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October 27th, 2019

Dear Editor Balkanski,

We have submitted a revised paper entitled “Retrieving the global distribution of threshold of wind erosion from satellite data and implementing it into the GFDL AM4.0/LM4.0 model” by B. Pu, P. Ginoux and co-authors for consideration for *Atmospheric Chemistry and Physics*. The helpful comments from two anonymous reviewers are sincerely appreciated. Our replies to each reviewer’s comments are attached. We also made some edits in the manuscript.

We gratefully appreciate your time and consideration!

Sincerely,

Bing Pu

Review of the ACPD manuscript “Retrieving the global distribution of threshold of wind erosion from satellite data and implementing it into the GFDL AM4.0/LM4.0 model” by Pu et al.

We thank the reviewer for very helpful comments. We reply to your comment (in *Italic*) below.

The article by Pu et al. describes a new data set for the threshold wind velocity for dust emission and shows the impact on dust aerosol simulated with the GFDL model. The authors used a comprehensive collection of observational data to approach the problem. In principle, the contribution is relevant to the field, since modeling dust aerosol is fraught by uncertainty. I have, however, concerns that should be address prior to publication of the article. These are the unclear description of the method, the lack of an uncertainty assessment for the retrieval, as well as the need for a comparison to independent data and citing of relevant literature. In the following, I provide more details.

In addition to reply each of the following comments, we also edited the manuscript to better address your comments and suggestions.

Main comments:

1) The description and uncertainties of the method are unclear. The article suffers from an unclear description and partly missing information on the retrieval technique. Moreover, the value of the article would be substantially improved when the uncertainty in the retrieval would be quantitatively assessed. The many threshold criteria in the retrieval currently cast some doubt on the robustness of the retrieval when these values would be slightly changed.

We modified lines 335-393 to improve the clarity of the retrieval method and added section 2.3 and Tables 2-3 to discuss and quantify the uncertainties associated with slight changes of retrieval criteria and selection of surface wind datasets. We found small changes in soil moisture, LAI, and snow coverage do not change the derived $V_{threshold}$ much, within 1 m s^{-1} over most regions. The results are more sensitive to DOD_{thresh} and the selection of surface winds from reanalysis products (Tables 2-3). The uncertainty of DOD frequency distribution and $V_{threshold}$ associated with transported dust is also discussed over North Africa (lines 414-426, 432-438). Global $V_{threshold}$ using $DOD_{thresh}=0.2$ (or 0.02) and 0.5 (or 0.05) are further compared and discussed in section 3.1.

2) The article needs more comparisons to existing works. The current article does not acknowledge other existing treatments of the threshold of wind erosion for global models. For instance, Cheng et al. (2008), Jones et al. (2011) and Rieger et al. (2017) do not prescribe globally constant threshold wind speeds for dust emission, but parameterize it with dependencies on other variables. These are the global models ECHAM-HAM, HadGEM2-ES, and ICON-ART. Such studies should be cited and used for comparison of the new development in the GFDL model.

Thanks a lot for your suggestions. We added lines 64-68 to better address this question: “On the other hand, some models, such as the ECHAM-HAM, HadGEM2-ES, and ICON-ART, parameterize the constant dry threshold friction velocity (usually a

function of soil particle size, soil and air density) or threshold wind velocity with dependencies on soil moisture, surface roughness length, and vegetation coverage (e.g., Takemura et al. 2000; Ginoux et al. 2001; Zender et al. 2003; Cheng et al. 2008; Jones et al. 2011; Rieger et al. 2017).”

While Cheng et al. (2008), Jones et al. (2011) and Rieger et al. (2017) all parameterize the threshold friction velocity in different models with dependencies on other variables, such as soil moisture, surface roughness length, and vegetation coverage, the dry friction velocities used in the models are largely based on constant values such as air and soil density and soil particle size (e.g., Eq. 3 of Rieger et al. 2017; Eq. 1 of Cheng et al. 2008; Eq. 3 of Woodward 2011).

Specific comments:

P1. L.37: “enhancing net radiant energy loading” Use a physically better phrase.

We changed “enhancing net radiant energy loading” to “enhancing net radiation”.

P1. L46: “the life cycle of dust” -> “the life cycle of dust aerosols”

Done.

P.6 L124-126: “We require that the single scattering albedo at 470 nm to be less than 1 for dust due to its absorption of solar radiation. This separates dust from scattering aerosols, such as sea salt.” The single scattering albedo is by definition smaller than 1. So it will not separate dust and sea-salt aerosol. This statement leaves me puzzled about the adopted method for obtaining dust aerosol optical depth from MODIS. The method needs to be revised and the description clarified. The remaining sentences of the paragraph give more details, but it is not obvious how the method works without reading all the other publications. My recommendation is giving a more concrete and easier to follow description of the method here. For instance, how is dust separated from other aerosols and how are dust sources identified. Also provide important numbers, e.g., for the separation of fine-mode vs. dust aerosols and the definition of high-resolution.

Lines 136-146 are modified to better address the comment. We used single-scattering albedo at 470 nm to be less than 0.99 for dust, as the single-scattering albedo of sea salt is close to 1. The resolution of the MODIS products is 0.1° by 0.1° . The retrieval method is summarized in Eq. 1.

P.6 L.134-137: What does a flag of QA=1 and QA=3 imply for the quality of the data?

We modified lines 151-153 to clarify this. For MODIS Deep Blue AOD products, quality assurance flag (QA) equals 0, 1, 2, or 3 (Hsu et al. 2013). QA=0 indicates no retrieval, while QA=1 indicates lowest quality of retrieved AOD, and QA=3 implies the highest quality.

P.6 L.139-143: I understand combining the morning and afternoon measurements is the best we can do, but the text should acknowledge that the location and amount of dust emission typically changes between the morning and afternoon. Peak contributions from convective storms would be missed due to the temporal resolution. A relatively large number of literature assesses the diurnal cycle of dust emission and some of those studies could be cited here. My point is that the strengths and weaknesses of the method need to

be named as far as it is currently known. This also applies to the other satellite products (soil moisture, snow cover, LAI) introduced in the next paragraphs.

We added lines 162-165 to better address this issue: “Note that due to the temporal coverage of MODIS products, the diurnal variations in dust (e.g., Orgill and Sehmel 1976; Mbourou et al. 1997; Knippertz et al. 2008; Schepanski et al. 2009) are not included in current study.” Later in lines 772-773, we mentioned: “Diurnal variability of dust emission and short-duration events such as haboobs are also not included. ” Lines 184-185, 207-208 are also added to discuss the uncertainties associated with soil moisture and LAI products.

P.8 L.177: “Vegetation can protect soil (...)” -> Vegetation protects soils (...)

Done.

P.8 L. 182-184: The description of the data set is not published. At least a short description of the retrieval is needed and also a statement on where one can access or request that data.

We modified lines 204-205 to address this point. Details about LAI retrieval can be found from Yan et al. 2016a, b. We mentioned that the data was obtained via personal communication with Ranga Myneni and Taejin Park in Boston University in 2016 in text. In the Acknowledgement we added “MODIS LAI data may be requested by contacting Dr. Ranga Myneni at Boston University”.

P. 9 L. 186-187: A six hourly resolution of the winds does not sufficiently resolve their diurnal cycle and hence their effect on dust emission. Again, the diurnal cycle of dust emission is an issue here, but for the model data we could fix it.

We mentioned in lines 162-165 and 772-773 that diurnal cycles are not included in the analysis. 6-hourly winds from the NCEP are selected because surface winds in the model are nudged toward NCEP winds, and we would like to use a reanalysis that is close to the climatology of the model. Similar methods can be applied to other reanalyses with higher temporal resolutions, e.g., hourly surface winds from the ERA5 as discussed in section 2.3. On the other hand, whether model can faithfully capture the diurnal cycle of dust also depends on the dust emission scheme and model’s capability to simulate high-speed winds and mesoscale convective system, which are beyond the scope of this study.

Section 2.1.2: Why did you choose two different re-analyses? Did you also consider using MERRA?

As we mentioned above, the NCEP reanalysis is chosen because surface winds are nudged toward it. For soil temperature at the first layer, we use the ERA-Interim, which has higher spatial resolution. The horizontal resolution of ERA-Interim is about 0.7° and is comparable with that of MERRA (Rienecker et al. 2011) or MERRA-Land (Reichle 2012) on a 1/2° by 2/3° grid. While MERRA surface temperature is found have a relatively large bias (>3°C) in comparison with AMSR-E temperature in desert region (Yi et al. 2011), MERRA-Land surface soil moisture is found to have slightly lower skill than the ERA-Interim when comparing with SCAN in situ surface moisture (Reichle et al. 2011). Later, we also used surface winds from the ERA-Interim and ERA5 to examine

the sensitivity of $V_{threshold}$ to the selection of reanalysis products.

P.9 L. 192: “closet” -> closest

Done.

P.9 L. 205: “coarse mode AOD” What is the radius for separating coarse and fine-mode AOD in your work?

Based on O’Neill et al. (2003) coarse-mode AOD has a radius greater than 0.6 μm . We added this to line 240.

P.10 L. 209-210: Three years is a very short time period for a climatology, especially in light of the strong year-to-year variability in dust aerosol burden. I agree that as little data as possible should be removed. However, I recommend giving an estimate of the uncertainty, e.g., try a stricter criterion and compare the climatologies.

Thanks for you suggestion. We found a stricter criterion will result a smaller sample size and the results won’t change much. For instance, if a minimum record length of five years is used, there will be 225 sites for SDA COD and 263 sites for AOT (instead of 313 and 351 stations as shown in Fig. 5). If seven-year is used as a requirement, there will be 156 SDA COD sites and 195 AOT sites. The climatologies of using five or seven years records as a criterion are shown in Figures R1 and R2, respectively. Results are very similar the climatology using three years as a criterion (Fig. 4).

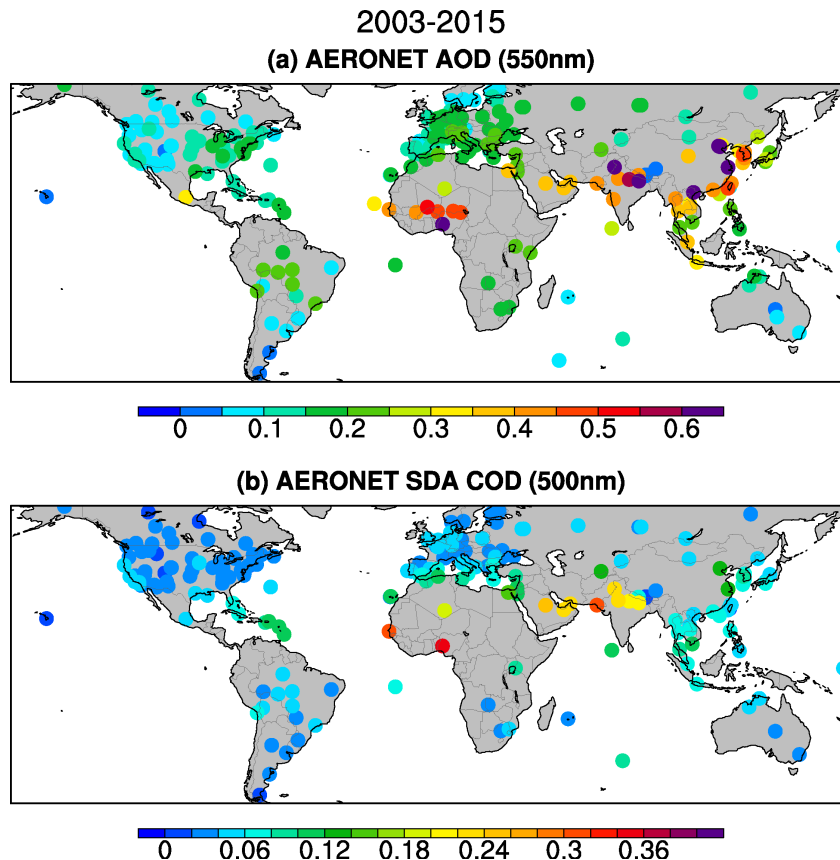


Figure R1. Same as Fig. 4 but using stations with at least five years of records.

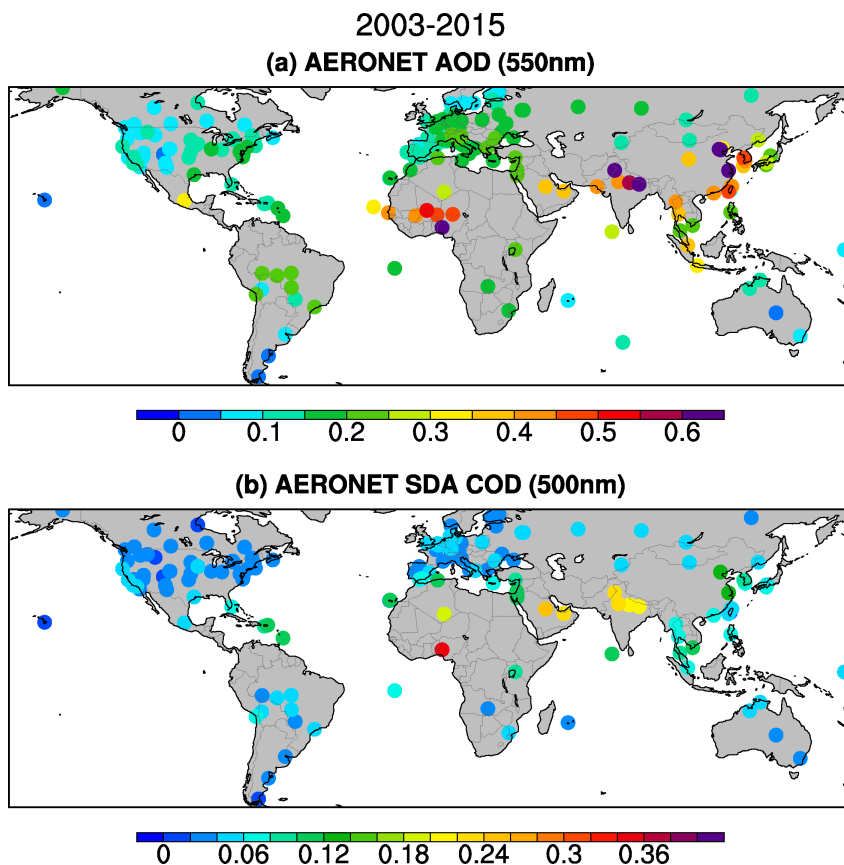


Figure R2. Same as Fig. 4 but using stations with at least seven years of records.

P.10 L.227: Refer to the section of the article.

We removed lines 259-262 in the revision (lines 224-227 in the previous version of the manuscript).

Section 2.1.3: Consider showing a map with the location of the different stations used for this research. You could use color to indicate the record length of the stations.

We added the length of records of the RSMAS stations to Table S1 in the Supplement, where the latitude/longitude of each station is also shown. The record length of AERONET AOT and SDA data are now added to Figure S6 in the Supplement. The location and length of records from the IMPROVE can be found from Pu and Ginoux (2018; Fig. S1), while the location of three LISA sites can be found in Fig. S7 in the Supplement of this paper. All available hourly LISA station data from 2006 to 2014 are used to calculate daily mean and then monthly mean as mentioned in lines 324-325.

P.12 L. 254-255: “ (...) assume that the climatology of the surface dust concentrations do not change greatly from the 1980s to the 2000s” Why is this a reasonable assumption?

I agree this is not necessarily a good assumption. Lines 288-292 are modified to better address this point: “Note that since most station records end earlier than 1998, the

dataset largely represents the climatology during the 1980s and 1990s. Thus the discrepancies between model output and the RSMAS data include both model biases and the difference in surface dust concentration from the 1980s to the 2000s.” Despite the uncertainties, the RSMAS dataset has been widely used for model validation. For instance, Huneeus et al. (2011) used the climatology of the data to validate AeroCom model simulations in 2000.

P.14 L.303-307: Why did you choose these thresholds? For instance, why not a snow cover of 0% and an LAI of 0? I can imagine this is due to fractional difference within a grid box, but it is unclear whether a slight change in the thresholds would have a big effect on the results. Maybe you could test it for obtaining more confidence in the results.

As mentioned in lines 347-353, similar criteria have been used to detect or confine dust source regions by different studies. For instance, “LAI less than 0.3 has been used as a threshold for dust emission in the Community Land Model (Mahowald et al., 2010; Kok et al., 2014a)”. We also added sensitivity tests in section 2.3 better quantify how the small variations in the retrieval criteria may affect the retrieved $V_{threshold}$.

P.15 L.321-333: I understand that you choose different background dust AODs per region, but where does 0.2 and 0.02 come from? Could you use the minimum in dust AOD from daily values in your MODIS climatology to accurately compute the background values?

MODIS DOD has small values near zero (see Fig. S1 from Pu and Ginoux 2017), so it is difficult to use the minimum value in DOD to compute background aerosol values. $DOD_{thresh}=0.2$ was used by Ginoux et al. (2012) to distinguish dust events from background aerosols. We used $DOD_{thresh}=0.02$ for less dusty regions, such as North America, South Africa, South America, and Australia, largely because dust emission in these regions are at least ten times smaller than that from dusty regions such as North Africa (Huneeus et al. 2011). As shown in Fig. 15, in these less dusty regions, the averaged frequency distribution of DOD peak over much smaller values than dusty regions (lines 748-751). While the selection of $DOD_{thresh}=0.2$ (or 0.02) is empirical, we also tested $DOD_{thresh}=0.5$ (or 0.05), and results are discussed in section 3.1.

P.15 L. 339-343: I appreciate the general acknowledgement of potential uncertainty in the thresholds. I think a quantitative assessment of the uncertainty would substantially strengthen your work. You could easily do so by varying the threshold criteria within bounds you perceive reasonable (justified by physical arguments) and show the associated changes in your results.

Thanks for your advice. We added section 2.3, Tables 2-3 and modified later discussion in section 3.1 (lines 505-569) to better quantify the uncertainties associated with the varying threshold retrieval criteria.

P.16 L.365: How was the scaling factor determined?

The scaling factor C in the standard version of the AM4.0/LM4.0 was determined by matching the modeled surface dust concentrations with the RSMAS station data. We did not change it in the simulations in order to compare the differences associated with different $V_{threshold}$.

P.18 L.399: “differences in simulated dynamic vegetation by LM4.0 among the three simulations are actually very small and can be ignored“ add that this is the case because of the short simulation when the land use does not change as much as over longer time periods.

Done.

P.18 L.412: What primarily controls the threshold differences between North Africa and Eurasia? A threshold of 3 m s^{-1} is very low and needs an explanation.

These lines are removed and Tables 2-3 are added to better quantify the regional difference of $V_{threshold}$. The magnitude of threshold wind erosion is determined by matching the cumulative frequency of DOD at certain DOD_{thresh} level with the frequency distribution of surface wind speed. Therefore, regions with higher DOD frequency (e.g., high FoO in Figs. 1a-e) generally have lower threshold of wind erosion. As discussed in sections 2.3 and 3.1, value of $V_{threshold}$ in North Africa is lower in comparison with previous station based estimations, and this is largely associated with lower surface wind speed in the NCEP1 reanalysis and the ignorance of the contribution of transported dust to total DOD. Increasing DOD_{thresh} to 0.5 can increase annual mean $V_{threshold}$ over North Africa to $4.9 \sim 7.6 \text{ m s}^{-1}$ (Table 2). However, despite the relatively low value of $V_{threshold}$ in North Africa, we found the spatial and temporal varying $V_{threshold}$ largely improve the simulation of DOD spatial pattern and seasonal cycle over North Africa in the AM4.0/LM4.0 model.

P.19 L.435: “weed” -> wind

Done.

P.19 L.423- 439: A discussion is useful, but the results keep me thinking of the potential impact of the threshold choices in the retrieval. This is not picked up in the discussion of your lower threshold velocities than in previous studies.

We added section 2.3 and Tables 2-3 to quantify the uncertainties associated with slight changes of retrieval criteria, and modified lines 538-540, 544-545, 562-569 in section 3.1 to discuss these uncertainties when comparing with previous studies.

P.25 L.572: Harmattan winds are important in winter and spring. Fiedler et al. (2015) provide a complete climatology of dust aerosol associated with the Harmattan.

We modified line 697: “...are associated with the dry northerly Harmattan wind in boreal winter and spring...” and added the citation of Fiedler et al. (2015).

P.27 L. 608: “storm centers a bit” -> storm center is located

Done.

Section 3.3: It would be useful to compare against independent data sets already published since both the model and the observational estimates have been newly developed in the current article. Relevant works are for instance Schepanski et al. (2007) and Evan et al. (2015).

Since section 3.3 and Fig. 15 only show regional averaged frequency distribution

of DOD instead of spatial pattern, we add discussion in lines 387-393 to compare the FoO in Fig. 1 with dust emission frequency over North Africa from Evans et al. (2015) and frequencies of dust source activation from Schepanski et al. (2007).

Figure 8: Refine the color scale for the surface concentration in the dust belt. The same red shading does not allow a comparison of the results in the dust regions.

Done.

Figure 10: Except for India, US and South America, the difference in the annual cycles in $V_{\text{thresh}12\text{mn}}$ and $V_{\text{thresh}Ann}$ is very small. It suggests that the month-to-month variation in threshold wind velocities does not have a large impact on the climatological mean dust aerosol optical depth in main dust sources. Is this primarily so because the variations in soil moisture of deserts are small or what explains the similarity?

We agree that in Fig. 10 (now Fig. 11) expect India, U.S. and South America the differences between $V_{\text{thresh}Ann}$ and $V_{\text{thresh}12\text{mn}}$ are small. The small season variations in soil moisture in dust source regions (largely arid or semi-arid regions) may play a role. On the other hand, the differences between $V_{\text{thresh}Ann}$ and $V_{\text{thresh}12\text{mn}}$ simulations are larger when comparing surface dust concentration (Figs. 9, 10, 13a-c).

Figure 14: Add $V_{\text{thresh}Ann}$.

We show results from $V_{\text{thresh}Ann}$ here in Figure R3. The regional mean DOD frequency distribution from the $V_{\text{thresh}Ann}$ simulation (yellow) is largely similar to that from the $V_{\text{thresh}12\text{mn}}$ (orange), except over the U.S., India, and South America, where DOD peaks at higher values, i.e., slightly closer to the peaks in MODIS. This is consistent with higher DOD in these regions (Fig. 11) in comparison with the $V_{\text{thresh}12\text{mn}}$ simulation. Since results from $V_{\text{thresh}12\text{mn}}$ generally show better agreement with station observations and MODIS DOD (e.g., Figs. 8-13), we chose to focus on the results from the $V_{\text{thresh}12\text{mn}}$ in section 3.2.4 and 3.3 (Figs. 14-15).

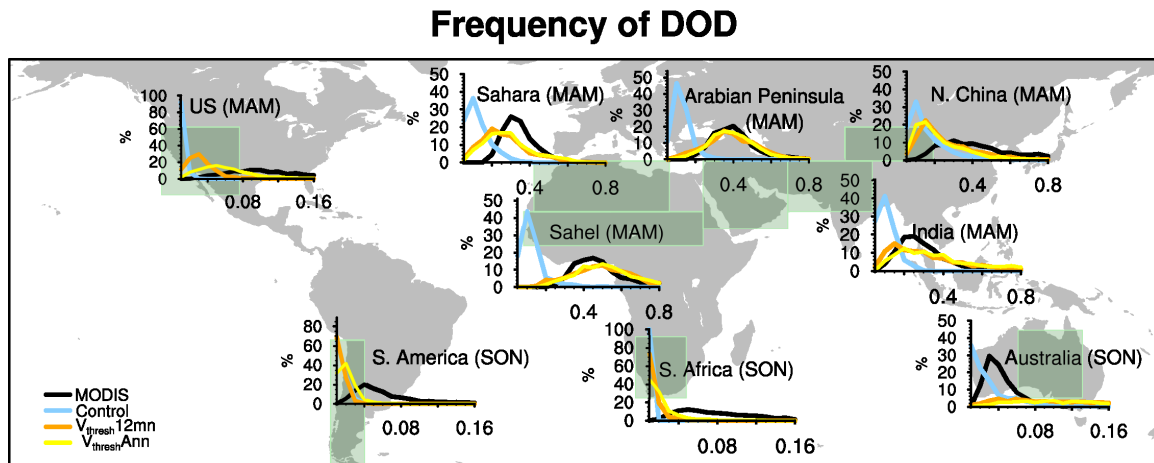


Figure R3. Same as Fig. 15 but also include the DOD distribution frequency from the $V_{\text{thresh}Ann}$ simulation (yellow line).

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Interactive comment on “Retrieving the global distribution of threshold of wind erosion from satellite data and implementing it into the GFDL AM4.0/LM4.0 model” by Bing Pu et al.

