



## Impact of El Niño Southern Oscillation on the interannual variability of methane and tropospheric ozone.

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**Abstract.** The growth rate of global methane ( $\text{CH}_4$ ) concentrations has a strong interannual variability which is believed to be driven largely by fluctuations in  $\text{CH}_4$  emissions from wetlands and wildfires, as well as changes to the atmospheric sink. The El Niño Southern Oscillation (ENSO) is known to influence fire occurrence, wetland emission and atmospheric transport, but  
45 there are still important uncertainties associated with the exact mechanism and magnitude of this influence. Here we use a modelling approach to investigate how fires and meteorology control the interannual variability of global carbon monoxide (CO),  $\text{CH}_4$  and ozone ( $\text{O}_3$ ) concentrations, particularly during large El Niño events. Using a three-dimensional chemical transport model (TOMCAT) coupled to a sophisticated aerosol microphysics scheme (GLOMAP) we simulate changes to CO, hydroxyl radical (OH) and  $\text{O}_3$  for the period 1997-2014. We then use an offline radiative transfer model to quantify the impact  
50 of changes to atmospheric composition as a result of specific drivers.

During the El Niño event of 1997-1998, there were increased emissions from biomass burning globally. As a result, global CO concentrations increased by more than 40%. This resulted in decreased global mass-weighted tropospheric OH concentrations of up to 9% and a resulting 4% increase in the  $\text{CH}_4$  atmospheric lifetime. The change in  $\text{CH}_4$  lifetime led to a  $7.5\text{ppb yr}^{-1}$  increase in global mean  $\text{CH}_4$  growth rate in 1998. Therefore biomass burning emission of CO could account for 72% of the  
55 total effect of fire emissions on  $\text{CH}_4$  growth rate in 1998.

Our simulations indicate variations in fire emissions and meteorology associated with El Niño have opposing impacts on tropospheric  $\text{O}_3$  burden. El Niño-related atmospheric transport changes decrease global tropospheric  $\text{O}_3$  concentrations leading to a  $-0.03\text{ Wm}^{-2}$  change in  $\text{O}_3$  radiative effect (RE). However, enhanced fire emission of precursors such as nitrous oxides ( $\text{NO}_x$ ) and CO increase  $\text{O}_3$  RE by  $0.03\text{ Wm}^{-2}$ . While globally the two mechanisms nearly cancel out, causing only a small  
60 change in global mean  $\text{O}_3$  RE, the regional changes are large – up to  $-0.33\text{ Wm}^{-2}$  with potentially important consequences for atmospheric heating and dynamics.

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## 1 Introduction

70 In terms of radiative forcing, methane ( $\text{CH}_4$ ) is the second most important anthropogenically emitted greenhouse gas after  $\text{CO}_2$  (Myhre et al., 2013). Concentrations of  $\text{CH}_4$  have risen from approximately 722 ppb in 1750 to over 1850 ppb in 2018, an increase of more than 150% (Dlugokencky, 2019). During this time period  $\text{CH}_4$  has contributed an estimated radiative forcing (RF) of  $0.48 \pm 0.05 \text{ Wm}^{-2}$ , around 20% of the total direct anthropogenic RF from greenhouse gases (Myhre et al., 2013). Furthermore,  $\text{CH}_4$  is a precursor of tropospheric ozone ( $\text{O}_3$ ), which is also a greenhouse gas responsible for RF of  $0.4 \pm 0.2$

75  $\text{Wm}^{-2}$  since the pre-industrial (Myhre et al., 2013), as well as a harmful pollutant that damages human health (Anenberg et al., 2010) and ecosystems (Sitch et al., 2007). While anthropogenic emissions have driven the long-term increase in  $\text{CH}_4$  concentrations,  $\text{CH}_4$  is also emitted from a range of natural sources leading to strong interannual variability (IAV) (Bousquet et al., 2006; Dlugokencky et al., 2011; Nisbet et al., 2016). Understanding the mechanisms driving IAV is important for accurate predictions of future  $\text{CH}_4$  concentrations, especially in the context of anthropogenic emission reductions.

80 Previous studies indicate that although anthropogenic sources may contribute to seasonal variations in atmospheric  $\text{CH}_4$ , natural sources are the primary drivers of IAV (Bousquet et al., 2006; Meng et al., 2015). Emissions from natural wetlands have been shown to be the dominant process, with emissions from fires and changes to the atmospheric sink also playing important roles (Bousquet et al., 2006; Chen and Prinn, 2006; Dlugokencky et al., 2011; Kirschke et al., 2013; McNorton et al., 2016; Corbett et al., 2017). These natural sources are climate sensitive, so interannual changes to temperature and

85 precipitation affect the amount of  $\text{CH}_4$  emitted into the atmosphere as well as the spatial distribution (Zhu et al., 2017). Studies have found that biomass burning emissions are largely responsible for the IAV of carbon monoxide (CO) and also affect  $\text{O}_3$  concentrations (Granier et al., 2000; Monks et al., 2012; Voulgarakis et al., 2015). Although Szopa et al. (2007) found meteorology is a more important driver of IAV for CO, explaining 50-90% of IAV.

A major driver of climatic IAV is the El Niño Southern Oscillation (ENSO) – a mode of climate variability originating in the

90 Pacific Ocean with alternating warm (El Niño) and cold (La Niña) modes (McPhaden et al., 2006). Positive phase El Niño events lead to warmer and drier conditions in much of the tropics, disrupting global circulation patterns and leading to widespread changes in fire occurrence, wetland emissions and atmospheric transport (Feely et al., 1987; Jones et al., 2001; McPhaden et al., 2006). These influences occur most strongly in the tropics but have global consequences (Jones et al., 2001). Global  $\text{CH}_4$  concentrations have been observed to increase significantly during El Niño events, with an especially strong signal

95 during the 1997-1998 event when the  $\text{CH}_4$  growth rate was  $12 \text{ ppb yr}^{-1}$ , almost triple the 1750-2018 mean annual growth rate (Rigby et al., 2008; Hodson et al., 2011). Due to the wide-ranging effects of El Niño and varied sources of  $\text{CH}_4$ , there are multiple factors which could trigger the increase in  $\text{CH}_4$  growth rate. Chen and Prinn (2006) attributed the increase to anomalies in global wetland emissions; however Zhu et al. (2017) estimated that although 49% of the interannual variation in wetland emissions can be explained by ENSO, wetland emissions were significantly lower during El Niño including the 1997-1998

100 event. Bousquet et al. (2006) suggested that the increased  $\text{CH}_4$  growth rate during the 1997-1998 El Niño was primarily caused by abnormally large peat fires in Indonesia emitting huge amounts of  $\text{CH}_4$  while wetlands emissions remained stable (van der Werf et al., 2004; Butler et al., 2005; Bousquet et al., 2006).

In addition to direct emissions of  $\text{CH}_4$  from fires, it has been proposed that anomalously large CO emissions during enhanced El Niño fire events could explain the changes to  $\text{CH}_4$  growth rate (Butler et al., 2005; Bousquet et al., 2006). CO is emitted

105 from biomass burning in much larger quantities than  $\text{CH}_4$  (~20x larger) and its reaction with the hydroxyl radical (OH) is its primary atmospheric sink (Voulgarakis and Field, 2015). Abnormal increases in CO concentrations may suppress the availability of OH, thereby extending  $\text{CH}_4$  lifetime and increasing its growth rate during and following large fire events (Butler et al., 2005; Manning et al., 2005). The reaction of  $\text{CH}_4$  with OH is the largest term in the global  $\text{CH}_4$  budget, accounting for ~90% of its sink (McNorton et al., 2016), therefore even minor changes to OH caused by the presence of other compounds or

110 changes to atmospheric transport and photolysis rates could have a large impact on  $\text{CH}_4$  growth rate (Dlugokencky et al., 2011).



