
1 **Evolution of Anthropogenic Air Pollutant Emissions in**
2 **Guangdong Province, China, from 2006 to 2015**

3
4 Yahui Bian^a, Jiamin Ou^b, Zhijiong Huang^{c*}, Zhuangmin Zhong^a, Yuanqian Xu^a, Zhiwei Zhang^a,
5 Xiao Xiao^a, Xiao Ye^a, Yuqi Wu^a, Xiaohong Yin^a, Liangfu Chen^d, Min Shao^c, Junyu Zheng^{a,c,*}

6
7 ^a*School of Environment and Energy, South China University of Technology, Guangzhou 510006, China*

8 ^b*School of International Development, University of East Anglia, Norwich NR4 7TJ, UK*

9 ^c*Institute for Environmental and Climate Research, Jinan University, Guangzhou 510000, China*

10 ^d*State Key Laboratory of Remote Sensing Science, Institute of Remote Sensing and Digital Earth, Chinese*
11 *Academy of Sciences, Beijing 100101, China*

12
13
14
15 Corresponding author:

16 Zhijiong Huang

17 **Phone:** +86-20-37336635

18 **fax:** +86-20-37336635

19 **e-mail:** bmmj@163.com

20 **address:** Institute for Environmental and Climate Research, Jinan University, Guangzhou 510006, China

21
22 Junyu Zheng

23 **Phone:** +86-20-37336635

24 **fax:** +86-20-37336635

25 **e-mail:** zhengjunyu_work@hotmail.com

26 **address:** Institute for Environmental and Climate Research, Jinan University, Guangzhou 510006, China

40 Abstract

41 Guangdong province (GD), one of the most prosperous and populous regions in China,
42 still experiences haze events and growing ozone pollution despite of substantial air
43 quality improvement in recent years. Integrated control of fine particulate matter (PM_{2.5})
44 and ozone in GD calls for a systematic review of historical emission. In this study,
45 emission trends, spatial variations, source-contribution variations, and reduction
46 potentials of sulfur dioxide (SO₂), nitrogen oxides (NO_x), PM_{2.5}, inhalable particles
47 (PM₁₀), carbon monoxide (CO), ammonia (NH₃), and volatile organic compounds
48 (VOCs) in GD from 2006 to 2015 were first examined using a dynamic methodology,
49 taking into account economic development, technology penetration, and emission
50 controls. The relative change rates of anthropogenic emissions in GD during 2006-2015
51 are -48% for SO₂, -0.5% for NO_x, -16% for PM_{2.5}, -22% for PM₁₀, 13% for CO, 3%
52 for NH₃, and 13% for VOCs. The declines of SO₂, NO_x, PM_{2.5}, and PM₁₀ emissions in
53 the whole province are mainly resulted from the stringent emission control in the Pearl
54 River Delta (PRD) region, where most previous control measures focused on,
55 especially from power plants (SO₂ and NO_x), industrial combustion (SO₂, PM_{2.5} and
56 PM₁₀), on-road mobile source (NO_x), and dust source (PM_{2.5} and PM₁₀). Emissions
57 from other areas (the non-PRD, NPRD), nevertheless, remain relatively stable due to
58 the lax control measures and rapidly growing energy consumption. In addition,
59 emission leaks of SO₂ and NO_x from industries are observed from the PRD to NPRD
60 in 2010 and 2011. As a result, emissions in NPRD are increasingly important in GD,
61 particularly those from industrial combustion. Contribution of NPRD to the total SO₂
62 emissions in GD, for example, increased from 27% in 2006 to 48% in 2015. On-road
63 mobile source and solvent use are the two key sources that should receive more
64 effective control measures in GD. Current emission reductions from on-road mobile
65 source are neutralized by the substantial growth of vehicle population, while VOC
66 emissions in GD steadily increase due to the growth of solvent use and the absence of
67 effective control measures Besides, future work could focus on power plants and
68 industrial combustion in GD and industrial process source in NPRD, which still have
69 large emission reduction potentials. The historical emission inventory developed in this
70 study not only helps to understand the emission evolution in GD, but also provides
71 robust data to quantify the impact of emission and meteorology variations on air quality
72 and unveil the primary cause of significant air quality change in GD in the recent decade.

73 **Keywords:** emission trends; source contribution; Guangdong Province; emission
74 reductions

75 Introduction

76 Guangdong Province (GD), comprising nine major cities in the Pearl River Delta
77 (PRD) region and eleven less developed cities in the Non-PRD (NPRD), is the most
78 prosperous and populous regions adjacent to the coast of southern China (Fig. S1 in the
79 Supplementary Material). With only 1.9% of China's land coverage, GD contributed
80 10.0% of China's gross domestic product (GDP) and 8.0% of the population in 2017
81 (GDPBS, 2016-2017). Particularly, the PRD region, dubbed 'the world factory' and
82 embracing a wide variety of industries, represented about 80.0% and 53.4% of GD's
83 total GDP and population, respectively (GDPBS, 2016-2017). Benefited from China's
84 opening-up policies, GD has experienced rapid economic growth accompany with
85 serious and complex air pollution issues.

86 To improve air quality, great efforts to have been made to formulate various control
87 measures and policies, especially after 2013, when the Action Plan on the Prevention
88 and Control of Air Pollution (PCAP, 2013-2017) was launched. The PCAP required the
89 PRD region to reduce PM_{2.5} concentration by 15% by the year 2017 compared with the
90 2013 levels. These policies and control measures, which were summarized in Table S1,
91 partly alleviated regional air pollution in GD. Ground-level observations showed that
92 GD saw an obvious air quality improvement, with SO₂ and PM₁₀ concentrations
93 decreasing by 63.3% and 17.7%, respectively, from 2006 to 2017 (EPGD, 2006-2017).
94 In particular, the PRD region is the first region to meet China's national standard of
95 PM_{2.5} standard (35 µg/m³) for three consecutive years (34 µg/m³ in 2015, 32 µg/m³ in
96 2016 and 34 µg/m³ in 2017).

97 However, air pollution in GD is still a major concern. The annual PM_{2.5} levels still
98 far exceed WHO's PM_{2.5} guideline value of 10 µg/m³ (World Health Organization, 2006)
99 and haze events frequently occur in winter (Tao et al., 2017). Also, the ambient ozone
100 concentrations have been growing in recent years. The 90th percentile of the maximum
101 8-hour average ozone concentration (90%-8h-ozone) in the PRD region increased by
102 24% since 2015, reaching 165 µg/m³ in 2017 (GDEMC, EPDHK, EPBMC, 2018).

103 On contrast to the need for further air quality improvement, the unclear causes of
104 recent air quality change in GD hinders the development of evidence-based air quality
105 control strategies. Although several studies had assessed the impact of emission and
106 meteorology change on air quality, their results are inconsistent with each other. For
107 instance, Lin et al. (2018) suggested that emission controls helped improve local air
108 quality in the PRD region, according to a high consistency of ambient PM_{2.5}
109 concentrations and emissions. However, Mao et al. (2018) argued that meteorological

110 and climate conditions rather than PM emissions dominate the interannual variabilities
111 and trends of winter haze days in PRD based on an observation-based approach. Yang
112 et al. (2019) concluded that the inappropriate NO_x/VOC control ratio within the PRD
113 over the past years is likely responsible for the ozone increase, while Li et al. (2018)
114 argued that the decrease of PM_{2.5} concentration is the main driver.

115 Further mitigation of air pollution in GD calls for a systematic review of historical
116 emissions. First, the long-term historical emission data could help policymakers
117 understand the evolution of emissions, quantify the cuts in emissions that have been
118 achieved by control measures, and identify those sources with the greatest potential for
119 large future emission reductions (Gurjar et al., 2004; Ohara et al., 2007; Zhong et al.,
120 2013). This is particularly important for GD whose potential for further emission
121 reduction are shrinking as control measures tighten. Second, with the use of
122 atmospheric chemical transport models (CTMs), the historical emission can be used to
123 examine the linkages between air quality improvements and control measures, and thus
124 identify the main causes of air quality change and key control measures. All this
125 information is crucial to guide future air-quality management and formulate robust
126 evidence-based policies.

127 GD is one of the first areas to compile its own emission inventories in China
128 (Zhong et al., 2013). However, no attempts have been made to estimate the historical
129 emissions of GD. Most of the previous emission studies mainly focused on the PRD
130 region, but ignored the NPRD region that also has increased emissions. For instance,
131 Zheng et al. (2009) developed the first high-resolution emission inventory for the PRD
132 region in 2006, followed by emission inventories for speciated volatile organic
133 compounds (VOCs) (Zheng et al., 2009), biogenic VOCs (Zheng et al., 2010), ammonia
134 (NH₃) (Yin et al., 2015), biomass burning (He et al., 2011, Zhang et al., 2013), sea salt
135 (Liu et al., 2015) and multiple-year anthropogenic sources (Lu et al., 2013). Due to the
136 strengthened emission controls in PRD, relocation of industries are in courses for those
137 sectors that are energy intensive, or highly polluting, or have excess production capacity
138 from the PRD region to non-PRD (NPRD) areas (Chun, 2012; Yin et al., 2017) (Fig.
139 S2). As a result, emissions in the PRD and NPRD regions have experienced substantial
140 changes in recent years. This means that the emissions of PRD cannot fairly represent
141 Guangdong as a whole. Although several emission inventories in GD were developed
142 recently (Huang et al., 2015; Pan et al., 2015; Liu et al., 2017; Zhong et al., 2018), they
143 were carried out in a single year and limited in spatial coverage, and source categories.
144 Therefore, there is a need to develop a long-term historical emission inventory in GD
145 using a consistent methodology and the same underlying driver data to fill the data gaps

146 and to assist with future air pollution control measures.

147 In this study, we developed a multi-year anthropogenic emission inventory for SO₂,
148 NO_x, PM₁₀, PM_{2.5}, CO, VOCs, and NH₃ for the years from 2006 to 2015 using a
149 dynamic methodology that considers economic development, technological penetration,
150 and emission controls. The emission trends were validated by ground-based
151 measurements and satellite observations. Based on the long-term historical inventory,
152 the emission changes, contribution variations, possible causes for the observed air
153 quality improvement, and reduction potentials in 2020 in PRD and NPRD were
154 analyzed and compared, which could provide scientific evidence for future air quality
155 regulations in GD. The long-term emission inventories developed in this study are also
156 essential to evaluate the effectiveness of emission control measures and identify the
157 dominant cause of significant air quality change in GD.

158

159 **1 Methodology and data**

160 **1.1 Methods for emission estimations**

161 In this study, emissions of 7 pollutants (SO₂, NO_x, PM₁₀, PM_{2.5}, VOCs, CO, and
162 NH₃) from 13 major categories, including power plants, industrial combustion,
163 residential combustion, on-road mobile source, non-road mobile source, dust source,
164 industrial process source, industrial solvent use, non-industrial solvent use, storage and
165 transportation, agricultural source, biomass burning, and other sources, were estimated.
166 On the basis of Zhong et al. (2018), Pan et al. (2015) and the guidelines for the
167 development of an air-pollutant emission inventory for Chinese cities (MEPC, 2017),
168 the 13 major categories were further broken down into 70 sub-categories to improve
169 emission estimation. Detail categories applied in this study are listed in Table S2.

170 Unlike the single-year emission inventory, the estimation of a long-term emission
171 inventory is generally more complicated since it requires the data sources, estimation
172 method and source category for all years are consistent. Although top-down methods
173 or on-line estimation methods based on big data can promote the estimation, obtaining
174 the long-term activity data, such as Automatic Identification System (AIS) data for ship
175 emission and Global Positioning System (GPS) data for on-road mobile source, is
176 challenging. Therefore, most studies (Streets et al., 2006; Zhang et al., 2007; Lu et al.,
177 2012; Zheng et al., 2018), still generally applied the top-down method to develop long-
178 term emission inventories. Following previous studies, we applied a dynamic
179 technology-based methodology that considers economic development, technological
180 penetration, and emission controls to estimate the anthropogenic emission trends in GD.