We thank the reviewer for very helpful comments. We reply to your comment (in *Italic*) below.

This is an interesting paper that produces the first estimation of the global distribution of threshold wind speeds for wind erosion (dust aerosol emission). They do so by combining a calculation of the frequency of dust events per grid box with a probability distribution of wind speeds per grid box from a reanalysis product (NCEP/NCAR). They then implement their estimation of threshold wind speeds into a global model and study the results relative to a control run with a globally-constant threshold wind speed. The paper is overall well-written and easy to follow, and the results could be important because they could help advance dust models beyond the use of a globally constant threshold friction velocity. However, I think there are some important issues with the methodology, the interpretation of the retrieved threshold wind speeds, and with interpreting the results from the global model. The paper would need substantial revisions. Comments follow below.

In addition to reply each of the following comments, we also edited the manuscript to better address your comments and suggestions.

Main comments:

- A major weakness of the methodology is that it equates high dust AOD in a gridbox with the occurrence of dust emission. This causes problems in their methodology because it causes advected dust to be interpreted as emitted dust, and thus results in an underestimation of the dust emission threshold. Since there are large differences in advected dust between regions – for instance areas in major dust regions are bound to be more affected by advected dust – this problem could cause potential biases in the retrieved threshold wind speed. Although the authors commendably acknowledge the problem (e.g., on line 340-2), the magnitude of this bias is not investigated. And unfortunately, without a reasonable analysis of the magnitude of this bias, I do not think the authors can conclude that the threshold wind speed in the Sahel is actually lower than in Northern Africa. And similarly, it is not clear that the lower threshold in the major source regions (e.g., the Sahara) than in the more marginal regions (e.g., the US) is real, or is a result of this bias. In fact, both these results are consistent with the anticipated effect of this bias, as the authors acknowledge for the Sahel. Therefore, the authors need to add an analysis that reasonably bounds the effect of this bias. Perhaps the authors could analyze the wind speed threshold in different regions, conditional on the DOD in the surrounding regions, in order to try to quantify and bound this bias?

Thanks for your suggestion. We roughly estimated the influence of transported dust on wind erosion threshold ($V_{threshold}$) in North Africa using a surface DOD (sDOD) data retrieved by combining lidar vertical profiles from CALIOP and MODIS Dust Optical Depth in section 2.3 (lines 414-426). As shown in Table 2, $V_{threshold}$ over the Sahel (6.05 vs. 3.21 m s⁻¹) and Sahara (7.66 vs. 4.61 m s⁻¹) from sDOD are higher than that from DOD directly. Here $V_{threshold}$ in the Sahara is still higher than that in the Sahel.

This is consistent with the findings of Chomette and Legrand (1999) and Cowie et al. (2014), who also showed wind erosion threshold was higher in most part of the Sahara than the Sahel.

We also quantify and discuss the uncertainties of $V_{threshold}$ associated with slight variations in retrieval criteria including levels of soil moisture, LAI, snow coverage, DOD_{thresh} , and surface wind speed from different reanalyses in section 2.3 and added Tables 2-3 to better display the regional difference of retrieved $V_{threshold}$. In most case, we notice the $V_{threshold}$ in the Sahara is lower than in the U.S., except using sDOD in North Africa and when we used $DOD_{thresh}=0.5$ (and 0.05 for less dusty regions).

- I also think the interpretation of the differences between threshold wind speed must be improved. Of relevance here is that wind speed itself is not the main explanatory variable for dust fluxes. Rather, this is the wind stress on the surface as quantified by the friction velocity, which is linked to the 10m wind speed through the aerodynamic surface roughness. There are strong experimental constraints on the threshold friction velocity above which surface particles become mobile and dust emission starts (e.g., Shao, 2008). It is therefore very relevant what the NCEP/NCAR surface roughness in the different source regions is: do differences in the roughness between source regions explain the differences in the threshold wind speed? Are threshold wind speed variables substantially correlated with the roughness values used in NCEP/NCAR for each grid box? The authors can also use the surface roughness to determine the distribution of threshold friction velocities for the different regions, which is more fundamental and thus more useful to the community. Another important consideration that follows from this above concern is that, since it's the friction velocity (and wind stress) that drives dust fluxes, the roughness used in GFDL should match the roughness used in the NCEP/NCAR reanalysis. Is this the case?

The reviewer is wondering if the differences in surface roughness in the NCEP/NCAR reanalysis can explain the differences in the threshold wind speed between source regions. The distribution of threshold wind is determined by matching the frequency distribution of DOD at certain level of DOD_{thresh} with the frequency distribution of surface wind speed from the NCEP/NCAR reanalysis. The roughness length (z_0) in the NCEP/NCAR reanalysis came from the Simple Biosphere Model (Kalnay et al. 1996). It is calculated based on height of the top and base of the canopy, the height of the maximum leaf area density, leaf drag coefficients, time-varying leaf area index, and ground roughness length for each vegetation table (Table 3 and Fig. 7 from Dorman and Sellers, 1989). The spatial pattern shows dependence on vegetation type (Fig. 7 of Dorman and Sellers, 1989), and has little variation over bare ground. While roughness length plays an important role in the calculation of surface momentum transfer and friction velocity, it does not directly related to the spatial pattern of the $V_{threshold}$ we derived.

The reviewer also suggested calculating the distribution of threshold friction velocity (u_i^*) based on surface roughness. While friction velocity has been used in a lot of dust emission schemes and can be approximated with surface roughness, developing a global distribution of u_i^* and compare with available observations is beyond the scope of this study. Also, instead of using $V_{threshold}$ and surface roughness, it is probably better to

use the frequency distribution of u^* and DOD to derive the u_t^* , i.e., using a similar method as proposed here.

The dust emission scheme (Ginoux et al. 2001) in the GFDL AM4.0/LM4.0 uses surface wind speed, rather than friction velocity. We did not tune surface roughness in the GFDL model toward that in the NCEP/NCAR reanalysis, which is calculated by the turbulent transfer model in the Simple Biosphere Model (Dorman and Sellers, 1989; Sellers et al. 1989). However, in our simulations, surface wind speeds are nudged toward the surface wind of the NCEP/NCAR reanalysis with a relaxation timescale of 6 hours.

- Similarly, the authors should investigate differences in other parameters that determine the threshold friction velocity (and 10m wind speed), namely soil moisture, vegetation, and soil texture. If the authors can provide plausible physical reasons for the variations between the threshold wind speed between the regions, that would also help alleviate the concern that their results might be primarily driven by biases arising from using high DOD as a proxy for dust emission (previous comment).

We added section 2.3 and Tables 2-3 to better discuss the sensitivity of $V_{threshold}$ to retrieval method, reanalysis products, and also the possible biases of using DOD frequency distribution to approximate dust emission in North Africa. We found small changes in soil moisture, LAI, and snow coverage do not change the derived $V_{threshold}$ much, within 1 m s^{-1} over most regions. The results are more sensitive to DOD_{thresh} and the selection of surface winds from reanalysis products (Tables 2-3). The uncertainty of DOD frequency distribution and $V_{threshold}$ associated with transported dust is also discussed over North Africa (lines 414-426, 432-438). Global $V_{threshold}$ using $DOD_{thresh} = 0.2$ (or 0.02) and 0.5 (or 0.05) are further compared and discussed in section 3.1.

Regional differences of $V_{threshold}$ are also better quantified in the Tables 2-3. The spatial and temporal differences of the threshold of wind erosion ($V_{threshold}$) are largely determined by frequency distribution of DOD and surface wind speeds. Therefore, for areas with high dust frequency of occurrence (FoO), e.g., North Africa and the eastern Arabian Peninsula, $V_{threshold}$ is generally lower (Figs. 1e and j). We added discussion in lines 387-393 and modified lines 505-513 to better address this.

Although the overall magnitude of retrieved $V_{threshold}$ using surface winds from the NCEP1 reanalysis is lower than previous station based studies over North Africa. We found the spatial pattern of $V_{threshold}$ —with lower values over the Sahel and slightly higher values over the Sahara —are consistent with results from Chomette and Legrand (1999) and Cowie et al. (2014). The magnitude of retrieved $V_{threshold}$ over northern China is largely consistent with previous studies (Kurosaki and Mikami 2007; Ginoux and Beroubaix 2017).

- The rationale for implementing the retrieved threshold wind speed into the GFDL model is not made very clear in the paper, but I assume it is to try and show that using the retrieved threshold wind speed improves GCM simulations of the dust cycle. If so, although the analysis presented is interesting and draws on a commendably wide variety of data, it has some important problems that need to be addressed. First, the proportionality constant in the dust emission equation (Eq. 3) is not constrained by physics (i.e., there's no reason it should be $0.75e-9 \text{ ug/s}^2/\text{m}^5$ instead of $1e-9$ or $0.1e-9 \text{ ug/s}^2/\text{m}^5$), and presumably C was set at an earlier stage by maximizing agreement

against observational data. Therefore, the fact that using the retrieved threshold wind speeds reduces the underestimation of DOD and dust concentration is not an indication that the retrieved threshold wind speeds actually improve the realism of the model simulation. You would get the same effect simply by increasing the (unconstrained) value of C . The authors should therefore compare apples to apples by tuning the simulations to the same global loading or DOD, and then compare against the AERONET and other data. This is especially important because using the retrieved threshold wind speeds results in a very large (and again, arbitrary, because C is unconstrained) increase in emissions by a factor of 4 (Table S2).

We added lines 441-442, 570-574 to better explain the purpose of implementing the retrieved threshold wind speed into the GFDL model.

The reviewer found C in Eq. 4 is not constrained by physics. Here C is a global tuning factor to adjust the magnitude of dust emission. In the model, surface winds are modulated by the model resolution as well as the model physics parameterizations, which make necessary to use a global tuning factor, assuming that the biases are constant globally. In the default version of the AM4.0/LM4.0, $C = 0.75 \times 10^{-9}$ is obtained by matching modeled dust surface concentrations with RSMAS station records.

We agree with the reviewer that increasing C will increase the magnitude of dust emission and also DOD, which can reduce the bias of underestimation in the Control run. However, as mentioned in lines 476-480: “Here we choose not to retune the dust emission scheme but instead test the usage of $V_{threshold}$, which theoretically provides a more physics-based way to improve dust simulation. We also choose to keep the tuning factor C (Eq. 4) the same in all simulations to better examine the effects of implementing the newly developed $V_{threshold}$. ” While tuning C can increase overall dust emission and DOD magnitude, it cannot improve the spatial pattern and seasonal cycle of DOD or surface dust concentrations. We added lines 812-817 to better clarify this point: “The major benefit of using the spatial and temporal varying $V_{threshold}$ is that it improves the simulation of DOD spatial pattern (Figs. 6-7), seasonal cycle (Figs. 11-13), and frequency distribution (Fig. 15) as well as the spatial pattern of surface dust concentrations (Figs. 9-10), which cannot be achieved by simply modifying the global tuning factor (C in Eq. 4) to fit the observations such as surface concentrations or optical depth.”

We also conducted a test run to increase dust emission in the Control run (namely, Control II) to about 1232 Tg yr^{-1} , which is close to a previous estimation based on MODIS DOD (1223 Tg yr^{-1} ; Ginoux et al. 2012) or the AeroCom multi-model median (1123 Tg yr^{-1} ; Huneeus et al. 2011). We found the magnitude of DOD slightly increases, e.g., over the Sahel annual mean increases from 0.07 to 0.09, however, there’s no improvement in terms of seasonal cycle or spatial pattern, as expected (see discussion in lines 818-825).

We also follow the comments of the reviewer to conduct two other simulations using this enlarged C and 12-month and annual mean $V_{threshold}$ (using $DOD_{thresh} = 0.5$ or 0.05), i.e., $V_{thresh12mn}$ II and $V_{threshAnn}$ II simulations, to compare with Control II (see lines 826-837 for details). We choose to use the same C instead of tuning all the simulations to a similar magnitude of global dust emission or DOD. This will help us better attribute the differences among simulations, and also help us quantify the modification on global dust emission/DOD due to the implementation of the $V_{threshold}$. We found similar improvement in DOD seasonal cycle and weaker improvement in DOD

spatial pattern and frequency distribution and surface dust concentrations in $V_{\text{thresh}12\text{mn}}$ II and $V_{\text{thresh}Ann}$ II simulations. This is largely because higher $V_{\text{threshold}}$ results in lower global dust emissions in the $V_{\text{thresh}Ann}$ II (1961 Tg yr⁻¹) and $V_{\text{thresh}12\text{mn}}$ II simulations (1705 Tg yr⁻¹) and overall lower DOD globally. Over Mediterranean coast, Europe, and northern Asia, DOD spatial pattern is not as well captured in the $V_{\text{thresh}12\text{mn}}$ II run as in the $V_{\text{thresh}12\text{mn}}$ run, likely due to relatively high $V_{\text{threshold}}$ in these regions.

- Another problem with the model comparisons against data is that its interpretation requires more rigorous statistics. Keeping in mind the previous comment that the absolute values of DOD and concentration are arbitrary because the emission proportionality constant is unconstrained, the authors would need to show statistically significantly increased correlations between the model and data in order to conclude that the retrieved threshold wind speeds improve the model realism. Otherwise, I do not think the conclusion in the abstract and the paper that the retrieved threshold wind speed improve the simulation can be supported. Correlations are reported in Figs. 4 and 5, and I'm guessing that the improvement is large enough that it's statistically significant, but this ought to be shown. Correlations are not currently reported for the varied results in Figs. 8 – 14, so should be added.

As we mentioned above, the default C in the model is not an “arbitrary” value. It is obtained by matching modeled dust surface concentrations with RSMAS station records.

Following the comments from the reviewer, we show in Table R1 here to demonstration whether the correlations between the $V_{\text{thresh}12\text{mn}}$ simulations and observational data in comparison with correlations between the Control and observational data are significantly different (or increased) for Figs. 5, 6, 9, 10.

Table R1 Correlations between model output and observational datasets for Fig. 5, 6, 9, and 10.

Correlations	Figure #	Correlation coefficient (r)	95% confidence intervals	Significantly different?
Control COD vs. AERONET COD	Fig. 5	0.68	0.62~ 0.74	Y
$V_{\text{thresh}12\text{mn}}$ vs. AERONET COD	Fig. 6	0.84	0.80~ 0.87	
Control surface dust vs. RSMAS	Fig. 9	0.76	0.42~ 0.91	N
$V_{\text{thresh}12\text{mn}}$ vs. RSMAS	Fig. 9	0.72	0.35~ 0.90	
Pattern correlation of Control vs. IMPROVE	Fig. 10	0.41	0.38~ 0.43	Y
Pattern correlation of $V_{\text{thresh}12\text{mn}}$ vs. IMPROVE	Fig. 10	0.55	0.53~ 0.57	

As shown in Table R1, when the 95% confidence intervals of the correlation between the Control and observation (e.g., r1) is not overlapped with the confidence

intervals of the of the correlation between the $V_{\text{thresh}}12\text{mn}$ and observation (e.g., r_2), it is considered the two correlations (r_1 and r_2) are significantly different. So the correlations for COD (Figs. 5 and 6) and fine dust concentration (Figs. 10) are significantly increased in the $V_{\text{thresh}}12\text{mn}$ simulation. In Fig. 9, although the correlation for the 16 RSMAS sites in the $V_{\text{thresh}}12\text{mn}$ actually decreases in comparison with that in the Control run (0.72 vs. 0.76), the differences with the observations are largely reduced (more white triangles, indicating more stations have the model to observation ratio between 0.5 and 2).

Seasonal cycles are shown in Figs. 11-13. Since the sample size is quite small, only 12 (months), the correlation can be less reliable; consequently the corresponding confidence intervals are quite large. We thus choose not to display correlations in plots but just list the correlations for each region/site in Table R2 here. As shown in Table R2, over most regions/sites correlations with the output from the $V_{\text{thresh}}12\text{mn}$ simulations increase in comparison with the correlations with the Control run.

Table R2 Correlations between the Control output and the observations (column 3) and between the $V_{\text{thresh}}12\text{mn}$ output with the observations (column 4) for Figs. 11-13.

Correlation coefficients not significant at the 95% level are list in *Italic*.

Figure #	Regions/sites	Correlation with Control	Correlation with $V_{\text{thresh}}12\text{mn}$
Fig. 11	Sahel	0.90	0.86
	Sahara	0.81	0.94
	Arabian Peninsula	0.97	0.98
	N. China	0.44	0.58
	India	0.91	0.96
	US	0.91	0.90
	S. Africa	<i>0.11</i>	<i>0.36</i>
	S. America	<i>0.40</i>	<i>0.54</i>
	Australia	0.89	0.87
	Fig. 12	Site 1	0.91
Site 2		0.88	0.65
Site 3		0.91	0.94
Site 4		0.99	0.98
Site 5		0.91	0.85
Site 6		0.90	0.93
Site 7		0.69	0.92
Site 8		0.82	0.95
Site 9		0.60	0.88
Site 10		0.67	0.83
Site 11		0.64	0.84
Site 12		0.73	0.80
Fig. 13	Banizoum	0.72	0.90
	Cinzana	0.79	0.92
	M'Bour	<i>0.14</i>	0.92

Fig. 14 shows the case study, we choose not to apply correlation analysis, and the correlations for Fig. 15 are listed in Table R3. Over the Sahel, Arabian Peninsula, and

India the correlations are significantly higher than that between MODIS and the Control run.

Table R3 Correlations between model output and MODIS dust event frequency distribution as shown in Fig. 15. Correlation coefficients significant at the 95% confidence level are listed in bold. Whether the correlation between MODIS and the $V_{\text{thresh}12\text{mn}}$ simulation is significantly different from that between MODIS and the Control is indicated in the last column.

Regions	Correlation with Control	Correlation with $V_{\text{thresh}12\text{mn}}$	Significant?
Sahara	-0.17	0.62	N
Sahel	-0.36	0.89	Y
Arabian Peninsula	-0.28	0.96	Y
N. China	-0.17	0.35	N
US	-0.37	-0.42	N
India	0.05	0.84	Y
S. Africa	-0.30	-0.33	N
S. America	-0.13	-0.15	N
Australia	0.35	0.42	N

Other comments:

- Line 2: I'd suggest saying "many" instead of "most", as I believe most models at least account for the effect of soil moisture on the threshold wind speed.

Done.

- Do you have a sense of how sensitive your results are to the particular reanalysis product used?

We tested the sensitivities of our method to surface winds in different reanalysis products in Table 3. Discussion is added in section 2.3.

- Line 304: it seems hard to imagine that snow cover of 0.2% would prevent or substantially reduce the occurrence of wind erosion. Please provide support for this assumption.

We added in line 342-343: "since snow cover percentage is round-up to integer in MODIS product, this criterion actually requires no snow cover". We also test the sensitivities of the results to the criteria of snow cover and found it only slightly affect the magnitude of the threshold wind speed in a few regions (Table 2), such as northern China, U.S., and South America, by up to 0.3 m s^{-1} if changing from no snow cover to 10%.

- Line 311-2: "soil moisture ranging from 1.01 to 11.2 kg kg⁻³"; the units here are incorrect, and I think the number is much too high if the intended unit was kg of water per kg of soil.

Thanks for pointing this out. The numbers are from Table 1 in (Fećan et al. 1999), and the unit is %.

- Line 317: *I don't think it makes sense to only pick out the daily maximum surface wind speed when you have wind speeds at 6-hours resolution. You could either argue that the DOD is a product of emission that occurs over a longer time period and thus use winds at all time steps, or you could argue that you are using DOD as a proxy for emissions in the moment and thus use the wind speed closest to your DOD observation (presumably noon since overpasses are at 10:30 am and 1:30 pm). But using the daily maximum does not make sense to me.*

We use daily maximum wind speed largely because wind erosion occurs when the wind speed is relatively high, so we want to focus on the maximum of 6-hourly wind speed. Ginoux and Deroubaix (2017) also used daily maximum surface wind speed from the EAR-Interim to retrieve threshold of wind erosion over northern China. We added line 361-365 to better clarify this point: “Following Ginoux and Deroubaix (2017), we use maximum daily wind speed instead of daily mean wind speed, largely because dust emission only occur when wind speed is strong enough, and the emission magnitude is roughly proportional to the third power of surface wind speed in empirical estimations.”

- *The authors use a threshold DOD of 0.2 over the major source regions of North Africa, the Middle East, etc, which is consistent with previous work in Ginoux et al. (2012). But they use a threshold DOD of only 0.02 in lesser source regions such the US, South America, etc. This is a very large difference of a factor of 10, and seems rather arbitrary. Could the authors either provide an analysis of the sensitivity of their results to this choice or use the actual frequency distribution of DOD in the different source regions to inform these thresholds?*

As shown in Fig. 15 and also mentioned in lines 376-378 and 748-751, the regional mean DOD frequency distribution in less dusty regions, such as the U.S., South America, South Africa, Australia, peaks at a much lower value. We chose to use a DOD threshold ten times smaller for these less dusty regions also because the magnitude of dust emission in these regions are at least ten times smaller than major dust source regions such as North Africa and the Middle East. In Table 2 (also see discussion in section 2.3), we tested the sensitivity of using DOD_{thresh} of 0.5 and 0.05. In the U.S., South Africa, South America, and Australia, changing DOD_{thresh} from 0.02 to 0.05 will increase annual mean threshold of wind erosion by about 1.27, 1.05, 1.74 and 1.30 $m\ s^{-1}$, respectively.

- *Section 3.2.3: How are you obtaining AERONET data as a gridded product since data density is so sparse in most dust source regions?*

In this section, we shows regional averaged DOD from model output along with MODIS DOD and gridded AERONET COD (interpolating from station data to a 0.5° by 0.5° grid) in Fig. 11, while in Figs. 12-13, only AERONET station data are shown. AERONET station data are quite sparse in some regions, e.g., the Sahel, thus the interpolated COD has a large difference with MODIS. So when discussing Fig. 11 we mentioned in lines 665-668: “Since the gridded COD may have large uncertainties over regions with only a few stations, such as the Sahel, Sahara, northern China, and South Africa, MODIS DOD is used as the main reference in the comparison.”

- *It's not clear to me whether the control run accounts for the effects of soil moisture on the threshold wind speed or whether it truly uses a constant threshold wind speed, regardless even of soil moisture content. Could you clarify?*

The default setting in the AM4.0/LM4.0, or the Control run, does not include soil moisture in dust emission. The dust emission scheme follows Eq. 4. So a constant threshold of wind erosion is used.

Editorial comments:

- *Line 57: Since wind speeds are a function of height, please note what these wind speeds refer to.*

We added “for surface 10 m wind” in line 57.

- *Since the methodology is quite involved and lengthy, I recommend you provide an overview of your methodology in a paragraph at the beginning of section 2 to make the paper easier to read.*

Thanks for your suggestion. We added lines 124-129 to better introduce this section.

- *182-184: Please provide more info or a citation to a peer-reviewed paper here for the reader to understand how LAI is calculated.*

We added reference in text (Yan et al. 2016a, b).

- *Line 254-5: This is a common assumption in using the dust concentration data, so you could support this by citing precedent in previous studies.*

We modified lines 289-292 to better clarify this point. We cited Ginoux et al. (2001) and Huneus et al. (2011) who also used the data to validate model output in different periods in lines 281-283.

- *Section 3.3: I think this section would be placed more logically before the case study.*

We'd like to keep the original order because section 3.2.4 is a case study about the DOD simulation in one region at a particular time (a few days), while section 3.4 examined global frequency distribution of DOD in the model, an aspect largely ignored by previous studies. So we'd like to keep it in a separate section. Also, in section 3.3, DOD frequency distributions in MODIS, the Control and $V_{\text{thresh}}12\text{mn}$ simulations are discussed and summarized for individual regions.

- *Figure 8: since the data here span 3 orders of magnitude, providing statistics in linear space is not very meaningful as it weighed heavily toward the large concentration data. Please provide statistics in logarithmic space.*

We updated Fig. 9 (previously Fig. 8) to change the statistics in logarithmic space.

- *Fig. 14: What is the bin spacing on the horizontal axis? The reader needs that to interpret the percentage given on the vertical axis.*

We added the information in figure caption. The bin spacing for dusty regions is 0.05 while for less dusty regions is 0.01.

References:

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Yan, K. and co-authors, 2016a: Evaluation of MODIS LAI/FPAR product collection 6. Part 1: Consistency and Improvements, *Remote Sensing*, 8, 359, doi:10.3390/rs8050359.

