Journal: ACP

MS No.: acp-2019-156
MS Type: Research article

Title: Observations of Highly Oxidised Molecules and Particle Nucleation in the Atmosphere of Beijing

Author(s): James Brean et al.

RESPONSE TO REVIEWERS

We thank the reviewers for their very helpful comments and are pleased to respond.

REVIEWER #1

L27: please define the used acronyms (VOC, BVOC)

RESPONSE: Definitions added.

L27: It would be good to mention already in the abstract when the data were taken (month and year).

RESPONSE: Dates added.

L37: "O3 is lower on the days with higher HOM concentrations": This sounds as if O3 inhibits the HOM formation. Can this just be coincidence as there are relatively few days of measurements?

RESPONSE: This is probably not O_3 inhibiting the formation, this just indicates that O_3 may not be as important an oxidant as OH. The wording here has been changed to better indicate this.

135: 3 sccm of carrier (sheath?) gas flow for N2 is very low as this flow is typically on the order of 20 to 30 slm in CI-APi-TOF instruments, please check. In addition, only one unit for the flows should be used (currently Lpm, sccm and SLM are used).

RESPONSE: Carrier flow refers to the small flow of N_2 across the surface of liquid HNO₃, carrying HNO₃ through to the inlet to produce NO_3 . This has been reworded for clarity and the rest of the units have been fixed.

L145: Usually the nitric acid trimer (m/z 188, i.e., (HNO3)2NO3 –) yields a rather high signal in nitrate CI-APi-TOF spectra, too. If this signal is not observed it points to rather strong fragmentation of cluster ions. Is the trimer signal missing completely? Furthermore, it is mentioned here that all signals are normalized with the primary ion count rates; however, in the figures this normalization seems to be missing. The statement here also contradicts the statement in

RESPONSE: The trimer signal is present in these spectra, just relatively small compared to these other reagent ion peaks, so would make a relatively small change to this normalization, and there are other occasional peaks which appear within one full-width-half-maximum of the peak at 188, causing some uncertainty in the signal intensity.

L149/150 ("... all values are reported in signal intensity, ions/s.").Rather than reporting signal intensity (ions/s) I highly recommend to report normalized signals in all figures, i.e., the data should be normalized by the sum of all primary ions (m/z 62, 80, 125 and 188, if present). It would also be good to mention that the conversion constant (from normalized counts to concentrations) is typically between a few 109 and 1×1010 molecule cm-3 (see e.g., Kürten et al., 2012). In this way the reader can get an idea of the rough HOM and sulfuric acid concentrations. One further suggestions relates to the fact, that concentrations of SO2 and OH were measured along with the condensation sink. From these data the H2SO4 concentration can be estimated (using a simple steady-state assumption for the main source and the sink of H2SO4). In this way, an estimate for the calibration constant can be derived.

RESPONSE: This was a mislabelling of the axes. All of these signals have been normalised to reagent ion counts of 1e+5 and this has been fixed on all figures. Unfortunately the OH, HO₂ and RO₂ data have very

little temporal overlap with our own (discussed below) so the resultant H_2SO_4 proxy had very little crossover with our own values. Values of the calibration constant have been calculated from the very limited data available and are now included.

L150: It would be good to mention typical values for the mass resolving power and mass accuracy.

RESPONSE: These have been added (3500 m/dm, 20ppm @ 288 m/Q).

L165/166: Please swap the order of the reported size ranges as the LongSMPS is mentioned before the NanoSMPS.

RESPONSE: Fixed.

L168 and L170: The term "saturator pressures" is used here; however, in the PSM the saturator flow rates are varied in order to achieve different diethylene glycol supersaturations; this should be clarified.

RESPONSE: Fixed. This should have read saturator flows.

L172: It is not clear what is meant by "similar behavior of the upper and two lower size cuts". Do the authors mean that the concentrations for the lower and upper two size channels typically correlate very well?

RESPONSE: Each member of the two smaller (<1.3 and 1.36 nm) and two larger (1.67 and 2.01 nm) correlated well and also provided data of near identical magnitudes, so the average of these were taken to produce just one single dN/dlogdp value.

L187: It is mentioned that OH, RO2 and HO2 concentrations were measured, yet, none of these data are shown. To my knowledge the present study is the first ambient study where HOM, O3, OH, HO2 and RO2 were measured simultaneously. Therefore, a lot could be learned about the different HOM formation pathways (e.g., if certain HOM originate rather from reactions with OH or O3). It would be great if somehow the HOx data could be incorporated in the data analysis.

RESPONSE: Unfortunately the FAGE data was only coincidental with a small amount of the CIMS data. There is about 19 hours of overlapping data on 21/06/2017, and a few hours on 23/06 and overnight on 24/06/2017 - 25/06/2017. As this data is sparse, we felt it was not enough to add any meaningful interpretation of our own data.

Figure S1: please show the (normalized, see comment above) H2SO4 signals on a log scale

RESPONSE: This has been fixed. NO and NO₂ are now also on log scales.

L209: delete one of the "that"

RESPONSE: Removed.

L221: I think some of the signals cannot be unambiguously identified, e.g., the mentioned sum formula could also be written as C5H8O2(HNO3)2 or C5H9NO5(HNO3), where the HNO3 could be coming from the charger ions (i.e., (HNO3)2NO3 – or (HNO3)NO3 – rather than NO3 –). One way to test this hypothesis is to check if the m/z 288 signals correlates with m/z 225 (this could be the same neutral molecule just with one less HNO3 from the charging process). I also think that this possibility of ambiguity exists for some other nitrogen containing species, which affects the evaluation of the oxidation state values shown in Figure 1. Although the question of ambiguity cannot be ultimately resolved it should be mentioned and discussed briefly.

RESPONSE: Checking all of these signals was part of our analyses. None of these peaks correlated with their nitrate monomer/dimer/trimer counterparts. If some of our formulae were to exist as clusters with

the nitrate dimer, it would follow that their cluster with the nitrate monomer would be seen 63 m/Q lower with a much higher signal, and these two species would correlate well.

