



Observationally constrained analysis of sea salt aerosol in the 1 marine atmosphere 2 3 4 Huisheng Bian^{1,2}, Karl Froyd^{3,4}, Daniel M. Murphy³, Jack Dibb⁵, Mian Chin², Peter R. Colarco², Anton Darmenov², Arlindo da Silva², Tom L. Kucsera⁶, Gregory Schill^{3,4}, Hongbin Yu², Paul 5 6 Bui⁷, Maximilian Dollner⁸, Bernadett Weinzierl⁸, and Alexander Smirnov⁹ 7 ¹University of Maryland at Baltimore County, Baltimore County, MD 8 ² NASA Goddard Space Flight Center, Greenbelt, MD 9 ³ NOAA Earth System Research Laboratory, Chemical Sciences Division, CO 10 ⁴ Cooperative Institute for Research in Environmental Sciences, University of Colorado, Boulder, CO 11 ⁵ University of New Hampshire, Durham, NH 12 ⁶ Universities Space Research Association, Columbia, MD 13 14 7 NASA Ames Research Center, Moffett Field, CA 15 8 University of Vienna, Faculty of Physics, Aerosol and Environmental Physics, Boltzmanngasse 5, A-16 1090 Wien, Austria 17 9 Science Systems and Applications, Inc., Lanham, MD 20706 18 19 20 Abstract 21 Atmospheric sea salt plays important roles in marine cloud formation and atmospheric 22 chemistry. We performed an integrated analysis of NASA GEOS model simulations run 23 with the GOCART aerosol module, in situ measurements from the PALMS and SAGA 24 instruments obtained during the NASA ATom campaign, and aerosol optical depth 25 (AOD) measurements from AERONET Marine Aerosol Network (MAN) sun 26 photometers and from MODIS satellite observations to better constrain sea salt in the 27 marine atmosphere. ATom measurements and GEOS model simulation both show that





28	sea salt concentrations over the Pacific and Atlantic oceans have a strong vertical
29	gradient, varying up to four orders of magnitude from the marine boundary layer to free
30	troposphere. The modeled residence times suggest that the lifetime of sea salt particles
31	with dry diameter less than 3 μm is largely controlled by wet removal, followed next by
32	turbulent process. During both boreal summer and winter, the GEOS simulated sea salt
33	mass mixing ratios agree with SAGA measurements in the marine boundary layer (MBL)
34	and with PALMS measurements above the MBL. However, comparison of AOD from
35	GEOS with AERONET/MAN and MODIS aerosol retrievals indicated that the model
36	underestimated AOD over the oceans where sea salt dominates. The apparent discrepancy
37	of slightly overpredicted concentration and large underpredicted AOD could not be
38	explained by biases in the model RH, which was found to be comparable to or larger than
39	the in-situ measurements. This conundrum is at least partially explained by the sea salt
40	size distribution; where the GEOS simulation has much less sea salt percentage-wise in
41	the smaller particles than was observed by PALMS. Model sensitivity experiments
42	indicated that the simulated sea salt is better correlated with measurements when the sea
43	salt emission is calculated based on the friction velocity and with consideration of sea
44	surface temperature dependence than that parameterized with the 10-m winds.
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46	

47 **1. Introduction**

Bubble bursting and jet drops at the ocean surface result in the production of sea spray
particles composed of inorganic sea salt and organic matter (e.g., Quinn and Bates, 2013).
Among various atmospheric aerosol components, sea salt is estimated to have the largest





51	mass emission flux and the second largest atmospheric mass loading globally (Textor et
52	al., 2006). Sea salt particles in the atmosphere could exert direct radiative effect of
53	around -1.5 to -5.03 W/m ² annually at the top of atmosphere (IPCC, 2001). On a global
54	and annual scale, the direct radiative effect of sea salt is equal to or greater in magnitude
55	than that of natural sulfate and soil dust (Jacobson, 2001; Takemura et al., 2002). Sea salt
56	particles are efficient cloud condensation nuclei (CCN). Consequently, sea salt particles
57	have indirect effects on climate and weather (Dadashazaer et al., 2017; Dall et al., 2017;
58	Kogan et al., 2012; Pierce and Adams, 2006; Quinn et al., 2017). Furthermore, sea salt
59	aerosol particles serve as sinks for reactive gases and small particles and are a source of
60	halogens to the atmosphere (e.g., Alexander al., 2005; Anastasio et al., 2007; Lawlet et
61	al., 2011). There is also observational evidence suggesting that new particle formation
62	may be suppressed in the presence of sea salt aerosol (Browse et al., 2014; Lewis and
63	Schwartz, 2004). To quantify the effects of sea salt aerosol on the environment, a detailed
64	knowledge of its mass, size, and vertical distribution is required.
65	
66	We present a comprehensive evaluation of sea salt aerosol simulated with the Goddard

