



28 concentrations over the Pacific and Atlantic oceans have a strong vertical gradient,  
29 varying up to four orders of magnitude from the marine boundary layer to free  
30 troposphere. The modeled residence times suggest that the lifetime of sea salt particles  
31 with dry diameter less than 3  $\mu\text{m}$  is largely controlled by wet removal, followed next by  
32 turbulent process. During both boreal summer and winter, the GEOS simulated sea salt  
33 mass mixing ratios agree with SAGA measurements in the marine boundary layer (MBL)  
34 and with PALMS measurements above the MBL. However, comparison of AOD from  
35 GEOS with AERONET/MAN and MODIS aerosol retrievals indicated that the model  
36 underestimated AOD over the oceans where sea salt dominates. The apparent discrepancy  
37 of slightly overpredicted concentration and large underpredicted AOD could not be  
38 explained by biases in the model RH affecting the particle hygroscopic growth as  
39 modeled RH was found to be comparable to or larger than the *in situ* measurements. This  
40 conundrum could at least partially be explained by the difference in sea salt size  
41 distribution; the GEOS simulation has much less sea salt percentage-wise in the smaller  
42 particle size range, thus less efficient light extinction, than what was observed by  
43 PALMS.

44

## 45 **Introduction**

46 Bubble bursting and jet drops at the ocean surface result in the production of sea spray  
47 particles composed of inorganic sea salt and organic matter (e.g., de Leeuw et al., 2011;  
48 Quinn and Bates, 2013). Among various atmospheric aerosol components, sea salt is  
49 estimated to have the largest mass emission flux and the second largest atmospheric mass  
50 loading globally (Textor et al., 2006). Sea salt particles in the atmosphere could exert

51 direct radiative effect of around -1.5 to -5.03 W/m<sup>2</sup> annually at the top of atmosphere  
52 (IPCC, 2001). On a global and annual scale, the direct radiative effect of sea salt is equal  
53 to or greater in magnitude than that of natural sulfate and soil dust (Jacobson, 2001;  
54 Takemura et al., 2002). Sea salt particles are efficient cloud condensation nuclei (CCN).  
55 Consequently, sea salt particles have indirect effects on climate and weather  
56 (Dadashzaer et al., 2017; Dall'Osto et al., 2017, 2018; Kogan et al., 2012; Pierce and  
57 Adams, 2006). Furthermore, sea salt aerosol particles serve as sinks for reactive gases  
58 and small particles and are a source of halogens to the atmosphere (e.g., Alexander al.,  
59 2005; Anastasio et al., 2007; Lawlet et al., 2011). There is also observational evidence  
60 suggesting that new particle formation may be suppressed in the presence of sea salt  
61 aerosol (Browse et al., 2014; Lewis and Schwartz, 2004). To quantify the effects of sea  
62 salt aerosol on the environment, a detailed knowledge of its mass, size, and vertical  
63 distribution is required. However, measurements of sea salt are not only sparse but also  
64 mostly limited to near the surface at a few locations (Prospero et al., 2003), posing  
65 difficulties in assessing the global environmental effects of sea salt as well as evaluating  
66 model skill at simulating sea salt vertical distributions and properties.

67

68

69 A recent NASA-funded Earth Venture-suborbital project, the Atmospheric Tomography  
70 Mission (ATom), deployed an extensive gas and aerosol instrumental payload on the  
71 NASA DC-8 aircraft for systematic, global-scale sampling of the atmosphere in four  
72 seasons over a 3-year period (2016-2018), profiling continuously from 0.2 to 12 km  
73 altitude with flight routes over the Pacific, Atlantic, Southern Ocean, North America and

74 Greenland from 85°N to 65°S (see Fig. 1). For the first time, vertical profiles of sea salt  
75 aerosol concentration and size distribution are measured in ATom over vast oceanic  
76 routes in different seasons, providing an unprecedented opportunity for models to  
77 evaluate transport and parameterizations of physical and chemical processes.

78

79 We present in this study a comprehensive evaluation of sea salt aerosol simulated with  
80 the Goddard Chemistry, Aerosol, Radiation, and Transport model (GOCART) in the  
81 Goddard Earth Observing System (GEOS) framework using aerosol measurements  
82 obtained during the first two ATom deployments, which represent the summer and winter  
83 seasons for both hemispheres. We utilize ATom's high frequency vertical measurements  
84 of sea salt over global remote oceans from the marine boundary layer (MBL) to the upper  
85 troposphere, in contrast with previous model validations of sea salt simulation performed  
86 with *in situ* measurements at the surface and over limited selected locations and regions  
87 (Chin et al., 2014; Kishcha et al., 2011; Spada et al., 2013, 2015; Tsyro et al., 2011;  
88 Witek et al., 2007) and typically using only monthly averaged observations (Grini et al.,  
89 2002; Textor et al., 2006). We compare the model simulated sea salt vertical  
90 distributions with observations in various latitudinal zones over the Pacific and Atlantic  
91 oceans, refer to dry and wet deposition processes, and examine the sea salt size  
92 distribution that is important to both AOD calculations and cloud formation.

93

94 The GEOS/GOCART model is described in section 2, particularly the sea salt emission  
95 scheme used in this study. The NASA ATom field campaign is introduced in section 3,  
96 including a brief description of the Particle Analysis by Laser Mass Spectrometry

97 (PALMS) and Soluble Acidic Gases and Aerosols (SAGA) instruments that are used to  
98 provide sea salt measurements. Measured and modeled vertical profiles, size  
99 distributions, and AOD are compared to assess model emissions and removal processes  
100 in section 4. In section 5, we summarize the outcome of our study and discuss the  
101 potentially important chemical/physical processes that likely have an impact on sea salt  
102 simulation and recommend future improvements.

103

#### 104 **Model description**

105 Global aerosol is simulated by GEOS/GOCART, which is a global aerosol model  
106 GOCART (Chin et al., 2002, 2014) implemented in the GEOS Earth system model  
107 (Gelaro et al., 2017; Rienecker et al., 2011). The GEOS/GOCART aerosols include dust,  
108 sea salt, sulfate, nitrate, ammonium, black carbon, and organic matter, mixed externally  
109 (Bian et al., 2013; 2017; Colarco et al., 2010).

110

111 Sea salt emissions are controlled by aerosol particles generated from collapsing bubbles  
112 and ejected jet droplets that in turn are directly related to the whitecap fraction in the  
113 ocean and are commonly parameterized as a function of wind speed and SST. The sea  
114 salt emission scheme in the GEOS/GOCART model was initially based on the algorithm  
115 of Gong (2003) who provided a parameterization of the size-resolved flux of sea salt  
116 particles as a function of the 10-m wind speed. Two modifications to this scheme were  
117 subsequently developed based on comparisons of simulated sea salt aerosol to satellite  
118 AOD from the Moderate Resolution Imaging Spectroradiometer (MODIS) (Darmenov et  
119 al., 2013; Randles et al., 2017): 1) the emission function was recalibrated in terms of the

120 surface friction velocity rather than the 10-m wind speed and 2) a sea surface temperature  
121 (SST) correction term that is similar to the work of Jaeglé et al. (2011) was introduced.  
122 The model's surface winds are constrained by the two satellite observations, Special  
123 Sensor Microwave Imager (SSM/I) and Quick Scatterometer (QuikSCAT) (Rienecker et  
124 al., 2011). This emission algorithm is the default GEOS/GOCART sea salt emission and  
125 is used in this study.

126

127 The current default setting of GEOS/GOCART allows sea salt to be completely removed  
128 by warm clouds from convective updraft and from large-scale rainout and washout. Sea  
129 salt can also be removed by dry deposition (turbulent) and sedimentation. These  
130 processes were described in Chin et al. (2002). We assume that the particles undergo  
131 hygroscopic growth according to the equilibrium parameterization of Gerber (1985),  
132 which is a function of the relative humidity (RH). The humidified particle sizes are  
133 considered in our computations of the particle sedimentation, aerodynamic deposition  
134 velocity, and optical properties.