L245/246: Schobesberger et al. (2015) provide a detailed list of observed signals in the nucleating system of sulfuric acid and ammonia. From their observations prominent signals for 3 the reported masses (m/z 344 and m/z 362) seem rather unlikely. I would also be surprised if just these two mixed ammonia-sulfuric acid peaks show up in the spectra without any others. Have the authors considered the isotopic distributions of the assigned signals in their analysis? Sulfur has a distinct isotopic pattern; therefore, the assigned formulas in Table S2 for the sulfur containing species could be checked by considering the isotopes.

RESPONSE: Isotopes were considered for all peaks; however, these peaks have been removed from this analysis as they would likely not exist in the absence of smaller peaks of similar composition (see more detail below). These reference points have been replaced with reference to SA-DMA clusters.

L267/268: As mentioned before, it would be great if more information on HOx and RO2 could be provided.

RESPONSE: See above.

L295: the plot does not show concentrations but the raw signals L344: J(O1D) is not shown in Figure S1 L347: neither O3 nor HOM are shown in Figure S2

RESPONSE: This has been fixed.

L410: in the PSM particles are grown within the condenser

RESPONSE: Corrected.

L411 and L412: Can the authors at least speculate what compounds cause these signals? If they are from (in)organic compounds (H2O, NH3, H2SO4 and maybe amines) the number of possible combinations should not be too large.

RESPONSE: This has been amended. Signal intensity for these peaks was extremely low and over-represented due to the normalisation that had been applied so this section was discarded. These figures had been amended but the text had not.

L420 to 430: The possibility of sulfuric acid-amine nucleation should be further discussed. To me it seems very unlikely that only selected SA-DMA clusters show up in the spectra. For nitrate CI-APi-TOF measurements a detailed study of sulfuric acid-dimethylamine clusters has recently been presented (Kürten et al., 2014). That study has also shown that DMA together with sulfuric acid forms new particles very efficiently; therefore, tiny amounts (pptv) should suffice for efficient nucleation and the presence of DMA in clusters is already evidence that DMA is assisting in NPF. I suggest to search for further DMA (or other amine) containing clusters and to check if ambiguity can be ruled out, e.g., that the clusters with DMA and sulfuric acid are not due to some other (organic) compound. This can be done by taking into account the isotopic patterns. In addition, in Table S2 one of the listed clusters is C2H7NHSO4 – (i.e., a C2-amine clustered with the bisulfate ion). This cluster does, however, not exist as the Lewis base (HSO4 –) does not form a stable cluster with a strong base (C2-amine) unless at least two further acids (H2SO4) are present in the cluster (Ortega et al., 2014; Kürten et al., 2014).

RESPONSE: Peaks that were assigned SA-DMA clusters were very small (and often on the shelves of larger peaks). The isotope patterns were considered but these isotopic peaks were even smaller. The assigned SA-DMA clusters may have been misassigned previously as we are also dubious about the presence of peaks with multiple SA, ammonia and water molecules, while smaller SA-NH₃ peaks are not present. However, reconsidering the mass spectra has yielded a handful of useful SA-DMA peaks. Some are still lost to shelves of other peaks and some others are not present. Peaks include $C_2H_7N(H_2SO_4)_2HSO_4^-$, $(C_2H_7N)_2(H_2SO_4)_2HSO_4^-$, and $(C_2H_7N)_2(H_2SO_4)_3HSO_4^-$ and have been added to the peak list, and these correlate

well with each other, as well as sulfuric acid monomer and dimer. SA-NH₃ peaks are not present. We have added to and edited the manuscript accordingly.

Figure 2: Is this MD plot corresponding to a period when NPF is occurring? It would be good to show a second MD plot for another day (same time of day) when no NPF is occurring just to see what signals could make the difference. In addition, there seem to be really prominent peaks (negative MD) at m/z of ~500 and ~700. Have the corresponding compounds been identified? Do these signals show a distinct diurnal pattern with higher concentrations during NPF?

RESPONSE: The mass defect plot in the manuscript was initially for the nucleation period across the day of 25/06. This has been amended and the figure now shows the daytime period 10:30 - 12:00 on 25/06 to show a nucleation period, and 23/06 in a non-nucleation period. The HOMs + sulfuric acid monomer show the most significant increase between these two periods, most markedly <400 m/Q.

REVIEWER #2

L26: VOC abbreviation in the abstract is not defined

RESPONSE: Added.

L28-31: sentence is hard to read

RESPONSE: Reworded.

L 58. Delete 'the' before 'many'

RESPONSE: Fixed.

L76: Add a ", the" after compound

RESPONSE: There is no mention of the word "compound" on L76, and this would not make sense on any other word in this paragraph?

L77: Abbreviate BVOC here. Biogenic should compare with anthropogenic VOCs. So correct these statements.

RESPONSE: Corrected.

L87-88: Statement is not clear.

RESPONSE: Reworded. The point of the statement is that the size and oxygen containing functionalities found in HOMs result in low and extremely low vapour pressures.

L129: change "organics" to "organic compounds"

RESPONSE: Fixed

L111: what is APHH here?

RESPONSE: Air Pollution and Human Health in a Developing Megacity. This has been added.

L179: what is it stand for d'p and Nd'p here? L186: What is J(O'D)?? Please provide some baseline information here.

RESPONSE: d'p is the diameter of the particle, Nd'p is the number of particles at diameter d'p. These have been added, J(O¹D) is the photolysis rate of ozone, but references to this were erroneous and have been removed.

L244-258: This text is not clear enough to understand the Figure 2. Some background information should be provided how to interpret the data and what is observed followed by what does it mean?

RESPONSE: The background information is to be found in the figure caption, but this has also been added to the main body of text.

L261: change 'throw light upon' with 'reveal'

RESPONSE: Changed.