67 Chemistry, Aerosol, Radiation, and Transport model (GOCART) in the Goddard Earth
68 Observing System (GEOS) framework using aerosol measurements obtained during the

- 69 Atmospheric Tomography Mission (ATom). ATom is a NASA-funded Earth Venture-
- 70 suborbital project. ATom deployed an extensive gas and aerosol instrumental payload on
- 71 the NASA DC-8 aircraft for systematic, global-scale sampling of the atmosphere,
- 72 profiling continuously from 0.2 to 12 km altitude with flight routes over the Pacific,
- 73 Atlantic, Southern Ocean, North America and Greenland from 85°N to 65°S (see Fig. 1).





- 74 Flights occurred in four seasons over a 3-year period (2016-2018) and we study the first
- two ATom measurements that represent the summer and winter seasons for both
- 76 hemispheres. The ATom data provides an unprecedented opportunity for models to
- evaluate transport and parameterizations of physical and chemical processes. This work
- 78 utilizes ATom's high frequency vertical measurements of sea salt over global remote
- 79 oceans from marine boundary layer (MBL) to the upper troposphere, in contrast with
- 80 typical model validation of sea salt simulation with in situ measurements at ground
- 81 surface limited to selected locations and regions (Kishcha et al., 2011; Spada et al., 2013,
- 82 2015; Tsyro et al., 2011; Witek et al., 2007), and commonly using monthly averaged
- 83 observations (Grini et al., 2002; Textor et al., 2006).
- 84
- 85 In this study, we examine sea salt in MBL using both the ATom measurements and
- 86 GEOS GOCART simulations. We explore sea salt vertical distribution in various
- 87 latitudinal zones over the Pacific and Atlantic oceans to investigate simulated dry and wet
- 88 deposition processes. Finally, we examine the sea salt size distribution, important to both
- 89 AOD calculations and cloud formation.
- 90
- 91 The GEOS/GOCART model is described in section 2, particularly the different sea salt
- 92 emission schemes tested in this study. The NASA ATom field campaign is introduced in
- 93 section 3, including a brief description of the Particle Analysis by Laser Mass
- 94 Spectrometry (PALMS) and Soluble Acidic Gases and Aerosols (SAGA) instruments that
- 95 are used to provide sea salt measurements. The measurements and model results are
- 96 presented in section 4 and the emission, removal processes, vertical profile, size





- 97 distributions, and AOD are analyzed. In section 5, we summarize our sea salt study and
- 98 discuss the potential important chemical/physical processes that could have an impact on
- 99 sea salt simulation for future improvement.
- 100

101 **2. Model description**

- 102 Global sea salt is simulated by using GEOS/GOCART, which is a global aerosol model
- 103 GOCART (Chin et al., 2002, 2009, 2014) implemented in the GEOS Earth system model
- 104 (Gelaro et al., 2017; Rienecker et al., 2011). The GEOS/GOCART aerosols include dust,
- 105 sea salt, sulfate, nitrate, ammonium, black carbon, and organic matter, mixed externally
- 106 (Bian et al., 2013; 2017; Colarco et al., 2010).
- 107

108 The sea salt emission scheme in the GEOS/GOCART model was initially based on the 109 algorithm of Gong (2003) who provided a parameterization of the size-resolved flux of 110 sea salt particles as a function of the 10-m wind speed. Two modifications to this scheme 111 were subsequently developed based on comparisons of simulated sea salt aerosol to 112 satellite AOD from the Moderate Resolution Imaging Spectroradiometer (MODIS) 113 (Darmenov et al., 2013; Randles et al., 2017): 1) the emission function was recalibrated 114 in terms of the surface friction velocity rather than the 10-m wind speed and 2) a sea 115 surface temperature (SST) correction term was introduced. This new emission algorithm 116 with both surface wind and temperature modifications is used in the main body of the paper and a detailed description of the emission is given in supplementary material. We 117 118 examined the three sea salt emission schemes using ATom measurements and the results 119 are given in supplementary material.