135

136 The GEOS/GOCART includes five bulk sea salt size bins in the range of 0.06-20  $\mu\text{m}$  in  
137 dry diameter. Specifically, they are 0.06-0.2, 0.2-1.0, 1.0-3.0, 3.0-10, and 10-20  $\mu\text{m}$ ,  
138 respectively. The first bin was not included in the previous GOCART versions (Chin et  
139 al., 2002, 2014), but was added to facilitate aerosol-cloud interactions and optical  
140 property studies (Colarco et al. 2010). We further classify the first two bins as fine mode  
141 and the remaining bins as coarse mode throughout this paper. The sea salt particle density  
142 is 2200 ( $\text{kg}/\text{m}^3$ ) for all sizes.

143

144 In this study, we ran GEOS/GOCART at a global ~50 km horizontal resolution on the  
145 cubed-sphere grid and 72 vertical layers from surface to 0.01hPa. We ran the model in  
146 the “replay” mode, which sets the model dynamical state (winds, pressure, and  
147 temperature) at every 6 hours to the balanced state provided by the meteorological  
148 reanalysis fields from the Modern-Era Reanalysis for Research and Applications version  
149 2 (MERRA-2). An 18 month simulation was conducted from the beginning of 2016 to  
150 cover the first two phases of ATom measurement periods, with the first half year as a  
151 spin up period.

152

### 153 **ATom aircraft sea salt measurement from PALMS and SAGA**

154 ATom provides measurements for various important atmospheric gases, aerosols and  
155 their precursors over vast open oceans. Among these, sea salt has been measured by two  
156 instruments, the NOAA PALMS instrument, which provides mass mixing ratio and size  
157 distribution up to 3  $\mu\text{m}$  in dry diameter, and the University of New Hampshire SAGA  
158 instrument, which includes measurements of sodium ion ( $\text{Na}^+$ ) as a proxy of sea salt.

159 PALMS is a laser ionization mass spectrometer which makes *in situ* measurements of the  
160 chemical composition of individual aerosol particles. A detailed description of PALMS,  
161 including its physical working mechanism and measurement features, has been given by  
162 Murphy et al., (2019) and Froyd et al., (2019). The instrument is capable of measuring  
163 particles from 0.12 to 3  $\mu\text{m}$  in dry diameter and analysis is completed in less than 1  
164 millisecond after the aerosols enter the inlet. The real power of the PALMS sea salt  
165 measurements is twofold: a) high sensitivity at low concentrations above the MBL such

166 that the measured vertical profiles are more reliable than most previous data, and b) the  
167 data are size-segregated up to 3  $\mu\text{m}$  in dry diameter, covering the active size range for  
168 optical and radiative calculations.

169 On the other hand, the sea salt aerosol mass concentration from SAGA is deduced by  
170 applying a factor of 3.27 to the measured  $\text{Na}^+$  mass concentration (Keene et al., 1986;  
171 Wilson, 1975). This assumes that all of the measured  $\text{Na}^+$  comes from sea salt, which  
172 should be a reasonable assumption for most ATom samples. SAGA collects particles on a  
173 filter with a sampling frequency of around 5-15 minutes to allow more time for the filter  
174 media to collect sufficient particles. As reported by the DC-8 Inlet Characterization  
175 Experiment (DICE), the SAGA inlet performed nearly identically in the marine boundary  
176 environment to the U. Hawaii inlet used by PALMS during ATom (McNaughton et al.,  
177 2007). In other words, the cut-off size of the SAGA instrument is also roughly 3 $\mu\text{m}$  in  
178 dry diameter. As shown in Murphy et al. (2019), sea salt concentrations inferred from the  
179 SAGA sodium data are highly correlated with PALMS sea salt data in the cloud-free  
180 MBL.

181

182 We use ATom1 (Jul.-Aug., 2016) and ATom2 (Jan.-Feb., 2017) campaign data in this  
183 study. These two deployments combined together provided detailed information for  
184 summer and winter on a global scale.

185

## 186 **Results and Discussions**

187

### 188 **4.1 Comparisons in the marine boundary layer**



189 Sea salt is sufficiently rich in the MBL that SAGA can collect enough aerosol there for  
190 analysis. Comparisons of the sea salt in a layer from surface up to 1.5 km between the  
191 model simulation and ATom (PALMS and SAGA) measurements are shown in Fig. 2a.  
192 To have a proper comparison, we made three data treatments. First, we excluded SAGA  
193 samples with significant dust signal, identified when the measurements meet the two  
194 conditions:  $\text{Ca}^{2+}$  greater than  $0.05 \mu\text{g}/\text{sm}^3$  and the ratio of  $\text{Ca}^{2+}$  to  $\text{Na}^+$  greater than 0.06.  
195 Second, we only include GEOS sea salt particles smaller than  $3 \mu\text{m}$  in dry diameter in  
196 order to be consistent with the instrument measurements. Third, we sampled GEOS and  
197 PALMS data at the SAGA measurement time frequency when the SAGA has valid  
198 measurements. The correlation coefficients (R) between the model and PALMS or  
199 SAGA data are generally higher than 0.79 and the covariance ( $R^2$ ) higher than 0.64 in  
200 both ATom1 and 2 periods.

201

202 There are outliers on the Figure 2a. Just a small amount of cloud can wash off salt  
203 previously deposited on an inlet wall. Therefore, in Figure 2b we excluded samples that  
204 might be contaminated by clouds during sampling, using a cloud indicator from the  
205 Cloud, Aerosol, and Precipitation Spectrometer (CAPS). The outliers are gone on Figure  
206 2b and the correlation coefficients between model and measurements are indeed  
207 improved from 0.82-0.84 to 0.85-0.87. On the other hand, the GEOS sea salt mass mixing  
208 ratios are still more than double of those of PALMS (2.3 in ATom1 and 4.7 in ATom2),  
209 which could be at least partially explained by potential sampling biases in PALMS  
210 instrument, particularly in the size distribution. The cut-off at  $3 \mu\text{m}$  in dry diameter is  
211 recommended by the instrument teams, it is known that this is subject to a large

212 uncertainty of wet/dry size ratio that is strongly dependent on ambient relative humidity.  
213 Furthermore, the sea salt mass distribution is (sometimes) still rising sharply through the  
214 inlet cutpoints. Considering the combination of all these systematic and random  
215 uncertainties, which are decreased across the sea salt coarse mode, the measurement can  
216 easily result in uncertainties on the order of  $\sim 2x$  in dry mass. When checking the  
217 comparison between GEOS and SAGA, GEOS sea salt mixing ratio is comparable to or  
218 slightly larger than SAGA results (i.e. ratio of GEOS to SAGA is 0.92 in ATom1 and 1.3  
219 in ATom2). Overall, the GEOS is most likely to overestimate sea salt mass during  
220 February. Comparing sea salt between the two instruments directly shows a high  
221 correlation (0.81 in ATom1 and 0.94 in ATom2), while sea salt mass of PALMS is only  
222 36% (ATom1) and 24% (ATom2) of that in SAGA (also see Murphy et al., 2019).

223

## 224 **4.2 Vertical distribution**

225 Understanding the sea salt vertical distribution is important, particularly in the tropical  
226 marine upper troposphere where a reliable background aerosol field is needed. However,  
227 most previous sea salt measurements were limited to the surface or near coastal areas,  
228 leading to nearly no *in situ* observations of the vertical distribution of sea salt over vast  
229 areas of the open oceans. The ATom measurements fill this gap by providing  
230 measurements over the Pacific, Atlantic, and Southern oceans from near surface to the  
231 upper troposphere (0.2-12 km). Furthermore, the PALMS instrument measures *in situ* sea  
232 salt mass and size distribution. The high sensitivity of the PALMS instrument makes its  
233 data very useful in studying the relatively clean environments above the MBL. Using the  
234 ATom sea salt measurements over remote open oceans has some additional advantages

235 over previous studies. For instance, airborne measurements alleviate biases typical at land  
236 stations due to onshore wave breaking activities, especially at sites with steep topography  
237 (Witek et al., 2007; Spada et al., 2015).

