1 Optical properties of meteoric smoke analogues

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10 Abstract

11 Accurate determination of the optical properties of analogues for meteoric smoke particles 12 (MSPs), which are thought to be composed of iron-rich oxides or silicates, is important for their 13 observation and characterization in the atmosphere. In this study, a photochemical aerosol flow 14 reactor (PAFS) has been used to measure the optical extinction of iron oxide MSP analogues in 15 the wavelength range 325-675 nm. The particles were made photochemically, and agglomerate 16 into fractal-like particles with sizes on the order of 100 nm. Analysis using Transmission 17 Electron Microscopy (TEM), Energy Dispersive X-ray spectroscopy (EDX) and Electron Energy 18 Loss Spectroscopy (EELS) suggested the particles were most likely maghemite-like (γ -Fe₂O₃) in 19 composition, though a magnetite-like composition could not be completely ruled out. Assuming 20 a maghemite-like composition, the optical extinction coefficients measured using the PAFS were 21 combined with maghemite absorption coefficients measured using a complementary

- 22 experimental system (the MICE-TRAPS) to derive complex refractive indices that reproduce
- 23 both the measured absorption and extinction.
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25 **1. Introduction**

The ablation of cosmic material in the mesosphere leads to the formation of nanometer-sized meteoric smoke particles (MSPs) (Plane et al., 2015). Reaction, condensation and subsequent agglomeration of stable reservoir species such as FeOH, Mg(OH)₂, NaHCO₃ and SiO₂ leads to the formation of MSPs over a timescale of several days. However, very little is known about the physical and chemical properties of these particles. It is important to establish the composition and other characteristics of MSPs because the particles are thought to be involved in a wide range of atmospheric processes as they are transported down through the atmosphere, including: mesospheric metal chemistry; mesospheric oxygen chemistry; nucleation of polar mesospheric clouds (PMCs); stratospheric aerosol chemistry (including the nucleation of polar stratospheric

- clouds (PMCs); stratospheric aerosol chemistry (including the nucleation of polar stratospheric
 clouds (PSCs)); and deposition of bioavailable metal sulfates into the oceans (Plane et al., 2015).
- 36 The detection and characterisation of MSPs has proven extremely challenging as the
- 37 mesosphere-lower thermosphere (MLT) is a notoriously difficult region in which to perform in
- 38 situ studies. In terms of the composition, at present only two types of investigations exist: rocket-
- 39 borne instruments (e.g. Faraday cup detectors and electric work-function studies) and remote
- 40 sensing (e.g. optical spectroscopy). The only direct measurements have been obtained *via*
- 41 sounding rocket flights, though only charged particles have been sampled with any success. One
- 42 example is the ECOMA (Existence and Charge state Of Meteoric smoke particles in the middle
- 43 Atmosphere) project (Rapp et al., 2010). This work constrained the MSP size and work function,
- 44 with electronic structure calculations inferring a likely MSP composition of Fe and Mg
- 45 hydroxide clusters with low silica content (Rapp et al., 2012).
- 46 Important progress has also been achieved using remote sensing techniques: the SOFIE (Solar
- 47 Occultation for Ice Experiment) instrument on the AIM (Aeronomy of Ice in the Mesosphere)
- 48 satellite has detected MSPs by optical extinction, conducting solar occultation measurements
- 49 from April 2007 to the present. Extinction measurements at 330, 867 and 1037 nm were used to
- 50 show that the best-fit particle compositions are iron-rich oxides (magnetite (Fe_3O_4), wüstite
- 51 (FeO), magnesiowüstite (Mg_xFe_{1-x}O, x=0 -0.6)) or iron-rich olivine (Mg_{2x}Fe_{2-2x}SiO₄, x=0.4-0.5) 52 (Hermin et al. 2017). That is, the main metagric elements Fe. Ma and Si are sider mixed in
- (Hervig et al., 2017). That is, the major meteoric elements Fe, Mg and Si are either mixed in
 olivinic particles with a single average composition, or MSPs are a mix of metal oxide and silica
- olivinic particles with a single average composition, or MSPs are a mix of metal oxide and silica
 particles. However, this technique makes an important assumption: that the bulk (crystalline)
- 55 refractive indices (RIs) used to infer smoke compositions are applicable to MSPs, despite
- 56 evidence that the particles are structurally amorphous, fractal-like agglomerates (Saunders and
- 57 Plane, 2006). This assumption is currently not confirmed, and as such it is important to measure
- 58 RIs of realistic MSP analogues, especially those of iron-rich particles.
- 59 A number of crystalline MSP analogues (Fe₂O₃, silica (SiO₂) and iron silicates (Fe_xSi_(1-x)O₃ ($0 \le$
- $x \le 1$)) with radii on the order of 2 nm have recently been generated in the laboratory using a
- 61 low pressure, non-thermal microwave resonator (Nachbar et al., 2018a;Nachbar et al., 2018c).
- 62 The particles are transferred to a low pressure, supersaturated particle trap the Molecular Flow

