosol emissions in summer. Moreover, frequent 505 506 local biomass-burning aerosol emissions in central Africa during summer is the main cause of high AOD in the region (Tummon et al., 2010). 507

508 In contrast, global aerosol loading is relatively low in autumn and winter. The atmosphere in autumn and winter is generally more stable and vertical mixing is 509 weaker, and thus it is difficult for more aerosols-particularly natural mineral 510 dust-to be brought into the atmosphere, which leads to lower AOD in autumn and 511 winter (Zhao et al., 2018). Nevertheless, the AOD in autumn in South America, SEA, 512 513 SC and CF is clearly high, which is mainly attributable to the emission of large amounts of fine aerosol particles (i.e., BC and OC) from frequent biomass burning in 514 these regions (Thornhill et al., 2018; Ikemori et al., 2018; Chen et al., 2017). Notably, 515 fine particulate matter composed of sulfate-nitrate-ammonium aerosols, which is 516 produced by high-intensity anthropogenic activities in autumn and winter, is still the 517 main contributor to high AOD in eastern China and India (Gao et al., 2018; David et 518 al., 2018). 519

To better characterize the temporal evolution of regional AOD, the monthly mean AODs over the 12 ROIs from 1980 to 2016 were calculated. As illustrated in Fig. 5, the monthly regional AOD had large seasonal variability, in addition to varying degrees of fluctuation in different periods. In areas dominated by smoke aerosols from

biomass burning (i.e., AMZ, CF and SEA), biomass-burning events tend to occur in 524 the warm season (May to October), leading to a more prominent monthly AOD at this 525 time of the year compared with the cold season (November to April). It is noteworthy 526 that MERRA-2 also captured several well-known forest-fire events, such as those in 527 Indonesia in 1983 and 1997, which have been proven to be mainly related to climatic 528 529 drying caused by El Niño and large-scale deforestation (Page et al., 2002; Goldammer 2007). In the CF region, the monthly mean maximum AOD experienced a 530 transformation process-that is, the monthly maximum AOD often occurred in June 531 and July before 2000, whereas after 2000 it occurred more frequently in August and 532 September. This shift may be attributed to the fact that MERRA-2 did not assimilate 533 any land-based AOD observations before 1999, which made it difficult for the model 534 to simulate the monthly variation of regional AOD (Gelaro et al., 2017; Buchard et al., 535 536 2017). In the AMZ and SEA regions, September and October seems to be the two most frequent months for the occurrence of high AOD values, but the magnitude of 537 AOD values has decreased in recent years, which may be related to changes in 538 meteorological conditions (Torres et al., 2010). 539

In areas dominated by natural mineral dust aerosol (i.e., the SD, ME and NWC), 540 541 the monthly maximum AOD mainly occurred in March-August. Before 2000, there were many anomalies of the AOD monthly maximum, which also implied frequent 542 sandstorms. In contrast, the frequency of monthly AOD anomalies decreased after 543 2000, which may be attributable to the reduced surface wind speed and increased 544 vegetation cover (Kim et al., 2017; Wang et al., 2018; An et al., 2018). Compared 545 with the areas dominated by smoke and dust aerosols, the seasonal differences of 546 547 AOD in the areas dominated by anthropogenic aerosol emissions appear to be smaller, but their temporal evolution is more pronounced. In NEA, the monthly maximum 548 AOD often occurred in March-June, possibly related to the long-distance 549 transportation of sand and dust in the China-Mongolia deserts (Taklimakan and Gobi). 550 However, as the frequency of sandstorms has decreased in the past 10 years (An et al., 551 2018), the monthly maximum AOD has also shown a downward trend. In NC and SA, 552 the monthly AOD has gradually expanded outward since 1980, indicating that AOD 553 has experienced a gradual increase. Monthly AOD had large seasonal variability in 554 the SC region, reaching its maximum in February-April. The increased aerosol 555 emissions from biomass burning in spring seem to be one of the main reasons for high 556 AOD in the SC region (Chen et al., 2017). For the EUS and WEU regions, the 557 characteristics of the monthly variation in AOD were similar-that is, large values of 558 AOD occurred in summer. With time, the monthly AOD showed a tendency to 559 gradually shrink inwards, suggesting AOD has experienced a significant decline over 560 the past few decades in the EUS and WEU. The main drivers of the inter-annual 561 variability of AOD over each ROI are discussed in detail in sections 3.5 and 3.6. 562

**3.3 Global AOD trend maps** 

Annual and seasonal linear trends of the MERRA-2 AOD anomaly were separately calculated for each  $1^{\circ} \times 1^{\circ}$  grid cell for the whole of 1980–2016 period (period 1) and for the first 18 years (1980–1997, period 2) and last 19 years (1998–

2016, period 3). Figure 6 shows the spatial distribution of these trends on the global 567 scale. Throughout period 1, the regions where annual AOD showed a significant 568 upward trend (p < 0.05) were mainly located in eastern China, SA, the ME, northern 569 South America, and the southern coastal areas of Africa, whereas some significant 570 downward trends were observed in the whole of Europe and the EUS. However, 571 572 compared with the annual trends, the seasonal AOD trends had obvious regional differences in terms of their spatial distribution. For instance, a strong positive trend 573 throughout East Asia, including Korea and Japan, was found in spring. In summer, 574 there was a significant upward and downward AOD trend in north-central Russia and 575 the Amazon basin, respectively. In contrast, winter AOD had a significant downward 576 trend in the area north of 40 N. These differences in seasonal trends are closely 577 related to the seasonal variations in anthropogenic aerosols generated by local 578 579 emissions and natural aerosols driven by meteorological conditions (De Meij et al., 2012; Chin et al., 2014). 580

In the two different historical periods (i.e., period 2 and 3), these trends seem to 581 have experienced a remarkable shift. During period 2, the annual AOD had a 582 significant upward trend throughout the Southern Hemisphere, and similar upward 583 trends also existed in eastern and northwestern China. This upward trend in the 584 Southern Hemisphere, which was most likely associated with two giant volcano 585 eruption events in the early 1980s [El Chich ón (Hofmann and Rosen 1983)] and early 586 1990s [Pinatubo volcanoes (Stenchikov et al., 1998; Bluth et al., 1992; Kirchner et al., 587 1999)], is also reflected in the regional annual mean AOD time series shown in Fig. 588 S4. The eruptions led to a strong increase in volcanic ash and  $SO_2$  emissions, 589 consequently increasing AODs from place to place via airflow transport, which was 590 captured accurately by MERRA-2. Meanwhile, AOD had a significant downward 591 trend throughout Europe and the EUS, which appears to be related to the reduction of 592 593 TSP and  $SO_2$  emissions (see section 3.5). Seasonally, a significant upward trend seems to be prevalent in all seasons in the Southern Hemisphere. Compared with 594 595 other seasons, the decline of AOD was more obvious in Europe and America. In 596 winter, except for the positive trend that still existed in the marine area of the Southern Hemisphere, the fluctuations in other regions were smaller and relatively 597 stable. 598

