Anonymous Referee #1

The authors have correctly identified a need to examine long-term ozone records in the Intermountain West to determine if oil and natural gas extraction (O&NG) is having an impact on ozone. However, this paper is overly ambitious and attempts to explore a wide range of potential influences on ozone at 13 rural sites across the Intermountain West. The result is not convincing at all, as the analyses do not have the depth required to understand the observed ozone variability. My recommendation to the editor is that the paper be rejected on the grounds that the conclusions cannot be supported by the thin evidence provided. I encourage the authors to pursue the general theme of the paper but to focus on just one or two sites and conduct a very thorough and indepth analysis of the transport, weather and emissions influences on the interannual variability and trends of ozone. Once the methodology has been developed for one or two sites then the authors could produce a follow-up paper that applies the methods to all 13 sites.

We would like to thank the reviewer for their constructive comments. There appeared to be a fundamental difference of opinion between the reviewer and us regarding the approach to the topic of this work. We took the difference to heart and made substantial revisions of the manuscript to better focus and clarify the key findings, and strengthen the support of the major points. Hopefully through these efforts we were able to better communicate our work now.

Having said that, we respectfully disagree that the analysis in the paper is not thorough and indepth. It is not clear to us specifically which part of the analysis appeared to be "thin" and "unconvincing" to the reviewer. It is not clear to us what the reviewer meant by "methodology". By virtue of carefully investigating how each of the potential factors may have impacted Intermountain West surface O₃ using a suite of statistical and modeling approaches combined with a synthesis of long-term continuous measurement data, field campaign data, and satellite retrievals, we did develop well-thought out methodology. Such a data analysis approach has been commonly practiced in the literature and in our own decades-long careers. To name a few, Cooper et al. (2012), Parrish et al. (2013) , Mao and Talbot (2004), Mao et al. (2006), Mao et al. (2008), Mao et al. (2017), Zhou et al. (2017), Benedict et al. (2019), Swarthout et al. (2013), Russo et al. (2010a, b), and White et al. (2008), all used similar methodology. The reviewer also suggested using one or two sites for analysis. Our use of all available 11 sites aimed to identify potential patterns of the impact of O&NG emissions, and further, results from 11 sites should be more robust and regionally representative than from only one or two sites.

The goal of this paper is to identify and understand what drives the long-term trends and variability in Intermountain West surface O_3 near O&NG extraction fields. Bearing this goal in mind, we investigated various processes that may have contributed to shaping the long-term variation. In Section 3, we first examined the decadal trends in the annual fourth-highest daily maximum 8-hour average (A4DM8HA) O_3 at 11 sites near O&NG production fields and 2 reference sites. The 2 reference sites were identified by rigorous examination, and they are the only 2 sites located upwind of O&NG extraction fields under minimal influence of anthropogenic emissions from the Intermountain West. No trends in the A4DM8HA O_3 mixing

ratios observed at both sites suggest that the decade of 2005 - 2015 saw relatively constant A4DM8HA O₃ mixing ratios without apparent influence of O&NG emissions over the Intermountain West. Their interannual variability was driven by precipitation weather, wildfire emissions, stratospheric intrusion, and regional transport from the Arctic and the West Coast (Sections 5 – 6 and S5; Lines 484 – 488). What was intriguing was that the 8 sites, with long enough data records for estimates of trends, in the vicinity of O&NG fields have shown largely disparaging long-term trends with some sites showing decreasing trends, some no trends, and others increasing trends, and the trends differed by season. Such a highly heterogeneous spatial pattern of trends strongly suggests that the impacts of O&NG emissions were not straightforward. Therefore, in Sections 4 – 6 together with the Supplement, we investigated factors controlling the significant decreasing trends observed at the Mesa Verde National Park (MEVE), Canyonlands National Park (CANY), and Wind Cave National Park (WICA) and the strong interannual variability in seasonal 5th, 50th, 95th DM8HA O₃ at other sites. Since different processes can be dominant in different seasons, we focused on the contrasting winter and summer seasons for this mechanistic study.

Specifically, in Section 4, we examined the influence of anthropogenic emissions by studying annual O&NG production, OMI-retrieved tropospheric NO₂ column densities, and anthropogenic NO_x emissions from National Emission Inventory (NEI) in each O&NG extraction basin. The decreases in natural gas production and coal-fired electricity generation were found to drive the significant decreasing trends at MEVE and CANY, respectively. In Section 5, we studied the interannual variability of summertime DM8HA O₃ by examining changes in relative humidity, precipitation rates, and solar radiation at each site. It was found that reduced solar radiation flux with increased cloudiness and precipitation resulted in the significant decreasing trend in the A4DM8HA O₃ at WICA. In Section 6, we identified the close linkage between wintertime O_3 and the Arctic Oscillation (AO), which had not been studied previously in the decadal context in the literature. At sites located outside the basins, backward trajectory simulations and analysis of potential vorticity suggested that the high O₃ in negative AO years were a result of transport from the Arctic or California and stratospheric intrusion. For sites located within the basins, it was related to strong in situ photochemical production. More importantly, using box model simulations in Section 7, we determined the O_3 formation regimes under the influence of the AO. Indeed episodes of high wintertime O_3 in the Intermountain West have been studied using field campaign data. However, to the best of our knowledge, no studies have used those field campaign data in the context of potential influence of O&NG emissions on O3 formation regimes on decadal scales. The results of this study can provide useful insight for designing a cost-effective O₃ reduction strategy when accounting for the role of AO in the Intermountain West.

We are fully aware that conducting three-dimensional chemistry transport model simulations would be an ideal approach to separate and quantify the effect of each factor (i.e., transport, weather, emissions) on O_3 . However, there are undocumented O&NG emission sources and huge uncertainties in existing O&NG emissions, and thus results of model simulations can be difficult to interpret. Therefore, in our opinion, in-depth data analysis using measurement observations has its own advantages in identifying and understanding what drives the long-

term trends and variability in Intermountain West surface O_3 near O&NG extraction fields. As stated in Lines 654 – 656, detailed multiyear studies that integrate measurements and three-dimensional chemical transport model simulations with accurate emission inventories are needed in the future to quantify the contribution of each process identified in this work.

The authors have chosen the annual 4th highest MDA8 ozone value (A4DM8HA) as the metric to explore ozone trends at the rural sites and they then attempt to understand the factors that affect the trends. While A4DM8HA is of interest because it is directly relevant to the NAAQS for ozone, it's not a good metric for understanding processes. This is because the 4th highest value is just one daily measurement per year and it can be influenced by regional scale pollution, long range transport (urban or forest fire plumes) and by stratospheric intrusions. To try to understand the trend in 10 values (one value per year) in the face of such high variability just isn't feasible. I illustrate this limitation by considering the 2005-2015 trend at Mesa Verde National Park (MEVE) in southwestern Colorado. The negative trend in the A4DM8HA at MEVE is largely driven by the very high value in 2005. This trend is a major motivation for the paper but the authors provide no detailed analysis to explore the causes of this trend or to even describe the transport and weather conditions associated with the high ozone events at MEVE. For example, I went to the TOAR database (https://join.fz-juelich.de/access/db/) and very quickly plotted the MDA8 ozone values for every day at MEVE. In 2005 there was a 4day period in July with ozone over 70 ppbv. It would be very easy to conduct a focused transport analysis of this period to see if ozone precursors were transported from O&NG regions. But the 4th highest value for this year actually occurred on May 16 (76 ppb). What was the cause of this springtime peak? Was it due to O&NG, or was it a stratospheric intrusion? Similarly in 2006 the 4th highest value occurred on May 11. Given that this site experiences high ozone events in spring and summer, with the potential for very different processes controlling these events, the authors should focus their attention on specifically analyzing the situations for each A4DM8HA event in each year. I think they will find that this metric is too erratic for use as an indicator of O&NG emissions from basins that are nearly 100 km away. A better method would be to study the transport, weather and emissions associated with the 20 highest events at MEVE each year (roughly the 95th percentile) to then see if O&NG has a clear association to ozone pollution events at this site. There are 8 additional ozone monitors surrounding Mesa Verde, some in remote areas and others in the oil and gas extraction regions, all available from the TOAR database. Data from these sites can be used to help determine if MEVE is affected by O&NG.

While we greatly appreciate and do agree with some of the reviewer's points, it is also worth noting that the primary metric used by the National Park Service (NPS) is the A4DM8HA, and subsequently the design value, as this is the only regulatory lever that can effectively be used to implement and guide change regarding ozone levels that exceed the National Ambient Air Quality Standards (NAAQS). Therefore, an emphasis has been placed on this metric in this analysis in order to be policy relevant for federal agencies. Therefore, we devoted Section 3 to addressing anthropogenic influence using this metric in order to be policy relevant for federal agencies. We must note that for analysis of all other factors/processes in the remaining sections, we used seasonal 5th, 50th, and 95th percentile values.

The US has a long standing commitment to clean, clear air, especially in our national parks. However, many national parks are threatened by elevated levels of air pollution. Air pollutants can adversely impact air quality, diminish visibility, impact ecosystems (such as vegetation, soils, streams, and wildlife), and harm human health. The National Park Service is mandated under the Clean Air Act and the NPS Organic Act to protect air quality and resources that might be adversely affected by air pollution. This is especially true for designated federal Class I areas, of which there are 10 Intermountain West Class I areas used in this study (see Table 1), as these areas have the highest level of air quality protection under the Clean Air Act. For O₃ monitoring, the NPS compares its ambient O₃ concentration data to the primary and secondary NAAQS in order to determine regulatory compliance, as well as for planning and decision making processes used to protect human health and park resources.

From a federal agency standpoint, it is necessary not only to investigate O_3 levels on a percentile basis in order to understand the variability and drivers of elevated O_3 events, as noted by the reviewer, but also to have an in-depth analysis of the A4DM8HA, as this is effectively the only regulatory lever that can be used to implement and guide change. As the principle metric used by park and other land managers, the A4DM8HA is used to examine what is impacting these sites, as well as the region, in order for legally defensible and scientifically sound actions to be carried out with the goal of improving overall air quality. To highlight how integral the A4DM8HA is to assessing air quality in national park units, we have included some links that expand upon the need and use of this metric to the NPS (e.g., Park Conditions and Trends, Natural Resource Condition Assessments, Resource Stewardship Strategies, etc.) and encourage the reviewer to peruse these sites:

- https://www.nps.gov/subjects/air/analysis-methods.htm
- https://www.nps.gov/subjects/air/planningresources.htm
- https://www.nps.gov/subjects/air/park-conditions-trends.htm
- https://www.nps.gov/subjects/air/humanhealth-ozone.htm
- https://www.nps.gov/subjects/air/nature-ozone.htm
- https://sites.google.com/a/nps.gov/resource-stewardship-strategy/anniversary?pli=1
- https://www.nps.gov/orgs/1439/nrca.htm

The initial purpose of this paper was to provide policy-related insight that would be useful for federal agencies like the NPS. As outline above, the A4DM8HA is the metric the Environmental Protection Agency (EPA) uses to implement the NAAQS for O_3 . The vulnerable population is most sensitive to this value, and therefore an emphasis on A4DM8HA is highly relevant to policies as well as public interests and welfare. While A4DM8HA (~99th percentile) could be affected by other factors (i.e., stratospheric intrusion and long-range transport), it was more likely to show the effect of local anthropogenic emissions on its decadal trend. Since there are no major local anthropogenic emission sources near O&NG extraction field in the Intermountain West, it is more likely to detect the effect of O&NG emissions, if there was any, on high O_3 levels like A4DM8HA O_3 mixing ratios. For example, *as the reviewer pointed out*,

the decreasing trend in the A4DM8HA at MEVE was likely associated with episodic stratospheric intrusion events in 2005. Mann-Kendall analysis indicated that A4DM8HA O_3 at MEVE over 2006 – 2015 still showed a statistically decreasing trend of -0.52 ppbv/yr (p = 0.04). Worth noting, this result is consistent with the NPS Park Conditions & Trends analysis that uses the Kendall-Theil method for this same time period (slope = -0.5 ppbv/yr and is denoted as a significant trend ($p \le 0.10$)) (https://www.nps.gov/subjects/air/park-conditions-trends.htm). While factors like stratospheric intrusion and long-range transport could cause high A4DM8HA in one/two years, the major cause of its decadal trend has to be associated with local anthropogenic emissions that constantly affected DM8HA O_3 . More importantly, we found solid evidence that decreasing emissions from O&NG extraction was the major driving cause for the decadal trend in the A4DM8HA.

It is **NOT** true that we did not provide detailed analysis on the significant decreasing trend in the A4DM8HA at MEVE as well as two other sites (CANY and WICA). We investigated decadal changes in natural gas production, tropospheric NO₂ column densities, and national emissions inventory over each O&NG extraction field to support the effect of O&NG emissions on A4DM8HA O₃ at each site. All sites in this study are rural/remote sites, where O₃ production was generally sensitive to the emission of NO_x (Cooper et al., 2012; Line 276). To study the influence of anthropogenic emissions at the site MEVE, we investigated decadal changes in natural gas production and satellite retrieved tropospheric NO₂ column densities in the San Juan Basin (Lines 272 – 274). A significant decreasing trend of ~ -2.8 × 10¹³ molecular cm⁻² yr⁻¹ in tropospheric NO₂ column density was observed in the San Juan Basin, which was consistent with decreasing natural gas production in the San Juan County over 2005 – 2015 (Figure 4a). This strongly indicated that decreasing anthropogenic emissions, especially emissions from O&NG extractions, caused the significant the decreasing trend in the A4DM8HA at MEVE.

We agree with the reviewer that decadal trends and interannual variability of A4DM8HA can be influenced by long range transport, stratospheric intrusion, and mostly regional scale pollution. This is *precisely* why we examined carefully the role of each process/factor in determining long term trends in seasonal 5th, 50th, and 95th percentile DM8HA O₃ mixing ratios (Sections 5 – 6 and S5). As we pointed out in the Introduction section (Lines 100 – 107), episodic high O₃ events have been studied extensively at sites near O&NG events (e.g., Schnell et al., 2009; Carter and Seinfeld, 2012; Edwards et al., 2014). However, there are only two studies investigating the *long-term* impacts of O&NG extraction activities on surface O₃ and its precursors, which is the vary focus of our study. Individual researchers have their own choices of approach to a scientific problem. We do not disagree with the reviewer's choice of their approach.

The data we used for this study were included in the data the reviewer mentioned in their commentary. Note that the coauthor Dr. B. C. Sive is the Program Manager of the NPS Gaseous Pollutant Monitoring Program; he and his colleagues are who in fact ultimately provided all the NPS data to the TOAR database, which was acquired through the EPA AQS database. Worth noting that the NPS data is most easily accessed through their data request site

(<u>https://ard-request.air-resource.com/</u>). Our selection of the monitoring sties is based on their distances and locations from the O&NG fields in the Intermountain West, which was clearly stated in Section 2.

Other comments:

The opening sentence is almost a verbatim copy of the opening sentence from Cooper et al. [2014]: This work: "Tropospheric ozone (O3) is a short-lived trace gas that either originates naturally from the stratosphere (Stohl et al., 2003) or is produced in situ by photochemical oxidation of nitrogen oxides (NOx) and volatile organic compounds (VOCs) or carbon monoxide (CO) (e.g., Monks et al., 2009)." Cooper et al. [2014]: "Tropospheric ozone is a short-lived trace gas that either originates naturally in the stratosphere (Junge, 1962; Danielsen, 1968; Stohl et al., 2003) or is produced in situ by photochemical reactions involving sunlight and ozone precursor gases including nitrogen oxides (NOx) and non-methane volatile organic compounds, methane (CH4) or carbon monoxide (The Royal Society, 2008; Monks et al., 2009)."

Cooper, O. R., D. D. Parrish, J. Ziemke, N. V. Balashov, M. Cupeiro, I. E. Galbally, S. Gilge, L. Horowitz, N. R. Jensen, J.-F. Lamarque, V. Naik, S. J. Oltmans, J. Schwab, D. T. Shindell, A. M. Thompson, V. Thouret, Y. Wang, R. M. Zbinden (2014), Global distribution and trends of tropospheric ozone: An observation-based review, Elem Sci Anth, 2:29, DOI: http://doi.org/10.12952/journal.elementa.000029

Changed to 'Tropospheric ozone (O_3) is a serious air pollutant that can be harmful to human and ecosystem health. Stratospheric intrusion and in situ photochemical oxidation of nitrogen oxides (NO_x), volatile organic compounds (VOCs), or carbon monoxide (CO) are two sources of tropospheric ozone (e.g., Stohl et al., 2003; Monks et al., 2009).' (Lines 85 – 89).

