

Retrieving the global distribution of threshold of wind erosion from satellite data and
implementing it into the GFDL AM4.0/LM4.0 model

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1 **Abstract.** Dust emission is initiated when surface wind velocities exceed the threshold
2 of wind erosion. Many dust models used constant threshold values globally. Here we use
3 satellite products to characterize the frequency of dust events and land surface properties.
4 By matching this frequency derived from Moderate Resolution Imaging
5 Spectroradiometer (MODIS) Deep Blue aerosol products with surface winds, we are able
6 to retrieve a climatological monthly global distribution of wind erosion threshold
7 ($V_{threshold}$) over dry and sparsely-vegetated surface. This monthly two-dimensional
8 threshold velocity is then implemented into the Geophysical Fluid Dynamics Laboratory
9 coupled land-atmosphere model (AM4.0/LM4.0). It is found that the climatology of dust
10 optical depth (DOD) and total aerosol optical depth, surface PM₁₀ dust concentrations,
11 and seasonal cycle of DOD are better captured over the “dust belt” (i.e. North Africa and
12 the Middle East) by simulations with the new wind erosion threshold than those using the
13 default globally constant threshold. The most significant improvement is the frequency
14 distribution of dust events, which is generally ignored in model evaluation. By using
15 monthly rather than annual mean $V_{threshold}$, all comparisons with observations are further
16 improved. The monthly global threshold of wind erosion can be retrieved under different
17 spatial resolutions to match the resolution of dust models and thus can help improve the
18 simulations of dust climatology and seasonal cycle as well as dust forecasting.

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24 **1. Introduction**

25 Mineral dust is one of the most abundant aerosols by mass and plays an important
26 role in the climate system. Dust particles absorb and scatter solar and terrestrial radiation,
27 thus modifying local energy budget and consequently atmospheric circulation patterns.
28 Studies have shown that the radiative effect of dust can affect a wide range of
29 environmental processes. Dust is shown to modulate West African (e.g., Miller and
30 Tegen, 1998; Miller et al., 2004; Mahowald et al., 2010; Strong et al., 2015) and Indian
31 (e.g., Jin et al., 2014; Vinoj et al., 2014; Jin et al., 2015; Jin et al., 2016; Solomon et al.,
32 2015; Kim et al., 2016; Sharma and Miller, 2017) monsoonal precipitation. During severe
33 droughts in North America, there is a positive feedback between dust and the
34 hydrological cycle (Cook et al., 2008, 2009; 2013). African dust is also found to affect
35 Atlantic tropical cyclone activities (e.g., Dunion and Velden, 2004; Wong and Dessler,
36 2005; Evan et al., 2006; Strong et al., 2018). When deposited on snow and ice, dust
37 reduces the surface reflectivity, enhancing net radiation and accelerating snow and ice
38 melting, and consequently affecting runoff (e.g., Painter et al., 2010; 2018; Dumont et al.,
39 2014). Dust can serve as ice nuclei and affect the formation, lifetime, and characteristic
40 of clouds (e.g., Levin et al., 1996; Rosenfield et al., 1997; Wurzler et al., 2000; Nakajima
41 et al., 2001; Bangert et al., 2012), perturbing the hydrological cycle. Iron and phosphorus
42 enriched dust is also an important nutrient for the marine and terrestrial ecosystems and
43 thus interacts with the ocean and land biogeochemical cycles (e.g., Fung et al., 2000;
44 Jickells et al., 2005; Shao et al., 2011; Bristow et al., 2010; Yu et al., 2015).

45 Given the importance of mineral dust, many climate models incorporate dust
46 emission schemes to simulate the life cycle of dust aerosols (e.g., Donner et al., 2011;

47 Collins et al., 2011; Watanabe et al., 2011; Bentsen et al., 2013). Mineral dust particles
48 are lifted from dry and bare soils into the atmosphere by saltation and sandblasting. This
49 process is initiated when surface winds reach a threshold velocity of wind erosion. The
50 value of this wind erosion threshold depends on soil and surface characteristics, including
51 soil moisture, soil texture and particle size, and presence of pebbles, rocks, and
52 vegetation residue (e.g., Gillette et al., 1980; Gillette and Passi, 1988; Raupach et al.,
53 1993; Fécan et al., 1999; Zender et al., 2003; Mahowald et al., 2005), and thus varies
54 spatially and temporally (Helgren and Prospero, 1987). Due to a lack of in-situ data at
55 global scale and uncertainties on these dependencies, most dust and climate models
56 prescribe a spatially and temporally constant threshold of wind erosion for surface 10 m
57 wind (e.g., around 6 to 6.5 m s⁻¹) over dry surface for simplicity (e.g., Tegen and Fung,
58 1994; Takemura et al., 2000; Uno et al., 2001; Donner et al., 2011). For instance, in the
59 Geophysical Fluid Dynamics Laboratory coupled land-atmosphere model AM4.0/LM4.0
60 (Zhao et al., 2018a, b), a constant threshold of 6 m s⁻¹ is used. On the other hand, some
61 models, such as the ECHAM-HAM, HadGEM2-ES, and ICON-ART, parameterize the
62 constant dry threshold friction velocity (usually a function of soil particle size, soil and
63 air density) or threshold wind velocity with dependencies on soil moisture, surface
64 roughness length, and vegetation coverage (e.g., Takemura et al. 2000; Ginoux et al.
65 2001; Zender et al. 2003; Cheng et al., 2008; Jones et al., 2011; Rieger et al., 2017).

66 The threshold of wind erosion may be approximately inferred using observations.
67 For instance, Chomette et al. (1999) used the Infrared Difference Dust Index (IDDI) and
68 10 m winds reanalysis from the European Centre for Medium-Range Weather Forecasts
69 (ECMWF) between 1990 and 1992 to calculate the threshold of wind erosion over seven

70 sites over the Sahel and Sahara. The IDDI was used to determine whether there was a
71 dust event for subsequently calculating an emission index defined as the number of dust
72 events to the total number of potential events. The distribution of surface wind speed was
73 matched with the emission index, and the threshold of wind erosion was determined
74 when the emission index was around 0.9. The resulting average threshold of wind erosion
75 ranged from 6.63 m s^{-1} at a Sahelian site to about 9.08 m s^{-1} at a Niger site, consistent
76 with the model results by Marticorena et al. (1997).

77 Later, Kurosaki and Mikami (2007) used World Meteorological Organization
78 (WMO) station data from March 1998 to June 2005 to examine the threshold wind speed
79 in East Asia. Using the distribution of surface wind speed and associated weather
80 conditions (i.e., with or without dust emission events), they approximated a dust emission
81 frequency by dividing number of dust events to the total number of observations for each
82 wind bin, and then determined threshold wind speeds at the 5% and 50% levels,
83 corresponding to the most favorable and normal land surface conditions for dust
84 emission, respectively. They found that the derived threshold wind speed varied in space
85 and time, with a larger seasonal cycle in grassland regions, such as northern Mongolia,
86 and smaller seasonal variations in desert regions, such as the Taklimakan and Gobi
87 Deserts and the Loess Plateau. Cowie et al. (2014) applied a similar method over
88 northern Africa, using wind data observed between 1984 and 2012, and focused on
89 threshold winds at the 25%, 50%, and 75% levels.

90 Draxler et al. (2010) derived the distribution of threshold of friction velocity over
91 the U.S. by matching the frequency of occurrence (FoO) of Moderate Resolution Imaging
92 Spectroradiometer (MODIS) Deep Blue (Hsu et al., 2004) aerosol optical depth (AOD)

93 above 0.75 with the FoO of friction velocities extracted from the North American
94 Mesoscale (NAM) forecast model at each grid point. This new threshold and a soil
95 characteristics factor was then incorporated into the Hybrid Single-Particle Lagrangian
96 Integrated Trajectory (HYSPLIT) model (Draxier and Hess, 1998) to forecast dust
97 surface concentrations. It was found that major observed dust plume events in June and
98 July 2007 were successfully captured by the model. Later, Ginoux and Deroubaix (2017)
99 used FoO derived from the MODIS Deep Blue dust optical depth (DOD) record to
100 retrieve the wind erosion threshold of surface 10 m winds over East Asia.

101 For individual dust events, the threshold of friction velocity can also be
102 determined by fitting a second-order Taylor series to dust saltation flux measurements
103 (Barchyn and Hugenholtz, 2011; Kok et al., 2014b).

104 Nonetheless, a global distribution of threshold of wind erosion with observational
105 constraints that may be implemented in climate models is still lacking. In this study, we
106 propose a method to retrieve monthly global threshold of wind erosion (hereafter,
107 $V_{threshold}$) for dry and sparsely-vegetated surface (i.e., under favorable conditions for dust
108 emission) using high-resolution satellite products and reanalysis datasets. This two-
109 dimensional threshold of surface 10 m winds is then implemented into the Geophysical
110 Fluid Dynamics Laboratory (GFDL) coupled land-atmosphere model, AM4.0/LM4.0
111 (Zhao et al., 2018a, b). The benefits of using this spatial and temporal varying threshold
112 in simulating present-day climatology and seasonal cycles of dust are analyzed by
113 comparing the model results with observations.

114 The data and method used to retrieve the threshold of wind erosion are detailed in
115 section 2. The distribution of the derived $V_{threshold}$ and its implication in the climate model

116 is presented in section 3. Section 4 discusses the uncertainties associated with this
117 method, and major conclusions are summarized in section 5.

118

119 **2. Data and Methodology**

120 In this section we first introduce the satellite products, observational data, and
121 reanalyses used to retrieve the threshold of wind erosion and validate model output
122 (section 2.1). The processes to retrieve the threshold of wind erosion are detailed in
123 section 2.2. The uncertainties of $V_{threshold}$ associated with the retrieval criteria and
124 selection of surface wind datasets are discussed in section 2.3. Section 2.4 introduces
125 GFDL AM4.0/LM4.0 model, its dust emission scheme, and simulation designs.

126

127 **2.1 Data**

128 **2.1.1 Satellite products**

129 1) MODIS Aqua and Terra dust optical depth

130 DOD is column-integrated extinction by mineral particles. Here daily DOD is
131 retrieved from MODIS Deep Blue aerosol products (collection 6, level 2; Hsu et al.,
132 2013; Sayer et al., 2013): aerosol optical depth (AOD), single-scattering albedo (ω), and
133 the Ångström exponent (α). All the daily variables are first interpolated to a 0.1° by 0.1°
134 grid using the algorithm described by Ginoux et al. (2010). We require that the single-
135 scattering albedo at 470 nm to be less than 0.99 for dust due to its absorption of solar
136 radiation. This separates dust from scattering aerosols, such as sea salt. Then a continuous
137 function relating the Ångström exponent, which is highly sensitive to particle size (Eck et

138 al., 1999), to fine-mode AOD established by Anderson et al. (2005; their Eq. 5) is used to
139 separate dust from fine particles. In short, DOD is retrieved using the following equation:

$$140 \quad \text{DOD} = \text{AOD} \times (0.98 - 0.5089\alpha + 0.0512\alpha^2) \quad . \quad (1)$$

141 Details about the retrieval process and estimated errors are summarized by Pu and
142 Ginoux (2018b). High-resolution MODIS DOD products (0.1° by 0.1°) have been used to
143 identify and characterize dust sources (Ginoux et al., 2012; Baddock et al., 2016) and
144 examine the variations in dustiness in different regions (e.g., Pu and Ginoux, 2016, 2017,
145 2018b).

146 Following the recommendation from Baddock et al. (2016), who found the
147 dust sources are better detected using DOD with a low-quality flag (i.e., quality assurance
148 flag, QA, equals 1, following the category of retrieval quality flags in MODIS Deep Blue
149 products; Hsu et al., 2013) than that with a high-quality flag (i.e., QA=3) as retrieved
150 aerosol products were poorly flagged over dust source regions, we also use DOD with the
151 flag of QA=1. Both daily DOD retrieved from Aqua and Terra platforms are used by
152 averaging the two when both products are available or using either one when only one
153 product is available. Since Terra passes the equator from north to south around 10:30 am
154 local time (LT) and Aqua passes the Equator from south to north around 13:30 pm LT, an
155 average of the two combines the information from both morning and afternoon hours.
156 This process also largely reduces missing data (Pu and Ginoux, 2018b). This combined
157 daily DOD, hereafter MODIS DOD, is available from January 2003 to December 2015 at
158 a resolution of 0.1° by 0.1° grid. Note that due to the temporal coverage of MODIS
159 products, the diurnal variations in dust (e.g., O’rgill and Sehmel, 1976; Mbourou et al.,
160 1997; Knippertz, 2008; Schepanski et al., 2009) are not included in current study.

161 2) Soil moisture

162 Soil moisture is an important factor that affects dust emission (Fécan et al., 1999).
163 Daily surface volumetric soil moisture (VSM) retrievals derived from similar calibrated
164 microwave (10.7 GHz) brightness temperature observations from the Advanced
165 Microwave Scanning Radiometer-Earth Observing System (AMSR-E) onboard the
166 NASA Aqua satellite (from June 2002 to October 2011) and the Advanced Microwave
167 Scanning Radiometer 2 (AMSR2) sensor onboard the JAXA GCOM-W1 satellite (from
168 July 2012 to June 2017) from the University of Montana (Du et al., 2017a; Du et al.,
169 2017b) was used to retrieve wind erosion threshold. Both AMSR-E and AMSR2 sensors
170 provide global measurements of polarized microwave emissions at six channels, with
171 ascending and descending orbits crossing the equator at around 1:30 pm and 1:30 am LT,
172 respectively. The VSM retrievals are derived from an iterative retrieval algorithm that
173 exploits the variable sensitivity of different microwave frequencies and polarizations, and
174 minimizes the potential influence of atmosphere, vegetation, and surface water cover on
175 the soil signal. The VSM record represents surface (top ~2 cm) soil conditions and shows
176 favorable global accuracy and consistent performance (Du et al. 2017b), particularly over
177 areas with low to moderate vegetation cover that are also more susceptible to wind
178 erosion, although cautions are needed when examining long-term trends due to the small
179 biases between AMSR-E and AMSR2. The horizontal resolution of the product is about
180 25 km by 25 km, and the daily product from January 2003 to December 2015 is used.
181 The ascending and descending orbit VSM retrievals are averaged to get the mean VSM for
182 each day.

183

184 3) Snow cover

185 Snow cover may affect dust emission in the mid-latitudes during spring, for
186 instance, over northern China (Ginoux and Deroubaix, 2017). The interannual variation
187 of snow cover is also found to affect dust emission in regions, such as Mongolia
188 (Kurosaki and Mikami, 2004). Here monthly snow cover data from MODIS/Terra level
189 3 data (Hall and Riggs, 2015) with a resolution of 0.05° by 0.05° from 2003 to 2015 is
190 used. The high spatial resolution of the product is very suitable for this study.

191

192 4) Leaf area index (LAI)

193 Vegetation protects soil from the effects of wind and thus modulates dust
194 emission (e.g., Marticorena and Bergametti, 1995; Zender et al., 2003). While dense
195 vegetation coverage can increase surface roughness and reduce near surface wind speed,
196 the roots of vegetation can increase soil cohesion and further reduce wind erosion. LAI
197 describes the coverage of vegetation with a unit of m^2/m^2 , i.e., leaf area per ground area.
198 Here monthly LAI retrieved by Boston University from MODIS onboard Aqua (Yan et
199 al., 2016a; Yan et al., 2016b; via personal communication with Ranga Myneni and Taejin
200 Park; Boston University, 2016) with a resolution of 0.1° by 0.1° from 2003 to 2015 is
201 used. The root mean square error of the product is 0.66, with some overestimation of LAI
202 in sparsely vegetated regions (Yan et al. 2016b; Garrigues et al., 2008).

203

204 **2.1.2 Reanalysis**

205 Surface wind speed is a critical factor that affects wind erosion. Here 6-hourly 10
206 m wind speed from the NCEP/NCAR reanalysis (Kalnay et al., 1996, hereafter NCEP1)

207 on a T62 Gaussian grid (i.e., 192 longitude grids equally spaced and 94 latitude grids
208 unequally spaced) is used. The NCEP1 is a global reanalysis with relatively long
209 temporal coverage, from 1948 to the present. We chose to use the NCEP1 reanalysis
210 mainly because surface winds in the GFDL AM4.0 model are nudged toward the NCEP1,
211 and we preferred to use the reanalysis surface wind that is closest to the model
212 climatology.

213 ERA-Interim (Dee et al., 2011) is a global reanalysis produced from ECMWF. It
214 provides high spatial resolution (about 0.75° or 80 km) 6-hourly, daily, and monthly
215 reanalysis from 1979 to present day. Soil temperature from the ERA-Interim is used to
216 determine the regions where wind erosion may be prohibited by the frozen surface.
217 Monthly temperature of the first soil layer (0 to 0.07 m) from 2003 to 2015 is used.

