1 2 3	Quantifying snow-darkening and atmospheric radiative effects of black carbon and dust on the South-Asian Monsoon and hydrological cycle: Experiments using variable resolution CESM
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16	Abstract
17	Black carbon (BC) and dust impart significant effects on the south-Asian monsoon (SAM), which
18	is responsible for ~80% of the region's annual precipitation. This study implements a variable-
19	resolution (VR) version of Community Earth System Model (CESM) to quantify two radiative
20	effects of absorbing BC and dust on the SAM. Specifically, this study focuses on the snow
21	darkening effect (SDE), as well as how these aerosols interact with incoming and outgoing
22	radiation to facilitate an atmospheric response (i.e., aerosol radiation interactions; ARI). By
23	running sensitivity experiments, the individual effects of SDE and ARI are quantified, and a
24	theoretical framework is applied to assess these aerosols' impacts on the SAM. It is found that
25	ARI of absorbing aerosols warm the atmospheric column in a belt coincident with the May-June
26	averaged location of the subtropical jet, bringing forth anomalous upper-tropospheric (lower-
27	tropospheric) anticyclogenesis (cyclogenesis) and divergence (convergence). This anomalous
28	arrangement in the mass fields brings forth enhanced rising vertical motion across south Asia and
29	a stronger westerly low-level jet, the latter of which furnishes the Indian subcontinent with
30	enhanced Arabian Gulf moisture. Precipitation increases of 2 mm d <sup>-1</sup> or more (a 60% increase in
31	June) result across much of northern India from May through August, with larger anomalies (+5
32	to +10 mm d <sup>-1</sup> ) in the western Indian mountains and southern TP mountain ranges due to

orographic and anabatic enhancement. Across the Tibetan Plateau foothills, SDE by BC aerosols
drives large precipitation anomalies of >6 mm d<sup>-1</sup> (a 21 - 26% increase in May and June),
comparable to ARI of absorbing aerosols from April through August. Runoff changes accompany
BC SDE-induced snow changes across Tibet, while runoff changes across India result
predominantly from dust ARI. Finally, there are large differences in the simulated SDE between
the VR and traditional 1° simulations; the latter of which simulates a much stronger SDE and
more effectively modifies the regional circulation.

# 41 1. Introduction

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43 The South-Asian Monsoon (SAM) and Tibetan Plateau (TP) snow cover are critical to the 44 security of water resources across India, Pakistan, and Bay of Bengal-region countries. 45 Developing from June through early September, the thermally driven SAM provides the region 46 with ~80% of its annual precipitation (Bookhagen and Burbank, 2010; Hasson et al., 2013). This 47 precipitation, together with seasonal snowmelt from Tibet, serves to replenish major waterways 48 across the region. Southern Asia has a very high population density and is in a state of rapid 49 industrialization. As a result, large amounts of black carbon (BC) particles are emitted to the 50 atmosphere. BC can modify the premonsoonal and monsoonal system by perturbing the regional 51 radiative balance (Flanner et al., 2007; Qian et al., 2009, 2011; Lau et al., 2010). Additionally, 52 southern Asia's proximity to major dust emission sources makes this region's climate system 53 susceptible to dust effects (Vinoj et al., 2014; Jin et al., 2016). 54 Various studies have shown that absorbing BC and dust (referred to collectively as BCD) can 55 impart significant perturbations on the earth's radiative balance and climate globally (Jacobsen, 56 2001; Koch, 2001; Flanner et al., 2007; Xu et al., 2016) and regionally (Quinn et al., 2008; Qian 57 et al., 2009; Painter et al., 2010, 2012; Zhao et al., 2014; Jin et al., 2016; Wu et al., 2018), 58 resulting in changes in temperature, cloud fraction, precipitation, snow cover, and runoff. BCD 59 have been shown to have a particularly strong impact on the south-Asian monsoon (Lau et al.,

60 2010, 2017; Qian et al., 2011; Das et al., 2015) through a variety of pathways. For instance, 61 atmospheric BCD can increase the amount of absorbed solar energy across snow-covered regions 62 when deposited on ice, leading to increased melting rates in a process known as the snow 63 darkening effect (SDE; Qian et al., 2015). Furthermore, atmospheric BCD aerosols absorb and 64 scatter incoming sunlight, altering the thermodynamic structure of the atmosphere. Dust interacts 65 with longwave radiation to alter atmospheric thermodynamics further (Seinfeld et al., 2004; Zhao 66 et al., 2011). These aerosol-radiation interactions (ARI) describe the explicit heating/cooling of 67 the atmosphere by attenuating aerosols (direct effects), as well as how the atmosphere circulations 68 may be changed to influence cloud formation (semi-direct effects). 69 Southern Asia is especially susceptible to SDE and ARI during the spring and summer for 70 several reasons. First, BCD burdens increase during this time period, contributing to stronger 71 perturbations in the region's radiative balance. Second, the solar zenith angle is reduced, and 72 higher intensity sunlight warms the region; the more direct sunlight amplifies the radiative 73 perturbations brought forth by BCD. Third, the highly elevated TP remains snow-covered for 74 large fractions of the year and lies directly north and east of BCD sources, respectively, making 75 this region vulnerable to BCD SDE (Qian et al., 20011; Lau et al., 2017). 76 The warm season evolution of SAM is quite complex (Boos and Kuang, 2010; Wu et al., 77 2014). During the spring and summer, unabated heating of the Indian peninsula brings forth the 78 establishment of the monsoon trough beneath attendant upper-tropospheric anticyclogenesis (the 79 Tibet High). Additionally, a westerly low-level jet (WLLJ) forms, which is responsible for 80 transporting copious amounts of moisture into south Asia from the Arabian Sea. The heating is 81 due to the presence of the zonally-oriented mountain ranges of the southern TP, which effectively 82 block cold air intrusions into the Indian subcontinent associated with mid-latitude cyclones (Boos 83 and Kuang, 2010). The rising branch of the monsoonal circulation that develops is moisture laden, 84 contributing to deep convection from June through September. This rainfall, combined with

seasonal snowmelt across the TP, replenishes main waterways across southern, central, and
eastern Asia, providing water resources for billions.

87 BCD have been shown to warm the TP via SDE and ARI, with maximum warming and 88 snowmelt during the late spring (Lau et al., 2006; Lau et al., 2010; Qian et al., 2011; Vinoj et al., 89 2014; Lau et al., 2017). Eastward dust transport from the Middle East in addition to BC transport 90 from India warm the atmospheric column across south central Asia leading to low-level relative 91 vorticity spin-up. The alignment of this low-level feature beneath the Tibet High brings forth an 92 intensification of the WLLJ, and more moisture is transported from the Arabian Sea into southern 93 Asia. The increased moisture amounts collocate with the rising branch of the SAM, and 94 precipitation amounts are increased while surface temperatures cool (Vinoj et al., 2014; Jin et al., 95 2016). Furthermore, the warming associated with enhanced snow melting across Tibet enhances 96 this circulation change by increasing the rate of column warming. 97 While many studies have attempted to model the BCD-induced perturbations on 98 premonsoonal (May and June) and monsoonal (June through August) climate and hydrology, 99 several opportunities for scientific understanding and advancement still exist as far as quantifying 100 their regional climate impacts. Firstly, many SAM-aerosol studies have utilized horizontal grid 101 spacings ( $\Delta x$ ) in excess of 100 km (e.g. Lau et al., 2010; Qian et al., 2011; Xu et at, 2016). While 102 these grid spacings are generally adequate for resolving large-scale meteorological signatures, 103 simulations with such coarse grid spacing may entirely fail to capture mesoscale precipitation 104 systems whose latent energy helps to regulate the SAM. For studies that have utilized smaller grid 105 spacings (e.g. Das et al., 2015; Jin et al., 2016; Lau et al., 2017), limited area models were used 106 for computational practicality. However, these models require prescribed boundary conditions for 107 both the meteorological, chemical, and aerosol fields. Uncertainties associated with these fields, 108 in addition to inconsistencies between reanalysis and simulation physics, can lead to uncertainties 109 in quantifying SDE and ARI effects on the SAM. In addition, limited area models by their very

nature prevent two-way interactions between the small-scale features of the inner domain and thelarge-scale features of the reanalysis grid.

112 In this study, we employ a variable resolution (VR) version of the Community Earth System 113 Model (CESM) to quantify the effects of BC- and dust-induced SDE and ARI on SAM dynamics. 114 This relatively new modeling approach allows for a model grid spacing of 0.125° (~14 km) across 115 the TP, which transitions to a 1° mesh outside of south Asia. A suite of sensitivity experiments is 116 conducted to quantify BCD-induced SDE and ARI changes in premonsoonal and monsoonal 117 climate and hydrology. By implementing this VR version of CESM in this way, we are able to: i) 118 bypass the need for boundary conditions, homogenizing the physics and chemistry 119 parameterizations across the entire model domain, ii) decrease the model grid spacing over the 120 most complicated terrain of southern and central Asia, which has been identified as being critical 121 to SAM dynamics and evolution, and iii) estimate the relative importance of the SDE compared 122 to ARI in affecting the premonsoonal and monsoonal environment for BC and dust separately. 123 We venture through this exploration in the following manner. Section 2 provides a 124 methodology for our experimental design. This is followed by an aerosol validation in section 3, 125 in which we compare simulated to observed aerosol optical depth (AOD), as well as simulated in-126 snow and in-atmosphere BC concentrations to observations. Simulated meteorological and 127 hydrological perturbations due to various effects by BC and dust are presented in section 4. A 128 theoretical framework is presented in section 5 to unify the simulated changes in meteorology, 129 thermodynamics, and hydrology. Concluding remarks and future work are discussed in section 6. 130 131 2. Model 132 2.1 Model configuration 133 The VR grid, which refines to  $0.125^{\circ}$  horizontal grid spacing (~14 km) across south-central

Asia, transitions to  $0.25^{\circ}$ , followed by  $0.5^{\circ}$  and eventually  $1^{\circ}$  (Figure 1a). The region of the

135 analysis grid that is characterized by horizontal grid spacings less than 1° is located

136 approximately between 60°E-120°E and 5°N-55°N. This encompasses all of India, the Bay of 137 Bengal, and the Arabian Sea. Most of India and the Bay of Bengal are characterized by grid 138 resolutions greater than 0.125°. As in Zarzycki et al. (2013), the spectral element dynamic core is 139 used in the Community Atmosphere Model, the atmospheric component of CESM, to solve the 140 primitive hydrostatic equations on a fully unstructured quadrilateral mesh (Dennis et al., 2012). In 141 addition to atmospheric fields, land surface fields are also treated on the same VR grid. 142 VR and uniform (UN) 1°-resolution experiments are run to explore the sensitivity of BCD 143 effects to model grid spacing. The number of horizontal computational grid cells increases from 144 48,602 in the UN experiment to 114,860 in the VR experiment. CESM experiments are 145 conducted on 30 vertical levels, however the physics time step in VR simulations is two times 146 smaller than that used in UN experiments (15 min compared to 30 min) to avoid numerical 147 instability. Additionally, the dynamics time step is 9 s in VR experiments and 90 s in UN 148 experiments. The grid setup between the VR and UN experiments is identical to that used in 149 Rahimi et al. (2019).

