## Author Response to Referee #2:

## The referee's comments are bolded and italicized while our comments are in plain text

Manuscript by Hatch et. al. focuses on improved fitting procedures to obtain better agreement between FHH parameters from water adsorption measurements and CNN-derived FHH parameters. Given the importance to the CNN activity and role of adsorbed water in atmospheric chemical and photochemical processes, the information discussed in this article has become vital, more than ever, to explain field and laboratory observations and extract reaction mechanisms. Authors present scientific results from an improved parameterization of adsorbed water using a direct measurement of monolayer water coverage, determined by the BET analysis of experimental water adsorption. This approach shows a significant reduction of the gap in the FHH parameters between water uptake and CNN activity. This study is also significant due to the fact that it highlights the others potential sources for the un-modelled variations. The knowledge presented in this work will be greatly useful for atmospheric studies, in particular for better understanding heterogeneous processes on mineral dust under humid conditions. Considering all the above facts, I recommend that this article is on Langmuir after addressing the following minor concerns.

The authors would first like to thank Referee #2 for their assessment of the submitted work. In particular, highlighting the importance of reducing assumptions in experimental variables that can influence the modeled outcomes, for this is a major finding that challenges the current literature (Tang et al., 2016).

## Specific Comments:

# 1. Can these calculations be applied to oxide mineral dusts? If so, will the assumptions have made for clay minerals applicable?

Indeed, the detailed analysis of water adsorption, including BET and FHH adsorption fitting methods, are applicable to oxide mineral dusts as long as multilayer water adsorption (Type II adsorption isotherms) is observed. One caveat is that the fit constraints rely on the range of RH values in which monolayer and multilayer adsorption occurs, particularly in the case of the FHH fit. The authors find that the range of RH values over which the FHH adsorption model is constrained must represent the multilayer adsorption regime. As indicated in a separate comment by Ari Laaksonen, the range of RH values over which the FHH adsorption model is fit for the montmorillonite clay may be too large as montmorillonite tends to begin multilayer water adsorption at ~70% RH. However, due to the limited number of data points available in that high RH range, we have kept the constraints on the FHH fit as is standard procedure (40-90% RH) but added a detailed description of how this might add uncertainty to the FHH results for montmorillonite. Specifically, we have added the following statement to pg. 6 of the revised manuscript:

"However, swelling clay minerals, such as montmorillonite, are problematic as the multilayer adsorption regime begins at higher RH values. For example, previous studies have shown that the multilayer adsorption regime begins at  $\sim$ 70% RH for montmorillonite clay (Cases et al., 1992; Mooney et al., 1952), and thus the FHH fit should be constrained from 70-90% RH. Unfortunately, the limited number of data at high RH values precludes

the feasibility of fitting the FHH model over this smaller range of RH values. Thus, the FHH fit parameters reported here for montmorillonite suffer from uncertainty due to the swelling action of smectite clays."

This is not the case for non-swelling clays and oxide mineral dusts which typically show multilayer adsorption between 40-90% RH.

## Technical Comments: Reference style is not consistent. P4, L2 and elsewhere.

The revised document has been edited for consistency in referencing style. Thank you for bringing awareness to this issue.

Author Response to Ari Laaksonen:

The referee's comments are bolded and italicized while our comments are in plain text

The subject of this paper is reconciliation of water vapor adsorption and CCN activation measurements of clay minerals in terms of the FHH adsorption theory. The subject matter of the paper is important, and I recommend publication, in spite of the fact that the main message of the paper – that the FHH parameters should be determined by fitting the theory to the multilayer portion of the adsorption isotherm, rather than to the complete isotherm – is rather trivial. However, I have some issues that I believe should be discussed in the paper.

First, the authors would like to thank Ari Laaksonen for the thoughtful assessment of our manuscript and comments that have greatly improved the quality and clarity of the work. In an effort to provide clarity, indeed constraining the FHH adsorption fit to the multilayer adsorption regime is trivial and thus resulted in trivial improvements to the overall analysis, but improvements nonetheless. However, the constraints were only one of the adjustments to the adsorption analysis. The most significant improvement observed was due to the direct measurement of the monolayer water content on the sample based on BET water adsorption analysis. Rather than the assumptions of ML coverage on a flat surface from previous analyses, the direct measurement of the ML water content on the specific sample studied showed an order of magnitude improvement in the relative water coverage compared to previous measurements (see difference between Fig. 1 and Fig. 2). This change resulted in a reduction of the percent difference in the A<sub>FHH</sub> parameter from 195% to 65% between the value obtained from water adsorption and CCN activation measurements, which is quite significant, and more of an effect than constraining the FHH adsorption fits. In an effort to clarify this misconception, we have revised the concluding remarks on Pg. 8, 2<sup>nd</sup> paragraph to include the following statement:

"Thus, as the  $A_{FHH}$  value governs the overall extent of water coverage, the significant reduction in experimental  $\theta$  based on direct measurements of ML water content from BET analysis of water adsorption is driving improved accuracy of  $A_{FHH}$  values."

First, I am not completely convinced about the correctness of the FHH fitting to the montmorillonite data. Montmorillonite swells as RH is increased, up to 72% RH (Cases et al., 1992). In other words, the true multilayer portion of the adsorption isotherm occurs at higher relative humidities. In Laaksonen et al (Sci. Rep. 2016), the fitting was done to the high RH portion of the data of Hung et al (2015). The FHH plot can be seen in the supplement of Laaksonen et al, and there is a clear change of slope at around 70% RH. Also, the FHH plot of the data of Mooney et al. (JACS, 1952) shows a similar (in fact, even clearer) change. The present data is somewhat noisy, and there are only two data points at sufficiently high RH, so I understand that fitting to those two data points would not be feasible. But the matter should definitely be discussed.