218 In order to quantify the uncertainties of the retrieved threshold wind erosion in
219 association with the selection of reanalysis products, surface 10 m winds from 6-hourly
220 ERA-Interim and hourly ERA5 (Hersbach and Dee, 2016) are both examined. The ERA5
221 is the latest reanalysis product from the ECMWF, with a horizontal resolution of about 31
222 km and hourly temporal resolution.

223

224 **2.1.3 Station data**

225 Multiple ground-based datasets are used to validate AM4.0/LM4.0 simulated
226 aerosol and dust optical depth and surface dust concentrations.

227

228 1) AERONET

229 The AERosol RObotic NETwork (AERONET; Holben et al., 1998) provides
 230 quality assured cloud-screened (level 2) aerosol measurements from sunphotometer
 231 records. In this paper we used the data products of the version 3.0 AERONET processing
 232 routine. To examine model simulated DOD, we used coarse mode AOD (COD; i.e.,
 233 radius > 0.6 μm) at 500 nm processed by the Spectral Deconvolution Algorithm (O'Neill
 234 et al., 2003; hereafter SDA). SDA COD monthly data is first screened to remove those
 235 months with less than five days of records. To get the annual means, years with less than
 236 five months of records were removed. Only stations with records of at least three years
 237 during the period were used to calculate the 2003-2015 climatology (the same time
 238 period when MODIS DOD is available). Overall, records from 313 stations were
 239 obtained.

240 AERONET monthly aerosol optical thickness (AOT) data around 550 nm (e.g.,
 241 500 nm, 551 nm, 531 nm, 440 nm, 675 nm, 490 nm, 870 nm, etc.) and the Ångström
 242 exponents across the dual wavelength of 440-675 nm, 440-870 nm, and 500-870 nm are
 243 used to calculate AOD at 550 nm (τ_{550}). If AOT for 551 nm, 555 nm, 531 nm or 532 nm
 244 exist, then these values are directly used as AOD 550 nm. Otherwise, the AOT at
 245 wavelength λ_A (less than 550 nm), i.e., τ_A , AOT at wavelength λ_B (larger than 550 nm),
 246 i.e., τ_B , and Ångström exponent between wavelengths λ_A and λ_B (α) are used to derive
 247 AOD 550 nm using the following equations:

$$248 \quad \tau_{550} = \tau_A \left(\frac{550}{\lambda_A} \right)^{-\alpha} \quad \text{if } \tau_A \text{ is available,} \quad (2)$$

249

$$250 \quad \tau_{550} = \tau_B \left(\frac{550}{\lambda_B} \right)^{-\alpha} \quad \text{if } \tau_B \text{ is available.} \quad (3)$$

251

252 In a manner similar to the process of screening SDA COD data, monthly AOD
253 550 nm data with less than three days of records in a given month are removed. When
254 calculating the annual means we excluded years having less than five months of records.
255 Finally, to calculate the climatology of 2003-2015, only stations with at least three years
256 of records during this period are used totaling to 351.

257 We also developed a method to derive DOD at 550 nm from AOD at 550 nm
258 based on the relationship between Ångström exponent and fine-mode AOD established
259 by Anderson et al. (2005; their Eq. 5). This adds a few more sites over the Sahel than the
260 SDA COD stations. DOD is calculated by subtracting the fine-mode AOD from the total
261 AOD. Due to the large uncertainties of single scattering albedo in AERONET records
262 over regions where AOD is lower than 0.4 (e.g., Dubovik and King, 2000; Holben et al.,
263 2006; Andrews et al., 2017), we did not use single scattering albedo to screen AOD to
264 further separate dust from scattering aerosols. Therefore, the derived AERONET DOD
265 over coastal stations may be contaminated by sea salt.

266

267 2) RSMAS surface dust concentration

268 The Rosenstiel School of Marine and Atmospheric Science (hereafter RSMAS
269 dataset) at University of Miami collected mass concentration of dust, sea salt, and sulfate
270 over stations globally, with most of stations on islands (Savoie and Prospero, 1989). The
271 dataset has been widely used for model evaluation (e.g., Ginoux et al., 2001; Huneeus et
272 al., 2011).

273 Only stations with records longer than four years were used and of those stations
274 only those years with at least eight months of data are used for calculating climatological

275 annual means. So, totally 16 stations are used. Station names, locations, and record length
276 are listed in Table S1 of the Supplement. We compare the climatology of annual mean
277 surface dust concentration with model output during 2000-2015. Note that since most
278 station records end earlier than 1998, the dataset largely represents the climatology
279 during the 1980s and 1990s. Thus the discrepancies between model output and the
280 RSMAS data include both model biases and the difference in surface dust concentration
281 from the 1980s to the 2000s.

282

283 3) IMPROVE surface fine dust concentration

284 The Interagency Monitoring of Protected Visual Environments (IMPROVE)
285 network has collected near-surface particulate matter 2.5 (PM_{2.5}) samples in the U.S.
286 since 1988 (Malm et al., 1994; Hand et al., 2011). IMPROVE stations are located in
287 national parks and wilderness areas, and PM_{2.5} sampling is performed twice weekly
288 (Wednesday and Saturday; Malm et al., 1994) prior to 2000 and every third day
289 afterwards. Fine dust (with aerodynamic diameter less than 2.5 μm) concentration is
290 calculated using the concentrations of aluminum (Al), silicon (Si), calcium (Ca), iron
291 (Fe), and titanium (Ti) by assuming oxide norms associated with predominant soil
292 species (Malm et al., 1994; their Eq. 5). This dataset has been widely used to study
293 variations in surface fine dust in the U.S. (e.g., Hand et al., 2016; Hand et al., 2017, Tong
294 et al., 2017; Pu and Ginoux, 2018a). Here only monthly data with at least 50% of daily
295 data available in a month (i.e., at least 5 records) are used. Since station coverage over the
296 central U.S. increases after 2002 (e.g., Pu and Ginoux, 2018a), monthly station data from
297 2002 to 2015 are used and interpolated to a 0.5° by 0.5° grid using inverse distance

298 weighting interpolation. The gridded data are used to evaluate modeled surface fine dust
299 concentrations.

300

301 4) LISA PM₁₀ surface concentration

302 Surface PM₁₀ concentration from stations from the Sahelian Dust Transect, which
303 was deployed in 2006 under the framework of African Monsoon Multidisciplinary
304 Analysis International Program (Marticorena et al., 2010), were used to examine the
305 surface dust concentration over the Sahelian region. The data are maintained by
306 Laboratoire Interuniversitaire des Systèmes Atmosphériques (LISA) in the framework of
307 the International Network to study Deposition and Atmospheric composition in Africa
308 (INDAAF; Service National d'Observation de l'Institut National des Sciences de
309 l'Univers, France). Three stations are located within the pathway of Saharan and Sahelian
310 dust plumes moving towards the Atlantic Ocean. Here hourly PM₁₀ concentrations from
311 these stations, Banizoumbou (Niger, 13.54° N, 2.66° E), Cinzana (Mali, 13.28° N, 5.93°
312 W), and M'Bour (Senegal, 14.39° N, 16.96° W), from 2006 to 2014 are used. The hourly
313 station data are averaged to obtain daily and monthly mean records to compare with
314 model output.

315

316 **2.1.4 Other data**

317 Soil depth from the Food and Agriculture Organization of the United Nations
318 (FAO/IIASA/ISRIC/ISS-CAS/JRC, 2009) on a 0.08° by 0.08° resolution is used to
319 examine whether the soil depth is too shallow (i.e., less than 15 cm) for wind erosion.

320

321 2.2 Retrieving threshold of wind erosion

322 The monthly climatological threshold of wind erosion is retrieved by matching
323 the frequency distribution of the MODIS DOD at certain level, namely, DOD_{thresh} , with
324 the frequency distribution of surface 10 m winds from the NCEP1 reanalysis over the
325 period from 2003 to 2015. The process can be summarized by the following steps:

326 Step1: Since dust is emitted from the dry and sparsely-vegetated surface, the daily
327 DOD data is first masked out to remove the influences of non-erodible factors and
328 unfavorable environmental conditions that are known to prevent dust emission using
329 criteria as follows: daily VSM less than $0.1 \text{ cm}^3 \text{ cm}^{-3}$; monthly LAI less than 0.3;
330 monthly snow cover less than 0.2% (since snow cover percentage is round-up to integer
331 in MODIS product, this criterion actually requires no snow cover); monthly top-layer soil
332 temperature higher than 273.15 K, i.e., over unfrozen surface; and soil depth thicker than
333 15 cm. These criteria approximate the most favorable land surface conditions for wind
334 erosion.

335 Similar criteria have been used in previous studies to detect or confine dust source
336 regions. For instance, Kim et al. (2013) used NDVI less than 0.15, soil depth greater than
337 10 cm, surface temperature greater than 260 K, and without snow cover to mask
338 topography based dust source function. LAI less than 0.3 has been used as a threshold for
339 dust emission in the Community Land Model (Mahowald et al., 2010; Kok et al., 2014a),
340 while gravimetric soil moisture ranging from 1.01 to 11.2 % depending on soil clay
341 content is recommended to constrain dust emission (Fécan et al., 1999). The uncertainties
342 associated with small variations in the retrieval criteria are further quantified and
343 discussed in section 2.3.

344 Step 2: Masked daily DOD from Step 1 is then interpolated to a 0.5° by 0.5° grid
345 using bilinear interpolation. This is close to the horizontal resolution of the GFDL
346 AM4.0/LM4.0 model used in this study. Then the cumulative frequency distribution of
347 daily DOD from 2003 to 2015 is derived at each grid point for each month.

348 Step 3: Daily maximum surface wind speed is first derived from 6-hourly NCEP1
349 surface winds and then interpolated to a 0.5° by 0.5° grid. Following Ginoux and
350 Deroubaix (2017), we use maximum daily wind speed instead of daily mean wind speed,
351 largely because dust emission only occur when wind speed is strong enough, and the
352 emission magnitude is roughly proportional to the third power of surface wind speed in
353 empirical estimations. The cumulative frequency distribution of daily maximum surface
354 wind from 2003 to 2015 is then calculated at each grid point for each month.

355 Step 4: A minimum value of DOD (i.e., DOD_{thresh}) is used to separate dust events
356 from background dust. The cumulative frequency (in %) of dust events passing this
357 threshold is compared to the cumulative frequency of surface winds. The minimum
358 surface winds with the same frequency correspond to the threshold of wind erosion,
359 $V_{threshold}$ (see a schematic diagram in Figure S1 in the Supplement). This operation is
360 performed for all grid points for each month. Ginoux et al. (2012) used $DOD_{thresh} = 0.2$ to
361 separate dust events from background dust and quantify the FoO of local dust events.
362 Similarly, $DOD_{thresh} = 0.2$ is used here in major dusty regions (North Africa, Middle East,
363 India, northern China), while for less dusty regions, such as the U.S., South America,
364 South Africa, and Australia, $DOD_{thresh} = 0.02$ is used. The reason to use a lower DOD_{thresh}
365 for less dusty regions is because: i) the overall dust emission in these regions are at least
366 ten times smaller than major dusty regions, such as North Africa (e.g., Huneeus et al.,

367 2011); ii) the frequency distribution of DOD in these regions also peaks at a much lower
368 DOD band (see discussion in section 3.3). We also tested the $DOD_{thresh} = 0.5$ for dusty
369 regions and $DOD_{thresh} = 0.05$ for less dusty regions, and results are discussed in sections
370 2.3 and 3.1.

371 Figures 1a-e show the seasonal and annual mean FoO (days when DOD is greater
372 than DOD_{thresh}) using $DOD_{thresh} = 0.2$ or 0.02 . The shaded area covers major dust sources,
373 and the pattern is very similar to that obtained by Ginoux et al. (2012; their Fig. 5),
374 although there are some differences, largely due to the masked DOD (i.e., from Step 1)
375 used in this study and a lower threshold in less dusty regions. The higher FoO in North
376 Africa during summer in comparison with other seasons is consistent with the summer
377 peak of the frequency of dust source activation derived from the Meteosat Second
378 Generation (MSG) images (Schepanski et al., 2007; their Fig. 1). The relatively high
379 value of FoO over the northern Sahel to southern Sahara is also consistent with dust
380 emission frequency derived from the Meteosat Second Generation Spinning Enhanced
381 Visible and InfraRed Imager (Evan et al., 2015; their Fig. 1).

382 Note that the selections of masking criteria in Step 1 and DOD_{thresh} in Step 4 are
383 empirical and can add uncertainties to this method. Also, we approximate dust emission
384 using cumulative frequency of DOD, which may overestimate dust emission in regions
385 where the contribution of transported dust is significant and thus underestimate the
386 $V_{threshold}$ in those regions. These uncertainties are further discussed in the following
387 section.

388

389 **2.3 Sensitivities of $V_{threshold}$ to retrieval criteria and the selection of reanalysis surface**
390 **winds**

391 Table 2 shows variations in derived annual mean $V_{threshold}$ averaged in nine dust
392 source regions (see Table 1 for locations) following slight changes of retrieval criteria:
393 soil moisture, LAI, snow coverage, and DOD_{thresh} . When the soil moisture threshold is
394 changed from 0.1 to 0.15 $\text{cm}^3 \text{cm}^{-3}$ or without the soil moisture constraint, the variations
395 in $V_{threshold}$ are quite small, ranging from 0.01 to about 0.73 m s^{-1} (Table 2). Similarly,
396 changes of LAI criteria from 0.15 to 0.5 $\text{m}^2 \text{m}^{-2}$ or snow coverage from 0.2% to 10%
397 slightly change $V_{threshold}$ — within 1 m s^{-1} over most regions. On the other hand, $V_{threshold}$
398 is quite sensitive to the selection of the DOD_{thresh} . $V_{threshold}$ would increase about 1 to 3 m
399 s^{-1} if using $DOD_{thresh}=0.5$ for dusty regions (0.05 for less dusty regions) instead of
400 $DOD_{thresh}=0.2$ (or 0.02). For instance, using $DOD_{thresh}=0.5$ increases the averaged annual
401 mean $V_{threshold}$ over the Sahara from 4.6 m s^{-1} (using $DOD_{thresh}=0.2$) to about 7.6 m s^{-1} .

402 As mentioned earlier, dust event frequency can be overestimated in regions with
403 high ratio of transported dust and consequently $V_{threshold}$ would be underestimated. Here
404 we provide a rough estimation about the influence of transported dust on $V_{threshold}$ over
405 North Africa. It is hard to separate local dust emission and transported dust in the column
406 integrated DOD, so we use surface DOD data (sDOD; personal communication with
407 Juliette Paireau), i.e., DOD from surface to about 400 m, to approximate the component
408 of DOD due to local emission. sDOD is derived by using DOD vertical profile from the
409 Cloud-Aerosol Lidar with Orthogonal Polarization (CALIOP; Winker et al., 2004;
410 Winker et al., 2007) to first calculate a ratio of near surface DOD (0~400 m) to total
411 DOD (0~12km) and then multiplying the ratio to daily MODIS Aqua DOD over North

412 Africa from 2003-2014. Using sDOD, $V_{threshold}$ over the Sahel would increase from 3.2 to
413 6.0 m s^{-1} , while over the Sahara, $V_{threshold}$ would increase from 4.6 to 7.7 m s^{-1} (Table 2,
414 last column).

415 How $V_{threshold}$ would change when using surface winds from different reanalyses
416 are examined in Table 3. Surface winds from the ERA-Interim produce higher $V_{threshold}$
417 than the NCEP1 by 0.2 to 2.2 m s^{-1} . Using surface winds from the ERA5 also would
418 increase $V_{threshold}$ by 1 to 1.6 m s^{-1} over North Africa and about 1.5 m s^{-1} over Australia
419 but create smaller differences in other regions.

420 In short, $V_{threshold}$ are less sensitive to small changes in the criteria to define a
421 favorable, dry, and sparsely vegetated land surface condition for wind erosion than the
422 choices of DOD_{thresh} or surface wind speeds from different reanalysis products. Over
423 North Africa, not separating transported dust from total DOD may lead to an
424 underestimation of $V_{threshold}$ up to 3 m s^{-1} based on a rough estimation. However, due to
425 the large uncertainties in quantifying transported dust and the regional converge of sDOD
426 dataset, we chose not to incorporate the results from sDOD to the global $V_{threshold}$.

