Author's response to Reviewer #1

We thank Reviewer #1 for their overall positive feedback on our manuscript. We addressed their comments as follows:

- 5 Comment: Page 4, line 10 What is the instrument time response and what makes it suitable for aircraft measurements? The reference Hacker at al. 2016 is incomplete in the reference list making it impossible for the reader to verify these claims.
 Response: We did not include details on the time response and other technical details of the QCL measurements in order to keep the manuscript as concise as possible. However, we thank the Reviewer for pointing out to that there is a strong interest to include these. In the design of the presented setup we performed extensive time response tests. With the aim to reduce the
- 10 weight of the QCL setup, a faster time response could be achieved by introducing a bypass inlet flow. We added this explanation and the time constants further below in the paragraph. Furthermore, we updated the references on Hacker et al. (2016). To our knowledge they were the first to successfully use a QCL for ammonia on a light-weight aircraft, which is why they are cited here. Recently, Pollack et al. (2019) showed the suitability of the QCL for aircraft NH₃ measurements for different inlet conditions. We included this reference in the manuscript.
- 15

Comment: Page 5, line 5 – How fast does the Twin Otter fly? Is a 30 s averaged from that that platform or is it just smearing multiple point sources?

Response: The nominal flight speed of the Twin Otter was 60 m/s. Due to the distance of the Twin Otter from the surface, we observed that distinct NH_3 peaks from point sources were typically of longer than a 1 min duration, which indicates that the

20 QCL time resolution was sufficient.

To clarify, our analysis was based on the 1-Hz high frequency data (e.g. as used in the Fig, 4 frequency distributions). However, for the comparison with the STILT model, a 1 min average was applied, centered on the time of the STILT model particle release.

25 **Comment:** Page 4, lines 14-15 – It is not clear how using a smaller pump has any influence on the measurement or analysis presented here. It is the pumping curve, i.e. pumping speed as a function of pressure, which is important to the measurement, not the weight of the pump. How has the pump change affected the measurement?

Response: We agree that the pumping curve determines the performance of the pump, however, as mentioned, a smaller pump was primarily chosen due to its reduced weight and power consumption. Due to the lower sample flow rate of this smaller

30 pump, the time response of the NH₃ measurement becomes worse. As mentioned further below in the paragraph, we introduced a bypass inlet flow to compensate for the impaired time response with the smaller pump. We added the achieved overall time response in this part of the manuscript. Since the bypass was upstream of the critical orifice, the air pressure in the bypass line was only slightly under ambient pressure, which is why a light-weight membrane pump could be used.

Comment: Page 5, line 6 – Vibrations and g-force accelerations during extreme events made the observations unreliable.
5 What criteria are used to evaluate the level flying segments to ensure there are no vibrational effects? This is not addressed here or in the supplemental.

Response: The NH₃ time series were filtered manually for periods of strong vibrations and g-forces. For this, periods of fast ascents/ descents were identified through the Twin Otter's altitude profile. In manual checks of individual adsorption spectra we compared the fringe pattern to the retrieved NH₃ fit. Since the fringe pattern changes under strong vibration/ g-forces, this

- 10 procedure allowed us to decide whether an absorption feature was real. We added a note on this procedure in the manuscript. Since at higher altitudes, where most of spiraling ascents/ descents occurred, the NH₃ mixing ratio could be assumed to be near zero, bad data quality periods could also be detected by unrealistic drifts in the NH₃ mixing ratio. Periods of take-off and landing were always discarded.
- 15 **Comment:** Page 6, line 15 Why does it take 24 hrs of over-flowing zero air to determine the AIM-IC background, if it reports hourly data? Is that a relevant background?

Response: A 24 hrs background for the AIM-IC was performed to ensure that the PFA tubing from the zero air tank to the AIM-IC inlet was fully free of contaminants and to retrieve meaningful statistics for the AIM-IC detection limit determination. In the data processing, the average background peak areas are subtracted from the ambient air peak areas for each analyte.

20

Comment: Page 13, line 12 - This statement seems to contradict Page 5, line 4, which states that the 30 s precision is 90 pptv. The precision should decrease for a 1 minute average, unless the precision is not limited by counting statistics, which has not been discussed.

Response: We thank the Reviewer for noting this apparent inconsistency. We found from the Allan variance analysis that the

25 precision for 30 s and 1 min averages was very similar. The precision (1σ) for 1min was about 25 pptv, we corrected this in the manuscript. The 90 pptv for 30s averages given on Page 5 refers to the limit of detection (3σ) , showing that the 1σ precisions for 30 min and 1 min averaging intervals were very close.

Comment: Page 15, line 26 – These two sentences are not a separate paragraph.

30 **Response:** We joined them to the previous paragraph.

Comment: Page 19 – The Hacker reference is incomplete. The complete reference list should be checked. **Response:** The reference was completed.

Comment: Page 24, Figure 3 – The top panel is difficult to differentiate between the gray and purple traces. The symbols and their error bars are very hard to read.

Response: We changed the color of the NH₃ ground mixing ratio trace and the Twin Otter NH₃ error bars.

Comment: Page 25, Figure 5 – In the caption the units of the flux sensitivity footprint are given as (in ppmv/(mol $m^{-2} s^{-1}$) but in the test on Page 8, line 17 the units are given as (in pptv/(mol $m^{-2} s^{-1}$)). This appears to be an inconsistency.

10 **Response:** We changed the unit on Page 8 to ppmv/(μ mol m⁻² s⁻¹), which was the unit the data was provided in.

References

Hacker, J. M., Chen, D., Bai, M., Ewenz, C., Junkermann, W., Lieff, W., Mcmanus, B., Neininger, B., Sun, J., Coates, T., Denmead, T., Flesch, T., Mcginn, S. and Hill, J.: Using airborne technology to quantify and apportion emissions of CH4 and NH3 from feedlots, Anim. Prod. Sci., 56, 190–203, 2016.

Pollack, I. B., Lindaas, J., Robert Roscioli, J., Agnese, M., Permar, W., Hu, L. and Fischer, E. V.: Evaluation of ambient ammonia measurements from a research aircraft using a closed-path QC-TILDAS operated with active continuous passivation, Atmos. Meas. Tech., doi:10.5194/amt-12-3717-2019, 2019.

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Author's response to Reviewer #2

We thank Reviewer #2 for their overall positive feedback on our manuscript. We addressed their comments as follows:

5 **Comment**: Abstract: is there a way to explain, even simplistically, "enhancements" better in the context of an abstract? It is an atypical expression – usually emissions are compared or concentrations are compared. I'd encourage an extra sentence, if possible, for clarification for readers.

Response: We agree that the terminology "enhancements" might not be known by every reader in this context. We therefore added a sentence which explains the terminology.

10

Comment: Page 2: line 3 sources, line 15 (double parentheses)

Response: We corrected the word "sources" and separated the chemical formula by commas from the text.

Comment: Introduction: I'd recommend adding some information on the Cache Valley AMON site, for context. It has been

- 15 called a supervolcano of ammonia with the highest average annual NH₃ in the network (by a fairly large margin). Is it also the highest in winter (Jan/Feb) compared to the other sites in the network? If so, state this – it helps raise the importance of the work. More relevantly, here and later on in the discussion, some context of the AMoN sites in this region may be helpful during the campaign – i.e. how the 2017 Jan/Feb period compared to other years. The authors noted that the cold pools were not as consistent/frequent as in other winters, curious if AMoN was similar/different.
- 20 **Response:** We agree that putting the presented data in context with the AMoN measurements is very useful. As suggested, we added a sentence in the introduction on the high NH₃ measurements compared to other regions within AMoN. As it is shown on the AMoN website (<u>http://nadp.slh.wisc.edu/amon/;</u> Figure: Quarterly AMoN Concentrations, 2012), the region also has the highest NH₃ measurements in the Network in the Winter months (January to March). We further added a paragraph on the AMoN measurements at the end of Sect. 3.2.1. Despite the less frequent PCAP periods, the NH₃ measurement in the
- 25 January/February 2017 were comparable to other years. Furthermore, as for the presented ground site measurements, the NH₃ concentrations at the Cache Valley AMoN Site were about 10 time higher than at the Salt Lake City site.

Comment: Section 2.1/2.2: how many flight hours were conducted in the campaign? And how many flight hours were there NH3 measurements?

30 **Response:** A total of 58.3 flight hours were conducted, with 53.6 hours of NH_3 measurements. After quality control 38.7 hours (72 %) of NH_3 data were used for the analysis. We included this information in Sections 2.1 and 2.2 of the revised manuscript.

Comment: Page 4, line 10: Is it a QC-TILDAS or cw-QC-TILDAS? QC-TILDAS is generic to Aerodyne's instruments – not sure of the proper description, but be consistent. Or just cite like Picarro is later.

Response: In literature multiple abbreviations for quantum cascade laser instruments are used giving different levels of detail. For NH₃, the use of QC-TILDAS has been used most extensively in the past years. The Aerodyne model of the used continuous

5 wave QC-TILDAS is called QC-mini. To be consistent with other instrumentation listed, we joined the information on the model + manufacturer and moved it to the second sentence in the paragraph.

Comment: Section 2.2: The instrument performance and description are lacking, probably the largest weakness in this manuscript, particularly since the instrument used wasn't really similar to those used in past references. The wavelength is

- 10 different, which results in different pressure and temperature dependence more discussion is needed. The following points are introduced/discussed first but never quantified at this stage, e.g.: -P4, L13: "fast time response" and "high precision" – yet noted quantitatively at this point, nor relative to what other commercial sensors (what about research sensors, which are better than commercial ones?) No data were shown that the instrument was "fast response", even with the improved inlet design. Quantify the response time, t10-t90 for some representative NH3 level observed.
- also, what is the detection limit of the instrument? 3σ of the precision isn't necessarily the detection limit, if systematic errors occur from backgrounds or inlet effects.

Response: We agree with the Reviewer that more information on the instrument performance can be given. For that reason we included more details in Sect 2.2.

Using the NH₃ absorption line at 965.3 cm⁻¹ yields a lower absorption than at the 965.3 cm⁻¹, resulting in a slightly decreased

- 20 precision. However, the pressure and temperature dependency of the instrument largely depends on the fringe pattern (we clarified this in the revised manuscript in Sect. 2.2). The fringe pattern will in most cases be different at a different wavelength, but it also differs with every adjustment of the laser beam (by mirrors or changing the vibrations through wiggler) at the same wavelength. For that reason a systematic temperature/ pressure dependency which was valid for the entire dataset could not be detected.
- 25 Regarding the time response, we describe in the updated manuscript the time constant/ response time value which makes it better comparable to other studies. We use a double exponential function since this describes the time response for ammonia more adequately than the t10-t90 time.

Regarding the precision, we changed the section to include the nominal precision of the QC-TILDAS and precisions of other instruments. We removed the differentiation between commercially available and other analyzers.

30 The 3σ limit of detection was determined from zero air measurements. We only considered the random error for the determination of the detection limit. Systematic differences (such as due to changing backgrounds) were corrected for or data

periods with large systematic differences were removed as described in the revised manuscript (see comment by Reviewer #1).