181 Except for on-road mobile and construction dust source, emissions of most
 182 anthropogenic sources were calculated as follows:

$$183 \quad E_{i,n} = \sum_{i,j,k} A_{i,j,k,n} \sum_m (X_{i,j,k,m,n} EF_{j,k,m,n}) \sum_z [C_{z,n}(1 - \eta_z)] \quad (1)$$

184 where i, j, k, m, n , and z represent the city, the emission source, the type of fuel or
 185 product, the production technology, the year, and the control technology, respectively,
 186 A represents the activity level (such as the fuel consumption or material production), X
 187 represents the percentage of fuel or production for a sector consumed or produced by a
 188 specific technology m , EF is the unabated emission factor, $EF \sum_z [C_z(1 - \eta_z)]$ is the
 189 net EF after applying control technology, C is the penetration of the control technology
 190 z , η is the removal efficiency of the control technology z , and S and SR represent the
 191 sulfur content in fuel and the sulfur retention in ash, respectively. For fuel combustion,
 192 the EF of SO₂ was estimated using the following equation:

$$193 \quad EF = 2 \times S \times (1 - SR) \quad (2)$$

194 For on-road mobile source and construction dust, emissions were estimated by Eqs.
 195 (3) and (4), respectively. The methods from previous studies employed for the other
 196 emission sources are listed in detail in Table S2 in the Supplementary Material (SI).

$$197 \quad E_{i,n} = \sum_{i,j} (P_{i,j,n} \times VKT_{i,j,n} \times EF_{j,n}) \quad (3)$$

$$198 \quad E_{i,n} = \sum_i (S_{i,n} \times T_{i,n} \times EF_{i,n}) \quad (4)$$

199 where i, j , and n represent the city, the vehicle type, and the year, respectively, P is the
 200 vehicle population, and VKT is annual average vehicle kilometers traveled. i and n
 201 represent the city and the year, respectively. S is the construction area, and T is the
 202 construction cycle.

203 An accurate representation of the annual change of activity data and emission
 204 factors is critical for estimating long-term emissions. Here, we provided a detailed
 205 description of activity data and emission factors applied in this study.

206

207 **1.1.1 Activity data**

208 Most of the activity data during 2006-2015 were obtained from officially released
 209 statistics or relevant reports. Either surrogate data or data interpolation was used to fill
 210 in the data for some sources that lack continuous and consistent long-term activity data.
 211 Notably, the activity data that specifies an individual industry or power plant, defined
 212 as point data, were preferentially used, since these data generally have detailed
 213 information on the location, technical level and control measures. Otherwise, activity

214 data at the city level, known as areal data, were adopted as a second choice. In this study,
215 for power plants and industrial combustion, we used a combination of point data and
216 areal data to characterize the activity level, while the other sources all relied on areal
217 data. The detailed data sources are summarized in Table S3. Here, we describe the
218 processing of activity data for some major sources, e.g., industrial combustion,
219 construction dust, marine and on-road mobile source.

220 For industrial combustion, we used the total consumption of different energy types
221 during 2006-2015 from the GD Statistical Yearbook (GDPBS, 2007-2016) to represent
222 the activity level of each city. Also, we used a detailed dataset from GD pollutant
223 statistical reports to estimate consumption value of different energy types, the averaged
224 sulfur contents, and removal efficiencies of industrial combustion in each city. This
225 dataset, which records the annual fuel consumption, sulfur contents, control devices,
226 removal efficiencies, product output, and the geographic location of each plant,
227 contains about 85% of the plants in GD and covers the years of 2006, 2010, 2012, 2014,
228 and 2015. For the years that lack a detailed dataset, the averaged sulfur contents and
229 removal efficiencies were estimated by linear interpolation and emission control policy.

230 For construction dust source, we used the total annual construction area and
231 construction cycle time to represent the activity level. The construction area data were
232 derived from the GD city statistical yearbook (GDPCSY, 2007-2016), and the
233 construction cycle time was determined by the time required for different construction
234 phases, i.e., earthwork excavation, foundations, earthwork backfill, and general
235 construction. Considering the effect of rainfall in suppressing dust source, we revised
236 the construction cycle time by combining our previous study (Yang, 2014) with rainfall
237 information for each year obtained from the GD Meteorological Service (GDMS, 2007-
238 2016).

239 Regarding marine sources, the characterization of activity level was based on
240 heavy and diesel fuel consumption. However, it is challenging to acquire detailed
241 consumption of various fuel types directly. Thus, we used the method described in Li
242 et al. (2018) to convert the cargo volumes and transport distances of major navigation
243 routes into fuel consumption data via fuel consumption rates. Fuel consumption rates
244 were taken from the IMO report (IMO, 2009). The cargo volumes in each city were
245 obtained from GD Statistical Yearbooks (GDPBS, 2007-2016). Transport distances of
246 major navigation route data were measured by the historical AIS-based digital map.

247 For on-road mobile source, population data of different vehicle types (i.e.,
248 passenger trucks, buses, taxis, and motorcycles) and the gross weight (heavy and light
249 duty) from the 2006-2015 statistical yearbooks, and annual average vehicle kilometers

250 traveled from a field survey of 8 cities and 111 roads by types (i.e., arterial road,
251 secondary arterial road and branch) in GD were used to characterize the annual change
252 of activity level. We further divided the vehicle type into diesel and gasoline vehicles
253 to obtain a more accurate estimate, based on the vehicle ratios (diesel/gasoline) from
254 our previous study (Che et al., 2009) in 2006 and the field survey covered 8 cities in
255 the years of 2010, 2012, 2014, and 2015. The vehicle ratios in other years (2007-2009,
256 2011, and 2013) were estimated using an interpolation method.

257

258 **1.1.2 Emission factors (EFs)**

259 EFs could have changed with the implementation of emission controls in GD
260 during 2006-2015, which involve technological penetration and evolution. To deal with
261 that possibility, we developed a dynamic method to reflect the response of EFs to
262 control measures and technological penetration. First, we established the unabated EF
263 of each source to represent that the emission level would have been without any
264 treatment. The unabated EFs for most emission sources of various pollutants (i.e., NO_x,
265 PM₁₀, PM_{2.5}, VOCs, CO, and NH₃) used in this study are listed in Tables S4-9, and are
266 based mainly on the latest research results and values recommended in related manuals
267 of air-pollutant emission coefficients. Next, we estimated the net EFs of each source
268 according to the corresponding processing technologies, control technologies, and
269 removal efficiencies that might vary with years. In this study, we applied the dynamic
270 method to all emission sources. In the following subsection, we mainly describe their
271 application to major sources that have received intensive control measures in the past
272 decade: including power plants, industrial combustion, VOCs-related sources, and on-
273 road mobile source.

274 The net EFs of SO₂ for industries and power plants in GD were determined based
275 on removal efficiencies and fuel sulfur content. The annual removal efficiencies and
276 sulfur content in 2006, 2010, 2012, 2014, and 2015 were obtained from GD pollutant
277 statistical reports (GDPSR, 2006, 2010, 2012, 2014, 2015) for industries; those
278 parameters for power plants in 2006-2014 were obtained from power-plant reports
279 (CSPG, 2006-2014). For years without these documented data, an interpolation method
280 that considers newly released regulations of SO₂ emission controls and expert judgment
281 were used to estimate the removal efficiency and sulfur contents (2007-2009, 2011, and
282 2013 for industries and 2015 for power plants). For instance, the sulfur content of coal
283 and oil in industrial sources can be estimated as <0.7% and 0.8%, respectively,
284 according to the *Guangdong industrial boiler pollution remediation program (2012-*
285 *2015)* released in 2012.

286 For VOCs-related sources, such as industrial solvent use, non-industrial solvent
287 use, and industrial process source, the net EFs of VOCs were determined based on the
288 installation rate of VOC control technologies and the removal efficiencies, which were
289 acquired by an on-site investigation of VOCs-related industries in GD. Additionally,
290 the new VOC emission standards were also used to determine in which year VOC
291 control technologies were implemented. For example, emission standards for furniture
292 surface coating and shoemaking were implemented in 2010 (*Emission standard of*
293 *volatile organic compounds for furniture manufacturing operations (DB44/814-2010)*,
294 *Emission standard of volatile organic compounds for shoe-making industry*
295 *(DB44/817-2010)*). Thus, we estimated the net VOC EFs for furniture surface coating
296 and shoemaking with the consideration of VOC removal efficiencies since 2010. For the
297 vehicular EFs, we used the same method employed in our previous study (Lu et al.,
298 2013). The vehicular EFs were calculated based on the 2007 International Vehicle
299 Emissions (IVE) model (ISSRC, 2008), while the EFs for other years were derived from
300 2007-based EFs in consideration of emission standards, fuel standards, and vehicle
301 lifespans.

302

303 **1.2 Data for validation**

304 In this study, satellite observations, including SO₂, NO₂ and AOD column, and
305 ground-level observations (SO₂, NO₂ and PM_{2.5} concentrations) in PRD were used to
306 evaluate the long-term total emission in GD area. The SO₂ column amount (OMSO2e
307 v003) and the NO₂ tropospheric column (OMNO2d v003) were retrieved from the
308 Ozone Monitoring Instrument (OMI) with a spatial resolution of 0.25°×0.25° (available
309 at <https://giovanni.gsfc.nasa.gov/giovanni/>). Aerosol optical depth (AOD) data were
310 taken from the Moderate-Resolution Imaging Spectroradiometer (MODIS) aerosol
311 product MOD04 with a high resolution of 10 km (available at
312 <https://ladsweb.modaps.eosdis.nasa.gov/>). The ground-level observations in PRD
313 during 2006-2015 were obtained from the PRD Regional Air Quality Monitoring
314 Network (RAQMN) (GDEMC, EPDHC, EPBMC, 2007-2016). The RAQMN, which
315 came into operation at the end of 2005 and has provided accurate air quality data to
316 local governments and the public, was adopted because of the high reliability of the
317 operating procedures on quality assurance and quality controls (QA/QC). We also
318 collected the ground-level observations averaged over the GD from annual reports of
319 Guangdong Provincial environment published by the department of environmental
320 protection of Guangdong province. However, this data is less representative since the
321 ground-level stations in NPRD are much sparser and the QA/QC procedures are not

322 well implemented in NPRD.

323 We focused on the evaluation of emission trends. Therefore, we mainly compared
324 annual observations averaged over the PRD/GD region with annual emissions in
325 PRD/GD. Also, emissions and satellite observations in PRD and NPRD region were
326 compared. Since emissions mainly concentrated in urban areas, where ground-level
327 observations in RAQMN located, the sparsity of ground-level observations was ignored
328 in the evaluation.

329 **2 Results and discussion**

330 **2.1 Overall emission trends**

331 The overall emission trends of SO₂, NO_x, PM₁₀, PM_{2.5}, VOCs, CO, and NH₃ in
332 GD during 2006-2015 are presented in Fig. 1. From 2006 to 2015, anthropogenic
333 emissions decreased by 48% for SO₂, 0.5% for NO_x, 16% for PM_{2.5}, and 22% for PM₁₀,
334 but increased for CO, NH₃, and VOCs, by 13%, 3%, and 33%, respectively. Specifically,
335 SO₂ emissions fell steadily during 2006-2015 due to the strict controls on SO₂ emissions
336 implemented in the 11th Five Year Plan (FYP) (2006-2010) and 12th FYP (2011-2015).
337 These two FYP all required the total national SO₂ emission to be cut by 15%, relative
338 to the 2005 and 2010 level, respectively. NO_x emissions overall showed an upward
339 trend in the early period, reaching a peak in 2011. After the implementation of *the*
340 *Planning for Guangdong province environmental protection and ecological*
341 *construction in 12th FYP* (PGGP, 2011) in 2011, in which NO_x emission caps of all
342 industrial sectors were proposed, NO_x emissions decreased, declining by 9% in 2015.
343 The PM₁₀ and PM_{2.5} emissions showed an increasing trend during 2006-2009 but then
344 decreased steadily. Similarly, CO emissions showed a small rise during 2006-2013,
345 followed by a sharp decline. NH₃ emissions changed a little, while VOC emissions
346 steadily increased over the 2006-2015 period, mainly fueled by the absence of effective
347 emission-control measures.

348 Although emissions of SO₂, NO_x, PM, and CO declined in recent years, the per
349 capita GDP, fuel consumption, and vehicle population in GD, which account for most
350 anthropogenic pollution activity, saw growth from 2006 to 2015, as shown in Fig. 1.
351 From 2006 to 2015, the per capita GDP and vehicle population significantly increased,
352 by 135% and 66%, respectively. Obviously, anthropogenic emissions in GD were
353 decoupling from economic and energy consumption growth. This means that the
354 emission regulations and control measures enacted in GD have alleviated emissions
355 despite the growth of economic activity. For instance, NO_x emissions are closely related
356 to fuel consumptions because a large proportion of their emissions are from industries

357 or power plants that consume a great deal of fuel. However, the trends of NO_x emissions
358 and fuel consumption have deviated from each other since 2011 when low NO_x-
359 combustion (LNB) control measures and flue gas denitrification technology (i.e.,
360 selective catalytic reduction (SCR) and selective non-catalytic reduction (SNCR)) in
361 power plants were enacted.

