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# Variability in a four-network composite of atmospheric CO<sub>2</sub> differences between three primary baseline sites

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**Abstract.** Spatial differences in the monthly baseline CO<sub>2</sub> since 1992 from Mauna Loa, (mlo, 19.5°N, 155.6°W, 3379m), Cape Grim (cgo, 40.7°S, 144.7°E, 94m) and South Pole (spo, 90°S, 2810m), are examined for consistency between four monitoring networks. For each site pair, a composite based on the average of NOAA, CSIRO and two independent SIO analysis methods is presented. Averages of the monthly standard deviations are 0.25, 0.23 and 0.16 ppm for mlo-cgo, mlo-spo and cgo-spo respectively. This high degree of consistency and near-monthly temporal differentiation (compared to CO<sub>2</sub> growth rates) provides an opportunity to use the composite differences for verification of global carbon cycle model simulations.

Interhemispheric CO<sub>2</sub> variation is predominantly imparted by the mlo data. The peaks and dips of the seasonal variation in interhemispheric difference act largely independently. The peaks mainly occur in May, near the peak of Northern Hemisphere terrestrial respiration. Boreal spring is when interhemispheric exchange via eddy processes dominates, with increasing contributions from mean transport into boreal summer. The dips occur in September, when the CO<sub>2</sub> partial pressure difference is near zero, just after the peak in the mean interhemispheric exchange via the Hadley circulation. Surface-air terrestrial flux anomalies would need to be up to an order of magnitude larger than found in order to explain the peak and dip CO<sub>2</sub> variations (large enough to significantly influence short-term northern hemisphere growth rate variations).

Recent features in the composite records, inconsistent in timing and amplitude with air-surface fluxes, are largely consistent with interhemispheric transport variations. These include the remarkable stability in annual CO<sub>2</sub> inter-hemispheric difference in the 5-year relatively ENSO-quiet period 2010-2014, and the 2017 recovery

in the CO<sub>2</sub> interhemispheric gradient from the unprecedented El Niño event in 2015-16.

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#### 1 Introduction

Atmospheric CO<sub>2</sub> measurements are normally introduced into global carbon budgets as a "global growth rate ... 30 based on the average of multiple stations selected from the marine boundary layer sites with well mixed background air .., after fitting each station with a smoothed curve as a function of time, and averaging by latitude band ..." (Le Quéré et al., 2018).

Interhemispheric (IH) baseline CO<sub>2</sub> differences have been linked to intermittency in seasonal exchange between hemispheres by Francey and Frederiksen, 2016 (FF16) and Frederiksen and Francey, 2018 (FF18). The dynamical processes described in these studies, if not adequately captured in global carbon cycle model transport, will compromise conventional approaches that assume sub-annual CO<sub>2</sub> variation is primarily the result of terrestrial exchange (e.g. Yue et al., 2017, Rödenbeck et al., 2018).

FF16 and FF18 focussed on Commonwealth Scientific and Industrial Research Organisation (CSIRO) CO<sub>2</sub> data. However, co-sampling over 25 years of background CO<sub>2</sub> has occurred at three iconic remote sites by four well-established global monitoring flask sampling networks, two from Scripps Institution of Oceanography (SIO1, SIO2), one from the National Oceanic and Atmospheric Administration (NOAA) and one from CSIRO. Consistency in a composite of within-network spatial differences provide an improved opportunity to examine inherent assumptions in the statistical treatment of atmospheric data in global carbon budgets.

Compared to CO<sub>2</sub> information used in typical growth rate studies, the 25-year composites of within-network spatial differences measured by the flask networks provide additional insights, summarised here:

- The effective time resolution can be short, circumventing ambiguities between seasonality and interannual variability, and 6-9 month end-effects inherent in conventional growth rate analyses. The latter are generally obtained by harmonic filtering to define seasonality, and 22 month smoothing to define inter-annual behaviour (e.g. Thoning et al., 1989). The monthly average spatial differences used here have higher resolution, being obtained from 80-day smoothed records that represent the available flask measurements.
- Since flask samples from each network are analysed in a central laboratory, bias associated with
  calibration of an internal calibration scale relative to the international CO<sub>2</sub> WMO mole fraction scale
  (Zhou and Tans, 2006), and similar bias when relating a reference gas to the internal scale, generally
  cancel for within-network site differences.
- The IH CO<sub>2</sub> differences suppress the influence of equatorial surface exchanges that are uplifted to an
  altitude where they can mix into both hemispheres. This improves sensitivity to cross-equatorial
  atmospheric fluxes that occur in a region where transport is less well-defined than at higher latitudes (e.g.
  Lintner et al., 2004).
- Multi-species (e.g. other long-lived trace gases and their isotopes) with different biogeochemistry but
  identical time of collection are often available (particularly from pressurized flasks). A multi-species
  approach was briefly assessed in FF16 and FF18 and is the subject of further studies.

Disadvantages of flask compared to in situ CO2 sampling include:

CO<sub>2</sub> artefacts related to extended storage of air in flasks, mainly affecting sampling from remote sites
 with annual resupply (in this study spo). Flask size, permeation through elastomer seals in pressurized flasks (Sturm et al., 2004), and inadequate surface preconditioning to limit CO<sub>2</sub> adsorption on surfaces, are possible contributing factors. In this study, factors such as the use of larger and/or unpressurized

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flasks at spo, and corrections informed by co-sampling with *in situ* analysers (e.g. Stavert et al., 2019), help address this concern.

• The brevity of sampling compared to in situ measurement. Monthly average concentrations from each site comprise the average of 1-10 or more flask samples (depending on network and site) with the filling of each flask taking approximately 1-20 minutes (depending on differing sampling strategies to precondition and/or pressurize). That is, air sampled over a few hours can be used to represent a monthly value. The effectiveness of baseline selection becomes a critical issue. In the current study it will be seen that the generally small standard deviations in monthly averages across networks with quite different sampling frequency imply that this is unlikely to be a major concern with the selected primary baseline site monthly data employed.

Both types of measurement, but particularly flasks at remote sites because of the delays between sample collection and analysis, are susceptible to leaks/contamination in sample intake lines. Inevitable variations in quality at any one site or laboratory are de-emphasized in the composite averages (but remain reflected in ensemble standard deviations). There is a range of sampling and measurement strategies across networks that provides a robustness when consistencies persist between networks, but it can complicate the attribution of inconsistencies.