427

428 **2.4 Simulation design**

429 We will examine if the observation-constrained, spatial and temporal varying
430 $V_{threshold}$ would improve dust simulation in the GFDL AM4.0/LM4.0. The AM4.0/LM4.0
431 is a coupled land-atmosphere model newly developed at GFDL (Zhao et al., 2018a,b). It
432 uses the recent version of the GFDL Finite-Volume Cubed-Sphere dynamical core (FV³;
433 Putman and Lin, 2007), which is developed for weather and climate applications with
434 both hydrostatic and non-hydrostatic options. Some substantial updates have been

435 incorporated into the AM4.0, such as an updated version of the model radiation transfer
436 code, an alternate topographic gravity wave drag formulation, a double-plume model
437 representing shallow and deep convection, a “light” chemistry mechanism, and
438 modulation on aerosol wet removal by convection and frozen precipitation (Zhao et al.,
439 2018a,b). Here we used a model version with 33 vertical levels (with model top at 1hPa)
440 and cube-sphere with 192×192 grid boxes per cube face (approximately 50 km grid size).

441 The aerosol physics is based in large part on that of the GFDL AM3.0 (Donner et
442 al., 2011), but with a simplified chemistry where ozone climatology from AM3.0
443 simulation (Naik et al., 2013) is prescribed. AM4.0 simulates the mass distribution of five
444 aerosols: sulfate, black carbon, organic carbon, dust, and sea salt. Dust is partitioned into
445 five size bins based on radius: 0.1~1 μm (bin 1), 1~2 μm (bin 2), 2~3 μm (bin 3), 3~6 μm
446 (bin 4), and 6~10 μm (bin 5). The dust emission scheme follows the parameterization of
447 Ginoux et al. (2001), as shown in the following equation:

$$448 \quad F_p = C \times S \times s_p \times V_{10m}^2 (V_{10m} - V_t) \quad (\text{if } V_{10m} > V_t), \quad (4)$$

449

450 where F_p is flux of dust of particle size class p , C is a scaling factor with a unit of $\mu\text{g s}^2$
451 m^{-5} , here C is set to 0.75×10^{-9} . S is the source function based on topographic depressions
452 (Ginoux et al., 2001), s_p is fraction of each size class, and V_{10m} is surface 10 m wind
453 speed, and $V_t = 6 \text{ m s}^{-1}$ is the threshold of wind erosion.

454 Three simulations with prescribed sea surface temperature (SST) and sea ice
455 (Table 4) were conducted from 1999 to 2015, with the first year discarded for spin up.
456 The Atmospheric Model Intercomparison Project (AMIP)-style SST and sea ice data
457 (Taylor et al., 2000) are from the Program for Climate Model Diagnosis and

458 Intercomparison (PCMDI), which combined HadISST (Rayner et al., 2003) from UK Met
459 Office before 1981 and NCEP Optimum Interpolation (OI) v2 SST (Reynolds et al.,
460 2002) afterwards. The surface winds in the simulations are nudged toward the NCEP1
461 reanalysis with a relaxation timescale of 6 hours (Moorthi and Suarez, 1992). Note that
462 the nudged surface winds are actually weaker than the surface wind speed simulated by
463 the standard version of AM4.0/LM4.0 without nudging, so the overall magnitude of dust
464 emission is lower than the standard version. Here we choose not to retune the dust
465 emission scheme but instead test the usage of $V_{threshold}$, which theoretically provides a
466 more physics-based way to improve dust simulation. We also choose to keep the tuning
467 factor C (Eq. 4) the same in all simulations to better examine the effects of implementing
468 the newly developed $V_{threshold}$.

469 In the Control run, the default model setting is used for dust emission, with a
470 prescribed 6 m s^{-1} threshold of wind erosion (cf. Ginoux et al., 2019). In the $V_{thresh12mn}$
471 simulation, the observation based climatological monthly $V_{threshold}$ is used to replace the
472 constant wind erosion threshold. The default source function S in Eq. 4 only allows dust
473 emission over bare ground by masking out regions with vegetation cover. Since LAI
474 masking is already applied in the retrieval of $V_{threshold}$ (i.e., $LAI < 0.3$), we choose to use a
475 source function that is the same as the default source function S but without vegetation
476 masking, i.e., S' (Figure S2 in the supplement). This allows the influence of the spatial
477 and temporal variations in $V_{threshold}$ to be fully examined. The combination of source
478 function S' and $V_{threshold}$ also extends dust source from bare ground to sparsely vegetated
479 area as outlined by $V_{threshold}$, e.g., over central North America, central India, and part of
480 Australia, and can increase dust emission in these regions. The pattern of extended dust

481 source area largely resembles the vegetated dust source identified by Ginoux et al. (2012;
482 their Fig. 15b) and Kim et al. (2013; their Fig. 9). All the other settings are the same as
483 the Control run. The $V_{\text{threshAnn}}$ simulation is the same as the $V_{\text{thresh12mn}}$ but uses the
484 annual mean $V_{\text{threshold}}$ for each month. Since the same SST and sea ice are prescribed for
485 all simulations and land use does not change much during the short duration of
486 simulation, the differences in simulated dynamic vegetation by LM4.0 among the three
487 simulations are actually very small and can be ignored (see Figures S3-4 in the
488 Supplement).

489

490 **3. Results**

491 **3.1 Thresholds of wind erosion with $DOD_{\text{thresh}} = 0.2$ (or 0.02) and $DOD_{\text{thresh}} = 0.5$ (or** 492 **0.05)**

493 Figures 1f-j show the derived threshold of wind erosion for each season and
494 annual mean using $DOD_{\text{thresh}} = 0.2$ (or 0.02). The seasonal variations in wind erosion
495 threshold are largely due to the variations in DOD and surface wind frequency
496 distributions that are in turn associated with variations in land surface features, such as
497 soil moisture, soil temperature, snow cover, and vegetation coverage in each month.
498 $V_{\text{threshold}}$ is generally lower in MAM and JJA (SON and DJF) for Northern (Southern)
499 Hemisphere dusty regions than in other seasons, consistent with higher FoO in these
500 seasons. $V_{\text{threshold}}$ values are also lower in regions with a high FoO (Figs. 1a-e).

501 The distributions of $V_{\text{threshold}}$ for annual mean (black bars) and dusty seasons
502 (color lines; MAM and JJA for the Northern Hemisphere and SON and DJF for the
503 Southern Hemisphere) for each dust source region (see Fig. 1f and Table 1 for locations)

504 are shown in Figs. 2a-i. In the Sahel and Sahara, the annual mean $V_{threshold}$ peaks around 4
505 and 4.5-5.5 m s^{-1} , respectively (Figs. 2a-b). This magnitude is lower than indicated from
506 previous studies based on station observations in the region, e.g., Helgren and Prospero
507 (1987) found the threshold velocity over eight stations in Northwest Africa ranged from
508 6.5 to 13 m s^{-1} during summer in 1974. Chomette et al. (1999) and Marsham et al. (2013)
509 also reported higher wind erosion thresholds around 6-9 m s^{-1} at individual stations. On
510 the other hand, Cowie et al. (2014) found that the annual threshold of wind erosion at the
511 25% level, i.e., when surface condition is favorable for dust emission, can be lower than 6
512 m s^{-1} at some sites in the Sahel (their Fig. 5). Several factors may contribute to the
513 discrepancies. Firstly, studies suggest that reanalysis datasets may underestimate surface
514 wind speed in spring and for monsoon days in Africa (e.g., Largeron et al., 2015), and
515 therefore could lead to a lower value of $V_{threshold}$ than that derived from station
516 observations. In fact, Bergametti et al. (2017) found even 3-hourly wind speed record at
517 stations may miss short events with high wind speed. As shown in Table 3, among the
518 reanalysis wind products tested here, the NCEP1 actually produced a lower $V_{threshold}$ in
519 North Africa than the other two reanalyses. Secondly, using DOD frequency to
520 approximate dust emission may lead to an overestimation of dust emission over regions
521 such as the southern Sahel where transported dust is a large component and consequently
522 an underestimation of $V_{threshold}$. Based on our rough estimation, $V_{threshold}$ in North Africa
523 can be underestimated by up to 3 m s^{-1} (section 2.3). In addition, different analysis time
524 periods or methods to retrieve the wind erosion threshold may also contribute to the
525 differences.

526 The annual mean $V_{threshold}$ in Arabian Peninsula is a bit higher, with mean values
527 at 5.2 m s^{-1} (Fig. 2c). The $V_{threshold}$ over northern China is even higher, with an annual
528 mean of 7.8 m s^{-1} . This is consistent with the results of Kurosaki and Mikami (2007),
529 who found that under favorable land surface conditions the threshold wind speed ranges
530 from $4.4 \pm 0.6 \text{ m s}^{-1}$ in Taklimakan Desert to $6.9 \pm 1.2 \text{ m s}^{-1}$ over the Loess Plateau and
531 around $9.8 \pm 1.6 \text{ m s}^{-1}$ in the Gobi Desert. These values are also consistent with Ginoux
532 and Deroubaix (2017) who found that regional mean wind erosion threshold over
533 northern China ranges from 6.5 to 9.1 m s^{-1} . In India, the $V_{threshold}$ peaks at about 4.5 m s^{-1}
534 and 6.5 m s^{-1} , respectively (Fig. 2e). The second peak is probably related to
535 anthropogenic dust sources over the central Indian subcontinent (Ginoux et al., 2012).
536 We also note that in the Northern Hemisphere, the $V_{threshold}$ in dusty seasons is shifted
537 towards lower values than the annual mean (blue and green lines in Figs. 2a-f), but is
538 similar to the annual mean in the Southern Hemisphere (especially South America and
539 Australia), indicating stronger influences of surface variability in the Northern
540 Hemisphere.

541 Fig. 3 shows the seasonal mean and annual mean global $V_{threshold}$ using DOD_{thresh}
542 $=0.5$ (or 0.05). The corresponding distribution of annual mean $V_{threshold}$ in each region is
543 shown in Figure S5 in the Supplement. The derived $V_{threshold}$ is generally higher than
544 using $DOD_{thresh} =0.2$ (or 0.02), especially over North Africa, the Arabian Peninsula,
545 India, and Asia (Fig. 3 and Table 2). The results are thus closer to previous station based
546 studies over North Africa. On the other hand, over northern China, $V_{threshold}$ is around or
547 greater than 8 m s^{-1} (Fig. 3e), slighter higher than previous estimates (e.g., Kurosaki and
548 Mikami 2007; Ginoux and Deroubaix 2017).

549 In the following section, we will exam if the spatial and temporal varying $V_{threshold}$
550 would improve model simulation of DOD spatial pattern, seasonal variations, frequency
551 distribution and surface dust concentrations in the GFDL AM4.0/LM4.0. Results using
552 $V_{threshold}$ with $DOD_{thresh} = 0.2$ (or 0.02) are shown in sections 3.2 to 3.3 and results using
553 $V_{threshold}$ with $DOD_{thresh} = 0.5$ (or 0.05) are briefly discussed in section 4.

554

555 **3.2 $V_{threshold}$ in the GFDL AM4.0/LM4.0 model**

556 In this section we analyze the model output using the default setting (Control;
557 Table 4), 12-month ($V_{thresh12mn}$), and annual mean $V_{threshold}$ ($V_{threshAnn}$) by comparing
558 model results with multiple observational datasets and MODIS DOD.

559

560 **3.2.1 Climatology of AOD and DOD**

561 In order to compare the model results with observations, we first show the
562 climatology of AERONET AOD and COD from 2003 to 2015. The length of records for
563 each station is shown in Figure S6 in the Supplement. As shown in Figure 4, annual mean
564 global AOD is highest over Africa, the Arabian Peninsula, Indian subcontinent, and
565 Southeast Asia. In the latter two regions, high sulfate concentrations (e.g., Ginoux et al.,
566 2006) and organic carbon from biomass burning in Southeast Asia (e.g., Lin et al., 2014)
567 contribute substantially to the total AOD. The SDA COD shows the optical depth due to
568 coarse aerosols, which includes both dust and sea salt, and sea salt over coastal regions or
569 islands can be a major contributor. Here, high values (>0.2) are largely located over
570 dusty regions such as North Africa, the Arabian Peninsula, and northern India (Fig. 4b).

571 Figures 5a-b show the scatter plots of modeled AOD and COD in the Control run
572 versus AERONET AOD and COD, respectively. Here column-integrated extinction from
573 both dust and sea salt is used to calculate COD in the model. The relative differences
574 (%) between AM4.0 output and AERONET station data are also shown (Figs. 5c-d). The
575 percentage of DOD to total COD in the model is displayed at the bottom (Fig. 5e). The
576 simulated AOD is lower than that from the AERONET over North Africa, the Middle
577 East, and western India, largely due to low values of COD simulated in these regions
578 (Fig. 5d). Besides these regions, the COD over North America, South America, South
579 Africa, and northern Eurasia is also, for the most part, underestimated by the model. Dust
580 is the dominant contributor to the COD value over most of these low COD regions,
581 except over the central to eastern North America and central South America (Fig. 5e).

582 COD (and effectively DOD given its dominance in most regions) was better
583 simulated in the subsequent model run using a prescribed 12-month $V_{threshold}$ in terms of
584 both magnitude and spatial pattern. Figure 6 shows the results from the $V_{thresh12mn}$
585 simulation. COD is better captured while the AOD effectively moves from a negative to a
586 slightly positive bias (Figs. 6a-d). Most sites over North Africa and the Middle East show
587 a relatively small difference with AERONET COD (Fig. 6d). Over the Indian
588 subcontinent, COD is overestimated, while over North America excluding the east coast,
589 northern Eurasia, and part of South America, COD is also better captured than in the
590 Control run.

591 These improvements are largely associated with a better simulation of DOD in the
592 “dust belt” (i.e., North Africa and the Middle East). Figure 7 shows the DOD at 550 nm
593 derived from AERONET AOD (see methodology for details) versus that from the

594 $V_{\text{thresh}12\text{mn}}$ simulation. Over most stations in the Sahel, Mediterranean coasts, and
595 central Middle East, the relative differences between modeled and observed DOD is
596 within $\pm 25\%$.

597 Figure 8 shows the regional averaged annual mean DOD over nine dusty regions
598 from MODIS and three simulations. The Control run largely underestimates DOD in all
599 regions, while the magnitude of DOD is better captured in the $V_{\text{thresh}12\text{mn}}$ and $V_{\text{thresh}Ann}$
600 simulations, although slightly overestimated in the Sahel and greatly overestimated over
601 Australia. In general, DOD simulated by the $V_{\text{thresh}Ann}$ run using a constant annual mean
602 $V_{\text{threshold}}$ is higher than that simulated by the $V_{\text{thresh}12\text{mn}}$ run, consistent with the higher
603 dust emission in the $V_{\text{thresh}Ann}$ run (Table S2 in the Supplement). Lack of soil moisture
604 constraint in the model, which is a very important element in capturing the variation of
605 DOD in Australia (Evans et al., 2016), may contribute to the large overestimation of
606 DOD in Australia.

607

608 **3.2.2 Climatology of surface dust concentration**

609 While DOD is a key parameter associated with the climate impact of dust, surface
610 dust concentration is an important factor affecting local air quality. Here we compare the
611 modeled surface dust concentration with RSMAS station observations. Model output is
612 averaged from 2000 to 2015 to form the annual climatology. Consistent with the DOD
613 output, the Control run largely underestimates surface dust concentrations at almost all of
614 the sites (except sites 9 and 15; Figure 9 top panel). The underestimation is reduced in the
615 $V_{\text{thresh}Ann}$ simulation (Fig. 9, middle panel), with seven stations having
616 model/observation ratios between 0.5 and 2 (white triangles). Over the coastal U.S. (e.g.,

617 sites 16 and 13), dust concentrations are overestimated, consistent with the
618 overestimation of DOD over the U.S. and the Sahel (Fig. 8). Dust concentrations in
619 Australia and the east coast of China are also overestimated by more than five-folds.
620 Surface dust concentration is further improved in the $V_{\text{thresh}}12\text{mn}$ simulation (Fig. 9,
621 bottom), with eight stations showing a model/observation ratio between 0.5 and 2 and
622 only four stations overestimating or underestimating dust concentrations by more than
623 five times.

624 Simulated surface fine dust concentration (calculated as dust bin 1+0.25×dust bin
625 2) in the U.S. is compared with gridded IMPROVE data (Figure 10). While the Control
626 run largely underestimates surface fine dust concentration, the simulated concentration is
627 overall too high in the $V_{\text{thresh}}\text{Ann}$ run. The spatial pattern of fine dust concentration is
628 better captured in the $V_{\text{thresh}}12\text{mn}$ run, with higher values over the southwestern U.S., but
629 the magnitude is still overestimated, and additional dust hot spots are simulated over the
630 northern Great plains and the Midwest, which are not shown in the IMPROVE data. Such
631 an overall overestimation may be attributed to lack of soil moisture modulation in the
632 dust emission scheme. The way in which dust bins are partitioned in the model can add
633 uncertainties to model's representation of surface fine dust concentrations as well. On
634 the other hand, the relatively low spatial coverage of IMPROVE sites over the northern
635 Great Plains and Midwest (e.g., Pu and Ginoux, 2018a) may also add uncertainties to the
636 data itself.