Comment: -P4, L14-15: "weight was reduced" – reduced from what? And what was the mass?

- 5 **Response:** The weight reduction refers to the original QC-mini for NH_3 as it is currently sold by Aerodyne in its standard modification (the total instrument weight including vacuum pump, chiller, inlet housing and tubing and screen/ keyboard is about 100 kg). By using a different pump and new inlet design, we could reduce the total weight by about 20 kg (not accounting for additional weight of the UPS unit and winglet mounted into the aircraft roof). We included this information in Sect 2.2 of the manuscript.
- 10

Comment: -P4, L19: "within the instrument detection limit"...which was? What was the residence time of air from the tip of the inlet to the sample cell?

Response: The detection limit is discussed further below in the same paragraph. We would find it redundant to list the detection limit here again, since this sentence is on systematic differences. The residence time of air from the inlet to the sample cell

15 was approximately 0.1 s, which is fast enough for the 1 s sampling rate.

Comment: P4, L26-27: "Fringes: : : are caused by optical interferences" – circular statement, fringes are optical interferences. Maybe reword to "Optical interferences (fringes) are periodic structures in the absorption spectrum that influence precision and drift of the sensor, if the fringes are of a wavelength comparable to the absorption linewidth" or

20 *something like that.*

Response: We thank the Reviewer for the more precise definition and used it in the manuscript.

Comment: The tenses in Section 2 are a mix of present/past tense. I'd recommend past tense, but either is fine if consistent. **Response:** We changed the tense to past tense where applicable. In general, we used past tense to describe the methodology

that was implemented by the authors. However, for generally valid statements such as the description of the instruments' measurement mechanisms and the composition of the emissions inventories we still use the present tense.

Comment: P5, L1-7: A weaker line was probed, yet the sensitivity was better (!) than the original reference (though it was noted degraded from "usual" performance)?! It seems that the past instrument/citation was similar in make/model but the

30 specifications may be much different, and therefore it is all the more important that these details are discussed clearly. It is clear all Aerodyne instruments aren't alike. It would be helpful to see the profile of the NH3 sensor on the ascent/descent of a missed approach, comparison to some other short-lived tracer, particularly focusing on the free troposphere – boundary layer

transition (gives an idea of the sampling / response time). Another option is to compare the ascent with the descent, recognizing that there may be some spatial (horizontal) differences near the ground.

Response: The precision of the QC-TILDAS is governed by multiple factors of which one is the absorption line strength. At the date of submission, Hacker et al. (2016) represented the only other aircraft NH₃ measurements with a QC-TILDAS, which

5 is why we compare our instrument performance to them. However, they do not state if they used a pulsed or a continuous wave laser instrument. The former has a significantly lower sensitivity than the continuous wave laser instrument used in this study. Furthermore, critical for the precision are adjustments of the mirrors and the laser path made by the operator, which may results in a different instrument performance under different conditions.

As it is mentioned at the end of Sect 2.2, the data from spiraling ascents/descents data was mostly discarded for the presented

- 10 analysis due to potential mixing ratio drifts. Following the comment from Reviewer #1, we clarified in the revised manuscript the procedure at the end of Sect. 2.2. However, we included in the Supplementary Material an example of a missed approach NH₃ profile (Fig. S2 in revised version), which often showed a good data quality. As mentioned also in the answer to the comment below, the example shows that mixing ratios in the ascents/descents compared quite well if horizontal heterogeneity was small.
- 15

Comment: P5, *line 24: extra period* **Response:** Period was removed.

Comment: P5, line 27: "some flights" – how many?

20 **Response:** We corrected it to: "Wind data were compromised for some flights, making only partial coverage (65 - 95%) available for eight flights and resulting in no wind data for six of the 23 flights."

Comment: P6, "northeast", not "north east" **Response:** The changes were made.

25

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Comment: P7 and elsewhere: "area sources" is clear to mean agricultural/feedlots/CAFOs, so why not simply state "feedlots" or "agricultural" more generally. Focusing on their type (ag) versus point-vs-area is more important. A general statement can be made in the introduction that the agricultural sources are not simply point sources like exhaust but rather occur through the scale of a feedlot, field, or feeding pen. For the context of the analyses (emissions/STILT), these are effectively numerous, point sources from the airplane's perspective (i.e. lots of CAFOs in a general grid domain).

Response: It is true that areas sources represent mainly emissions from agriculture. However, area sources may also include emissions from other sectors than agriculture, such as residential wood combustion. Especially in Salt Lake County, the

contribution of these other sources may be significant depending on the area source definition. To be consistent with the inventory description and to be most precise in the terminology, we decided to keep "area sources" when it is referred to the emission inventory. We agree with the Reviewer that the terminology of area sources should be more clearly defined. We therefore added a general definition of area sources in Sect. 2.5.

5

Comment: P8, L25-30: Given that one has meteorology and can use deposition velocities, what are the deposition loss terms? More justification is needed to consider NH_x as a passive tracer, or at least the caveats of assuming this.

Response: Since no reliable vertical wind velocity measurements could be obtained from the aircraft, an accurate determination of the deposition velocity is not viable. However, in Sect. 2.6, we mentioned that the used approach does not

- 10 account for dry and wet deposition. Due to the bi-directional nature of NH_3 exchange the determination of the dry deposition loss terms which would impact the modelled NH_x enhancements is not straight forward. The actual dry deposition will depend on the above surface NH_3 mixing ratio and surface resistance term towards the NH_3 uptake. The latter largely depends on the surface's ability to adsorb or uptake NH_3 and varies largely by the surface type.
- 15 **Comment:** P9, L5: the 1st percentile seems reasonable, but perhaps in the SI one could provide some sensitivity to that choice (vs. 0.1%, 2%, etc.)

Response: Following the suggestion from the Reviewer we performed a sensitivity analysis which evaluated the differences between choosing a 0.1, 1 (as used in this study) and 2 percentile. We found that the differences in the obtained baseline using these values are small. For the example as shown in Fig. S15 (Fig. S14 before revision) the standard deviation between the

- 20 three scenarios is 0.056 ppbv (calculated for each altitude layer and then averaged over the vertical profile). The median standard deviation of the three scenarios was 1.8% and for NH_x values above the instruments detection limit the deviation was only 1%. This underlines that the sensitivity of the baseline correction on the choice of the percentile was not very strong. For the presented example, this can be explained by the fact that the amount of data used in each bin, was typically too low to retrieve different values for the 0.1, 1 and 2 percentiles. We added a sentence with the conclusion of this analysis at the end of
- 25 Sect. 2.6.

Comment: P10, L9: nighttime vs. night-time

Response: We corrected it all to "night-time".

30 **Comment:** P10, L16-31, on the vertical profiles of NH₃ near the ground: NASA DISCOVER-AQ data in California in Jan/Feb in the San Joaquin Valley also had very strong inversions, and the two different airborne NH₃ instruments showed dramatically different profiles up vs. down – and the vertical profiles were certainly not monotonically decreasing. While I agree with the interpretation that the concentration of NH_3 should be highest at the ground, and this could be a reason for differences between aircraft/ground sites, I wonder how much sampling/response times of the inlet/instrument affect these values. Going from cleaner regions up above to very high levels on the missed approach will result in surface adsorption effects buffering the actual concentrations measured by the instrument. A reverse effect may occur going upward, though not necessarily symmetric

5 *– do the ascent/descent profiles agree on average?*

Response: In general we do not see a systematic bias of the mixing ratios collected during the descents/ascents of the mixed approaches which might be attributed to time response effects of the NH₃ measurement. If there were significant adsorption/desorption effects in the inlet system, one may expect that the NH₃ mixing ratios during ascends are on average higher due to the higher NH₃ levels at the ground. This was not the case. We added this observation at the end of Sect 2.2

- 10 together with two examples in the SI of missed approaches at the Logan airport. Figure S2 a) shows an example where horizontal heterogeneity played a dominant role as mixing ratios steadily increased when the Twin Otter was flying northwards over the Logan airport runway. In Fig. S2 b), the vertical NH₃ profiles match very well during the descent and ascent below an altitude of 1450 m.
- 15 **Comment:** The discussion of the various emission inventories (USU, UDAQ) and how they are implemented (diurnal/weekly/monthly) is well developed. However, this manuscript had relatively few comparisons to other papers that also showed emissions are lower than what observations suggest (a general trend). This manuscript represents another convincing case study that NH_3 emissions are vastly under-reported in most inventories, and some context of prior work should be noted (e.g. a paragraph). Are the magnitudes that the inventories are "off" for ag and mobile sources consistent with
- 20 other studies in the literature? I wouldn't expect them to be identical (or necessarily even close, due to differences in season/location/etc.), but trying to put some context would be helpful. Were other studies off by factors of several for feedlot regions? Or mobile emissions off by 30%?

Response: We agree with the Reviewer that the comparison with other studies can be improved. We therefore added a paragraph at the end of Sect. 3.3.3 discussing previous studies that compared NH₃ emissions from inventories with those

25 derived from measurements. As stated, other studies an even higher underestimation of emission from agriculture depending on the area and the used inventory.

Comment: Fig. 1: labels are very tiny (and missing bracket on the lower one for [ppbv] **Response:** We increased all labels and added the missing bracket.

Comment: Fig. 2: Add lengths between the 16 Lpm flow and aerosol impactor and impactor to cell **Response:** Both lengths were minimal (< 10 cm, including the size of the PFA fittings). We added this information in the caption of Fig. 2.

5 Comment: Fig. 3: caption reads (a) Univ. Utah (b) Cache Valley but figure panels are reversed from that
 Response: Thank you for noting this inconsistency, the labels in the figure panels are correct. We corrected the caption accordingly.

Comment: Fig. 5: legends are incredibly small to read, both #s and units

10 **Response:** We increased the all axes and legend markers and labels.

Comment: Overall, this is a very good manuscript with detailed analyses from novel flight measurements. The conclusions are sound and well-justified, just additional (straightforward, I believe) clarifications are needed to improve it further / make things clearer to the reader.

15 **Response:** Following the suggestions and valuable input of the Reviewers, the revised version of the manuscript includes more technical details and clarifications. Still, none of the revisions have changed the overall conclusions of the manuscript.

Reference

20

Hacker, J. M., Chen, D., Bai, M., Ewenz, C., Junkermann, W., Lieff, W., Mcmanus, B., Neininger, B., Sun, J., Coates, T., Denmead, T., Flesch, T., Mcginn, S. and Hill, J.: Using airborne technology to quantify and apportion emissions of CH4 and NH3 from feedlots, Anim. Prod. Sci., 56, 190–203, 2016.

List of relevant changes to the manuscript

Relevant changes to the manuscript are:

- 5 1. Sect 2.2: Improved the description of technical details and the performance of the aircraft NH₃ measurements (reported time response constants, total instrument weight...).
 - 2. Sect: 2.2: Gave more details on the procedure for identifying poor quality data periods.
 - 3. Sect. 3.2.1: Put the results into context with observations from the Ammonia Monitoring Network (AMoN).
 - 4. Sect 3.3.3: Discussed in more detail the results with other studies in literature (did not change conclusions).
- 10 5. Figures 1, 3 and 5: Improved the readability of lines, markers and labels.