150

## 151 **2.2 Model physics**

152 Both VR and UN simulations are conducted using identical physics. CESM version 1.2 is 153 used with CAM version 5.3 (Neale et al., 2010) and is coupled with the Community Land Model, 154 version 4.0 (CLM4). CLM4 default dust erodibility data, defined on a  $1.9^{\circ} \times 2.5^{\circ}$  mesh, is used. 155 Coupled into CLM4 is the SNow ICe and Aerosol Radiative (SNICAR) model, which 156 prognostically treats albedo reductions associated with snow aging and snow grain size changes, 157 as well as BC and dust deposition on snowpack absorption (Flanner et al., 2007). Parameterized 158 cloud microphysics from Morrison and Gettleman (2008), shallow convection from Park and 159 Bertherton (2009), and deep convection from Zhang and McFarlane (1995) and Richter and 160 Rasch (2008) are used. Radiation is treated using the rapid radiative transfer model from Iacano et 161 al. (2008), and aerosol impacts are simulated using the three-mode version of the Modal Aerosol

Module (MAM3), described in Liu et al. (2012). A wavelength-independent refractive index of 1.95 – 0.79*i* is used for BC in shortwave bands. The dust refractive index used in this study varies in 16 simulated longwave bands (not listed here), but its shortwave refractive index varies between  $\{(1.51 \text{ to } 1.8) - (0.01 \text{ to } 0.1)i\}$ . The real component of dust refractive index varies only slightly in near-IR wavelengths (~1.53), while its imaginary component varies between -0.004*i* and -0.03*i*.

168

#### 169 2.3 Model experiments

170 Eight VR and four UN experiments are run to estimate the impacts of BCD-induced SDE and

171 ARI on premonsoonal and monsoon climate fields (Table 1). Aside from the control experiments,

defined to be CONT-vr and CONT-un for the VR and UN control experiments, respectively, 7

173 VR and 3 UN perturbation experiments are run to quantify various BCD effects.

Each individual experiment is run for 11 years, and the first year in each simulation is

175 neglected in the analysis to allow for "spin up". The simulations are run with prescribed

176 climatological sea surface temperature and sea ice cover averaged from 1982-2001 (Hurrell et al.,

177 2008). The greenhouse gas concentrations and anthropogenic aerosol and precursor gas emissions

are prescribed at the level for the year 2000 from the Intergovernmental Panel on Climate

179 Change's 5<sup>th</sup> Assessment Report. After comparing the simulations to both gridded and point

180 source reference data (locations shown in Figure 1b), the means of various climate variables from

the last 10-year of simulations are computed to evaluate the impacts of BCD-induced SDE and

182 ARI across southern Asia. Furthermore, all simulated data (both VR and UN) across the region

are interpolated to an identical 0.125° rectilinear grid for direct comparison.

184 For the VR perturbation experiments, one is run with BCD SDE turned off (noSDE-vr). This

is achieved by setting the on-snow BCD deposition fluxes to zero in CLM4. Second, an

186 experiment is run in which BCD ARI is turned off (noARI-vr). This is achieved by excluding the

187 BCD volume from the calculation of bulk aerosol extinction, asymmetry parameter, and single

188 scatter albedo in CAM. Third, an experiment is run in which both BCD SDE and ARI are

189 removed (noBCDrad-vr). A fourth and fifth perturbation experiment are run, identical to noSDE-

190 vr and noARI-vr, but only the BC-induced SDE and ARI are removed, respectively (noBCSDE-

191 vr and noBCARI-vr, respectively). The sixth and seventh VR perturbation experiments are

192 identical to noBCSDE-vr and noBCARI-vr, except dust SDE and ARI are removed, respectively

193 (noDSDE-vr and noDARI-vr, respectively). Three UN perturbation experiments are also run to

194 assess BCD effects on a 1° mesh: noSDE-un, noARI-un, and noBCDrad-un.

195 The BCD effects on meteorological and hydrological fields can be found by subtracting the

196 perturbation experiments from the control simulation (CONT-vr). For some variable x, the effect

197 induced by a specific species (BC or dust) at a gridcell can be computed:

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Change in x induced by SDE	$SDE_x = CONT - vr_x - noSDE - vr_x$ ,	(1)
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Change in x induced by ARI 
$$ARI_x = CONT \cdot vr_x - noARI \cdot vr_x$$
, (2)

(7)

Change in x induced by SDE+ARITOTAL\_x = CONT-
$$vr_x - noBCDrad-vr_x$$
,(3)Change in x induced by BC SDEBCSDE\_x = CONT- $vr_x - noBCSDE-vr_x$ ,(4)Change in x induced by BC ARIBCARI\_x = CONT- $vr_x - noBCARI-vr_x$ ,(5)Change in x induced by dust SDEDSDE\_x = CONT- $vr_x - noDSDE-vr_x$ ,(6)Change in x induced by dust ARIDARI\_x = CONT- $vr_x - noDARI-vr_x$ ,(7)

199

200 The anomalies computed in (4) through (7) for variable x are linear, but may add together 201 such that their combined effect is nonlinear. In other words, there are nonlinear interactions 202 between BC and dust SDE and ARI that may be important when considering the perturbations to 203 SAM climate. We emphasize the VR results, but we do briefly discuss their differences with UN 204 results in Section 5.

205 For our analysis, we break up southern Asia into 5 distinctive subregions (Figure 1c). We 206 consider most of India separately from the Indo-Gangetic Plain (IGP) to gain a sense of the

207 impacts exerted on the SAM by the TP regional aerosol effects. Across India and the IGP, we 208 only consider land gridcells with elevations lower than 1,200 m and 600 m, respectively. We also 209 divide the TP into the western TP (WTP) and eastern TP (ETP) since other studies (Lau et al., 210 2010; Qian et al., 2011) have found there to be noticeable differences in simulated aerosol effects 211 between these two regions. For the TP analyses areas, we only consider gridcells with elevations 212 greater than 3,700 m. A fifth region, the TP foothills (TPF), is also considered to explore how 213 BCD effects may impact orographic precipitation. For the TPF subregion, we only consider 214 gridcells with elevations between 400 and 3,700 m. 215 A validation of the simulated meteorology was performed in Rahimi et al. (2019), in which 216 CONT-vr and CONT-un were compared to surface- and satellite-based datasets. They found that

there were marked improvements in the simulated temperature, precipitation, and snow coverage across the TP and its southern mountain ranges when using a VR grid. This is important when simulating the SDE, which is fundamentally dependent on the spatial distribution of snow coverage. In this study, we further evaluate CESM's performance in simulating aerosols, as the

SDE and ARI are fundamentally dependent on the spatial variability and magnitude of aerosolloading.

223

224 **3. Evaluation of Simulated Aerosols** 

#### 225 3.1 Observational data

To accurately capture the impacts of SDE and ARI on south-Asian climate, it is important for the simulations to adequately represent atmospheric aerosol characteristics, e.g. aerosol optical depth (AOD). We make use of satellite and ground-based AOD measurements, as well as point source BC measurements to evaluate the model performance. While in-atmosphere and in-snow BC measurements are available for model performance evaluation, in-snow and inatmosphere dust measurements are lacking due to uncertainties in how dust is classified and the

lack of data across southern Asia. These data and their respective uncertainties will now bepresented.

234 Control simulations are compared to 1° and 0.5° Level-3 550 nm and 555 nm AOD data 235 from the MODerate-resolution Imaging Spectroradiometer (MODIS, Platnick et al., 2015) and the 236 Multi-angle Imaging SpectroRadiometer (MISR, MISR Science team 2015), respectively. 237 MODIS data are averaged monthly from 2001 to 2014, while MISR data are similarly averaged 238 from 2002 to 2014. Weaknesses in MODIS and MISR retrievals are associated with algorithm 239 inadequacies, as well as retrieval difficulties over bright or cloud-filled pixels. More information 240 on MODIS and MISR can be found in Section S1.1 and Section S1.2 of supplemental materials, 241 respectively. 242 AOD spectral radiometers measurements from 57 aerosol robotic network (AERONET) 243 sites across central and southern Asia are used to evaluate simulated total, coarse-, and fine-mode 244 AOD at 500 nm (Holben et al., 1998). Model results are linearly interpolated to AERONET site 245 locations and averaged spatially (see Figure 1b) between 60°E-110°E and between 5°N-40°N. 246 AERONET data for south-Asian sites were only available from 1998 onwards, and only data 247 before 2016 is used. The simulated fine-mode AOD is the summation of the accumulation- and 248 Aitken-mode AOD variables in CESM. AERONET site locations are denoted by the blue stars in 249 Figure 1b. 250 AOD information is available via the Max Planck Institute's Aerosol Climatology, 251 version 2 (MACv2), providing present-day (year 2005) climatological estimates of monthly mean 252 AOD at 550 nm on a 1° rectilinear grid (Stevens et al., 2017). Surface observations from 253 AERONET are used in conjunction with anthropogenic and fire emission plume strengths from 254 CMIP6 simulations to estimate pre-industrial AOD based on present-day AOD. More information 255 on the MACv2 product can be found in Section S1.3.

We compare our results against AOD data from the Modern-Era Retrospective analysis
for Research and Applications version 2 (MERRA-2, Global Modeling and Assimilation office,

258 2015). Monthly 550 nm MERRA-2 data are available on a 0.5° by 0.625° grid and analysis is
259 performed using data from 1980-2017. More information on the MERRA-2 product can be found

in Section S1.4.

Finally, simulations are compared to point atmospheric BC measurements from 13 sites discussed in He et al. (2014) and 11 sites discussed in Yang et al. (2018). The site locations are shown in Figure 1b (hollow black circles). Additionally, 26 measurements of in-snow BC, as discussed in He et al. (2014) are used to evaluate our simulations. Locations of in-snow BC measurements are also given in Figure 1b (red triangles). Site metadata for in-snow and inatmosphere BC measurements, as well as simulated and observed BC concentrations are given in Table 2.

