

5th March 2020

Object – 2nd review and answers to the Reviewers for the paper: “Influence of the dry aerosol particle size distribution and morphology on the cloud condensation nuclei activation. An experimental and theoretical investigation”, Ref. ACP-2019-172.

Dear Editor, dear Reviewers,

We have carefully studied the Reviewers’ comments and further improved the paper accordingly. We believe that our approach is now correct (based on Su et al. and Cerully et al. papers as suggested by the Reviewers in the first revision), and that the inconsistent results on ammonium sulfate activation were due to the lack of a numerical analysis for the correct determination of the best fitting function for F_a . In this 2nd revision, a sensitivity analysis is proposed to explain the discrepancy between the expected and calculated width of the kappa distribution of ammonium sulfate, and the discussion is extended to the chemically aged soot (brief discussion in the main text, main discussion can be found in the new Annex A). A discussion on the adsorption activation theory is also included in this revised version of the paper. A detailed point-by-point rebuttal and a marked-up version of the revised manuscript can be found at the end of this document (all modifications and references to the Reviewers’ comments are highlighted in Microsoft Word Revision Mode).

Regardless of the final decision of the Editorial Board, once again we would like to thank the Editorial Board and especially the Reviewers for their patience and the extensive amount of work they dedicated to our paper. We greatly appreciated their constructive comments and suggestions that allowed us to progress much in the data analysis and interpretation of the results.

With our best regards,

Dr. Alessandro Faccinnetto on behalf of all Authors.

Answers to anonymous Referee #1.

Major comments

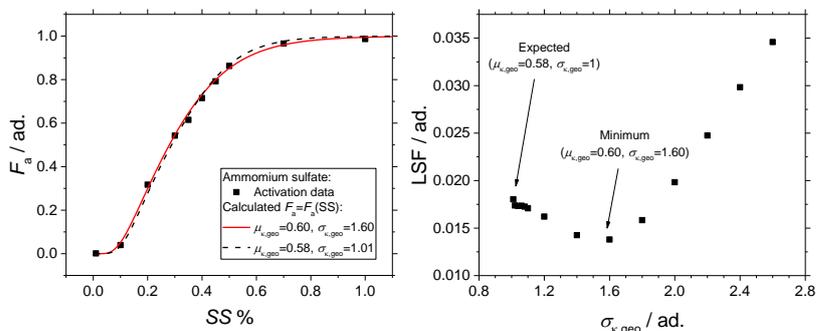
(1) The authors refocused the work and added citations about previous work to the manuscript given by both referees. However, the authors failed to properly implement those previous works in their analysis. Important experimental details are not given. As a result, the current way the results are analyzed remains insufficient to warrant publication. Specifically, the authors fail to properly account for DMA transfer effects. For example, Figure 4 shows a kappa frequency distribution for ammonium sulfate. If the effects of non-monodisperse size (not even accounting for multiple charges) had been taken into account properly, the kappa distribution should approach a Dirac delta function. It does not, and thus viewed from a high-level, the result is simply incorrect. The conclusion that “The main advantage of using a morphology-corrected is that the effects of the particle size and morphology on activation are decoupled from the particle chemistry, and therefore is preserved as a “chemistry-only” indicator.” is thus not true.

Answer. In the 1st revision of the paper, the DMA transfer function and the effect of multiple charges were already taken into account following the suggestions of both Reviewers. The inversion found that an excellent approximation of the kappa distribution could be provided by a lognormal distribution with $\mu_{\kappa,geo} = 0.60$ and $\sigma_{\kappa,geo} = 1.6$ (Levenberg–Marquardt algorithm to solve the least squares regression, 10^{-9} χ^2 tolerance). At first, we ourselves were puzzled by this result, since we agree with the Reviewer that the kappa distribution of ammonium sulfate (Fig. 4b), once size and multiple charges are taken into account, should tend to a delta function. However, in the 1st revision of the paper and *after* taking into account the DMA transfer function and the effect of the multiple charges, we did not immediately realize that the model is not sensible enough to account for small changes of the position $\mu_{\kappa,geo}$ and width $\sigma_{\kappa,geo}$ of the kappa function simultaneously for monodisperse spherical particles (an example can be found in the figure below, left panel). In this 2nd revision of the paper, after carefully re-analyzing all results, we came to the conclusion that this was caused by a lack of sensitivity of the inversion process based on the fitting procedure. In consequence, we performed a detailed sensitivity analysis using the least square function (LSF) to estimate the quality of the fitting:

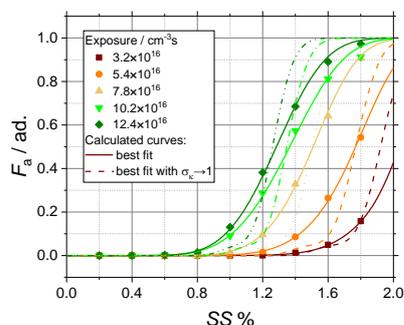
$$LSF = \sqrt{\frac{\sum_{i=1}^n (x_i - y_i)^2}{n - 1}}$$

where x_i represent the experimental values of the activated fraction obtained from CCN experiments, and y_i represent the calculated values of the activated fraction with fixed $\mu_{\kappa,geo}$ and free $\sigma_{\kappa,geo}$. $LSF = LSF(\sigma_{\kappa,geo})$ is shown in the figure below (right panel): the local minimum near $\sigma_{\kappa,geo} = 1.6$ was initially chosen as the best fit. However, in the range $1.0 < \sigma_{\kappa,geo} < 1.9$ the variability of $LSF = LSF(\sigma_{\kappa,geo})$ is small, and therefore the fit returns similar values of $\mu_{\kappa,geo}$ regardless of $\sigma_{\kappa,geo}$ ($0.58 < \mu_{\kappa,geo} < 0.60$, consistent with the literature within 5% uncertainty). For this reason, in this 2nd revision of the paper, we impose $\sigma_{\kappa,geo} \rightarrow 1$ as a fitting condition: this approach is now explicitly discussed in the text, Annex A has been added to discuss the fitting function quality, Fig. 4 has been

modified by removing Fig. 4b and the corresponding value of $\mu_{k,geo} = 0.58$ obtained with $\sigma_{k,geo} \rightarrow 1$ is given instead.



Of course, this raises the question about the application of the same analysis for soot particles even if the morphology is integrated in the model, since $\sigma_{k,geo} > 1$ is expected in the general case. Consequently, additional calculations have been performed for chemically aged soot as shown in the figure below. These results highlight that it is not possible to reliably calculate/fit F_a curves for soot particles by imposing $\sigma_{k,geo} \rightarrow 1$, i.e. by assuming a homogeneous chemical composition of the chemically aged soot particles. Therefore, the hypothesis of $\sigma_{k,geo} \rightarrow 1$, while holds well for ammonium sulfate, cannot be used for chemically aged soot particles. Also, the sensitivity analysis shows a stronger impact of $\mu_{k,geo}$ and $\sigma_{k,geo}$ on the F_a curves for soot compared to ammonium sulfates, indicating that the fitting process is much more robust and provides a physical meaning for soot contrarily to its application to spherical ammonium sulfate particles.



Therefore, in this 2nd revision of the paper, we also revised the calculations of the kappa distribution of chemically aged soot to more accurately account for the effect of $\sigma_{k,geo}$. In particular, we used as $\sigma_{k,geo}$ the average value obtained from the fit of the three curves at high exposure (dark green, light green and yellow in the figure) that clearly reach the plateau at $F_a = 1$ ($\sigma_{k,geo} = 1.93 \pm 0.14$), and hence result to a more reliable fit than the two curves at lower exposure.

In conclusion, to evaluate kappa the important required information is the mobility distribution function injected in the CCNc. As demonstrated by the comparison with the TEM images in Fig. 3(b) and (c), the impact of the DMA transfer function is minor and overshadowed by the lack of sensitivity of the model to $\sigma_{k,geo}$.

(2) The manuscript is lacking proper treatment of the experimental details. For example, it is unclear where neutralizers were used and where not. Multiple charges are fitted through Eq. (12) but DMA theory relating to charging efficiencies and sizes is not included. The attribution to +1 and +2 contributions shown in Figure 3c are incorrect.

Answer. The distribution shown in Fig. 1 is the mobility size distribution of the soot particles inside the reactor chamber measured by a second SMPS in tandem (both neutralizers on). Said distribution also provides a good approximation of the mobility size distribution of the soot particles injected in the CCNc, whence the confusion in the previous version of the paper. It can be clearly seen that the aerosol is not perfectly monodisperse ($\sigma_{k,geo} \approx 1.06-1.10$). Inevitably, after passing through the DMA, some multiply charged particles are present and have to be considered since they contribute to the droplet nucleation, and thus affect the CCN measurements. This is clearly visible for soot particles, as indicated by the presence of a second small peak around 210 nm attributed to particles charged +2. That distribution is determined by using a second neutralizer and DMA in tandem to the first ones. For these distributions, the charge correction is considered in order to have the best quantification of the presence of multiple charges particles entering the CCNc. Information on the use of the neutralizers has been added in the text, and Fig. 3c has been corrected.

(3) I continue to believe that kappa distributions (or shape factor distributions) from these data could be obtained. Closure of DMA/SMPS/CCN derived shape distributions with TEM would be valuable contribution to the literature. However this requires precise treatment of the tandem DMA and CCN measurements that removes the ambiguity of size, which requires appropriate non-trivial inversion of the data. Successful inversion should remove the effect of the DMA transfer function and retrieve the true hygroscopicity distribution, which in case of the soot, might be all attributed to shape or to oxidation state. Details about neutralizer placement and DMA transfer models should be discussed. The quality of the inversion should be assessed perhaps for a case with synthetic data. Methodology should all be discussed prior to results.

Answer. Please see the answer to major comment (1) and (2). In response to the request of discussing all methodology before results, the use of Eq. (12) is now discussed at the end of the Theory section in the dedicated subsection 2.3.

Other comments

(4) *“To avoid this problem, kappa has been obtained from the fitting of the activation curve with generic sigmoid functions that do not take into account the particle size distribution or a distribution of values of kappa. More specifically, kappa has been calculated from the critical supersaturation ($F = 0.5$) by using an analytical approximation of Eq. (2) only valid for $k > 0.2$, which is not always the case for soot particles.” Who did this for what type of aerosol? Please provide citations to studies that wrongly calculated kappa for soot particles. Even if this were true the above seems to not fully capture what has been done in the literature.*

Answer. The second part of this sentence has been removed.

(5) *ammonium sulfate nanoparticles dispersed in nitrogen → did you account for viscosity differences of using pure N₂ and air?*

Answer. The difference in kinematic viscosity at 20°C and 1 bar between air ($15.06 \times 10^{-6} \text{ m}^2 \text{ s}^{-1}$) and nitrogen ($15.27 \times 10^{-6} \text{ m}^2 \text{ s}^{-1}$) is around 1%, which is over one order of magnitude smaller than other sources of experimental uncertainty, and was thus considered as negligible.