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Retrieving the global distribution of threshold of wind erosion from satellite data and
implementing it into the GFDL AM4.0/LM4.0 model

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1 **Abstract.** Dust emission is initiated when surface wind velocities exceed the threshold
2 of wind erosion. ~~Most~~ Many dust models used constant threshold values globally. Here
3 we use satellite products to characterize the frequency of dust events and land surface
4 properties. By matching this frequency derived from Moderate Resolution Imaging
5 Spectroradiometer (MODIS) Deep Blue aerosol products with surface winds, we are able
6 to retrieve a climatological monthly global distribution of wind erosion threshold
7 ($V_{threshold}$) over dry and sparsely-vegetated surface. This monthly two-dimensional
8 threshold velocity is then implemented into the Geophysical Fluid Dynamics Laboratory
9 coupled land-atmosphere model (AM4.0/LM4.0). It is found that the climatology of dust
10 optical depth (DOD) and total aerosol optical depth, surface PM₁₀ dust concentrations,
11 and seasonal cycle of DOD are better captured over the “dust belt” (i.e. North Africa and
12 the Middle East) by simulations with the new wind erosion threshold than those using the
13 default globally constant threshold. The most significant improvement is the frequency
14 distribution of dust events, which is generally ignored in model evaluation. By using
15 monthly rather than annual mean $V_{threshold}$, all comparisons with observations are further
16 improved. The monthly global threshold of wind erosion can be retrieved under different
17 spatial resolutions to match the resolution of dust models and thus can help improve the
18 simulations of dust climatology and seasonal cycle as well as dust forecasting.

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24 1. Introduction

25 Mineral dust is one of the most abundant aerosols by mass and plays an important
26 role in the climate system. Dust particles absorb and scatter solar and terrestrial radiation,
27 thus modifying local energy budget and consequently atmospheric circulation patterns.
28 Studies have shown that the radiative effect of dust can affect a wide range of
29 environmental processes. Dust is shown to modulate West African (e.g., Miller and
30 Tegen, 1998; Miller et al., 2004; Mahowald et al., 2010; Strong et al., 2015) and Indian
31 (e.g., Jin et al., 2014; Vinoj et al., 2014; Jin et al., 2015; Jin et al., 2016; Solmon et al.,
32 2015; Kim et al., 2016; Sharma and Miller, 2017) monsoonal precipitation. During severe
33 droughts in North America, there is a positive feedback between dust and the
34 hydrological cycle (Cook et al., 2008, 2009; 2013). African dust is also found to affect
35 Atlantic tropical cyclone activities (e.g., Dunion and Velden, 2004; Wong and Dessler,
36 2005; Evan et al., 2006; Strong et al., 2018). When deposited on snow and ice, dust
37 | reduces the surface reflectivity, enhancing ~~net radiant energy loading~~net radiation and
38 accelerating snow and ice melting, and consequently affecting runoff (e.g., Painter et al.,
39 2010; 2018; Dumont et al., 2014). Dust can serve as ice nuclei and affect the formation,
40 lifetime, and characteristic of clouds (e.g., Levin et al., 1996; Rosenfield et al., 1997;
41 Wurzler et al., 2000; Nakajima et al., 2001; Bangert et al., 2012), perturbing the
42 hydrological cycle. Iron and phosphorus enriched dust is also an important nutrient for
43 the marine and terrestrial ecosystems and thus interacts with the ocean and land
44 biogeochemical cycles (e.g., Fung et al., 2000; Jickells et al., 2005; Shao et al., 2011;
45 Bristow et al., 2010; Yu et al., 2015).

46 Given the importance of mineral dust, many climate models incorporate dust
47 | emission schemes to simulate the life cycle of dust aerosols (e.g., Donner et al., 2011;
48 | Collins et al., 2011; Watanabe et al., 2011; Bentsen et al., 2013). Mineral dust particles
49 | are lifted from dry and bare soils into the atmosphere by saltation and sandblasting. This
50 | process is initiated when surface winds reach a threshold velocity of wind erosion. The
51 | value of this wind erosion threshold depends on soil and surface characteristics, including
52 | soil moisture, soil texture and particle size, and presence of pebbles, rocks, and
53 | vegetation residue (e.g., Gillette et al., 1980; Gillette and Passi, 1988; Raupach et al.,
54 | 1993; Fécan et al., 1999; Zender et al., 2003; Mahowald et al., 2005), and thus varies
55 | spatially and temporally (Helgren and Prospero, 1987). Due to a lack of in-situ data at
56 | global scale and uncertainties on these dependencies, most dust and climate models
57 | prescribe a spatially and temporally constant threshold of wind erosion for surface 10 m
58 | wind (e.g., around 6 to 6.5 m s⁻¹) over dry surface for simplicity. ~~Globally uniform~~
59 | ~~values (e.g., around 6 to 6.5 m s⁻¹) are either directly used over dry surfaces~~ (e.g., Tegen
60 | and Fung, 1994; Takemura et al., 2000; Uno et al., 2001; Donner et al., 2011). ~~or with~~
61 | ~~modulations related to other factors, such as soil moisture (e.g., Takemura et al., 2000;~~
62 | ~~Ginoux et al., 2001; Zender et al., 2003; Kok et al., 2014a).~~ For instance, in the
63 | Geophysical Fluid Dynamics Laboratory coupled land-atmosphere model AM4.0/LM4.0
64 | (Zhao et al., 2018a, b), a constant threshold of 6 m s⁻¹ is used. On the other hand, some
65 | models, such as the ECHAM-HAM, HadGEM2-ES, and ICON-ART, parameterize the
66 | constant dry threshold friction velocity (usually a function of soil particle size, soil and
67 | air density) or threshold wind velocity with dependencies on soil moisture, surface

68 | roughness length, and vegetation coverage (e.g., Takemura et al. 2000; Ginoux et al.
69 | 2001; Zender et al. 2003; Cheng et al., 2008; Jones et al., 2011; Rieger et al., 2017).

70 | The threshold of wind erosion may be approximately inferred using observations.
71 | For instance, Chomette et al. (1999) used the Infrared Difference Dust Index (IDDI) and
72 | 10 m winds reanalysis from the European Centre for Medium-Range Weather Forecasts
73 | (ECMWF) between 1990 and 1992 to calculate the threshold of wind erosion over seven
74 | sites over the Sahel and Sahara. The IDDI was used to determine whether there was a
75 | dust event for subsequently calculating an emission index defined as the number of dust
76 | events to the total number of potential events. The distribution of surface wind speed was
77 | matched with the emission index, and the threshold of wind erosion was determined
78 | when the emission index was around 0.9. The resulting average threshold of wind erosion
79 | ranged from 6.63 m s⁻¹ at a Sahelian site to about 9.08 m s⁻¹ at a Niger site, consistent
80 | with the model results by Marticorena et al. (1997).

81 | Later, Kurosaki and Mikami (2007) used World Meteorological Organization
82 | (WMO) station data from March 1998 to June 2005 to examine the threshold wind speed
83 | in East Asia. Using the distribution of surface wind speed and associated weather
84 | conditions (i.e., with or without dust emission events), they approximated a dust emission
85 | frequency by dividing number of dust events to the total number of observations for each
86 | wind bin, and then determined threshold wind speeds at the 5% and 50% levels,
87 | corresponding to the most favorable and normal land surface conditions for dust
88 | emission, respectively. They found that the derived threshold wind speed varied in space
89 | and time, with a larger seasonal cycle in grassland regions, such as northern Mongolia,
90 | and smaller seasonal variations in desert regions, such as the Taklimakan and Gobi

91 Deserts and the Loess Plateau. Cowie et al. (2014) applied a similar method over
92 northern Africa, using wind data observed between 1984 and 2012, and focused on
93 threshold winds at the 25%, 50%, and 75% levels.

94 Draxler et al. (2010) derived the distribution of threshold of wind erosion over the
95 U.S. by matching the frequency of occurrence (FoO) of Moderate Resolution Imaging
96 Spectroradiometer (MODIS) Deep Blue (Hsu et al., 2004) aerosol optical depth (AOD)
97 above 0.75 with the FoO of friction velocities extracted from the North American
98 Mesoscale (NAM) forecast model at each grid point. This new threshold and a soil
99 characteristics factor was then incorporated into the Hybrid Single-Particle Lagrangian
100 Integrated Trajectory (HYSPLIT) model (Draxler and Hess, 1998) to forecast dust
101 surface concentrations. It was found that major observed dust plume events in June and
102 July 2007 were successfully captured by the model. Later, Ginoux and Deroubaix (2017)
103 used FoO derived from the MODIS Deep Blue dust optical depth (DOD) record to
104 retrieve the wind erosion threshold of surface 10 m winds over East Asia.

105 For individual dust events, the threshold of friction velocity can also be
106 determined by fitting a second-order Taylor series to dust saltation flux measurements
107 (Barchyn and Hugenholtz, 2011; Kok et al., 2014b).

108 Nonetheless, a global distribution of threshold of wind erosion ~~based on~~
109 observation with observational constraints that may be implemented in climate models is
110 still lacking. In this study, we propose a method to retrieve monthly global threshold of
111 wind erosion (hereafter, $V_{threshold}$) for dry and sparsely-vegetated surface (i.e., under
112 favorable conditions for dust emission) using high-resolution satellite products and
113 reanalysis datasets. ~~–~~This two-dimensional threshold of surface 10 m winds is then

114 implemented into the Geophysical Fluid Dynamics Laboratory (GFDL) coupled land-
115 atmosphere model, AM4.0/LM4.0 (Zhao et al., 2018a, b). The benefits of using this
116 spatial and temporal varying threshold in simulating present-day climatology and
117 seasonal cycles of dust are analyzed by comparing the model results with observations.

118 The data and method used to retrieve the threshold of wind erosion are detailed in
119 section 2. The distribution of the derived $V_{threshold}$ and its implication in the climate model
120 is presented in section 3. Section 4 discusses the uncertainties associated with this
121 method, and major conclusions are summarized in section 5.

122

123 **2. Data and Methodology**

124 In this section we first introduce the satellite products, observational data, and
125 reanalyses used to retrieve the threshold of wind erosion and validate model output
126 (section 2.1). The processes to retrieve the threshold of wind erosion are detailed in
127 section 2.2. The uncertainties of $V_{threshold}$ associated with the retrieval criteria and
128 selection of surface wind datasets are discussed in section 2.3. Section 2.4 introduces
129 GFDL AM4.0/LM4.0 model, its dust emission scheme, and simulation designs.

130

131 **2.1 Data**

132 **2.1.1 Satellite products**

133 1) MODIS Aqua and Terra dust optical depth

134 DOD is column-integrated extinction by mineral particles. Here daily DOD is
135 retrieved from MODIS Deep Blue aerosol products (collection 6, level 2; Hsu et al.,
136 2013; Sayer et al., 2013): aerosol optical depth (AOD), single-scattering albedo (ω), and

137 | the Ångström exponent (α). All the daily variables are first interpolated to a 0.1° by 0.1°
138 | grid using the algorithm described by Ginoux et al. (2010). We require that the single-
139 | scattering albedo at 470 nm to be less than 0.994 for dust due to its absorption of solar
140 | radiation. This separates dust from scattering aerosols, such as sea salt. Then a continuous
141 | function relating the Ångström exponent, which is highly sensitive to particle size (Eck et
142 | al., 1999), to fine-mode AOD established by Anderson et al. (2005; their Eq. 5) is used to
143 | separate dust from fine particles. In short, DOD is retrieved using the following equation:

$$144 | \text{DOD} = \text{AOD} \times (0.98 - 0.5089\alpha + 0.0512\alpha^2) \quad (1)$$

145 | Details about the retrieval process and estimated errors are summarized by Pu and
146 | Ginoux (2018b). High-resolution MODIS DOD products (0.1° by 0.1°) have been used to
147 | identify and characterize dust sources (Ginoux et al., 2012; Baddock et al., 2016) and
148 | examine the variations in dustiness in different regions (e.g., Pu and Ginoux, 2016,
149 | 2017, 2018b).

150 | Following the recommendation from Baddock et al. (2016), who found the
151 | dust sources are better detected using DOD with a low-quality flag (i.e., quality assurance
152 | flag, QA, equals =1, following the category of retrieval quality flags in MODIS Deep
153 | Blue products; Hsu et al., 2013) than that with a high-quality flag (i.e., QA=3) as
154 | retrieved aerosol products were poorly flagged over dust source regions, we also use
155 | DOD with the flag of QA=1. Both daily DOD retrieved from Aqua and Terra platforms
156 | are used by averaging the two when both products are available or using either one when
157 | only one product is available. Since Terra passes the equator from north to south around
158 | 10:30 am local time (LT) and Aqua passes the Equator from south to north around 13:30
159 | pm LT, an average of the two combines the information from both morning and

160 afternoon hours. This process also largely reduces missing data (Pu and Ginoux, 2018b).
161 This combined daily DOD, hereafter MODIS DOD, is available from January 2003 to
162 December 2015 at a resolution of 0.1° by 0.1° grid. Note that due to the temporal
163 coverage of MODIS products, the diurnal variations in dust (e.g., O’rgill and Sehmel,
164 1976; Mbourou et al., 1997; Knippertz, 2008; Schepanski et al., 2009) are not included in
165 current study.
166

167 2) Soil moisture

168 Soil moisture is an important factor that affects dust emission (Fécan et al., 1999).
169 Daily surface volumetric soil moisture (VSM) retrievals derived from similar calibrated
170 microwave (10.7 GHz) brightness temperature observations from the Advanced
171 Microwave Scanning Radiometer-Earth Observing System (AMSR-E) onboard the
172 NASA Aqua satellite (from June 2002 to October 2011) and the Advanced Microwave
173 Scanning Radiometer 2 (AMSR2) sensor onboard the JAXA GCOM-W1 satellite (from
174 July 2012 to June 2017) from the University of Montana (Du et al., 2017a; Du et al.,
175 2017b) was used to retrieve wind erosion threshold. Both AMSR-E and AMSR2 sensors
176 provide global measurements of polarized microwave emissions at six channels, with
177 ascending and descending orbits crossing the equator at around 1:30 pm and 1:30 am LT,
178 respectively. The VSM retrievals are derived from an iterative retrieval algorithm that
179 exploits the variable sensitivity of different microwave frequencies and polarizations, and
180 minimizes the potential influence of atmosphere, vegetation, and surface water cover on
181 the soil signal. The VSM record represents surface (top ~2 cm) soil conditions and shows
182 favorable global accuracy and consistent performance (Du et al. 2017b), particularly over

183 areas with low to moderate vegetation cover that are also more susceptible to wind
184 erosion, although cautions are needed when examining long-term trends due to the small
185 biases between AMSR-E and AMSR2. The horizontal resolution of the product is about
186 25 km by 25 km, and the daily product from January 2003 to December 2015 is used.
187 The ascending and descending orbit VSM retrievals are averaged to get the mean VSM for
188 each day.

189

190 3) Snow cover

191 Snow cover may affect dust emission in the mid-latitudes during spring, for
192 instance, over northern China (Ginoux and Deroubaix, 2017). The interannual variation
193 of snow cover is also found to affect dust emission in regions, such as Mongolia
194 (Kurosaki and Mikami, 2004). Here monthly snow cover data from MODIS/Terra level
195 3 data (Hall and Riggs, 2015) with a resolution of 0.05° by 0.05° from 2003 to 2015 is
196 used. The high spatial resolution of the product is very suitable for this study.

197

198 4) Leaf area index (LAI)

199 Vegetation ~~can~~ protects soil from the effects of wind and thus modulates dust
200 emission (e.g., Marticorena and Bergametti, 1995; Zender et al., 2003). While dense
201 vegetation coverage can increase surface roughness and reduce near surface wind speed,
202 the roots of vegetation can increase soil cohesion and further reduce wind erosion. LAI
203 describes the coverage of vegetation with a unit of m^2/m^2 , i.e., leaf area per ground area.
204 Here monthly LAI retrieved by Boston University from MODIS onboard Aqua (Yan et
205 al., 2016a; Yan et al., 2016b, via personal communication with Ranga Myneni and Taejin

206 Park; Boston University, 2016) with a resolution of 0.1° by 0.1° from 2003 to 2015 is
207 used. The root mean square error of the product is 0.66, with some overestimation of LAI
208 in sparsely vegetated regions (Yan et al. 2016b; Garrigues et al., 2008).

209

210 2.1.2 Reanalysis

211 Surface wind speed is a critical factor that affects wind erosion. Here 6 hourly 10
212 m wind speed from the NCEP/NCAR reanalysis (Kalnay et al., 1996, hereafter NCEP1)
213 on a T62 Gaussian grid (i.e., 192 longitude grids equally spaced and 94 latitude grids
214 unequally spaced) is used. The NCEP1 is a global reanalysis with relatively long
215 temporal coverage, from 1948 to the present. We chose to use the NCEP1 reanalysis ~~also~~
216 mainly because surface winds in the GFDL AM4.0 model are nudged toward the NCEP1,
217 and we preferred to use the reanalysis surface wind that is closest to the model
218 climatology.

219 ERA-Interim (Dee et al., 2011) is ~~another a~~ global reanalysis produced from
220 ECMWF. It provides high spatial resolution (about 0.75° or 80 km) 6-hourly, daily, and
221 monthly reanalysis from 1979 to present day. ~~Here we use soil~~Soil temperature from the
222 ERA-Interim is used to determine the regions where wind erosion may be prohibited by
223 the frozen surface. Monthly temperature of the first soil layer (0 to 0.07 m) from 2003 to
224 2015 is used.

225 In order to quantify the uncertainties of the retrieved threshold wind erosion in
226 association with the selection of reanalysis products, surface 10 m winds from 6-hourly
227 ERA-Interim and hourly ERA5 (Hersbach and Dee, 2016) are both examined. The ERA5

228 is the latest reanalysis product from the ECMWF, with a horizontal resolution of about 31
229 km and hourly temporal resolution.

230

231 **2.1.3 Station data**

232 Multiple ground-based datasets are used to validate AM4.0/LM4.0 simulated
233 aerosol and dust optical depth and surface dust concentrations.

234

235 1) AERONET

236 The AEROSOL ROBOTIC NETWORK (AERONET; Holben et al., 1998) provides
237 quality assured cloud-screened (level 2) aerosol measurements from sunphotometer
238 records. In this paper we used the data products of the version 3.0 AERONET processing
239 routine. To examine model simulated DOD, we used coarse mode AOD (COD; i.e.,
240 radius > 0.6 μm) at 500 nm processed by the Spectral Deconvolution Algorithm (O'Neill
241 et al., 2003; hereafter SDA). SDA COD monthly data is first screened to remove those
242 months with less than five days of records. To get the annual means, years with less than
243 five months of records were removed. Only stations with records of at least three years
244 during the period were used to calculate the 2003-2015 climatology (the same time
245 period when MODIS DOD is available). Overall, records from 313 stations were
246 obtained.

247 AERONET monthly aerosol optical thickness (AOT) data around 550 nm (e.g.,
248 500 nm, 551 nm, 531 nm, 440 nm, 675 nm, 490 nm, 870 nm, etc.) and the Ångström
249 exponents across the dual wavelength of 440-675 nm, 440-870 nm, and 500-870 nm are
250 used to calculate AOD at 550 nm (τ_{550}). If AOT for 551 nm, 555 nm, 531 nm or 532 nm

251 exist, then these values are directly used as AOD 550 nm. Otherwise, the AOT at
 252 wavelength λ_A (less than 550 nm), i.e., τ_A , AOT at wavelength λ_B (larger than 550 nm),
 253 i.e., τ_B , and Ångström exponent between wavelengths λ_A and λ_B (α) are used to derive
 254 AOD 550 nm using the following equations:

$$255 \quad \tau_{550} = \tau_A \left(\frac{550}{\lambda_A} \right)^{-\alpha} \quad \text{if } \tau_A \text{ is available,} \quad (21)$$

256

$$257 \quad \tau_{550} = \tau_B \left(\frac{550}{\lambda_B} \right)^{-\alpha} \quad \text{if } \tau_B \text{ is available.} \quad (32)$$

258

259 ~~While this process of extrapolating to 550 nm using a classical Ångström exponent is a~~
 260 ~~bit incoherent with the higher order spectral approach of the SDA, errors due to the~~
 261 ~~choice of spectral order will be negligible in comparison with the types of model versus~~
 262 ~~measurement differences that we will be evaluating in this paper.~~

263 In a manner similar to the process of screening SDA COD data, monthly AOD
 264 550 nm data with less than three days of records in a given month are removed. When
 265 calculating the annual means we excluded years having less than five months of records.
 266 Finally, to calculate the climatology of 2003-2015, only stations with at least three years
 267 of records during this period are used totaling to 351.

268 We also developed a method to derive DOD at 550 nm from AOD at 550 nm
 269 based on the relationship between Ångström exponent and fine-mode AOD established
 270 by Anderson et al. (2005; their Eq. 5). This adds a few more sites over the Sahel than the
 271 SDA COD stations. DOD is calculated by subtracting the fine-mode AOD from the total
 272 AOD. Due to the large uncertainties of single scattering albedo in AERONET records
 273 over regions where AOD is lower than 0.4 (e.g., Dubovik and King, 2000; Holben et al.,

274 2006; Andrews et al., 2017), we did not use single scattering albedo to screen AOD to
275 further separate dust from scattering aerosols. Therefore, the derived AERONET DOD
276 over coastal stations may be contaminated by sea salt.

277

278 2) RSMAS surface dust concentration

279 The Rosenstiel School of Marine and Atmospheric Science (hereafter RSMAS
280 dataset) at University of Miami collected mass concentration of dust, sea salt, and sulfate
281 over stations globally, with most of stations on islands (Savoie and Prospero, 1989). The
282 dataset has been widely used for model evaluation (e.g., Ginoux et al., 2001; Huneus et
283 al., 2011).

284 Only stations with records longer than four years were used and of those stations
285 only those years with at least eight months of data are used for calculating climatological
286 annual means. So, totally 16 stations are used. Station names, ~~and~~ locations, and record
287 length are listed in Table S1 of the Supplement. We compare the climatology of annual
288 mean surface dust concentration with model output during 2000-2015. Note that since
289 most station records end earlier than 1998, the dataset largely represents the
290 climatology during the 1980s and 1990s. Thus the discrepancies between model output
291 and the RSMAS data include both model biases and the difference in surface dust
292 concentration from the 1980s to the 2000s. So here we also assume that the climatology
293 of the surface dust concentrations do not change greatly from the 1980s to the 2000s.

294

295 3) IMPROVE surface fine dust concentration

296 The Interagency Monitoring of Protected Visual Environments (IMPROVE)
297 network has collected near-surface particulate matter 2.5 (PM_{2.5}) samples in the U.S.
298 since 1988 (Malm et al., 1994; Hand et al., 2011). IMPROVE stations are located in
299 national parks and wilderness areas, and PM_{2.5} sampling is performed twice weekly
300 (Wednesday and Saturday; Malm et al., 1994) prior to 2000 and every third day
301 afterwards. Fine dust (with aerodynamic diameter less than 2.5 μm) concentration is
302 calculated using the concentrations of aluminum (Al), silicon (Si), calcium (Ca), iron
303 (Fe), and titanium (Ti) by assuming oxide norms associated with predominant soil
304 species (Malm et al., 1994; their Eq. 5). This dataset has been widely used to study
305 variations in surface fine dust in the U.S. (e.g., Hand et al., 2016; Hand et al., 2017, Tong
306 et al., 2017; Pu and Ginoux, 2018a). Here only monthly data with at least 50% of daily
307 data available in a month (i.e., at least 5 records) are used. Since station coverage over the
308 central U.S. increases after 2002 (e.g., Pu and Ginoux, 2018a), monthly station data from
309 2002 to 2015 are used and interpolated to a 0.5° by 0.5° grid using inverse distance
310 weighting interpolation. The gridded data are used to evaluate modeled surface fine dust
311 concentrations.

312

313 4) LISA PM₁₀ surface concentration

314 Surface PM₁₀ concentration from stations from the Sahelian Dust Transect, which
315 was deployed in 2006 under the framework of African Monsoon Multidisciplinary
316 Analysis International Program (Marticorena et al., 2010), were used to examine the
317 surface dust concentration over the Sahelian region. The data are maintained by
318 Laboratoire Interuniversitaire des Systèmes Atmosphériques (LISA) in the framework of

319 the International Network to study Deposition and Atmospheric composition in Africa
320 (INDAAF; Service National d'Observation de l'Institut National des Sciences de
321 l'Univers, France)-~~network~~. Three stations are located within the pathway of Saharan and
322 Sahelian dust plumes moving towards the Atlantic Ocean. Here hourly PM₁₀
323 concentrations from these stations, Banizoumbou (Niger, 13.54° N, 2.66° E), Cinzana
324 (Mali, 13.28° N, 5.93° W), and M'Bour (Senegal, 14.39° N, 16.96° W), from 2006 to
325 2014 are used. The hourly station data are averaged to obtain daily and monthly mean
326 records to compare with model output.