268

#### 269 **3.2 AOD comparisons**

270 Focusing on annual AOD averaged between 0°N-60°N and 60°E-140°E, in which lie 271 several major BCD emissions sources, CONT-vr and CONT-un simulate AOD values of 0.147 272 and 0.143 respectively. On the other hand, MODIS, MISR, MACv2, and MERRA-2 depict 273 regionally higher annual AOD values of 0.285, 0.202, 0.235, and 0.216, respectively. While both 274 CESM simulations reasonably capture the global annually averaged AOD compared to satellite 275 observations (see Section S1.5), they do not capture the generally larger annual AOD values 276 across south-central Asia. Because the buildup of aerosols across southern Asia has been 277 identified to affect the premonsoonal and monsoonal properties (Lau et al., 2011; 2017), the 278 CESM simulations' underprediction of annual AOD by almost a factor of 2 across south Asia 279 must be kept in mind. 280 Figure 2 and Figure S1 show the spatial distribution of Asian May and June (MJ) 281 averaged and annually averaged AOD, respectively, from simulations, satellite measurements, 282 and aerosol reanalysis. Data reveal higher MJ AOD values across southern Asia, the Tarim Basin

283 eastward into northern China, and eastern China. The lowest AOD values are located across the

284 TP, Russia, and northwestern Micronesia. CONT-vr and CONT-un overpredict AOD values over

Asian dust sources (the Taklamakan and Gobi deserts) and the northern Arabian Sea, and

simulations underpredict AOD values across India, eastern China, and oceanic regions compared

to MISR, MODIS, MACv2, and MERRA-2 data.

288 Across deserts, the overestimation of AOD may be due to the fact that CLM uses default 289 erodibility dataset originally designed for use at a  $1.9^{\circ} \times 2.5^{\circ}$  grid. The fact that many areas of our 290 domain are refined to 0.125° grid spacing may lead to an overestimation of dust emissions across 291 the region, correctable by tuning the dust emission factor. Over heavily-polluted regions (e.g., 292 East China), CONT-un and CONT-vr's underprediction of AOD compared to observations may 293 be due to the underestimation of anthropogenic aerosol emissions and the missing treatment of 294 secondary aerosol production in the models (Fan et al., 2018). Across oceanic regions, the 295 undersimulated AOD by models is most likely the result of inadequate sea salt emissions, which 296 is not a focus of this study. 297 Figure 3(a-c) shows simulated versus observed annually averaged AOD from 57 298 AERONET sites (shown in Figure 1b). CESM experiments underpredict AOD compared to 299 AERONET measurements. Fine mode aerosols contribute to most of the AOD underprediction. 300 CONT-vr simulates a fine mode and coarse mode mean AOD bias ( $\overline{\Delta AOD}$ ) of -0.189 and -0.062, 301 respectively, while CONT-un simulates similar biases. Furthermore, CONT-vr slope values for 302 the total, fine-mode, and coarse-mode best-fit lines of 0.157, 0.135, and 0.402, respectively. 303 AOD underprediction by CONT-vr and CONT-un is also evident in a time series 304 depicting the monthly variability of AOD averaged over the AERONET sites (Figure 3d). 305 Simulations and observations generally show a similar pattern, with larger values in the spring. 306 CESM simulations underpredict AERONENT AOD by a factor of ~1.5. MISR, MACv2, and 307 MERRA-2 appear to best agree with AERONET observations, while MODIS generally shows

308 monthly AOD values that are 10-30% higher than AERONET observations. Additionally,

309 CONT-vr, CONT-un, MERRA-2, and MISR correlate better with AERONET than MODIS and

310 MACv2, with CONT-vr having the highest *r*-value of 0.874.

311 Figure 3e shows a time series of annually averaged monthly AOD interpolated to 18 312 AERONET sites across the IGP and TPF between 25°N-30°N and 70°E-90°E. Similar to the 57-313 site average, both CESM simulations underpredict monthly AOD by a factor of 1.5 to 2 across the 314 IGP and TPF. However, in contrast to the 57-site average, the 18-site r-values of the CESM 315 simulations with AERONET are notably lower (see Sec. S1.5). 316 The CESM-simulated wet bias described in Rahimi et al. (2019) could have the effect of 317 overpredicting wet scavenging of aerosols. This idea is reinforced when looking at point BC 318 measurements across India, where simulated BC wet deposition dominates over dry deposition 319 (Figure S2), and simulated atmospheric BC concentrations are much lower than observations 320 (Figure 4a); simulations may be washing out too many aerosols. In addition, incorrect emissions 321 may further contribute to the simulated bias in aerosol amounts (Zhao et al., 2011; Fan et al., 322 2018). Non-simultaneity between simulations and observation data may also play a role in 323 skewing the interpretation of simulated aerosol features. Both anthropogenic emissions in Asia 324 and dust emission in the Middle East have experienced significant decadal increasing trends 325 during the first decade of the 21st century (e.g., Hsu et al., 2012; Jin et al., 2018). These trends 326 may partially explain why the CESM experiments conducted with the year 2000 emissions 327 underpredict AOD compared to observations. It is important to keep in mind these considerations 328 when interpreting the relatively poor model performance in simulating AOD. 329

**330 3.3 Surface BC comparisons** 

331Figure 4a depicts an almost unanimous underprediction of in atmospheric BC

332 concentrations across the 24 measurement sites by the CESM experiments, with average aerosol

- biases of  $-2.77 \ \mu g \ m^{-3}$  and  $-2.76 \ \mu g \ m^{-3}$  for CONT-vr and CONT-un, respectively. The largest
- underpredictions occur over urban sites such as Delhi (observed 13.5  $\mu$ g m<sup>-3</sup>), Dibruharh

335	(observed 8.9 $\mu$ g m <sup>-3</sup> ), and Lhasa (observed 3.7 $\mu$ g m <sup>-3</sup> ; See Table 2). Averages of observations
336	depict a mean concentration across all sites of 3.24 $\mu$ g m <sup>-3</sup> , while CONT-vr and CONT-un
337	underpredict this value by a factor of 6.9 and 6.8, respectively. Additionally, several sites see a
338	simulated underprediction of BC concentrations by more than a factor of 10.
339	The widespread underprediction of atmospheric BC does not necessarily translate to an
340	underprediction of in-snow BC mixing ratio as seen in Figure 4b. CONT-vr and CONT-un
341	simulate a bias of -10.4 $\mu$ g kg <sup>-1</sup> and +22.7 $\mu$ g kg <sup>-1</sup> , respectively, when comparing to the station-
342	averaged BC mixing ratio of 54.6 $\mu$ g kg <sup>-1</sup> . This indicates that CONT-vr is more comparable to
343	observations magnitude-wise. It is also noteworthy that several sites showcase a CONT-vr
344	simulated in-snow BC mixing ratio that is an order of magnitude different from that simulated in
345	CONT-un. These large differences in simulated in-snow BC between the VR and UN
346	experiments can be attributed to large meteorological and terrain differences between the two
347	experiments, especially for He et al. (2014) sites across the Himalaya Mountains. For instance,
348	Fig. S2 shows that at East Rongbuk (28.02°N, 86.96°E), CONT-vr simulates the terrain height to
349	be more than 2.5 km higher than CONT-un, culminating in lower monthly temperatures and snow
350	water equivalent (SWE) increases of more than 300 mm compared to CONT-un. Despite the
351	increased SWE at Rongbuk, CONT-vr simulates tens of millimeters less precipitation than
352	CONT-un owing to the smaller south-to-north upslope zone simulated in the VR experiment
353	(Rahimi et al., 2019). The smaller VR-simulated precipitation correlates with 100-300 $\mu$ g m <sup>-2</sup> d <sup>-1</sup>
354	less wet-scavenged BC compared to the CONT-un experiment. The decreased wet deposition,
355	coupled with the larger SWE amounts in CONT-vr thus favors lower in-snow BC mixing ratios
356	than CONT-un.
357	Similar to what was noted in Section 3.2, the temporal inconsistency between point
358	source BC measurements and the CESM experiments must be kept in mind. BC measurements
359	were conducted between 1999 and 2013, while simulations are run with year 2000 anthropogenic

360 emissions. Our results could therefore be biased depending on the trends in BC emissions after 361 the year 2000.

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- 363

# 4. Climatic Effects of BC and Dust

364 Evaluation of the aerosol SDE and ARI is performed by examining the differences of the 365 VR perturbations from the VR control experiment as discussed in Section 2.3. The radiative 366 effect, as well as changes in 2-meter temperature, snow water equivalent (SWE), cloud coverage, 367 specific humidity, precipitation, and runoff are discussed in this section to motivate the 368 application of a simple theoretical dynamical framework that describes the impacts of BCD on 369 premonsoonal and monsoonal climate. Only results of the VR simulations are discussed in this 370 section, and a brief comparison of VR and UN results is given in Section 5.

371

#### 372 4.1 Radiative effect

373 All-sky direct radiative effect (DRE) and in-snow radiative effect (ISRE) diagnostics are 374 computed online in CONT-vr. The all-sky DRE is computed by subtracting a diagnostically 375 computed TOA energy balance without aerosols from that computed with aerosols present 376 following Ghan et al. (2012). ISRE is computed in the SNICAR code via a similar method. 377 While both aerosols contribute to positive ISRE for all months across the TP region, their 378 DREs are more complicated. BCD combines to incite a generally positive DRE across south Asia 379 during MJ and JA, and the pattern of the DRE is similar during these time periods, respectively. 380 The spatial distribution of BCD DRE during MJ is shown in Figure 5, while that for JA is shown in Fig. S3. Positive dust-induced DRE values of +4 to +9 W m<sup>-2</sup> are simulated across the Tarim 381 382 basin and the Gobi desert. 383 BC is simulated to exert unanimously positive DREs across southern and central Asia during MJ and JA. BC induces a DRE of between +1 W m<sup>-2</sup> and + 4 W m<sup>-2</sup> across India during 384

385 MJ (Figure 5a), with the magnitude weakening by JA (Fig. S3a). The highest BC DRE values of

nearly +2 W m<sup>-2</sup> are found across the IGP in northern India, while DRE values of +0.5 Wm<sup>-2</sup> or
less are found across the TP during MJ into JA.

388 Dust is simulated to exert both positive and negative DREs across southern and central Asia during MJ and JA (Figure 5b). Dust effectuates a DRE of nearly  $+10 \text{ W m}^{-2}$  ( $+2 \text{ W m}^{-2}$ ) 389 390 during MJ south of the Gobi desert across the Ghar desert n(orth of the TP across the Tarim Basin). Dust-induced DRE values of between +1.5 and +4 W m<sup>-2</sup> are also simulated across the 391 392 central and northern Ghat Mountains of India during MJ. Meanwhile, dust induces a DRE of around +1 W m<sup>-2</sup> and -1 W m<sup>-2</sup> across the TP and east-central India, respectively, during MJ. 393 394 Areas with negative dust DRE values are typically characterized by low surface albedo; dust 395 brightens the planetary albedo and thus cools the TOA. During JA, dust incites a DRE that is small (less than +1 W m<sup>-2</sup>) across east-central India (Fig. S3b). Also during JA, dust-induced 396 397 DRE values in excess of  $+4 \text{ W m}^{-2}$  are simulated across the northern Arabian Sea as dust is 398 transported eastwards from Saudi Arabia by lower tropospheric westerlies.