(6) *What is the justification for Eq. (4)? Why would kappa take on this distribution? Should an inversion not retrieve the distribution without prior specification?*

Answer. The idea of using lognormal distributions of kappa comes from Su et al., Atmos. Chem. Phys. 10 (2010) 7489-7503. The authors propose the hypothesis that a lognormal distribution of kappa can lead to a better fit of the CCN data, and demonstrate its applicability on two different atmospheric aerosols. They conclude that: *“Lognormal distribution functions were found to be suitable for approximately describing the hygroscopicity distribution of aerosols in polluted megacity air as well as in pristine rainforest air as determined by size resolved CCN measurements”*. The text in the Theory section was modified to make the reference to Su et al. more clear.

(7) *Figure 1 shows a DMA transfer function, but the specifics have not been discussed. The manuscript should be organized in a more sequential manner.*

Answer. Fig. 1 shows the electrical mobility distribution of soot particles measured inside the reactor chamber. This distribution is obtained by SMPS with the neutralizer on, and it is considered as a good approximation of the aerosol size distribution subsequently injected into the CCNc. We recognize that our choice of words might induce some confusion between “mobility distribution of soot particles injected into the CCNc” and “DMA transfer function” that were used interchangeably. This is now corrected, and the whole paper has been revised to remove this ambiguity.

(8) Figure 1 x-axis should be Dve?

Answer. Fig. 1 compares the electrical mobility d_m after size selection (black solid line) to the calculated volume equivalent diameter distributions d_{ve} (colored dashed lines), therefore a more generic “ d ” was preferred to avoid confusion, while the details are given in the legend.

(9) Section 3.1: what is the purity of the water?

Answer. This information has been added in the methodology section.

(10) pg. 8: extremely low kappa → what does that mean? Provide a number and reference?

Answer. This sentence has been rephrased.

(11) so called → please avoid this phrasing

Answer. Corrected.

(12) pg.9 please provide sheath to sample flow ratios for all DMAs. Also, please provide the aerosol neutralization method and activity of sources.

Answer. Information on the sheath to sample flow ratio was already provided in section 3.4. Information on the neutralization method has been added in the same short section dedicated to the description of the SMPS apparatus, and also added in the text.

(13) SMSP at regular time intervals → SMPS?

Answer. Corrected.

(14) Section 3.4 Diagnostics: “The DMA can be used independently to select aerosol particles of the desired mobility.” → was the SMPS DMA ever used this way? If not, please omit as it is confusing.

Answer. This sentence has been removed for sake of clarity.

(15) pg. 10, Eq. (12), and Figures 3 + 4:

Eq. (12) appears to be an empirical two mode fit that is applied to the observed mobility distribution. There are numerous questions that need to be addressed.

(a) Was a neutralizer used in the SMPS? Or were the particles sampled without reneutralization from the chamber?

Answer. The particles were re-neutralized when sampled from the chamber.

(b) Was the size distribution shown in Figure 2 inverted? If so, what is the justification for the two charge fit? If not, what is the justification to work with raw spectra?

(c) The number concentration and size of the +2 charged particles should not be allowed to be "floating". The number concentration of +2 charged particles is determined strictly by the charging efficiency and that is known a priori.

Answer. Being points (b) and (c) strictly related, one comprehensive answer is provided. The SMPS scan approximates well the size distribution in the chamber before the aerosol injection in the CCNc. The empirical fit using two lognormal functions is simply a convenient approach that allows maintaining the analytical approach. The text has been corrected accordingly, and a brief discussion is now provided in the new section 2.3.

(d) The kappa distribution shown in Figure 4 cannot possibly be correct. All ammonium sulfate particles have the same kappa. This means that the shown kappa distribution does not remove the effect of the DMA transfer function. What I shown here appears to be the kappa one would retrieve not properly accounting for the distribution of sizes produced by the DMA. Such a kappa distribution is not particularly meaningful as it folds in the effect of particle size.

Answer. We agree with the Reviewer that the kappa distribution of ammonium sulfate should tend to a delta function. At the same time, the effect of the particle size and charge distribution and the DMA transfer function were taken into account in the calculations as suggested by the Reviewers in the first revision of the paper. As shown in this 2nd revision of the paper, this unexpected effect could rather be attributed to a lack of sensitivity of the fitting function to both the width of the particle distribution and to their kappa at the same time. Please see the answer to major comment (1).

(e) The authors should discuss observed shape effects for AS in the literature (various sources provide a dynamic shape factor for AS particles).

Answer. One of the central points of the paper is to introduce a morphology-corrected equivalent diameter d_{ve} for taking into account in the same variable both the size distribution and the morphology of fractal-like aerosols. This is an alternative approach to the shape factor that represents much better the soot morphology as it relies on a tested semi-empirical model (Yon et al., 2015). In this frame, as explained at the beginning of Section 4, for isolated, spherical and homogeneous particles, $d_{ve} = d_m = d_p$. Therefore, in our opinion, it would be incorrect to directly

compare data obtained from ammonium sulfate corrected by the shape factor to data obtained from soot corrected by Yon's model.

(16) While kappa has its application, perhaps even for soot, the authors should at least discuss the various adsorption theories that have been proposed (e.g. Henson, 2007 JGR, Sorjamaa and Laaksonen, 2007, ACP, Kumar et al., 2009, ACP), and how those approach differ from the ones used here.

Answer. Please see answer to Reviewer#2, (1).

Answers to anonymous Referee #2.

Major comments.

Thank you for carefully going through all the comments and for extensively rewriting the paper in response to the comments raised. I am for most parts happy with all the changes made.

One aspect however that is largely omitted is that of adsorption activation theory. There is one mention of it (without a reference) in the end of section 4 of the revised paper, which is clearly not enough. I am not requesting the data is reprocessed with the new theory (although that would be great to see), but not discussing it at all is an omission. For conditions of very low hygroscopicity, surface adsorption can be very important, even dominate CCN activity as showed by the studies cited in the previous round of reviews. There is even work on describing CCN activity of BC, using adsorption activation theory (e.g., <https://doi.org/10.1029/2007JD008549>).

I therefore would like to see a discussion on adsorption impacts in the introduction and the conclusions - stating explicitly that k-Kohler is used for simplicity/parameterization purposes, even if adsorption activation may be the underlying mechanism.

Answer. A discussion on the absorption activation theory has been added to both the introduction and the conclusions, and the pertinent references added as kindly suggested by the Reviewers.

Influence of the dry aerosol particle size distribution and morphology on the cloud condensation nuclei activation. An experimental and theoretical investigation

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Abstract. Combustion and other high temperature processes frequently result in the emission of aerosols in the form of polydisperse fractal-like aggregates made of condensed phase nanoparticles (soot for instance). If certain conditions are met, the emitted aerosol particles are known to evolve into important cloud condensation nuclei (CCN) in the atmosphere. In this work, the hygroscopic parameter κ of complex morphology aggregates is calculated from the supersaturation dependent activated fraction $F_a = F_a(SS)$ in the frame of κ -Köhler theory. The particle size distribution is approximated with the morphology-corrected volume equivalent diameter calculated from the electrical mobility diameter by taking into account the diameter of the primary particle and the fractal dimension of the aggregate experimentally obtained from transmission electron microscopy measurements. Activation experiments are performed in water supersaturation conditions using a commercial CCN-100 condensation nuclei counter. The model is tested in close-to-ideal conditions of size selected, isolated spherical particles (ammonium sulfate nanoparticles dispersed in nitrogen), then with complex polydisperse fractal-like aggregates (soot particles activated by exposure to ozone with κ as low as 5×10^{-5}) that represent realistic anthropogenic emissions in the atmosphere.

Keywords. κ -Köhler theory, cloud condensation nuclei (CCN), size distribution, morphology, hygroscopic parameter, soot.

1. Introduction

Soot particles formed during the incomplete combustion of hydrocarbons and emitted in the exhausts are potentially important contributors to the radiative forcing of the atmosphere as they adsorb and scatter the solar radiation (direct effect), but can also act as cloud condensation nuclei (CCN) or ice nuclei (IN) and trigger the formation of persistent clouds (indirect effect) (Bond et al., 2013). To date, estimations over the magnitude of the direct and indirect effects are subject to large uncertainty, and commonly accepted values span the range $[+0.25, +1.09]$ W m^{-2} for the direct effect and $[-1.20, 0.00]$ W m^{-2} for the indirect effect comprehensive of all aerosol-cloud interactions (Stocker et al., 2014). Such large uncertainties result from the combination of several difficult-to-predict behaviors of the soot particles in the atmosphere when compared to mineral and biogenic aerosols. For instance, their small size and low density enable for a long lifetime in the atmosphere that can reach several weeks (Govardhan et al., 2017). Their complex morphology and large specific surface allow many possible surface interactions that can deeply affect their reactivity (Monge et al., 2010; Browne et al., 2015). Furthermore, their number concentration is subject to high geographic variability, and especially in polluted regions can be comparable to the typical number concentration of marine aerosols that provide the largest contribution to the total mass of aerosols emissions (Rose et al., 2006; Sayer et al., 2012). To better understand the effect of soot particle on the radiative balance of the atmosphere, it is therefore important to understand how their size distribution, morphology, and surface composition impact their activity as CCN.

Soot formation in flame combustion is a complex process strongly affected, among other factors, by the fuel nature, the local fuel-air equivalence ratio and the flame temperature (D'Anna, 2009; Wang, 2011; Karataş and Gülder, 2012). At short reaction time, or equivalently at low height above the burner (HAB), the oxidation of the fuel generates small radicals that quickly recombine to form larger and larger hydrocarbons, molecular ions and radicals. Small polycyclic aromatic hydrocarbons (PAHs) and their derivatives are since long regarded as the most important soot molecular precursors (Richter and Howard, 2000). At longer reaction time, or equivalently at higher HAB, the soot molecular precursors react to form condensed phase nascent soot particles. In controlled, low sooting laboratory flames, nascent soot particles as small as 2 nm can be detected (Betrancourt et al., 2017). The dynamic equilibrium between the heterogeneous reactions at the particle surface (surface growth and oxidation) in parallel to concert with coalescence and coagulation phenomena determine whether the newly formed particles increase their size or are re-oxidized into gas phase products. In sooting flames, nascent soot particles quickly evolve into primary soot particles having typical diameter in the range 5-30 nm (Apicella et al., 2015). If their number concentration is sufficiently large, condensed phase particles at any reaction time can coalesce and coagulate into complex morphology aggregates that persist up to the flame exhausts (Kholghy et al., 2013).

