



1 **Evolution of Anthropogenic Air Pollutant Emissions in**

Guangdong Province, China, from 2006 to 2015

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27 Abstract

28	Guangdong province (GD), one of the most prosperous and populous regions in China,
29	still experiences haze events and growing ozone pollution, although it has seen
30	substantial air quality improvement in recent years. Co-control of fine particulate matter
31	$\left(PM_{2.5}\right)$ and ozone in GD calls for a systematic review of historical emission patterns.
32	In this study, emission trends, spatial variations, source-contribution variations, and
33	reduction potentials of sulfur dioxide (SO ₂), nitrogen oxides (NO _X), PM _{2.5} , inhalable
34	particles (PM10), carbon monoxide (CO), ammonia (NH3), and volatile organic
35	compounds (VOCs) in GD from 2006 to 2015 are revealed using a dynamic
36	methodology, taking into account economic development, technology penetration, and
37	emission controls. The relative change rates of anthropogenic emissions in GD during
38	2006-2015 were -48% for SO2, -0.5% for NOx, -16% for PM_{2.5}, -22% for PM_{10}, 13%
39	for CO, 3% for NH ₃ , and 13% for VOCs. The declines of SO ₂ , NOx, PM _{2.5} , and PM ₁₀
40	emissions are mainly attributed to the control-driven emission reductions in the Pearl
41	River Delta (PRD) region, especially from power plants, industrial combustion, on-road
42	mobile sources, and fugitive dust, and partly to the shift of industries from the PRD to
43	the non-PRD (NPRD) region in GD. NPRD also contributed to part of the emission
44	decline, but it was only effective until 2011 when GD's Clean Air Action of 12th Five-
45	Year was implemented. Due to the growth of solvent use and the absence of effective
46	control measures, VOC emissions in PRD and NPRD both steadily increased, and this
47	might be one of the reasons that led to the slight upward trends of ozone concentrations
48	in GD. To further reduce emissions, future work should focus on power plants and
49	industrial combustion in GD and industrial process source in NPRD for emissions of
50	SO_2 , NO_X , and particulate matter, and on solvent use and on-road mobile sources for
51	VOC emissions. This study provides solid scientific support for further air quality
52	improvement in GD. In addition, it provides robust data to quantify the impact of
53	emission and meteorology variations on air quality and unveil the primary cause of
54	significant air quality change in the PRD region in recent decade.
55	Keywords: emission trends; source contribution; Guangdong Province; emission

56 reductions





57 Introduction

58 China, the world's most prolific emitter of anthropogenic air pollutants, has been 59 working on ways to curb the deterioration of its air quality in recent decades. After the launch of the "Clean Air Action Plan" (CAAP) in 2013, China has seen a dramatic 60 reduction in emissions, mainly driven by control measures in power plants and 61 industrial sources. In 2017, the Ministry of Environmental Protection declared that 62 China had achieved the desired targets of CAAP. Average fine particulate matter ($PM_{2,5}$) 63 concentrations fell 35% in 74 cities across China from 2013 to 2017 (Zheng et al., 2017). 64 China's Guangdong Province (GD), which is one of the most prosperous and populous 65 provinces in China (Fig. S1), is one of the regions that has experienced significant air 66 67 quality improvement in recent years. Particularly the Pearl River Delta (PRD) region, 68 known as the hub of the "World Factory," is the first region to meet China's national 35 $\mu g/m^3 PM_{2.5}$ standard for three consecutive years (34 $\mu g/m^3$ in 2015, 32 $\mu g/m^3$ in 2016) 69 and 34 μ g/m³ in 2017). 70

However, air pollution in GD is still a major concern. First, the annual PM2.5 levels 71 still far exceed stricter air-quality standards, such as the WHO IT-2 (25 μ g/m³). Also, 72 haze events frequently occur in winter (Tao et al., 2017). Second, the ambient ozone 73 concentrations have been growing in recent years, a phenomenon also observed in 74 northern China. The 90th percentile of the maximum 8-hour average ozone 75 concentration (90%-8h-ozone) in the PRD region was 165 µg/m³ in 2017, 14% and 9% 76 77 higher, respectively, than those in 2015 and 2016 (GDEMC, EPDHK, EPBMC, 2018). Further mitigation of air pollution in GD calls for a systematic review of historical 78

79 emissions, which could help policymakers understand the evolution of emissions, quantify the cuts in emissions that have been achieved by control measures, and identify 80 those sources with the greatest potential for large future emission reductions (Gurjar et 81 82 al., 2004; Ohara et al., 2007; Zhong et al., 2013). This is particularly important for those regions that have less potential for further emission reduction as control measures 83 84 tighten. In addition, it is essential to achieve a long-term emission inventory to reveal 85 the main causes of changes in air quality, a step that is controversial at present. For 86 instance, Lin et al. (2018) suggested that emission controls helped to improve local air 87 quality in the PRD region, since there was a high consistency of ambient PM2.5 concentrations and emissions in that region. However, Mao et al. (2018) argued that 88 meteorological and climate conditions rather than PM emissions are in control of the 89 90 interannual variabilities and trends of winter haze days in PRD based on an observationbased approach. Using atmospheric chemical transport models (CTMs) and long-term 91





92 emission data, the impacts of emission changes and control measures on air quality can
93 be quantified. Coupled with other models, the corresponding impacts on climate change
94 and population exposure can also be assessed. All this information is crucial to guiding
95 future air-quality management and formulating robust air-quality policies.

Guangdong province was one of the first areas in China to compile emission 96 inventories (Zhong et al., 2013). The local government has published PRD regional 97 emission inventories for the base years of 1997, 2001, and 2003 using a top-down 98 approach to support air-quality management. Zheng et al. (2009) developed the first 99 high-resolution emission inventory for the PRD region in 2006. This inventory included 100 six major categories and seven pollutants. Subsequently, emission inventories for black 101 carbon (BC), organic carbon (OC), ammonia (NH₃), and biogenic volatile organic 102 103 compounds (VOCs) in PRD were developed for different base years (Yu et al., 2011; Yin et al., 2015; Li et al., 2016). Huang et al. (2015) expanded the 2006-based emission 104 inventories in PRD to provincial inventories in GD. Pan et al. (2015) updated the GD 105 emission inventories by advancing the base year to 2010 and including additional 106 107 emission sources. More recently, Zhong et al. (2018) updated the 2012-based GD 108 emission inventories by source classification, emission methods, emission factors, and 109 spatial-temporal surrogates. Regarding the emission trend, Lu et al. (2013) characterized anthropogenic emission trends and their variations in the PRD region 110 from 2000 to 2009. Liu et al. (2017) developed long-term vehicle emissions in GD from 111 112 1994 to 2014.

113 One limitation of the above-mentioned studies is that most of them were carried out in a single year or in a limited region, or considering limited sources, so that they 114 vary in methodology and source classification. Furthermore, most of these studies 115 focused mainly on the PRD region due its notable economic growth and urbanization, 116 but ignored the NPRD region that generally had less emissions. However, due to the 117 118 strengthened emission controls in PRD and the shift of industries that are energy intensive, or highly polluting, or have excess production capacity from the PRD region 119 to non-PRD (NPRD) areas (Chun, 2012; Yin et al., 2017) (Fig. S2), emissions in the 120 PRD and NPRD regions might have experienced substantial changes in recent years. 121 122 Therefore, there is a need to develop a long-term historical emission inventory in GD 123 using a consistent methodology and the same underlying driver data to fill the data gaps 124 and to assist with future air pollution control measures.