362 We have also compared emission trends in PRD and NPRD (Fig. S3). Since
363 emissions in GD were mainly concentrated in the PRD region, emission trends in PRD
364 were similar to those in GD, except NO_x emissions, which started off steady until 2012
365 and then fell slightly. Compared with 2006, the 2015 emissions of SO₂, NO_x, PM_{2.5},
366 and PM₁₀ in PRD decreased by 63%, 14%, 35%, and 27%, respectively, while CO and
367 VOCs emissions increased by 2% and 35%, respectively. In NPRD, SO₂, NO_x, PM_{2.5},
368 and PM₁₀ emission trends differed from those in PRD. Compared with 2006, the 2015
369 emissions of SO₂, PM_{2.5}, and PM₁₀ in NPRD decreased by only 8%, 8%, and 5%,
370 respectively, but emissions of VOCs, NH₃, CO, and NO_x significantly increased by
371 30%, 10%, 31%, and 29%, respectively. The discrepancy of emission trends between
372 PRD and NPRD raised because these two regions achieved different levels of progress
373 on air quality management in the past decade. Overall, most previous control strategies
374 still focused on PRD (Table S1). In Table S1, all of these 11 control strategies involve
375 PRD, while only 6 of them cover NPRD. Moreover, due to the policies of “vacate the
376 cage and change birds” (in Chinese, Teng Long Huan Niao) initiated by the Guangdong
377 provincial government in 2008 (Li & Fung, 2008; Yang, 2012), many low-tech
378 industries in PRD were relocated to NPRD. As a result, emissions of NPRD is becoming
379 more and more obvious in GD. For instance, the contribution of NPRD to SO₂
380 emissions in GD has increased from 27.1% in 2006 to 48.2% in 2015 (Table 1). For
381 NO_x, PM_{2.5} and PM₁₀ emissions, the proportions increased from 31.6% to 40.6%, from
382 49.5% to 56.0% and from 50.3% to 54.0%, respectively. Detailed emission evolutions
383 and the corresponding causes are discussed in Sec. 2.3.

384

385 **2.2 Validation of emission trends**

386 In this section, we validate emission trends in PRD using ground observations and
387 satellite data (Fig. 2). The comparison of emission trends with measurements in GD
388 also presented in Fig. S4. In general, these two data sources are consistent for emission
389 trends of SO₂, NO_x, and PM₁₀. During 2006-2015, SO₂ emissions in PRD decreased by
390 63% (emission trends in PRD are shown in Fig. 5), slightly less than the 68% and 86%
391 observed in ground-level and satellite data, respectively. NO_x emissions and
392 observations all showed a declining trend during 2006-2015. For PM₁₀ emissions, the

393 declining trend also closely followed the fluctuant downward trend of ambient PM_{2.5}
394 concentrations and AOD. The fluctuations of observations were associated with PM_{2.5}
395 formation influenced by annual variations of meteorology.

396 The change in the spatial variation of emissions in GD was also evaluated using
397 satellite measurements. Here, the relative change of column concentrations of SO₂, NO₂,
398 and AOD in 2007, 2011, and 2015 to 2006 were illustrated in Fig. 3. The annual column
399 concentrations during 2006-2015 are all displayed in Fig. S5. Overall, the spatial
400 patterns of the satellite measurements also reveal the different emission trends between
401 PRD and NPRD. For example, SO₂ column concentration in PRD decreased by 71%
402 from 2007 to 2011, but in NPRD increased by 26%. This agrees with the emission
403 trends, in which SO₂ emissions in PRD decreased by 39% while those in NPRD
404 increased by 15%. From 2011 to 2015, SO₂ column concentration decreased by 31%
405 and 42% in PRD and NPRD, respectively, and SO₂ emissions decreased by 32% and
406 20% in PRD and NPRD, respectively. From 2007 to 2011, NO₂ column concentration
407 decreased by 16% in PRD but increased by 16% in NPRD. These trends also coincided
408 with emission changes, which NO_x emissions in PRD decreased by 13% but increased
409 by 36% in NPRD. From 2011 to 2015, NO₂ column concentration and NO_x emissions
410 both decreased in PRD and NPRD. AOD displayed a decrease of approximately 23%
411 in PRD and NPRD from 2007 to 2015. A similar pattern was also found in PM_{2.5}
412 emission trends, with decreases of 35% in PRD and 9% in NPRD.

413 Overall, the above-mentioned validations using satellite observations and ground
414 measurements demonstrate that emission trends of SO₂, NO_x, and PM estimated in this
415 study are reliable.

416

417 **2.3 Evolution of source emissions in Guangdong Province**

418 To further understand the evolution of emissions in GD, we estimated the changes
419 of anthropogenic emissions by emission category in PRD and NPRD during 2006-2015.
420 Here, we examine the PRD and NPRD regions separately since these two regions may
421 have experienced diverse emission evolutions due to their different levels of progress
422 on air-quality management. The annual source contributions during 2006-2015 are also
423 presented in Fig. 4 (GD) and Fig. S6 (PRD and NPRD).

424

425 **2.3.1 SO₂**

426 In PRD, SO₂ emissions steadily declined, from 0.788 Tg in 2006 to 0.292 Tg in
427 2015. The decline was dominated by power plants and industrial combustion,
428 accounting for 50.0% and 46.8% of the total decrease, respectively (Fig. 5a). The

429 decline of SO₂ emissions from power plants and industrial combustion is highly
430 associated with the control measures enacted during the 11th FYP and 12th FYP. In
431 response to the SO₂ emission cap in 11th FYP, Guangdong province required the
432 elimination of small thermal power units with high energy consumption and outdated
433 combustion technology in 2007. By the end of 2011, 12.2 million kilowatts of small
434 thermal power units were eliminated during the 11th FYP. In addition, *action plan for*
435 *air pollution prevention and control in Guangdong province (2014-2017)* (GDEP, 2014)
436 released in the 12th FYP further strengthen the emission control of power plants. As a
437 result, the flue gas desulfurization (FGD) penetration in coal-fired power plants rose to
438 87% in 2013. For the industrial combustion, its fuel consumption in PRD decreased by
439 47.5% during 2006-2015, which can be explained by energy structural adjustment
440 regulations, including the “total amount control of coals” and “changing fuel from coal
441 to natural gas” control measures (Fig. S7). The detailed regulations on energy structural
442 adjustment are summarized in Table S1.

443 In NPRD, on the contrary, SO₂ emission increased until 2010, then saw a downturn.
444 Before 2010, the SO₂ emission growth was mainly associated with the increase of
445 industrial combustion and non-road mobile source (Fig. 5b). These two sources still
446 maintained a slight rise after 2010, but their increased emissions were offset by a plunge
447 of emissions from power plants. To reveal the cause of emission growth from industrial
448 combustion in NPRD, we compared the standard fuel-consumption trends from
449 industrial combustion in PRD and NPRD (Fig. 6). In PRD, the fuel consumption from
450 industrial combustion in PRD steadily dropped by 47% during 2006-2015, with an
451 average annual descending rate of 6.9%. In NPRD, by contrast, the fuel consumption
452 increased by 99%. In fact, the average annual growth rates of fuel consumption in NPRD
453 was only 3.2% before 2010, but after that, the increase accelerated, with an average
454 annual growth rate of 11.9% during 2011-2015. Particularly, the growth rate peaked in
455 2011 (17.1%) and 2012 (14.5%). Meanwhile, the descending rates of fuel consumption
456 in PRD also reached its peak in 2011 (9.9%) and 2012 (11.4%), when the shift of
457 industries implemented. This fact indicates the existence of emission leak from PRD to
458 NPRD due to the policy of “vacate the cage and change birds” that brought many
459 energy-intensive industries from PRD to NPRD. Statistical data showed that the NPRD
460 region had undertaken 33 industrial parks that migrated from PRD, as shown in Table
461 S10 (GDEI, 2014). Consequently, the industrial shift might, in turn, promote the
462 emission of power plants in NPRD to some extent.

463 The source contribution to SO₂ emissions in GD also changed (Fig.4a). In GD, the
464 contribution of power plants dropped from 43% to 27%. By contrast, the contribution

465 of industrial combustion remained stable, in the range of 36% to 41%. Therefore,
466 industrial combustion replaced power plants and became the largest SO₂ emission
467 source in GD. The PRD and NPRD regions also had similar changes (Fig. S6a-b). Note
468 that the contribution of non-road mobile source to SO₂ emissions both increased in PRD
469 (from 13% to 29%) and NPRD (from 8% to 17%), due to the absence of effective
470 emission control measures. Also, the contribution of industrial process source slightly
471 increased in NPRD, from 5% to 11%.

472

473 **2.3.2 NO_x**

474 As with SO₂ emissions, the evolution of NO_x emissions also differed between
475 PRD and NPRD. In PRD, NO_x emissions dropped overall from 0.926 Tg in 2006 to
476 0.797 Tg in 2015, especially after 2011, when NO_x was put on the list of pollutant
477 control, NO_x emissions saw a noticeable downturn (Fig. 5c). Power plants were the
478 primary sources leading to emission reduction. This expected because the local
479 government had released *the implementation plan for nitrogen reduction and*
480 *denitrification project for power plants in Guangdong province* to respond to the
481 reduction target of NO_x emissions in the 12th FYP (2011-2015). As a result, a large
482 number of power plants installed NO_x removal equipment since 2011. By the end of
483 2015, NO_x emissions from power plants declined by 54.0% compared with 2006 due
484 to the increasing installment of flue gas denitration facility since 2011. However, a part
485 of the NO_x emission reductions from power plants in PRD was canceled out by the
486 emission growth from non-road mobile source, which increased by 65.7% during 2006-
487 2015. Despite the intensive control measures for urban vehicle exhaust, NO_x emissions
488 from on-road mobile source still kept a slowly growing trend, indicating that these
489 control measures were unlikely to offset the increasing vehicle population, which
490 increased by 88% during 2006-2015. Consequently, on-road mobile source (growing
491 from 32% in 2006 to 38% in 2015) overtook power plants (growing from 43% to 23%)
492 as the largest contributor to NO_x emissions in PRD since 2010 (Fig. S6c).

493 Unlike in PRD, NO_x emissions in NPRD rebounded in 2008 and grew sharply
494 until 2012. The emission changes were mainly from industrial combustion, non-road
495 mobile source, and power plants (Fig. 5d). Among these three sources, NO_x emissions
496 from industrial combustion and non-road mobile source both showed an upward trend,
497 increasing by 159% and 84%, respectively, during 2006-2015. These trends can be
498 explained by the shift of energy-intensive industries from PRD to NPRD and the
499 absence of catch-up emission controls for industries and non-road mobile source. In
500 fact, most of the control measures for non-road mobile source were released after 2015.

501 Since 2011, possibly due to the implementation of denitrification technology, NO_x
502 emissions from power plants steadily went down and offset the slight increase in
503 emissions from industrial combustion and non-road mobile source. Consequently, the
504 contribution of power plants to the total NO_x emissions in NPRD, which was once a
505 large contributor, decreased from 44% in 2006 to 28% in 2015. By contrast,
506 contributions of industrial combustion and non-road mobile source increased from 14%
507 to 29% and from 16% to 23%, respectively (Fig. S6d).

508 On-road mobile was also a major contributor to NO_x emissions in GD (Fig. 4b).
509 Although the total NO_x emissions of on-road mobile source changed slightly in 2006-
510 2015, their sectoral contribution showed significant change, especially in PRD (Fig.
511 S6c). Here, we further analyze the trends of NO_x emissions from on-road mobile source
512 and the vehicle population from 2006 to 2015 for PRD (Fig. 7a) and NPRD (Fig. 7b).
513 Overall, on-road mobile NO_x emissions in PRD were approximately three times higher
514 than those in NPRD, but their trends were similar. Although the heavy-duty diesel truck
515 (HDDT) population slightly grew until 2014 in both PRD and NPRD, NO_x emissions
516 from HDDT still dropped by 10.0% and 30.8% during 2006-2015, respectively, which
517 was closely related to the improvement in vehicular emission and fuel standards. During
518 the study period, the emission standard for vehicles raised from China III (2008) to
519 China IV (2013), while the oil standard improved from China III (2009) to China V
520 (2014). The proportion of China III HDDT vehicles increased by over 50% in both PRD
521 and NPRD (Liu et al. 2017). NO_x emissions from heavy-duty diesel vehicle (HDDV)
522 also dropped, partly due to the decreased HDDV vehicle population. Unlike the HDDT
523 and HDDV, the population of light-duty gasoline vehicle (LDGV) increased
524 significantly, by a factor of 5 and 6 in PRD and NPRD, respectively. This inevitably led
525 to the obvious growth of NO_x emissions from LGDV, despite of the enhancement of
526 vehicle emission standard and weed out of yellow label vehicles (PGGP, 2009).