- 63 Ice Cell/Trapped Reactive Atmospheric Particle Spectrometer (MICE/TRAPS) in which
- 64 particle properties can be determined. The latest work using this system derived absorption
- efficiencies for Fe_2O_3 particles at 450, 488 and 660 nm (Nachbar et al., 2018c). David et al.
- 66 (2012) have demonstrated the production of maghemite particles with a similar experimental
- arrangement. In fact, Navrotsky et al. (2008) have argued that maghemite is thermodynamically
- favored with respect to hematite for particles smaller than 16 nm in diameter. The particles
- 69 produced in the study of Nachbar et al. (2018b) are therefore very likely to have been
- 70 maghemite.
- Amorphous MSP analogues have previously been generated in the laboratory using a
- 72 photochemical aerosol flow system (PAFS) (Saunders and Plane, 2011, 2010, 2006). Particles
- 73 with compositions close to the minerals hematite (α -Fe₂O₃), goethite (FeOOH), fayalite
- (Fe₂SiO₄) and silica (SiO₂) were produced when metal-containing precursors were photolysed in the presence of O_3/O_2 . Particle size distributions were measured using a Scanning Mobility
- 75 the presence of 0₃/0₂. Particle size distributions were measured using a Scanning Mobility 76 Particle Sizer (SMPS), and optical extinction measurements were obtained for comparison with
- values calculated from Mie theory using literature RIs for the unidentified particles. Although the
- 78 experimental size distributions of the MSP analogues produced could be replicated using an
- agglomeration model (Jacobson, 2005;Saunders and Plane, 2010, 2006), there was significant
- 80 uncertainty in the measured size distribution. Consequently, Mie theory was able to reproduce
- 81 the measured extinction using bulk RIs for α -Fe₂O₃ and Fe₂SiO₄ particles, though not when using
- 82 the experimental size distribution.
- 83 In this manuscript, the photochemical technique used by Saunders and Plane has been developed
- 84 further to study the agglomeration and optical properties of iron oxide particles. The measured
- 85 optical extinction has been modelled using Mie theory and the Rayleigh-Debye-Gans (RDG)
- 86 approximation (discussed below). Analysis using Transmission electron microscopy (TEM),
- 87 Electron Energy Loss Spectroscopy (EELS) and EDX (Energy Dispersive Xray) spectroscopy
- indicate a maghemite-like (γ -Fe₂O₃) particle composition. The measured optical extinction data
- 89 was combined with absorption efficiencies from Nachbar et al. (2018c) to derive wavelength-
- 90 dependent complex RIs that reproduce the measured extinction. Maghemite particles have not
- 91 been previously considered in the compositional analysis of MSPs because no RIs are available
- 92 in the literature. However, the formation of maghemite nanoparticles in laboratory studies *via*
- 93 two different production methods (*via* photolysis/in microwave plasma) that operate under
- 94 distinctly different conditions demonstrates the potential relevance of this species in the
- atmosphere, and the need for further studies on this compound as a potential candidate for MSPs.
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97 2. Experimental Methods

98 2.1 PAFS

- 99 The photochemical apparatus used to generate analogue MSPs (Figure 1) has been described
- 100 previously (Saunders and Plane, 2006, 2010, 2011). The setup consists of a cylindrical glass
- 101 photolysis cell with quartz end windows ($r = 4 \text{ cm}; \phi = 25 \text{ cm}$) into which a combined flow of
- 102 the Fe precursor, iron pentacarbonyl vapour (Fe(CO)₅), and O_3/O_2 was introduced. The Fe(CO)₅
- 103 was generated by passing a flow of N_2 through a round-bottomed flask containing ~3 cm³ of
- 104 liquid Fe(CO)₅ (Aldrich) cooled in a water-ice bath to 0 °C. The round-bottomed flask and ice-
- 105 bath were covered to prevent any premature photolysis (and subsequent build-up of material on
- 106 the flow tube walls). O_3 was produced by photolysing O_2 at 184 nm, by passing a flow of O_2

- 107 through a glass cell with a quartz window in front of a Hg pen lamp. Once in the photolysis cell,
- 108 the gases were irradiated using a 1000 W ozone-free Xenon arc lamp. Variable N_2 'curtain'
- 109 flows were passed across each of the cell windows such that the total flow rate was 550 sccm (1
- 110 sccm = 1 cm³ min⁻¹ at standard temperature and pressure (273 K and 1 bar)). After leaving the 111 photolysis cell the particle flow was directed through an absorption cell (r = 10 cm; $\phi = 48$ cm)
- with White cell optics in which the optical extinction of the particles was measured (hereafter
- referred to as the White cell). On exit from the White cell, particle size distributions were
- recorded using a SMPS consisting of a differential mobility analyser (DMA) and a condensation
- particle counter (CPC). The DMA sheath and aerosol flow rates were 3 L min⁻¹ and 0.3 L min⁻¹
- respectively, with a scan taken every 3 minutes (a scan time of 120 seconds and retrace of 30 s
- 117 was used).
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120 Figure 1. Schematic diagram of the experimental system used for the generation and optical detection of