In this study, we developed a multi-year anthropogenic emission inventory for SO₂,
 NO_X, PM₁₀, PM_{2.5}, CO, VOCs, and NH₃ for the years from 2006 to 2015 using a
 dynamic methodology that considers economic development, technological penetration,





and emission controls. The emission trends were validated by ground-based 128 measurements and satellite observations. Based on the long-term historical inventory, 129 130 the emission changes, contribution variations, possible causes and reduction potentials in 2020 in PRD and NPRD were analyzed and compared, which could provide scientific 131 evidence for future air quality regulations in GD. Also, the long-term emission 132 inventories developed in this study are essential data to evaluate the effectiveness of 133 emission control measures and identify the dominant cause of significant air quality 134 change in the PRD region. 135 136

1 Methodology and data 137

1.1 Methods for emission estimations 138

In this study, we applied a dynamic technology-based methodology that considers 139 economic development, technological penetration, and emission controls to estimate 140 the anthropogenic emission trends in GD, following previous studies on emission trends 141 (Streets et al., 2006; Zhang et al., 2007; Lu et al., 2013). We estimated emissions of 7 142 143 pollutants (SO₂, NO_X, PM₁₀, PM_{2.5}, VOCs, CO, and NH₃) from 13 major categories and 70 sub-categories based on Pan et al. (2015) and the guidelines for the development of 144 an air-pollutant emission inventory for Chinese cities (MEPC, 2017). The major 145 categories include power plants, industrial combustion, residential combustion, on-road 146 147 mobile, non-road mobile, dust sources, industrial processes, industrial solvent use, non-148 industrial solvent use, storage and transportation, agricultural sources, biomass burning, and other sources (Table S1). Except for on-road mobile and construction dust sources, 149 emissions of most anthropogenic sources were calculated as follows: 150

151
$$E_{i,n} = \sum_{i,j,k} A_{i,j,k,n} \sum_{m} (X_{i,j,k,m,n} EF_{j,k,m,n}) \sum_{z} [C_{z,n}(1-\eta_z)]$$
(1)

152 where *i*, *j*, *k*, *m*, *n*, and *z* represent the city, the emission source, the type of fuel or product, the production technology, the year, and the control technology, respectively, 153 154 A represents the activity level (such as the fuel consumption or material production), X 155 represents the percentage of fuel or production for a sector consumed or produced by a specific technology *m*, *EF* is the unabated emission factor, $EF \sum_{z} [C_{z}(1 - \eta_{z})]$ is the 156 157 net EF after applying control technology, C is the penetration of the control technology z, η is the removal efficiency of the control technology z, and S and SR represent the 158 sulfur content in fuel and the sulfur retention in ash, respectively. For fuel combustion, 159 160 the EF of SO₂ was estimated using the following equation: 16

$$EF = 2 \times S \times (1 - SR) \tag{2}$$





For on-road mobile sources and construction dust, emissions were estimated by
Eqs. (3) and (4), respectively. The methods from previous studies employed for the
other emission sources are listed in detail in Table S2 in the Supplementary Material
(SI).

166
$$E_{i,n} = \sum_{i,j} (P_{i,j,n} \times VKT_{i,j,n} \times EF_{j,n})$$
(3)

$$E_{i,n} = \sum_{i} (S_{i,n} \times T_{i,n} \times EF_{i,n})$$
(4)

where *i*, *j*, and *n* represent the city, the vehicle type, and the year, respectively, *P* is the vehicle population, and *VKT* is annual average vehicle kilometers traveled. *i* and *n* represent the city and the year, respectively. *S* is the construction area, and *T* is the construction cycle.

The annual emission mainly depends on activity data, emission factors, and removal efficiencies of emission controls. Therefore, an accurate representation of the annual change of activity data and emission factors is critical for characterizing the emission trend. Here, we provided a detailed description of activity data and emission factors applied in this study.

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178 **1.1.1 Activity data**

The estimation of a multiyear emission inventory is complicated since it requires 179 180 consistent and accurate activity data and EFs (Zhang et al., 2007). Most of the activity 181 data during 2006-2015 in this study were obtained from officially released statistics or relevant reports. Either surrogate data or data interpolation was used to fill in the data 182 for some sources that lack continuous and consistent long-term activity data. Notably, 183 the activity data that specifies an individual industry or power plant, defined as point 184 data, were preferentially used, since these data generally have detailed information on 185 the location, technical level and control measures. Otherwise, activity data at the city 186 level, known as areal data, were adopted as a second choice. In this study, for power 187 plants and industrial combustion we used a combination of point data and areal data to 188 characterize the activity level, while the other sources all relied on areal data. The 189 190 detailed data sources are summarized in Table S2. Here, we describe the processing of 191 activity data for some major sources, e.g., industrial combustion, construction dust, 192 marine and on-road mobile sources.

For industrial combustion, we used the total consumption of different energy types during 2006-2015 from the GD Statistical Yearbook (GDPBS, 2007-2016) to represent the activity level of each city. Also, we used a detailed dataset from GD pollutant





196 statistical reports to estimate consumption value of different energy types, the averaged sulfur contents, and removal efficiencies of industrial combustion in each city. This 197 198 dataset, which records the annual fuel consumption, sulfur contents, control devices, 199 removal efficiencies, product output, and the geographic location of each plant, contains about 85% of the plants in GD and covers the years of 2006, 2010, 2012, 2014, 200 and 2015. For the years that lack a detailed dataset, the averaged sulfur contents and 201 removal efficiencies were estimated by linear interpolation and emission control policy. 202 For construction dust sources, we used the total annual construction area and 203 construction cycle time to represent the activity level. The construction area data were 204 derived from the GD city statistical yearbook (GDPCSY, 2007-2016), and the 205 construction cycle time was determined by the time requirement for different 206 construction phases, i.e., earthwork excavation, foundations, earthwork backfill, and 207 208 general construction. Considering the effect of rainfall in suppressing dust sources, we revised the construction cycle time by combining our previous study (Yang, 2014) with 209 rainfall information for each year obtained from the GD Meteorological Service 210 (GDMS, 2007-2016). 211

Regarding marine sources, the characterization of activity level was based on 212 heavy and diesel fuel consumption. However, it is challenging to acquire detailed 213 consumption of various fuel types directly. Thus, we used the method described in Li 214 et al. (2017) to convert the cargo volumes and transport distances of major navigation 215 216 routes into fuel consumption data via fuel consumption rates. Fuel consumption rates 217 were taken from the IMO report (IMO, 2009). The cargo volumes in each city were obtained from GD Statistical Yearbooks (GDPBS, 2007-2016). Transport distances of 218 major navigation route data were measured by the historical AIS-based digital map. 219

220 For on-road mobile sources, population data of different vehicle types (i.e., passenger trucks, buses, taxis, and motorcycles), the gross weight (heavy and light duty) 221 from the statistical yearbooks, and annual average vehicle kilometers traveled from a 222 field survey of some cities in GD were used to characterize the activity level. We further 223 224 differentiated diesel and gasoline vehicles to obtain a more accurate estimate, but this information was not available from the official statistics. Therefore, we distinguished 225 226 the vehicle population based on test results regarding the vehicular ratios of fuel use in 227 GD (Che et al., 2009) following a method in our previous study (Lu et al., 2013).

228

229 1.1.2 Emission factors (EFs)

EFs could have changed with the implementation of emission controls in GD during 2006-2015 (Table S3), which involve technological penetration and evolution.





To deal with that possibility, we developed a dynamic method to reflect the response of 232 EFs to control measures and technological penetration. First, we established the 233 234 unabated EF of each source to represent what the emission level would have been without any treatment. The unabated EFs for most emission sources of various 235 pollutants (i.e., NO_X, PM₁₀, PM_{2.5}, VOCs, CO, and NH₃) used in this study are listed in 236 Tables S4-9, and are based mainly on the latest research results and values 237 recommended in related manuals of air-pollutant emission coefficients. Next, we 238 estimated the net EFs of each source according to the corresponding processing 239 technologies, control technologies, and removal efficiencies that might vary with years. 240 241 In this study, we applied the dynamic method to all emission sources. In the following subsection, we mainly describe their application to major sources that have received 242 243 intensive control measures in the past decade: including power plants, industrial 244 combustion, VOCs-related sources, and on-road mobile sources.