Wintertime Spatial Distribution of Ammonia and its Emission Sources in the Great Salt Lake Region

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25

Abstract

Ammonium-containing aerosols are a major component of winter time air pollution in many densely populated regions around the world. Especially in mountain basins, the formation of persistent cold air pool (PCAP) periods can enhance particulate matter with diameters less than $2.5 \mu m$ (PM_{2.5}) to levels above air quality standards. Under these conditions, PM_{2.5} in the Great

- 30 Salt Lake Region of northern Utah has been shown to be primarily composed of ammonium nitrate, however, its formation processes and sources of its precursors are not fully understood. Hence, it is key to understand the emission sources of its gasphase precursor, ammonia (NH₃). To investigate the formation of ammonium nitrate, a suite of trace gases and aerosol composition were sampled from the NOAA Twin Otter aircraft during the Utah Winter Fine Particulate Study (UWFPS) in January and February 2017. NH₃ was measured using a Quantum Cascade Tunable Infrared Laser Differential Absorption
- 35 Spectrometer (QC-TILDAS), while aerosol composition, including particulate ammonium (pNH₄), was measured with an aerosol mass spectrometer (AMS). The origin of the sampled air masses was investigated using the Stochastic Time-Inverted

Lagrangian Transport (STILT) model and combined with an NH_3 emission inventory to obtain model-predicted NH_x (= NH_3 + pNH_4) enhancements. Enhancements represent the increase in NH_3 mixing ratios within the last 24 hrs due to emissions within the model footprint. Comparison of these NH_x enhancements with measured NH_x from the Twin Otter shows that modelled values are a factor of 1.6 to 4.4 lower for the three major valleys in the region. Among these, the underestimation is

- 5 largest for Cache Valley, an area with intensive agricultural activities. We find that one explanation for the underestimation of wintertime emissions may be the seasonality factors applied to NH₃ emissions from livestock. An investigation of inter-valley exchange revealed that transport of NH₃ between major valleys was limited and PM_{2.5} in Salt Lake Valley (the most densely populated area in Utah) was not significantly impacted by NH₃ from the agricultural areas in Cache Valley. We found that in Salt Lake Valley around two thirds of NH_x originated within the valley, while about 30 % originated from mobile sources and 60 % from area source emissions in the region. For Cache Valley, a large fraction of NO_x potentially leading to PM_{2.5} formation
- 10

1 Introduction

may not be locally emitted but mixed in from other counties.

Ammonia (NH₃) is a key atmospheric pollutant, with significant impacts on air quality, climate, and ecosystem nitrogen availability. As the most abundant base in the atmosphere, NH₃ is an important precursor gas for secondary aerosol particle

- 15 formation. As a result, ammonium-containing aerosols may comprise a significant amount of the particulate matter with a diameter of 2.5 µm or less (PM_{2.5}) (Pozzer et al., 2017). High levels of PM_{2.5} impact human health by increasing the risk for stroke, heart disease, lung cancer, and both chronic and acute cause respiratory deceases (WHO, 2016). Especially in urban areas, where a mix of pollutants such as nitrogen oxides (NO_x), sulfur dioxide (SO_2) or volatile organic compounds (VOCs) are present in elevated concentrations alongside NH₃, ammonium-containing aerosol can be a major source of PM_{2.5}. For 20 example, NH₃ emitted from agricultural activities may be transported towards NO_x-rich urban centres to form ammonium
- nitrate (NH₄NO₃) or ammonium sulfate, $-((NH_4)_2SO_{4,2})$ aerosols (e.g., Zhao et al., 2017). This illustrates the importance of the transport and meteorological conditions in mixing the precursors that lead to secondary particle formation. During the winter season, cold temperatures in combination with high pressure systems result in shallow boundary layers that
- trap and promote the build-up of pollutants near the surface, leading to enhanced secondary aerosol formation and winter smog. 25 Periods with strong atmospheric stability are referred to as persistent cool air pool (PCAP) periods (Whiteman et al., 2014), typically featuring a temperature inversion below the height of the surrounding terrain. In addition, the topography of mountain basins promotes the evolution of strong PCAP periods and thereby the confinement of pollutants near the surface. Under these conditions, urban areas such as Salt Lake City frequently experience high PM2.5 concentrations. In the Great Salt Lake Region in northern Utah, the 24-hr U.S. National Ambient Air Quality Standard (NAAQS) for PM_{2.5} (35 µg m⁻³) is exceeded on
- 30 average 18 days per winter (Silcox et al., 2012; Whiteman et al., 2014). Previous measurements made in the Salt Lake Valley

(Kelly et al., 2013; Kuprov et al., 2014) and recently published analysis of the UWFPS aerosol composition (Franchin et al., 2018) agree that during PCAP periods up to 75% of wintertime $PM_{2.5}$ is ammonium nitrate. The high amount of ammonium nitrate in aerosols in not surprising given that fact that the ammonia concentration measurements in the region are the highest within the U.S. Ammonia Monitoring Network (AnonAMON, 2019).

- 5 To develop appropriate $PM_{2.5}$ mitigation strategies in such areas where ammonium nitrate is high, it is essential to understand the mechanisms of local ammonium nitrate formation as well as the emission source of its precursor gases NO_x and NH_3 . While emissions of NO_x are regulated, NH_3 is not regulated as a criteria air pollutant (CAP) in the U.S. As a consequence, NH_3 emissions are not reported by NH_3 emitting industries or sectors to the same extent as other CAPs, resulting in higher uncertainties of NH_3 emission estimates. In addition, observational networks for NH_3 are sparse compared to those for NO_x ,
- 10 which is in part related to the challenges in the measurement of NH₃. Therefore, improving the understanding of NH₃ sources is key to making reliable predictions of ammonium-aerosol formation and finding the appropriate mitigation strategies for PM_{2.5}.

To understand the sources of NH_3 in the Great Salt Lake Region, which are responsible for ammonium-aerosol formation and high levels of $PM_{2.5}$ in winter, we studied the spatial distribution of NH_3 in the three mountain basins as part of the Utah Winter

- 15 Fine Particulate Study (UWFPS) (UWFPS report, 2018). The spatial distribution of NH₃ was measured from a Twin Otter aircraft and compared to NH₃ measurements from several ground stations in the region. The main objectives of this study are to identify the sources of NH₃ and the key emission sectors contributing to the regional formation of ammonium-aerosol. To address these objectives, airborne measurements were compared to emission inventory-based NH₃ estimates. A footprint approach based on the Stochastic Time-Inverted Lagrangian Transport (STILT) model was used to estimate contributions from
- 20 NH₃ source regions, while the results are discussed for the three major valleys in the study region. Finally, the exchange of air masses between valleys is investigated and discussed in respect to its role for ammonium-aerosol formation in the region.

2 Methods

2.1 Study area and the Utah Winter Fine Particulate Study (UWFPS)

The Utah Winter Fine Particulate Study (UWFPS) was carried out in January and February 2017 in the Great Salt Lake Region.

- 25 The Great Salt Lake Region is located in northern Utah in the U.S. Western Rocky Mountains, comprised of three major mountain valleys (Salt Lake Valley, Utah Valley, and Cache Valley) and the Great Salt Lake (Fig. 1a). Salt Lake City, the most populated urban area in Utah and part of the Salt Lake City metropolitan area (1.2M inhabitants), is situated in the northern part of Salt Lake Valley bordering the Great Salt Lake. Cache Valley (125k inhabitants), north of Salt Lake Valley, is separated by a branch of the Wasatch Range from the North Metropolitan Area and is characterized by its intensive
- 30 agricultural activities, including concentrated animal feeding operations (CAFOs). The regulatory environment of Cache

Valley straddles the states of Utah and Idaho and comes under the jurisdiction of two different US EPA regions (8 and 10). Utah Valley (575k inhabitants) borders Salt Lake Valley to the south via the Traverse Mountains and features Utah Lake, a large freshwater lake in the valley centre, and agricultural and industrial activities, including a major gas-fuelled power plant. The objective of the UWFPS was to investigate wintertime air quality in the Salt Lake region, focusing on PCAP periods when

- 5 formation of ammonium nitrate leads to high levels of PM_{2.5}. NOAA's Twin Otter aircraft flights and ground site observations in each valley were used to probe the spatial distribution of trace gases and aerosols, with the aim of identifying their importance for PM_{2.5} and its formation mechanisms. A further objective of UWFPS was to investigate key emission sources of aerosol precursors and the role of agricultural, industrial, urban, mobile, home heating and natural emission sectors.
- From 16 January to 12 February 2018 a total of 23 research flights were carried out with the Twin Otter aircraft covering a total of 58.3 flight hours. Flights were performed in a north and south flight pattern, where the north pattern covered the North Metropolitan area, Cache Valley, Bear Valley, the Great Salt Lake, Tooele Valley and the Northern northern part of Salt Lake Valley. The South leg mainly encompassed Salt Lake Valley and Utah Valley. Figure 1 shows the region and county boundaries as well as a typical distribution of NH₃ mixing ratios measured from the aircraft. Measurements were taken from ground level at the Salt Lake International Airport (1288 m, 5225 ft) through 3800 m (12500 ft) a.s.l. when flying over inter-valley mountain
- 15 ranges (Fig. S1b). The lowest cruising altitude was around 150 m (500 ft) above ground level. To probe vertical profiles near the surface, missed approaches were performed at seven different air fields throughout the region (Fig. S1b).

2.2 Airborne ammonia measurements

A continuous wave Quantum Cascade Tunable Infrared Laser Differential Absorption Spectrometer (QC-TILDAS, Aerodyne research Inc., MA) (Ellis et al., 2010) was employed on the Twin Otter aircraft for measurements of NH₃ (see Fig. 2) and operated on 21 of the 23 research flights (53.6 hours). The single laser instrument (QC-mini, Aerodyne research Inc., MA) uses a multi-pass absorption cell (0.5 L, 76 m effective path length) which is purged with sample air to measure NH₃. Due to its fast time response and high precision compared to other commercially available NH₃ analyzers, the instrument is suited for aircraft measurements (Hacker et al., 2016; Pollack et al., 2019). The analyzer's precision can be 30 pptv at a 1 s sampling rate under ideal ground-based operating conditions, which is comparable to Chemical Ionization Mass Spectrometry (Nowak et al.)