120	
121	The current default setting of GEOS/GOCART allows sea salt to be completely removed
122	by warm clouds from convective updraft and from large-scale rainout and washout. Sea
123	salt can also be removed by dry deposition (turbulent) and sedimentation. These
124	processes were described in Chin et al. (2002). We assume that the particles undergo
125	hygroscopic growth according to the equilibrium parameterization of Gerber (1985),
126	which is a function of the relative humidity (RH). The humidified particle sizes are
127	considered in our computations of the particle sedimentation, aerodynamic deposition
128	velocity, and optical properties.
129	
130	The GEOS/GOCART includes five bulk sea salt size bins in the range of 0.06-20 μm in
131	dry diameter. Specifically, they are 0.06-0.2, 0.2-1.0, 1.0-3.0, 3.0-10, and 10-20 $\mu m,$
132	respectively. The first bin was added to facilitate aerosol-cloud and optical property
133	studies (Colarco et al. 2010), which was not included in the previous GOCART versions
134	(Chin et al., 2002, 2014). The sea salt particle density is 2200 (kg/m ³) for all sizes.
135	
136	In this study, we ran GEOS/GOCART at a global \sim 50 km horizontal resolution on the
137	cubed-sphere grid and 72 vertical layers from surface up to 0.01mb. We ran the model in
138	"replay" mode, which sets the model dynamical state (winds, pressure, and temperature)
139	at every 6 hours to the balanced state provided by the meteorological reanalysis fields
140	from the Modern-Era Reanalysis for Research and Applications version 2 (MERRA-2).
141	One and half year simulation was conducted from the beginning of 2016 to cover the first





- 142 two phases of ATom measurement periods, with the first half year of the simulation used
- 143 as a spin up period.
- 144

145 **3. ATom aircraft sea salt measurement from PALMS and SAGA**

- 146 ATom provides measurements for various important atmospheric gases, aerosols and
- 147 their precursors over vast open oceans. Among these, sea salt has been measured by two
- 148 instruments, the NOAA PALMS instrument, which provides mass mixing ratio and size
- 149 distribution up to 3 µm in dry diameter, and the University of New Hampshire SAGA
- 150 instrument, which includes measurements of sodium ion, a good sea salt proxy.

151 PALMS is a laser ionization mass spectrometer which makes in situ measurements of the 152 chemical composition of individual aerosol particles. A detailed description of PALMS, 153 including its physical working mechanism and measurement features, has been given by 154 Murphy et al., (2018). The instrument is capable of measuring particles from 0.12 to 3 155 µm in dry diameter and analysis is completed in less than 1 millisecond after the aerosols 156 enter the inlet. The real power of the PALMS sea salt measurements is twofold: a) high 157 sensitivity at low concentrations above the MBL such that the measured vertical profiles 158 are more reliable than most previous data, and b) the data are size-segregated up to 3 µm 159 in dry diameter, covering the active size range for optical and radiation calculations. 160 In the cloud-free MBL, sea salt concentrations inferred from the SAGA sodium data are 161 highly correlated with PALMS sea salt measurements (Murphy et al., 2018). SAGA 162 measures sodium ions extracted from the aerosol. A factor of 3.27 is applied to convert 163 the SAGA measured sodium mass to total sea salt mass (Keene et al., 1986; Wilson,





- 164 1975). This assumes that all of the measured sodium comes from sea salt, which should
- 165 be a reasonable assumption for most ATom samples. SAGA collects particles on a filter
- 166 with a sampling frequency of around 5-15 minutes to allow more time for the filter media
- 167 to collect sufficient particles. As reported by the DC-8 Inlet Characterization Experiment
- 168 (DICE), the SAGA inlet performed nearly identically in the marine boundary
- 169 environment to the U. Hawaii inlet used by PALMS during ATom (McNaughton et al.,
- 170 2007).
- 171
- 172 We use ATom1 (Jul.-Aug., 2016) and ATom2 (Jan.-Feb., 2017) campaign data in this
- 173 study. These two deployments combined together provided detail information of summer
- 174 and winter on a global scale.
- 175
- 176 **4. Results and Discussions**
- 177
- 178 **4.1 Comparison in marine boundary layer**
- 179 Sea salt is sufficiently rich in the MBL that SAGA can collect enough aerosol there for
- analysis. Comparisons of the sea salt in a layer from surface up to 1.5 km between the
- 181 model simulation and ATom (PALMS and SAGA) measurements are shown in Fig. 2a.
- 182 To have a reasonable comparison, we conducted three data treatments. First, we excluded
- 183 SAGA samples with significant dust signal when the measurements meet the two
- 184 conditions: sample Ca^{2+} larger than 0.05 μ g/sm³ and the ratio of Ca^{2+} to Na⁺ larger than
- 185 0.06. Second, we only include GEOS sea salt particles smaller than 3 µm in dry diameter
- 186 to be consistent with the instrument measurements. Third, we sampled GEOS and