327

328 **2.1.4 Other data**

329 Soil depth from the Food and Agriculture Organization of the United Nations
330 (FAO/IIASA/ISRIC/ISS-CAS/JRC, 2009) on a 0.08° by 0.08° resolution is used to
331 examine whether the soil depth is too shallow (i.e. less than 15 cm) for wind erosion.

332

333 **2.2 Retrieving threshold of wind erosion**

334 The monthly climatological threshold of wind erosion is retrieved by matching
335 the frequency distribution of the MODIS DOD at certain level, namely, DOD_{thresh_2} , with
336 the frequency distribution of surface 10 m winds from the NCEP1 reanalysis over the
337 period from 2003 to 2015. The process can be summarized by the following steps:

338 Step1: Since dust is emitted from the dry and sparsely-vegetated surface, the daily
339 DOD data is first masked out to remove the influences of non-erodible factors and
340 unfavorable environmental conditions that are known to prevent dust emission using
341 criteria as follows: daily VSM less than 0.1 cm³ cm⁻³; monthly LAI less than 0.3;

342 monthly snow cover less than 0.2% (since snow cover percentage is round-up to integer
343 in MODIS product, this criterion actually requires no snow cover); monthly top-layer soil
344 temperature higher than 273.15 K, i.e., over unfrozen surface; and soil depth thicker than
345 15 cm. These criteria approximate the most favorable land surface conditions for wind
346 erosion.

347 Similar criteria have been used in previous studies to detect or confine dust source
348 regions. For instance, Kim et al. (2013) used NDVI less than 0.15, soil depth greater than
349 10 cm, surface temperature greater than 260 K, and without snow cover to mask
350 topography based dust source function. LAI less than 0.3 has been used as a threshold for
351 dust emission in the Community Land Model (Mahowald et al., 2010; Kok et al., 2014a),
352 while gravimetric soil moisture ranging from 1.01 to 11.2 ~~kg kg⁻³~~% depending on soil
353 clay content is recommended to constrain dust emission (Fécan et al., 1999). The
354 uncertainties associated with small variations in the retrieval criteria are further
355 quantified and discussed in section 2.3.

356 Step 2: Masked daily DOD from Step 1 is then interpolated to a 0.5° by 0.5° grid
357 using bilinear interpolation. This is close to the horizontal resolution of the GFDL
358 AM4.0/LM4.0 model used in this study. Then the cumulative frequency distribution of
359 daily DOD from 2003 to 2015 is derived at each grid point for each month.

360 Step 3: Daily maximum surface wind speed is first derived from 6-hourly NCEP1
361 surface winds and then interpolated to a 0.5° by 0.5° grid. Following Ginoux and
362 Deroubaix (2017), we use maximum daily wind speed instead of daily mean wind speed,
363 largely because dust emission only occur when wind speed is strong enough, and the
364 emission magnitude is roughly proportional to the third power of surface wind speed in

365 | empirical estimations. The cumulative frequency distribution of daily maximum surface
366 | wind from 2003 to 2015 is then calculated at each grid point for each month.

367 | Step 4: A minimum value of DOD (i.e., DOD_{thresh}) is used to separate dust events
368 | from background dust. The cumulative frequency (in %) of dust events passing this
369 | threshold is compared to the cumulative frequency of surface winds. The minimum
370 | surface winds with the same frequency correspond to the threshold of wind erosion,
371 | $V_{threshold}$ (see a schematic diagram in Figure S1 in the Supplement). This operation is
372 | performed for all grid points for each month. Ginoux et al. (2012) used $DOD_{thresh} = 0.2$ to
373 | separate dust events from background dust and quantify the FoO of local dust events.
374 | Similarly, $DOD_{thresh} = 0.2$ is used here in major dusty regions (North Africa, Middle East,
375 | India, northern China), while for less dusty regions, such as the U.S., South America,
376 | South Africa, and Australia, $DOD_{thresh} = 0.02$ is used. The reason to use a lower DOD_{thresh}
377 | for less dusty regions is because: i) the overall dust emission in these regions are at least
378 | ten times smaller than major dusty regions, such as North Africa (e.g., Huneus et al.,
379 | 2011); ii) the frequency distribution of DOD in these regions also peaks at a much lower
380 | DOD band (see discussion in section 3.3). We also tested the $DOD_{thresh} = 0.5$ for dusty
381 | regions and $DOD_{thresh} = 0.05$ for less dusty regions, and results are discussed in sections
382 | 2.3 and 3.1.

383 | Figures 1a-e show the seasonal and annual mean FoO (days when DOD is greater
384 | than DOD_{thresh}) using ~~the $DOD_{thresh} = 0.2$ or 0.02 defined here~~. The shaded area covers
385 | major dust sources, and the pattern is very similar to that obtained by Ginoux et al. (2012;
386 | their Fig. 5), although there are some differences, largely due to the masked DOD (i.e.,
387 | from Step 1) used in this study and a lower threshold in less dusty regions. The higher

388 FoO in North Africa during summer in comparison with other seasons is consistent with
389 the summer peak of the frequency of dust source activation derived from the Meteosat
390 Second Generation (MSG) images (Schepanski et al., 2007; their Fig. 1). The relatively
391 high value of FoO over the northern Sahel to southern Sahara is also consistent with dust
392 emission frequency derived from the Meteosat Second Generation Spinning Enhanced
393 Visible and InfraRed Imager (Evan et al., 2015; their Fig. 1).

394 Note that the selections of masking criteria in Step 1 and DOD_{thresh} in Step 4 are
395 empirical and can add uncertainties to this method. Also, we approximate dust emission
396 using cumulative frequency of DOD, which may overestimate dust emission in regions
397 where the contribution of transported dust is significant and thus underestimate the
398 $V_{threshold}$ in those regions. These uncertainties are further discussed in the following
399 section.

400

401 2.3 Sensitivities of $V_{threshold}$ associated with retrieval criteria and the selection of 402 reanalysis surface winds

403 Table 2 shows variations in derived annual mean $V_{threshold}$ averaged in nine dust
404 source regions (see Table 1 for locations) following slight changes of retrieval criteria:
405 soil moisture, LAI, snow coverage, and DOD_{thresh} . When the soil moisture threshold is
406 changed from 0.1 to 0.15 $\text{cm}^3 \text{cm}^{-3}$ or without the soil moisture constraint, the variations
407 in $V_{threshold}$ are quite small, ranging from 0.01 to about 0.73 m s^{-1} (Table 2). Similarly,
408 changes of LAI criteria from 0.15 to 0.5 $\text{m}^2 \text{m}^{-2}$ or snow coverage from 0.2% to 10%
409 slightly change $V_{threshold}$ — within 1 m s^{-1} over most regions. On the other hand, $V_{threshold}$
410 is quite sensitive to the selection of the DOD_{thresh} . $V_{threshold}$ would increase about 1 to 3 m

411 s⁻¹ if using $DOD_{thresh}= 0.5$ for dusty regions (0.05 for less dusty regions) instead of
412 $DOD_{thresh}= 0.2$ (or 0.02). For instance, using $DOD_{thresh}=0.5$ increases the averaged annual
413 mean $V_{threshold}$ over the Sahara from 4.6 m s⁻¹ (using $DOD_{thresh}=0.2$) to about 7.6 m s⁻¹.

414 As mentioned earlier, dust event frequency can be overestimated in regions with
415 high ratio of transported dust and consequently $V_{threshold}$ would be underestimated. Here
416 we provide a rough estimation about the influence of transported dust on $V_{threshold}$ over
417 North Africa. It is hard to separate local dust emission and transported dust in the column
418 integrated DOD, so we use surface DOD data (sDOD; personal communication with
419 Juliette Paireau), i.e., DOD from surface to about 400 m, to approximate the component
420 of DOD due to local emission. sDOD is derived by using DOD vertical profile from the
421 Cloud-Aerosol Lidar with Orthogonal Polarization (CALIOP; Winker et al., 2004;
422 Winker et al., 2007) to first calculate a ratio of near surface DOD (0~400 m) to total
423 DOD (0~12km) and then multiplying the ratio to daily MODIS Aqua DOD over North
424 Africa from 2003-2014. Using sDOD, $V_{threshold}$ over the Sahel would increase from 3.2 to
425 6.0 m s⁻¹, while over the Sahara, $V_{threshold}$ would increase from 4.6 to 7.7 m s⁻¹ (Table 2,
426 last column).

427 How $V_{threshold}$ would change when using surface winds from different reanalyses
428 are examined in Table 3. Surface winds from the ERA-Interim produce higher $V_{threshold}$
429 than the NCEP1 by 0.2 to 2.2 m s⁻¹. Using surface winds from the ERA5 also would
430 increase $V_{threshold}$ by 1 to 1.6 m s⁻¹ over North Africa and about 1.5 m s⁻¹ over Australia
431 but create smaller differences in other regions.

432 In short, $V_{threshold}$ are less sensitive to small changes in the criteria to define a
433 favorable, dry, and sparsely vegetated land surface condition for wind erosion than the

434 choices of DOD_{thresh} or surface wind speeds from different reanalysis products. Over
435 North Africa, not separating transported dust from total DOD may lead to an
436 underestimation of $V_{threshold}$ up to 3 m s^{-1} based on a rough estimation. However, due to
437 the large uncertainties in quantifying transported dust and the regional converge of sDOD
438 dataset, we chose not to incorporate the results from sDOD to the global $V_{threshold}$.

439

440 **2.43 Simulation design**

441 We will examine if the observation-constrained, spatial and temporal varying
442 $V_{threshold}$ would improve dust simulation in the GFDL AM4.0/LM4.0. The AM4.0/LM4.0
443 is a coupled land-atmosphere model newly developed at GFDL (Zhao et al., 2018a,b). It
444 uses the recent version of the GFDL Finite-Volume Cubed-Sphere dynamical core (FV³;
445 Putman and Lin, 2007), which is developed for weather and climate applications with
446 both hydrostatic and non-hydrostatic options. Some substantial updates have been
447 incorporated into the AM4.0, such as an updated version of the model radiation transfer
448 code, an alternate topographic gravity wave drag formulation, a double-plume model
449 representing shallow and deep convection, a “light” chemistry mechanism, and
450 modulation on aerosol wet removal by convection and frozen precipitation (Zhao et al.,
451 2018a,b). Here we used a model version with 33 vertical levels (with model top at 1hPa)
452 and cube-sphere with 192×192 grid boxes per cube face (approximately 50 km grid size).

453 The aerosol physics is based in large part on that of the GFDL AM3.0 (Donner et
454 al., 2011), but with a simplified chemistry where ozone climatology from AM3.0
455 simulation (Naik et al., 2013) is prescribed. AM4.0 simulates the mass distribution of five
456 aerosols: sulfate, black carbon, organic carbon, dust, and sea salt. Dust is partitioned into

457 five size bins based on radius: 0.1~1 μm (bin 1), 1~2 μm (bin 2), 2~3 μm (bin 3), 3~6 μm
 458 (bin 4), and 6~10 μm (bin 5). The dust emission scheme follows the parameterization of
 459 Ginoux et al. (2001), as shown in the following equation:

$$460 \quad F_p = C \times S \times s_p \times V_{10m}^2 (V_{10m} - V_t) \quad (\text{if } V_{10m} > V_t), \quad (43)$$

461

462 where F_p is flux of dust of particle size class p , C is a scaling factor with a unit of $\mu\text{g s}^2$
 463 m^{-5} , here C is set to 0.75×10^{-9} . S is the source function based on topographic depressions
 464 (Ginoux et al., 2001), s_p is fraction of each size class, and V_{10m} is surface 10 m wind
 465 speed, and $V_t = 6 \text{ m s}^{-1}$ is the threshold of wind erosion.

466 Three simulations with prescribed sea surface temperature (SST) and sea ice
 467 (Table 42) were conducted from 1999 to 2015, with the first year discarded for spin up.
 468 The Atmospheric Model Intercomparison Project (AMIP)-style SST and sea ice data
 469 (Taylor et al., 2000) are from the Program for Climate Model Diagnosis and
 470 Intercomparison (PCMDI), which combined HadISST (Rayner et al., 2003) from UK Met
 471 Office before 1981 and NCEP Optimum Interpolation (OI) v2 SST (Reynolds et al.,
 472 2002) afterwards. The surface winds in the simulations are nudged toward the NCEP1
 473 reanalysis with a relaxation timescale of 6 hours (Moorthi and Suarez, 1992). Note that
 474 the nudged surface winds are actually weaker than the surface wind speed simulated by
 475 the standard version of AM4.0/LM4.0 without nudging, so the overall magnitude of dust
 476 emission is lower than the standard version. Here we choose not to retune the dust
 477 emission scheme but instead test the usage of $V_{threshold}$, which theoretically provides a
 478 more physics-based way to improve dust simulation. We also choose to keep the tuning

479 factor C (Eq. 4) the same in all simulations to better examine the effects of implementing
480 the newly developed $V_{threshold}$.

481 In the Control run, the default model setting is used for dust emission, with a
482 prescribed 6 m s^{-1} threshold of wind erosion (cf. Ginoux et al., 2019). In the $V_{\text{thresh}12\text{mn}}$
483 simulation, the observation based climatological monthly $V_{\text{threshold}}$ is used to replace the
484 constant wind erosion threshold. The default source function S in Eq. 43 only allows dust
485 emission over bare ground by masking out regions with vegetation cover. Since LAI
486 masking is already applied in the retrieval of $V_{\text{threshold}}$ (i.e., $\text{LAI} < 0.3$), we choose to use a
487 source function that is the same as the default source function S but without vegetation
488 masking, i.e., S' (Figure S2 in the supplement). This allows the influence of the spatial
489 and temporal variations ~~in~~ $V_{\text{threshold}}$ to be fully examined. The combination of source
490 function S' and $V_{\text{threshold}}$ also extends dust source from bare ground to sparsely vegetated
491 area as outlined by $V_{\text{threshold}}$, e.g., over central North America, central India, and part of
492 Australia, and can increase dust emission in these regions. The pattern of extended dust
493 source area largely resembles the vegetated dust source identified by Ginoux et al. (2012;
494 their Fig. 15b) and Kim et al. (2013; their Fig. 9). All the other settings are the same as
495 the Control run. The $V_{\text{thresh}Ann}$ simulation is the same as the $V_{\text{thresh}12\text{mn}}$ but uses the
496 annual mean ~~of~~ $V_{\text{threshold}}$ for each month. Since the same SST and sea ice are prescribed
497 for all simulations and land use dose not change much during the short duration of
498 simulation, the differences in simulated dynamic vegetation by LM4.0 among the three
499 simulations are actually very small and can be ignored (see Figures S3-4 in the
500 Supplement).

501

502 3. Results

503 3.1 Thresholds of wind erosion with $DOD_{thresh} = 0.2$ (or 0.02) and $DOD_{thresh} = 0.5$ (or 504 0.05)

505 Figures 1f-j show the derived threshold of wind erosion for each season and
506 annual mean using $DOD_{thresh} = 0.2$ (or 0.02). The seasonal variations ~~in~~ wind erosion
507 threshold are largely due to the variations in DOD and surface wind frequency
508 distributions that are in turn associated with ~~of~~ variations in land surface features
509 ~~examined here~~, such as soil moisture, soil temperature, snow cover, and vegetation
510 coverage in each month. $V_{threshold}$ is generally lower in MAM and JJA (SON and DJF) for
511 Northern (Southern) Hemisphere dusty regions than in other seasons, consistent with
512 higher FoO in these seasons. $V_{threshold}$ values are also lower in ~~major dust source regions~~
513 ~~(i.e., regions with a high FoO (in Figs. 1a-e))~~. ~~Globally, the lowest $V_{threshold}$ values ($\sim 3-5$~~
514 ~~$m\ s^{-1}$) are located over North Africa and the Middle East, while the highest values ($>10\ m$~~
515 ~~s^{-1}) occur over northern Eurasia.~~

516 ~~Figure 2a shows the cumulative frequency of $V_{threshold}$ over the global land area for~~
517 ~~each season and annual mean. The globally constant threshold $6\ m\ s^{-1}$ used in the GFDL~~
518 ~~AM4.0/LM4.0 is actually above the 50% level for all seasons and annual mean,~~
519 ~~indicating the default setting in model likely overestimates the threshold of wind erosion.~~
520 ~~In fact, the 50% level of $V_{threshold}$ is around $4.5\ m\ s^{-1}$ for the annual mean and ranges from~~
521 ~~$4\ m\ s^{-1}$ in JJA to about $5\ m\ s^{-1}$ in SON and DJF.~~

522 The distributions of $V_{threshold}$ for annual mean (black bars) and dusty seasons
523 (color lines; MAM and JJA for the Northern Hemisphere and SON and DJF for the
524 Southern Hemisphere) for each dust sourcey region (see Fig. 1f and Table 1 for locations)

525 are shown in Figs. 2a-b-ij. In the Sahel and Sahara, the annual mean $V_{threshold}$ peaks around
526 4 and 4.5-5.5 m s⁻¹, respectively (Figs. 2a-bb). This magnitude is lower than indicated
527 from previous studies based on station observations in the region, e.g., Helgren and
528 Prospero (1987) found the threshold velocity over eight stations in Northwest Africa
529 ranged from 6.5 to 13 m s⁻¹ during summer in 1974. Chomette et al. (1999) and Marsham
530 et al. (2013) also reported higher wind erosion thresholds around 6-9 m s⁻¹ at individual
531 stations. On the other hand, Cowie et al. (2014) found that the annual threshold of wind
532 erosion at the 25% level, i.e., when surface condition is favorable for dust emission, can
533 be lower than 6 m s⁻¹ at some sites in the Sahel (their Fig. 5). Several factors may
534 contribute to the discrepancies. Firstly, studies suggest that reanalysis datasets may
535 underestimate surface wind speed in spring and for monsoon days in Africa (e.g.,
536 Largeron et al., 2015), and therefore could lead to a lower value of $V_{threshold}$ than that
537 derived from station observations. In fact, Bergametti et al. (2017) found even 3-hourly
538 wind speed record at stations may miss short events with high windweed speed. As
539 shown in Table 3, among the reanalysis wind products tested here, the NCEP1 actually
540 produced a lower $V_{threshold}$ in North Africa than the other two reanalyses. As mentioned
541 earlier, Secondly, using DOD frequency to approximate dust emission may lead to an
542 overestimation of dust emission over regions such as the southern Sahel where
543 transported dust is a large component and consequently an underestimation of $V_{threshold}$.
544 Based on our rough estimation, $V_{threshold}$ in North Africa can be underestimated by up to 3
545 m s⁻¹ (section 2.3). In addition, dDifferent analysis time periods or methods to retrieve the
546 wind erosion threshold may also contribute to the differences.

547 The annual mean $V_{threshold}$ in ~~the Sahara and~~ Arabian Peninsula is a bit higher,
548 with mean values at ~~4.5 and~~ 5.2 m s⁻¹, ~~respectively~~ (Figs. 2e-cd). The $V_{threshold}$ over
549 northern China is even higher, with an annual mean of 7.89 m s⁻¹. This is consistent with
550 the results of Kurosaki and Mikami (2007), who found that under favorable land surface
551 conditions the threshold wind speed ranges from 4.4± 0.6 m s⁻¹ in Taklimakan Desert to
552 6.9± 1.2 m s⁻¹ over the Loess Plateau and around 9.8± 1.6 m s⁻¹ in the Gobi Desert. These
553 values are also consistent with Ginoux and Deroubaix (2017) who found that regional
554 mean wind erosion threshold over northern China ranges from 6.5 to 9.1 m s⁻¹. In India,
555 the $V_{threshold}$ peaks at about 4.5 m s⁻¹ and 6.5 m s⁻¹, respectively (Fig. 2ef). The second
556 peak is probably related to anthropogenic dust sources over the central Indian
557 subcontinent (Ginoux et al., 2012). We also note that in the Northern Hemisphere, the
558 $V_{threshold}$ in dusty seasons is shifted towards lower values than the annual mean (blue and
559 green lines in Figs. 2ab-fg), but is similar to the annual mean in the Southern Hemisphere
560 (especially South America and Australia), indicating stronger influences of surface
561 variability in the Northern Hemisphere.

562 Fig. 3 shows the seasonal mean and annual mean global $V_{threshold}$ using DOD_{thresh}
563 =0.5 (or 0.05). The corresponding distribution of annual mean $V_{threshold}$ in each region is
564 shown in Figure S5 in the Supplement. The derived $V_{threshold}$ is generally higher than
565 using DOD_{thresh} =0.2 (or 0.02), especially over North Africa, the Arabian Peninsula,
566 India, and Asia (Fig. 3 and Table 2). The results are thus closer to previous station based
567 studies over North Africa. On the other hand, over northern China, $V_{threshold}$ is around or
568 greater than 8 m s⁻¹ (Fig. 3e), slighter higher than previous estimates (e.g., Kurosaki and
569 Mikami 2007; Ginoux and Deroubaix 2017).

570 In the following section, we will exam if the spatial and temporal varying $V_{threshold}$
571 would improve model simulation of DOD spatial pattern, seasonal variations, frequency
572 distribution and surface dust concentrations in the GFDL AM4.0/LM4.0. Results using
573 $V_{threshold}$ with $DOD_{thresh}=0.2$ (or 0.02) are shown in sections 3.2 to 3.3 and results using
574 $V_{threshold}$ with $DOD_{thresh}=0.5$ (or 0.05) are briefly discussed in section 4.

575

576 **3.2 $V_{threshold}$ in the GFDL AM4.0/LM4.0 model**

577 ~~The derived $V_{threshold}$ is then implemented into the GFDL AM4.0/LM4.0 models.~~
578 In this section we analyze the model output using the default setting (Control; Table 4),
579 12-month ($V_{thresh12mn}$), and annual mean $V_{threshold}$ ($V_{threshAnn}$) by comparing model
580 results with multiple observational datasets and MODIS DOD. ~~to see how $V_{threshold}$ may~~
581 ~~affect the simulation of DOD, surface dust concentration, and dust event frequency in the~~
582 ~~model.~~

583

584 **3.2.1 Climatology of AOD and DOD**

585 In order to compare the model results with observations, we first show the
586 climatology of AERONET AOD and COD from 2003 to 2015. The length of records for
587 each station is shown in Figure S6 in the Supplement. As shown in Figure 43, annual
588 mean global AOD is highest over Africa, the Arabian Peninsula, Indian subcontinent, and
589 Southeast Asia. In the latter two regions, high sulfate concentrations (e.g., Ginoux et al.,
590 2006) and organic carbon from biomass burning in Southeast Asia (e.g., Lin et al., 2014)
591 contribute substantially to the total AOD. The SDA COD shows the optical depth due to
592 coarse aerosols, which includes both dust and sea salt, and sea salt over coastal regions or

593 islands can be a major contributor. Here, high values (>0.2) are largely located over
594 dusty regions such as North Africa, the Arabian Peninsula, and northern India (Fig. 43b).

595 Figures 54a-b show the scatter plots of modeled AOD and COD in the Control
596 run versus AERONET AOD and COD, respectively. Here column-integrated extinction
597 from both dust and sea salt is used to calculate COD in the model. The relative
598 differences (%) between AM4.0 output and AERONET station data are also shown (Figs.
599 54c-d). The percentage of DOD to total COD in the model is displayed at the bottom
600 (Fig. 54e). The simulated AOD is lower than that from the AERONET over North Africa,
601 the Middle East, and western India, largely due to low values of COD simulated in these
602 regions (Fig. 54d). Besides these regions, the COD over North America, South America,
603 South Africa, and northern Eurasia is also, for the most part, underestimated by the
604 model. Dust is the dominant contributor to the COD value over most of these low COD
605 regions, except over the central to eastern North America and central South America
606 (Fig. 5e).

607 ~~The underestimation of~~ COD (and effectively DOD given its dominance in most
608 regions) was ~~improved~~ better simulated in the subsequent model run using a prescribed
609 12-month $V_{threshold}$ in terms of both magnitude and spatial pattern. Figure 65 shows the
610 results from the $V_{thresh}12mn$ simulation. COD is better captured while the AOD
611 effectively moves from a negative to a slightly positive bias (Figs. 65a-d). Most sites over
612 North Africa and the Middle East show a relatively small difference with AERONET
613 COD (Fig. 65d). Over the Indian subcontinent, COD is overestimated, while over North
614 America excluding the east coast, northern Eurasia, and part of South America, COD is
615 also better captured than in the Control run.

616 These improvements are largely associated with a better simulation of DOD in the
617 | “dust belt” (i.e., North Africa and the Middle East). Figure [76](#) shows the DOD at 550 nm
618 | derived from AERONET AOD (see methodology for details) versus that from the
619 | $V_{\text{thresh}12\text{mn}}$ simulation. Over most stations in the Sahel, Mediterranean coasts, and
620 | central Middle East, the relative differences between modeled and observed DOD is
621 | within $\pm 25\%$.