238

239 Figure 3 shows the sea salt vertical profiles of PALMS measurement and GEOS model  
240 simulation over 5 latitudinal zones over Pacific and Atlantic oceans in ATom1 and  
241 ATom2. The GEOS model results are sampled at the time and location closest to the  
242 measurement points. As discussed in section 4.1, modeled sea salt mass concentrations  
243 are higher than the PALMS data near the surface over all latitudinal zones during both  
244 summer and winter seasons.

245

246 There are often two vertical regimes: a sharp gradient of sea salt in the lower atmosphere  
247 and a lesser gradient above. Wet removal processes, particularly convective cloud  
248 removal, are likely the driving factors for the sea salt distribution in the size range  
249 considered in this study (Table 1 column 2). Sea salt is a highly soluble species. It is  
250 assumed to fully dissolve in clouds, resulting in efficient removal by shallow marine  
251 clouds, typically marine stratus and stratocumulus clouds (Eastman et al., 2011, Lebsack  
252 et al., 2011, Wood 2012, Zhou et al., 2015). Sea salt dry deposition (turbulent) and  
253 sedimentation also contribute to its removal from low altitudes. Interestingly, the  
254 sedimentation process plays the smallest removal role for the sea salt particles with  
255 diameter less than 3  $\mu\text{m}$ , whereas it overwhelmingly controls sea salt loss rate (i.e. more  
256 than 1.5 times those of all other processes combined) when coarser mode sea salt is  
257 included (see Table 1 column 3). This is expected because nearly 90% of injected sea salt

258 mass is in coarse mode based on our emission scheme. Since sea salt is found mostly in  
259 the lower atmosphere, further removal of sea salt particles by cold clouds was found to  
260 have only marginal impact on its mass budget in our sensitivity studies, although its  
261 feedback on cold clouds needs further study. Note that results in Table 1 are summarized  
262 on an annual basis from July 2016 to June 2017.

263

264 Atmospheric convection impacts the sea salt vertical distribution as well. The height of  
265 the turnaround level (or the transition layer) between two vertical distribution regimes in  
266 Fig. 3 is around 600 hPa in the polar regions and moves up to 400 hPa in the tropical  
267 region, given that more vigorous convective activities occur in the tropical region. The  
268 seasonal variation of the vertical gradient is larger in polar regions than in tropical region,  
269 consistent with stronger seasonal variations of the meteorological fields (e.g. T, RH,  
270 wind, etc) in high latitudes.

271

### 272 **4.3 Marine aerosol AOD**

273 To provide an overall picture of sea salt for this study, we compared the GEOS AOD  
274 with satellite MODIS Collection 6 (C6) Aerosol AOD retrieval (Levy et al., 2013) and  
275 AERONET Maritime Aerosol Network (MAN) measurements (Smirnov et al., 2017)  
276 focusing on sea salt dominated regions. AOD integrates extinction by all aerosols in the  
277 atmospheric column, with extinction dependent on the absolute mass, size distribution,  
278 hygroscopic growth, vertical distribution, and optical property of each individual  
279 component and the composition of aerosols.

280

281 Figure 4 shows total AOD comparison between MODIS and GEOS in August 2016 and  
282 February 2017. Here, the GEOS AODs are sampled using daily MODIS AOD retrieval.  
283 The AODs are only shown where the fraction of sea salt AOD relative to the total aerosol  
284 AOD simulated by GEOS (fSSAOD, bottom panel) is larger than 0.6 so that we can  
285 focus our discussion over sea salt dominant regions. MODIS AODs are much higher than  
286 GEOS AODs for both seasons over remote oceans where sea salt dominates, by 0.043 in  
287 August 2016 and 0.062 in February 2017. These differences between MODIS and GEOS  
288 are higher than the potential positive bias of MODIS C6 AOD, up to 0.03, over oceans  
289 (Figure 16 in Levy et al., 2013). It is difficult for us to remove the MODIS bias in the  
290 comparison shown in the Figure 4 since the study of Levy et al., (2013) gave only  
291 statistic value of MODIS AOD bias without the information of geophysical location.

292

293 The conclusion of a lower GEOS AOD can also be found in Fig. 5 by comparing AOD  
294 between ground-based shipboard measurements and the GEOS simulations. AERONET  
295 MAN provides ship-borne aerosol optical depth measurements from Microtops II sun  
296 photometers. The MAN data is not found to have the positive systematic bias reported for  
297 MODIS. MAN measurements from July, 2016 to June 2017 are used in this study. The  
298 GEOS model results are sampled at the closest time and location of the ship-based  
299 measurements. The model AODs are much smaller than MAN measurements over a  
300 majority of the open ocean areas except part of the Atlantic Ocean where AOD was  
301 impacted by dust. The scatter plot at the bottom of the figure indicates clearly that the  
302 modeled AOD is biased low, especially over the Southern Ocean where the model AOD  
303 is less than half of MAN's.

304

305 On the one hand, GEOS's sea salt mass is comparable to SAGA *in situ* measurements in  
306 the MBL, and on the other hand, GEOS underestimates AOD when compared with  
307 measurements from MAN and MODIS. The agreement with PALMS vertical gradients  
308 shows that the AOD cannot be explained by sea salt above the MBL. There are various  
309 potential reasons for this conundrum, such as the sea salt size distribution, atmospheric  
310 relative humidity, sea salt particle hygroscopic growth rate, sea salt refractive index, etc.  
311 We will discuss the first two potential reasons below.

312

#### 313 **4.4 Size distribution and atmospheric RH**

314 The sea salt size distribution is a key factor in AOD calculation because small particles  
315 are more optically efficient at light extinction. Aerosol size also modulates the transport  
316 and removal processes. The necessity to study sea salt size distribution lies also in the  
317 important role of sea salt particle sizes that affects atmospheric chemistry, radiative  
318 effects, and cloud formation processes.

319

320 To compare the sea salt size distributions between the model and ATom data, we  
321 calculate normalized percentage of sea salt mass in each of the first three size bins for  
322 PALMS and GEOS over three atmospheric vertical layers for ATom1 and 2, as shown in  
323 Figure 6. The three vertical layers (i.e. 0-1.5, 1.5-6, and >6 km) represent the boundary  
324 layer, middle troposphere, and upper troposphere. GEOS sea salt particle mass and size  
325 have been computed at RH of 45% to match the measurement condition of PALMS. The  
326 particle sizes here are limited to be less than 3  $\mu\text{m}$  in dry diameter due to the size cut of

327 the PALMS inlet. Particles in this range are most important in light extinction and cloud  
328 formation with many more sea salt particles in fine mode than in coarse mode on a per  
329 unit mass basis.

330

331 Figure 6 reveals that the size distribution is more flat in PALMS than in GEOS. In other  
332 words, with the same sea salt mass, the fraction of sea salt in the finest mode in PALMS  
333 is much larger (i.e. about 5-7 times higher) than in GEOS. To quantify the potential  
334 impact of sea salt size distribution on AOD calculation, we calculate the sea salt mass  
335 extinction efficiency (MEE) integrated over the three bins using the two size distributions  
336 of PALMS and GEOS at RH 45% and 550 nm in the same three vertical layers and in the  
337 whole atmosphere (Table 2). The size segregated MEEs used in the calculation are 1.6,  
338 5.6, and 1.2 m<sup>2</sup> g<sup>-1</sup> for the bins 1-3, respectively. The effective MEE from GEOS for the  
339 size range is 1.7 m<sup>2</sup> g<sup>-1</sup>, which is about 24% lower than 2.2 m<sup>2</sup> g<sup>-1</sup> calculated with the  
340 PALMS size distribution. Thus, the underestimation of GEOS AOD shown in Figure 5c  
341 may partially stem from the model underestimate of the small sea salt particles, especially  
342 for those with diameter less than 1 μm (Figure 6). The underestimation of AOD by GEOS  
343 is more significant in the boundary layer shown in Table 2, which implies that the sea salt  
344 size distribution from emission may need to be revisited.