The data used here are monthly averages obtained from ftp sites at NOAA, SIO and CSIRO (listed below under Data Availability), and are also available from the World Data Centre for Greenhouse Gases (<a href="https://gaw.kishou.go.jp/search">https://gaw.kishou.go.jp/search</a>). No editing or selecting of pre-existing web-sourced data has occurred, since there is sufficient data that periods of consistency dominate statistical comparisons.

#### 2 Background information on flask networks

By 1958 C.D. Keeling had identified mlo and spo as optimum sites to obtain background CO<sub>2</sub> in the respective hemispheres and by the 1970s was obtaining a regular monthly supply of air admitted to 5L evacuated glass flasks from both sites (SIO1: Keeling C. D. et al., 2001). Since 1992, there are CO<sub>2</sub> measurements as a byproduct of a global network focussed on O<sub>2</sub>/N<sub>2</sub> ratios in baseline air (SIO2: Keeling R. F. and Schertz, 1992); this program uses 5L glass flasks, with air cryogenically dried, flushed and filled to ambient pressure. While there is commonality with regard to calibration, in the context of spatial differences the SIO networks can be considered independent.

NOAA began sampling from all three sites (as part of a much larger network) from 1984, using a variety of flask and filling methods. From around 1992 the current system of Peltier-dried air in pressurized 2.5 L flasks (Tans et al., 1992, Conway et al., 1994, Dlugokencky et al., 2014) was phased in. They have maintained the WMO Central CO<sub>2</sub> Calibration Laboratory since 1996 (a role previously carried out by SIO). The NOAA atmospheric sampling is generally more frequent (typically 8-10 flasks per month) than is the case for SIO or CSIRO programs (except for the CSIRO cgo program). However, the size and sampling frequency in the NOAA network amplifies calibration challenges (e.g. due to shorter lifetimes of reference and calibration standards). Both NOAA and SIO use non-dispersive infra-red analysers (NDIR) for CO<sub>2</sub> measurement.

CSIRO flask sampling at cgo, spo and mlo in the early 1980s used chemically dried air, pressurized into 5L glass flasks, using NDIR for analysis; however, analyses here are restricted to CSIRO's more comprehensive measurements from 1992 using chemically-dried, pressurized air in 0.5L glass flasks, but with retention of 5L flasks at spo (Francey et al., 1996). In the early 1990s the use of gas chromatography with flame ionisation

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detection (GC/FID) was exploited to monitor CO<sub>2</sub> in flasks at CSIRO. The GC/FID technique used provides a significantly more linear response for CO<sub>2</sub> than NDIR (see Supplement Figure S1) and has required much smaller samples than most other equipment employed consistently over the 25 years; both factors contribute to calibration integrity. Counterbalancing the fact that smaller sample flasks imply enhanced susceptibility to flask storage effects, the small sample requirement has permitted pioneering, long-term "same-air" measurement inter-comparisons between NOAA and CSIRO on NOAA cgo samples (Masarie et al., 2001; see Supplement Figure S3). Hourly radon measurements at Cape Grim (Chambers et al. 2016) were also introduced around this time. Cape Grim sampling is further informed by a decade of vertical profiling (Langenfelds, 2003; Pak, 1996), back trajectory analysis, and other tracers (e.g. Dunse et al., 2001), demonstrating that selected cgo data can achieve a degree of spatial representativeness that matches, or sometime exceeds, that at the more remote high-altitude sites mlo and spo.

Note: The challenges of maintaining high quality over 25 years in any one monitoring program are many. They include external factors, acknowledged but not pursed here, such as high turnover of skilled staff particularly at remote monitoring sites or changes in institutional strategic and economic priorities. The latter are well described by Keeling (1998), with CSIRO sharing similar institutional experiences.

Before combining data from different networks, systematic differences between programs and sites are examined in Figure 1. It shows monthly differences from NOAA data of SIO1, SIO2 and CSIRO data, for each of the three baseline sites. Five-month running means aid discussion. NOAA, because it has the most extensive global network, and since 1996 has also operated the WMO CO<sub>2</sub> Central Calibration laboratory, is selected as the reference. The CO<sub>2</sub> mixing ratios used here are referred to in the commonly used units of parts per million (ppm) rather than the more strictly correct term of μmole of CO<sub>2</sub> per mole of dry air.

In Figure 1, there is clear evidence of systematic difference in mean offsets, seasonality, and between sites within one network. In the context of inter-hemispheric exchange, the typical 0.5 ppm range of variation remains relatively small compared to the 7-10 ppm maximum IH CO<sub>2</sub> (when most exchange occurs). Also, when calculating within-network IH differences consistent calibration offsets at all three sites will largely cancel.

The means and bracketed standard deviations in the differences from NOAA that are included in Figure 1 are calculated for data from the years 1996-2016; prior to 1996, variability is generally greater and corresponds to a period of change and development in all three laboratories. For example, there is a marked inconsistency between NOAA mlo-spo and mlo-cgo in 1991-1993, particularly in seasonal amplitude; CSIRO has comparable measurements that are more consistent (see Supplement Figure S3). This is a further reason for caution with the data in this period.

In the post-1996 statistics the SIO1 offsets and scatter from NOAA behave similarly for mlo and spo. This is not the case for SIO2, which has similar offsets at mlo (-0.18 ppm) and cgo (-0.19 ppm), but not at spo (-0.04 ppm), or for CSIRO (mlo: -0.08, cgo:  $\pm$ 0.01, spo:  $\pm$ 0.13 ppm). SIO2 mlo data exhibit the largest scatter ( $\pm$ 0.37 ppm). The CSIRO records at cgo exhibit the smallest offset and scatter relative to NOAA ( $\pm$ 0.01  $\pm$  0.08 ppm), but remnant seasonality is still evident. A possible consideration here is that the CSIRO GC/FID near-linear response for CO<sub>2</sub> means that results are not sensitive to differences between sample and reference CO<sub>2</sub>, a factor reinforced in the SH if reference gases use recent SH baseline air. This is generally not the case for non-linear NDIR measurement and particularly in the NH if relatively short-lived reference gases sourced in the NH do not match ambient CO<sub>2</sub> from a site.

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Systematic differences due to sampling and measurement methodology are likely the result of a combination of
the linearity of instrument response and flask storage effects (particularly at spo and particularly in CSIRO spo
data with the sparsest sample density), that is likely to be partly addressed with a comprehensive review of
metadata. This is outside the scope of this study so we rely on the ensemble of average differences to moderate
their influence.

