

1 **Liquid-liquid phase separation in secondary organic aerosol particles**
2 **produced from α -pinene ozonolysis and α -pinene photo-oxidation**
3 **with/without ammonia**

4
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13
14 **Abstract**

15 Recently, liquid–liquid phase separation (LLPS) of secondary organic aerosol (SOA) particles
16 free of inorganic salts has been intensively studied because of their importance on cloud
17 condensation nuclei (CCN) properties. Herein, we investigated LLPS in four different types of
18 SOA particles generated from α -pinene ozonolysis and α -pinene photo-oxidation in the absence
19 and presence of NH_3 . LLPS was observed in SOA particles produced from α -pinene ozonolysis
20 at $\sim 95.8\%$ relative humidity (RH) and α -pinene ozonolysis with NH_3 at $\sim 95.4\%$ RH. However,
21 LLPS was not observed in SOA particles produced from α -pinene photo-oxidation and α -
22 pinene photo-oxidation with NH_3 . With datasets of average oxygen to carbon elemental ratio
23 (O:C) for different types of SOA particles of this study and previous studies, **there appears to**
24 **be a relationship between the occurrence of LLPS and the O:C of the SOA particles.** When
25 LLPS was observed, the two liquid phases were present up to $\sim 100\%$ RH. This result can help
26 to predict more accurate results of CCN properties of organic aerosol particles.

1 **1 Introduction**

2 Secondary organic (SOA) particles in the atmosphere can be formed by the oxidation of volatile
3 organic compounds (VOCs) emitted from biogenic and anthropogenic sources (Hallquist et al.,
4 2009). These SOA particles comprise ~20–80% of ultrafine aerosol particles depending on the
5 location (Zhang et al., 2007; Jimenez et al., 2009). They can affect the energy balance of the
6 Earth by scattering and absorbing solar radiation and also by acting as nuclei for cloud
7 formation (Kanakidou et al., 2005; Hallquist et al., 2009; IPCC, 2013; Knopf et al., 2018). In
8 addition, these particles can affect air quality and human health (Kanakidou et al., 2005; Jang
9 et al., 2006; Solomon et al., 2007; Baltensperger et al., 2008; Murray et al., 2010; Wang et al.,
10 2012; Poschl and Shiraiwa, 2015; Shiraiwa et al., 2017).

11 Many previous studies showed that SOA particles can be formed more efficiently in the
12 presence of gaseous species such as ammonia (NH_3) (Zhang et al., 2004; Na et al., 2006; Na et
13 al., 2007; Laskin et al., 2014; Liu et al., 2015a; Liu et al., 2015b; Babar et al., 2017). NH_3 is
14 one of the abundant and reactive gaseous species in the atmosphere (Reis et al., 2009; Heald et
15 al., 2012; Reche et al., 2015; Zheng et al., 2015; Sharma et al., 2016; Warner et al., 2016). The
16 chemical composition of SOA particle can be influenced by the reaction with NH_3 (Laskin et
17 al., 2015; Liu et al., 2015b), but it is still poorly understood.

18 Aerosol particles containing SOAs can undergo phase transitions in the atmosphere as relative
19 humidity (RH) changes. So far, many researchers have focused on phase transitions, especially
20 liquid–liquid phase separation (LLPS) in particles containing SOAs and inorganic salts during
21 changes to RH (Pankow et al., 2003; Marcolli et al., 2006; Ciobanu et al., 2009; Bertram et al.,
22 2011; Krieger et al., 2012; Song et al., 2012a; Song et al., 2012b; Zuend and Seinfeld., 2012;
23 **Ault et al., 2013**; Veghte et al., 2013; O'Brien et al., 2015). They established that LLPS always
24 occurred in SOA particles mixed with inorganic salts when the oxygen to carbon elemental
25 ratio (O:C) of the organic materials is smaller than 0.56, while LLPS never occurred when the
26 O:C of the organic materials is greater than 0.80. LLPS commonly occurred in the intermediate
27 O:C ratio range (Bertram et al., 2011; Krieger et al., 2012; Song et al., 2012a; Song et al., 2013;
28 You et al., 2013; You et al., 2014). LLPS in a mixture of SOA particles and inorganic salts is
29 known to affect optical properties (Fard et al., 2018), gas–particle partitioning (Zuend et al.,
30 2010; Zuend and Seinfeld, 2012; Shiraiwa et al., 2013), reactivity (Kuwata and Martin., 2012),
31 hygroscopic properties (Hodas et al., 2016), and cloud condensation nuclei (CCN) properties

1 of these particles (Hodas et al., 2016; Ovadnevaite et al., 2017; Rastak et al., 2017; Altaf et al.,
2 2018).

3 More recently, researchers have focused on LLPS in SOA particles in the absence of inorganic
4 salts (Peters et al., 2006; Renbaum-Wolff et al., 2016; Rastak et al., 2017; Song et al., 2017;
5 Song et al., 2018) since it is important to explore the CCN properties of the particles (Petters
6 et al., 2006; Hodas et al., 2016; Renbaum-Wolff et al., 2016; Ovadnevaite et al., 2017; Rastak
7 et al., 2017; Liu et al., 2018). Renbaum-Wolff et al. (2016) and Song et al. (2017) observed
8 LLPS at a high RH of ~95–100% in SOA particles produced from ozonolysis of α -pinene, β -
9 caryophyllene, and limonene. However, Rastak et al. (2017) and Song et al. (2017) did not
10 observe LLPS in SOA particles produced from photo-oxidation of isoprene and toluene. The
11 occurrence of LLPS in SOA particles free of inorganic salts was related to the average O:C of
12 the organic materials. When the average O:C of the SOA particle is less than ~0.44, LLPS was
13 observed in the SOA particles free of inorganic salts (Renbaum-Wolff et al., 2016; Rastak et
14 al., 2017; Song et al., 2017). Song et al. (2018) studied organic particles consisting of one and
15 two commercially available organic species free of inorganic salts and found that the average
16 O:C of the organic material can be an important parameter to predict LLPS. LLPS was observed
17 in particles containing one organic species at an O:C ratio of ≤ 0.44 and in particles containing
18 two organic species at an O:C ratio of ≤ 0.58 . Since still a few systems have been studied so
19 far, more studies are needed to confirm the effect of O:C for LLPS in organic particles.