527 Based on the above analysis, it can be concluded that the NO_x emission trend in
528 GD is dominated by the decline of power plants in PRD and the increase of non-road
529 mobile source and industrial combustion in PRD and NPRD. Particularly, the
530 contribution of non-road mobile source and industrial combustion to NO_x emissions in
531 GD increased from 13% in 2006 to 23% in 2015 and from 12% to 20%, respectively
532 (Fig. 4b), indicating that these two sources should receive more attention in future
533 emission-control measures, especially industrial combustion in NPRD. Regarding on-
534 road mobile source, the largest contributor to NO_x emissions in PRD, LDGVs, should
535 require more attention in the future.

536

537 2.3.3 PM₁₀/PM_{2.5}

538 As shown in Fig. 5e-h, the main sectoral changes between PM₁₀ and PM_{2.5} emission
539 were somewhat similar to each other. Therefore, we focused mainly on the analysis of
540 PM₁₀ emissions. PM₁₀ emissions in PRD and NPRD showed similar variations
541 regarding emission trends and source contributions. They both topped out in 2009 and
542 then decreased monotonically. Compared with 2006, PM₁₀ emissions in 2015 dropped
543 by 27% and 6% in PRD and NPRD, respectively. Dust source, power plants, and
544 industrial process source were the major contributors to the change of PM₁₀ emissions
545 in PRD and NPRD. However, emission trends of these three sources in PRD and NPRD
546 were slightly different, particularly the industrial process source. In PRD, PM₁₀
547 emissions from industrial process source steadily declined after 2010, while in NPRD,
548 PM₁₀ emissions from industrial process source kept an upward trend during 2006-2015.
549 One possible reason for the difference is that control measures for PM₁₀ emissions in
550 PRD were stricter than those in NPRD. In 2010, the local government issued two air
551 action plans and regulated emission control measures for non-metallic minerals
552 industries (Table S1), one of the major PM_{2.5} and PM₁₀ emission sources in GD. For
553 instance, all cement plants, glass manufacturers and other non-metallic minerals
554 industries that still outdated production processes were eliminated by the end of
555 2012. However, these control measures are limited to the PRD region. In PRD and
556 NPRD, dust source increased during 2006-2010 and showed a decrease during 2011-
557 2015. The downturn in the later years was due to the implementation of emission
558 control technologies of dust source in response to the release of the *clean air action*
559 *plan for the Pearl River Delta in Guangdong province* in 2010.

560 As emissions from industrial process source, dust source, and power plants
561 changed dramatically, the major sources contributed to PM_{2.5} and PM₁₀ emissions also
562 changed accordingly (Fig. 4c-d, S6e-h). For PM_{2.5} emissions in GD, contributions from
563 dust source and power plants declined slightly, from 17% in 2006 to 11% in 2015, and
564 from 12% to 7%, respectively. The contribution from industrial process source, the
565 largest contributor, also slightly decreased from 38% to 33%. For PM₁₀ emissions in
566 GD, the contribution of industrial process source increased, from 28% to 34%.
567 Particularly in NPRD, it replaced dust source as the largest contributor since 2012. In
568 PRD, fugitive dust was still the largest PM₁₀ emission source. Based on the above-
569 mentioned analysis, PM emission controls for dust source, especially in PRD, and for
570 industrial process source, especially in NPRD, should be a priority of the agenda in the
571 next stage of emission controls. Also, PM_{2.5} emissions from cooking cannot be
572 neglected in GD; this is because the removal of cooking oil fumes from homes and

573 restaurants was not strictly enforced, although some regulations and emission standards
574 regarding cooking emissions were enacted gradually.

575

576 **2.3.4 VOCs**

577 As shown in Fig. 5i-j, the sectoral changes of VOC emissions in PRD and NPRD
578 were similar. The total emissions in these two regions both showed a rising trend during
579 2006-2015, increasing by 35% and 30% in PRD and NPRD, respectively. The steady
580 increase mainly originated from the growth of industrial-solvent use and non-industrial
581 solvent use, whose emissions in GD respectively increased by 99% and 69% during
582 2006-2015 (Fig. 4e). Industrial solvent use was a large increasing source, especially in
583 PRD where most industrial sources are concentrated. This is expected because solvent
584 use required by industrial sources was growing, but control measures were insufficient.
585 Several VOC control technologies had been adopted since 2010. For instance, the use
586 of low VOC-containing raw materials for printing, shoemaking, furniture
587 manufacturing, and other industries was first proposed at the *clean air action plan for the*
588 *Pearl River Delta in Guangdong province* in 2010. Although these measures slowed an
589 increasing trend of VOC emissions in PRD (VOC emissions from industrial solvent use
590 in PRD increased by 18% during 2006-2010 while they increased by 6% during 2011-
591 2015), the control efficiencies were still low, only 40% of VOC-emitting industries had
592 removal equipment in GD in 2014 according to a field survey (Wang et al., 2018).

593 The contribution from industrial solvent used in NPRD increased from 11% in
594 2006 to 17% in 2015, partly driven by the electronics coating (0.5% of industrial solvent
595 in 2008 rise to 17% in 2011) and appliance coating (14% to 30%) (Fig. 8). This
596 coincided with Yang et al. (2014) study that electronic equipment and appliance
597 manufacturing accounted for 23% of 457 transferred enterprise until 2011. The
598 increasing VOC emissions from industrial-solvent use made it become the largest
599 contributor to VOC emissions in GD in 2015 (Fig. 4e), with a percentage of 32%.
600 Therefore, the implementation of policy and upgrade of control technologies are still
601 required to reduce VOC emissions. In NPRD, non-industrial solvent use was also a
602 major contributor to the increase of VOC emissions. In particular, it became the largest
603 contributor to VOC emissions in NPRD in 2015, with a percentage of 22%, slightly
604 larger than on-road mobile source (21%) (Fig. S6j).

605 Since on-road mobile source was also a major contributor to VOC emissions, the
606 evolution of their VOC emissions is also discussed here (Fig. 9). In both PRD and
607 NPRD, VOC emissions from motorcycles, the largest contributors to VOC emissions
608 from on-road mobile, went down in the past decade due to the relatively strict ban on

609 motorcycles. They decreased by 55% and 38% in PRD and NPRD, respectively. By
610 contrast, VOC emissions from LDGV increased by 118% and 197% in PRD and NPRD,
611 respectively, likely due to the upsurge of the LDGV vehicle population. Particularly in
612 PRD, LDGV's became the largest contributor to vehicle-related VOC emissions since
613 2008, which might also happen in NPRD according to the current trend.

614

615 **2.3.5 CO/NH₃**

616 As shown in Fig. 5k-l, CO emissions in PRD and NPRD both increased steadily
617 during 2006-2013, and then decreased after 2013. However, the sectoral changes were
618 different in these two regions. In PRD, the growth of CO emissions during 2006-2013
619 was mainly attributed to industrial combustion and on-road mobile source, while in
620 NPRD, it was from the industrial combustion and industrial process source. The
621 difference exists because on-road mobile source was primarily concentrated in PRD
622 while iron and steel sectors, the largest CO emitters among industrial process source,
623 were located mainly in NPRD. Notably, production of the iron and steel sectors soared
624 during 2006-2015, increasing by almost 95% in GD (GDPBS, 2007-2016), but
625 emission controls fell behind. As to the decline of CO emissions during 2013-2015 in
626 PRD, on-road mobile was the major reason. By contrast, the slight downturn in NPRD
627 was mostly due to declining emissions from on-road mobile source and biomass
628 burning. All these sectoral changes made industrial combustion (35% in 2015) become
629 the largest contributor to CO emissions in GD (Fig. 4f). In NPRD, the contribution of
630 industrial process source also increased. In contrast, the contribution of on-road mobile
631 to CO emissions in NPRD decreased by 19% in 2015 compared with that in 2006.

632 As shown in Fig. 4g and Fig. 5m-n, agricultural source constituted most to the
633 change of NH₃ emissions, as they accounted for 86%-87% of the total NH₃ emissions
634 in GD. However, their annual changes were different in PRD and NPRD (Fig. 5m-n).
635 In NPRD, NH₃ emissions by agricultural source increased by 11% during 2006-2015,
636 partly as the result of the growth of fertilizing and livestock to meet the increasing
637 demand for food. Another reason was the absence of effective emission controls on
638 agricultural source in China. By contrast, in PRD, NH₃ emissions by agricultural source
639 remained stable.

640

641 **2.4 Evaluation of emission control measures and policy** 642 **implications**

643 To evaluate the efficiencies of the control measures enacted in GD and to provide
644 implications for future policies, we decomposed the emission changes into two

645 categories: (1) changes resulting from change of activity level (activity-driven emission)
646 in the absence of control measures and (2) changes due to the implementation of
647 pollution controls (control-driven emission reduction) (Zheng et al., 2018). We
648 estimated the unabated emissions if pollution control had been frozen at the 2006 level.
649 In other words, we assumed that there were no new control measures adopted since
650 2006. Then the control-driven emission reduction was estimated by comparing the
651 unabated emissions and the actual emissions, and the activity-driven emission was
652 estimated by calculating the annual changes of unabated emissions. Also, we projected
653 the actual emission to 2020 to help understand the potential for more emission control.
654 Here, the planned emission controls before 2020 were assumed to be completely
655 implemented in 2020. These related regulations for SO₂, NO_x, PM₁₀, and VOC
656 emissions controls are summarized in Table S1. The control-driven and activity-driven
657 emissions of SO₂, NO_x, PM₁₀, and VOCs in 2007, 2009, 2011, 2013, and 2015 in
658 addition to the predicted emissions in 2020 in PRD and NPRD are presented in Fig. 10.

659 **2.4.1 SO₂**

660 During 2007-2011, the decline of SO₂ emissions in PRD was driven by emission
661 controls. The control-driven SO₂ emission reductions in PRD dramatically grew from
662 0.120 Tg in 2007 to 0.568 Tg in 2011 (Fig. 10a), mainly attributable to stringent SO₂
663 emission control regulations on industrial combustion and power plants—e.g., shutting
664 down small coal-fired thermal power units, phasing out small boilers, installing flue-
665 gas desulphurization (FDG) equipment and limiting the sulfur contents of fuel. SO₂
666 emission reductions from industrial combustion and power plants account for 57%-75%
667 and 25%-37% of the total emission reductions, respectively. The activity-driven
668 emissions increased by 0.178 Tg during 2007-2011, but their increments were far less
669 than the control-driven emission reductions. The control-driven emission reductions
670 flattened out in recent years. During 2013-2015, the control-driven emission reductions
671 only increased by 4%, which owes much to the effectiveness of SO₂ emission controls
672 in earlier years and the shrinking of control measures in recent years. Nonetheless, SO₂
673 emissions in PRD still steadily declined, partly due to the decrease of activity-driven
674 emissions. By contrast, activity-driven emissions in NPRD kept rising, similar to Fig.6,
675 which also be associated with the transfer of energy-intensive industries from PRD to
676 NPRD (Fig. 10b). Even so, the control-driven emission reductions dramatically
677 increased and outweighed the activity-driven emissions since 2011, when stricter
678 control measures were implemented. Similar to the situation in PRD, pollution control-
679 driven emission reductions in NPRD were mainly attributed to industrial combustion
680 and power plants.

681 Although SO₂ emissions dramatically decreased since 2006, there is potential for
682 further reduction in 2020. On the basis of control-driven emission reductions in 2015,
683 SO₂ emission reduction potentials in PRD and NPRD in 2020 are projected to be 0.10
684 Tg (34% of the total SO₂ emissions in 2015) and 0.29 Tg (approximately equal to the
685 total SO₂ emissions in 2015), respectively. These reductions can be achieved by
686 technical innovations, including ultra-low-emission measures in power plants, a series
687 of actions regarding boiler management, sulfur content controls in fuels, and flue-gas
688 desulfurization in industries. Most of these emission reductions are from industrial
689 combustion and power plants. Particularly for NPRD, 60% of the reductions can come
690 from industrial combustion, more than that in PRD. This is because SO₂ removal
691 efficiencies in industries are relatively low in NPRD. SO₂ emission contribution from
692 non-road mobile source in GD previously presented an increasing trend (Fig. 4a). This
693 reminds us that non-road mobile source still has a high potential for SO₂ emission
694 reduction. In PRD, it could account for approximately 20% of the total SO₂ emission
695 reductions in 2020. Thus, future measures should be focused on industrial combustion
696 and non-road mobile source for controlling SO₂ and NO_x emissions (NO_x also
697 presented a similar result in the subsequent analysis).