637 **3.2.3 Seasonal cycles**

638 Figure 11 compares the seasonal cycle of DOD from three simulations with
639 MODIS DOD in nine dusty regions. The seasonal cycle of gridded AERONET COD (as

640 an approximation to DOD; on a 0.5° by 0.5° grid) is also shown. Since the gridded COD
641 may have large uncertainties over regions with only a few stations, such as the Sahel,
642 Sahara, northern China, and South Africa, MODIS DOD is used as the main reference in
643 the comparison. Seasonal cycles are better captured by the $V_{\text{thresh}12\text{mn}}$ simulation in the
644 Sahel, the Sahara, and the Arabian Peninsula (Figs. 11a-c), although the spring and
645 summer peak in the Sahel is overestimated and winter minimum in the Sahara is
646 underestimated. The MAM peak of MODIS DOD in northern China is missed by both
647 $V_{\text{thresh}12\text{mn}}$ and $V_{\text{thresh}Ann}$ simulations (Fig. 11d), while the JJA peak over India is
648 largely overestimated (Fig. 11e). Over the U.S. dusty region, the seasonal cycle in the
649 $V_{\text{thresh}12\text{mn}}$ simulation is slightly underestimated compared to MODIS DOD but
650 overestimated from May to August in the $V_{\text{thresh}Ann}$ simulation (Fig. 11f). DOD is
651 underestimated in South Africa in all three simulations (Fig. 11g). Over South America,
652 the peak from October to February is roughly captured by the $V_{\text{thresh}12\text{mn}}$ run but is
653 overestimated by the $V_{\text{thresh}Ann}$ run (Fig. 11h). The seasonal cycles of DOD in Australia
654 are very similar in all three simulations and largely resemble that in the MODIS, although
655 both the $V_{\text{thresh}12\text{mn}}$ and $V_{\text{thresh}Ann}$ simulations overestimate the DOD by about an order
656 of magnitude.

657 Figure 12 shows the seasonal cycle of COD from 12 AERONET SDA sites over
658 North Africa and nearby islands (see Figure S7 in the Supplement for site locations)
659 along with MODIS DOD and DOD simulated in three runs. The magnitude of
660 AERONET COD and MODIS DOD in these sites are very similar, despite missing values
661 at sites 1, 4, 5, 8, 11, and a smaller value at site 2 in MODIS. Over most of the sites, the
662 seasonal cycle is better captured in the $V_{\text{thresh}12\text{mn}}$ and $V_{\text{thresh}Ann}$ simulations than the

663 Control run, although the peak over Cairo_EMA_2 (site 12) is slightly underestimated,
664 which is consistent with the underestimation of annual mean DOD in the area (Fig. 7).

665 We also examined the seasonal cycle of PM_{10} surface concentration at three
666 Sahelian INDAAF stations (see Figure S7 in the Supplement for site locations) from the
667 LISA project. Figures 13a-c show PM_{10} surface dust concentration (here dust dominates
668 total PM_{10} concentration) from the Control, $V_{\text{thresh}12\text{mn}}$, and $V_{\text{thresh}Ann}$ simulations
669 versus observed PM_{10} concentration from three LISA sites. PM_{10} concentrations in these
670 sites peak during boreal winter and spring and reach minima from July to September.
671 These seasonal variations are associated with the dry northerly Harmattan wind in boreal
672 winter and spring that transports Saharan dust southward to the Guinean coast and the
673 scavenging effect of monsoonal rainfall in boreal summer that removes surface dust
674 (Marticorena et al., 2010; Fiedler et al., 2015). While the Control run does not capture the
675 seasonal cycles in these sites, the $V_{\text{thresh}12\text{mn}}$ run largely captures the spring peak and
676 summer minimum, although the magnitude is overestimated. In all three sites, the
677 simulated concentration in the $V_{\text{thresh}Ann}$ run is larger than that in the $V_{\text{thresh}12\text{mn}}$ run,
678 especially in boreal fall to early spring. Such an overestimation is probably due to the
679 prescribed constant annual mean $V_{\text{threshold}}$, which is lower than it would be during the less
680 dusty season (i.e., boreal fall to winter) and thus increases dust emission and surface
681 concentration.

682 Figs. 13d-f show the seasonal cycle of DOD from three AERONET sites co-
683 located with LISA INDAAF stations and from three simulations. The $V_{\text{thresh}12\text{mn}}$ and
684 $V_{\text{thresh}Ann}$ simulations largely captured the seasonal cycle of DOD at these sites. The
685 overestimation of near surface PM_{10} dust concentration (Figs. 13a-c) and the generally

686 well-captured column integrated DOD (Figs. 13d-f) indicate that model likely
687 underestimates dust concentration in the atmospheric column above the surface, which
688 needs further investigation in future studies.

689

690 **3.2.4 A dust storm over U.S. northern Great Plains on October 18th, 2012**

691 Can the AM4.0/LM4.0 with prescribed $V_{threshold}$ better represent individual dust
692 events? Here we examine a major dust storm captured by MODIS Aqua true color-image
693 on Oct. 18th, 2012 ([https://earthobservatory.nasa.gov/images/79459/dust-storm-in-the-](https://earthobservatory.nasa.gov/images/79459/dust-storm-in-the-great-plains)
694 [great-plains](https://earthobservatory.nasa.gov/images/79459/dust-storm-in-the-great-plains)) over the U.S. northern Great Plains. There was a severe drought in 2012
695 with anomalously low precipitation centered over the central U.S. (e.g., Hoerling et al.,
696 2014). The dry conditions favored dust storm development when there were intensified
697 surface winds. However, this storm was not predicted by the forecast models, such as the
698 Goddard Earth Observing System version 5 (GEOS-5; Rienecker et al., 2008) and Navy
699 Aerosol Analysis Prediction System (NAAPS; Witek et al., 2007; Reid et al., 2009;
700 Westphal et al., 2009).

701 As shown in Figure 14, MODIS DOD captures this event, with a peak value
702 above 0.5 over southwest Nebraska and northern Kansas on Oct. 18th, 2012. The
703 $V_{thresh12mn}$ run also largely captures this event (Fig. 14 bottom panel), although the
704 Control run totally misses it (not shown). In the model, the dust storm appears in South
705 Dakota and Nebraska on Oct. 17th, 2012, along with the anomalous southwesterly winds.
706 It reaches a maximum on Oct. 18th, in association with intensified anomalous
707 southwesterly winds at the surface and an anomalous low-pressure system at 850 hPa
708 (Figure S8 in the Supplement). Note that the modeled dust storm center is located a bit

709 northeastward compared to the MODIS DOD pattern and it also has greater magnitude
710 and covers a larger area. On Oct. 19th, both the anomalous low-pressure system and
711 surface wind speeds weaken and the dust storm dissipates, with slightly elevated DOD
712 levels over a region extending over the lower Mississippi River basin and the Midwest.
713 This is somewhat consistent with MODIS records, which also shows slightly higher DOD
714 levels over Tennessee and northern Alabama on Oct. 19th, regardless of large area of
715 missing values.

716

717 **3.3 Frequency distribution of DOD in the model versus that from MODIS**

718 Figure 15 shows the frequency distribution of regional mean DOD during one
719 dusty season (MAM in the Northern Hemisphere and SON in the Southern Hemisphere)
720 for nine regions. Results from MODIS, the Control, and $V_{\text{thresh}12\text{mn}}$ runs are shown in
721 black, blue, and orange lines, respectively. In most dusty regions, such as the Sahara,
722 Sahel, Arabian Peninsula, India, and northern China, MODIS DOD frequency largely
723 peaks between 0.2 to 0.4, while DOD frequency peaks at a much lower level between
724 0.02 to 0.08 in less dusty regions, such as the U.S., South America, South Africa and
725 Australia. This also justifies our selection of DOD_{thresh} of 0.02 (instead of 0.2) in the less
726 dusty regions. The DOD distribution in the Control run is biased low and peaks around
727 0.05 in those dusty regions and between 0 and 0.01 in less dusty regions. The frequency
728 is much better captured in the $V_{\text{thresh}12\text{mn}}$ run over the Arabian Peninsula and the Sahel,
729 slightly improved but still biased low over the Sahara, northern China, India, and the U.S.
730 The modeled frequency in the $V_{\text{thresh}12\text{mn}}$ run is biased high in Australia (peaks outside
731 the maximum of x-axis, not shown) and shows little improvement over South Africa and

732 South America. The overall improvement of DOD frequency using the time-varying 2D
733 $V_{threshold}$ occurs mostly over major dusty regions, which is consistent with the
734 improvements in DOD climatology and seasonal cycle in the model simulations.

735

736 **4. Discussion**

737 A global distribution of the threshold of wind erosion is retrieved using high
738 resolution MODIS DOD and land surface constraints from relatively high-resolution
739 satellite products and reanalyses. While this climatological monthly $V_{threshold}$ provides
740 useful information about the spatial and temporal variations in wind erosion threshold,
741 there are some uncertainties associated with it. Here DOD frequency is derived using
742 MODIS and other satellite products, thus the uncertainties in the satellite products are
743 inherited in the derived DOD frequency distribution. Due to the cloud screening
744 processes of MODIS products, dust activities over cloud-covered regions may be
745 underestimated. Also, DOD frequency is derived based on daily observations over a 13-
746 year record, so that some variability of dust emission associated with alluvial sediments
747 deposited by seasonal flooding may be not captured. Diurnal variability of dust emission
748 and short-duration events such as haboobs are also not included. Since DOD is a column
749 integrated variable, it includes both local emitted and remotely transported dust. When
750 using DOD frequency distribution to approximate dust emission, it may overestimate dust
751 emission in regions where transported dust is dominated and lead to an underestimation
752 of $V_{threshold}$. Future studies to better quantify the influences of transported dust would
753 further improve quantitative retrieval of $V_{threshold}$.

754 Previous study found that over regions such as North Africa, reanalysis products
755 may underestimate surface wind speed in spring and monsoon seasons but overestimate it
756 during dry nights (e.g., Largeron et al., 2015). This is largely because mechanisms such
757 as density current that can enhance surface wind speed are not parameterized in the
758 atmospheric models to produce the reanalysis products, while coarse spatial and temporal
759 sampling may also contribute to the underestimation of reanalysis wind speeds. The
760 selection of surface winds from different reanalysis products also affects the derived
761 $V_{threshold}$. Among the three reanalyses examined here, $V_{threshold}$, derived from the NCEP1
762 reanalysis shows slightly lower values than others.

763 In addition, $V_{threshold}$ is derived by matching the frequency distribution of DOD at
764 certain levels (i.e., DOD_{thresh}) with the frequency distribution of daily maximum wind.
765 An issue is that selecting a value of DOD_{thresh} is quite empirical. The influences of soil
766 properties such as soil cohesion, particle size, and particle compositions on the threshold
767 of wind erosion (e.g., Fécan et al., 1999; Alfaro and Gomes, 2001; Shao, 2001; Kok et
768 al., 2014b) are not explicitly examined here and will need further investigation.

769 The influences of $V_{threshold}$ on AM4.0/LM4.0 results are twofold. On the one hand,
770 it modifies the default constant threshold of wind erosion (V_t in Eq. 4) by allowing spatial
771 and temporal variations of wind erosion threshold over bare ground, i.e., within the
772 domain of default dust source function S (Figs. S9a-e in the Supplement). On the other
773 hand, it slightly extends the potential emission area to sparsely-vegetated regions as
774 outlined by $V_{threshold}$ (Figs. S9f-j in the Supplement). Which effect dominates? Taking the
775 $V_{thresh}12mn$ simulation as an example, Figure S10 shows the differences of dust emission
776 with the Control run. The increase of dust emission in the $V_{thresh}12mn$ simulation (also

777 summarized in Table S2 in the Supplement) is largely associated with the enhanced
778 emission over the bare ground (Figs. S10a-e in the Supplement), mainly over the regions
779 with reduced wind erosion threshold (Figs. S9a-e in the Supplement). The increased
780 emission over sparsely-vegetated area over regions such as the southern Sahel, India, and
781 Australia plays a minor role. This is consistent with Kim et al. (2013), who found global
782 dust emission in the Georgia Institute of Technology–Goddard Ozone Chemistry Aerosol
783 Radiation and Transport (GOCART) model is dominated by emission from bare ground.

784 The major benefit of using the spatial and temporal varying $V_{threshold}$ is that it
785 improves the simulation of DOD spatial pattern (Figs. 6-7), seasonal cycle (Figs. 11-13),
786 and frequency distribution (Fig. 15) as well as the spatial pattern of surface dust
787 concentrations (Figs. 9-10), which cannot be achieved by simply modifying the global
788 tuning factor (i.e., C in Eq. 4) to fit the observations such as surface concentrations or
789 optical depth.

790 The default setting in the Control run produced a relatively low global dust
791 emission (978 Tg yr^{-1}) in comparison with the AeroCom multi-model median (1123 Tg
792 yr^{-1} ; Huneus et al. 2011) or a previous estimation based on MODIS DOD (1223 Tg yr^{-1} ;
793 Ginoux et al. 2012). So we also conducted a test run (Control II) to increase global dust
794 emission in the Control run to about 1232 Tg yr^{-1} by enlarging C in Eq. 4. The magnitude
795 of DOD slightly increases, e.g., over the Sahel annual mean increases from 0.07 to 0.09,
796 however, there's no improvement in terms of seasonal cycle or spatial pattern, as
797 expected.

798 We also examined the performance of $V_{threshold}$ using $DOD_{thresh} = 0.5$ (or 0.05) in
799 the AM4.0/LM4.0. Similarly, we conducted simulations with 12-month $V_{threshold}$

800 ($V_{\text{thresh12mn II}}$) and annual mean $V_{\text{threshold}}$ ($V_{\text{threshAnn II}}$), all using the same tuning factor
801 as in the Control II. We found similar improvement in DOD seasonal cycle and weaker
802 improvement in DOD spatial pattern and frequency distribution and surface dust
803 concentrations (except with the IMPROVE data over the U.S. and surface concentrations
804 over the Sahel, where dust concentrations are previously overestimated). This is largely
805 because higher $V_{\text{threshold}}$ leads to lower global dust emissions in the $V_{\text{threshAnn II}}$ (1961
806 Tg yr^{-1}) and $V_{\text{thresh12mn II}}$ simulations (1705 Tg yr^{-1}) and overall lower DOD. Over
807 Mediterranean coast, Europe, and northern Asia, DOD spatial pattern is not as well
808 captured in the $V_{\text{thresh12mn II}}$ run as in the $V_{\text{thresh12mn}}$ run, likely due to relatively high
809 $V_{\text{threshold}}$ in these regions.

810

811 **5. Conclusion**

812 While dust aerosols play important roles in the Earth's climate system, large
813 uncertainties exist in modeling its lifecycle (e.g., Huneus et al., 2011; Pu and Ginoux,
814 2018b). Constant thresholds of wind erosion are widely used in climate models for
815 simplicity. Here, high-resolution MODIS Deep Blue dust optical depth (DOD) and
816 surface wind speeds from the NCEP1 reanalysis, along with other land surface factors
817 that affect wind erosion, such as soil moisture, vegetation cover, snow cover, soil
818 temperature, and soil depth, were used to develop a time-varying two-dimensional
819 climatological threshold of wind erosion, $V_{\text{threshold}}$, based on the seasonal variations of
820 DOD and surface wind distribution frequencies. $V_{\text{threshold}}$ is generally lower in dusty
821 seasons, i.e., MAM and JJA (SON and DJF) in the Northern (Southern) Hemisphere.

822 The climatological monthly $V_{threshold}$ was then incorporated into the GFDL
823 AM4.0/LM4.0 model to examine the potential benefits relative to the use of a constant
824 threshold. In comparison with the simulation using the default setting of a globally
825 constant threshold of wind erosion (6 m s^{-1}), both the magnitude of DOD and surface dust
826 concentrations are increased and closer to observations. However, different from
827 modifying the global tuning factor (i.e., C in Eq. 4) to increase the overall magnitudes of
828 DOD or surface dust concentrations, we found the spatial and temporal varying $V_{threshold}$
829 largely improves the simulation of the spatial pattern, seasonal cycle, and frequency
830 distribution of DOD over Northern Hemisphere dusty regions, such as North Africa and
831 the Arabian Peninsula, and slightly improves over India, the western to central U.S., and
832 northern China. The seasonal cycle of DOD are also slightly improved in South America,
833 although change little in South Africa. The incorporation of $V_{threshold}$ leads to an
834 overestimation of DOD in Australia, likely in association with the absence of soil
835 moisture constraints on dust emission in the model.

