

1 **Wintertime Aerosol Measurements during the Chilean Coastal Orographic**

2 **Precipitation Experiment**

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26 **Abstract**

27 The Chilean Coastal Orographic Precipitation Experiment (CCOPE) was a three-month
28 field campaign (June, July and August 2015) that investigated wintertime coastal rain events.
29 Reported here are analyses of aerosol measurements made at a coastal site during CCOPE. The
30 aerosol monitoring site was located near Arauco, Chile. Aerosol number concentrations and
31 aerosol size distributions were acquired with a Condensation Particle Counter (CPC) and an
32 Ultra High Sensitivity Aerosol Spectrometer (UHSAS). Arauco CPC data were compared to
33 values measured at the NOAA observatory Trinidad Head (THD) on the North Pacific Coast of
34 California. The winter-averaged CPC concentration at Arauco is $2971 \text{ cm}^{-3} \pm 1802 \text{ cm}^{-3}$; at THD
35 the average is $1059 \text{ cm}^{-3} \pm 855 \text{ cm}^{-3}$. Despite the typically more pristine Southern Pacific region,
36 the Arauco average is larger than at THD ($p < 0.01$). Aerosol size distributions acquired during
37 episodes of onshore flow were analyzed with Köhler theory and used to parameterize cloud
38 condensation nuclei activation spectra. In addition, sea salt aerosol (SSA) concentration was
39 parameterized as a function of sea surface wind speed. It is anticipated these parameterizations
40 will be applied in modeling of wintertime Chilean coastal precipitation.

41

42 **1 Introduction**

43 Forecast error due to incomplete understanding of atmospheric aerosols is evident in the
44 predictions of many atmospheric models. As an example, general circulation models (GCMs) are
45 used to forecast the Earth system's response to emissions of both aerosols and greenhouse gases.
46 In spite of several decades of GCM development, the effect of aerosols on future climate remains
47 uncertain (Boucher et al. 2013), particularly when compared to the greater certainty in climate
48 forcing from anthropogenic greenhouse gases (e.g., Hansen 2009, his Fig. 10).

49 Aerosols perturb the abundance of cloud droplets and rain drops within clouds warmer
50 than 0 °C (liquid-only clouds). Consequently, upward reflection of solar radiation by liquid-only
51 clouds (Twomey 1974), and upward reflection attributable to cloud fractional coverage (Albrecht
52 1989), increase with increased aerosol abundance. Commonly referred to as aerosol indirect
53 effects on climate, these processes decrease the amount of solar energy absorbed by the Earth
54 system, and thus oppose global warming due to greenhouse gases. Other aerosol indirect effects,
55 for example those due to aerosols nucleating ice in mixed-phase clouds (McCoy et al., 2014),
56 augment greenhouse gas warming.

57 Because of its lower population and lower intensity of anthropogenic aerosol emissions,
58 the Southern Hemisphere has been explored as a region for conducting studies of aerosol indirect
59 effects and for exploring contrasts with the Northern Hemisphere (Schwartz, 1988). This study
60 contributes to previous investigations of Southern Hemispheric aerosols during winter (Gras,
61 1990; Gras 1995; Yum and Hudson 2004). We emphasize the following topics: 1) The
62 parameterized relationship between sea salt aerosol (SSA) particles (diameter > 0.5 μm) and
63 wind speed; 2) The role as cloud condensation nuclei (CCN) of particles that are both smaller
64 and more numerous than the above-mentioned SSA; 3) The parameterized relationship

65 describing CCN activation spectra (Rogers and Yau, 1989; chapter 6), and 4) the potential
66 application of the SSA and CCN parameterizations in numerical modelling of wintertime
67 Southern Hemispheric clouds and precipitation. Motivating our investigation are modeling
68 studies (Feingold et al. 1999), and analyses of field measurements (Gerber and Frick 2012),
69 indicating that the reduction of rainfall due to increased CCN can be negated by SSA particles.

70 Measurements made with a Condensation Particle Counter (CPC), an instrument that
71 reports the concentration of particles with diameter (D) larger than $\sim 0.01 \mu\text{m}$, have formed the
72 basis of many previous investigations of aerosol abundance (Gras 1990; Brechtel et al. 1998;
73 Dall'Osto et al. 2009; Andreae 2009). These studies also evaluated air parcel back trajectories
74 and demonstrated that marine source regions are characterized by distinctly smaller
75 concentrations than continental regions. Measurements of aerosol size distributions (ASDs) can
76 also aid understanding of the contrast between marine and continental conditions (Brechtel et al.
77 1998; Birmili et al. 2001; Raes et al. 1997). The latter studies investigated accumulation mode
78 particles, centered at $\sim 0.1 \mu\text{m}$, and particles sizing in a mode at a distinctly smaller central
79 diameter ($\sim 0.05 \mu\text{m}$). This smaller mode is commonly referred to as the Aitken mode. In marine
80 settings, the coexistence of both modes has been attributed to in-cloud conversion of gas-phase
81 sulfur dioxide (SO_2) to aerosol-phase sulfate (Hoppel et al. 1994), to coalescence scavenging
82 occurring within clouds (Hudson et al. 2015), and to new particle formation (Covert et al. 1992;
83 Petters et al. 2006). The latter process occurs in environments with sufficiently enhanced ratios
84 of SO_2 relative to aerosol.

85 The present work is an analysis of CPC and ASD measurements acquired at a coastal site
86 on the Central Chilean Pacific coast during the Southern Hemisphere winter (June, July, and
87 August). Aerosol measurements were made during the Chilean Coastal Orographic Precipitation

88 Experiment (CCOPE) of 2015. CCOPE investigated aerosol properties and coastal orographic
89 precipitation and meteorology (Massmann et al. 2017).

90 This paper is organized into the following sections: Section 2 has descriptions of the
91 aerosol and meteorological instruments used to make surface measurements during CCOPE, and
92 Sect. 3 describes our analysis methods. Section 4 includes four topics: 1) Analysis of CPC
93 measurements and comparison to Coastal North Pacific measurements, 2) development of a
94 relationship between size-integrated aerosol concentration and size-integrated aerosol volume,
95 and comparison to similar relationships derived for summertime stratocumulus regimes, 3)
96 development of a parameterization of CCN activation spectra, and 4) development of a
97 parameterization of SSA number concentration. In Sect. 5, we compare our findings to previous
98 work, and in Sect. 6 we conclude with an outlook for how our parameterizations could be applied
99 in modeling of wintertime Central Chilean Pacific coast clouds and precipitation.

100 **2 Measurements**

101 **2.1 Measurement Site**

102 During CCOPE, a CPC (model 3010; TSI 2000a) and an Ultra High Sensitivity Aerosol
103 Spectrometer (UHSAS) (DMT 2013) were operated at a residence (37.25° S, 73.34° W, 55 m
104 above mean sea level (MSL)) near Arauco, Chile (population 35,000). Arauco is a coastal town
105 on the Central Chilean Pacific coast. Our measurement site, hereafter the Arauco Site (Fig. 1),
106 was selected because of our aim to characterize aerosols advecting onto South America from the
107 Southeast Pacific. Related to this, our measurements were coordinated with investigations of
108 rainfall inside the domain portrayed in Fig. 1. This study region lies in the South Pacific winter
109 storm track and rainfall here can be strongly enhanced by the Nahuelbuta Mountains (Garreaud

110 et al. 2016; Massmann et al. 2017). During CCOPE, several rainfall events were studied using
111 profiling radars and a precipitation disdrometer deployed at Curanilahue (Fig. 1), and a network
112 of precipitation gauges. The Arauco Site is located on a forested hill; most of the population of
113 Arauco lives east of the Arauco Site at an elevation less than 20 m MSL.

114 Salient characteristics of the CPC and UHSAS are provided in Table 1. These
115 instruments were operated inside the residence at the Arauco Site. In addition, a 3-meter
116 meteorological tower was deployed adjacent to the residence. Thermodynamic state (i.e., T , P ,
117 and humidity) and horizontal wind speed and direction were measured on the tower. CPC and
118 meteorological measurements (minus wind direction) were acquired from 29 May to 14 August
119 (Table 1), UHSAS measurements were acquired from 29 May to 28 June (Table 1), and wind
120 direction measurements were acquired from 19 June to 14 August.

121 **2.2 Instrumentation**

122 Here we discuss characteristics of the CPC and UHSAS, sampling of the ambient
123 CCOPE aerosol, data acquisition of CPC and UHSAS measurements during CCOPE, and use of
124 the recorded UHSAS histograms to calculate ASDs. Additional information about the UHSAS is
125 provided in Appendix A. In that appendix we discuss how we validated, in a laboratory, the
126 UHSAS's determination of test aerosol concentration and particle size. During those validation
127 studies we intentionally dried the test aerosols to a relative humidity (RH) $\leq 15\%$. Consequently,
128 the effect of aerosol-bound water on either the physical size or the refractive index of the test
129 particles was negligible. UHSAS sizing of partially dried haze droplets ($RH \leq 60\%$), sampled
130 from the ambient atmosphere during CCOPE, and an associated particle size overestimate, is
131 also discussed in Appendix A. In Appendix A, we estimate the particle size overestimate to be ~
132 20 %.

133 During CCOPE, the CPC and UHSAS sampled ambient aerosol through a section of
134 copper tube (length = 3 m, inner diameter = 0.003 m, volumetric flow rate = 34 cm³ s⁻¹). The
135 inlet end of the tube (hereafter, the sample tube) was secured below an eave on the west side of
136 the residence at the Arauco Site. The Reynolds number (Re) of the flow within the sample tube
137 was 960 and thus well below the value ($Re = 2300$) where laminar flow changes to turbulent
138 flow. Particle transmission efficiencies were evaluated using Eq. (7.29) in Hinds (1999). These
139 are 78% for $D = 0.01 \mu\text{m}$ particles and $\geq 99\%$ for $D = 0.1 \mu\text{m}$ and $D = 1 \mu\text{m}$ particles.

140 The CPC counts particles larger than $D = 0.012 \mu\text{m}$ (Table 1)¹ up to a maximum
141 concentration of 10,000 cm⁻³. The UHSAS measures scattering produced when aerosol particles
142 are drawn through light emitted by a solid state laser ($\lambda = 1.05 \mu\text{m}$). By reference to a calibration
143 table (Cai et al. 2008; Cai et al. 2013), the UHSAS microprocessor converts scattered light
144 intensity to particle size and accumulates the derived sizes in a 99 channel histogram. Channel
145 widths are logarithmically uniform ($\Delta\log_{10}D = 0.013$) over the instrument's full range ($0.055 < D$
146 $< 1.0 \mu\text{m}$). UHSAS data were recorded every 10 seconds and CPC data were recorded once per
147 second (Table 1).

148 Eq. (1) was used to calculate the ASD.

$$149 \left(\frac{dN}{d \log_{10} D} \right)_i = \frac{\Delta n_i}{\dot{V} \cdot \Delta t \cdot \Delta \log_{10} D} \quad (1)$$

150 Here Δn_i is the “i th” component of the count histogram and \dot{V} is the aerosol flowrate. During
151 CCOPE, the UHSAS aerosol flow rate and the particle count histogram were recorded once
152 every ten seconds (Table 1), and hence, the sample interval (Δt in Eq. (1)) is 10 s.

¹ The CPC minimum detectable diameters we report are in fact diameters that a CPC detects particles with efficiency = 50 %. The CPC detection efficiency is a steep function of particle diameter (Wiedensohler et al. 1997).

153 3 Analysis

154 3.1 Air Mass Classification and Air Parcel Trajectories

155 Locations close to the Arauco Site are shown in Fig. 1. A significant pollution source in
156 the region is the Arauco paper mill which releases 600 ton/yr of SO₂ (Arauco Woodpulp 2010).
157 When winds had an easterly component, the paper mill may have affected air quality at the
158 Arauco Site. Other pollution sources are Concepción (population 950,000), Coronel (population
159 110,000), Curanilahue (population 32,000), Lebu (population 24,000), and Cañete (population
160 32,000). In addition, many residences in the region, including the residence where we operated
161 the CPC and UHSAS, burn wood for residential heating.

162 In a subsequent section, we compare CPC data from the Arauco Site to values measured
163 at NOAA's Trinidad Head (THD) observatory in Northern California (41.05° N, 124.2° W, 107
164 m MSL). The THD dataset includes contamination from local sources (e.g., campfires lit by day
165 visitors at the Trinidad State Beach Picnic Ground). Additionally, McKinleyville, CA (population
166 15,000) and Arcata, CA (population 18,000) are the two coastal population centers reasonably
167 close to THD. Both are southeast of the THD, at distances between 15 and 25 km. Northern
168 California's large population centers (San Francisco Bay Area and Sacramento) are ~ 300 km
169 southeast of the THD. An important distinction between the sampling at THD and Arauco is the
170 above ground level (a.g.l.) height of the aerosol inlets. This is 10 and 2 m a.g.l. at THD and
171 Arauco, respectively. We cannot state with any certainty if the lower-height sampling at Arauco
172 made those measurements unrepresentative.