698

699 **2.4.2 NO_x**

700 In PRD, the decline of NO_x emissions was driven by emission controls, and was
701 significantly enhanced in 2011 when the 12th Five Year Plan was enacted in GD,
702 including the application of technology for flue-gas denitrification and low NO_x
703 combustion (LNB) in industries and power plants (Kurokawa et al., 2013), the
704 elimination of yellow-label cars, and progressive advancements in vehicle emission and
705 fuel standards. These mitigation measures yielded 0.315 Tg NO_x emission reductions
706 in 2011, and offset the growth of activity-driven emissions. During 2007-2015, power
707 plants and on-road mobile source were the two major contributors, accounting for 34%-
708 59% and 38%-60% of the total control-driven emission reductions, respectively.
709 Industrial combustion also contributed 2%-14% of the total control-driven emission
710 reductions. Unlike in PRD, NPRD's new clean-air actions mostly focused on power
711 plants, and these measures were not stringent enough to cover the growth of NO_x
712 emissions before 2011. After 2011, the control measures of NO_x emissions from power
713 plants in NPRD were strengthened, leading to a significant increase of control-driven
714 NO_x emission reductions. Consequently, the total NO_x emissions slightly declined.
715 Apart from power plants, on-road mobile source and industrial combustion also partly
716 contributed to the control-driven emission reductions in NPRD in recent years.

717 On the basis of control-driven emission reductions in 2015, NO_x emissions in
718 2020 could be further reduced by 0.24 Tg both in PRD (30% of the total NO_x emissions
719 in 2015) and NPRD (43% of the total NO_x emissions in 2015). Most of these projected
720 reductions could come from industrial combustion and power plants as a result of the
721 implementation of stricter regulations, e.g., ultra-low emissions for power plants, boiler
722 management, and flue-gas denitrification for industries. In PRD, on-road and non-road
723 mobile sources also have a relatively high potential for NO_x emission reduction.
724 Particularly, on-road mobile source, especially LDGV, requires more effective control
725 measures. Although current control measures have alleviated the amount of vehicle
726 emissions in recent decades, it still cannot cover the increased emissions driven by the
727 rapid growth of vehicle population, as shown in Fig. 5c. Further reduction of on-road
728 mobile NO_x emissions can be achieved by the implementation of control regulations.

730 **2.4.3 PM₁₀**

731 The activity-driven emission of PM₁₀ in PRD and NPRD both steadily increased
732 during 2006-2015 due to growth in activity. From 2006 to 2015, the gross industrial
733 output value increased by 48% (GDPBS, 2007-2016), but control measures were not
734 implemented until 2009, leading to a slight increase of PM₁₀ emissions during 2006-
735 2009. After 2009, the installation of dust removal equipment dramatically increased
736 with the stricter implement of PM control measures, such as special requirements
737 limiting soot emission in power plants, boiler management with smaller capacity, and
738 a series of pollution controls for non-metallic minerals industries. These measures boost
739 the control-driven emission reductions, which can counterbalance the growth of
740 activity-driven emission of PM₁₀ in PRD and NPRD. In PRD, the control-driven
741 emission reductions dramatically improved, from 0.024 Tg in 2009 to 0.318 Tg in 2015,
742 while in NPRD, they improved from 0.014 Tg in 2009 to 0.258 Tg in 2015. In both the
743 PRD and NPRD region, industrial combustion, power plants, and dust source were the
744 three major contributors to the control-driven emissions reductions.

745 Compared with control-driven emission reductions in 2015, PM₁₀ emissions in
746 2020 in the PRD and NPRD could be further reduced by 0.31 Tg (60% of the total PM₁₀
747 emissions in 2015) and 0.33 Tg (49% of the total PM₁₀ emissions in 2015), respectively.
748 Fugitive dust is the most significant contributor, accounting 45% and 31% of the total
749 reductions in PRD and NPRD, respectively. This can be achieved by applying online
750 monitoring technology for supervising construction dust (Sun et al., 2016) and more
751 advanced measures, such as achieving a “6 100%” target for construction sites and
752 increasing machine cleaning ratio for road dust. Industrial process source, power plants,

753 and industrial combustion also have major potential to achieve emission reduction,
754 especially industrial process source in the NPRD.

755

756 **2.4.4 VOCs**

757 For VOCs, control-driven emission reductions in PRD and NPRD were slight in
758 the past decade. Although VOC emission-control measures, such as promoting emphasis
759 on strict end-of-pipe controls and leak detection and repair (LDAR) technology in VOC-
760 emitting industries, and strengthening oil and gas recovery in gas stations, have been
761 gradually highlighted since 2014 when *action plan for air pollution prevention and*
762 *control in Guangdong province (2014-2017)* (GDEP, 2014) was released, the regulation
763 has not been well executed. Emission reductions from solvent sources, the largest
764 contributor to VOC emissions in PRD (Fig. S6i), were 0.075 Tg in 2015, highly
765 associated with the use of low-VOC products and environmental-friendly paints that
766 contain low or even no VOCs. However, these emission reductions only accounted for
767 10%-35% of the total VOC emission reductions. In fact, 65%-86% of the control-driven
768 VOC emission reductions were from on-road mobile source, which is mainly attributed
769 to the improvement of emission standards and oil quality for vehicles, management of
770 yellow label cars, and the popularization of green traffic. Even so, the control-driven
771 VOC emission reductions (from 0.016 Tg in 2007 to 0.294 Tg in 2015) were far
772 outweighed by the activity-driven growth in emissions (from 0.699 Tg in 2007 to 1.172
773 Tg in 2015), resulting from the growth of vehicle populations and increasing use of
774 solvents, which consequently drove up VOC emissions in PRD and NPRD (Fig. 5i-j).

775 In 2020, if the existing emission control regulations were fully implemented, VOC
776 emissions in PRD would decrease by 30% relative to the emission level in 2015. The
777 emission reduction potentials are 0.61 Tg in PRD (69% of the total VOC emissions in
778 2015) and 0.56 Tg in NPRD (0.2 times higher than total VOC emissions in 2015),
779 respectively, much larger than the emission reduction potentials of SO₂, NO_x, and PM₁₀.
780 Reduced solvent use is the largest factor. This is because current VOC end-of-pipe
781 removal efficiency in GD is still low. Therefore, VOC emissions from solvent use could
782 be greatly reduced by improving end-of-pipe removal efficiency. In fact, VOC emission
783 controls on solvent use and industrial process source were particularly prioritized
784 during the 13th FYP (2016-2020). If the VOCs end-of-pipe removal efficiencies achieve
785 their control targets in the 13th FYP, VOCs emission reductions from solvent use will
786 be 0.388 Tg and 0.257 Tg in PRD and NPRD, respectively, accounting for 43% and 38%
787 of the total VOC emission reductions. Another source with large potential for emission
788 is on-road mobile source.

790 **3 Summary and conclusions**

791 This study provided the first long-term record of anthropogenic air pollutant
792 emissions in GD, the three largest city clusters in China, and advanced our understating
793 of air pollutant emissions and control measures in Guangdong. The emission trends and
794 their spatial variation were validated by ground-based observations and satellite data.
795 Anthropogenic emissions of most pollutants in GD generally saw downward trends
796 over the 2006-2015 decade, with NH₃ and VOC emissions being the exceptions. In that
797 decade, emissions of SO₂, PM₁₀, PM_{2.5}, and NO_x decreased by 48%, 22%, 16%, and
798 0.5%, respectively, despite the significant growth of economic and anthropogenic
799 activity. The decoupling of anthropogenic emissions from economic and energy
800 consumption growth means that emission regulations and control measures on power
801 plants, industrial combustion, on-road mobile source, and dust source enacted over the
802 past decade have alleviated emissions. By contrast, because of the absence of effective
803 control measures, NH₃ emissions remained stable while VOC emissions steadily
804 increased by 33% during 2006-2015.

805 Because of their discrepancies in emission control progresses, PRD and NPRD
806 showed different emission evolutions. Overall, emissions of SO₂, NO_x, PM_{2.5}, and
807 PM₁₀ in the PRD region showed significant downward trends during the period of 2006-
808 2015, while emission from NPRD remained relatively stable due to the lax control
809 measures. Specially, industrial combustion and industrial process presented opposite
810 trends between PRD and NPRD. In PRD, emissions from industrial combustion
811 declined consistently during 2006-2015 while in NPRD, these emissions continued to
812 show an upward trend. Similar to the industrial combustion, emissions from industrial
813 process source also declined in PRD but increased in NPRD during 2006-2015. As a
814 result, emissions in NPRD were increasingly important in GD. The contribution of
815 NPRD to SO₂ emissions in GD dramatically increased from 27% in 2006 to 48% in
816 2015. By the end of 2015, emissions of PM₁₀ (56%), PM_{2.5} (54%) and NH₃ (68%) in
817 NPRD already accounted for more than 50% of the total emissions in GD. Particularly,
818 industrial emission leaks from PRD to NPRD were observed in this study, which partly
819 enhanced the significance of emissions in NPRD. Although the shift of industries
820 reduced PRD emissions, it might not effectively alleviate the air quality in PRD since
821 industrial emissions also have a certain influence on the RPD region, particularly in
822 winter season (Yin et al., 2017).

823 In GD, future emission control works should focus on power plants, industrial

824 combustion, and non-road mobile sources to further reduce emissions of SO₂, NO_x, and
825 particulate matter. This can be achieved by technical innovations consisting of ultra-
826 low emissions in power plants, a series of actions regarding boiler management, control
827 of sulfur content in fuels, flue-gas desulfurization in industries, and special pollution
828 controls for non-metallic minerals industries. In addition, control measures on
829 agricultural source, the largest contributors of NH₃ emissions, should be highlighted.
830 As revealed by Yin et al. (2018), the chemical region in PRD might transit to an
831 ammonia-rich region with a decrease of SO₂ and NO_x emissions. In this case, a larger
832 reduction in NH₃ emissions would be required to further decrease ambient PM_{2.5} levels
833 in GD. This is feasible since NH₃ emissions in GD still have great potential for further
834 reductions. VOC emission reduction is another concern to achieve co-control of PM_{2.5}
835 and ozone, future work should also focus on VOC emissions. In fact, the reduction of
836 VOCs emissions is promising since stringent controls on solvent use was released in
837 *Volatile organic compounds (VOCs) remediation and emission reduction work plan in*
838 *Guangdong Province (2018-2020)* (GDEP, 2018). Apart from regulating solvent use,
839 control measures for on-road mobile source should be enhanced to cover the growth of
840 emissions induced by the increase of vehicle population.

841 The historical emission inventory developed in this study not only helps to
842 understand the emission evolution in GD, but also could also help to reveal the
843 dominant causes of air-quality change in PRD. The annual averaged PM_{2.5}
844 concentrations in PRD showed a decrease in the 2006-2015, from 58 µg/m³ in 2007 to
845 34 µg/m³ in 2017. By contrast, the 90th-percentile daily max 8-h average ozone showed
846 a fluctuating increase, from 146 µg/m³ in 2007 to 165 µg/m³ in 2017. Our proposed a
847 long-term historical inventory might be able to explain the change of PM_{2.5} and ozone
848 concentrations. As shown in Fig. 5, emissions of SO₂, NO_x, and PM_{2.5} in PRD all
849 steadily fell in this decade. Particularly, SO₂ and NO_x emissions, the two major
850 precursors of PM_{2.5} formations, decreased by 63% and 14%, respectively, during 2006-
851 2015. This trend of precursor emissions agreed with the declining trend of ambient
852 PM_{2.5} concentrations. VOC emissions in PRD showed a rising trend, increasing by 35%
853 during 2006-2015. Ou et al. (2016) had revealed that most parts of PRD formed a VOC-
854 limited region in autumn and winter. This suggests that the growing VOC emissions
855 and the decreasing NO_x emissions might contribute to the growth of ozone
856 concentrations in PRD. However, this does not mean that emission changes are the
857 dominant cause. Using numerical simulations and the long-term historical emission
858 inventory developed in this study, we can quantify the effectiveness of emission control
859 measures and the impact of meteorological change on air quality in PRD. Consequently,

860 the dominant cause of the increase of ambient ozone concentrations and the downward
861 trend of PM_{2.5} concentrations in PRD in the recent decade can be identified.