622 | Figure [87](#) shows the regional averaged annual mean DOD over nine dusty regions
623 | from MODIS and three simulations. The Control run largely underestimates DOD in all
624 | regions, while the magnitude of DOD is better captured in the $V_{\text{thresh}12\text{mn}}$ and $V_{\text{thresh}Ann}$
625 | simulations, although slightly overestimated in the Sahel and greatly overestimated over
626 | Australia. In general, DOD simulated by the $V_{\text{thresh}Ann}$ run using a constant annual mean
627 | $V_{\text{threshold}}$ is higher than that simulated by the $V_{\text{thresh}12\text{mn}}$ run, consistent with the higher
628 | dust emission in the $V_{\text{thresh}Ann}$ run (Table S2 in the Supplement). Lack of soil moisture
629 | constraint in the model, which is a very important element in capturing the variation of
630 | DOD in Australia (Evans et al., 2016), may contribute to the large overestimation of
631 | DOD in Australia.

632

633 **3.2.2 Climatology of surface dust concentration**

634 While DOD is a key parameter associated with the climate impact of dust, surface
635 | dust concentration is an important factor affecting local air quality. Here we compare the
636 | modeled surface dust concentration with RSMAS station observations. Model output is
637 | averaged from 2000 to 2015 to form the annual climatology. Consistent with the DOD
638 | output, the Control run largely underestimates surface dust concentrations at almost all of

639 | the sites (except sites 9 and 15; Figure 98 top panel). The underestimation bias is reduced
640 | in the $V_{\text{thresh}}\text{Ann}$ simulation (Fig. 98, middle panel), with seven stations having
641 | model/observation ratios between 0.5 and 2 (white triangles). Over the coastal U.S. (e.g.,
642 | sites 16 and 13), dust concentrations are overestimated, consistent with the
643 | overestimation of DOD over the U.S. and the Sahel (Fig. 87). Dust concentrations in
644 | Australia and the east coast of China are also overestimated by more than five-folds.
645 | Surface dust concentration is further improved in the $V_{\text{thresh}}12\text{mn}$ simulation (Fig. 98,
646 | bottom), with eight stations showing a model/observation ratio between 0.5 and 2 and
647 | only four stations overestimating or underestimating dust concentrations by more than
648 | five times.

649 | Simulated surface fine dust concentration (calculated as dust bin 1+0.25×dust bin
650 | 2) in the U.S. is compared with gridded IMPROVE data (Figure 109). While the Control
651 | run largely underestimates surface fine dust concentration, the simulated concentration is
652 | overall too high in the $V_{\text{thresh}}\text{Ann}$ run. The spatial pattern of fine dust concentration is
653 | better captured in the $V_{\text{thresh}}12\text{mn}$ run, with higher values over the southwestern U.S., but
654 | the magnitude is still overestimated, and additional dust hot spots are simulated over the
655 | northern Great plains and the Midwest, which are not shown in the IMPROVE data. Such
656 | an overall overestimation may be attributed to lack of soil moisture modulation in the
657 | dust emission scheme. The way in which dust bins are partitioned in the model can add
658 | uncertainties to model's representation of surface fine dust concentrations as well. On
659 | the other hand, the relatively low spatial coverage of IMPROVE sites over the northern
660 | Great Plains and Midwest (e.g., Pu and Ginoux, 2018a) may also add uncertainties to the
661 | data itself.

662 3.2.3 Seasonal cycles

663 | Figure 110 compares the seasonal cycle of DOD from three simulations with
664 | MODIS DOD in nine dusty regions. The seasonal cycle of gridded AERONET COD (as
665 | an approximation to DOD; on a 0.5° by 0.5° grid) is also shown. Since the gridded COD
666 | may have large uncertainties over regions with only a few stations, such as the Sahel,
667 | Sahara, northern China, and South Africa, MODIS DOD is used as the main reference in
668 | the comparison. Seasonal cycles are better captured by the $V_{\text{thresh}}12\text{mn}$ simulation in the
669 | Sahel, the Sahara, and the Arabian Peninsula (Figs. 110a-c), although the spring and
670 | summer peak in the Sahel is overestimated and winter minimum in the Sahara is
671 | underestimated. The MAM peak of MODIS DOD in northern China is missed by both
672 | $V_{\text{thresh}}12\text{mn}$ and $V_{\text{thresh}}\text{Ann}$ simulations (Fig. 110d), while the JJA peak over India is
673 | largely overestimated (Fig. 110e). Over the U.S. dusty region, the seasonal cycle in the
674 | $V_{\text{thresh}}12\text{mn}$ simulation is slightly underestimated compared to MODIS DOD but
675 | overestimated from May to August in the $V_{\text{thresh}}\text{Ann}$ simulation (Fig. 110f). DOD is
676 | underestimated in South Africa in all three simulations (Fig. 110g). Over South America,
677 | the peak from October to February is roughly captured by the $V_{\text{thresh}}12\text{mn}$ run but is
678 | overestimated by the $V_{\text{thresh}}\text{Ann}$ run (Fig. 110h). The seasonal cycles of DOD in Australia
679 | are very similar in all three simulations and largely resemble that in the MODIS, although
680 | both the $V_{\text{thresh}}12\text{mn}$ and $V_{\text{thresh}}\text{Ann}$ simulations overestimate the DOD by about an order
681 | of magnitude.

682 | Figure 124 shows the seasonal cycle of COD from 12 AERONET SDA sites over
683 | North Africa and nearby islands (see Figure S75 in the Supplement for site locations)
684 | along with MODIS DOD and DOD simulated in three runs. The magnitude of

685 AERONET COD and MODIS DOD in these sites are very similar, despite missing values
686 at sites 1, 4, 5, 8, 11, and a smaller value at site 2 in MODIS. Over most of the sites, the
687 seasonal cycle is better captured in the $V_{\text{thresh}12\text{mn}}$ and $V_{\text{thresh}Ann}$ simulations than the
688 Control run, although the peak over Cairo_EMA_2 (site 12) is slightly underestimated,
689 which is consistent with the underestimation of annual mean DOD in the area (Fig. 76).

690 We also examined the seasonal cycle of PM_{10} surface concentration at three
691 Sahelian INDAAF stations (see Figure S75 in the Supplement for site locations) from the
692 LISA project. Figures 132a-c show PM_{10} surface dust concentration (here dust dominates
693 total PM_{10} concentration) from the Control, $V_{\text{thresh}12\text{mn}}$, and $V_{\text{thresh}Ann}$ simulations
694 versus observed PM_{10} concentration from three LISA sites. PM_{10} concentrations in these
695 sites peak during boreal winter and spring and reach minima from July to September.
696 These seasonal variations are associated with the dry northerly Harmattan wind in boreal
697 winter and spring that transports Saharan dust southward to the Guinean coast and the
698 scavenging effect of monsoonal rainfall in boreal summer that removes surface dust
699 (Marticorena et al., 2010; Fiedler et al., 2015). While the Control run does not capture the
700 seasonal cycles in these sites, the $V_{\text{thresh}12\text{mn}}$ run largely captures the spring peak and
701 summer minimum, although the magnitude is overestimated. In all three sites, the
702 simulated concentration in the $V_{\text{thresh}Ann}$ run is larger than that in the $V_{\text{thresh}12\text{mn}}$ run,
703 especially in boreal fall to early spring. Such an overestimation is probably due to the
704 prescribed constant annual mean $V_{\text{threshold}}$, which is lower than it would be during the less
705 dusty season (i.e., boreal fall to winter) and thus increases dust emission and surface
706 concentration.

707 | Figs. 132d-f show the seasonal cycle of DOD from three AERONET sites co-
708 | located with LISA INDAAF stations and from three simulations. The $V_{\text{thresh}12\text{mn}}$ and
709 | $V_{\text{thresh}Ann}$ simulations largely captured the seasonal cycle of DOD at these sites. The
710 | overestimation of near surface PM_{10} dust concentration (Figs. 132a-c) and the generally
711 | well-captured column integrated DOD (Figs. 132d-f) indicate that model likely
712 | underestimates dust concentration in the atmospheric column above the surface, which
713 | needs further investigation in future studies.

714

715 | **3.2.4 A dust storm over U.S. northern Great Plains on October 18th, 2012**

716 | Can the AM4.0/LM4.0 with prescribed $V_{\text{threshold}}$ better represent individual dust
717 | events? Here we examine a major dust storm captured by MODIS Aqua true color-image
718 | on Oct. 18th, 2012 ([https://earthobservatory.nasa.gov/images/79459/dust-storm-in-the-](https://earthobservatory.nasa.gov/images/79459/dust-storm-in-the-great-plains)
719 | [great-plains](https://earthobservatory.nasa.gov/images/79459/dust-storm-in-the-great-plains)) over the U.S. northern Great Plains. There was a severe drought in 2012
720 | with anomalously low precipitation centered over the central U.S. (e.g., Hoerling et al.,
721 | 2014). The dry conditions favored dust storm development when there were intensified
722 | surface winds. However, this storm was not predicted by the forecast models, such as the
723 | Goddard Earth Observing System version 5 (GEOS-5; Rienecker et al., 2008) and Navy
724 | Aerosol Analysis Prediction System (NAAPS; Witek et al., 2007; Reid et al., 2009;
725 | Westphal et al., 2009).

726 | As shown in Figure 143, MODIS DOD also captures this event, with a peak value
727 | above 0.5 over southwest Nebraska and northern Kansas on Oct. 18th, 2012. The
728 | $V_{\text{thresh}12\text{mn}}$ run also largely captures this event (Fig. 143 bottom panel), although the
729 | Control run totally misses it (not shown). In the model, the dust storm appears in South

730 Dakota and Nebraska on Oct. 17th, 2012, along with the anomalous southwesterly winds.
731 It reaches a maximum on Oct. 18th, in association with intensified anomalous
732 southwesterly winds at the surface and an anomalous low-pressure system at 850 hPa
733 (Figure S86 in the Supplement). Note that the modeled dust storm centers is located a bit
734 northeastward compared to the MODIS DOD pattern and it also has greater magnitude
735 and covers a larger area. On Oct. 19th, both the anomalous low-pressure system and
736 surface wind speeds weaken and the dust storm dissipates, with slightly elevated DOD
737 levels over a region extending over the lower Mississippi River basin and the Midwest.
738 This is somewhat consistent with MODIS records, which also shows slightly higher DOD
739 levels over Tennessee and northern Alabama on Oct. 19th, regardless of large area of
740 missing values.

741

742 **3.3 Frequency distribution of DOD in the model versus that from MODIS**

743 Figure 154 shows the frequency distribution of regional mean DOD during one
744 dusty season (MAM in the Northern Hemisphere and SON in the Southern Hemisphere)
745 for nine regions. Results from MODIS, the Control, and $V_{\text{thresh}}12\text{mn}$ runs are shown in
746 black, blue, and orange lines, respectively. In most dusty regions, such as the Sahara,
747 Sahel, Arabian Peninsula, India, and northern China, MODIS DOD frequency largely
748 peaks between 0.2 to 0.4, while DOD frequency peaks at a much lower level between
749 0.02 to 0.08 in less dusty regions, such as the U.S., South America, South Africa and
750 Australia. This also justifies our selection of DOD_{thresh} of 0.02 (instead of 0.2) in the less
751 dusty regions. The DOD distribution in the Control run is biased low and peaks around
752 0.05 in those dusty regions and between 0 and 0.01 in less dusty regions. The frequency

753 is much better captured in the $V_{\text{thresh}}12\text{mn}$ run over the Arabian Peninsula and the Sahel,
754 slightly improved but still biased low over the Sahara, northern China, India, and the U.S.
755 The modeled frequency in the $V_{\text{thresh}}12\text{mn}$ run is biased high in Australia (peaks outside
756 the maximum of x-axis, not shown) and shows little improvement over South Africa and
757 South America. The overall improvement of DOD frequency using the time-varying 2D
758 $V_{\text{threshold}}$ occurs mostly over major dusty regions, which is consistent with the
759 improvements in DOD climatology and seasonal cycle in the model simulations.

760

761 **4. Discussion**

762 A global distribution of the threshold of wind erosion is retrieved using high
763 resolution MODIS DOD and land surface constraints from relatively high-resolution
764 satellite products and reanalyses. While this climatological monthly $V_{\text{threshold}}$ provides
765 useful information about the spatial and temporal variations ~~in~~ wind erosion threshold,
766 there are some uncertainties associated with it. Here DOD frequency is derived using
767 MODIS and other satellite products, thus the uncertainties in the satellite products are
768 inherited in the derived DOD frequency distribution. Due to the cloud screening
769 processes of MODIS products, dust activities over cloud-covered regions may be
770 underestimated. Also, DOD frequency is derived based on daily observations over a 13-
771 year record, so that some variability of dust emission associated with alluvial sediments
772 deposited by seasonal flooding may be not captured. Diurnal variability of dust emission
773 and short-duration events such as haboobs are also not included. Since DOD is a column
774 integrated variable, it includes both local emitted and remotely transported dust. When
775 using DOD frequency distribution to approximate dust emission, it may overestimate dust

776 emission in regions where transported dust is dominated ~~, e.g., over the southern Sahel,~~
777 and lead to an underestimation of $V_{threshold}$. Future studies to better quantify the influences
778 of transported dust would further improve quantitative retrieval of $V_{threshold}$.

779 Previous study found that over regions such as North Africa, reanalysis products
780 may underestimate surface wind speed in spring and monsoon seasons but overestimate it
781 during dry nights (e.g., Largeron et al., 2015). This is largely because mechanisms such
782 as density current that can enhance surface wind speed are not parameterized in the
783 atmospheric models to produce the reanalysis products, while coarse spatial and temporal
784 sampling may also contribute to the underestimation of reanalysis wind speeds. ~~These~~
785 ~~limitations add uncertainties to the $V_{threshold}$ estimates derived here.~~ The selection of
786 surface winds from different reanalysis products also affects the derived $V_{threshold}$. Among
787 the three reanalyses examined here, $V_{threshold}$ derived from the NCEP1 reanalysis shows
788 slightly lower values than others.

789 In addition, $V_{threshold}$ is derived by matching the frequency distribution of DOD at
790 certain levels (~~0.2 or 0.02~~ i.e., DOD_{thresh}) with the frequency distribution of daily
791 maximum wind. ~~and these two values are derived empirically.~~ An issue is that selecting
792 a value of DOD_{thresh} is quite empirical. The influences of soil properties such as soil
793 cohesion, particle size, and particle compositions on the threshold of wind erosion (e.g.,
794 Fécan et al., 1999; Alfaro and Gomes, 2001; Shao, 2001; Kok et al., 2014b) are not
795 explicitly examined here and will need further investigation.

796 The influences of $V_{threshold}$ on AM4.0/LM4.0 results are twofold. On the one hand,
797 it modifies the default constant threshold of wind erosion (V_i in Eq. 43) by allowing
798 spatial and temporal variations of wind erosion threshold over bare ground, i.e., within

799 | the domain of default dust source function S (Figs. S97a-e in the Supplement). On the
800 | other hand, it slightly extends the potential emission area to sparsely-vegetated regions as
801 | outlined by $V_{threshold}$ (Figs. S97f-j in the Supplement). Which effect dominates? Taking
802 | the $V_{thresh12mn}$ simulation as an example, Figure S108 shows the differences of dust
803 | emission with the Control run. The increase of dust emission in the $V_{thresh12mn}$
804 | simulation (also summarized in Table S2 in the Supplement) is largely associated with
805 | the enhanced emission over the bare ground (Figs. S108a-e in the Supplement), mainly
806 | over the regions with reduced wind erosion threshold (Figs. S97a-e in the Supplement).
807 | The increased emission over sparsely-vegetated area over regions such as the southern
808 | Sahel, India, and Australia plays a minor role. This is consistent with Kim et al. (2013),
809 | who found global dust emission in the Georgia Institute of Technology–Goddard Ozone
810 | Chemistry Aerosol Radiation and Transport (GOCART) model is dominated by emission
811 | from bare ground.

812 | The major benefit of using the spatial and temporal varying $V_{threshold}$ is that it
813 | improves the simulation of DOD spatial pattern (Figs. 6-7), seasonal cycle (Figs. 11-13),
814 | and frequency distribution (Fig. 15) as well as the spatial pattern of surface dust
815 | concentrations (Figs. 9-10), which cannot be achieved by simply modifying the global
816 | tuning factor (i.e., C in Eq. 4) to fit the observations such as surface concentrations or
817 | optical depth.

818 | The default setting in the Control run produced a relatively low global dust
819 | emission (978 Tg yr⁻¹) in comparison with the AeroCom multi-model median (1123 Tg
820 | yr⁻¹; Huneus et al. 2011) or a previous estimation based on MODIS DOD (1223 Tg yr⁻¹;
821 | Ginoux et al. 2012). So we also conducted a test run (Control II) to increase global dust

822 emission in the Control run to about 1232 Tg yr⁻¹ by enlarging C in Eq. 4. The magnitude
823 of DOD slightly increases, e.g., over the Sahel annual mean increases from 0.07 to 0.09,
824 however, there's no improvement in terms of seasonal cycle or spatial pattern, as
825 expected.

826 We also examined the performance of $V_{threshold}$ using $DOD_{thresh}= 0.5$ (or 0.05) in
827 the AM4.0/LM4.0. Similarly, we conducted simulations with 12-month $V_{threshold}$
828 ($V_{thresh12mn}$ II) and annual mean $V_{threshold}$ ($V_{threshAnn}$ II), all using the same tuning factor
829 as in the Control II. We found similar improvement in DOD seasonal cycle and weaker
830 improvement in DOD spatial pattern and frequency distribution and surface dust
831 concentrations (except with the IMPROVE data over the U.S. and surface concentrations
832 over the Sahel, where dust concentrations are previously overestimated). This is largely
833 because higher $V_{threshold}$ leads to lower global dust emissions in the $V_{threshAnn}$ II (1961
834 Tg yr⁻¹) and $V_{thresh12mn}$ II simulations (1705 Tg yr⁻¹) and overall lower DOD. Over
835 Mediterranean coast, Europe, and northern Asia, DOD spatial pattern is not as well
836 captured in the $V_{thresh12mn}$ II run as in the $V_{thresh12mn}$ run, likely due to relatively high
837 $V_{threshold}$ in these regions.

838

839 **5. Conclusion**

840 While dust aerosols play important roles in the Earth's climate system, large
841 uncertainties exist in modeling its lifecycle (e.g., Huneus et al., 2011; Pu and Ginoux,
842 2018b). Constant thresholds of wind erosion are widely used in climate models for
843 simplicity. Here, high-resolution MODIS Deep Blue dust optical depth (DOD) and
844 surface wind speeds from the NCEP1 reanalysis, along with other land surface factors

845 that affect wind erosion, such as soil moisture, vegetation cover, snow cover, soil
846 temperature, and soil depth, were used to develop a time-varying two-dimensional
847 climatological threshold of wind erosion, $V_{threshold}$, based on the seasonal variations of
848 DOD and surface wind distribution frequencies. $V_{threshold}$ is generally lower in dusty
849 seasons, i.e., MAM and JJA (SON and DJF) in the Northern (Southern) Hemisphere.

850 ~~Globally, the lowest $V_{threshold}$ ($\sim 3\text{--}5\text{ m s}^{-1}$) is located over North Africa and the Arabian~~
851 ~~Peninsula, with the highest values ($>10\text{ m s}^{-1}$) over northern Eurasia.~~

852 The climatological monthly $V_{threshold}$ was then incorporated into the GFDL
853 AM4.0/LM4.0 model to examine the potential benefits relative to the use of a constant
854 threshold. In comparison with the simulation using the default setting of a globally
855 constant threshold of wind erosion (6 m s^{-1}), both the magnitude of DOD and surface dust
856 concentrations are increased and closer to observations. However, different from
857 modifying the global tuning factor (i.e., C in Eq. 4) to increase the overall magnitudes of
858 DOD or surface dust concentrations, we found the spatial and temporal varying $V_{threshold}$
859 largely improves the simulation of the frequency distribution, magnitude, spatial pattern,
860 and seasonal cycle, and frequency distribution of DOD are largely improved over
861 Northern Hemisphere dusty regions, such as North Africa and the Arabian Peninsula, and
862 slightly improved over India, the western to central U.S., and northern China. The
863 ~~magnitude and~~ seasonal cycle of DOD are also slightly improved in South America,
864 although change little in South Africa. The incorporation of $V_{threshold}$ leads to an
865 overestimation of DOD in Australia, likely in association with the absence of soil
866 moisture constraints on dust emission in the model.

867 The ~~overall underestimation of spatial pattern of~~ surface dust concentrations ~~under~~
868 ~~default model setting is largely reduced~~ is also improved when time-varying $V_{threshold}$ is
869 incorporated, ~~except over a central Pacific island and a Icelandic island where the~~
870 ~~concentration is still underestimated and over Australia and coastal China where dust~~
871 ~~concentration is overestimated~~. The ~~spatial pattern of surface~~ fine dust concentration in
872 the U.S. is also better captured, with the maximum of annual mean largely located over
873 the southwestern U.S., although the magnitude is overestimated.

874 A constant annual mean $V_{threshold}$ is also tested in the model, and is found to
875 overestimate DOD over dusty seasons in the Arabian Peninsula, U.S., India, Australia,
876 and South America. Surface PM_{10} concentrations in the Sahel during boreal fall and
877 winter seasons are also largely overestimated with this setting. The results indicate the
878 importance of including the seasonal cycle of $V_{threshold}$ in the model. Using time-varying
879 $V_{threshold}$, the model was also able to capture a strong dust storm in the U.S. Great Plains
880 in October 2012, which created deadly accidents, while some dust forecasting models
881 failed to reproduce it.

882 Finally, this method to retrieve global threshold of wind erosion can be
883 conducted under different resolutions or surface wind reanalyses or being applied to
884 surface fiction velocity datasets to match the resolution/scheme of dust models and may
885 help improve their simulations and forecasting of dust distribution. As discussed in
886 section 4, there are uncertainties associated with this method, and future studies to better
887 quantify the influence of transported dust to overall DOD frequency distribution and
888 incorporating station based surface wind records into the retrieval process will further
889 improve the dataset.

890 *Data availability.* Both the monthly and annual mean $V_{threshold}$ data at a 0.5° by 0.5°
891 resolution in NetCDF format is archived at: [https://www.gfdl.noaa.gov/pag-](https://www.gfdl.noaa.gov/pag-homepage/)
892 [homepage/](https://www.gfdl.noaa.gov/pag-homepage/)

893

894 *Author contributions.* PG and BP conceived the study. PG processed the MODIS Deep
895 Blue aerosol data and guided model simulations. HG, SM, VN, ES, and MZ assisted with
896 model configurations, while CH, JK, BM, NO, CG, and JP provided guidance on data
897 usage and analysis. BP conducted model simulations, analyzed data and model results,
898 and wrote the paper with contributions from all other co-authors.

899

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914 The AERONET aerosol optical depth data and SDA data are downloaded from
915 https://aeronet.gsfc.nasa.gov/new_web/download_all_v3_aod.html (last access: June
916 2018; Holben et al. 1998). IMPROVE fine dust data are downloaded from
917 <http://views.cira.colostate.edu/fed/DataWizard/> (last access: March 2017, Malm et al.,
918 1994; Hand et al., 2011). [MODIS LAI data may be requested by contacting Dr. Ranga](#)
919 [Myneni at Boston University.](#)

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1401 | Table 1 Major dust sourcey regions shown in Figure 1. Note that region names such as
1402 | India and northern China are not exactly the same as their geographical definitions but
1403 | also cover some areas from nearby countries.

1404

1405 | Table 2 Sensitivity of annual mean wind erosion threshold (m s^{-1}) to the selection of
1406 | different retrieval criteria. Note the setting of the last column is the same as
1407 | $\text{DOD}_{\text{thresh}}=0.2$ or 0.02 , except surface DOD (sDOD) from Aqua is used over North
1408 | Africa.

1409

1410 | Table 3 Sensitivity of annual mean wind erosion threshold (m s^{-1}) to surface wind speeds
1411 | from different reanalyses ($\text{DOD}_{\text{thresh}}=0.2$ or 0.02).

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1413 | Table ~~42~~ Simulation design

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1424 Figure 1. (a)-(e) Frequencies of occurrence (FoO; unit: days per season) in each season
1425 and annual mean. (f)-(j) Threshold of wind erosion ($V_{threshold}$; unit: $m s^{-1}$) derived from
1426 satellite products and reanalyses for each season and annual mean using $DOD_{thresh}=0.2$
1427 (or 0.02). Black boxes in (f) denote nine dusty dust source regions as listed in Table 1.

