| 1 | Responses to the Editor: | | | |
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| 3 4 | We thank the Editor again for his careful reading of the manuscript and helpful | Hea | | |
| 5 | comments. We have revised the manuscript following the suggestions as is described | | | |
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| 8 | 1. Please enhance the quality of the figures by increasing both the resolution and | | | |
| 9 | the sizes of the figure legends. | | | |
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| 11 | According to the Editor's suggestion, we re-plot the figures with better quality | | | |
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| 13 14 | 2. The following studies are concerned with the impact of carbonaceous | | | |
| 15 | aerosol on air pollution that may be referred to in the introduction: | | | |
| 16 | Jia R., M. Luo, Y. Liu, Q. Z. Zhu, S. Hua, C. Q. Wu and T. B. Shao, 2019: | | | |
| 17 | Anthropogenic Aerosol Pollution over the Eastern Slope of the Tibetan | | | |
| 18 | Plateau. Advances in Atmospheric Sciences, 2019, 36(8): 847-862. | | | |
| 19 | | | | |
| 20 | Zhu Q., Y. Liu, R. Jia, S. Hua, T. Shao, B. Wang, 2018: A numerical | | | |
| 21 22 | simulation study on the impact of smoke aerosols from Russian forest fires on the air pollution over Asia. Atmospheric Environment, 182,263-274. | | | |
| 22 | the all pollution over Asia. Autospheric Environment, 182,203-274. | | | |
| 24 | According to the Editor's suggestion, we have added these 2 references in the | | | |
| 25 | introduction and references. | | | |
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| 31 | Ozone enhancement due to photo-disassociation of |
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| 32 | nitrous acid in eastern China |
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| 34 | Xuexi Tie ^{1,2} , Xin Long ^{1,5} , Guohui Li ¹ , Shuyu Zhao ¹ , Junji Cao ¹ , Jianming Xu ^{3,4} |
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| 57 | |

58 Abstract

59 $PM_{2.5}$, a particulate matter with a diameter of 2.5 micrometers or less, is one of the major components of the air pollution in eastern China. In the past few years, China's 60 government made strong efforts to reduce the PM2.5 pollutions. However, another 61 important pollutant (ozone) becomes an important problem in eastern China. Ozone 62 63 (O_3) is produced by photochemistry, which requires solar radiation for the formation 64 of O₃. Under heavy PM_{2.5} pollution, the solar radiation is often depressed, and the photochemical production of O₃ is prohibited. This study shows that during late 65 66 spring and early fall in eastern China, under heavy PM2.5 pollutions, there were often strong O₃ photochemical productions, causing a co-occurrence of high PM_{2.5} and O₃ 67 68 concentrations. This co-occurrence of high PM2.5 and O3 is un-usual and is the main 69 focus of this study. Recent measurements show that there were often high HONO 70 surface concentrations in major Chinese mega cities, especially during daytime, with maximum concentrations ranging from 0.5 to 2 ppbv. It is also interesting to note that 71 72 the high HONO concentrations were occurred during high aerosol concentration 73 periods, suggesting that there were additional HONO surface sources in eastern China. 74 Under the high daytime HONO concentrations, HONO can be photo-dissociated to be 75 OH radicals, which enhance the photochemical production of O_3 . In order to study the above scientific issues, a radiative transfer model (TUV; Tropospheric 76 Ultraviolet-Visible) is used in this study, and a chemical steady state model is 77 78 established to calculate OH radical concentrations. The calculations show that by 79 including the OH production of the photo-dissociated of HONO, the calculated OH concentrations are significantly higher than the values without including this 80 81 production. For example, by including HONO production, the maximum of OH 82 concentration under the high aerosol condition (AOD=2.5) is similar to the value under low aerosol condition (AOD=0.25) in the no-HONO case. This result suggests 83 that even under the high aerosol condition, the chemical oxidizing process for O₃ 84 production can occurred, which explain the co-occurrence of high $PM_{2.5}$ and high O_3 85 86 in late spring and early fall seasons in eastern China. However, the O₃ concentrations were not significantly affected by the appearance of HONO in winter. This study 87 shows that the seasonal variation of solar radiation plays important roles for 88 89 controlling the OH production in winter. Because the solar radiation is in a very low level in winter, adding the photolysis of HONO has smaller effect in winter than in 90

- other seasons, and OH remains low values by including the HONO production term.
- This study provides some important scientific highlights to better understand the O₃

- pollutions in eastern China.

Keywords; High PM_{2.5} and O₃, eastern China, HONO photolysis

102 **1. Introduction**

103

Currently, China is undergoing a rapid economic development, resulting in a higher 104 demand for energy and greater use of fossil fuels. As a result, the high emissions of 105 pollutants produce heavy pollutions in mega cities of eastern China, such as Beijing 106 and Shanghai. For example, in the city of Shanghai (a largest mega city in China), the 107 108 urban and economical developments of the city are very rapid. During 1990 to 2015, the population increased from 13.3 to 24.1 million. The number of automobiles 109 110 increased from 0.2 million (1993) to 2.0 million (2011). The rapid growing population and energy usage caused a rapid increase in the emissions of pollutants, leading to 111 112 severe air pollution problems in these mega cities (Zhang et al., 2006; Geng et al., 113 2007; Deng et al., 2008).

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Measurements, such as satellite observations have revealed much higher aerosol 115 pollution in eastern China than in eastern US (Tie et al., 2006). The high aerosol 116 117 pollution causes a wide range of environmental consequences. Jia et al. (2019) studied 118 Anthropogenic Aerosol Pollution over the Eastern Slope of the Tibetan Plateau, and Zhu et al (2018) studied the impact of smoke aerosols from Russian forest fires on the 119 air pollution over Asia. According to a study by Tie et al. (2009a), exposure to 120 extremely high particle concentrations leads to a great increase of lung cancer cases. 121 122 High PM (particular matter) concentrations also significantly reduce the range of visibility in China's mega cities (Deng et al., 2008). According to a recent study, the 123 high aerosol pollution causes important effects on the crop (rice and wheat) 124 125 production in eastern China (Tie et al., 2016). 126

127 In the troposphere, ozone formation is resulted from a complicated chemical process, and requires ozone precursors, such as VOCs (volatile organic carbons) and $NO_X =$ 128 NO + NO₂ (nitrogen oxides) (Sillman, 1995). As the increase in industrial activity and 129 number of automobiles, the precursors of ozone (O3) and the global budget of 130 oxidization are also significantly increased (Huang et al., 2017; Huang et al., 2018). 131 132 As a result, O₃ pollution becomes a serous pollution problem in Shanghai and other Chinese mega cities (Geng et al., 2010; Tie 2009b; Tie et al., 2015). The effects on O₃ 133 production rate can be characterized as either NO_X-sensitive or VOC-sensitive 134

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- 135 conditions. For the city areas, O₃ production is generally VOC-sensitive, while in the
- 136 remote area, O₃ production is generally NOx-sensitive in eastern China (Sillman,
- 137 1995; Zhang et al., 2003; Lei et al., 2004; Tie et al., 2013). Thus, better understanding
- the trends of O_3 precursors (VOCs, NO_X) is important to determine the O_3 trends in
- 139 Shanghai (as well as many large cities in China).