Figure 2 provides an overview of the impact of measurement bias on spatial differences. Data are presented as 3-month seasonal averages in order to minimize potential influences related to network sample-frequency (by ensuring an adequate number of individual flask samples per season). As well, the seasonal selection distinguishes periods of relatively stable partial pressure differences between hemispheres and the selected seasons also distinguish eddy and mean IH transport mechanisms (FF18).

- For the most part, and particularly in the Aug-Oct season when IH CO<sub>2</sub> difference is at a minimum, there
  is a high level of consistency in the year-to-year variation in seasonal spatial differences from each
  network.
- There are relatively few examples of one record differing markedly from the others; when it occurs it is often for reasons evident in Figure 1. For example in Figure 2 NOAA cgo-spo appears low in 1992/1993; CSIRO mlo-spo shows negative outliers in May-Jul 2009 and Nov-Jan 2002, but not at mlo-cgo. SIO2 outliers in 2002 and 2006 exhibit similar characteristics; positive outliers e.g. SIO2 Nov-Jan 2016 suggests a cgo problem. In Feb-Apr 2005 NOAA data indicate a possible mlo problem; however this is also when the 'volatility' of the records (and in IH transport) is large, so it is conceivable that different flask sampling numbers and times could contribute to lower values by both SIO and CSIRO. Closer inspection of individual flask metadata may resolve these infrequent anomalies, but for the present, composite averaging is relied on to moderate their influence.
- The largest IH CO<sub>2</sub> variability is recorded in Feb-Apr and in May-Jul, both seasons having near-equally
  large IH differences. These seasons correspond to maximum respiration from NH forests. Feb-Apr is also
  when IH exchange by eddy processes is most influential (FF16), whereas mean transport via the Hadley
  circulation is the main dynamical influence in May-Jul (FF18).
- FF18 provided correlations of mlo-cgo with wind indices representing both eddy and mean IH transport (using CSIRO data only), emphasizing temporal covariation. FF16 examined the relationship between eddy transport indices and IH CO<sub>2</sub> differences for CSIRO mlo-cgo and SIO1 mlo-spo data. Here we individually examine major anomalies in the 25-year composite records with more emphasis on the magnitude and timing of influences that might be attributable to IH transport variation.

# 180 3 Composite records of baseline station spatial differences

For each of mlo-cgo, mlo-spo and cgo-spo monthly  $CO_2$  differences, Table 1 shows the number of months between 1992 and 2016 contributing to a composite value, arranged in columns indicating the number of contributing networks; e.g. 256 of 300 months have 4 networks contributing to mlo-spo, while 277/300 months have three contributing networks at mlo-cgo.

The percentage of missing months for each network, and scatter in the composite differences for different historic periods is tabulated in the Supplement Tables S1, S2. Data analysed below extend to 2017.

The monthly composite CO<sub>2</sub> differences are shown in Figure 3 (and tabulated in our Supplement Table S3). Error bars represent the ensemble standard deviation (except for the cgo-spo case, Feb 2009, with only the

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NOAA network contributing; it is arbitrarily assigned 100% uncertainty and appears as an outlier in Figure 3c).

The seasonality at mlo, generally attributed to NH forest photosynthesis/respiration cycles, is the dominant variation in the IH CO<sub>2</sub> differences. The composite errors are small by comparison. Standard deviations of mlocgo, mlo-spo and cgo-spo are 0.25, 0.23 and 0.16 ppm respectively. Systematic inter-annual variability is well-defined and is reflected similarly in both IH records, and is consistent with mlo driving most of the variation. Variations that exceed the ensemble monthly standard deviations include:

- The overall increase in IH difference, generally attributed mainly to increasing NH fossil fuel CO<sub>2</sub> emissions is indicated by a linear regression through the mlo-cgo values (with slope 0.061 ppm yr<sup>-1</sup>; mlo-spo gives 0.060 ppm yr<sup>-1</sup>). The slope of regressions is much higher for the Apr-May data (0.09 ppm yr<sup>-1</sup>) than for Sep-Oct data (0.05 ppm yr<sup>-1</sup>), slightly less than the overall mean.
- From 1992-2017, the majority of minima occur in Sep; of 26 minima, 24 occur in Sep and 2 in Oct (1992 & 1995). Of the 26 maxima 20 are in May, and 6 in Apr (1997, 1999, 2000, 2004, 2005, 2016).
  - Scatter in the amplitude of seasonal maxima (boreal winter/spring) is smaller before 1999. The steplike behaviour in Apr-May from 2009 to 2010 remains the major anomaly.
  - In contrast, the minima (in boreal summer/autumn) exhibit greater scatter before 2011, replaced afterwards by a smooth decline to a marked 2016 minimum, then sudden reset in 2017.
- Unusually low boreal summer/autumn IH minima also occur in 1993-1994. Apart from being a period
  when measurement and calibration methods were consolidating (as discussed next section) the most
  significant volcanic influence (Pinatubo) is potentially an influence at this time.

This raises a question as to how well mlo data represents the Northern Hemisphere. Of more relevance to this study is how well do the mlo samples represent air that is transferred into the Southern Hemisphere. Flasks are collected at mlo above 3 km altitude in down-slope winds, close to the upper troposphere regions where the IH transfer processes defined in FF18 occur (see Figure 5), circumstances not shared by other NH surface monitoring sites.

Unlike in typical growth rate analyses, the peak and trough values are largely independent. This is visually explored in Figure 3 using spline polylines linking peaks (solid) and dips (dashed) months of IH CO<sub>2</sub> differences. Typical trace gas mixing within extra-tropical hemispheres is typically estimated at 1-2 months or less, and inter-hemispheric exchange times estimated at 6-12 months or more (e.g. Bowman and Cowan, 1997, Jacob, 1999). Monthly changes in the peak and trough IH CO<sub>2</sub> largely reflect flux changes in or out of the extra-tropical northern troposphere close to that month. The following sections seek similarities with possible causal forcing processes.

#### 220 4 Processes influencing CO<sub>2</sub> IH difference variations

Variations in atmospheric CO<sub>2</sub> global carbon cycle models generally attribute short term variations in the global budget to exchanges with the terrestrial biosphere (Le Quéré et al., 2018; Yue et al., 2017) and implicitly assume model atmospheric transport is correct. While the models have demonstrated an impressive ability to predict mid-to-high latitude CO<sub>2</sub> variations influenced by weather, it is less clear that short term variations in IH exchange have been adequately captured.

To exploit the sharp definition of the peaks and dips of IH CO<sub>2</sub> in Figure 3, their amplitude and timing are initially compared to anomalies in monthly estimates of terrestrial biosphere emissions and wildfires in Figure 4.