A substantial body of literature exists on the characterization of the morphology of soot particles by electron microscopy. Young primary soot particles sampled at low HAB are well known to be characterized by an amorphous core surrounded by a highly structured series of concentric shells, often referred to as onion-like structure (Kholghy et al., 2016). On the other hand, mature soot particles sampled at high HAB tend to be extremely complex aggregates of hundreds to tens of thousands of primary particles (Santamaría et al., 2007; Kelesidis et al., 2017). The morphology of these aggregates is scale invariant over a relatively small-large range ("fractal-like" aggregates), ~~so~~ and therefore some concepts borrowed from fractal geometry can be applied to characterize them. In particular, the fractal dimension D_f is considered to be an important descriptor of the soot particles morphology that links the number of primary particles of an aggregate N_{pp} to the diameter of the primary particle d_{pp} through the following power law (Sorensen, 2011; Eggersdorfer and Pratsinis, 2014):

$$N_{pp} = k_f \left(\frac{d_p}{d_{pp}} \right)^{D_f} \quad \text{Eq. (1)}$$

where k_f is the exponential pre-factor and d_p the equivalent particle diameter. Over the years, different approaches have been proposed to estimate d_p with quantities easily accessible from experiments that include the diameter of gyration from angular light scattering measurements (Köylü et al., 1995; Sorensen et al., 1992) or the size of the aggregate projection from scanning electron microscopy (Colbeck et al., 1997) and transmission electron microscopy (Cai et al., 1995; Hu et al., 2003).

From the chemical point of view, the gas-condensed phase conversion remains to date a poorly understood process (D'Anna, 2009; Wang, 2011; Desgroux et al., 2013; Michelsen, 2017). The molecular precursors participating to soot formation and found adsorbed on the surface of soot particles can be as small as PAHs containing 3-7 aromatic cycles, or as large as tens of aromatic cycles depending on the combustion conditions (Irimiea et al., 2019). The availability of surface hydrogen atoms is considered to be a driving force of the surface growth process and is often described by the hydrogen abstraction/acetylene addition mechanism (Frenklach and Wang, 1990; Frenklach, 2002). At the particle surface, reactive young soot is generally rich of small PAHs characterized by high H/C ratio (> 0.7), in contrast to more inert mature soot that is characterized by low H/C ratio (< 0.4). Being PAHs thermodynamically stable compounds, they are often found adsorbed on the surface of the soot particles in the exhausts and give a significant contribution to the soot particles reactivity.

Such a large variability of size distribution, morphology and chemical composition strongly impacts the reactivity of soot particles in the atmosphere and their propensity to evolve into CCN. Several studies exist that characterize the CCN activity of soot particles generated in the exhausts of laboratory flames (Lambe et al., 2015) and commercial burners like the miniCAST (Henning et al., 2012; Friebel et al., 2019). Soot particle aging experiments are often performed in laboratory conditions that simulate the atmosphere and make use of flow reactors (Kotzick et al., 1997; Lambe et al., 2015; Zuberi et al., 2005) or atmospheric simulation chambers (Tritscher et al., 2011; Wittbom et al., 2014; Grimonprez et al., 2018). The hygroscopic properties of soot are generally determined at supersaturation conditions provided by instruments such as variable supersaturation condensation nuclei counters (VSCNC) or cloud condensation nuclei counters (CCNC). Overall, freshly emitted soot particles are generally considered as poor CCN. However, several studies demonstrate that photochemical aging (Tritscher et al., 2011) or chemical aging that includes exposition to OH radicals (Zuberi et al., 2005; Lambe et al., 2015), to O_3 (Kotzick et al., 1997; Wittbom et al., 2014; Grimonprez et al., 2018) or to NO_3 radicals (Zuberi et al., 2005) under atmospheric relevant conditions can efficiently turn soot particles into CCN.

Köhler theory (Köhler, 1936) is widely used to describe the formation process of liquid cloud droplets at supersaturation conditions. Köhler theory is entirely founded on equilibrium thermodynamics, and describes the change of the saturation vapor pressure of water induced by the curved surface of the nascent droplet and by the presence of solutes in the liquid phase. A number of recent implementations of Köhler theory have been used to describe the cloud droplet activation of wettable insoluble or partially soluble compounds. Among them, the adsorption activation theory describes the mechanism of droplet growth through multilayer adsorption of water. The number of layers of adsorbed water molecules is calculated using Brunauer, Emmet and Teller isotherms (Henson, 2007), or alternatively the Frenkel-Halsey-Hill isotherms (Sorjamaa and Laaksonen, 2007). In this work, a simpler approach is chosen (Petters and Kreidenweis, 2007) that relies on a single parameter (κ) representation of the CCN activity to take into account the reduction of the water activity due to the presence of partially soluble components (κ -Köhler theory). The internally mixed nature of many atmospheric aerosols is accounted for in a more modern approach, the so-called κ Köhler theory (Petters and Kreidenweis, 2007), that combines the original theory and a single parameter (κ) representation of the CCN activity that takes into account the reduction of the water activity due to the presence of partially soluble components. At According to κ -Köhler theory, at thermodynamic equilibrium the supersaturation over an aqueous solution droplet $SS = SS(D, d_p, \kappa)$ as a function of the droplet diameter D , of the size of the seeding particle d_p and of the hygroscopic parameter κ is given by:

Comment [AF1]: Even if not explicitly requested by the Reviewers, we added some more recent references.

Comment [AF2]: Reviewer#1, (16) and Reviewer#2, (1).

$$SS(D, d_p, \kappa) = \frac{D^3 - d_p^3}{D^3 - d_p^3(1 - \kappa)} \exp\left(\frac{A}{D}\right) - 1, \quad A = \frac{4M_w \sigma_{s/a}}{R T \rho_w} \quad \text{Eq. (2)}$$

where M_w and ρ_w are the molar mass and density of water, respectively, $\sigma_{s/a}$ is the surface tension at the solution/air interface, R is the ideal gas constant and T is the temperature. $\sigma_{s/a} = 0.072 \text{ J m}^{-2}$ and $T = 298 \text{ K}$ are commonly used for calculations that lead to $A \approx 2.09 \times 10^{-9} \text{ m}$.

Even if this approach does not describe explicitly the underlying mechanism of CCN formation, the κ -Köhler theory has been widely used to characterize the activity in supersaturation conditions of isolated non-spherical aerosol particles as a function of κ (Su et al., 2010; Cerully et al., 2011), d_p (Snider et al., 2006; Kuwata and Kondo, 2008), or SS (Sullivan et al., 2009; Tang et al., 2015). The particle size distribution has been proven to affect the CCN activation curves (Abdul-Razzak and Ghan, 2000; Snider et al., 2006). However, the (geometric) standard deviation of the particle size distribution alone is not sufficient to completely explain the slope of the CCN activation curves (Snider et al., 2006), and therefore a distribution of values of the parameter κ has been proposed to add the missing degree of freedom (Su et al., 2010; Cerully et al., 2011).

Only a few studies exist on the CCN activity of soot particles compared to non-aggregated aerosol particles (Grimonprez et al., 2018; Lambe et al., 2015; Sullivan et al., 2009; Tang et al., 2015). In these studies, a corrected volume equivalent diameter based on the estimated mass density of the soot particles is used to parameterize the particle size. However, particles characterized by very low activity like fresh soot particles have been reported to have $\kappa < 0$ ("apparent crossing of the Kelvin limit") in some instances (Grimonprez et al., 2018; Lambe et al., 2015; Tritscher et al., 2011). To avoid this problem, κ has been obtained from the fitting of the activation curve with generic sigmoid functions that do not take into account the particle size distribution or a distribution of values of κ . More specifically, κ has been calculated from the critical supersaturation (SS at $F_a = 0.5$) by using an analytical approximation of Eq. (2) only valid for $\kappa > 0.2$, which is not always the case for soot particles. In the above mentioned studies, both for non-aggregated and aggregated aerosol particles, the electrical mobility diameter, experimentally accessed by scanning mobility particle sizing (SMPS), has often been used to measure the particle size distribution. In this case, the role of multiply charged particles needs to be taken into account (Petters, 2018).

The first main goal of this work is the quantification of the role of the particle morphology on the cloud condensation activity of soot particles in conditions that simulate atmospheric chemical aging. In practice, a morphology-corrected volume equivalent diameter d_{ve} is calculated from the electrical mobility diameter d_m by including the diameter of the primary particle d_{pp} and the fractal dimension D_f obtained from transmission electron microscopy (TEM). κ is calculated from the best fit of the experimental activation data $F_a = F_a(SS)$ obtained in water supersaturation conditions using a commercial CCN-100 condensation nuclei counter. After including the contribution of the morphology in d_{ve} , κ is considered to be only representative of the particle chemistry such as the modification of the surface composition or the formation of soluble compounds due to the chemical aging. To account for the heterogeneity of the particle chemistry and to correct for the differences between experimental and calculated $F_a = F_a(SS)$, κ is treated as a probability distribution rather than a single value. The second main goal of this work is to provide quantitative information on the evolution of κ during the chemical aging of soot particles characterized by different maturity, i.e. sampled at different HAB in a laboratory jet diffusion flame supplied with kerosene. The model is first tested in close-to-ideal conditions of size selected, isolated spherical particles (ammonium sulfate nanoparticles dispersed in nitrogen), then in the complex case of polydisperse fractal-like aggregates (soot particles activated by exposure to ozone).

Comment [AF3]: Reviewer#1, (16) and Reviewer#2, (1).

Comment [AF4]: Reviewer#1, (4).