140 In the past few years, China's government made strong efforts to reduce the PM_{2.5} pollutions. However, another important pollutant (O_3) becomes an important problem 141 in eastern China. Several studies regarding the O₃ formation are previously studied in 142 Shanghai. For example, Geng et al. (2007; 2008) study the relationship between O₃ 143 precursors (NOx and VOCs) for the ozone formation in Shanghai. Tie et al. (2009) 144 study the short-term variability of O3 in Shanghai. Their study suggested that in 145 146 addition to the ozone precursors, meteorological conditions, such as regional transport, have also strong impacts on the ozone concentrations. During September 2009, a 147 148 major field experiment (the MIRAGE-Shanghai) was conducted in Shanghai, and multiply chemical species were measured during the experiment. The summary of the 149 150 measurements by Tie et al (2013) suggests that the ozone formation in Shanghai is 151 under VOC-sensitive condition. However, if the emission ration of NOx/VOCs 152 reduces to a lower value (0.1-0.2), the ozone formation in Shanghai will switch from 153 VOC-sensitive condition to NOx-sensitive condition.

154 Despite of some progresses have been made for the ozone formation in mega cities in China, it is still lack of study of ozone development in large cities of China. For 155 example, this study shows that during late spring and early fall in eastern China, 156 under heavy PM2.5 pollutions, there were often strong O3 chemical productions, 157 causing the co-occurrence of high PM2.5 and O3 concentrations. Under heavy aerosol 158 condition, the solar radiation is depressed, significantly reducing the photochemical 159 production of O₃. This co-occurrence of high PM_{2.5} and O₃ is an unusual and is the 160 161 focus of this study. He and Carmichael (1999) suggest that aerosol particles can 162 enhance the scattering of solar radiation, enhancing the flux density inside the 163 boundary layer. Recent measurements also show that there were often high HONO concentrations in major Chinese mega cities, especially during daytime, with 164 165 maximum concentrations ranging from 0.5 to 2 ppbv (Huang et al., 2017). Zhang et al. 166 (2016) suggest that there are several potential HONO sources, including surface

emissions, conversion of NO₂ at the ocean surface, etc., and adding these sources can
improve the calculated HONO concentrations. It is also interesting to note that the
high HONO surface concentrations were occurred during high aerosol concentration
periods, suggesting that there are additional HONO surface sources in eastern China.
Under the high daytime HONO concentrations, HONO can be photo-dissociated to be
OH radicals, which enhance the photochemical production of O₃.

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The paper is organized as follows: in Section 2, we describe the measurement of O_3 and $PM_{2.5}$. In Section 3, we describe the calculation of photo-dissociated rate of HONO and a steady state model for the calculation of OH, and the causes of high O_3 production under the heavy aerosol condition. Section 4 shows a brief conclusion of the results.

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181

180 2. Measurements of O₃ and PM_{2.5}

There are long-term measurements in Eastern China by Chinese Environment 182 183 Protection Agency (CEPA) for monitoring the air quality in China. In eastern China, especially in the capital city of China (Beijing), there are often heavy air pollutions, 184 especially for fine particular matter (PM2.5 - the radium of particle being less than 2.5 185 um). Figure 1 shows the measurement sites in Beijing, in which the measured 186 187 concentrations of PM2.5 and O3 are used to the analysis. In the region, the air 188 pollutions were very heavy, especially in winter (Long et al., 2016; Tie et al., 2017). The previous studies suggested that the both aerosol and O₃ pollutions became the 189 190 major pollutants in the region (Li et al., 2017).

191

Figure 2 shows the daily averaged concentrations of PM_{2.5} and O₃ in the Beijing 192 region in 2015. The daily averaged concentrations show that there were strong daily 193 and seasonal variations for both the concentrations of $PM_{2.5}$ and O_3 . Despite the daily 194 195 variation, the concentrations of PM_{2.5} existed a strong seasonal variation. For example, there were very high concentrations during winter, with maximum of $\sim 300 \ \mu g/m^3$. 196 While in summer, the maximum concentrations reduced to ~150 μ g/m³. The seasonal 197 variability of O₃ concentrations were opposite with the PM_{2.5} concentrations, with 198 lower concentrations in winter ($< 50 \ \mu g \ /m^3$) and higher concentrations in summer (> 199

- $150 \ \mu g/m^3$). These seasonal variations of PM_{2.5} and O₃ have been studied by previous
- studies (Tie and Cao, 2017; Li et al., 2017). Their results suggest that the winter high
- $PM_{2.5}$ concentrations were resulted from the combination of both the high emissions
- 203 (heating season in the Beijing region), and poor meteorological ventilation conditions,
- such as lower PBL (Planetary Boundary Layer) height (Quan et al., 2013; Tie et al.
- 205 2015). According to the photochemical theory of O_3 formation, the summer high and
- winter low O_3 concentrations are mainly due to seasonal variation of the solar radiation (Seinfeld, J. H. and Pandis, 2006).
- 208

209 The heavy aerosol concentrations play important roles to reduce solar radiation, 210 causing the reduction of O3 formation. (Bian et al., 2007). As we show in Fig. 3 (upper panel), during wintertime, the O₃ concentrations were strong anti-correlated 211 with the PM2.5 concentrations, suggesting that the reduction of solar radiation by 212 213 aerosol particles have important impact on the reduction of O₃ concentrations. Figure 3 (upper panel) also shows that the relationship between O_3 and $PM_{2.5}$ was not 214 linearly related. For example, when the concentrations of PM2.5 were less than 100 215 μ g/m³, O₃ concentrations rapidly decreased with the increase of PM_{2.5} concentrations. 216 In contrast, when the concentrations of PM_{2.5} were greater than 100 μ g/m³, O₃ 217 218 concentrations slowly decreased with the increase of PM2.5 concentrations. This is 219 consistent with the result of Bian et al (2007).