The reviewer makes an excellent point here. Indeed the FHH fit to the montmorillonite isotherm stretches outside the range of the multilayer regime and thus the resulting FHH adsorption parameters may be exhibit uncertainty due to the selected RH range used. The use of the standard range of 40-90% RH was indeed selected due to the limited number of data points at high RH values. The authors have now included the following statements, which will clarify the

uncertainty associated with choosing FHH fit constraints, and the necessity for fitting the FHH adsorption model over the multilayer adsorption regime, which may vary from sample to sample.

"However, swelling clay minerals, such as montmorillonite, are problematic as the multilayer adsorption regime begins at higher RH values. For example, previous studies have shown that the multilayer adsorption regime begins at  $\sim$ 70% RH for montmorillonite clay (Cases et al., 1992; Mooney et al., 1952), and thus the FHH fit should be constrained from 70-90% RH. Unfortunately, the limited number of data at high RH values precludes the feasibility of fitting the FHH model over this smaller range of RH values. Thus, the FHH fit parameters reported here for montmorillonite suffer from uncertainty due to the swelling action of smectite clays."

Secondly, the surface fractal dimension (D) approach of Laaksonen et al. (Sci. Rep. 2016) is mentioned briefly in the end of the paper. Laaksonen et al. gave D-values for illite based on two different techniques that make use of nitrogen adsorption. Applying those D-values to the present B-parameter of illite would lead to corrected B values that are between 0.7-1.3 (I don't think there is much point to apply the montmorillonite D-values to the present data as the FHH fit is so uncertain). It should, however, be kept in mind that the data used in Laaksonen et al. (2016) was based on clays from different sources than in the current paper, and the clays may have been heat treated before the measurements, which can influence the D-values. Therefore, it would be ideal if the D values could be calculated from the BET analyzer measurements mentioned in Hatch et al (2012). In any case I would suggest expanding the discussion related to the surface fractal dimension somewhat.

The authors would like to thank Ari Laaksonen for a great suggestion that has greatly improved the significance of the submitted manuscript. As suggested, the authors have now added an additional discussion (beginning on pg 7 of the revised manuscript) and analysis using the fractal FHH adsorption model specifically for the illite clay. Based on the results of this analysis, the combined effects of

"(1) improved fitting procedures, (2) direct measurement of ML water content, and (3) applying the fractal FHH adsorption model to the experimental water adsorption measurements accounts for surface microstructure effects and are necessary to obtain closure between experimental water adsorption and CCN-derived FHH parameters."

as is now clarified in the first paragraph of the concluding remarks.

The following discussion has been added to the revised manuscript on pg. 7-8.

"Despite the improved agreement observed upon direct measurement of ML water content and constraining the FHH theory fits to the multilayer adsorption regime, small discrepancies in isotherm structure remain, as shown in Fig. 2. Structural isotherm discrepancies between the two methods are potentially a result of surface heterogeneity and microstructural differences in how space-filling by water adsorption occurs between bulk and aerosol measurements. A recent paper (Laaksonen et al., 2016) suggests that the surface fractal dimension influences water adsorption on insoluble surfaces and thus could help achieve closure between water adsorption and CCN activation measurements. In an effort to account for the observed differences in isotherm structure, the fractal FHH adsorption theory was used to demonstrate the effects of correcting the adsorption isotherm using the surface fractal dimension, *D*, as discussed in Laaksonen et al. (2016). The fractal FHH adsorption theory is expressed as

$$\ln S = -A_{fFHH} k^{-B_{fFHH}} \left(\frac{V}{V_m}\right)^{\frac{-B_{fFHH}}{3-D}}$$

according to Laaksonen et al. (2016), where k is a proportionality constant that is equal to unity in the case of a smooth surface and  $A_{fFHH}$  and  $B_{fFHH}$  are the fractal FHH adsorption parameters. D can vary from a value of two to three, where a fractal dimension of two represents a completely smooth surface and a value of three represents a surface that fills its space. Here, fractal FHH analysis is restricted to illite clay adsorption

(9)

data due to the limited data available for montmorillonite in the higher RH range of the multilayer adsorption regime for swelling clays.

The inset of Fig. 4 shows the fractal FHH adsorption theory fit to the experimental water adsorption results for illite clay, again constrained from 40-90% RH within the multilayer adsorption regime for illite. The resulting fractal FHH adsorption parameters are reported in Table 1 and the resulting *k* and *D* parameters are found to be 0.97 and 2.35, respectively. For comparison, Laaksonen et al. (2016) obtained surface fractal dimensions for illite clay ranging from 2.39-2.69. Notably, the surface fractal dimension results reported by Laaksonen et al. (2016) are based on clays obtained from different sources and heat treated prior to measurements and thus cannot be directly compared to results reported here. In fractal FHH theory,  $A_{FHH}$  and  $B_{FHH}$  are represted by  $A_{fFHH}k^{-B_{fFHH}}$  and  $\frac{-B_{fFHH}}{3-D}$ , respectively. Applying the resulting *k* and *D* values, fractal FHH adsorption analysis gives  $A_{FHH}$  and  $B_{FHH}$  adsorption parameters of 1.48 and 1.94 for illite clay.

Fig. 4 also shows the adsorption isotherm generated based on the fractal FHH adsorption parameters obtained compared to the FHH adsorption isotherm from FHH parameters determined from CCN measurements of dry-generated illite clay reported by Kumar et al. (2011a). As shown in Table 1 and Fig. 4, the fractal FHH adsorption analysis results in a modeled isotherm based on experimental water adsorption measurements that exhibit significantly improved agreement with the isotherm obtained from CCN activation measurements of dry-generated illite. Thus demonatrating that correcting for the surface fractal dimension in experimental water adsorption measurements helps bring closure between aerosol and bulk methods for measuring the uptake of water on insoluble surfaces."