Butler et al. (2005) found that CO emissions suppressed OH concentrations by 2.2% in 1997-1998, which accounted for 75% of the observed change in CH<sub>4</sub> concentration. Bousquet et al. (2006) also reported a weakened OH sink during this El Niño event.

115 Here we use a modelling approach to investigate how El Niño events affect global CH<sub>4</sub>, CO and tropospheric O<sub>3</sub> concentrations through changes to fire occurrence and atmospheric transport. Using long-term simulations spanning multiple El Niño and La Niña events, we quantify the relative influence of changes to fire emissions and dynamical transport. We also differentiate between the effect of direct CH<sub>4</sub> emissions from fires and the indirect effect via CO emissions and atmospheric chemistry changes.

## 2 Models and Simulations

### 120 2.1 Model description

For this study we use the TOMCAT chemical transport model (Chipperfield, 2006) coupled to the GLOMAP global aerosol microphysics scheme (Mann et al., 2010). The version of TOMCAT-GLOMAP used here is a further development of the version described by Monks et al. (2017). Cloud fields are now provided from the European Centre for Medium-Range Weather Forecasts (ECMWF) reanalyses (Dee et al., 2010), replacing the climatological clouds fields used previously from 125 the International Satellite Cloud Climatology Project (ISCCP) (Rossow and Schiffer, 1999), leading to improved representation of photolysis. Other developments include updated emission inventories, the inclusion of CERN CLOUD-based new particle formation and the introduction of Martensson sea spray emissions (Gordon et al., 2017; Monks et al., 2017). The model is run at 2.8° x 2.8° horizontal resolution with 31 vertical levels, driven by 6-hourly ECMWF ERA-Interim reanalyses. The planetary boundary layer (PBL) scheme is based on Holtslag and Boville (1993) and sea surface temperatures are from ECMWF 130 reanalyses.

The tropospheric chemistry scheme used is as described in Monks et al. (2017) with anthropogenic emissions from the Monitoring Atmospheric Composition and Climate (MACCcity) emissions inventories (Lamarque et al., 2010). Annually varying emission inventories are included for all fire-emitted gas-species, aerosol emissions such as black carbon (BC) and all sources of CH<sub>4</sub>. The Global Fire Emissions Database (GFED) used by TOMCAT-GLOMAP has been updated to version 4 135 with CO, nitrous oxides (NO<sub>x</sub>) and volatile organic compound (VOC) emissions from fires (Randerson et al., 2017; Reddington et al., 2018). Biogenic emissions are taken from MACCcity and CCMI (Chemistry-Climate Model Initiative: <http://www.met.reading.ac.uk/ccmi/>). The CH<sub>4</sub> inventory is provided from a new emissions inventory derived from the Joint UK Land Environment Simulator (JULES), combined with biomass burning emissions from GFEDv4 (McNorton et al., 2016; Randerson et al., 2017). Surface CH<sub>4</sub> concentrations are scaled annually within TOMCAT-GLOMAP to a best-estimate of 140 observed global surface mean concentrations (McNorton et al., 2016; Dlugokencky, 2019).

### 2.2 Radiative transfer model

Radiative effects of O<sub>3</sub> changes are calculated using an O<sub>3</sub> radiative kernel approach, derived using an offline version of the Edwards and Slingo (1996) radiative transfer model. This considers six bands in the shortwave (SW), nine bands in the longwave (LW) and uses a delta-Eddington two-stream scattering solver at all wavelengths (Rap et al., 2015). This version 145 has been used extensively in conjunction with TOMCAT/GLOMAP for calculating radiative forcing from simulated distributions of several short-lived climate pollutants (SLCPs) including BC, O<sub>3</sub> and CH<sub>4</sub> (Spracklen et al., 2011; Riese et al., 2012; Rap et al., 2013; Richards et al., 2013; Rap et al., 2015).



### 2.3 Simulations

All simulations are performed for 1997-2014 with a four-year spin-up through 1993-1996. The control run (CTRL) allows all emissions and meteorology to vary throughout the modelled period. GFED biomass burning emission inventories began in 1997, therefore the 1993-1996 spin-up simulation uses repeating 1999 emissions instead, as the closest year of ‘average’ emissions, having excluded 1997 and 1998 due to the exceptionally high emissions in those years (Schultz et al., 2008).

To test the impact of El Niño events on atmospheric chemistry, we performed 4 simulations listed in Table 1. Where model simulations used “Fixed” parameters in Table 1, the year 2013 emissions or meteorology are specified as invariant throughout the simulation. This year is chosen as the ENSO-neutral case, due to it being the least active ENSO year during 1997-2014, with a maximum bimonthly multivariate ENSO index (MEI) magnitude of -0.4 and the only year without a single MEI value that could be considered an active El Niño or La Niña (Wolter and Timlin, 1993; Wolter and Timlin, 1998). We perform factorial simulations, in which we in turn fix global biomass burning emissions (FIREFIX) and global meteorology (METFIX) to the ‘ENSO-neutral’ case. An additional perturbed simulation was performed in order to examine the secondary impact of CO on CH<sub>4</sub> via oxidation changes, where only CO emissions from biomass burning were fixed (COFIX).

**Table 1. Details of simulations. All simulations are run for 1997-2014.**

Simulation I.D.	Meteorology	CO biomass burning emissions	All other biomass burning emissions
CTRL	Varying	Varying	Varying
METFIX	Fixed	Varying	Varying
FIREFIX	Varying	Fixed	Fixed
COFIX	Varying	Fixed	Varying

### 3 Model Evaluation

We have conducted a comprehensive evaluation of the TOMCAT-GLOMAP coupled model using aircraft observations, and data from ozone sondes and satellites. In general the model is able to capture absolute concentrations, global distribution and seasonal variations of major species including O<sub>3</sub>, CO and CH<sub>4</sub>. MOPITT satellite retrievals have been used to evaluate CO at 800hPa and 500hPa (Emmons et al., 2004) which are shown in Fig. S1 and S2 respectively. TOMCAT performs similarly here as in Monks et al. (2017), underestimating CO concentrations in the Northern Hemisphere (NH) while overestimating peak concentrations in biomass burning regions, with a maximum difference of ~75ppb (Fig. S1 and S2). However, TOMCAT is able to reproduce seasonal variations in CO and locates peak CO accurately over East Asia and Central Africa.

TOMCAT was also compared with satellite observations of lower tropospheric (0-6km) O<sub>3</sub> from the Ozone Monitoring Instrument (OMI). These data were provided by the Rutherford Appleton Laboratory (RAL; data version fv0214) using an optimal estimation retrieval scheme which resolves O<sub>3</sub> in the 0-6km layer by exploiting information in the Hartley and Huggins uv bands. The scheme derives from that discussed by Miles et al. (2015) for another UV sounder GOME-2. TOMCAT representation of O<sub>3</sub> concentrations between 0-6 km in NH winter are slightly improved on the Monks et al. (2017) version, particularly in tropical and Southern Hemisphere (SH) concentrations (Fig. S3). However, there remains a general low bias in global O<sub>3</sub> of up to 10 Dobson Units (DU) in winter in regions such as the southern Atlantic Ocean.