L263: peak in the daytime? But it looks from Figure 3 that mostly peaking in the evening/night time. Why there is no peak on 23-24/06/2017

RESPONSE: On 24/05 this peak is to be found in the afternoon. On the subsequent day there are two peaks (one just before midday, one shortly afterwards). We regarded all of these as daytime peaks. We presume the reviewer means 22/06-23/06? Light intensity was significantly lower on these two days than on other days of measurement, and temperatures were lower also, so both OH⁻ would be lower, and lower temperatures limit the rates of autoxidation.

L268: HOM components peaking in the daytime? From Figure 3, it is not clear. What is the basis for this assumption that HOMs are produced by the oxidation of anthropogenic/biogenic components (e.g., alkylbenzenes, monoterpenes, isoprene).

Figure 3: C6 - C9 components, and summed C11 - C18 components, assumed to be dominated by alkylbenzenes and other larger components respectively-how this was assumed?

RESPONSE: The compounds were grouped by both their molecular formulae, as the HOM products of the oxidation of, for example, xylenes have been studied in flow tubes (forming largely compounds of formulae $C_8H_{12}O_x$) and are therefore known. Aromatics like alkylbenzenes, as well as naphthalene and biphenyl, alongside isoprene and monoterpenes are currently the only molecules known to produce HOM. We also know the abundances of alkylbenzenes, monoterpenes, isoprene and other VOCs from PTR-MS. Earlier in the campaign and not coincidental with our CI-APi-ToF data, GC and 2DGC VOC data were collected and these were also used to take a broad view on the relative abundances of different VOCs with the same mass (ie, how much limonene as compared to alpha-pinene, or how much ethylbenzene vs xylenes, with xylenes having significantly higher HOM yields). This information was used to conclude that alkylbenzenes, monoterpenes and isoprene produce most of the observed HOM, and further to link individual HOM to their precursor VOCs.

C11-18 compounds were assigned as individual oxidation products of single larger VOC rather than the dimers of smaller RO_2 molecules due largely to their C:H ratios being small, and indicative of aromatic precursors, and secondarily due to the small fraction of dimers seen of, for example, monoterpene oxidation products. Further to this, although fragmentation upon reaction with OH, or even upon secondary reaction with O_3 in the case of molecules with multiple double bonds like limonene occurs and can produce products with lower carbon numbers (ie, $C_9H_{14}O_x$ can either be a product of mesitylene oxidation or monoterpene oxidation), the bulk of these signals seemed not to come from fragmentation as what could be assigned as a possible fragment tended to always correlate poorly with both the VOC from which it would have fragmented, and the other oxidation products of that VOC.

L 284: Please add panels 'a, b, and c' in Figure 3 and accordingly refer these in the discussion in the manuscript here.

RESPONSE: This has been added.

L312: Relative to what??

RESPONSE: The relative ratios of $C_8H_{10}O_n$ where n=5,6,7... This has been reworded for clarity.

L320: majority of peaks occurring the daytime? But from Figure 3, it is mostly in evening time.

RESPONSE: See above, the actual peaks are specified.

L336: Early afternoon peak? By looking at Figure 4, it looks like evening hrs. The scale showed '0:00 HRS' – Is it 24:00 hr?

RESPONSE: 00:00 is midnight. This is referring to the HOM peak, which is at 16:00 on 24/06 and 15:00 on 25/06. Early afternoon is probably an incorrect choice of wording here, this has been amended.

L337-338: From where, it is inferred this (i.e., similar behaviour of C3-benzenes and their oxidation products as C2-benzenes and their HOMs)?

RESPONSE: We have reworded this to clarify.

L344: I could not find J(O'D) in Figure S1!

RESPONSE: J(O¹D) data was removed in an earlier version of this paper, this reference was erroneous.

L346-347: Figure S2 does not provide this information, please double check and maintain consistency between text and supplementary information.

RESPONSE: This has been fixed.

L350: This is inferred from which figure, please mention

RESPONSE: Figure 3b, this has been added.

L369: text is unclear-'what is unit mass data'

RESPONSE: Unit mass refers to mass spectral peak area data integrated over the whole of one unit mass, producing less complicated low resolution data.

L371-372: This normalization part is not clear enough to follow the figure 5. Please elaborate.

RESPONSE: Reworded for clarity.

L403-404: sentence is not clear. In Figure 3, point the two peak of HOMs on 25/06/2017 to understand the text described here.

RESPONSE: This has been streamlined and better explained in the text.

L405: I am not able to see the two peaks in C2-benzenes in Figure 4. Please encircle or write clearly to maintain consistency with figure.

RESPONSE: This was an erroneous reference and has been amended.

L407-9: These sentences are not clear. Please consider rephrasing these sentences

RESPONSE: Done.

L412: From Figure 5, PSM cluster peaked at 10:00 and 13:00 h have m/Q between 200-550 (as also stated on L409). But the specified m/Q here is beyond the scale shown in Figure 5. Is it correct or I am missing something.

RESPONSE: This has been amended. Signal intensity for these peaks was extremely low and over-represented due to the normalisation that had been applied so this section was discarded. These figures had been amended but the text had not.

L412: Add 'because of' after 'presumably'

RESPONSE: See above.

L416- 418: Please refer to the figure.

RESPONSE: These figures are referred to above.

L425: Define 'SA-DMA' here.

RESPONSE: Added.

L443: From the Figure 3, HOM peaked in the evening hours on 24/06/2017 compared to 25/06/2017, where HOM peaked at the early afternoon. So 'daytime peak of HOMs' need to be rephrased

RESPONSE: This has been rephrased.

1	ODSERVITTIONS OF INGINET OFFICED
2	MOLECULES AND PARTICLE NUCLEATION
3	IN THE ATMOSPHERE OF BEIJING
456	James Brean ¹ , Roy M. Harrison ^{1*†} , Zongbo Shi ¹ David C.S. Beddows ¹ , W. Joe F. Acton ² and
7 8	C. Nicholas Hewitt ² , Freya A. Squires ³ and James Lee
9	¹ Division of Environmental Health and Risk Management,
11	School of Geography, Earth and Environmental Sciences
12	University of Birmingham
13	Edgbaston, Birmingham B15 2TT
14	United Kingdom
15 16	² Lancaster Environment Centre
17	Lancaster University, Lancaster LA1 4YQ
18	United Kingdom
19	
20	³ National Centre for Atmospheric Science
21	Wolfson Atmospheric Chemistry Laboratory
22	University of York, York YO10 5DD
23	<u>United Kingdom</u>

ORSERVATIONS OF HIGHLY OXIDISED

24

Tele: +44 121 414 3494; Fax: +44 121 414 3709; Email: r.m.harrison@bham.ac.uk

^{*} To whom correspondence should be addressed.