220

221 It is interesting to note that from late spring to early fall periods, the correlation 222 between PM2.5 and O3 concentrations was positive relationship compared to the 223 negative relationship in winter (see Fig. 3 (lower panel)). This result suggests that O₃ 224 production was high during the heavy haze period, despite the solar radiation was 225 greatly depressed. In order to clearly display this unusual event, we illustrate diurnal 226 variations of PM_{2.5} and O₃ and NO₂ during a fall period (from Oct.5 to Oc. 6, 2015). Figure 4 shows that during this period (as a case study), the PM2.5 concentrations were 227 very high, ranging from 150 to 320 μ g/m³. Under such high aerosol condition, the 228 229 solar radiation should be significantly reduced, and O₃ photochemical production 230 would be reduced. However, the diurnal variation of O3 was unexpectedly strong, with high noontime concentration of >220 μ g/m³ and very low nighttime 231 concentration of ~25 μ g/m³. This strong diurnal variation was due to the 232 photochemical activity, which suggested that during relatively low solar conditions, 233

- the photochemical activities of O_3 production was high. According to the theory of the O_3 chemical production, the high O_3 production is related to high oxidant of OH (Seinfeld and Pandis, 2006), which should not be occurred during lower solar radiation. This result brings important issue for air pollution control strategy, because both PM_{2.5} and O₃ are severe air pollutants in eastern China.
- 239

To clearly understand the effect of the high aerosol concentrations on solar radiation, 240 we investigate the meteorological conditions, such as cloud covers, relation humidity 241 242 (RH), and solar radiation during the period of the case study (see Figs. 5 and 6). Figure 5 shows that the cloud condition was close to the cloud free condition, but 243 there was a very heavy aerosol layer in the Beijing region, suggesting that cloud cover 244 245 played a minor role in the reduction of the solar radiation. The measured RH values 246 (not shown) were generally higher than 60%, with a maximum of 95% during the period. As a result, the high aerosol concentrations companied by high RH produced 247 248 important effects on solar radiation. As shown in Fig. 6, the daytime averaged solar radiation was significantly reduced (about 40% reduction in Oct. 5-6 period compared 249 with the value of Oct. 8). 250

251

253

252 **3. Method**

In order to better understand the O_3 chemical production occurred in heavy aerosol condition in eastern China, the possible O_3 production in such condition is discussed. Ozone photochemical production (P[O₃]) is strongly related to the amount of OH radicals (Chameides et al., 1999). According to the traditional theory, the amount of surface OH radicals is proportional to the surface solar radiation, which is represented by

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262

261 [OH] = P[HOx]/L[HOx]* (R-1)

Where [OH] represents the concentration of hydroxyl radicals ($\#/cm^3$); HOx represents the concentration of HO₂ + OH ($\#/cm^3$); P[HOx] represents the photochemical production of HOx ($\#/cm^3/s$); and L[HOx]* (1/s) represents the photochemical destruction of HOx, which is normalized by the concentrations of OH.

The major process for the photochemical production of P[HOx] is through the O_3 photolysis and follows by the reaction with atmospheric water vapor. It can be expressed as

271

 $P[HOx] = J_1[O_3]/(k_1 \times am) \times 2.0 \times k_2[H_2O] = P_1[HOx]$ (R-2)

272

Where J₁ represents the photolysis of $O_3 + hv \rightarrow O^1D$; k₁ represents the reaction rate 273 of $O^1D + am \rightarrow O^3P$; and k_2 represents the reaction rate of $O^1D + H_2O \rightarrow 2OH$. As 274 we can see, this HOx production is proportional to the magnitude of solar radiation 275 276 (J_1) , and J_1 is the O_3 photolysis with the solar radiation. Figure 7 shows the 277 relationship between the values of J1 and aerosol concentrations in October at 278 middle-latitude calculated by the TUV model (Madronich and Flocke, 1999). This 279 result suggests that under the high aerosol concentrations (AOD = 2.5), the J₁ value is strongly depressed, resulting in significant reduction of OH concentrations and O₃ 280 production. For example, the maximum J_1 value is about 2.7x10⁻⁵ (1/s) with lower 281 aerosol values (AOD = 0.25). According to the previous study, the surface PM_{2.5} 282 concentrations were generally smaller than 50 μ g/m³ with this AOD value (Tie et al., 283 2017). However, when the AOD value increase to 2.5 (the PM2.5 concentrations are 284 generally >100 μ g/m³), the maximum J₁ value rapidly decreases to about 6x10⁻⁶ (1/s), 285 which is about 450% reduction compared to the value with AOD=0.25. This study 286 suggests that under high PM_{2.5} concentrations (>100 μ g/m³), the photochemical 287 288 production of OH (P[HOx]) is rapidly decreased, leading to low OH concentrations, which cannot initiate the high oxidation of O3 production. As a result, the high O3 289 production shown in Fig. 4 cannot be explained. Other sources for O₃ oxidation are 290 needed to explain this result. 291

292

293 Recent studies show that the HONO concentrations are high in eastern China (Huang 294 et al., 2017). Because under high solar radiation, the photolysis rate of HONO is very 295 high, resulting in very low HONO concentrations in daytime (Seinfeld and Pandis, 296 2006). These measured high HONO concentrations are explained by their studies. 297 One of the explanations is that there are high surface HONO sources during daytime, 298 which produces high HONO concentrations (Huang et al., 2017). Zhang et al. (2016) 299 suggest that there are several potential HONO sources, including surface emissions, conversion of NO₂ at the ocean surface, etc. Zhang et al. (2016) parameterized these 300

301 potential HONO sources in the WRF-Chem model, and the calculated HONO302 concentrations are increased in the WRF-Chem model.

303

The version of the WRF-Chem model is based on the version developed by Grell et al. 304 305 (2015), and is improved mainly by Tie et al. (2017) and Li et al. (2011). The chemical mechanism chosen in this version of WRF-Chem is the RADM2 (Regional Acid 306 Deposition Model, version 2) gas-phase chemical mechanism. For the calculation of 307 HONO, only the gas-phase chemistry of OH+NO is included to calculate HONO 308 309 concentrations. As shown in Fig. 8, the calculated HONO concentrations are significantly smaller than the measured HONO values in eastern China, suggesting 310 311 that in addition to the gas-reaction, there are missing HONO sources (surface sources 312 or others). Because these missing sources are not fully understood and large uncertainty is remained, in the following calculation, we compare the OH 313 314 concentrations due to both calculated HONO (without the missing sources) and the 315 measured HONO concentrations to illustrate the importance of these missing sources 316 for the production of OH radicals and to suggest that further study to better 317 understand the missing sources is an urgent scientific issue.