173 Wind measurements made at the Arauco Site (Sect. 2.1) and the THD were used to
174 conditionally sample the CPC measurements. At Arauco, wind directions from 180° to 330°
175 were chosen as the clean sector. At THD, the clean sector was chosen from 210° to 360°. The

176 clean sectors at Arauco and THD are shown in Fig. 2. Three factors entered into our selection of
177 the clean sectors: 1) Inclusion of winds from either true south (Arauco Site) or true north (THD),
178 2) the same range of angles (150°) at both sites, and 3) exclusion of wind from the directions of
179 regional population centers.

180 Additionally, we used HYSPLIT back trajectories (NOAA 2016) to conditionally sample
181 Arauco Site aerosol measurements associated with onshore-moving air. The back trajectories
182 were initialized at 00, 06, 12, and 18 UTC. In addition to these static arrival times, trajectories
183 were calculated with the coordinates of the Arauco Site ² and with wind fields from the Global
184 Data Assimilation System. The spatial resolution of the wind data is 0.5° . Position along a
185 trajectory was evaluated hourly. Trajectories that were over the ocean continuously for three
186 days before landfall, and had a direction within the clean sector one hour before arriving at
187 Arauco, were classified as “onshore” trajectories. There are 20 onshore trajectories that overlap
188 with the availability of CCOPE UHSAS measurements.

189 In subsequent sections, a set of 20 two-hour data segments, centered on the onshore
190 trajectory arrival times, are further analyzed. Appendix B describes the numerical filter we used
191 to derive the aerosol properties analyzed in Sect. 4.2, 4.3, 4.4, and 4.5. The filter attenuates
192 aerosol property variability occurring on time scales shorter than 100 s. We developed the filter
193 to remove narrow “spikes” in the concentration sequences (CPC and UHSAS) which seem to
194 have originated from local sources of aerosol pollution. The Supplementary Material has plots of
195 filtered aerosol properties corresponding to each of the 20 two-hour segments. Four of these
196 were impacted aerosol variability at scales larger than 100 s. In general, these features were not

² Trajectory starting altitude was set at 60 m MSL (5 m above the Arauco site)

197 attenuated by the numerical filter. In these instances we discarded (subjectively) portions of the
198 two-hour segment and retained a subset for the analyses conducted in Sect. 4.3, 4.4 and 4.5.

199 Trajectory altitude is important for determining the presence of SSA particles. Onshore
200 trajectories originating from relatively close to the sea surface, and thus classified as onshore
201 “sea surface” trajectories, were required to have pressures > 980 hPa over their three-day
202 advection to the Arauco Site. Eighteen of the 20 onshore trajectories were also sea surface
203 trajectories. An example of a sea surface trajectory is shown in Figs. 3a - b. The sea surface wind
204 speed (U), analyzed in Sect. 4.5, is the average of the six hourly trajectory speeds in the six-hour
205 window ending six hours before the trajectory arrived at the Arauco site. The averaging interval
206 is shown in Fig. 3b. Two onshore trajectories, classified as “aloft”, had pressures substantially
207 smaller than 980 hPa over their three-day advection to the Arauco Site.

208 **3.2 Sea Salt Aerosol**

209 Correlated values of SSA concentration and sea surface wind speed are reported in many
210 publications. In a review of the topic, Lewis and Schwartz (2004; hereafter LS04) used a
211 particle’s deliquesced wet size, evaluated at 80% relative humidity, to group SSA particles into
212 three size classes. In field studies conducted at a coastal site, Clarke et al. (2003) demonstrated
213 that particles sizing in the middle of LS04’s small particle size class - those with a dry diameter
214 larger than $0.5 \mu\text{m}$ or a $RH = 80\%$ wet diameter larger than $1 \mu\text{m}$ – had a composition that was
215 dominated by sea salt (NaCl).

216 By restricting our focus to segments of the CCOPE data associated with sea surface
217 trajectories (Sect. 3.1), we will analyze UHSAS measurements of particles with $D > 0.5 \mu\text{m}$
218 ($N_{>0.5}$) and will assume that this subset of the ASD corresponds to SSA particles. This lower-
219 limit size is a factor of two smaller than the $RH = 80\%$ diameter corresponding to the middle of

220 LS04's small SSA class. This is because we assumed that particle size decreased as the aerosol
 221 stream warmed from its ambient temperature to the temperature of the UHSAS measurement.
 222 Support for this assumption is provided in Appendix A.

223 3.3 Moments of the Aerosol Size Distribution

224 In our analysis, we calculated three moments of the UHSAS-measured ASDs. These are
 225 the aerosol concentration (N_{UHSAS}), aerosol surface area (S_{UHSAS}), and aerosol volume (V_{UHSAS}).
 226 We symbolize these moments as integrals (Eq. (2) – (4)).

$$227 \quad N_{UHSAS} = \int (dN/d\log_{10}D) \cdot d\log_{10}D \quad (2)$$

$$228 \quad S_{UHSAS} = \pi \int D^2 (dN/d\log_{10}D) \cdot d\log_{10}D \quad (3)$$

$$229 \quad V_{UHSAS} = (\pi/6) \int D^3 (dN/d\log_{10}D) \cdot d\log_{10}D \quad (4)$$

230 In these formulae the group $(dN/d\log_{10}D) \cdot d\log_{10}D$ represents the concentration of aerosol
 231 particles with diameter between $\log_{10}D$ and $\log_{10}D + d\log_{10}D$. Hence, when plotted versus the
 232 logarithm of particle diameter, the area under the $dN/d\log_{10}D$ curve is proportional to the size-
 233 integrated concentration. This is demonstrated in Figs. 4a – b where the size-integrated
 234 concentration is $\sim 300 \text{ cm}^{-3}$ in onshore-moving air (Fig. 4a), and the concentration is
 235 approximately four times larger ($\sim 1100 \text{ cm}^{-3}$) in air thought to be contaminated by continental
 236 sources (Fig. 4b). Also apparent is the right-tail of an Aitken mode, at $\sim 0.06 \mu\text{m}$ in Fig. 4a
 237 (onshore-moving air), the absence of an Aitken mode in Fig. 4b (continental air), at least at
 238 diameters detectable by the UHSAS ($D > 0.055 \mu\text{m}$; Table 1), and the presence of an
 239 accumulation mode at $\sim 0.1 \mu\text{m}$ in both airmasses (Figs. 4a – b). Two aspects of these results, i.e.
 240 the absence of an Aitken mode plus the dominance of an accumulation mode, in polluted coastal
 241 air, is consistent with ASDs reported in Raes et al. (1997) and in Dall'Osto et al. (2009).

242 4 Results

243 4.1 Comparison of CPC data from the Arauco Site and the THD

244 In this section, CPC-measured concentrations from the Arauco Site and from NOAA's
245 THD observatory are compared. At THD, CPC measurements were made using a TSI 3760
246 condensation particle counter. The minimum particle diameter detected by the TSI 3760 ($D =$
247 $0.015 \mu\text{m}$; Wiedensohler et al. 1997) is slightly larger than that in the TSI 3010 ($D = 0.012 \mu\text{m}$;
248 Table 1). We ignored this distinction.

249 The THD dataset spans the years 2002 to 2014. Because CCOPE was a wintertime field
250 study, only December, January, and February THD data are used in the comparison. There are
251 24,346 data points (hourly averaged) from THD and 5,541 classify as clean sector. In
252 comparison, there are 745 data points from the Arauco Site (hourly averaged) and 194 classify as
253 clean sector. For both sites, we required a clean sector wind speed $> 1.5 \text{ m s}^{-1}$ in addition to the
254 clean sector directional criteria (Fig. 2). Because the numerical filter (Sect. 3.1) requires 1 Hz
255 CPC measurements, and since 1 Hz measurements are unavailable in the THD data archive, the
256 filter was not applied to either of the data sets analyzed in this section.

257 In the following paragraph we compare hourly-averaged CPC-measured concentrations
258 from the Arauco Site and THD. Because the number of data points in these data sets is different,
259 a particular statistical comparison methodology was applied. The approach followed here
260 compares the Arauco and THD average concentrations by applying the Student's t-distribution
261 method (t-test) explained in Havlicek and Crain (1988; their Eq. (10.6) and (10.7)). The
262 statistical hypotheses are: A) Null hypothesis: averages are equal, and B) Alternate hypothesis:
263 averages are different. We also applied the non-parametric Wilcoxon Rank-Sum Test (rs_test;
264 Interactive Data Language, Harris Geospatial Solutions, Inc.). Statistical inference that we derive

265 based on the Wilcoxon Rank-Sum Test (not shown) is consistent with what we describe below
266 using the t-test.

267 Two aspects of the Arauco/THD comparison are presented here; more detail is available
268 in Fults (2016). First, clean sector measurements are compared. The mean N_{CPC} at Arauco is
269 2759 cm^{-3} (standard deviation $\sigma = 1827 \text{ cm}^{-3}$). The mean and σ at THD are $858 \pm 729 \text{ cm}^{-3}$. Fig. 5
270 shows the Arauco and THD N_{CPC} probability distribution functions. Of note is the larger mode
271 concentration and broader distribution at Arauco. Based on our t-test comparison, the Arauco
272 average is larger than the THD average ($p < 0.01$). Second, Arauco and THD concentrations are
273 compared without regard to wind direction. The average at the Arauco Site is $2971 \text{ cm}^{-3} \pm 1802$
274 while at THD the average is $1059 \text{ cm}^{-3} \pm 855 \text{ cm}^{-3}$. These averages are also statistically different
275 ($p < 0.01$), and again, the Arauco average is larger than that at THD. Based on averages
276 presented in this section, and information provided in Table 2, two summary statements are
277 warranted: 1) During wintertime, the THD classifies as a moderately-polluted marine site,
278 and the Arauco Site classifies between moderately-polluted marine and heavily-polluted
279 marine. 2) These sites are not representative of conditions well removed from anthropogenic
280 influence.

281 **4.2 Continental Contamination**

282 In this section we probe why aerosol properties varied strongly during four of the 20
283 onshore trajectories. Among these, the example presented in Figs. 6a – c exhibits the largest
284 degree of CPC and UHSAS variability. During this two-hour data segment, centered on 00 UTC
285 June 9 (9 pm local time), winds were light at Arauco and Curanilahue ($\leq 1 \text{ m s}^{-1}$) and the wind
286 direction was variable at Curanilahue (Arauco Site wind direction measurements are only
287 available after 19 June 2015; Sect. 2.1).

288 Over the ocean, 12 to 6 hours prior to 00 UTC June 9, the HYSPLIT wind speed was 8.3
289 m s^{-1} and the HYSPLIT direction was westerly (Fig. 3a). In terms of UHSAS measurements
290 (Figs. 6a – c), an obvious feature is the variability in the sequences of N_{UHSAS} , V_{UHSAS} , and S_{UHSAS} .
291 The S_{UHSAS} is largest during an enhancement at $\sim 00:37$ UTC. The question arises: Can winds
292 over the ocean and the resultant SSA production cause this variability, or must continental
293 aerosol sources be evoked to explain this? This was addressed by calculating aerosol surface
294 areas as a function of wind speeds that bracket the HYSPLIT-derived wind speed (8.3 m s^{-1}). The
295 basis for this calculation is the *S-on-U* parameterization described in LS04 (their Fig. 22). The
296 calculation indicates that S can range between $6 \mu\text{m}^2 \text{ cm}^{-3}$ ($U = 6.3 \text{ m s}^{-1}$) and $15 \mu\text{m}^2 \text{ cm}^{-3}$ ($U =$
297 10.3 m s^{-1}). Since the upper-limit of the predicted variation is small compared to S_{UHSAS} at \sim
298 $00:37$ UTC (Fig. 6c), and at other times in Fig. 6c, and because the wind speed variation applied
299 in the calculation is an order of magnitude larger than the variation in the HYSPLIT-derived
300 wind speed ($\pm 0.1 \text{ m s}^{-1}$), it is concluded that the aerosol enhancements seen in Figs. 6a – c are
301 not due to a wind speed increase over the ocean. Rather, we surmise that aerosols emitted by
302 continental Chilean sources were sampled during portions of the segment in Fig. 6. Vertical
303 dashed lines indicate the subset of the two-hour segment we picked (subjectively) as being
304 representative of onshore-moving air that was not affected, or only moderately affected, by
305 emissions from continental Chilean sources. However, we do not expect our conditional
306 sampling (based on HYSPLIT) and subjective picking (e.g., Fig. 6) to select aerosol properties
307 representative of pristine marine air. Rather, we view these strategies as way to isolate aerosol
308 properties associated with onshore-moving air that was less affected by continental sources
309 compared to the other portions of the CCOPE data set.