20 Herein, we investigated LLPS in SOA particles produced from ozonolysis and photo-oxidation
21 of α -pinene. Moreover, we studied the effects of NH_3 on SOA particles produced from
22 ozonolysis and photo-oxidation of α -pinene on the occurrence of LLPS.

23

24 **2 Experimental**

25 **2.1 Production of SOA particles**

26 Four different types of SOA particles were generated in the flow tube reactor of Kyungpook
27 National University (KNU), Korea: those produced via α -pinene ozonolysis and α -pinene
28 photo-oxidation in the absence of NH_3 (Table 1), and those produced via α -pinene ozonolysis
29 and α -pinene photo-oxidation in the presence of NH_3 (Table 2). The method of SOA particle

1 generation was described previously by Babar et al. (2017). The flow tube reactor was run at a
2 flow rate of $4.0 \text{ L}\cdot\text{min}^{-1}$, with a residence time of 3.63 min at ~10% RH.

3 α -pinene of 1000 ppb concentration was injected into the flow tube reactor to produce SOA
4 particles via ozonolysis without NH_3 . O_3 was produced by passing high purity O_2 through a
5 UV lamp ($\lambda = 185 \text{ nm}$) and was injected into the flow tube reactor at a concentration of 10000
6 ppb. Table 1 presents the experimental conditions for the ozonolysis.

7 To produce SOA particles via photo-oxidation in the absence of NH_3 , 1000 ppb of α -pinene
8 was injected in the flow tube reactor (Table 1). OH radical was produced by photo-dissociation
9 of O_3 by irradiating O_3 with UV ($\lambda = 254 \text{ nm}$) in the presence of water vapor. The following
10 photochemical reactions take place.



13 In the flow tube reactor, OH concentrations were determined from the photochemical decay of
14 toluene because toluene is well known for its OH reaction rate. The OH reaction rate constant
15 (k_{OH}) of toluene is $5.48 \times 10^{-12} \text{ molecules cm}^{-3} \text{ s}^{-1}$ with insignificant reaction rate with O_3
16 (Atkinson and Aschmann, 1989). OH concentrations were calculated by varying O_3 and RH
17 from 2000 ppb to 8000 ppb and 10% to 60%, respectively. OH concentrations were calculated
18 by first order decay of toluene by reaction with OH radicals (Babar et al., 2017). Assuming an
19 atmospheric OH concentration of $1.5 \times 10^6 \text{ molecules}\cdot\text{cm}^{-3}$, OH exposures were 8.2×10^{10}
20 $\text{molecules}\cdot\text{cm}^{-3}\cdot\text{s}$ and $2.3 \times 10^{11} \text{ molecules}\cdot\text{cm}^{-3}\cdot\text{s}$, corresponding to atmospheric aging time of
21 0.5 d and 2.5 d, respectively, and the concentrations of O_3 in the reactor were 2000 and 6000
22 ppb at 10% RH, respectively.

23 The same method was used for SOA particle generation via ozonolysis and photo-oxidation in
24 the presence of NH_3 , the exception being that NH_3 was injected into the flow tube reactor
25 during particle generation. The concentration of NH_3 was 2000 ppb for the ozonolysis and
26 photo-oxidation (Table 2).

27 The $4 \text{ L}\cdot\text{min}^{-1}$ mainstream flow of SOA particles at the outlet of the flow tube reactor was
28 diluted by a humidified air stream (RH of 60%) of $7 \text{ L}\cdot\text{min}^{-1}$. A diffusion dryer loaded with

1 silica gel was used at the upstream of Scanning Mobility Particle Sizer (SMPS+C, Grimm,
2 Germany) for the measurement of dry SOA mass concentrations. After dilution, the mass
3 concentrations of the SOA particles were measured to range between $\sim 480 \mu\text{g}\cdot\text{m}^{-3}$ and ~ 880
4 $\mu\text{g}\cdot\text{m}^{-3}$ using the SMPS for different experimental conditions as presented in Tables 1 and 2.
5 The sample and sheath flow rates of the SMPS were 0.3 and $3.0 \text{ L}\cdot\text{min}^{-1}$, respectively. The
6 SOA particles consisting of up to $\sim 5 \mu\text{m}$ were collected at the outlet of the reactor on a
7 siliconized substrate (siliconized glass slides of 18 mm , Hampton Research, USA). Figure S1
8 is an example image of collected SOA particles derived from α -pinene ozonolysis (α -pinene
9 O_3 #1 in Table 1) on a hydrophobic substrate at the outlet of the flow tube reactor.

10 For each experiment, the siliconized glass slide was initially cleaned three times with water
11 and methanol. Then, it was dried by purging N_2 gas. Finally, it was fixed in the Stage D collector
12 plate of a Sioutas cascade impactor (225-370, SKC, USA), operated at $9 \text{ L}\cdot\text{min}^{-1}$.

13 2.2 Observation of liquid-liquid phase separation in SOA particles

14 The observation of LLPS in a particle required particle diameters of $20\text{--}80 \mu\text{m}$. In order to
15 obtain the appropriate particle sizes for the LLPS experiments, SOA particles sized up to $5 \mu\text{m}$
16 collected on the siliconized substrate from the flow tube reactor were placed into a RH-
17 controlled flow-cell coupled to an optical microscope (Olympus BX43, $40\times$ objective) (Parsons
18 et al., 2004; Pant et al., 2006; Bertram et al., 2011; Song et al., 2012b; Song et al., 2018) at
19 $\sim 100\%$ RH, and then, the particles grew and coagulated for $\sim 60 \text{ min}$. This process resulted in
20 a particle size of $20\text{--}80 \mu\text{m}$ (Renbaum-Wolff et al., 2016). Once the particle size was
21 appropriate for the LLPS experiments, humidity cycles were performed.