187	PALMS data at the SAGA measurement time frequency when the SAGA has valid
188	measurements. The agreement between model and measurement is good. The correlation
189	coefficients are generally higher than 0.79 for both GEOS-PALMS and GEOS-SAGA in
190	both ATom1 and 2 periods.
191	
192	There are outliers on the Figure 2a. Just a small amount of cloud can wash off salt
193	previously deposited on an inlet wall. Therefore, in Figure 2b we excluded samples that
194	could be contaminated by clouds during sampling, using cloud indicator data from the
195	Cloud, Aerosol, and Precipitation Spectrometer (CAPS). The outliers are gone on Figure
196	2b and the correlation coefficients between model and measurements are indeed
197	improved a little bit, i.e. larger than 0.85. On the other hand, the GEOS sea salt mass
198	mixing ratios are still more than double of those of PALMS (2.3 in ATom1 and 4.7 in
199	ATom2), which could be at least partially explained by potential sampling biases in
200	PALMS instrument, particularly in the size distribution. The cutpoint of 3 μ m in dry
201	diameter recommended by instrument teams used in this study is subject to a large
202	uncertainty of wet/dry size ratio that is strongly dependent on ambient relative humidity.
203	Furthermore, the sea salt mass distribution is (sometimes) still rising sharply through the
204	inlet cutpoints. Considering the combination of all these systematic and random
205	uncertainties, which are decreased across the sea salt coarse mode, the measurement can
206	easily result in uncertainties on the order of $\sim x2$ in dry mass. When checking the
207	comparison between GEOS and SAGA, GEOS sea salt mixing ratio is comparable to or
208	slightly larger than SAGA results (i.e. 0.92 in ATom1 and 1.3 in ATom2). Overall, the
209	GEOS sea salt is most likely to overestimate sea salt mass during southern hemisphere





- 210 summer period. Comparing sea salt between the two instruments directly shows a high
- correlation (0.76 in ATom1 and 0.90 in ATom2) as well (also see Murphy et al., 2018).
- 212

213 **4.2 Vertical distribution**

- 214 Understanding the sea salt vertical distribution is important for climate studies,
- 215 particularly in the tropical marine upper troposphere where a reliable background aerosol
- 216 field is needed. However, most previous sea salt measurements were limited to the
- 217 surface or near coastal areas, leading to nearly no in situ observations of the vertical
- 218 distribution of sea salt over vast areas of the open oceans. The ATom measurements fill
- 219 this gap by providing atmospheric tomography measurements over the Pacific, Atlantic,
- and Southern oceans from near surface to the upper troposphere (0.2-12 km).
- 221 Furthermore, the PALMS instrument measures in situ sea salt mass and size distribution.
- 222 A good sensitivity of the PALMS measurements makes it very useful in studying the
- 223 relatively clean environments above the MBL. Using the ATom sea salt measurements
- 224 over remote open oceans has some additional advantages versus previous studies. For
- 225 instance, airborne measurements alleviate biases typical at land stations due to onshore
- wave breaking activities, especially at sites with steep topography (Witek et al., 2007;
- 227 Spada et al., 2015).

228

229 Figure 3 shows the sea salt vertical profiles of PALMS measurement and GEOS model

- 230 simulation over 5 latitudinal zones over Pacific and Atlantic oceans in ATom1 and
- ATom2. The GEOS model results are sampled at the time and location closest to the
- 232 measurement points. As discussed in section 4.1, modeled sea salt mass concentrations





233	are higher than the PALMS data near the surface over all latitudinal zones during both
234	summer and winter seasons. There are often two regimes vertically with a sharp gradient
235	in the lower atmosphere and a lesser gradient above. Wet removal processes, particularly
236	convective cloud removal, are likely the driving factors for the sea salt distribution in the
237	size range considered in this study (Table 1a). Sea salt is a highly soluble species. It is
238	assumed to fully dissolve into clouds, which results in efficient removal by shallow
239	marine clouds, typically marine stratus and stratocumulus clouds (Eastman et al., 2011,
240	Lebsock et al., 2011, Wood 2012, Zhou et al., 2015). Sea salt dry deposition (turbulent)
241	and sedimentation also contribute to its removal in low altitudes. Interestingly,
242	sedimentation process plays the smallest removal role for the sea salt particles studied in
243	this work, while it overwhelmingly controls sea salt loss rate (i.e. more than 1.5 times
244	those of all other processes combined) when coarser mode sea salt is included, see Table
245	1b. This is understandable because nearly 90% of injected sea salt particles are in coarse
246	mode. Since sea salt is found mostly in the lower atmosphere, further removal of sea salt
247	particles by cold clouds was found to have only marginal impact on its mass budget in
248	our sensitivity studies, although its feedback on cold clouds needs further studies. Note
249	that results in Table 1a and b are summarized on an annual basis from July 2016 to June
250	2017.
251	