310 Portions of three other two-hour segments were also discriminated into a period of
311 onshore-moving air that was less affected by continental aerosols compared to an adjacent
312 portion (or portions) of the two-hour data segment. This is shown in the Supplementary Material.
313 Only measurements seen plotted between the vertical dashed lines in the Supplementary Material
314 are analyzed in Sect. 4.3, 4.4, and 4.5.

315 **4.3 Using N/V ratios to Parameterize Cloud Droplet Concentration**

316 In this section we analyze two ASD moments (Sect. 3.3). These are symbolized N_{UHSAS}
317 and V_{UHSAS} , respectively. The ratio of N_{UHSAS} (aerosol concentration) and V_{UHSAS} (aerosol volume)
318 – generically the N/V ratio - is of interest for several reasons. First, for both operational and
319 theoretical reasons the N/V ratio is evaluated for particle diameters larger than $\sim 0.1 \mu\text{m}$ (VD00;
320 Hegg and Kaufman 1998, hereafter HK98), and importantly, the model developed to evaluate
321 aerosol exchange between an overlying free troposphere (FT) and the marine boundary layer
322 (MBL) successfully predicts the N/V ratio in the MBL (VD00). Second, a value of the ratio can
323 be derived by fitting measurements of N and V (HK98). Third, aerosol mass loading, and thus an
324 aerosol volume corresponding to an assumed particle density³, are relatively easy to evaluate. A
325 method routinely used to evaluate aerosol mass loading involves pulling aerosol-laden air
326 through a filter and evaluating the accumulated mass gravimetrically. Fourth, the product of an
327 N/V ratio and an ambient aerosol volume (aerosol mass) has been proposed as a scheme for
328 estimating cloud droplet concentration in marine stratocumulus clouds (HK98 and VD00).

329 HK98 used a passive cavity aerosol spectrometer probe (PCASP) to evaluate N , V and the
330 N/V ratio. Since the UHSAS counts down to a smaller diameter ($0.055 \mu\text{m}$) than the PCASP

³ In the case of ambient particles containing hygroscopic materials, density values range between 1.5 and 1.8 g cm^{-3}
³ (McMurry et al. 2002)

331 (0.12 μm), it is expected that the N/V ratios we derive using the UHSAS will be larger than those
332 in HK98. The main reason for this is that decreasing the lower-limit diameter increases N more
333 than V (VD00).

334 As in HK98, linear least-squares regression analysis with an equation of the form $Y = a \cdot X$
335 was used to derive N/V ratios. Values of N_{UHSAS} and V_{UHSAS} entered into the regressions were
336 derived with the lower-limit diameter set at 0.055 μm (Table 3) and 0.12 μm (Table 4). The latter
337 allows comparison to N/V ratios in HK98. Tables 3 and 4 show the ratios and the fact that all of
338 the Pearson correlation coefficients (r) are positive. With the exception of trajectories arriving at
339 12 UTC June 5 and 06 UTC June 8 (Table 3), and at 00 UTC June 9 (Table 4), all of the N/V
340 correlations are statistically significant at $p < 0.01$.

341 As expected, the average N/V ratio in the fifth column of Table 3 ($417 \pm 297 \mu\text{m}^{-3}$) is
342 larger than that in HK98 ($223 \pm 76 \mu\text{m}^{-3}$). These averages are different at $p = 0.01$. Table 4 has
343 results based on the larger lower-limit diameter (0.12 μm). In that comparison, the Arauco N/V
344 ratio ($159 \pm 100 \mu\text{m}^{-3}$) does not differ significantly from HK98's (i.e., $p > 0.01$).

345 Application of the N/V ratio to aerosol-cloud-precipitation modelling requires knowledge
346 of the aerosol volume, or alternatively, knowledge of the aerosol mass loading and the aerosol
347 particle density. The aerosol volume is then multiplied by an average N/V ratio (e.g., the average
348 at the bottom of the fifth column of Table 4), and their product is taken to be the modelled cloud
349 droplet concentration (HK98 and VD00). This is straight forward, at least from the perspective of
350 incorporating an aerosol-induced cloud feedback into a simulation, but it suffers from requiring
351 additional information about the aerosol (aerosol volume). Because the UHSAS was unavailable
352 for much of CCOPE (Table 1), aerosol volume is also unavailable. Another drawback is the

353 implicit assumption that only aerosol particles larger than the lower-limit diameter (e.g., 0.12 μm
354 in Table 4) form cloud droplets.

355 **4.4 Using Size Distribution and N_{CPC} to Parameterize CCN Activation Spectra**

356 Andreae (2009) analyzed a set of aerosol concentration measurements obtained from
357 collocated CPC and CCN instruments. Andreae's CPC measurements represent the concentration
358 of particles *no smaller than* a particular diameter ($\sim 0.01 \mu\text{m}$; Sect. 2.2), and his CCN
359 measurements represent the concentration of particles that activate cloud droplets at a water
360 vapor supersaturation (SS) *no larger than* a particular value (Rogers and Yau, 1989; chapter 6).
361 The latter is $SS = 0.4 \%$ in Andreae (2009).

362 Similar to the relationship between CCN concentration at $SS = 0.4 \%$ and CPC
363 concentration (Andreae, 2009; his Fig. 2), we now describe how CPC and UHSAS data from
364 CCOPE can be used to develop a function that describes CCN activation spectra. In the
365 parameterization we develop, the independent variable is a CPC-measured aerosol concentration.
366 While only estimates, the activation spectra we obtain represent an important step toward
367 evaluating how CCN affected cloud and precipitation during CCOPE. We envision this
368 assessment will be advanced when our activation spectra are used to initialize numerical models.

369 Our first step is to select a particle diameter, apply this as a lower-limit diameter in an
370 integration of the UHSAS size distribution, and divide the integral by the coincident CPC-
371 measured concentration. The resultant is referred to as the *fractional aerosol concentration*
372 (FAC).

$$373 \quad FAC(D) = \frac{1}{N_{\text{CPC}}} \cdot \int_D^{1\mu\text{m}} (dN / d \log_{10} D) \cdot d \log_{10} D \quad (5)$$

374 Figs. 7a - b have graphical representations of $FAC(D=0.055 \mu\text{m})$ and $FAC(D=0.120 \mu\text{m})$.

375 In a second step we interpret a *FAC*'s lower-limit diameter as an upper-limit *SS*. We do
376 this by applying a value for the kappa hygroscopicity parameter, which we set at $\kappa = 0.5$, and by
377 applying the kappa–Köhler formula of Petters and Kreidenweis (2007, their Eq. (6)). This
378 transformation from lower-limit *D* to upper-limit *SS* converts the *FAC* in Fig. 7a to *FAC*(*SS* =
379 0.41 %) and the *FAC* in Fig. 7b to *FAC*(*SS* = 0.13 %). We also evaluated how a range of the
380 kappa parameter ($0.3 < \kappa < 0.7$) translates to a range of *SS*. Our upper-limit κ comes from
381 airborne measurements made over the Southeast Pacific Ocean during summer (Snider et al.,
382 2017), and our lower-limit κ is the value recommended by Andreae and Rosenfeld (2008) for
383 simulating aerosol indirect effects over continents.

384 The *FAC*s in Figs. 7a – b are two of the many available from CCOPE. One way to
385 aggregate these is to calculate a *FAC* for each of the 20 onshore trajectories. For example, if we
386 select the lower-limit diameter at $D = 0.055 \mu\text{m}$, plot numerator values (Eq. (5)) vs denominator
387 values (Eq. (5)), and fit with the equation $Y = a \cdot X$, the “*a*” we derive is the *FAC*($D = 0.055 \mu\text{m}$)
388 for a particular trajectory. *FAC*s calculated in this way, and with lower-limit *D* selected = 0.120
389 μm , are presented in the seventh columns of Tables 3 and 4. Correlation coefficients presented in
390 the eighth columns of these tables mostly exceed 0.5. By averaging over the 20 onshore
391 trajectories, we calculated the overall averages presented at the bottom of the two tables. These
392 overall averages are $FAC(D = 0.055 \mu\text{m}) = 0.35 \pm 0.13$ (Table 3) and $FAC(D = 0.120 \mu\text{m}) = 0.13$
393 ± 0.07 (Table 4). This decrease of the *FAC* results because a larger lower-limit *D* (Eq. (5)),
394 implies a smaller numerator (Eq. (5)), and thus a smaller *FAC*(*D*).

395 What we refer to as *ensemble-averaged FAC*s were derived by selecting from all 20
396 onshore trajectories the numerator- and denominator-values represented in Eq. (5). The selected
397 data pairs were fitted in the manner discussed previously. In addition, upper and lower quartile

398 values of the fitted slopes were calculated by applying the technique of Wolfe and Snider (2012;
399 their Fig. 4d). We evaluated four ensemble-averaged *FACs* corresponding to four selected
400 diameters ($D = 0.070, 0.095, 0.120, \text{ and } 0.200 \mu\text{m}$). The *FAC* at $D = 0.055 \mu\text{m}$ was eliminated
401 from this analysis because Kupc et al. (2018) showed that UHSAS measurements, at $D \leq 0.070$
402 μm , are negatively biased. Results are presented as circles in Fig. 8 and vertical error bars
403 represent the quartile range. Values plotted on the abscissa correspond to the four diameters,
404 each transformed to an *SS* using the kappa–Köhler formula with $\kappa = 0.5$, and horizontal error
405 bars extend from most hygroscopic ($\kappa = 0.7$), at the left-most limit, to least hygroscopic ($\kappa =$
406 0.3), at the right-most limit.

407 In Fig. 8 we used power laws of the form $FAC(SS) = C \cdot SS^k$ (i.e., the form commonly used
408 to parameterize CCN activation spectra (Twomey 1959)) to fit the points. The change in the
409 slope of the fit function, seen here at $SS = 0.15\%$, seems consistent with analyses demonstrating
410 that in polluted marine cloud conditions, albeit during summertime, the exponent “ k ” in the
411 Twomey power fit function is ≥ 1 and ≤ 1 at $SS < 0.1 \%$ and $SS > 0.1 \%$, respectively (Hudson
412 and Nobel 2014; data from the MASE project in their Fig. 1).

413 Our parameterized CCN activation spectrum (Fig. 8) is relevant to cloud-aerosol-
414 precipitation modeling for several reasons. First, some numerical models treat *SS* as a prognostic
415 variable and thus require initialization with a CCN activation spectrum (e.g., Khairoutdinov and
416 Kogan 2000). Similarly, some models initialize with a particle size-dependent ASD function and
417 use Köhler theory to derive a model-initializing CCN activation spectrum (e.g., Lebo et al.
418 2012). As described in these two references, these models initialize with a nonspecific CCN
419 activation spectrum. If those models were used to investigate wintertime clouds and precipitation
420 on the Central Chilean Coast, our parameterization could be applied as a CCOPE-specific

421 initialization. Second, since we have measurements of N_{CPC} for the totality of CCOPE (Table 1),
422 and we have shown how an ensemble-averaged CCN activation spectrum can be developed with
423 N_{CPC} as the input parameter – i.e. as $N(SS) = FAC(SS) \cdot N_{CPC}$ – our parameterization can be used
424 to estimate activation spectra for the complete CCOPE campaign. Third, model initiation with a
425 specific CCN activation spectrum, as opposed to initialization with a regime-dependent droplet
426 concentration (e.g., Thompson et al. 2004), is justified by sensitivities to cloud droplet activation
427 reported in several publications (Cooper et al. 1997; Hudson and Yum, 1997; Snider et al.,
428 2017).