The net EFs of SO₂ for industries and power plants in GD were determined based 245 on removal efficiencies and fuel sulfur content. The annual removal efficiencies and 246 sulfur content in 2006, 2010, 2012, 2014, and 2015 were obtained from GD pollutant 247 statistical reports (GDPSR, 2006, 2010, 2012, 2014, 2015) for industries; those 248 parameters for power plants in 2006-2014 were obtained from power-plant reports 249 (CSPG, 2006-2014). For years without these documented data, an interpolation method 250 that considers newly released regulations of SO2 emission controls and expert judgment 251 252 were used to estimate the removal efficiency and sulfur contents (2007-2009, 2011, and 253 2013 for industries and 2015 for power plants). For instance, the sulfur content of coal and oil in industrial sources can be estimated as <0.7% and 0.8%, respectively, 254 255 according to the Guangdong industrial boiler pollution remediation program (2012-2015) released in 2012. 256

For VOCs-related sources, such as industrial solvent use, non-industrial solvent 257 use, and industrial process sources, the net EFs of VOCs were determined based on the 258 installation rate of VOC control technologies and the removal efficiencies, which were 259 acquired by an on-site investigation of VOCs-related industries in GD. Additionally, 260 261 the new VOC emission standards were also used to determine in which year VOC 262 control technologies were implemented. For example, emission standards for furniture surface coating and shoemaking were implemented in 2010 (Emission standard of 263 volatile organic compounds for furniture manufacturing operations (DB44/814-2010), 264 Emission standard of volatile organic compounds for shoe-making industry 265 (DB44/817-2010)). Thus, we estimated the net VOC EFs for furniture surface coating 266 and shoemaking with the consideration of VOC removal efficacies since 2010. For the 267





vehicular EFs, we used the same method employed in our previous study (Lu et al.,
2013). The vehicular EFs were calculated based on the 2007 International Vehicle
Emissions (IVE) model (ISSRC, 2008), while the EFs for other years were derived from
2007-based EFs in consideration of emission standards, fuel standards, and vehicle
lifespans.

273

274 **1.2 Data for validation**

To validate the multi-year emissions in GD, we compared the emission trends with 275 satellite-based data. The SO₂ column amount (OMSO2e v003) and the NO₂ 276 tropospheric column (OMNO2d v003) were retrieved from the Ozone Monitoring 277 Instrument (OMI) with a spatial resolution of 0.25°×0.25° (available at 278 279 https://giovanni.gsfc.nasa.gov/giovanni/). Aerosol optical depth (AOD) data were taken 280 from the Moderate-Resolution Imaging Spectroradiometer (MODIS) aerosol product MOD04 with high resolution of 10 281 а km (available at https://ladsweb.modaps.eosdis.nasa.gov/). In addition, ground-level observations 282 obtained from the PRD air-quality monitoring network (GDEMC, EPDHK, EPBMC, 283 2007-2016) were also used to validate emission trends in PRD. The air-quality 284 monitoring network came into operation at the end of 2005 and has provided accurate 285 air quality data to local governments and the public. These data from the PRD air quality 286 monitoring network were adopted because of the high reliability of the operating 287 288 procedures on quality assurance and quality controls.

289 2 Results and discussion

290 **2.1 Overall emission trends**

291 The overall emission trends of SO₂, NO_X, PM₁₀, PM_{2.5}, VOCs, CO, and NH₃ in GD during 2006-2015 are presented in Fig. 1. From 2006 to 2015, anthropogenic 292 emissions decreased by 48% for SO₂, 0.5% for NO_X, 16% for PM_{2.5}, and 22% for PM₁₀, 293 but increased for CO, NH₃, and VOCs, by 13%, 3%, and 33%, respectively. Specifically, 294 295 SO_2 emissions fell steadily during 2006-2015, which might be due to the strict controls on SO₂ emissions implemented in the 11th Five Year Plan (FYP) (2006-2011). NO_X 296 297 emissions overall showed an upward trend in the early period, reaching a peak in 2011. 298 After the implementation of the Planning for Guangdong province environmental protection 299 and ecological construction in 12th FYP (PGGP, 2011) in 2011, in which NO_X emission caps of all industrial sectors were proposed, NO_X emissions decreased, declining by 9% 300 in 2015. The PM₁₀ and PM_{2.5} emissions showed an increasing trend during 2006-2009 301 but then decreased steadily. Similarly, CO emissions showed a small rise during 2006-302





2013, followed by a sharp decline. NH₃ emissions changed a little, while VOC
emissions steadily increased over the 2006-2015 period, mainly fueled by the absence
of effective emission-control measures.

Although emissions of SO₂, NO_X, PM, and CO declined in recent years, the per 306 capita GDP, fuel consumption, and vehicle population in GD, which account for most 307 anthropogenic pollution activity, saw growth from 2006 to 2015, as shown in Fig. 1. 308 From 2006 to 2015, the per capita GDP and vehicle population significantly increased, 309 by 135% and 66%, respectively. Obviously, anthropogenic emissions in GD were 310 decoupling from economic and energy consumption growth. This means that the 311 emission regulations and control measures enacted in GD have alleviated emissions 312 despite the growth of economic activity. For instance, NO_X emissions are closely related 313 314 to fuel consumptions because a large proportion of their emissions are from industries 315 or power plants that consume a great deal of fuel. However, the trends of NO_X emissions and fuel consumption have deviated from each other since 2011 when low NO_X-316 combustion (LNB) control measures and flue gas denitrification technology (i.e., 317 selective catalytic reduction (SCR) and selective non-catalytic reduction (SNCR)) in 318 319 power plants were enacted.

320 We have also compared emission trends in PRD and NPRD (Fig. S3). Since emissions in GD were mainly concentrated in the PRD region, emission trends in PRD 321 were similar to those in GD, except NO_X emissions, which started off steady until 2012 322 323 and then fell slightly. Compared with 2006, the 2015 emissions of SO₂, NO_X, PM_{2.5}, 324 and PM_{10} in PRD decreased by 63%, 14%, 35%, and 27%, respectively, while CO and VOCs emissions increased by 2% and 35%, respectively. In NPRD, SO₂, NO_X, PM_{2.5}, 325 and PM₁₀ emission trends differed from those in PRD. Compared with 2006, the 2015 326 emissions of SO₂, PM_{2.5}, and PM₁₀ in NPRD decreased by only 8%, 8%, and 5%, 327 respectively, but emissions of VOCs, NH₃, CO, and NO_X significantly increased-by 328 329 30%, 10%, 31%, and 29%, respectively. The discrepancy of emission trends between PRD and NPRD was expected. This is because these two regions achieved different 330 331 levels of progress on air quality management in the past decade due to the discrepancy in economic development. Overall, most previous control strategies (Table S3) focused 332 333 mainly on PRD while the NPRD region received little attention. Moreover, initiated by 334 the policies of "vacate the cage and change birds" (in Chinese, Teng Long Huan Niao) 335 initiated by the Guangdong provincial government in 2008 (Li & Fung, 2008; Yang, 2012), many low-tech industries in PRD were relocated to NPRD. Detailed emission 336 337 evolutions and the corresponding causes are discussed in Sec. 2.3.





339 2.2 Validation of emission trends

In this section, we validate emission trends in PRD using ground observations and 340 satellite data (Fig. 2). In general, these two data sources are consistent for emission 341 trends of SO₂, NO_X, and PM₁₀. During 2006-2015, SO₂ emissions in PRD decreased by 342 63% (emission trends in PRD and NPRD are shown in Fig. 2), slightly less than the 68% 343 344 and 86% observed in ground-level and satellite data, respectively. NOx emissions and 345 observations all showed a declining trend during 2006-2015. For PM₁₀ emissions, the declining trend also closely followed the fluctuant downward trend of ambient PM2.5 346 concentrations and AOD. The fluctuations of observations were associated with PM2.5 347 formation influenced by annual variations of meteorology. 348

349 The change in spatial variation of emissions in GD was also evaluated using satellite measurements. Here, the column concentrations of SO₂, NO₂, and AOD for 350 GD in the years 2007, 2011, and 2015 were applied, as illustrated in Fig. 3 (the column 351 concentrations in the remaining years are displayed in Fig. S4). Overall, the spatial 352 patterns of the satellite measurements also reveal the different emission trends between 353 354 PRD and NPRD. For example, SO₂ column concentration in PRD decreased by 71% 355 from 2007 to 2011, but in NPRD increased by 26%. This agrees with the emission trends, in which SO₂ emissions in PRD decreased by 39% while those in NPRD 356 357 increased by 15%. From 2011 to 2015, SO₂ column concentration decreased by 31% and 42% in PRD and NPRD, respectively, and SO₂ emissions decreased by 32% and 358 20% in PRD and NPRD, respectively. From 2007 to 2011, NO₂ column concentration 359 decreased by 16% in PRD but increased by 16% in NPRD. These trends also coincided 360 with emission changes, which NO_X emissions in PRD decreased by 13% but increased 361 by 36% in NPRD. From 2011 to 2015, NO2 column concentration and NO_X emissions 362 363 both decreased in PRD and NPRD. AOD displayed a decrease of approximately 23% 364 in PRD and NPRD from 2007 to 2015. A similar pattern was also found in PM2.5 emission trends, with decreases of 35% in PRD and 9% in NPRD. 365

Overall, the above-mentioned validations using satellite observations and ground
 measurements demonstrate that emission trends of SO₂, NO_X, and PM estimated in this
 study are reliable.