During period 3, AOD began to show a significant upward trend in most regions, 599 600 especially in SA, SEA, the ME, central Russia, the western United States, and northern South America, whilst still maintaining an upward trend in eastern China 601 with greater intensity. These upward trends over SA, the ME and eastern China are in 602 good agreement with the results of Hsu et al., (2012), who used SeaWiFS AOD 603 records from 1997 to 2010. It is worth noting that the trends for the whole of Europe 604 shifted from significantly positive to statistically insignificant, while the region that 605 had shown a significant downward trend before 1997 in the EUS was also shrinking. 606 Furthermore, the region showing a positive trend, prevailing in the Southern 607 Hemisphere, shrunk dramatically. Similarly, the spatial distribution of the trend also 608 had significant differences in different seasons of this period. In spring and winter, 609 only significant upward trends could be observed on a global scale, mainly in eastern 610

611 China, SA, the ME and South America. Conversely, significant downward trends 612 were apparent in the EUS, Northwest Africa and central South America in summer. 613 Additionally, it was also found that the region with a significant downward trend in 614 Africa shifted from the northwest in summer to the southwest in autumn. The joint 615 effect of the changes in local emissions and meteorological conditions determined 616 these trends in these regions. See Section 3.5 for a more detailed explanation.

Ensuring the accuracy of AOD trends calculated by MERRA-2 is critical for 617 quantifying the contribution of local emissions and meteorological factors to the 618 inter-decadal variation of AOD in different regions. For comparison, the resulting 619 annual and seasonal trends of the MERRA-2, MODIS/Terra, and MISR AOD 620 anomaly over the whole globe were derived, using the same method, between 2001 621 and 2016; the results are shown in Fig. 7. This comparison shows that the AOD trends 622 623 during 2001–2016 calculated by MERRA-2 in most regions of the world agreed well with the results of MODIS and MISR, on both annual and seasonal timescales. 624 Although MERRA-2 assimilates MODIS and MISR at the same time, the relatively 625 small difference between MERRA-2 and MISR may be mainly due to the insufficient 626 627 sample size of MISR (MODIS produces three to four times more data than MISR) (De Meij et al., 2012). 628

For the annual trend, the significant upward trend observed by MODIS/Terra and 629 MISR in SA and the ME and the significant downward trend observed in the EUS, 630 WEU and central South America were consistent with the results of the MERRA-2 631 trend. Similar trends were reported in a previous study based upon 14 years (2001-632 2014) of observational records (Mehta et al., 2016). Similarly, upward trends also 633 existed in spring, autumn and winter, while downward trends were also apparent in 634 spring, summer and autumn. It should be noted that the trend signals calculated from 635 MERRA-2 and MODIS/Terra were opposite in SC. The difference in sign associated 636 637 with trends during 2001–2016 could mainly be due to the larger deviation between MERRA-2 and MODIS/Terra between 2001 and 2004 (Fig. S4c). The large deviation 638 639 directly led to a reversal of trend throughout the period 2001–2016. This deviation 640 may be related to the use of different versions of MODIS data: in the MERRA-2 AOD observing system, MERRA-2 assimilated the bias-corrected AOD derived from 641 MODIS radiances, Collection 5 (Buchard et al., 2017), and the MODIS data used in 642 this study was the latest collection (Collection 6.1). Different versions mean 643 differences in algorithms (Fan et al., 2017), which may affect the statistical error. 644

645 **3.4 Regional AOD trends** 

To examine the spatial and temporal changes in more detail, the annual trend over 646 the globe and in the 12 ROIs, derived based upon MERRA-2 during periods 1, 2 and 647 3, were calculated. In addition, for comparison purposes, the regional trends in AODs 648 from MERRA-2, MODIS and MISR during 2001-2016 were also estimated. The 649 comparisons of the magnitudes of global annual trends with these regional trends are 650 summarized in Fig. 8 and Table S1. In general, the annual trends derived from 651 different datasets were small on the global scale. As indicated by the results in Fig. 8 652 and Table S1, the trend values were  $-0.00068 \text{ yr}^{-1}$  for the globe during period 1, with 653

statistical significance at the 95% confidence level. In contrast, no statistically significant trend was detected at the global scale for period 2 ( $0.00050 \text{ yr}^{-1}$ ) or 3 ( $0.00038 \text{ yr}^{-1}$ ). Analyzing the global AOD trends during 2001–2016 from MERRA-2 and the two satellite datasets, it was found that the MERRA-2 trends were negligible, whereas significant positive (negative) trends were found for MODIS (MISR).

659 However, the trends could be considerable on regional scales. For example, over the anthropogenic aerosol-dominant regions for periods 1, 2 and 3, strong positive 660 trends were apparent over NEA, NC, SC and SA, while strong and statistically 661 significant negative trends were found over WEU and EUS. For biomass-burning 662 regions (SEA, CF and AMZ, but not CF, which had a negligible and insignificant 663 trend), there was a positive trend during periods 1, 2 and 3. For the mineral dust-664 dominant regions, although there seemed to be an upward trend over the ME, the 665 estimated trends were not statistically significant for other areas, such as NWC and 666 the SD. During 2001–2016, the estimated MERRA-2 AOD trend in most ROIs (i.e., 667 NEA, SA, ME, WEU, EUS, and AMZ) was comparable to and had the same sign as 668 the trend from both the MODIS and MISR sensors. However, it was opposite in sign 669 to the MISR data over NC, NWC and the SD, and to the MODIS data over SC, SEA 670 671 and CF during overlapping years. These differences in global trends between MERRA-2 and satellite may be related to several aspects, including the difference in 672 sample number, data accuracy, different measurement methods, etc. (De Meij et al., 673 2012). 674