22 During a humidity cycle, RH was reduced from $\sim 100\%$ to $\sim 5\text{--}10\%$ lower than the RH at
23 which the two liquid phases merged into one phase, followed by an increase to $\sim 100\%$ RH at
24 a rate of $0.1\text{--}0.5\% \text{ RH}\cdot\text{min}^{-1}$. If LLPS was not observed, RH was reduced from $\sim 100\%$ to
25 $\sim 0\%$, and then, it was increased to $\sim 100\%$ at a rate of $0.5\text{--}1.0\% \text{ RH}\cdot\text{min}^{-1}$. We did not observe
26 a dependence of LLPS on the humidity ramp rate. The optical images of the SOA particles
27 during the experiment were recorded every 5 s using a complementary metal oxide
28 semiconductor detector (Digiretina 16, Tucsen, China). All the experiments were performed at
29 a temperature of $289\pm 0.2 \text{ K}$.

30 The RH was controlled by the ratio of $\text{N}_2/\text{H}_2\text{O}$ gas at a total flow rate of 500 sccm . The RH

1 inside the flow-cell was determined using a temperature and humidity sensor (Sensirion SHT
2 71, Switzerland) which was calibrated by observing the deliquescence RH for the following
3 pure inorganic salts at 293 K: potassium carbonate (44% RH), sodium chloride (76% RH),
4 ammonium sulfate (80.5% RH), and potassium nitrate particle (93.5% RH) (Winston and Bates,
5 1960). The uncertainty of the RH after calibration was $\pm 2.0\%$.

7 **3 Results and Discussion**

8 **3.1 SOA particles produced from α -pinene ozonolysis and α -pinene photo-oxidation**

9 SOA particles generated by α -pinene ozonolysis with a mass concentration of $\sim 500 - 1000$
10 $\mu\text{g}\cdot\text{m}^{-3}$ underwent humidity cycles at 289 ± 0.2 K. Figure 1 shows examples of optical images
11 of a SOA particle (α -pinene O_3 #1 in Table 1) produced from α -pinene ozonolysis with
12 increasing RH. Only one phase was observed from 0 to $\sim 96\%$ RH (Fig. 1). At 96.6% RH, LLPS
13 occurred by a mechanism of spinodal decomposition, which distributes many small inclusions
14 (Schlieren) throughout a particle (Ciobanu et al., 2009; Song et al., 2012b). After phase
15 separation, at $\sim 97.0\%$ RH, small droplets grew and coagulated to form inner and outer phases
16 in the particle. As the RH increased further, the SOA particle displayed a core-shell
17 morphology consisting of inner and outer phases. The two liquid phases co-existed up to $\sim 100\%$
18 RH, as shown in Fig. 1. When the RH decreased from $\sim 100\%$, the inner phase became smaller
19 and merged into one phase at $\sim 95.0\%$ RH. We assume that the inner phase is a water-rich phase
20 and the outer phase is an organic-rich phase since the size of the inner phase depends on
21 changes to RH (Renbaum-Wolff et al., 2016; Song et al., 2017; Song et al., 2018). Moreover,
22 previous studies using surface tension (Jasper, 1972), spreading coefficient (Kwamena et al.,
23 2010; Reid et al., 2011), Raman spectroscopy (Song et al., 2013; Gorkowski et al., 2016;
24 Gorkowski et al., 2017), atomic force microscopy (Zhang et al., 2018), and scanning electron
25 microscopy (O'Brien et al., 2015) showed consistent results with regard to the morphology of
26 the particles consisting of organic and inorganic salts.

27 Table 1 summarizes the separation relative humidity (SRH) upon moistening and the merging
28 relative humidity (MRH) upon drying. In all cases, the SOA mass concentration was $\sim 500 -$
29 $1000 \mu\text{g}\cdot\text{m}^{-3}$. LLPS was observed at $95.8 \pm 2.3\%$ RH for all SOA particles derived from α -
30 pinene ozonolysis, and the two phases merged into one phase at $92.9 \pm 4.6\%$ RH. The

1 uncertainties of the SRH and the MRH indicate the 2σ from several humidity cycles for one
2 sample and from the uncertainty of the calibration.

3 Renbaum-Wolff et al. (2016) observed LLPS in SOA particles derived from α -pinene
4 ozonolysis at $\sim 95\%$ RH. It is consistent with our result. They also showed that LLPS in the
5 particles did not depend on SOA particle mass concentrations between 75 and 11000 $\mu\text{g}\cdot\text{m}^{-3}$.
6 Since the SOA particle mass concentration does not affect LLPS, in this study, we only focused
7 on the SOA particle mass concentration of $\sim 500 - 1000 \mu\text{g}\cdot\text{m}^{-3}$ for different types of SOA
8 particles.

9 We also performed humidity cycles for SOA particles of mass concentration $\sim 500 - 1000 \mu\text{g}\cdot\text{m}^{-3}$
10 ³ derived from α -pinene photo-oxidation. Table 1 summarizes the results of the humidity cycles.
11 None of the SOA particles from α -pinene photo-oxidation underwent LLPS during the RH
12 cycles. Figure 2 shows examples of optical images of a SOA particle (α -pinene OH #2 in Table
13 1) for increasing RH. From 0 to 100% RH, there was no evidence of occurrence of LLPS in
14 the particles.

15 **3.2 SOA particles produced from α -pinene ozonolysis with NH_3 and α -pinene photo-** 16 **oxidation with NH_3**

17 Ammonia is an abundant and reactive gaseous species in the atmosphere (Reis et al., 2009;
18 Heald et al., 2012; Reche et al., 2015; Zheng et al., 2015; Sharma et al., 2016; Warner et al.,
19 2016). Previous studies showed that in the presence of NH_3 , SOA particles can be formed more
20 effectively (Zhang et al., 2004; Na et al., 2006; Na et al., 2007; Liu et al., 2015a; Liu et al.,
21 2015b; Babar et al., 2017). To investigate the effect of NH_3 on LLPS in SOA particles, we
22 studied LLPS in SOA particles using α -pinene ozonolysis and photo-oxidation in the presence
23 of NH_3 . Table 2 presents the experimental conditions for the particle generation. We used the
24 experimental conditions of SOA particle generation via ozonolysis and photo-oxidation (Table
25 1) in this case too, but we injected 2000 ppb of NH_3 into the flow tube reactor during particle
26 generation (Table 2).