121 MSP analogues, where a, b & c show different beam configurations used to generate a normalised cell 122 spectrum.

- 123 Light from a 100W Xenon arc lamp was focused into the cell using a quartz lens (focal length =
- 124 75 cm). The lamp intensity could be controlled by the insertion of a selection of neutral density
- 125 filters, and stray lamp light was eliminated using an iris. Borosilicate White cell windows
- 126 prevented further photolysis by the spectroscopy lamp, and excluded second-order light at
- 127 wavelengths below ~330 nm from entering the spectrometer and potentially contaminating the
- spectra. N₂ curtain flows (500 sccm) were passed across the windows to prevent aerosol
- deposition. Particle extinction was measured between 325 and 675 nm. A total optical path
- 130 length (l) of 624 cm was achieved by folding the light path 12 times between three concave
- 131 mirrors comprising the White cell. The exit beam was focused with a quartz lens onto a fibre
- 132 optic coupled to an Acton Research Spectra Pro 500i spectrometer, in which the light was
- dispersed using a grating (150 groove mm⁻¹) onto a CCD camera. The exposure time was 0.1 s,
- 134 with 57 accumulations per spectrum generating 9 spectra per minute.
- 135 To measure smaller levels of optical extinction than in our previous work (Saunders and Plane,
- 136 2006, 2010, 2011), an optical by-pass was introduced to normalize for drifts in the Xe lamp
- 137 spectral intensity with time. Beam stops were used to create three different beam configurations
- 138 from which the separate signals could be extracted (Figure 1). Spectra were recorded in three

- 139 minute cycles with one minute of spectra taken using each configuration: (a) the beam directed
- 140 through the cell and additionally through the bypass (I_a) ; (b) the beam directed only through the
- bypass (I_b); and (c) the beam directed only through the cell (I_c). This generated one normalized
- 142 spectrum (I_n) every three minutes (see equation E1). The minimum detectable absorbance ranged
- 143 from 0.07 to 0.004 over the wavelength range studied.

144 E1
$$(I_n(\lambda) = (I_a(\lambda) - I_b(\lambda))/(I_a(\lambda) - I_c(\lambda)),$$

145 In a typical experiment, the sample flows were switched on, with the particle flow initially

- 146 diverted to an exhaust rather than through the White cell. The background particle size
- 147 distribution and optical intensity in the White cell $(I_{n,bg}(\lambda, t))$ were then measured for about 30
- minutes. The particle flow was then directed through the White cell, and a further 21 minutes of sample measurements were recorded ($I_{n,sa}(\lambda, t)$). Thereafter, the particle flow was diverted back
- to the exhaust and background measurements resumed for around 45 minutes. A repeat sample
- 151 measurement was recorded followed by approximately 30 minutes of reference measurements
- 152 until the peak of the recorded size distribution had stabilized to within 1 %.
- 153 The gas-phase spectrum for the Fe(CO)₅ precursor was measured with a PerkinElmer Lamda 90
- 154 UV/Vis spectrometer in a 1×1 cm gas cuvette. Due to the wide range covered by the absorption

155 cross section in the measured wavelength range (4 orders of magnitude), the final spectrum was a

- 156 composite of two spectra; the low-wavelength end of the spectrum ($\lambda < 280$ nm) was an average
- 157 of three low pressure measurements (P ~ 2 torr) and the high wavelength portion ($\lambda > 280$ nm)
- 158 was an average of two higher pressure measurements ($P \sim 20-30$ torr). A reference spectrum for
- 159 the empty cuvette was subtracted from each individual spectrum before averaging.
- 160

161 **2.2 TEM**

162 Particles formed in the photochemical aerosol flow system were collected by diverting the flow

- bypass through a round-bottomed flask containing a suspended transmission electron microscopy
- 164 (TEM) grid (copper mesh with a holey carbon film coating). The grids were then stored under
- 165 vacuum in the dark prior to imaging. Particles were analysed using TEM with energy dispersive
- 166 X-ray spectroscopy (EDX) and electron energy loss spectroscopy (EELS) at the University of
- 167 Leeds (FEI Titan3 Themis 300).
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169 **2.3 MICE/TRAPS**

- 170 Absorption efficiencies determined in Nachbar et al. (2018c) for maghemite particles with the
- 171 MICE/TRAPS apparatus were used in combination with the optical extinction measured in this
- 172 work for iron oxide particles produced with the PAFS apparatus, in order to derive complex RIs.
- 173 The experimental and analytical methods used for the MICE/TRAPS experiment have been
- described in detail previously (Meinen et al., 2010a; Meinen et al., 2010b; Duft et al., 2015;
- 175 Nachbar et al., 2016), with the recent methodology for particle production outlined in Nachbar et
- 176 al. (2018a). The analysis procedure for the determination of absorption efficiencies is specified
- 177 in Nachbar et al. (2018c).
- 178 In brief, singly charged, spherical and compact nanoparticles are produced by mixing vapour
- from a volatile precursor (solid ferrocene, $Fe(C_5H_5)_2$, ~353 K) with a flow of oxygen and helium.
- 180 This mixture then flows through a low pressure, non-thermal microwave resonator to create a