Line 515 "This study is the first one to investigate the long term impact of O&NG extraction activities on the distribution and trend of surface O3 over the intermountain U.S. "This statement is not entirely true as Bien and Helmig recently explored ozone trends across Colorado to look for an impact from oil and gas emissions. Bien, T. and Helmig, D., 2018. Changes in summertime ozone in Colorado during 2000–2015. Elem Sci Anth, 6(1), p.55. DOI: http://doi.org/10.1525/elementa.300

The text was revised to:

'To the best of our knowledge, only two studies investigated the long-term impact of O&NG extraction on the trend of surface O_3 and its precursors (Majid et al., 2017; Bien and Helmig, 2018). Majid et al. (2017) investigated the decadal trends in OMI-retrieved NO₂ in 7 main shale plays over 2005 – 2015 and found O&NG industry was reversing the rate of changes in NO₂. Bien and Helmig (2018) found surface O_3 at sites within O&NG production basins can be ranked among top ten of all Colorado sites, and further suggested the need of more continuous measurements within O&NG basins to study the long-term influence of O&NG emissions.' (Lines 638 – 645)

Line 66 "Thus, long range transport from Asia and stratospheric intrusion may not be the sole

contributors to the observed increasing trends in western U.S." The latest update on rural ozone trends across the western USA shows that since the year 2000, ozone has begun to decrease in summer [Jaffe et al., 2018]. Jaffe, D. A., et al. (2018), Scientific assessment of background ozone over the U.S.: Implications for air quality management, Elem. Sci. Anth., 6(1):56, DOI:http://doi.org/10.1525/elementa.309

Jaffe et al. (2018) found that A4DM8HA O_3 at most rural and urban sites in the U.S. showed a consistent downward trend since 2000. However, long range transport from Asia and stratospheric intrusion caused the increasing trends in background O_3 in the western U.S. (e.g., Cooper et al., 2012; Parrish et al., 2012; Lin et al., 2017). Since our study was focused on trends in A4DM8HA O_3 , discussions on background O_3 were deleted from the manuscript (Lines 76 – 83).

Line 92 Here the authors state that 6 sites in Gaudel et al [2018] show increasing ozone, in winter in the inter-mountain west. But it's not clear which sites they are talking about or how they define the intermountain west. I count more than 6 sites in Gaudel et al. with increasing ozone.

In Figure 14b in Gaudel et al., 2018, daytime average O_3 in winter showed statistically increasing trends at 3 sites near Denver, 1 site to the south of Denver and 1 site near MEVE. To avoid ambiguity, we changed it to:

'Gaudel et al. (2018) assessed surface O_3 trends at 2702 non-urban sites and did not find trends at sites including MEVE, PNDE, YELL in winters of 2000 – 2014.' (Lines 236 – 240).

Line 199 These science questions are in the wrong place and they need to appear at the end of the Introduction as the motivation for this study.

Those questions were raised based on the general characteristics found in Lines 205 - 245. In our opinion, it is appropriate to place those questions at the end of Section 3.

Some other recent paper that explore the impact of O&NG on ozone are: Oltmans, S.J., Cheadle, L.C., Johnson, B.J., Schnell, R.C., Helmig, D., Thompson, A.M., Cullis, P., Hall, E., Jordan, A., Sterling, C., McClure-Begley, A., Sullivan, J.T., McGee, T.J. and Wolfe, D., 2019. Boundary layer ozone in the Northern Colorado Front Range in July–August 2014 during FRAPPE and DISCOVER-AQ from vertical profile measurements. Elem Sci Anth, 7(1), p.6. DOI: <u>http://doi.org/10.1525/elementa.345</u>

Cheadle, L.C., Oltmans, S.J., Petron, G., Schnell, R.C., Mattson, E.J., Herndon, S.C., Thompson, A.M., Blake, D.R. and McClure-Begley, A., 2017. Surface ozone in the Colorado northern Front Range and the influence of oil and gas development during FRAPPE/DISCOVER-AQ in summer 2014. Elem Sci Anth, 5, p.61. DOI: http://doi.org/10.1525/elementa.254

Episodic studies were cited in Line 100.

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1	Decadal Trends and Variability in Intermountain West Surface Ozone near Oil and Gas
2	Extraction Fields
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24 Abstract

Decadal trends in the annual fourth highest daily maximum 8-hour average (A4DM8HA)surface 25 ozone (O₃) were studied over 2005 2015 for 13 rural/remote sites in the U.S. Intermountain West-26 No over 2005 – 2015. Widely disparaging trends, or a lack thereof, were observed in A4DM8HA 27 O₃found at two reference8 sites, which are located upwind of and thus minimally influenced by 28 29 emissions from with sufficiently long data records, in/near oil and natural gas (O&NG) basins-Trends, or a lack thereof, varied widely at other 11 sites in/near O&NG basins resulting from 30 different controlling factors rather than a simplistic, uniform one. The Three sites exhibited 31 statistically significant decreasing trends with rates of 0.83 ppbv/yr, -0.58 ppbv/yr, and -1.16 32 ppbv/yr at Mesa Verde (-0.76 ppbv/yr) and National Park, Canyonlands National Park (-0.54 33 ppbv/yr), and Wind Cave National Park, respectively. It was found that the decreasing trends at 34 the first two sites were attributed to a 37% decrease indriven by decreased natural gas production 35 in the San Juan Basin and 35% emission reductions in and decreased emissions from coal-fired 36 37 electricity generation, respectively. The decreasing nearby, and the trend (-1.21 ppbv/yr) at Wind Cave National Park resulted from reduced solar radiation due to increasingly frequent precipitation 38 weather. The lack of trends at the remaining sites was likely caused by the increasing O&NG 39 40 emissions and decreasing emissions from other anthropogenic activities. Wintertime Θ_3 atmospheric stagnant events conditions, often with occurrence of high O_3 , were associated with 41 42 the Arctic Oscillation (AO). Box model simulations suggested that both) during the decade. 43 Furthermore, emission reductions of volatile organic compounds (VOCs) and nitrogen oxides 44 emission reductions NO_x during negative AO years while and VOC emission reductions alone in 45 positive AO years could effectively mitigate high wintertime O₃ within the O&NG basins-, as 46 indicated by box model simulations. Our findings suggest that emissions from O&NG extraction

47	likely played a significant role in shaping long-termactivities could alter decadal trends in
48	surfaceIntermountain West O3 near/within O&NG basinsdesign values and hence warrant
49	consideration in the design of developing efficient O ₃ mitigation strategies for the Intermountain
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Tropospheric ozone (O₃) is a short-lived trace gas that either originates naturally from the 67 stratosphere (Stohl et al., 2003) or is produced in situ by photochemical oxidation of nitrogen 68 oxides (NO_x) and volatile organic compounds (VOCs) or carbon monoxide (CO) (e.g., Monks et 69 al., 2009). On 1 October 2015, the U.S. Environmental Protection Agency (EPA) lowered the 70 71 National Ambient Air Quality Standard (NAAQS), namely the O3-design value, to 70 ppbv to improve protection of public health and welfare (EPA, 2015). Unlike in the eastern U.S., where 72 NO_{3} -emission reductions have led to O_{3} declines, in the Intermountain West no decreasing trends 73 were observed over 1988 2014 and surface O3 could exceed the NAAQS in both winter and 74 summer (Schnell et al., 2009; Cooper et al., 2012; Edwards et al., 2014; Lin et al., 2017). Most 75 studies attributed the increasing background O₃ trends over the western U.S. to increasing 76 anthropogenic Asian emissions (e.g., Cooper et al., 2012; Parrish et al., 2012; Lin et al., 2017). 77 Lefohn et al. (2012) found that stratospheric intrusion affected the interannual variability of surface 78 79 O_3 at both high- and low-elevation sites. However, a multi-model study suggested that surface O_3 in the U.S. was far more sensitive to domestic emission changes than to global emission changes 80 81 in spring and summer (Reidmiller et al., 2009). Thus, long range transport from Asia and 82 stratospheric intrusion may not be the sole contributors to the observed increasing trends in western U.S. 83

Recent expanded use of horizontal drilling and hydraulic fracturing technologies enabled
access to more natural gas resources in shale deposits (EIA, 2015). Tropospheric ozone (O₃) is
a serious air pollutant that can be harmful to human and ecosystem health. Stratospheric intrusion
and in situ photochemical oxidation of nitrogen oxides (NO_x), volatile organic compounds (VOCs),
or carbon monoxide (CO) are two sources of tropospheric ozone (e.g., Stohl et al., 2003; Monks

et al., 2009). On 1 October 2015, the U.S. Environmental Protection Agency (EPA) lowered the 89 National Ambient Air Quality Standard (NAAQS), namely the O₃ design value, to 70 ppbv to 90 improve protection of public health and welfare (EPA, 2015). Unlike in the eastern U.S., where 91 NO_x emission reductions have led to declines in higher O_3 mixing ratios, in the Intermountain 92 West no decreasing trends were observed over 1988 - 2014, and surface O₃ exceeded the NAAQS 93 94 in both winter and summer (Schnell et al., 2009; Cooper et al., 2012; Edwards et al., 2014; Lin et al., 2017). 95 Recent expanded use of horizontal drilling and hydraulic fracturing technologies enabled 96 access to more natural gas resources in shale deposits (EIA, 2015). Extraction of oil and natural 97 gas (O&NG) can result in the emission of O₃ precursor gases and subsequently episodes of 98 elevated O_3 mixing ratios in O&NG production basins frequently exceeding the NAAQS- (e.g., 99 Schnell et al., 2009; Cheadle et al., 2017; Oltmans et al., 2019). Most notable were the episodes 100 that occurred during the cold season in the Intermountain West (Carter and Seinfeld, 2012; 101 102 Edwards et al., 2014; Rappenglück et al., 2014; Schnell et al., 2009). Carter and Seinfeld (2012) studied found one of the four observed winter O₃ episodes studied to be highly NO_x-sensitive and 103 the other three VOCs-sensitive in the Upper Green River Basin in Wyoming-and found one episode 104 105 highly NO_x-sensitive and the others VOCs-sensitive. Edwards et al. (2014) used), using a box model to simulate a stagnant 6-day high winter O_3 event in the Uintah Basin-and found, suggested 106 107 that carbonyl photolysis wasbe the dominant oxidant source. McDuffie et al. (2017) studied O₃-in 108 the Colorado Northern Front Range (NFR) in summer 2012 and foundestimated that O&NG emissions contributed to 17% of the modeled maximum photochemically produced $O_{3\tau}$ in the 109 110 Colorado Northern Front Range in summer 2012. To the best of our knowledge, novery few studies

have investigated the long-term impact of expanded O&NG extraction activities on <u>surface</u> O₃
 over the intermountain U.S. using more than ten years of measurement data.

113 The effect of emissions from O&NG production on surface O_3 is difficult to quantify because a wide range of factors work together to determine the mixing ratio of O₃ at a given location, such 114 as other anthropogenic emissions, natural emissions, transport processes, stratospheric intrusion, 115 116 O₃ photochemistry, and changing global climate (Parrish et al., 2013); however, the significance of these factors varies by season. For example, the number of high O_3 days in summer 117 was strongly correlated with wildfire burned area over the western U.S. as wildfires are important 118 sources of NO_x, CO, and VOCs (Jaffe et al., 2008; Jaffe and Wigder, 2012). The frequency and 119 intensity of wildfires in the western U.S. may behave been increasing, driven by increasing 120 temperatures, earlier snowmelt, drier conditions, and accumulation of fuels (Westerling et al., 2006; 121 Jaffe et al., 2008). Moreover, all components that can impact the distribution of O_3 are associated 122 123 with varying atmospheric circulation patterns on interannual to decadal time scales (e.g., Lin et al., 124 2014; Zhou et al., 2017). For instance, model simulations suggested that more frequent stratospheric intrusion events occurred in late spring, when the polar jet meandered towards the 125 western U.S. following La Nina events (Lin et al., 2014). Therefore, it is fundamentally important, 126 127 yet also-challenging, to extractdelineate the impact of increasing emissions from O&NG production on surface O₃ levels from all of these various factors. However, these, and the 128 129 <u>resultant</u> findings could ultimately <u>leadcontribute</u> to improved modeling and to better assess the 130 efficacy of emission controls.

A most common approach to assess the influence of a certain factor or source on O_3 concentrations is through model simulations (e.g., Rodriguez et al., 2009; Lin et al., 2017). However, the application of current chemical transport models used to investigate the impact of

emissions from O&NG extraction on tropospheric O₃ is particularly challenging because of the 134 largeincomplete inventories of O&NG emission sources and huge uncertainties in existing in the 135 emission inventories of O&NG extraction (P dron et al., 2014; Helmig et al., 2014; Ahmadov et 136 al., 2014; Thompson et al., 2017; Allen, 2014; Allen, 2016). Past studies have found that A case in 137 point is the largely underestimated emissions of methane and VOCs from oil and gasO&NG 138 139 extraction was largely underestimated (as suggested by Karion et al., . (2013;) and Ahmadov et $al_{\overline{1}}$. (2014).— Consequently model simulations can be difficult to interpret. Therefore, for this 140 study the approach of *data analysis* was chosen in order to provide measurement-based 141 contribution estimates understanding of how O&NG emissions together with various factors may 142 have worked together to shape the long-term variation in Intermountain West surface O₃. 143

Specifically, we investigated the decadal impact of emissions from O&NG extraction on 144 variability and long term trends of surface O₃-in the Intermountain West surface O₃ since 2005, 145 when O&NG extraction activities started to expand rapidly (EIA, 2015). In this study, we used 146 147 long term O_3 measurement data obtained from the National Park Service (NPS), Clean Air Status and Trends Network (CASTNET), and Wyoming Department of Environmental Quality 148 (WDEQ)₁₂ many of which are from Class I areas as designated by the Clear Air Act. However, 149 150 someSome of these sites have been reportedly influenced by O&NG emissions since 2005 (EIA, 2015). This study was focused on the summer and winter, when NAAQS exceedances of NAAQS 151 152 tended to occur in the Intermountain West (Edwards et al., 2014; Lin et al., 2017).

- 153 2 Materials and Methods
- 154 **2.1 Site Selection and Data description**

Long term surface O₃ observations were available at 18 sites in remote and rural areas of the U.S. Intermountain West (Figure 1 and Table <u>S11</u>). Among the 18 sites, 11 sites are located within

100 km of a shale play and are more likely affected by emissions from O&NG extraction activities 157 (Figure 1). Specifically, Six sites, Mesa Verde National Park (MEVE), Pinedale, WY (PNDE), 158 159 Centennial, WY (CNTL), Gothic, CO (GTHC), Rocky Mountain National Park (ROMO), and Wind Cave National Park (WICA), are located near O&NG Basinsoutside O&NG basins. MEVE 160 was located to the northwest of San Juan Basin, while PNDE was located to the north of Green 161 162 River Basin. Five sites are located within O&NG extraction basins. These five sites are: Canyonlands National Park (CANY), and Campbell, WY (CAMP), located within the Paradox 163 Basin and Powder River Basin, respectively, Dinosaur National Monument (DINO), Rangely, CO 164 (RANG), Meeker, CO (MEEK), and Campbell, WY (CAMP) are located) within O&NG 165 basins.the Uintah Basin (Figure 1). Of the remaining 7 sites, Yellowstone National Park (YELL) 166 and Craters of the Moon National Monument and Preserve (CRMO) were the only two sites 167 168 located upwind of O&NG fields and were therefore used as reference sites to investigate the decadal O₃ change with minimal influence of O&NG emissions. Four sites, Great Basin National 169 170 Park (GRBA), Zion National Park (ZION), Grand Canyon National Park (GRCA), and Petrified Forest National Park (PEFO), were found, based on backward trajectory cluster analysis, to often 171 receive air masses influenced by nearby anthropogenic emissions from Las Vegas (Section S2). 172 173 Badlands National Park-(BADL), located more than 100 km away from the shale play, was impacted one third of the time by air masses from O&NG activity in the Powder River Basin 174 175 during the 2005 – 2015 decade (Section S2). Therefore, GRBA, ZION, GRCA, PEFO and 176 BADL the above five sites were not included in the analysis of this study. 177 Continuous hourly measurements of O_3 were available at each site. We calculated the

annual fourth-highest daily maximum 8-hour average (A4DM8HA), upon which the O_3 design value was based, for the 13 monitoring sites and their trends were examined through ordinary linear least-square regression.. Trends (ppbv yr⁻¹) in A4DM8HA were then reported using Sen's
 slopes from non-parametric Mann-Kendall analysis. The trends were also calculated separately for
 5th, 50th, 95th percentiles of daily maximum 8-hour average (DM8HA) O₃ in winter and summer.