345

346 Apparently, sea salt size distribution is a potential culprit for the dichotomy in GEOS  
347 simulation since GEOS partitions more sea salt onto larger particles that are less optically  
348 active compared with the significant fine sea salt mode observed in PALMS  
349 measurements. Such large underestimation of fine sea salt particles by the model may

350 have significant implications not only on the AOD calculation but also on studies of  
351 radiative effects and cloud formation because particle number concentration is a key  
352 quantity for these processes. The conclusion that GEOS sea salt size distribution favors  
353 the coarse mode sea salt particles is consistent with a recent study of Naumann et al.,  
354 (2016), which found that the sea salt emission of Gong (2003) yielded overestimations in  
355 the PM10 measured at coastal stations and underestimations at inland stations over  
356 northwestern Europe.

357

358 Sea salt particle size distribution changes horizontally and vertically, but the change is  
359 much smaller than the difference between those of model and measurement. This implies  
360 a possibility of using a global size distribution without sacrificing much accuracy.

361

362 Another possible contribution to underestimation of the AOD due to sea salt in the model  
363 is if there is a general underestimate in the humidification of sea salt particles in the  
364 model, with a corresponding underestimate on optical efficiency per unit dry mass.

365 Figure 7 compares atmospheric RHs between ATom measurements and GEOS  
366 simulations along flight tracks summarized over the same regions as in Fig. 3. With only  
367 a few exceptions, the model RH is higher than the ATom measurements, including in the  
368 MBL, where humidity is typically high. Thus, atmospheric water vapor simulation is not  
369 responsible for the low AOD calculation. In fact, using measured RH along with the  
370 model's sea salt size distribution and vertical distribution would give even lower AOD.

371 There should be other factors contributing to a lower GEOS AOD calculation as well,  
372 such as sea salt hygroscopic growth rate, sea salt optical properties, and other aerosol



373 species over ocean. Further investigations for these factors are needed to better  
374 understand the GEOS sea salt simulation.

375

### 376 **Conclusions**

377 A systematic and comprehensive global sea salt study was conducted by integrating  
378 NASA GEOS model simulations with ATom *in situ* measurements from the PALMS and  
379 SAGA instruments, as well as AOD measurements from AERONET MAN and satellite  
380 MODIS over the oceans. This work takes advantage of PALMS sea salt vertical profile  
381 measurement together with SAGA filter measurements in MBL, covering global remote  
382 regions over the Pacific, Atlantic, and Southern Oceans from near the surface to ~12 km  
383 altitude and in both summer and winter seasons. Important atmospheric sea salt fields,  
384 e.g. mass mixing ratio, vertical distribution, size distribution, and aerosol AOD, are  
385 examined. The meteorological field of RH and the sea salt simulation processes of  
386 emission, dry deposition, sedimentation, and large scale and convective wet depositions  
387 were explored to explain the sea salt fields and to reveal a potential direction for model  
388 improvement.

389

390 Generally, the agreement between ATom measurements and the model is remarkable,  
391 both in terms of absolute loading and especially in the shape of the vertical distribution  
392 under a wide range of different tropospheric environments. The correlation coefficients  
393 are generally higher than 0.8 between GEOS-PALMS and GEOS-SAGA for both ATom1  
394 and ATom2 periods. GEOS results capture the strong sea salt vertical gradient shown in  
395 the measurements except over SH high latitudes, where the PALMS's gradient is deeper.

396 In the MBL, the current GEOS sea salt simulation is comparable (ATom1) or slightly  
397 higher (ATom2) than SAGA data, which in turn is higher than PALMS data.

398

399 An underestimation of GEOS aerosol AOD over sea salt dominated oceans is found from  
400 the comparison of AODs between GEOS and MAN, as well as GEOS and MODIS. This  
401 is contradictory to the finding that GEOS sea salt mass abundance is comparable to or  
402 slightly higher than measurements. This conundrum may be partially attributed to the  
403 difference in sea salt mass size distributions between GEOS and PALMS. The GEOS sea  
404 salt mass size distribution favors the coarse mode while PALMS has a larger fraction of  
405 more optically active submicron sea salt. The atmospheric water vapor, however, can be  
406 ruled out as the cause of model underestimation of AOD, since the GEOS RH is  
407 comparable to or higher than ATom measurements almost everywhere along the flight  
408 tracks, especially in MBL.

409

410 Atmospheric sea salt vertical distribution is impacted by various processes including  
411 emission, hygroscopic growth, dry deposition, sedimentation, wet deposition, convection,  
412 and large-scale advection. Among these processes, wet deposition, owing to both shallow  
413 marine cloud structure and rapid hygroscopic growth of sea salt particles, is most  
414 important in shaping the vertical profile for the size range studied in this work and results  
415 in a sharp gradient in the low atmosphere where RH is typically very high. Vertical  
416 convection is also important for explaining the sea salt vertical profiles.

417

418 More work is needed in the future to investigate sea salt hygroscopic growth rate, optical  
419 properties, sea water salinity, sea ice, and marine organic aerosol to understand the  
420 dilemma in GEOS simulation. Consideration of variations in salinity of surface seawater is  
421 missing in the GEOS aerosol model. Although salinity may not be an important factor in  
422 sea salt emission on the global scale owing to its relatively uniformity across the world  
423 oceans, it may be important regionally as discussed by Grythe et al., (2014). Salinity also  
424 impacts sea spray aerosol (SSA) size. The dry SSA size distribution shifts towards  
425 smaller sizes with lower salinities found in the EMEP intensive campaigns (Barthel et al.,  
426 2014). Sea ice, whose contribution is also neglected in the GEOS aerosol model, could be  
427 an important source of sea salt aerosol over polar regions and has significant implications  
428 for polar climate and atmospheric chemistry reported by recent publications (Dall'Osto et  
429 al., 2017; May et al., 2016; Rhodes et al., 2017). More importantly, primary marine  
430 organic aerosols (Randles et al., 2004), which come also from sea spray bubble bursting  
431 as sea salts but are more submicron particles, should be investigated to disentangle the  
432 sea spray aerosols.

433

#### 434 **Author contribution**

435 Huisheng Bian and Mian Chin designed the experiments. Peter R. Colarco, Anton Darmenov,  
436 Arlindo da Silva, Tom L. Kucsera, and Hongbin Yu contributed to GEOS-GOCART model setup  
437 and provided tools to analyze model data. Huisheng Bian conducted the model simulation and in  
438 charge of the analyses. Karl Froyd, Daniel M. Murphy, and Gregory Schill provided ATom  
439 PALMS measurement data. Jack Dibb provided ATom SAGA measurement data. Maximilian  
440 Dollner and Bernadett Weinzierl provided ATom CAPS cloud data. Paul Bui provided ATom  
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445

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628 **Table 1.** Sea salt (SS) budget analysis on annual basis from July 2016 to June 2017 (the  
 629 2<sup>th</sup> column: GEOS SS up to 3  $\mu\text{m}$  in dry diameters, the 3<sup>th</sup> column: GEOS SS for all bins,  
 630 and the 4<sup>th</sup> column AeroCom SS for all bins).