Atmospheric convection impacts the sea salt vertical distribution as well. The height of the turnaround level (or the transition layer) between two vertical distribution regimes in Fig. 3 is around 600 hPa in the polar regions and moves up to 400 hPa in the tropical region, given that more vigorous convective activities occur in the tropical region. The





- seasonal variation of the vertical gradient is larger in polar regions than in tropical region,
- 257 consistent with stronger seasonal variations of the meteorological fields (e.g. T, RH,
- wind, etc) in high latitudes.
- 259

260 **4.3 Marine aerosol AOD**

- 261 To provide an overall picture of sea salt for this study, we compared the GEOS AOD
- with satellite MODIS Collection 6 (C6) Aerosol AOD retrieval (Levy et al., 2013) and
- 263 AERONET Maritime Aerosol Network (MAN) measurements (Smirnov et al., 2017) by
- 264 focusing on sea salt dominant regions. AOD integrates extinction by all aerosol in the
- atmospheric column, with extinction dependent on the absolute mass, size distribution,
- 266 hygroscopic growth, vertical distribution, and optical property of each individual
- 267 component and the composition of aerosols.
- 268
- 269 Figure 4 shows total AOD comparison between MODIS and GEOS in August 2016 and
- 270 February 2017. Here, the GEOS AODs are sampled using daily MODIS AOD retrieval.
- 271 The AODs are only shown where the fraction of sea salt mass relative to the total aerosol
- 272 mass simulated by GEOS (bottom panel) is larger than 0.7 so that we can focus our
- 273 discussion over sea salt dominant regions. GEOS AODs are much lower than MODIS
- AODs for both seasons over remote oceans where sea salt dominates. Even after
- 275 improvements, MODIS C6 AOD remains a positive bias up to 0.03 at low AOD (Figure
- 276 16 in Levy et al., 2013). It is difficult for us to remove this bias in the comparison shown
- in the Figure 4. Another AOD comparison between AERONET MAN and GEOS,





- therefore, is explored since there is no positive systematic bias reported in MAN's
- 279 measurement.
- 280
- 281The conclusion of a lower GEOS AOD can also be obtained in Fig. 5 by comparing AOD
- 282 between the MAN cruise measurement and the GEOS simulation that occurred from July,
- 283 2016 to June 2017. AERONET MAN provides ship-borne aerosol optical depth
- 284 measurements from the Microtops II sun photometers. The GEOS model results are
- sampled at the time and location of the ship-based measurements. The model AODs are
- 286 much smaller than MAN measurements over a majority of the open ocean areas except
- 287 part of the Atlantic Ocean where AOD was impacted by the dust. The scatter plot at the
- bottom of the figure indicates clearly that the modeled AOD is biased low, especially
- 289 over the Southern Ocean where the model AOD is less than half of MAN's.
- 290
- 291 On the one hand, GEOS' sea salt mass is comparable to SAGA in situ measurements in
- the MBL, and on the other hand, GEOS underestimates AOD when compared with
- 293 measurements from MAN and MODIS. The agreement with PALMS vertical gradients
- shows that the AOD cannot be explained by sea salt above the MBL. There are various
- 295 potential reasons for this conundrum, such as the sea salt size distribution, atmospheric
- 296 relative humidity, sea salt particle hygroscopic growth rate, sea salt refractive index, etc.
- 297 We will discuss the first two potential reasons below.
- 298