183 2.2 Box Model Simulations

Box model simulations were performed using BOXMOX (Knote et al., 2015) and the NCAR Tropospheric Ultraviolet and Visible Radiation Model (TUVv5.3.1) (Madronich et al., 1998). BOXMOX is an extension to the Kinetic PreProcessor which allows an easy set_up for zero dimension box model simulations (Knote et al., 2015). We selected the Master Chemical Mechanism (MCM v3.3) for the model chemistry scheme. The MCM is a near-explicit chemical mechanism including gas-phase tropospheric degradations of 74 VOCs and OVOCs with a total of 5259 species of and 15176 reactions (Jenkin et al., 2015).

All simulations were initialized with observations from field campaigns in the Intermountain 191 192 West- during the study period. These campaigns were Uintah Basin Winter Ozone Study (UBWOS) over 2012 – 2014 (Edwards et al., 2013), Nitrogen, Aerosol Composition, and Halogens on a Tall 193 Tower (NACHTT) in 2011 (Swarthout et al., 2013), and Front Range Air Pollution and 194 Photochemistry Éxperiment (FRAPPÉ) in 2014 (Pfister et al., 2017). Section S8 provides detailed 195 196 information abouton field campaign measurements. The modeled mixing ratios of CO, CH₄, NO, NO₂, and non-methane VOCs were forced to match the measured diurnal profiles by introducing 197 turbulent mixing to the model (Knote et al., 2015; Section S8.3). Therefore, the "box" can 198 199 dynamically exchange with its surroundings. The model was integrated forward with a time step of 10 minutes until the concentrations reach a diurnal steady state, when the cycles of simulated 200 201 species exhibit less than 0.01% variation from the previous day (Edwards et al., 2013). The last 24

h were used to represent the simulated diel cycles. Section S8 provides further information on the
 model treatment of all chemical observations.

204 3. General Characteristics in Long-term Variations of O₃

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First, changes in the A4DM8HA were examined for the 13 sites from before and to after 2005, 205 when O&NG extraction started expanding rapidly, were examined for the 13 sites. Note that trends 206 207 were not calculated for three sites, DINO, RANG, and MEEK, due to short data records (<6 yrs) or too many missing data. Before 2005, statistically significant increasing trends were observed at 208 209 the reference site CRMO as well as three other sites, CANY, CNTL, and PNDE, three sites near 210 O&NG basins with, and no significant trends were observed at the other remaining five sites (Figure 2; Table S1). After 2005, no significant trends were found at the two reference sites 211 (CRMO and YELL, Table S2). Among S1), and decreasing or no trends were found at the Height 212 sites near/within the O&NG basins. Specifically, significant decreasing trends were observed at 213 CANY (-0.5458 ppbv yr⁻¹), MEVE (-0.7683 ppbv yr⁻¹), and WICA (-1.2116 ppbv yr⁻¹), withwhile 214 215 no significant trends at the other five sites (Table $\frac{S2S1}{2}$). The decadal mean A4DM8HA O₃ was ~58 ppbv at the urban station CAMP in Colorado (https://www.colorado.gov/airquality) and ~77 216 ppbv at the urban station Hawthorne in Utah (https://deq.utah.gov/division-air-quality). In comparison, 217 218 the decadal (2005 – 2015) mean of the A4DM8HA reached or exceeded 70 ppbv, the current NAAQS, at three sites, DINO (82.7 ppbv), RANG (72.7 ppbv), and ROMO (75.1 ppbv). 219 Trends in seasonal 5th, 50th, 95th percentiles of DM8HA O₃ were further examined for winter 220 221 and summer seasons (Table \$3\$2). At the two reference sites, no trend was observed in any seasonal percentiles of DM8HA O₃ at YELL, while statistically significant decreasing trends (-222 0.1416 to -1.1830 ppbv yr⁻¹) were observed in wintertime 50th/95th and summertime 5th/50th/95th 223

percentile values at CRMO. Significant decreasing trends were also observed at 4 sites located

225 in/near O&NG basins. Specifically, at MEVE significant decreasing trends of -0.4947 to -0.6369 ppbv yr⁻¹ were found in seasonal 50th/95th percentile values in both winter and summer (Table S3). 226 In contrast, significant decreasing trends were only found in summertime 5th/50th at CANY, 227 summertime 95th at WICA, and wintertime 50th/95th percentile values at GTHC. Overall 228 seasonalwintertime median values near the O&NG extraction basins showed relatively more 229 230 consistent patterns of interannual variation with low O_3 values in 2012 and higher O_3 in 2011 and 2013 at relatively low elevation sites (i.e., CANY, DINO, MEEK, RANG, CAMP, MEVE, and 231 WICA) in winter, while in(Figure 3). In summer, large differences were found between sites 232 (Figure 3). 233

Previous studies have examined long term trends in tropospheric O_3 for varying time 234 periods over the continental U.S. (e.g., Cooper et al., 2012; Cooper et al., 2014; Lin et al., 2017; 235 236 Gaudel et al., 2018). Gaudel et al. (2018) assessed surface O₃ trends at 2702 non-urban sites from the Tropospheric Ozone Assessment Report (TOAR) database. They found significant 237 increasing and did not find trends (0.5 to 1.0 ppbv yr⁻¹) in daytime average O₃ at 6 sites in the 238 Intermountain West and no trends at 8 otherat sites including MEVE, PNDE, YELL in winters of 239 2000 – 2014 (Gaudel et al. 2018). However, in thisour study a significant decreasing trend (-240 0.4967 ppbv yr⁻¹) in wintertime 95th percentile values was observed at MEVE after 2005, possible 241 causes for which are discussed further were examined in Section 4. In summers of 2000 – 2014, 242 significant decreasing trends (~ -0.5 ppbv yr⁻¹) in daytime average O₃ were observed by Gaudel et 243 244 al. (2018) at most sites including CRMO, PNDE, CANY, MEVE, which was consistent with the trends we observed for our study period 2005 – 2015 (Table S3). 245

The following questions arose from examining the above characteristics in surface O_3 at the 11 sites near/in O&NG basins:

248	1) Were <u>How were</u> the decreasing trends in A4DM8HA at MEVE, CANY, and WICA
249	potentiallyand no trends at other sites linked in some ways to changes innearby O&NG
250	production activities-nearby?
251	2) Was there seasonal variation in the influence of emissions from O&NG extraction on
252	seasonal-DM8HA O ₃ seasonally variable?
253	3) Were there changes of O ₃ production regime as a result of emissions from O&NG basins
254	over the years?
255	These questions are were addressed in the following sections with the goal to delineate the role of
256	emissions from O&NG production in long-term trends in surface O ₃ at the 11 sites.
257	4. Contribution of emissions from O&NG production vs other anthropogenic sources
258	The two reference sites, YELL and CRMO, are located >100 km upwind of O&NG basins
259	(Figure 1) and exhibited no trends in A4DM8HA after 2005. In comparison, decadal-trends of
260	Θ_3 varied widely at the 11 eight sites situated in or near the O&NG extraction basins ranged widely,
261	indicating. The following analysis suggests that such varying trends resulted from the complex
262	influence of varyingranges of changes in emissions from O&NG production activities combined
263	with other anthropogenic sources.
264	The four low-elevation sites, MEVE, CANY, WICA, and CAMP, were situated near/within
265	O&NG basins, with the first three showing significant decreasing trends and the last none. The
266	strong decreasing trends in A4DM8HA at MEVE and CANY appeared to be driven by significant
267	reductions of emissions from local industry during $2005 - 2015$. MEVE is located near the San
268	Juan Basin (Figure 1), the second largest natural gas field in the U.SA., where a 37% decrease
269	inwas reported for its natural gas production was reported in the San Juan Basin over 2005 – 2015
270	(Figure 4a), subsequently leading to reduced emissions of O_3 precursors. Meanwhile NO_x
I	

emissions from other sectors in San Juan County decreased by 65% from 80,734 tons in 2005 to 271 27,996 tons in 2014 (Table S4). This is supported by the strong declines in tropospheric NO₂ 272 column densities in summer at a rate of ~ -2.8×10^{13} molecular cm⁻² yr⁻¹ ($p \le 0.05$) surrounding 273 MEVE around the San Juan Basin over 2005 - 2015 (Figure 4b). Decadal changes were not 274 computed for winter due to scarce wintertime column NO₂ retrievals over the Intermountain West. 275 276 Because O_3 production in U.S. rural areas was typically sensitive to changes in NO_x emissions (Cooper et al., 2012), the decreasing NO_x emissions associated with reduced natural gas production 277 and emission controls in other sectors were most likely the primary cause for the significant 278 decrease in the A4DM8HA at MEVE (-0.7683 ppbv yr⁻¹) during 2005 – 2015. The significant 279 decreasing trend in the A4DM8HA observed at CANY was possibly a result of the declining 280 extraction of coalbed methane (CBM) in the Paradox Basin and emission reductions in coal-fired 281 electricity generation in Emery County. Historically, Emery County in the Paradox Basin was the 282 main CBM producer. Permitting activities for CBM extraction have has declined by 48% over 2005 283 284 - 2015 resulting from lower natural gas prices (NGI, 2018) (Figure 4a). Meanwhile, NO_x emissions from coal-fired electricity generation, contributing ~88% of the total NO_x emissions in Emery 285 County, decreased by 35% from 28,407 tons in 2005 to 18,336 tons in 2014 (Table S4). As a result 286 of these changes, significant decreasing trends in the seasonal 95th percentile would be anticipated, 287 but were not observed in both winter and summer. This indicates that there are additional factors 288 289 producing significant influences on O3 in the area, which are further investigated in Sections 5-290 6.S3).

291 No trend was observed in the A4DM8HA O₃ at CAMP, which was<u>WICA</u>, located 292 downwind of the Powder River Basin, also experienced a significant decreasing trend in the 293 A4DM8HA at a rate of 1.16 ppbv yr⁻¹ (p = 0.09) over 2005 – 2014. Curiously, total NO_x emission 294 increased by 29% from 1,327 tons in 2005 to 1,712 tons in 2014 in Custer County, where WICA is located (Table S3). The decreasing A4DM8HA at WICA indicates additional factor(s) with 295 significant influences, which were further investigated in Sections 5. CAMP, located within the 296 Powder River Basin, saw no trend in the A4DM8HA O₃, likely associated with changes in natural 297 gas vs. oil production activities in the Powder River Basin. CAMP is located within the The Powder 298 299 River Basin, which is traditionally known for its coal production and has been one of the fastest growing oil producing regions in recent years. While natural gas production in Campbell County 300 decreased by 62% (Figure 4a), oil production in Campbell County increased from 9×10^8 barrels in 301 2005 to 2.3×10⁹ barrels in 2015 (http://wogcc.wyo.gov/). WICA is located downwind of the 302 Powder River Basin, experiencing a significant decreasing trend in the A4DM8HA at a rate of 303 1.21 ppbv yr⁻¹ (p = 0.05) over 2005 2014. Curiously, total NO_x emission increased by 29% from 304 1,327 tons in 2005 to 1,712 tons in 2014 in Custer County, where WICA is located (Table S4). 305 The decreasing A4DM8HA at WICA is attributed to other factors, as discussed in Section 6. 306

DINO, RANG, and MEEK are located within the Uintah-Piceance Basin O&NG fields 307 (Figure 1). Increased emissions, most likely from a 68% rise in natural gas production over 2005 308 -2015 in the Uintah Basin (Figure 4a), together with snow cover (Edwards et al. 2014; Section 309 310 xx), could have contributed to very high A4DM8HA O3 at DINO and RANG. Meanwhile, NO* emissions from highway vehicles decreased by 86% in Uintah County (Table S4). MEEK, in Rio 311 Blanco County, is located at the eastern edge of the Piceance Basin and relative lower 4DM8HA 312 O₃ (64.1 ppbv) was observed, and trends were not examined for the site's short data record (6 yrs). 313 The four high-elevation sites, ROMO, PNDE, CNTL, and GTHC, experienced no trends 314 315 in their A4DM8HA due likely to opposite changes in emissions from O&NG production, urban 316 sources, and stratospheric intrusion (or a lack thereof). ROMO is located to the west of the

Denver-Julesburg Basin and has experienced northwesterly and southeasterly wind most frequently over 2005 - 2015 (Figure 5a) with higher O₃ (>60 ppbv) from the east and southeast (Figure 5e). Natural gas production in Weld County increased by nearly a factor of 3 from 2009 to 2015, which coincided with use of horizontal drilling starting in 2009 (Figure 4a). Meanwhile the<u>a</u> NO_x emission reductions<u>reduction</u> of ~37% from the urban area of Denver offset the effect of increased NO_x emissions from O&NG extraction, as evidenced by the significant declines in tropospheric column NO₂ (Figure 4b).

PNDE is located to the north of the Green River Basin, which has two U.S. largest natural gas 324 325 fields; in the U.S., i.e., Pinedale Anticline and Jonah Field. Natural gas production increased steadily over 2005 – 2010 followed by a decline in 2011 and onward. At PNDE, while the The 326 dominant winds at PNDE were from the northwest, southeast southwest, and northeast (Figure 5b). 327 However, O₃ mixing ratios corresponding to in the southwesterly wind, from where expansive 328 O&NG extraction occurred, reached higher thancan exceed 60 ppbv (Figure 5f). Overall, no trend 329 330 was observed in natural gas production in Sublette County in the basin (Figure 4a), which was consistent with the relative constant A4DM8HA at PNDE. 331

CNTL was located to the north of the North Park Basin and southeast of the Green River Basin (Figures 1 and 5g). In the past decade, natural gas production in Jackson County in the North Park Basin decreased by 72% (Figure 4a) and oil production increased comparatively by 73%, which likely contributed to constant A4DM8HA O₃ at CNTL. Similar to all high-elevation sites (i.e., ROMO, PNDE, CNTL), GTHC is another site, located aton the mountain ridge. The, saw high O₃ concentrations from the north (> 65 ppbv) and southwest (> 52 ppbv) indicate that the site was likely under the influenceindicating combined influences of aforementioned opposite changes in emissions from the North Park Basin, Denver-Julesburg Basin, and San Juan Basin (Figures 5d
and h) ultimately resulting in the site exhibiting no trend in the A4DM8HA.

341 **55. Effects of precipitation weather on summertime O**₃

We found that summertime O₃ in the Intermountain West was strongly correlated with 342 relative humidity and the wildfire index total fire index (Table S5). Studies have suggested that in 343 344 the Intermountain West, summertime O_3 , especially the seasonal high percentile levels, was impacted by photochemical production from wildfire emissions (Table S5; Jaffe and Wigder, 2012; 345 Lu et al., 2016). To avoid repeating the extensive body of literature on this topic, our own analysis 346 347 of the effect of wildfires on O_3 can be found in Section S5. One key point coming out of our analysis was, with fire influence removed as indicated in partial correlation, significant negative 348 correlations between summertime O_3 and relative humidity were found at the two reference sites 349 (CRMO and YELL), as well as at the seven O&NG emission influenced sites (CANY CAMP, 350 MEEK, RANG, ROMO, PNDE, and WICA) (Table S5). Further investigation, detailed as follows, 351 352 revealed that this correlation essentially illuminated the effect of reduced solar radiation on O_3 production caused by cloudiness associated with precipitation weather. 353

In the Intermountain West, precipitation varies by elevation and latitude among other 354 355 factors (Williams et al., 1962). The decadal summertime average precipitation abundance was larger at YELL (reference site, 0.17 kg m⁻²), GTHC, CNTL, ROMO, and WICA (0.15 – 0.27 kg 356 m^{-2}) than that at CRMO (reference site, 0.08 kg m^{-2}) and the remaining sites (Figure 6a). Further 357 358 examination revealed that YELL, GTHC, CNTL, ROMO, and WICA are located at much higher elevation up in the mountains, where localized convective storms could develop quickly in summer 359 360 leading to higher precipitation amounts (Williams et al., 1962), and naturally, more cloudiness at 361 these five sites compared to the other sites located at lower elevation within/near the basins. This