318

319 Figure 8 shows the measured HONO concentrations in three large cities in China (Shanghai, Xi'an, and Beijing) during fall and winter. It also shows the corresponding 320 321 PM_{2.5} and O₃ in the 3 cities (i.e., Fig. 8a for Beijing, Fig. 8b for Shanghai, and Fig. 8c 322 for Xian). It shows that the measured HONO concentrations were high, ranging from 323 sub-ppbv to a few ppbv, with higher values during morning, and lower values in daytime. The co-occurrences of high PM2.5 and O3 happened in the 3 cities. As a 324 325 result, we think that the high HONO is a common event in large cities in eastern 326 China, especially in daytime. This high HONO is also measured by previous studies 327 (Zhang et al. 2016; Huang et al. 2017). In this study, we make an assumption that the 328 co-occurrence between O3 and PM2.5 occurred under high HONO concentrations. We 329 note that using this assumption may result in some uncertainties in estimating the 330 effect of HONO on OH. For example, using the measured HONO in Xi'an and 331 Beijing could produce 1-2 times higher OH production by photolysis of HONO than 332 the result by using the data from Shanghai. In this case, we use the measured HONO from Shanghai to avoid the over estimate of the HONO effect, which can be 333 considered as a low-limit estimation. 334

It is also interesting to note that the high HONO concentrations were occurred during 336 high aerosol concentration periods. Figure 9 illustrates that when the PM_{2.5} 337 concentrations increased to 70-80 μ g/m³, and the HONO concentrations enhanced to 338 1.4-18 ppbv during September in Shanghai. This measured high HONO 339 concentrations were significantly higher than the calculated concentrations (shown in 340 Fig. 8), suggesting that some additional sources of HONO are needed. This result is 341 consistent with the HONO measurements in other Chinese cities (Huang et al. 2017). 342 343

The high HONO concentrations in daytime become a significant source of OH 344 radicals. As a result, the OH production rate (P[HOx]) can be written to the following 345 reactions. 346

347

335

| 348 | $P_2[HOx] = J_2 \times [HONO]$ | (R-3) |
|-----|--|-------------------|
| 349 | $P[HOx] = P_1[HOx] + P_2[HOx]$ | |
| 350 | $= J_1[O_3]/(k_1 \times am) \times 2.0 \times k_2[H_2O] + J_2 \times [HONO]$ | (R-4) |
| 351 | | |
| 352 | Because the chemical lifetime of OH is less than second, OH conce | entrations can be |
| 353 | calculated according to equilibrium of chemical production and che | mical loss. With |
| | | |

354 the both OH chemical production processes, the OH concentrations can be calculated 355 by the following equation (Seinfeld and Pandis, 2006).

356 357

P1 + P2 = L1 + L2

358

Where P1 and P2 are the major chemical productions, expressed in R-4, and L1 and 359

360 L2 are the major chemical loss of OH, and represent by

361

362

| L1: OH + NO ₂ \rightarrow HNO ₃ | |
|---|--|
|---|--|

| 363 I | L2: | $HO_2 + HO_2 \rightarrow H_2O_2 + O_2$ | (R-6) |
|-------|-----|--|-------|
| | | | |

364

365 Under high NOx condition, such as in the large cities in eastern China, NOx concentrations were often higher to 50 ppbv (as shown in Fig. 4). As a result, the L1 366 term is larger than L2. The OH concentrations can be approximately expressed as 367 368

12

(R-5)

| 369 | $[HO] = \{J_1[O_3]/(k_1 \times am) \times 2.0 \times k_2[H_2O] + J_2 \times [HONO]\}/$ | |
|-----|--|-------|
| 370 | k ₃ [NO ₂] | (R-5) |
| 371 | | |

372 Where k₃ is the reaction coefficient of $OH + NO_2 \rightarrow HNO_3$.

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377

4. Result and analysis

376 4.1. OH productions in different HONO conditions

In order to quantify the individual effects of these two OH production terms (P1 and 378 379 P2) on the OH concentrations, the P1 and P2 are calculated under different daytime 380 HONO conditions (calculated low HONO and measured high HONO concentrations). Figure 10 shows that under the low HONO condition, the P1 is significantly higher 381 than P2, and P2 has only minor contribution to the OH values. For example, the 382 maximum of P1 occurred at 13 pm, with a value of 65×10^6 #/cm³/s. In contrast, the 383 maximum of P2 occurred at 10 am, with a value of 15×10⁶ #/cm³/s. However, under 384 high HONO condition, the P2 plays very important roles for the OH production. The 385 maximum of P2 occurred at 11 am, with a value of 350×10⁶ #/cm³/s, which is about 386 500% higher than the P1 value. It is important to note that this calculation is based on 387 388 the high aerosol condition (AOD = 2.5) in September. This result can explain the high 389 O₃ chemical production in Fig. 4.

390

4.2. OH in different aerosol conditions 392

In order to understand the effect of aerosol conditions, especially high aerosol 393 394 conditions, on the OH concentrations. Figure 11 shows the OH concentrations with 395 and without HONO production of OH. With including the HONO production (i.e., including P1 and P2), the calculated OH concentrations are significantly higher than 396 397 without including this production (i.e., only including P1). The both calculated OH concentrations are rapidly changed with different levels of aerosol conditions. For 398 example, without HONO production, the maximum OH concentration is about 399 7.5×10^5 #/cm³ under low aerosol condition (AOD=0.25). In contrast, the maximum 400 OH concentration rapidly reduced to 1.5×10^5 #/cm³ under high aerosol condition 401 (AOD=2.5), and further decreased to 1.0×10^5 #/cm³ with the AOD value of 3.5. In 402 contrast, with including HONO production, the OH concentrations significantly 403

increased. Under higher aerosol condition (AOD=2.5), the maximum of OH concentration is about 7.5×10^5 #/cm³, which is the same value under low aerosol condition in the no-HONO case. This result suggests that the measured high O₃ production occurred in the high aerosol condition is likely due to the high HONO concentrations in Shanghai.