1428
1429 Figure 2. ~~(a) Cumulative frequency of $V_{threshold}$ over global land for each season (black,~~
1430 ~~orange, blue, green, and grey lines denote annual, SON, JJA, MAM, and DJF averages,~~
1431 ~~respectively). Color dashed lines correspond to the percentages of $V_{threshold}=6 m s^{-1}$ for~~
1432 ~~each season and annual mean. Color arrows point to the value of $V_{threshold}$ at the 50% level~~
1433 ~~in each season and annual mean. (ab)-(i) Frequency distribution of annual mean $V_{threshold}$~~
1434 (black bars) in each region (black boxes in Fig. 1) and for dusty seasons, i.e., MAM
1435 (green) and JJA (blue) for regions in the Northern Hemisphere and SON (orange) and
1436 DJF (grey) for regions in the Southern Hemisphere. The mean (averaged over all grid
1437 points in the region, without area weight) and \pm one standard deviations of $V_{threshold}$ in
1438 each region are shown on the top right of each plot.

1439
1440 Figure 3. (a)-(e) Threshold of wind erosion ($V_{threshold}$; unit: $m s^{-1}$) derived from satellite
1441 products and reanalyses for each season and annual mean using $DOD_{thresh}=0.5$ (or 0.05).
1442 Black boxes in (a) denote nine dust source regions as listed in Table 1.

1443
1444 Figure 43. Climatology of annual mean AERONET (a) AOD (550 nm) and (b) SDA
1445 COD (500 nm) averaged over 2003-2015.

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1447 | Figure 54. Scatter plot of simulated annual mean (a) AOD and (b) COD in the Control
1448 | run versus AERONET AOD and COD (left), and the relative difference (in percentage)
1449 | (c) between modeled AOD and AERONET AOD and (d) between modeled COD and
1450 | AERONET COD (right). (e) The relative contribution of DOD to COD in the model.

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1452 | Figure 65. Same as Fig. 54 but for the $V_{\text{thresh}}12\text{mn}$ simulation.

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1454 | Figure 76. (a) Climatology (2003-2015) of AERONET DOD (550 nm) over major dusty
1455 | regions and (b) scatter plot of modeled DOD in the $V_{\text{thresh}}12\text{mn}$ simulation versus
1456 | AERONET DOD, and (c) the relative difference (in percentage) between modeled DOD
1457 | and AERONET DOD in the $V_{\text{thresh}}12\text{mn}$ simulation.

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1459 | Figure 87. Regional averaged annual mean DOD (2003-2015) over nine regions from the
1460 | Control (grey), $V_{\text{thresh}}12\text{mn}$ (orange), and $V_{\text{thresh}}\text{Ann}$ (yellow) simulations and MODIS
1461 | (black).

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1463 | Figure 98. Scatter plots (left column) of model simulated (from top to bottom are the
1464 | Control, $V_{\text{thresh}}\text{Ann}$, and $V_{\text{thresh}}12\text{mn}$ simulations) surface dust concentration versus the
1465 | climatology of observed surface dust concentration from RSMAS stations (Savoie and
1466 | Prospero 1989), and spatial pattern of surface dust concentration from model output
1467 | (shading; right column) and the ratio between modeled and RSMAS station observed
1468 | surface dust concentration (color triangles, with upward triangles indicating
1469 | overestimation and downward triangles indicating underestimation). 16 stations were

1470 used, and numbers in each triangle (right) and grey dots (left) indicate the stations. The
1471 one-one, one-two and one-five lines are plotted in solid, dashed and dash-dotted lines in
1472 the scatter plots. Statistics in the scatter plots are calculated in logarithmic space.

1473

1474 Figure 109. Annual mean surface fine dust concentration ($\mu\text{g m}^{-3}$) from IMPROVE
1475 stations (left column) and three simulations (middle column) and the differences between
1476 model and observation (right column) for 2002-2015.

1477

1478 Figure 110. Seasonal cycle of DOD from MODIS (black), the Control (grey), $V_{\text{thresh}12\text{mn}}$
1479 (orange), and $V_{\text{thresh}Ann}$ (yellow) runs, and gridded AERONET SDA COD (blue)
1480 averaged over nine regions. The annual mean of each dataset in each region is listed on
1481 the top of the plot.

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1483 Figure 121. Seasonal cycle of DOD over 12 AERONET SDA sites (see Fig. S75 in the
1484 Supplement for locations) from the Control (grey), $V_{\text{thresh}12\text{mn}}$ (orange), and $V_{\text{thresh}Ann}$
1485 (yellow) simulations, along with DOD from MODIS (blue), and COD from AERONET
1486 (black dotted line). All values are averaged over 2003-2015. The location (lat/long) and
1487 the name (due to space, only first seven characters are shown) of the sites are listed at the
1488 top of each plot.

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1490 Figure 132. (a)-(c) Seasonal cycle of PM_{10} surface concentration (black) over three sites
1491 from the LISA project, along with PM_{10} surface dust concentration from the Control
1492 (grey), $V_{\text{thresh}12\text{mn}}$ (orange), and $V_{\text{thresh}Ann}$ (yellow) simulations. Error bars are \pm one

1493 standard deviations of daily mean in each month averaged over 2006-2014. Unites: $\mu\text{g m}^{-3}$.
1494 ³. (d)-(f) seasonal cycle of DOD (550 nm) from three AERONET sites co-located with
1495 LISA sites (blue) versus that modeled by the Control (grey), $V_{\text{thresh}12\text{mn}}$ (orange), and
1496 $V_{\text{thresh}Ann}$ (yellow) simulations.

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1498 Figure 143. Daily DOD from MODIS (top panel), daily DOD simulated by the
1499 $V_{\text{thresh}12\text{mn}}$ run along with anomalies (with reference to the 2000-2015 mean) of surface
1500 wind vectors (m s^{-1} ; bottom panel) from Oct. 17th to Oct. 19th, 2012. Only DOD over land
1501 is shown. Missing values in MODIS DOD (top panel) are plotted in grey shading.

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1503 Figure 145. Frequency (%) distribution of regional averaged daily DOD from MODIS
1504 (black) versus that from the Control (light blue) and $V_{\text{thresh}12\text{mn}}$ (orange) simulations for
1505 the Sahara, the Sahel, the Arabian Peninsula, northern China, India, western to central
1506 U.S., South America, South Africa, and Australia from 2003 to 2015. X-axis denotes the
1507 ranges of DOD (the bin spacing for dusty regions is 0.05 and for less dusty regions is
1508 0.01), and y-axis is percentage of occurrence. The light green boxes denote the averaging
1509 areas. For regions in the Northern Hemisphere frequency in MAM is shown, while for
1510 regions in the Southern Hemisphere frequency in SON is shown.

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Table 1 Major dust **sourcey** regions shown in Figure 1. Note that region names such as India and northern China are not exactly the same as their geographical definitions but also cover some areas from nearby countries.

No.	Regions	Lat/long
1	Sahel	10°-20°N, 18°W-35°E
2	Sahara	20°-35°N, 15°W-25°E
3	Arabian Peninsula	15°-35°N, 35°-60°E
4	Northern China (N. China)	35°-45°N, 77°-103°E
5	India	20°-35°N, 60°-85°E
6	U.S.	25°-45°N, 102°-125°W
7	South Africa (S. Africa)	17°-35°S, 15°-30°E
8	South America (S. America)	18°-55°S, 65°-75°W
9	Australia	15°-35°S, 128-147°E

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Table 2 Sensitivity of annual mean wind erosion threshold (m s^{-1}) to the selection of different retrieval criteria. Note the setting of the last column is the same as $DOD_{\text{thresh}}=0.2$ or 0.02, except surface DOD (sDOD) from Aqua is used over North Africa.

Regions	Soil Moisture ($\text{cm}^3 \text{cm}^{-3}$)			LAI (m^2m^{-2})			Snow coverage (%)			DOD _{thresh}		
	<0.1	<0.15	None	<0.15	<0.3	<0.5	<=0.2	<=2	<=10	=0.2 (0.02)	=0.5 (0.05)	sDOD
Sahel	3.21	3.19	3.22	3.24	3.21	3.19	3.21	3.21	3.21	3.21	4.93	6.05
Sahara	4.61	4.56	4.49	4.54	4.61	4.59	4.61	4.61	4.61	4.61	7.59	7.66
AP	5.37	5.26	5.26	5.26	5.37	5.37	5.37	5.36	5.35	5.37	8.00	5.57
N. China	7.73	7.64	7.07	7.79	7.73	7.71	7.73	7.56	7.44	7.73	10.15	7.73
India	5.63	5.12	4.99	6.46	5.63	5.63	5.63	5.61	5.60	5.63	8.59	5.63
U.S.	5.71	5.23	4.98	6.53	5.71	5.56	5.71	5.60	5.41	5.71	7.04	5.71
S. Africa	5.41	5.23	5.20	6.72	5.41	5.10	5.41	5.40	5.40	5.41	6.46	5.41
S. America	6.46	6.32	6.20	6.88	6.46	6.39	6.46	6.39	6.35	6.46	8.20	6.46
Australia	5.19	5.16	5.14	5.66	5.19	5.22	5.19	5.19	5.19	5.19	6.49	5.19

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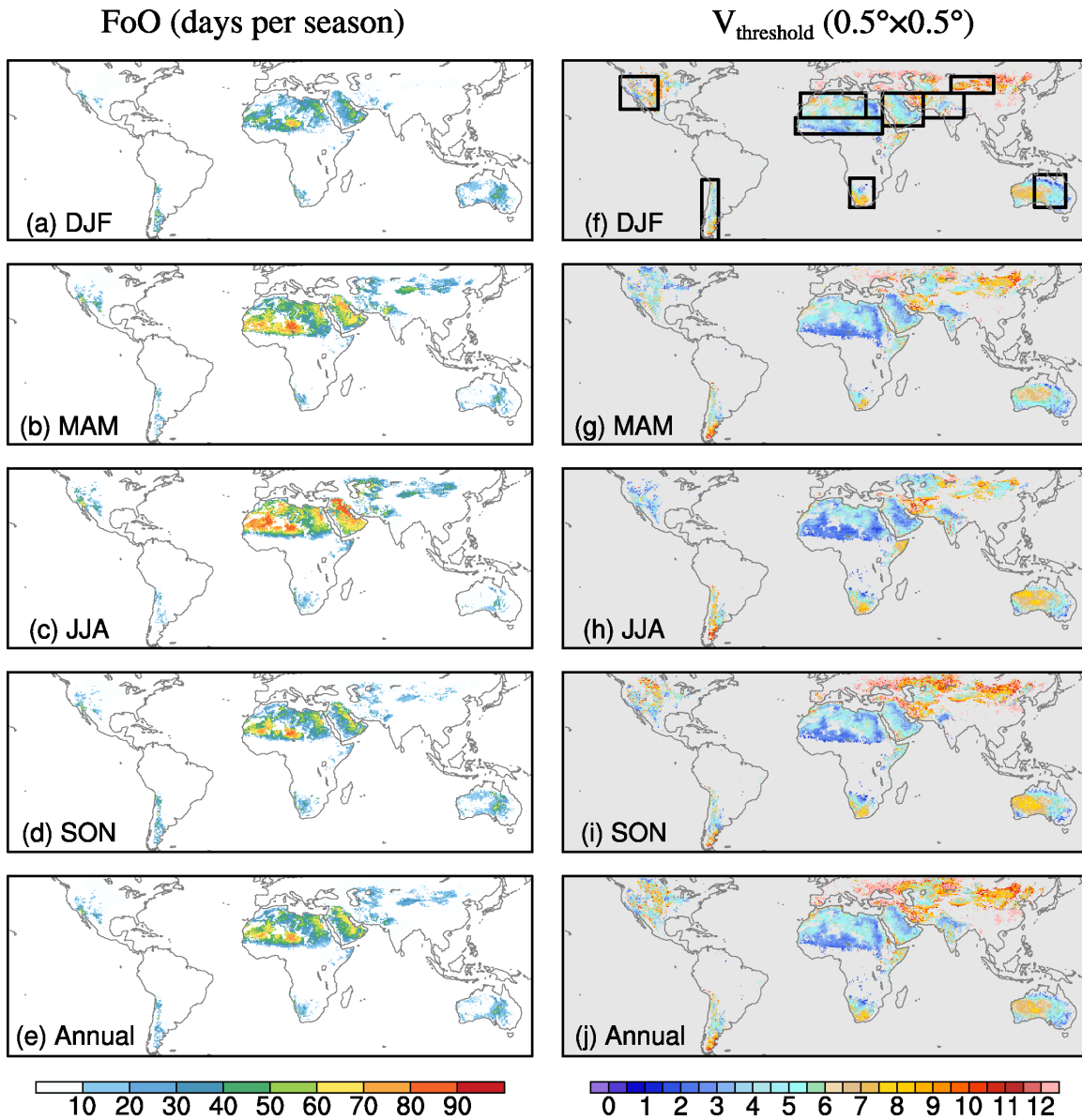
Table 3 Sensitivity of annual mean wind erosion threshold (m s^{-1}) to surface wind speeds from different reanalyses ($\text{DOD}_{\text{thresh}} = 0.2$ or 0.02).

Regions	Reanalysis		
	NCEP	ERA-Interim	ERA5
<u>Sahel</u>	<u>3.21</u>	<u>4.54</u>	<u>4.80</u>
<u>Sahara</u>	<u>4.61</u>	<u>5.56</u>	<u>5.63</u>
<u>AP</u>	<u>5.37</u>	<u>6.12</u>	<u>5.50</u>
<u>N. China</u>	<u>7.73</u>	<u>7.94</u>	<u>7.05</u>
<u>India</u>	<u>5.63</u>	<u>7.01</u>	<u>5.70</u>
<u>U.S.</u>	<u>5.71</u>	<u>6.82</u>	<u>6.18</u>
<u>S. Africa</u>	<u>5.41</u>	<u>7.17</u>	<u>6.26</u>
<u>S. America</u>	<u>6.46</u>	<u>7.51</u>	<u>6.36</u>
<u>Australia</u>	<u>5.19</u>	<u>7.36</u>	<u>6.68</u>

Table ~~42~~ Simulation design

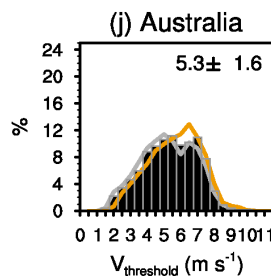
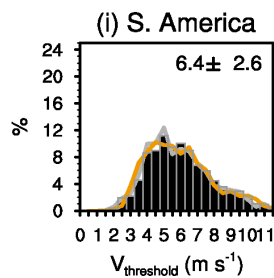
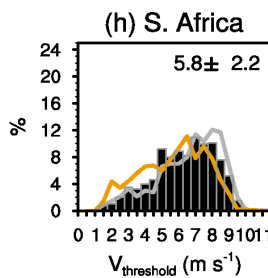
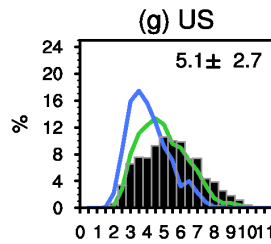
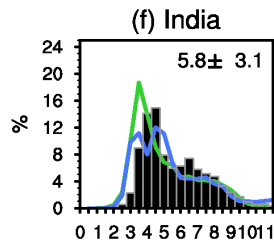
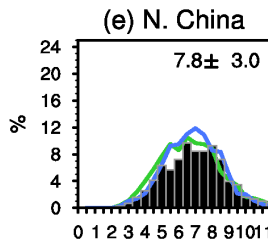
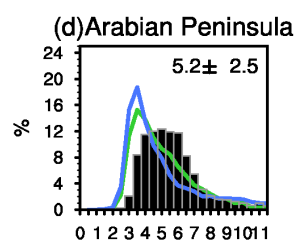
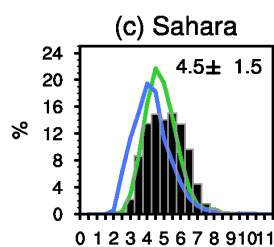
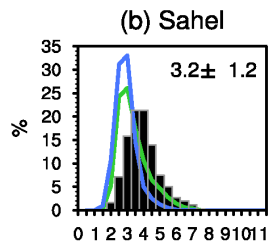
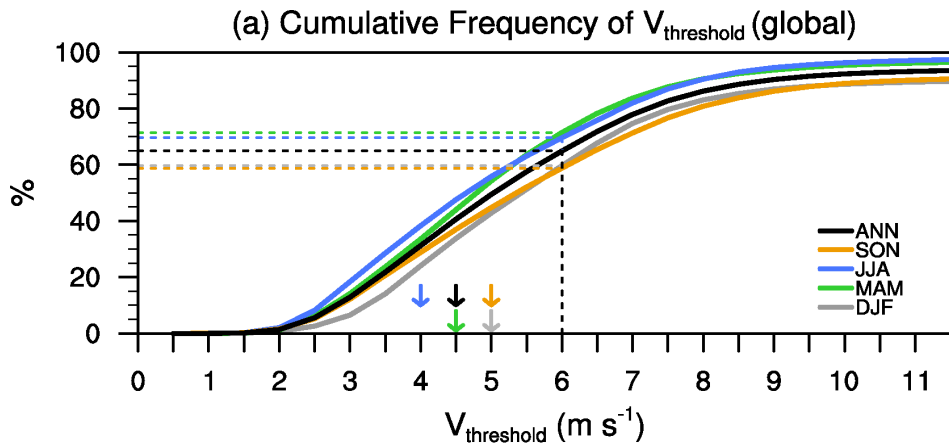
Simulations	Wind erosion threshold	Source function
Control	6 m s^{-1}	S
$V_{\text{thresh}}12\text{mn}$	12-month $V_{\text{threshold}}$	S'
$V_{\text{thresh}}\text{Ann}$	Annual mean $V_{\text{threshold}}$	S'

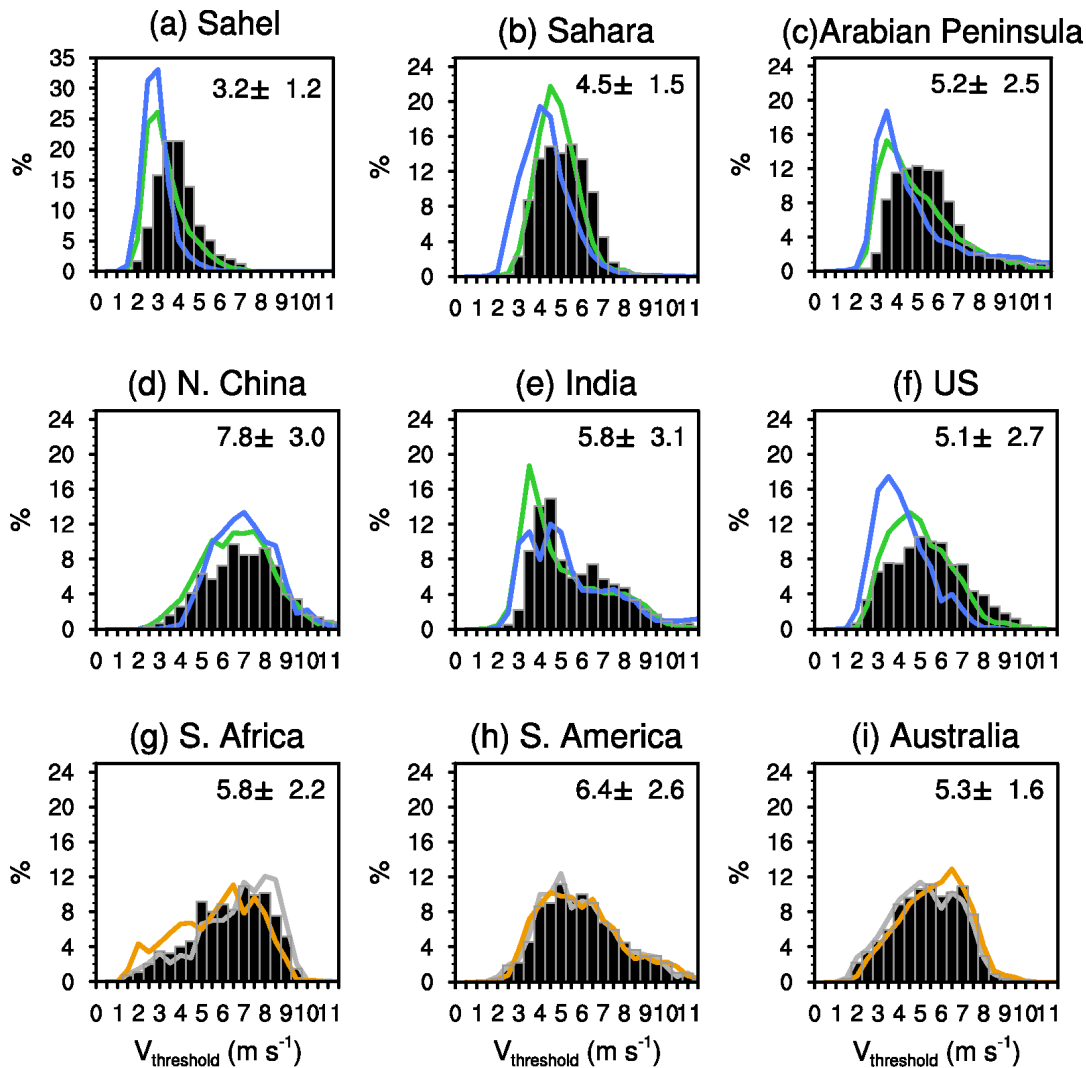
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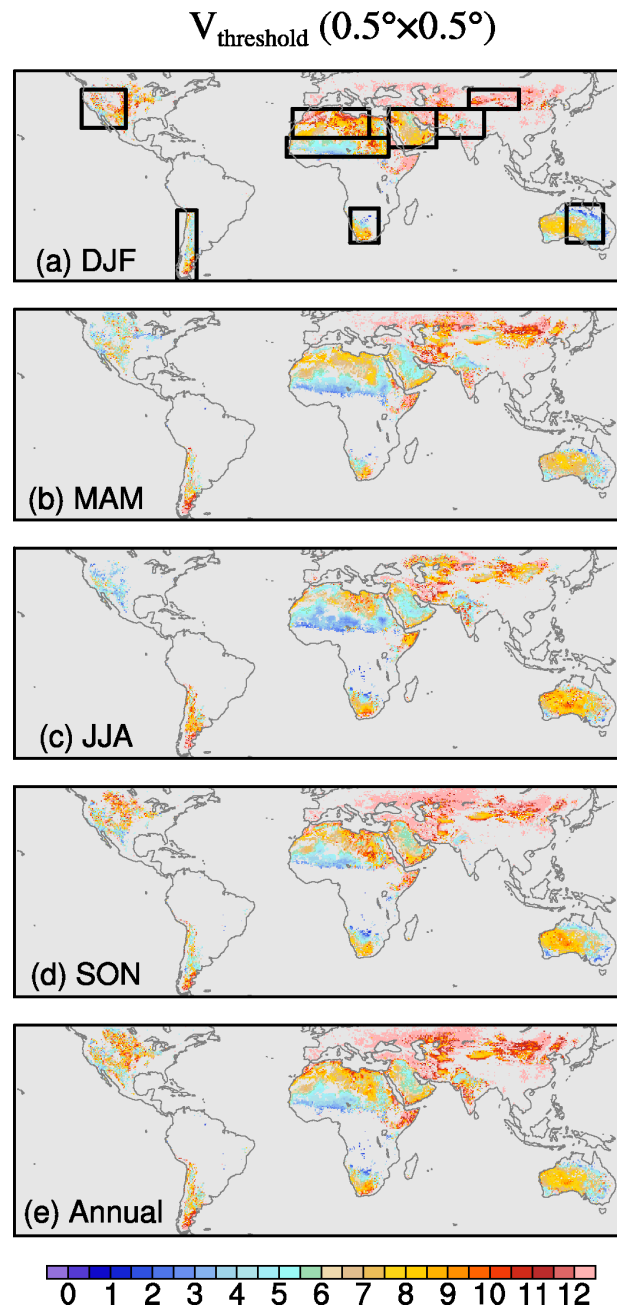
Figure 1. (a)-(e) Frequencies of occurrence (FoO; unit: days per season) in each season and annual mean. (f)-(j) Threshold of wind erosion ($V_{threshold}$; unit: $m s^{-1}$) derived from satellite products and reanalyses for each season and annual mean using $DOD_{thresh} = 0.2$ (or 0.02). Black boxes in (f) denote nine ~~dusty~~ dust source regions as listed in Table 1.