362	is consistent with the significant negative correlations between summertime O ₃ and relative
363	humidity at CNTL, ROMO, WICA, and the reference site YELL (Table S5). Note that no
364	significant partial correlation was found between summertime O ₃ and relative humidity at GTHC,
365	indicating that summertime O ₃ at this site was mostly influenced by wildfire emissions. Significant
366	correlations were also found between solar radiation and summertime median DM8HA O3 at
367	<u>CNTL ($r = 0.67, p = 0.05$)</u> , ROMO ($r = 0.43, p = 0.09$), WICA ($r = 0.62, p = 0.05$), and YELL ($r = 0.67, p = 0.05$), and YELL ($r = 0.67, p = 0.05$).
368	= 0.72, $p =$ 0.01). These results indicate that reduced solar radiation flux near the surface resulting
369	from increased cloudiness accompanying increased precipitation resulted in less O ₃ production
370	over the mountain ranges.
371	Situated on the southern section of the Black Hills, WICA received the highest summertime
372	average precipitation of 0.27 kg m ⁻² (Figure 6a). Total Precipitation showed a significant negative
373	correlation with summertime median DM8HA O_3 at WICA ($r = -0.65$, $p = 0.04$, Figure 6b). As
374	noted in Section S5, the decadal highest summertime median DM8HA O ₃ was observed in 2012
375	at 7 sites because of the decadal maximum wild fire emissions. WICA was the exception with its
376	2012 summertime median DM8HA O ₃ being the second largest (58 ppbv) of the decade, compared
377	to the largest value of 61 ppbv in 2006 (Figure 3b), despite the fact that the decadal maximum total
378	fire index occurred in 2012 (0.022 g NO _x m ⁻³ in Figure 6b). O ₃ levels were expected to be lower
379	in the summer of 2006 because it had the least influence of wildfire emissions evidenced by a very
380	low total fire index value (0.010 g NO_x m ⁻³) (Figure 6b). However, the decadal minimum
381	precipitation (0.18 kg m ⁻²) in the summer of 2006 counteracted the effect of less wildfire emissions
382	(Figure 6b). By comparison, increased cloudiness accompanied more precipitation (0.22 kg m ⁻²)
383	at WICA during the summer of 2012, which dominated over the influence of the decadal maximum
384	wildfire emissions (TFI = 0.021 g NO _x m ⁻³). An increasing trend of 6.7 g m ⁻² yr ⁻¹ ($p = 0.17$) was

385 found in total precipitation at WICA for the time period of 2005 - 2015, indicative of increasing Also, the A4DM8HA values at WICA occurred consistently in late spring/summer, cloudiness. 386 and ultimately the increasing trend in cloudiness linked to increased precipitation likely 387 contributed to the decreasing trend in the A4DM8HA over the decade at this site as shown in the 388 previous section. 389 390 Compared to YELL, CNTL, GTHC, ROMO, and WICA, sites located at the lower parts of the basins (i.e., CANY, DINO, MEEK, RANG) had lower annual precipitation levels ranging from 391 0.08 - 0.12 kg m⁻² over 2005 - 2015 (Figure 6a). Significant negative correlations were only found 392 between summertime 5th percentile DM8HA O₃ and relative humidity at CANY, MEEK, RANG 393 (Table S5). This indicates that cloudiness associated with precipitation weather had a stronger 394 impact on summertime baseline O_3 than high percentile levels at sites within the basins. 395

<u>6</u>. Contributions of transport from the Arctic and the West Coast and stratospheric intrusion
 in winter

Winter seasonal median DM8HA O₃ showed consistent interannual variation with higher O₃ 398 levels (38 – 59 ppbv) in 2011 and 2013 whereas lower values (32 – 44 ppbv) in 2012 at the two 399 references sites and most sites near/within O&NG basins, except the three high-elevation sites 400 (i.e., GTHC, ROMO, and CNTL) (Figures 3c-d). Seasonal 5th and 95th percentile values exhibited 401 similar patterns (not shown). Site and seasonal average O₃ mixing ratios exceeded the decadal 402 average 41 ppbv in 2006, 2008, 2010, 2011, and 2013, and were below average in 2007, 2009, 403 404 2012, 2014, and 2015. The difference of the 850 hPa geopotential height between the higher and lower O_3 years is shown in Figure <u>6a7a</u>. A pronounced positive difference of ~60 geopotential 405 meters (gpm) was observed over the Arctic polar region and a negative difference of ~ 40 gpm 406

407 over the midlatitudes. This pattern–strongly indicates a negative (positive) phase of Arctic 408 Oscillation (AO) associated with a higher (lower) O_3 winter in the Intermountain West.

Indeed significant negative correlations (-0.91 to -0.58) were found between the AO index 409 and winter seasonal 50th/95th percentile DM8HA O₃ at most sites, including the reference site 410 YELL over 2005 - 2015 (Table 42 and Figure 6b7b). Significant correlation was not found at the 411 412 other reference site CRMO, which is located to the south of the Pioneer Mountains and hardly received few air masses (3%) from the north (Figure S1b). Weaker correlations were also observed 413 414 in the seasonal median DM8HA at CNTL and PNDE. During a longer time period dating back to years prior to 2005, significant negative correlation was observed between seasonal median 415 DM8HA O₃ and the AO index at the reference site YELL (-0.45 over $1997 - \frac{20052015}{20052015}$), as well 416 as at CANY (-0.47 over 1993 – 2015), CNTL (-0.46 over 1990 – 2015), and ROMO (-0.67 over 417 418 1988 - 2015). This indicates suggests that the impact of AO on wintertime surface O₃ has been 419 consistently significant during different time periods over the past decades in the Intermountain 420 West.

The negative correlation between AO and wintertime O₃ could be a result of multiple 421 factors, including transport from the Arctic and California, in situ O₃ photochemical production, 422 423 and stratospheric intrusion. While long-term O₃ trends in lower percentiles could be influenced by long-range transport, O_3 in higher percentiles was more sensitive to local emissions and extreme 424 425 events (Lin et al., 2017). First, the influence of transport from the Arctic was examined. Artic 426 influence would be foremost demonstrated in surface temperature. During negative AO years, frigid winter air masses could extend far into the middle of North America, contributing to 427 relatively cold temperatures over the U.S. Intermountain West (Hess and Lamarque, 2007). 428 429 Significant positive correlation was found between the AO index and surface temperature at

CANY, DINO, MEEK, RANG, and GTHC. Except for GTHC, all sites are located within the 430 431 basins, and weaker positive correlations were observed at other sites, except for CNTL, the highest 432 elevation site in this study (3175 m amsl) (TableTables 1 and S1 2). Significant negative correlation was also found between surface temperature and wintertime O3 at sites within the basin 433 (i.e., CANY, DINO, MEEK, and RANG), with the potential effect of stratospheric intrusion 434 435 removed (Partial correlation in Table 12). Higher correlation coefficients were found in seasonal 50th/95th DM8HA at DINO, MEEK, and RANG, indicating that the sites were more likely under 436 437 local influence (Table 42). During a colder winter with constant snow cover, high concentrations of O₃ precursors emitted from O&NG extraction were trapped in a shallow boundary layer within 438 the basins followed by strong O₃ photochemical production during daytime leading to the highest 439 O₃ mixing ratios of the season (Schnell et al., 2009; Edwards et al., 2014). 440

441 For sites outside the basins that are exposed to distant sources (Figure S1), the significant 442 correlation between wintertime median DM8HA O_3 and the AO index is a strong indication of 443 impacts of long-range transport from source regions afar.

Backward trajectory simulations suggest that long distance transport from the West Coast 444 may obscure the correlation between the AO index and surface O₃ at MEVE, ROMO, YELL, 445 446 WICA, CNTL, and PNDE. A total of 902 five-day backward trajectories were performed at each site during winters of 2006 - 2015 (Figure 78). The difference in numbers of trajectories between 447 448 high and low O₃ years suggests that more air masses reached the six sites coming from low 449 altitudes (<900 hPa) over the area of southern California and Arizona, centered around 32.5 N/120 W during high O₃ years (Figure 78). At YELL, WICA, PNDE, ROMO, and CNTL, 450 451 more than 80 backward trajectories passed through the surface of California (Figure 78), where 452 large amounts of abundant O₃ could be produced from urban emissions of facilities in urban areas

(Ryerson et al., 2013). Huang et al. (2013) also found that anthropogenic emissions from 453 southern California could contribute 1 - 15 ppbv to surface O_3 in air masses transported to the 454 Additionally, more than 20 trajectories originating at YELL, CNTL, 455 Intermountain West. ROMO, and MEVE came from higher altitudes (>700 hPa) in the north (>45 %) during high O₃ 456 years (Figure 78). During negative AO (high O_3) years, cold Arctic mid-tropospheric air rich in 457 458 O₃ would eross Canadareach midlatitudes more frequently (Mao and reachTalbot, 2004) including the U.S. Intermountain West more frequently ((Hess and Lamarque, 2007).) enhancing surface O_3 459 there. This is in part evidenced in the significant negative correlation (r = -0.49, p = 0.01) between 460 the AO index and lower tropospheric O₃ at Edmonton, Alberta, Canada over 1988 – 2014 (Figure 461 S_2S_3), indicating stronger impacts of Arctic mid-tropospheric O₃ on the Intermountain West 462 during negative AO years. This also contributes to explaining why the reference site YELL 463 experienced higher seasonal median DM8HA O₃. 464

Stratospheric influence on surface O₃ was also examined. One of the physical 465 characteristics of stratospheric air is high values of potential vorticity (PV). The differences in 466 **PV** potential vorticity between high and low O_3 years exhibited positive anomalies of ~0.5 **PV** 467 $(10^{\times}10^{-6} \text{ m}^2\text{s}^{-1}\text{kg}^{-1}\text{K})$ in the mid-troposphere (315 K) over the Intermountain West (Figure 89). 468 469 Significant negative correlations of -0.64 (p < 0.01) over 1988 – 2015 and -0.76 (p = 0.01) over 2005 - 2015 were found between PV and the AO index over the study region (37.5 °N - 45 °N, -470 102.5 °W – -110°W). This indicated that stratospheric intrusion over the Intermountain West 471 472 was associated with the negative AO with a strong impact aton sites outside the basins over the 473 region.

474 6. Effects of precipitation weather on summertime O₃—

475	We found that summertime O3- in the Intermountain West was strongly correlated with
476	relative humidity and the wildfire index total fire index (TFI) (Table S6). Studies have suggested
477	that in the Intermountain West, summertime O ₃ , especially the seasonal high percentile levels, was
478	impacted by photochemical production from wildfire emissions (Table S6; Jaffe and Wigder, 2012;
479	Lu et al., 2016). To avoid repeating the extensive body of literature on this topic, our own analysis
480	of the effect of wildfires on O_3 can be found in Section S7. One key point coming out of our
481	analysis was, with fire influence removed as indicated in partial correlation, significant negative
482	correlations between summertime O3- and relative humidity were found at the two reference sites
483	(CRMO and YELL), as well as at the 7 O&NG emission influenced sites (CANY CAMP, MEEK,
484	RANG, ROMO, PNDE, and WICA) (Table S6)Further investigation, detailed as follows,
485	revealed that this correlation essentially illuminated the effect of reduced solar radiation on O3
486	production caused by cloudiness associated with precipitation weather.
486 487	production caused by cloudiness associated with precipitation weather. In the Intermountain West, precipitation varies by elevation and latitude among other
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487 488 489 490	In the Intermountain West, precipitation varies by elevation and latitude among other factors (Williams et al., 1962). The decadal summertime average precipitation abundance was 0.17 kg m ⁻² for the reference site YELL and 0.15 – 0.27 kg m ⁻² for GTHC, CNTL, ROMO, and WICA, which were higher than that at CRMO (0.08 kg m ⁻²) and the remaining sites (Figure 9a). YELL,
487 488 489 490 491	In the Intermountain West, precipitation varies by elevation and latitude among other factors (Williams et al., 1962). The decadal summertime average precipitation abundance was 0.17 kg m ⁻² for the reference site YELL and 0.15 – 0.27 kg m ⁻² for GTHC, CNTL, ROMO, and WICA, which were higher than that at CRMO (0.08 kg m ⁻²) and the remaining sites (Figure 9a). YELL, GTHC, CNTL, ROMO, and WICA are located in the mountains, where localized convective
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497 GTHC, indicating that summertime O₃ at this site was mostly influenced by wildfire emissions.

498 Significant correlations were also found between solar radiation and summertime median DM8HA 499 Θ_3 at CNTL (r = 0.67, p = 0.05), ROMO (r = 0.43, p = 0.09), WICA (r = 0.62, p = 0.05), and 500 <u>YELL (r = 0.72, p = 0.01). These results indicate that reduced solar radiation flux near the surface 501 resulting from increased cloudiness accompanying increased precipitation resulted in less Θ_3 502 production over the mountain ranges.</u>

Situated on the southern section of the Black Hills, WICA received the highest summertime 503 average precipitation of 0.27 kg m⁻² (Figure 9a). Total Precipitation showed a significant negative 504 correlation with summertime median DM8HA O_3 at WICA (r = -0.65, p = 0.04, Figure 9b). As 505 506 noted in Section S7, the decadal highest summertime median DM8HA O3 was observed in 2012 at 7 sites because of the decadal maximum wild fire emissions. WICA was the exception with its 507 2012 summertime median DM8HA O₃ being the second largest (58 ppbv) of the decade, compared 508 to the largest value of 61 ppbv in 2006 (Figure 3b), despite the fact that the decadal maximum TFI 509 occurred in 2012 (0.022 g NO_x m⁻³ in Figure 9b). O₃ levels were expected to be lower in the 510 summer of 2006 because it had the least influence of wildfire emissions evidenced by a very low 511 TFI value (0.010 g NO_{*} m⁻³) (Figure 9b). However, the decadal minimum precipitation (0.18 kg 512 m⁻²) in the summer of 2006 counteracted the effect of less wildfire emissions (Figure 9b).-By 513 comparison, increased cloudiness accompanied more precipitation (0.22 kg m⁻²) at WICA during 514 the summer of 2012, which dominated over the influence of the decadal maximum wildfire 515 emissions (TFI = 0.021 g NO_{*} m⁻²). An increasing trend of 6.7 g m⁻² yr⁻¹ (p = 0.17) was found in 516 total precipitation at WICA for the time period of 2005 – 2015, indicative of increasing cloudiness 517 and ultimately contributing to the decreasing trend in the A4DM8HA over the decade at this site. 518 Compared to YELL, CNTL, GTHC, ROMO, and WICA, sites located at the lower parts of 519 520 the basins (i.e., CANY, DINO, MEEK, RANG) had lower annual precipitation levels ranging from 521 $0.08 - 0.12 \text{ kg m}^2$ -over 2005 - 2015 (Figure 9a). Significant negative correlations were only found 522 between summertime 5th percentile DM8HA O₃ and relative humidity at CANY, MEEK, RANG 523 (Table S6). This indicates a stronger impact of cloudiness associated with precipitation weather on 524 summertime baseline O₃ levels at sites within the basins.

525 7. In situ photochemical O₃ production in winter and summer

526 To determine the influence of in situ photochemical O_3 production on ambient O_3 in/near O&NG basins, box model simulations were conducted for the Uintah-Piceance Basin, Denver-527 Julesburg Basin, and its downwind region. In particular, field measurements of VOCs and NO_x in 528 the Uintah Basin during UBWOS 2012 - 2014 were used to understand the role of in situ 529 photochemistry in determining observed O₃ at RANG, DINO, and MEEK during winters of 2012 530 - 2014 (Figure 1). Measurements during FRAPPÉ at ROMO were used to determine 531 photochemically produced O_3 in summer 2014, while the NACHTT campaign at the Boulder 532 Atmospheric Observatory (BAO) Tower was used for winter 2011. Constraining the model 533 534 concentrations of VOCs, NO_x, and the radical precursors HCHO, HONO, and ClNO₂ using the observed diel profiles reduced the impact of turbulent mixing and transport processes on the in situ 535 photochemical O₃ production. Details of the model simulations can be found in Section S8; Here 536 537 we focused on discussing the model results in the decadal context. Figure 10 compares the observed average diel cycle of O_3 mixing ratios with those simulated for each campaign. 538 539 Differences between observed and simulated diel O₃ profiles represent the combined impact of 540 transport processes, stratospheric intrusion, and model uncertainty on surface O₃.

541 During NACHTT, photochemically produced O_3 at BAO ranged from 6 ppbv at 6:00 am MST 542 to 22 ppbv at 15:00 pm MST, contributing 20 – 52% of the observed O_3 (Figure 10a). 543 Photochemically produced O_3 contributed 30 – 60% of observed O_3 during UBWOS 2012 (Figure 544 10b). As seen in Figures 10c and 10d, it is possible for the contribution of photochemically 545 produced O₃ during UBWOS 2013 and UBWOS 2014 to exceed the observations. For example, a 546 modeled maximum value of 111 ppbv photochemically produced O₃ was simulated for 16:00 pm 547 MST during UBWOS 2013, larger than the 96 ppbv observed at the site, which indicates that 548 instantaneous mixing with surrounding air and fast transport lowered the photochemically 549 produced O₃ to the observed level.