409

411

410 4.3. Effects of clouds

Cloud cover can have very important impacts on the photolysis of HONO, which can affect the effect of HONO on the OH radicals. The above calculations are based on the cloud-free condition, with heavy aerosol concentration in the Beijing region. As shown in Fig. 5, during the case study period (Oct 5 to 6, 2015) (see Fig. 4), the weather map shows that the cloud-free condition, with heavy aerosol condition.

417

In order to understand the effects of cloud on the photolysis of HONO, we include 418 different cloud covers in the TUV model. The calculated results show in Fig. 12. 419 The results show that the thin cloud (with cloud cover in 2 km and cloud water of 10 420 g/m^3), could reduce the photolysis rate of HONO by about 40%, but the HONO could 421 still remain important effects. However, with dense cloud condition (with cloud 422 covers at 2 and 3 km and cloud water of 50 10 g/m³), the photolysis rate of HONO 423 424 could reduce by 9-10 times by the cloud. In this case, adding photolysis rate of 425 HONO cannot produce important effect on OH radicals and the production of O₃.

426

428

427 <u>4.4. OH in winter</u>

The measurement of O_3 also shows that the concentrations in winter were always low 429 430 (see Fig. 2), suggesting that the O_3 concentrations were not significantly affected by 431 the appearance of HONO. Figure 13 shows the OH concentrations in September and December. It shows that under different aerosol conditions, OH concentrations in 432 433 December were very low compared with the values in September. Both the calculated OH concentrations include the HONO production term. For example, under the 434 condition of AOD=2.5, the maximum OH is about 7.5×10^5 #/cm³ in September, while 435 it rapidly reduces to 1.5×10^5 #/cm³ in December. Under the condition of AOD=3.5. 436 the maximum OH is still maintaining to a relative high level $(4.5 \times 10^5 \text{ } \#/\text{cm}^3)$ in 437 September. However, the maximum OH values are extremely low in December, with 438

maximum value of 0.5×10^5 #/cm³ in December. Because both the OH chemical productions (P1 and P2) are strongly dependent upon solar radiation (see equation R-4), the seasonal variation of solar radiation plays important roles for controlling the OH production in winter (see Fig. 13). Because the solar radiation is in a very low level in winter, adding the photolysis of HONO has smaller effect in winter than in other seasons and OH remains low values by including the HONO production term.

445

446 Summary

447

Currently, China is undergoing a rapid economic development, resulting in a high 448 demand for energy, greater use of fossil fuels. As a result, the high emissions of 449 pollutants produce heavy aerosol pollutions (PM2.5) in eastern China, such as in the 450 mega city of Beijing. The long-term measurements show that in addition to the heavy 451 452 aerosol pollution, the O_3 pollution becomes another major pollutants in the Beijing region. The measured results show that there were very strong seasonal variation in 453 the concentrations of both PM2.5 and O3 in the region. During winter, the seasonal 454 variability of O₃ concentrations were anti-correlated with the PM_{2.5} concentrations. 455 However, from late spring to early fal, the correlation between PM_{2.5} and O₃ 456 457 concentrations was positive compared to the negative in winter. This result suggests 458 that during heavy aerosol condition (the solar radiation was depressed), the O_3 459 chemical production was still high, appearing a co-occurrence of high PM2.5 and O3 in some cases from late spring to early fall. This co-occurrence of high PM_{2.5} and O₃ is 460 the focus of this study. The results are highlighted as follows; 461

462

(1) There are high daytime HONO concentrations in major Chinese mega cities, such
as in Beijing and Shanghai. It is also interesting to note that the high HONO
concentrations were occurred during high aerosol concentration periods. Under
the high daytime HONO concentrations, HONO can be photo-dissociated to be
OH radicals, and becomes an important process to produce OH.

(2) With including the OH production of measured HONO concentrations, the
calculated OH concentrations are significantly higher than without including this
production. For example, without HONO production, the maximum OH
concentration is about 7.5×10⁵ #/cm³ under low aerosol condition (AOD=0.25),
and rapidly reduced to 1.5×10⁵ #/cm³ under high aerosol condition (AOD=2.5) in

- 473 September. In contrast, by including HONO production, the OH concentrations 474 significantly increased. For example, under higher aerosol condition (AOD=2.5), 475 the maximum of OH concentration is about $7.5 \times 10^5 \text{ #/cm}^3$, which is similar to the 476 value under low aerosol condition in the no-HONO case. This result suggests that 477 even under the high aerosol conditions, the chemical oxidizing process for O₃ 478 production can be active. This result is likely for explaining the co-occurrence of 479 high PM_{2.5} and high O₃ from late spring to early in eastern China.
- (3) The measurement of O₃ also shows that the concentrations in winter were always
 low, suggesting that the O₃ concentrations were not significantly affected by the
 appearance of HONO. The calculated result shows that the seasonal variation of
 solar radiation plays important roles for controlling the OH production in winter.
 Because the solar radiation is in a very low level in winter, adding the photolysis
 of HONO has smaller effect in winter than in other seasons, and OH remains low
 values by including the HONO production term.

In recent years, the PM_{2.5} pollutions are reduced due to the large control efforts by the
Chinese government, the O₃ pollutions become another severe pollution problem in
eastern China. This study is important, because it provides some important scientific
highlights to better understand the O₃ pollutions in eastern China.

491

492 Data availability. The data used in this paper can be provided upon request from493 Xuexi Tie (tiexx@ieecas.cn).

494

495 Author contributions. XT came up with the original idea of investigating the 496 scientific issue. XT and JX designed the analysis method. XL, GL and SZ provided 497 the observational data and helped in discussion. XT prepared the manuscript with 498 contributions from all co-authors.

499

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507 **References**

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622 Figure Caption

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Fig. 1. The geographic locations of the measurement sites in Beijing, in which the measured concentrations of $PM_{2.5}$ and O_3 are used to the analysis.

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Fig. 2. The daily averaged concentrations of $PM_{2.5}$ and O_3 in the Beijing region in 2015. The concentrations are averaged over all sites shown in Fig. 1. The blue lines represent the $PM_{2.5}$ concentrations ($\mu g/m^3$), and the red bars represent the O_3 concentrations ($\mu g/m^3$). The rectangles show some typical events during winter (green), spring and fall (orange), and summer (red).

Fig. 3. The correlation between O_3 and $PM_{2.5}$ concentrations during winter (upper panel) and from late spring to eraly fall (lower panel). During winter, O_3 concentrations were strong anti-correlated with the $PM_{2.5}$ concentrations. From late spring to early fall, O_3 concentrations were correlated with the $PM_{2.5}$ concentrations.