862 This study applied a top-down method to develop long-term emission inventories.
863 This method is feasible for two reasons. On one hand, long-term emission inventories
864 generally focus on emission trend, sectoral evolution and emission projection, rather
865 than the high-resolution spatial distribution. On the other hand, obtaining long-term
866 activity data for top-down method is more readily accessible. Therefore, most previous
867 studies (Streets et al. 2006, Zhang et al, 2007, Lu et al. 2012, Zheng et al., 2018) also
868 applied the same method to develop long-term emission inventories. However, this
869 study can be further improved in following aspects. First, a method based fuel
870 consumption might underestimates marine emissions, due to the absence of passing
871 ships that traveled through the study domain but did not call at any port in mainland
872 China (Li et al., 2018). In China, the passing ships accounted for about 7% of the total
873 fuel consumption. To fix the underestimation, the AIS data can be used to calibrate the
874 total fuel consumption in GD. Second, the annual average vehicle kilometers traveled
875 and the ratio of diesel vehicles to gasoline vehicles were obtained from a field survey,
876 which only cover 8 cities and 111 roads in GD. These survey data cannot well represent
877 each city in GD and thus might bring uncertainties in characterizing emission evolution
878 of on-road mobile source. To better reflect the temporal pattern and differentiate
879 emissions between different cities in GD, more field survey of vehicle types, fuel
880 consumption, and emission standard should be conducted to better differentiate vehicle
881 emissions between different cities in GD.

882

883 **Authorship Contribution Statement**

884 Zheng J. Y., and Huang Z. J. provided writing ideas with Shao M. support. Huang
885 Z. J., Bian Y. H., and Ou J. M. carried them out. Huang Z. J., Zheng J. Y., and Ou J. M.
886 revised and polished the article. Bian Y. H., Zhong Z. M., Xiao X., Ye, X. and Wu Y. Q.
887 developed the decadal emission inventories and contributed to discussions of results.
888 Chen, L. F., Xu, Y. Q., Zhang, Z. W. and Yin, X. H. helped with verification of satellite
889 data. All authors have made substantial contributions to the work reported in the
890 manuscript.

891

892 **Competing interests**

893 The authors declare that they have no conflict of interest.

894

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901 Sciences, for providing the satellite data.

902 **Appendix A. Supplementary information**

903 Attached please find supplementary information associated with this article.

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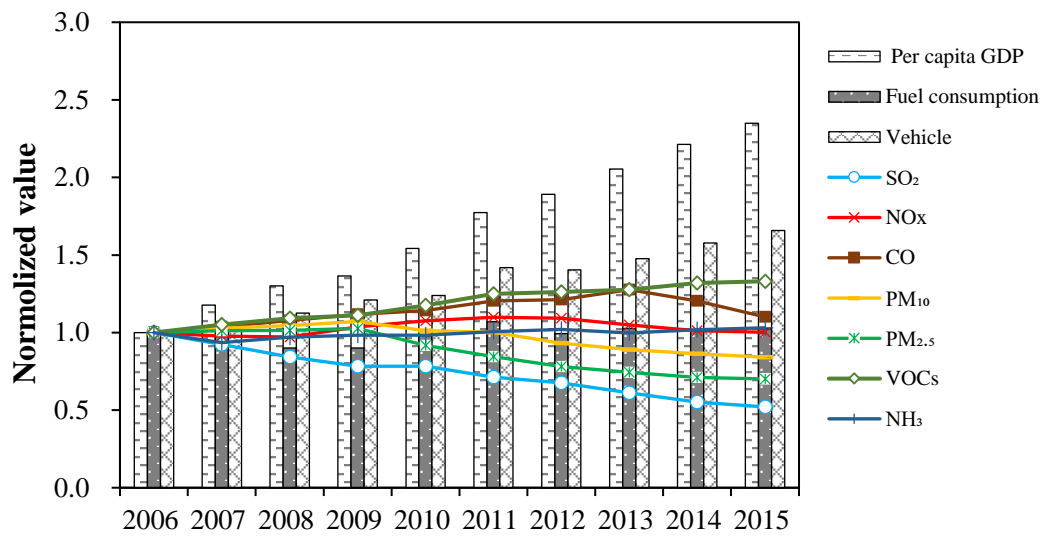
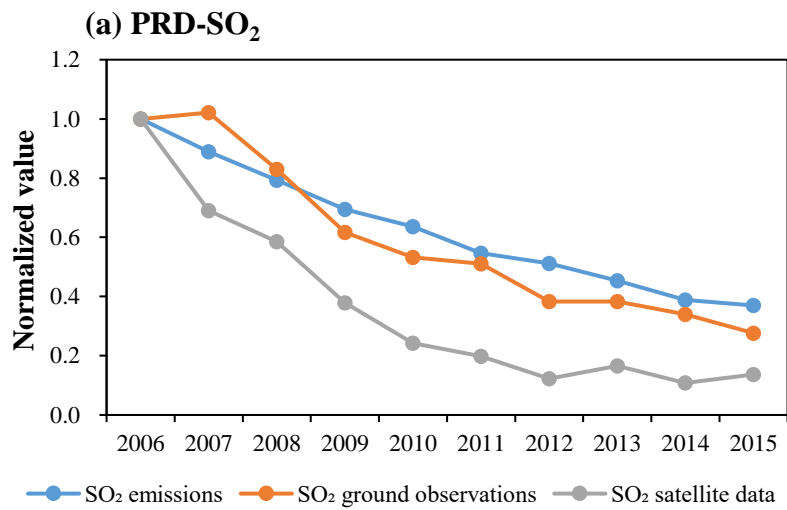


Figure 1. Trends in the air pollutant emissions, per capita GPD, fuel consumption and vehicle population in Guangdong Province from 2006 to 2015 (all of data are normalized to the year 2006).



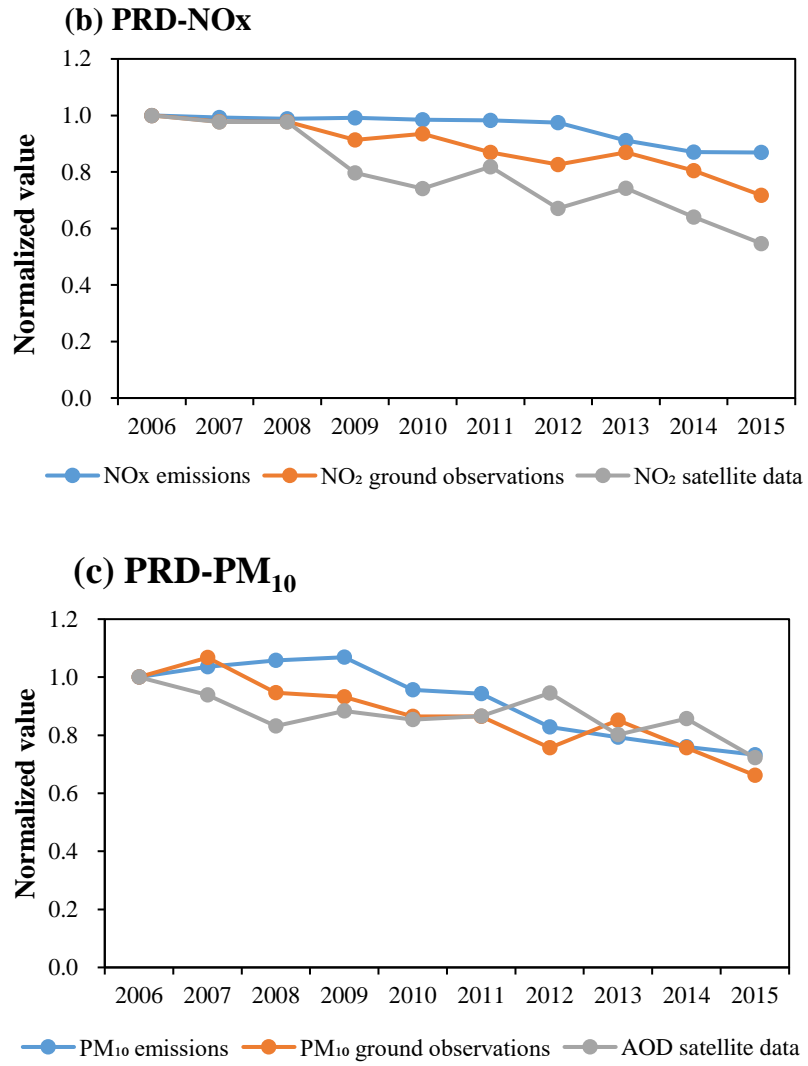


Figure 2. Comparison of emission trends with measurements in the PRD from 2006 to 2015. **(a)** SO₂ **(b)** NO_x **(c)** PM₁₀ (all of data are normalized to the year 2006).

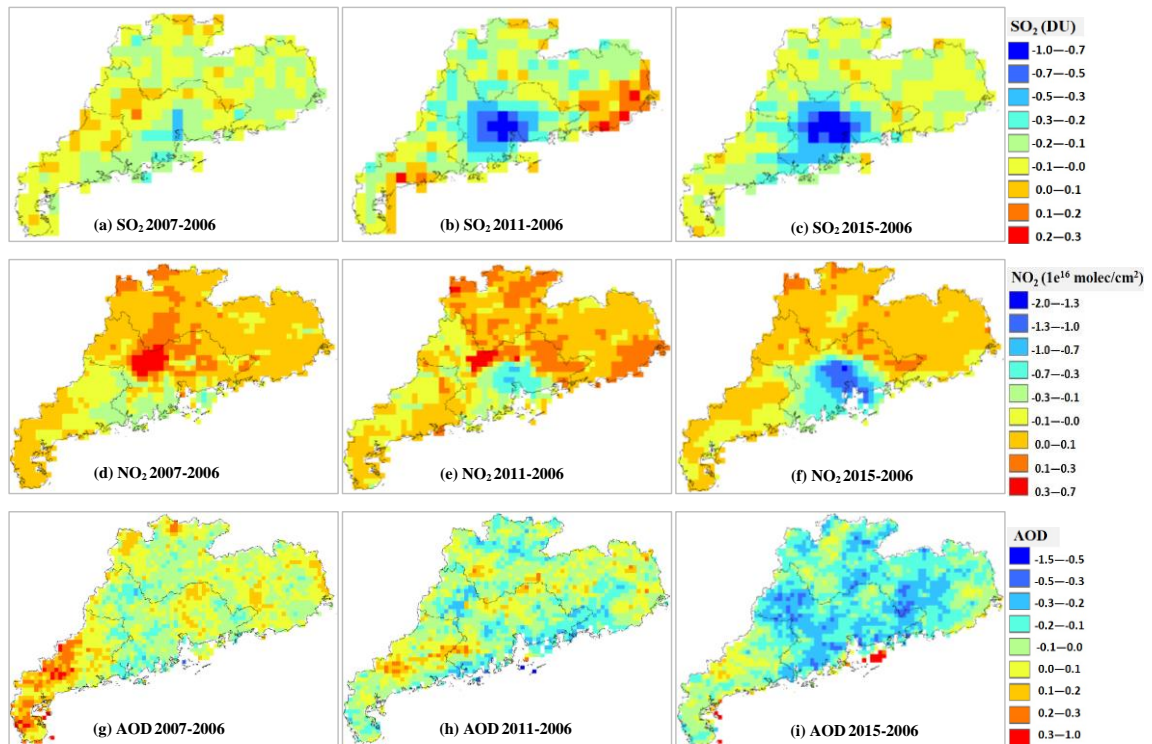


Figure 3. Changes in spatial patterns of satellite observations over GD in 2007, 2011 and 2015 base on 2006 for (a)-(c) SO₂, (d)-(f) NO₂, and (g)-(i) AOD. The legends represent a gradual increase in emissions from top (blue) to bottom (red). Central zone inside the inner black line presents the PRD region, outside presents the NPRD region.