[†]Also at: Department of Environmental Sciences / Center of Excellence in Environmental Studies, King Abdulaziz University, PO Box 80203, Jeddah, 21589, Saudi Arabia

ABSTRACT

25

26

27

28

29

30

31

32

33

34

35

36

37

38

39

40

41

42

43

44

45

46

47

48

49

Particle nucleation is one of the main sources of atmospheric particulate matter by number, with new particles having great relevance for human health and climate. Highly oxidised multifunctional organic molecules (HOMs) have been recently identified as key constituents in the growth, and, sometimes, in initial formation of new particles. While there have been many studies of HOMs in atmospheric chambers, flow tubes and clean environments, analyses of data from polluted environments are scarce. Here, measurements of HOMs and particle size distributions down to small molecular clusters are presented alongside volatile organic compounds (VOC) and trace gas data from a campaign in June 2017, in Beijing. Many gas phase HOMs have been characterised and their temporal trends and behaviours analysed in the context of new particle formation. The HOMs identified have a comparable degree of oxidation to those seen in other, cleaner, environments, likely due to an interplay between the higher temperatures facilitating rapid hydrogen abstractions and the higher concentrations of NO_x and other RO₂ terminators ending the autoxidation sequence more rapidly. Our data indicate that alkylbenzenes, monoterpenes, and isoprene are important precursor VOCs for HOMs in Beijing. Many of the C₅ and C₁₀ compounds derived from isoprene and monoterpenes have a slightly greater degree of average oxidation state of carbon compared to those from other precursors. Most HOMs except for large dimers have daytime peak concentrations, indicating the importance of OH chemistry in the formation of HOMs, as O₃ tends to be lower on days with higher HOM concentrations; as O₃ is lower on the days with higher HOM concentrations; similarly, VOC concentrations are lower on the days with higher HOM concentrations. The daytime peaks of HOMs coincide with the growth of freshly formed new particles, and their initial formation coincides with the peak in sulfuric acid vapours, suggesting that the nucleation process is sulfuric acid-dependent, with HOMs contributing to subsequent particle growth.

1. INTRODUCTION

50

51

52

53

54

55

56

57

58

59

60

61

62

63

64

65

66

67

68

69

70

71

72

73

74

75

Atmospheric particle nucleation, or the formation of solid or liquid particles from vapour phase precursors is one of the dominant sources of global aerosol by number, with primary emissions typically dominating the mass loadings (Tomasi et al., 2016). New particle formation (NPF) or the secondary formation of fresh particles is a two-step process comprising of initial homogeneous nucleation of thermodynamically stable clusters and their subsequent growth. The rate of growth needs be fast enough to out-compete the loss of these particles by coagulation and condensation processes in order for the new particles to grow, and hence NPF is a function of the competition between source and sink (Gong et al., 2010). New particle formation has been shown to occur across a wide range of environments (Kulmala et al., 2005). The high particle load in urban environments was thought to suppress new particle formation until measurements in the early 2000s (McMurry et al., 2000; Shi et al., 2001; Alam et al., 2003), with frequent occurrences observed even in the most polluted urban centres. NPF events in Beijing occur on about 40% of days annually, with the highest rates in the spring (Wu et al., 2007, 2008; Wang et al., 2016). Chu et al. (2019) review the many studies of NPF which have taken place in China and highlight the need for longterm observations and mechanistic studies. NPF can lead to production of cloud condensation nuclei (CCN) (Wiedensohler et al., 2009; Yu and Luo, 2009; Yue et al., 2011; Kerminen et al., 2012) which influences the radiative atmospheric forcing (Penner et al., 2011). A high particle count, such as that caused by nucleation events, has been shown to precede haze events in environments such as Beijing (Guo et al., 2014). These events are detrimental to health and quality of life. The sub-100 nm fraction of particles to which new particle formation contributes to is often referred to as the ultrafine fraction. Ultrafine particles (UFPs) pose risks to human health due to their high number concentration. UFPs exhibit gas-like behaviour and enter all parts of the lung before penetrating into the bloodstream (Miller et al., 2017). They can initiate inflammation via oxidative stress responses, progressing conditions such as

atherosclerosis and initiating cardiovascular responses such as hypertension through to myocardial 76 infarction (Delfino et al., 2005; Brook et al., 2010). 77 78 79 Highly oxidised multifunctional molecules (HOMs), organic molecules with O:C ratios >0.6, are the result of atmospheric autoxidation and have recently been subject to much investigation, in part 80 because the extremely low volatilities arising from their high O:C ratios favour their condensation 81 into the particulate phase. HOMs are most well characterised as the product of oxidation of the 82 biogenic monoterpenoid compound α-pinene (Riccobono et al., 2014; Tröstl et al., 2016; Bianchi et 83 al., 2017). Although globally, biogenic volatile organic compound (BVOC) concentrations far 84 exceed aromatic anthropogenic volatile organic compound (AVOC) VOC concentrations by 85 approximately a factor of 10, in the urban environment the aromatic anthropogenic fraction is far 86 more significant. Formation of HOMs from aromatic compounds has been demonstrated in 87 laboratory studies and these have been hypothesised to be large drivers of NPF in urban 88 environments (Wang et al., 2017; Molteni et al., 2018; Qi et al., 2018). The formation of HOMs 89 through autoxidation processes begins with the reaction of VOCs with OH, O₃ or NO₃; formation 90 of a peroxy radical (RO₂) is followed by rapid O₂ additions and intra-molecular hydrogen 91 abstractions (Jokinen et al., 2014; Rissanen et al., 2014; Kurtén et al., 2015). Furthermore, 92 93 generation of oligomers from stabilised Criegee intermediates arising from short chain alkenes has been hypothesised as a contributor of Extremely Low Volatility Organic Compounds (ELVOCs) 94 and Low Volatility Organic Compounds (LVOCs) (Zhao et al., 2015). The low volatilities of these 95 molecules arise from their numerous oxygen-containing functionalities, and this allows them to 96 make a significant contribution to early stage particle growth where other species cannot due to the 97

vapour pressure, and therefore they make a significant contribution to particle growth (Tröstl et al.,

clusters is still debated (Kurtén et al., 2016; Elm et al., 2017; Myllys et al., 2017). The result of the