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It is assumed here that flux variations from ocean sources are much smaller than terrestrial and IH atmospheric flux variations and can be generally neglected.

### 230 4.1 Terrestrial fluxes influencing IH Transport:

The primary determinant of the well-defined seasonality in IH CO<sub>2</sub> in Figure 3 is the temperature-moderated photosynthesis/respiration cycle of NH forests. The influence of equatorial vegetation surface-air CO<sub>2</sub> exchange that mixes into both hemispheres is suppressed in IH CO<sub>2</sub>. The issue here is whether anomalies in the IH CO<sub>2</sub> are related to anomalies in terrestrial exchange.

Monthly Dynamic Vegetation Model (DVM) estimates of Net Terrestrial Biosphere Production (NBP) in three latitude bands 90°N-30°N, 30°N to 30°S and 30°S-90°S over the 1992-2016 period with the Community Atmosphere Biosphere Land Exchange (CABLE) model (Kowalczyk et al., 2006; Haverd et al., 2018) are used. NBP signs are reversed and are described as terrestrial-to-air carbon fluxes. Global wildfire emissions from the Global Fire Emissions Database (Randerson et al., 2018, GFED4.1) from 1997-2015 are classified as NH, EQ and EQ/SH, and are also examined. For each data set, anomalies in seasonal behaviour for each latitude band

were determined by subtracting the mean seasonality from the monthly value.

The major seasonal anomalies in NBP and Wildfire emissions that potentially influence IH CO<sub>2</sub> are shown in

Figures 4(a) and 4(b). The largest anomalous surface to air flux is the extreme equatorial emission anomaly from equatorial wildfire in late 1997 (~0.9 PgC over 3 months) and is not associated with unusual behaviour in the IH CO<sub>2</sub> records.

With rapid mixing within the extra tropical northern hemisphere, as rapidly as 1-2 weeks (Jacob, 1999), and since IH CO<sub>2</sub> peaks re-occurring at the same time within 1 month of each year, if terrestrial NH emissions were a major determinant, close correspondence in timing of terrestrial anomalies and the IH CO<sub>2</sub> peaks would be expected. This is not evident in Figure 4. More importantly, the amplitude of terrestrial anomalies appear to be far too small to influence the IH CO<sub>2</sub> peaks and dips changes.

Over the last 25 years the annual relationship between global (mainly NH) fossil fuel combustion emissions and IH difference has been 2.8 PgC ppm<sup>-1</sup> (0.36 ppm (PgC)<sup>-1</sup>, FF18, see also Figure 9 below). This is when northern fossil fuel emissions effectively mix globally. The volume of the troposphere north of Mauna Loa is around 33% of the global troposphere, so that on the shorter time frame of within-hemisphere mixing, only  $\sim$ 0.92 PgC is required to change the NH background CO<sub>2</sub> by 1 ppm. In Figure 4(c) we round this to 1 PgC = 1 ppm for

The IH CO<sub>2</sub> fluxes necessary to explain variations in the peaks (Apr-May) and dips (Sep-Oct) remain almost an order of magnitude greater than the anomalies in estimated terrestrial biosphere and wildfire fluxes.

Accepting the precision and near-hemispheric spatial representation of the composite IH CO<sub>2</sub> records, these inconsistences with terrestrial emissions in both timing and magnitude suggest that there are other short-term influences on IH CO<sub>2</sub> of greater magnitude than terrestrial exchange.

#### 4.2 Wind indices reflecting IH Transport:

In contrast to the case for air-surface exchanges, there are a number of prominent features in the composite IH CO<sub>2</sub> records that are shared with behaviour in the dynamical indices of FF18. Inter-hemispheric exchange of CO<sub>2</sub> occurs mainly by eddy processes in the boreal winter-spring and by mean convection and advection associated with the Hadley circulation in the boreal summer-autumn (FF18 and references therein). FF18

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developed wind indices that characterize both types of IH transport based on reanalysis data sets focusing on the National Center for Environmental Prediction (NCEP) and National Center for Atmospheric Research (NCAR) reanalysis (NRR) data (Kalnay et al., 1996). Eddy transport is described by u<sub>duct</sub>, the average 300 hPa zonal velocity in the Pacific Westerly duct region (Frederiksen and Webster, 1988) of 5°N to 5°S, 140 to 170°W (FF16, FF18). Here we use that index and two of the four indices for mean transport introduced in FF18. These are ω<sub>P</sub>, the average 300 hPa vertical velocity in pressure coordinates in the region 10-15°N, 120 to 240°E, and ν<sub>P</sub> the average 200 hPa meridional velocity in the region 5-10°N, 120 to 240°E. Figure 5 provides a schematic of the geographical location of regions used by FF18, and time series of the monthly values of wind indices are shown in Figure 6.

The top panel in Figure 6a shows the  $u_{duct}$  index which characterizes cross-equatorial Rossby wave dispersion, Rossby wave breaking and corresponding increases in transient kinetic energy and eddy transport in the near-equatorial upper troposphere (Webster and Holton, 1982, Frederiksen and Webster, 1988, Ortega et al., 2018). The large scale Rossby waves are generated by thermal anomalies and topographic features including the Himalayan mountains from which they propagate south-eastward and are able to penetrate into the SH when  $u_{duct}$  is positive, corresponding to an open Pacific Westerly duct.

The  $\omega_P$  and  $v_P$  indices in Figures 6b and 6c describe the strength of the mean transport by the Hadley cell in the Pacific region with negative  $\omega_P$  corresponding to uplift and negative  $v_P$  to north to south transport.

Net interhemispheric trace gas exchange requires a partial pressure difference between hemispheres. For CO<sub>2</sub> the average seasonal cycle of 25-year mean partial pressure difference, represented here by monthly baseline mlo-cgo, is shown in Figure 7(a) (mlo-spo is not shown since it is effectively identical).

The positive mean IH  $CO_2$  is largely due to fossil fuel emissions. Months of positive (north-south) IH difference are shaded green and only in Sep-Oct is there a small reverse gradient. Transport of  $CO_2$  from the northern to the southern hemisphere occurs when green shaded areas in Fig. 7(a) coincide (on average) with blue shaded areas (Fig. 7(b), via eddy transfer with index  $u_{duet}$ ), or with red shaded areas (Figs. 7(c) and 7(d), via mean transport with indices  $\omega_P$  and  $v_P$ ).