% Relative Humidity

Figure 4: Illite experimental water adsorption isotherm (Hatch et al., 2012) and associated fractal FHH isotherm, where the experimental  $\theta$  was calculated as  $V/V_m$  and  $V_m$  was determined from BET analysis of adsorption isotherm. FHH adsorption isotherms from FHH parameters determined from size-selected CCN measurements of dry-generated illite clay is also shown (Kumar et al., 2011a). The inset shows the constrained fractal FHH isotherm fit to the experimental water adsorption measurements.

The results of this analysis are shown above in a new **Fig. 4** of the revised document. Additionally, the second paragraph of the concluding remarks has been updated based on the new results from application of the fractal FHH adsorption model.

Unfortunately, the  $N_2$  BET adsorption measurements for these particular samples were outsourced due to limited resources of our institution and thus the authors do not have, nor do we have access to, the raw BET adsorption isotherms for these specific samples. Thus, the surface fractal dimension was determined directly from the water adsorption measurements, and were found to be consistent with previously reported values, although we have added a note with regard to the differences in illite samples studied.

## Technical Note: Frenkel Halsey and Hill analysis of water on clay minerals: Toward closure between cloud condensation nuclei activity and water adsorption

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Abstract. Insoluble atmospheric aerosol, such as mineral dust, has been identified as an important contributor to the cloud droplet number concentration and indirect climate effect. However, empirically-derived Frenkel-Halsey-Hill
(FHH) water adsorption parameters remain the largest source of uncertainty in assessing the effect of insoluble aerosol on climate using the FHH activation theory (FHH-AT). Furthermore, previously reported FHH water adsorption parameters for illite and montmorillonite determined from water adsorption measurements below 100% RH do not satisfactorily agree with values determined from FHH-AT analysis of experimental cloud condensation nuclei (CCN) measurements under supersaturated conditions. The work reported here uses previously reported experimental water
adsorption measurements for illite and montmorillonite clays (Hatch et al., 2012; Hatch et al., 2014) to show that

improved analysis methods that account for the surface microstructure are necessary to obtain better agreement of FHH parameters between water adsorption and experimental CCN-derived FHH parameters.

#### **1** Introduction

- By mass, mineral dust is the most abundant type of aerosol in the Earth's atmosphere. The estimated average atmospheric loading of mineral dust aerosol is 19.2 Tg; nearly 63% of the total aerosol burden (Textor et al., 2006). Entrained minerals are intricately linked to climate and the hydrological cycle and have a significant impact on air quality, visibility, and health (Creamean et al., 2013; Cwiertny et al., 2008; Karanasiou et al., 2012; Mahowald et al., 2007; Prospero and Lamb, 2003; Zhu et al., 2011). The Earth's energy budget is altered due to mineral dust aerosol effects on the radiative balance of the Earth and cloud formation and lifetime (Stevens and Feingold, 2009). Nearly
- 25 all, 75 to 100%, of atmospheric mineral dust emitted into the atmosphere is expected to be relatively bare minerals from arid or semi-arid source regions, not internally mixed with organic components (Forster et al., 2007; Ginoux et al., 2012). Until recently, these bare, insoluble mineral dust particles' influence on warm cloud formation has been assumed to be negligible from the lack of soluble material present (Manktelow et al., 2010; Pringle et al., 2010). However, many studies have shown that bare dust can be active cloud condensation nuclei (CCN), even if it is only
- 30 weakly hygroscopic (Herich et al., 2009; Koehler et al., 2009) as CCN activity is driven by pre-adsorbed water multilayers on the surface under sub-saturated water vapor conditions (Kumar et al., 2009a; Kumar et al., 2009b; Sorjamaa and Laaksonen, 2007). Recent advances have led to an increased understanding of the role of adsorbed water on CCN activation of insoluble aerosols (Laaksonen, 2015; Laaksonen et al., 2016; Sorjamaa and Laaksonen,

2007) and regional and global models are beginning to explore their effects on climate and precipitation (Karydis et al., 2012; Karydis et al., 2011; Smoydzin et al., 2012).

Much effort has been invested in parameterizing the contribution of insoluble mineral aerosol to the number of

- 5 available CCN and cloud droplets in the atmosphere. Most notably is the recent development of Frenkel-Halsey-Hill adsorption activation theory (FHH-AT) (Kumar et al., 2009a; Kumar et al., 2009b; Sorjamaa and Laaksonen, 2007). The FHH-AT framework was developed to account for the effect of adsorbed water multilayers on the CCN activity of wettable, insoluble aerosol and is based on two competing physical phenomena; the Kelvin effect and multilayer water adsorption. Since its inception, FHH-AT has been substantiated based on water adsorption, hygroscopicity, and
- 10 CCN measurements of mimicked freshly-emitted mineral dust aerosol (Hatch et al., 2014; Kumar et al., 2009a; Kumar et al., 2011b, a). Additionally, recent modeling studies have incorporated the FHH-AT framework to investigate global and regional impacts of mineral dust aerosol on cloud formation (Bangert et al., 2012; Karydis et al., 2012; Karydis et al., 2011). Karydis et al. (2011) incorporated FHH-AT into the NASA Global Monitoring Initiative chemical transport model and found that insoluble mineral aerosol contributes up to 40% of the annual averaged CCN
- 15 and 23% of the annual averaged cloud droplet number concentration (CDNC) in cloud-forming areas. Furthermore, dust hydrophilicity, expressed via Frenkel-Halsey-Hill (FHH) adsorption theory parameters, appears to have a more significant impact on the CDNC than dust concentration (Karydis et al., 2012). However, sensitivity studies have indicated that uncertainties in experimentally-determined FHH water adsorption parameters (*A<sub>FHH</sub>* and *B<sub>FHH</sub>*) could modulate the relative contribution of mineral aerosol to cloud droplet number by up to 56% (Karydis et al., 2011).
- 20 Thus, the accuracy of FHH-AT parameters affects the ability of atmospheric models to predict indirect climate effects of mineral aerosol; one of the least understood factors contributing to climate change (IPCC, 2013).