27 We performed humidity cycles for the SOA particles produced from α -pinene ozonolysis in the
28 presence of NH_3 for the mass concentration of $\sim 500 - 1000 \mu\text{g}\cdot\text{m}^{-3}$. Figure 3 shows examples
29 of the optical images of SOA particles produced by α -pinene ozonolysis in the presence of NH_3

1 as a function of increasing RH (α -pinene O₃/NH₃ #1 in Table 2). Upon moistening, only one
2 phase was present (Fig. 3). As RH increased, the one phase of the SOA particle was separated
3 into two phases at 95.3% RH, the underlying mechanism being spinodal decomposition. At
4 95.6% RH, small inclusions in the particle coagulated and grew, and then, as RH increased
5 further, a core–shell morphology, with a shell consisting of an organic-rich phase and the core
6 consisting of a water-rich phase on a substrate, were observed. The two liquid phases co-existed
7 up to ~100% RH. When the RH decreased from ~100% RH, the inner phase of the particle
8 became smaller, and eventually, the inner phase merged into one phase at 94.4% RH.

9 Table 2 summarizes the results of average SRH and MRH during the humidity cycles for the
10 SOA particles produced by α -pinene ozonolysis in the presence of NH₃. LLPS occurred at 95.4
11 $\pm 2.9\%$ RH, and the two phases merged into one phase at $94.4 \pm 2.7\%$ RH for the all particles
12 (Table 2).

13 For SOA particles derived from α -pinene photo-oxidation in the presence of NH₃, no LLPS
14 was observed during changes to RH. Table 2 lists the results of SRH and MRH for two different
15 SOA particles derived from α -pinene photo-oxidation in the presence of NH₃. Figure 4 show
16 the examples of the optical images of SOA particles produced by α -pinene with NH₃ photo-
17 oxidation for increasing RH (α -pinene OH/NH₃ #2 in Table 2). Only one phase was observed
18 from 0 to 100% RH.

19 **3.3 Phases of the four different types of SOA particles**

20 Figure 5 shows the RH at which two liquid phases were observed during RH scanning for the
21 four different types of SOA particles. Circles represent MRH upon drying, and triangles
22 represent SRH upon moistening. In the figure, the values of SRH and MRH of SOA particles
23 derived from α -pinene ozonolysis by Renbaum-Wolff et al. (2016) are also included (in red).
24 If RH equals 0%, no LLPS was observed.

25 Among the four different types of SOA particles, two types of particles underwent LLPS but
26 the remaining particles did not (Fig. 5). For the SOA particles derived from α -pinene ozonolysis,
27 two liquid phases existed at $\sim 95.8 \pm 2.3\%$ RH up to $\sim 100 \pm 2.0\%$ RH with increasing RH. For
28 values lower than $\sim 92.9 \pm 4.6\%$ RH with decreasing RH, only one phase was observed. For the
29 SOA particles derived from α -pinene ozonolysis in the presence of NH₃, the RH range for the

1 two liquid phases was $\sim 95.4 \pm 2.9\%$ and $\sim 100 \pm 2.0\%$ with increasing RH. SRH values of both
2 SOA particles were very similar within the uncertainties of the measurements. Also, Fig. 5
3 showed that the values of SRH upon moistening and MRH upon drying for the two types of
4 particles were close within the uncertainties of the measurements, suggesting that the kinetic
5 barrier to LLPS in the particles is low. Compared to the SOA particles derived from α -pinene
6 ozonolysis and from α -pinene ozonolysis with NH_3 , LLPS was not observed in SOA particles
7 derived from α -pinene photo-oxidation without/with NH_3 (Fig. 5). In these cases, only one
8 phase was present between 0 and 100% RH.

9 **3.4 Relation between O:C ratio and LLPS**

10 Recent studies have shown that occurrence of LLPS in SOA particles free of inorganic salts is
11 related to the average O:C ratio of the organic materials (Renbaum-Wolff et al., 2016; Rastak
12 et al., 2017; Song et al., 2017). They showed that LLPS can occur in SOA particles derived
13 from α -pinene, limonene, and β -caryophyllene for RH between $\sim 95\%$ and $\sim 100\%$ when the
14 average O:C ratio ranged from 0.34 and 0.44. LLPS was not observed in SOA particles derived
15 from isoprene and toluene when the average O:C ratio was between 0.52 and 1.30. Figure 6
16 and Table S1 show LLPS as a function of the average O:C ratio of SOA particles from previous
17 studies (Lambe et al., 2015; Li et al., 2015; Renbaum-Wolff et al., 2016; Song et al., 2017).
18 Also presented in Table S2 are the O:C ratios and experimental conditions for the SOA particles
19 produced from α -pinene ozonolysis and photo-oxidation investigated in this study and previous
20 studies. In this study, data on the average O:C ratios were not available, and thus, we chose the
21 O:C ratios in the literature that were closest to the experimental conditions (Table S2). The O:C
22 ratio for the SOA particles derived from α -pinene ozonolysis ranges from 0.42–0.44 as per Li
23 et al. (2015), whereas that for SOA particles derived from α -pinene photo-oxidation is 0.40–
24 0.90 according to Lambe et al. (2015).

25 According to the dataset of average O:C ratios of different types of SOA particles from this
26 study as well as previous studies, Fig. 6 shows that LLPS occurred when the average O:C ratio
27 was between 0.34 and 0.44. This range of the O:C ratio required for occurrence of LLPS in the
28 SOA particles is consistent with that of previous work (Renbaum-Wolff et al., 2016; Rastak et
29 al., 2017; Song et al., 2017). However, LLPS did not occur when the average O:C ratio was
30 between 0.45 and 1.30 in this study. Using a new type of SOA particle generated from α -pinene

1 photo-oxidation, we suggest that the absence of LLPS is wider than that reported by a previous
2 work (0.52 - 1.30) (Song et al., 2017).