399 Despite the most prominent SWE reductions occurring due to BC SDE (discussed later), 400 diagnostically computed ISRE values across the WTP indicate that BC and dust contribute to similar regionally averaged seasonal values of between  $+1 \text{ W m}^{-2}$  and  $+3 \text{ W m}^{-2}$  from March 401 402 through June (Figure 5c-e), Together, BC and dust contribute to an annual maximum ISRE of +7 Wm<sup>-2</sup> across the WTP in May (Figure 5c), while this maximum occurs in March across the ETP 403 404 (Figure 5d; +2.8 W m<sup>-2</sup>) and TPF (Figure 5e; +2.2 W m<sup>-2</sup>). The ISRE maxima occur in boreal 405 spring for all three TP regions as the solar elevation angle and south Asia BC and dust burdens 406 increase during this time.

407 The largest ISRE values occur in MJ compared to JA, with the mountains on the southern
408 and western TP periphery being characterized by ISRE values greater than +10 W m<sup>-2</sup>, locally
409 (see Fig. S4). This heterogeneity in the ISRE spatial pattern mimics the heterogeneity in the SWE
410 and 2-meter temperature anomaly patterns across the TP (shown later), especially for the BCD

SDE anomaly patterns. These features, obviously attributable to the VR mesh, are not captured inthe UN experiments.

413

#### 414 **4.2 2-meter temperature**

415 Together, BCD contribute to statistically significant (SS; t-values in excess of 0.9) ARI-416 and SDE-induced 2-meter temperature (T2) changes during MJ and July and August (JA) across 417 Tibet and south Asia. Warming in excess of 3°C across the WTP and 1.3°C across the ETP is 418 shown in Figure 6a. Meanwhile, the collective impacts (ARI+SDE) of BCD contribute to cooling 419 across most of India due to cloud coverage increases (to be discussed in section 4.4), with SS 420 values of -0.7°C to -1.2°C across western India and the IGP region. By JA, BCD effectuates T2 421 patterns similar to those in MJ across southern Asia, as shown in Fig. S5a. However, the areas of 422 cooling characterizing much of India are shifted north and west to include most of Pakistan, and 423 the areas of warming characterizing a majority of the TP are much reduced compared to MJ, 424 especially across the southern TP. We note that T2 changes of  $0.1 \sim 0.2^{\circ}$ C are simulated across 425 portions of the Arabian Sea. These values should not be interpreted as significant due to the fact 426 that SSTs are prescribed.

427 The sign and magnitude of T2 changes vary as a function of effect type (SDE or ARI)
428 and by species (BC or dust). BCD SDE-induced T2 warming can exceed ARI T2 warming over

429 complex terrain, as indicated by our results. Values of +2°C are simulated across the WTP

430 mountain chains (Figure 6b), such as the western Himalaya, Kunlun, Karakoram, and Hindu-

431 Kush Mountains during MJ. Within the WTP, SDE-induced warming reaches 0.5°C to 1.3°C.

432 Additionally, BC generally contributes to more simulated SDE warming than dust across the

433 WTP during MJ and JA (Figures 6d and S5d, respectively), while dust contributes to a majority

434 of the SDE-induced warming across the ETP during MJ.

BCD ARI drive a majority of the simulated T2 changes across southern Asia during MJ
(Figure 6c) and JA, with the largest T2 changes occurring during MJ. While MJ changes in T2

437 associated with ARI are brought forth by both BCD collectively, the TP area has more expansive 438 T2 changes as a result of dust-induced ARI (Figure 6g); a much larger swath of 1.3°C to 2°C 439 warming occurs across the WTP compared to the simulated BC-induced ARI (Figure 6e). Across 440 the IGP, dust ARI brings forth cooling of more than 0.7°C during MJ, while BCD ARI cool 441 portions of central and southern India by 0.5°C to 0.7°C during MJ. By JA, dust ARI (BC ARI) 442 contributes to most of the cooling (warming) across the IGP and Pakistan (northern TP), with 443 simulated T2 changes of from  $-0.2^{\circ}$ C to  $-0.7^{\circ}$ C (+0.2 to +1.3°C). With these effects in mind, it 444 should be noted that BC is underestimated compared to surface observations, 445 While understanding the BCD-induced changes in T2 is important from an anthropogenic 446 perspective, these changes are inadequate when examining the influence of BCD on SAM 447 dynamics. This is because BCD-induced SAM changes depend on the thermal characteristics of 448 the tropospheric column. For this reason, Section 5 will make use of the 300-700 hPa mean 449 column temperature differences instead of T2 differences when examining the circulation 450 changes brought about by BCD effects on the SAM. 451 452 4.3 SWE 453 BCD effects contribute to large reductions in SWE across the TP and TPF from April 454 through June. Peak BCD-induced SDE plus ARI reductions in SWE of 75 mm (49%) are 455 simulated in May across the WTP (Figure 7a), while peak reductions of 25 mm occur in April

across the ETP and TPF (61% and 49%, respectively; Figure 7b, 7c). Even though the largest

457 regionally averaged T2 warming results from BCD-induced ARI, the largest reductions in SWE

- 458 are due to simulated BCD-induced SDE. By June, BCD SDE contributes to SWE reductions
- 459 across the WTP of greater than 50 mm (62%), while BCD ARI contributes to reductions in SWE
- 460 of 10 mm (< 20%) or less for all months. It is noted that the largest percent changes in SWE
- 461 associated with BCD effects occurs in the summer months, when SWE is minimized across the
- 462 TP.

463 BC SDE drives a majority of SWE changes across the TP with reductions of greater than 464 30 mm (17% to 44%) or more across the WTP from March through June, but other effects are 465 important too. BC ARI, dust ARI, and dust SDE all contribute to reduced SWE in excess of 10 466 mm from March through June across the WTP. Compared to the WTP, BCD effects across the 467 ETP and TPF bring forth smaller reductions in SWE, but BC SDE still contributes to the largest 468 effects on SWE. Additionally, the largest reductions in SWE are typically found along the 469 western and southern TP periphery (Figure 8). 470 BCD effects that lead to changes in TP area SWE can directly impact runoff, which

471 replenishes main waterways across the region. It is found that BCD SDE drive runoff increases

472 (decreases) from February through June (June through September) across the WTP and ETP, with

473 peak runoff increases (decreases) of  $1.2 \text{ mm d}^{-1}$  (1 mm d<sup>-1</sup>) across the WTP occurring in May

474 (July) (see Fig. S6), constituting a 77% (36%) increase (decrease) in runoff. The peak runoff

475 increases across the WTP (ETP) correlate with maximum BCD SDE reductions in SWE of 75

476 mm (25 mm), which occur in May (April).

477

## 478 4.4 Cloud coverage and moisture

479 BCD effects bring forth responses in the mass fields which impact simulated SAM cloud 480 fraction (CF) and specific humidity (q). Driven primarily by BC and dust ARI, q increases in 481 excess of 1 g kg<sup>-1</sup> are simulated from mid-March through June across India, the IGP, and TPF; moisture changes peak during June across the IGP  $(+3.5 \text{ g kg}^{-1})$  and TPF  $(+2.5 \text{ g kg}^{-1})$  (Figure 9). 482 483 BCD-induced SDE contribute to smaller q changes from April through July across the IGP and TPF of +0.7 g kg<sup>-1</sup> and +0.8 g kg<sup>-1</sup>, respectively. Interestingly, the q changes from dust-induced 484 485 SDE and BC-induced SDE across the TPF and IGP do not add linearly during June (see Figure 486 9c,e) Focusing specifically on the IGP, as the q changes are similar between the IGP and TPF, dust (BC) SDE contributes to a q change of  $+0.3 \text{ g kg}^{-1}$  (+1.2 g kg<sup>-1</sup>), but we see a total (i.e., BC 487 SDE + dust SDE) q change of +0.7 g kg<sup>-1</sup>. This result could be due to an increase in precipitation 488

489 due to the combined effects of BCD SDE, which would act to deplete the available water vapor,

- 490 locally. The positive q changes just discussed are dramatically reduced from July through
- 491 September. Across the WTP and ETP, q changes of  $+1 \text{ g kg}^{-1}$  are simulated in June, while smaller
- 492 q changes are simulated for the spring and summer months across both regions.
- 493 Specific humidity increases due to BCD effects correlate reasonably well with increases
- 494 in CF, especially across south Asia from April through September (see Fig. S7). An increase in
- 495 CF, primarily driven by BCD ARI, is simulated across India, the IGP, and TPF from April
- 496 through June. Net peak CF increases occur in May across India (12%) and June across the IGP
- 497 (15%) and TPF (16%) as seen in Fig. S7. CF increases of 10% or more due to aerosol effects
- 498 across southern Asia during MJ have been noted previously (Lau et al., 2010). By September, the
- 499 CF increases vanish across India, the IGP, and TPF. Across the ETP, simulations indicate that CF
- 500 increases of 3% are due to BCD SDE in June, with slightly larger increases (as much as 7%) in
- 501 June and July across the WTP (see Fig. S7) also due to BCD SDE.
- 502 Compared to SDE, BCD ARI generally brings forth the largest changes in CF across
- 503 south Asia from May through August. The spatial distributions of MJ (JA) CF changes are shown
- 504 in Figure 10 (Fig. S8). CF increases of 7% or more across India and CF decreases of 5-10% or
- 505 more across the central and northern TP are simulated (Figure 10a). The Arabian Sea, western
- 506 Ghat Mountains, and TPF are characterized by the largest positive CF changes, which can exceed
- 507 15%. Furthermore, while BC ARI patterns are similar to those of dust ARI during MJ, the
- 508 magnitudes of dust-induced ARI changes are generally larger, especially across the Arabian Sea 509
- and the ETP (Figure 10e,g).
- 510 While SDE effect magnitudes are generally smaller compared to those induced by BCD
- 511 ARI, BC SDE drives MJ CF changes of +5% to +15% across the IGP and southwestern TP (Fig.
- 512 10d). Meanwhile, CF reductions of 2% to 5% are simulated due to BC SDE across northern TP
- 513 during MJ, making the spatial pattern of CF changes induced by BC SDE (Figure 10d) similar to

those induced by BCD ARI (Figure 10c). In addition, dust SDE contributes to increases in CF of
4% to 7% across the southern TP during MJ (see Figure 10f).