- 25 al., 2012) and significantly more precise than fast-time response cavity ring down spectrometers (> 200 ppty). Prior to installation on the Twin Otter, the weight of the single laser-instrument (QC mini) was could be further-reduced by from originally 100 kg to 80 kg by using a smaller vacuum pump (SH-110, Varian Inc., MA) for generating the sample flow rate and a modified inlet design. The 4 L min⁻¹ flow rate through the sample cell was set by the critical orifice of a PFA (Teflon) virtual impactor, which acted as a particulate matter filter to avoid interferences from thermally dissociated ammonium aerosol
- 30 and also to protect the cell mirrors. That thermal dissociation of ammonium aerosol was negligible is shown by measurement periods where NH_3 was within the instrument's detection limit despite high levels (> 20 ppby) of measured particulate

ammonium. As adsorption and desorption processes within the inlet system are major challenges for NH₃ measurements, the time response of the system was optimized by introducing an additional bypass flow rate of 16 L min⁻¹ to purge the inlet line (PFA, 3/8" OD). The winglet that housed the inlet tubing was mounted directly above the QC-TILDAS allowing an inlet length of only 0.5 m. To further, minimize adsorption and desorption effects of NH₃ and humidity to the tubing wall, the winglet was

- 5 heated to 40°C. The instrument time response can be best described by a double exponential function (Ellis et al., 2010; Whitehead et al., 2008), in which the fast time constant associated with the exchange of air volume (τ_1) was 0.7 s and the slow time constant associated with the wall effects (τ_2) was 27 s during a pre-flight test. The so-called *D* value, which reflects the proportion of the decay governed by the slow time constant, was 21 %. These numbers compare very well with the time response of the same instrument using a 15.4 L min⁻¹ sample flow rate without a bypass during another study designed for
- 10 <u>eddy covariance flux measurements (Moravek et al., 2019).</u>

Variations in pressure, temperature and instrument vibrations may significantly impact the instrument performance by influencing the absorption spectrum fringe pattern. <u>Optical interferences (fringes) are periodic structures in the absorption</u> spectrum that influence precision and drift of the sensor, if the fringes are of a wavelength comparable to the absorption linewidth Fringes are periodic structures in the absorption spectrum which are caused by optical interferences within the laser

- 15 beam path and can be responsible for signal drift if their pattern changes over time. Changes in the fringe pattern, which can be induced by variations of pressure or temperature, may result in a drift of the NH₃ mixing ratio over time-. To account for changing ambient pressures with flight altitude, a pressure controller (PC3P, Alicat Scientific Inc., AZ) was installed downstream of the absorption cell, which was able to keep the cell pressure at a constant value between 36.5 and 38.5 Torr. Inflight background measurements were performed manually approximately every 5 to 15 min to account for potential
- 20 instrument drifts using zero air from an ultra-zero air cylinders. The precision of the instrument during the campaign was significantly degraded from its usual performance due to difficulties with the laser source. As a result, NH₃ absorption was detected at 965.3 cm⁻¹ instead of using the stronger absorption line at 967.3 cm⁻¹. A measurement precision at 1 Hz sample frequency of 150 pptv (1 σ) could be achieved, which is similar to the background noise (200 pptv) of a QC-TILDAS that was operated by Hacker et al. (2016) on a different aircraft. Accordingly,
- 25 the limit of detection (3σ) was 450 pptv at 1 Hz and 90 pptv for a 30 s averaging interval. Due to the effect of increased gravitational forces and vibrations on the optical alignment, data during take-off, landings and spiralling ascents and descents were not used in this study. The filtering of the NH₃ measurements was performed manually by identifying these periods through the altitude profile and then visually inspecting individual absorption spectra for the quality of the signal to noise ratio of the absorption peak. After the quality control, 38.7 hours (72 %) of NH₃ data were used for the analysis. Ascents and
- 30 descents of the missed approaches typically passed the quality test. An example of two missed approaches at the Logan airport is shown in Fig. S2. Next to the evidence of horizontal heterogeneity at the ground in one case (Fig. S2 a), the other case (Fig. S2 b) shows that the NH₃ mixing ratios during descents and ascents are very similar if the same air mass is sampled. The fact

that NH₃ mixing ratios during ascents are not increased after sampling NH₃-rich air at the ground illustrates the sufficient time response of the measurement system.

2.3 Airborne measurements of other trace gases and aerosols

The Twin Otter aircraft was equipped with an Aerosol Mass Spectrometer (AMS, Aerodyne Research Inc., MA) to measure the chemical composition of the non-refractory aerosol particles in the 70 to 800 nm range (NR-PM1) (Drewnick et al., 2005; 5 Jayne et al., 2000). The operation of the AMS during UWFPS is-was described in detail in Franchin et al. (2018). In brief, ambient aerosol particles are focused in an aerodynamic lens, evaporated, ionized with electron-impact ionization and detected by a mass spectrometer. The AMS measured mass loadings of particulate nitrate (pNO_3), ammonium (pNH_4), organic species, sulfate (pSO₄), chloride (pCl), and total aerosol mass, with detection limits of 0.04, 0.09, 0.33, 0.03, 0.07, and 0.38 μ g m⁻³

respectively. The uncertainty on the total AMS mass concentrations is was estimated to be 20% (Bahreini et al., 2008). Aerosol 10 mass with the AMS was well-correlated with aerosol volume measured with an Ultra High-Sensitivity Aerosol Spectrometer (UHSAS, Droplet Measurement Technologies, CO) on the same sampling line as the AMS. Nitrogen oxides (NO_x = NO + NO₂), total reactive nitrogen (NO_y) and ozone (O₃) were measured at 1 Hz using the NOAA

nitrogen oxides cavity ringdown instrument (NOxCaRD). The instrument measures NO_2 directly by optical absorption at 405

- 15 nm, while NO and O_3 are measured in two separate channels after quantitative conversion to NO₂ by reaction with excess O_3 or NO, respectively (Fuchs et al., 2009; Washenfelder et al., 2011). A fourth channel measures NO_v by conversion to NO and NO₂ in a heated quartz inlet (650 °C) and subsequent conversion of NO to NO₂ in excess O₃ (Wild et al., 2014). Accuracies for NO_x, NO₂ and O₃ were 5% and 12% for NO_y, based on previous comparison of the NO_y measurement to a standard NO_y instrument (Wild et al., 2014).-
- 20 A commercial probe (Avantech) measured meteorological parameters (ambient temperature, pressure, relative humidity with respect to liquid water, wind speed, and wind direction), the global positioning satellite (GPS) location including altitude above sea level, and aircraft parameters (heading, pitch, and roll). Wind data were compromised for some flights, making only partial coverage (65 – 95%) available for eight flights and resulting in no wind data for six of the 23 flights. The aircraft GPS altitude above sea level was converted into altitude above ground level using USGS data (https://gis.utah.gov/data/elevation-and-25 terrain/).

2.4 Ground site observations

During UWFPS, a series of ground measurements were conducted to measure the evolution of trace gases, aerosols and meteorology during the pollution episodes in the study region. Data used in this study were taken from the University of Utah ground site (UU) in Salt Lake Valley (40.7663, -111.8477), the Logan ground site (L4) in Cache Valley (41.7589, -111.8151) and the North Provo ground site (NP) in Utah Valley (40.2528, -111.6627) (Fig. 1c). The Logan ground site is referred to as L4 as it is located approximately 3 km north-east from the actual downtown federal reference site (named L4).

The UU site is located on the top floor of William Browning Building on the University of Utah campus, which is situated on the north-east side of the Salt Lake Valley, and approximately 150 m above the valley floor. A sampling inlet was located on

- 5 top of a 7 m observation tower, at a height of 40 m a.g.l. Online measurements of ambient air PM_{2.5} composition and gas phase precursors were performed using University of Toronto's modified Ambient Ion Monitoring System (AIM 9000D, URG Corp., NC) coupled with two ion chromatographs (Dionex ICS-2000, Thermo Fisher Scientific, ON). The system measures water soluble gases (NH₃, SO₂, HNO₃) and particles (pNH₄, pSO₄, pNO₃) at an hourly resolution using parallel wet denuders (Markovic et al., 2012). Continuous PM_{2.5} mass concentrations were determined using the 8500 Filter Dynamics Measurement
- System (FDMS) coupled with a TEOM 1400ab ambient particulate monitor. Instrumental background measurements were conducted by introducing an overflow of zero air into the AIM-IC inlet and sampled for a 24-hr period. Based on background experiments, the 3σ detection limits were determined to be 0.15 ppb for NH₃ and 0.3 µg m⁻³ (at STP) for pNH₄. The L4 ground site was a temporary sampling station during UWFPS, located on the Utah State University campus in Logan,

employing an environmentally controlled shelter with the inlet extending through the shelter roof to a height of 5 m a.g.l.

15 Ambient mixing ratios of NH₃ were obtained with a Picarro G2508 cavity-ringdown spectroscopy instrument (Picarro Inc., CA). The analyzer collected on a nominal 5 s sampling frequency, which is averaged up to 1 min sample periods. At one minute averaging times the G2508 has a precision of <3 ppbv and measured detection limit (3σ) of 2.3 ppb. A Teledyne API T640 measured continuous mass concentrations of PM_{2.5}, PM₁₀, and PM_{10-2.5} at the L4 ground site.

At the NP site, mass concentration of PM_{2.5} was monitored by the Utah Division of Air Quality using a 1405-DF TEOM
 Continuous Dichotomous Ambient Air Monitor (Thermo Fisher Scientific Inc., MA). No NH₃ measurements were available from this site.

2.5 The UDAQ emission inventory

To better understand the formation of ammonium nitrate in the study region, it is important to identify and quantify the major sources of NH₃. In northern Utah, NH₃ from livestock, fertilizer and on-road vehicle emissions are the most dominant NH₃
sources, according to the emission inventory provided by the Utah Division of Air Quality (UDAQ). The Utah emissions inventory is created by UDAQ and ultimately informs the emissions estimates found in the U.S Environmental Protection Agency's (EPA) National Emission Inventory (NEI). Yearly totals of county-wide emission data for criteria and other significant air pollutants (NO_x, VOC, direct PM₁₀, direct PM_{2.5}, NH₃, SO₂, CO, and others) are were processed in the SMOKE

30 resolved emission estimates (Baek and Seppanen, 2018). UDAQ uses two modelling domains: (1) a larger 4 km resolution outer-domain covering the State of Utah and portions of surrounding states and (2) a smaller 1.33 km inner-domain covering

v3.6.5 (Sparse Matrix Operator Kernel Emissions) emissions processing software to obtain higher temporally and spatially

the Wasatch Range and Cache Valley, representing the majority of the $PM_{2.5}$ non-attainment area in northern Utah. Temporal allocation in SMOKE consists of defining emission distributions through the use of monthly, weekly and hourly profiles, which <u>are-were</u> applied to the yearly emission totals.