429 An assumption implicit in our development is that particles were internally mixed within
430 each of the four particle size classes. This seems justified by our use of HYSPLIT to
431 conditionally sample (Sect. 3.1), and by the fact that the sampled airmasses were resident in the
432 marine boundary layer for hours to days while subject to a variety of processes (Brownian
433 coagulation and reactive uptake of SO_2 , among others) that produce aerosols consistent with the
434 internal mixture assumption (Fierce et al. 2017). An aspect of our measurements also supports
435 the internal mixture assumption. Fig. 7b shows that number concentration corresponding to the
436 0.120 to 1 μm class is dominated by particles with diameters at the lower end of that class.
437 Hence, the contribution of freshly emitted SSA particles, generally thought to size at dry
438 diameters larger than 0.5 μm (Clarke et al. 2003; LS04), and with a $\kappa = 1.2$ (Berg et al. 1998), is
439 typically small. A different bias would result if particles with κ values smaller than the lower-
440 limit value ($\kappa = 0.3$) contributed significantly to the size-integrated concentration in Eq. (5).
441 Burning biomass is an important source for such low-hygroscopicity particles (Carrico et al.
442 2005). Our conditional sampling (Sect. 3.1), combined with our filtering of the CPC and UHSAS
443 measurements (Sect. 3.1 and Appendix B), reduces this concern.

444 4.5 Regression of $N_{>0.5}$ and Sea Surface Wind Speed

445 As discussed in Sect. 3.2, $N_{>0.5}$ represents the concentration of particles larger than 0.5
446 μm . We now support our conjecture that particles grouped into the $N_{>0.5}$ subset are indeed SSA.
447 We do this by analyzing the correlation between $N_{>0.5}$ and sea surface wind speed (U). Sect. 3.1
448 explains how we used HYSPLIT to derive U .

449 Values of $N_{>0.5}$, corresponding to the 18 sea surface trajectories (Sect. 3.1), are plotted
450 against U in Fig. 9. Linear least-squares regression analysis with a model equation of form
451 $\ln(N_{>0.5}) = \ln(N_o) + a_N \cdot U$ was used to derive the coefficients N_o and a_N (O'Dowd and Smith
452 1993; LS04). The fitted coefficients are $N_o = 0.15 \text{ cm}^{-3}$ and $a_N = 0.38$ and the derived function
453 (black curve) is shown in Fig. 9. The dashed black curves represent the 95% confidence interval
454 (Romano 1977; his Eq. (4.2.3.f)). Also plotted (pink line) is the function derived by O'Dowd and
455 Smith (1993) for dried SSA particles with diameter between 0.38 and 0.84 μm . Given that the
456 O'Dowd and Smith (1993) function (their Fig. 7a) is associated with statistical uncertainty
457 comparable to what we estimate for our data set, we are only moderately confident that the
458 function we derived is a consequence of wind-generated SSA. Two caveats require mentioning.
459 First, a fraction of our data points ($\sim 25\%$) lie either above or below our confidence interval (Fig.
460 9). Meteorology can contribute to this variability, as when sea surface winds establish a SSA
461 population, and the wind subsequently slacks, or speeds up, prior to advection onto the continent.
462 This is expected because the atmospheric residence time of $D \sim 0.5 \mu\text{m}$ particles, in the absence
463 of precipitation, is several days (LS04, p. 76). Also, our unintentional sampling of particles
464 generated over the continent is a concern. We have taken steps to eliminate those sources of
465 contamination (Sect. 3.1 and Appendix B), but our methods are not foolproof.

466

467 5 Discussion

468 The measurements analyzed here are, to the best of our knowledge, the first to
469 characterize aerosol microphysical properties on the Central Chilean Pacific coast during winter.
470 Since the measurement site was relatively close to a population center (Arauco, Chile), and a
471 SO₂ emitting paper mill, and because wood burning is an important source of residential heat in
472 this region, we suspect that our measurements are influenced by these land sources. We
473 mitigated against this by focusing on data collected during periods of onshore flow. Additional
474 steps were taken to minimize contamination from land-based aerosol sources. These procedures
475 are explained in Sect. 3.1, 4.2, Appendix B, and in the Supplementary Material.

476 A point of comparison is the summertime measurements reported in HK98. Their data
477 were collected during airborne sampling over the western Atlantic in air that had advected from
478 the United States. HK98's averaged aerosol surface area ($131 \pm 93 \mu\text{m}^2 \text{cm}^{-3}$; their Table 2) is
479 clearly larger than that for our 20 onshore trajectories ($42 \pm 27 \mu\text{m}^2 \text{cm}^{-3}$; results not shown).
480 However, a more relevant comparator would be low altitude measurements made off the Central
481 Chilean Pacific during winter. As far as we know, the desired data set is not available. Values of
482 aerosol surface area in the FT over the North and South Pacific are generally $< 10 \mu\text{m}^2 \text{cm}^{-3}$
483 (Clarke 1992), suggesting that even during onshore flow the Arauco Site is affected by
484 anthropogenic sources. We have assumed these sources are Chilean, however, a contribution
485 from long range transport cannot be ruled out.

486 The larger winter-averaged CPC concentration at Arauco, compared to THD, is evidence
487 for stronger continental contamination at the former. Since N_{CPC} is a parameter in our
488 parameterization of CCN activation spectra (Sect. 4.4), we conclude that cloud droplet
489 concentrations in low level marine clouds (stratocumulus) formed in the vicinity of Arauco are

490 larger than in similar clouds near THD. If true, this conclusion would be opposite the general
491 situation in Southern Pacific boundary layer clouds where cloud droplet concentrations are
492 statistically less than in their Northern hemispheric counterparts (Bennartz 2007). Relevant to
493 this, Bennartz (2007) comments on a coast-normal droplet concentration gradient that is stronger
494 on the Central Chilean coast compared to the California/Oregon coast. We presume that the
495 gradient exists because of the larger concentration of aerosols over continents (Andreae and
496 Rosenfeld, 2008), and because of aerosol removal that occurs within and below marine
497 stratocumulus clouds. In addition, Bennartz (2007) demonstrates that the coast-normal droplet
498 concentration gradient is larger off the Central Chilean coast, compared to California/Oregon
499 coast, in part because oceanic concentrations, ~ 2000 km offshore, are generally smaller in the
500 south compared to the north Pacific. Whether the southern hemispheric gradient is also enhanced
501 by larger aerosol concentrations over coastal Central Chile, compared to coastal California and
502 Oregon, is an open question. Further analysis of the satellite retrievals analyzed by Bennartz
503 (2007), with segregation into wintertime and summertime categories, as well as measurements
504 conducted at an offshore island location, or acquired using aircraft or ships, are needed to
505 address this question.
506

507 **6 Conclusions**

508 Analyses presented here are based on Condensation Particle Counter (CPC)
509 measurements made during one winter season (June, July and August 2015) on the Central
510 Chilean Pacific coast (38 ° S). Also analyzed are aerosol size distribution measurements made
511 with an Ultra High Sensitivity Aerosol Spectrometer (UHSAS). UHSAS measurements are
512 available from 29 May to 28 June (Table 1). Limitations of this study are proximity of the
513 measurement site to a population center (Arauco, Chile) and a SO₂ emitting paper mill, sampling
514 of particles emitted from residences close to where our instruments were operated, and the
515 incomplete drying of the sampled aerosol particles. This first attempt to make CPC and ASD
516 measurements on the Central Chilean Pacific coast during winter was exploratory and our results
517 should be considered preliminary.

518 We compared CPC-measured concentrations from the Arauco Site to values acquired at
519 the NOAA observatory Trinidad Head (THD) on the North Pacific Coast of California. The
520 averaged CPC concentration is larger at the Arauco Site and that difference is evident in an
521 Arauco/THD comparison based on air arriving from all wind directions and from clean sector
522 directions. In addition, we conditionally sampled UHSAS-measured size distributions and
523 derived parameterized descriptions of sea salt aerosol (SSA) and cloud condensation nuclei
524 (CCN) for periods of onshore flow. In these parameterizations the input parameters are
525 respectively sea surface wind speed and CPC-measured concentration.

526 In the context of CCOPE, there are two precipitation regimes that impact the Central
527 Chilean Coast and the Nahuelbuta Mountains during winter (Massmann et al. 2017). The first of
528 these have radar-derived echo tops at ~ 2 km MSL and produce rain by direct conversion of
529 cloud droplets to rain drops. The second have higher echo tops, extending to temperatures colder

530 than 0 °C and produce rain that is, at least in part, initiated by ice phase processes. Investigation
531 of the rain produced in the shallow regimes is an active area research; it is thought that SSA and
532 the CCN play important roles (Feingold et al. 1999; Gerber and Frick 2012). The deep regimes
533 form precipitating hydrometeors (ice particles) at cloud temperatures < 0 °C. Again, aerosols
534 play a role, but there are many facets to this and first-order effects are not yet agreed on. Perhaps
535 foremost is the role played by aerosol acting as ice nuclei. Measurement of an ice nuclei
536 activation spectrum, development of an ice particle parameterization, and incorporation of the
537 parameterization into a numerical model are needed to explore this dimension of the problem.
538 Because they modulate cloud droplet size, the development of graupel, and influence latent
539 heating (e.g., Tao et al. 2012), the CCN and SSA likely also play a role in the deep regimes.
540 Thus, we anticipate that modeling of both precipitation regimes will benefit from the CCN and
541 SSA parameterizations presented here.

542 **Author Contribution**

543 Jeff Snider, Jason Minder, David Kingsmill wrote successful proposals that funded this
544 research. Sara Fults, Adam Massman, Aldo Montecinos, and David Kingsmill performed the
545 field measurements. Rene´ Garreaud and Aldo Montecinos provided logistical support during the
546 field phase of the project. Elisabeth Andrews provided data from THD. Sara Fults wrote her MS
547 dissertation and this was adapted to this manuscript by Jeff Snider. All authors contributed to the
548 editing of this manuscript.

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556 **Data Availability**

557 CCOPE CPC and UHSAS data, and a data reader (Interactive Data Language, Harris
558 Geospatial Solutions, Inc.), are at <http://www-das.uwyo.edu/~jsnider/CCOPE/>.

559

560 **Appendix A**

561 Because the RH at the Arauco Site was often in excess of 80 % (Fig. A1c), particles
562 entering the sample tube (Sect. 2.2) were haze droplets (Rogers and Yau 1989). As these haze
563 droplets transit the sample tube they experience an increase in temperature, an RH decrease, and
564 thus a decreased D . The lowest RH experienced by a haze droplet is at the point of detection
565 where the aerosol temperature is presumed to be the internal “box temperature” measured by the
566 UHSAS. The RH at this point is

$$RH_U = \frac{RH_A \cdot e_s(T_A)}{e_s(T_U)} \quad (A1)$$

567 where T_U is the internal UHSAS temperature, e_s is saturation vapor pressure (temperature
568 dependent), and RH_A and T_A are the ambient RH and temperature, respectively. In nearly all of
569 the UHSAS sampling during CCOPE, the RH_U was less than 60 % (Fig. A1d). This suggests that
570 the haze droplets detected by the UHSAS were partially dried. Partial drying of the haze droplets
571 is supported by calculations (Lewis and Schwartz 2004; their Fig. 8) showing that a $D = 4 \mu\text{m}$
572 NaCl haze droplet reaches its equilibrium size ($D = 2 \mu\text{m}$) in 0.1 s subsequent to a step-change of
573 RH from 98 % to 80 %. Because 0.1 s is small relative to the average residence time of haze
574 droplets within the sample tube (0.8 s), we ignored the possibility of a kinetic limitation to drying
575 and we assumed that the haze droplets relaxed to their equilibrium size at RH_U prior to the time
576 they were detected. Since we do not know the chemical composition of the haze droplets, their
577 equilibrium size is uncertain, but calculations corresponding to $RH_U = 60\%$ and a haze droplet
578 composed of sodium sulfate indicate that the equilibrium size is 30% larger than the
579 corresponding dry particle size (Snider et al. 2017; their Fig. A2b). Three factors interact to
580 partially compensate for a size overestimate due to incomplete particle drying: 1) Particle sizing
581

582 performed by the UHSAS was calibrated using polystyrene latex particles (refractive index $n =$
583 1.57 at $\lambda = 1.05 \mu\text{m}$ (Marx and Mulholland 1983)); 2) Liquid water ($n = 1.32$ at $\lambda = 1.05 \mu\text{m}$
584 (Irvine and Pollack, 1968)) makes a significant contribution to the mass of a haze droplet at $RH =$
585 60% (here again we are assuming the above-mentioned sodium sulfate composition for the
586 completely dried particle); and 3) Assuming the same scattering intensity, an $n = 1.6$ particle
587 sizes 10% smaller than an $n = 1.4$ particle (Cai et al., 2008; their Fig. 1). Accepting the 10% as
588 an underestimate, and the above-mentioned 30% as an overestimate, we conclude that particle
589 sizes reported by the UHSAS were overestimated by 20% . We did not correct for this sizing bias.