The decadal highest winter seasonal median DM8HA O₃ was found at DINO (59 ppbv), 550 MEEK (44 ppbv), and RANG (44 ppbv) in winter 2013 (Figure 3c). A positive AO phase was 551 552 encountered during theoccurred in winter of 2012 (Figure 5b6b), where the ambient atmospheric conditions were relatively warm without snow cover (Edwards et al., 2013), and the resulting 553 conditions exhibited onlyleading to moderate O₃ production (~31 ppby in Figure 10b). In contrast 554 to 2012, winter 2013 was in a negative AO phase (Figure 6b7b), placing the Intermountain West 555 under a strong influence of frigid Arctic air (Section $\frac{56}{56}$) and subsequently under meteorologically 556 557 stagnant conditions and increased snow cover within the basins. As a result, the high levels of VOC emissions from O&NG fields that accumulated in the shallow boundary layer coupled with 558 the increased photolysis rates from the due to snow albedo contributed to rapid O₃ production (~78 559 560 ppbv in Figure 10c) within the basin, consistent with results from Edward et al. (2014). This result supports the point that surface O_3 at the sites within the basins was attributed mostly to in situ 561 562 photochemical reactions (Section $\frac{56}{50}$).

In contrast to the four wintertime campaigns described above, FRAPPÉ was conducted in the summer. The O₃ measurements from ROMO, located in the center of the FRAPPÉ campaign area, showed a diel cycle with ~40 ppbv nighttime and ~49 ppbv daytime O₃ levels. In contrast, photochemically produced O₃ at ROMO showed a distinct diel cycle with 0 ppbv at night and ~41 567 ppbv during the day. The strong diel cycle of photochemical production of O_3 at ROMO probably 568 resulted from the combined effect of its high elevation and close proximity to the NFR.Colorado 569 Northern Front Range. During the day, large amounts of O_3 precursors from the NFRColorado 570 Northern Front Range transported upward from the southeast (Figure 5a) and contributed to 571 photochemical O_3 production at ROMO (Benedict et al., 2018) followed by dramatically decreased 572 photochemical production accompanied by the collapse of the planetary boundary layer during 573 sunset at ~20:00 MST.

574 **7.1 VOCs-NOx-O3 sensitivity**

The O₃ sensitivity to NO_x and VOC emissions, which is relevant to the attainment of 575 regional air quality standards, was evaluated in/near O&NG basins. Fifteen simulations for each 576 field campaign were performed with scaled observed VOC and NO_x mixing ratios and, the base 577 case VOC scenariolatter (NO_x) of which were scaled by a factor varying from 0 to test the 578 sensitivity of daily maximum photochemical O₃ production.5. NO_x scaling factor of 1 indicates 579 580 observed NO_x mixing ratios (Figure 10f). The O_3 formation regime was found be closely associated towith the AO phase. Photochemically produced O₃ during UBWOS 2012 and UBWOS 581 2014 was sensitive to VOCs (Figure 10f), decreased with increasing NOx mixing ratios, indicating 582 583 that VOC-limited O₃ formation regimes (Figure 10f). Therefore, O₃ photochemistry at DINO, RANG, and MEEK was also likely to be radical limited in winter 2012 and 2014. In contrast to 584 585 these two winters, O₃ photochemistry had mixed sensitivity during UBWOS 2013 (Figure 10f), 586 when in situ photochemically produced O₃ could be as high as 111 ppbv. Winter 2013 was in a 587 negative AO phase, while winters of 2012 and 2014 were in positive phases. As stated in Section 588 <u>5aforementioned</u>, surface O_3 at the sites within the basin was attributed mostly to in situ 589 photochemical reactions. Therefore, for areas within the basins, emission reductions in VOCs

alone would lead to O_3 mitigation in positive AO years, while emission reductions in both VOCs and NO_x would be effective in negative AO years. In the NFR<u>Colorado Northern Front Range</u> region, photochemical O₃ production in winter 2011 surrounding BAO was sensitive to VOCs during NACHTT (Figure 10f). Photochemical O₃ production at ROMO in summer 2014 had mixed sensitivity (Figure 10f).

595 Edwards et al. (2013) also simulated an average UBWOS 2012 day (15 January to 1 March 2012) and found the same O₃ formation regime as our study. They found that the radical-limited 596 O_3 photochemistry in 2012 was driven by the very low radical production rates (~2.3 ppbv day⁻¹) 597 in comparison to the emission rate of NOx. While an average UBWOS 2013 day (23 January to 24 598 March 2013) was simulated in our study, Edwards et al. (2014) simulated a single stagnant six-599 day (31 January to 5 February 2013) stronghigh O₃ event, when DM8HA O₃ increased from 67 to 600 107 ppbv. It was They found that O_3 in winter 2013 was sensitive to changes of NO_x , and the total 601 net radical production rate could be as high as ~19 ppbv day⁻¹, which was sufficient to prevent 602 603 NO_x saturation (Edward et al., 2014).

604 **8. Summary**

Our study suggested that decadal Two reference sites, YELL and CRMO, exhibited no 605 606 significant trends in the A4DM8HA O₃ over the Intermountain West during 2005 – 2015. Our analysis suggested that, without the immediate influence of O&NG emissions, the lack of trend 607 608 and the interannual variation at the two reference sites were shaped driven by precipitation weather, 609 wildfire emissions, stratospheric intrusion, and transport from the Arctic and the West Coast facilitated by regional to hemispheric circulation. Trends over the areasIn contrast, decadal trends 610 611 at sites near/within O&NG basins were predominantly impacted by changes in emissions from the 612 O&NG basins among other anthropogenic sources. Two reference sites, YELL and CRMO,

613 exhibited no significant trends in the A4DM8HA O₃ over 2005 2015, indicating, without the immediate influence of O&NG emissions, relatively constant O3 levels over the Intermountain 614 West. In contrast, decadal trends in the A4DM8HA varied at the 11 sites located either in or near 615 the O&NG extraction basins. A significant decreasing trend of -0.76 A significant decreasing trend 616 of -0.83 ppbv yr⁻¹ at MEVE was associated with the decreasing natural gas production (37%) in 617 the San Juan Basin, while a significant decreasing trend (-that of -0.5458 ppbv yr⁻¹) at CANY was 618 predominately influenced by declining extraction of CBM in the Paradox Basin and emission 619 reductions of 35% in electricity generation from coal. Increasing precipitation (6.7 g m^{-2} yr⁻¹) 620 621 resulting from increasing cloudiness in the southern portion of the Black Hills contributed to the decreasing trend in the A4DM8HA (-1.2116 ppbv yr⁻¹) at WICA. No trends were found in the 622 4DM8HA at the remaining sites, resulting likely from the combined effect of increasing emissions 623 from O&NG extraction and decreasing emissions from other sectors. 624

In winter, seasonal 50th/95th DM8HA O₃ was associated with the AO at 8 sites, including 625 the reference site YELL. For sites within the O&NG basins, O&NG emissions, colder surface air 626 temperature, and enhanced solar radiation due to snow cover contributed to higher O₃, especially 627 in the seasonal 95th levels during negative AO years, and emission reductions in both VOCs and 628 629 NO_x could lead to effective O₃ mitigation. For sites outside the O&NG basins, the high O₃-inhigher seasonal 50th percentile DM8HA O₃ levels were a result of transport from the Arctic or California 630 631 andtogether with stratospheric intrusion. In summer, the interannual variation of O₃ was 632 predominantly affected by precipitation weather at 9 sites including the two reference sites. At high-elevation sites in the mountains, more abundant precipitation $(0.18 - 0.27 \text{ kg m}^{-2})$ induced 633 634 more cloudiness and consequently reduced solar flux leading less O₃ production in seasonal 5th/50th/95th levels. However, at sites within the basin, the cloudiness associated with precipitation 635

636 weather had a stronger impact on summertime baseline O_3 levels (i.e., the 5th percentile).

This study is the first one to investigate the long term impact of O&NG extraction activities 637 on the distribution and trend of surface O₃ over the intermountain U.S. To the best of our knowledge, 638 only two studies investigated the long-term impact of O&NG extraction on the trend of surface O3 639 and its precursors (Majid et al., 2017; Bien and Helmig, 2018). Majid et al. (2017) investigated the 640 641 decadal trends in OMI-retrieved NO₂ in 7 main shale plays over 2005 - 2015 and found O&NG industry was reversing the rate of changes in NO₂. Bien and Helmig (2018) found surface O_3 at 642 sites within O&NG production basins can be ranked among top ten of all Colorado sites, and 643 644 further suggested the need of more continuous measurements within O&NG basins to study the long-term influence of O&NG emissions. O&NG activities have varied greatly over the past 645 decade. In Wyoming, annual O&NG production levels have fallen significantly in the Jonah Field 646 since 2009 and the Pinedale Field since 2012, because of lower natural gas prices relative to crude 647 oil (http://wogcc.wyo.gov/). In Colorado, the number of active wells in Weld County increased by 648 649 ~2000 between 2012 and 2014. followed by а decline since early 2015 (http://cogcc.state.co.us/data.html). However, current emission inventories underestimated VOC 650 emissions from O&NG productions by a factor of 2 or more (Pétron et al., 2014). While data 651 652 analysis studies provided measurement-based estimates of contributions from various processes to decadal variability of surface O_3 , we understand their limitation in clearly separating such 653 654 contributions. Detailed multiyear studies that integrate measurements and three-dimensional 655 chemical transport model simulations with accurate emission inventories are needed to further quantify the contribution of each process identified in this work. 656

657 Author Contributions

658 YZ and HM designed this study. BS provided long-term surface observations. YZ led the analysis

and writing of this manuscript with, HM contributed to manuscript writing, and BS contributed
 significant contributionsscientific and editorial comments from HM and BS.

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 892 Table 1. Names and locations of sites used in this study. <u>Gray and blue shades indicate sites located outside of 100 km of shale plays. CRMO and YELL in blue shades are located upwind of O&NG extraction fields and used as reference sites.</u>

0.0-4								
Site Abbreviation	Park Unit/Measurement Network	Site	Latitude	Longitude	Elevation (m)	Start Date	End Date	Year-round Measurement
CANY	Canyonlands National Park	Island in the Sky	38.46	-109.82	1809	7/1/1992	12/30/2015	1993 - 2015
DINO	Dinosaur National Monument	West Entrance Housing	40.29	-108.94	1463	4/1/2005	12/30/2015	2011 - 2015
MEEK	Meeker	Plant Science	40.00	-107.85	1994	1/1/2010	12/30/2015	2010 - 2015
		Resource Management						
MEVE	Mesa Verde National Park	Area	37.20	-108.49	2165	3/1/1993	12/30/2015	1995 - 2015
RANG	Rangely	Golf Course	40.09	-108.76	1655	8/1/2010	12/30/2015	2011 - 2015
ROMO	Rocky Mountain National Park	Long's Peak	40.28	-105.55	2743	4/1/1987	12/30/2015	1998 - 2015
WICA	Wind Cave National Park	Visitor Center	43.56	-103.48	1292	12/31/2003	12/30/2015	2005 - 2008; 2010 - 2014
CAMP	Wyoming Department of Environmental Quality	Campbell, WY	44.15	-105.53	1427	1/1/2005	12/31/2015	2005 - 2015
CNTL	Clean Air Status and Trends Network	Centennial, WY	41.36	-106.24	3175	5/9/1989	12/31/2015	1990 - 2015
GTHC	Clean Air Status and Trends Network	Gothic, CO	38.96	-106.99	2915	5/13/1989	12/31/2015	1990 - 2015
PNDE	Clean Air Status and Trends Network	Pinedale, WY	42.93	-109.79	2386	10/21/1988	12/31/2015	1989 - 1994; 1996 - 2015
BADL	Badlands National Park	Visitor Center	43.74	-101.94	739	10/1/1987	12/31/2014	1988 - 1991; 2004 - 2014
CRMO	Craters of the Moon National Monument & Preserve	Visitor Center	43.46	-113.56	1815	9/24/1992	12/30/2015	1993 - 2004; 2007 - 2015
GRBA	Great Basin National Park	Maintenance Yard	39.01	-114.22	2060	8/24/1993	12/30/2015	1994 - 2015
GRCA	Grand Canyon National Park	The Abyss	36.06	-112.18	2073	1/1/1993	12/30/2015	1993 - 2015
PEFO	Petrified Forest National Park	South Entrance	34.82	-109.89	1723	1/1/2002	12/30/2015	2003 - 2015
YELL	Yellowstone National Park	Water Tank	44.56	-110.40	2400	6/1/1996	12/30/2015	1997 - 2015
ZION	Zion National Park	Dalton's Wash	37.20	-113.15	1213	1/1/2004	12/30/2015	2004 - 2015

896 * MEEK and RANG are not located in national parks, but measurements at these two sites are also maintained by the National Park Service.

Table 2. Correlation coefficients (r) and p-value in parenthesis between the pairs of variables in winter over 2006 – 2015. Partial correlation was computed for surface temperature and seasonal 95th DM8HA by controlling the effect of PV. Boldfaced numbers indicate p-value ≤ 0.10 .

			r (O ₃ vs AO)			_	r (O ₃ vs Ten	nperature)	Partial r
Site	Time Period	5th	50th	95th	r (AO vs temperature)	5th	50th	95th	95th
CANY	2006 - 2015	-0.63 (0.05)	-0.72 (0.02)	-0.73 (0.02)	0.66 (0.04)	-0.81 (<0.01)	-0.64 (0.05)	-0.64 (0.04)	-0.62 (0.06)
CAMP	2006 - 2015	0.06 (0.88)	-0.15 (0.67)	-0.30 (0.40)	0.31 (0.38)	0.11 (0.75)	-0.36 (0.31)	-0.21 (0.55)	-0.27 (0.49)
DINO	2011 - 2015	-0.29 (0.64)	-0.89 (0.04)	-0.94 (0.02)	0.90 (0.04)	-0.53 (0.36)	-0.94 (0.02)	-0.96 (0.01)	-0.95 (0.05)
MEEK	2011 - 2015	-0.88 (0.02)	-0.91 (0.01)	-0.92 (0.01)	0.93 (0.02)	-0.84 (0.07)	-0.91 (0.03)	-0.85 (0.06)	-0.96 (0.04)
RANG	2011 - 2015	-0.05 (0.93)	-0.91 (0.03)	-0.93 (0.02)	0.92 (0.03)	-0.38 (0.53)	-0.99 (<0.01)	-0.95 (0.01)	-0.92 (0.08)
MEVE	2006 - 2015	-0.53 (0.11)	-0.70 (0.02)	-0.64 (0.04)	0.53 (0.12)	-0.54 (0.10)	-0.63 (0.05)	-0.59 (0.07)	-0.28 (0.47)
ROMO	2006 - 2015	-0.09 (0.81)	-0.72 (0.01)	-0.49 (0.15)	0.50 (0.14)	-0.36 (0.31)	-0.48 (0.16)	-0.21 (0.56)	-0.14 (0.72)
WICA	2006 - 2014	0.25 (0.52)	-0.58 (0.10)	-0.39 (0.21)	0.26 (0.46)	-0.28 (0.51)	-0.50 (0.21)	-0.50 (0.20)	-0.49 (0.26)
CNTL	2006 - 2015	-0.04 (0.91)	-0.47 (0.17)	-0.38 (0.28)	-0.32 (0.37)	0.63 (0.05)	0.73 (0.02)	0.66 (0.04)	0.66 (0.05)
GTHC	2006 - 2015	-0.15 (0.67)	-0.03 (0.93)	0.04 (0.92)	0.58 (0.08)	-0.35 (0.32)	-0.23 (0.52)	-0.44 (0.20)	-0.55 (0.12)
PNDE	2006 - 2015	-0.29 (0.42)	-0.46 (0.18)	-0.05 (0.89)	0.30 (0.40)	-0.27 (0.45)	-0.09 (0.80)	-0.43 (0.21)	-0.63 (0.07)
CRMO	2008 - 2015	-0.41 (0.31)	-0.28 (0.51)	-0.10 (0.82)	0.53 (0.11)	-0.54 (0.16)	-0.72 (0.04)	-0.55 (0.16)	-0.85 (0.01)
YELL	2006 - 2015	-0.68 (0.03)	-0.77 (<0.01)	-0.46 (0.19)	0.37 (0.15)	-0.63 (0.05)	-0.43 (0.22)	-0.43 (0.22)	-0.37 (0.32)

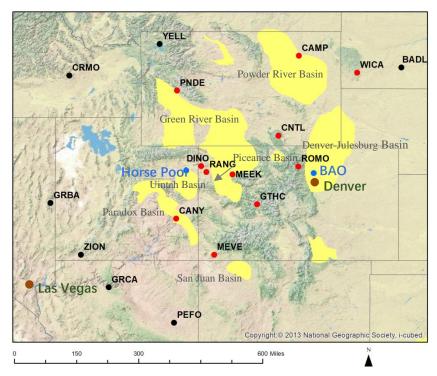
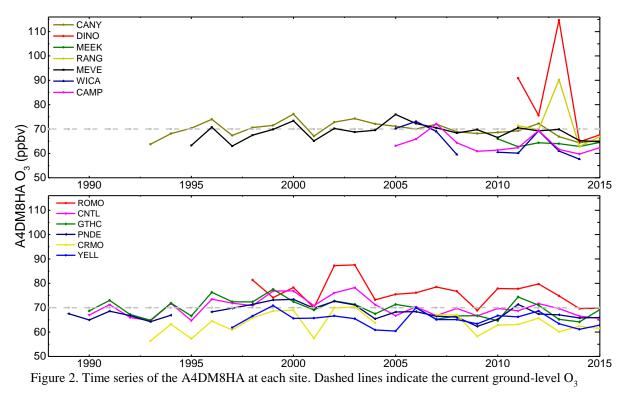


Figure 1. Topographic map of the mountain states with tight O&NG plays in the basins highlighted in yellow (https://www.eia.gov/). Eleven sites are located within 100km of a shale play (red dots), while seven sites are located at the periphery of the shale play (black dots). Blue dots show two campaign locations, Horse Pool and Boulder Atmospheric Observatory (BAO).



standard (70 ppbv).