#### 2 Background

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Implementation of FHH-AT in climate models relies on the empirical measurement of FHH adsorption parameters obtained by applying the FHH adsorption model to experimental water adsorption measurements. The FHH adsorption isotherm describes multilayer water adsorption assuming an adsorption potential gradient based on the

distance of the adsorbed water layer from the particle surface and is described by Eq. 1 (Hill, 1952):

 $s = \exp(-A_{FHH}\theta^{-B_{FHH}})$ 

(1)

where *s* is the saturation ratio of water vapor above the sample,  $\theta$  is the relative water coverage (or number of adsorbed monomolecular water layers) and  $A_{FHH}$  and  $B_{FHH}$  are FHH empirical fit parameters that describe the intermolecular interactions governing the adsorption potential.  $A_{FHH}$  characterizes interactions between the surface and first adsorbed water layer and interactions between adjacent molecules and thus governs the overall extent of water coverage. Higher  $A_{FHH}$  values suggest that more water can be adsorbed.  $B_{FHH}$  describes the interactions between the surface and

the surface. Thus,  $B_{FHH}$  greatly influences the shape of the adsorption isotherm, particularly at high saturation ratios. 35 As a result, CCN activation determined using FHH-AT is predominantly driven by the magnitude of  $B_{FHH}$  (Kumar et

subsequent adsorbate layers. Smaller  $B_{FHH}$  values characterize stronger attractive forces over greater distances from

al., 2009a). In order to accurately determine  $A_{FHH}$  and  $B_{FHH}$ , experimental measurements of  $\theta$  as a function of relative humidity (RH) must be known to a high degree of accuracy.

FHH-AT describes the contribution of water adsorption to CCN activity by Eq. 2 (Hung et al., 2015; Kumar et al., 2009b; Sorjamaa and Laaksonen, 2007; Tang et al., 2016).

$$s = \exp(-A_{FHH}\theta^{-B_{FHH}})\exp\left(\frac{4\sigma M_w}{RT\rho_w D_p}\right)$$
(2)

The first exponential term represents the effect of water adsorption in the form of the FHH adsorption model. The second exponential term represents the Kelvin effect, where  $\sigma$  is the surface tension of water (7.20x10<sup>-2</sup> J/m<sup>2</sup>) (Pruppacher and Klett, 1980),  $M_w$  is the molar weight of water, R is the universal gas constant, T is the temperature, and  $\rho_w$  is the water density. Equation 2 can be used to calculate CCN activity under supersaturated water vapor 10 conditions if  $A_{FHH}$  and  $B_{FHH}$  are known based on fitting Eq. 1 to experimental water adsorption measurements at subsaturated water vapor conditions (Hatch et al., 2014; Hung et al., 2015). Alternatively, AFHH and BFHH can be determined from size-resolved experimental CCN activation measurements of the critical supersaturation,  $s_c$ , as a function of the dry particle diameter,  $D_{dry}$  (Kumar et al., 2011b, a; Sorjamaa and Laaksonen, 2007).

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Recent studies have attempted to calculate CCN activities of mineral dust components based on FHH parameters derived from experimental water adsorption parameters (Hatch et al., 2014; Hung et al., 2015). Hatch et al. (2014) found that while the calculated CCN activation was in good agreement with experimental CCN measurements of similar minerals (illite and montmorillonite clay) (Kumar et al., 2011b, a), the FHH adsorption parameters were significantly different based on the method by which they were acquired; experimental water adsorption (Hatch et al., 2014) vs. aerosol CCN activation measurements (Kumar et al., 2011b, a; Tang et al., 2016). Figure 1 shows the previously reported experimental water adsorption isotherms for (a) illite and (b) montmorillonite clays based on water adsorption measurements (Hatch et al., 2014). For comparison, Fig. 1 also shows the FHH adsorption isotherms of illite and montmorillonite based on AFHH and BFHH parameters derived from FHH-AT analysis of size-selected CCN measurements using wet (Kumar et al., 2011b) or dry (Kumar et al., 2011a) aerosol generation methods. The FHH adsorption isotherms from CCN activation measurements (dashed lines) were calculated based on reported (Kumar et

$$\theta = \frac{B_{FHH}}{\sqrt{\frac{A_{FHH}}{-\ln(s)}}}$$

(3)

al., 2011b, a) A<sub>FHH</sub> and B<sub>FHH</sub> values using Eq. 3 (Tang et al., 2016).

As shown in Fig. 1, the relative water coverage based on water adsorption measurements differs by a factor of 5 (illite) and 10 (montmorillonite) at 40% RH from the adsorption curves calculated using FHH parameters derived from 30 aerosol CCN activation measurements. The work presented here aims to address potential sources of the outstanding differences between FHH parameters obtained from water adsorption (Hatch et al., 2014) and CCN activation (Kumar et al., 2011b, a) measurements of illite and montmorillonite clays. Previously reported experimental water adsorption measurements on montmorillonite and illite clays by Hatch et al. (2012) are used to show that improved analysis methods accounting for surface microstructure are necessary to obtain more accurate FHH adsorption parameters from

water adsorption measurements and better agreement to experimental CCN-derived FHH parameters.

#### **3 FHH Activation Theory Water Adsorption Analysis**

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The results discussed here are based on further assessment of experimental water adsorption measurements previously reported in the literature (Hatch et al., 2012, 2014; Hatch et al., 2012). Hatch et al. (2012, 2014) reported water adsorption measurements on montmorillonite (SWy-2) and illite (IMt-1) clays obtained from the Clay Minerals Society's Source Clay Repository. Water adsorption was measured using a Horizontal Attenuated Total Reflectance Fourier Transform Infrared (HATR-FTIR) spectrometer with a humidified flow reactor. Details of experimental procedures and adsorbed water quantification can be found in the literature (Hatch et al., 2012, 2014; Hatch et al., 2012). Water content as a function of RH was reported as a mass ratio of adsorbed water to dry mineral sample mass,  $m_{H_2O}/m_{sample}$  (in  $g_{H_2O}/g_{sample}$ ), and were found to be in excellent agreement with previous gravimetric water content measurements on the same clays (Hatch et al., 2012; Schuttlefield et al., 2007b).