369

2.3 Evolution of source emissions in Guangdong Province

To further understand the evolution of emissions in GD, we estimated the changes of anthropogenic emissions by emission category in PRD and NPRD during 2006-2015. Here, we examine the PRD and NPRD regions separately since these two regions may have experienced diverse emission evolutions due to their different levels of progress





on air-quality management. The annual source contributions during 2006-2015 are also
presented in Fig. 4 (GD) and Fig. S5 (PRD and NPRD).

377

378 **2.3.1 SO**₂

In PRD, SO₂ emissions steadily declined, from 0.788 Tg in 2006 to 0.292 Tg in 379 2015. The decline was dominated by power plants and industrial combustion, which 380 decreased by 0.247 Tg and 0.232 Tg, respectively, in this period (Fig. 5a). This indicates 381 that flue-gas desulfurization, the control of sulfur content of fuels, and boiler renovation 382 of SO₂ emission controls in the 11th FYP were effective. In NPRD, on the contrary, SO₂ 383 emission increased until 2010, when it saw a downturn. Before 2010, SO₂ emission 384 growth was mainly associated with the increase of industrial combustion and non-road 385 386 mobile sources (Fig. 5b). These two sources still maintained a slight rise after 2010, but 387 their increased emissions were offset by a sharp plunge of emissions from power plants, which resulted mainly from tightening desulfurization technology. To reveal the reason 388 for the growth of industrial combustion in NPRD, we compared the fuel-consumption 389 trends from industrial combustion in PRD and NPRD. As shown in Fig. 6, the fuel 390 consumption from industrial combustion in PRD dropped by 47% during 2006-2015. 391 By contrast, in NPRD, it increased by 99%, mainly after 2010. This might be closely 392 associated with the policy of "vacate the cage and change birds" that brought many 393 energy-intensive industries from PRD to NPRD. 394

395 The source contribution of SO₂ emission in GD also changed (Fig.5a). In GD, the 396 contribution of power plants dropped from 43% to 27%. By contrast, the contribution of industrial combustion remained stable, in the range of 36% to 41%. Therefore, 397 industrial combustion took over from power plants to became the largest SO₂ emission 398 399 source in GD. The PRD and NPRD regions also had similar changes (Fig. S5a-b). Note that the contribution of non-road mobile sources to SO₂ emissions increased both in 400 PRD (from 13% to 29%) and NPRD (from 8% to 17%), due to the absence of effective 401 emission control measures. Therefore, future work should be focused on non-road 402 403 mobile source to further reduce SO₂ emissions in GD. In addition, in NPRD, industrial combustion also might have potential for reduction, since its contribution slightly 404 405 increased, from 5% to 11%.

406

407 **2.3.2 NO**_X

408 As with SO₂ emissions, the evolution of NO_X emissions also differed between 409 PRD and NPRD. In PRD, NO_X emissions dropped overall from 0.926 Tg in 2006 to 410 0.797 Tg in 2015, especially after 2012 when NO_X emissions saw a noticeable





downturn (Fig. 5c). Power plants were the principal sources leading to emission 411 reduction. In response to the explicit target of reducing NO_X emissions in the 12th FYP 412 413 (2011-2015), a large number of power plants had installed NO_X removal equipment 414 since 2011. Thus, in 2015, NO_X emissions from power plants were 0.214 Tg less than in 2006. However, a part of the NO_x emission reductions from power plants was still 415 canceled out by the growth in emission from non-road mobile sources, an increase of 416 0.452 Tg; and on-road mobile sources, with a slight increase of 0.012 Tg. Recent control 417 measures have focused on vehicle exhaust, but it is unlikely to offset the increasing 418 vehicle population in PRD, which increased by 88% during 2006-2015. Consequently, 419 420 on-road mobile sources (growing from 32% in 2006 to 38% in 2015) overtook power plants (growing from 43% to 23%) as the largest contributor to NO_X emissions in PRD 421 422 since 2010 (Fig. S5c).

423 Unlike in PRD, NO_x emissions in NPRD rebounded in 2008 and grew sharply until 2012. The emission changes were mainly from industrial combustion, non-road 424 mobile sources, and power plants (Fig. 5d). Among these three sources, NO_X emissions 425 from industrial combustion and non-road mobile sources both showed an upward trend, 426 increasing by 159% and 84%, respectively, during 2006-2015. These trends can be 427 explained by the shift of energy-intensive industries from PRD to NPRD and the 428 absence of catch-up emission controls for industries and non-road mobile sources. 429 Since 2011, possibly due to the implementation of denitrification technology, NO_X 430 431 emissions from power plants steadily went down and offset the slight increase in 432 emissions from industrial combustion and non-road mobile sources. Consequently, the contribution of power plants to the total NOx emissions in NPRD, which was once a 433 large contributor, decreased from 44% in 2006 to 28% in 2015. By contrast, 434 contributions of industrial combustion and non-road mobile sources increased from 14% 435 to 29% and from 16% to 23%, respectively (Fig. S5d). 436

On-road mobile was also a major contributor to NO_X emissions in GD (Fig. 5b). 437 Although the total NO_x emissions of on-road mobile sources changed slightly in 2006-438 2015, their sectoral contribution showed significant change, especially in PRD (Fig. 439 S5c). Here, we further analyze the trends of NO_X emissions from on-road mobile 440 441 sources and the vehicle population from 2006 to 2015 for PRD (Fig. 7a) and NPRD 442 (Fig. 7b). Overall, on-road mobile NOx emissions in PRD were approximately three 443 times higher than those in NPRD, but their trends were similar. In both PRD and NPRD, NO_X emissions from heavy-duty diesel truck (HDDT) slightly decreased although the 444 HDDT population showed growth before 2014. Apparently, this was closely related to 445 improvement in vehicular emission and fuel standards. NO_X emissions from heavy-446





447 duty diesel vehicle (HDDV) also dropped, partly due to reduced HDDV population. 448 Unlike the HDDT and HDDV, the population of light-duty gasoline vehicle (LDGV) 449 increased by a factor of 5 and 6 in PRD and NPRD, respectively. The upsurge of the 450 LDGV population outpaced the new standards on vehicle emission enacted since 2009 451 (PGGP, 2009), leading to growth of NO_X emissions from LGDV. Consequently, the 452 contribution of LGDV to NO_X emissions in PRD surged from 12% in 2006 to 34% in 453 2015.

Based on the above analysis, it can be concluded that the NO_X emission trend in 454 GD is dominated by the decline of power plants in PRD and the increase of non-road 455 mobile source and industrial combustion in PRD and NPRD. Particularly, the 456 contribution of non-road mobile source and industrial combustion to NOx emissions in 457 458 GD increased from 13% in 2006 to 23% in 2015 and from 12% to 20%, respectively 459 (Fig. 4b), indicating that these two sources should receive more attention in future emission-control measures, especially industrial combustion in NPRD. Regarding on-460 road mobile sources, the largest contributor to NOx emissions in PRD, LDGVs, should 461 require more attention in the future. 462

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464 2.3.3 PM₁₀/PM_{2.5}

As shown in Fig. 4c-d, the main sectoral changes between PM₁₀ and PM_{2.5} 465 emission were somewhat similar to each other. Therefore, we focused mainly on the 466 467 analysis of PM₁₀ emissions. PRD and NPRD showed similar PM₁₀ emission variations regarding trends and source contributions. They both topped out in 2009 and then 468 decreased monotonically. Compared with 2006, PM_{10} emissions in 2015 dropped by 469 27% and 6% in PRD and NPRD, respectively. Dust sources, power plants, and 470 industrial process sources were the major contributors to the change of PM_{10} emissions 471 in PRD and NPRD. However, emission trends of these three sources in PRD and NPRD 472 were slightly different, particularly the industrial processes. In PRD, PM_{10} emissions 473 from industrial processes steadily declined after 2010, while in NPRD, PM₁₀ emissions 474 from industrial processes kept an upward trend during 2006-2015. One possible reason 475 476 for the difference is that control measures for PM₁₀ emissions in PRD were stricter than 477 those in NPRD. In PRD and NPRD, fugitive dust increased during 2006-2010 and showed a decrease during 2011-2015. The downturn in the later years was due to the 478 implementation of emission control technologies of dust sources in response to the 479 release of the clean air action plan for the Pearl River Delta in Guangdong province in 480 481 2010.