O<sub>3</sub> has also been evaluated using sonde observations (Fig. S4) (Tilmes et al., 2011), with TOMCAT generally representing the vertical profiles and absolute concentrations of O<sub>3</sub> very well at the majority of sites. There is no apparent regional or latitudinal bias, although simulated concentrations are significantly over-estimated in India. In addition, the TOMCAT simulated global tropospheric burden of O<sub>3</sub> in 2000 is 342 Tg which falls within the range of published value (Table 2).



### 3.1 Aircraft observations

We compare annual mean simulated gas-phase species for 1999 against a climatological dataset of aircraft observations from 16 campaigns conducted from 1992 to 2001, with a broad spatial and temporal range (Emmons et al., 2010). Figure 1 shows the comparison of simulated annual mean global concentrations of O<sub>3</sub>, CO, CH<sub>4</sub> and NO<sub>x</sub>, with aircraft observations at 0-2 km, 2-6 km and 6-10 km. Full details of the aircraft measurement campaigns used can be found in the Supplementary Table S1.

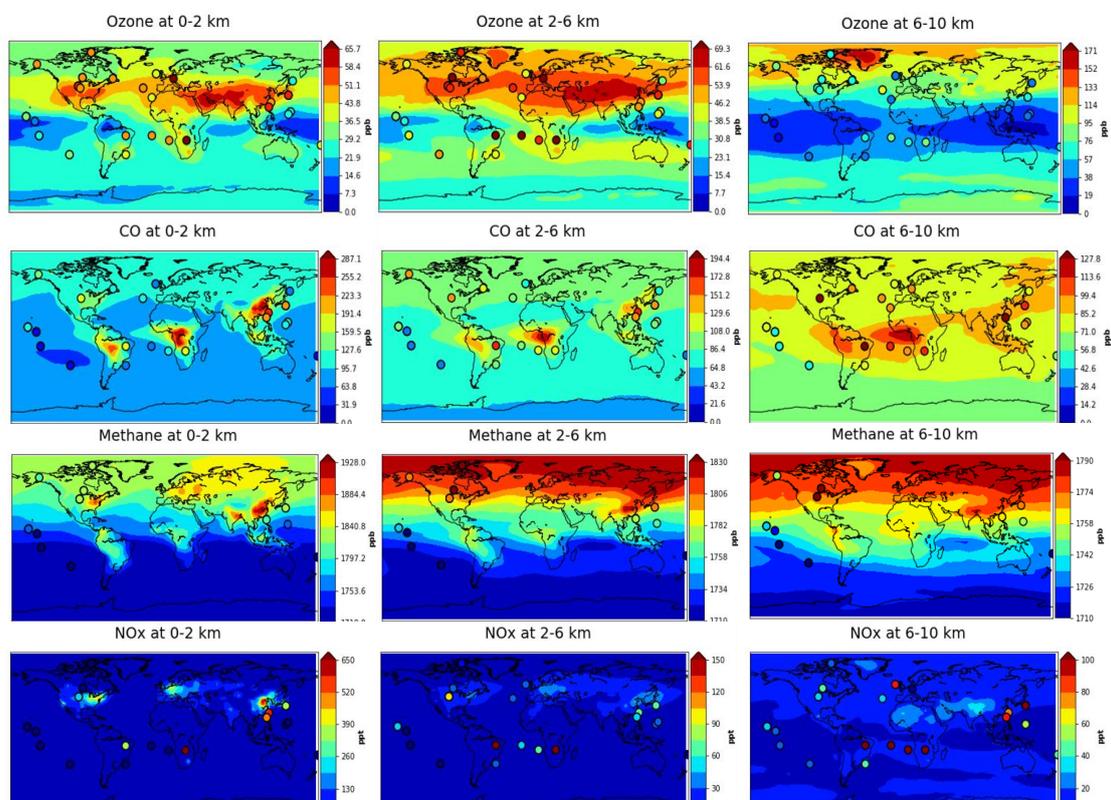


Figure 1: Global annual mean mixing ratios of O<sub>3</sub> (ppb), CO (ppb), CH<sub>4</sub> (ppb) and NO<sub>x</sub> (ppt) from TOMCAT at 0-2 km, 2-6 km and 6-10 km. The filled circles show mean values from aircraft observations (Emmons et al., 2010).

The model tends to perform better near the surface level for all species, simulating higher concentrations in polluted urban or biomass burning regions, with lower concentrations over ocean and in the SH. O<sub>3</sub> concentrations increase with altitude which is well represented in TOMCAT, although values in the tropics are generally lower than the aircraft observations. CO concentrations decrease with altitude but highest values are still around urban areas and burning regions, which can be seen in both model and aircraft concentrations. Aircraft observations show CH<sub>4</sub> also decreases with altitude and the hemispherical disparity becomes more pronounced, with higher concentrations in the NH. Absolute concentrations in TOMCAT simulations match aircraft data very well and the latitudinal gradient is well captured. At 2-6 km and 6-10 km simulated concentrations are lower than observations at the majority of sites for NO<sub>x</sub>. Given NO<sub>x</sub> has a short lifetime of less than 1 day (Beirle et al., 2004; Liu et al., 2016), it is difficult for global models, such as TOMCAT, to reproduce observations due to their coarse horizontal and vertical resolutions (Huijnen et al., 2010).

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### 3.2 OH Evaluation

Due to the very short lifetime of OH, it is challenging to evaluate model simulated OH over representative spatial and temporal scales. Here we follow the evaluation methodology recommended by Lawrence et al. (2001), which was also used to evaluate a previous version of TOMCAT (vn1.76) by Monks et al. (2017). Figure 2 shows our simulated OH compared to Monks et al. (2017), the ACCMIP model mean (Naik et al., 2013) and the Spivakovsky et al. (2000) OH dataset estimated from methyl chloroform observations.

The models and observationally-constrained distribution broadly agree with the latitudinal spread of OH concentrations with a minimum in the SH and a maximum at the tropics; however there is disagreement over the exact altitude of the maximum OH concentrations. In both versions of TOMCAT the highest concentration is between the surface and 750hPa, while ACCMIP and Spivakovsky find peak OH in the upper and mid-level troposphere, respectively. The updated cloud fields used in the current TOMCAT-GLOMAP version have slightly increased OH concentrations in the mid-level and upper domains compared to Monks et al. (2017) but concentrations remain significantly higher in the NH and surface domains than in other studies. In addition, our simulated NH:SH ratio of 1.48 in the current TOMCAT version remains substantially higher than in the ACCMIP models ( $1.28 \pm 0.1$ ), indicating that TOMCAT photolysis rates and OH production in the NH are larger.

The total global tropospheric average OH in this version of TOMCAT is  $1.04 \times 10^6$  molecules  $\text{cm}^{-3}$ , a decrease from Monks et al. (2017) and within the range of other published values (Table 2). The tropospheric  $\text{O}_3$  burden of 342 Tg has increased relative to Monks et al. (2017) (331 Tg) and is within the range found in Wild (2007) ( $335 \pm 10$ ) and ACCMIP models ( $337 \pm 23$ ) (Young et al., 2013). Due to the long lifetime of  $\text{CH}_4$ , its atmospheric lifetime cannot be determined from TOMCAT simulations. Instead a chemical lifetime is calculated from  $\text{CH}_4$  and OH burdens, disregarding stratospheric and soil sinks (Fuglestedt et al., 1999; Berntsen et al., 2005; Voulgarakis et al., 2013). The lifetime diagnosed from TOMCAT is 8 years, compared to the multi-model mean and range of  $9.3 \pm 0.9$  years from Voulgarakis et al. (2013). The shorter lifetime in TOMCAT is due to the overestimation of OH at the surface, particularly in the NH where  $\text{CH}_4$  concentrations are highest due to anthropogenic emissions.