2. Theory

2.1. Modification of $F_a(SS)$ to include a distributions of d_p and κ

Probability density functions of d_p (Abdul-Razzak and Ghan, 2000; Snider et al., 2006) and κ (Cerully et al., 2011; Su et al., 2010) have been widely used in aerosol science and atmospheric research to describe the CCN activity. ~~By using lognormal distributions, and by treating d_p and κ as uncorrelated variables to avoid double integration. Lognormal distributions are considered as viable approximations for $p(\kappa)$, while d_p and κ are often treated as uncorrelated variables to avoid double integration~~ (Su et al., 2010; Zhao et al., 2015). The activated fraction $F_a(SS)$ can be then calculated as:

$$F_a(SS) = \sum_{\kappa=0}^{\infty} \left\{ \frac{1}{2} - \frac{1}{2} \operatorname{erf} \left[\frac{\ln d_p(\kappa, SS) - \ln \mu_{p,modegeo} - \ln^2 \sigma_{p,geo}}{\sqrt{2} \ln \sigma_{p,geo}} \right] \right\} p(\kappa) \Delta \kappa \quad \text{Eq. (3)}$$

where ~~$\mu_{p,mode}$ and $\sigma_{p,geo}$ are the mode and the geometrical standard deviation of d_p . $\mu_{p,geo}$ and $\sigma_{p,geo}$ are the geometric mean (i.e. the median) and the geometric standard deviation of d_p , respectively.~~ $p(\kappa)$ is the probability density function of κ :

$$p(\kappa) = \frac{1}{\kappa \ln \sigma_{\kappa,geo} \sqrt{2\pi}} e^{-\frac{[\ln \kappa - \ln \mu_{\kappa,modegeo} - \ln^2 \sigma_{\kappa,geo}]^2}{2 \ln^2 \sigma_{\kappa,geo}}} \quad \text{Eq. (4)}$$

where ~~$\mu_{\kappa,modegeo}$ and $\sigma_{\kappa,geo}$ are the geometric mean (i.e. the median) mode and the geometrical standard deviation of κ , respectively.~~

2.2. Definition of the morphology-corrected volume equivalent diameter d_{ve}

In this section, ~~we find an original~~ relationship to ~~derive-obtain~~ d_{ve} from d_m for a fractal-like aggregate ~~is derived~~. d_{ve} is the diameter of a sphere having the same volume as the aggregate, and assuming the aggregate made of identical, spherical primary particles, is defined as:

$$d_{ve} = d_{pp} N_{pp}^{\frac{1}{3}} \quad \text{Eq. (5)}$$

where d_{pp} and N_{pp} are the diameter and number of primary particles per aggregate, respectively. It is worth to notice that often, for practical purposes, the value of d_{pp} used in calculations is the mass equivalent diameter of the primary particle distribution obtained from TEM measurements. On the other hand, d_m is directly linked to the aerodynamic force acting on the particle F_{drag} (Dahneke, 1973; Tritscher et al., 2011) and can be directly obtained from SMPS measurements:

$$F_{drag} = \frac{3\pi\eta d_m v_r}{C_c(d_m)} \quad \text{Eq. (6)}$$

where η and v_r are the kinematic viscosity of the gas and the particle-gas relative velocity, and C_c is the Cunningham slip factor (Allen and Raabe, 1985):

$$C_c(K_n) = 1 + K_n \left[1.142 + 0.558 \exp\left(-\frac{0.999}{K_n}\right) \right] \quad \text{Eq. (7)}$$

Comment [AF5]: Reviewer#1, (4).

Comment [AF6]: Even if not explicitly requested by the Reviewers, for the sake of consistency Eq. (3) and Eq. (4) have been simplified by replacing $\mu_{p,mode}$ with $\mu_{p,geo}$.

$K_n = 2\lambda_g/d_m$ is the Knudsen number and λ_g is the gas mean free path. The drag force acting on an aggregate $F_{\text{drag,agg}}$ can be approximated using the drag force acting on each primary particle $F_{\text{drag,pp}}$, which is considered as a sphere, using the relation (Yon et al., 2015):

$$F_{\text{drag,agg}} = F_{\text{drag,pp}} N_{\text{pp}} \frac{\Gamma}{D_f} \quad \text{Eq. (8)}$$

The exponential factor $\Gamma = \Gamma(d_{\text{pp}})$ has been empirically estimated as a function of the Knudsen number (Yon et al., 2015) for soot particles generated with a miniCAST commercial burner (propane-air diffusion flame). In the range $1.61 < D_f < 1.79$:

$$\Gamma = 1.378 \left[\frac{1}{2} + \frac{1}{2} \operatorname{erf} \left(\frac{K_n(d_{\text{pp}}) + 4.454}{10.628} \right) \right] \quad \text{Eq. (9)}$$

Although the variability range of D_f might seem quite restrictive, in practice it covers a region representative of soot aggregates (Yon et al., 2015; Kelesidis et al., 2017). Therefore, we make the additional hypothesis that Eq. (9) can be applied to a variety of experimental investigations including our case. Introducing Eq. (6) in Eq. (8) yields:

$$d_m = \frac{C_c(d_m)}{C_c(d_{\text{pp}})} d_{\text{pp}} N_{\text{pp}} \frac{\Gamma}{D_f} \quad \text{Eq. (10)}$$

The dependence on N_{pp} can be removed by using the definition of d_{ve} in Eq. (5). Finally, Eq. (10) can be solved for d_{ve} to yield:

$$d_{\text{ve}}(d_{\text{pp}}, D_f, d_m) = d_{\text{pp}} \left[\frac{d_m C_c(d_{\text{pp}})}{d_{\text{pp}} C_c(d_m)} \right]^{\frac{D_f}{3\Gamma}} \quad \text{Eq. (11)}$$

$d_{\text{ve}} = d_{\text{ve}}(d_{\text{pp}}, D_f, d_m)$ can be calculated once size distribution and morphology of the aerosol are known using Eq. (11). d_{pp} and D_f are obtained from TEM imaging as explained below, while d_m is easy to access from SMPS measurements.

The functional analysis of Eq. (11) shown in Fig. 1 highlights the effect of the variability of (a) d_{pp} and (b) D_f on d_{ve} in a range important for soot particles. One of the DMA transfer functions obtained in this work (black solid line, see further below for details) is used as an example. In the cases investigated in this work, $d_{\text{ve}} = d_{\text{ve}}(d_{\text{pp}}, D_f, d_m)$ (colored dashed and dotted lines) is always shifted to smaller values and narrower than the original DMA transfer function. Fig. 1 shows the functional dependency of d_{ve} on (a) d_{pp} and (b) D_f . As an example, the experimental d_m distribution of soot particles after size selection at 150 nm (black solid line, see section 3 for details on the experimental conditions) is compared to calculated d_{ve} (colored dashed and dotted lines). d_m is measured immediately before the droplet nucleation experiments in tandem SMPS configuration. In the cases investigated in this work, $d_{\text{ve}} = d_{\text{ve}}(d_{\text{pp}}, D_f, d_m)$ is always significantly shifted to smaller values and narrower than the original d_m . Increasing d_{pp} from 10 nm up to 30 nm ($D_f = 1.7$) results in the main mode of d_{ve} increasing from 82.0 nm up to 117.0 nm. Similarly, increasing D_f from 1.6 up to 1.8 ($d_{\text{pp}} = 20$ nm) results in d_{ve} increasing from 93.5 nm up to 113.3 nm.

Comment [AF7]: Reviewer#1, (7).

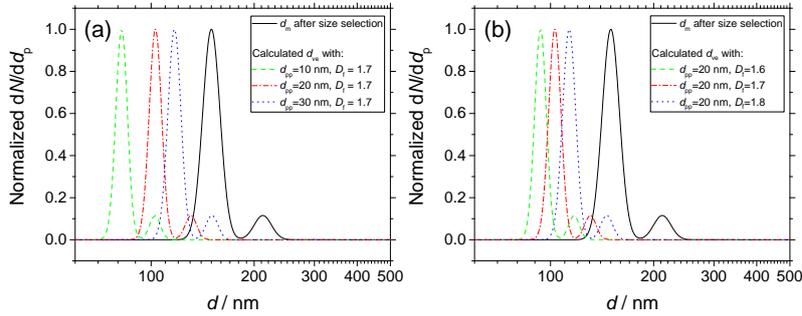


Fig. 1. d_m distribution of soot particles sampled from the kerosene jet diffusion flame at 130 mm HAB and size selected at 150 nm (black solid line) measured immediately before droplet nucleation experiments. Simulations of $d_{ve} = d_{ve}(d_{pp}, D_f, d_m)$ of soot particles having complex morphology according to Eq. (11). The DMA transfer function (black solid line) results from the size selection at 150 nm of soot particles sampled from the kerosene jet diffusion flame at 130 mm HAB (see section 3 for details). For each series of simulations (colored dashed and dotted lines), (a) d_m and D_f , or alternatively (b) d_m and d_{pp} are set as constant and the remaining parameter is varied in the range indicated in the legend.

Comment [AF8]: Reviewer#1, (7). The legend and the caption of Fig. 1 have been corrected to remove the ambiguity between "DMA transfer function" and " d_m after size selection".

2.3. Taking into account the multimodality of d_m

Because of the existence of multiple charges, one lognormal fit is generally not sufficient to describe the d_m distributions. Therefore, with the aim of maintaining an analytical approach, an empirical multi-mode lognormal fit is used as a simple solution to describe the d_m distribution of the aerosol particles injected in the CCNC:

$$\frac{dN(d_p)}{dd_p} = \sum_i \frac{N_i}{d_p \ln \sigma_{p,geo,i} \sqrt{2\pi}} e^{-\frac{[\ln d_p - \ln \mu_{p,geo,i}]^2}{2 \ln^2 \sigma_{p,geo,i}}} \quad \text{Eq. (12)}$$

where $\mu_{p,geo,i}$ and $\sigma_{p,geo,i}$ are the geometric mean and standard deviation of each mode.

Comment [AF9]: Reviewer#1, (2), (3), (7) and 15(b-c). Eq. 12 is now moved to the Theory section in the dedicated Section 2.3., as its applicability is not only limited to ammonium sulfate.

3. Experimental approach

In this section, the methodological approach is described. An overview of the experimental aerosol generation setup is shown in Fig. 2(a) for ammonium sulfate, and (b) for soot particles. Ammonium sulfate represents the simplest case that is well known in the literature (Rose et al., 2008) for the isolated, quasi-spherical particles that can be generated by atomization (section 3.1). Freshly generated ammonium sulfate aerosols are size selected then injected in a 50 L Pyrex glass static reactor. From the reactor, particles are sampled for activation experiments, size and morphology measurements. Soot particles are sampled from a jet diffusion flame supplied with kerosene, then chemically aged with ozone (section 3.2). All activation experiments are performed in a CCN-100 commercial nucleation chamber (section 3.3). The particle size and morphology are characterized by SMPS and TEM, respectively (section 3.4).

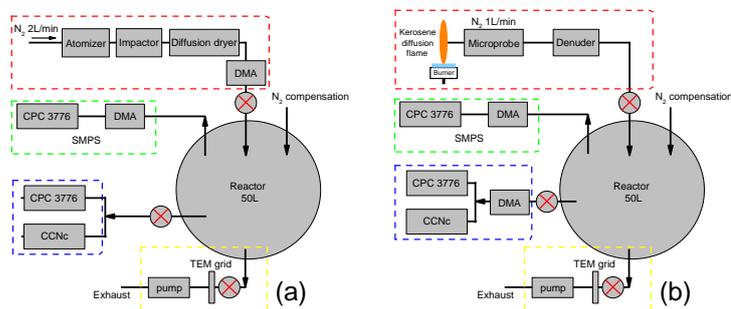


Fig. 2. Overview of the experimental setup used for (a) ammonium sulfate and (b) soot. Aerosol injection system (red frame), size distribution measurement system (green frame), activated fraction measurements system (blue frame), and collection system for TEM grids (yellow frame).