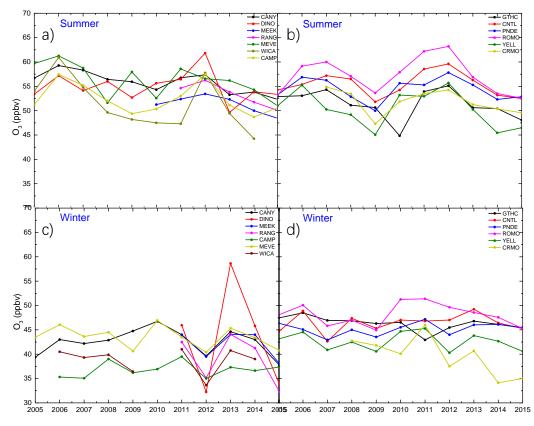


Figure 3. Time series of seasonal median values of DM8HA O_3 at each site in summer (a-b) and winter (c-d).

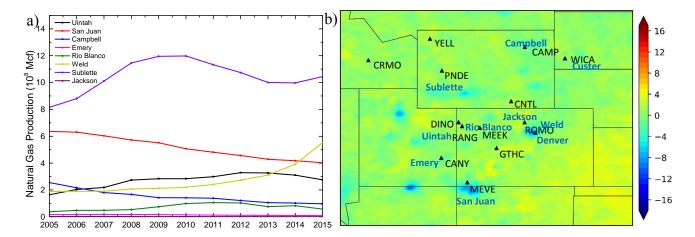


Figure 4. (a) Annual natural gas production in the counties that located in the O&NG basins over 2005 - 2015 (Data sources: the Utah Oil and Gas Program, https://oilgas.ogm.utah.gov/oilgasweb/index.xhtml; the Colorado Oil&Gas Conservation Commission, http://cogcc.state.co.us/data.html; the Wyoming Oil&Gas Conservation Commission, http://wogcc.wyo.gov/; the New Mexico Oil Conservation Division, http://www.emnrd.state.nm.us/ocd/). (b) The difference of OMI tropospheric NO₂ column densities (10^{14} molec.cm⁻²) in summer between periods of 2005 - 2010 and 2011 - 2015. Yellow color indicates regions where NOx has increased between 2005 - 2010 and 2011 - 2015, while blue color indicates regions where NOx has decreased. –(Data source: Tropospheric Emission Monitoring Internet Service (TEMIS), www.temis.nl).

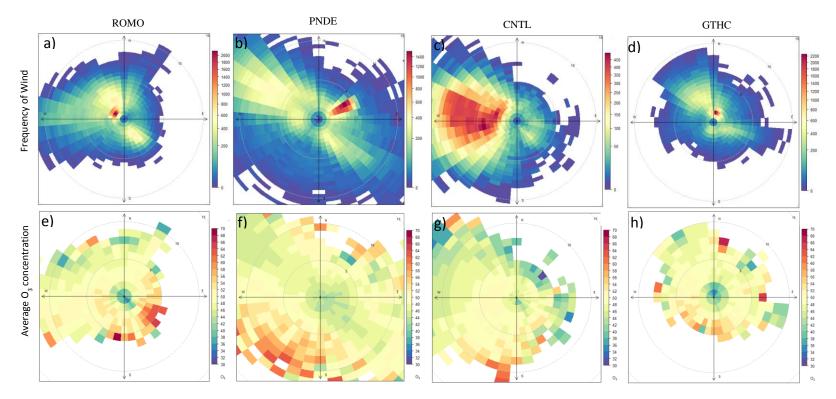


Figure 5. Frequency of wind (a-d) and average O_3 concentrations (ppbv) (e-h) binned by wind speed and wind direction at ROMO (a, e), PNDE (b, f), CNTL (c, g), and GTHC (d, h) over 2005 – 2015.

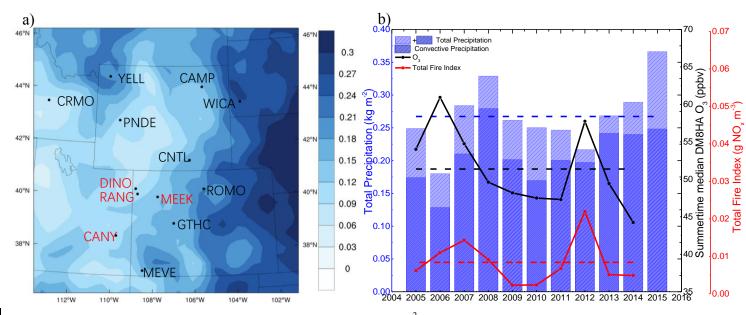


Figure <u>69</u>. (a) Decadal average summertime total precipitation (kg m⁻²) over 2005 – 2015, and the sites with low precipitation levels highlighted in red. (b) Time series of summer seasonal median DM8HA O_3 , convective precipitation, total precipitation, and total fire index at WICA.

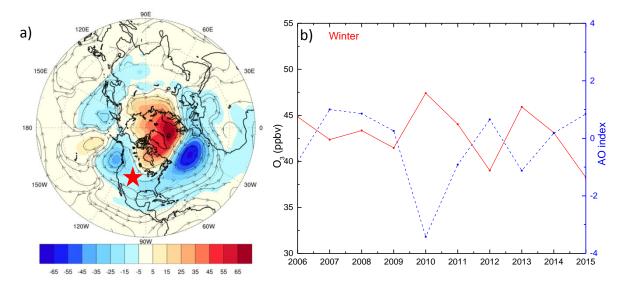


Figure <u>76</u>. (a) The difference of geopotential height (m) and streamlines at 850 hPa between the high (2006, 2008, 2010, 2011, 2013) and low O_3 years (2007, 2009, 2012, 2014, 2015). (b) Time series of median DM8HA O_3 averaged over the study region and the AO index in winter. The red star indicates the study region. (Source: NCEP/NCAR reanalysis)

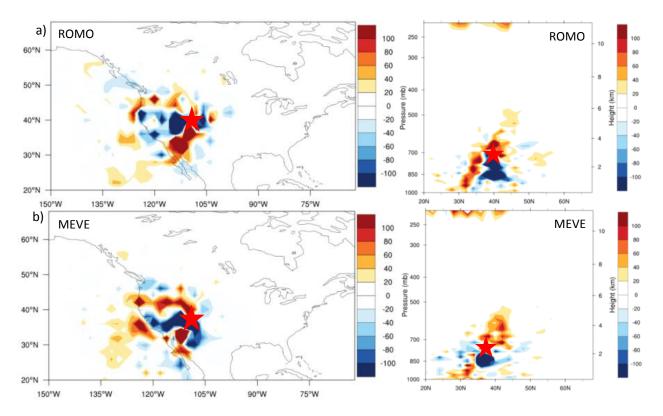


Figure <u>87</u>. Maps and cross sections of differences in the number of 5-day backward trajectories originating at (a) ROMO and (b) MEVE (a) YELL, (b) MEVE, (c) WICA, (d) PNDE, (e) ROMO, and (f) CNTL between high (2006, 2008, 2010, 2011, 2013) and low O_3 years (2007, 2009, 2012, 2014, 2015). Red stars indicate study sites. The red color indicates origins of air masses reaching the study site during high O_3 years, while blue color indicates locations of major air mass sources during low O_3 years.

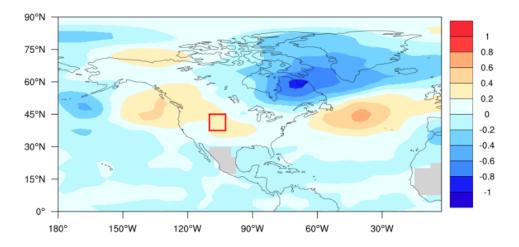


Figure <u>98</u>. The difference of potential vorticity $(10^{-6} \text{ m}^2 \text{ s}^{-1} \text{kg}^{-1} \text{K})$ at 315 K between the high O₃ years (2006,

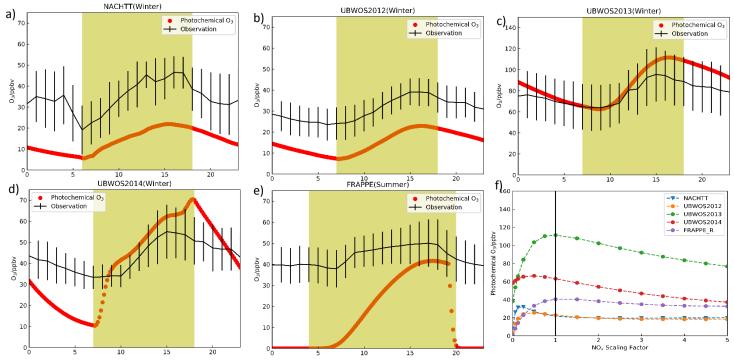


Figure 10. (a)-(e) Observed diel O_3 profile (black) and fully constrained base model calculated (red) for five campaigns. (f) NO_x sensitivity of maximum photochemical O_3 during each campaign. Shaded area indicated the time period between sunrise and sunset averaged over each campaign <u>The sensitivity of maximum photochemical O_3 to NO_x was tested by simulations with observed <u>VOC concentrations and observed NO_x mixing ratios which was scaled by a factor from 0 to 5. NOx scaling factor of 1 indicated observed NOx mixing ratios.</u></u>

Decadal Trends and Variability in Intermountain West Surface Ozone near Oil and Gas Extraction Fields

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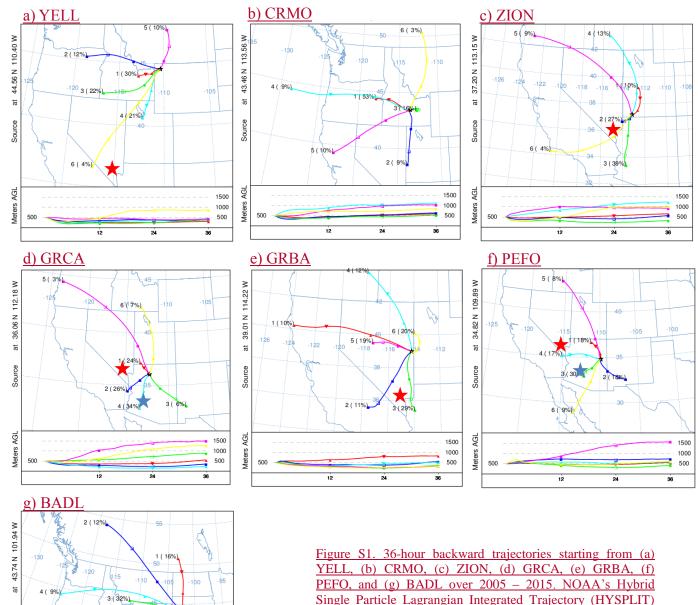
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S1. Locations of selected sites

Long term ozone (O₃) observations were available at 18 rural sites in the U.S. Intermountain West and 11 sites were located within 100 km of the shale play (Figure 1 and Table S1). Data were obtained from the National Park Service (NPS), Clean Air Status and Trends Network (CASTNET), and Wyoming Department of Environmental Quality (WDEQ).

S2. Identification of reference sites

The backwardBackward trajectory cluster analysis was used to identify sites that were under the minimal influence of oil and natural gas (O&NG) extractionsextraction (Figure 1). ZION, GRCA, GRBA, and PEFO are located in the downwind area of Las Vegas (Figures S1c-f). Cluster analysis indicatedsuggested that ~20% – 50% of air masses likely came from the direction of Las Vegas. In, NE, and in addition, ~30% of air masses at GRCA (Cluster 4) and PEFO (Cluster 3) passed Phoenix. AZ. Therefore, surface O₃ at ZION, GRCA, GRBA, and PEFO could bewas likely significantly influenced by the nearby anthropogenieurban emissions. At BADL, Cluster 3 indicatedsuggested that 32% of air masses was transported from the periphery of the Powder River Basin (Figure S1g). In comparison, nearly all clusters reaching YELL and CRMO were found be from the upwind areas of O&NG extraction. Therefore, YELL and CRMO were used as reference sites to investigate the decadal O₃ change.



YELL, (b) CRMO, (c) ZION, (d) GRCA, (e) GRBA, (f) PEFO, and (g) BADL over 2005 – 2015. NOAA's Hybrid Single Particle Lagrangian Integrated Trajectory (HYSPLIT) model was used with the NOAA Eta Data Assimilation System (EDAS40) dataset, which has a 3-hourly time and 40 km \times 40 km spatial resolution. The model runs were performed daily for 21:00 UTC. At each sites, a total of 4017 backward trajectories were grouped into six clusters using cluster analysis. Stars indicate the locations of starting points (black), Las Vegas (Red), and Phoenix (blue), separately.

Source

AGL

Meters /

500

\$

12

40

24

6 (15%)

1500

1000

500

Site Abbreviation	Park Unit/Measurement Network	Site	Latitude	Longitude	Elevation (m)	Start Date	End Date	Year-round Measurement
CANY	Canyonlands National Park	Island in the Sky	38.46	-109.82	1809	7/1/1992	12/30/2015	1993 - 2015
DINO	Dinosaur National Monument	West Entrance Housing	4 0.29	- 108.9 4	1463	4/1/2005	12/30/2015	2011 - 2015
MEEK	Meeker	Plant Science	40.00	-107.85	1994	1/1/2010	12/30/2015	2010 - 2015
		Resource Management						
MEVE	Mesa Verde National Park	Area	37.20	-108.49	2165	3/1/1993	12/30/2015	1995 - 2015
RANG	Rangely	Golf Course	4 0.09	-108.76	1655	8/1/2010	12/30/2015	2011 - 2015
ROMO	Rocky Mountain National Park	Long's Peak	40.28	-105.55	2743	<u>4/1/1987</u>	12/30/2015	1998 - 2015
WICA	Wind Cave National Park	Visitor Center	4 3.56	-103.48	1292	12/31/2003	12/30/2015	2005 - 2008; 2010 - 2014
CAMP	WDEQ	Campbell, WY	44.15	-105.53	1427	1/1/2005	12/31/2015	2005 - 2015
CNTL	CASTNET	Centennial, WY	4 1.36	-106.24	3175	5/9/1989	12/31/2015	1990 - 2015
GTHC	CASTNET	Gothic, CO	38.96	- 106.99	2915	<u>5/13/1989</u>	12/31/2015	1990 - 2015
PNDE	CASTNET	Pinedale, WY	42.93	-109.79	2386	10/21/1988	12/31/2015	1989 - 1994; 1996 - 2015
BADL	Badlands National Park	Visitor Center	43.74	-101.94	739	10/1/1987	12/31/2014	1988 - 1991; 2004 - 2014
CRMO	Craters of the Moon National Monument & Preserve	Visitor Center	4 3.46	- <u>113.56</u>	1815	9/24/1992	12/30/2015	1993 - 2004; 2007 - 2015
GRBA	Great Basin National Park	Maintenance Yard	39.01	-114.22	2060	<u>8/24/1993</u>	12/30/2015	1994 - 2015
GRCA	Grand Canyon National Park	The Abyss	36.06	-112.18	2073	1/1/1993	12/30/2015	1993 - 2015
PEFO	Petrified Forest National Park	South Entrance	34.82	-109.89	1723	1/1/2002	12/30/2015	2003 - 2015
YELL	Yellowstone National Park	Water Tank	44.56	-110.40	2400	6/1/1996	12/30/2015	1997 - 2015
ZION	Zion National Park	Dalton's Wash	37.20	-113.15	1213	1/1/2004	12/30/2015	2004 - 2015

Table S1. Names and locations of sites used in this study.