590 Laboratory testing of the UHSAS and CPC is documented in Figs. A2a – b, and in Figs.
591 A3a - b. We evaluated consistency among measurements made with the UHSAS, the CPC, and a
592 Scanning Mobility Particle Scanner (SMPS; TSI 2000b). In all of these tests, the RH of the test
593 aerosols was $< 15 \%$. An example ASD derived using the UHSAS (pink) and the SMPS (black)
594 is shown in Fig. A2a. In this test the three instruments (UHSAS, CPC and SMPS) were sampling
595 mobility-selected ammonium sulfate particles with $D = 0.075 \mu\text{m}$. The refractive index of this
596 material at $\lambda = 1.05 \mu\text{m}$ is $n = 1.51$ (Toon et al., 1976). It is evident that the mode diameter
597 measured by the UHSAS is smaller than that reported by the SMPS ($D = 0.075 \mu\text{m}$). This
598 difference is qualitatively consistent with the smaller refractive index of the test material
599 (ammonium sulfate), compared to the larger refractive index of the polystyrene latex particles
600 used by the factory to calibrate the UHSAS (DMT, 2013). Fig. A2b shows a test with $D = 0.71$
601 μm polystyrene latex particles. As expected, the mode diameter in the UHSAS size distribution
602 is in agreement with the mode size in the SMPS size distribution.

603 An additional feature of our laboratory testing is the multi-modal structure in the SMPS
604 size distribution at $D < 0.5 \mu\text{m}$ (Fig A2b). This structure results because the particle diameter

605 inferred by the SMPS depends on the physical diameter of the test particles, and on also depends
606 on the test particle's charge state. The multi-modal structure at $D < 0.5 \mu\text{m}$ corresponds to
607 particles carrying 5, 4, 3, and 2 fundamental charges, but each with physical diameter equal 0.71
608 μm . As stated in the previous paragraph, the latter is the diameter of the polystyrene test
609 particles.

610 Figs. A3a - b summarize all of the lab testing we conducted in support of CCOPE. In Fig.
611 A3a, N_{UHSAS} is plotted vs N_{CPC} for tests with $D < 0.2 \mu\text{m}$ and Fig. A3b has tests with $D > 0.2 \mu\text{m}$.
612 On average, concentrations differ by $\pm 6 \%$ in Fig. A3a ($D < 0.2 \mu\text{m}$) and by $\pm 10 \%$ in Fig. A3b
613 ($D > 0.2 \mu\text{m}$).

614

615 **Appendix B**

616 For each of the onshore trajectories (Sect. 3.1), a two-hour segment, centered on the
617 trajectory arrival time was analyzed. An example is in Figs. B1a – e. The first panel (Fig. B1a)
618 shows the sequence of CPC values sampled every second (i.e., 1-s samples referred to as *fast*
619 N_{CPC}), and Fig. B1b shows CPC values sampled every 10 seconds (i.e., 10-s samples referred to
620 as *slow* N_{CPC}). The following procedure was used to attenuate the narrow perturbations that were
621 likely the result of local aerosol emissions (e.g., within the time interval indicated by vertical
622 dashed lines in Figs. B1a, B1b, and B1d).

623 First, the fast N_{CPC} values were used to determine, for each 10 s of the sequence, a
624 concentration relative standard deviation ($\sigma / \langle x \rangle$). Second, if the relative standard deviation was
625 greater than 0.02 both the slow N_{CPC} measurement (sampled once every 10 second) and the ASD
626 measurement (also sampled once every 10 second; Table 1) were discarded. Fig. B1c and Fig.
627 B1e show the N_{CPC} and N_{UHSAS} sequences after application of the filter. These two filtered
628 sequences ($N_{CPC}(\text{filtered})$ and $N_{UHSAS}(\text{filtered})$), and the filtered values of aerosol surface area
629 (S_{UHSAS}), aerosol volume (V_{UHSAS}), and $D > 0.5 \mu\text{m}$ concentration ($N_{>0.5}$) are the focus of the bulk
630 of our analysis.
631

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861 Table 1. Aerosol Instruments

Instrument and Reference	Aerosol Property Measured	Particle Diameter Range, μm	Aerosol Flow Rate, $\text{cm}^3 \text{s}^{-1}$	Data Acquisition Rate, Hz	Data Availability (2015)
CPC Model 3010 (TSI 2000a)	Aerosol Concentration	$D > 0.012$	17	1	29 May to 14 Aug
UHSAS (DMT 2013)	Aerosol Size Distribution	$0.055 < D < 1$	0.34	0.1	29 May to 28 June

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864 Table 2. Classification of Air Mass Type

Citation and Location	Measurement Site Characteristics	Air Mass Classification	Averaged CPC Concentration, cm^{-3} ^a
Gras (1990) Cape Grim, Tasmania 40.68 °S; 144.7 °E	Oceanic Wintertime	Remote Marine	100
Brechtel et al. (1998) Macquarie Island (Southwest Pacific) 54.50 °S; 159.0 °E	Oceanic Summertime	Remote Marine	700
Diesch et al. (2012) Portugal 37.11 °N; 7.735 °W	Coastal Continental Late Autumn	Moderately-polluted Marine Heavily-polluted Marine Continental	1000 7000 10000
This Study Arauco, Chile 37.25 °S; 73.34 °W	Coastal Continental Wintertime	Between moderately-polluted Marine and Heavily-polluted Marine	3000
This Study Trinidad Head, CA 41.05 °N; 124.2 °W	Coastal Continental Wintertime	Moderately-polluted Marine	1000

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866 ^a Values rounded to one significant digit

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868 Table 3. Statistics for Onshore Trajectories (D integration in Eq. (2), (4), and (5) is from 0.055 to 1 μm)
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Arrival Hour, UTC	Type	Start DDHHMM ^a , UTC	End DDHHMM ^a , UTC	N_{UHSAS} on V_{UHSAS} Slope, μm^{-3}	r ^b	$FAC(D=0.055 \mu\text{m})$	r	Number of Samples
06	Sea Surface	050500	050700	93.	0.54	0.59	0.65	139
12	Sea Surface	051100	051134	64.	0.10	0.19	0.59	63
18	Sea Surface	051700	051900	110.	0.66	0.41	0.63	342
00	Sea Surface	052300	060100	298.	0.81	0.51	0.96	316
06	Sea Surface	060500	060700	60.	0.53	0.18	0.89	677
12	Sea Surface	061100	061300	91.	0.60	0.16	0.65	647
18	Sea Surface	061700	061900	107.	0.33	0.18	0.81	476
00	Sea Surface	062300	062325	234.	0.81	0.36	0.97	133
06	Sea Surface	080500	080700 ^c	163.	0.06	0.29	0.52	542
12	Sea Surface	081100	081300	358.	0.75	0.28	0.76	504
18	Sea Surface	081700	081900	450.	0.88	0.42	0.90	416
00	Sea Surface	090020	090033	764.	0.45	0.34	0.98	72
06	Sea Surface	090500	090700	703.	0.68	0.23	0.96	554
12	Sea Surface	091100	091300	714.	0.89	0.44	0.94	532
18	Sea Surface	091700	091900	675.	0.78	0.39	0.53	592
00	Sea Surface	092300	100100	519.	0.37	0.22	0.68	618
06	Aloft	100500	100700	857.	0.96	0.39	0.82	617
18	Sea Surface	101700	101900	825.	0.86	0.37	0.19	622
00	Sea Surface	110006	110031	834.	0.96	0.50	0.99	61
00	Aloft	262300	270100	420.	0.68	0.47	0.93	647
			<x>	417		0.35		
			σ	297		0.13		
			$\sigma / <x>$	0.71		0.36		

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 871 ^a DDHHMM indicates the start and end times (day in June 2015, hour, minute) of the data segment

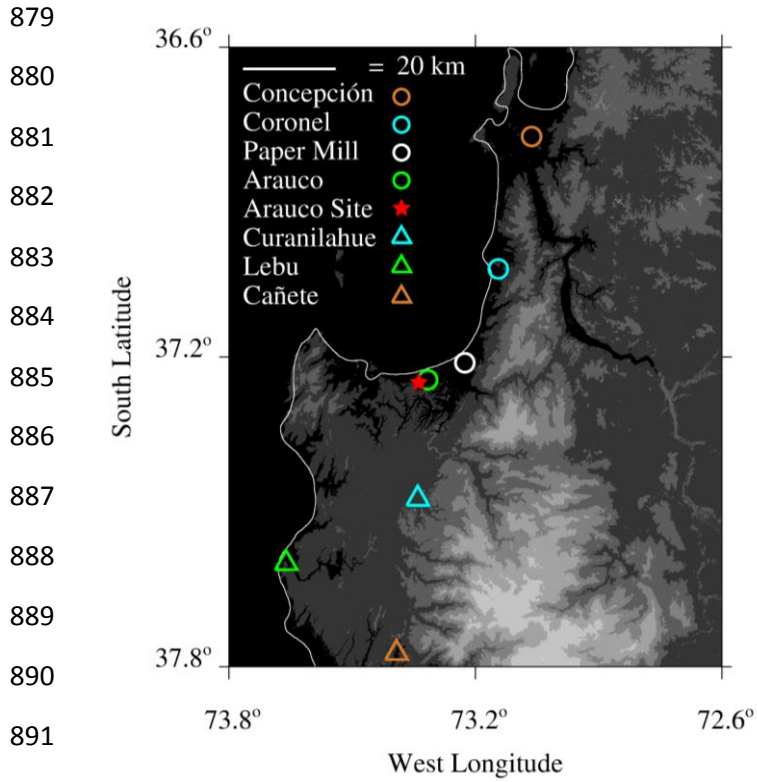
872 ^b Pearson product moment for the $N_{UHSAS}(D=0.055 \mu\text{m})$ on $V_{UHSAS}(D=0.055 \mu\text{m})$ correlation

873 ^c Data recording ended at DDHHMM = 080646, i.e., 14 min before the stated end time

874 Table 4. Statistics for Onshore Trajectories (D integration in Eq. (2), (4), and (5) is from 0.120 to 1 μm)

Arrival Hour, UTC	Type	Start DDHHMM ^a , UTC	End DDHHMM ^a , UTC	N_{UHSAS} on V_{UHSAS} Slope, μm^{-3}	r ^b	$FAC(D=0.120 \mu\text{m})$	r	Number of Samples
06	Sea Surface	050500	050700	60.	0.74	0.37	0.47	139
12	Sea Surface	051100	051134	40.	0.31	0.12	0.36	63
18	Sea Surface	051700	051900	64.	0.76	0.23	0.49	342
00	Sea Surface	052300	060100	113.	0.84	0.17	0.84	316
06	Sea Surface	060500	060700	34.	0.67	0.10	0.78	677
12	Sea Surface	061100	061300	44.	0.77	0.07	0.42	647
18	Sea Surface	061700	061900	42.	0.61	0.06	0.24	476
00	Sea Surface	062300	062325	107.	0.93	0.15	0.92	133
06	Sea Surface	080500	080700 ^c	89.	0.72	0.12	0.02	542
12	Sea Surface	081100	081300	139.	0.79	0.09	0.53	504
18	Sea Surface	081700	081900	202.	0.92	0.17	0.83	416
00	Sea Surface	090020	090033	184.	0.12	0.06	0.78	72
06	Sea Surface	090500	090700	228.	0.58	0.06	0.87	554
12	Sea Surface	091100	091300	262.	0.92	0.14	0.73	532
18	Sea Surface	091700	091900	257.	0.89	0.12	0.41	592
00	Sea Surface	092300	100100	204.	0.83	0.06	0.32	618
06	Aloft	100500	100700	323.	0.96	0.11	0.82	617
18	Sea Surface	101700	101900	279.	0.91	0.10	0.08	622
00	Sea Surface	110006	110031	346.	0.97	0.16	0.96	61
00	Aloft	262300	270100	171.	0.65	0.18	0.88	647
			<x>	159		0.13		
			σ	100		0.07		
			$\sigma / <x>$	0.63		0.55		

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876 ^a DDHHMM indicates the start and end times (day in June 2015, hour, minute) of the data segment
877 ^b Pearson product moment for the $N_{UHSAS}(D=0.120 \mu\text{m})$ on $V_{UHSAS}(D=0.120 \mu\text{m})$ correlation
878 ^c Data recording ended at DDHHMM = 080646, i.e., 14 min before the stated end time



895 Fig. 1 – Central Chilean Coastal region and the location of Arauco Site where aerosol
 896 measurements were made during CCOPE. Altitude thresholds for the digital elevation map are at
 897 0 m MSL, 50 m MSL, 250 m MSL, 500 m MSL, 750 m MSL, and 1000 m MSL.
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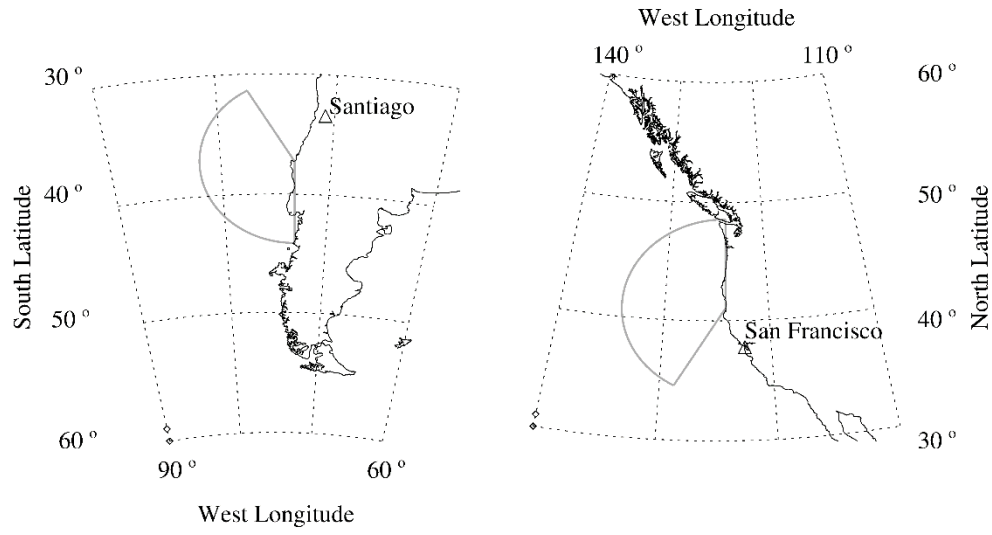


Fig. 2 - Clean sector chosen for Arauco (left, 180° to 330°) and the clean sector chosen for THD (right, 210° to 360°).