In addition to the annual trend, the seasonal trend of AOD for different datasets in 675 different ROIs and different historical periods was also studied (Fig. S7 and Table S1). 676 677 Globally, negative trends were observed throughout the four seasons during period 1, especially during summer, autumn and winter  $(-0.00078, -0.00092 \text{ and } -0.00097 \text{ yr}^{-1}, -0.00097 \text{ yr}^{-1}, -0.0009$ 678 respectively; statistically significant at the 95% confidence level). On the contrary, 679 there was a negative trend in period 2, although it was not significant. In the 680 subsequent period, period 3, the trend values shifted from negative to positive. The 681 positive trend was more significant in spring and autumn (0.00053 and 0.00070  $yr^{-1}$ ). 682 Regionally, strong positive trends were apparent over both NC and SC throughout the 683 four seasons during periods 1, 2 and 3. Strong upward trends were also found over SA. 684 These upward trends were most likely associated with an increase in urban/industrial 685 pollution in China and India. Meanwhile, some similar but relatively moderate 686 upward trends also existed over NEA in spring. In contrast, strong negative trends 687 were observed over the WEU and EUS regions, especially during spring, summer and 688 autumn. The negative trends over WEU and the EUS may partly have been due to a 689 decrease in polluting aerosols associated with emission control measures (De Meij et 690 al., 2012; Li et al., 2014). A statistically significant upward trend was also found over 691 the SD, NWC and the ME in spring during periods 1, 2 and 3 (0.00252, 0.00300 and 692  $0.00463 \text{ yr}^{-1}$ ), respectively. In contrast to the strong downward trends over AMZ in 693 summer during periods 1, 2 and 3, there appeared to be upward trends in spring over 694 AMZ and in winter over CF and AMZ. When compared with the regional trends 695 during 2001–2016 calculated by the two satellite datasets, we found that the seasonal 696 trends of MERRA-2 were highly consistent with the satellite results in almost all 697

regions, especially in spring and autumn. It is worth noting that the trend differences among the three different datasets in all four seasons still existed in NC and SC, and the differences had different seasonal characteristics. For example, over NC, the most significant difference occurred in spring and summer, whereas it occurred in summer and winter over SC. Seasonal differences in trends are mainly due to insufficient accuracy of MERRA-2 in China (see Section 3.1.2).

Since the sign of a trend value often varies with the span of the calculation period, 704 it was necessary to evaluate the sliding trend of different periods to help examine the 705 time node of the changes more precisely. Therefore, sliding trend analyses were used 706 to present a more comprehensive analysis of annual trends over the 12 ROIs during 707 different historical periods (Fig. 9). These trends were calculated for all periods 708 starting each year from 1980 to 2007 and ending in 2016 with increments of at least 709 10 years. As shown in Fig. 9, in the EUS and WEU, the AOD experienced a large 710 decline up until the 1981–1990 period, and then the trend reversed moderately from 711 1984 to 1986, declined sharply from 1989 after a short increase from 1996 to 1999, 712 and then sustained a moderate downward trend in the last 17 years. A similar pattern 713 was found for NWC, SD and AMZ, although there was a stronger upward trend and 714 relatively weaker downward trend in the corresponding period. In SC and NC, the 715 AOD experienced a slight increase in the 1980s and a short-term decline around the 716 717 1990s, and then showed its largest positive trend since 1995 before reversing sharply in the last 10 years (Sun et al., 2019). A similar evolution also existed in NEA and the 718 ME, although the intensities of the trends were relatively weak. In addition to the 719 negligible downward trend in the 1980s and 1990s, SA showed overall positive trends 720 721 throughout the period, corresponding to increasing anthropogenic emissions (Figure 11). Furthermore, in CF, a moderate increasing trend was detected from 1983 to 1985; 722 then in 1990, and the trends became relatively stable but unexpectedly showed sharp 723 increases after 1993, followed by a significant decline in the 2000s and reversal in the 724 last 10 years. The trends for SEA were much smaller and relatively stable. Also, note 725 that around 1985 and 1990 two distinct opposite trend signs were found in all regions. 726 These two unexpected trends indicated that large volcanic eruptions not only greatly 727 affect short-term changes in local aerosols, but also impose different degrees of 728 disturbance in long-term trends of aerosols in different regions of the world (Hofmann 729 and Rosen 1983; Stenchikov et al., 1998; Kirchner et al., 1999). 730

Furthermore, considering that aerosol concentration and composition usually 731 have strong seasonal cycles (Li et al., 2018), the trends for each season were also 732 733 calculated separately and compared with the MODIS and MISR trends in the period of overlap (2001–2016). Note that Fig. 10 only shows the evolution of seasonal and 734 annual trends for every 10-year period starting from 1980 to 2007 for MERRA-2, and 735 from 2001 to 2007 for MODIS and MISR; refer to Figs. S8-11 for a fuller 736 presentation of the regional seasonal trend. For all regions, the trends for all seasons, 737 except autumn in SEA, CF and AMZ and spring in the SD, were in phase with the 738 annual trend (also see Fig. S12). In general, autumn trends over SEA, CF and AMZ 739 were larger and often out of phase, possibly attributable to the sudden increase in 740 aerosol concentration caused by biomass-burning events. Similarly, the spring trend 741

over the SD was also larger and more asynchronous than in other seasons. This 742 phenomenon can mainly be attributed to active spring dust events (Liu et al., 2001). In 743 addition, compared with the annual and seasonal regional trends during 2001-2016 744 (Fig. 8 and Fig. S7), the decadal trends of MERRA-2 agreed better with the trend 745 results from MODIS and MISR. This implies that the trends can change relatively 746 747 quickly with time (Li et al., 2018). Supporting evidence was also found from the strongest trends on both annual and seasonal scales being mostly concentrated in the 748 lower y-axis values (Fig. 9 and Figs. S8-11). These results also highlight the 749 importance of evaluating temporal shifts or decadal AOD trends. 750

## 751 **3.5 Response of inter-decadal variation in regional AOD to local**

## 752 emissions and meteorological parameters

Previous studies have shown that the inter-annual variations in regional AOD are 753 mainly controlled by changes in emissions and meteorological factors (De Meij et al., 754 2012; Pozzer et al., 2015; Itahashi et al., 2012; Zhao et al., 2017; Chin et al., 2014). 755 756 First, the trends of the four emission factors (i.e., TSP, SO<sub>2</sub>, BC, and OC) and their 757 correlations with AOD were calculated for the whole study period (1980-2014), as well as for two individual periods (i.e., 1980-1997 and 1998-2014). Note that the 758 759 PKU global emissions inventories were only available for 1980-2014, which limited our research to a relatively short period. Figures 11 and S13 show the linear trends in 760 emissions and their relationships with MERRA-2 AOD during 1980-2014, 761 respectively. The decreasing AOD trends over Europe and the EUS (see Fig. 6) 762 763 coincided with substantial reductions in the emissions of primary anthropogenic aerosols (TSP and BC) and precursor gases (SO<sub>2</sub>), corresponding to pollution controls 764 (Hammer et al., 2018; De Meij et al., 2012). This was also supported by significant 765 766 positive correlation between AOD and emissions in most regions of Europe and the EUS (Fig. S13). 767