Figure 7 also demonstrates that differences from the long-term mean in transport indices (average for each month) vary between the significant El Niño events in 1998, 2010 and 2016:

- In 2010, the IH CO<sub>2</sub> difference exceeds the average between Feb-Jul (Fig. 7(a)) with reduced eddy transfer between Feb-Apr, associated with lower that average u<sub>duct</sub> (Fig. 7(b)). Further, between Jun-Sep, there is weaker ascent (Fig. 7(c)) and north to south upper tropospheric wind (Fig. 7(d)) in the key regions defining ω<sub>P</sub> and v<sub>P</sub>. As noted in FF18, the IH CO<sub>2</sub> eddy and mean transports reinforce to contribute to the unprecedented 2009 to 2010 step in IH CO<sub>2</sub> gradient.
- In 2016, the IH CO<sub>2</sub> gradient is larger than average between Feb-Jun and smaller than average between
   Jul-Oct (Fig. 7(a)). These results are again consistent with the behaviour of the dynamical indices. There is reduced IH CO<sub>2</sub> eddy transfer in the first half of the year (Fig. 7(b)) but very strong mean transport in the second half of the year (Figs. 7(c) and(d)) that accounts for the annual IH CO<sub>2</sub> gradient, as noted in FF18.
- In 1998, the IH CO<sub>2</sub> difference exceeds the average from May-Dec and is close to the mean annual cycle
   for the rest of the year. We note from Figure 7 that the annual increase in IH CO<sub>2</sub> gradient, also shown in Figure 2 of FF18, is largely induced by the Jun-Aug mean Hadley circulation.
  - It is suggestive that the relative variation in IH CO<sub>2</sub> Feb-May for the three big El Niño years matches that in u<sub>duct</sub>, however it is puzzling that the largest u<sub>duct</sub> anomaly, 1998, is when IH CO<sub>2</sub> is closest to the mean

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behaviour. The fact that the mean transport indices at this time of year are also consistently well below their long term average is also of note, since with  $u_{duct}$  close to zero and  $-\omega_P$ ,  $-v_P$  indicating descent and south to north meridional winds, there is no obvious mechanism for IH exchange in this season. Yet, over the 25 years correlation of the Apr-May IH CO<sub>2</sub> peaks with  $-\omega_P$ ,  $-v_P$  is significant,  $r \approx 0.4$ . One possible explanation for these behaviours in the early part of the Boreal winter/Austral summer may be found in changes in the volume of the well-mixed portion of the northern hemisphere (see Discussion, Section 5).

315 Different responses of IH CO<sub>2</sub> to wind indices at different ENSO events, and from non-ENSO periods, are discussed in Section 6.

As an aside, we also include a similar plot for the average SH cgo-spo differences in Figure 8. Despite some concerns about flask storage at spo, all networks indicate that on average spo baseline CO<sub>2</sub> exceeds that at cgo in the austral summer months. It suggests a possible role for southern ocean uptake influencing cgo, although the Oct-Feb maximum influence (minimum cgo-spo and sea-air flux) in Nov-Dec appears to precede inversion estimates of Southern Ocean CO<sub>2</sub> uptake south of 30°S. (Lenton et al., 2013).

#### 5 Year-to-year variation in the composite records

The annual net impacts of the various potential influences on site IH CO<sub>2</sub> differences (when typical terrestrial biosphere seasonal variations are balanced) appear in Figure 9.

- The largest cgo-spo differences occur in 1992 and 1993. In these years the composite cgo-spo involves uninterrupted monthly data from two networks only, NOAA and CSIRO. At this time, CSIRO co-measurement of a subset of NOAA cgo flask samples (Masarie et al., 2001) show CSIRO cgo is around +0.2 ppm higher than NOAA cgo, too small and in the wrong direction to explain the 1992-1993 network difference, rather favouring a temporary problem with NOAA spo sampling; no such persisting anomalies of this magnitude have occurred since. Prior to Mar 1993, NOAA cgo-spo data are lower by around -0.5 ppm compared to CSIRO data (Supplement Figure S4), with much larger seasonality compared to subsequent NOAA & CSIRO data.
  - Working through Figure 9 from the left in order to highlight other systematic features:
  - Except for 2016, every major El Niño (as indicated by the magnitude of the peak Oceanic Niño Index, for ONI > 1) corresponds to a transition from low to high IH difference, however the CO<sub>2</sub> response is not proportional to ONI, e.g. comparing 2009-10 to 1997-98, or most noticeably to 2015-16 (the strongest ONI but the smallest IH CO<sub>2</sub> step).
  - There is remarkable stability in IH CO<sub>2</sub> from 2010-2014; after 2010, there are no significant ONI, and the 5-year increase of ~0.1 ppm is lower than that generally attributed to upward mean fossil fuel emissions (the 2010-2014 change in FF is 0.73 PgC<sup>-1</sup>, which at 0.36 ppm.(PgC)<sup>-1</sup> would result in a 0.26 ppm increase). There is markedly less variability (composite standard deviation of de-trended annual means ~0.04 ppm) than any equivalent period over the previous 16 years (~0.31 ppm).
  - The 2009/2010 year-to-year change of ~0.8 ppm (addressed in FF16 using CSIRO data only) remains the
    major year-to-year change in the annual records. Subsequent data now offer an improved perspective on
    FF16 conclusions.
- The linear regression through the 25 year mlo-cgo annual data gives a slope of 0.72 ppm yr<sup>-1</sup> compared to that through monthly values of 0.61 ppm yr<sup>-1</sup> in Figure 3, or through the peaks of 0.09 ppm yr<sup>-1</sup> or the dips of 0.05 ppm yr<sup>-1</sup>. We interpret this as indicating the combined long-term influence of both eddy and mean transport on the annual mean IH CO<sub>2</sub>.

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• In 2017, the IH difference is close to the long term mean, with the duct open and Hadley strength returning to be close to its long-term mean.

#### 6 Discussion

The composite monthly IH differences reveal variation from monthly to decadal time scales that exceed measurement and sampling error (as indicated by the composite standard deviations) thus requiring biogeochemical explanation. This discussion focusses on the potential of wind indices to explain major features in IH CO<sub>2</sub> variation, with emphasis on periods and events when they are likely to be the dominant influence on IH CO<sub>2</sub>. It is intended as a complement to the more general statistical analyses in FF18.

In Figure 6, decreasing  $u_{duct}$  acts to lessen eddy IH exchange and increase IH CO<sub>2</sub>, while the increasing Hadley circulation (decreasing  $v_P$  and  $\omega_P$ ) decreases IH CO<sub>2</sub>.