5

3.1. Ammonium sulfate aerosols generation

Ammonium sulfate aerosols are generated by atomization using a TSI aerosol generator 3076 loaded with 0.1 gL^{-1} concentration aqueous solution [98% purity ammonium sulfate purchased from Sigma Aldrich and $18.2 \text{ M}\Omega \text{ cm}$ purity water produced in a laboratory VEOLIA BIOPURE 15 apparatus]. Nitrogen is used for atomization, in the range $2\text{-}3 \text{ L min}^{-1}$ depending on the target aerosol concentration. The excess water is removed by flowing the aerosol in a 60 cm long diffusion dryer loaded with silica gel orange.

Comment [AF10]: Reviewer#1, (9).

3.2. Soot aerosol generation, sampling and aging

A detailed description of the burner and sampling system is given [in elsewhere](#) (Grimonprez et al., 2018). Briefly, a turbulent kerosene jet flame is stabilized on a Holthuis burner modified to allow the installation of a direct injection high efficiency nebulizer. The high speed spray of liquid fuel droplets at the exit of the nebulizer is ignited by a pilot methane flat flame on the outer ring of the modified burner, resulting in a turbulent diffusion flame approximately 21 cm high. All experiments are performed using kerosene fuel Jet A-1. Soot is extracted from the jet flame at 70 and 130 mm HAB. At 70 mm HAB the particle concentration is small and the gas phase is rich in condensable hydrocarbons. At higher HAB, the particle concentration increases and both the diameter of the primary particles and the mobility diameter of the aggregates grow due to surface reaction and coagulation. This results in the increase of the soot volume fraction up to a peak at 130 mm HAB. Above 210 mm HAB all the particles are oxidized resulting in a non-smoking flame. Soot is sampled with a diluting quartz microprobe. The sampled flow is analyzed online by SMPS or deposited on Lacey grid for TEM analyses. This setup allows a fast dilution of the sampled gas up to a factor 3×10^4 that quenches most chemical reactions and limits particle coagulation and aggregation downstream in the sampling line. It is important to notice that the particle concentration in the sampling line has to be larger than the optical counters detection limit but low enough to limit post-sampling aggregation or agglomeration. To avoid the formation of secondary organic aerosol in the reactor, a parallel plate, activated carbon diffusion denuder is installed downstream the microprobe.

Fresh kerosene soot particles are characterized by extremely low κ . Freshly emitted soot particles are highly hydrophobic. In this work, the reaction of freshly sampled soot with ozone is used to increase κ , i.e. to lower

5 ~~SS~~, their hygroscopicity following the experimental procedure detailed in our past work (Grimonprez et al., 2018). The experimental variable used to control the surface oxidation of soot particle is the ~~so-called~~ ozone exposure, defined as the product of the ozone concentration and the residence time in the reactor. Briefly, the reactor is first pumped to reduce the background particle count below the lower detection limit of the CPC, and then is filled with nitrogen and ozone generated by photolysis of oxygen with a UVP SOG-2 lamp. To inject the soot aerosol, the pressure in the reactor is set to a slightly lower value than the sampling line ($\Delta p \approx -20$ mbar). Therefore, a net sample flow (estimated around $2-5 \text{ mL min}^{-1}$) enters the probe from the flame, is immediately mixed with the nitrogen dilution flow ($1-8 \text{ L min}^{-1}$), passes through the denuder and finally arrives to the reactor. The time origin for the calculation of ozone exposure starts 10 s after the end of soot injection. During 10 injections, the SMPS is disconnected from the reactor to avoid acquiring data outside the recommended pressure range, and reconnected after the pressure has been raised again up to $p = 1$ bar.

Comment [AF11]: Reviewer#1, (10).

Comment [AF12]: Reviewer#1, (11).

3.3. Activation experiments

15 Activation experiments aim to measure $F_a = F_a(SS)$ and are performed by means of a commercial Droplet Measurement Technologies Cloud Condensation Nuclei counter CCNc-100 installed in parallel to a TSI condensation particle counter CPC 3776. To study the effect of the particle size distribution on F_a , two different protocols are adopted for ammonium sulfate and soot. Ammonium sulfate particles are size selected by a DMA, pass through the reactor and then are injected in the CCNc-100. Soot particles are first sampled from the flame and injected in the reactor in which they are aged with ozone (section 3.2). A TSI differential mobility analyzer DMA 3081 is installed immediately downstream the reactor so that only aerosol particles with a selected 20 electrical mobility and geometric deviation are injected in the CCNc-100. Additional verifications of the size distribution are performed by ~~SMSP-SMPS~~ at regular time intervals to rule out the presence of coagulation during the aging experiments. More in detail, a 0.8 L min^{-1} particle-laden flow is sampled from the reactor, split and used to supply the CCNc (0.5 L min^{-1}) and the CPC (0.3 L min^{-1}) in parallel that record the concentration of nucleated water droplets and aerosol particles at different supersaturations, respectively, required to plot 25 $F_a = F_a(SS)$. The total flow sampled by the CPC and the CCNc is balanced with nitrogen injected directly into the reactor, and all concentrations are corrected for dilution during measurements. Samples on TEM grids are also collected to get information on the morphology and primary particle size distribution of the test aerosol. All activation experiments ~~were~~ are taken from our previous work (Grimonprez et al., 2018).

Comment [AF13]: Reviewer#1, (13).

3.4. Diagnostics

30 SMPS measurements are performed to measure the aerosol electrical mobility diameter d_m using a TSI 3091 SMPS that consists of a TSI 3080 DMA upstream a TSI 3776 CPC operated with 0.3 L min^{-1} aerosol flow rate and 1:10 sample/sheath flow ratio. Charge neutralization is provided by a TSI 3088 soft X-ray Neutralizer. ~~The DMA can be used independently to select aerosol particles of the desired mobility.~~

Comment [AF14]: Reviewer#1, (12).

Comment [AF15]: Reviewer#1, (14).

35 TEM is used to measure d_{pp} and N_{pp} from which D_f is calculated (section 4.2). All parameters are estimated from the TEM images by using ImageJ freeware software. TEM measurements are performed on the FEI Tecnai G2 20 microscope (200 kV acceleration voltage) available at the center for electron microscopy of Lille University. All samples are deposited on Lacey carbon meshes.

4. Results and discussion

4.1. Isolated, spherical particles: Test with ammonium sulfate

Ammonium sulfate is well known for the isolated, quasi-spherical particles that can be generated by atomization of aqueous solution, and for this very reason is often used as a reference material for activation experiments (Petters and Kreidenweis, 2007; Rose et al., 2008), and in this work to test the validity of Eq. (3) before moving to complex morphology aggregates. An example of TEM image of the ammonium sulfate particles obtained after size selection is shown in Fig. 3(a), while and the corresponding particle projection d_p (TEM) and electrical mobility d_m (SMPS) distributions are shown in Fig. 3(b) and (c), respectively. A single-mode lognormal function is sufficient to fit d_p (black dashed line). However, because of the unavoidable generation of multiple charges during aerosol neutralization, Eq. (12) has to be used to accurately fit d_m (red solid line). As shown in Fig. 3(c), the best result is obtained with a two-mode fit (dotted blue and dashed green lines). The d_p and d_m distributions are representative of the size-selected distribution of ammonium sulfate particles injected in the CCN. The lognormal fitting functions of d_p (black dashed line) and d_m (red solid line) are also shown in the figures. The fitting function of d_m is the sum of two lognormal distributions corresponding to +1 (dotted blue line) and +2 (dashed green line) net particle charge.

For isolated, spherical and homogeneous particles, $d_{ve} = d_m = d_p$ (Eggersdorfer and Pratsinis, 2014; Sorensen, 2011). Although Fig. 3 shows a particularly favorable case, in this work it is found that $d_m = d_p$ is always true within 20% uncertainty. A summary of the parameters of the distributions is given in Table 1.

Comment [AF16]: Reviewer#1, (2) and (3). Eq. 12, previously described here, is now moved to the end of the Theory section as its applicability is not only limited to ammonium sulfate. The text is updated accordingly.

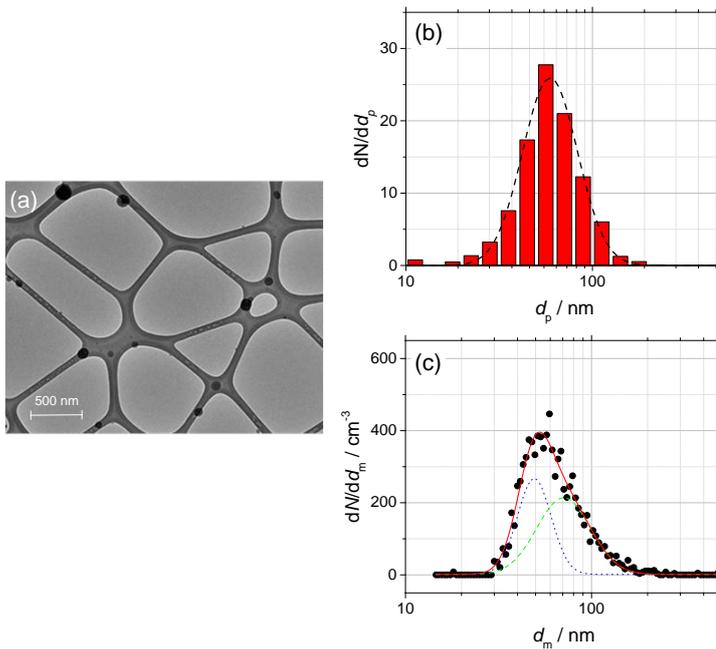


Fig. 3. (a) TEM image of size selected ammonium sulfate particles (black quasi-spherical particles) deposited on a Lacey mesh, 6500 magnification. (b) diameter of the particle projection d_p obtained from TEM measurements (red bars) and fitting function single-mode lognormal fit (black dashed line). (c) electrical mobility diameter d_m obtained from SMPS measurements (black dots), and two-mode lognormal fit (red solid line) showing the two contributions (blue dotted and green dashed lines) according to Eq. (12). The contributions of +1 (blue dotted line) and +2 (green dashed line) charged particles to the fitting function (red solid line) are also shown.

Comment [AF17]: Reviewer#1, (2).