Traditionally, water content reported as  $m_{H_2O}/m_{sample}$  is used to determine the relative surface coverage ( $\theta$ ) by first converting the mass ratio to an experimental coverage,  $\theta_{exp}$  (molec/cm<sup>2</sup>), using Eq 4 (Tang et al., 2016).

$$\theta_{exp} = \frac{m_{H_2O}}{m_{sample}} \frac{N_A}{M_{H_2OABET}} \tag{4}$$

- 15 where N<sub>A</sub> is Avogadro's number, M<sub>H20</sub> is the molar mass of water, and A<sub>BET</sub> is the BET surface area using N<sub>2</sub> as the adsorbate. The relative surface coverage is then determined by dividing θ<sub>exp</sub> by a maximum coverage, θ<sub>max</sub>, or the maximum number of water molecules per cm<sup>2</sup> that can be adsorbed to form a complete monolayer (ML) on the mineral surface. θ<sub>max</sub> is often approximated as 1x10<sup>15</sup> molec/cm<sup>2</sup> or the inverse of the cross-sectional area of a water molecule, 1/πr<sup>2</sup>, where *r* is the radius of a water molecule. This method for obtaining θ from the experimental mass ratio of adsorbed water has been used in previous studies (Hatch et al., 2014; Hudson et al., 2002; Schuttlefield et al., 2007a; Schuttlefield et al., 2007b) and was recommended as the preferred technique in a recent review paper (Tang et al., 2016). However, obtaining θ in this way is based on assumptions that are not relevant to the studied systems and
- could introduce large uncertainties. For example, the water molecule is assumed to be spherical on a molecular scale. More significantly,  $\theta_{max}$  is calculated assuming a flat surface. That is,  $\theta_{max}$  represents the maximum number of spherical water molecules that can fit on a flat surface of 1 cm<sup>2</sup>. However, atmospheric mineral dust particles are widely known to exhibit significant surface microstructure and porosity leading to a significantly larger surface area than that of a flat surface. Thus, the above method for obtaining  $\theta$  from a mass ratio of adsorbed water can significantly overestimate  $\theta$ , leading to erroneous FHH adsorption parameters upon fitting the FHH adsorption model to experimental water adsorption isotherms. The estimated  $\theta_{max}$  is expected to account, at least in part, for differences in FHH parameters and adsorption isotherms obtained from water adsorption and CCN activation measurements (Fig.

More accurate  $\theta$  values that account for the surface microstructure of the clay particles can be determined if the maximum ML water coverage is directly determined from experimental water adsorption data. The Brunauer Emmett Teller (BET) adsorption model is commonly applied to multilayer adsorption isotherms to determine a sample's

specific surface area based on the amount (in volume) of adsorbate necessary to achieve ML coverage and the size of the adsorbate molecule. Equation 5 shows the linear form of the BET model (Brunauer et al., 1938).

$$\frac{\frac{P}{P_0}}{\left(1-\frac{P}{P_0}\right)V} = \frac{1}{V_{mc}} + \frac{(c-1)}{V_{mc}} \left(\frac{P}{P_0}\right)$$
(5)

In Eq. 5,  $\frac{\nu}{p_o}$  represents RH, V is the measured volume (cm<sup>3</sup>) of surface adsorbed water,  $V_m$  is the volume (cm<sup>3</sup>) of water necessary to achieve ML coverage, and c is a constant that is related to the enthalpy of adsorption for any layer of adsorbed water.  $V_m$  and c can be determined by fitting experimental adsorption isotherms with Eq. 5 (Brunauer et al., 1938; Hatch et al., 2012). Since  $V_m$  is the volume equivalent of  $\theta_{max}$ , the relative surface coverage can be determined by  $\theta = V/V_m$  as in Hung et al. (2015). BET analysis of water adsorption on illite and montmorillonite clays showed that ML water adsorption occurs at  $0.06_5 \pm 0.03_2$  and  $0.06_3 \pm 0.03_6$   $g_{H_2O}/g_{sample}$ , respectively (Hatch et al., 2012). The

10 volume of adsorbed water necessary to achieve ML coverage can be calculated from these ML water content values expressed as mass ratios (Hatch et al., 2012) following Eq. 6.

$$V = \frac{m_{H_2O}}{m_{sample}} \frac{m_{sample}}{D_{H_2O}} \tag{6}$$

In Eq. 6,  $m_{sample}$  is the mass (g) of sample,  $\frac{m_{H2O}}{m_{sample}}$  represents the experimental mass ratio of adsorbed water  $(g_{H_{2O}}/g_{sample})$ , and  $D_{H2O}$  is the density of water at room temperature (997.045 kg/m<sup>3</sup>) (Lide, 1993). Given illite and montmorillonite sample masses of 0.8 and 0.3 mg,  $V_m$  is calculated, to be 5.2x10<sup>-5</sup> and 1.9x10<sup>-5</sup> cm<sup>3</sup>, respectively, based on BET analysis of experimental water adsorption data (Hatch et al., 2012).