As emissions from industrial processes, dust sources, and power plants changed

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dramatically, the major sources contributed to PM2.5 and PM10 emissions also changed 483 accordingly (Fig. 4c-d, S5g-j). For PM2.5 emissions in GD, contributions from dust 484 485 sources and power plants declined slightly, from 17% in 2006 to 11% in 2015, and from 12% to 7%, respectively. The contribution from industrial processes, the largest 486 contributor, also slightly decreased from 38% to 33%. For PM₁₀ emissions in GD, the 487 contribution of industrial processes increased, from 28% to 34%. Particularly in NPRD, 488 it replaced dust sources as the largest contributor since 2012. In PRD, fugitive dust was 489 still the largest PM_{10} emission source. Based on the above-mentioned analysis, PM 490 emission controls for dust sources, especially in PRD, and for industrial processes, 491 especially in NPRD, should be a priority of the agenda in the next stage of emission 492 controls. Also, PM2.5 emissions from cooking cannot be neglected in GD; this is because 493 494 the removal of cooking oil fumes from homes and restaurants was not strictly enforced, 495 although some regulations and emission standards regarding cooking emissions were enacted gradually. 496

497

498 2.3.4 VOCs

499 As shown in Fig. 5i-j, the sectoral changes of VOC emissions in PRD and NPRD were similar. The total emissions in these two regions both showed a rising trend during 500 2006-2015, increasing by 35% and 30% in PRD and NPRD, respectively. The steady 501 increase mainly originated from the growth of industrial-solvent use and non-industrial 502 503 solvent use, whose emissions in GD respectively increased by 99% and 69% during 504 2006-2015 (Fig. 4e). Industrial solvent use was a large increasing source, especially in PRD where most industrial sources are concentrated. This is expected because solvent 505 use required by industrial sources was growing, but control measures were insufficient. 506 Several VOC control technologies had been adopted since 2010. For instance, the use 507 of low VOC-containing raw materials for printing, shoemaking, furniture 508 509 manufacturing, and other industries was first proposed at the clean air action plan for the Pearl River Delta in Guangdong province in 2010. Although these measures slowed an 510 511 increasing trend of VOC emissions in PRD (VOC emissions from industrial solvent use in PRD increased by 18% during 2006-2010 while they increased by 6% during 2011-512 2015 (Fig. S6)), the control efficiencies were still low. According to a field survey, only 513 514 40% of VOC-emitting industries had removal equipment in 2014 (Wang et al., 2018). The increasing VOC emissions from industrial-solvent use made it become the largest 515 contributor to VOC emissions in GD in 2015 (Fig. 4e), with a percentage of 32%. 516 517 Therefore, the implementation of policy and upgrade of control technologies are still required to reduce VOC emissions, especially in PRD. In NPRD, non-industrial solvent 518





use was also a major contributor to the increase of VOC emissions. In particular, it
became the largest contributor to VOC emissions in NPRD in 2015, with a percentage
of 22%, slightly larger than on-road mobile sources (21%) (Fig. S5j).

522 Since on-road mobile sources were also a major contributor to VOC emissions, the evolution of their VOC emissions is also discussed here (Fig. 8). In both PRD and 523 NPRD, VOC emissions from motorcycles, the largest contributors to VOC emissions 524 from on-road mobile, went down in the past decade due to the relatively strict ban on 525 motorcycles. They decreased by 55% and 38% in PRD and NPRD, respectively. By 526 contrast, VOC emissions from LDGV increased by 118% and 197% in PRD and NPRD, 527 respectively, likely due to the upsurge of the LDGV vehicle population. Particularly in 528 PRD, LDGV's became the largest contributor to vehicle-related VOC emissions since 529 530 2008, which might also happen in NPRD according to the current trend.

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532 2.3.5 CO/NH₃

As shown in Fig. 5k-1, CO emissions in PRD and NPRD both increased steadily 533 during 2006-2013, and then decreased after 2013. However, the sectoral changes were 534 different in these two regions. In PRD, the growth of CO emissions during 2006-2013 535 is mainly attributed to industrial combustion and on-road mobile sources, while in 536 NPRD, it is associated principally with industrial combustion and industrial processes. 537 The difference exists because on-road mobile sources were primarily concentrated in 538 539 PRD while iron and steel sectors, the largest CO emitters among industrial process 540 sources, were located mainly in NPRD. Notably, production of the iron and steel sectors soared during 2006-2015, increasing by almost 95% in GD (GDPBS, 2007-2016), but 541 emission controls fell behind. As to the decline of CO emissions during 2013-2015 in 542 PRD, on-road mobile was the major reason. By contrast, the slight downturn in NPRD 543 was mostly due to declining emissions from on-road mobile sources and biomass 544 burning. All these sectoral changes made industrial combustion (35% in 2015) become 545 the largest contributor to CO emissions in GD (Fig. 4f). In NPRD, the contribution of 546 industrial process sources also increased. In contrast, the contribution of on-road mobile 547 to CO emissions in NPRD decreased by 19% in 2015 compared with that in 2006. 548

As shown in Fig. 4g, agricultural sources constituted most to the change of NH₃ emissions, as they accounted for 86%-87% of the total NH₃ emissions in GD. However, their annual changes were different in PRD and NPRD (Fig. 5m-n). In NPRD, NH₃ emissions by agricultural sources increased by 11% during 2006-2015, partly as the result of growth of fertilizing and livestock to meet the increasing demand for food. Another reason was the absence of effective emission controls on agricultural sources





in China. By contrast, in PRD, NH₃ emissions by agricultural sources remained stable.

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557 2.4 Evaluation of emission control measures and policy 558 implications

Emission changes can be divided into two categories: (1) changes resulting from 559 change of activity level (activity-driven emission) in the absence of control measures 560 and (2) changes due to the implementation of pollution controls (control-driven 561 emission reduction) (Zheng et al., 2018). In this study, these two categories of emission 562 changes were quantified to help evaluate the efficiencies of the control measures enacted 563 in GD and to provide implications for future policies. We estimated the unabated 564 emissions if pollution control had been frozen at the 2006 level. In other words, we 565 566 assumed that there were no new control measures adopted since 2006. Then the control-567 driven emission reduction was estimated by comparing the unabated emissions and the actual emissions, and the activity-driven emission was estimated by calculating the 568 annual changes of unabated emissions. Also, we projected the actual emission to 2020 569 to help understand the potential for more emission control. Here, the planned emission 570 controls before 2020 were assumed to be completely implemented in 2020. These 571 related regulations for SO₂, NO_X, PM₁₀, and VOC emission controls are summarized in 572 Table S3. The control-driven and activity-driven emissions of SO₂, NO_X, PM₁₀, and 573 VOCs in 2007, 2009, 2011, 2013, and 2015 in addition to the predicted emissions in 574 2020 in PRD and NPRD are presented in Fig. 9. 575