516

#### 517 4.5 Precipitation

518 BCD effects contribute to almost unanimously increased precipitation across southern 519 Asia during premonsoonal months, as seen in Figure 11a, with BCD collectively contributing to 520 values in excess of +6 mm d<sup>-1</sup> across the eastern Arabia Sea, the eastern Bay of Bengal, and the 521 TPF. Here, we define "precipitation" to be sum of liquid precipitation plus ice precipitation, and 522 we find that changes in total precipitation are driven by changes in liquid precipitation; simulated 523 changes in snow precipitation are minimal (not shown). Elsewhere, BCD contributes to SS changes of between +1 mm d<sup>-1</sup> and +4 mm d<sup>-1</sup> across India, and changes in MJ precipitation of 524 525 around +1 mm d<sup>-1</sup> over the southern and eastern TP. It seems as though the large-scale pattern in 526 MJ precipitation changes is regulated by dust ARI (Figure 11g). However, precipitation changes of between  $+1.5 \text{ mm d}^{-1}$  and  $+5 \text{ mm d}^{-1}$  are simulated across the Bay of Bengal and Arabian Sea 527 528 associated with BC SDE (Figure 11d).

From July through August, precipitation increases of 1 mm  $d^{-1}$  are simulated across India. 529 530 driven primarily by dust ARI. Meanwhile, dust ARI-driven precipitation increases of 2 mm d<sup>-1</sup> 531 (16 - 35%) are simulated through September across the IGP (see Figure 12). Additionally, BCD 532 SDE contributes to slight increases of 0.5 mm  $d^{-1}$  (2 - 17%) or less across the IGP from May 533 through August. Across India however, with the exception of July, BCD-induced SDE 534 contributes to decreased precipitation from March through October, which a peak reduction in 535 June at 1.2 mm  $d^{-1}$  (10%). These increases, primarily induced by dust ARI, are similar to those 536 reported in Jin et al. (2016) and slightly larger than those found in Vinoj et al. (2014). 537 The TPF region is characterized by the largest precipitation increases relative to the other

538 subregions due to an enhancement of BCD effects by the complex terrain, with BCD effects

539 bringing forth increases in precipitation from April through August (see Figure 12c). BCD

540 contribute to unanimous SDE- and ARI-induced precipitation increases in June across the TPF. 541 with dust and BC ARI (both approximately +4.5 mm d<sup>-1</sup>; +25% and +23%, respectively) and BC SDE (+4.3 mm  $d^{-1}$ ; +21%) dominating the enhanced precipitation; the collective impacts of BCD 542 enhance precipitation by more than 7 mm d<sup>-1</sup> (47%) in June. Dust SDE contributes to smaller MJ 543 544 anomalies of less than  $+1 \text{ mm } d^{-1} (3 - 6\%)$  across the TPF. 545 BCD ARI-induced precipitation differences drive changes in runoff across India and the 546 IGP, with runoff increases induced from April through October. Maximum precipitation increases of 2.5 mm d<sup>-1</sup> (31%) and 2 mm d<sup>-1</sup> (27%) occur a month ahead of runoff increases of 2 mm d<sup>-1</sup> 547 (41%) and 1.6 mm d<sup>-1</sup> (85%) across India and the IGP, respectively (Fig. S6). The maximum 548 549 precipitation (runoff) increase occurs in June (July) across India, while the maximum 550 precipitation (runoff) increase occurs in July (August) across the IGP. In contrast to India and the 551 IGP, the maximum precipitation increases in TPF driven by BCD ARI occur in the same month (June) as runoff, with precipitation (runoff) increases of 7 mm d<sup>-1</sup> (6 mm d<sup>-1</sup>) representing 552 553 increases of 47% (58%) The reasons for the differences in runoff/precipitation phase between 554 India/IGP and the TPF may be the result of larger runoff effects associated with BCD SDE across 555 the TPF compared to the IGP and India, which contributes to runoff increases of more than 3.5 556 mm  $d^{-1}$  (26%) in June (Fig. S6c.e). 557

558 5. Nature of the simulated changes

The simulated changes to south-Asian climate introduced by BCD are the result of direct aerosol interactions with sunlight and outgoing terrestrial radiation, which leads to circulation changes brought about by stability and thermodynamic modifications of the atmospheric column. Furthermore, because this study did not attempt to isolate the near-field and far-field aerosol effects on the SAM, we restrict our attention to the combined near- and far-field BCD effects represented in overall circulation and meteorology perturbations across the southern Asia.

565

#### 566 5.1 Dynamical impacts of BCD on the SAM

567 The changes induced by the combination of BCD effects on the premonsoonal and

568 monsoonal meteorology can be examined by considering thermal vorticity,  $\zeta_T$  (Bluestein 1992).

- 569 Analogous to thermal wind,  $\zeta_T$  is defined to be the difference between the upper-level
- 570 geostrophic vorticity ( $\zeta_{g,above}$ ) and the lower-level geostrophic vorticity ( $\zeta_{g,below}$ ) within a
- 571 column:

$$\zeta_T \equiv \zeta_{g,above} - \zeta_{g,below},\tag{8}$$

572 where  $\zeta_g = \frac{\partial v_g}{\partial x} - \frac{\partial u_g}{\partial y}$ , so:

$$\zeta_g = \frac{1}{f_0} \, \nabla_p^2 \Phi, \tag{9}$$

where  $f_0$  is the constant Coriolis parameter,  $u_g$  and  $v_g$  are the x- and y-components of the geostrophic velocity components, respectively, and  $\Phi \equiv gz$  is the local geopotential height. Taking the derivative of Eq. (9) with respect to independent variable *t*, we find the local tendency in  $\zeta_g$  to be:

$$\frac{\partial \zeta_g}{\partial t} = \frac{1}{f_0} \nabla_p^2 \frac{\partial \Phi}{\partial t}.$$
(10)

577 Substituting Eq. (10) into Eq. (8), we get:

$$\frac{\partial \zeta_T}{\partial t} = \frac{1}{f_0} \nabla_p^2 \left( \frac{\partial \Phi}{\partial t} \right]_{above} - \frac{\partial \Phi}{\partial t} \Big|_{below} \right) = \frac{1}{f_0} \nabla_p^2 \frac{\partial \Delta \Phi}{\partial t}, \tag{11}$$

where  $\Delta \Phi \equiv \Phi_{above} - \Phi_{below}$  is the average column thickness. Here, variable *t* can be time, but because we are applying Eq. (11) to independently run experiments, *t* is more accurately an independent variable denoting case. For simplicity, we define all variables that are subject to the operator  $\partial/\partial t$  to be a tendency. Eq. (11) states that the thermal vorticity tendency is proportional to the Laplacian of the layer thickness tendency, which is proportional to the mean layer temperature. This section will thus utilize the 300-to-700 hPa column averaged temperature to evaluate circulation changes. 585 For synoptic-scale flows, it can be shown via scale analysis that the local vorticity

tendency is dominated by the stretching of earth's vorticity, or:

$$\frac{\partial \zeta_g}{\partial t} = -\delta f_0,\tag{12}$$

587 where  $\delta \equiv \frac{\partial u}{\partial x} + \frac{\partial v}{\partial y}$ . Eq. (11) can be written as:

$$\frac{1}{f_0} \nabla_p^2 \frac{\partial \Delta \Phi}{\partial t} = -f_0 (\delta_{above} - \delta_{below}) = -f_0 \Delta \delta.$$
<sup>(13)</sup>

588 In the above series of equations, u and v are the zonal (x) and meridional (y) components of the 589 3-D wind field. The upper- and lower-tropospheric divergence of the horizontal wind field is 590 given by  $\delta_{above}$  and  $\delta_{below}$ , respectively, and  $\Delta \delta \equiv \delta_{above} - \delta_{below}$ .

591 Using Eq. (13), we can link BCD-induced temperature changes to circulation changes 592 during premonsoonal months. Figure 13a shows simulated MJ anomalies in 300-to-700 hPa 593 column averaged temperature. BCD-induced columnar warming of between 1°C and 3°C occurs 594 in a belt between  $20^{\circ}$ N and  $40^{\circ}$ N, within a zone of climatologically maximized west-southwesterly 595 upper-tropospheric flow (see Fig. S9c). These strong upper tropospheric winds are responsible for 596 transporting dust downstream of major emission sources such as northern Africa and the Middle 597 East. The warming in this belt is also due to changes in clouds through evaporation and regional circulation changes (Figure 10a). Under this warming scenario,  $\frac{\partial \Delta \Phi}{\partial t} > 0$  (i.e., the layer expands). 598 599 If it is assumed that the geopotential field is the linear combination of sinusoidal functions, the 600 Laplcacian of a positive quantity will contribute to a negative thermal vorticity tendency by Eq. 601 (11). Hence, a warming/expanding column will bring about  $\Delta \delta > 0$  via Eq. (13), and upper-602 tropospheric anticyclonic  $\zeta_q$  by Eq. (12) (and  $\Phi$  rises; Figure 13a,d) will tend to be generated 603 atop lower-tropospheric cyclonic  $\zeta_a$  by Eq. (12) (and  $\Phi$  falls). Via continuity, these changes in 604 the vorticity field lead to changes in vertical motion under the assumption of incompressibility, a 605 commonly used assumption on regional and global scales. As aerosols heat the atmosphere,

606  $\frac{\partial \Delta \Phi}{\partial t} > 0, \frac{\partial \zeta_T}{\partial t} < 0$ , and  $\Delta \delta > 0$ . In an atmosphere where divergence increases with increasing 607 height  $(\frac{\partial \delta}{\partial z} > 0)$ , the continuity equation subject to incompressibility is:

$$\frac{\partial \delta}{\partial z} = -\nabla_{x,y}^2 w,\tag{14}$$

608 where  $\nabla_{x,y}^2$  is the one-dimensional Laplacian and *w* is the vertical component to the 3-D velocity 609 vector. Under such conditions, *w* must be positive if it is assumed that w(x,y,z,t) is a linear 610 combination of sinusoidal functions. BCD warming brings forth an enhancement of the rising 611 branch of the thermally direct monsoon circulation.

612 The application of Eqs. (13) and (14) to our results couples the total (BCD ARI and SDE) 613 thermodynamic changes to the circulation changes during MJ. Maximal column averaged 614 temperature anomalies (Figure 13a) are collocated with an upper-tropospheric anticyclonic 615 anomaly in the mass field (Figure 13d) across southwest into southern Asia during MJ, with 250 616 hPa pressure surface height rises of between 6 and 9 dm across south-central Asia (Figure 13a). 617 The response in the mass field due to BCD ARI and SDE is not confined only to the 618 upper troposphere, where the geostrophic approximation is most applicable. In fact, BCD-induced 619 cyclonic changes in the 850 hPa flow are simulated (color fill, Figure 13b), with an intensification in the WLLJ of as much as 5 m s<sup>-1</sup>, extending from eastern Africa, bifurcating the Arabian Sea, 620 621 and protruding into southeast Asia during MJ (Figure S13a). The magnitude of WLLJ intensification is smaller across India ( $2 \text{ m s}^{-1}$  to  $4 \text{ m s}^{-1}$ ), but its magnitude across the Bay of 622 623 Bengal is more comparable to the intensified westerlies in the Arabian Sea. To this feature's north, there are simulated BCD-induced easterlies of -1 m s<sup>-1</sup> across eastern Iran into Russia, but the 624 625 most pronounced low-level flow changes lie across the open sea. This is to be expected, as the 626 lower tropospheric geostrophic assumption is more erroneous over land compared to oceans due 627 to the far greater surface friction over land. More generally, friction may explain why the

anomalous 850 hPa vorticity feature is more diffuse than the 250 hPa vorticity feature during MJacross south-central Asia.