768 Positive trends in TSP and SO<sub>2</sub> were present over India and eastern China, which explained the significant upward trend of AOD in these two regions. In addition, 769 eastern China and India experienced a shift in the emissions trend during the two 770 periods (Figs. S14 and 16). In 1980–1997, a significant upward trend existed in both 771 regions (Huang et al., 2014). In contrast, in 1998–2014, India at least maintained this 772 upward trend for all four emission factors, with it sometimes being even stronger, 773 while the positive trends in emissions of TSP and SO<sub>2</sub> over eastern China were 774 interspersed with negative trends. More importantly, the trend of BC and OC in 775 eastern China reversed completely. The shift in these emission trends in eastern China 776 can mainly be attributed to the implementation of multiple emission reduction policies 777 (Zheng et al., 2018). The reductions in emissions were at least partly responsible for 778 the decreasing trend of AOD in the NC and SC regions in the last 10 years (see Fig. 9). 779 The trends in primary BC emissions followed a similar pattern as the trends in OC 780 781 emissions, except there were positive trends over northeastern China and the positive (negative) trends over CF, AMZ and SEA (WEU and SC) were lower in magnitude, 782 reflecting regional changes in fire activity. There were positive AOD trends in areas 783

dominated by biomass burning (especially in CF and SEA), in response to increased 784 BC and OC emissions. Because human activities are scarce in desert areas, there was 785 no direct relationship between AOD and emissions, as expected. Therefore, this 786 highlights the importance of studying how natural factors (here, this refers to 787 meteorological parameters) control the inter-annual variation of AOD in different 788 789 desert areas. Furthermore, it is worth noting that in the two short periods (especially 1998–2014), these regions with significant positive correlation shrunk and were no 790 longer significant (Figs. S15 and 17), suggesting other factors such as meteorological 791 parameters might be driving the inter-annual trend of regional AOD. 792

To investigate the roles of meteorological parameters in the decadal variation of 793 AOD, Pearson's *R* values between AOD and meteorological parameters (a total of 32; 794 see Table 1) and over the 12 ROIs for the three periods (i.e., 1980–2014, 1980–1997 795 796 and 1998-2014) were calculated. Some of these meteorological variables, such as surface precipitation, surface wind speed, wind velocity, RH, and surface wetness, 797 have been shown before to be correlated with regional AOD (Klingmüller et al., 2016; 798 Pozzer et al., 2015; Chin et al., 2014; He et al., 2016). Correlation analysis showed 799 similar correlation patterns between AOD and meteorological parameters for the three 800 801 different periods over all ROIs. During the period 1998-2014, the correlation was generally stronger than in the other two periods (see Fig. S18), suggesting 802 803 meteorological factors may have played a more important role in this period. In addition, these correlations seemed to be similar in regions dominated by the same 804 aerosol type. For example, in the mineral dust-dominated regions (i.e., NWC, ME and 805 the SD), AOD had a significant positive (negative) correlation with near-surface wind 806 807 speed (soil moisture), suggesting that surface wind speed and soil moisture may be the main factors controlling the dust cycle, which is consistent with previous studies in 808 the ME (Klingmüller et al., 2016). In the biomass burning-dominated regions (i.e., 809 810 SEA, CF and AMZ), AOD had a significant negative correlation with humidity-related meteorological parameters (such as surface precipitation, RH, and 811 soil moisture), implying that ambient humidity (including the atmosphere and soil) 812 may be a direct correlation factor in controlling the frequency of biomass-burning 813 events (Torres et al., 2010). In contrast, in the regions dominated by anthropogenic 814 aerosols, the correlation was regionally dependent, and their signs differed from place 815 to place. 816

817 Correlation analysis cannot directly identify the main factors affecting the inter-decadal change of AOD in different regions. Here, MLR models were used to 818 819 diagnose the influences of local anthropogenic emissions and other meteorological parameters on the inter-decadal variation of AOD over the 12 ROIs. Figure 12 shows 820 the time series of monthly mean MERRA-2 and MLR model-predicted normalized 821 AOD anomalies, which used the emission factors, meteorological parameters, and 822 both, as input predictors, respectively, over the 12 ROIs for the whole study period 823 (1980–2014). Similar comparisons for the two individual periods (i.e., 1980–1997 and 824 1998–2014) are also presented in Figs. **S**19 and 20, respectively. Table S2 summarizes 825 the predictors included in the MLR models and their performance for the three 826 different periods over each ROI. The MLR models with both emissions and 827

meteorological parameters as predictors generally reproduced the AOD values in most 828 regions during 1980–2014, except for high AOD values (Fig.12), which is discussed 829 below. For all the ROIs, the MLR models explained most of the MERRA-2 AOD 830 variability ( $R^2 = 0.42-0.76$ ). However, when meteorology and emissions alone were 831 used as predictors, there were considerable differences in different ROIs. When 832 833 emission factors alone were used as the predictor, it could account for more than 35% of the AOD variability in regions dominated by anthropogenic aerosols and biomass 834 burning [except NEA (14%)], with the largest explanation occurring in NC (58%). In 835 contrast, in the mineral dust-dominated regions (the SD and ME), emission factors 836 contributed little (< 0.05%) to the inter-annual variation in AOD (Figs. 11g and i). 837 Moreover, emission factors contributed 37% of the AOD variability in NWC, which is 838 mainly because of the strong anthropogenic emission sources in northern Xinjiang 839 840 (mainly encompassing Urumqi, Korla, Kashgar, etc.). However, compared with meteorological factors, emissions were not the main factors driving the inter-annual 841 change of AOD (Fig. 12e). 842

On the other hand, when meteorological factors were used as predictors in the 843 844 MLR models, it was surprising that they explained a larger proportion of the AOD changes in all ROIs, except NC and SEA, where emission factors accounted for 845 slightly lower AOD changes of 42% and 33%, respectively. Further analysis indicated 846 that this difference in contribution between emissions and meteorology seemed to be 847 greater for the two shorter periods of 1980-1997 and 1998-2017 (see Figs. S19 and 848 20). Besides, it should also be noted that the total explained variances of the MLR 849 model for 1980-1997 were generally lower than those of the MLR model for 1998-850 2014, in all ROIs. The difference can be explained by two reasons: (1) a greater 851 number of high AOD anomaly values occurred during the period 1980–1997 (Figs. 12 852 and S19), especially in relation to the two volcanic eruption events in the 1980s and 853 1990s, which directly reduced the total explained variances of the MLR model, 854 because the model only considers the inter-decadal variations of local emissions and 855 856 meteorological factors, and the large-scale transport of pollutants is not considered; 857 and (2) meteorology and emissions were confirmed to explain more AOD changes during the period 1998-2014. 858