Kelvin effect (Tröstl et al., 2016), although the contribution of HOMs to the initial molecular

large size and numerous oxygen containing functionalities in all of these compounds is a low

98

99

100

2016), although the contribution of HOMs to the initial molecular clusters is still debated (Kurtén et al., 2016; Elm et al., 2017; Myllys et al., 2017).

Recent technological advances have facilitated insights into the very first steps of nucleation which were previously unseen, with mass spectrometric techniques such as the Atmospheric Pressure Interface Time of Flight Mass Spectrometer (APi-ToF) and its chemical ionisation counterpart (CI-APi-ToF) allowing for high mass and time resolution measurements of low volatility compounds and molecular clusters. Diethylene glycol based particle counters, such as the Particle Size Magnifier (PSM) allow for measurements of particle size distributions down to the smallest molecular clusters nearing 1 nm. Recent chamber studies have elucidated the contribution of individual species to particle nucleation, ammonia and amines greatly enhancing the rate of sulfuric acid nucleation (Kirkby et al., 2011; Almeida et al., 2013). In these studies, HOMs have been identified, formed through autoxidation mechanisms (Schobesberger et al., 2013; Riccobono et al., 2014; Ehn et al., 2014). These are key to early particle growth (Tröstl et al., 2016) and can nucleate even in the absence of sulfuric acid in chambers (Kirkby et al., 2016) and in the free troposphere (Rose et al., 2018). In this paper, we report the results of HOM and particle size measurements during a summer campaign in Beijing, China.

2. DATA AND METHODS

2.1. Sampling Site

- Sampling was performed as part of the <u>Air Pollution and Human Health in a Developing Megacity (</u>
 APHH-Beijing) campaign, a large international collaborative project examining emissions,
 processes and health effects of air pollution. For a comprehensive overview of the programme, see
 Shi et al. (2019). All sampling was conducted across a one month period at the Institute for
 Atmospheric Physics (IAP), Chinese Academy of Sciences, Beijing (39°58.53'N, 116°22.69'E).
 - The sampling was conducted from a shipping container, with sampling inlets 1-2 metres above

ground level, the nearest road being 30 metres away. Meteorological parameters (wind speed, wind direction, relative humidity (RH) and temperature) were measured at the IAP meteorological tower, 20 metres away from the sampling site, 30 metres from the nearest road at a height of 120 metres. Data was continuously taken from the CI-APi-ToF during a two week period, but due to data losses only five days of data is presented here. Particle size distribution measurements were taken during a 33 day period from 24/05/2017 - 26/06/2017.

134

135

136

137

138

139

140

141

142

143

144

145

146

147

148

149

150

151

152

153

133

128

129

130

131

132

2.2 Chemical Ionisation Atmospheric Pressure Interface Time of Flight Mass

Spectrometry

The Aerodyne Nitrate Chemical Ionisation Atmospheric Pressure Interface Time of Flight Mass Spectrometer (CI-APi-ToF) was used to make measurements of neutral oxidised organic compounds, sulfuric acid and their molecular clusters at high time resolution with high resolving power. The ionization system charges molecules by adduct formation, such as in the case of organic compounds with two or more hydrogen bond donor groups (Hyttinen et al., 2015), or proton transfer in the case of strong acids like sulfuric acid. Hydroxyl or hydroperoxyl functionalities are both common hydrogen bond donating groups, with hydroperoxyl being the more efficient hydrogen bond donor (Møller et al., 2017). charges molecules either by forming an adduct with NO₃, or by proton transfer to NO₃. The former occurs largely with species with two hydrogen bond donor groups, such as organics with two or more hydroxyl or hydroperoxyl functionalities (Hyttinen et al., 2015), with hydroperoxyl being the more efficient hydrogen bond donor (Møller et al., 2017). Proton transfer occurs with molecules with great proton affinity such as sulfuric acid, although clustering with sulfuric acid does occur. This instrument has been explained in great detail elsewhere (Junninen et al., 2010; Jokinen et al., 2012), but briefly the front end consists of a chemical ionisation system where a 10 Lpm-LPM sample flow is drawn in through the 1 metre length 1" OD stainless steel tubing opening. A secondary flow is ranwas run parallel and concentric to this sample flow, rendering the reaction chamber effectively wall-less. A 3 secm-SCCM flow of a