299 4.4 Size distribution and atmospheric RH





300	The sea salt size distribution is a key factor in AOD calculation because small particles
301	are more optically efficient. Sea salt size distribution also affects AOD calculation by
302	affecting sea salt mass distribution via sea salt transport and removal processes. The
303	necessity to study sea salt size distribution lies also in that it plays an important role in
304	atmospheric chemistry, radiative effects, and cloud formation processes.
305	
306	To quantify size impact, we calculate normalized percentage of sea salt mass in each of
307	the first three size bins for PALMS and GEOS over three atmospheric vertical layers for
308	ATom1 and 2, as shown in Figure 6. The three vertical layers (i.e. 0-1.5, 1.5-6, and >6
309	km) represent the boundary layer, middle troposphere, and upper troposphere. GEOS sea
310	salt particle mass and size have been computed at RH of 45% to match the measurement
311	condition of PALMS. Although the particle sizes are limited to be less than 3 μm in dry
312	diameter here due to PALMS measurements, we are more interested in the small particles
313	since they are optically important and are more important in cloud formation on a per unit
314	mass basis. The amplitude of the distribution is much shallower in PALMS than in
315	GEOS. In other words, with the same sea salt mass, the fraction of sea salt in the finest
316	mode in PALMS would be much more (i.e. about 5-7 times higher) than in GEOS. To
317	quantify the potential impact of sea salt size distribution on AOD calculation, we
318	calculate the sea salt mass extinction efficient (MEE) integrated over the three bins using
319	the two size distributions of PALMS and GEOS at RH 45% and 550 nm in the same three
320	vertical layers and in the whole atmosphere (Table 2). The size segregated MEEs used in
321	the calculation are 1610.3618, 5622.7075, and 1216.4149 $m^2 kg^{-1}$ for the bins 1-3,
322	respectively. The integrated MEE of GEOS (1679.36 m ² kg ⁻¹) is only 76.2% of that of





345

323	PALMS (2203.67 m ² kg ⁻¹). Thus, the underestimation of GEOS AOD shown in Figure 5c
324	is partially stemmed from the sea salt size distribution. The underestimation of AOD by
325	GEOS is more significant in low atmosphere shown in Table 2, which implies that the sea
326	salt size distribution from emission may need to be revisited.
327	
328	Apparently, sea salt size distribution is a potential culprit for the dichotomy in GEOS
329	simulation since GEOS partitions more sea salt onto larger particles which are less
330	optically active compared with the significant fine sea salt mode observed in PALMS
331	measurements. Such large underestimation of fine sea salt particles by the model may
332	have significant implications not only on the AOD calculation but also on studies of
333	radiative effects and cloud formation because particle number concentration is a key
334	quantity for these processes. The conclusion that GEOS sea salt size distribution favors
335	the coarse mode sea salt particles is consistent with a recent study of Naumann et al.,
336	(2016), which found that the sea salt emission of Gong (2003) yielded overestimations in
337	the PM10 measured at coastal stations and underestimations at inland stations over
338	northwestern Europe.
339	
340	Sea salt particle size distribution changes horizontally and vertically, but the change is
341	much smaller than the difference between those of model and measurement. This implies
342	a possibility of using a global size distribution without sacrificing much accuracy.
343	
344	Atmospheric water, another possible reason for the AOD underestimation, was also

15

investigated. Figure 7 compares atmospheric RHs between ATom measurements and





- 346 GEOS simulations along flight tracks summarized over the same regions as in Fig. 3.
- 347 Almost everywhere the model's RH is higher than ATom measurement, including MBL
- 348 where humidity is typically high, with only a few exceptions. Thus, atmospheric water
- 349 simulation is not responsible for the low AOD calculation. In fact, using measured RH
- along with the model's sea salt size distribution and vertical distribution would give even
- 351 lower AOD. There should be other factors contributing to a lower GEOS AOD
- 352 calculation as well, such as sea salt hygroscopic growth rate, sea salt optical properties,
- 353 and other aerosol species over ocean. Further investigations for these factors are needed
- to better understand the GEOS sea salt simulation.
- 355

356 **5. Conclusions**

357 A systematic and comprehensive global sea salt study was conducted by integrating 358 NASA GEOS model simulations with ATom in situ measurements from the PALMS and 359 SAGA instruments, as well as AOD measurements from AERONET MAN and satellite 360 MODIS over the oceans. This work takes advantage of PALMS sea salt vertical profile 361 measurement together with SAGA filter measurements in MBL. The study covers global 362 remote regions over the Pacific, Atlantic, and Southern Oceans from near the surface to 363 ~12 km altitude and covers both summer and winter seasons. Important atmospheric sea 364 salt fields, e.g. mass mixing ratio, vertical distribution, size distribution, and aerosol 365 AOD, are examined. The meteorological field of RH and the sea salt simulation 366 processes of emission, dry deposition, sedimentation, and large scale and convective wet 367 depositions were explored to explain the sea salt fields and to reveal a potential direction 368 for model improvement.