836 The spatial pattern of surface dust concentrations is also improved when spatial
837 and temporal varying $V_{threshold}$ is incorporated. The fine dust concentration in the U.S. is
838 also better captured, with the maximum of annual mean largely located over the
839 southwestern U.S., although the magnitude is overestimated.

840 A constant annual mean $V_{threshold}$ is also tested in the model, and is found to
841 overestimate DOD over dusty seasons in the Arabian Peninsula, U.S., India, Australia,
842 and South America. Surface PM_{10} concentrations in the Sahel during boreal fall and
843 winter seasons are also largely overestimated with this setting. The results indicate the
844 importance of including the seasonal cycle of $V_{threshold}$ in the model. Using time-varying

845 2D $V_{threshold}$, the model was also able to capture a strong dust storm in the U.S. Great
846 Plains in October 2012, which created deadly accidents, while some dust forecasting
847 models failed to reproduce it.

848 Finally, this method to retrieve global threshold of wind erosion can be
849 conducted under different resolutions or surface wind reanalyses or being applied to
850 surface friction velocity datasets to match the resolution/scheme of dust models and may
851 help improve their simulations and forecasting of dust distribution. As discussed in
852 section 4, there are uncertainties associated with this method, and future studies to better
853 quantify the influence of transported dust to overall DOD frequency distribution and
854 incorporating station based surface wind records into the retrieval process will further
855 improve the dataset.

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867 *Data availability.* Both the monthly and annual mean $V_{threshold}$ data at a 0.5° by 0.5°
868 resolution in NetCDF format is archived at: [https://www.gfdl.noaa.gov/pag-](https://www.gfdl.noaa.gov/pag-homepage/)
869 [homepage/](https://www.gfdl.noaa.gov/pag-homepage/)

870

871 *Author contributions.* PG and BP conceived the study. PG processed the MODIS Deep
872 Blue aerosol data and guided model simulations. HG, SM, VN, ES, and MZ assisted with
873 model configurations, while CH, JK, BM, NO, CG, and JP provided guidance on data
874 usage and analysis. BP conducted model simulations, analyzed data and model results,
875 and wrote the paper with contributions from all other co-authors.

876

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891 The AERONET aerosol optical depth data and SDA data are downloaded from
892 https://aeronet.gsfc.nasa.gov/new_web/download_all_v3_aod.html (last access: June
893 2018; Holben et al. 1998). IMPROVE fine dust data are downloaded from
894 <http://views.cira.colostate.edu/fed/DataWizard/> (last access: March 2017, Malm et al.,
895 1994; Hand et al., 2011). MODIS LAI data may be requested by contacting Dr. Ranga
896 Myneni at Boston University.

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1371 Milly, P. C. D., Ming, Y., Naik, V., Paulot, F., Paynter, D., Phillipps, P.,
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1377

1378 Table 1 Major dust source regions shown in Figure 1. Note that region names such as
1379 India and northern China are not exactly the same as their geographical definitions but
1380 also cover some areas from nearby countries.

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1382 Table 2 Sensitivity of annual mean wind erosion threshold (m s^{-1}) to the selection of
1383 different retrieval criteria. Note the setting of the last column is the same as
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1385 Africa. Here $DOD_{thresh}=0.2$ or 0.5 is applied to dusty regions, i.e., the Sahel, Sahara,
1386 Arabian Peninsula, northern China, and India, while $DOD_{thresh}=0.02$ or 0.05 is applied to
1387 less dusty regions, i.e., the U.S., South Africa, South America, and Australia.

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1390 Table 3 Sensitivity of annual mean wind erosion threshold (m s^{-1}) to surface wind speeds
1391 from different reanalyses ($DOD_{thresh}=0.2$ or 0.02).

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1393 Table 4 Simulation design

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1401 Figure 1. (a)-(e) Frequencies of occurrence (FoO; unit: days per season) in each season
1402 and annual mean. (f)-(j) Threshold of wind erosion ($V_{threshold}$; unit: $m s^{-1}$) derived from
1403 satellite products and reanalyses for each season and annual mean using $DOD_{thresh}=0.2$
1404 (or 0.02). Black boxes in (f) denote nine dust source regions as listed in Table 1.

1405

1406 Figure 2. (a)-(i) Frequency distribution of annual mean $V_{threshold}$ (black bars) in each
1407 region (black boxes in Fig. 1) and for dusty seasons, i.e., MAM (green) and JJA (blue)
1408 for regions in the Northern Hemisphere and SON (orange) and DJF (grey) for regions in
1409 the Southern Hemisphere. The mean (averaged over all grid points in the region, without
1410 area weight) and \pm one standard deviations of $V_{threshold}$ in each region are shown on the
1411 top right of each plot.

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1413 Figure 3. (a)-(e) Threshold of wind erosion ($V_{threshold}$; unit: $m s^{-1}$) derived from satellite
1414 products and reanalyses for each season and annual mean using $DOD_{thresh}=0.5$ (or 0.05).
1415 Black boxes in (a) denote nine dust source regions as listed in Table 1.

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1417 Figure 4. Climatology of annual mean AERONET (a) AOD (550 nm) and (b) SDA COD
1418 (500 nm) averaged over 2003-2015.

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1420 Figure 5. Scatter plot of simulated annual mean (a) AOD and (b) COD in the Control run
1421 versus AERONET AOD and COD (left), and the relative difference (in percentage) (c)
1422 between modeled AOD and AERONET AOD and (d) between modeled COD and
1423 AERONET COD (right). (e) The relative contribution of DOD to COD in the model.

1424 Figure 6. Same as Fig. 5 but for the $V_{\text{thresh}12\text{mn}}$ simulation.

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1427 regions and (b) scatter plot of modeled DOD in the $V_{\text{thresh}12\text{mn}}$ simulation versus
1428 AERONET DOD, and (c) the relative difference (in percentage) between modeled DOD
1429 and AERONET DOD in the $V_{\text{thresh}12\text{mn}}$ simulation.

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1431 Figure 8. Regional averaged annual mean DOD (2003-2015) over nine regions from the
1432 Control (grey), $V_{\text{thresh}12\text{mn}}$ (orange), and $V_{\text{thresh}Ann}$ (yellow) simulations and MODIS
1433 (black).

1434

1435 Figure 9. Scatter plots (left column) of model simulated (from top to bottom are the
1436 Control, $V_{\text{thresh}Ann}$, and $V_{\text{thresh}12\text{mn}}$ simulations) surface dust concentration versus the
1437 climatology of observed surface dust concentration from RSMAS stations (Savoie and
1438 Prospero 1989), and spatial pattern of surface dust concentration from model output
1439 (shading; right column) and the ratio between modeled and RSMAS station observed
1440 surface dust concentration (color triangles, with upward triangles indicating
1441 overestimation and downward triangles indicating underestimation). 16 stations were
1442 used, and numbers in each triangle (right) and grey dots (left) indicate the stations. The
1443 one-one, one-two and one-five lines are plotted in solid, dashed and dash-dotted lines in
1444 the scatter plots. Statistics in the scatter plots are calculated in logarithmic space.

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1446 Figure 10. Annual mean surface fine dust concentration ($\mu\text{g m}^{-3}$) from IMPROVE
1447 stations (left column) and three simulations (middle column) and the differences between
1448 model and observation (right column) for 2002-2015.

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1450 Figure 11. Seasonal cycle of DOD from MODIS (black), the Control (grey), $V_{\text{thresh}12\text{mn}}$
1451 (orange), and $V_{\text{thresh}Ann}$ (yellow) runs, and gridded AERONET SDA COD (blue)
1452 averaged over nine regions. The annual mean of each dataset in each region is listed on
1453 the top of the plot.

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1455 Figure 12. Seasonal cycle of DOD over 12 AERONET SDA sites (see Fig. S7 in the
1456 Supplement for locations) from the Control (grey), $V_{\text{thresh}12\text{mn}}$ (orange), and $V_{\text{thresh}Ann}$
1457 (yellow) simulations, along with DOD from MODIS (blue), and COD from AERONET
1458 (black dotted line). All values are averaged over 2003-2015. The location (lat/long) and
1459 the name (due to space, only first seven characters are shown) of the sites are listed at the
1460 top of each plot.

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1462 Figure 13. (a)-(c) Seasonal cycle of PM_{10} surface concentration (black) over three sites
1463 from the LISA project, along with PM_{10} surface dust concentration from the Control
1464 (grey), $V_{\text{thresh}12\text{mn}}$ (orange), and $V_{\text{thresh}Ann}$ (yellow) simulations. Error bars are \pm one
1465 standard deviations of daily mean in each month averaged over 2006-2014. Unites: $\mu\text{g m}^{-3}$.
1466 (d)-(f) seasonal cycle of DOD (550 nm) from three AERONET sites co-located with
1467 LISA sites (blue) versus that modeled by the Control (grey), $V_{\text{thresh}12\text{mn}}$ (orange), and
1468 $V_{\text{thresh}Ann}$ (yellow) simulations.

1469 Figure 14. Daily DOD from MODIS (top panel), daily DOD simulated by the $V_{\text{thresh}}12\text{mn}$
1470 run along with anomalies (with reference to the 2000-2015 mean) of surface wind vectors
1471 (m s^{-1} ; bottom panel) from Oct. 17th to Oct. 19th, 2012. Only DOD over land is shown.
1472 Missing values in MODIS DOD (top panel) are plotted in grey shading.

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1474 Figure 15. Frequency (%) distribution of regional averaged daily DOD from MODIS
1475 (black) versus that from the Control (light blue) and $V_{\text{thresh}}12\text{mn}$ (orange) simulations for
1476 the Sahara, the Sahel, the Arabian Peninsula, northern China, India, western to central
1477 U.S., South America, South Africa, and Australia from 2003 to 2015. X-axis denotes the
1478 ranges of DOD (the bin spacing for dusty regions is 0.05 and for less dusty regions is
1479 0.01), and y-axis is percentage of occurrence. The light green boxes denote the averaging
1480 areas. For regions in the Northern Hemisphere frequency in MAM is shown, while for
1481 regions in the Southern Hemisphere frequency in SON is shown.

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1496 Table 1 Major dust source regions shown in Figure 1. Note that region names such as
 1497 India and northern China are not exactly the same as their geographical definitions but
 1498 also cover some areas from nearby countries.
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No.	Regions	Lat/long
1	Sahel	10°-20°N, 18°W-35°E
2	Sahara	20°-35°N, 15°W-25°E
3	Arabian Peninsula	15°-35°N, 35°-60°E
4	Northern China (N. China)	35°-45°N, 77°-103°E
5	India	20°-35°N, 60°-85°E
6	U.S.	25°-45°N, 102°-125°W
7	South Africa (S. Africa)	17°-35°S, 15°-30°E
8	South America (S. America)	18°-55°S, 65°-75°W
9	Australia	15°-35°S, 128-147°E

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Table 2 Sensitivity of annual mean wind erosion threshold (m s^{-1}) to the selection of different retrieval criteria. Note the setting of the last column is the same as $DOD_{thresh}=0.2$ or 0.02 , except surface DOD (sDOD) from Aqua is used over North Africa. Here $DOD_{thresh}=0.2$ or 0.5 is applied to dusty regions, i.e., the Sahel, Sahara, Arabian Peninsula, northern China, and India, while $DOD_{thresh}=0.02$ or 0.05 is applied to less dusty regions, i.e., the U.S., South Africa, South America, and Australia.

Regions	Soil Moisture ($\text{cm}^3 \text{cm}^{-3}$)			LAI (m^2m^{-2})			Snow coverage (%)			DOD _{thresh}		sDOD
	<0.1	<0.15	None	<0.15	<0.3	<0.5	<=0.2	<=2	<=10	=0.2 (0.02)	=0.5 (0.05)	
Sahel	3.21	3.19	3.22	3.24	3.21	3.19	3.21	3.21	3.21	3.21	4.93	6.05
Sahara	4.61	4.56	4.49	4.54	4.61	4.59	4.61	4.61	4.61	4.61	7.59	7.66
AP	5.37	5.26	5.26	5.26	5.37	5.37	5.37	5.36	5.35	5.37	8.00	5.57
N. China	7.73	7.64	7.07	7.79	7.73	7.71	7.73	7.56	7.44	7.73	10.15	7.73
India	5.63	5.12	4.99	6.46	5.63	5.63	5.63	5.61	5.60	5.63	8.59	5.63
U.S.	5.71	5.23	4.98	6.53	5.71	5.56	5.71	5.60	5.41	5.71	7.04	5.71
S. Africa	5.41	5.23	5.20	6.72	5.41	5.10	5.41	5.40	5.40	5.41	6.46	5.41
S. America	6.46	6.32	6.20	6.88	6.46	6.39	6.46	6.39	6.35	6.46	8.20	6.46
Australia	5.19	5.16	5.14	5.66	5.19	5.22	5.19	5.19	5.19	5.19	6.49	5.19

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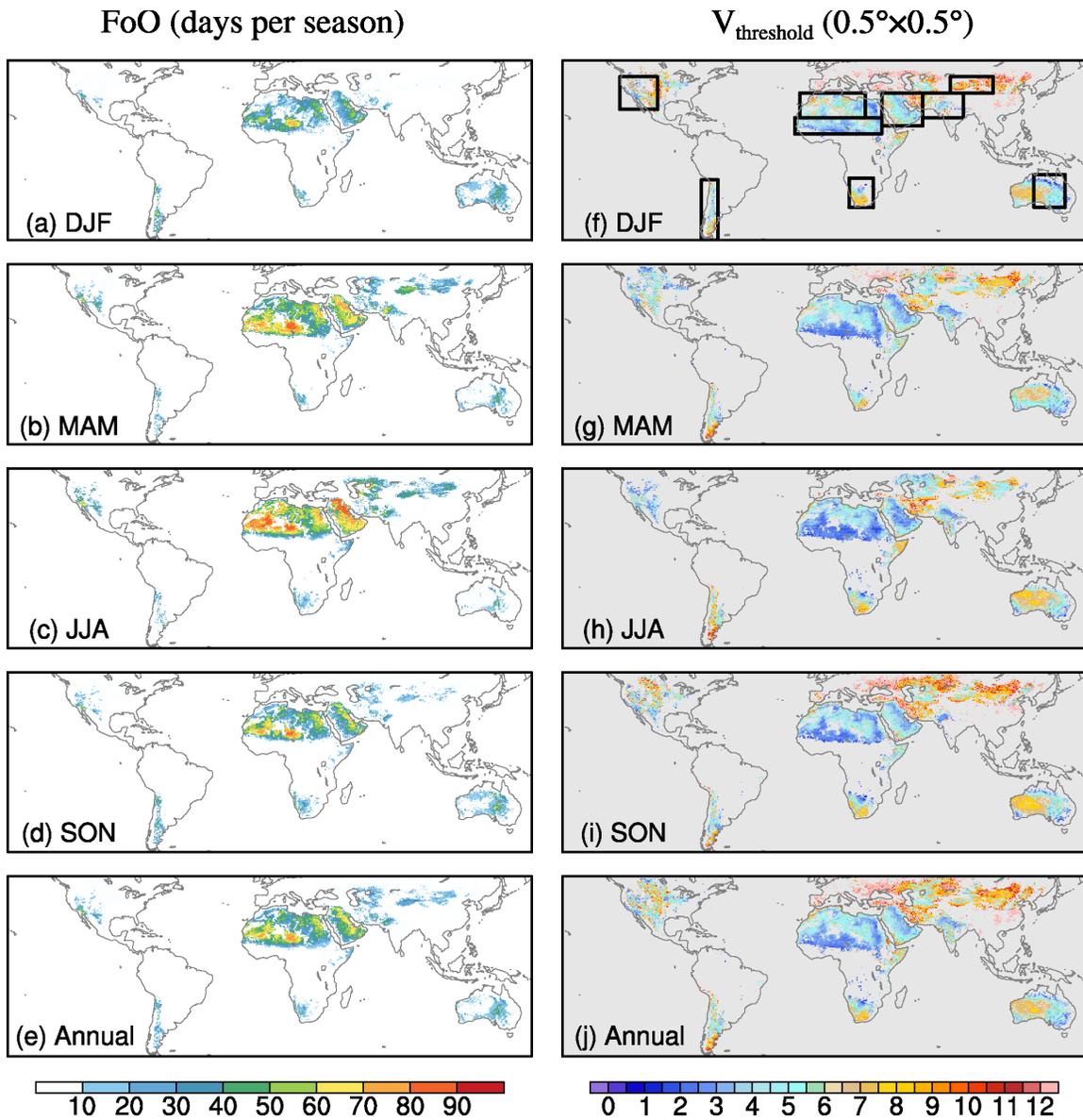
Table 3 Sensitivity of annual mean wind erosion threshold (m s^{-1}) to surface wind speeds from different reanalyses ($\text{DOD}_{\text{thresh}} = 0.2$ or 0.02).