- 181 plasma in which metastable exited Fe is oxidised to produce Fe₂O₃ particles. A portion of the
- 182 flow passes into a vacuum chamber through an aerodynamic lens, a flow-limiting orifice and an
- 183 octupole ion guide (Figure S1, supplementary information). Particles of a chosen size are
- 184 deflected with a quadrupole deflector and are subsequently trapped into a cloud of ~ 1 mm radius 185 within the ion trap MICE, where a He bath gas is added to thermalize the particles. Within the
- MICE, the particles are subject to a well calibrated concentration of gas phase H₂O molecules
- (Nachbar et al., 2018b). Small numbers of particles are extracted from the trap at regular time
- 188 intervals to a Time-of-Flight (ToF) mass spectrometer for particle mass determination.
- 189 In a typical experimental run, Fe_2O_3 particles were admitted into the MICE, where H_2O
- 190 molecules were adsorbed onto the particle surfaces with increasing trapping time until an
- 191 equilibrium of adsorbing and desorbing molecules was reached. A number of repeat runs were
- 192 performed where the cloud of particles was irradiated using optically pumped continuous wave
- semiconductor lasers (OBIS LX, Coherent, at 405 nm, 488 nm and 660 nm), increasing the laser
- power in each subsequent run. Absorption of the laser light by the particles caused heating and
- desorption of H_2O molecules from the particle surface (see Figure S2, supplementary
- 196 information). Parameters such as the initial mass and radius (r) of the particles, and the
- 197 temperature change due to irradiation could then be calculated from the mass of the levitated 198 nanoparticles as a function of the residence time in MICE. Assuming an equilibrium between
- radiative heating and collisional cooling enables the absorption cross section (C_{abs}), and
- absorption efficiency (O_{abs} , see equation E2) to be calculated. The latter is typically used when
- 201 comparing the absorption of different sized particles.

202 E2
$$Q_{abs} = \frac{C_{abs}}{\pi \cdot r^2}$$

204 **3. Results and Discussion**

205 **3.1 TEM**

Examination of particles collected from the PAFS using TEM show non-spherical, fractal-like
 particles. A range of particle sizes can be observed, ranging from tens of nanometers to microns
 in radius (Figure 2). The fractal-like agglomerates are formed of primary spheres, whose size
 was estimated by taking a number of measurements from three high resolution images of

different agglomerates, one of which is shown in Figure 2 (right-hand panel). In each of these

- three images, 15 primary spheres were measured from around the visible 'edge' of the particle,
- where a defined spherical shape could be seen. This analysis resulted in a primary particle radius
- 212 where a defined spheri 213 of 1.65 ± 0.15 nm.



Figure 2. (Left panel) Low resolution TEM image showing the size-range of iron oxide agglomerates (dark grey/black particles) collected on a holey-carbon grid (light grey holes and webbing). (Right panel) High resolution TEM image showing the primary particles forming an agglomerate. Black circles indicate measured primary spheres used for size characterisation.

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Comparison of the background-subtracted, low-loss deconvolved Fe L-edge and O K-edge EELS spectra with those from iron oxide standards can provide information on the particle composition (Figure 3, left-hand panels) (Brown et al., 2017; Brown et al., 2001). The O K-edge spectra for the iron oxide standards have been aligned using the energy loss for the peak designated as *b* in Figure 3, due to the invariance of this peak in the spectra. Likewise, the Fe L-edge spectra have been aligned to the sample peak *a*. On inspection of the O K-edge, a wüstite-like sample composition can be excluded due to the differing edge-onset energy and shape of peak *a*. A

hematite-like sample composition can also be rejected on the basis of the lack of the double-peak

structure characteristic of hematite in the sample spectrum. This is corroborated on inspection of

the Fe L-edge, where a well-defined shoulder on the low energy side and a broad shoulder on the

high energy side of peak *a* are observed for hematite and wüstite, respectively, neither of which

are present in the sample spectrum.

In the case of both magnetite and maghemite there are no distinctive features in either the O K or

Fe L-edges to easily distinguish between the two species. Nonetheless, upon closer inspection

(Figure 3, right-hand panels) the sample spectra more closely resemble those for the maghemitestandard as compared to those for magnetite. For the Fe L-edge, although the shoulder on the low

energy side of peak *a* is larger in the sample spectrum than that observed for maghemite, it is

more well defined than the shoulder seen in the magnetite spectrum. Furthermore, the profile of

peak *a* more closely follows that for the maghemite standard on both the high and low energy

sides. Though a defined double-peak structure is not observed in peak b, both the peak profile on

- 240 the high energy side and the height of the peak more closely resemble maghemite. For the O K-
- edge, although there are some differences between the sample spectra and those for both
- standards (notably the lack of a defined peak c), there are minimal differences between the

spectra for the maghemite and magnetite standards. For this reason, though the profile of peak *a*

244 more closely follows that of maghemite, it is not possible to distinguish between the two species

from the O K-edge spectra alone.

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Figure 3. Electron energy loss spectra measured with the TEM compared to spectra for iron oxide standards (Brown et al., 2017; Brown et al., 2001). Top panels: O K-edge. Bottom panels: Fe L edge. Grey shaded regions indicate the experimental uncertainty. The left-hand panels show the spectra offset for clarity, and the right-hand panels show the same spectra (for the sample, magnetite and maghemite) superimposed.

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253 The defined peak c is not observed in the sample O K-edge, which we speculate may be due to the 254 poor crystallinity of the particles. This is confirmed by the electron diffraction pattern (Figure S3, 255 supplementary information) where two broad rings are observed coinciding with the intense 256 reflections of magnetite/maghemite. The interplanar distances measured, accounting for a camera 257 calibration error of ± 6 %, were 2.60 Å and 1.47 Å near to the 311 and 440 diffraction planes, respectively (cf. measured distances of 2.57 Å and 1.52 Å in a maghemite standard). A faint ring 258 259 was observed at an interplanar distance of 2.09 Å, close to the 400 diffraction plane at 2.11 Å. The 260 diffraction pattern cannot distinguish between maghemite and magnetite, since both are based on 261 a spinel crystal structure. However, this analysis does corroborate that the sample composition is very similar to one or other of these minerals. Elemental quantification using EELS resulted in a 262 Fe/O ratio of 0.48 ± 0.12 – more oxygen rich than any of the possible compositions 263 264 hematite/maghemite, magnetite or wüstite (the oxides have Fe/O ratios of 0.67, 0.75 and 1, respectively, i.e. Fe:O = 2:3, 3:4 and 1:1). As such, the composition is most likely to be maghemite-265

like, although potentially with additional oxidation or oxygen contamination. This additionaloxygen could be another reason for the differences observed in the O K-edge.