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For comparison,  $V_m$  based on the estimated  $\theta_{max}$  value of  $1 \times 10^{15}$  molec/cm<sup>2</sup> can be calculated using Eq. 7. Estimated  $V_m = \frac{\theta_{max} M_{H_2O} A_{BETm_{sample}}}{N_A D_{H_2O}}$  (7)

- 20 In Eq. 7,  $M_{H_20}$  is in kg/mol,  $D_{H20}$  is in kg/cm<sup>3</sup>, and  $A_{BET}$  (using N<sub>2</sub> as an adsorbate) is in cm<sup>2</sup>/g (Hatch et al., 2012). A  $\theta_{max}$  of 1x10<sup>15</sup> molec/cm<sup>2</sup> is equivalent to  $V_m$  values of 5.0x10<sup>-6</sup> and 2.3x10<sup>-6</sup> cm<sup>3</sup> water for illite and montmorillonite clays, respectively. This is approximately an order of magnitude less adsorbed water at ML coverage than  $V_m$  values directly determined from experimental water adsorption data using BET analysis. Thus, previous studies that use  $\theta_{max}$  to calculate  $\theta$  are overestimating the relative water coverage by up to an order of magnitude. This result is consistent
- 25 with discrepancies in FHH curves determined based on previous water adsorption and CCN activation measurements illustrated in Fig. 1 and thus is likely to be a major source of the disagreement observed in the literature.

Using Eq. 6, V and  $\theta$ , where  $\theta = V/V_m$ , were determined as a function of percent relative humidty based on previously reported water content mass ratios for illite and montmorillonite clays (Hatch et al., 2012). Figure 2 shows the 30 calculated  $\theta$  for (a) illite and (b) montmorillonite as a function of percent relative humidity based on obtaining  $V_m$ from BET analysis of experimental water adsorption data (Hatch et al., 2012). For comparison, adsorption curves calculated using Eq. 1 based on  $A_{FHH}$  and  $B_{FHH}$  parameters derived from CCN activation measurements of drygenerated illite and montmorillonite are also shown (Kumar et al., 2011b, a). In contrast to Fig. 1 which shows  $\theta$  as calculated using the estimated  $\theta_{max}$ , Figure 2 demonstrates that direct measurement of ML water content using BET analysis of the experimental data significantly enhances closure between adsorption isotherms derived from water adsorption and CCN activation measurements of microstructured clay minerals. As shown, the experimental adsorption curves of  $\theta$  as a function of percent relative humidity are now in much better agreement with FHH adsorption curves based on FHH parameters from dry-generated illite and montmorillonite clay minerals, thus reducing the disagreement between these two methods (Hatch et al., 2014; Kumar et al., 2011b, a; Laaksonen et al., 2016; Tang et al., 2016).

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To obtain more accurate FHH adsorption parameters from the experimental water adsorption data for illite and montmorillonite clays shown in Fig. 2, Eq. 1 was rearranged to a linear relationship as shown in Eq. 8 (Tang et al.,

10 2016).

 $\ln[-\ln(s)] = \ln A_{FHH} - B_{FHH} \ln \theta$ 

(8)

Linear regression analysis of ln[-ln (s)] as a function of ln θ from 40-90% RH allows for the determination of A<sub>FHH</sub> and B<sub>FHH</sub> (Hung et al., 2015; Tang et al., 2016). According to Hung et al. (2015), constraining the FHH adsorption model fit to a limited range of high RH values, avoids uncertainties due to assumptions inherent in the FHH adsorption theory as the fit isshould be limited to the multilayer water adsorption regime. Importantly, the FHH adsorption model assumes that particles are spherical, of a single universal diameter, have a smooth surface and that water is uniformly distributed (Hill, 1952). These assumptions are problematic when applying to adsorption measurements on bulk, polydisperse mineral dust particles which are known to be irregularly shaped and porous. Constraining the fit to higher RH values helps avoid surface porosity effects on the resulting FHH adsorption parameters. In contrast, previous work that reported FHH adsorption parameters for illite and montmorillonite clays was based on fitting the

FHH adsorption model to the entire range of RH values studied (Hatch et al., 2014). However, swelling clay minerals, such as montmorillonite, are problematic as the multilayer adsorption regime begins at higher RH values. For example, previous studies have shown that the multilayer adsorption regime begins at ~70% RH for montmorillonite clay (Cases et al., 1992; Mooney et al., 1952), and thus the FHH fit should be constrained from 70-90% RH.
 Unfortunately, the limited number of data at high RH values precludes the feasibility of fitting the FHH model over this smaller range of RH values. Thus, the FHH fit parameters reported here for montmorillonite suffer from

uncertainty due to the swelling action of smectite clays.

Figure 3 shows the FHH adsorption theory analysis of experimental water adsorption on illite and montmorillonite
clays based on a constrained FHH fit as described above and θ calculated as V/V<sub>m</sub>, where V<sub>m</sub> was directly measured from the experimental water adsorption data using BET analysis. The closed circles represent the data fit to the FHH equation (Eq. 8). Resulting A<sub>FHH</sub> and B<sub>FHH</sub> values are reported in Table 1. For comparison, FHH parameters previously reported in the literature determined using other methods are also reported. FHH parameters from analysis of previously reported water adsorption data (Hatch et al., 2014) assuming θ = θ<sub>exp</sub>/θ<sub>max</sub>, water adsorption
(montmorillonite only) analyzed based on θ = V/V<sub>m</sub> and the constrained FHH analysis and collected using a surface area and porosity measurement system (Hung et al., 2015), and experimental CCN activation measurements of wetand dry-generated clay minerals (Kumar et al., 2011b, a) are reported. In general, the FHH parameters from water

adsorption measurements using the method reported here agree more closely to CCN activation-derived FHH parameters compared to those reported previously for the same sample (Hatch et al., 2014). Using Eq. 3, illite and montmorillonite water adsorption isotherms (Fig. 2, solid lines) were calculated based on  $A_{FHH}$  and  $B_{FHH}$  values determined here (Table 1, this study). As shown in Fig. 2, the FHH curves based on analysis of experimental water adsorption appear to fit the experimental data very well and the adsorption isotherms show significantly improved agreement with FHH isotherms calculated from CCN activation measurements of the same clays. Although significant advances toward closure between FHH parameters from water adsorption and CCN activation measurements are demonstrated here, differences remain between FHH parameters determined using different methods. Thus, continued efforts to identify improved agreement between FHH parameters from water adsorption and CCN activation

10 measurements are warranted.