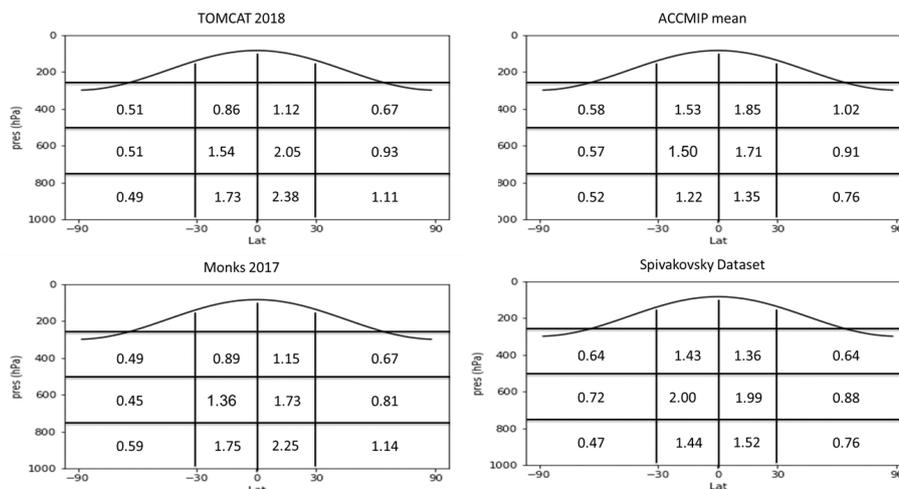


Figure 2: Annual zonal means of hydroxyl radical (OH) concentrations ( $\times 10^6$  molecules  $\text{cm}^{-3}$ ) divided into 12 subsections as recommended by Lawrence et al. (2001). The simulated OH from this study is compared to a dataset estimated from methyl chloroform observations (Spivakovsky et al., 2000) and the Atmospheric Chemistry and Climate Model Intercomparison Project (ACCMIP) multi-model mean (Naik et al., 2013). A previous version of TOMCAT from Monks et al. (2017) has also been included. A climatological tropopause, indicated by the smooth black line near the top of each panel, has been used to remove stratospheric OH.



**Table 2: Present day (2000) TOMCAT model diagnostics compared to previous model version from Monks et al., (2017) and other published values.**

Diagnostic	TOMCAT (this study)	Monks et al. (2017)	Other estimates	Reference
O <sub>3</sub> burden (Tg)	342	331	337 ± 23	Young et al. (2013)
Tropospheric OH concentration ( $\times 10^6$ molecules cm <sup>-3</sup> )	1.04	1.08	0.94-1.06	Prinn et al. (2001); Krol and Lelieveld (2003); Bousquet et al. (2005); Wang et al. (2008).
CH <sub>4</sub> lifetime (years)	8.0	7.9	9.3 ± 0.9	Voulgarakis et al. (2013)

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## 4 Results and discussion

### 4.1 Impact of meteorology and fire emissions on trace gas interannual variability

First we examine the mechanisms controlling interannual variability of simulated tropospheric CO, O<sub>3</sub> and mean OH. We use the difference between the control simulation (CTRL) and the perturbed simulations with fixed fires (FIREFIX) and fixed meteorology (METFIX) to determine the driving cause of IAV. Of particular interest is the effect of the 1997-1998 El Niño event (henceforth referred to as 1997 El Niño) and how the prevailing mechanisms controlling IAV change during such events. To define El Niño events, we use the bimonthly multivariate ENSO index, which is calculated from 6 observed variables and standardised to accurately monitor ENSO occurrence (Wolter and Timlin, 1998; Wolter and Timlin, 2011).

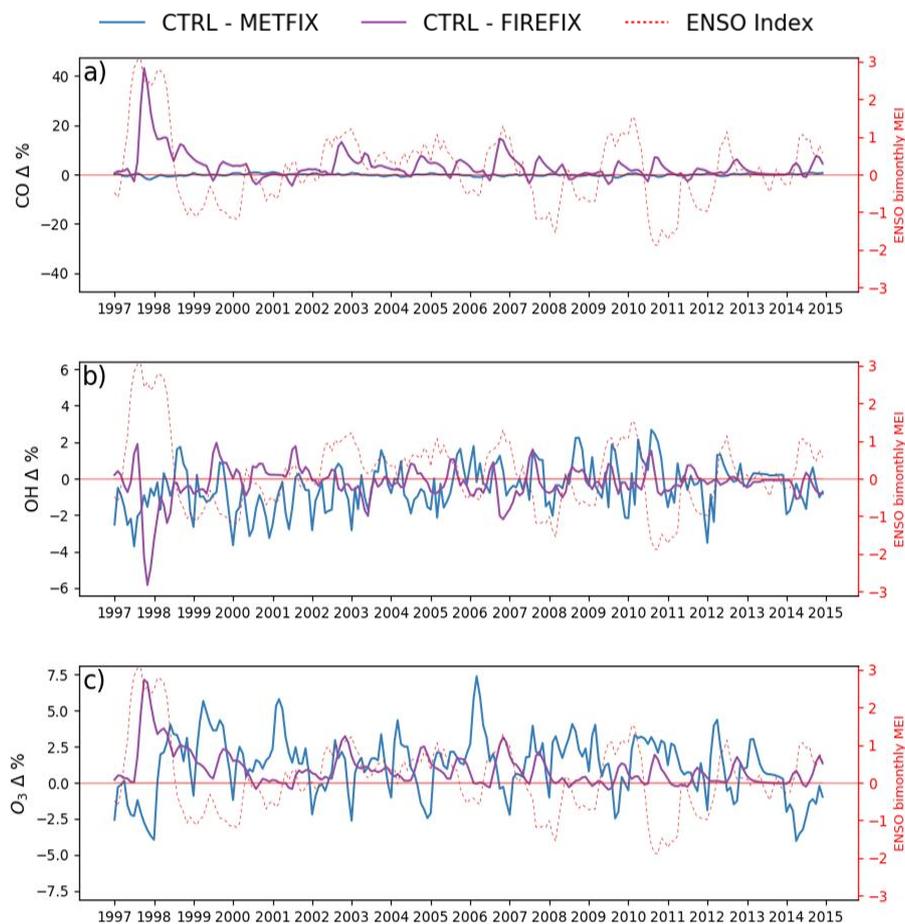
Previous studies examining the dominant factor controlling global CO IAV have found contrasting results. Szopa et al. (2007) suggested that meteorology was the main driver, accounting for 50-90% of IAV in the tropics. In contrast, Voulgarakis et al. (2015) suggested that biomass burning was the much more dominant driver with only a small effect from meteorology. This assertion is further supported by the study of Monks et al. (2012) of CO IAV in the Arctic, where biomass was found to be dominant. Some of the differences in results can be explained by Szopa et al. (2007) considering only surface CO rather than the whole troposphere as in Voulgarakis et al. (2015). Here we also consider whole tropospheric CO and our results are in line with those from Voulgarakis et al. (2015). We find the dominant source of IAV across the entire period is emissions from biomass burning - indicated by the large difference between simulations CTRL and FIREFIX (Fig. 3a), with a small effect from meteorological changes (CTRL – METFIX). This effect is largest during the 1997 El Niño where an increase in fire events increased CO concentrations by more than 40%. Smaller increases occur in 2002/2003 and 2006 during less extreme El Niño events, but the effect is not seen during the 2009/2010 El Niño, indicating that El Niño only significantly impacts CO concentrations when there is an associated increase in global fire events.