576 **2.4.1 SO**₂

During 2007-2011, the decline of SO₂ emissions in PRD was driven by emission 577 controls. The control-driven SO₂ emission reductions in PRD dramatically grew from 578 579 0.120 Tg in 2007 to 0.568 Tg in 2011 (Fig. 9a), mainly attributable to stringent SO_2 emission control regulations on industrial combustion and power plants-e.g., shutting 580 down small coal-fired thermal power units, phasing out small boilers, installing flue-581 gas desulphurization (FDG) equipment and limiting the sulfur contents of fuel. SO2 582 583 emission reductions from industrial combustion and power plants account for 57%-75% and 25%-37% of the total emission reductions, respectively. The activity-driven 584 585 emissions increased by 0.178 Tg during 2007-2011, but their increments were far less than the control-driven emission reductions. The control-driven emission reductions 586 flattened out in recent years. During 2013-2015, the control-driven emission reductions 587 only increased by 4%, which owes much to the effectiveness of SO₂ emission controls 588 589 in earlier years and the shrinking of control measures in recent years. Nonetheless, SO₂ emissions in PRD still steadily declined, partly due to the decrease of activity-driven 590





emissions. By contrast, activity-driven emissions in NPRD kept rising, which might be 591 associated with the transfer of energy-intensive industries from PRD to NPRD (Fig. 592 593 9b). Even so, the control-driven emission reductions dramatically increased and 594 outweighed the activity-driven emissions since 2011, when stricter control measures were implemented. Similar to the situation in PRD, pollution control-driven emission 595 reductions in NPRD were mainly attributed to industrial combustion and power plants. 596 597 Although SO₂ emissions dramatically decreased since 2006, there is potential for further reduction in 2020. On the basis of control-driven emission reductions in 2015, 598 SO₂ emission reduction potentials in PRD and NPRD in 2020 are projected to be 0.10 599 Tg (34% of the total SO₂ emissions in 2015) and 0.29 Tg (approximately equal to the 600 total SO₂ emissions in 2015), respectively. These reductions can be achieved by 601 602 technical innovations, including ultra-low-emission measures in power plants, a series 603 of actions regarding boiler management, sulfur content controls in fuels, and flue-gas desulfurization in industries. Most of these emission reductions are from industrial 604 combustion and power plants. Particularly for NPRD, 60% of the reductions can come 605 from industrial combustion, more than that in PRD. This is because SO₂ removal 606 efficiencies in industries are still low in NPRD. SO2 emission contribution from non-607 road mobile sources in GD previously presented an increasing trend (Fig. 4a). This 608 reminds us that non-road mobile sources still have a high potential for SO₂ emission 609 reduction. In PRD, it could account for approximately 20% of the total SO₂ emission 610 611 reductions in 2020. Thus, future measures should be focused on industrial combustion 612 and non-road mobile sources for controlling SO₂ and NO_X emissions (NO_X also presented a similar result in the subsequent analysis). 613 614

615 2.4.2 NO_X

In PRD, the decline of NO_X emissions was driven by emission controls, and was 616 significantly enhanced in 2011 when the 11th Five Year Plan was enacted in GD, 617 including the application of technology for flue-gas denitrification and low NO_X 618 combustion (LNB) in industries and power plants (Kurokawa et al., 2013), the 619 elimination of vellow-label cars, and progressive advancements in vehicle emission and 620 621 fuel standards. These mitigation measures yielded $0.315 \text{ Tg } NO_X$ emission reductions 622 in 2011, and offset the growth of activity-driven emissions. During 2007-2015, power plants and on-road mobile sources were the two major contributors, accounting for 623 34%-59% and 38%-60% of the total control-driven emission reductions, respectively. 624 Industrial combustion also contributed 2%-14% of the total control-driven emission 625 reductions. Unlike in PRD, NPRD's new clean-air actions only focused on power plants, 626





and these measures were not stringent enough to cover the growth of NO_X emissions
before 2011. After 2011, the control measures of NO_X emissions from power plants in
NPRD were strengthened, leading to a significant increase of control-driven NO_X
emission reductions. Consequently, the total NO_X emissions slightly declined. Apart
from power plants, on-road mobile sources and industrial combustion also partly
contributed to the control-driven emission reductions in NPRD in recent years.

On the basis of control-driven emission reductions in 2015, NO_X emissions in 633 2020 could be further reduced by 0.24 Tg both in PRD (30% of the total NO_X emissions 634 in 2015) and NPRD (43% of the total NO_X emissions in 2015). Most of these projected 635 reductions could come from industrial combustion and power plants as a result of the 636 implement of stricter regulations, e.g., ultra-low emissions for power plants, boiler 637 638 management, and flue-gas denitrification for industries. In PRD, on-road and non-road 639 mobile sources also have relatively high potential for NO_X emission reduction. Particularly, on-road mobile sources, especially LDGV, require more effective control 640 measures. Although current control measures have alleviated the amount of vehicle 641 emissions in recent decades, it still cannot cover the increased emissions driven by the 642 rapid growth of vehicle population, as shown in Fig. 5b. Further reduction of on-road 643 NO_X emissions can be achieved by the implementation of control regulations. 644

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646 2.4.3 PM₁₀

647 The activity-driven emission of PM₁₀ in PRD and NPRD both steadily increased during 2006-2015 due to growth in activity. From 2006 to 2015, the gross industrial 648 output value increased by 48% (GDPBS, 2007-2016), but control measures were not 649 implemented until 2009, leading to a slight increase of PM₁₀ emissions during 2006-650 2009. After 2009, the installation of dust removal equipment dramatically increased 651 with the stricter implement of PM control measures, such as special requirements 652 limiting soot emission in power plants, boiler management with smaller capacity, and 653 a series of pollution controls for non-metallic minerals industries. These measures boost 654 the control-driven emission reductions, which can counterbalance the growth of 655 656 activity-driven emission of PM₁₀ in PRD and NPRD. In PRD, the control-driven 657 emission reductions dramatically improved, from 0.024 Tg in 2009 to 0.318 Tg in 2015, while in NPRD, they improved from 0.014 Tg in 2009 to 0.258 Tg in 2015. In both the 658 PRD and NPRD region, industrial combustion, power plants, and dust sources were the 659 three major contributors to the control-driven emissions reductions. 660

661 Compared with control-driven emission reductions in 2015, PM_{10} emissions in 662 2020 in the PRD and NPRD could be further reduced by 0.31 Tg (60% of the total PM_{10}





emissions in 2015) and 0.33 Tg (49% of the total PM₁₀ emissions in 2015), respectively. 663 Fugitive dust is the most significant contributor, accounting 45% and 31% of the total 664 665 reductions in PRD and NPRD, respectively. This can be achieved by applying online monitoring technology for supervising construction dust (Sun et al., 2016) and more 666 advanced measures, such as achieving a "6 100%" target for construction sites and 667 increasing machine cleaning ratio for road dust. Industrial process sources, power plants, 668 and industrial combustion also have major potential to achieve reduction in 669 emissions-especially industrial process sources in the NPRD. 670

672 **2.4.3 VOCs**

671

For VOCs, control-driven emission reductions in PRD and NPRD were slight in 673 674 the past decade. Although VOC emission-control measures, such as promoting emphasis 675 on strict end-of-pipe controls and leak detection and repair (LDAR) technology in VOCemitting industries, and strengthening oil and gas recovery in gas stations, have been 676 gradually highlighted since 2014 when action plan for air pollution prevention and 677 control in Guangdong province (2014-2017) (GDEP, 2014) was released, the regulation 678 has not been well executed. Emission reductions from solvent sources, the largest 679 contributor to VOC emissions in PRD (Fig. S5i), were 0.075 Tg in 2015, highly 680 associated with the use of low-VOC products and environmental-friendly paints that 681 contain low or even no VOCs. However, these emission reductions only accounted for 682 683 10%-35% of the total VOC emission reductions. In fact, 65%-86% of the control-driven 684 VOC emission reductions were from on-road mobile sources, which is mainly attributed to the improvement of emission standards and oil quality for vehicles, 685 management of yellow label cars, and the popularization of green traffic. Even so, the 686 control-driven VOC emission reductions (from 0.016 Tg in 2007 to 0.294 Tg in 2015) 687 were far outweighed by the activity-driven growth in emissions (from 0.699 Tg in 2007 688 to 1.172 Tg in 2015), resulting from the growth of vehicle populations and increasing 689 use of solvents, which consequently drove up VOC emissions in PRD and NPRD (Fig. 690 691 5i-j).

In 2020, if the existing emission control regulations were fully implemented, VOC emissions in PRD would decrease by 30% relative to the emission level in 2015. The emission reduction potentials are 0.61 Tg in PRD (69% of the total VOC emissions in 2015) and 0.56 Tg in NPRD (0.2 times higher than total VOC emissions in 2015), respectively, much larger than the emission reduction potentials of SO₂, NO_X, and PM₁₀. Reduced solvent use is the largest factor. This is because current VOC end-pipe removal efficiency in GD is still low. Therefore, VOC emissions from solvent use could be





greatly reduced by improving end-pipe removal efficiency. In fact, VOC emission
controls on solvent use and industrial process source were particularly prioritized
during the 13th FYP (2016-2020). If the VOCs end-pipe removal efficiencies achieve
their control targets in the 13th FYP, VOCs emission reductions from solvent use will
be 0.388 Tg and 0.257 Tg in PRD and NPRD, respectively, accounting for 43% and 38%
of the total VOC emission reductions. Another source with large potential for emission
is on-road mobile sources.