3 Similar to the results of LLPS in the SOA particles with O:C ratio, bulk solutions containing
4 two organics and water also showed the miscibility gap (Ganbavale et al., 2015). For example,
5 bulk solutions of two organics with a low O:C and water (e.g. a mixture of 1-butanol, 1-
6 propanol, and water) formed two liquid phases (Ganbavale et al., 2015). However, bulk
7 solutions of two organics with a high O:C and water (e.g. a mixture of ethanol, acetic acid, and
8 water) formed a single liquid phase.

9 Previous studies found nitrogen-containing SOA species in the presence of NH₃ (Laskin et al.,
10 2015; Liu et al., 2015b). They suggested that ammonium carboxylates were formed by
11 neutralization between carboxylic acid and ammonia, and amines were formed by carbonyl and
12 ammonia via Schiff's base reaction (Na et al., 2006; Na et al., 2007; Laskin et al., 2015). The
13 nitrogen to carbon (N:C) ratio was reported to be 0.01–0.08 based on aerosol mass
14 spectrometry (AMS) and fourier transform ion cyclotron resonance (FT-ICR MS) (Laskin et
15 al., 2014; Liu et al., 2015b). It is noteworthy that ammonium carboxylates and amines are
16 highly water soluble compounds. However, more accurate data for O:C ratios of the SOA
17 particles in the presence of NH₃ is needed.

18 Figure 6 also showed the range of the two liquid phases. The two phases consisting of an
19 organic-rich shell and water-rich core were observed at RH as high as ~100% in all cases.
20 Recent studies of Rastak et al. (2017) and Liu et al. (2018) showed from laboratory study and
21 modeling results that the presence of LLPS in organic particles at ~100% RH can lead to lower
22 surface tension, and finally a lower kinetic barrier to CCN activation. Our result can also give
23 an additional insight into attempting more accurate predictions of the CCN properties of
24 organic particles (Petters et al. 2006; Hodas et al. 2016; Renbaum-Wolff et al., 2016;
25 Ovadnevaite et al., 2017; Rastak et al., 2017; Liu et al., 2018).

26

27 **4 Summary**

28 In this study, we investigated liquid-liquid phase separation of SOA produced from both α -
29 pinene ozonolysis and α -pinene photo-oxidation in the presence or absence of NH₃. We

1 conducted humidity cycles at a temperature of 289 ± 0.2 K for four different SOA particles
2 derived from α -pinene ozonolysis, α -pinene photo-oxidation, α -pinene ozonolysis with NH_3 ,
3 and α -pinene photo-oxidation with NH_3 , for particle mass concentrations of $\sim 500 - 1000 \mu\text{g}\cdot\text{m}^{-3}$.
4 Among the four different types of SOA particles, LLPS occurred in SOA particles produced
5 from α -pinene ozonolysis at $95.8 \pm 2.3\%$ RH with increasing RH and in those produced from
6 α -pinene ozonolysis with NH_3 at $95.4 \pm 2.9\%$ RH with increasing RH. In both types of particles,
7 the two liquid phases co-existed up to $\sim 100\%$ RH. However, LLPS was not observed in SOA
8 particles produced from α -pinene photo-oxidation and α -pinene photo-oxidation with NH_3 .
9 LLPS occurred in the SOA particles produced by α -pinene ozonolysis while no LLPS was
10 observed in the SOA particles produced by α -pinene photo-oxidation. In addition, the
11 occurrence of LLPS did not depend on the presence and absence of NH_3 . Analysis of the dataset
12 of average O:C ratios of different types of SOA particles from this study and previous studies
13 indicated that LLPS occurred when the O:C ratio was less than ~ 0.44 , and LLPS did not occur
14 when the O:C ratio was greater than ~ 0.40 .

15 Considering the range of the O:C ratio of organic particles in the atmosphere (0.2–1.0), these
16 results provide additional evidence that LLPS can occur in organic particles even without the
17 presence of inorganic salts in the atmosphere. Moreover, LLPS occurred in the SOA particles
18 at high RH (as high as $\sim 100\%$), implying that these results can provide additional information
19 toward the CCN properties of organic particles. Additional studies are needed to confirm LLPS
20 in SOA particles produced using more atmospherically relevant VOC mass concentrations,
21 particle mass concentrations, and submicron sizes.

22

23 **Author contribution**

24 M.S. and H.J.L. conceived and designed the experiments. S.H., J.B.L., and Z.B.B. performed
25 the experiments and analyzed the data. S.H. and M.S. wrote the manuscript and J.B.L. and
26 H.J.L. edited the manuscript.

27

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Table 1. Experimental conditions for production and collection of SOA particles from α -pinene ozone (termed ' α -pinene O₃') and photo-oxidation (termed ' α -pinene OH'). The separation relative humidity (SRH) upon moistening and the merging relative humidity (MRH) upon drying are listed. The SRH is the RH at which liquid-liquid phase separation occurred. The MRH is the RH at which two phases merged into one phase. The uncertainties indicate the 2 σ from several humidity cycles for one sample and from the uncertainty of the calibration. SRH = 0 and MRH = 0 indicate that phase separation was not observed.

SOA sample	α -pinene conc. (ppb)	OH exposure (day)	O ₃ conc. (ppb)	NH ₃ conc. (ppb)	^a Mass conc. ($\mu\text{g m}^{-3}$)	^a Geomean diameter (nm)	SRH (%)	MRH (%)
α -pinene O ₃ #1	1000	-	10000	0	481	63	96.0 \pm 2.3	94.3 \pm 3.1
α -pinene O ₃ #2	1000	-	10000	0	493	63	95.4 \pm 2.0	91.6 \pm 4.4
α -pinene OH #1	1000	0.5	2000	0	688	67	0	0
α -pinene OH #2	1000	2.5	6000	0	479	67	0	0
α -pinene OH #3	1000	0.5	2000	0	633	67	0	0
α -pinene OH #4	1000	2.5	6000	0	618	66	0	0

^aAfter dilution of 4 L min⁻¹ mainstream with 7 L min⁻¹ of humidified air at 60% RH

Table 2. Experimental conditions for production and collection of SOA particles from α -pinene ozone with NH_3 (termed ' α -pinene O_3/NH_3 ') and photo-oxidation with NH_3 (termed ' α -pinene OH/NH_3 '). The separation relative humidity (SRH) upon moistening and the merging relative humidity (MRH) upon drying are listed. The SRH is the RH at which liquid-liquid phase separation occurred. The MRH is the RH at which two phases merged into one phase. The uncertainties indicate the 2σ from several humidity cycles for one sample and from the uncertainty of the calibration. SRH = 0 and MRH = 0 indicate that LLPS was not observed.