1590
 1591 Figure 2. (a) Cumulative frequency of $V_{threshold}$ over global land for each season (black,
 1592 orange, blue, green, and grey lines denote annual, SON, JJA, MAM, and DJF averages,
 1593 respectively). Color dashed lines correspond to the percentages of $V_{threshold} = 6 \text{ m s}^{-1}$ for
 1594 each season and annual mean. Color arrows point to the value of $V_{threshold}$ at the 50% level
 1595 in each season and annual mean. (ab)-(i) Frequency distribution of annual mean $V_{threshold}$
 1596 (black bars) in each region (black boxes in Fig. 1) and for dusty seasons, i.e., MAM
 1597 (green) and JJA (blue) for regions in the Northern Hemisphere and SON (orange) and
 1598 DJF (grey) for regions in the Southern Hemisphere. The mean (averaged over all grid
 1599 points in the region, without area weight) and \pm one standard deviations of $V_{threshold}$ in
 1600 each region are shown on the top right of each plot.

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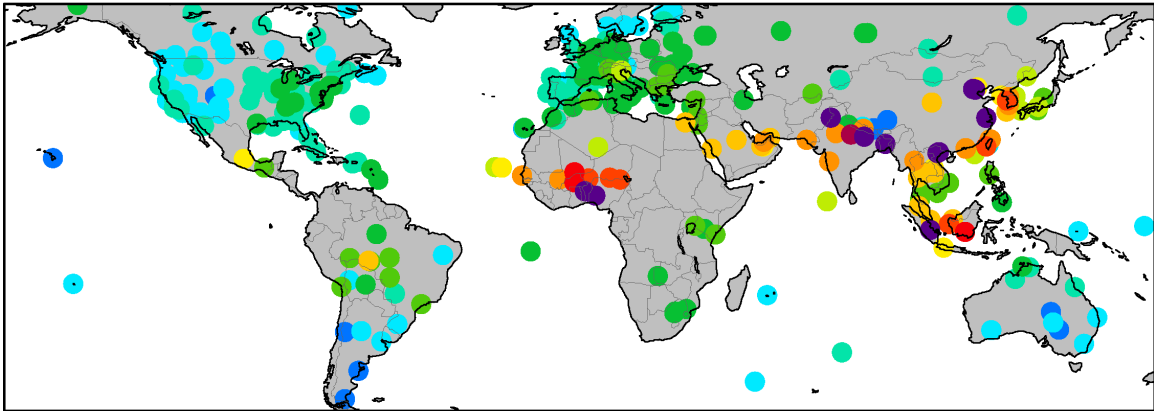
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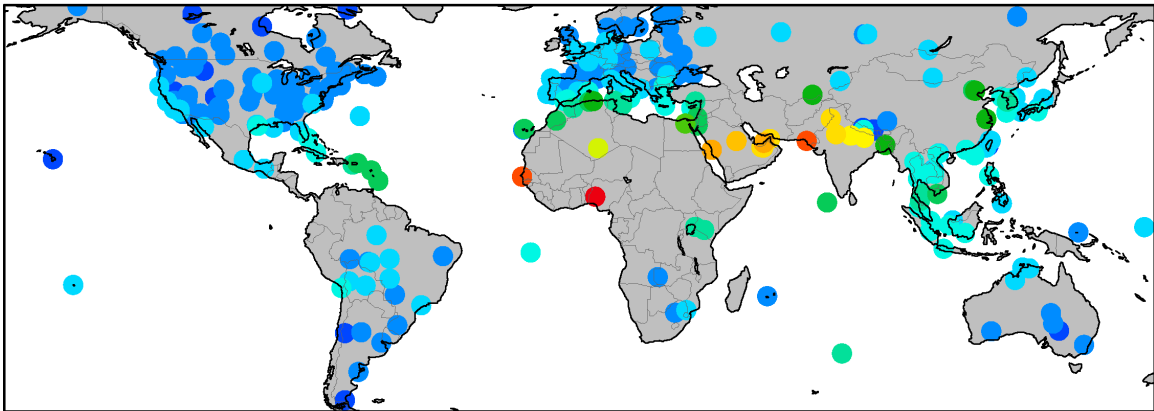
Figure 3. (a)-(e) Threshold of wind erosion ($V_{\text{threshold}}$; unit: m s^{-1}) derived from satellite products and reanalyses for each season and annual mean using $DOD_{\text{thresh}}=0.5$ (or 0.05). Black boxes in (a) denote nine dust source regions as listed in Table 1.

2003-2015

(a) AERONET AOD (550nm)

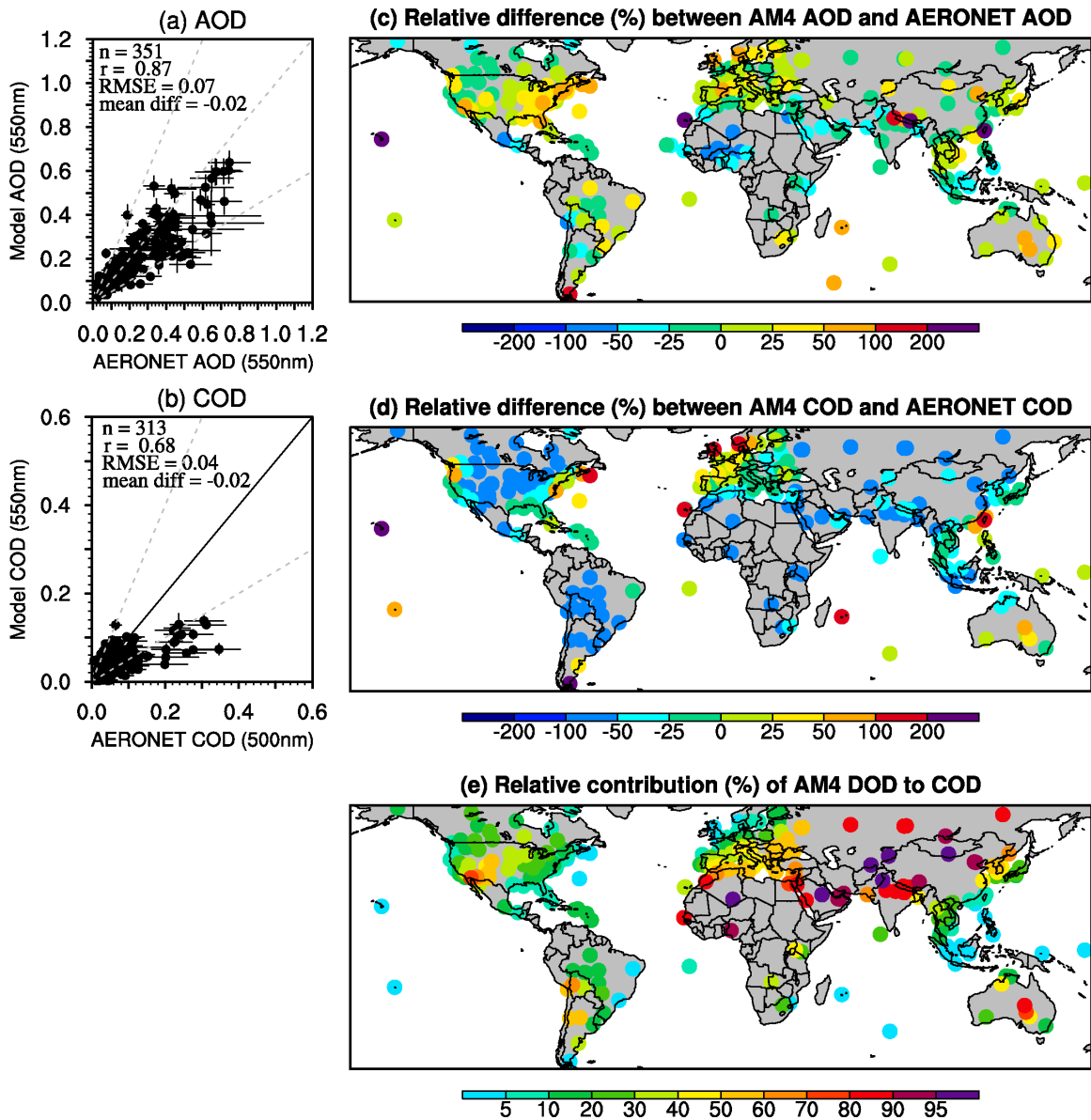


(b) AERONET SDA COD (500nm)



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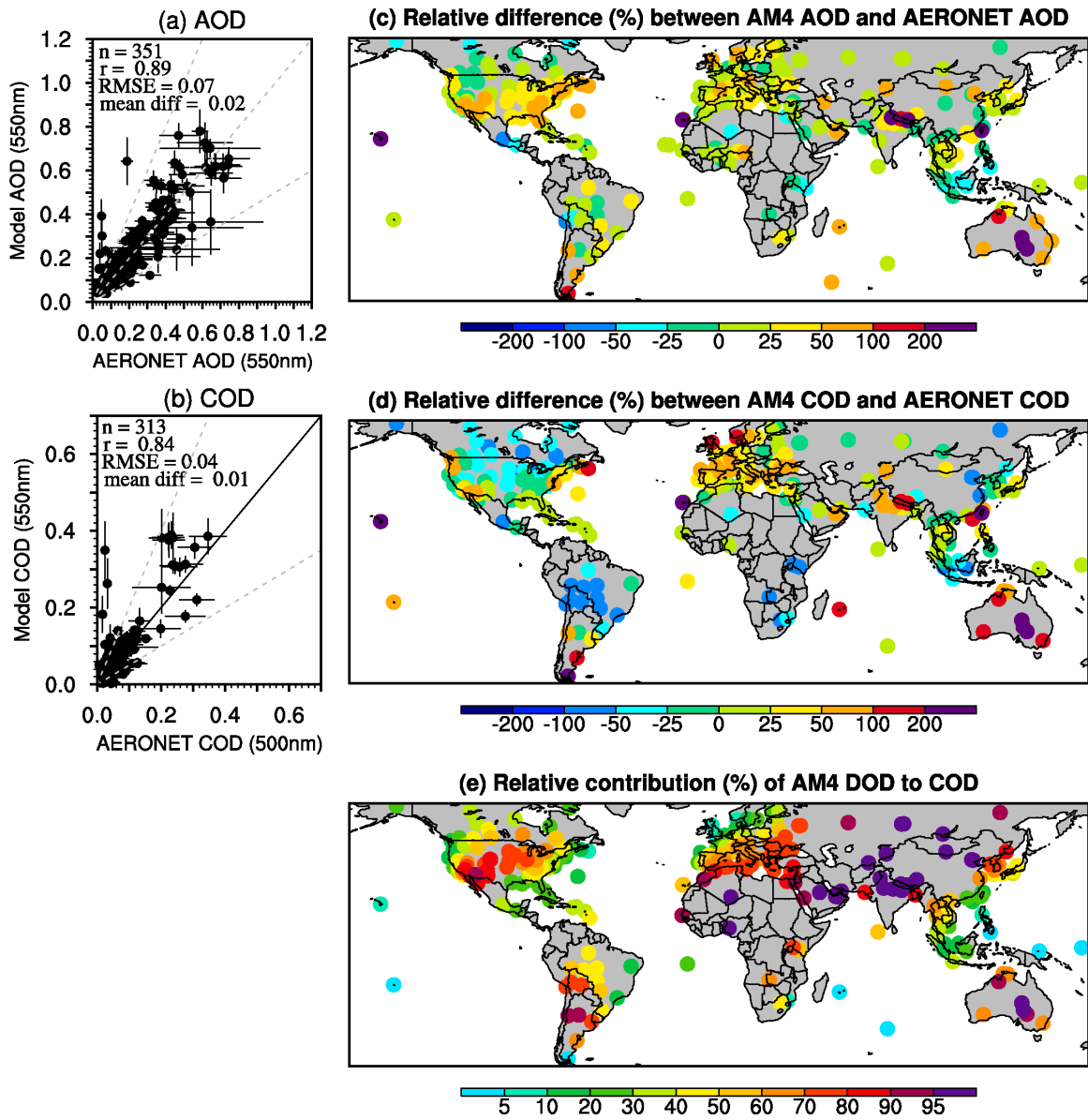
Figure 43. Climatology of annual mean AERONET (a) AOD (550 nm) and (b) SDA COD (500 nm) averaged over 2003-2015.



1629 | Figure 54. Scatter plot of simulated annual mean (a) AOD and (b) COD in the Control
 1630 run versus AERONET AOD and COD (left), and the relative difference (in percentage)
 1631 (c) between modeled AOD and AERONET AOD and (d) between modeled COD and
 1632 AERONET COD (right). (e) The relative contribution of DOD to COD in the model.
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1644 | Figure 65. Same as Fig. 54 but for the $V_{thresh}12mn$ simulation.

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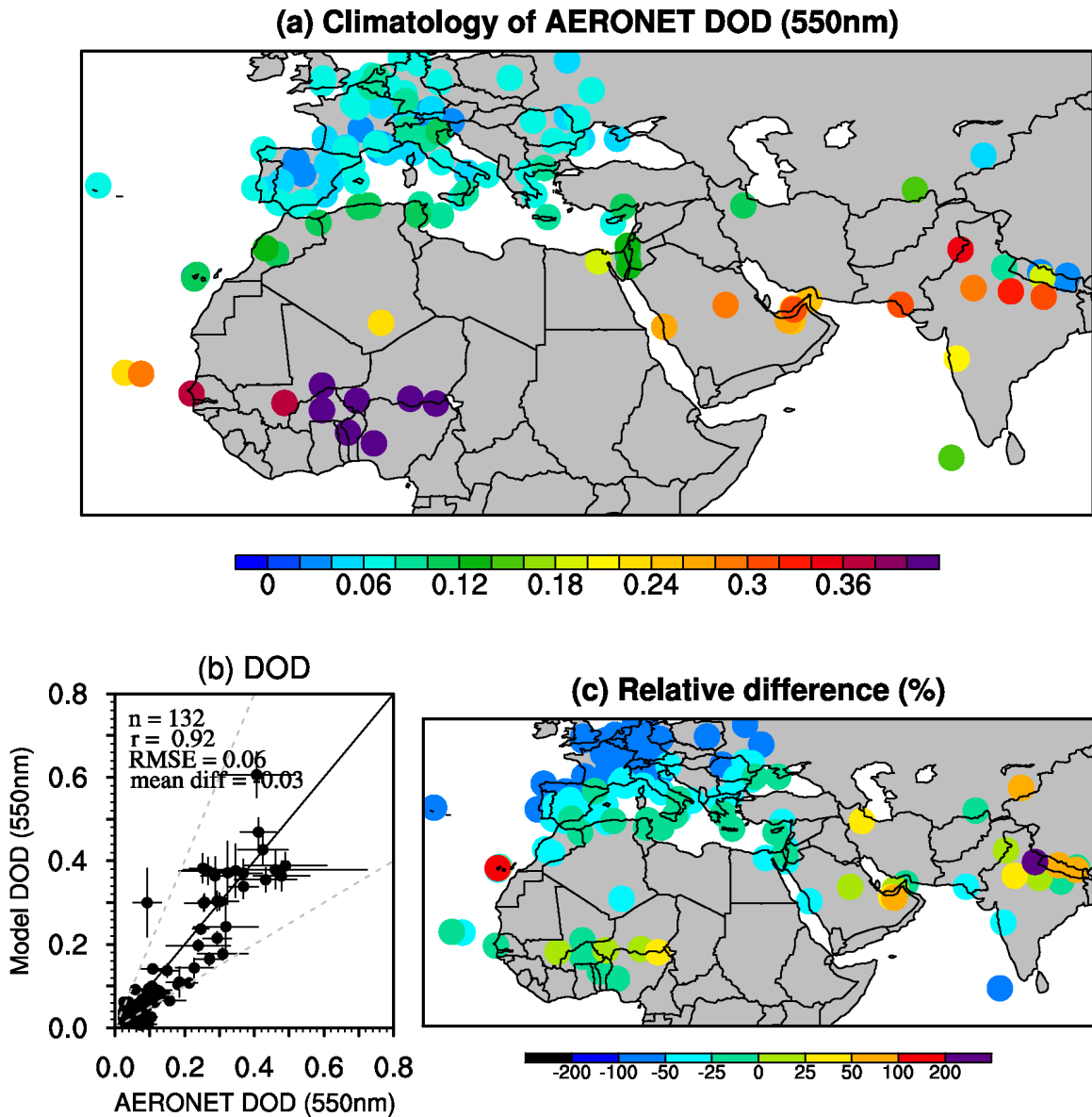
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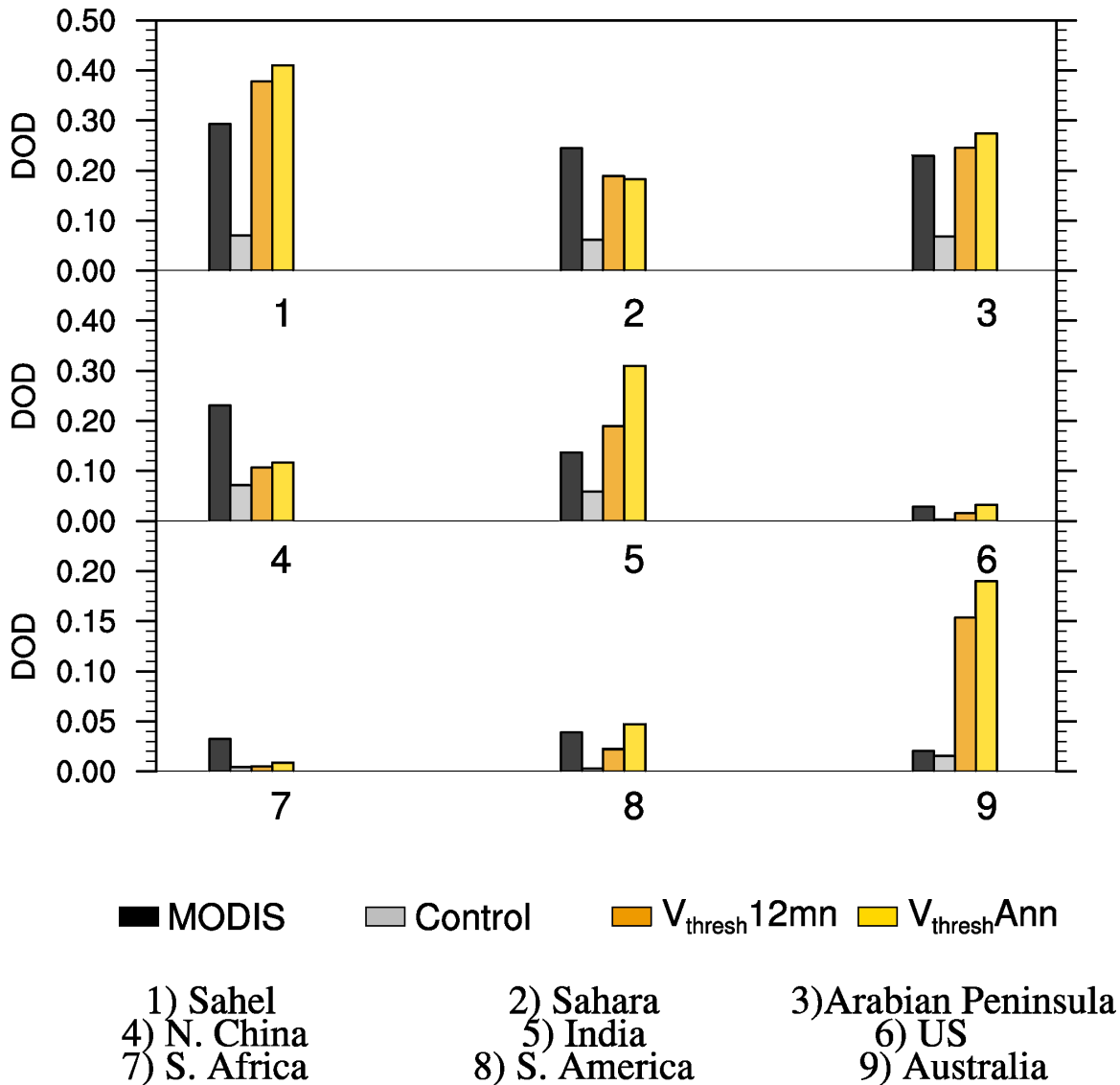
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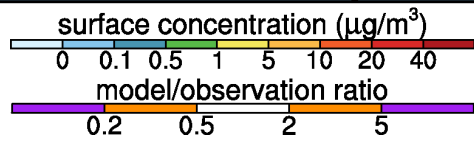
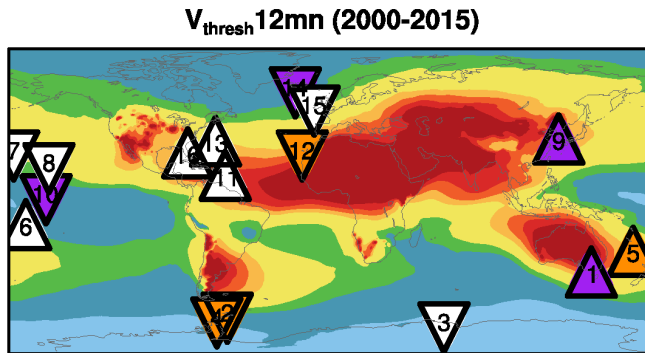
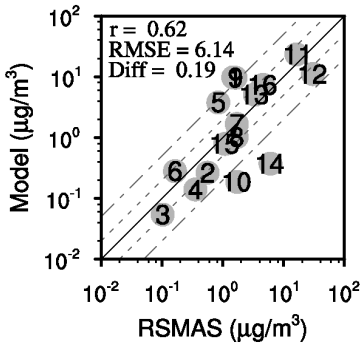
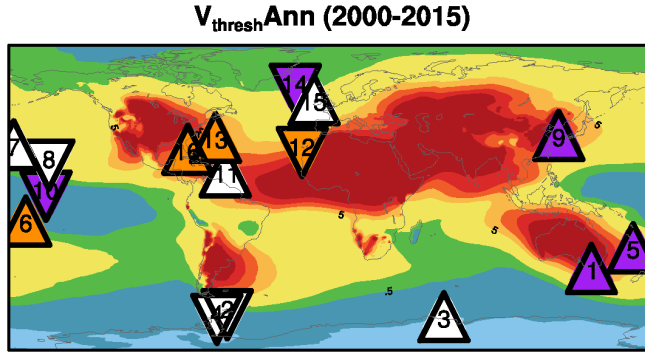
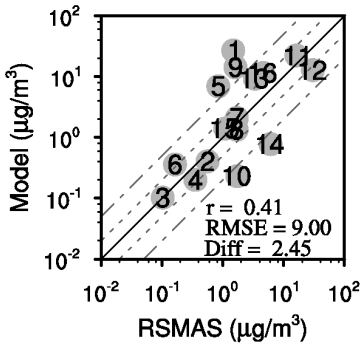
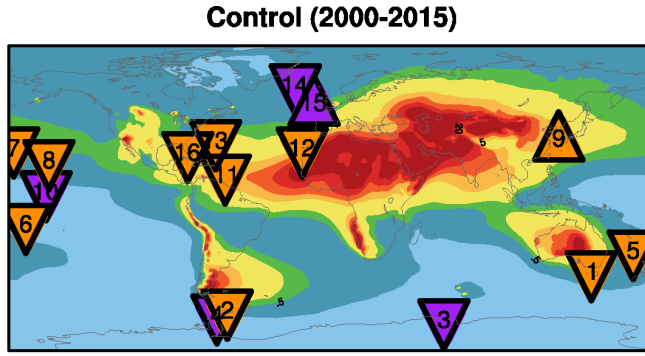
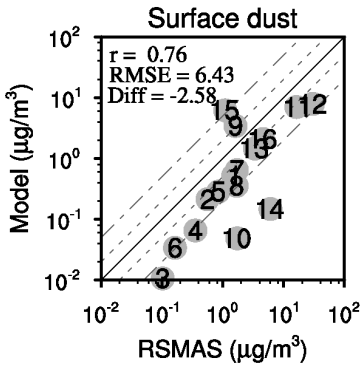