Expanding on the work of Voulgarakis et al. (2015), we analysed IAV using a coefficient of variation (CV), calculated as the multi-year standard deviation normalised by the mean (Fig. 4). The global annual mean CO IAV over the whole period is 11.0% for the whole troposphere, 14.3% for surface concentrations. This is in very good agreement with Voulgarakis et al. (2015) who calculated 10% IAV, and even better when we consider the same time period (2005-2009) when IAV decreases to 9.7%. However, there are regional differences; CO IAV from TOMCAT is much larger in high-latitude boreal regions. This is due to the difference in period studied meaning this study includes additional extreme events including unusually large Russia boreal wildfires in 2010 and 2012 (Gorchakov et al., 2014; Kozlov et al., 2014). Infrequent and extreme events such as these significantly increase IAV.

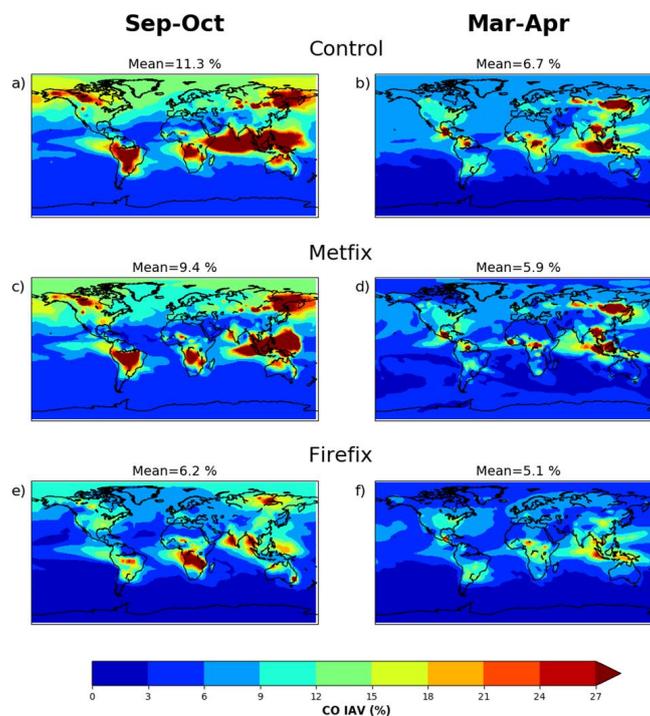
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CO IAV is significantly greater in September-October, with peaks in known fire regions such as tropical South America, Africa, Southeast Asia and in boreal forests. This indicates a strong contribution of fire emissions to IAV especially from Indonesia, as also suggested by the analysis of Fig. 3a and previous studies (Monks et al., 2012; Huang et al., 2014; Voulgarakis et al., 2015). In the FIREFIX simulation IAV is ~55% of the CTRL value showing a large reduction in variability when interannual variability in fire emissions is removed. The IAV in March-April is significantly smaller than September-October as this period is outside the primary fire season for South America and Eurasia, although hotspots remain in Southeast Asia and Africa where fires commonly occur in March-April (van der Werf et al., 2017). Meteorology and atmospheric transport changes are most important in Africa in September-October and Indonesia in March-April (Fig. 4c, d). Fire emissions occur in these regions but the meteorological effects are important sources of IAV. This is in good agreement with Voulgarakis et al. (2015) who found that with fixed biomass burning emissions there remained high IAV over Africa during Dec-Jan, and Huang et al. (2014) who found CO over Central Africa correlated more closely with ice water content than CO emissions. However, the overall effect of meteorology on global IAV found here is much smaller than the 50-90% suggested by Szopa et al. (2007): when we consider only surface CO over the same period, fixing meteorology decreases the mean CO IAV by just 5%.



**Figure 3:** Time series of simulated differences (%) between the control and the fixed meteorology (CTRL - METFIX, blue line) and fixed fire emissions (CTRL - FIREFIX, purple line) simulations for the global tropospheric burden of (a) CO, (b) OH and (c) O<sub>3</sub>. The ENSO bimonthly mean multivariate index is plotted in the dashed red line on the right-hand y-axis in each panel.

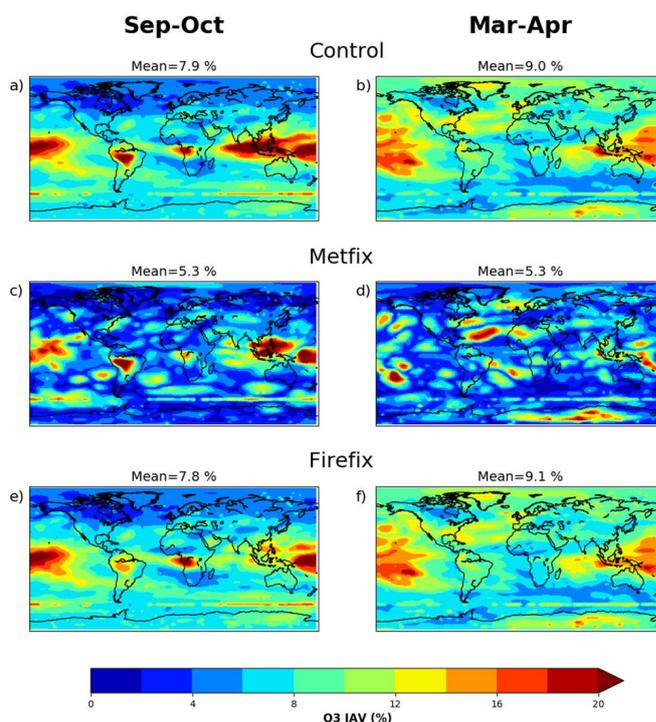


**Figure 4: The interannual variability (coefficient of variation) of CO over the period 1997-2014. Calculated for September – October (left panels) and March - April (right panels) for (a, b) control simulation (CTRL), (c, d) fixed meteorology (METFIX) and (e, f) fixed fire emissions (FIREFIX).**

The IAV of OH and O<sub>3</sub> have more complex contributions from fire emissions and meteorology (Fig. 3b, c). For both species  
280 meteorology is the dominant cause of variability for the majority of the period, indicated by on-average greater deviation from  
CTRL in METFIX simulation than FIREFIX, including during El Niño events outside of the 1997 El Niño, such as in 2006.  
However, fire emissions are the dominant cause of variation during the 1997 El Niño for both species, increasing tropospheric  
O<sub>3</sub> burden by ~7% and decreasing tropospheric OH by ~6%. This indicates that while meteorology is the most important driver  
of IAV in global OH and tropospheric O<sub>3</sub>, fire emissions also play a key role, sometimes even becoming the dominant driver  
285 when there are particularly large fire emissions during El Niño conditions.