|  | GEOS<br>SS ( $D_p^a < 3\mu\text{m}$ ) | GEOS<br>SS (all bins) | AeroCom<br>SS (all bins) |
|--|---------------------------------------|-----------------------|--------------------------|
| Emission (Tg/yr)                               | 515.2                                 | 4015.5                | 2190-117949              |
| Burden (Tg)                                    | 1.63                                  | 6.80                  | 3.4-18.2                 |
| Lifetime (days)                                | 1.16                                  | 0.62                  | 0.03-1.59                |
| Surf concentration ( $\mu\text{g}/\text{kg}$ ) | 3.2                                   | 16.5                  |                          |
| Dry deposition (Tg/yr)                         | 103.1                                 | 460.9                 |                          |
| Sedimentation (Tg/yr)                          | 61.1                                  | 2458.2                |                          |
| $K_{\text{dry}}^b$ ( $\text{days}^{-1}$ )      | 1.17                                  | 1.17                  | 0.06-2.94                |
| $LS^c$ deposition (Tg/yr)                      | 140.3                                 | 354.7                 |                          |
| $SV^d$ deposition (Tg/yr)                      | 211.8                                 | 746.1                 |                          |
| $K_{\text{wet}}^e$ ( $\text{days}^{-1}$ )      | 0.44                                  | 0.44                  | 0.11-2.45                |
| $SSAOD_{550\text{nm}}$                         |                                       | 0.0269                | 0.003-0.067              |

631 <sup>a</sup> $D_p$ : particle diameter ( $\mu\text{m}$ )  
 632 <sup>b</sup> $K_{\text{dry}}$ : loss frequency due to dry deposition and sedimentation ( $\text{days}^{-1}$ )  
 633 <sup>c</sup> $LS$ : large scale wet deposition (Tg/yr)  
 634 <sup>d</sup> $SV$ : convective wet deposition (Tg/yr)  
 635 <sup>e</sup> $K_{\text{wet}}$ : loss frequency due to wet large scale and convective depositions ( $\text{days}^{-1}$ )

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639 **Table 2.** Sea salt mass extinction efficient (MEE) for PALMS and GEOS and the ratio of  
 640 MEEs between GEOS and PALMS in three vertical layers and in the whole atmosphere  
 641 at RH 45%

|            | PALMS<br>( $\text{m}^2/\text{kg}$ ) | GEOS ( $\text{m}^2/\text{kg}$ ) | R(GEOS/PALMS)<br>% |
|------------|-------------------------------------|---------------------------------|--------------------|
| 0 – 1.5 KM | 2636.87                             | 1618.09                         | 61.4               |
| 1.5 – 6 KM | 2089.97                             | 1671.61                         | 80.0               |
| >6 KM      | 1891.07                             | 1786.24                         | 94.5               |
| all        | 2203.67                             | 1679.36                         | 76.2               |

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643

644 **Figure Captions**

645 **Figure 1.** AToM1 (top) and AToM2 (bottom) flight track sorted out for each flight day.

646

647 **Figure 2a.** Scatter plot of sea salt between GEOS and PALMS (magenta) and between  
648 GEOS and SAGA (blue) in AToM1 (symbol +) and AToM2 (symbol  $\diamond$ ) for all flight  
649 measurements within 1.5 km atmospheric thickness above ocean surface. The SAGA  
650 samples are filtered out when dust signal is significant. The GEOS sea salt shown here  
651 are cut at 3  $\mu\text{m}$  in dry diameters. Both GEOS and PALMS data are then sampled using  
652 SAGA measurement time frequency. The statistical parameter  $r$  is the correlation  
653 coefficient and  $b$  is the ratio of SS(GEOS) to SS(ATom).

654

655 **Figure 2b.** Similar to Figure 2a with the samples contaminated by clouds are further  
656 excluded using CAPS cloud indicator.

657

658 **Figure 3.** Sea salt ( $D_p < 3 \mu\text{m}$ ) vertical profiles from GEOS simulation and PALMS  
659 measurement along AToM1 and 2 flight tracks in 5 latitudinal bands over Pacific and  
660 Atlantic oceans. The latitudinal bands are marked by dot grey lines in Figure 1.

661

662 **Figure 4.** Total aerosol AOD in 201608 (left column) and 201702 (right column) from  
663 MODIS (top) and GEOS (middle). The bottom panel shows the mass fraction of sea salt  
664 relative to the total aerosol simulated by GEOS.

665

666 **Figure 5.** Total AOD measured by MAN cruise occurred during 201607 to 201706 (5a)  
667 and simulated by GEOS but sampled with MAN measurement (5b). 5c shows total AOD  
668 scattering plot between MAN and GEOS and the purple color is for the data over  
669 Southern Ocean shown inside the boxes in Figure 5b.

670

671 **Figure 6.** Percentage distribution of sea salt mass over the first three bins normalized to  
672 the total sea salt with particle wet diameter up to  $\sim 5 \mu\text{m}$  at RH 45%. The normalized SS  
673 mass weighting distribution is sorted over three vertical layers and for ATom1 (top row)  
674 and ATom2 (bottom row), respectively.

675

676 **Figure 7.** Atmospheric RH vertical profiles from GEOS simulation and ATom  
677 measurement along ATom1 and 2 flight tracks in 5 latitudinal bands over Pacific and  
678 Atlantic oceans.