268 The Energy Dispersive X-ray (EDX) spectrum (Figure S4, supplementary information) confirms 269 the presence of Fe and O, though some differences are observed in the intensities of the peaks in 270 the spectra for the agglomerate and the maghemite standard. In the agglomerate spectrum, the 271 intensity of the low energy Fe L-peak is higher than for the standard, which we speculate may be 272 due to fluorescence from excited Cu X-rays from a grid bar, consistent with a relatively large 273 amount of Cu in the agglomerate spectrum. The sample is also more oxygen-rich than the standard. 274 This could result from contamination which was introduced after deposition, prior to TEM 275 imaging. A significant carbon peak is observed in the agglomerate spectrum, suggesting a possible 276 C and O rich hydrocarbon source for this contamination. Alternatively, oxygen could have been 277 introduced within the flow apparatus, by coordination to, or reaction with, an oxygen-rich species 278 O₃, forming an oxide coating.

279 FeO₃ is thought to form from the sequential oxidation of Fe by O₃ (Fe \rightarrow FeO₂ \rightarrow FeO₃);

280 the rate coefficients for these three reaction have been measured in the gas phase to be fast (Self 281 and Plane, 2003). The formation of Fe₂O₃ smoke analogues in the PAFS apparatus has been 282 previously proposed to occur by polymerization and subsequent re-ordering of FeO₃ in the solid 283 phase (Saunders and Plane, 2006); it may be that incomplete re-structuring of the FeO₃ has 284 occurred, thus causing the decreased Fe/O ratio. Previous work using the PAFS under comparable experimental conditions obtained a Fe/O ratio of 0.65 ± 0.06 (Saunders and Plane, 2006). Although 285 286 this was suggested to imply the formation of hematite, it would also be consistent with maghemite. 287 Navrotsky et al. (2008) show that for nanoparticles less than ~16 nm in size, maghemite is more 288 stable than hematite since it has a lower surface enthalpy. We therefore conclude that a maghemite-

289 like composition is most likely for the smoke analogues generated using the PAFS.

290

3.2 PAFS

The reduction in intensity of a beam of light from I_0 to I as it traverses a distance l through an absorbing medium can be expressed as an optical density (OD) using the Beer-Lambert equation:

294 E3
$$OD = ln\left(\frac{l_0}{l}\right) = \alpha_{ext} \cdot l$$

where the extinction coefficient α_{ext} arises from both absorption and scattering. The intensity (*I*) at time *t* is given by the sample spectrum recorded with the particle flow directed through the absorption cell ($I_{n,sa}(\lambda, t)$). A straight line reference fitted to the background spectrum ($I_{n,bg}(\lambda, t)$) yields I_0 at time *t*, enabling the time and wavelength-dependent OD to be extracted from the raw spectra. Once the particle size distribution exiting the absorption cell of the PAFS had stabilized, spectra were averaged to obtain one OD spectrum for the iron oxide nanoparticles (Figure 4). As

301 shown by the black shaded area in the bottom panel of Figure 4, the uncertainty in the OD

increased significantly at small wavelengths as a result of the decreasing intensity of the

spectroscopic lamp and the fall-off in quantum efficiency of the CCD detector. Consequently,
 the optical data below 350 nm was discarded. At long wavelengths, data above 550 nm was also

305 discarded because the OD decreased below the detection limit. The OD spectrum was also

306 corrected for contributions from the residual precursors used to make the particles. The OD of

307 residual O₃ was negligible over the wavelength range of usable experimental data ($\lambda > 350$ nm).

308 However, the residual Fe(CO)₅ spectrum did need to be subtracted. Inspection of the literature

- 309 did not yield appropriate data, so the Fe(CO)₅ absorption cross section was measured (Figure 6,
- 310 top panel). The cross section data is listed in Table S3. The extent of Fe(CO)₅ photolysis in the
- 311 photolysis cell (Figure 1) was calculated using the flow rates, the lamp irradiance and the
- 312 wavelength-dependent absorption cross sections.



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Figure 4. Top panel: Measured $Fe(CO)_5$ absorption cross section (cm²) with experimental uncertainty indicated by red shading. Bottom panel: Iron oxide particle extinction with the precursor spectrum removed (blue line) and experimental uncertainty indicated by light blue shading. Also shown is the spectrum for the Fe(CO)₅ present in the absorption cell (red line), with the experimental uncertainty indicated by red shading. The detection limit for the experiment is shown with the black line and shaded region. Note the different wavelength ranges in each panel.