# 859 **3.6 Relative contributions of local emissions and meteorological**

#### 860 parameters to inter-decadal variations of regional AOD

Application of the LMG method (see Data and Methods section) to the MLR 861 model allowed the relative contributions of each anthropogenic emission type and 862 meteorological factor to the inter-decadal variations or trend of regional AOD to be 863 quantified. Figure 13 shows the relative contributions of the local emissions and 864 meteorological factors to the changes in regional AOD for the period 1980-2014, as 865 well as for 1980-1997 and 1998-2014, using both emissions and meteorology as 866 predictors in the MLR model. During the period 1980-2014, over the anthropogenic 867 aerosol-dominant regions, SO<sub>2</sub> was the dominant emissions driving factor, explaining 868 24.9%, 15.2%, 32.6%, 21.7% and 12.7% of the variance of AOD over NC, SC, SA, 869

WEU and the EUS, respectively (also see Table S3). The above results also confirm 870 that particulate sulfate is the main contributor to fine-mode AOD in anthropogenic 871 aerosol-dominant regions (Itahashi et al., 2012; David et al., 2018). Meanwhile, wind 872 speed (including surface and upper wind speed) was the dominant meteorological 873 driving factor, explaining 11.4%, 14.2% and 17.9% of the variance of AOD over NC, 874 875 SC and the EUS, respectively. In addition, planetary boundary layer height, temperature (including surface temperature, upper temperature, and the temperature 876 difference between the surface and upper atmosphere) and RH (including surface and 877 upper RH) were the strongest meteorological driving factors over NEA, SA and WEU, 878 contributing 30.2%, 15.9% and 21.5%, respectively. 879

On the contrary, over the biomass burning-dominant regions, BC (OC) was the 880 dominant emissions driving factor over SEA (AMZ), explaining 27.7% (24.0%) of the 881 882 variance of AOD. Meanwhile, soil moisture and RH were the top meteorological driving factors over SEA and AMZ, and CF, contributing 11.7% and 35.5%, and 883 28.5%, respectively. Furthermore, over the dust-dominant regions, wind speed was 884 the strongest meteorological driving factor, explaining 30.3% and 29.8% of the 885 variance in AOD over NWC and the SD, respectively. Different from wind speed 886 887 being the primary meteorological driving factor over NWC and the SD, it was the second most important factor over the ME, while sea level pressure was the primary 888 driving factor, accounting for 60.9% of the variation in AOD. This large variance 889 explained by sea level pressure and significant anti-correlations of the AOD with it 890 (see Fig. S18c), further confirms the previous studies' findings that frequent 891 sandstorms over the ME often correspond to large horizontal pressure gradient 892 893 differences caused by the enhanced high-pressure system across the eastern Mediterranean Sea and enhanced low-pressure system across Iran and Afghanistan 894 (Hamidi et al., 2013; Yu et al., 2016). 895

896 By comparing the estimated results of the two independent study periods (i.e., 1980-1997 and 1998-2014), it was found that in almost all ROIs (except NC and 897 898 AMZ), meteorological factors contributed a larger explained proportion of AOD changes during 1998-2014, which indicates that meteorological factors seem to be 899 becoming increasingly more important in dominating the inter-decadal change of 900 regional AOD. It is worth noting that, in addition to the increased explained 901 proportion of SO<sub>2</sub> and BC, among these meteorological factors, the role of 902 diffusion-related parameters (such as horizontal and vertical wind speed, representing 903 horizontal and vertical diffusion, respectively) seems to be the most prominent. This 904 905 is consistent with the findings of Gui et al. (2019), who found wind speed to be the dominant meteorological driver for decadal changes in fine particulate matter over SC. 906 based upon a 19-yr record of satellite-retrieved fine particulate matter data (1998-907 2016). 908

#### 909 **4 Conclusions and implications**

This paper presents a comprehensive assessment of the global and regional AOD
trends over the past 37 years (1980–2016), based on the reanalysis MERRA-2 AOD
dataset. AOD observations from both AERONET and CARSNET stations were used

to assess the performance of the MERRA-2 AOD dataset on global and regional
scales prior to calculating the global and regional AOD trends. Satellite retrievals
from MODIS/Terra and MISR were then used to estimate the AOD annual and
seasonal trends and compare them with the MERRA-2 results. Finally, the stepwise
MLR and LMG methods were jointly applied to quantify the influences of emission
factors and meteorological parameters on the inter-decadal changes in AOD over 12
ROIs during the three periods of 1980–2014, 1980–1997 and 1998–2014.

Results showed that the MERRA-2 AOD was comparable in accuracy with the 920 satellite-retrieved AOD, albeit there was slight overestimation in the United States, 921 southern South America and Australia and underestimation in the NC, SA, CF and 922 SEA when compared with the ground-based AERONET and CARSNET AOD. 923 MERRA-2 was proven to be capable of estimating the long-term variability and trend 924 925 of AOD, owing to its good accuracy and continuous and complete spatiotemporal resolution. It was revealed that, in general, MERRA-2 was able to quantitatively 926 reproduce the AOD annual and seasonal trends (especially decadal trends) during the 927 overlapping years (2001–2016), as observed by the MODIS/Terra, albeit some 928 929 discrepancies (caused by the insufficient sample size) were found when compared to 930 MISR. The resulting trend analyses based upon the MERRA-2 data from 1980 to 2016 showed that the global annual trend of AOD during this period, although 931 significantly (p < 0.05) weakly negative (i.e., -0.00068 yr<sup>-1</sup>), was essentially 932 negligible when compared to the magnitudes of regional AOD trends. On regional 933 scales, sliding trend analyses suggested that the inter-decadal trends of AOD in 934 different periods could be significantly different. It was noted that, during the entire 935 936 study period (1980–2016), the EUS and WEU showed a non-monotonous decreasing trend accompanied by occasional fluctuations in the 1980s and 1990s, responding to 937 the decrease in pollutant emissions, but the intensity of this downward tendency has 938 939 slowed over the recent decade. In contrast, AODs in NC and SC experienced a sustained and significant upward trend before ~2006, and then the trend shifted from 940 upward to downward due to the Chinese government's emissions-reduction policy. In 941 942 addition to the negligible downward trend in the 1980s and 1990s, SA showed overall significant positive trends throughout the study period. Moreover, the two large 943 volcanic eruptions that occurred in the 1980s and 1990s not only greatly affected the 944 short-term changes in local aerosol loading, but also impacted significantly on the 945 946 inter-annual trend of the regional AOD around the world. This highlights the importance of examining the effects of trans-regional pollutant transport on decadal or 947 948 temporal shifts in local AOD trends.