The experimental activation data (black data points) and the calculated activation curves are shown in Fig. 4(a): $\mu_{p,geo}$ and $\sigma_{p,geo}$ are used as input parameters and obtained independently from SMPS (d_m , red solid line) and TEM (d_p , black dashed lines) measurements as shown in Fig. 3. Globally, the experimental data are in good agreement with the calculated curves. Fig. 4(b) shows $p(\kappa)$ obtained from the fitting in the two cases ($\mu_{\kappa,mode}$ and $\sigma_{\kappa,geo}$ are set as free parameters). In the fit, $\mu_{\kappa,geo}$ is set as free parameter while $\sigma_{\kappa,geo}$ is forced to unit value as no variability in the chemical composition of ammonium sulfate is expected. A detailed discussion on the impact of $\sigma_{\kappa,geo}$ on the calculation of $F_a = F_a(SS)$ can be found in Annex A. The calculations from the independently obtained d_m and d_p result in very close $p(\kappa)$ having geometric mean $\kappa_{SMPS} = 0.60 \pm 0.02$ and $\kappa_{TEM} = 0.61 \pm 0.02$, both in excellent agreement with $\kappa = 0.61$ found in the literature (Petters and Kreidenweis, 2007).

Comment [AF18]: Reviewer#1, (1).

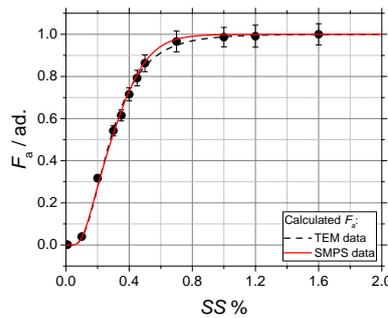


Fig. 4. Dry ammonium sulfate particles: (a) activation data obtained from CCNc experiments (black dots) and calculated $F_a = F_a(SS)$ using SMPS (red solid line) and TEM (black dashed lines) data as d_{ve} . (b) corresponding $p(\kappa)$ distributions.

Comment [AF19]: Reviewer#1, (1) and (15d). Panel (a) has been updated, panel (b) has been removed, and the text updated accordingly.

d_m / nm (mode, SMPS)	d_p / nm (mode, TEM)	d_m/d_p (expected: 1.0)	κ_{SMPS} / ad. (geometric mean)	κ_{TEM} / ad. (geometric mean)
57.3±0.6	59.2±0.8	0.97	0.58±0.02	0.61±0.02

Table 1. Parameters of the lognormal distributions used in the activation experiments of ammonium sulfate particles and shown in Fig. 3. The uncertainties are calculated from the lognormal fitting of the distributions. The table also shows the comparison of κ_{SMPS} and κ_{TEM} .

4.2. Characterization of the soot particles morphology

As mentioned above, d_{pp} and D_f of soot aggregates are obtained at the same time from the analysis of TEM images. It is important to notice that Eq. (8) is derived for the mass equivalent diameter and not for the diameter of the projected image (Yon et al., 2015). However, if the soot particles can be considered

homogeneous, the mass equivalent diameter and the diameter of the projected image only differ by a scale factor that is easily accounted for.

In this work, d_{pp} is directly obtained by manual counting of the projected images of the soot primary particles.

D_f has been obtained in the past by using at least three different experimental techniques: angular light scattering (Sorensen et al., 1992), scanning electron microscopy (Colbeck et al., 1997) and transmission electron microscopy (Köylü and Faeth, 1992; Cai et al., 1995; Hu et al., 2003). In this work, we follow an approach to estimate D_f is estimated from the images of soot aggregates that requires by measuring the maximum length of the aggregate projection L_{2D} (Cai et al., 1995; Köylü et al., 1995):

$$\ln(N_{pp}) = \ln(k_g) + D_f \ln\left(\frac{L_{2D}}{d_{pp}}\right) \quad \text{Eq. (13)}$$

where k_g is the coefficient of the maximum length of the aggregate projection that is treated as a free parameter. As a faster alternative to manual counting, it is possible to estimate N_{pp} from the same set of data used to measure D_f with Eq. (13) by also measuring the total surface of the aggregate projection A_{2D} (Köylü et al., 1995):

$$\ln(N_{pp}) = \ln(k_a) + \alpha \ln\left(\frac{4A_{2D}}{\pi d_{pp}^2}\right) \quad \text{Eq. (14)}$$

where k_a and α are the coefficient and exponent of the projection area, respectively, that for soot fractal aggregates can be either calculated (Medalia, 1967) or measured (Samson et al., 1987; Köylü et al., 1995). D_f of fresh soot particles measured by following this approach (1.665 at 70 mm HAB and 1.647 at 130 mm HAB) is consistent with typical values found in the literature (Samson et al., 1987; Cai et al., 1995; Köylü et al., 1995; Sorensen and Roberts, 1997; Tian et al., 2006). The analysis of TEM images of soot aggregates before and after exposure to ozone confirms that the fractal dimension does not change significantly during the chemical aging process.

The complete characterization of the size and morphology of young soot particles sampled at 70 mm HAB and mature soot particles sampled at 130 mm are shown in Fig. 5 and Fig. 6, respectively. (a) TEM pictures of one aggregate; (b) d_{pp} size distributions; (c) $\ln(N_{pp})$ vs. $\ln(L_{2D}/d_{pp})$ plots from which D_f is obtained according to Eq. (13); (d) SMSP-SMPS data (black points) and mobility distribution of the soot particles after size selection DMA transfer function (black dashed line) after particle size selection, and morphology-corrected d_{ve} (blue-red solid line) calculated using Eq. (11). d_m measured in SMPS tandem configuration, as well as d_{ve} , are characterized by two well separated modes that can be accurately fitted using Eq. (12). Although not true in general, in the specific case of soot the two modes can be attributed to particles charged +1 (main mode), and particles charged +2 after passing through the first neutralizer that are re-charged +1 after passing through the second neutralizer (smaller mode shifted to the right in the d axis).

Comment [AF20]: Reviewer#1, (7).

Comment [AF21]: Reviewer#1, (2), (3), (7) and 15(b-c).

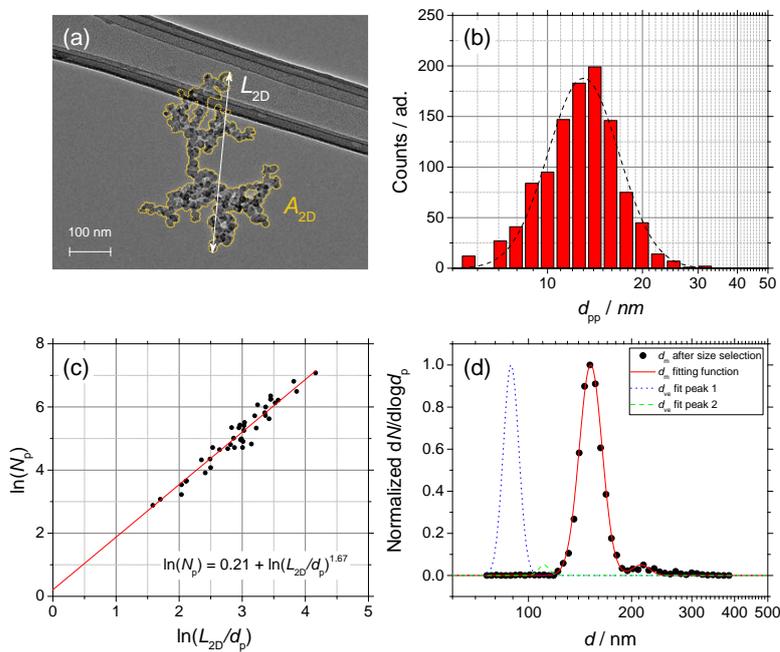


Fig. 5. Soot sampled from the turbulent jet flame supplied with liquid kerosene at 70 mm HAB. (a) TEM picture of a soot aggregate showing L_{2D} and A_{2D} . (b) d_{pp} size distribution (red bars) and lognormal fit (black dashed line), $d_{pp} = 13.0$ nm (mass equivalent $d_{pp} = 14.1$ nm). (c) $\ln(N_p)$ vs. $\ln(L_{2D}/d_{pp})$ plot from which D_f is obtained (42 projections). (d) normalized SMPS data and d_m fit after size selection at 150 nm (black data points and red dashed line), and morphology-corrected d_{ve} calculated using Eq. (11) showing a two-mode fit from Eq. (12) (blue dotted and green dashed lines)(blue solid line).

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Comment [AF22]: Reviewer#1, (2).
Figure 5(d) has been updated to show the two-mode fit introduced with Eq. (12). The legend has been updated accordingly.

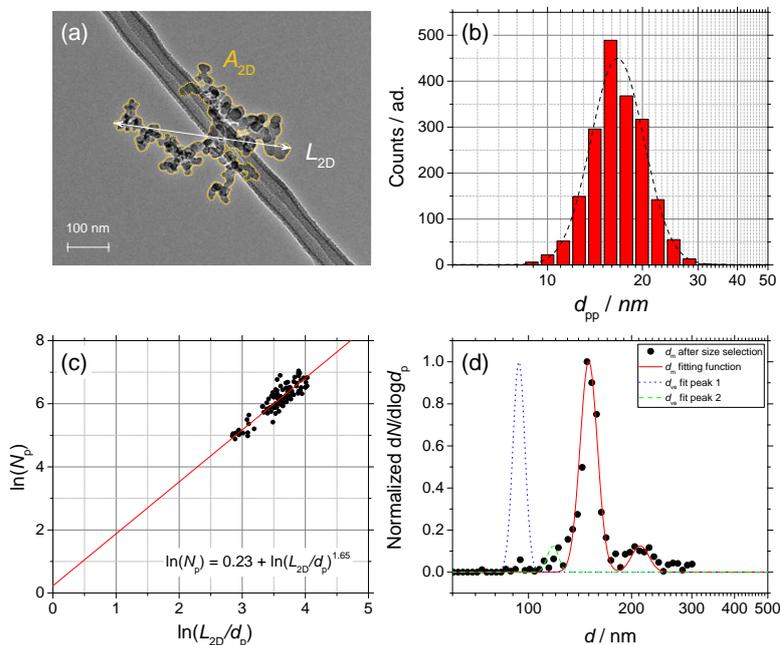


Fig. 6. Soot sampled from the turbulent jet flame supplied with liquid kerosene at 130 mm HAB. (a) TEM picture of a soot aggregate showing L_{2D} and A_{2D} . (b) d_{pp} size distribution (red bars) and lognormal fit (black dashed line), $d_{pp} = 16.7$ nm (mass equivalent $d_{pp} = 17.7$ nm). (c) $\ln(N_p)$ vs. $\ln(L_{2D}/d_p)$ plot from which D_f is obtained (100 projections). (d) normalized SMPS data and d_m fit after size selection at 150 nm (black data points and red dashed line), and morphology-corrected d_{ve} calculated using Eq. (11) showing a two-mode fit from Eq. (12) (blue dotted and green dashed lines) (blue solid line).