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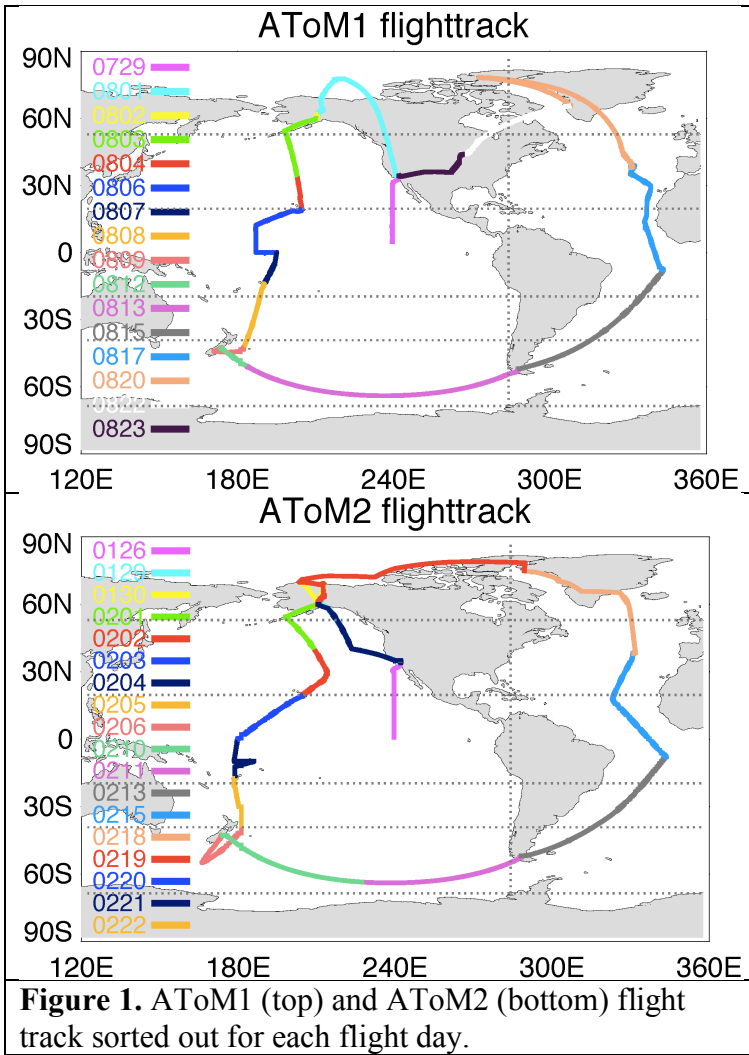
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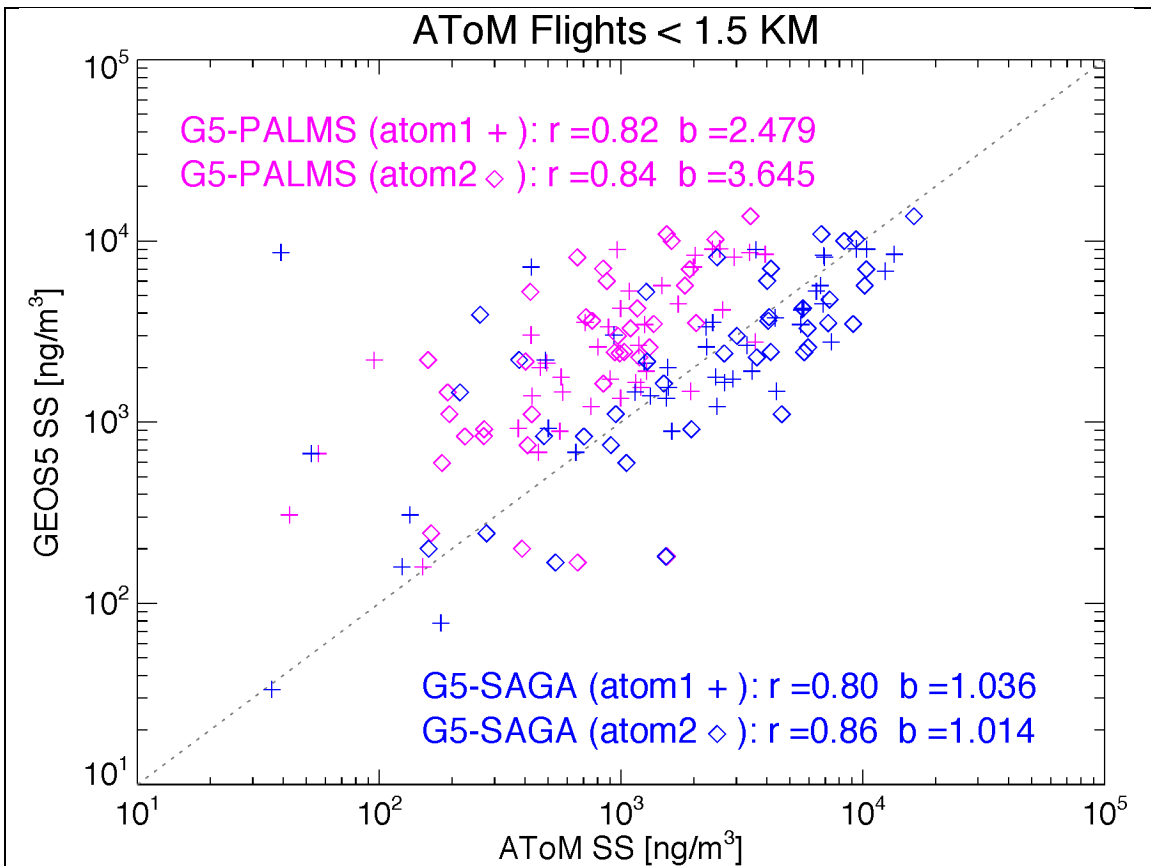
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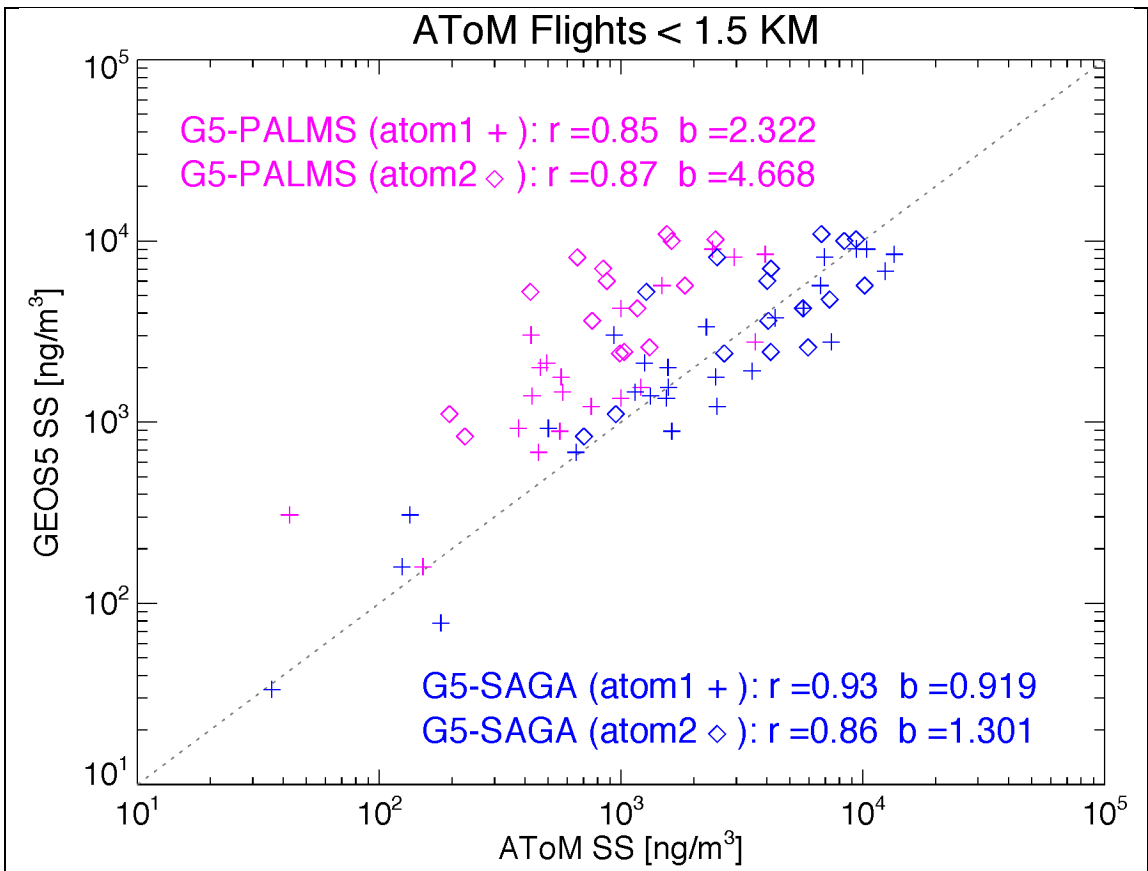