SOA sample	α -pinene conc. (ppb)	OH exposure (day)	O_3 conc. (ppb)	NH_3 conc. (ppb)	^a Mass conc. ($\mu\text{g m}^{-3}$)	^a Geomean diameter (nm)	SRH (%)	MRH (%)
α -pinene O_3/NH_3 #1	1000	-	10000	2000	564	68	95.4 \pm 3.0	94.0 \pm 2.6
α -pinene O_3/NH_3 #2	1000	-	10000	2000	579	68	95.4 \pm 3.4	95.1 \pm 2.1
α -pinene OH/NH_3 #1	1000	2.5	6000	2000	753	73	0	0
α -pinene OH/NH_3 #2	1000	0.5	2000	2000	886	74	0	0

^aAfter dilution of 4 L min^{-1} mainstream with 7 L min^{-1} of humidified air at 60% RH

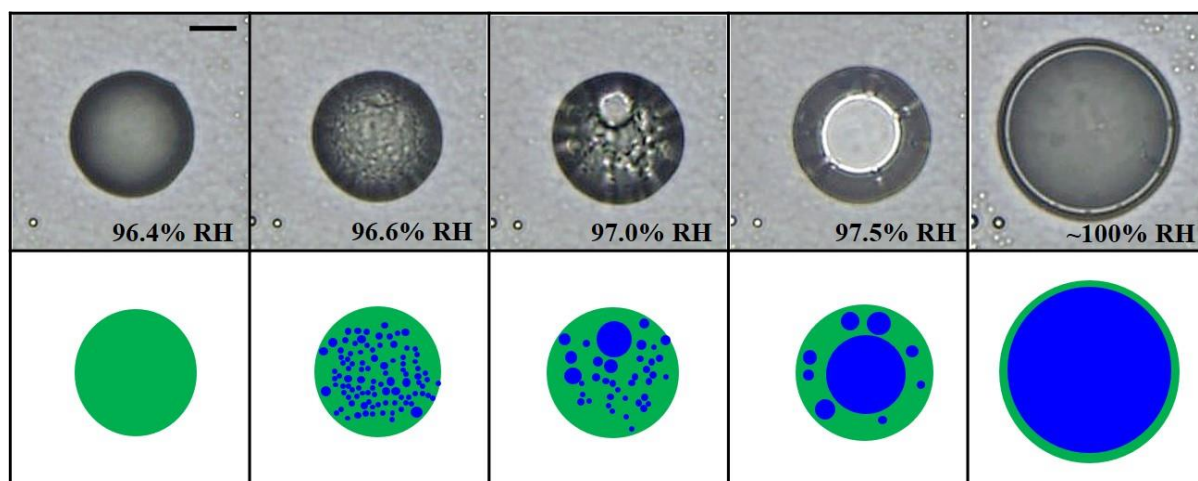


Figure 1. Optical images of a SOA particle produced from α -pinene ozonolysis (α -pinene O₃ #1 in Table 1) with increasing RH. Illustrations is for clarifying the image. Green is SOA rich phase, and blue is water rich phase. Scale bar is 20 μ m.

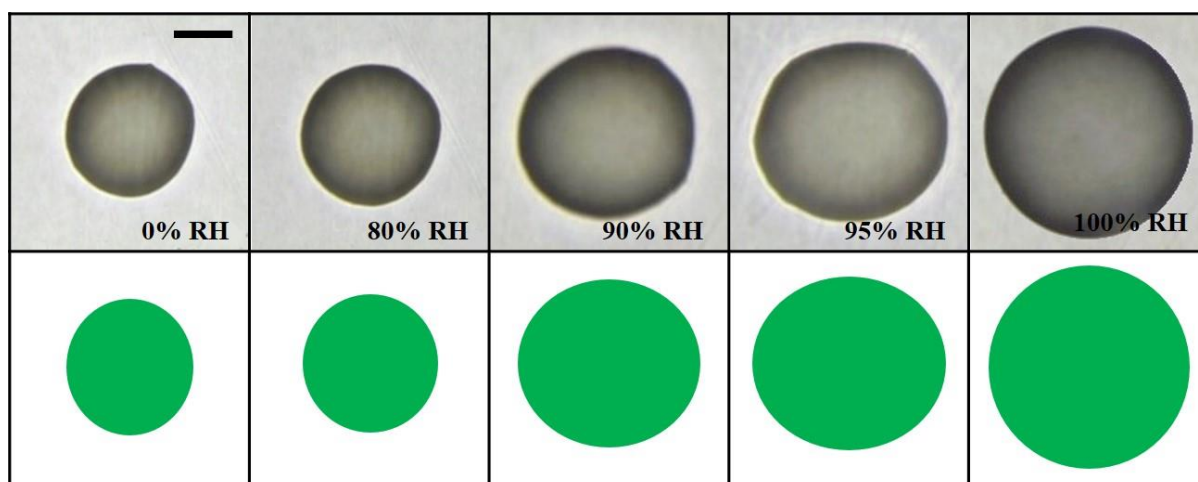


Figure 2. Optical images of a SOA particle produced from α -pinene photo-oxidation (α -pinene OH #2 in Table 1) with increasing RH. Illustrations is for clarifying the image. Green is SOA rich phase, and blue is water rich phase. Scale bar is 20 μ m.