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Fig. 4. The diurnal variations of $PM_{2.5}$ (blue line) and O_3 (red line), and NO_2 (green line) during a fall period (from Oct.5 to Oc. 6, 2015). It shows that with high $PM_{2.5}$ condition, there was a strong O_3 diurnal variation.

Fig. 5. The cloud condition during the period of the case study (between Oct 5 and 6, 2015) in the Beijing region. The bright white color shows the cloud covers, and the grey white shows the haze covers. The Beijing region was under the heavy haze conditions during the period.

Fig. 6. The measured solar radiation (W/m²) from Oct. 3 to Oct. 9, 2015 in Beijing.
The upper panel shows hourly values, and the lower panel shows the daytime averaged values.

Fig. 7. The effect of aerosol levels with AOD = 0.25 (black line), AOD = 2.5 (red line), AOD = 3.5 (blue line), and AOD = 4.0 (green line) on the O_3 photolysis calculated by the TUV model in October at middle-latitude.

Fig. 8a. The measured HONO concentrations (ppbv) and the $PM_{2.5}$ and O_3 daily concentrations in Beijing. The upper panel shows the measured daily concentrations of $PM_{2.5}$ and O_3 as shown in Fig.2. The dark-red line was measured HONO in Beijing from 1 to 27 January, 2014.

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Fig. 8b. The measured HONO concentrations (ppbv) and the $PM_{2.5}$ and O_3 daily concentrations in Shanghai. The upper panel shows the measured daily concentrations of $PM_{2.5}$ and O_3 in 2015. The dark-red line was measured in Shanghai from 9 to 18 September, 2009. The green line was calculated by the WRF-Chem model.

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Fig. 8c. The measured HONO concentrations (ppbv) and the $PM_{2.5}$ and O_3 daily concentrations in Xi'an. The upper panel shows the measured daily concentrations of $PM_{2.5}$ and O_3 in 2015. The red line was measured HONO in Xi'An from 24 July to August 6, 2015.

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Fig. 9. The measured HONO (upper left panel), $PM_{2.5}$ concentrations (lower left panel), and O₃ concentrations (upper right panel) in fall in Shanghai. It illustrates that

- the high HONO concentrations were corresponded with high $PM_{2.5}$ concentrations.
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- **Fig. 10.** The calculated OH production P(HOx) (#/cm³/s) by using the model calculated HONO (low concentrations) (in the upper panel) and by using the measured HONO (high concentrations) (in the lower panel). The red bars represent the calculation of the P1 term, and the red bars represent the calculation of the P2 term (OH production from HONO).

- **Fig. 11.** The calculated OH concentrations (#/cm³) with (upper panel) and without (lower panel) HONO production of OH, under different aerosol levels. Dark red (AOD=0.25), red (AOD=2.5)), red (AOD=3.5)), and red (AOD=4.0).
- **Fig. 12.** The effect of cloud cover on the photolysis rate of HONO (J[HONO]). The blue, red, and green lines represent the cloud water vapor of 0 (cloud-free), 10 (g/m³ – thin cloud), and 50 (g/m³ – thick cloud), respectively. The left panel (A) represents
- the light aerosol condition, with AOD of 0.25, and the right panel (B) represents the heavy aerosol condition, with AOD of 2.5.

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Fig. 13. The calculated OH concentrations in September (blue bars) and December(dark red bars), under different aerosol levels.

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Figures



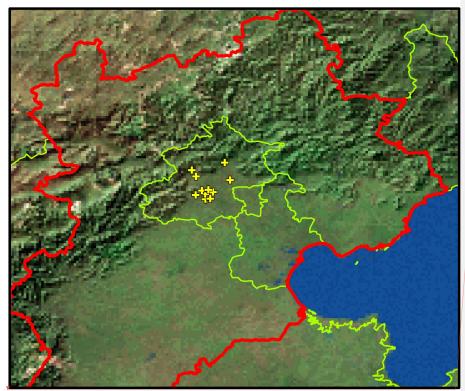


Fig. 1. The geographic locations of the measurement sites in Beijing, in which the measured concentrations of $PM_{2.5}$ and O_3 are used to the analysis.

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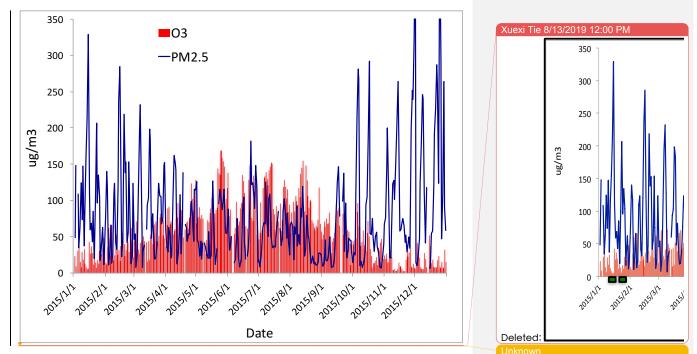




Fig. 2. The daily averaged concentrations of $PM_{2.5}$ and O_3 in the Beijing region in 2015. The concentrations are averaged over all sites shown in Fig. 1. The blue lines represent the $PM_{2.5}$ concentrations ($\mu g/m^3$), and the red bars represent the O_3 concentrations ($\mu g/m^3$). The rectangles show some typical events during winter (green), spring and fall (orange), and summer (red).