630 The BCD-induced anomalies in the mass fields, discussed by invoking thermal vorticity 631 arguments, lead to changes in the vertical motion pattern, water vapor budget, and precipitation patterns across south-Asia during MJ. 850 hPa q increases across south-Asia of 1 g kg<sup>-1</sup> to 2 g kg<sup>-1</sup> 632 633 <sup>1</sup> are simulated (Figure 13c) due to a stronger WLLJ (Figure 13b). Meanwhile, BCD warming 634 brings enhanced rising vertical motion by Eq. (14) across south Asia. These increases in w and q 635 correlate with precipitation increases of  $+2 \text{ mm d}^{-1}$  across India during MJ, while precipitation 636 increases of greater than 6 mm d<sup>-1</sup> are simulated across the western Ghats and TPF (see Figure 637 13c). The latter increases may be due to increased orographic effects (not explicitly examined in 638 this study), as low-level upslope flow over the Ghats is enhanced by a strengthened WLLJ, and 639 upslope flow over the TPF is enhanced by stronger cyclonic flow across India (Figure 13b). 640 Positive precipitation anomalies increase in magnitude towards the east across the Arabian Sea 641 and Bay of Bengal as eastward-moving precipitation systems gain sensible and latent heat from 642 the open waters.

643 Into JA, the belt of maximum 300-to-700 hPa column heating shifts north (Fig. S11a) 644 along with the subtropical jet. Meanwhile, the area of WLLJ intensification shifts north and 645 shrinks significantly compared to MJ (Fig. S11b). A precipitation dipole is simulated across the 646 western mountains of India during JA, with increases (decreases) in excess of 4 mm d<sup>-1</sup> located further north (south) in the mountain chain. Precipitation increases greater than 4 mm  $d^{-1}$  are also 647 648 simulated across the western IGP and the southern half of Pakistan (Fig. S11c), with the 649 maximum BCD precipitation increases tending to occur on the eastern nose of the WLLJ anomaly 650 (as in MJ).

It is noted in Section 4.5, when compared to other subregions, TPF precipitation is more sensitive to BC SDE, in addition to BC ARI and dust ARI (see Figure 12). This could indicate the presence of the elevated heat pump effect (Lau et al., 2010), which develops in proximity to the

TP as anomalous BCD-induced heating of the TP leads to enhanced anabatic upslope flow. This

effect, coupled with enhanced southerly cyclonic flow incident on the TPF during MJ, may

explain the enlarged precipitation enhancements across the TPF relative to other subregions

657 considered in this study. Orographic enhancement of BCD effects over complex terrain was not

explicitly examined in this study, and remains the subject of future work.

659

#### 660 5.2 Dominant species and effects contributing to SAM alterations

Different species (BC or dust) and different radiative effects (SDE or ARI) regulate the

BCD impact on the SAM and premonsoonal meteorology. Figure 14 depicts the vertical structure

of BCD effect-wise changes in temperature, w, CF, and q across northern India during MJ,

averaged horizontally between  $25^{\circ}$ N to  $30^{\circ}$ N and  $75^{\circ}$ E to  $80^{\circ}$ E (north-central India).

The combined effects of BCD SDE and ARI contribute to low-level cooling (1.2°C)

beneath middle- and upper-tropospheric warming (as large as 2.1°C), which increases

thermodynamic stability. Above 3 km, dust ARI drives atmospheric warming as large as 1.5°C.

668 BC ARI contributes to atmospheric warming as large as 1.1°C in a pattern similar to that of dust

ARI. The BC SDE contributes to middle-tropospheric temperature changes that are similar in sign

to dust ARI but half the magnitude, while dust SDE contributes the least to atmospheric heating

671 compared to other effects (Fig. 14a) during MJ. Finally, the lower-tropospheric cooling is the

672 result of cloud increases through w, q, and CF increases.

Accompanying the BCD-induced warming of the 3-to-15 km layer is an increase in

tropospheric w up to 17 km during MJ. As with temperature, BCD ARI drives w increases that

- 675 peak around 12 km at 0.5 cm s<sup>-1</sup>. Dust ARI accounts for a large portion of the total w increase,
- 676 with BC ARI-induced w increases ~30% less. Meanwhile, BC SDE contributes to slightly

677 stronger upward vertical velocities than BC ARI below 11 km, while the reverse is true above this

altitude. This result may indicate the presence of the elevated heat pump effect (Lau et al., 2010)

associated with strong warming on the southern TP periphery. BC SDE-induced warming of the

680 TP periphery may induce locally strong solenoidal circulations due to horizontal density 681 variations between the warming TP and the adjacent free atmosphere. These circulations could 682 manifest as lower-tropospheric anabatic branches of rising vertical motion across northern India, 683 which may be stronger than the larger-scale thermally-direct rising motions induced by BC ARI. 684 These anabatic circulations were not explicitly studied in this work, and are the subject of future 685 work. In any case, BCD ARI would induce positive w anomalies throughout the tropospheric 686 column across northern India, while BC SDE may generate rising vertical motion only in a layer 687 of the atmosphere in which BC SDE-induced quasihorizontal temperature gradients could 688 develop in proximity to the TP. As for temperature, dust SDE contributes to relatively small 689 upward vertical velocity enhancements across northern India. 690 Interestingly, BCD ARI by itself corresponds with larger upward vertical velocity 691 changes than those associated with the combined effects (SDE and ARI) of BCD, even though the 692 combined effects lead to the strongest middle-tropospheric warming during MJ. This is because 693 the combined effects of BCD greatly increase the thermodynamic stability of the atmosphere 694 across the northern India (Figure 14a) such that w increases are depressed. Hydrostatically, 695 warming of the mean atmospheric column tends to initiate a thermally direct rising bubble. 696 However, if the heating is vertically non-uniform within the column, as is the case depicted in 697 Figure 14a, changes in atmospheric thermodynamic stability may actually reduce the buoyancy of 698 the rising bubble. This increased atmospheric stability can be tied to negative CF anomalies as 699 well, because as the atmosphere becomes more stratified, increases in turbulent mixing and 700 entrainment may incite the evaporation of clouds. Across northern Tibet, dust ARI and BC ARI 701 actually stratify the atmosphere so much that CF anomalies approaching -10% are simulated 702 during MJ (see Figure 10c). This leads to enhanced surface warming that persists into the 703 monsoonal period (Figures 6 and S5). 704 The BCD-induced effects on MJ upward vertical velocities, driven primarily by dust ARI

and to a lesser extent BC ARI and BC SDE, correlate positively with CF anomalies. This is

especially true for upper-tropospheric clouds (Fig. 14c). CF increases of 2% are effectuated mainly by dust ARI below 4 km, while BC SDE primarily drives CF increases in excess of +6% around 17 km. The increase in upper-tropospheric clouds is the result of increased convective precipitation, while the low-level CF increases result from increases in boundary layer moisture of nearly 3 g kg<sup>-1</sup> (Figure 14d) coupled with vertical velocity increases of 0.1 cm s<sup>-1</sup> below 5 km and increased low-level thermodynamic stability.

Enhancements in *w* by BCD effects may not only be directly related to the synoptic response described in Section 5.1, but rather indirectly related via mesoscale features that form as a result of downscale energy cascade. While not explicitly examined in this study, low-level jet cores are characterized by mesoscale vertical circulations that may promote or inhibit upward vertical motion. Additionally, anabatic circulations associated with horizontal density and temperature gradients may do the same. These mesoscale features may enhance or depress the

synoptic response induced by BCD effects, and require more intense study.

719

## 720 5.3 CONT-vr versus CONT-un effects

721 VR experiments are comparable with UN experiments in simulating BCD effects (Figure 722 15). These experiments simulate warming (cooling) across the TP (India) during MJ. However, 723 VR experiments reveal a larger area of warming  $>1.3^{\circ}$ C across the central and eastern TP (Figure 724 15a) due to BCD effects compared to UN experiments (Figure 15d). Additionally, UN simulated 725 BCD effects bring about stronger cooling across India and Pakistan (Figure 15d). 726 These differences between VR and UN experiments result from a stronger BCD SDE in 727 the UN experiments. Aerosol-induced snow melting is much stronger in the UN experiments (not 728 shown), leading to a much stronger warming of the WTP (Figure 15e) than in the VR 729 experiments. This brings about a stronger columnar warming in the UN experiments (not shown) 730 that leads to stronger Arabian Gulf moisture flow into India (Figure S12) through a stronger 731 WLLJ (Figure S13), and higher CF increases (Figure S14) in the UN versus the VR experiments

- during MJ. Because Rahimi et al. (2019) showed that CONV-vr significantly outperformed
- 733 CONT-un in simulating TP regional snow cover, it is reasonable to assume that CONT-vr is more

accurately simulating BCD SDE compared to CONT-un. Simulated BCD SDE should thus be

735 quite different in terms of meteorological perturbations during MJ.

736

#### 737 6. Summary and Conclusions

738 Implementing a variable resolution (VR) version of CESM allowed for a relatively high-739 resolution evaluation of the impacts of BC and dust on the south-Asian monsoon. With a 740 horizontal grid spacing of 0.125° across the TP and the rest of central Asia, VR simulations were 741 able to capture the horizontally heterogeneous warming induced by BCD on TP snowpack. 742 Results indicated that BCD effects, driven mainly by BCD ARI, lead to an enhancement of the 743 SAM through a radiative-dynamical feedback that enhances precipitation in MJ. Precipitation 744 increases of greater than 2 mm d<sup>-1</sup> across central and northern India, with larger precipitation 745 increases of more than 6 mm d<sup>-1</sup> are simulated over the Ghat Mountains and TPF due to 746 orographic enhancement in MJ. Into JA, precipitation increases shift west and north, with 747 precipitation increases of more than 4.5 mm d<sup>-1</sup> being simulated across the western IGP and 748 southern Pakistan. Runoff increases follow precipitation increases across India and the IGP. 749 Across the WTP and ETP, however, runoff changes are modulated primarily by BC SDE-induced 750 snowmelt, with runoff increases (decreases) prior to (after) June; a majority of the simulated 751 SWE reductions are due to BC SDE. Across the TPF, precipitation enhancements due to BC SDE 752 are comparable in magnitude to those of BCD ARI, as TPF surface heating adjacent to a cooler 753 free atmosphere south of the TP initiates an anomalous anabatic circulation through anomalous 754 density gradients whose rising branch is located over the TP. 755 The precipitation increases across south Asia during MJ, and across western India and 756 southern Pakistan during JA, occur as BCD warm the atmospheric column by 1°C to 3°C over a

757 large belt coincident with the subtropical jet extending from northeast Africa through to the TP

758 region. This results in a large upper-level (lower-level) divergence and anticyclonic (convergence 759 and cyclonic) feature in the wind fields. On the southern side of the low-level cyclonic feature, an 760 intensified WLLJ extends from the horn of Africa through the eastern Bay of Bengal, bringing 761 moisture to south Asia during the premonsoonal months. Despite TOA warming from BCD, 762 increased cloud coverage from the intensified WLLJ actually leads to surface cooling. During JA, 763 the WLLJ extends into the northern Arabian Sea. BCD-induced changes in the vertical gradient 764 of the horizontal divergence, coupled with increased moisture across the region, brings forth 765 stronger onset to monsoonal precipitation and increases overall monsoonal precipitation yields 766 through increases in w. BCD effects are amplified across the western Ghat Mountains and the 767 TPF, due to orographic and anabatic effects. 768 This study shows that the SDE and ARI influences on the premonsoon vary greatly as a 769 function of model grid spacing. Specifically, SDE- and ARI-induced perturbations to 770 premonsoonal climate were more comparable in the coarse resolution experiments. In the VR 771 experiments with a better simulation of TP snow cover (Rahimi et al., 2019), the SDE-induced 772 effects were much smaller than those induced by ARI.