* MEEK and RANG are not located in national parks, but measurements at these two sites are also maintained by the NPS.

<u>S2</u>S3. Trends in surface ozone at each site

The<u>US EPA's</u> ozone design value (ODV) is defined as the 3 year running mean of the annual fourth-highest daily maximum 8 hour average (DM8HA) O₃ concentration. We calculated the annual fourth highest DM8HA (A4DM8HA) for the 13 monitoring sites and their trends were examined through ordinary linear least square regression<u>using Mann-Kendall</u> before and after 2005. The trends were also calculated separately for 5th, 50th, 95th percentiles of-DM8HA O₃ mixing ratios in summer and winter.

Table <u>S2S1</u>. Trends in the A4DM8HA O_3 at each site before and after 2005. Boldfaced numbers indicate p-value ≤ 0.10 .

Site	Time Period	Trends	Time Period	Trends (ppbv yr ⁻¹)	Average (ppbv)
CANY	1993 - 2004	0. <u>5561</u> (0.06)	2005 - 2015	-0. <u>5458</u> (0. <u>0204</u>)	68.8
CAMP			2005 - 2015	-0.44 <u>38</u> (0. 25 24)	63.9
DINO			2011 - 2015		82.7
MEEK			2010 - 2015		64.1
RANG			2011 - 2015		72.2
MEVE	1995 - 2004	0. <u>4553</u> (0. <u>2547</u>)	2005 - 2015	-0. 76<u>83</u> (<0.01)	69.4
ROMO	1998 - 2004	0.41 <u>29</u> (0.78 <u>99</u>)	2005 - 2015	-0.46 <u>19</u> (0.23 <u>64</u>)	75.1
WICA			2005 - 2014	-1. 21<u>16</u> (0.05<u>09</u>)	64.5
CTNL	1990 - 2004	0. 65 (<<u>68 (</u>0.01<u>03</u>)	2005 - 2015	-0. 06<u>03</u> (0.78<u>31</u>)	68.4
GTHC	1990 - 2004	0. <u>1710</u> (0. <u>4662</u>)	2005 - 2015	-0. <u>1621</u> (0. <u>6435</u>)	68.1
PNDE	1989 - 2004	<u>042 (</u> 0. 33 (0.03<u>02</u>)	2005 - 2015	-0. 08 <u>16</u> (0. 75 <u>35</u>)	66.8
CRMO	1993 - 2004	0. 79<u>83</u> (0.<u>0602</u>)	2007 - 2015	-0. 50 54 (0. 23 18)	63.1
YELL	1997 - 2004	-0. 30 18 (0. 57 54)	2005 - 2015	-0.1728 (0.58)	64.8

Table <u>S3S2</u>. Trends in seasonal 5th, 50th, and 95th percentiles of percentile DM8HA O₃ mixing ratios at each site in winter and summer over 2005 – 2015. Boldfaced numbers indicate p-value ≤ 0.10 . Note that wintertime O₃ in a certain year referred to O₃ in January, February, and December in the previous year.

		Winter	•		Summer					
Site	Time Period	5th	50th	95th	Time Period	5th	50th	95th		
CANY	2006 - 2015	<u>-0.0519</u>	-0. 07<u>33</u>	-0. <u>1745</u>	2005 - 2015	-0.53	-0. 51<u>50</u>	-0.40 <u>33</u>		
CAMP	2006 - 2015	0. 17<u>09</u>	0. 12<u>17</u>	-0. 17<u>16</u>	2005 - 2015	-0. <u>1206</u>	-0. 32 28	-0. 51<u>42</u>		
DINO	-				2005 - 2015	-0. 16<u>21</u>	-0. 11<u>15</u>	<u>-0.0901</u>		
MEVE	2006 - 2015	-0. <u>41<u>52</u></u>	-0. 22<u>34</u>	-0. <mark>49<u>67</u></mark>	2005 - 2015	-0. <u>51</u> 47	-0. <u>6360</u>	-0. <mark>61<u>69</u></mark>		
ROMO	2006 - 2015	0. 22 53	-0. 04<u>21</u>	-0. 21<u>33</u>	2005 - 2015	<u>-0.1322</u>	-0. 13<u>18</u>	-0. 34<u>28</u>		
WICA	2006 - 2014	-0. <u>2307</u>	-0. <u>1607</u>	-0. 30<u>03</u>	2005 - 2014	-0.56 <u>1.60</u>	- <u>0.98</u> 1.02	-1. <u>3860</u>		
CTNL	2006 - 2015	0. <u>1525</u>	<u>-</u> 0.13	-0. 08<u>35</u>	2005 - 2015	-0. 03<u>06</u>	-0. 07<u>12</u>	0. 14<u>15</u>		
GTHC	2006 - 2015	-0. <u>1104</u>	-0. 2 4 <u>23</u>	-0. 36<u>23</u>	2005 - 2015	-0. 25<u>18</u>	-0. 31<u>35</u>	-0. 23 25		
PNDE	2006 - 2015	0.12	0. 10<u>17</u>	-0. 69 27	2005 - 2015	0. 26<u>14</u>	-0. 07<u>13</u>	-0. <u>1009</u>		
CRMO	2008 - 2015	-0. 71<u>76</u>	-1. 18<u>30</u>	-1. <u>1012</u>	2007 - 2015	-0. 14 <u>16</u>	-0. 34 <u>54</u>	-0. 46<u>57</u>		
YELL	2006 - 2015	-0. 06<u>04</u>	-0.10	-0. 55<u>59</u>	2005 - 2015	-0. 25<u>32</u>	-0. 39<u>46</u>	-0. <u>1120</u>		

<u>8483</u>. Emissions in selected counties

Emissions of NOx and VOCs were obtained from EPA's National Emission InventoryInventories (NEI).

			NOx emiss	ion (Ton<u>ton</u>)_O&NG Ex	traction	NOx	emission (Te	m <u>ton</u>)_total	
County	State	Basin	2005	2008	2011	2014	2005	2008	2011	2014
San Juan	New Mexico	San Juan Basin	263	351	14504	13906	80997	35626	44806	41902
Emery	Utah	Paradox Basin	0	0	88	158	30282	32814	22215	20729
Uintah	Utah	Uintah-Piceance Basin	0	0	10033	7412	8698	2049	11897	9407
Campbell	Wyoming	Powder River Basin	0.33	2407	505	2301	12142	22195	44429	18702
Sublette	Wyoming	Greater Green River Basin	0	5977	2501	4188	2369	9091	4970	5792
Jackson	Colorado	North Park Basin	0	0	2	107	243	442	632	573
Rio Blanco	Colorado	Uintah-Piceance Basin	7	190	1434	4021	2020	3914	5027	6997
Weld	Colorado	Denver-Julesburg Basin	0	76	12478	17892	13112	20088	32696	33275
Custer	South Dakota	-	0	0	2.25	0.45	1156	1309	1727	1373

Table $\frac{54S3}{NO_x}$ emissions in selected counties

Table <u>\$5</u><u>\$4</u>. VOC emissions in selected counties

			VOC emiss	sion (Ton<u>to</u>1	<u>1)_</u> O&NG Ex	xtraction	VOC	emission (T	on<u>ton</u>)_ total	
County	State	Basin	2005	2008	2011	2014	2005	2008	2011	2014
San Juan	New Mexico	San Juan Basin	142	512	22089	32819	8858	58095	88840	97096
Emery	Utah	Paradox Basin	0	0	459	549	1715	43441	46945	37107
Uintah	Utah	Uintah-Piceance Basin	0	0	76502	86915	4545	40044	116207	126578
Campbell	Wyoming	Powder River Basin	52	2697	6703	8559	9558	35514	48870	34363
Sublette	Wyoming	Greater Green River Basin	5	15863	9079	58304	1558	70276	45282	86146
Jackson	Colorado	North Park Basin	28	57	516	688	1125	17402	20813	12850
Rio Blanco	Colorado	Uintah-Piceance Basin	0.4	1092	23432	6141	1647	34518	57809	38601
Weld	Colorado	Denver-Julesburg Basin	0	713	104473	91709	12846	52991	150982	116146
Custer	South Dakota	ç	0	0	12	1	1789	27132	29169	19210

S5. Wintertime O₃-at Edmonton, Alberta, Canada

Ozonesonde data at Edmonton were obtained from the World Ozone and Ultraviolet Radiation Data Center (<u>http://woude.org/data/products/ozonesonde/</u>). Significant negative correlation (r = -0.49, p = 0.01) was found between lower tropospheric O₃ at Edmonton and the AO index over the winter of 1988 – 2014 (Figure <u>\$2</u>).

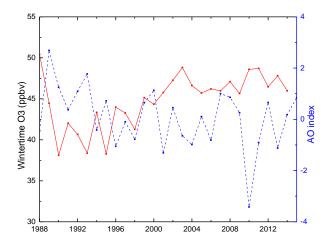


Figure S2.Time series of lower tropospheric $(2 - 5 \text{ km}) \text{ O}_3$ at Edmonton (53.55 N, 114.10 W) and the AO index in winter.

<u>S6S4</u>. Fire index

The<u>NOAA's</u> Lagrangian dispersion model, Hybrid Single-Particle Lagrangian Integrated Trajectory (HYSPLIT) model, was used to estimate O₃ concentrations determined by wildfire emissions. HYSPLIT simulated the long-range and mesoscale transport, diffusion, and dry and wet deposition of gas or particles (Draxler & Hess, 1998). The National Center for Environmental Prediction (NCEP) Eta Data Assimilation System (EDAS40) datasets, with a 3hourly time and 40 km × 40 km spatial resolution, were used to drive the Lagrangian dispersion model. For each day over summer 2005 – 2015, 250,000 particles were released at a constant hourly rate during the first 24 h at a height of 100 m over<u>at</u> each measurement site. A decay halflife of five days occurred to the particle mass (Lu et al., 2016) and the particles were traced backward for five days. The retroplumes of each sample were calculated daily in $0.25 \circ \times 0.25 \circ$ horizontal resolution from the surface to 5 km. In total, we have computed over 4950 HYSPLIT retroplumes for each Intermountain West site.

The Global Fire Emissions Database version 4.1 (GFED4s) werewas used to provide information of biomass burning emissions. GFED4s hadhas a spatial resolution of $0.25^{\circ} \times$ 0.25 ° and contained contains monthly burned area, fire carbon and dry matter emissions (DM), as well as daily fraction and contributions of different fire types to the total emissions since 2003. The fire types included include savanna, grassland, and shrubland fires, boreal forest fires, temperature forest fires, tropical deforestation and degradation fires, peatland fires, and agricultural waste burning. Biomass burning was complex and the emissions depended depend greatly on the ecosystem type (Jaffe et al., 2008). Akagi et al. (2011) found that wildfire emissions had a relatively high VOC/NO_x ratio of $\sim 10 - 100$ and O₃ production in smoke plumes was very sensitive to NO_x concentrations. Different from previous studies (Jaffe et al., 2008; Lu et al., 2016) using monthly or daily wildfire burned areas, we estimated daily wildfire NO_x emissions using monthly DM emissions, daily fraction, and fractional contribution of fire types in combination of emission factors (Akagi et al. 2011). Based on Lu et al. (2016), we then calculated a fire index (FI) as the product of daily HYSPLIT residence time and daily wildfire NO_x emission, in units of g NO_x m⁻³. The sum of FI over the 5-day period was defined as total fire index (TFI):

$$FI(n) = \sum_{i} \sum_{j} \sum_{k} E_{DM}(i, j) \times t_{r}(i, j, n) \times F_{daily}(i, j, n) \times F_{type}(k)$$
$$TFI = \sum_{n=1}^{5} FI(n)$$

where $E_{DM}(i, j)$ was the monthly wildfire dry matter emission in the model grid cell *i* (longitude) and *j* (latitude), $F_{daily}(i, j, n)$ was the daily fraction of wildfire emissions, $F_{type}(k)$ was the NO_x emission factor from fire type k, $t_{r(i, j, n)}$ was HYSPLIT calculated daily residence time, and n defined the backward day in the 5-day period. The summertime wildfire NO_x impacts were computed by averaging the daily TFI index.

<u>\$7</u><u>**§5</u>**. Impacts of wildfire emissions on summertime O₃</u>

In contrast to wintertime O_3 levels, summertime median DM8HA O_3 displayed significant interannual variations with large differences between sites (Figure 3). The TFI, calculated with HYSPLIT dispersion model <u>simulations</u> and wildfire NO_x emissions, <u>variedshowed large interannual variation</u> at each site over 2005 – 2015 (Figure <u>\$3\$2</u>). In 2012, the western U.S. experienced widespread drought with hot weather causing frequent wildfires across the region (Abeleira & Farmer, 2017). The decadal highest TFI value (0.013 – 0.052 g NO_x m⁻³) in summer 2012 were observed at CRMO and YELL, as well as 9 other sites (DINO, MEEK, RANG, ROMO, WICA, CAMP, CNTL, PNDE, and GTHC) (Figure <u>\$3\$2</u>), which was mostly consistent with the observed decadal highest summertime median DM8HA O₃ at the same sites except WICA (54 – 65 ppbv).

Significant positive correlations between summertime O₃ and TFI were found at the reference site YELL, as well as at CANY, CAMP, DINO, MEVE, WICA, CNTL, GTHC, and PNDE during their respective time periods over 2005 – 2015 (Table 255). It should be noted that significant correlation was correlations were found for seasonal 75th or 95th percentiles percentile DM8HA O₃ mixing ratios with TFI at YELL, CANY, GTHC, PNDE, and MEVE. Strong correlations, albeit not statistically significant, were also found between TFI and summertime 95th percentile DM8HA O₃ at RANG (Table <u>\$6\$5</u>). As indicated by data at the reference site YELL, the wildfire emissions had a larger impact on high O₃ levels in summer over the Intermountain West. While wintertime O₃ at CANY, DINO, and RANG was strongly impacted

by photochemical production from O&NG emissions within the basins (Section 56), during the summer, the interannual variability of O_3 at 8 out of 11 sites near the O&NG extraction fields appeared to be predominantly impacted by photochemical production from wildfire emissions.

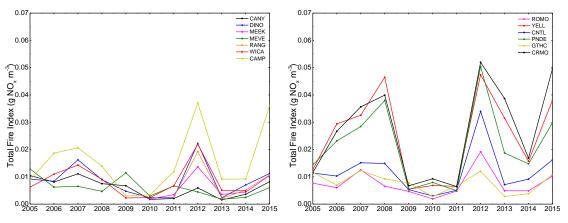


Figure <u>\$3\$2</u>. Time series of summertime total fire index at each site.