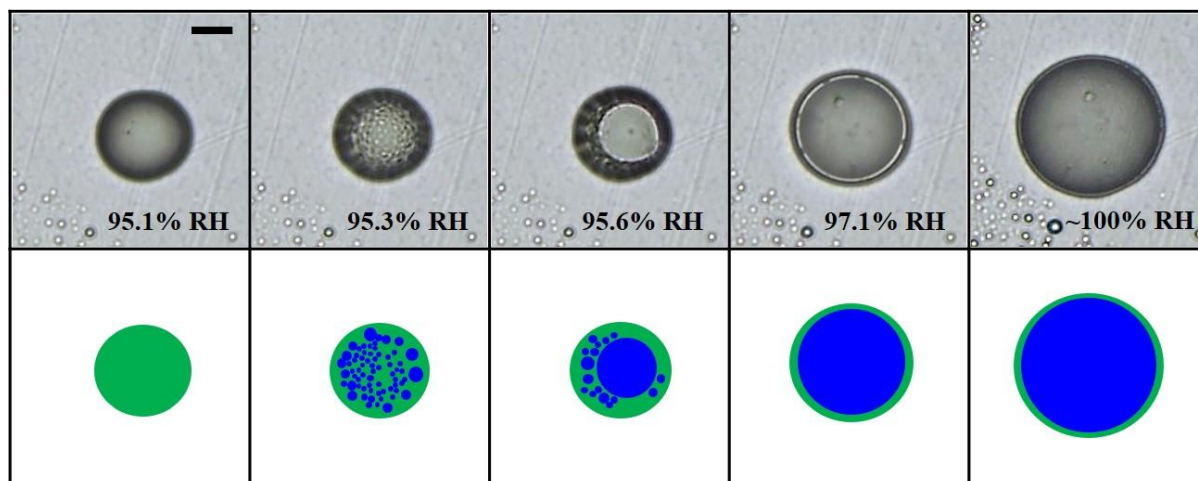


Figure 3. Optical images of a SOA particle produced from α -pinene ozonolysis with NH_3 (α -pinene O_3/NH_3 #1 in Table 2) with increasing RH. Illustrations is for clarifying the image. Green is SOA rich phase, and blue is water rich phase. Scale bar is 20 μm .

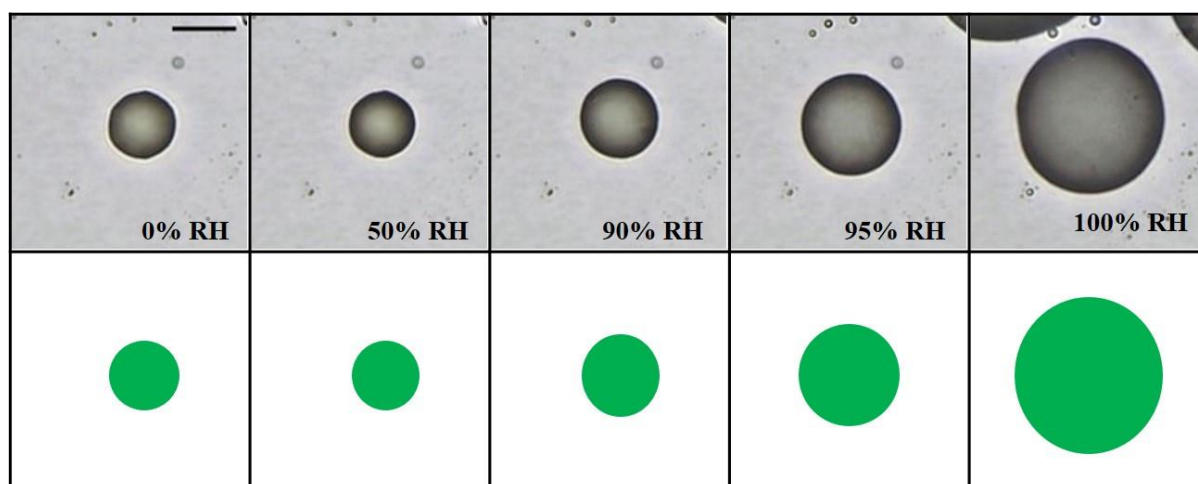


Figure 4. Optical images of a SOA particle produced from α -pinene photo-oxidation with NH_3 (α -pinene OH/NH_3 #2 in Table 1) with increasing RH. Illustrations is for clarifying the image. Green is SOA rich phase, and blue is water rich phase. Scale bar is 20 μm .