Inventory data are-were compiled for four distinct emission sectors: area, non-road, mobile and point sources. Area sources

- 5 are typically of larger spatial extent than point sources, but may also include multiple non-mobile point sources of the same category if the individual emission of each point source is unknown. Total estimated daily NH₃ emissions from each sector are given in Table 1 for the regions in the study area. NH₃ emissions in all regions are dominated by areas sources with the exception of Salt Lake Valley, where NH₃ from mobile sources are thought to be dominant.
- Area sources include NH₃ emissions from fertilizer applications, livestock and residential wood combustion. Emissions from livestock are the largest portion of area sources in Utah County (71%) and Cache County (81%), while they are only minor in Salt Lake County (11%). The NEI-based NH₃ emissions from livestock are based on county-level animal populations, which are multiplied by daily-resolved emission factors that are representative for each animal type and management practice. These location-specific emission factors are produced by a Farm Emission Model (FEM) for each day of the modelled year by taking meteorological as well as animal type and practice input data (McQuilling and Adams, 2015). For the compilation of NH₃
- 15 emissions from fertilizer applications, a bi-directional exchange model uses meteorological and application-based input data. The Environmental Policy Integrated Climate (EPIC) modelling system provides information regarding fertilizer timing, composition, application method, and amount. A bidirectional version of the Community Multiscale Air Quality (CMAQ) is then used to calculate county-level emission factors which are multiplied by county-level total fertilizer estimates to obtain NH₃ emissions. For both, livestock and fertilizer NH₃ emissions annual NEI emission totals are-were multiplied in SMOKE by monthly, weekly and hourly profiles.
 - Non-road emissions include emissions from non-stationary sources, except commuter automobiles. For example, non-road sources would include construction equipment, snowmobiles, boats, trains, and aircraft. Similar to mobile emissions, non-road emissions are mainly projected using the MOVES 2014a model. However, the emissions from trains, aircraft, and airport ground support equipment are estimated from specific EPA-provided tools.
- 25 Mobile emissions are were calculated and projected using the MOVES 2014a model, which are were then input into SMOKE as precomputed mobile inventory numbers. Mobile emissions are informed by vehicle population data and vehicle-specific emissions-rate information. Also, various metropolitan planning organizations supply UDAQ with the traffic activity data that goes into MOVES 2014a.

Point sources include large emitters such as oil refineries, power plants, and big mining operations. Since the vertical release

30 height of point stack emissions impact air quality, the 2D SMOKE gridded emissions output is-was input into the air-quality model (CAMx 6.30), which calculates vertical plume rise from those point source stack parameters using 42 layers matching WRF inputs.

2.6 Modelling of ammonia concentrations using STILT

To account for the atmospheric transport of NH₃ from emission sources to the receptors of the NH₃ measurement observations made during UWFPS, we used the Stochastic Time-Inverted Lagrangian Transport (STILT) model (Lin et al., 2003). STILT simulated the upstream influence by modelling the evolution of ensembles of 200 simulation particles, each representing an

- 5 air parcel 24 hours back in time. Particle ensembles are considered to be influenced by surface fluxes when they spend time in the vertically well-mixed surface layer (defined as 50% of the boundary layer height). STILT compiles a "footprint", a flux sensitivity matrix, using the flux sensitivity from each of the 200 trajectories. The flux sensitivity represents the contribution of a grid cell area to the NH₃ mixing ratio per surface flux unit. To obtain footprints for the aircraft measurements, STILT was run for every 2 min of the Twin Otter flight path for all 23 research flights. STILT was driven with gridded meteorological
- 10 information available from NOAA's High Resolution Rapid Refresh (HRRR) model (http://ruc.noaa.gov/hrrr/), which covers the entire continental U.S.. HRRR is based upon the widely-used Weather Research and Forecasting (WRF) mesoscale model (Skamarock and Klemp, 2008) and resolves the atmosphere at 3-km grid spacing, assimilating radar observations.

Model NH₃ enhancements (in pptv) were estimated by multiplying the flux sensitivity data (in $\frac{\text{pptvppmv}}{(\mu \text{mol m}^{-2} \text{ s}^{-1})}$) with the NH₃ emissions from the UDAQ emission inventory (in $\mu \text{mol m}^{-2} \text{ s}^{-1}$) for each grid cell. As STILT was run 24 hrs back in

- 15 time, the modelled NH₃ enhancements represent the NH₃ mixing ratio contribution from surface emissions within the last 24 hrs. Before multiplying, the NH₃ emissions were resampled to the match the spatial grid of the flux sensitivity data (0.01° x 0.01°). Modelled NH₃ enhancements were then obtained by summing the NH₃ contributions from each grid cell. To account for the large spatial extent of the 24-hr trajectories from the Twin Otter position, the 1.33 km inventory data was inset into the larger 4 km inventory, to have the maximal spatial extent but also make use of the refined NH₃ emissions of the 1.33 km
- 20 emission inventory.

To account for formation of particle ammonium from emitted NH_3 , the modelled NH_3 estimates <u>are-were</u> compared to measured total NH_x (= $NH_3 + pNH_4$). Conversion to particulate ammonium is the dominant reactive sink for gas phase NH_3 as the oxidation of NH_3 by OH is significantly slower. Thus using NH_x as a passive tracer is reasonable, however, the approach does not account for potential dry and wet deposition of NH_3 . As a result of this simplification, modelled NH_x enhancements

- could be overestimated. Modelled NH_x enhancements only account for NH_3 emitted within the past 24 hr in the spatial domain of the produced NH_3 contributions map and do not include NH_x advected from outside that spatial domain or NH_3 which was present in the air shed before the 24 hr period. Therefore, an estimate of background NH_x mixing ratios was subtracted from measured NH_x mixing ratios before comparing them to the modelled enhancements. Background mixing ratios were determined separately for each region listed in Sect 2.1 (see Fig. 1a), using the measured NH_x mixing ratios data from each
- 30 individual flight in the respective region. To account for varying vertical mixing between flights, the data were split into vertical layers of 50 m depth covering the entire altitude range of the Twin Otter. The background mixing value specific for

each layer, region and flight was then determined by the 1st percentile of NH_x data from the Twin Otter. As shown in Fig. S14S15, for areas with significant NH_3 surface emissions, the 1st percentile was well above the instrument's detection limit. If the background mixing ratio was underestimated, this would lead to unrealistically high estimates of the NH_x enhancements from the measurements. Also, we found that the results did not change significantly by using a slightly lower (0.1st) or higher

5 (2nd) percentile.

In the remainder of the text, we refer to modelled NH_3 and measured NH_x enhancements as modelled and measured dNH_x , respectively.

3 Results and discussion

3.1 Meteorological conditions and PCAP episodes

- 10 Weather conditions in the Great Salt Lake Region in January and February 2017 included episodes of winter storms and aboveaverage precipitation. As storm tracks promote vertical mixing, PCAP periods were less frequent during UWFPS than typically observed. Within the period of the Twin Otter measurements, two major PCAP periods were identified: PCAP#1 from 13 to 20 January 2017 and PCAP#2 from 27 January to 4 February 2017. A third and less intense PCAP period occurred at the end of the campaign on 13 February and lasted until 18 February 2017. The strong atmospheric stability during those PCAP periods
- 15 lead to the build-up of high PM_{2.5}-levels for Salt Lake Valley, Cache Valley and Utah Valley, as shown in Fig. 3. Due to the lack of snow cover and the relatively weak subsidence inversion, the inversion height during PCAP#1 was atypically high, reaching from about 400 m up to 800 m a.g.l., before a strong storm initiated the top-down erosion of the PCAP on 19 January. During PCAP#1, ground-level PM_{2.5} reached up to 90 µg m⁻³ in Cache Valley (L4), and up to 50 µg m⁻³ in Salt Lake Valley (UU), while the PCAP was only weakly developed in Utah Valley (NP) with PM_{2.5} levels below 20 µg m⁻³ (1-hr averages). In
- 20 contrast, PCAP#2 was a stronger, classic PCAP period, which was promoted by several inches of fresh snow and cold air left by a storm that was followed by a large high pressure period. This resulted in $PM_{2.5}$ values building up in all three major valleys over the course of the PCAP and reaching 104 µg m⁻³ at the L4, 64 µg m⁻³ at the UU, and 80 µg m⁻³ at the NP sites (1hr averages). As evident in the $PM_{2.5}$ data, the PCAP started eroding in Utah Valley first, then in Salt Lake Valley second, whereas it persisted a few days longer in Cache Valley, which is also attributed to the deeper snow cover in Cache Valley
- 25 during January and February 2017. Compared to PCAP#2, PCAP#3 was moderate with only the onset captured by the aircraft flights.

3.2 Observed NH₃ mixing ratios

3.2.1 Evolution of ammonia mixing ratios near the surface

Ammonia mixing ratios measured at the L4 and UU ground sites (Fig. 3), correlate with increasing PM_{2.5} levels during PCAP periods, especially at the L4 site (Fig. 3a), where NH₃ reached up to 100 ppbv during PCAP#1 and PCAP#2. The correlation

- 5 between PM_{2.5} and NH₃ indicates the presence of local NH₃ sources, and illustrates the strong influence of atmospheric stability on pollutant concentrations during winter. NH₃ mixing ratios show a stronger diurnal variation than PM_{2.5}. Accumulation of directly emitted NH₃ in the nocturnal boundary layer leads to transient enhancements of NH₃ mixing ratios, which is for example visible in the short-term (<12 hrs) NH₃ peaks observed during night_-time at the L4 site (e.g. nights of 08/09 and 09/10 February). Although PM_{2.5} formation occurs through both daytime and night-time processes, the night_-time process is
- 10 typically fast in the residual layer and suppressed in the surface layer (McDuffie et al., 2019; Womack et al., 2019). At the UU site, ambient NH₃ measurements were significantly lower than in Cache Valley, typically below 10 ppbv (Fig. 3b). Measurements are not available for PCAP#1, however, the build-up of NH₃ mixing ratios in Salt Lake Valley is evident in the second half of PCAP#2. Increasing PM_{2.5} levels mark the first half of PCAP#2, while NH₃ mixing ratios were still low between 1 and 3 ppbv. During that period, NH₃ mainly partitioned into pNH₄ as it was observed by the simultaneous increase of pNH₄
- 15 with $PM_{2.5}$ (data not shown).

Near surface mixing ratios were sampled from the Twin Otter aircraft during missed approaches at regional airfields (Fig. S1 and S2). Figure 3a shows NH₃ mixing ratios at Logan airport, located about 3 km northwest of the L4 ground site. NH₃ mixing ratios from the Twin Otter follow the trend of the ground measurements with (1) high mixing ratios between 45 and 55 ppbv during PCAP#1, (2) medium mixing ratio levels between 20 and 25 ppbv during the first half of PCAP#2, and (3) lower mixing

- 20 ratios below 20 ppbv towards the end of the measurement campaign. The direct comparison of ground site and Twin Otter measurements was performed by averaging the Twin Otter mixing ratios within a distance of 1 km of the airport runway and obtaining the mean ground site mixing ratios for the same time interval. As shown in Fig. <u>S3BS4b</u>, mean values from the Twin Otter are roughly a factor of two lower than measurements from the L4 ground site. This can be explained by dilution of NH₃ mixing ratios from higher altitudes as the averaging window for the Twin Otter values partially includes NH₃ measurements
- from the ascents and descents of the missed approaches. For that reason, maximum values better represent the ground level mixing ratios, which is supported by a closer correspondence with the data from the L4 site. Furthermore, Moore (2007) and Hammond et al. (2017) showed that mean NH₃ concentrations can vary spatially across the Cache Valley by as much as an order of magnitude, depending on the strength of adjacent sources and duration of a PCAP event. For Salt Lake Valley, NH₃ measured at the UU site compares generally well with the airborne NH₃ obtained when the Twin Otter was overflying the ground site (Fig. 3b). The direct comparison of both measurements (Fig. S3aS4a) shows that airborne measurements are on
- average lower, attributed to the higher altitude of the measurement and the vertical gradient of NH₃ away from the surface.