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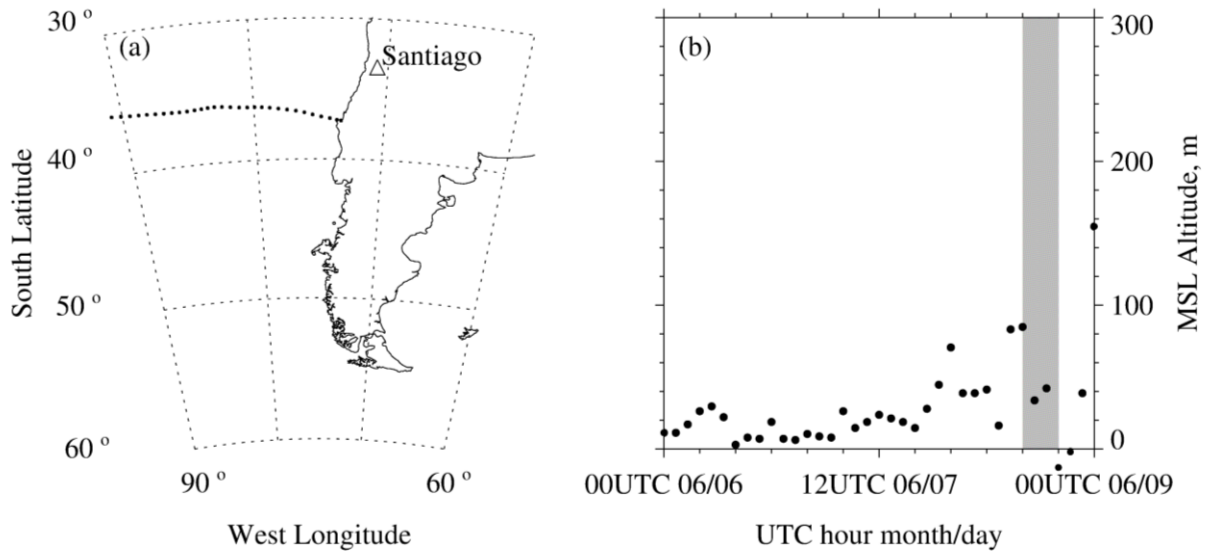
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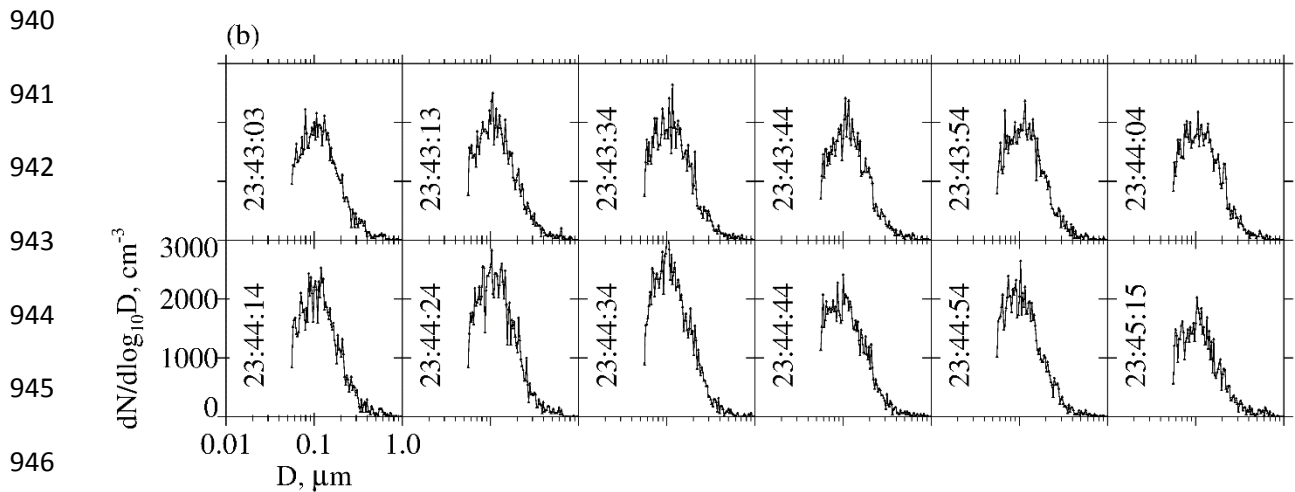
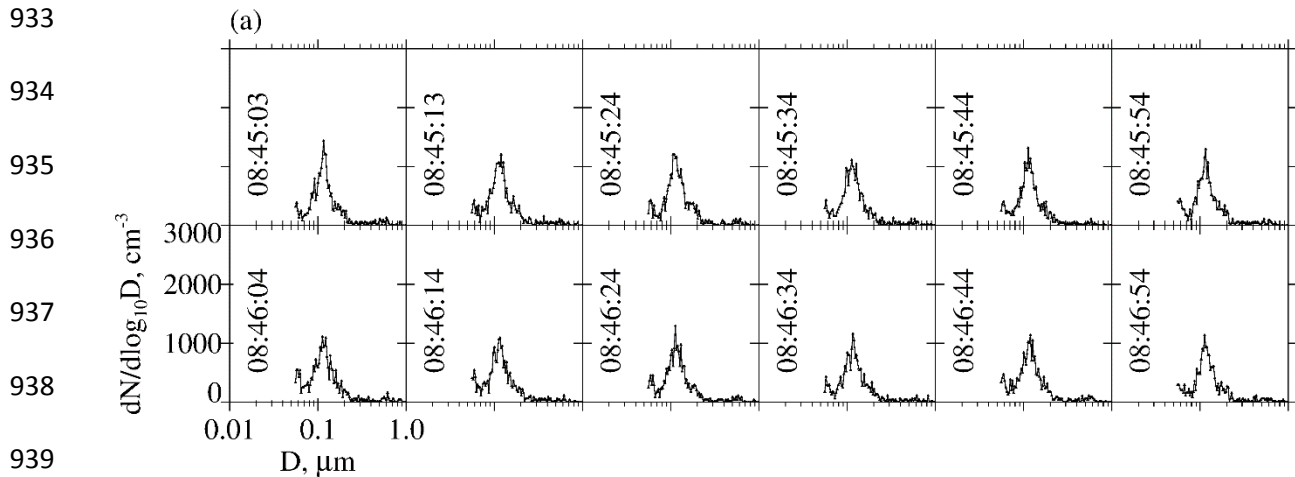
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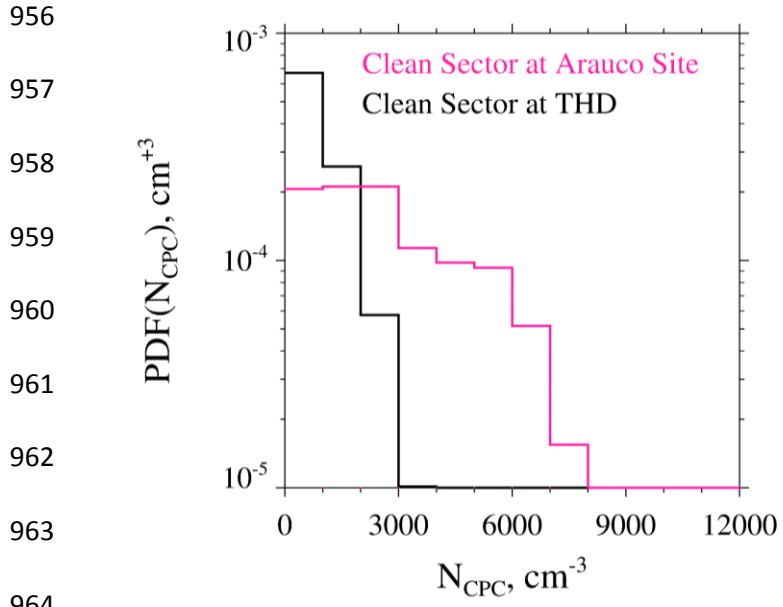
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Fig. 3 - a) One of the 18 sea surface trajectories that arrived at the Arauco Site between 29 May to 28 June; this trajectory arrival occurred at 00 UTC June 9. Black dots are hourly output of the HYSPLIT model; however, for clarity, only every other 1-hr point is plotted. b) Hourly parcel MSL altitude vs time; however, for clarity, only every other 1-hr point is plotted. The averaged sea surface wind speed (U) was evaluated over the 12 to 18 UTC interval shown in gray. MSL altitude was calculated using the pressure output by HYSPLIT (parcel barometric pressure) and the ICAO equation for the Standard Atmosphere (1993). MSL altitude increases if a larger sea-level is pressure applied in the ICAO equation. This sensitivity is $\sim 8 \text{ m} / \text{hPa}$.



949 Fig. 4 - Consecutive ASDs recorded by the UHSAS at the Arauco Site. a) ASDs with a
 950 relatively small concentration ($\sim 300 \text{ cm}^{-3}$), a right tail of an Aitken mode (at $\sim 0.06 \mu\text{m}$), and an
 951 accumulation mode (at $\sim 0.1 \mu\text{m}$), in onshore-moving air on June 5, 2015. b) ASDs with a
 952 proportionately larger concentration ($\sim 1100 \text{ cm}^{-3}$), an accumulation mode (at $\sim 0.1 \mu\text{m}$), and no
 953 evidence of an Aitken mode, in air thought to be contaminated by continental sources (June 4,
 954 2015). UTC time is written in each panel.