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Despite the improved agreement observed upon direct measurement of ML water content and constraining the FHH theory fits to the multilayer adsorption regime, small discrepancies in isotherm structure remain, as shown in Fig. 2. Structural isotherm discrepancies between the two methods are potentially a result of surface heterogeneity and microstructural differences in how space-filling by water adsorption occurs between bulk and aerosol measurements. A recent paper (Laaksonen et al., 2016) suggests that the surface fractal dimension influences water adsorption on insoluble surfaces and thus could help achieve closure between water adsorption and CCN activation measurements. In an effort to account for the observed differences in isotherm structure, the fractal FHH adsorption theory was used to demonstrate the effects of correcting the adsorption isotherm using the surface fractal dimension, D, as discussed in Laaksonen et al. (2016). The fractal FHH adsorption theory is expressed as

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n 
$$S = -A_{fFHH}k^{-B_{fFHH}}\left(\frac{v}{v_m}\right)^{\frac{-B_{fFHH}}{3-D}}$$

of 1.48 and 1.94 for illite clay.

(9)

according to Laaksonen et al. (2016), where k is a proportionality constant that is equal to unity in the case of a smooth surface and A<sub>fFHH</sub> and B<sub>fFHH</sub> are the fractal FHH adsorption parameters. D can vary from a value of two to three, where a fractal dimension of two represents a completely smooth surface and a value of three represents a surface that fills its space. Here, fractal FHH analysis is restricted to illite clay adsorption data due to the limited data available for montmorillonite in the higher RH range of the multilayer adsorption regime for swelling clays.

The inset of Fig. 4 shows the fractal FHH adsorption theory fit to the experimental water adsorption results for illite clay, again constrained from 40-90% RH within the multilayer adsorption regime for illite. The resulting fractal FHH 30 adsorption parameters are reported in Table 1 and the resulting k and D parameters are found to be 0.97 and 2.35, respectively. For comparison, Laaksonen et al. (2016) obtained surface fractal dimensions for illite clay ranging from 2.39-2.69. Notably, the surface fractal dimension results reported by Laaksonen et al. (2016) are based on clays obtained from different sources and heat treated prior to measurements and thus cannot be directly compared to results reported here. In fractal FHH theory,  $A_{FHH}$  and  $B_{FHH}$  are represted by  $A_{fFHH}k^{-B_{fFHH}}$  and  $\frac{-B_{fFHH}}{3-D}$ , respectively. 35 Applying the resulting k and D values, fractal FHH adsorption analysis gives A<sub>FHH</sub> and B<sub>FHH</sub> adsorption parameters Fig. 4 also shows the adsorption isotherm generated based on the fractal FHH adsorption parameters obtained compared to the FHH adsorption isotherm from FHH parameters determined from CCN measurements of dry-generated illite clay reported by Kumar et al. (2011a). As shown in Table 1 and Fig. 4, the fractal FHH adsorption analysis results in a modeled isotherm based on experimental water adsorption measurements that exhibit significantly improved agreement with the isotherm obtained from CCN activation measurements of dry-generated illite. Thus demonatrating that correcting for the surface fractal dimension in experimental water adsorption measurements helps bring closure between aerosol and bulk methods for measuring the uptake of water on insoluble surfaces.

#### 4 Concluding Remarks

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- Experimentally-\_determined FHH water adsorption parameters remain the largest source of uncertainty in assessing the role of insoluble aerosol on liquid cloud formation and the indirect climate effect (Karydis et al., 2012). Thus, accurate measurements of FHH adsorption parameters are necessary for reducing this uncertainty. However, Hatch et al. (2014) has shown that FHH parameters derived from water adsorption measurements (Eq. 1) can differ significantly from values based on FHH-AT analysis of experimental CCN activation measurements (Eq. 2) of the same mineral components (Kumar et al., 2011b, a). The work reported here shows that (1) improved fitting procedures and, (2) direct measurement of ML water content, and (3) applying the fractal FHH adsorption coverage using BET analysis offmodel to the experimental water adsorption data, thus accountingmeasurements accounts for surface microstructure, effects and are necessary to obtain more accurate FHH adsorption parameters fromclosure between experimental water adsorption measurements and better agreement to experimentaland CCN-derived FHH parameters.
- To assess the improved agreement between FHH adsorption parameters (Table 1) from water adsorption measurements and FHH-AT analysis of dry-generated mineral aerosol CCN activation measurements, a percent difference can be calculated. Results for both illite and montmorillonite clays indicateclay indicates that the improved FHH analysis methods described here, including application of the fractal FHH adsorption isotherm, reduces the 25 percent difference in AFHH from the value determined frombased on FHH-AT assessment of dry-generated aerosol CCN activation from 195% to ~6533% difference (Hatch et al., 2014; Kumar et al., 2011a). However, the improved FHH analysis method has less of an effect on BFHH. Agreement of BFHH from water adsorption and CCN activation measurements was improved for montmorillonite (from 50 % to 29% difference from dry-generated aerosol CCN measurements). In contrast, illite results show that the agreement between BFHH values from water adsorption and 30 CCN activation measurements deteriorated (from 45 to 65% difference from dry-generated aerosol CCN measurements) upon reassessment of experimental water adsorption data using the improved FHH analysis method reported here. Thus, while the improved FHH analysis significantly contributes to better agreement between AFHH values from water adsorption and CCN activation measurements, these improvements do little to bring closure to B<sub>FHH</sub> values
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As<u>Thus</u>, as the  $A_{FHH}$  value governs the overall extent of water coverage, the significant reduction in experimental  $\theta$  based on <u>more accuratedirect</u> measurements of ML water content from BET analysis of water adsorption is driving improved accuracy of  $A_{FHH}$  values. <u>DespiteResults also demonstrate that</u> the <u>improved</u> agreement, the two approaches to determining FHH parameters (experimental between  $B_{FHH}$  values from water adsorption (Hatch et al., 2014) vs.