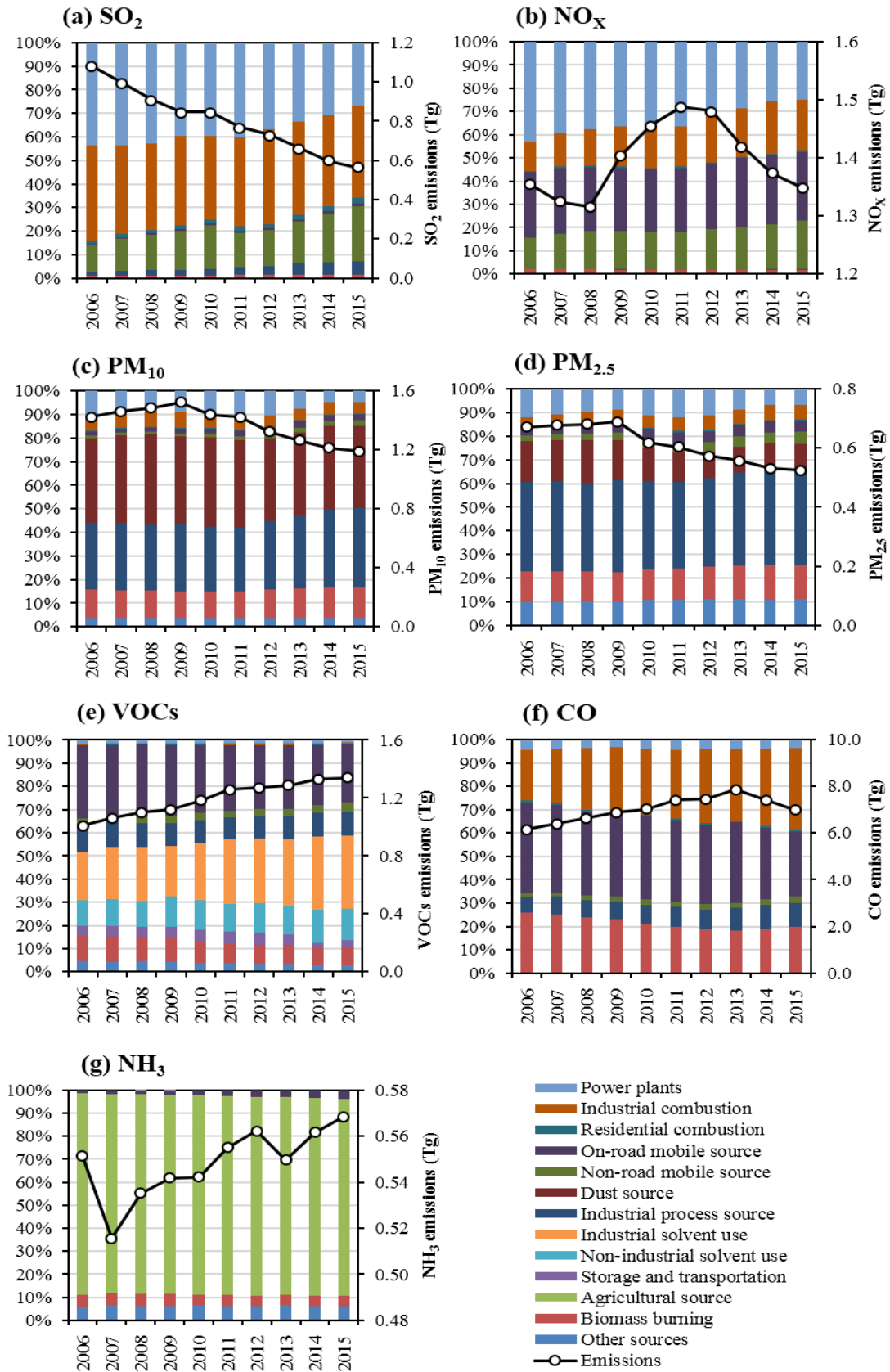
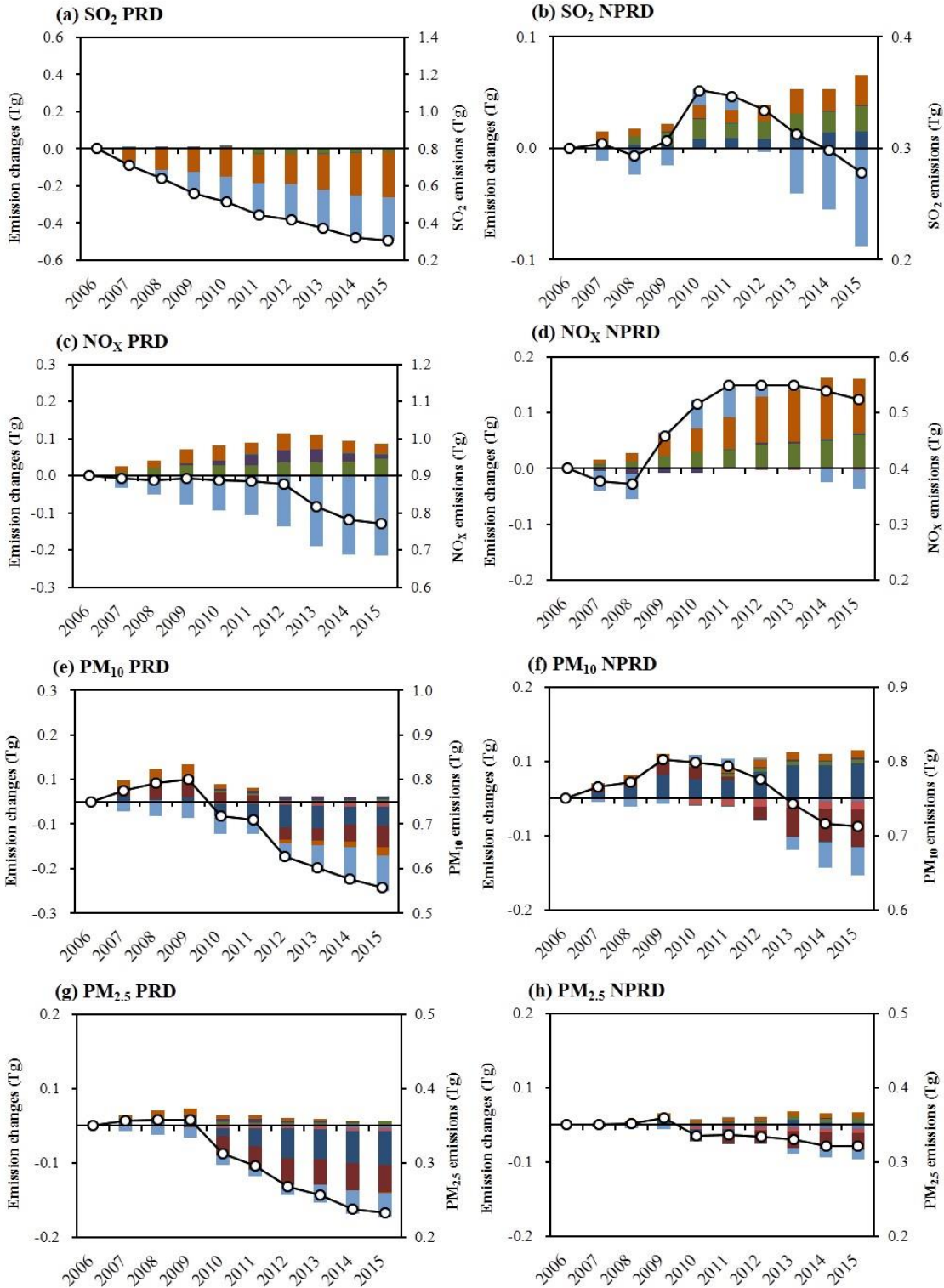


Figure 4. Source emission evolutions in Guangdong Province for **(a)** SO₂, **(b)** NO_x, **(c)** PM₁₀, **(d)** PM_{2.5}, **(e)** VOCs, **(f)** CO and **(g)** NH₃ from 2006 to 2015.



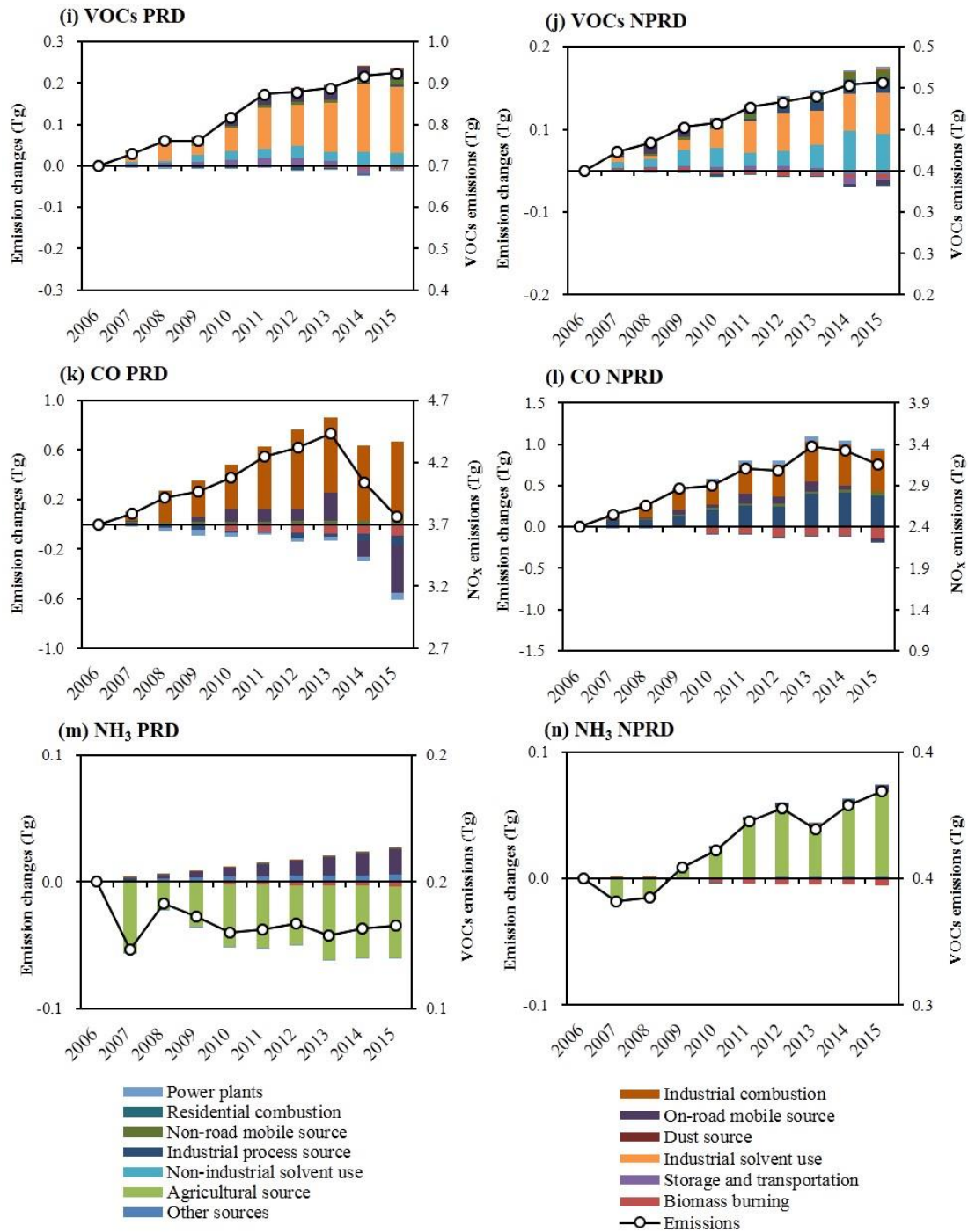


Figure 5. Emission evolutions by source in the PRD and NPRD for (a)-(b) SO₂, (c)-(d) NO_x, (e)-(f) PM₁₀, (g)-(h) PM_{2.5}, (i)-(j) VOCs, (k)-(l) CO and (m)-(n) NH₃ from 2006 to 2015.

Source emissions in 2006 were subtracted from total emissions for each year to exhibit the additional emissions compared to 2006 (left axle). The total emissions by pollutant during 2006-2015 was also reflected in right axle.