Figure 5 shows the IAV of  $O_3$ , supporting the analysis of Fig. 4 that also suggests meteorology is the dominant process in controlling IAV. METFIX-simulated IAV differs substantially from the CTRL, with much lower IAV in Sept-Oct (33% decrease) and in Mar-Apr (42% decrease) when meteorology is repeated. However, in the METFIX run there remain peaks in variability in close proximity to regions with large biomass burning emissions, demonstrating the significant contribution from fire emissions. In the FIREFIX simulation the distribution of IAV is broadly similar to the CTRL simulation and with only a small change in global mean CV, indicating that fire emissions have less control on  $O_3$  IAV. These results are again comparable to Voulgarakis et al. (2015) as the distribution of  $O_3$  IAV in both CTRL and FIREFIX simulations is similar although with slightly larger values of variation due to differing time period.



**Figure 5:** The interannual variability (coefficient of variation) of ozone over the period 1997–2014. Calculated for September – October (left panels) and March - April (right panels) for (a, b) control simulation (CTRL), (c, d) fixed meteorology (METFIX) and (e, f) fixed fire emissions (FIREFIX).

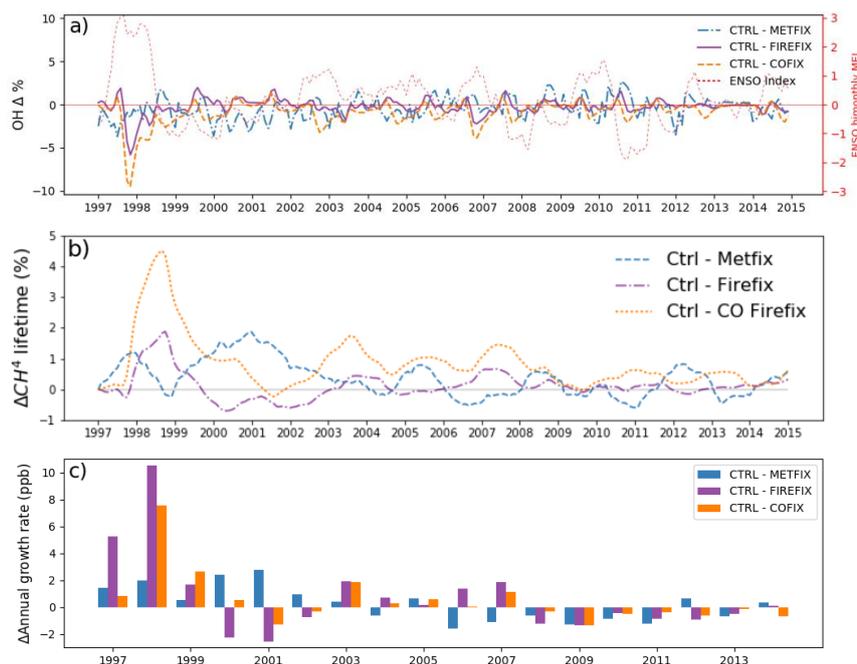
#### 4.2 Indirect effect of CO on oxidation and lifetime of $CH_4$

295 The COFIX sensitivity experiment was conducted to determine the indirect influence of CO emissions on  $CH_4$  variability through changes in tropospheric OH concentrations. Figure 6a shows the difference in COFIX monthly mean OH concentrations from the control experiment, compared to that from the METFIX and FIREFIX simulations. Over the whole period, the inclusion of CO emissions from biomass burning consistently decreases tropospheric OH concentrations. This is due to the reaction of CO with OH which is the primary sink of CO. The greatest impact is during the 1997 El Niño where CO emissions were abnormally large, suppressing mass weighted global mean OH concentrations by up to 9%. This effect on OH in TOMCAT is considerably larger than that simulated by Butler et al. (2005), who also found an increase in CO during the 1997 El Niño and subsequent decrease OH of 2.2%. The suppression of OH concentrations due to CO emission is also simulated to a lesser degree in the 2003 and 2006 El Niño events, but is absent in 2010 El Niño as this event had little impact

300



on global fire occurrence (Randerson et al., 2017). The effect of fixing only CO from fires is greater than the effect of fixing  
 305 all fire emissions due to co-emitted species such as  $\text{NO}_x$ , which act to increase OH concentrations.



**Figure 6: Time series of (a) the change in mass-weighted tropospheric OH (%), (b) change in  $\text{CH}_4$  lifetime (%) and (c) resultant change in annual growth rate calculated using an offline box model. The ENSO bimonthly mean multivariate index is plotted in the dashed red line on the right-hand y-axis in panel (a).**

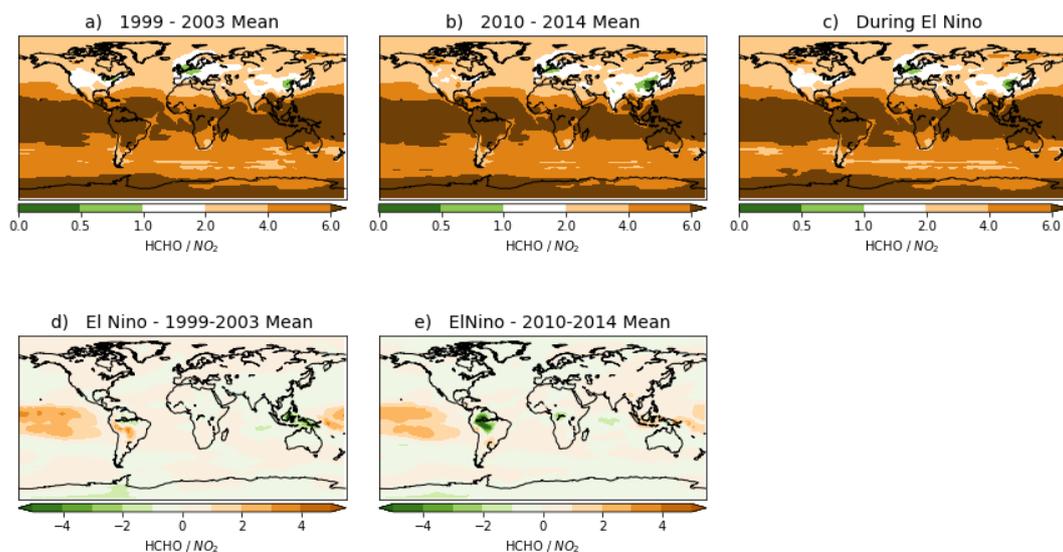
As OH is also the primary sink of  $\text{CH}_4$  (~90%) (McNorton et al., 2016), another effect of the decrease in OH due to CO  
 emissions is to weaken the sink of  $\text{CH}_4$ , increasing its atmospheric lifetime. The magnitude of this can be seen in Fig. 6b; the  
 310 COFIX simulation indicates that CO emissions from fires extend  $\text{CH}_4$  atmospheric lifetime by more than 4% during the 1997  
 El Niño. Fixing all fire emissions also enhances  $\text{CH}_4$  lifetime by around 2%. Increasing the lifetime of a species increases its  
 concentration in steady-state equilibrium. Due to the scaling applied to  $\text{CH}_4$  in TOMCAT we are unable to directly calculate  
 the response in  $\text{CH}_4$  growth rate from TOMCAT, as simulated  $\text{CH}_4$  concentrations are nudged to the observed global mean  
 surface value. Therefore, to determine the impact of the change to OH on  $\text{CH}_4$  concentrations we used a simple global box  
 315 model. This box model is similar to that described in McNorton et al. (2016), which was found to compare well with other  
 global and 12-box  $\text{CH}_4$  models (Rigby et al., 2013; McNorton et al., 2016). In this case, the box model used monthly mean  
 tropospheric OH concentrations and  $\text{CH}_4$  emissions for each simulation while assuming constant temperature to calculate the  
 effect of changing OH on global mean surface  $\text{CH}_4$ . The impact of fire emissions on the  $\text{CH}_4$  growth rate is greatest in 1998,  
 where all emissions from fires increased global  $\text{CH}_4$  by 10.5 ppb (Fig. 6c). Analysis of the COFIX simulation demonstrates  
 320 that up to 7.5 ppb (72%) of that change could have been caused by the release of CO alone and its role as a sink for OH. The  
 effect on growth rate in the FIREFIX simulation is larger than the COFIX despite a greater effect on  $\text{CH}_4$  lifetime from the  
 COFIX, due to directly emitted  $\text{CH}_4$  varying with El Niño conditions in the COFIX simulation and not in FIREFIX. The  
 influence of CO emissions on  $\text{CH}_4$  growth rate calculated here is smaller than in Butler et al. (2005) despite a much larger  
 effect on tropospheric OH. The radiative effect of the change to  $\text{CH}_4$  from CO emitted from biomass burning alone in 1998 is  
 325  $0.004 \text{ W m}^{-2}$ , calculated using updated expressions from Etminan et al. (2016).