The NH₃ mixing ratios at the L4 ground site were about one order of magnitude larger than at the UU ground site. This compares well with the AMoN measurements, where during the measurement period in January and February the average NH₃ concentration was 2 µg m⁻³ in Salt Lake City (UT97) and 16 µg m⁻³ in Cache Valley (UT01). The 2017 AMoN measurements are representative for the average NH₃ concentrations measured between 2012-2018 in those months (3 μ g m⁻³ in Salt Lake

City and 16 µg m⁻³ in Cache Valley). This shows that despite the less frequent PCAP periods observed than in other years, the 5 NH₃ concentrations were still comparatively high during the measurement campaign.

3.2.2 Prevailing NH₃ mixing ratios in different regions from aircraft observations

The frequency distributions of NH₃ mixing ratios measured in Salt Lake Valley, Cache Valley, Utah Valley and over the Great Salt Lake are shown in Fig. 4a and 4b for both PCAP and non-PCAP conditions. Mixing ratios were filtered to only include 10 those from the lowest steady flight level (data between 100 - 500 m a.g.l) for a better comparison between regions. The histograms reveal that during both PCAP and non-PCAP conditions NH₃ mixing above the Great Salt Lake were mostly below 3 ppbv and in Salt Lake Valley mostly below 5 ppbv, with only a few measurements outside of those limits. The majority of NH₃ measurements in both Cache Valley and Utah Valley were also below 5 ppby, however, higher mixing ratios up to 20 ppbv in Cache Valley and 10 ppbv in Utah Valley were also frequently observed. While the distributions for all regions are

- similar under PCAP and non-PCAP conditions, during PCAP conditions higher extreme values up to around 70 ppbv in both 15 Cache Valley and Utah Valley were observed at the lowest steady flight level, indicating the presence of local emission sources. Higher levels up to nearly 90 ppbv were only measured during missed approaches at the Logan airport in Cache Valley, marking it as a distinct high NH_3 region. In contrast to NH_3 , the frequency distributions of pNH_4 show clear difference between PCAP and non-PCAP periods (Fig. 4c, d), with significantly higher pNH₄ values during PCAP conditions. This can be
- explained by increased partitioning of NH₃ into the particle phase and build-up of ammonium nitrate over the course of the 20 PCAP periods (Fig. 3).

3.3 Evaluation of NH₃ emission sources

3.3.1 Comparison of modelled and measured enhancements

25

To investigate NH₃ emissions sources in the different regions, we compare dNH_x measured on the Twin Otter with dNH_x derived by the footprint model (see Sect. 2.5 and 2.6). Figure 5 shows an example of a STILT flux sensitivity footprint, and how it is overlaid with the UDAQ emission inventory to obtain dNH_x estimates for the locations of the Twin Otter. The mean measured and modelled dNH_x for all regions in the study area are given in Table 1, including a scaling factor which is the ratio of dNH_x meas/ dNH_x model. Figure 6 shows the frequency distribution for modelled and measured dNH_x for Salt Lake Valley, Cache Valley and Utah Valley for non-PCAP (a-c) and PCAP (d-f) conditions. The distributions show that the model underestimates dNH_x in all three valleys, compared to the measurements from the Twin Otter. During non-PCAP conditions, the underestimation is most prominent in Cache Valley. During PCAP conditions the underestimation is in general more pronounced than during non-PCAP conditions. As during PCAP periods NH_x mainly persists as pNH_4 (see Section 3.2.2), this leads to the high measured dNH_x over the course of the PCAP period in Salt Lake Valley and Utah Valley. Due to high

- 5 local NH₃ emissions, in Cache Valley NH₃ and pNH₄ are of similar magnitude, which is why the difference between PCAP and non-PCAP periods is slightly less pronounced (see also linear scale distributions in Fig. <u>\$8\$99</u>). Lower modelled dNH_x values may suggest an underestimation of NH₃ emissions in the UDAQ inventory. Table 1 lists the mean measured and modelled dNH_x mixing ratios for the different regions in the study area, which were used to derive a mean scaling factor between measured and modelled values. The mean scaling factor for Cache Valley, Salt Lake Valley and Utah
- 10 Valley are 4.4, 1.9 and 1.6, respectively, which reflects that modelled dNH_x are underestimated in all three valleys. Due to the non-Gaussian distribution of both measured and modelled dNH_x values, the median scaling factors vary but still show the same trend for underestimation of modelled dNH_x , with highest underestimation in Cache Valley (2.7), followed by Salt Lake Valley (1.9) and Utah Valley (1.2).

To further discuss the discrepancies between measured and modelled dNH_x values, it is important to address the uncertainties 15 of both measured and modelled dNH_x , which we discuss in the following section.

3.3.2 Uncertainties in measured and modelled mixing ratio enhancements

Calculations of the trajectories and footprints with the STILT model rely on the accurate representation of meteorological conditions and parameterizations such as the definition of the height of the surface mixed layer, which couples the ground surface emissions to the calculated trajectories. While we do not go in detail on the STILT parameterization and the derived
weather model (HRRR), the examination of NO_y enhancements above background mixing ratios (dNO_y), which were also measured from the Twin Otter, gives confirmation that the overall representation of the meteorology is reasonable. Figures S12 and S13 show the frequency distributions for measured and modelled dNO_y. The scaling factors (dNO_y measured/dNO_y modelled) for Cache Valley, Salt Lake Valley and Utah Valley were 1.3, 1.0 and 0.9, respectively, which shows agreement of measured and modelled dNO_y for all of the three valleys. This indicates that on average both meteorological conditions and NO_y measurements are accurate. As particulate nitrate (pNO₃) is only quantitatively sampled by the NOxCaRD if it enters the inlet (not designed specifically for aerosol sampling), NO_y measurements may be biased low. By comparing the NO_y to the AMS pNO₃ (after subtracting NO_x and other relevant NO_y species), we found that

30 Furthermore, since the NO_x to NO_y ratio was always fairly large (0.53 ± 0.34), a significant amount of NO_y was present as NO_x. While the agreement in the frequency distributions is good, the direct comparison of modelled and measured dNH_x

inlet sampling was effectively quantitative to within the uncertainty in the AMS (20%) and NO_y (12%) measurements.

reveals a relatively poor point-to-point correlation (Fig. $\frac{\$758}{\$}$). A similarly poor correlation was found for dNO_y (Fig. $\frac{\$11\$12}{\$}$) and also for measured and modelled dNH_x, dNO_y and dCO₂ at the UU ground site (data not shown), which shows that there is no clear bias in either the STILT footprints from the Twin Otter or in the NH₃ inventory.

- Due to the finite extent of the combined UDAQ emission inventory map (Sect. 2.5), fractions of the STILT footprints may be outside of the emissions inventory domain. For both Salt Lake Valley and Utah Valley, more than 50% of the 24-hr footprints lie completely within the inventory domain during PCAP conditions, while only a small number that have a contribution from outside of the domain of 50% or more. As Cache Valley is located close to the northern border of the UDAQ emission inventory map (Fig. 1), a larger fraction of footprints exceeded the inventory domain, with 18% of footprints completely within the inventory domain and 63% of footprints at least 50% within the domain during PCAP conditions. However, this effect cannot
- 10 explain the large underestimation of modelled dNH_x in Cache Valley due to the following reasons: (1) the analysis of the STILT trajectories (Fig. <u>\$15\$16</u>) showed that air masses for a majority of the extreme measured dNH_x values in Cache Valley originated in low NH_x environments (at high altitudes or areas with low NH₃ emissions), and (2) high mixing ratios were only observed in Cache Valley (see also Fig. 4), providing evidence that measured dNH_x were dominated by local NH₃ sources. Advection of high amounts of NH₃ from the north would have also strongly affected other regions such as the Great Salt Lake,
- 15 which the measurements do not show.

Uncertainties of measured dNH_x values arise from uncertainties in the measurements from the Twin Otter and uncertainties in the background determination (Sect. 2.2 and 2.3). The precision of NH_3 mixing ratios was 0.09-03 ppbv for a 1 min averaging period, which was used for the comparison. The uncertainty of pNH_4 is given as 20%. Given the uncertainty in the measurements and an uncertainty of the background determination method, the distinction between small measured and

20 modelled dNH_x differences is difficult. As a result, although the scaling factors in Table 1 suggest that NH₃ emissions in Salt Lake Valley are underestimated by 50%, it is possible that part or all of the model-measurement mismatch could be due to method uncertainties rather than due to an underrepresentation of NH₃ emission in the UDAQ inventory. In contrast, the large differences between measured and modelled dNH_x in Cache Valley, cannot be attributed to measurement errors, and therefore is more likely attributed to an underestimation of NH₃ emission in the UDAQ inventory in Cache Valley.

25 **3.3.3 Modification of modelled dNH**_x using scaling factors

To investigate the effect of a possible underestimation of NH_3 emissions in the UDAQ inventory on the presented dNH_x distributions, we scaled the modelled dNH_x by the scaling factors given in Table 1. For Cache Valley, if we assume that all the underestimation in modelled dNH_x is due to an underestimation in livestock emissions (see Sect. 3.3.4), we can adjust the scaling factors in Table 1 to be solely applied to area source emissions. Accordingly, the area source scaling factor for Cache

30 Valley is 4.55. If we apply this factor to the modelled dNH_x in Cache Valley, the range of measured and modelled dNH_x agrees well for non-PCAP conditions (Fig. 7a). As the same factor is applied to all modelled dNH_x , the shape of distribution does not

change significantly, and a much larger scaling factor would be necessary to reproduce measured dNH_x values up to 88 ppbv for the PCAP conditions (Fig. 7c). A Mann-Whitney-Wilcoxon test was used to evaluate the agreement between modelled and measured dNH_x distribution before and after applying the scaling factor (Table S2). The increase of p-values above the 0.05 significance level indicates that the distributions of modelled and measured dNH_x show similarity after applying the scaling