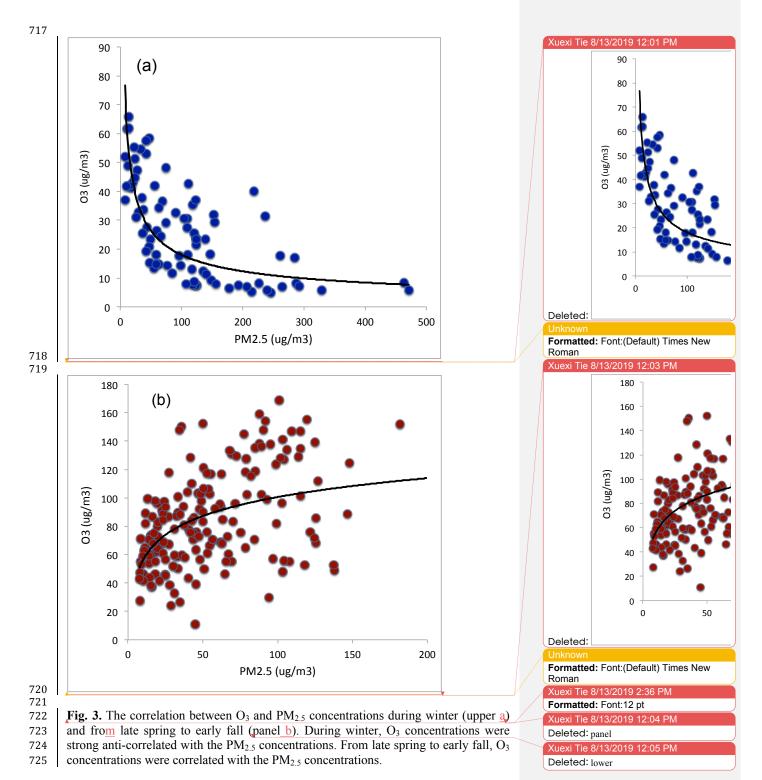


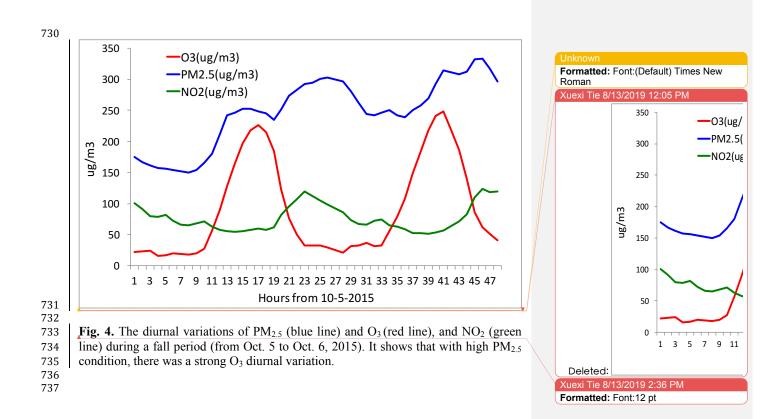
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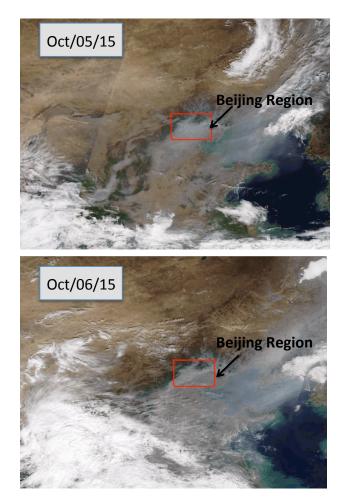
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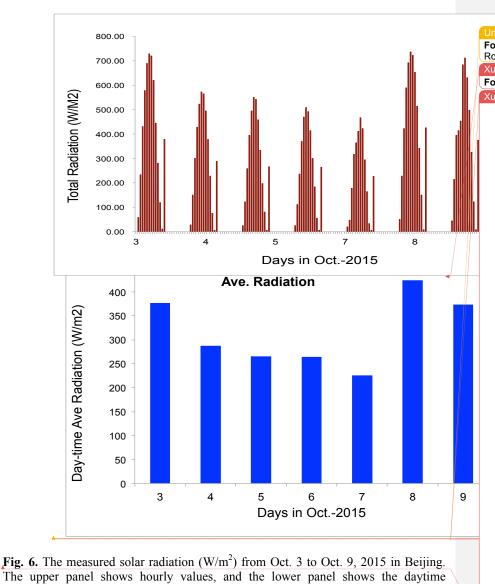


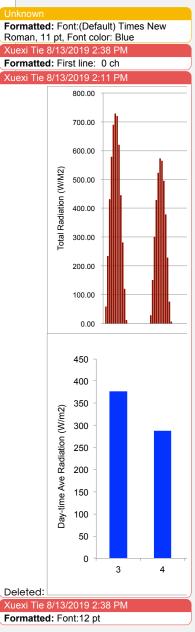


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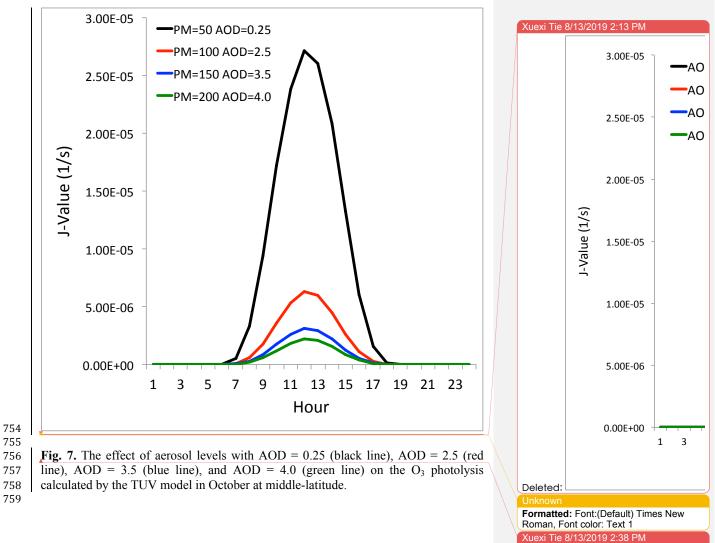
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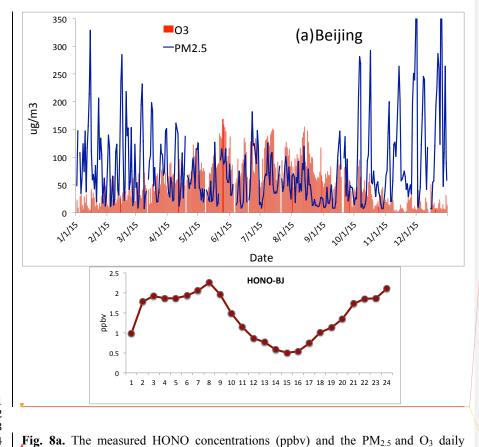




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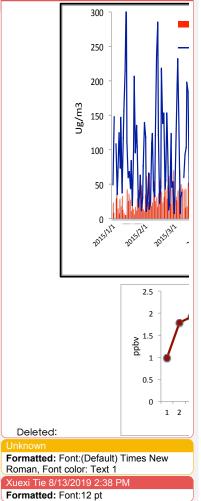


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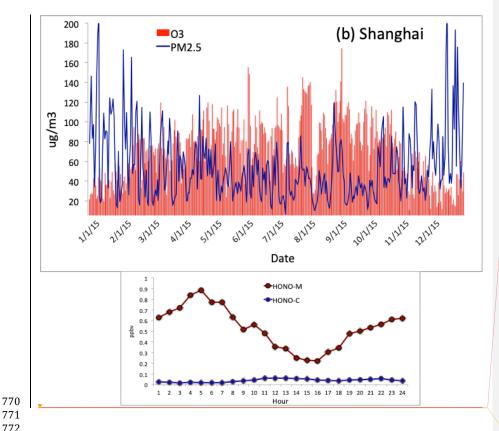
concentrations in Beijing. The upper panel shows the measured daily concentrations

of $PM_{2.5}$ and O_3 as shown in Fig.2. The dark-red line was measured HONO in Beijing



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from 1 to 27 January, 2014.