Table <u>S6S5</u>. Correlation <u>coefficientcoefficients</u> (r) and p-<u>valuevalues</u> in parenthesis between the pairs of variables over the summer of 2005 - 2015. Boldfaced numbers indicate p-value ≤ 0.10 .

			r(O ₃ vs TFI)		Partial r	Regression	r(0 ₃	vs Relative Humi	dity)	Partial r
Site	Time Period	5th	50th	95th	95th	Intercept_50th	5th	50th	95th	5th
CANY	2005 - 2015	0.20 (0.55)	0.47 (0.14)	0.37 (0.26)	0.32 (0.40)	54.01	-0.65 (0.08)	-0.50 (0.11)	-0.01 (0.97)	-0.61 (0.07)
CAMP	2005 - 2015	0.33 (0.33)	0.57 (0.06)	0.50 (0.12)	0.22 (0.57)	50.08	-0.45 (0.19)	-0.92 (<0.01)	-0.66 (0.04)	-0.88 (<0.01)
DINO	2005 - 2015	0.62 (0.04)	0.58 (0.06)	0.62 (0.04)	0.67 (0.10)	52.42	-0.14 (0.72)	-0.18 (0.64)	-0.21 (0.59)	0.74 (0.06)
MEEK	2010 - 2015	0.13 (0.81)	0.01 (0.99)	0.45 (0.37)	0.35 (0.56)	51.28	-0.77 (0.06)	-0.71 (0.11)	-0.31 (0.55)	-0.85 (0.07)
RANG	2011 - 2015	0.34 (0.57)	0.26 (0.67)	0.76 (0.24)	0.07 (0.92)	52.58	-0.90 (0.03)	-0.62 (0.26)	-0.32 (0.60)	-0.91 (0.09)
MEVE	2005 - 2015	0.39 (0.24)	0.50 (0.11)	0.66 (0.03)	0.42 (0.26)	53.21	-0.49 (0.12)	-0.46 (0.14)	-0.36 (0.28)	-0.43(0.25)
ROMO	2005 - 2015	0.28 (0.39)	0.40 (0.22)	0.40 (0.21)	0.53 (0.12)	54.89	-0.40 (0.22)	-0.67 (0.02)	-0.80 (<0.01)	-0.58 (0.09)
WICA	2005 - 2014	0.65 (0.04)	0.71 (0.02)	0.57 (0.08)	-0.42 (0.30)	46.18	-0.71 (0.02)	-0.97 (<0.01)	-0.90 (<0.01)	-0.79 (0.02)
CNTL	2005 - 2015	0.59 (0.06)	0.49 (0.12)	0.36 (0.28)	-0.13 (0.83)	53.69	-0.69 (0.13)	-0.74 (0.09)	-0.38 (0.45)	-0.44 (0.46)
GTHC	2005 -2015	0.28 (0.40)	0.55 (0.08)	0.49 (0.03)	0.67 (0.09)	47.76	0.24 (0.65)	-0.19 (0.72)	-0.21 (0.69)	0.45 (0.45)
PNDE	2005 - 2015	0.46 (0.16)	0.41 (0.21)	0.53 (0.09)	-0.06 (0.89)	52.96	-0.58 (0.10)	-0.67 (0.55)	-0.78 (0.01)	-0.70 (0.05)
CRMO	2007 - 2015	-0.45 (0.22)	0.33 (0.38)	0.29 (0.44)	-0.26 (0.58)	50.58	0.36 (0.48)	-0.89 (0.02)	-0.91 (0.01)	-0.87 (0.05)
YELL	2005 - 2014	0.01 (0.49)	0.14 (0.34)	0.50 (0.05)	-0.03 (0.95)	49.57	-0.56 (0.04)	-0.70 (0.01)	-0.81 (<0.01)	-0.70 (0.03)

Regression equations were used to quantify the relationship between seasonal median DM8HA O_3 and TFI, where the intercepts from the equations represented decadal summertime median DM8HA O_3 values in the absence of fires (Jaffe et al., 2008). No significant positive correlation was found between summertime O_3 and TFI at ROMO, and TFI, while the O_3

concentration without wildfires (55 ppbv), based on the intercept value, was found to be the highest at this site. As stated in Section 4, ROMO was influenced by the high O_3 concentrations from the southeast over 2005 – 2015 (Figure 5). Summertime O_3 at ROMO was under strong influence of frequent transport of highly polluted air masses emerging from the greater Denver area, which likely dominated over the impact of wildfire emissions during the decade of the study period. Reddy and Pfister (2016) also found significant correlations between July DM8HA O_3 and 500hPa heights, particularly in areas of elevated terrain near urban sources of O_3 precursors.

<u>S6. Wintertime O₃ at Edmonton, Alberta, Canada</u>

Ozonesonde data at Edmonton were obtained from the World Ozone and Ultraviolet Radiation Data Center (http://woudc.org/data/products/ozonesonde/). Significant negative correlation (r = -0.49, p = 0.01) was found between lower tropospheric O₃ at Edmonton and the AO index over the winter of 1988 – 2014 (Figure S3).

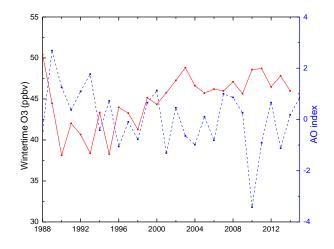
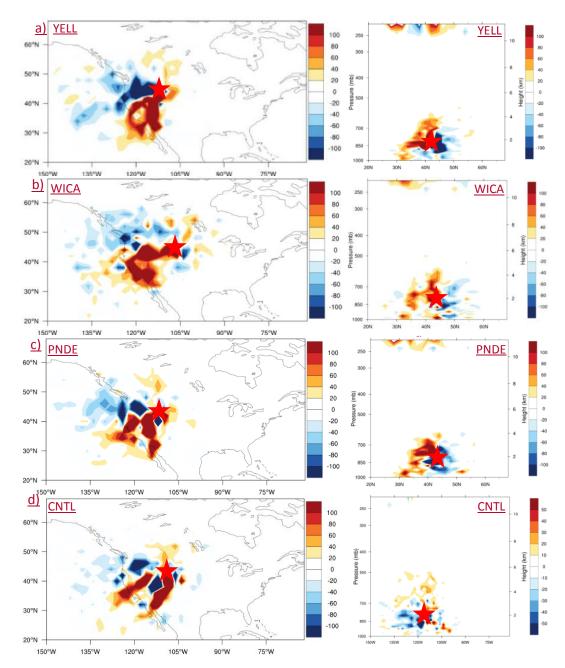


Figure S3.Time series of lower tropospheric $(2 - 5 \text{ km}) O_3$ at Edmonton (53.55 °N, 114.10 °W) and the AO index in winter.



S7. Contributions of transport from the Arctic and West Coast

Figure S4. Maps and cross sections of differences in the number of 5-day backward trajectories originating at (a) YELL, (b) WICA, (c) PNDE, (d) CNTL, and between high (2006, 2008, 2010, 2011, 2013) and low O₃ years (2007, 2009, 2012, 2014, 2015). Red stars indicate study sites. Red color indicates regions and heights from where more air masses reached the study site in high O3 years, while blue color indicates locations of air mass sources in low O3 years.

S8. BOXMOX Model Simulations

S8.1 Field Campaigns

Surface observations were obtained from five field campaigns to constrain model simulations (Tables S7 8S6–7). The Nitrogen, Aerosol Composition, and Halogens on a Tall Tower (NACHTT) campaign was conducted at the National Oceanic and Atmospheric Administration's Boulder Atmospheric Observatory (BAO). BAO is located in a primarily agricultural region and is very close to major urban areas. It is ~35 km north of Denver and ~30 km east of Boulder. In addition, the site is located within the Denver-Julesburg Basin, which is an active oil and gasO&NG exploration and production region. The Uintah Basin Winter Ozone Studies (UBWOS) were a set of field campaigns held at Horse Pool during January and February of 2012, 2013, and 2014. Horse Pool is a remote site, located within the oil and gas basin of northeastern Utah. In summer 2014, the NSF Front Range Air Pollution and Photochemistry Experiment (FRAPPÉ) and the NASA Deriving Information on Surface Conditions from Column and Vertically Resolved Observations Relevant to Air Quality (DISCOVER-AQ) field campaigns conducted aircraft, mobile, and ground-based measurements over 15 locations across the Front Range. In this study, we used field measurements at ROMO-LP. All campaign data were available at https://esrl.noaa.gov/csd /groups/csd7/measurements/.

Table S7. NameS6. Names and locations of campaign sites used in this study

Campaign	Time Period	State	Site	Elevation/km	Latitude	Longitude
NACHTT	02182011-03132011	Colorado	BAO	1.58	40.05	-105.01
UBWOS2012	01172012-02222012	Utah	Horse Pool	1.53	40.14	-109.47
UBWOS2013	02012013-02212013	Utah	Horse Pool	1.53	40.14	-109.47
UBWOS2014	01182014-02142014	Utah	Horse Pool	1.53	40.14	-109.47
FRAPPÉ	07172014-09032014	Colorado	ROMO-LP	2.74	40.28	-105.55

S8.2 Photolysis Rates

The model used the NCAR TUV radiation model to calculate photolysis frequencies, with inputs for latitude, longitude, altitude, Julian day, temperature, surface pressure, total O₃ column, and albedo. Inputs of surface temperature and pressure were constrained to measurements from field campaigns, while total O₃ column was derived from averaged OMI data. The TUV calculated photolysis frequencies were then scaled to the observed j(NO₂), except for j(O¹D). Measurement of j(NO₂) was not available for UBWOS2013, UBWOS2014, and FRAPPÉ. Instead, total downwelling radiation measurements from these three campaigns were used to calculate photolysis frequencies by comparison with data from UBWOS2012 (Edwards et al. 2014). Polynomial regression was used to find the relationship between downwelling solar radiation versus j(NO₂) using data from UBWOS2012 (E1). Then, j(NO₂) during UBWOS2013, UBWOS2014, and FRAPPÉ was calculated using the derived equation (E1).

 $\frac{j \text{ (NO}_2) = 5.509 \times 10^{-6} + 1.425 \times 10^{-5} \times \text{Radiation}_{\text{downwelling}} - 4.760 \times 10^{-9} \times \text{Radiation}_{\text{downwelling}}}{4.760 \times 10^{-9} \times \text{Radiation}_{\text{downwelling}}} - \text{E1}j}$ $(\text{NO}_2) = 1.425 \times 10^5 \text{ Radiation}_{\text{downwelling}} + 4.760 \times 10^9 \text{ Radiation}_{\text{downwelling}}^2 - (\text{E1})$

S8.3 Turbulent Mixing

Mixing ratios of CO, CH₄, NO, NO₂, and non-methane VOCs were constrained to observations by introducing turbulent mixing. This was represented by adding the background concentration of a species outside the box (Knote et al., 2015).

$$\frac{\partial c_{box}}{\partial t} = \frac{1}{\tau} (c_{bg} - c_{box} (t = t_0))$$
$$c_{box} (t = t_0 + \Delta t) = c_{box} (t = t_0) + \frac{\partial c_{box}}{\partial t} \cdot \Delta t$$

Where t_0 was the beginning of the time step, τ the mixing time-scale, c_{bg} the background concentrations of a species i outside the box, and c_{box} the initial concentration of the species i.

S8.4 Physical loss

First order rate constants were used to simulate all non-chemical loss in the model due to surface deposition. DryA dry deposition rates velocity of 0.4 cm/s were was used for O_3 over the continent and 0.07 cm/s over the snow, based on Hauglustaine et al. (1994).

		0	bservational technic	que	
Compound	NACHTT	UBWOS2012	UBWOS2013	UBWOS2014	FRAPPÉ
O_3	CRDS	CRDS	CRDS	CRDS	
H_2O					
NO	CRDS	CRDS	CRDS	CRDS	No_noxbox
NO_2	CRDS	CRDS	CRDS	CRDS	NO2_Noxbox
N2O5	CRDS	CRDS	CRDS	CRDS	
HNO ₃	CIMS	CIMS	CIMS	HR-TOF-CIMS	
HONO	CIMS	CIMS	CIMS	HR-TOF-CIMS	
CO	Thermo 48C	VUF	VUF	VUF	
Alkanes					
Methane	1.8 ppmv	CRDS	CRDS	CRDS	1.8 ppmv
Ethane	GC-MS*	GC-MS	GC-FID	GC-MS	GC-MS*
Propane	GC-MS*	GC-MS	GC-FID	GC-MS	GC-MS*
n-Butane	GC-MS*	GC-MS	GC-FID	GC-MS	GC-MS*
iso-Butane	GC-MS*	GC-MS	GC-FID	GC-MS	GC-MS*
n-Pentane	GC-MS*	GC-MS	GC-FID	GC-MS	GC-MS*
iso-Pentane	GC-MS*	GC-MS	GC-FID	GC-MS	GC-MS*
n-Hexane	GC-MS*	GC-MS	GC-FID	GC-MS	GC-MS*
n-Heptane	GC-MS*	GC-MS	GC-FID	GC-MS	GC-MS*
n-Octane	GC-MS*	GC-MS		GC-MS	GC-MS*
n-Nonane	GC-MS*	GC-MS		GC-MS	GC-MS*
n-Decane	GC-MS*	GC-MS		GC-MS	
Undecane	GC-MS	GC-MS			
2-Methylpentane	GC-MS*	GC-MS	GC-FID	GC-MS	
3-Methylpentane	GC-MS*	GC-MS	GC-FID	GC-MS	
2,2-Dimethylbutane	GC-MS*	GC-MS	GC-FID	GC-MS	
2,3-Dimethylbutane	GC-MS*				
2-Methylhexane	GC-MS*				

TableS8TableS7. Chemical observations used to inform the box model analysis in this analysis.

3-Methylhexane				GC-MS	
Cyclohexane	GC-MS*	GC-MS	PTR-MS ¹	GC-MS	
Neopentane	GC-MS*	GC-MS	GC-FID		
Aromatics					
Benzene	GC-MS*	GC-MS	GC-FID	GC-MS	GC-MS*
Toluene	GC-MS*	GC-MS	PTR-MS	GC-MS	GC-MS*
Ethyl Benzene	GC-MS*	GC-MS	PTR-MS ¹	GC-MS	GC-MS*
n-Propyl Benzene	GC-MS*	GC-MS	PTR-MS ¹	GC-MS	
iso-Propyl Benzene	GC-MS*	GC-MS	PTR-MS ¹	GC-MS	
m-Xylene	GC-MS*	GC-MS	PTR-MS ¹	GC-MS	GC-MS*
p-Xylene	GC-MS*	GC-MS		GC-MS	GC-MS*
o-Xylene	GC-MS*	GC-MS	$PTR-MS^1$	GC-MS	GC-MS*
1,3,5-Trimethylbenzene	GC-MS*	GC-MS	$PTR-MS^1$	GC-MS	
1,2,3-Trimethylbenzene	GC-MS*	GC-MS	$PTR-MS^1$	GC-MS	
1,2,4-Trimethylbenzene	GC-MS*	GC-MS	$PTR-MS^1$	GC-MS	
m-Ethyltoluene	GC-MS*			GC-MS	
p-Ethyltoluene	GC-MS*			GC-MS	
o-Ethyltoluene	GC-MS*	GC-MS	$PTR-MS^1$	GC-MS	
Styrene	GC-MS*	GC-MS			
Alkenes and Alkynes					
Ethyne (Acetylene)	GC-MS*	GC-MS	GC-FID	GC-MS	GC-MS*
Ethene	GC-MS*	GC-MS	GC-FID	GC-MS	GC-MS*
Propene	GC-MS*	GC-MS	GC-FID	GC-MS	GC-MS*
1-Butene	GC-MS*				GC-MS*
trans-2-Butene	GC-MS*				GC-MS*
cis-2-Butene	GC-MS*				GC-MS*
iso-Butene					GC-MS*
1-Pentene	GC-MS*				
trans-2-Pentene	GC-MS*				
cis-2-Pentene	GC-MS*				
2-Methyl-1-Butene	GC-MS*				
2-Methyl-2-Butene	GC-MS*				
1,3-Butadiene		GC-MS			
Aldehydes and Ketones					
Acetone	GC-MS	GC-MS	PTR-TOF-MS	GC-MS	PTR-MS
2-Butanone (Methyl Ethyl Ketone)	GC-MS	GC-MS	PTR-TOF-MS	GC-MS	PTR-MS
Formaldehyde	PTR-MS	PTR-MS	PTR-MS	PTR-TOF-MS	1 117-1010
Acetaldehyde	GC-MS	GC-MS	PTR-TOF-MS	GC-MS	PTR-MS
Propanal	GC-MS	GC-MS		GC-MS	1 117-1010
Butanal	00-1410	GC-MS GC-MS		GC-MS	
Dutuniti		00 110		00 110	

Hexanal		GC-MS		GC-MS	
2-Propenal (Acrolein)					
Benzaldehyde		GC-MS			
Alcohols					
Methanol	GC-MS	GC-MS	PTR-TOF-MS	GC-MS	PTR-MS
Ethanol	GC-MS	GC-MS			
iso-Propanol	GC-MS				
1-Butanol	GC-MS				
Biogenics					
Isoprene	GC-MS	GC-MS			GC-MS*
Methyl Vinyl Ketone	GC-MS	GC-MS	PTR-TOF-MS	PTR-TOF-MS	PTR-MS
Methacrolein	GC-MS	GC-MS			PTR-MS
α-Pinene	GC-MS*		PTR-TOF-MS		GC-MS*
β-Pinene	GC-MS*		PTR-TOF-MS		GC-MS*
Limonene	GC-MS*				
Alkyl Nitrates					
Methyl Nitrate	GC-MS*	GC-MS			GC-MS*
Ethyl Nitrate	GC-MS*	GC-MS		GC-MS	GC-MS*
n-Propyl Nitrate	GC-MS*	GC-MS		GC-MS	GC-MS*
iso-Propyl Nitrate	GC-MS*	GC-MS		GC-MS	GC-MS*
n-Butyl Nitrate				GC-MS	
2-Butyl Nitrate	GC-MS*				GC-MS*

*indicated that VOCs were collected with canister first and then measured with GC-MS.

List of Acronyms in Table S7

<u>CRDS – Cavity Ring Down Spectroscopy</u>

<u>GC-FID – Gas Chromatograph Flame Ionization Detector</u>

<u>GC-MS – Gas Chromatograph Mass Spectrometry</u>

<u>HR-TOF-MS – High Resolution Time-of-Flight Mass Spectrometry</u>

PTR-MS – Proton Transfer Reaction Mass Spectrometry

PTR-TOF-MS – Proton Transfer Reaction Time-of-Flight Mass Spectrometry

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