- 321 The size distribution of agglomerates measured with the SMPS follows an approximate
- 322 lognormal distribution peaking around 100 nm radius (demonstrated by the lognormal fit in
- Figure 5). A small additional mode is present in the distribution with a peak of approximately 30
- nm. The measured size distribution provides a measure of the mobility radius, which is not
- 325 necessarily equivalent to the fractal (outer) radius of amorphous particles these are typically
- 326 sized differently to spherical particles in an SMPS as they experience higher drag compared to a
- 327 sphere with the same mass (DeCarlo et al., 2004). As such, it should be noted that it may not be
- 328 appropriate to use the measured size distribution to calculate the optical extinction. Indeed, some

- 329 very large ($\sim 2 \mu m$) particles are observed in the TEM images, though these may have resulted
- 330 from further agglomeration during deposition on the collection grid. As shown in Figure 6, using
- 331 Mie theory with the experimental size distribution over-predicts the OD by at least an order of
- magnitude when using literature RIs for hematite, magnetite and wüstite (Hsu and Matijevic,
- 333 1985; Longtin et al., 1988; Querry, 1985; Fontijn et al., 1997; Huffman and Stapp, 1973;
- Henning and Mutschke, 1997). No equivalent calculation can be performed for maghemite as the
- bulk RIs are not available in the literature.



Figure 5. Measured size distribution (purple) and a lognormal fit to the experimental data (red) with shaded areas indicating the experimental uncertainty.



Figure 6. Measured OD as a function of wavelength (blue line), compared with the average OD calculated from literature data for hematite (red, (Hsu and Matijevic, 1985; Longtin et al., 1988; Querry, 1985)), magnetite (purple, (Fontijn et al., 1997; Huffman and Stapp, 1973; Querry, 1985)) and wüstite (black, (Henning and Mutschke, 1997)) using the measured size distribution (dotted lines), the RDG approximation with a monomer concentration derived from the measured size distribution (dashed lines) and the RDG approximation with a monomer concentration fitted to the experimental data (solid lines).

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348 An alternative method for calculating the OD of amorphous agglomerates is the Rayleigh-

349 Debye-Gans (RDG) approximation (Sorensen, 2001), where an agglomerate is treated as a

350 monodisperse distribution of primary spheres and the overall agglomerate extinction is

351 calculated by summing those of the individual primary particles. Using a concentration of r =

1.65 nm monomers calculated by integrating the measured size distribution $(4.3 \times 10^{11} \text{ cm}^{-3})$, Figure 6 shows that the OD is again over-predicted by around an order of magnitude, though a

decrease in OD with increasing wavelength is obtained which more closely matches the

355 experimental data. If the monomer concentration is reduced, as would be expected if the

356 observed size distribution is made up of fractal-like particles rather than solid spheres,

357 significantly better fits to the experimental data can be achieved for all species considered, with

the best agreement achieved when using hematite RIs (Figure 6). Assuming the RDG

approximation holds, a comparison can be made with absorbance data for maghemite (Jain et al.,

2009; Tang et al., 2003): for particles on the order of a few nanometers in size, absorption

dominates over scattering (for the iron oxides, scattering < 0.01 % absorption). As such, the contribution from scattering to the OD can be neglected, and the absorbance data available in the

363 literature can be arbitrarily scaled for comparison with the experimental data, since scaling the

absorbance is equivalent to changing the concentration of monomers in the RDG approximation.

365 This comparison is shown in Figure 7, where the literature data agrees reasonably well with the

366 measured OD. As the literature optical data for hematite and maghemite best replicate the

367 measured OD, this again suggests the most likely composition to be maghemite-like, given that the EELS analysis definitively excludes a hematite composition. 368



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371 Figure 7. Measured OD (blue line), scaled maghemite OD from Jain et al. (2009) (green line) and scaled 372 maghemite OD from Tang et al. (2003) (purple line), as a function of wavelength. Also shown is the average 373 OD calculated from literature data for hematite (red, (Hsu and Matijevic, 1985; Longtin et al., 1988; Querry, 374 1985)) using the RDG approximation with a monomer concentration fitted to the experimental data (as 375 shown in Figure 6).

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377 **3.3 Photochemical Modelling**

378 The previously measured size-dependent absorption efficiencies from the MICE/TRAPS

379 experiment that were used to derive complex RIs are shown in Figure 8. The solid lines represent

380 an average of the absorption efficiencies calculated with Mie theory from hematite RIs available

381 in the literature (Querry, 1985; Bedidi and Cervelle, 1993; Hsu and Matijevic, 1985; Longtin et

382 al., 1988). The experimental data generally agrees with that from the literature, given the

383 experimental uncertainties and the variation in literature values. The best agreement is seen at

384 488 nm, where there is approximately a 20 % difference between the size-dependent literature

- average and the experimental values. The absorption efficiencies at 405 nm are around 45 % 385
- 386 larger than the average literature values, whilst still being within the spread of the experimental
- 387 error. Those at 660 nm are around 45 % smaller than the average literature values, on the edge of
- the range spanned by the experimental errors. 388





Figure 8. Absorption efficiencies for a range of particle sizes at three different wavelengths: 405 nm
(purple), 488 nm (blue) and 660 nm (red). Also shown are the literature data for hematite particles from
Querry (long dash), Hsu and Matijevic (short dash), Bedidi and Cervelle (dot dash), Longtin et al. (dotted)
and the average (bold lines).

In order to model the PAFS data, at each of the three wavelengths studied with the MICE/TRAPS (405, 488 and 660 nm), a range of best-fit complex RIs (equation E4, where *n* and *k* are the real and imaginary parts, respectively) was established by iterating over a range of possible RIs and calculating absorption cross sections for each real-imaginary pair using Mie theory. The indices resulting in the smallest normalized square difference ($d_{abs,\lambda}$, E5) between the measured ($C_{abs,exp}$) and calculated ($C_{abs,calc}$) absorption cross section gave the best-fit RIs to the absorption data at that wavelength (Figure 9).