- 5 aerosoland CCN activation measurements (Kumar et al., 2011a)) still do not produce satisfactory closure. Further studies of factors that contribute to the overall shape of the adsorption isotherm curve, such as adsorption heterogeneity, particle size, and porosity, are warranted and could lead to more accurate illite clay also improved (from 45% to 12% difference from dry-generated aerosol CCN measurements of *B<sub>FHH</sub>* values that play a more significant role in predicting CCN activation of insoluble aerosol particles.) particularly upon application of the <u>A recent paper</u>
- 10 (Laaksonen et al., 2016) suggests that the surface fractal dimension influencesFHH adsorption model, thereby accounting for space-filling effects of the insoluble surface. Thus, improved agreement between bulk water adsorption on insoluble surfaces and thus could help achieve closure between water adsorption and and acrosol CCN activation measurements. Thus, future studies willwith a refined focus on the impact correcting watermultilayer adsorption regime and upon accounting for the surface microstructure through direct measurement of the ML water content and application of the fractal dimension has on closure for experimentally-derived-FHH adsorption parameters.isotherm demonstrates that closure can be achieved.

*Author contributions.* MAC, RM, and MJC contributed to data collection and RT, AG, and CH performed all associated data analysis. The manuscript was written through contributions of all authors. All authors have given approval to the final version of the manuscript.

20 *Data availability.* The data used in this publication is available to the community and can be accessed by request to the corresponding author.

Competing interests. The authors declare that they have no conflict of interest.

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Table 1. AFHH and BFHH determined from water adsorption and CCN activation measurements of dry and wet-generated illite and montmorillonite clays.

Mineral Sample	Method	А <sub>ғнн</sub> <u>А</u>	₿ <sub>FHH</sub> B	Source	•	 Formatted Table
Illite	Water adsorption $(\theta = V/V_m \text{ and constrained FHH-fit})$ $(A_{FHH} \text{ and } B_{FHH})$	2.06	2.19	This study	_	

	<u>Water adsorption</u> $(\theta = V/V_m \text{ and constrained fractal FHH})$	<u>1.43</u>	<u>1.26</u>	This study		
	$\frac{(A_{FFHH} \text{ and } B_{FFHH})}{(\theta = \theta_{exp}/\theta_{max})}$	75	1.77	(Hatch et al., 2014)	•	Formatted Table
	<u>(Arrin and Drint)</u> CCN activation _(dry-generated) (Acquard Beau)	1.02	1.12	(Kumar et al., 2011a)		
	$\frac{(A_{CCN} \text{ and } B_{CCN})}{(CCN \text{ activation})}$ $\frac{(A_{CCN} \text{ and } B_{CCN})}{(A_{CCN} \text{ and } B_{CCN})}$	3.00	1.27	(Kumar et al., 2011b)		
Montmorillonite	Water adsorption $(\theta = V/V_m \text{ and constrained FHH fit})$ $(A_{FHH} \text{ and } B_{FHH})$	2.28	1.45	This study	-	
	Water adsorption $(\theta = \theta_{exp}/\theta_{max})$ $(A_{FIH} \text{ and } B_{FHH})$	98	1.79	(Hatch et al., 2014)		
	Water adsorption $(\theta = V/V_m \text{ and constrained FHH fit})$ <u>(<i>A</i><sub>FHH</sub> and <i>B</i><sub>FHH</sub>)</u>	1.25	1.33	(Hung et al., 2015)		
	CCN activation _(dry-generated) ( <u>A<sub>CCN</sub> and B<sub>CCN</sub>)</u>	1.23	1.08	(Kumar et al., 2011a)		
	CCN activation _(wet-generated) ( <u>AccN and BccN)</u>	0.87	1.00	(Kumar et al., 2011b)	_	
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Figure 1: Comparison of previously reported experimental water adsorption isotherms ( $\theta = \theta_{exy}/\theta_{max}$ ) and FHH adsorption isotherms from FHH parameters determined from size-selected CCN measurements of (a) illite and (b) montmorillonite aerosol generated using wet or dry aerosol generation methods (Hatch et al., 2014;Kumar et al., 2011b, a).



Figure 2: (a) Illite and (b) montmorillonite experimental water adsorption isotherms (Hatch et al., 2012) and associated FHH fit based on constrained FHH analysis, where the experimental  $\theta$  was calculated as  $V/V_m$  and  $V_m$  was determined from BET analysis of adsorption isotherm. FHH adsorption isotherms from FHH parameters determined from size-selected CCN measurements of aerosol generated using wet or dry aerosol generation methods are also shown (Kumar et al., 2011a).

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Figure 3: FHH analysis of experimental (a) illite and (b) montmorillonite water adsorption data in which  $\theta$  was calculated as  $V/V_m$  and  $V_m$  was determined from BET analysis. All data (open circles) are shown and the region of the constrained FHH fit (line) is represented by closed circles from 40-90% RH.

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Figure 4: Illite experimental water adsorption isotherm (Hatch et al., 2012) and associated fractal FHH isotherm, where the experimental  $\theta$  was calculated as  $V/V_m$  and  $V_m$  was determined from BET analysis of adsorption isotherm. FHH adsorption isotherms from FHH parameters determined from size-selected CCN measurements of dry-generated illite clay is also shown (Kumar et al., 2011a). The inset shows the constrained fractal FHH isotherm fit to the experimental water adsorption measurements.

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