706

707 **3 Summary and conclusions**

In this study, we provide a detailed examination of anthropogenic emission of a 708 wide variety of pollutants in GD from 2006 to 2015 using a technology-based 709 710 methodology. The emission trends and their spatial variation were validated by ground-711 based observations and satellite data. Anthropogenic emissions of most pollutants in GD generally saw downward trends over the 2006-2015 decade, with NH₃ and VOC 712 emissions being the exceptions. In that decade, emissions of SO₂, PM₁₀, PM_{2.5}, and 713 NO_X decreased by 48%, 22%, 16%, and 0.5%, respectively, despite significant growth 714 of economic and anthropogenic activity. The decoupling of anthropogenic emissions 715 from economic and energy consumption growth means that emission regulations and 716 control measures on power plants, industrial combustion, on-road mobile sources, and 717 718 dust sources enacted over the past decade have alleviated emissions. By contrast, 719 because of the absence of effective control measures, NH₃ emissions remained stable while VOC emissions steadily increased by 33% during 2006-2015. 720

Because of their differences in economic and industrial structure and in their 721 implementation of control measures, PRD and NPRD showed different emission trends. 722 In PRD, SO₂ and NO_X emissions exhibited a downward trend during 2006-2015, but in 723 NPRD, these emissions grew before 2010. Most of the increased emissions were from 724 power plants, industrial combustion, and non-road mobile sources, highly associated 725 726 with the shift of industries and power plants from PRD to NPRD and the lack of stringent emission control measures in NPRD. The evolution of sources also showed 727 728 differences between PRD and NPRD. In PRD, emissions from industrial combustion 729 declined consistently during 2006-2015 owing to stringent control measures-e.g. phasing out small boilers, installing flue-gas desulphurization (FDG) equipment, and 730 limiting the sulfur contents of fuel-while in NPRD, these emissions continued to show 731 732 an upward trend, even though some emissions from industrial combustion had been reduced by control measures. Similar to the situation with industrial combustion, 733





emissions from industrial processes also declined in PRD but increased in NPRD during 734 2006-2015. The above-mentioned trends inevitably changed the relative contribution 735 736 of different sources. Industrial combustion surpassed power plants as the largest contributor to SO₂ emissions in PRD and NPRD. For NO_X emissions, on-road mobile 737 sources were still the largest source in PRD, but in NPRD, the contribution of industrial 738 combustion steadily increased and might replace power plants as the key contributor in 739 the future. As to VOC emissions, industrial solvent use was the largest contributor in 740 PRD, but in NPRD, the contribution of non-industrial solvent use increased and became 741 742 the largest contributor.

743 The historical emission inventory developed in this study not only helps to understand the emission evolution in GD, but also can help to develop robust control 744 745 measures for the co-control of PM_{2.5} and ozone. In GD, future work should focus on power plants, industrial combustion, and non-road mobile sources to further reduce 746 emissions of SO_2 , NO_X , and particulate matter. This can be achieved by technical 747 innovations consisting of ultra-low emissions in power plants, a series of actions 748 regarding boiler management, control of sulfur content in fuels, flue-gas desulfurization 749 in industries, and special pollution controls for non-metallic minerals industries. In 750 addition, control measures on agricultural sources, the largest contributors of NH₃ 751 emissions, should be highlighted. As revealed by Yin et al. (2018), the chemical region 752 in PRD might transit to an ammonia-rich region with the decrease of SO2 and NOX 753 754 emissions. In this case, a larger reduction in NH₃ emissions would be required to further 755 decrease ambient PM2.5 levels in GD. This is feasible since NH3 emissions in GD still have great potential for further reductions. In order to achieve co-control of PM_{2.5} and 756 ozone, future work should also focus on VOC emissions. In fact, the reduction of VOCs 757 emissions is promising since stringent controls on solvent use was released in Volatile 758 organic compounds (VOCs) remediation and emission reduction work plan in 759 Guangdong Province (2018-2020) (GDEP, 2018). Apart from regulating solvent use, 760 control measures for on-road mobile sources should be enhanced to cover the growth 761 of emissions induced by the increase of vehicle population. 762

A long-term historical emission inventory could also help to reveal the dominant causes of air-quality change. In PRD, the annual averaged $PM_{2.5}$ concentrations showed a decrease in the 2006-2015 decade, from 58 µg/m³ in 2007 to 34 µg/m³ in 2017. By contrast, the 90th-percentile daily max 8-h average ozone showed a fluctuating increase, from 146 µg/m³ in 2007 to 165 µg/m³ in 2017. Our proposed a long-term historical inventory might be able to explain the change of $PM_{2.5}$ and ozone concentrations. As shown in Fig. 5, emissions of SO₂, NO_X, and PM_{2.5} in PRD all steadily fell in this





decade. Particularly, SO₂ and NO_X emissions, the two major precursors of PM_{2.5} 770 formations, decreased by 63% and 14%, respectively, during 2006-2015. This trend of 771 772 precursor emissions agreed with the declining trend of ambient PM2.5 concentrations. VOC emissions in PRD showed a rising trend, increasing by 35% during 2006-2015. 773 Ou et al. (2017) had revealed that most parts of PRD formed a VOC-limited region in 774 autumn and winter. This suggests that the growing VOC emissions and the decreasing 775 NO_X emissions might contribute to the growth of ozone concentrations in PRD. 776 However, this does not mean that emission changes are the dominant cause. Using 777 numerical simulations and the long-term historical emission inventory developed in this 778 study, we can quantify the effectiveness of emission control measures and the impact 779 of meteorological change on air quality in PRD. Consequently, the dominate cause of 780 781 the increase of ambient ozone concentrations and the downward trend of PM₂₅ 782 concentrations in PRD in the recent decade can be identified.

783

784 Authorship Contribution Statement

Zheng J. Y., and Huang Z. J. provided writing ideas with Shao M. support. Huang
Z. J., Bian Y. H., and Ou J. M. carried them out. Huang Z. J., Zheng J. Y., and Ou J. M.
revised and polished the article. Bian Y. H., Zhong Z. M., Xiao X., Ye, X. and Wu Y. Q.
developed the decadal emission inventories and contributed to discussions of results.
Chen, L. F., Xu, Y. Q., Zhang, Z. W. and Yin, X. H. helped with verification of satellite
data. All authors have made substantial contributions to the work reported in the
manuscript.

792

793 Competing interests

The authors declare that they have no conflict of interest.

795

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803 Supplementary information

804 Attached please find supplementary information associated with this article.





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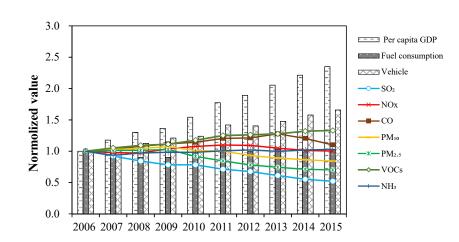
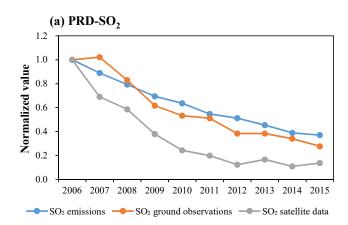
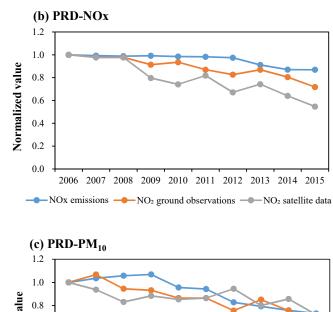


Figure 1. Trends in the air pollutant emissions, per capita GPD, fuel consumption and vehicle population in Guangdong Province from 2006 to 2015 (all of data are normalized to the year 2006).