To diagnose the influences of local anthropogenic emissions and other 949 meteorological parameters on the inter-decadal variation of regional AODs, statistical 950 MLR models that estimated AOD monthly values over each ROI as a function of local 951 emissions factors and various meteorological variables were developed. The modeled 952 AODs using emission factors, meteorological parameters, and both, as input 953 954 predictors in the MLR models were compared during three individual periods (i.e., 1980-2014, 1980-1997 and 1998-2014). In general, the MLR models with both 955 emissions and meteorological parameters as predictors could account for 42%-76% of 956

the variability of the MERRA-2 AOD, depending on the ROI. However, when meteorology and emissions alone were used as predictors, there were considerable differences in different ROIs. During 1980–2014, compared with the emission factors (0%–56%), it was found that meteorological parameters explained a larger proportion of the AOD changes (20.4%–72.8%) over all ROIs (except NC and SEA). Besides, further analysis also showed that this dominant driving role of meteorological parameters was stronger during the other two periods.

The LMG method for MLR models suggested that SO<sub>2</sub> was the dominant 964 emissions driving factor, explaining 24.9%, 15.2%, 32.6%, 21.7% and 12.7% of the 965 variance of AOD over NC, SC, SA, WEU and the EUS, respectively. In contrast, BC 966 (OC) was the dominant emissions driving factor over SEA (AMZ), explaining 27.7% 967 (24.0%) of the variance of AOD. For meteorological driving factors, over the mineral 968 dust-dominant regions, wind speed was the top driving factor, explaining 30.3% and 969 29.8% of the variance of AOD over NWC and the SD. Meanwhile, soil moisture and 970 RH were the strongest meteorological driving factors over SEA and AMZ, and CF, 971 contributing 11.7% and 35.5%, and 28.5%, respectively. Notably, the performance of 972 973 the MLR model in 1980–1997 was significantly worse than that in 1998–2014, which 974 can mainly be attributed to the fact that the statistical model used in this study did not take into account the impact of trans-regional transport. Consequently, the model 975 failed to capture the abnormally high values of regional AOD caused by trans-regional 976 transport during 1980–1997. Finally, deeper insight into the influence of emissions 977 and meteorological factors, as well as the influence of atmospheric transport, on the 978 inter-decadal change in regional AOD, will be provided in future modeling studies. 979

980

# 981 **Data availability:**

The CARSNET AOD dataset used in the study can be requested by contacting thecorresponding author.

984

# 985 **Competing interests:**

986 The authors declare that they have no conflict of interest.

987

# 988 Author contribution:

All authors contributed to shaping up the ideas and reviewing the paper. HC, KG and
XZ designed and implemented the research, as well as prepared the manuscript; HC,
KG and YW contributed to analysis of the MERRA-2, MODIS and MISR dataset; HC,
XX, BNH, PG, and EGA contributed to the CARSNET data retrieval; HC, KG, YW,
HW, YZ, and HZ carried out the CARSNET observations; XX, BNH, PG, and EGA
provided constructive comments on this research

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#### 1404 **Table captions:**

1405 **Table 1.** Prediction variables used in the stepwise MLR models.

**Table 2.** Statistical measures of the three hourly MERRA-2 AOD versus AERONET andCARSNET AODs over the 12 regions of interest.

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#### 1410 **Figure captions:**

Figure 1. Geographical locations of the AERONET (yellow dots) and CARSNET sites (magenta dots) used in this work. The red boxes represent the 12 regions of interest selected in this study:
Northeast Asia (NEA), northern China (NC), southern China (SC), Southeast Asia (SEA),
Northwest China (NWC), South Asia (SA), Middle East (ME), western Europe (WEU), Sahara
Desert (SD), Central Africa (CF), eastern United States (EUS), and Amazon Zone (AMZ).

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Figure 2. Flowchart with the procedure followed for (a) the evaluation of MERRA-2 global AOD
using the AERONET and CARSNET ground-based reference dataset, and (b) the evaluation of
global and regional AOD trends.

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Figure 3. Evaluation of the three-hourly MERRA-2 AOD against the (a) AERONET and (b)
CARSNET AODs. The color-coded dots indicate the number of samples. The solid red line is the
line of best fit and the black dashed line is the 1:1 line. For descriptions of statistical metrics, see
the comparison methods section.

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Figure 4. Comparison of the three-hourly MERRA-2 AOD datasets with AOD observations of 1426 1427 468 AERONET sites worldwide and 37 CARSNET sites in China: site performance maps for the 1428 (a) correlation coefficient (R), (b) mean absolute error (MAE), root-mean-square error (RMSE), (c) 1429 relative mean bias (RMB), (d) mean fractional error (MFE), (e) fractional gross error (FGE), and 1430 (f) the index of agreement (IOA) between MERRA-2 AOD and ground-based AOD observations. 1431 The size of the circles in Fig.4b represents the RMSE and their inner color represents the MAE. The bars in the lower left inset in each panel represent the frequency distribution histograms for 1432 the R, MAE, RMSE, RMB, MFE, FGE and IOA between MERRA-2 and all ground-based 1433 1434 observations incorporating AERONET and CARSNET, respectively. Note that all sites within 1435 each region of interest (ROI) are integrated to assess the accuracy of the MERRA-2 AOD dataset in that area. The performance of the MERRA-2 AOD dataset in each ROI is illustrated in Figs. S2 1436 1437 and S3.

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Figure 5. Temporal evolution of regional monthly averaged AOD for the 12 regions of interest.
Each year is represented by an irregular ring with 12 directions. Each direction of the ring
represents a specific month; the distance from the center of the ring represents the regional
monthly mean AOD value; and the color of the ring represents the year. A special ring colored
cyan represents the monthly mean AOD for the period 1980–2016.

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1445 Figure 6. Spatial distributions of the linear trends in annual and seasonal MERRA-2 AOD

calculated from the time series value of the de-seasonalized monthly anomaly during (a) 1980–
2016, (b) 1980–1997, and (c) 1998–2016. Only trend values with statistical significance at the 95%
confidence level are shown.

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Figure 7. Spatial distributions of annual and seasonal trends in AOD calculated from the time
series value of the de-seasonalized monthly anomaly from (a) MERRA-2, (b) MODIS/Terra, and
(c) MISR between 2001 and 2016. Only trend values with statistical significance at the 95%
confidence level are shown.

Figure 8. Inter-comparisons of global and regional annual trends in AOD calculated from the time series value of the de-seasonalized monthly anomaly of MERRA-2, MODIS/Terra and MISR, during the four periods of 1980–2016, 1980–1997, 1998–2016, and 2001–2016. Error bars represent the uncertainty associated with the calculated trend. The trend bars with shadow indicate statistical significance at the 95% confidence level.

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Figure 9. Sliding-window trend analyses of the annual mean MERRA-2 AOD from 1980 to 2016
over the 12 ROIs (see Fig. 1 for names and locations of regions), with at least 10 years used to
calculate trends. The *x*-axis and *y*-axis indicate the start year and the length of the time series to
calculate the trend, respectively. The colors of rectangles represent the intensity of the trend (units:
/year), and those with black 'x' signs indicate linear trends above the 95% significance level.