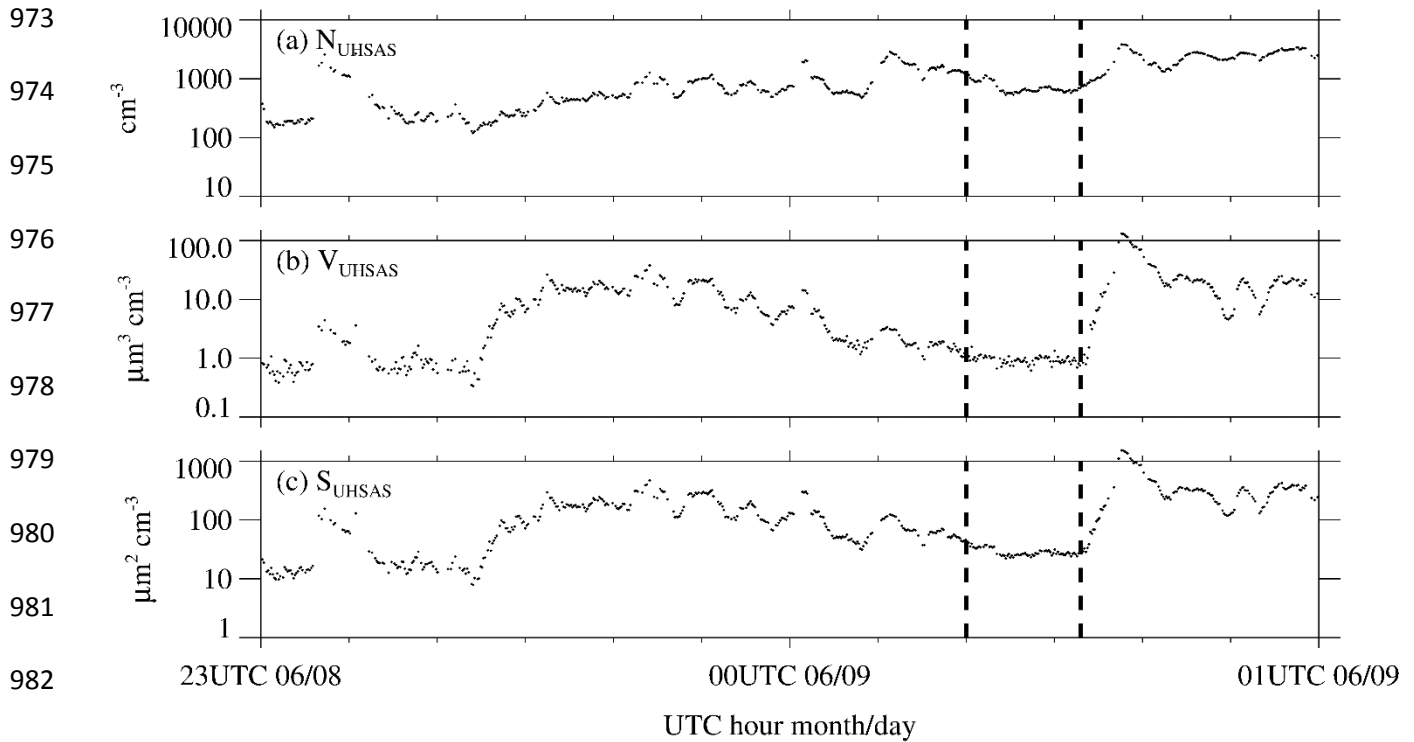
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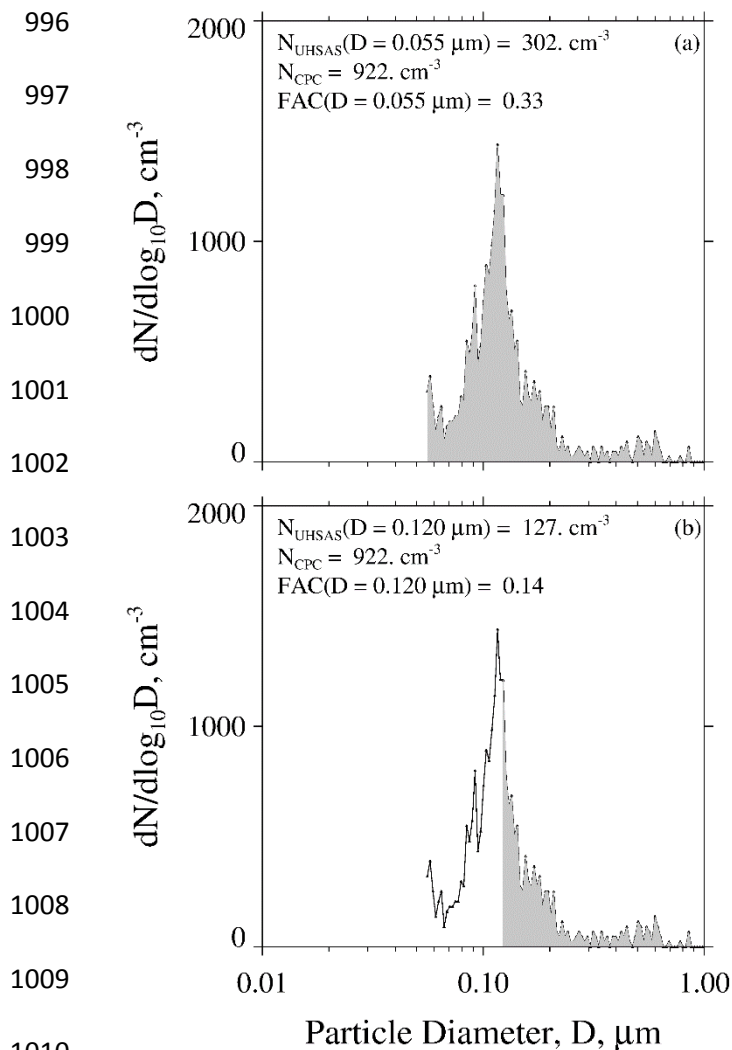
970 Fig. 5 - CPC concentration probability distribution functions for the Arauco Site and the

971 THD.

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 989 Fig. 6 – Aerosol properties centered on one of the 20 onshore trajectories that arrived at
 990 the Arauco Site between 29 May to 28 June. This trajectory arrival occurred at 00 UTC on June
 991 9. a) UHSAS concentration; b) UHSAS aerosol volume; c) UHSAS aerosol surface area. Aerosol
 992 properties shown here were filtered using the procedure described in Appendix B. Vertical
 993 dashed lines mark the subset of the two-hour segment we picked (subjectively) as being
 994 representative of onshore-moving air that was relatively unaffected by continental aerosols
 995 compared to adjacent portions of the two-hour segment.



1012 Fig. 7 - Two portrayals of the ASD recorded during CCOPE at 08:45:03 UTC June 5,
 1013 2015. This ASD is also plotted in Fig. 4a. Gray area in both panels represents the aerosol
 1014 concentration integrated from the indicated lower-limit D to $1 \mu\text{m}$. a) Figure legend has the size-
 1015 integrated UHSAS concentration, calculated with lower-limit D set at $0.055 \mu\text{m}$, the CPC
 1016 concentration, and the fractional aerosol concentration (FAC). b) Figure legend has the size-
 1017 integrated UHSAS concentration, calculated with lower-limit D in Eq. 2 set at $0.120 \mu\text{m}$, the
 1018 CPC concentration, and the fractional aerosol concentration (FAC).

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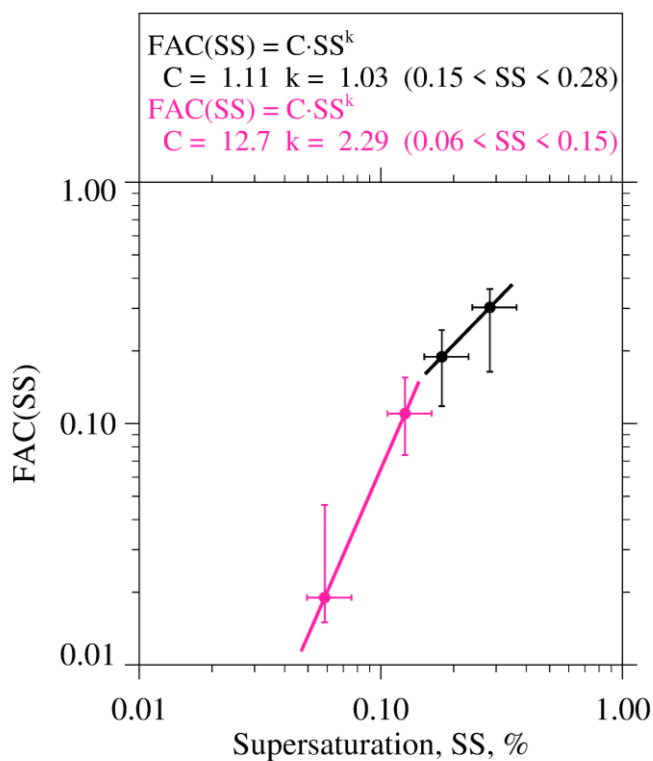
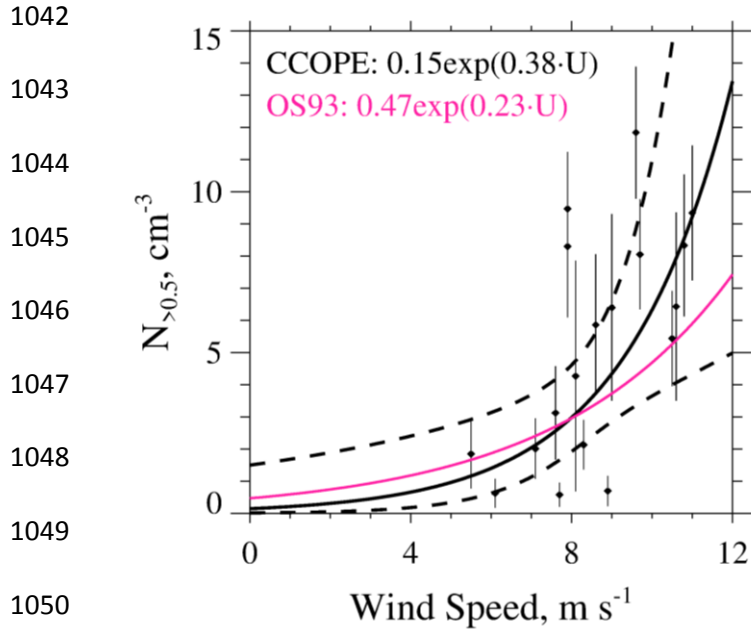


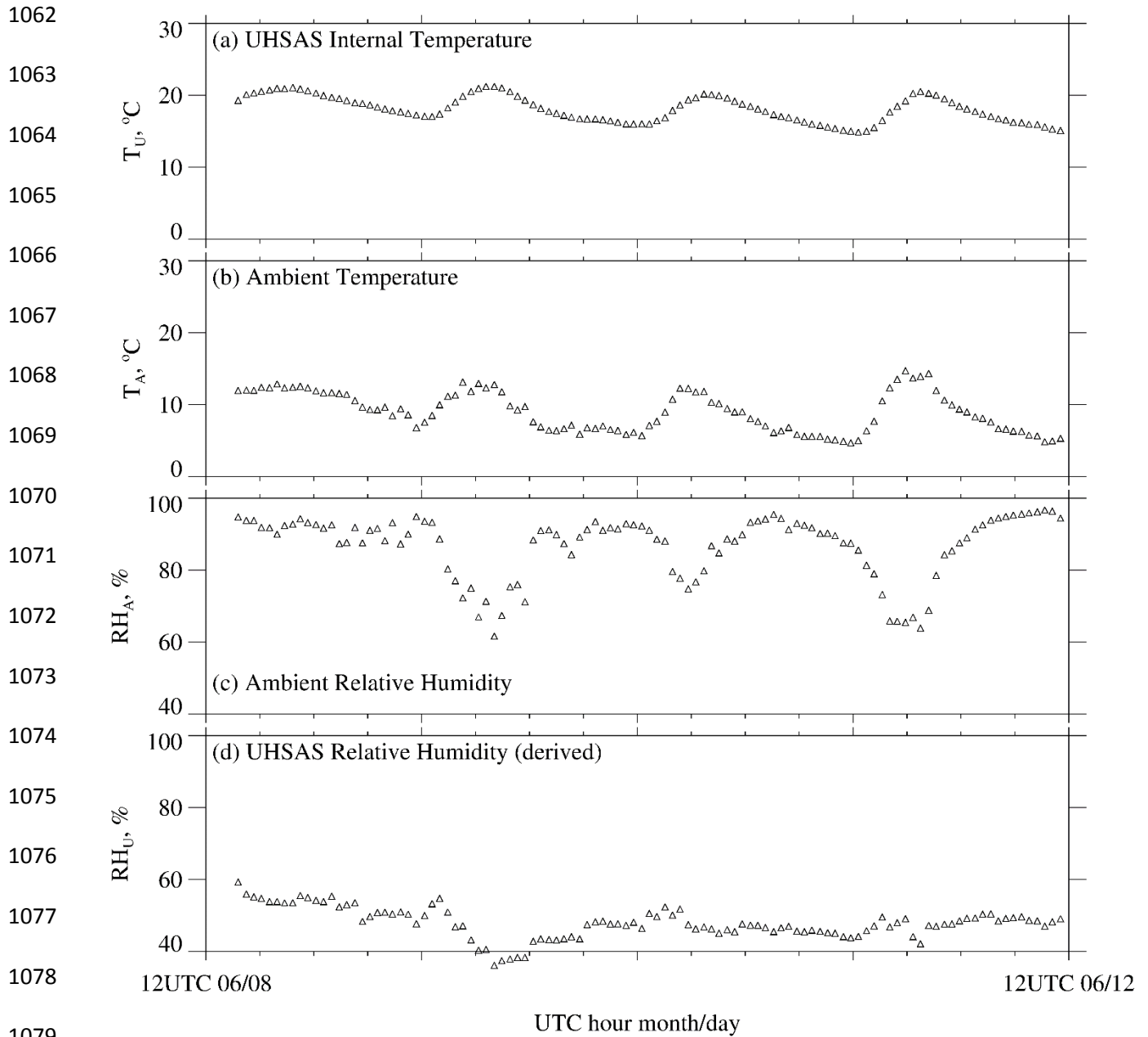
Fig. 8 - Parameterized CCN activity spectrum derived using CPC and UHSAS

measurements from the 20 onshore trajectories that arrived at the Arauco Site between 29 May and 28 June 2015. Pink circles and the pink fit line are for lower-limit diameters set at 0.200 and 0.120 μm . Black circles and the black fit line are for lower-limit diameters set at 0.095 and 0.070 μm . Figure legend has power-law coefficients describing the parameterization; i.e., how *FAC* varies with *SS*.