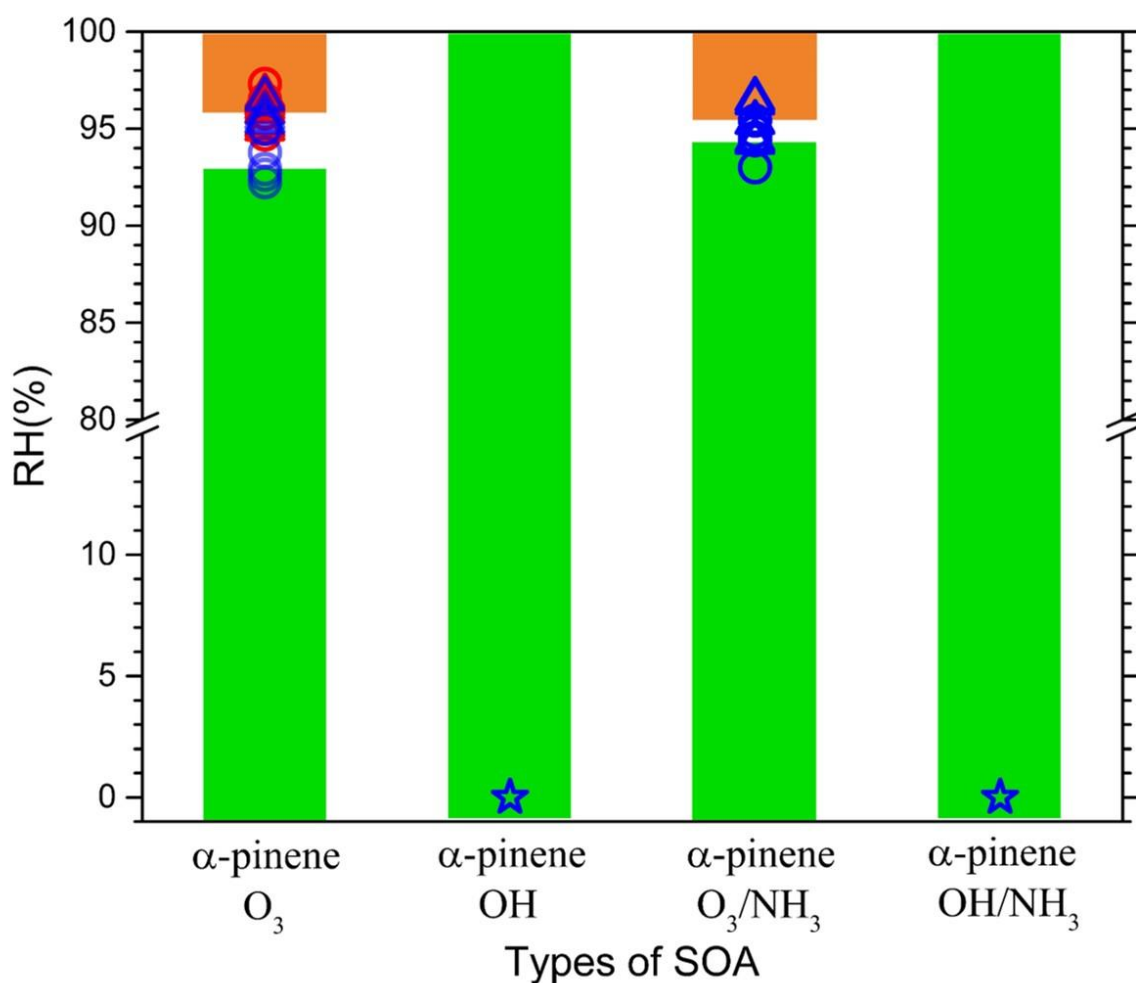


Figure 5. Relative humidity (RH) at which two phases were observed during RH scanning as a function of four different types of SOA particles. Blue and red symbols are from this study and from Renbaum-Wolff et al. (2016), respectively. Circles represent merging RH (MRH) for RH decreasing and triangles represent separation RH (SRH) for RH increasing. RH = 0 % indicates no LLPS. Green shaded region indicates one phase present and orange shaded region indicates two phases present in the SOA particles.

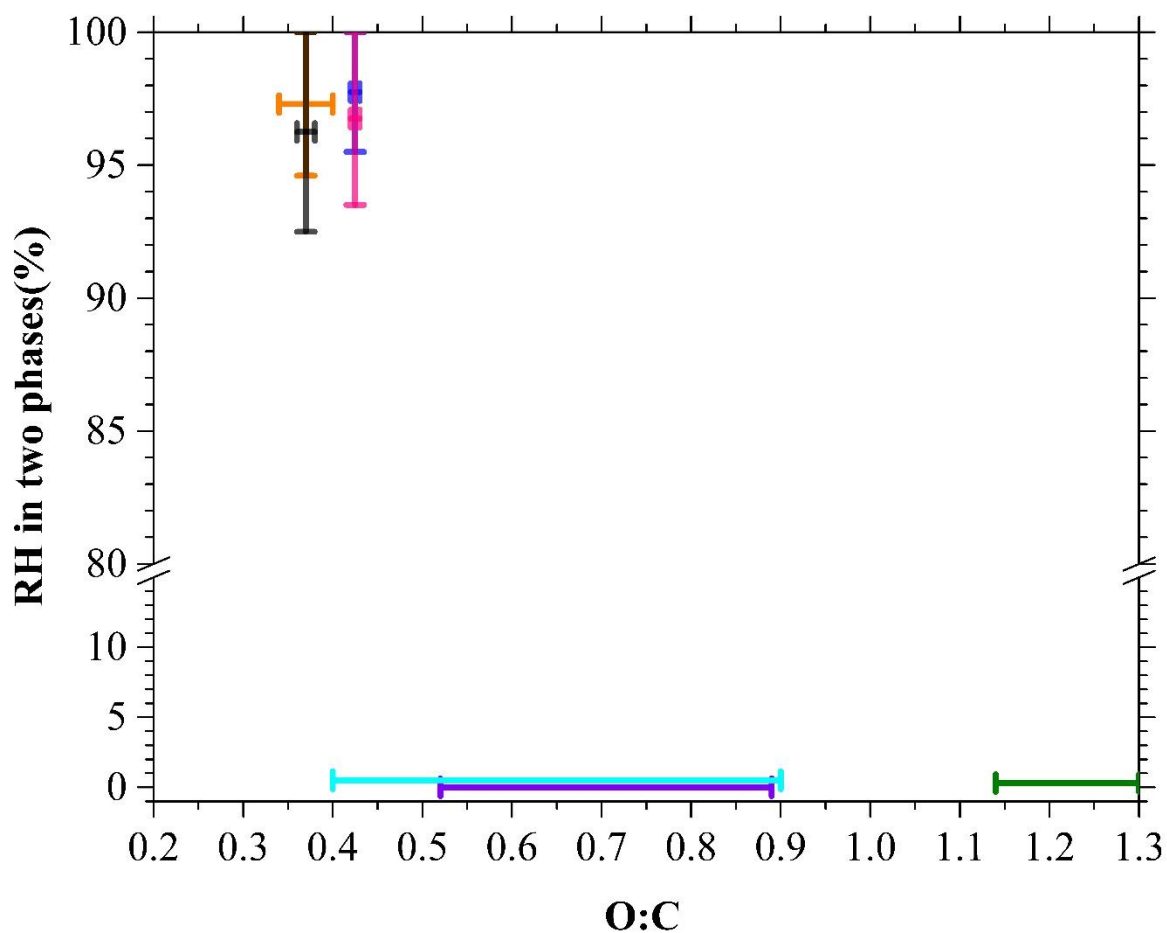


Figure 6. Relative humidity in two phases as a function of average O:C of SOA particles derived from α -pinene ozonolysis (pink) and α -pinene photo-oxidation (cyan) from this study, β -caryophyllene ozonolysis (black) from Song et al. (2017), α -pinene ozonolysis (blue) from Renbaum-Wolff et al. (2016), limonene ozonolysis (orange) from Song et al. (2017), toluene photo-oxidation (green) from Song et al. (2017), and isoprene photo-oxidation (purple) from Rastak et al. (2017). The O:C and related experimental conditions are summarized in [Tables S1 and S2](#).