The fact that the magnitude of IH CO<sub>2</sub> response varies greatly between the 1998, 2010 and 2016 El Niño events (with little or no eddy transfer occurring in boreal winter/spring in these years) is consistent with a quasi-decadal variation in the negative excursions of  $v_P$  and  $\omega_P$  in Figure 6 (most obvious in  $\omega_P$ ). In 1998 and 2010, the Hadley boreal summer/autumn indices are closer to zero, while 2016 registers an unprecedented negative excursion.

365 The complication of IH CO<sub>2</sub> variations in the boreal winter/austral summer when u<sub>duct</sub>, ω<sub>p</sub> and v<sub>P</sub> indices indicate that little or no IH exchange occurs (and u<sub>duct</sub> closure tends to increase mlo CO<sub>2</sub>), is at a time when the north to south seasonal variation in the Inter-Tropical-Convergence-Zone is near maximum. If NH peak terrestrial emissions at that time are diluted into a larger volume of well-mixed NH air, it could offset the mlo CO<sub>2</sub> increase anticipated from u<sub>duct</sub> closure. This volume effect is likely to be a second order effect in non-El Niño years.

NH terrestrial biosphere emission anomalies in the 2010-2014 period (Figure 4) are more variable than those in 2000-2005, the opposite of the relative behaviour in IH CO<sub>2</sub> variability in Figure 9. These emissions are relatively small, and frequently occur after the larger IH CO<sub>2</sub> anomalies, all inconsistent with a significant contribution to the composite IH differences; so they are considered second order. The small 2010-2014 trend (~0.1 ppm compared to 0.26 ppm expected from fossil fuel emissions), and the steadily decreasing westerly wind strength in u<sub>duct</sub> over the period, should increase IH CO<sub>2</sub> over the fossil fuel trend (FF16). The flattening trend is consistent with the IH CO<sub>2</sub> flux due to IH mixing by the Hadley process overwhelming the increases expected from Fossil Fuel combustion and from decreasing u<sub>duct</sub> strength. There is a linear relationship between u<sub>duct</sub> and equatorial upper troposphere transient kinetic energy shown in Fig. 6 of Frederiksen and Webster 1988 and discussed in FF16 and FF18). Note that in Figure 6, there is no precedent for similar sustained opposing behaviour in the two modes of IH transfer. The trend and lack of scatter in 2010-2014 IH CO<sub>2</sub> can be understood by the IH CO<sub>2</sub> fluxes being significantly larger than air-surface exchanges at the time.

The magnitude of the IH flux anomalies of up to  $\sim$ 2 PgC month<sup>-1</sup> exceed known air-surface fluxes in the NH and are of a magnitude to significantly influence NH CO<sub>2</sub> growth rate variability. With increasing fossil fuel fluxes, the role of IH exchange on the CO<sub>2</sub> IH differences, and NH CO<sub>2</sub> growth, is expected to become increasingly important.

The previous inability of carbon cycle models to simulate the 2009/2010 step (FF16, Supplementary Information) implies that there is inadequate parameterisation of IH CO<sub>2</sub> transfer, particularly by eddy

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exchange, in some global carbon cycle models. If this is the case, then studies that interpret CO<sub>2</sub> behaviour during ENSO events as a guide to terrestrial biosphere responses to climate will also be compromised. The ability to simulate the identified features of the composite IH CO<sub>2</sub> differences (within the standard deviations) would provide convincing independent confirmation of atmospheric transport implementation.

#### 7 Conclusions

Over the last 25-years there is a high degree of agreement in the measurement of monthly spatial differences in background CO<sub>2</sub> levels by three measurement laboratories using four different sampling methodologies and sampling frequencies. Geographic isolation of sample collection sites and consistent sophisticated background selection over the 25 years, as well as coincident monitoring of a wide range of atmospheric species, excludes local and regional influence on CO<sub>2</sub> at mlo, spo and cgo to an extent not generally available at other surface monitoring sites.

The temporal variation in the composite IH CO<sub>2</sub> differences exhibit several systematic features on monthly to multi-year timeframes that are not reflected in independent evidence of air-surface exchange, but do correspond to features in dynamical indices selected to represent both eddy and mean IH exchange. The comparisons in this paper imply a major role for IH exchange of CO<sub>2</sub> in NH growth rate variations.

The evidence for a significant influence of atmospheric dynamics on the CO<sub>2</sub> IH gradient has relevance for global carbon cycle studies. It implies that both eddy and mean transport processes, and volume effects, need to be specifically included in transport model simulations, since the balance between the two is constantly changing, particularly in El Niño periods when eddy transport is reduced. It also means that El Niño events may be a poor predictor of the carbon cycle behaviour in non-ENSO years.

Global carbon cycle model simulations should be able to reproduce the major features identified here in the composite IH records if the re-analyses transport is correctly implemented. In attempting to simulate the composite differences, one complication is model selection of baseline that matches the flask sampling criteria. While monthly baseline averages appear to succeed in this respect, a more comprehensive treatment (outside the scope of this study) based on individual flask measurements rather than monthly averages, and other trace gas observations FF16, FF18, and in particular radon (Chambers et al., 2016), could possibly improve this process.

Data availability. Monthly average NOAA/ESRL, SIO and CSIRO CO<sub>2</sub> data were obtained respectively from: <a href="mailto:ttp://aftp.cmdl.noaa.gov/data/trace\_gases/co2/flask/">ttp://aftp.cmdl.noaa.gov/data/trace\_gases/co2/flask/</a>

http://scrippsco2.ucsd.edu/data/atmospheric\_co2/sampling\_stations

420 <a href="ftp://pftp.csiro.au/pub/data/gaslab/">ftp://pftp.csiro.au/pub/data/gaslab/</a>. These data are also available from the Global Atmosphere Watch database <a href="https://gaw.kishou.go.jp/search">https://gaw.kishou.go.jp/search</a>.

Ocean Nino Index data were obtained from

 $\underline{https://origin.cpc.ncep.noaa.gov/products/analysis\_monitoring/ensostuff/ONI\_v5.php}$ 

Meteorological data are available from the NOAA/ESRL website at http://www.esrl.noaa.gov/psd/ (Kalnay et al., 1996)

The Supplement related to this article is provided

#### Author contributions.

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RJF generated the composite records and their analyses while JSF provided information on atmospheric dynamics and the roles of transport mechanisms. LPS and RLL contributed CO<sub>2</sub> measurement quality assessments. All four authors contributed to the written document.

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435 *Competing interests.* The authors declare that they have no conflicts of interest.