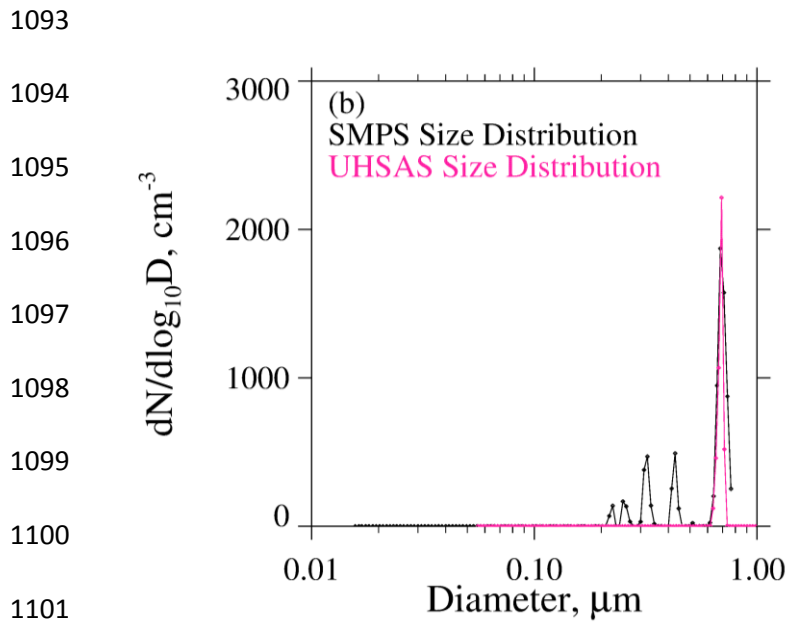
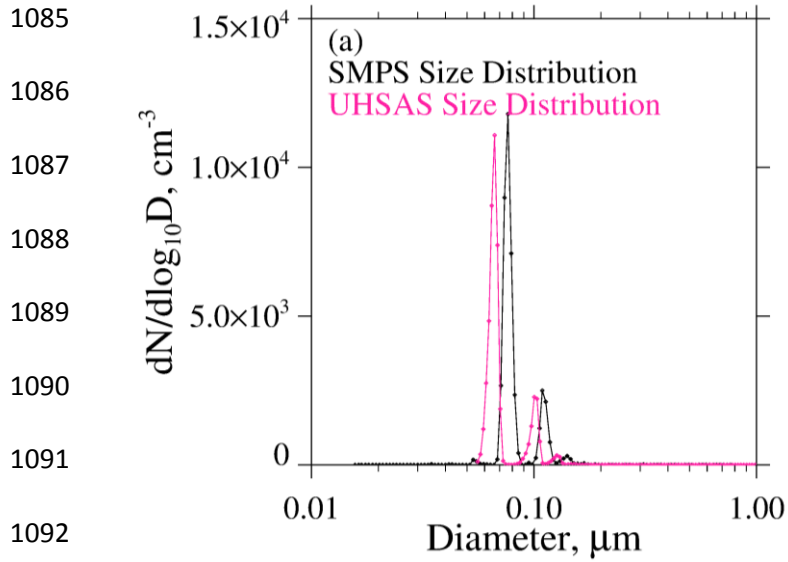


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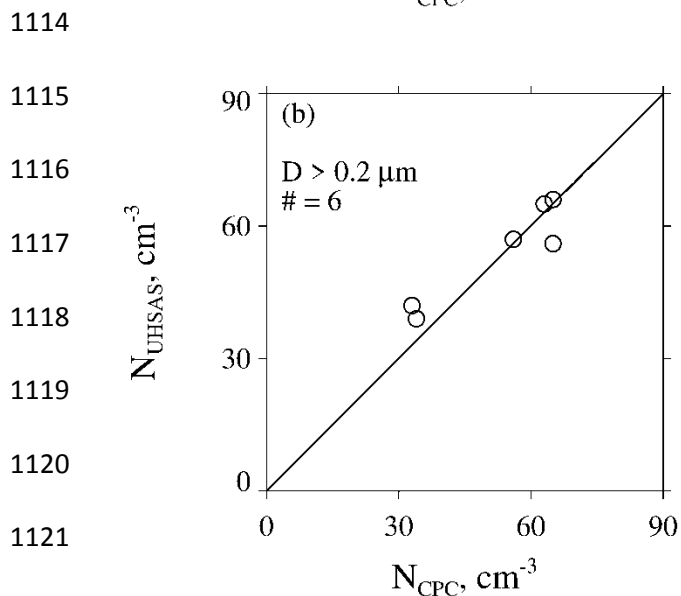
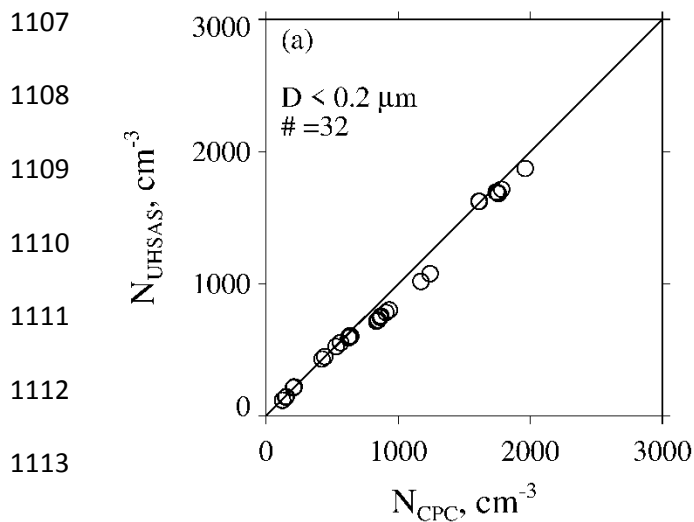
Fig. 9 – Averaged values of $N_{>0.5}$ (± 1 standard deviation) vs HYSPLIT-derived averaged U_s for the 18 sea surface trajectories that arrived at the Arauco Site between 29 May and 28 June 2015. The black curve is the fit of the CCOPE data; dashed curves above and below the black curves are 95% confidence intervals (Romano 1977; his Eq. 4.2.3.f). The pink curve is the fit reported by O’Dowd and Smith (1993) for $0.38 \mu\text{m} < D < 0.84 \mu\text{m}$.



1080 Fig. A1 – UHSAS internal temperature and ambient meteorological parameters at the
 1081 Arauco Site over a four day period. a) Temperature inside the UHSAS; b) Temperature measured
 1082 on the meteorological tower; c) *RH* measured on the meteorological tower; d) Derived *RH* inside
 1083 UHSAS.
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1103 Fig. A2 – a) ASDs corresponding to mobility-selected $D = 0.075 \mu\text{m}$ ammonium sulfate
 1104 test particles. b) ASDs corresponding to mobility-selected $D = 0.71 \mu\text{m}$ polystyrene test
 1105 particles.



1124 Fig. A3 - a) Size-integrated concentration from by the UHSAS versus concurrent

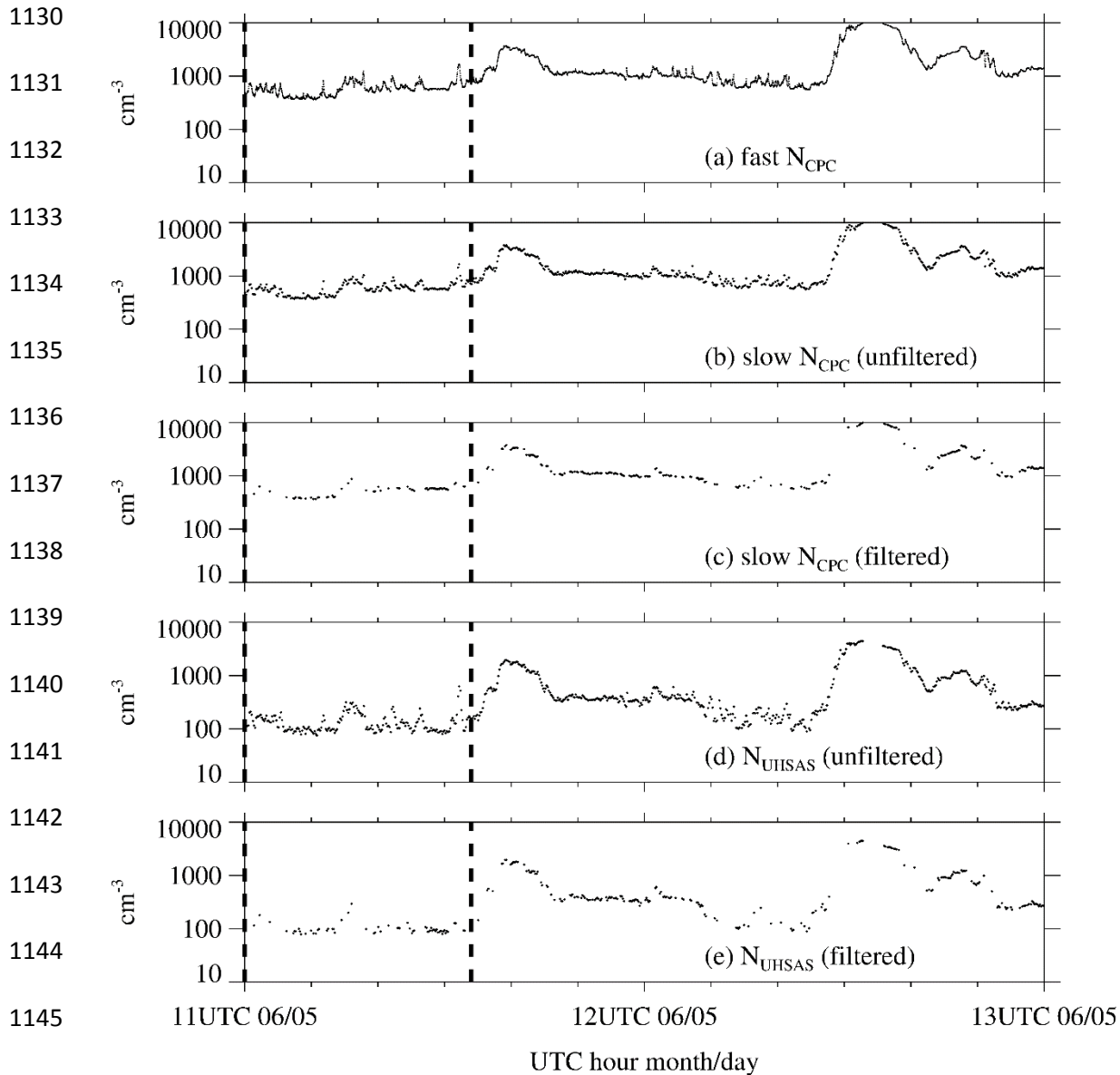
1125 laboratory measurements of concentration from the CPC. Results are for mobility-selected

1126 ammonium sulfate test particles with $D < 0.2 \mu\text{m}$. b) As in Fig. A3a but for mobility-selected

1127 ammonium sulfate test particles with $D > 0.2 \mu\text{m}$, and for mobility-selected polystyrene latex test

1128 particles with $D > 0.2 \mu\text{m}$.

1129



1147 Fig. B1 - Demonstration of the numerical filter. Measurements from one of the 20
 1148 onshore trajectories that arrived at the Arauco Site between 29 May and 28 June. This trajectory
 1149 arrival occurred at 12Z June 5. a) 1-s sampled CPC measurements; b) 10-s sampled CPC
 1150 measurements; c) filtered 10-s CPC measurements; d) 10-s UHSAS measurements of size-
 1151 integrated concentration; e) filtered 10-s UHSAS measurements of size-integrated concentration.
 1152