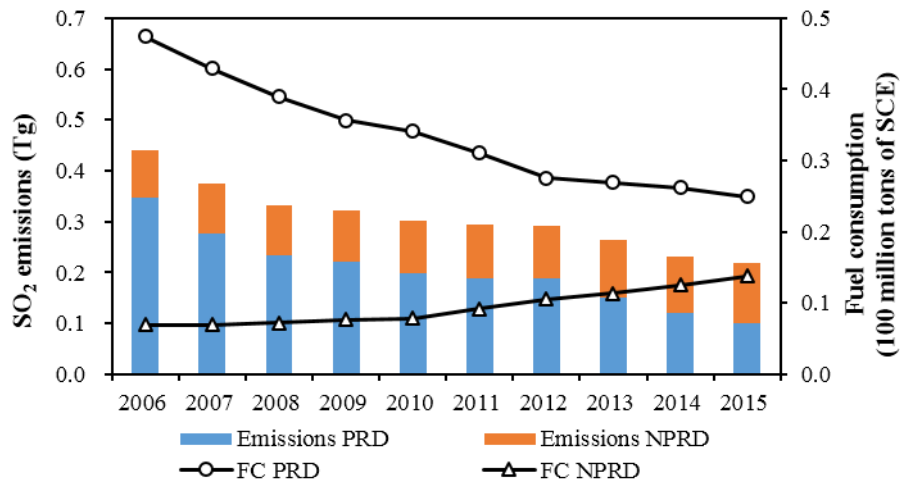


Figure 6. Trends of SO₂ emissions and fuel consumption from industrial combustion from 2006 to 2015. SCE represents standard coal equivalent; FC represents fuel consumption (i.e., coal, fuel oil, coke, and nature gas), and all of FC data are normalized to the year 2006.

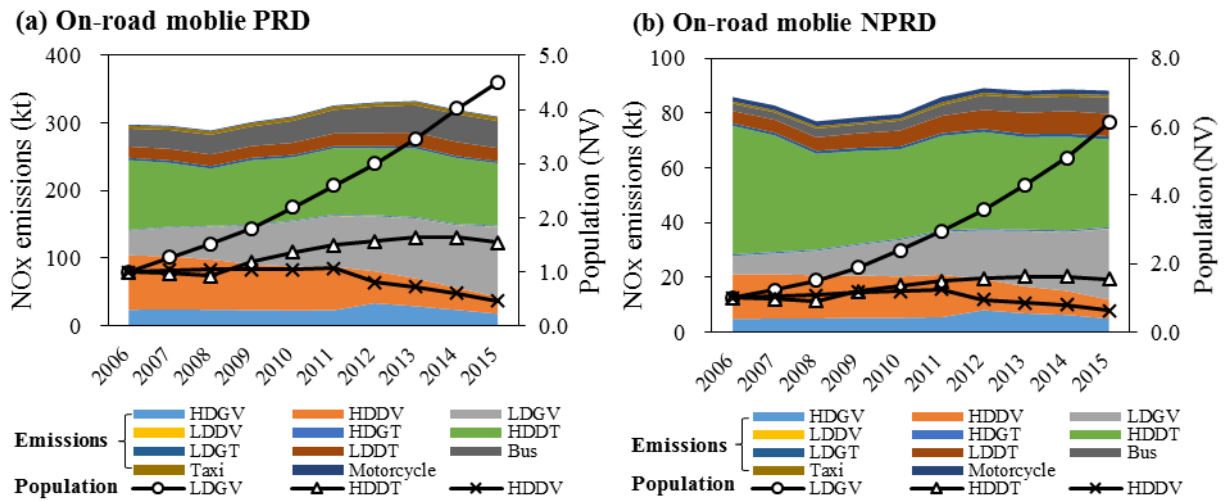


Figure 7. Trends of NO_x emissions from on-road mobile source and its activity data from 2006 to 2015 in the (a) PRD and (b) NPRD.

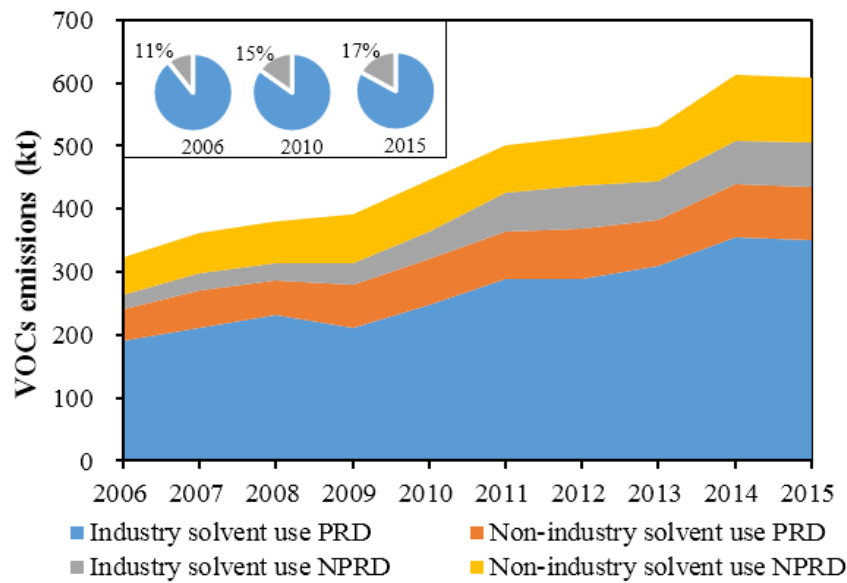


Figure 8. Trends of VOC emissions from solvent use (both industrial and non-industrial) and the contributions of industrial solvent use in the PRD and NPRD from 2006 to 2015.

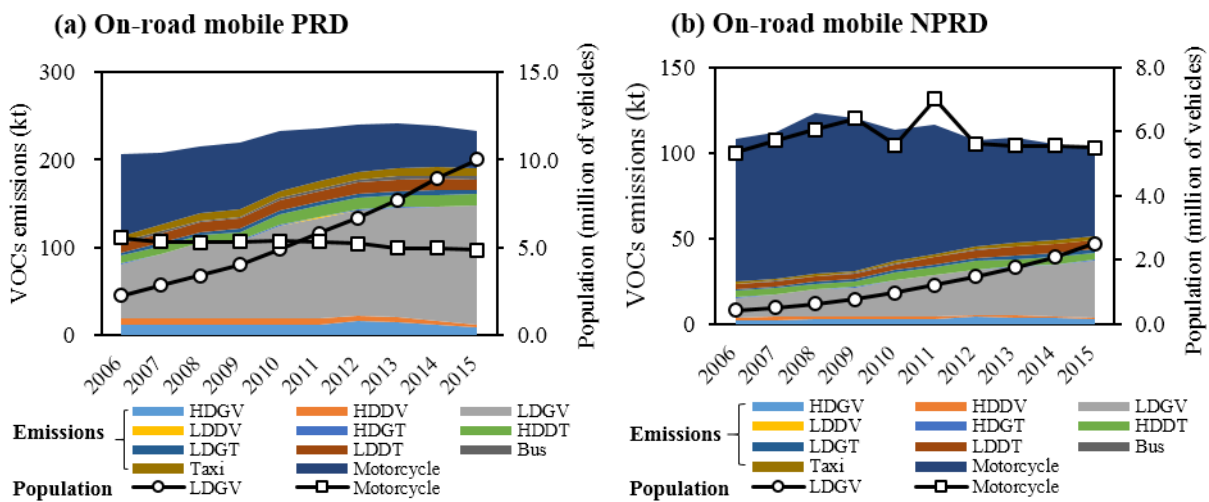


Figure 9. Trends of VOCs emissions from on-road mobile source and its activity data from 2006 to 2015 in the (a) PRD and (b) NPRD

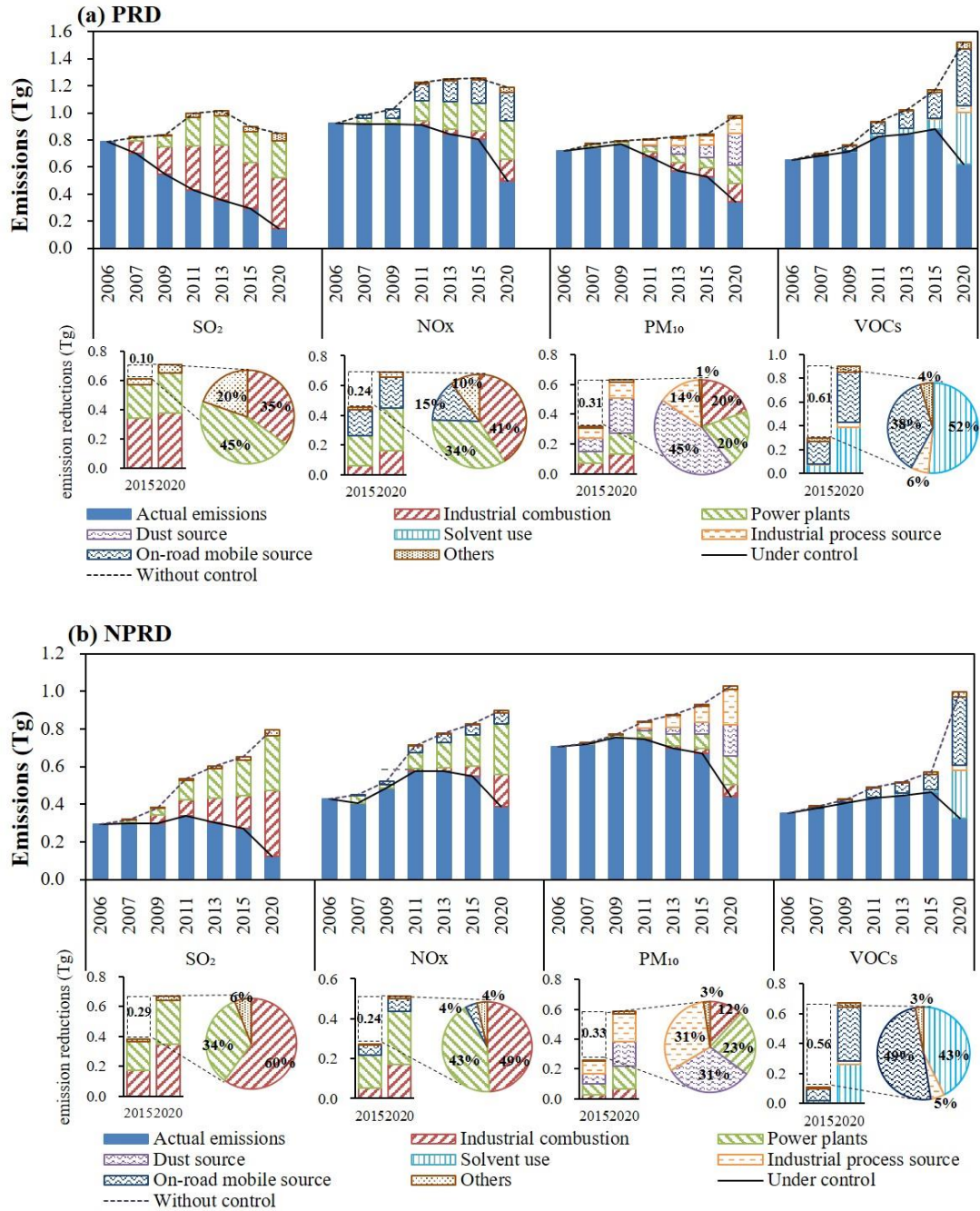


Figure 10. Control- and activity-driven emissions of SO₂, NO_x, PM₁₀ and VOCs in 2007, 2009, 2011, 2013, and 2015 in addition to emission predictions in 2020 for the (a) PRD and (b) NPRD. Solvent use here includes industrial solvent use and non-industrial solvent use. The solid black line and the solid blue bar denotes the actual emissions we estimated under control (i.e., the results under the interaction of control- and activity-driven emissions; if control-driven emission dominated, the actual emissions would drop, and vice versa), and the dotted black line denotes the hypothetical emissions without control (i.e., activity-driven emission; if no new control measures were adopted after 2006). The non-solid chromatic bars and pies illustrate the emission reductions for multiple sources (i.e., control-driven emission). The dotted box represents extra

emission reductions in 2020 compared to 2015.

Table 1. Proportions of emissions in NPRD to the total emissions in GD.

Years	SO₂	NO_x	CO	PM₁₀	PM_{2.5}	VOCs	NH₃
2006	27.1%	31.6%	39.4%	49.5%	50.3%	35.2%	64.0%
2007	29.7%	30.5%	40.2%	49.2%	49.8%	35.6%	66.7%
2008	31.3%	30.5%	40.4%	48.9%	49.8%	35.3%	64.5%
2009	35.3%	34.6%	41.9%	49.7%	50.4%	36.3%	65.9%
2010	40.7%	37.3%	41.5%	52.3%	51.9%	34.8%	67.1%
2011	44.1%	38.8%	42.2%	52.5%	50.6%	34.3%	67.7%
2012	44.7%	39.0%	41.6%	55.1%	52.0%	34.5%	67.7%
2013	46.0%	40.6%	43.2%	55.0%	52.0%	34.6%	67.7%
2014	48.7%	41.3%	45.1%	55.6%	53.5%	34.5%	68.1%
2015	48.2%	40.6%	46.9%	56.0%	54.0%	34.5%	68.2%