carrier gas (N₂) is ranpassed over a reservoir of liquid HNO₃, entraining vapour which is subsequently ionised to NO₃ via an X-ray source. This flow is then guided into the sample flow. containing nitrate ions generated by the X-ray ionisation of nitric acid vapour is run parallel and concentric to the sample flow in an ion reaction tube. The nitrate ions will then charge molecules either by clustering or proton transfer. The mixed flows travelling at 10 sLm-LPM enter the critical orifice at the front end of the instrument at 0.8 sLm LPM and are guided through a series of differentially pumped chambers before reaching the ToF analyser. Two of these chambers contain quadrupoles which can be used to select greater sensitivity for certain mass ranges, and the voltages across each individual chamber can be tuned to maximise sensitivity and resolution for ions of interest. Mass spectra are taken at a frequency of 20 kHz but are recorded at a rate of 1 Hz. All data analysis was carried out in the *Tofware* package in *Igor Pro 6* (Tofwerk AG, Switzerland). A seven point mass calibration was performed for every minute of data, and all data was normalised to signal at 62, 80 and 125 m/Q to account for fluctuations in ion signal, these masses representing NO₃-, H₂ONO₃- and HNO₃NO₃- respectively. The resultant normalised counts have been multiplied by 10⁵ so magnitudes are similar to the original count rates. Typical values for calibration coefficients range from 10^9 - 10^{10} molecules cm⁻³ from these normalised data (or 10^4 - 10^5 -including the multiplication Kürten et al., 2012), producing peak sulfuric acid concentrations in the range of 10⁶ molecules cm⁻³. From the very limited periods with simultaneous data for SO₂, OH radical and condensation sink, it was possible to calculate H₂SO₄ concentrations of 10³ to 10⁵ molec cm⁻³, in which range the calibration constant was $7.0 \pm 1.6 \times 10^8 \text{ cm}^{-3}$ which fits well with that expected for this concentration range (Kürten et al., 2012). The nitrate-water cluster is included as the presence of many nitrate-water clusters of the general formula $(H_2O)_x(HNO_3)_yNO_3^-$ were found, where x =(1, 2, 3... 20) and y = (0, 1). No sensitivity calibration was performed for these measurements, and so all values are reported in signal intensity, ions/s normalised signal intensity. Due to the high resolving power of the CI-APi-ToF system (mass resolution of 3500 m/dm and mass accuracy of 20 ppm at 288 m/Q), multiple peaks can be fit at the same unit mass and their molecular formulae

154

155

156

157

158

159

160

161

162

163

164

165

166

167

168

169

170

171

172

173

174

175

176

177

178

assigned. These peaks follow the general formula $C_xH_yO_zN_w$ where x = 2-20, y = 2-32, z = 4-16 and w = 0-2, spanning from small organic acids like oxalic and malonic acid through to large dimers of oxidised monoterpene RO_2 radicals such as $C_{20}H_{31}O_9N$. Beyond 500 m/Q, peak fitting and assignment of compositions becomes problematic as signal decreases, mass accuracy decreases, and the total number of chemical compositions increases, so peaks above the C_{20} region have not been assigned, and a number of peaks have been unassigned due to this uncertainty (Cubison and Jimenez, 2015). As proton transfer mostly happens with acids, and nearly all HOM molecules will be charged by adduct formation it is possible to infer the uncharged formula; therefore all HOMs from here onwards will be listed as their uncharged form.

2.3. Size Distribution Measurements

Two Scanning Mobility Particle Sizer (SMPS) instruments measured particle size distributions at 15 minute time resolution, one LongSMPS (TSI 3080 EC, 3082 Long DMA, 3775 CPC, TSI, USA) and one NanoSMPS (3082 EC, 3082 Nano DMA, 3776 CPC, TSI, USA) measuring the ranges 4-65 14-615 nm and 4-65 14-615 nm respectively. A Particle Size Magnifier (A10, Airmodus, FN) linked to a CPC (3775, TSI, USA) measured the sub-3 nm size fraction. The PSM was run in stepping mode, operating at four different saturator pressures flows to vary the lowest size cut-off of particles that it will grow (this cut-off is technically a point of 50% detection efficiency) of <1.30, 1.36, 1.67 and 2.01 nm. The instrument switched between saturator pressures flows per 2.5 minutes, giving a sub-2.01 nm size distribution every 10 minutes. The data was treated with a moving average filter to account for jumps in total particle count, and due to the similar behaviour of the two upper and two lower size cuts, these have been averaged to two size cuts at 1.30 and 1.84 nm.

2.4. Calculations

The condensation sink (CS) was calculated from the size distribution data as follows:

 $CS = 4\pi D \sum_{d'p} \beta_{m,d'p} d'_p N_{d'p}$

where D is the diffusion coefficient of the diffusing vapour (assumed sulfuric acid), and β_m is a transition regime correction (Kulmala et al., 2012), d_p is particle diameter, and N_{d_p} is the number of particles at diameter $d_{p,-}$

2.5. Other Measurements

Measurements of the classical air pollutants were measured on the same site, and have been reported in the campaign overview paper (Shi et al., 2019). SO₂ was measured using a 43i SO₂ analyser (ThermoFisher Scientific, USA), O₃ with a 49i O₃ analyser (ThermoFisher Scientific, USA) and NO_x with a 42i-TL Trace NO_x analyser (ThermoFisher Scientific, USA), and a T500U CAPS NO₂ analyser (Enviro Technology Services Teledyne API, USA). VOC mixing ratios were measured using a Proton Transfer Reaction-Time of Flight-Mass Spectrometer (PTR-ToF 2000, Ionicon, Austria).

3. RESULTS AND DISCUSSION

221 3.1. Characteristics of Sampling Period

A total of five days of CI-API-ToF data were collected successfully, from 2017/06/21 midday through 2017/06/26 midday. New particle formation events were observed on 24th June in the late afternoon and 25th June at midday. Some nighttime formation of molecular clusters was seen earlier in the campaign, as were several peaks to the 1.5 – 100 nm size range, likely from pollutant plumes containing freshly nucleating condensable materials. The trace gases, O₃, SO₂, NO and NO₂ are plotted in the Figure S1. O₃ shows mid-afternoon peaks, around ~120 ppb• on the first two days of the campaign, and 50-70 ppb• for the latter days. SO₂ shows a large peak, reaching 4 ppb• on 22/06 but <1 ppb• for the rest of campaign. NO shows strong mid-morning rush hour related peaks,

declining towards midday due to being rapidly consumed by O₃. NO₂ shows large traffic related peaks. The sulfuric acid signal across this period as measured by NO₃⁻CI-APi-ToF showed strong midday peaks, with concentrations-signal highest on 24/06/2017 and 25/06/2017. The meteorological data are shown in Figure S2 alongside condensation sink (CS). The conditions were generally warm and humid, with temperature reaching its maximum on 25/06/2017, with a peak hourly temperature of 31°C. High temperatures were seen on 21/06 and 24/06 also, of 30°C and 26°C respectively.