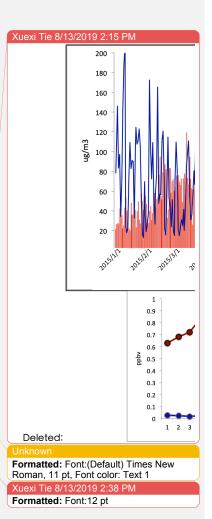
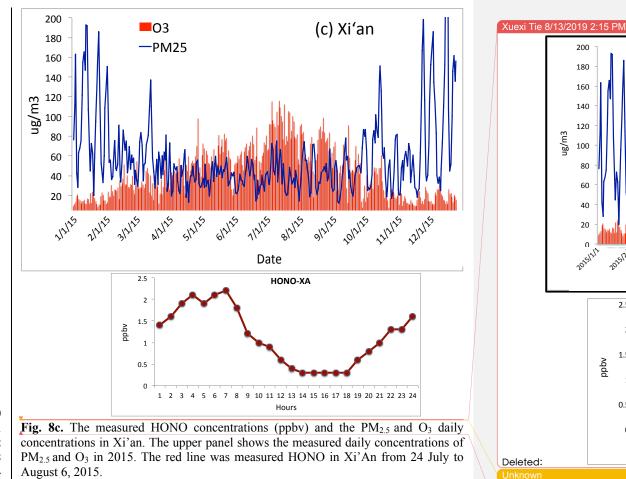


Fig. 8b. The measured HONO concentrations (ppbv) and the PM2.5 and O3 daily concentrations in Shanghai. The upper panel shows the measured daily concentrations of PM_{2.5} and O₃ in 2015. The dark-red line was measured in Shanghai from 9 to 18 September, 2009. The green line was calculated by the WRF-Chem model.





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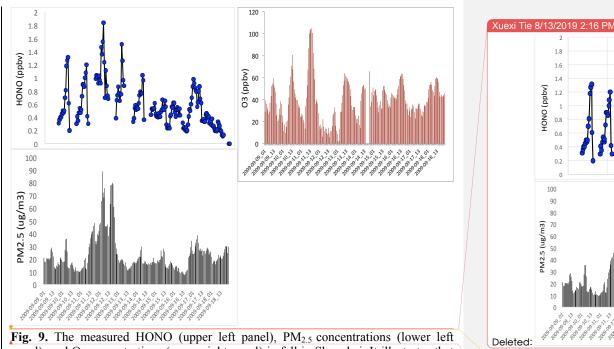
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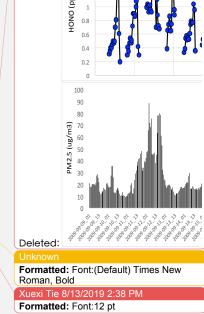
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panel), and O₃ concentrations (upper right panel) in fall in Shanghai. It illustrates that the high HONO concentrations were corresponded with high PM2.5 concentrations. 796

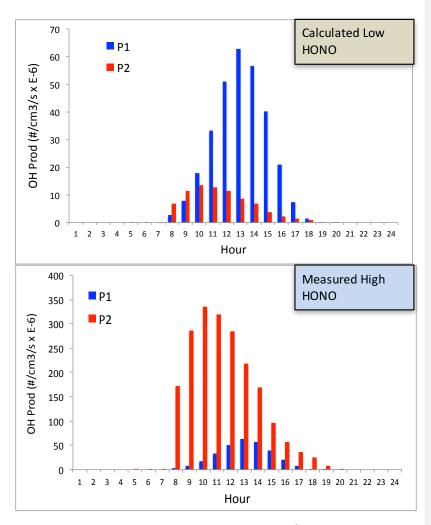


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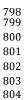


Fig. 10. The calculated OH production P(HOx) (#/cm³/s) by using the model calculated HONO (low concentrations) (in the upper panel) and by using the measured HONO (high concentrations) (in the lower panel). The red bars represent the calculation of the P1 term, and the red bars represent the calculation of the P2 term (OH production from HONO).

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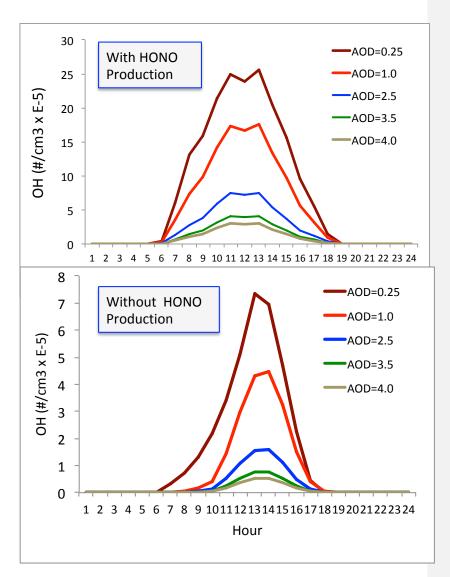


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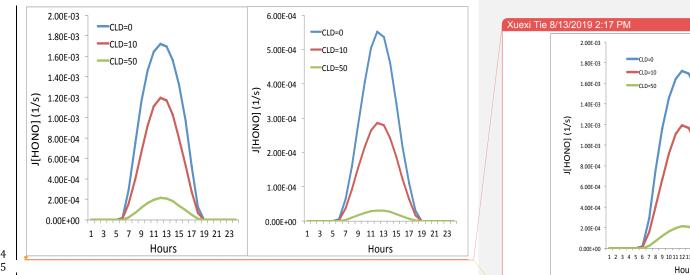


Fig. 12. The effect of cloud cover on the photolysis rate of HONO (J[HONO]). The

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light aerosol condition, with AOD of 0.25, and the right panel represents the heavy

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aerosol condition, with AOD of 2.5.

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