- 5 factor. Assuming a systematic underestimation of livestock emissions, we applied the same scaling factor also to area source emissions in Salt Lake Valley and Utah Valley. As illustrated in Fig. \$9\$10, for Salt Lake Valley the modified modelled dNH_x are not significantly larger, which is due to the fact that emissions from area sources play a less important role in Salt Lake Valley than in Cache or Utah Valley (Table 1). Since the largest emissions source in Salt Lake Valley is the mobile sector, modelled dNH_x for all three valleys were additionally modified by a scaling factor, which was retrieved from the ratio of
- 10 modelled and measured dNH_x value in Salt Lake Valley (Fig. <u>S10S11</u>). Applying the factor (= 3) to the mobile emissions of modelled dNH_x , yields a better agreement for Salt Lake Valley during non-PCAP conditions, however, the large frequency of measured dNH_x above 10 ppbv during PCAP conditions cannot be explained. This suggests that especially during PCAP conditions either (1) background NH_x mixing ratios used to calculate measured dNH_x are higher than accounted for or (2) the surface influence in STILT is underestimated in Salt Lake Valley.
- 15 The underestimation of NH₃ emissions in inventories compared to inferences from measurements is in agreement with findings from several other studies that examine industrial, agricultural and vehicle emissions. For example, Sun et al. (2017) found from vehicle-based measurements of NH₃/CO₂ ratios that NH₃ vehicle emissions are more than twice those reported in the 2011 NEL. Van Damme et al. (2018) state that the EDGAR emission inventory mostly agrees with satellite-derived NH₃ emission fluxes within a factor of three for larger regions but underestimates the NH₃ emissions from many point sources by
- at least one order of magnitude, while most of those emission hotspots were associated with either high-density animal farming or industrial fertilizer production. Similar conclusions are made from aircraft observations by Nowak et al. (2012) who suggest that NH₃ emissions from dairy facilities in the South Coast Air Basin were significantly underestimated (factor 10-100) by the 2005 NEI. However, it has to be noted that significant differences between spatial and seasonal variations of NH₃ emissions between inventories exist (Zhang et al., 2018)- which complicates a direct comparison of the scaling factors presented in
- 25 <u>literature.</u>

3.3.4 Ammonia emissions in Cache Valley: Uncertainties in livestock emissions

The results presented above suggest that NH₃ emissions may be underestimated in the UDAQ emission inventory, with highest underestimation in Cache Valley. According to the inventory, 96.7% of total NH₃ emissions in Cache Valley are attributed to emissions from area sources. Cache Valley area sources are dominated by emissions from cattle waste (56.2%) and poultry operations (20.6%). Emissions from fertilizer application only account for 6.8% and from swine production only 3.1% of the

30 operations (20.6%). Emissions from fertilizer application only account for 6.8% and from swine production only 3.1% of the total area sources. As cattle waste is by far the largest NH₃ source in Cache Valley, it therefore seems most likely that an

underrepresentation of cattle waste emissions are at least partially responsible for the gap between measured and modelled dNH_x .

In the UDAQ inventory, sources from livestock emissions are treated as areas sources and distributed uniformly over the county or an area in the county. As a result, high NH₃ emissions released by CAFOs are spread over a larger area instead of

- 5 being treated as a point source. This may be another reason why the higher measured dNH_x values are not reproduced by the model. As the UDAQ inventory does not report the location of CAFOs, we modelled dNH_x using a NH₃ emission inventory compiled by Utah State University (USU), which reports facility-based emissions from livestock in Cache Valley (see Section S4 for a description of the inventory). The USU inventory compiled emissions from dairy cattle, beef cattle, swine, poultry, automobiles, wastewater treatment facilities, and industry for both Cache and Franklin Counties for the year 2006 (Table S1).
- 10 In winter time, the largest NH₃ emissions source in Cache Valley was dairy cattle (89.6%), while 98.1% of total emissions were from the livestock sector. Figure <u>S5-S6</u> spatially locates the facility-based livestock, poultry and other area source NH₃ emissions in Cache Valley and visually indicates estimated relative source strengths. To derive modelled dNH_x estimates, we replaced the UDAQ NH₃ emissions in Cache Valley with these facility-based emissions from the USU inventory. These emissions were embedded into the UDAQ emission inventory map (Fig. <u>S6S7</u>) before overlaying them with the STILT
- 15 footprints from the Twin Otter. Compared to the original UDAQ inventory, the USU inventory produces higher modelled dNH_x values, which compare better to dNH_x measured from the Twin Otter. The USU emissions yield a mean modelled dNH_x value of 5.05 (\pm 8.38) ppbv, as shown in Table 1, whereas using the UDAQ inventory this value was only 1.70 (\pm 1.47) ppbv. Maximum modelled dNH_x values from each inventory are 61.7 and 5.7 ppbv, respectively. Mean dNH_x mixing ratios from the UDAQ inventory, which agrees with the ratio between total emission rates from
- 20 each inventory in Cache Valley given in Table 1 (12435 kg d⁻¹ \div 4757 kg d⁻¹ = 2.61). In comparison to measured dNH_x, the scaling factor (all sectors included) decreases from 4.4 to only 1.5 when using the USU inventory. Possible reasons for the underestimation of NH₃ emissions in the UDAQ inventory could be differences in the livestock

numbers or differences in livestock emission factors used in the inventories. As described in Moore (2007), the USU inventory uses animal counts from 2007, derived from personal discussions with count extension agents, local producers and co-op

- organizations, with approximately 90,000 dairy cattle in Cache Valley (40,000 in Cache County; 50,000 in Franklin County) and nearly 2,000,000 chickens. The USU inventory is based on a NH₃ emission factor between 152.7 and 161.3 g d⁻¹ AU⁻¹ (AU = animal units), depending on the cattle age and waste disposal method. Dairy cattle emissions in the UDAQ are based on the county wide estimates of the 2014v1 NEI inventory. As mentioned in Sect. 2.5, the FEM used in the NEI inventory produces location specific emission factors for each day of the modelled year (McQuilling and Adams, 2015). In SMOKE,
- 30 annual NEI emission totals are multiplied by monthly, weekly, and hourly profiles to obtain temporally resolved emissions. The monthly profile redistributes the annual total NEI emissions over the year and is determined through inverse modelling, as described in Gilliland et al. (2006). NH_x observational data from the National Atmospheric Deposition Program (NADP) is

used together with prior seasonal NH_3 emission estimates in the CMAQ model to produce a region specific monthly profile. The monthly profile used in the UDAQ inventory is presented in Fig. <u>S4-S5</u> showing a clear seasonal cycle of livestock emissions. Emissions peak in summer, with more than 18% of annual emissions in July, while emission are lowest in wintertime. This seasonal profile is typically explained by increases in fertilizer application, a higher fraction of outdoor

- 5 housing and higher temperatures in summer than in winter. However, a significant month-to-month variation is present. Especially in wintertime, when expected emissions are lower, the percentage variation between months is significant. This suggests uncertainties in wintertime livestock emissions, in particular as the monthly profile is based on the year 2005. Furthermore, as shown in Table S1, the USU inventory suggests nearly similar livestock emissions for both summer and winter. This is supported by on average higher surface NH₃ concentrations observed in winter than summer in Cache Valley
- 10 by the authors of the inventory in 2006 (Moore, 2007).

It is beyond the scope of this study to evaluate the uncertainties of inventory livestock emissions in detail. A larger measurement dataset and also a more thorough consideration of other processes such as NH_x deposition are necessary.

3.4 Inter-valley exchange of NH₃ and impact on PM formation

Exchange of air masses between valleys or basins in the study region can be a critical factor for air pollution formation.
Especially in wintertime when air pollutants accumulate in the valley basins during PCAP periods, the transport of air pollutants or their precursors from adjacent valleys can increase local air pollution. If NH₃ from agriculture in the Cache Valley is transported to Salt Lake Valley, its equilibrium with HNO₃ produced from oxidation of NO_x emitted by mobile and industrial sources may affect the limiting reagent and thus the control strategy for ammonium nitrate PM_{2.5} formation in Utah's most

densely populated region (Franchin et al., 2018). Similarly, NO_x enriched air masses transported from Salt Lake Valley may

- 20 lead to PM_{2.5} formation in Cache Valley. Utah Valley is connected with Salt Lake Valley via the Jordan Narrows, where exchange of air masses between the two basins is frequently observed. For example, after a mix-out episode at the end of a PCAP period in Salt Lake Valley, Mitchell et al. (2018) observed the transport of PM_{2.5} enriched air from Utah Valley, where the PCAP was still persistent. Similarly, we observed from the Twin Otter the transport of NH₃ rich air masses through the Jordan Narrows into Salt Lake Valley, induced by southerly winds during PCAP#1 on 18 January 2017 (mixing ratios in Utah
- 25 Valley and Salt Lake Valley for that day are shown in Fig. 1c). To investigate how inter-valley exchange affects air pollution in the Great Salt Lake Region, we examined the origin of air masses through the STILT footprint calculation. The dNH_x contribution from each county to the modelled total dNH_x was determined for the Twin Otter footprints by using emissions from the UDAQ inventory. In addition we, determined the county contribution to dNH_x at the UU site, where hourly STILT emission enhancements were available for the period from 16 to 31
- 30 January 2017. Due to the lower elevation of the ground-based UU site compared to the Twin Otter aircraft, the extent of the

footprints is typically smaller; however, footprints for UU provide more continuous temporal coverage over the investigated period.

Figure 8a shows the dNH_x contributions for Salt Lake Valley (UU site and Twin Otter), Cache Valley (Twin Otter), and Utah Valley (Twin Otter). Results for the other regions in the study area are presented in Fig. <u>S16S17</u>. The contributions were

- 5 segregated by county (e.g. Salt Lake County instead of Salt Lake Valley) as political boundaries are more appropriate divisions for emission control and air pollution regulation. In Salt Lake Valley, the largest portion of dNH_x at the UU site is attributed to emissions from Salt Lake County for both PCAP (66.3%) and non-PCAP (77.2%) conditions. As Twin Otter footprints extend further than those from surface observations, contributions from Salt Lake County NH₃ are slightly smaller (47.6 and 40.8%).
- 10 The second largest contributions are from Davis County adjoining to the North of Salt Lake Valley (13.0 and 24.2% for Twin Otter). Contributions from Utah County are small at the UU site (8.2 and 3.7%), but (16.9%) for the Twin Otter-derived footprints during PCAP periods, as the aircraft also sampled the south section of Salt Lake Valley. This is consistent with frequently observed southerly winds during PCAP periods and shows the importance of inter-valley exchange during these conditions. Contributions from Cache County were only minor (0.5 and 0.0% at UU site, 2.6 and 6.5% for Twin Otter over
- 15 SLV) and negligible from Franklin County (0.0% at UU site, 0.1 and 1.7% for Twin Otter over SLV). This shows that the impact of the high agricultural emissions in Cache Valley on $PM_{2.5}$ formation in Salt Lake Valley was not significant during the study period. As shown in Fig. <u>S16S18</u>, the transport of NH₃ from Cache County and Franklin County into the medium densely populated North Metropolitan area (Weber County and Davis County) was also minor (<2%) during PCAP periods. Segregating the contributions from each county by emission sector, we found that 55% are from area emissions and about
- 20 30 % from mobile sources (Fig. S18S19). If we account for the observed underestimation of emission sources in the UDAQ inventory by increasing area source and mobile emissions by a factor 4.5 and 3, respectively, (Fig. S19S20) and take the average values retrieved from the Twin Otter and the UU site, during the study period about 60 % of dNH_x in Salt Lake Valley originated from area source and 30 % from mobile source emissions in the region. Future analyses of relationships between NH₃ and tracers for different emission sources, such as CO, CO₂, CH₄, NO_x and VOCs, will be useful in refining the apportionment of NH₃ emission sources from this campaign.
- For Cache Valley, during PCAP conditions 64.2% of dNH_x contributions were from Cache Valley, while 21.1% were transported from Box Elder County which is connected through a canyon in the west mountain range of Cache Valley. Due to excess NH_3 in Cache Valley, ammonium nitrate formation is mostly nitrate-limited (Franchin et al., 2018). Therefore, the advection of nitrate or NO_x may be a significant process for $PM_{2.5}$ formation in Cache Valley. Figure 8b, shows the percentage
- 30 of county contributions for dNO_y (for all regions see Fig. <u>S17S18</u>). As they are based on the same STILT footprints, percentages are similar to the dNH_x contributions, but differ due to a different distribution of NO_x emission sources. During PCAP conditions, 19.5% of dNO_y in Cache Valley was emitted in Box Elder County, 16.8% in Davis County, 13.0% in Weber

County, and 11% in Salt Lake County. This suggests that a large fraction of NO_x potentially leading to $PM_{2.5}$ formation in Cache Valley may not be locally emitted but mixed in from other counties.