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Figure 10. Temporal evolution of sliding decadal trends in the annual and seasonal mean AOD from MERRA-2, MODIS/Terra and MISR over the 12 ROIs. The trends were calculated for each 10-year interval from 1980 to 2007 for MERRA-2, and from 2001 to 2007 for MODIS/Terra and MISR. The colors of the rectangles represent the intensity of the decadal trend (units: /year), and those with black 'x' signs indicate linear trends above the 95% significance level.

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Figure 11. Spatial distributions of linear trends (units: kg/km<sup>2</sup>/year) in total anthropogenic 1473 emissions of total suspended particles (TSP), SO<sub>2</sub>, black carbon (BC), and organic carbon (OC) 1474 1475 during 1980-2014 derived from the Peking University emissions inventorv 1476 (http://inventory.pku.edu.cn/) (Huang et al., 2014). Only linear trend values with statistical 1477 significance at the 95% confidence level are shown.

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**Figure 12.** Time series of MERRA-2 (in black) and modeled AOD monthly normalized anomalies from 1980 to 2014 over the 12 regions of interest. The coefficient of determination ( $R^2$ ) of the regression fit of the stepwise MLR model with emission factors (in blue), meteorology (in green), and both emissions and meteorology (in red) as predictors are given in the top-right of each panel.

Figure 13. The LMG method–estimated relative contributions (%) of total variances in the
stepwise MLR model explained by the local emission factors (left-hand bars) and meteorological
variables (right-hand bars) over the 12 regions of interest during three periods: (a) 1980–1997 (top
panel); (b) 1998–2014 (middle panel); and (c) 1980–2014 (bottom panel). Note that
meteorological parameters were combined as follows: temperature, T (Ts, T<sub>850</sub>, T<sub>700</sub>, T<sub>500</sub>, dT<sub>900-s</sub>,
dT<sub>850-s</sub>); geopotential height, GH (GH<sub>850</sub>, GH<sub>700</sub>, GH<sub>500</sub>); relative humidity, RH (RH<sub>s</sub>, RH<sub>850</sub>, RH<sub>700</sub>,

- 1490 RH<sub>500</sub>); vertical velocity, Ome (Ome<sub>850</sub>, Ome<sub>700</sub>, Ome<sub>500</sub>); and wind speed, WS (U<sub>850</sub>, U<sub>700</sub>, U<sub>500</sub>,
- 1491  $V_{850}$ ,  $V_{700}$ ,  $V_{500}$ ,  $WS_s$ ,  $WS_{850}$ ,  $WS_{700}$ ,  $WS_{500}$ ,  $VWS_{500-850}$ ). Refer to Table S3 for the detailed
- 1492 relative contributions of each variable in the stepwise MLR models.

1493 Table 1. Prediction variables used in the stepwise MLR models.

Data type	Variables	Predictors used in the stepwise MLR model <sup>a</sup>	Data source
	TSP	Gridded monthly total emissions of total suspended particles	Peking University global emissions
	$SO_2$	Gridded monthly total emissions of sulfur dioxide	inventories at 1 $^{\circ}\times1$ $^{\circ}horizontal$
Emission factors	BC	Gridded monthly total emissions of black carbon	resolution
	OC	Gridded monthly total emissions of organic carbon	(http://inventory.pku.edu.cn/home.h tml)
	Pre	Gridded monthly total surface precipitation	
	PBLH	Gridded monthly mean planetary boundary layer height	
	SM	Gridded monthly mean soil moisture at surface	
	SLP	Gridded monthly mean sea level pressure	
	CLF	Gridded monthly mean cloud fraction	
	T <sub>s</sub>	Gridded monthly mean surface temperature	
	Т	Gridded monthly mean 850-, 700- and 500-hPa temperature	
	dT	Gridded monthly mean temperature difference between 900 hPa and the	MERRA-2 reanalysis dataset at
Meteorological		surface, and 850 hPa and the surface	$0.5^{\circ} \times 0.625^{\circ}$ horizontal resolution
parameters	GH	Gridded monthly mean 850-, 700- and 500-hPa geopotential height	(https://disc.gsfc.nasa.gov/daac-bin/
	RH <sub>s</sub>	Gridded monthly mean surface relative humidity	FTPSubset2.pl)
	RH	Gridded monthly mean 850-, 700- and 500-hPa relative humidity	
	Ome	Gridded monthly mean 850-, 700- 500-hPa vertical velocity	
	U	Gridded monthly mean 850-, 700- and 500-hPa zonal wind	
	V	Gridded monthly mean 850-, 700- and 500-hPa meridional wind	
	WS <sub>s</sub>	Gridded monthly mean surface wind speed	
	WS	Gridded monthly mean 850-, 700- and 500-hPa wind speed	
	$VS_{500-850}{}^{b}$	Gridded monthly mean vertical wind shear between 500 and 850 hPa	

1494 <sup>a</sup>Units: g/km<sup>2</sup> (TSP, SO<sub>2</sub>, BC, OC); kg/m<sup>2</sup>/s (Pre); m (PBLH, GH); 1 (SM, CLF); Pa (SLP); K (T, dT); % (RH); pa/s (Ome); and m/s (U,V, WS, VWS<sub>500-850</sub>)

1495 <sup>b</sup> VWS<sub>500-850</sub> was calculated as  $\sqrt{(U_{500} - U_{850})^2 + (V_{500} - V_{850})^2}$ 

ROIs	Number of sites	Number of collocations	R	MAE	RMSE	RMB	MFE (%)	FGE (%)	IOA
NEA	13	35066	0.79	0.10	0.16	0.93	33.18	-2.65	0.92
NC	3	16782	0.80	0.25	0.42	0.71	45.44	-23.85	0.78
SC	2	3616	0.87	0.08	0.13	1.01	24.73	5.25	0.95
SEA	17	32112	0.79	0.12	0.24	0.84	31.26	-8.52	0.86
NWC	1	4633	0.85	0.03	0.05	1.01	30.74	1.98	0.98
SA	13	33385	0.84	0.11	0.18	0.87	34.54	-8.06	0.93
ME	10	34312	0.95	0.04	0.07	1.02	12.89	4.13	0.98
WEU	81	252767	0.79	0.04	0.07	0.95	32.91	2.01	0.97
SD	14	69982	0.81	0.14	0.20	0.97	33.22	4.40	0.91
CF	5	12380	0.83	0.08	0.14	0.75	35.78	-22.96	0.93
EUS	38	105577	0.70	0.07	0.11	1.11	42.28	17.82	0.94
AMZ	8	21105	0.82	0.08	0.19	0.84	35.84	-1.73	0.89
NC <sup>a</sup>	12	27508	0.70	0.23	0.33	0.71	47.31	-35.45	0.81
$SC^{a}$	2	2346	0.74	0.15	0.21	0.92	30.85	-8.01	0.90
NWC <sup>a</sup>	3	10103	0.67	0.20	0.33	0.69	45.17	-26.00	0.78

1497 Table 2. Statistical measures of the three hourly MERRA-2 AOD versus AERONET and CARSNET AODs over the 12 regions of interest.