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## **Figure Captions**

- Figure 1: Monthly CO<sub>2</sub> differences (in ppm) from NOAA for sites mlo, cgo and spo and networks SIO1, SIO2 and CSIRO. 5-month running means are highlighted. 1996-2016 mean and standard deviation (bracketed) values are included.
  - **Figure 2**: Three-month averaged CO<sub>2</sub> differences between sites for each of the four sampling networks. (Each 3-month average is plotted on Jan 1 of the appropriate year.
- 570 Figures 3: Composite station difference data showing the network ensemble average and standard deviation of monthly CO<sub>2</sub> for (a) mlo-cgo, (b) mlo-spo and (c) cgo-spo (on a doubly expanded scale). Linear regressions through the IH records are black-dotted lines. Spline polylines link peaks (blue, solid) and dips (red, dotted) of the seasonal IH differences. Shaded blue rounded rectangles indicate El Niño periods with strongly anomalous equatorial zonal winds.
- Figure 4: Comparison of the timing and amplitude of terrestrial emissions with variations of the peaks and dips in Figure 3. (a) seasonal anomalies in CABLE emissions and (b) GFED4.1 wild fire seasonal anomalies, for NH (green), EQ (pink) and SH (blue, SH/EQ for GFED4.1). In (c) The largest anomalies (CABLE NH, CABLE EQ, and GFED4.1 EQ) on the left axis are compared to the ppm variation in peaks (red) and dips (blue) on separate right axes. The axes scaling equates 1 PgC with 1 ppm (see text).
- Figure 5: Schematic of the boundaries and altitudes of regions used in FF18 to define wind indices that describe eddy IH transfer (u<sub>duct</sub>, westerlies positive) and mean transfer (uplift, negative ω<sub>P</sub>) and north to south transfer (negative ν<sub>P</sub>). The shaded area brackets the austral summer extent of the ITCZ in the south (blue dash) and boreal summer extent in the north (red dash).
- Figure 6: Monthly values of (a)  $u_{duct}$ , (b)  $\omega_P$  and (c)  $v_P$ . Shading indicates months of enhanced transport which acts to reduce the IH CO<sub>2</sub> difference. Anomalous dynamical periods are highlighted with shaded rectangles.
  - Figure 7: The monthly averages of dynamical factors governing  $CO_2$  IH Exchange over the last 25 years. (a) detrended  $CO_2$  partial pressure differences mlo-cgo (green), (b) Pacific Eddy transport index  $u_{duct}$  (dark blue), (c) Pacific Hadley transport indicated by uplift at  $10\text{-}15^{\circ}N$  ( $-\omega_P$ , light red) and (d) North to South transport ( $-v_P$ , dark red). On average, coincidence of shading in wind indices and shaded months of IH  $CO_2$  is a precondition for increased IH mixing (reduced IH gradient). The more anomalous transport years, 1998 (dots), 2010 (dashes) and 2016 (black line) are shown for each wind index, and for mlo-cgo IH  $CO_2$ .
  - **Figure 8**: Composite 25-year average of monthly baseline cgo-spo CO<sub>2</sub> (dark blue). Individual network values are shown in orange (NOAA), dark blue (SIO2) and CSIRO (black). Estimates of sea-air CO<sub>2</sub> flux seasonality are shown in light blue.
- 595 Figure 9: Annual changes in the baseline CO<sub>2</sub> difference between sites. Interhemispheric differences are plotted on the left axis. The peak magnitudes of the strong El Niños (3-month Nino Region 3.4 average or ONI index) are indicated. The cgo-spo annual differences are plotted on a doubled right-hand scale. Dashed lines represent linear regressions through the annual average data.

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# 600 Table

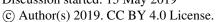
# networks four three two one 277 23 mlo-cgo 2 268 44 mlo-spo 257 42 1 cgo-spo

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Table 1: Number of months of data available for composite differences at the baseline sites.

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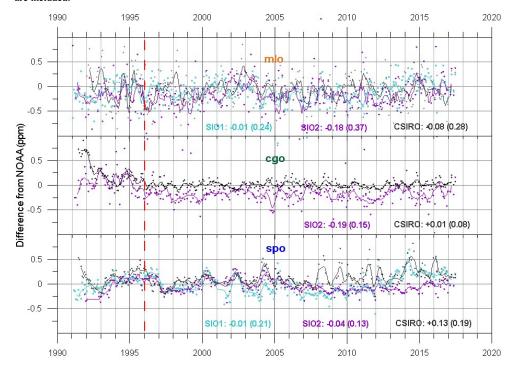






# **Figures**

610 Figure 1: Monthly CO2 differences (in ppm) from NOAA for sites mlo, cgo and spo and networks SIO1, SIO2 and CSIRO. 5-month running means are highlighted. 1996-2016 mean and standard deviation (bracketed) values are included.



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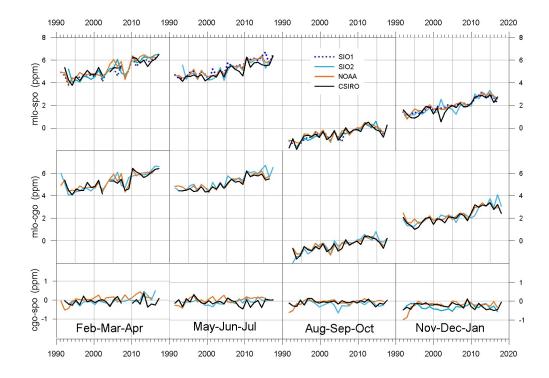
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**Figure 2**: Three-month averaged CO<sub>2</sub> differences between sites for each of the four sampling networks. (Each 3-month average is plotted on Jan 1 of the appropriate year.



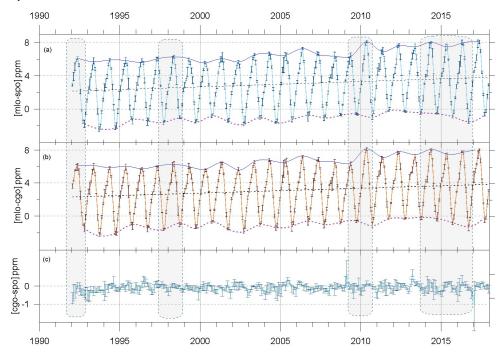
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Figures 3: Composite station difference data showing the network ensemble average and standard deviation of monthly CO<sub>2</sub> for (a) mlo-cgo, (b) mlo-spo and (c) cgo-spo (on a doubly expanded scale). Linear regressions through the IH records are black-dotted lines. Spline polylines link peaks (blue, solid) and dips (red, dotted) of the seasonal IH differences. Shaded blue rounded rectangles indicate El Niño periods with strongly anomalous equatorial zonal winds.