773 The results of this study agree well with Lau et al. (2017), suggesting that ARI contribute 774 to the largest circulation changes during the premonsoonal and monsoonal periods. Furthermore, 775 Vinoj et al. (2014) and Jin et al. (2016) concluded that monsoonal precipitation is positively 776 correlated with dust transport from the Arabian Peninsula; absorbing dust initiates convergence 777 across the Middle East, which drives moisture transport into south Asia on time scales of a week. 778 Our results reinforce this conclusion. Dust ARI, as well as BC ARI, contribute to large increases 779 in moisture, CF, precipitation, and upward vertical motion during the premonsoonal and 780 monsoonal periods. Additionally, cloud reductions and precipitation increases due to semi-direct 781 effects are simulated in our study with magnitudes comparable to Lau et al. (2010), although we 782 simulate higher precipitation anomalies across the TPF and western India. Finally, in agreement 783 with Das et al. (2015) we found that absorbing dust warms the atmospheric column downstream

of major emission sources, contributing to anomalous upper-level (lower-level) ridging
(troughing) and an intensification of the WLLJ.

786 There are significant questions that remain regarding the impact of BCD on SAM and 787 premonsoonal meteorology. First, both UN and VR experiments performed rather poorly in 788 simulating the magnitudes of BC both in-snow and in-atmosphere. Specifically, atmospheric BC 789 was underestimated compared to surface observations by simulations, while dust upstream of 790 India was oversimulated compared to satellite measurements by simulations. That being said, 791 there are improvements in VR experiments; CONT-vr reduced the average bias of the in-snow 792 BC concentration by more than a factor of 2 compared to the CONT-un and better represented 793 AOD seasonality in AERONET measurements compared to CONT-un. Second, there is a 794 pronounced lack of in-situ dust measurements, both in-snow and in-atmosphere. This makes a 795 model validation of dust aerosol quite difficult. Although our results indicate that BCD ARI are 796 main drivers of precipitation changes across south Asia during the warm season, the scope of 797 these results could change as more data become available for model evaluation. Third, 798 simulations were conducted with prescribed sea-surface temperatures, so longer-term ocean-799 atmosphere feedbacks were not considered in the context of the aerosol effects. That being said, 800 the heterogeneity of the SDE-induced meteorological anomalies across the TP brought forth by 801 the use of a VR model improves significantly over its coarser resolution counterparts, making the 802 approach of using a VR global model beneficial when examining the climate system across 803 regions in which the topography is highly variable. Thus, this approach has significant utility in 804 other areas in which complex terrain may be a critical regulator of regional climate. 805 An opportunity exists for these simulations to be conducted without prescribed SSTs, as 806 ocean-atmosphere feedbacks may affect the interseasonal and interannual variability of the 807 monsoon. These feedbacks may depress or enhance the various BCD effects discussed here (Xu 808 and Xie, 2015; Wang et al., 2017). To capture the multi-decadal variability of the monsoon, these 809 experiments may also be conducted over longer time periods than considered in this study, as in

810 Xu et al. (2016). Another opportunity exists for the quantification of aerosol-cloud interactions,

811 which were not explicitly quantified in this study. Additionally, it has been shown that monsoon

812 intensity correlates with precipitation and wavetrain patterns far downstream of the Asian

813 continent (Lau and Weng, 2002). Examining how this teleconnection's sensitivity varies with the

- 814 loading of light-absorbing aerosols may shed light on the importance of pollution in affecting far-
- 815 field climate.

816

# 817 Author Contribution

818 Stefan Rahimi and Chenglai Wu set up and ran the simulations. Xiaohong Liu advised all

analyses and provided financial support. William K. M. Lau and Yun Qian collaborated in

820 conceptualization. Mingxuan Wu helped in the analyses of dust variables. Hunter Brown assisted

821 in clarifying the overarching messages in this manuscript.

822

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827 MISR data can be found at <u>https://l0dup05.larc.nasa.gov/MISR/cgi-bin/MISR/main.cgi</u>, and

828 MERRA-2 data can be accessed at <u>https://gmao.gsfc.nasa.gov/reanalysis/MERRA-2/</u>. We also

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## 1136 Tables

# Table 1. List of VR simulations and the BCD effects they include.

Experiment namce	BC effects in snow	BC effects in atmosphere	Dust effects in snow	Dust effects in atmosphere
CONT-vr	Yes	Yes	Yes	Yes
noSDE-vr	No	Yes	No	Yes
noARI-vr	Yes	No	Yes	No
noBCSDE-vr	No	Yes	Yes	Yes
noBCARI-vr	Yes	No	Yes	Yes
noDSDE-vr	Yes	Yes	No	Yes
noDARI-vr	Yes	Yes	Yes	No
noBCDrad-vr	No	No	No	No
CONT-un	Yes	Yes	Yes	Yes
noSDE-un	No	Yes	No	Yes
noARI-un	Yes	No	Yes	No
noBCDrad-un	no	No	no	No

1168	Table 2. Listing of metadata for the point source BC measurements used in this study. CONT-vr and
1169	CONT-un results are also shown. The superscript attached to the observed value denotes the
1170	citation: <sup>[1]</sup> Beegum et al. (2009), <sup>[2]</sup> Pathak et al. (2010), <sup>[3]</sup> Zhang et al. (2008), <sup>[4]</sup> Nair et al. (2012), <sup>[5]</sup> Ram et al.
1171	(2010b), <sup>[6]</sup> Ganguly et al. (2009b), <sup>[7]</sup> Carrico et al. (2003), <sup>[8]</sup> Bonasoni et al. (2010), <sup>[9]</sup> Ram et al. (2010a), <sup>[10]</sup> Ming
1172	et al. (2010), <sup>[11]</sup> Qu et al. (2008), <sup>[12]</sup> Xu et al. (2008), <sup>[13]</sup> Xue et al. (2006), <sup>[14]</sup> Ming et al. (2008), <sup>[15]</sup> Ming et al.
1173	(2009a), <sup>[16]</sup> Ming et al. (2009b), <sup>[17]</sup> Ming et al. (2012), <sup>[18]</sup> Ming et al. (2013), <sup>[19]</sup> Li et al. (2016), <sup>[20]</sup> Cong et al.
1174	(2015), <sup>[21]</sup> Wan et al. (2015), <sup>[22]</sup> Wang et al. (2016), <sup>[23]</sup> Zhao et al. (2015), <sup>[24]</sup> Zhao et al. (2017), <sup>[25]</sup> Babu et al.
1175	(2011), <sup>[26]</sup> Safai et al. (2013), and Begum et al. (2012).

Atmospheric BC measurements described in He et al. (2014) – 13 total								
Site name	Time	Location	Elevation	Observed	CONT-vr	CONT-un		
			(m)	$(\mu g \ m^{-3})$	$(\mu g \ m^{-3})$	$(\mu g \ m^{-3})$		
Delhi	2006	28.6°N 77.2°E	260	13.5 <sup>[1]</sup>	1.52	1.62		
Dibrugarh	2008-2009	27.3 °N 94.6°E	111	8.9 <sup>[2]</sup>	0.68	0.59		
Lhasa	2006	29.7 °N 91.1°E	3663	3.7 <sup>[3]</sup>	0.054	0.041		
Dunhuang	2006	40.2°N 94.7°E	1139	4.1 <sup>[3]</sup>	0.085	0.054		
Kharagpur	2006	22.5°N 87.5°E	28	5.5 <sup>[4]</sup>	1.47	1.16		
Kanpur	2006	26.4°N 80.3°E	142	3.7 <sup>[5]</sup>	1.46	1.50		
Gandhi College	2006	25.9°N 84.1°E	158	4.8 <sup>[6]</sup>	2.02	1.76		
Negarkot	1999-2000	27.7°N 85.5°E	2150	1.0 <sup>[7]</sup>	0.66	0.56		
NCOP	2006	28.0°N 86.8°E	5079	0.2 <sup>[8]</sup>	0.04	0.0.26		
Manora Peak	2006	29.4°N 79.5°E	1950	1.1 <sup>[9]</sup>	0.55	0.85		
NCOS	2006	30.8°N 91.0°E	4730	$0.1^{[10]}$	0.03	0.02		
Longtang	1999-2000	28.1°N 85.6°E	3920	0.4 <sup>[7]</sup>	0.12	0.34		
Zhuzhang	2004-2005	28.0°N 99.7°E	3583	0.3 <sup>[11]</sup>	0.15	0.30		
In-snow BC mea	surements descri	ibed in He et al. (.	2014) – 26 tot	tal				
Site name	Time	Location	Elevation	Observed	CONT-vr	CONT-un		
			(m)	$(\mu g k g^{-1})$	(µg kg <sup>-1</sup>	$(\mu g \ k g^{-1})$		
					)			
Zuoqiupu	Monsoon 2006	29.21°N 96.92°E	5500	7.9 <sup>[12]</sup>	89.4	146		
Zuoqiupu	Non-monsoon 2006	29.21°N 96.92°E	5500	15.9 <sup>[12]</sup>	70.2	180		
Qiangyong	Summer 2001	28.83 °N 90.25°E	5400	43.1 <sup>[13]</sup>	8.37	2.61		
Noijin Kangsang	Annual 2005	29.04°N 90.20°E	5950	30.6 <sup>[12]</sup>	33.7	16.9		
East Rongbuk	Monsoon 2001	28.02°N 86.96°E	6500	35.0 <sup>[14]</sup>	53.4	5.97		
East Rongbuk	Non-monsoon 2001	28.02°N 86.96°E	6500	21.0 <sup>[14]</sup>	60.2	61.5		