4.3. CCN activity of soot particles

In this section, the data obtained from CCNc activation experiments are used to validate the approach based on the morphology-corrected d_{ve} and to determine $p(\kappa)$. The activation data are reproduced from our past investigation (Grimonprez et al., 2018). Soot is collected from the kerosene jet flame at two HABs to show the different behavior of young and reactive soot (70 mm HAB) and of mature and more inert soot (130 mm HAB) during the chemical aging. The morphology-corrected $d_{ve} = d_{ve}(d_{pp}, D_f, d_m)$ is considered as representative of both the soot particle size distribution (d_m) and morphology (d_{pp} and D_f) and used as d_p in Eq. (3). $p(\kappa)$ is obtained from the fitting of $F_a = F_a(SS)$ with Eq. (3) and Eq. (4) with $\mu_{\kappa,mode}$ and $\sigma_{\kappa,geo}$ treated as free parameters.

Fig. 7 shows the activation data obtained after chemical aging with ozone and used to quantify $p(\kappa)$ at different ozone exposure (data points). The activation curves (lines) are calculated in four different scenarios, with each individual plot representing one unique combination of d_m or d_{ve} with κ or $p(\kappa)$: to represent the particle size distribution, the DMA transfer function mobility diameter after size selection (left column) or alternatively the morphology-corrected d_{ve} (right column) is used. To represent the particle hygroscopic activity, a single κ value (top row) or a probability distribution $p(\kappa)$ (bottom row) is used in the fitting function.

Comment [AF23]: Reviewer#1, (2). Figure 6(d) has been updated to show the two-mode fit introduced with Eq. (12). The legend has been updated accordingly.

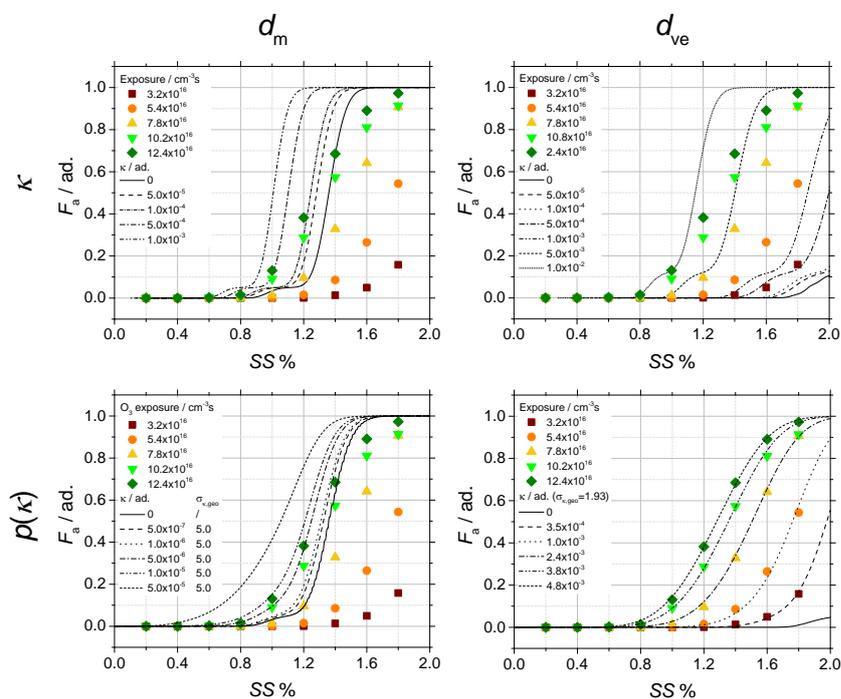


Fig. 7. Soot sampled from the turbulent jet flame supplied with liquid kerosene at 70 mm HAB. CCNc activation curves of the soot particles obtained after chemical aging with ozone, comparison of the experimental data (data points) to activation curves calculated under four different hypotheses (lines), each of them corresponding to the crossing of one row and one column: single κ value (top row), $p(\kappa)$ (bottom row), DMA-transfer function-mobility diameter after size selection (left column), and the morphology-corrected d_{ve} (right column).

As shown in the figure, the calculations based on d_m consistently result in the shift of the activation curves to low SS , and as a consequence many activation data are found below the Kelvin limit ($\kappa = 0$, black solid line). Although using $p(\kappa)$ instead of κ has a clear effect on the slope of the activation curves as $\sigma_{\kappa,geo}$ introduces one additional degree of freedom in the fitting function, this effect is not large enough to compensate for the shift. On the other hand, the calculations based on d_{ve} result in a significant shift of the Kelvin limit to high SS . The additional degree of freedom of the fitting function obtained by using $p(\kappa)$ instead of κ further improves the quality of the fitting, and the activation data can now be very convincingly reproduced by the calculated curves. In conclusion, in order to correctly reproduce the activation behavior of soot particles, their fractal-like morphology must be taken into account, and a morphology-corrected d_{ve} is a relatively simple and convenient approach. [Details on the choice of \$\sigma_{\kappa,geo}\$ are given in Annex A.](#)

The activation data obtained after chemical aging of mature soot at different ozone exposure (data points) are shown in Fig. 8. Unlike the previous case, the activation curves (lines) are directly calculated using the morphology-corrected d_{ve} and a probability distribution $p(\kappa)$. As shown in the figure, the chemical aging with ozone of mature soot particles with exposure below $\sim 10^{15} \text{ cm}^{-3} \text{ s}$ produces particles that are only activated at very high SS , and the plateau of the activation curves is reached outside the dynamic range of the CCNc. Although it is evident that a weak but consistent activation occurs at high SS , this behavior is poorly reproduced

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by Eq. (3) and cannot be distinguished from the limit case of ideal non-interacting water vapor and soot particles.

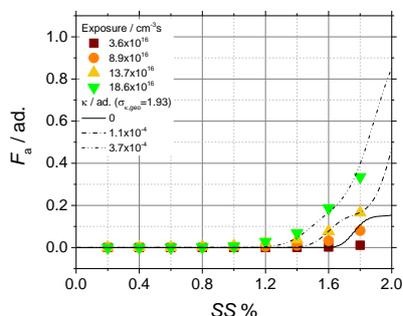


Fig. 8. Soot sampled from the turbulent jet flame supplied with liquid kerosene at 130 mm HAB. CCNc activation curves of the soot particles obtained after chemical aging with ozone, comparison of the experimental data (data points) to activation curves (lines) calculated by using $p(\kappa)$ the and morphology-corrected d_{ve} .

κ of chemically aged soot particles is typically 2-3 orders of magnitude lower than typical inorganic aerosols used in activation experiments (Petters and Kreidenweis, 2007). Therefore, the activation experiments with chemically aged mature soot are particularly interesting as they offer a unique opportunity to estimate the lower limit of validity of Eq. (3). The activation data shown in Fig. 8 are well reproduced by Eq. (3) only for exposure larger than about $13 \times 10^{-16} \text{ cm}^{-3} \text{ s}$ and yield κ values in the range of $10^{-5} - 10^{-4}$, while exposure lower than about $9 \times 10^{-16} \text{ cm}^{-3} \text{ s}$ result in activation data very close to or below the Kelvin limit. From the comparison of the two situations, it can be estimated that Eq. (3) is only valid for $\kappa > 5 \times 10^{-5}$.

The data on the size distribution and morphology of the tested young and mature soot particles are summarized and compared to the activation data obtained at different ozone exposure in Table 2.

HAB / mm	d_{pp} / nm (mode, TEM)	D_f / ad.	d_m / nm (mode, SMPS)	d_{ve} / nm (mode)	Exposure / $10^{16} \text{ cm}^{-3} \text{ s}$	$\mu_{\kappa,geo}$ / 10^{-4} (geo. mean)	$\sigma_{\kappa,geo}$ / ad. (geo. st. dev.)
70	13.0 ± 0.5	1.665 ± 0.005	152.1 ± 0.9	89.0 ± 0.5	3.2	5.4 [4.9, 5.6]	1.93 ± 0.14
					5.4	15 [13, 17]	1.93 ± 0.14
					7.8	37 [36, 38]	1.93 ± 0.14
					10.2	59 [59, 60]	1.93 ± 0.14
					12.4	74 [72, 75]	1.93 ± 0.14
130	16.7 ± 0.5	1.647 ± 0.005	150.0 ± 0.9	93.8 ± 0.5	3.6	/	/
					8.9	/	/
					13.7	1.7 [1.5, 2.0]	1.93 ± 0.14
					18.6	5.7 [5.3, 7.3]	1.93 ± 0.14

Table 2. Size and morphology parameters (d_{pp} , D_f , d_m) used for calculating the morphology-corrected d_{ve} of young (70 mm HAB) and mature (130 mm HAB) soot particles. Comparison with the activation data at different ozone exposure, $\mu_{\kappa,mode}$ and $\sigma_{\kappa,geo}$ are calculated from the best fit (the variability range of $\mu_{\kappa,mode}$ is provided in brackets).

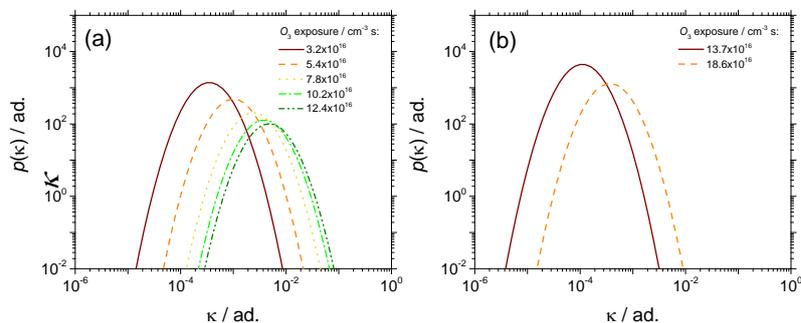


Fig. 9. Evolution of $p(\kappa)$ vs. ozone exposure of (a) young soot particles sampled at 70 mm HAB, and (b) mature soot particles sampled at 130 mm HAB.

Fig. 9 shows the effect of the chemical aging on $p(\kappa)$. In the literature, κ is often treated as an effective value that folds in all information not explicitly accounted for in the theory, like the change of the water surface tension due to the presence of solutes or the particle morphology for instance. The main advantage of using a morphology-corrected d_{ve} is that the effects of the particle size and morphology on activation are de-coupled from the particle chemistry, and therefore κ is preserved as a “chemistry-only” indicator.

As shown in Fig. 9(a), and (b) to a lesser extent, as the ozone exposure increases $p(\kappa)$ shifts to the right ($\mu_{\kappa,mode}$ increases) and becomes progressively narrower ($\sigma_{\kappa,geo}$ decreases). The increasing $\mu_{\kappa,mode}$ is strong evidence that the (surface) chemical composition of the soot particles changes significantly against the ozone exposure time. The PAHs adsorbed at the surface of soot particles are likely candidates to explain the difference in the particle reactivity. Small PAHs typically found adsorbed on the surface of young soot particles have large H/C ratio, and therefore large surface concentration of hydrogen atoms available for abstraction reactions. Conversely, large PAHs typically found on mature soot are characterized by lower H/C ratio that can explain the overall lower reactivity of mature soot particles. An early discussion based on the analysis of F_a at fixed SS can be found in our previous work (Grimonprez et al., 2018). It is also noteworthy that $\sigma_{\kappa,geo}$ decreases as the ozone exposure increases. A possible interpretation is that the (surface) chemical composition of the soot particles becomes more homogeneous after chemically aging, likely as a consequence of the PAHs oxidation process that results in the formation of water soluble compounds (ketones, aldehydes) at the particle surface.