369	
370	Generally, the agreement between ATom measurements and the model is remarkable,
371	both in terms of absolute loading and especially in the shape of the vertical distribution
372	under a huge variety of tropospheric environments. The correlation coefficients are
373	generally higher than 0.8 between GEOS-PALMS and GEOS-SAGA for both ATom1
374	and ATom2 periods. GEOS results captured the strong sea salt vertical gradient shown in
375	the measurements except over SH high latitudes, where the PALMS's gradient is deeper.
376	In the MBL, the current GEOS sea salt simulation is comparable (ATom1) or slightly
377	higher (ATom2) than SAGA data, which in turn is higher than PALMS data.
378	
379	An underestimation of GEOS aerosol AOD over sea salt dominated oceans was found
380	from the comparison of AODs between GEOS and MAN, as well as GEOS and MODIS.
381	This is contradictory to the finding that GEOS sea salt mass abundance is comparable to
382	or slightly higher than measurements. This conundrum may be partially resolved by the
383	sea salt mass size distributions compared between GEOS and PALMS. The GEOS sea
384	salt mass size distribution favors the coarse mode while PALMS has a larger fraction of
385	more optically active submicron sea salt. The atmospheric water field, however, can be
386	ruled out as the cause of model underestimation of AOD, since the GEOS RH is
387	comparable to or higher than ATom measurements almost everywhere along the flight
388	tracks, especially in MBL.
389	
390	Atmospheric sea salt vertical distribution is impacted by various processes including

391 emission, hygroscopic growth, dry deposition, sedimentation, wet deposition, convection,

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392	and large-scale advection. Among these processes, wet deposition, owing to both shallow
393	marine cloud structure and rapid hygroscopic growth of sea salt particles, is most
394	important in shaping the vertical profile for the size range studied in this work and results
395	in a sharp gradient in the low atmosphere where RH is typically very high. Vertical
396	convection is also important.
397	
398	More work is needed in the future to investigate sea salt hygroscopic growth rate, optical
399	properties, sea water salinity, sea ice, and marine organic aerosol to understand the
400	dilemma in GEOS simulation. Sea water salinity, which is missing in the GEOS aerosol
401	model, has an impact not only on sea spray emission but also on sea spray aerosol (SSA)
402	size. Barthel et al. (2014) reported that the dry SSA size distribution shifts towards
403	smaller sizes with lower salinities found in the EMEP intensive campaigns. Sea ice,
404	whose contribution is also missed in the GEOS aerosol model, could be an important
405	source of sea salt aerosol over polar regions and has significant implications for polar
406	climate and atmospheric chemistry reported by recent publications (Dall et al., 2017; May
407	et al., 2016; Rhodes et al., 2017). More importantly, primary marine organic aerosols
408	(Randles et al., 2004), which come also from sea spray bubble bursting as sea salts but
409	are more submicron particles, should be investigated to disentangle the sea spray
410	aerosols.
411	
412	Author contribution

413 Huisheng Bian and Mian Chin designed the experiments. Peter R. Colarco, Anton Darmenov,

414 Arlindo da Silva, Tom L. Kucsera, and Hongbin Yu contributed to GEOS-GOCART model setup

415 and provided tools to analyze model data. Huisheng Bian conducted the model simulation and in





- 416 charge of the analyses. Karl Froyd, Daniel M. Murphy, and Gregory Schill provided ATom
- 417 PALMS measurement data. Jack Dibb provided ATom SAGA measurement data. Maximilian
- 418 Dollner and Bernadett Weinzierl provided ATom CAPS cloud data. Paul Bui provided ATom
- 419 MMS data for RH measurement. Hongbin Yu and Alexander Smirnov provided MODIS satellite
- 420 and AERONET MAN measurement data. All authors contributed to the data analyses and paper
- 421 writing.
- 422
- 423

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- 429 Mission (ATom).
- 430
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Table 1a. GEOS sea salt budget analysis for the particles up to 3 µm in dry diameters

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using the three emission algorithms on annual basis from July 2016 to June 2017

	Emi1	Emi2	Emi3
Emission (Tg/yr)	408.8	615.6	515.2
Burden (Tg)	1.21	1.88	1.63
Lifetime (days)	1.08	1.12	1.16
Surf concentration (µg/kg)	2.5	3.9	3.2
Dry deposition (Tg/yr)	82.4	127.0	103.1
Sedimentation (Tg/yr)	60.7	88.7	61.1
Kdry (days ⁻¹)	1.37	1.32	1.17
Wet deposition (Tg/yr)	123.8	181.9	140.3
SV deposition (Tg/yr)	142.2	218.8	211.8
Kwet (days ⁻¹)	0.45	0.43	0.44