Regions	Reanalysis		
	NCEP	ERA-Interim	ERA5
Sahel	3.21	4.54	4.80
Sahara	4.61	5.56	5.63
AP	5.37	6.12	5.50
N. China	7.73	7.94	7.05
India	5.63	7.01	5.70
U.S.	5.71	6.82	6.18
S. Africa	5.41	7.17	6.26
S. America	6.46	7.51	6.36
Australia	5.19	7.36	6.68

Table 4 Simulation design

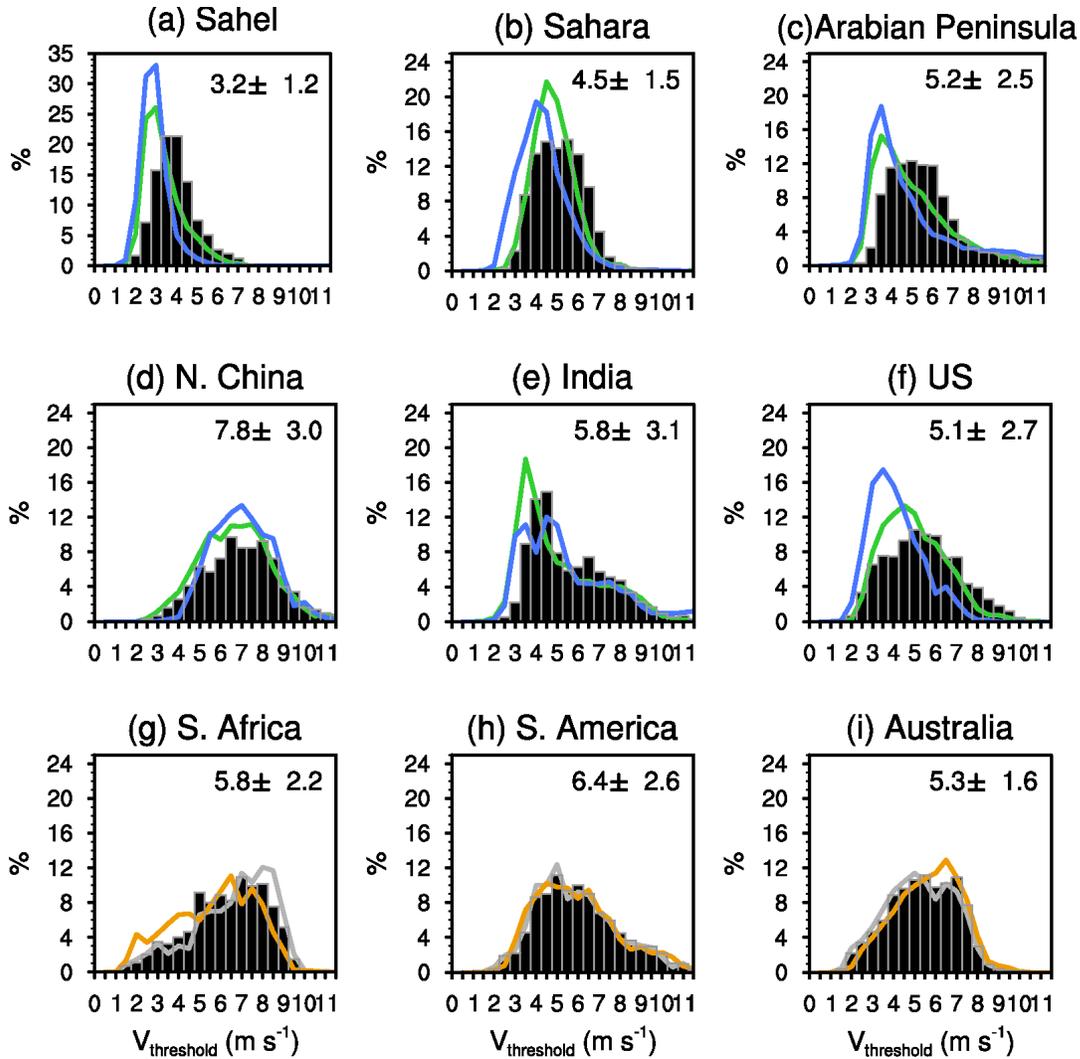
Simulations	Wind erosion threshold	Source function
Control	6 m s^{-1}	S
$V_{\text{thresh}}12\text{mn}$	12-month $V_{\text{threshold}}$	S'
$V_{\text{thresh}}\text{Ann}$	Annual mean $V_{\text{threshold}}$	S'

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Figure 1. (a)-(e) Frequencies of occurrence (FoO; unit: days per season) in each season and annual mean. (f)-(j) Threshold of wind erosion ($V_{threshold}$; unit: $m s^{-1}$) derived from satellite products and reanalyses for each season and annual mean using $DOD_{thresh} = 0.2$ (or 0.02). Black boxes in (f) denote nine dust source regions as listed in Table 1.



1569

1570 Figure 2. (a)-(i) Frequency distribution of annual mean $V_{threshold}$ (black bars) in each
 1571 region (black boxes in Fig. 1) and for dusty seasons, i.e., MAM (green) and JJA (blue)
 1572 for regions in the Northern Hemisphere and SON (orange) and DJF (grey) for regions in
 1573 the Southern Hemisphere. The mean (averaged over all grid points in the region, without
 1574 area weight) and \pm one standard deviations of $V_{threshold}$ in each region are shown on the
 1575 top right of each plot.

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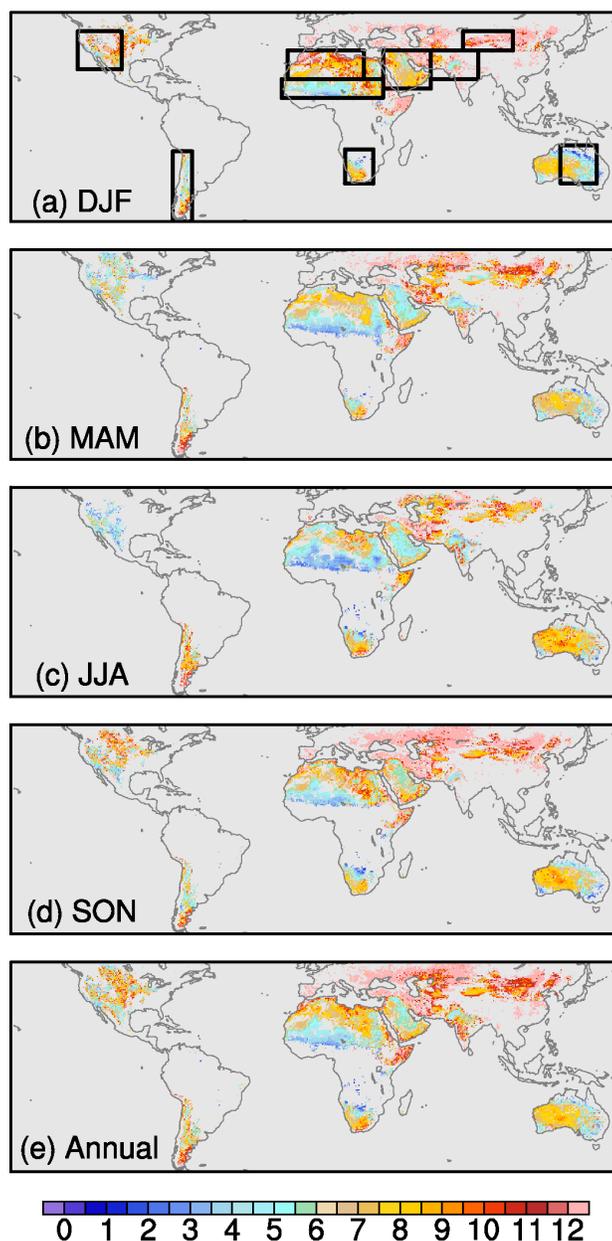
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$V_{\text{threshold}} (0.5^\circ \times 0.5^\circ)$

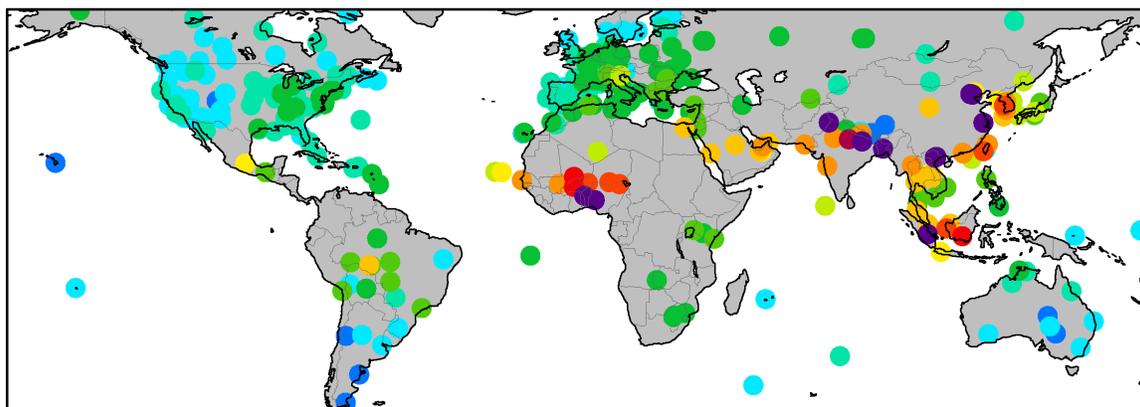


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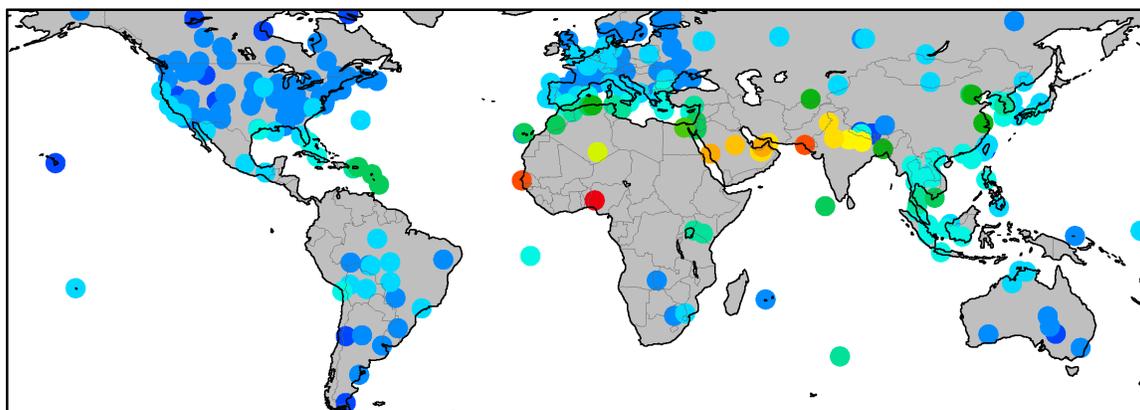
Figure 3. (a)-(e) Threshold of wind erosion ($V_{\text{threshold}}$; unit: m s^{-1}) derived from satellite products and reanalyses for each season and annual mean using $DOD_{\text{thresh}}=0.5$ (or 0.05). Black boxes in (a) denote nine dust source regions as listed in Table 1.

2003-2015

(a) AERONET AOD (550nm)

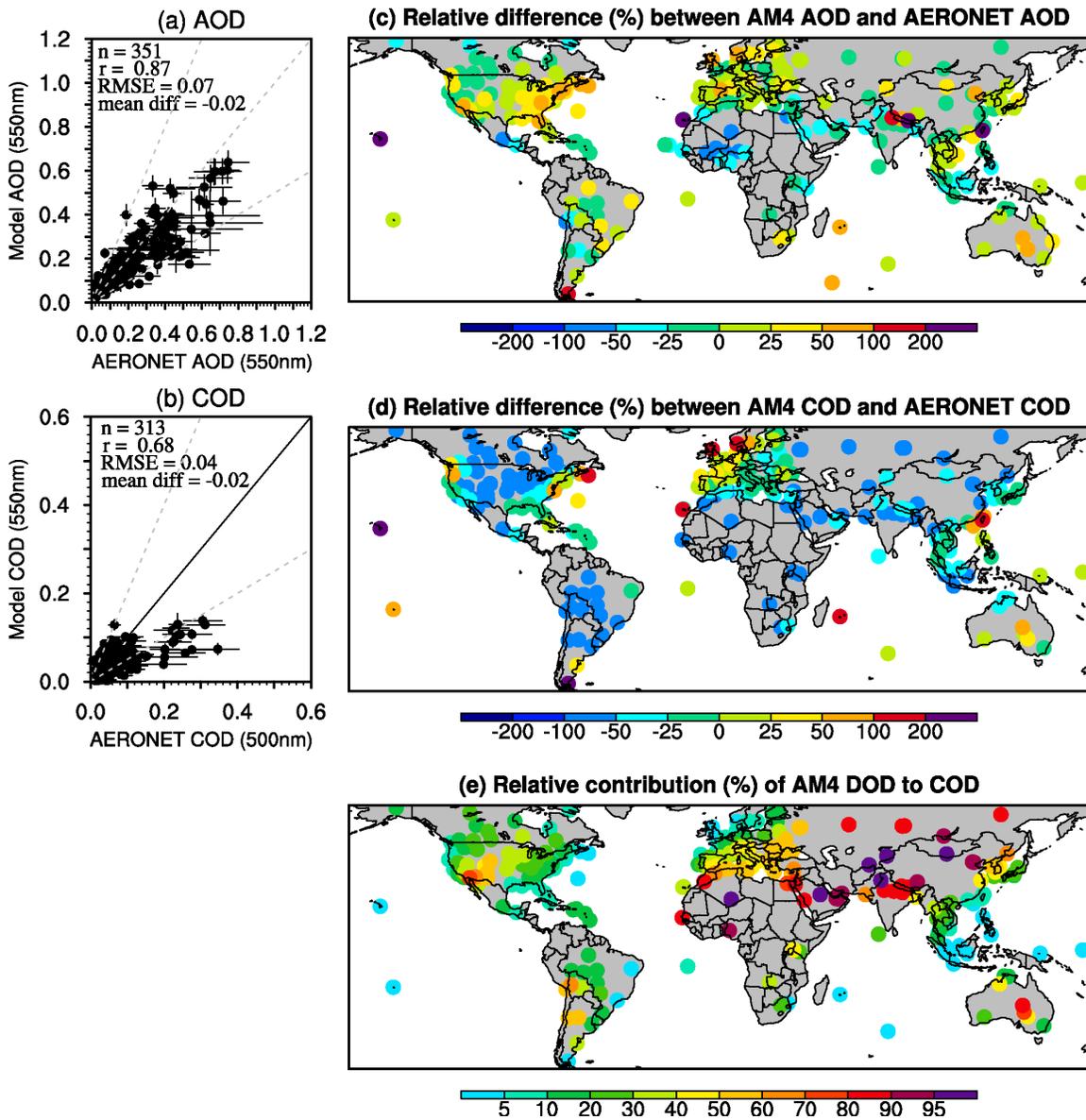


(b) AERONET SDA COD (500nm)



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Figure 4. Climatology of annual mean AERONET (a) AOD (550 nm) and (b) SDA COD (500 nm) averaged over 2003-2015.



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1605 Figure 5. Scatter plot of simulated annual mean (a) AOD and (b) COD in the Control run
 1606 versus AERONET AOD and COD (left), and the relative difference (in percentage) (c)
 1607 between modeled AOD and AERONET AOD and (d) between modeled COD and
 1608 AERONET COD (right). (e) The relative contribution of DOD to COD in the model.