3.2. Gas Phase HOM Chemistry

3.2.1. Bulk chemical properties

For the peaks that that have had chemical formulae assigned, oxidation state of carbon, or OS_c , can

be used to describe their bulk oxidation chemistry. OS_c is defined as (Kroll et al., 2011)

$$OSc = (2 \times 0:C) - H:C$$
 (2)

This does not account for the presence of nitrate ester groups, which has been accounted for previously by subtracting five times the N:C ratio (Massoli et al., 2018), under the assumption that all nitrogen containing functionality is in the form of nitrate ester (RONO₂) groups. In Beijing, multiple sources of nitrate-containing organic compounds are seen, in the forms of amines, nitriles and heterocycles. The variation of oxidation state with carbon number (C_n) without correction for nitrate esters is plotted in Figure 1. The average oxidation state of carbon in this dataset tends to decrease with an increase to C_n , highest where $C_n = 5$, attributable both to high O:C and peak area for the peak assigned to $C_5H_{10}N_2O_8$ at m/Q 288. $C_n = 5$ also shows the greatest distribution of oxidation states, likely due to the high ambient concentration of isoprene and therefore its many oxidation products being of high enough eoncentrations signal for many well resolved peaks to be seen in this dataset. It is worth notinge that some of the ions plotted here may not form through

peroxy radical autoxidation, such as $C_5H_{10}N_2O_8$, which may be a second-generation oxidation product of isoprene under high NO_x (Lee et al., 2016). $-C_n$ =10 and 15 also see a small increase to average oxidation number compared to their neighbours. The lower oxidation state of the larger products is likely a function of two things. First and foremost, any autoxidation mechanism must undergo more steps in order for a larger molecule to reach an equivalent O:C ratio with a smaller one, and the equivalent O:C ratio is ultimately less likely to be reached before the radical is terminated (Massoli et al., 2018). Secondly, the lower vapour pressures of these larger products will lead to their partitioning into the condensed phase more readily than the smaller, thus they are more rapidly lost (Mutzel et al., 2015).

The degrees of OSc observed here are similar to those seen in other environments such as during the SOAS campaign in 2013 in southern United States, characterised by low NO/NO₂ and high temperatures, where campaign averages of 0.3 ppb, 0.4-0.5 ppb, and 25°C respectively were measured, although an additional parameter to account for nitrogen containing VOCs is included in the calculation (Massoli et al., 2018). The OS_c observed in Beijing is also higher than that seen in the boreal forest environment of Hyytiälä, despite extremely low NO_x concentrations, likely due to low temperature conditions dominating in those conditions (Schobesberger et al., 2013). These relatively similar degrees of oxidation to those seen in other, cleaner, environments are likely due to an interplay between the higher temperatures facilitating rapid hydrogen abstractions (Crounse et al., 2013; Praske et al., 2018; Quéléver et al., 2018) and the higher concentrations of NO_x, HO₂, and other RO₂ molecules terminating the autoxidation sequence more efficiently (Praske et al., 2018, Rissanen, 2018, Garmash et al., 2019).

A mass defect plot is shown in Figure 2, which shows nominal mass plotted against mass defect for all peaks in this dataset. Kendrick mMass defect can be defined as the Kendrickion mass -minus Kendrick integer mass. This is shown for two separate daytime periods, one where nucleation was

not occurring and HOM concentrations are lower (10:30 – 12:00 23/06/2017) and one where nucleation was occurring under high HOM concentrations (10:30 – 12:00 25/06/2017).- The band of lower mass defect is characterised by a number of large peaks with high signal, for example, at m/Q 344 the ion (NH₃)₃(H₂SO₄)₂HSO₄ and (H₂O)₂(NH₃)₂(H₂SO₄)₂HSO₄ at m/Q 362., for example, at m/Q 436 the ion (C₂H₇N)₂(H₂SO₄)₂HSO₄⁻. Many water clusters are seen here. This clustering may happen in the atmosphere, in the chemical ionisation inlet or through the critical orifice in the small segmented quadrupole (SSQ) section of the instrument, and there is a weak dependence of these concentrations on the SSQ pressure. The upper component of the mass defect is dominated by organic compoundss, the upper end of more positive mass defect is occupied by molecules with more ¹H (mass defect 7.825 mDa) and ¹⁴N (mass defect 3.074 mDa). The end of less positive mass defect has lower ¹H and more ¹⁶O (mass defect -5.085 mDa); alternatively put, the mass defect reflects the variation in OS_c . The organic components with more positive mass defects will be more volatile than their lower mass defect counterparts as they will contain fewer oxygen functionalities (Tröstl et al., 2016, Stolzenburg et al., 2018). These higher volatility products may still contribute to larger size particle growth. The more negative mass defect components will be those of greater O:C and therefore lower volatility, LVOCs, and the yet larger and more oxidised components, ELVOCs (Tröstl et al., 2016). During the nucleation period, the signal intensity for the species in the upper band of more negative mass defect have the most marked increase in concentration, with significantly less difference >500 m/Q. This region 200-400 m/Q will contain most of the C₅+ monomer HOMs seen in this dataset.