For Utah Valley, inter-valley exchange seems slightly less important than for Cache Valley as 58.7% of dNH_x and 57.6% of dNO_y originated in Utah County during PCAP periods. Nonetheless, transport from Salt Lake County during PCAP conditions

5 is still significant with contributions of 17.5% to dNH_x and 29.9% to dNO_y . During non-PCAP conditions the percentage contributions from Salt Lake County to dNH_x (29.9%) and dNO_y (49.8%) are even higher, although formation of NH_3NO_4 is less important as demonstrated in lower $PM_{2.5}$ levels observed (Fig. 3).

4 Conclusions

Winter air pollution in the Great Salt Lake Region has been shown to be mainly linked to the formation of ammonium nitrate

10 aerosol. Understanding the sources of NH₃ is key to making reliable predictions of ammonium-aerosol formation and identifying the appropriate mitigation strategies for PM_{2.5}. To investigate NH₃ emissions in the Great Salt Lake Region, we sampled NH₃ and pNH₄ from a Twin Otter aircraft over the Great Salt Lake Region in <u>Northern-northern</u> Utah and at selected ground sites

We found that NH_x (= NH_3 + pNH_4) was highest in Cache Valley, which can be attributed to the large number of NH_3 emitting

(1) the factor between modelled and measured NH_x enhancements was 4.4, and (2) total UDAQ NH_3 emissions in Cache

- 15 livestock and poultry operations in the Cache Valley. However, NH₃ emissions in the commonly used UDAQ NH₃ emission inventory are not significantly larger in Cache Valley than in Salt Lake Valley or Utah Valley, as the measurements would suggest. Using a STILT footprint model approach, our results suggest that in Cache Valley livestock emissions in the UDAQ inventory are underestimated by a factor of approximately 4.5 for January and February 2017, based on the following findings:
- 20 Valley are lower by a factor of 2.61 compared to emissions estimated in the USU inventory. One reason for the discrepancy could be the underestimation of wintertime emissions through the applied monthly profile in the UDAQ emission inventory. This emphasizes the importance of generating year-specific emission factors and temporal profiles that are based on the meteorological conditions of the year for which the inventory is run. Furthermore, our results suggest that in areas with large livestock operations, moving towards facility based inventories for livestock NH₃ emissions can yield better NH₃ and NH_x
- 25 predictions in local or regional air quality models. However, more extensive datasets, which also include summertime measurements, would be needed to evaluate the uncertainties of livestock emissions within inventories in more detail. Our investigation of the inter-valley exchange during the study period revealed that in Salt Lake Valley around two thirds of NH_x originated within the valley, while NH_x transport from Cache Valley was negligible and therefore did not significantly impact the formation of PM_{2.5} in Salt Lake Valley. In contrast, transport of NH_x from Utah Valley can be significant during
- 30 PCAP period when southerly winds prevail. Furthermore, we found that in Cache Valley a significant fraction (70%) of the

 NO_x potentially leading to $PM_{2.5}$ formation is not locally emitted and is instead transported from other counties. While nearly 20% of the NO_y in Cache Valley originated in the adjacent Box Elder County, still 11% of the Cache Valley NO_y was transported from Salt Lake County, about 50 km away. Since it was found that the formation of ammonium nitrate in Cache Valley was mostly nitrate limited during the UWFPS campaign, this illustrates the potential effect which regulation of NO_x emissions in Salt Lake County may have on neighbouring regions with higher agricultural NH_3 emissions.

Data availability

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Data from the UWFPS campaign can be found at the NOAA website: https://www.esrl.noaa.gov/csd/groups/csd7/measurements/2017uwfps/ (last access: November 2019).

Author contributions

- 10 AM performed the measurements and analysis of airborne NH3 and wrote the manuscript. JGM provided input at all stages of the measurements, the analysis and discussion of results. AF and AMM obtained and analyzed the aircraft based AMS data. DLF, CCW and EEM obtained and analyzed the NOxCaRD data. AH and RM provided the ground site NH3 data. AM performed the model analysis with support from AH and JCL. JCL ran the STILT model and CP, RM and KM provided the emission inventory data. SSB and MB planned and organized the UWFPS measurement campaign. All authors discussed the
- 15 results and contributed to the final manuscript.

Competing interests

The authors declare that they have no conflict of interest.

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Tables

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Table 1: NH₃ emission estimates and measurements by region. Data include: (1) Total NH₃ emission estimates and their sector-based proportion from the UDAQ emission inventory; (2) NH₃ emission estimates for Cache Valley from the USU emission inventory; (3) measured and modelled NH_x mixing ratios (mean + standard deviation); (4) the ratio between measured and modelled NH_x mixing ratios (scaling factor). The regions are: BV = Bear valley (Box Elder County); CV = Cache Valley (Cache County + Franklin County); GSL = Great Salt Lake (Box Elder County, Weber County, Davis County, Salt Lake County + Tooele County); NM = North Metro (Davis County + Weber County); TC = Tooele County, UV = Utah Valley (Utah County).

	NH ₃ emission estimates						Mixing ratios		Scaling factor
	UDAQ					USU	Twin Otter	Model	NH., meas
Region	total kg d ⁻¹	area %	mobile %	non- road %	point %	total kg d ⁻¹	NH _x (meas) ppbv	NH₃ (model) ppbv	÷ NH₃model mean (median)
BV	3514	97.2	2.5	0.0	0.3	-	4.09 (± 4.09)	0.82 (± 0.95)	5.0 (6.3)
CV	4757	96.7	2.9	0.0	0.4	12435	7.50 (± 9.65)	1.70 (± 1.47)	4.4 (2.7)
GSL	-	-	-	-	-	-	4.47 (± 4.68)	0.63 (± 0.96)	7.1 (12.2)
NM	2218	58.8	21.5	0.3	19.4	-	10.04 (± 8.77)	2.00 (± 2.06)	5.0 (4.7)
SLV	2016	34.4	49.3	0.6	15.7	-	3.61 (± 4.01)	1.87 (± 2.30)	1.9 (1.9)
тс	1486	92.7	6.2	0.0	1.1	-	2.78 (± 3.21)	0.33 (± 0.49)	8.4 (10.7)
UV	6058	85.5	7.2	0.1	7.2	-	3.78 (± 4.15)	2.33 (± 1.94)	1.6 (1.2)

Figures



Figure 2: Overview of the study area: a) outlines of sub-regions used for the analysis of Twin Otter measurements, b) borders of counties which are part of the study area, and c) typical NH₃ mixing ratios measured from the Twin Otter combined from a north
and south flight leg flight on 17 and 18 January, respectively. Arrows in c) indicate the location of ground site measurements used for this study in North Provo (NP), at the University of Utah (UU) and in Logan (L4). Borders of the sub-regions are shown in c) for reference. Salt Lake City, the most populated area, is situated in the Nnorth Eastern eastern part of Salt Lake Valley.



Figure 2: Setup of the QC-TILDAS on the Twin Otter aircraft for NH₃ measurements at 1 Hz sample frequency. The commercially available QC-TILDAS was modified using a smaller vacuum pump, a pressure controller and a custom made PFA aerosol impactor. <u>To achieve an optimal time response</u>, the used inlet line was only 0.5 m and <u>a</u>A bypass system was used to generate a higher flow rate through the inlet line. <u>The distance from the bypass to the aerosol impactor and from the aerosol impactor to the instrument's sample intake were also kept as short as possible (< 10 cm)-. See text for a further detail<u>sed description of on</u> the setup.</u>



Figure 3: Time series of fine particulate matter (PM_{2.5}) and NH₃ mixing ratios during PCAP periods over the course of the measurement campaign from Jan 16 to Feb 13 2017. Shown are measurements from the ground sites (a) in Logan (L4)at the University of Utah (UU), (b) at the University of Utahin Logan (L4), and (c) in North Provo (NP). Blue dots represent mean NH₃ mixing ratios measured from the Twin Otter during missed approaches (at Logan airport and North Provo airport) or when flying over the UU measurement site. The time frames of the three PCAP periods during the campaign are marked as black bars.



Figure 4: Frequency distribution of NH₃ (a, b) and pNH₄ (c, d) mixing ratios measured from the Twin Otter in Salt Lake Valley (SLV), Cache Valley (CV), Utah Valley (UV) and above the Great Salt Lake (GSL). The distributions are based on mixing ratios from all research flights, segregated into PCAP (a, c) and non-PCAP (b, d) conditions.





Figure 5: Approach used to determine NH₃ enhancements by overlaying the emission sensitivity map (in ppmv/(µmol m⁻² s⁻¹), left panel) with the NH₃ emissions from the UDAQ emission inventory (in µmol m⁻² s⁻¹, centre panel). The emission sensitivities were derived with STILT for every 2 min of the Twin Otter flight path for all research flights. NH₃ enhancement for the Twin Otter location were obtained by summing of all NH₃ contributions within the spatial domain of the NH₃ contribution map. The NH₃





Figure 6: Frequency distribution of measured (blue) and modelled (red) NH_x enhancements (dNH_x) for Salt Lake Valley, Cache 5 Valley and Utah Valley on logarithmic scales for (a-c) non-PCAP and (d-f) PCAP conditions.



Figure 7: Frequency distribution of measured (blue) and modelled (red) NH_x enhancements (dNH_x) for Cache Valley, using enhanced livestock emissions by a factor of 4.5 and the USU emission inventory for (a+b) non-PCAP and (c+d) PCAP conditions.





Figure 8: Inter-valley exchange of pollutants: Contributions from different counties to (a) dNH_x and (b) dNO_y at the UU (Salt Lake Valley) site and Twin Otter locations in Salt Lake Valley, Cache Valley and Utah Valley. The inter-valley exchange was evaluated by segregating contributions from the footprint model (see contributions map in Figure 5) into counties of origin for each run of the footprint model (i.e. every 2 min of Twin Otter flight path, and every hour for UU location).