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Removed the old discussion on $\sigma_{\kappa,geo}$.

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Removed the old discussion on $\sigma_{\kappa,geo}$.

The comparison of Fig. 9(a) and (b) clearly shows that young and mature soot particles behave very differently to chemical aging and activation experiments. Similar ozone exposure in the range $12 - 14 \times 10^{16} \text{ cm}^3 \text{ s}$ result in κ being over two orders of magnitude larger at 70 mm HAB (74×10^{-4}) than at 130 mm HAB (0.5×10^{-4}). The soot generation process is therefore critically important for aging/activation experiments and must be taken into account to obtain reliable and reproducible data.

As a concluding remark, it is important to remember that κ -Köhler theory is a classical theory entirely founded on equilibrium thermodynamics, and for this very reason it is possibly not adapted to describe nanoscale phenomena. The work presented herein remains a simple extension of κ -Köhler theory that despite not requiring detailed chemical knowledge of the aerosol particles still manages produce rather accurate predictions of the activated fraction of soot particles characterized by complex morphology without adding any ad-hoc hypothesis. A more sophisticated approach is probably required to explain the existence of activation data below the Kelvin limit (adsorption-activation theory for instance). Furthermore, d_{ve} is obviously not the only diameter that can be used to parameterize the particle activation but only a very convenient one.

Potentially viable alternatives include the aerodynamic or the gyration diameter for instance, however a systematic verification goes beyond the scope of this paper.

5. Conclusions

Soot particles having fractal-like morphology are well known to become, if certain conditions are met, important cloud condensation nuclei (CCN) in the atmosphere. Experimental data is interpreted within the frame of κ -Köhler theory which allows testing the methodology with soluble (ammonium sulfate) and insoluble or partially soluble (fresh and chemically aged soot) particles, but also comparing the results with other published studies for which κ data are available. Thereby, it is possible to introduce in the theory all the parameters needed to describe the size distribution and the complex morphology of soot. In this work particular, in order to determine the probability distribution $p(\kappa)$ of the hygroscopic parameter κ of soot particles, a morphology-corrected volume equivalent diameter d_{ve} is defined and used to parameterize the particle diameter in κ -Köhler theory. The morphology-corrected d_{ve} is calculated from the particle electrical mobility d_m and folds in two important descriptors of the particle morphology that are the primary particle diameter d_{pp} and the fractal dimension D_f . In practice, d_m is measured by scanning mobility particle sizing (SMPS), while d_{pp} and D_f are obtained from the analysis of the projections of soot particles from transmission electron microscopy images (TEM).

This simple model, first tested with isolated, quasi-spherical ammonium sulfate particles, well reproduces the activation experiments and provides κ in excellent agreement with the literature. Then, the model is used to determine $p(\kappa)$ of soot particles sampled at different reaction time, or equivalently at different height above the burner (HAB), from a laboratory jet flame supplied with kerosene. To increase their hygroscopic activity, soot particles are chemically aged with ozone beforehand. The experimental activation data are compared to the activation curves calculated using all combinations of d_m or d_{ve} with κ or $p(\kappa)$. The best fitting of the activation data is obtained by using $p(\kappa)$ along with the morphology-corrected d_{ve} . This important conclusion proves that the particle morphology are shown to plays a non negligible role on the activation of soot particles and has to be taken into account. Furthermore, using the morphology-corrected d_{ve} effectively de-couples the effect of the particle morphology on the activation, potentially preserving $p(\kappa)$ as an indicator of the particle chemistry only.

Young soot particles sampled at 70 mm HAB are more reactive than mature soot particles sampled at 130 mm HAB, resulting in their activation data to be shifted by a significant amount to lower SS . The predictive capability of the model is very satisfying in the case of young soot particles that are efficiently converted into CCN after exposure to ozone ($\kappa \sim 3.7 - 74 \times 10^{-4}$). At similar ozone exposure, mature soot particles show a much slower reactivity with ozone ($\kappa \sim 0.5 - 2.7 \times 10^{-4}$) that results in the plateau of the activation curves being reached outside the dynamic range of the CCN counter. This approach proved to be viable for $\kappa > 5 \times 10^{-6}$.

To better take into account the chemical contribution to the process of activation, the next step would be to use a more sophisticated approach by using for instance the adsorption activation theory to describe the first steps of water uptake by fresh and chemically aged soot as a function of relative humidity.

6. Annex A. On the role of $\sigma_{\kappa,geo}$ in calculating $F_a = F_a(SS)$

In addition to the geometric mean $\mu_{\kappa,geo}$ of the $p(\kappa)$ distribution, the geometric standard deviation $\sigma_{\kappa,geo}$ of the $p(\kappa)$ distribution is also an important parameter that influences the activation curve $F_a = F_a(SS)$. However, calculations based on Eq. (3) and Eq. (4) are not always sufficiently sensible to account for small changes of

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$\mu_{k,geo}$ and $\sigma_{k,geo}$ simultaneously. For instance, Fig. 10(a) compares the experimental (black dots) and two calculated activation curves (lines) of ammonium sulfate monodisperse spherical particles. In the figure, two extreme cases have been chosen for the sake of clarity: $\sigma_{k,geo} = 1.01$ (black dashed line) simulates almost chemically pure aerosol particles, while $\sigma_{k,geo} = 1.6$ (red solid line) simulates a large variability in the aerosol particles chemical composition: as clearly shown in the figure, the use of very different $\sigma_{k,geo}$ in the calculations leads to very similar $F_a = F_a(SS)$, as small differences of $\mu_{k,geo}$, that can be easily mistaken for an experimental uncertainty, are able to compensate for a large variability of $\sigma_{k,geo}$.

A sensitivity analysis is performed using the least square function (LSF):

$$LSF = \sqrt{\frac{\sum_{i=1}^n (x_i - y_i)^2}{n - 1}} \quad \text{Eq. (15)}$$

where x_i represent the experimental values of the activated fraction obtained from CCN experiments, and y_i represent the calculated values of the activated fraction with fixed $\mu_{k,geo}$ and free $\sigma_{k,geo}$. As shown in Fig. 10(b), especially if Eq. (3) and Eq. (4) are used to fit experimental data, care has to be taken in the choice of the minimum, as the low variability of the LSF in the range $1.0 < \sigma_{k,geo} < 1.9$ and the shallow local minimum around $\sigma_{k,geo} = 1.6$ can easily lead to the wrong $\mu_{k,geo}$.

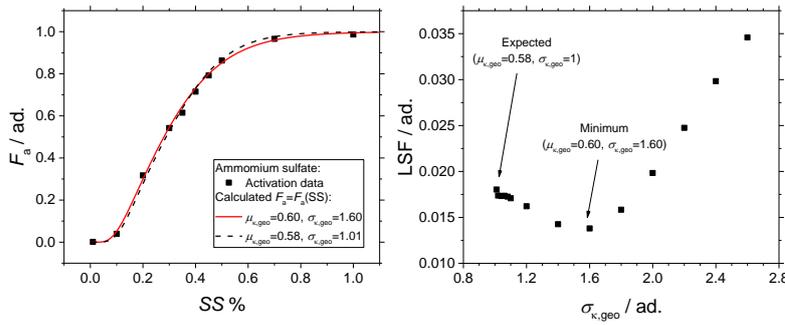


Fig. 10. sensitivity analysis performed on ammonium sulfate. (a) comparison of $F_a = F_a(SS)$ calculated from the same aerosol size distribution with $\sigma_{k,geo} = 1.01$ (black dashed line) or alternatively $\sigma_{k,geo} = 1.6$ (red solid line). (b) $LSF = LSF(\sigma_{k,geo})$.

Of course, a variability in the chemical composition of the aerosol particles becomes quite complex to treat as $\sigma_{k,geo} > 1$, and furthermore is not known *a priori*. In this work, additional calculations have been performed on chemically aged soot. As shown in Fig. 11, the results show that it is not possible to reliably calculate or fit $F_a = F_a(SS)$ by imposing $\sigma_{k,geo} \rightarrow 1$, i.e. by assuming a homogeneous chemical composition of the aerosol particles. Therefore, the hypothesis of $\sigma_{k,geo} \rightarrow 1$, while holds well for ammonium sulfate, cannot be used for chemically aged soot particles. The stronger impact of $\mu_{k,geo}$ and $\sigma_{k,geo}$ on the calculation of $F_a = F_a(SS)$ on soot when compared to ammonium sulfate indicates that the fitting process has a physical meaning for chemically aged soot contrarily to its application to pure ammonium sulfate particles. Therefore, for the determination of $\sigma_{k,geo}$, the average value obtained from the fit of the three curves at high ozone exposure (dark green, light green and yellow in Fig. 11) is used that leads to $\sigma_{k,geo} = 1.93 \pm 0.14$. This choice is justified since the three curves clearly reach the plateau at $F_a = 1$, and hence result to more reliable fits than the two curves at lower exposure.

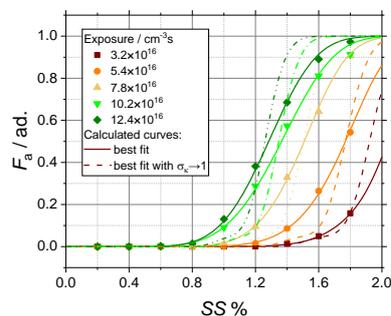


Fig. 11. Sensitivity analysis performed on chemically aged soot particles sampled from the turbulent jet flame supplied with liquid kerosene at 70 mm HAB. CCNc activation curves of the soot particles obtained after chemical aging with ozone, comparison of the experimental data (data points) to activation curves calculated with $\sigma_{k,geo} = 1.01$ (dashed lines) and best fit with $\sigma_{k,geo} = 1.93 \pm 0.14$ (solid lines).

Data availability. All data used in this study are available at: <https://doi.org/10.4121/uuid:c2f66d57-0e15-43e0-beeb-3120663b7010>.

Author contributions. JW provided the initial version of the model. JW, SG and SB carried out the research and performed the data analysis. JW, AF, JY, PD and DP improved and refined the model. JW and AF wrote the manuscript with contributions from all authors. All authors have given approval to the final version of the manuscript.

Competing interests. The authors declare that they have no conflict of interest.

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