402 E4
$$\underline{n} = n + ik$$

403 E5
$$d_{abs,\lambda} = \left((C_{abs,exp} - C_{abs,calc}) / C_{abs,exp} \right)^2$$

404 By neglecting the scattering component in the absorption-dominated OD, the extinction cross 405 sections measured in the PAFS could be approximated using the absorption cross sections 406 measured in the MICE/TRAPS. This enabled a best-fit primary particle concentration to be 407 determined for the PAFS particles, using data from the two wavelengths at which the measured 408 extinction was above the detection limit (405 nm and 488 nm). Using the best-fit complex RIs 409 from the MICE/TRAPS data, the PAFS extinction was calculated using the RDG approximation for a range of primary particle concentrations. At each wavelength (405 or 488 nm), the 410 411 normalized square difference between the measured and calculated extinction cross sections was 412 calculated for each concentration ($\delta_{ext,\lambda}$, equation E6, where $C_{ext,exp}$ and $C_{ext,calc}$ are the experimental and calculated extinction cross sections, respectively). The $\delta_{ext,\lambda}$ values for the two 413 wavelengths were summed to derive χ^2_{ext} (equation E7) and the concentration resulting in the 414

- 415 smallest χ^2_{ext} value gave the best-fit primary particle concentration, generating the best match to 416 the measured extinction over the two wavelengths.
- 417 Using this best-fit primary particle concentration of 3.14×10^{10} cm⁻³, $\delta_{ext,\lambda}$ was calculated for a
- 418 range of complex RIs at 405 and 488 nm, with the indices giving the smallest $\delta_{ext,\lambda}$ value
- 419 defining the best-fit to the extinction data at each wavelength (Figure 9). The final best-fit RIs at
- 420 each wavelength, fitting both the absorption and extinction data, were those that generated the
- 421 minimum combined δ value (δ_{λ} , equation E8). At 660 nm, the final best-fit RIs used were those
- 422 which best fit the absorption (gave the minimum $\delta_{abs,\lambda}$).
- 423 E6 $\delta_{ext,\lambda} = \left((C_{ext,exp} C_{ext,calc}) / C_{ext,exp} \right)^2$
- 424 E7 $\chi^2_{ext} = \sum \delta_{ext,\lambda}$
- 425 E8 $\delta_{\lambda} = (\delta d_{ext,\lambda} \delta_{abs,\lambda})^2$



Figure 9. Best-fit RIs *k* and *n* for data at 405 nm (purple), 488 nm (blue) and 660 nm (red), for absorption (dotted lines), extinction (dashed lines) and the combination (solid lines). Shaded regions indicate where the resulting absorption and extinction cross sections are within experimental error for both experiments.

430 As it is possible to reproduce the measured absorption and extinction data at each wavelength

431 using multiple different combinations of RIs, it is not possible to identify a unique solution for

the wavelength dependence of these parameters. However, one way forward is first to select the

433 wavelength dependence of the real RIs, which have a much smaller impact on the extinction

434 cross section than the imaginary RIs in the absorption-dominated regime. The literature data for

- hematite from Hsu and Matijevic (1985), Longtin et al. (1988) and Querry (1985) very
- 436 satisfactorily fit the experimental data across the whole wavelength range within experimental
- 437 errors using the RDG approximation (Figure 6, red line). Thus, for the unidentified particles an
- 438 average of the real RIs from these data was used (Figure 10, top panel). Using these real RIs at
- 439 405, 488 and 660 nm, the imaginary RI at each wavelength was selected from the best-fit data
- 440 (Table S1). The wavelength dependence was then determined by fitting an exponential decay
- 441 function through the three values (Figure 10, top panel, Table S1). The wavelength dependent
- 442 optical densities calculated using these RIs are shown in Figure 10 (bottom panel). At 405, 488
- 443 and 660 nm the calculated absorption efficiencies for a 1.65 nm particle are 1.59×10^{-2} , 3.19×10^{-2} , 3

444 10^{-3} and 3.19×10^{-4} respectively, compared to the experimentally determined values of $(1.60 \pm 1.15) \times 10^{-2}$, $(3.31 \pm 1.92) \times 10^{-3}$ and $(3.19 \pm 1.73) \times 10^{-4}$.



446

Figure 10. Top panel: Real (n) and imaginary (k) RIs for maghemite particles (dashed and solid lines, respectively) with the uncertainty in k indicated with red shading. Bottom panel: Experimental OD (blue) and the calculated OD (red) using the wavelength dependent RIs for maghemite particles.