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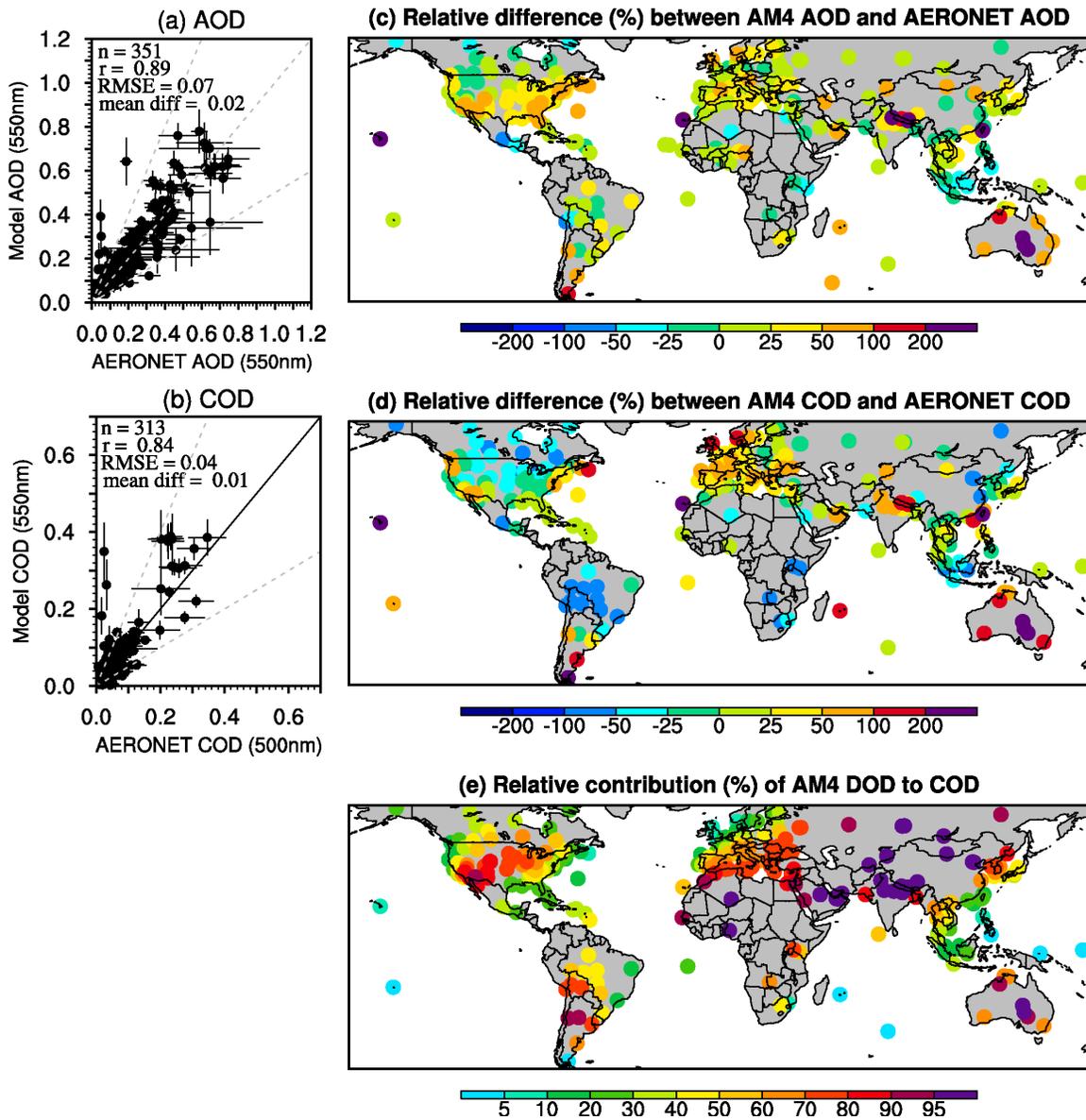
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1619 Figure 6. Same as Fig. 5 but for the $V_{\text{thresh}12\text{mn}}$ simulation.

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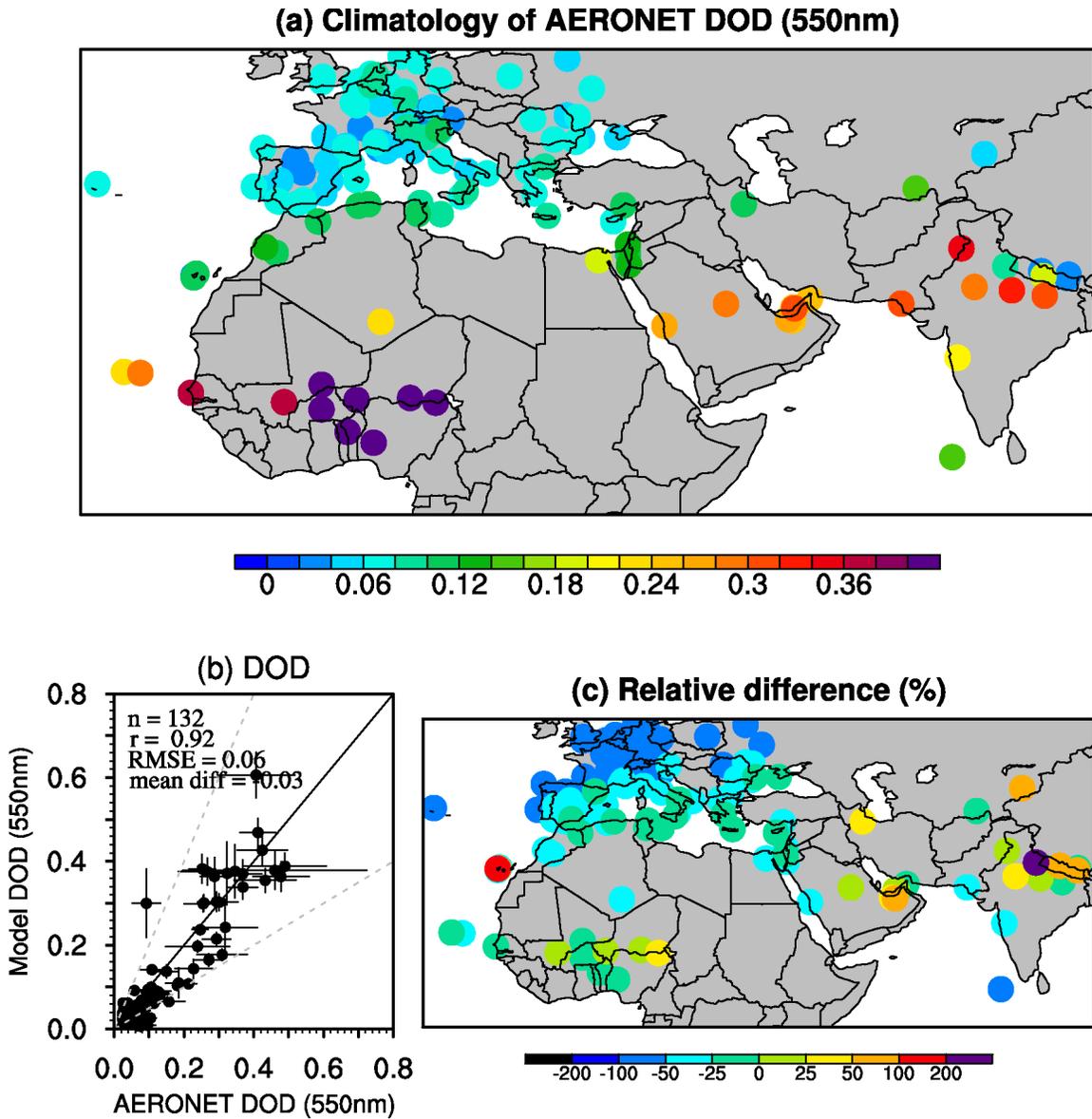
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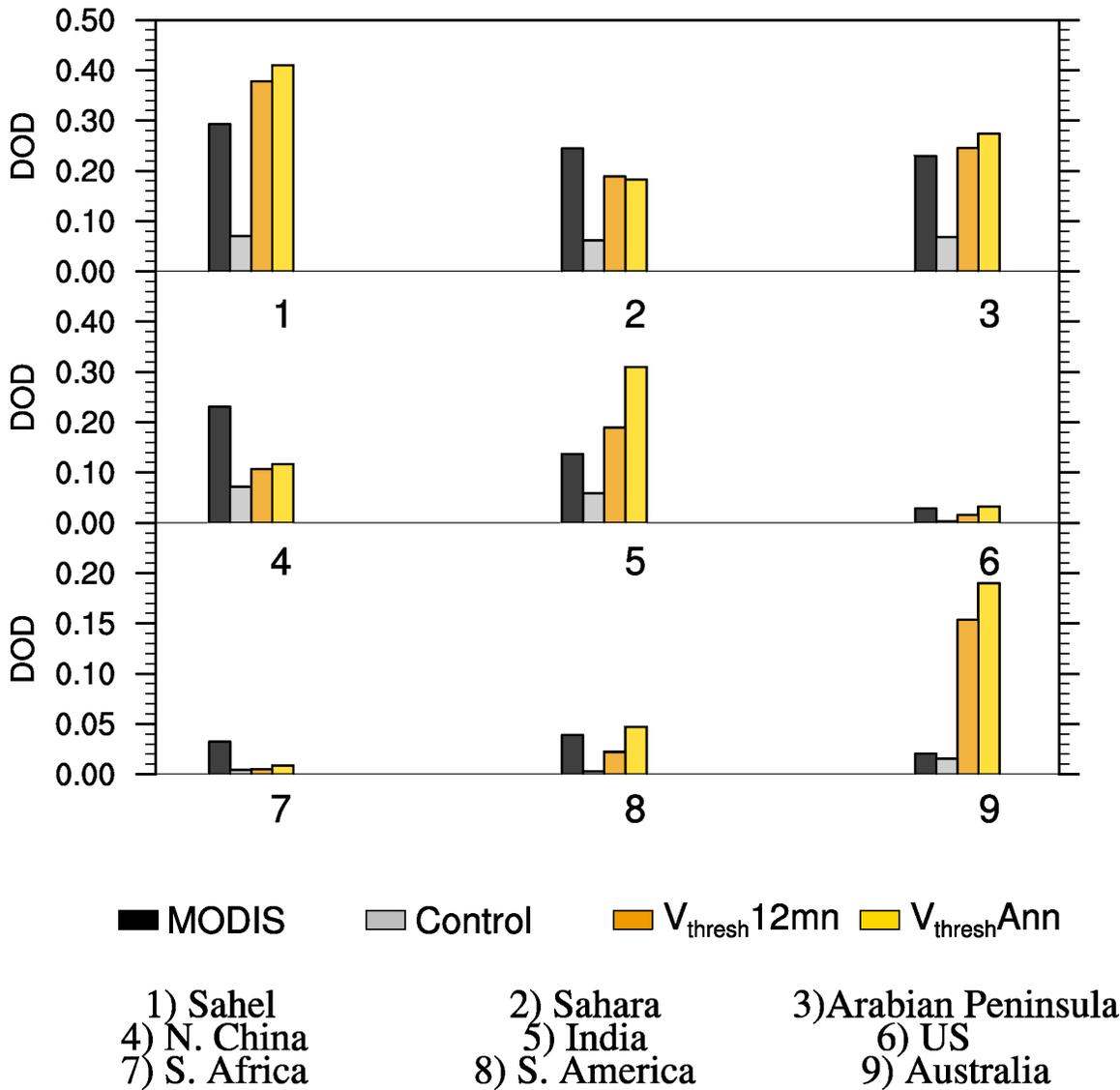
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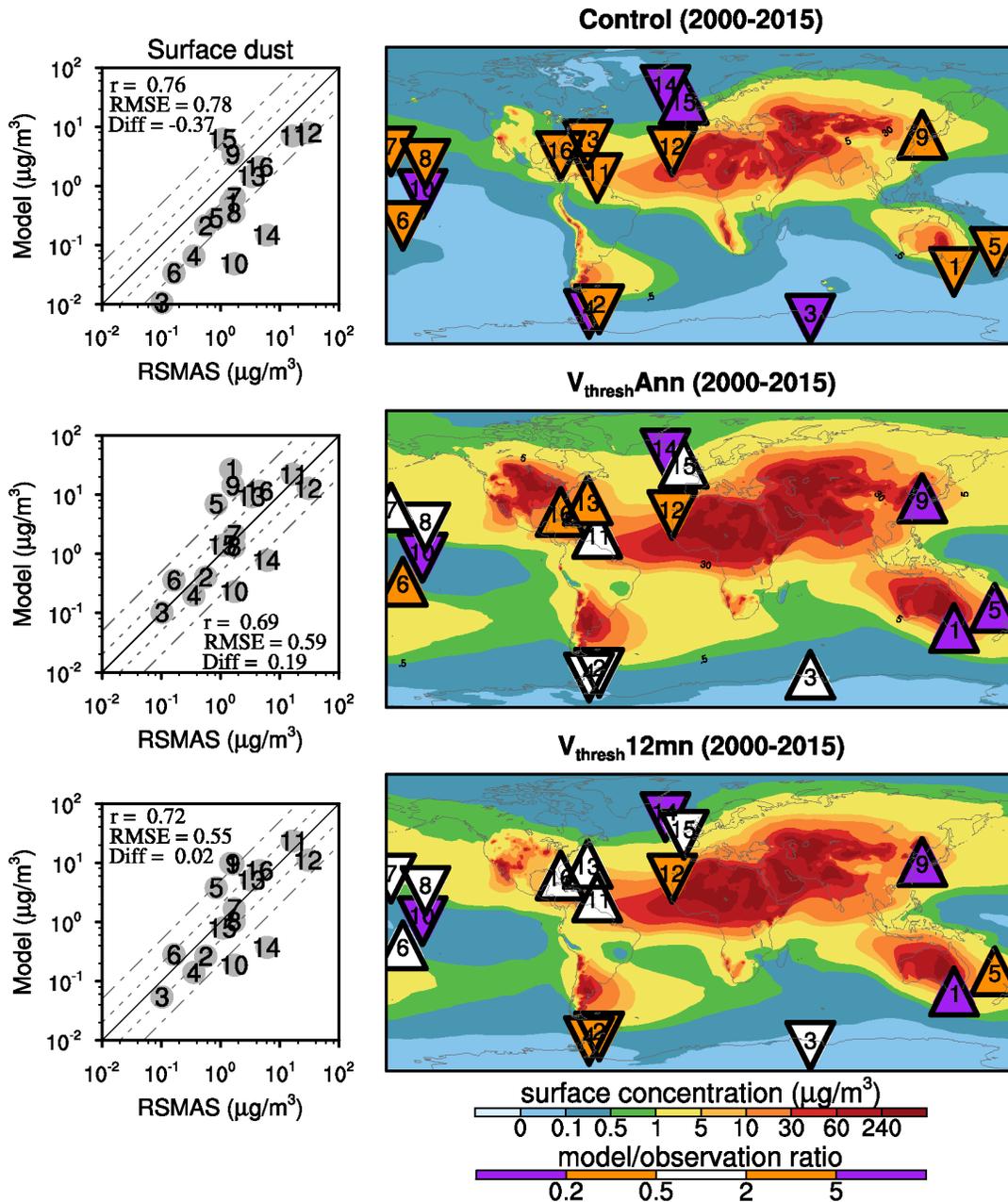
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Figure 7. (a) Climatology (2003-2015) of AERONET DOD (550 nm) over major dusty regions and (b) scatter plot of modeled DOD in the $V_{\text{thresh}}12\text{mn}$ simulation versus AERONET DOD, and (c) the relative difference (in percentage) between modeled DOD and AERONET DOD in the $V_{\text{thresh}}12\text{mn}$ simulation.

Annual mean DOD

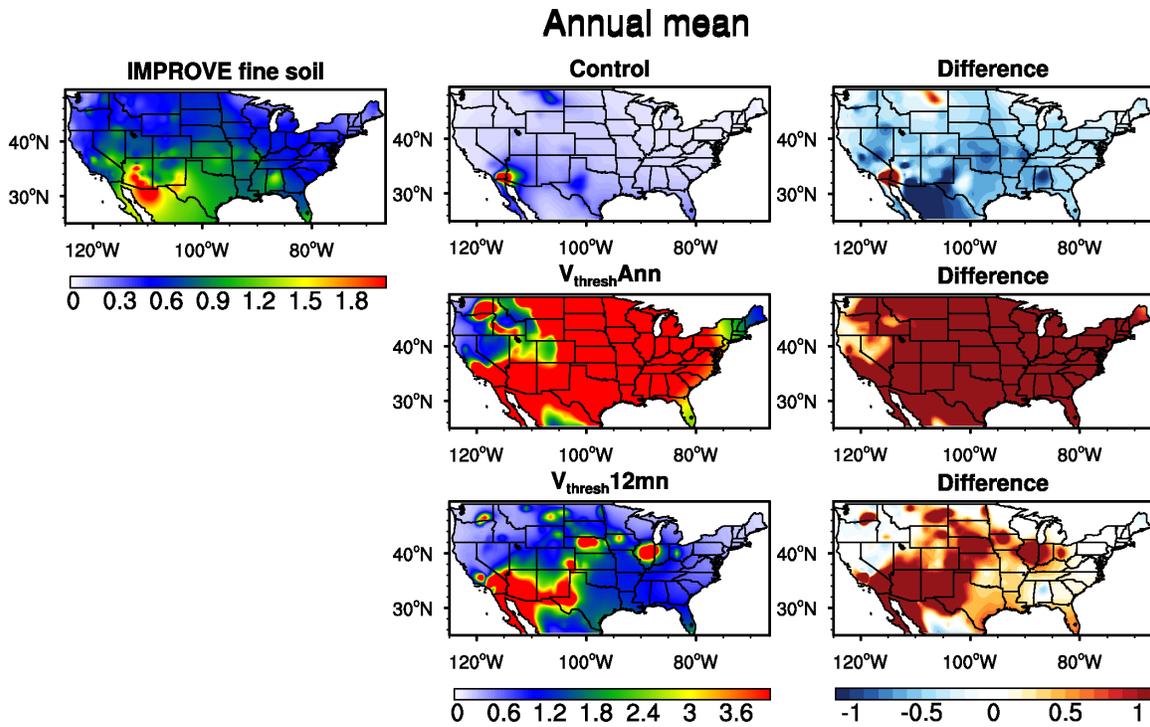


1646 Figure 8. Regional averaged annual mean DOD (2003-2015) over nine regions from the
 1647 Control (grey), $V_{\text{thresh}} 12\text{mn}$ (orange), and $V_{\text{thresh}} \text{Ann}$ (yellow) simulations and MODIS
 1648 (black).
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1654

1655 Figure 9. Scatter plots (left column) of model simulated (from top to bottom are the
 1656 Control, $V_{\text{threshAnn}}$, and $V_{\text{thresh12mn}}$ simulations) surface dust concentration ($\mu\text{g m}^{-3}$)
 1657 versus the climatology of observed surface dust concentration from RSMAS stations
 1658 (Savoie and Prospero 1989), and spatial pattern of surface dust concentration from model
 1659 output (shading; right column) and the ratio between modeled and RSMAS station
 1660 observed surface dust concentration (color triangles, with upward triangles indicating
 1661 overestimation and downward triangles indicating underestimation). 16 stations were
 1662 used, and numbers in each triangle (right) and grey dots (left) indicate the stations. The
 1663 one-one, one-two and one-five lines are plotted in solid, dashed and dash-dotted lines in
 1664 the scatter plots. Statistics in the scatter plots are calculated in logarithmic space.
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1666

1667 Figure 10. Annual mean surface fine dust concentration ($\mu\text{g m}^{-3}$) from IMPROVE

1668 stations (left column) and three simulations (middle column) and the differences between

1669 model and observation (right column) for 2002-2015.