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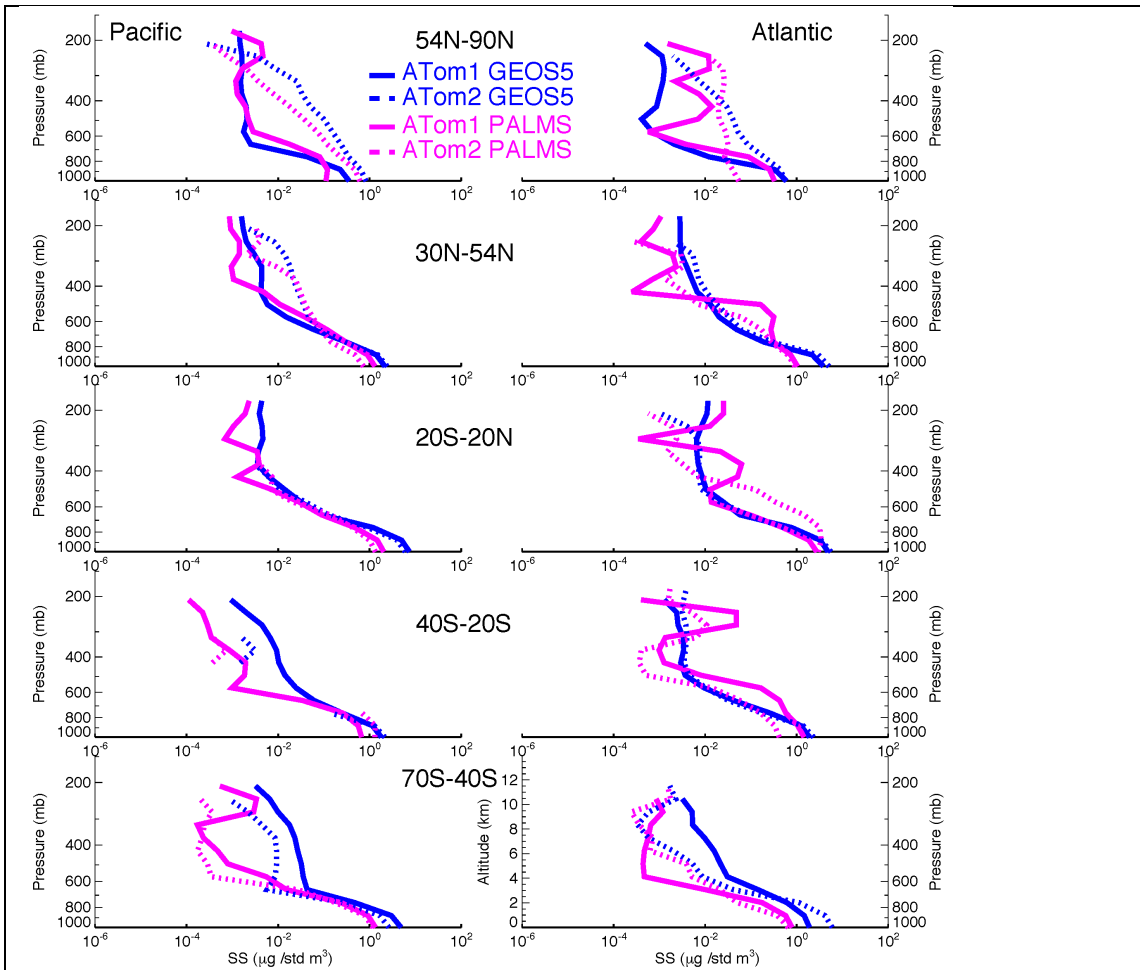
**Figure 2a.** Scatter plot of sea salt between GEOS and PALMS (magenta) and between GEOS and SAGA (blue) in AToM1 (symbol +) and AToM2 (symbol ◇) for all flight measurements within 1.5 km atmospheric thickness above ocean surface. The SAGA samples are filtered out when dust signal is significant. The GEOS sea salt shown here are cut at 3  $\mu\text{m}$  in dry diameters. Both GEOS and PALMS data are then sampled using SAGA measurement time frequency. The statistical parameter  $r$  is the correlation coefficient and  $b$  is the ratio of SS(GEOS) to SS(AToM).

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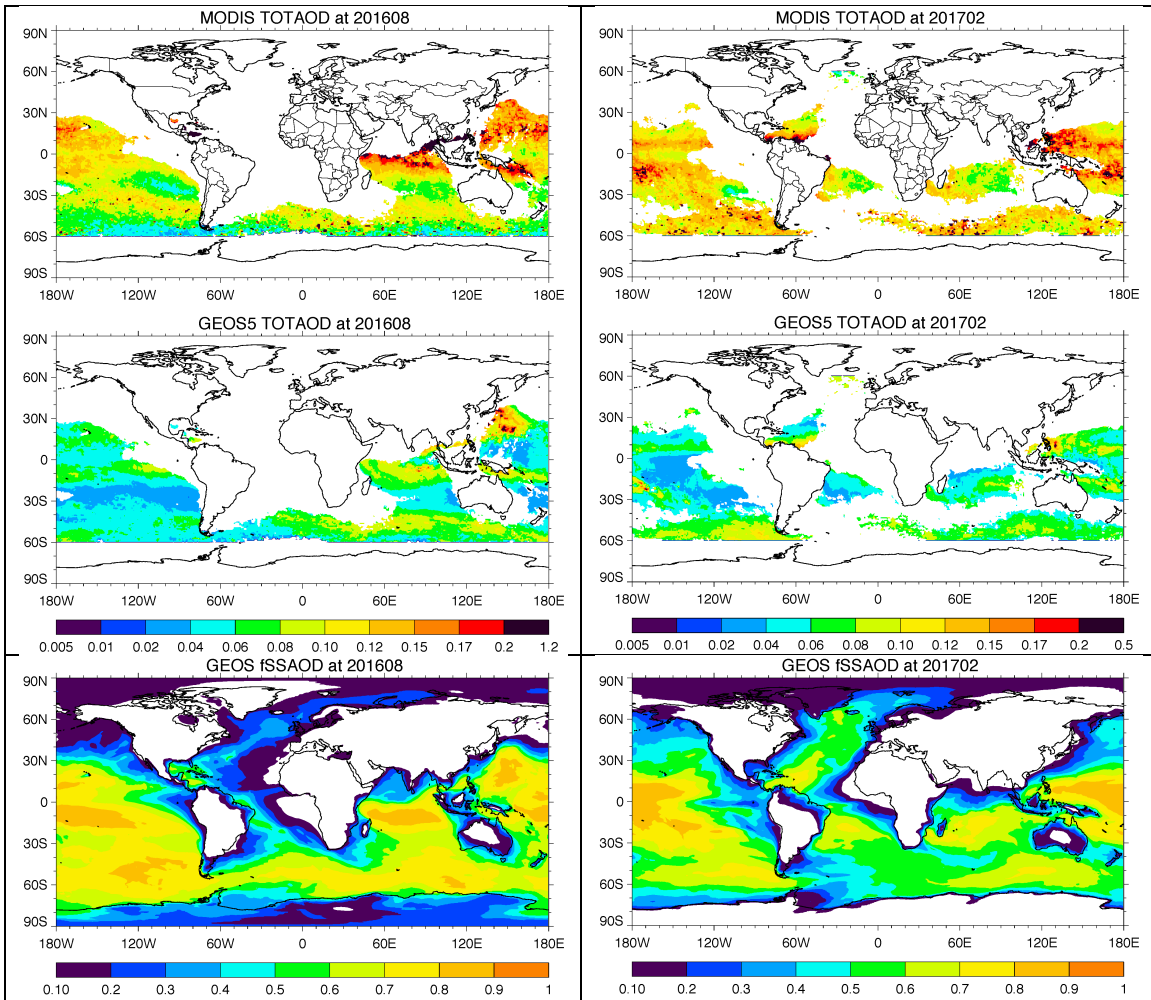
**Figure 2b.** Similar to Figure 2a with SAGA sodium data contaminated by clouds are further excluded using CAPS cloud indicator.