Table 1b. Similar to Table 1a but for all particle size range

	Emi1	Emi2	Emi3	AeroCom
Emission (Tg/yr)	3185.7	4797.6	4015.5	2190-117949
Burden (Tg)	4.79	7.55	6.80	3.4-18.2
Lifetime (days)	0.55	0.57	0.62	0.03-1.59
Surf concentration (µg/kg)	12.2	18.9	16.5	
Dry deposition (Tg/yr)	353.6	547.9	460.9	
Sedimentation (Tg/yr)	2049.0	3064.3	2458.2	
Kdry (days ⁻¹)	1.37	1.32	1.17	0.06-2.94
Wet deposition (Tg/yr)	278.9	417.0	354.7	
SV deposition (Tg/yr)	505.1	771.1	746.1	
Kwet (days ⁻¹)	0.45	0.43	0.44	0.11-2.45
SSAOD _{550nm}	0.0206	0.0318	0.0269	0.003-0.067

at RH 45%

	PALMS	GEOS (m2/kg)	R(GEOS/PALMS)
	(m2/kg)		%
0 – 1.5 KM	2636.87	1618.09	61.4
1.5 – 6 KM	2089.97	1671.61	80.0
>6 KM	1891.07	1786.24	94.5
all	2203.67	1679.36	76.2

Table 2. Sea salt mass extinction efficient (MEE) for PALMS and GEOS and the ratio of

MEEs between GEOS and PALMS in three vertical layers and in the whole atmosphere





615 Figure Captions

- 616 Figure 1. AToM1 (top) and AToM2 (bottom) flight track sorted out for each flight day.
- 617
- **Figure 2a**. Scattering plot of sea salt between GEOS and PALMS (magenta) and between
- 619 GEOS and SAGA (blue) in ATom1 (symbol +) and ATom2 (symbol ◊) for all flight
- 620 measurements within 1.5 km atmospheric thickness above ocean surface. The SAGA
- 621 samples are filtered out when dust signal is significant. The GEOS sea salt shown here
- are cut at 3 µm in dry diameters. Both GEOS and PALMS data are then sampled using
- 623 SAGA measurement time frequency.
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- 625 Figure 2b. Similar to Figure 2a with the samples contaminated by clouds are further
- 626 excluded using CAPS cloud indicator.
- 627
- **Figure 3**. Sea salt (Dp < 3 μ m) vertical profiles from GEOS simulation and PALMS
- 629 measurement along ATom1 and 2 flight tracks in 5 latitudinal bands over Pacific and
- Atlantic oceans. The latitudinal bands are marked by dot grey lines in Figure 1.
- 631
- **Figure 4**. Total aerosol AOD in 201608 (left column) and 201702 (right column) from
- MODIS (top) and GEOS (middle). The bottom panel shows the mass fraction of sea saltrelative to the total aerosol simulated by GEOS.
- 635
- Figure 5. Total AOD measured by MAN cruise occurred during 201607 to 201706 (5a)
- and simulated by GEOS but sampled with MAN measurement (5b). 5c shows total AOD





- 638 scattering plot between MAN and GEOS and the purple color is for the data over
- 639 Southern Ocean shown inside the boxes in Figure 5b.
- 640
- **Figure 6**. Percentage distribution of sea salt mass over the first three bins normalized to
- the total sea salt with particle wet diameter up to \sim 5 µm at RH 45%. The normalized SS
- mass weighting distribution is sorted over three vertical layers and for ATom1 and
- 644 ATom2, respectively.
- 645
- 646 Figure 7. Atmospheric RH vertical profiles from GEOS simulation and ATom
- 647 measurement along ATom1 and 2 flight tracks in 5 latitudinal bands over Pacific and
- 648 Atlantic oceans.
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measurement along ATom1 and 2 flight tracks in 5 latitudinal bands over Pacific and Atlantic oceans. The latitudinal bands are marked by dot grey lines in Figure 1.







MODIS (top) and GEOS5 (middle) over oceans where fraction of sea salt mass simulated by GEOS (bottom panel) is larger than 0.7.







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Figure 6. Percentage distribution of sea salt mass over the first three bins normalized to the total sea salt with particle wet diameter up to $\sim 5 \,\mu\text{m}$ at RH 45%. The normalized SS mass weighting distribution is sorted over three vertical layers and for ATom1 and ATom2, respectively.