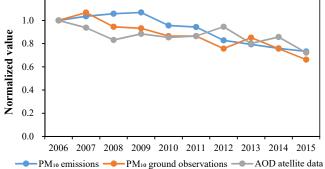
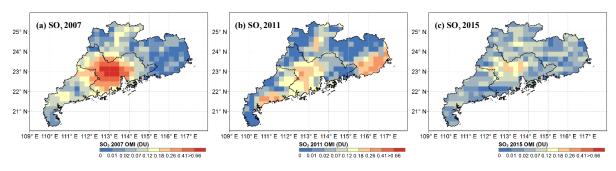


Figure 2. Comparison of emission trends with measurements in the PRD from 2006 to 2015. (a) SO₂ (b) NO_X (c) PM₁₀.







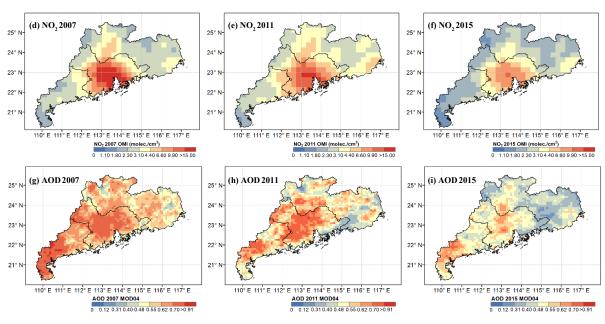
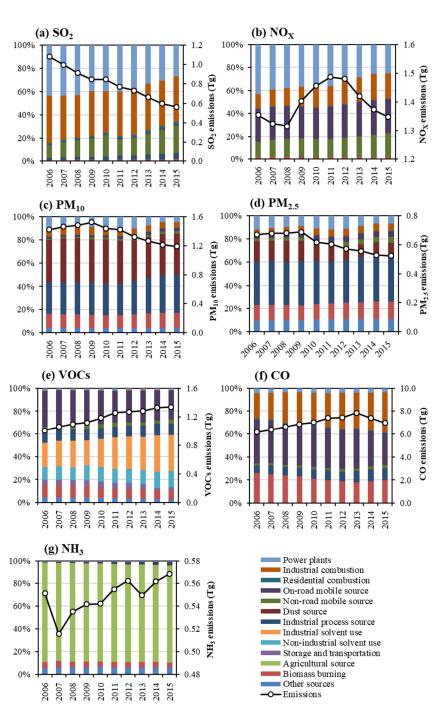
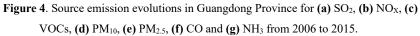


Figure 3. The spatial patterns of satellite observations over GD in 2007, 2011 and 2015 for (a)-(c) SO₂, (d)-(f) NO₂, and (g)-(i) AOD. The legends represent a gradual increase in emissions from right (blue) to left (red).



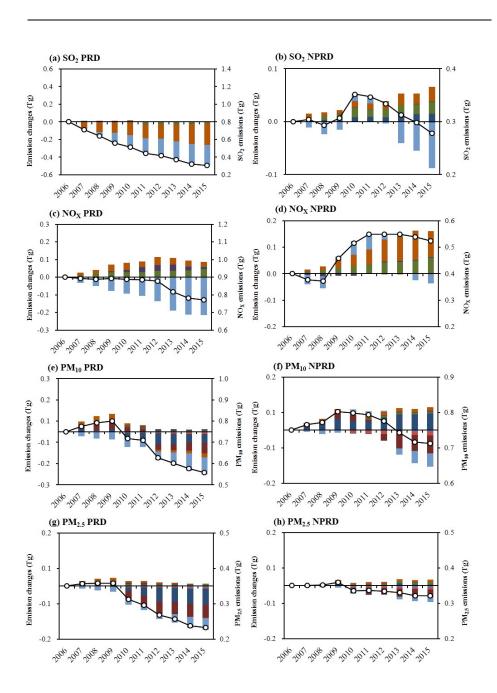
















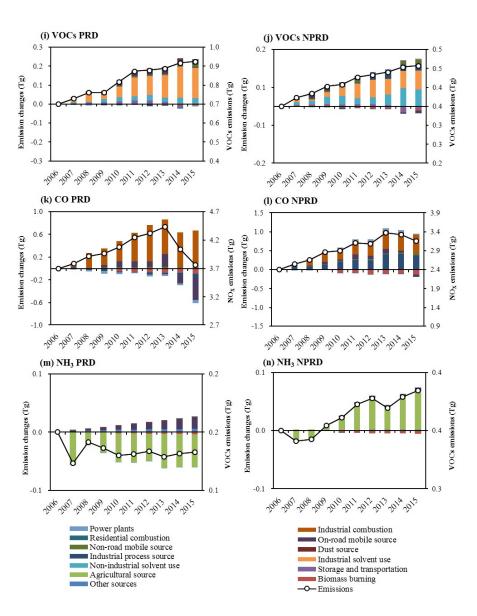


Figure 5. Emission evolutions by source in the PRD and NPRD for (a)-(b) SO₂, (c)-(d) NO_x, (e)-(f) PM₁₀, (g)-(h) PM_{2.5}, (i)-(j) VOCs, (k)-(l) CO and (m)-(n) NH₃ from 2006 to 2015. Source emissions in 2006 were subtracted from total emissions for each year to exhibit the additional emissions compared to 2006 (left axle). The total emissions by pollutant during 2006-2015 was also reflected in right axle.





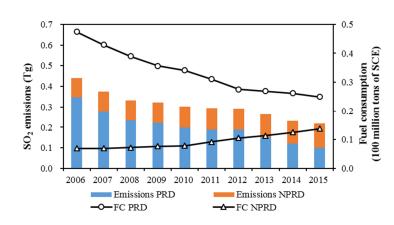


Figure 6. Trends of SO₂ emissions and fuel consumption from industrial combustion from 2006 to 2015. (SCE: standard coal equivalent; FC: fuel consumption).

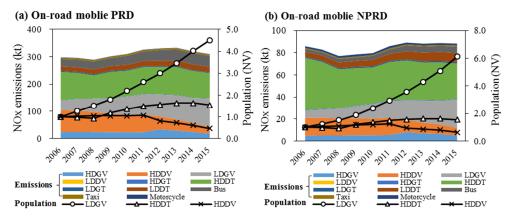


Figure 7. Trends of NO_X emissions from on-road mobile source and its activity data from 2006 to 2015 in the (a) PRD and (b) NPRD.





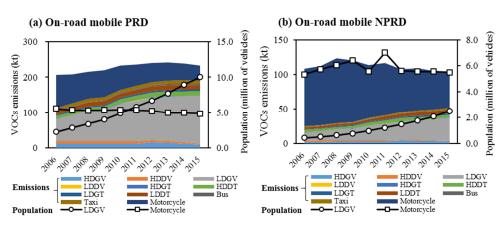
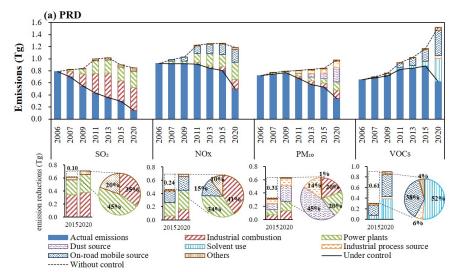


Figure 8. Trends of VOCs emissions from on-road mobile source and its activity data from 2006 to 2015 in the (a) PRD and (b) NPRD







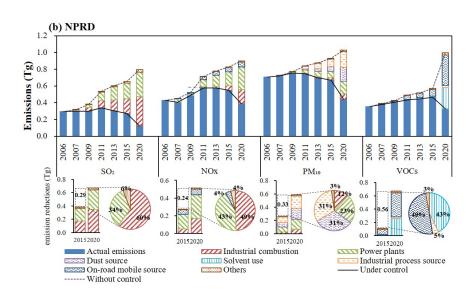


Figure 9. Control- and activity-driven emissions of SO₂, NO_x, PM₁₀ and VOCs in 2007, 2009, 2011, 2013, and 2015 in addition to emission predictions in 2020 for the (a) PRD and (b) NPRD. Solvent use here includes industrial solvent use and non-industrial solvent use. The solid black line and the solid blue bar denotes the actual emissions we estimated under control (i.e., the results under the interaction of control- and activity-driven emissions; if control-emission dominated, the emissions would drop, and vice versa), and the dotted black line denotes the hypothetical emissions without control (i.e., activity-driven emission; if no new control measures were adopted after 2006). The non-solid chromatic bars and pies illustrate the emission reductions for multiple sources (i.e., control-driven emission). The dotted box represents extra emission reductions in 2020 compared to 2015.