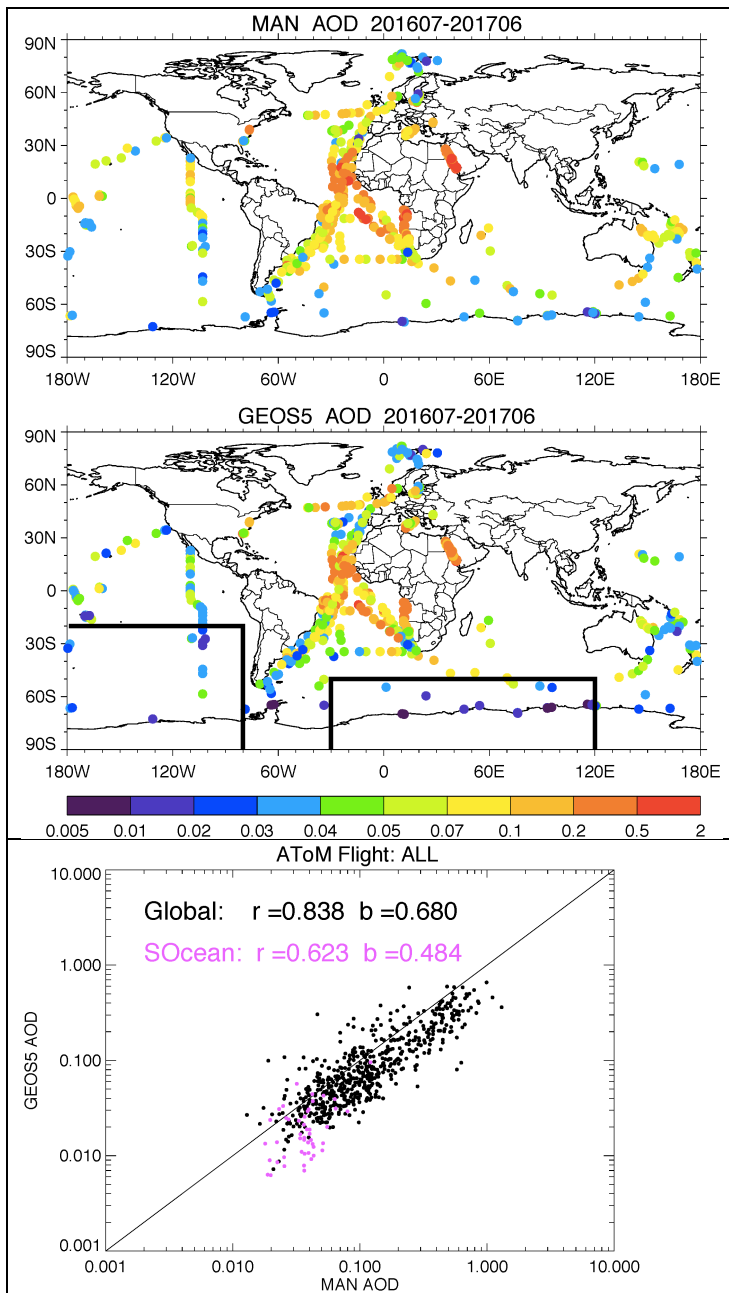
**Figure 3.** Sea salt ( $D_p < 3 \mu\text{m}$ ) vertical profiles from GEOS5 simulation and PALMS measurement along ATom1 and 2 flight tracks in 5 latitudinal bands over Pacific and Atlantic oceans. The latitudinal bands are marked by dot grey lines in Figure 1.

708  
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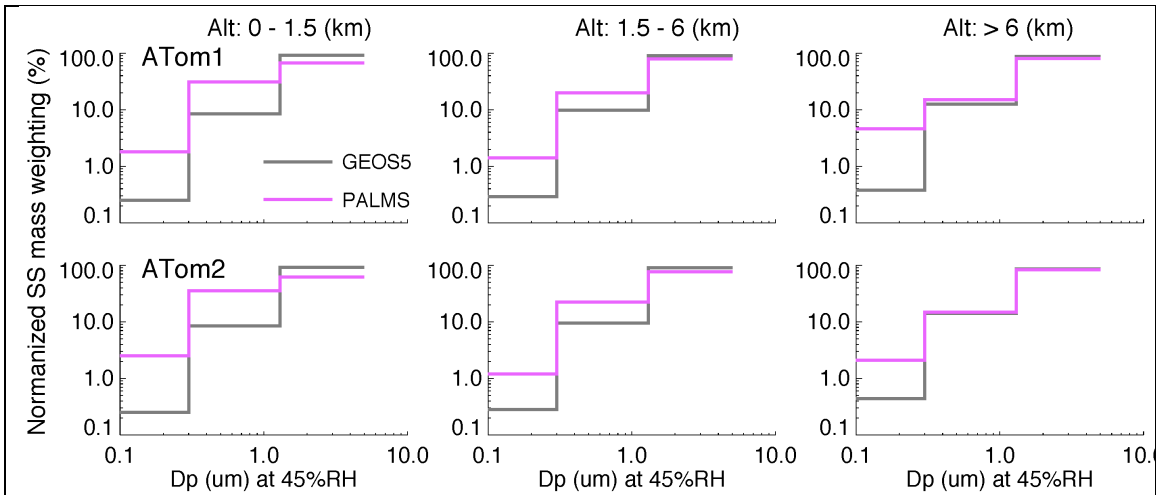


**Figure 4.** Total aerosol AOD in 201608 (left column) and 201702 (right column) from MODIS (top) and GEOS5 (middle) over oceans where fraction of sea salt AOD (fSSAOD) mass simulated by GEOS (bottom panel) is larger than 0.6.

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 727  
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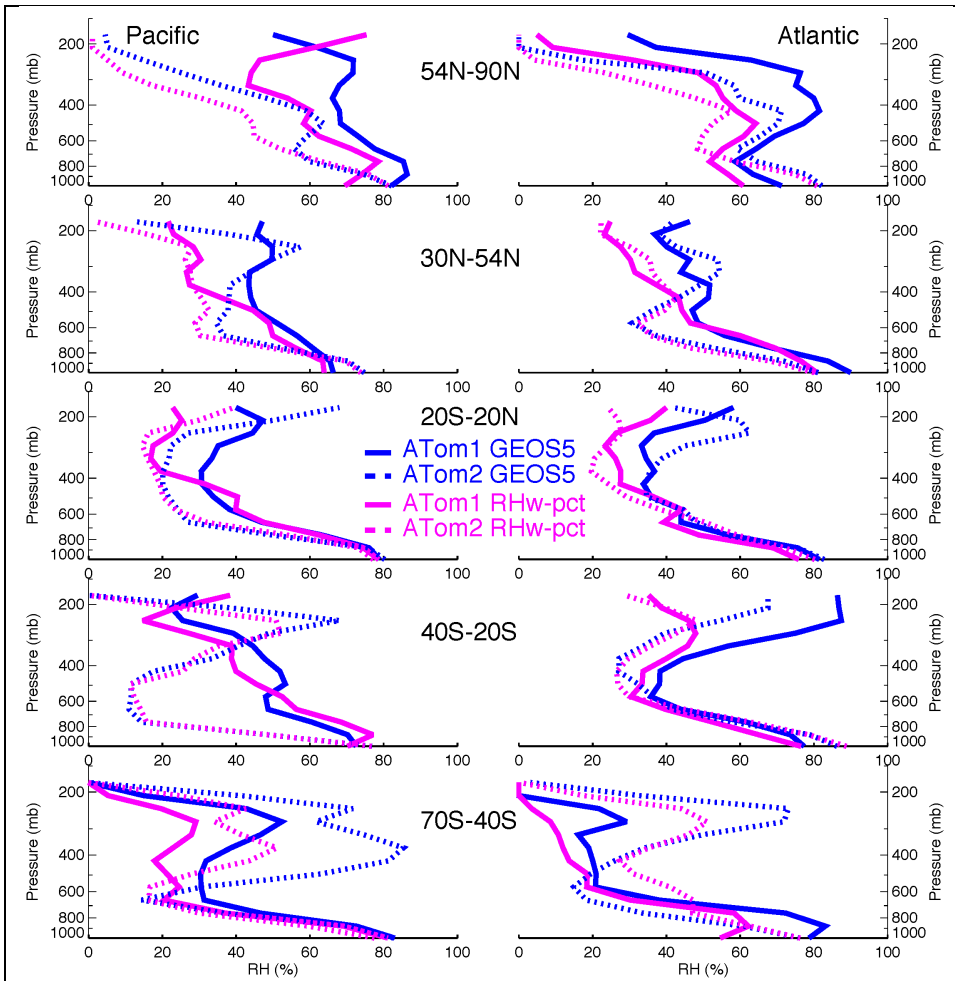


**Figure 5.** Total AOD measured by MAN cruise occurred during 201607 to 201706 (5a) and simulated by GEOS5 but sampled with MAN measurement (5b). 5c shows total AOD scattering plot between MAN and GEOS and the purple color is for the data over Southern Ocean shown inside the boxes in Figure 5b.



**Figure 6.** Percentage distribution of sea salt mass over the first three bins normalized to the total sea salt with particle wet diameter up to  $\sim 5 \mu\text{m}$  at RH 45%. The normalized SS mass weighting distribution is sorted over three vertical layers and for ATom1 (top row) and ATom2 (bottom row), respectively.

745  
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 752  
 753  
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 756



**Figure 7.** Atmospheric RH vertical profiles from GEOS5 simulation and ATom measurement along ATom1 and 2 flight tracks in 5 latitudinal bands over Pacific and Atlantic oceans.