450

451 **4. Conclusions**

452 Wavelength-dependent complex RIs have been derived for iron oxide meteoric smoke analogues generated under atmospherically relevant conditions using two different experimental systems. 453 454 Analysis of particles collected from both experiments suggested a maghemite-like composition 455 to be most likely, although, for the particles produced in the PAFS, a magnetite-like composition 456 could not be definitively ruled out. Assuming the PAFS particles were indeed maghemite-like, 457 data from the two experiments was combined using an iteration procedure to determine 'best-fit' complex RIs that replicate both experimental datasets at 405 nm and 488 nm, and the absorption 458 459 data at 660 nm. Values for the real RIs from the literature that generated the closest match to the measured extinction data (using the RDG approximation for 1.65 nm particles) were used with 460 461 the best-fit data to determine the imaginary RIs at wavelengths between 350 and 660 nm. 462

463 Despite a number of iron oxides being considered as some of the most probable constituents of 464 meteoric smoke, maghemite particles have not previously been investigated due to a lack of RIs 465 available in the literature. Note that the production of maghemite-like particles in the laboratory 466 using very different experimental conditions demonstrates the potential importance of this species 467 in the atmosphere. Mesospheric metal chemistry leads to the formation of gas-phase precursors to 468 MSPs such as iron oxides and hydroxides. The particle production method used in the PAFS 469 mimics this: UV photolysis of Fe(CO)₅ leads to the formation of gas-phase Fe, which reacts with 470 O₃ present in the system to form oxides such as FeO, FeO₂ and FeO₃. Particles were then allowed to freely agglomerate in the presence of O_2 and O_3 – as they would in the atmosphere. Since the 471 472 two experiments use a different iron precursors ($Fe(CO)_5$ and $Fe(C_2H_5)_2$), the choice of precursor does not appear to be a significant factor affecting the composition of particles formed. The PAFS 473 474 operates at standard atmospheric pressure, and the MICE-TRAPS particles are produced at a much 475 lower pressure of ~60 mbar. Although still higher than in the upper mesosphere, the formation of 476 similar particles in the two experiments suggests that pressure does not significantly change the particle properties. Lastly, in the PAFS experiments the $O_3:O_2$ ratio used is $\sim 10^3 \times$ higher than in 477 478 the atmosphere. However, the particles in the MICE-TRAPS apparatus are produced in the 479 presence of O₂ only, and still form maghemite-like particles. As mentioned in the Introduction, the 480 most likely candidates for smoke particles are iron oxides and silicates, but it is not known whether these occur in a single phase or separate distinct phases. For this reason, there is a need for further 481 482 studies on the optical properties of maghemite.

483 The present study also demonstrates that the RDG approximation is more appropriate than Mie

484 theory to model the optical properties of fractal-like MSPs, since Mie theory over-predicts the 485 optical extinction by at least an order of magnitude across the wavelength range studied. This

486 supports the earlier work of Saunders et al. (2007) and is important since current studies with the

487 SOFIE satellite calculate MSP extinction using Mie theory for a distribution of spherical

- 488 particles (Hervig et al., 2017). Nevertheless, the fact that the derived complex RIs generated
- 489 good fits to both the absorption and extinction produced by crystalline and amorphous particles
- 490 in the MICE-TRAPS and PAFS experiments, respectively, lends confidence to the idea that it is
- 491 appropriate to use the RIs for bulk (crystalline) species to represent amorphous MSPs for the
- 492 purposes of their characterisation.

493 Though the complex RIs derived for the particles do not represent a unique solution to the 494 wavelength dependence across the wavelength range studied, they provide good fits to both the 495 experimental extinction and absorption in the two experiments. As such, since the important 496 parameter for MSP characterization in the atmosphere is the particle extinction, these RIs should 497 be applicable across this wavelength range (using different combinations of best-fit RIs incurs an 498 error of < 0.4 % in the particle extinction at 405, 488 and 660 nm). However, in order for these 499 RIs to be used with data from the SOFIE satellite, the wavelength range would need to be extended further into both ultra-violet and infra-red wavelengths. With the current data, although 500 extrapolation to a wavelength of 330 nm may be feasible, it is not possible to extrapolate to the 501 502 other wavelengths currently used for SOFIE analysis (867 and 1037 nm); the difference in 503 wavelength is too great, given the unpredictable variation in RIs usually observed across wide 504 wavelength ranges. Nevertheless, the RIs could be used in global climate models to probe the 505 optical properties of meteoric smoke and make comparisons to observations.

507 Data availability

- 508 The refractive index data and Fe(CO)₅ absorption spectrum are archived at the Leeds University
- 509 PETAL (PetaByte Environmental Tape Archive and Library;
- 510 http://www.see.leeds.ac.uk/business-and-consultation/facilities/petabyte-environmental-tape-
- 511 archiveand-library-petal/) and are available upon request to JMCP.

512 Author contribution

- 513 The PAFS experiments were designed by TA, AJ and JP, and carried out by TA, who also
- 514 performed the data analysis. The photochemical model was designed and written by TA, based
- on code written by JB. The MICE/TRAPS experiments were designed by MN, DD and TL.
- 516 Experiments were carried out by MN and TA. MN performed the data analysis. TA prepared the
- 517 manuscript with contributions from all co-authors. DD, JP and TL supervised the project.

518 **Competing interests**

519 There are no competing interests.

520 Acknowledgements

- 521 This work was supported by the UK National Environment Research Council (NERC). TA has a
- 522 research studentship funded by the NERC SPHERES doctoral training program, which included
- 523 funding for a research placement at the Karlsruhe Institute of Technology (KIT), Institute of
- 524 Meteorology and Climate Research. We would also like to thank Andy Brown at the Leeds
- 525 Electron Microscopy and Spectroscopy Centre (LEMAS) for his invaluable help with the TEM,
- 526 EDX and EELS analysis, and for providing data for the iron oxide standards. We thank Prof.
- 527 Dwayne Heard for the loan of the SMPS instrument.
- 528

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