#### 4.3 Limiting factors of O<sub>3</sub> production

The ratio between formaldehyde (HCHO) and nitrogen dioxide (NO<sub>2</sub>) concentrations can be used to indicate the limiting factor for tropospheric O<sub>3</sub> production (Duncan et al., 2010). Ratios smaller than 1 indicate that removing VOCs will decrease tropospheric O<sub>3</sub> formation (i.e. a VOC-limited regime), while ratios larger than 2 indicate that removing NO<sub>x</sub> will reduce O<sub>3</sub> (i.e. a NO<sub>x</sub>-limited regime). Ratios of 1-2 indicate that both NO<sub>x</sub> and VOC reductions could decrease O<sub>3</sub> (i.e. a ‘both-limited’ regime). Here we apply this methodology to determine the changes to this ratio from 1997-2014 and dependence of O<sub>3</sub> formation during the 1997 El Niño event. We compare the early period mean (1997-2001) to the end period mean (2010-2014) to determine whether significant changes have occurred over the 18-year period, and compared mean El Niño conditions to both.

In general, the SH and tropical regions have a very high ratios, meaning they are strongly NO<sub>x</sub>-limited (Fig. 7). The NH is also predominantly NO<sub>x</sub>-limited although less robustly and polluted regions tend to be either VOC-limited or both-limited regimes. The ratio is largely constant across the modelled period, however there are some significant shifts such as in India, which was once solely NO<sub>x</sub>-limited, becoming increasing VOC-limited due to increased NO<sub>x</sub> pollution (Hilboll et al., 2017). During El Niño there are large changes; increasing the ratio and therefore NO<sub>x</sub> limitation by more than 40% in the Tropical Pacific. Significant changes to the ratio were also found in biomass burning regions of South America and Southeast Asia. This is due to the increase in NO<sub>x</sub> emissions in larger fire seasons associated with El Niño. However, these regions are already very heavily NO<sub>x</sub>-limited due to high VOC emissions in forest regions, meaning that although the shift in HCHO/NO<sub>2</sub> ratio during El Niño is large, it is not substantial enough to alter the limiting factor for formation of tropospheric O<sub>3</sub> from one regime to another. Over India, El Niño causes the solely NO<sub>x</sub>-limited regime from the beginning of the period to persist throughout.



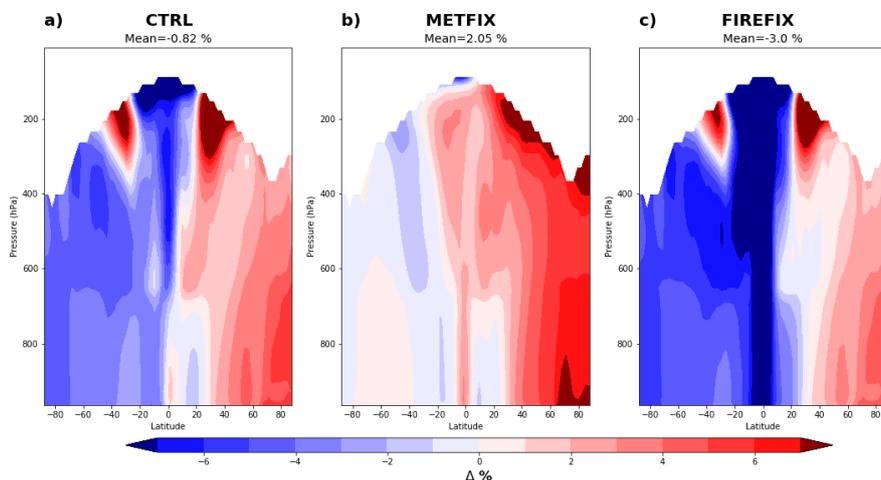
**Figure 7:** 5-year mean of the ratio of tropospheric column HCHO to NO<sub>2</sub> at (a) the beginning of model period (1999-2003), (b) end of model period (2010-2014) and (c) mean ratio value during all El Niño events. Panels (d) and (e) show difference during El Niño from the 5-year mean values in panels (a) and (b), respectively.



#### 4.4 Impact on tropospheric ozone and radiative effects

The 1997 El Niño significantly altered the vertical distribution of O<sub>3</sub> in the troposphere – increasing O<sub>3</sub> concentrations in the NH while decreasing in the SH and tropics with an overall decrease in tropospheric O<sub>3</sub> of -0.82% compared to the 1997-2014 mean (Fig. 8a). There are also very large increases in the mid-latitude upper troposphere of both hemispheres in the CTRL and FIREFIX simulations but not in METFIX, implying that this is produced by El Niño-associated meteorological processes which promote intrusion of stratospheric air into the troposphere. In general the METFIX run simulates much higher O<sub>3</sub> concentrations in the NH than the period mean and lower concentrations in the SH (Fig. 8b). There is an overall increase in O<sub>3</sub> (~2%) when meteorology was fixed to an ENSO-neutral year (i.e. 2013), meaning that meteorology during the 1997 El Niño caused a decrease in tropospheric O<sub>3</sub> concentrations despite large increases in O<sub>3</sub> in regions of the upper troposphere due to stratospheric intrusion. In the 1997 El Niño we find a 0.4% increase in global tropospheric humidity compared to the period mean. This is likely responsible for the decrease in O<sub>3</sub> due to meteorology as increased humidity enhances O<sub>3</sub> loss (Stevenson et al., 2000; Isaksen et al., 2009; Kawase et al., 2011). Changes to transport and distribution of O<sub>3</sub> will also impact how effectively tropospheric O<sub>3</sub> is produced and lost.

The similarities between the tropospheric O<sub>3</sub> distribution in the CTRL and FIREFIX simulations shows that fire emissions have a relatively small impact on the global distribution of O<sub>3</sub>, but do affect absolute values, as concentrations in the FIREFIX run are significantly lower at the tropics. This is likely because of the removal of large emissions of O<sub>3</sub> precursors in that latitude band when fire emissions are fixed to a non-El Niño year.