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**Figure 4**: Comparison of the timing and amplitude of terrestrial emissions with variations of the peaks and dips in Figure 3. (a) seasonal anomalies in CABLE emissions and (b) GFED4.1 wild fire seasonal anomalies, for NH (green), EQ (pink) and SH (blue, SH/EQ for GFED4.1). In (c) The largest anomalies (CABLE NH, CABLE EQ, and GFED4.1 EQ) on the left axis are compared to the ppm variation in peaks (red) and dips (blue) on separate right axes. The axes scaling equates 1 PgC with 1 ppm (see text).

1990 2005 2000 2010 2015 1995 Terrestrial Fluxes (PgC month-1) 0.2 0 -0.2 CABLE (-NBP anomalies) Ν EQ 0.4 S GFED4.1 Wildfire anomalies 0.2 0 (c) IH CO₂ peaks variation Anomalies (PgC month-1) 6.6 1 0 Global (annual) 2.8 PgC = 1 ppm NH (month) ~ 1 PgC = 1 ppm , dips variation 1990 1995 2000 2005 2010 2015

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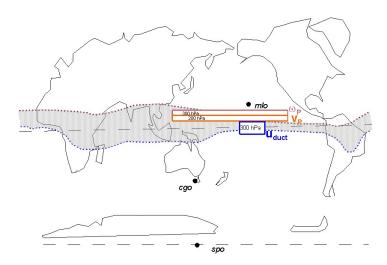
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**Figure 5**: Schematic of the boundaries and altitudes of regions used in FF18 to define wind indices that describe eddy IH transfer ( $u_{duct,}$ , westerlies positive) and mean transfer (uplift, negative  $\omega_P$ ) and north to south transfer (negative  $v_P$ ). The shaded area brackets the austral summer extent of the ITCZ in the south (blue dash) and boreal summer extent in the north (red dash).



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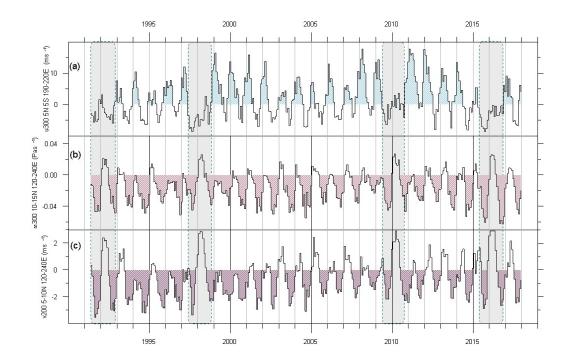
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Figure 6: Monthly values of (a)  $u_{duct}$ , (b)  $\omega_P$  and (c)  $v_P$ . Shading indicates months of enhanced transport which acts to reduce the IH CO<sub>2</sub> difference. Anomalous dynamical periods are highlighted with shaded rectangles.



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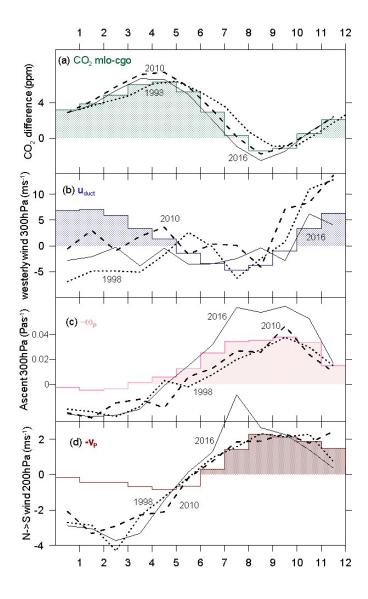
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Figure 7: The monthly averages of dynamical factors governing CO<sub>2</sub> IH Exchange over the last 25 years. (a)
detrended CO<sub>2</sub> partial pressure differences mlo-cgo (green), (b) Pacific Eddy transport index u<sub>duct</sub> (dark blue), (c)
Pacific Hadley transport indicated by uplift at 10-15°N (-ω<sub>P</sub>, light red) and (d) North to South transport (-ν<sub>P</sub>,
dark red). On average, coincidence of shading in wind indices and shaded months of IH CO<sub>2</sub> is a precondition
for increased IH mixing (reduced IH gradient). The more anomalous transport years, 1998 (dots), 2010 (dashes)
and 2016 (black line) are shown for each wind index, and for mlo-cgo IH CO<sub>2</sub>.

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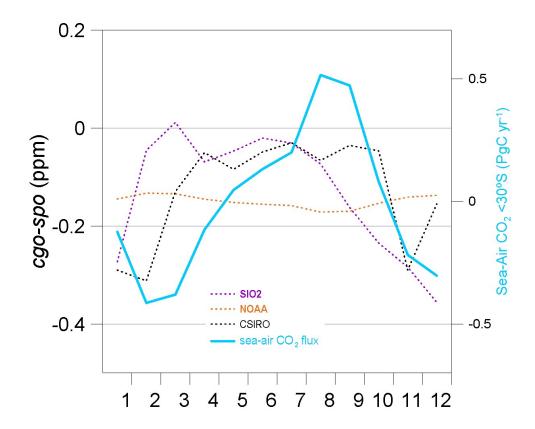
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Figure 8: Individual network values that contribute to the composite are shown in orange (NOAA), dark blue 655 (SIO2) and CSIRO (black). Estimates of sea-air CO2 flux seasonality are shown in light blue.



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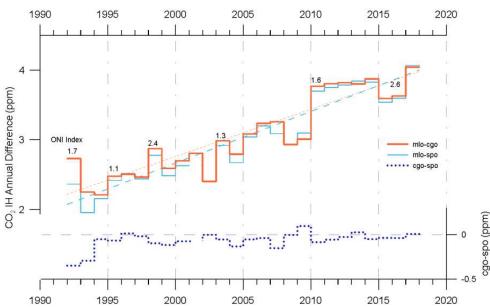
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**Figure 9:** Annual changes in the baseline CO<sub>2</sub> difference between sites. Interhemispheric differences are plotted on the left axis. The peak magnitudes of the strong El Niños (3-month Nino Region 3.4 average or ONI index) are indicated. The cgo-spo annual differences are plotted on a doubled right-hand scale. Dashed lines represent linear regressions through the annual average data.

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