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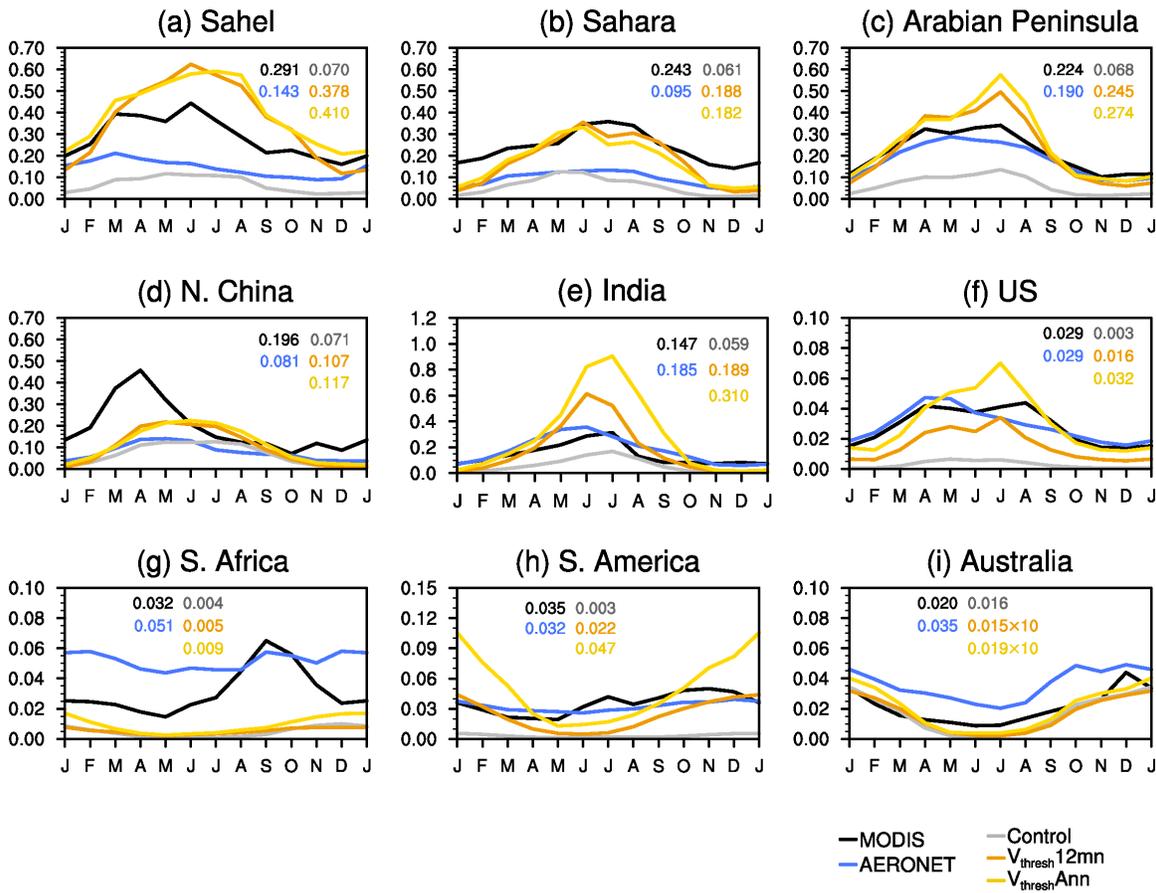
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Dust optical depth (2003-2015)



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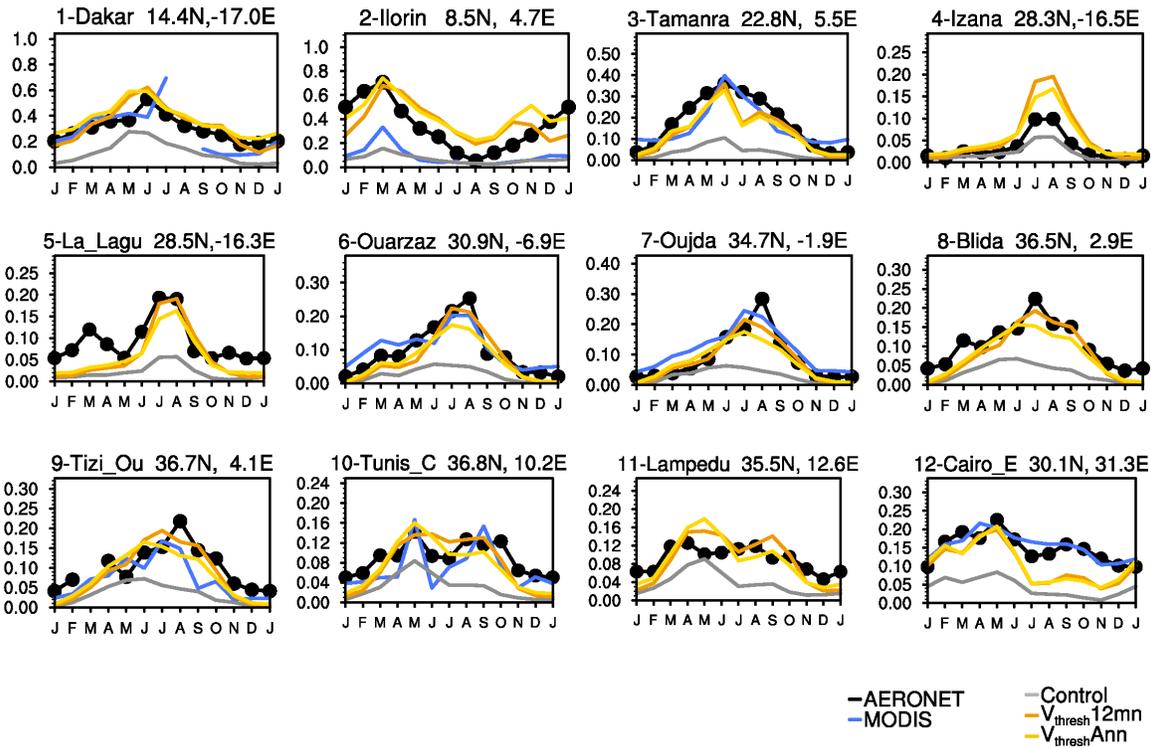
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Figure 11. Seasonal cycle of DOD from MODIS (black), the Control (grey), $V_{\text{thresh}12\text{mn}}$ (orange), and $V_{\text{thresh}Ann}$ (yellow) runs, and gridded AERONET SDA COD (blue) averaged over nine regions. The annual mean of each dataset in each region is listed on the top of the plot.

Dust optical depth (2003-2015) N. Africa



1715

1716 Figure 12. Seasonal cycle of DOD over 12 AERONET SDA sites (see Fig. S7 in the
 1717 Supplement for locations) from the Control (grey), $V_{\text{thresh}12\text{mn}}$ (orange), and $V_{\text{thresh}Ann}$
 1718 (yellow) simulations, along with DOD from MODIS (blue), and COD from AERONET
 1719 (black dotted line). All values are averaged over 2003-2015. The location (lat/long)
 1720 and the name (due to space, only first seven characters are shown) of the sites are listed at the
 1721 top of each plot.

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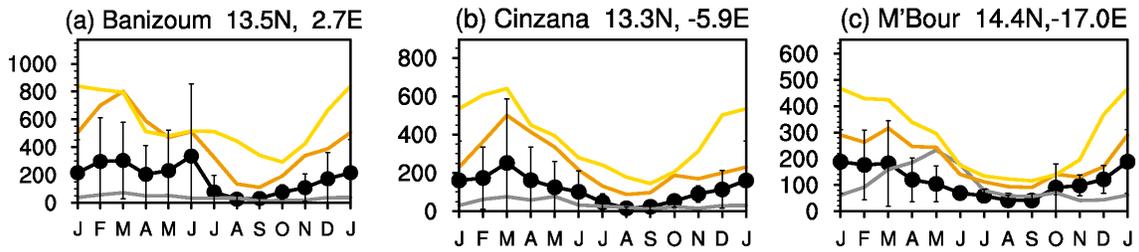
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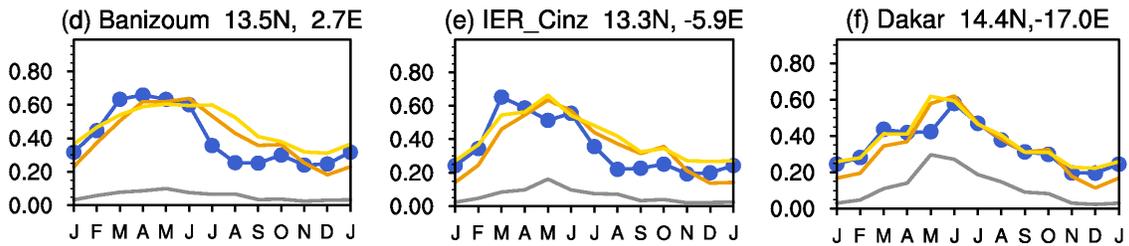
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PM10 surface concentration (model vs. LISA)



DOD 550nm (model vs. AERONET)



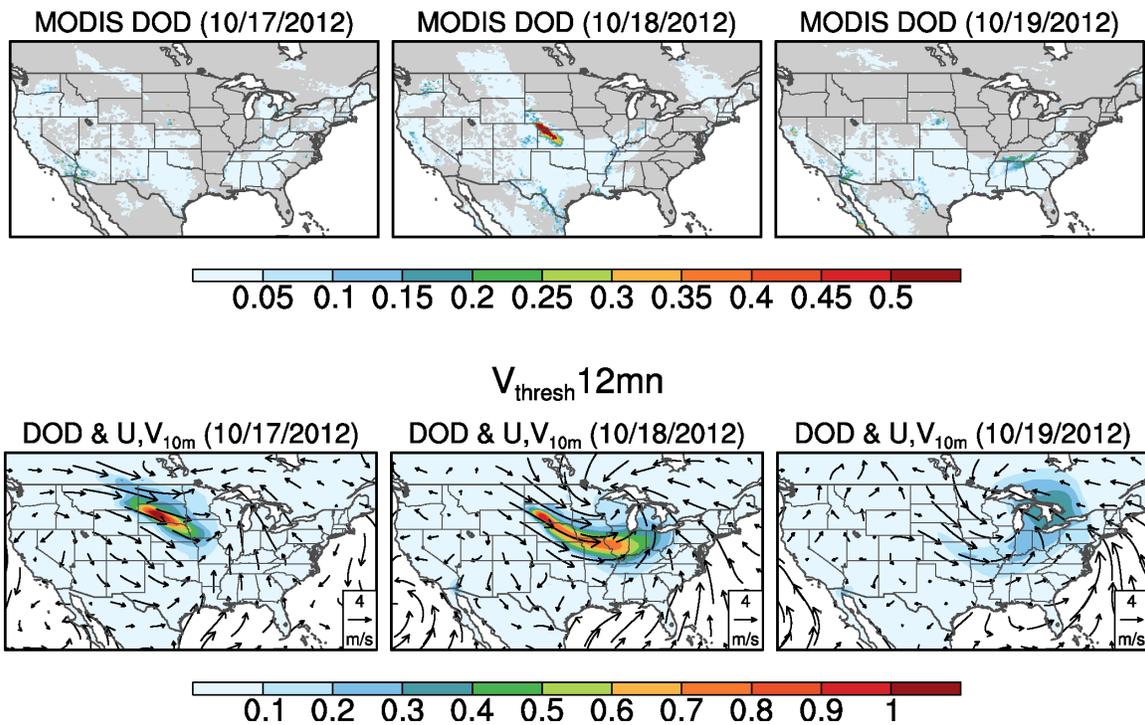
—LISA
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Figure 13. (a)-(c) Seasonal cycle of PM₁₀ surface concentration (black) over three sites from the LISA project, along with PM₁₀ surface dust concentration from the Control (grey), $V_{\text{thresh}12\text{mn}}$ (orange), and $V_{\text{thresh}Ann}$ (yellow) simulations. Error bars are \pm one standard deviations of daily mean in each month averaged over 2006-2014. Unites: $\mu\text{g m}^{-3}$. (d)-(f) seasonal cycle of DOD (550 nm) from three AERONET sites co-located with LISA sites (blue) versus that modeled by the Control (grey), $V_{\text{thresh}12\text{mn}}$ (orange), and $V_{\text{thresh}Ann}$ (yellow) simulations.

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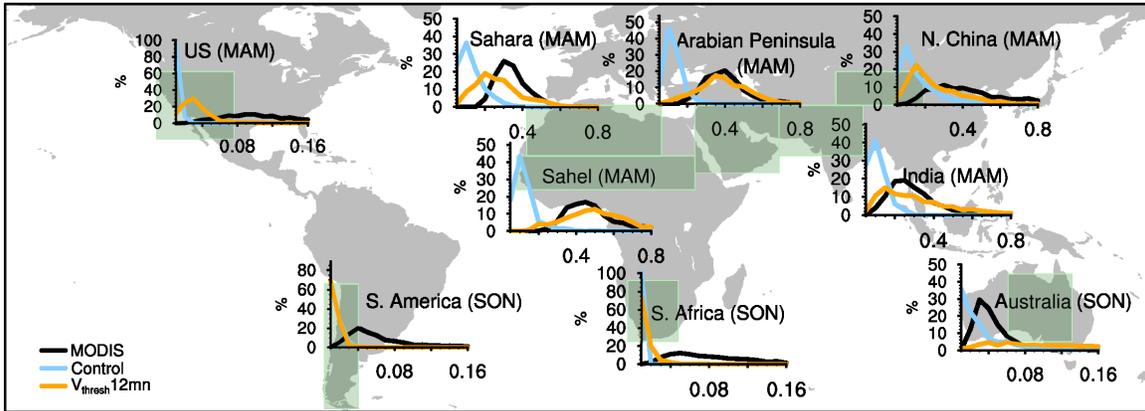
Case Study (Oct.17-19, 2012)



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Figure 14. Daily DOD from MODIS (top panel), daily DOD simulated by the $V_{\text{thresh}}12\text{mn}$ run along with anomalies (with reference to the 2000-2015 mean) of surface wind vectors (m s^{-1} ; bottom panel) from Oct. 17th to Oct. 19th, 2012. Only DOD over land is shown. Missing values in MODIS DOD (top panel) are plotted in grey shading.

Frequency of DOD



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Figure 15. Frequency (%) distribution of regional averaged daily DOD from MODIS (black) versus that from the Control (light blue) and $V_{\text{thresh}} 12\text{mn}$ (orange) simulations for the Sahara, the Sahel, the Arabian Peninsula, northern China, India, western to central U.S., South America, South Africa, and Australia from 2003 to 2015. X-axis denotes the ranges of DOD (the bin spacing for dusty regions is 0.05 and for less dusty regions is 0.01), and y-axis is percentage of occurrence. The light green boxes denote the averaging areas. For regions in the Northern Hemisphere frequency in MAM is shown, while for regions in the Southern Hemisphere frequency in SON is shown.