1498 <sup>a</sup> indicates the statistical results for CARSNET sites.



1499-6000-4000-200002000400060001500Figure 1. Geographical locations of the AERONET (yellow dots) and CARSNET sites (magenta dots) used in this1501work. The red boxes represent the 12 regions of interest selected in this study: Northeast Asia (NEA), northern1502China (NC), southern China (SC), Southeast Asia (SEA), Northwest China (NWC), South Asia (SA), Middle East1503(ME), western Europe (WEU), Sahara Desert (SD), Central Africa (CF), eastern United States (EUS), and Amazon1504Zone (AMZ).





1520 Figure 3. Evaluation of the three-hourly MERRA-2 AOD against the (a) AERONET and (b) CARSNET AODs.1521 The color-coded dots indicate the number of samples. The solid red line is the line of best fit and the black dashed

1522 line is the 1:1 line. For descriptions of statistical metrics, see the comparison methods section.





1532 Figure 4. Comparison of the three-hourly MERRA-2 AOD datasets with AOD observations of 468 AERONET 1533 sites worldwide and 37 CARSNET sites in China: site performance maps for the (a) correlation coefficient (R), (b) 1534 mean absolute error (MAE), root-mean-square error (RMSE), (c) relative mean bias (RMB), (d) mean fractional 1535 error (MFE), (e) fractional gross error (FGE), and (f) the index of agreement (IOA) between MERRA-2 AOD and 1536 ground-based AOD observations. The size of the circles in Fig.4b represents the RMSE and their inner color 1537 represents the MAE. The bars in the lower left inset in each panel represent the frequency distribution histograms 1538 for the R, MAE, RMSE, RMB, MFE, FGE and IOA between MERRA-2 and all ground-based observations 1539 incorporating AERONET and CARSNET, respectively. Note that all sites within each region of interest (ROI) are 1540 integrated to assess the accuracy of the MERRA-2 AOD dataset in that area. The performance of the MERRA-2 1541 AOD dataset in each ROI is illustrated in Figs. S2 and S3.

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Figure 5. Temporal evolution of regional monthly averaged AOD for the 12 regions of interest. Each year is
represented by an irregular ring with 12 directions. Each direction of the ring represents a specific month; the
distance from the center of the ring represents the regional monthly mean AOD value; and the color of the ring
represents the year. A special ring colored cyan represents the monthly mean AOD for the period 1980–2016.



Figure 6. Spatial distributions of the linear trends in annual and seasonal MERRA-2 AOD calculated from the time
series value of the de-seasonalized monthly anomaly during (a) 1980–2016, (b) 1980–1997, and (c) 1998–2016.
Only trend values with statistical significance at the 95% confidence level are shown.



Figure 7. Spatial distributions of annual and seasonal trends in AOD calculated from the time series value of the
de-seasonalized monthly anomaly from (a) MERRA-2, (b) MODIS/Terra, and (c) MISR between 2001 and 2016.
Only trend values with statistical significance at the 95% confidence level are shown.



Figure 8. Inter-comparisons of global and regional annual trends in AOD calculated from the time series value of
the de-seasonalized monthly anomaly of MERRA-2, MODIS/Terra and MISR, during the four periods of 1980–
2016, 1980–1997, 1998–2016, and 2001–2016. Error bars represent the uncertainty associated with the calculated
trend. The trend bars with shadow indicate statistical significance at the 95% confidence level.



Figure 9. Sliding-window trend analyses of the annual mean MERRA-2 AOD from 1980 to 2016 over the 12 ROIs
(see Fig. 1 for names and locations of regions), with at least 10 years used to calculate trends. The *x*-axis and *y*-axis
indicate the start year and the length of the time series to calculate the trend, respectively. The colors of rectangles
represent the intensity of the trend (units: /year), and those with black 'x' signs indicate linear trends above the 95%
significance level.



Figure 10. Temporal evolution of sliding decadal trends in the annual and seasonal mean AOD from MERRA-2,
MODIS/Terra and MISR over the 12 ROIs. The trends were calculated for each 10-year interval from 1980 to 2007
for MERRA-2, and from 2001 to 2007 for MODIS/Terra and MISR. The colors of the rectangles represent the
intensity of the decadal trend (units: /year), and those with black 'x' signs indicate linear trends above the 95%
significance level.



Figure 11. Spatial distributions of linear trends (units: kg/km²/year) in total anthropogenic emissions of total
suspended particles (TSP), SO<sub>2</sub>, black carbon (BC), and organic carbon (OC) during 1980–2014 derived from the
Peking University emissions inventory (http://inventory.pku.edu.cn/) (Huang et al., 2014). Only linear trend values
with statistical significance at the 95% confidence level are shown.



1595Figure 12. Time series of MERRA-2 (in black) and modeled AOD monthly normalized anomalies from 1980 to15962014 over the 12 regions of interest. The coefficient of determination  $(R^2)$  of the regression fit of the stepwise1597MLR model with emission factors (in blue), meteorology (in green), and both emissions and meteorology (in red)1598as predictors are given in the top-right of each panel.



1602 Figure 13. The LMG method-estimated relative contributions (%) of total variances in the stepwise MLR model 1603 explained by the local emission factors (left-hand bars) and meteorological variables (right-hand bars) over the 12 1604 regions of interest during three periods: (a) 1980-1997 (top panel); (b) 1998-2014 (middle panel); and (c) 1980-1605 2014 (bottom panel). Note that meteorological parameters were combined as follows: temperature, T (Ts, T<sub>850</sub>, T<sub>700</sub>, 1606 T<sub>500</sub>, dT<sub>900-s</sub>, dT<sub>850-s</sub>); geopotential height, GH (GH<sub>850</sub>, GH<sub>700</sub>, GH<sub>500</sub>); relative humidity, RH (RH<sub>s</sub>, RH<sub>850</sub>, RH<sub>700</sub>, 1607 RH500); vertical velocity, Ome (Ome850, Ome700, Ome500); and wind speed, WS (U850, U700, U500, V850, V700, V500, 1608 WSs, WS850, WS700, WS500, VWS500-850). Refer to Table S3 for the detailed relative contributions of each variable 1609 in the stepwise MLR models.