East	Summe	28.02	65	20.3	5	4.
Rongbuk	r 2002	°N	00	[15]	5.	4
0.1		86.96			1	
		°E				
 Fast	Octobe	28.02	65	18.0	5	7
Rongbuk	r 2004	°N	00	[15]	1	0
Kongouk	1 2004	1	00		1.	2
		°€			4	
 Fast	Sentem	28.02	65	<b>9 0</b> <sup>[1</sup>	1	10
Dast	bor	28.02 °N	00	9.0 8]	4	10
Kongouk	2006	IN 96.06	00		0. 6	.0
	2000	00.90 °E			0	
 <b>F</b> (	M	E 28.02		41.0	1	20
East	May	28.02		41.8	1	30
Rongbuk	2007	٥N	6500	[1/]	0	.6
		86.96			5	
		°Е				
Kangwur	Summe	28.47	60		9	4.37
e	r 2001	°N	00	21.8 <sup>[13]</sup>	2.	
		85.82			4	
		°E				
 Namunan	Summe	30.45	59	4.3[1	1	5.
i	r 2004	°N	00	3]	8	50
	12001	81.27	00		0	20
		°E			0	
 M+	Cumma	Ľ	62	27.2	0	24
Mit.	Summe	20.0001.75.0005	63	57.2	9	34
Muztagn	r 2001	38.28°N /5.02°E	50	L · J	4.	.3
 	1000				8	
Mt.	1999	38.28	63	26.6	6	54
Muztagh		٥N	00	[15]	7.	.6
		75.10			1	
		°E				
Laohugo	Octobe	39.43	50	35.0	4	15
u #12	r 2005	°N	50	[15]	4.	.8
		96.56			9	
		°E				
 Oivi	Inly	39.23	48	22.0	8	10
QIYI	2005	°N	50	[15]	0. Q	0
	2005	07.06	50		5	.0
		97.00 °E			5	
 1 1 1	C	E 20.22	16	52 (	1	12
I July	Summe	39.23	46	52.6	l	12
Glacier	r 2001	Ň	00	[10]	4.	/6
		97.75			2	
		°Е				
Meikuan	Summe	35.67	52	446 <sup>1</sup>	9.	5.
g	r 2001	°N	00	13]	9	20
		94.18			4	
		°E				
Meikuan	Novem	35.67	52	81.0		19
σ	ber	°N	00	[16]	29.3	4
8	2005	94.18			27.5	
	2005	°F				
 Tanggula	2002	22.11	59	52.1	2	12.5
Taliggula	2003	0NI	58	[12]	2	15.5
		IN 02.00	00		2.	
		92.09			6	
 		Έ		[12]		
Dongke	Summe		56	18.2		2.
madi	r 2001	33.10°N 92.08°E	00		13.3	64
Dongke	2005	33.10	56	36.0 <sup>[18]</sup>	2	13
madi		°N	00		3.	.6
		92.08			0	
		°E			Ŭ	
 La'nong	Juna	Ľ	58	67.0	0	4
La nong	2005	20 4201 00 5705	50	[15]	9.	4. 20
	2005	30.42 IN 90.3/"E	50		5	05
 71 1	<b>T</b> 1	20.47	<i></i>	07.1	5	
Zhadang	July	30.47	58	87.4	9.	2.
	2006	۳N	00	[13]	5	46
		90.50			3	
 		°E				

Haxilege	Octobe	43.73	3760		46.9	5	19
n River	r 2006	°N			[15]	8.	.3
		84.46 °E				4	
Urumqi	Novem	43.10	4	10	141 <sup>[</sup>	5	58.3
Riverhea	ber	°N	5	50	16]	6.	
d	2006	86.82 °E				4	
Atmospheric BC	measurements des	scribed in Yang	et al. (2018	I) -	11 total		
Site name	Time	Location	Elevation	1	Observed	CONT-vr	CONT-un
			(m)		$(\mu g m^{-3})$	$(\mu g \ m^{-3})$	$(\mu g \ m^{-3})$
Lhasa	March-	29.65 °N	3	36 10	0.46	0.	0.
	ber	91.03	4	10		0	04
	2013	°E				0	
Qomalangma	August	28.36	4	12	0.25		0.
	2009 -	°N	7	76	[20]	0.10	18
	July	86.95					
Namao	2010	<u>Е</u>		17	0.10	0	0
Inameo	2012	°N	4	+/ 30	[21]	0.	0.
		90.98	-	0		3	02
		°E					
Hulugou	January	38.23	3	38	0.76	0.08	0.
	-	°N	9	90	[19]		09
	Novem	99.48 °E					
	2013	E					
Ranwu	January- June	29.32	4	16	$0.24^{[22]}$	0.	0.
	2013	°N	0	00		0	17
		96.96				3	
D 11	r	<u>°Е</u>		16	0.40	0	0
Bellune	January	34.83 °N	4	10 10	[22]	0.	0.
	2013	92.94	C			1	01
		°E					
Qinghai Lake	2012	36.97			0.84	0.	0.
		°N	3300		[23]	1	08
		99.90 %E				3	
Lulang	hılv	E 29.46	1	13	0.5[2	0	0
Luiding	2008 –	°N	Ĩ	)0	4]	0.	13
	August	94.44				9	
	2009	°E					
Hanle	August	32.78	4	45	0.07	0.	0.
	2009 – Intr	°N 78.06	2	20	[25]	0	04
	2010	78.90 ⁰E				5	
Sinhagad	2010	18.35	1	4	3.8 <sup>[2</sup>	0.	0.
Pune		°N	5	50	6]	4	53
		73.74 °F				9	
Dhaka	March	23.76	7	7	22.8	1.	1.
	2010 -	°N			[27]	3	17
	Februar	90.39				6	
	y 2011	°E					

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1186	Figures
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Figure 1. The variable-resolution (VR) grid points are shown in (a), and locations for point-source surface-based aerosol measurements are shown in (b). The analysis subregions are shown in panel (c).

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1201Figure 2. Panels (a), (b), (c), (d), (e), and (f) depict May-June-averaged AOD values across south-Asia for1202CONT-vr, CONT-un, MODIS, MISR, MACv2, and MERRA-2, respectively. AOD averages from these1203respective data between 0°N-60°N and 60°E-140°E are given at the top.

- . . . .



1217Figure 3. Measurements from AERONET compared to CESM simulations for (a) total-, (b) fine-, and (c) coarse-<br/>mode annually averaged AOD. Pearson correlation (r) values between simulations and observations are given,<br/>as are mean AOD differences ( $\Delta AOD$ ). p-values are not given for panels (a) through (c), as they are very close to<br/>zero. A best-fit line for the scatter data between CONT-vr (CONT-un) is plotted in red (black). The thin black<br/>line is the 1-to-1 curve. Panels (d) and (e) show the mean monthly variability of AOD averaged at all 57<br/>AERONET sites and only for sites between 25°N-30°N and 70°E-90°E, respectively. r-values (p-values) between<br/>various simulations/observations and AERONET are also given in panels (d) and (e) at the bottom (top) of each<br/>panel.



1240Figure 4. Observed versus simulated (a) atmospheric and (b) in-snow BC. Observations are summarized in He1241et al. (2014; H2014) and Ye et al. (2018; Y2018). Pink- (sea foam-) colored areas denote areas of where1242simulations overpredict (underpredict) BC. The vertical lines connect identical observation points from CONT-1243vr and CONT-un, while the color of each line indicates which experiment is closer to observed BC1244measurements. The thin solid black diagonal represents the 1-to-1 curve, while the thin dashed diagonals1245represent factors of underprediction or overprediction by CESM experiments.



1271 Figure 5. Diagnostically-computed direct radiative effect (DRE) (W m<sup>-2</sup>) at the top of the atmosphere (TOA) for (a) BC and, (b) dust during MJ. Panels (c), (d), and (e) show the in-snow radiative effect (ISRE) at the surface for BCD across the WTP, ETP, and TPF, respectively (W m<sup>-2</sup>).



#### MJ mean 2-meter temperature anomalies

1282Figure 6. May/June mean 2-meter temperature (T2) anomalies (°C) due to: (a) BCD-induced SDE+ARI, (b)1283BCD-induced SDE, (c) BCD-induced ARI (d) BC-induced SDE, (e) BC-induced ARI, (f) dust-induced SDE, and1284(g) dust-induced ARI. Hatching marks denote areas with t-values of 0.9 and greater, which have been1285interpolated to a 1° mesh for presentation. It is noted that, inside the 1° zone, there might be as many as 64 grid1286points that are characterized by statistically significant values.

Monthly SWE anomalies [mm]



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Figure 7. Monthly time series of snow water equivalent (SWE) changes (millimeters) due to BCD effects across (a) the WTP, (b) ETP, and (c) TPF.



1329 Figure 8. Same as in Figure 6, but for snow water equivalent (SWE) (mm).



1358<br/>1359Figure 9. Monthly time series of specific humidity (q) changes in g kg<sup>-1</sup> due to BCD effects across (a) WTP, (b)<br/>the ETP, (c) TPF, (d) India, and (e) IGP.



Figure 10. Same as in Figure 6, but for cloud fraction (CF) (%).



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**·04** Figure 11. Same as in Figure 6, but for precipitation rate (mm d<sup>-1</sup>).



142614271428Figure 12. Same as in Figure 9, but for precipitation rate (mm d<sup>-1</sup>). Note that the y-axis in (e) is different from<br/>panels (a)-(d).



700hPa-300hPa temperature [K], 250 hPa height [dm] 850hPa streamlines, u- [m/s], surface q flux [kg/m2/d]

1453Figure 13. MJ averaged BCD-induced anomalies in (a) 700-300 hPa column temperatures and 250 hPa heights,1454(b) 850 hPa u-anomalies (color fill), streamlines, and surface moisture flux (aquamarine contours), (c)1455precipitation rate (color fill) and 850 hPa specific humidity (dark green contours), and (d) 250 hPa streamlines1456and surface pressure.

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Figure 14. Vertical profiles of BCD effect-wise anomalies in (a) temperature, (b) vertical
velocity, (c) CF, and (d) q across the IGP during MJ, averaged horizontally between 25°N to
30°N and 75°E to 80°E. Red (black) curves depict ARI (SDE) effects, while the solid blue
curve depicts the combined effects of BCD. Dashed lines represent BC-induced anomalies,
while dotted lines represent dust-induced anomalies.



#### MJ mean 2-meter temperature anomalies

Figure 15. Anomalous 2-meter temperatures during May-June due to BCD (a) SDE+ARI, (b) SDE, and (c) ARI in the VR experiment. Panels (d)-(f) are the same as in (a)-(c) but are for the UN experiments.