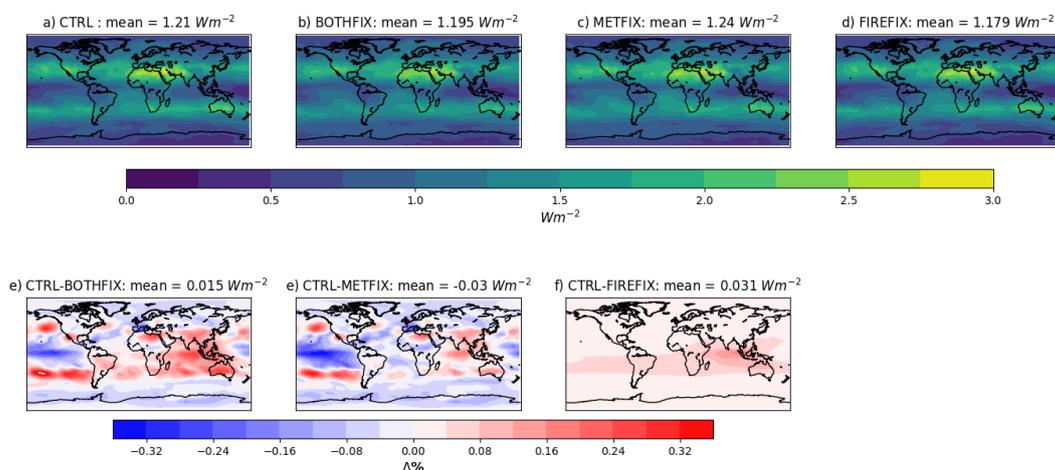


**Figure 8: Latitude-pressure profiles of the percentage difference in O<sub>3</sub> concentration during 1997 El Niño event compared to 1997-2014 period mean for simulations (a) CTRL, (b) METFIX and (c) FIREFIX.**

Figure 9 shows the tropospheric O<sub>3</sub> radiative effect (RE) during the 1997 El Niño in each TOMCAT simulation, calculated using the Rap et al. (2015) tropospheric O<sub>3</sub> radiative kernel. Consistent with the relative changes in O<sub>3</sub> concentration, fire emissions and meteorology have contrasting effects on O<sub>3</sub> RE. When isolated, these effects are opposite and almost equal: fire emissions increase O<sub>3</sub> RE by 0.031 Wm<sup>-2</sup> while meteorology decreases by -0.030 Wm<sup>-2</sup>. We performed an additional simulation to determine the effect of these factors occurring simultaneously (BOTHFIX) - and found the increasing effect from fire emissions to be dominant over the decreasing effect from meteorology, leading to an overall increase in global mean O<sub>3</sub> RE of 0.015 W m<sup>-2</sup>.



The effect of fire emissions occurs almost entirely over Indonesia and the Eastern Indian Ocean where the large influx of  $\text{NO}_x$ ,  $\text{CO}$ ,  $\text{CH}_4$  and other  $\text{O}_3$  precursors from fire emissions during the 1997 El Niño causes regional increases in tropospheric  $\text{O}_3$  RE of up to  $0.17 \text{ Wm}^{-2}$ . Meteorology has more varied impacts during El Niño; causing large decreases in  $\text{O}_3$  RE over the Central Pacific Ocean ( $\sim -0.36 \text{ Wm}^{-2}$ ) but also increases in the mid-latitudes of the Pacific Ocean ( $\sim 0.33 \text{ Wm}^{-2}$ ). Globally the mean change to  $\text{O}_3$  RE is small, around  $0.015 \text{ Wm}^{-2}$ , but large regional changes have the potential to significantly alter atmospheric heating and dynamics.



**Figure 9: Tropospheric  $\text{O}_3$  radiative effects ( $\text{Wm}^{-2}$ ) from the TOMCAT simulations (a) control, (b) fixed meteorology and fixed fire emissions, (c) fixed meteorology only and (d) fixed fire emissions only (d). Panels (e-g) show percentage differences between the control and the three perturbed simulations.**

## 6 Summary and conclusions

Global model simulations using annually invariant meteorology and fire emissions were performed for the period 1997-2014 in order to determine their relative impacts on IAV of  $\text{O}_3$  and  $\text{CH}_4$ , particularly during El Niño events. The TOMCAT-GLOMAP model used has been updated compared to that described by Monks et al. (2017) with improved cloud and photolysis representation and the introduction of Martensson sea spray emissions (Gordon et al., 2017). Model simulations were evaluated for a number of chemical species ( $\text{O}_3$ ,  $\text{CH}_4$ ,  $\text{NO}_x$ ,  $\text{CO}$ ) with observations from aircraft, satellites and ozone sondes. In general, the model shows a reasonable agreement with observed values although there are some regional and seasonal biases. Differences between model and observations may be due to numbers of factors such as the relatively coarse model resolution, uncertainties in the model emission inventories and errors in observations. However, good overall agreement of model simulations with multiple observations gives us the confidence in model performance to support the results.

The IAV of global  $\text{CO}$  concentrations is large and are primarily controlled by fire emissions over the modelled period. Exceptionally large  $\text{CO}$  emissions linked to El Niño in 1997 led to a decrease in  $\text{OH}$  concentrations of  $\sim 9\%$ , which subsequently increased  $\text{CH}_4$  lifetime by  $\sim 4\%$ . Using a box model we quantify the isolated impact of this change in atmospheric chemistry on global  $\text{CH}_4$  growth rate to be  $7.75 \text{ ppb}$ ,  $\sim 75\%$  of the total effect of fires. This effect, combined with concurrent direct  $\text{CH}_4$  emission from fires explains the observed changes to  $\text{CH}_4$  growth rate during the 1997 El Niño.

Variability of oxidants  $\text{O}_3$  and  $\text{OH}$  is far more dependent on meteorology than fire emissions, except during very large El Niño events such as in 1997 and 1998, when fires become dominant in terms of total tropospheric burden although meteorology still



controls distribution. The change to tropospheric O<sub>3</sub> concentrations during El Niño has increased O<sub>3</sub> RF by 0.17 Wm<sup>-2</sup> over Southeast Asia and decreased by 0.36 Wm<sup>-2</sup> over the Central Pacific. The global mean O<sub>3</sub> RF change due to 1997 El Niño meteorology and fires is an increase of 0.015 Wm<sup>-2</sup>, as emissions of O<sub>3</sub> precursors from fires causes increased O<sub>3</sub>. El Niño also causes significant shifts in the ratio of HCHO/NO<sub>x</sub> – an indicator of O<sub>3</sub> production regime – but most significantly in the tropics which are heavily NO<sub>x</sub>-limited, so this change does not cause a regime shift.

We have shown that El Niño events significantly affect the variability of two important drivers of anthropogenic climate change. Further research into how El Niño events, and their effect on fire emissions, is likely to change in a warming climate is required to understand how these links between ENSO and CH<sub>4</sub> and O<sub>3</sub> may change and influence future climate change mitigation attempts.

#### 405 **Author contribution**

MR, AR and SA conceptualised the study and planned the experiments. MC, KP, HG, WF and MR developed and evaluated the version of TOMCAT-GLOMAP used here. BK, BL and RS provided the satellite retrievals for the O<sub>3</sub> comparison which was conducted by RP. MC and JM provided assistance and advice for the CH<sub>4</sub> box model. MR performed the TOMCAT model runs, SOCRATES and box model calculations. MR analysed the results with help from AP and SA. MR compiled results and prepared the manuscript. All co-authors contributed to the final version with comments.

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