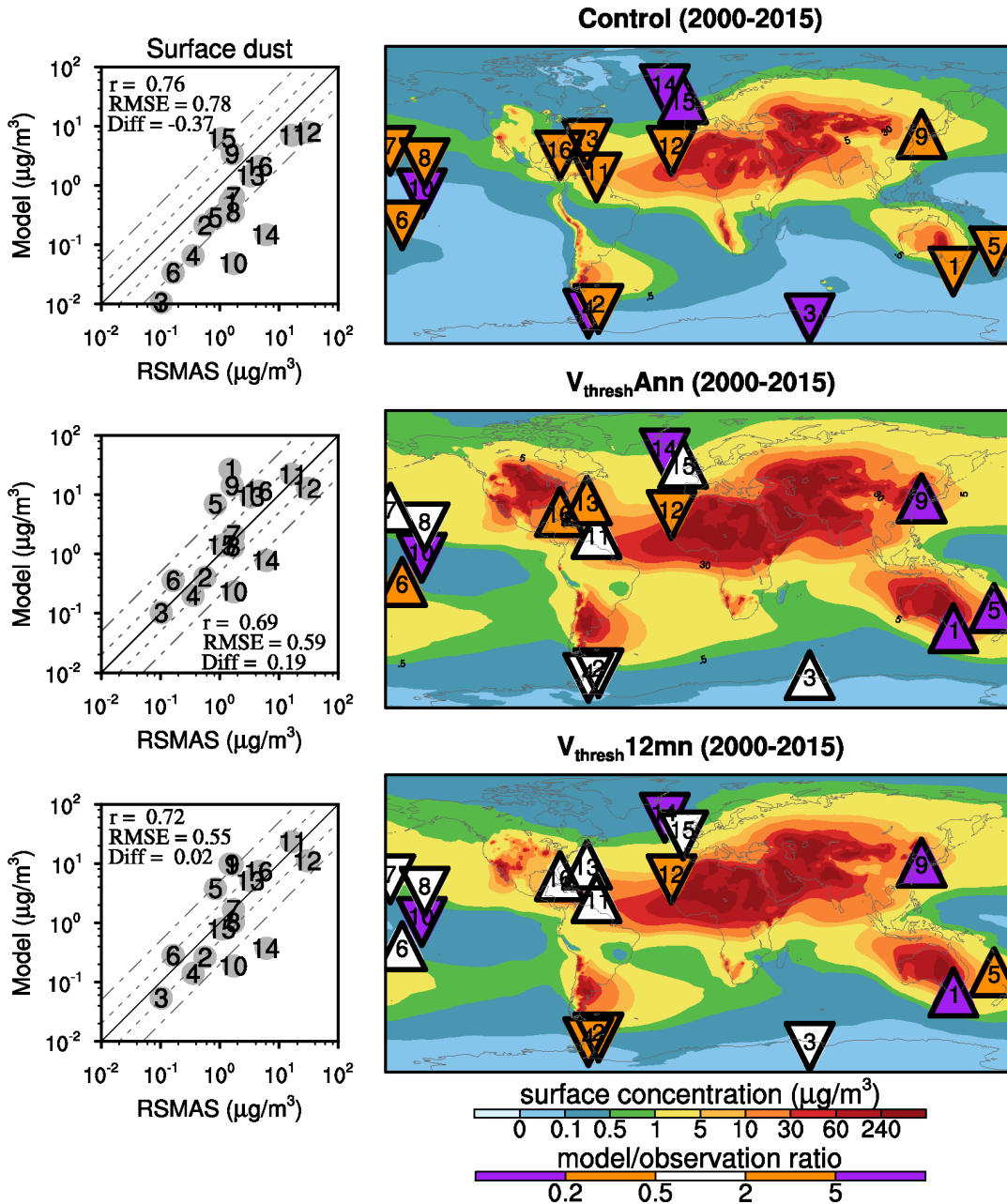
1656
 1657 | Figure 76. (a) Climatology (2003-2015) of AERONET DOD (550 nm) over major dusty
 1658 regions and (b) scatter plot of modeled DOD in the $V_{\text{thresh}}12\text{mn}$ simulation versus
 1659 AERONET DOD, and (c) the relative difference (in percentage) between modeled DOD
 1660 and AERONET DOD in the $V_{\text{thresh}}12\text{mn}$ simulation.
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Annual mean DOD



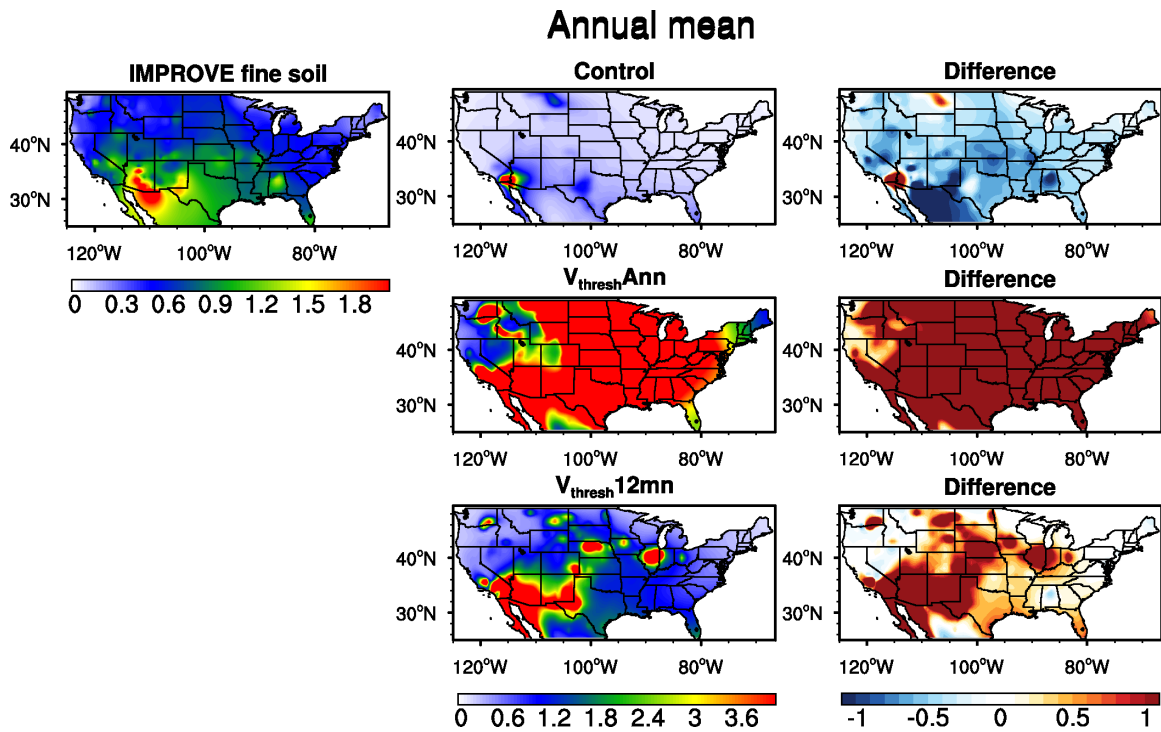
1671 | Figure 87. Regional averaged annual mean DOD (2003-2015) over nine regions from the
 1672 Control (grey), V_{thresh}12mn (orange), and V_{thresh}Ann (yellow) simulations and MODIS
 1673 (black).
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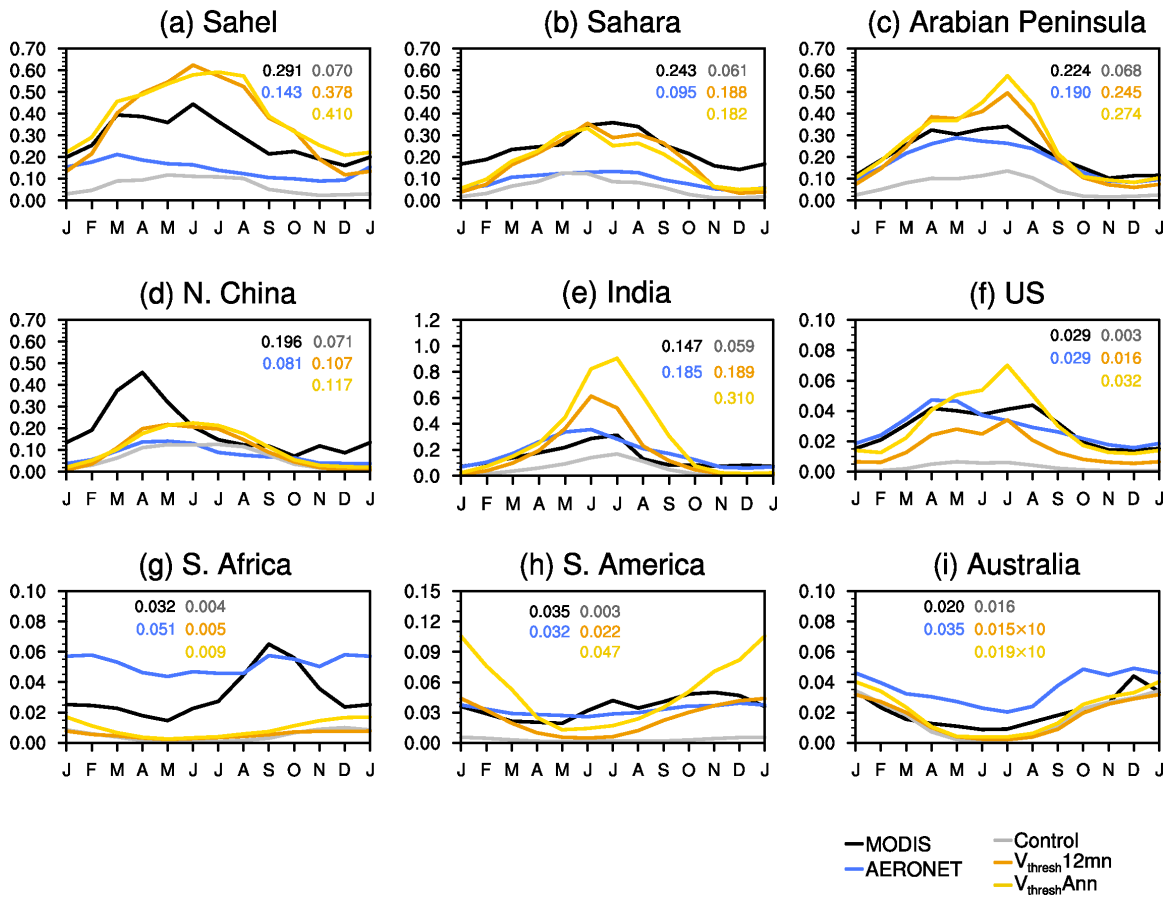
Figure 98. Scatter plots (left column) of model simulated (from top to bottom are the Control, $V_{\text{thresh Ann}}$, and $V_{\text{thresh 12mn}}$ simulations) surface dust concentration ($\mu\text{g m}^{-3}$) versus the climatology of observed surface dust concentration from RSMAS stations (Savoie and Prospero 1989), and spatial pattern of surface dust concentration from model output (shading; right column) and the ratio between modeled and RSMAS station observed surface dust concentration (color triangles, with upward triangles indicating overestimation and downward triangles indicating underestimation). 16 stations were used, and numbers in each triangle (right) and grey dots (left) indicate the stations. The one-one, one-two and one-five lines are plotted in solid, dashed and dash-dotted lines in the scatter plots. Statistics in the scatter plots are calculated in logarithmic space.



1691
 1692 | Figure 109. Annual mean surface fine dust concentration ($\mu\text{g m}^{-3}$) from IMPROVE
 1693 stations (left column) and three simulations (middle column) and the differences between
 1694 model and observation (right column) for 2002-2015.

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Dust optical depth (2003-2015)



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1720 | Figure 110. Seasonal cycle of DOD from MODIS (black), the Control (grey), $V_{\text{thresh}12\text{mn}}$
 1721 (orange), and $V_{\text{thresh}Ann}$ (yellow) runs, and gridded AERONET SDA COD (blue)
 1722 averaged over nine regions. The annual mean of each dataset in each region is listed on
 1723 the top of the plot.

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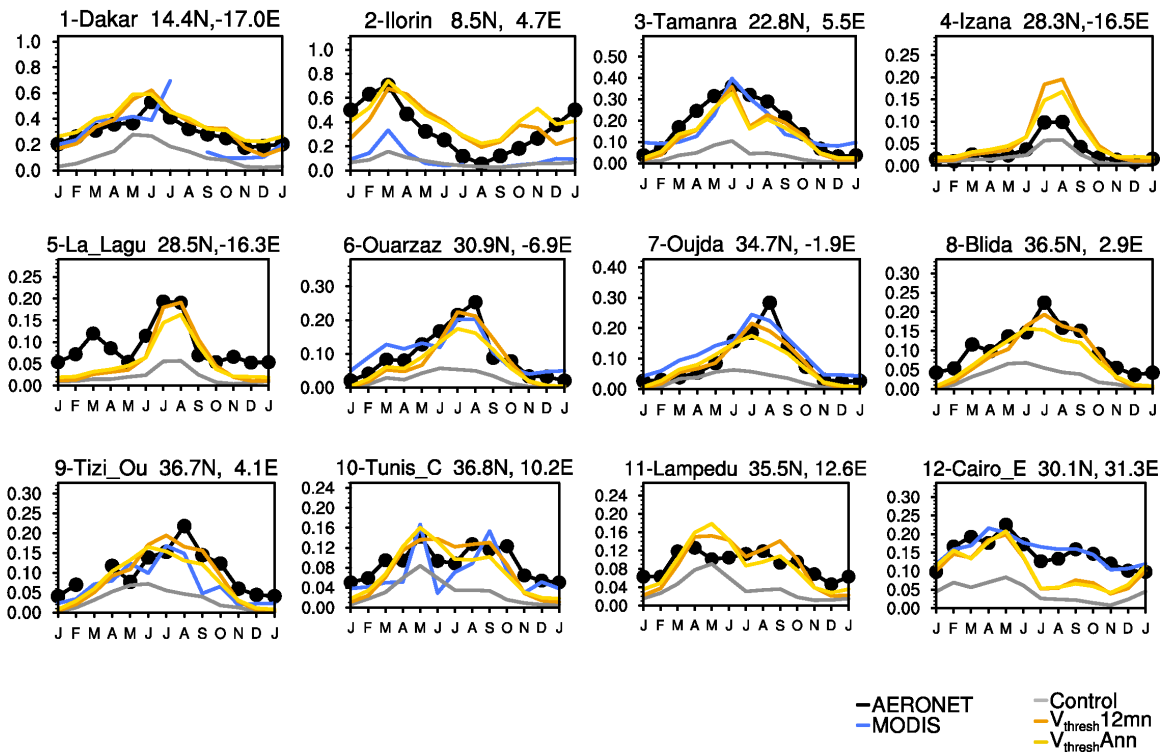
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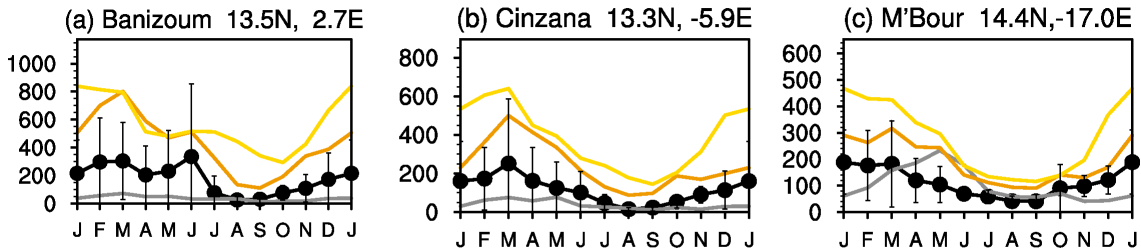
Dust optical depth (2003-2015) N. Africa



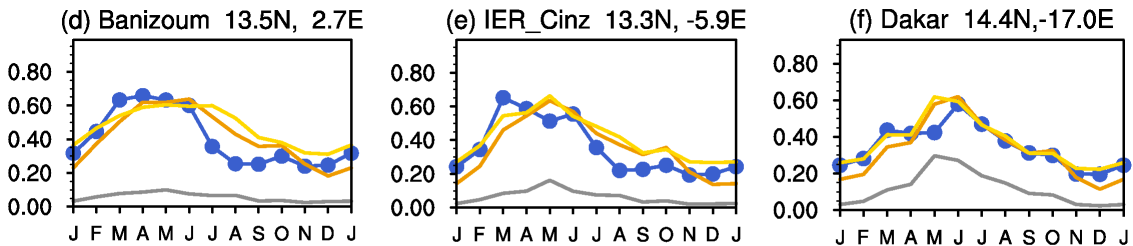
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Figure 124. Seasonal cycle of DOD over 12 AERONET SDA sites (see Fig. S75 in the Supplement for locations) from the Control (grey), $V_{\text{thresh}12\text{mn}}$ (orange), and $V_{\text{thresh}Ann}$ (yellow) simulations, along with DOD from MODIS (blue), and COD from AERONET (black dotted line). All values are averaged over 2003-2015. The location (lat/long) and the name (due to space, only first seven characters are shown) of the sites are listed at the top of each plot.

PM10 surface concentration (model vs. LISA)



DOD 550nm (model vs. AERONET)



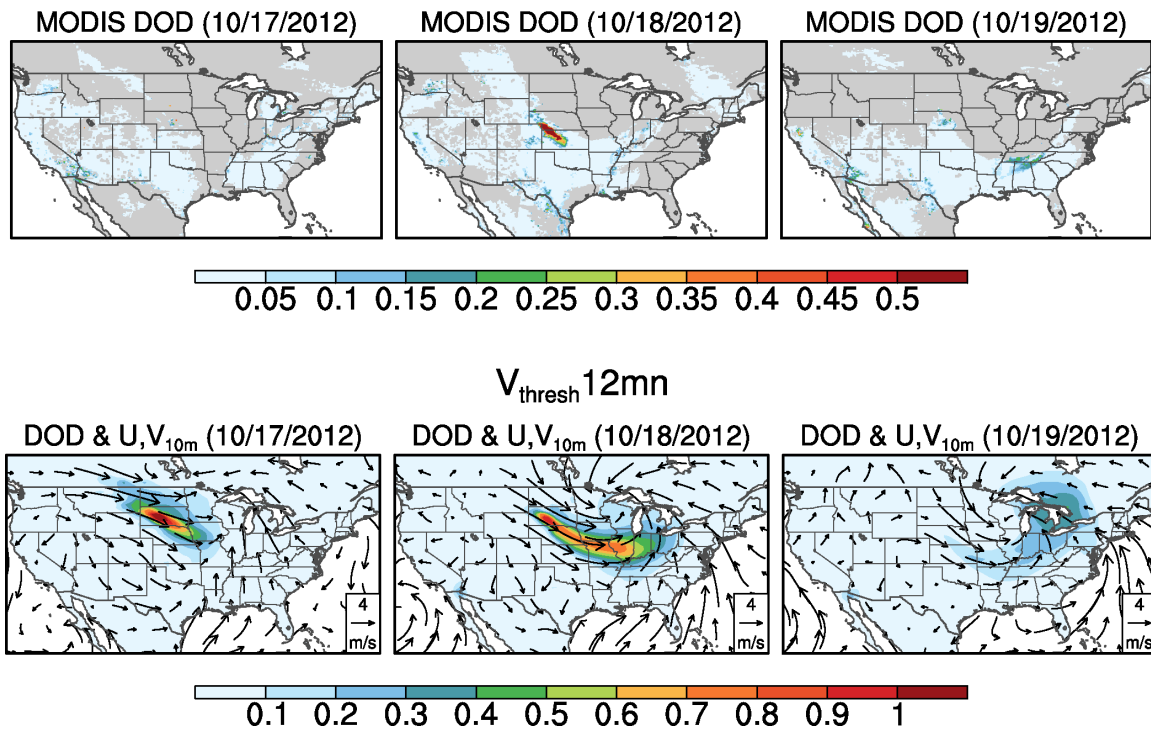
—LISA
 —AERONET
 —Control
 — $V_{\text{thresh}12\text{mn}}$
 — $V_{\text{thresh}Ann}$

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 1765 | Figure 132. (a)-(c) Seasonal cycle of PM_{10} surface concentration (black) over three sites
 1766 from the LISA project, along with PM_{10} surface dust concentration from the Control
 1767 (grey), $V_{\text{thresh}12\text{mn}}$ (orange), and $V_{\text{thresh}Ann}$ (yellow) simulations. Error bars are \pm one
 1768 standard deviations of daily mean in each month averaged over 2006-2014. Unites: $\mu\text{g m}^{-3}$.
 1769 (d)-(f) seasonal cycle of DOD (550 nm) from three AERONET sites co-located with
 1770 LISA sites (blue) versus that modeled by the Control (grey), $V_{\text{thresh}12\text{mn}}$ (orange), and
 1771 $V_{\text{thresh}Ann}$ (yellow) simulations.

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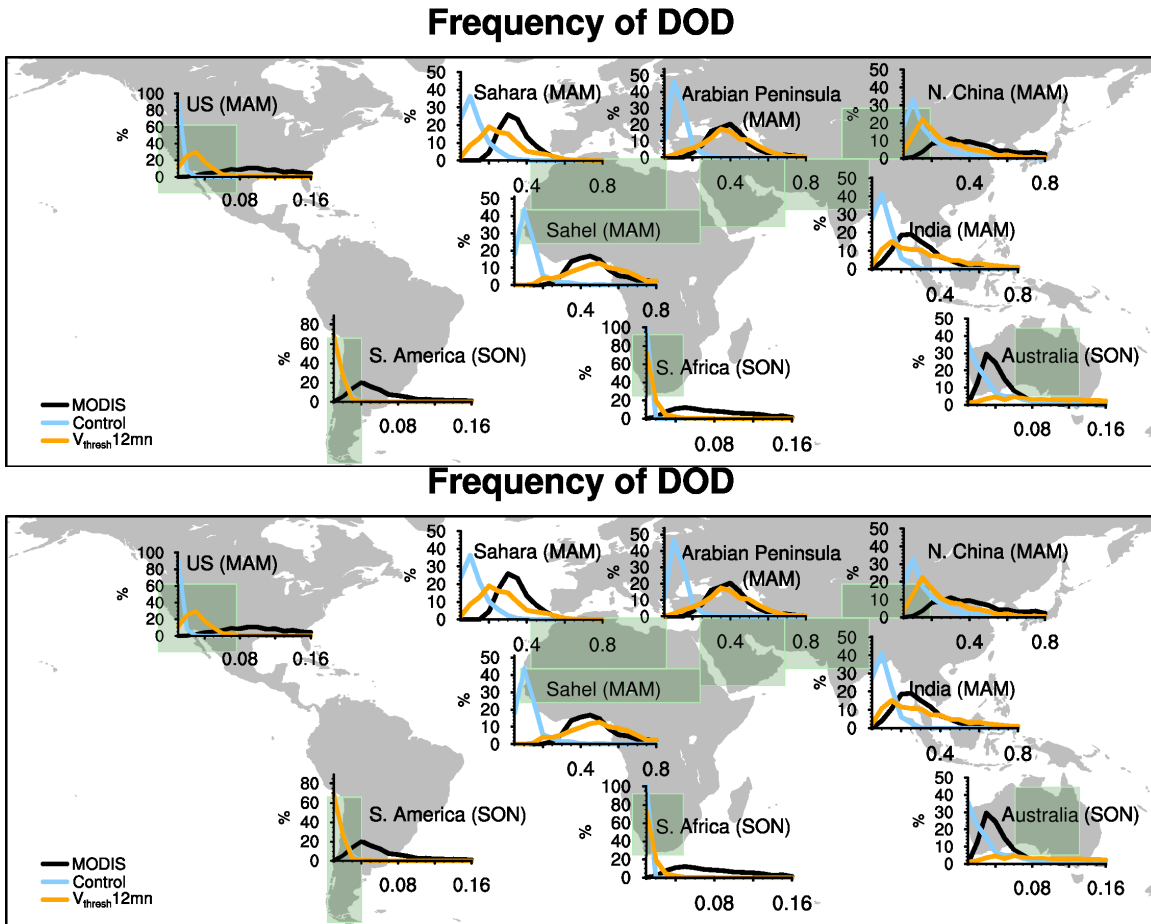
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Case Study (Oct.17-19, 2012)



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Figure 143. Daily DOD from MODIS (top panel), daily DOD simulated by the $V_{\text{thresh}}12\text{mn}$ run along with anomalies (with reference to the 2000-2015 mean) of surface wind vectors (m s^{-1} ; bottom panel) from Oct. 17th to Oct. 19th, 2012. Only DOD over land is shown. Missing values in MODIS DOD (top panel) are plotted in grey shading.



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Figure 154. Frequency (%) distribution of regional averaged daily DOD from MODIS (black) versus that from the Control (light blue) and $V_{\text{thresh}12\text{mn}}$ (orange) simulations for the Sahara, the Sahel, the Arabian Peninsula, northern China, India, western to central U.S., South America, South Africa, and Australia from 2003 to 2015. X-axis denotes the ranges of DOD (the bin spacing for dusty regions is 0.05 and for less dusty regions is 0.01), and y-axis is percentage of occurrence. The light green boxes denote the averaging areas. For regions in the Northern Hemisphere frequency in MAM is shown, while for regions in the Southern Hemisphere frequency in SON is shown.