302

303

304

305

306

307

301

282

283

284

285

286

287

288

289

290

291

292

293

294

295

296

297

298

299

300

3.2.2. Diurnal trends of HOMs

Temporal trends of HOMs in the urban atmosphere can throw light upon reveal their sources and behaviour in the atmosphere. Most of the HOM species peak in the daytime. These species all follow a similar diurnal trend, as shown in Figure 3. Both the concentrations of O₃ and OH are high during this period the summer period in Beijing (although the nitrate chemical ionisation technique

is not sensitive to all OH oxidation products (Berndt et al., 2015)). Figure S1 shows the time series of concentrations of NO which is considered a dominant peroxy radical terminator of particular importance in the polluted urban environment (Khan et al., 2015). Peroxy radicals Radicals such as HO_2 and RO_2 also typically peak during daytime. The HOM components peaking in the daytime are presumed to be the oxidation products of a mixture of anthropogenic and biogenic components, such as alkylbenzenes, monoterpenes and isoprene. The oxidation of monoterpenes, specifically the monoterpene α -pinene, has been the subject of extensive study recently, with the O_3 -initiated autoxidation sequence being the best characterised (Ehn et al., 2014; Jokinen et al., 2014; Kurtén et al., 2015; Kirkby et al., 2016); ozonolysis of α -pinene opens the ring structure and produces a RO_2 radical (Kirkby et al., 2016). In the case of aromatics, OH addition to the ring and the subsequently formed bicyclic peroxy radical is the basis for the autooxidation of compounds such as xylenes and trimethylbenzenes (Molteni et al., 2018; Wu et al., 2017).

The identified compounds have been roughly separated into several categories, each of these plotted in Figure 3. Figure 3aThe top of this graph shows the separation of components into-HOM and ON (organonitrate) non-nitrogen containing HOMs, and nitrogen containing HOMs, or organonitrates (ONs) components. The ON signal is much higher than that of the HOM, attributable in part to a few ions of high signal, such as the isoprene organonitrate $C_5H_{10}N_2O_8$. A few similar structural formulae are seen ($C_5H_{10}N_2O_6$, $C_5H_{11}NO_6$, $C_5H_{11}NO_7$, etc), some of which have been identified as important gas phase oxidation products of isoprene under high NO_x conditions (Xiong et al., 2015), and their contribution to SOA has been explored previously (Lee et al., 2016). A high nitrophenol signal is also seen, $C_6H_5NO_3$. The signal for HOM compounds is less dominated by a few large ions. The prevalence of ON compounds points towards the important role of NO_x as a peroxy radical terminator, with the probability for the RO_2 + NO_x reaction to produce nitrate ester compounds increasing with the size of the RO_2 molecule (Atkinson et al., 1982). The NO_x concentrations in urban Beijing are approximately a factor of 10 higher than seen at the Hyytiälä

station in Finland as reported by Yan et al. (2016), and hence it is expected to be a more significant peroxy radical terminator.

336

337

338

339

340

341

342

343

344

345

346

347

348

349

350

351

352

353

354

355

356

357

358

359

334

335

Despite the very large fluxes of anthropogenic organic pollutants in Beijing, biogenic emissions are still an important source of reactive VOCs in the city, with abundant isoprene oxidation products observed (see above), as well as monoterpene monomers (C₁₀H₁₆O₉, C₁₀H₁₅O₉N) and some dimer products (C₂₀H₃₀O₁₁, C₂₀H₃₁O₁₁N). The time series of the concentrations signals of all C₅, C₁₀ and C₂₀ molecules is plotted in the middle panel of Figure 3b, with C₅ species assumed to be isoprene dominated, C₁₀ and C₂₀ assumed to be monoterpene dominated. Signals for Lisoprene oxidation products are present at higher concentrations, with abundant isoprene nitrate and dinitrate products. C_{10} products show similar behaviour, with, for example, several $C_{10}H_{15}O_xN$ x = 5-9 compounds seen. The C₂₀ signal intensities are lowproducts seen are low in concentration, and follow the general formula $C_{20}H_xO_yN_z$, where x = 26-32, y = 7-11 and z = 0-2; in Figure 3 the signal for C_{20} compounds has been multiplied by a factor of 50 for visibility. The low concentrations signals reflect the lack of RO₂ cross reactions necessary for the production of these accretion products. Other identified peaks are plotted in the bottom panel of Figure 3c. The C₂-C₄ components are summed together, these being small organic acids such as malonic acid and oxalic acid, as well as products such as C₄H₇O₆N. Malonic acid is the most prominent here, seen both as an NO₃⁻ adduct $(C_3H_4O_4NO_3^-)$ and a proton transfer product $(C_3H_3O_4^-)$ at a ratio of around 2:3. The C_6 - C_9 components are assumed to be dominated by oxidation products of alkylbenzenes such as C₈H₁₂O₅. although fragments of other compounds, i.e., monoterpenes, can also occupy this region (Isaacman-Vanwertz et al., 2018). It is assumed the majority of the signal for these peaks come from alkylbenzenes. This assumption is supported by the relative signal intensity ratios of the oxygen numbers of monomer C₈H₁₂O_n compounds being similar to those seen for xylene oxidation products in previous work (Molteni et al., 2018). The largest fraction, C₁₁ through C₁₈ includes the larger

compounds, oxidation products of larger aromatics, or products of the cross reaction of smaller RO_2 radicals. Here they are grouped without more sophisticated disaggregation as they all follow much the same time series, species such as $C_{11}H_{11}O_8N$ following the same temporal trends as $C_{15}H_{16}O_9$ and $C_{16}H_{24}O_{12}$.

364

365

366

367

368

369

370

371

372

373

374

375

376

377

378

379

380

381

382

383

384

385

360

361

362

363

Nearly all ions with the exception of the larger compounds attributed to the cross reaction of C₁₀ monomers follow similar temporal patterns, with the majority of peaks occurring in the daytime. This reflects the importance of the concentration of atmospheric oxidants. Some selected oxidation products are plotted against their precursor VOCs in Figure 4. The concentration of isoprene is plotted against the concentration signal of a nitrate HOM product, C₅H₉NO₆ (Xiong et al., 2015; Lee et al., 2016), while monoterpenes are plotted against $C_{10}H_{16}O_9$ (Ehn et al., 2014; Berndt et al., 2016; Yan et al., 2016; Kirkby et al., 2016; Massoli et al., 2018), and C₂-benzenes against C₈H₁₂O₆ (Molteni et al., 2018; Wang et al., 2017). The first half of the time series shows little correlation between the VOC species and the resultant oxidation products, while isoprene, monoterpenes and C₂-benzenes follow their usual diurnal cycles, isoprene having the most distinct with a strong midday peak. The latter two days, however, show similar and coinciding peaks in both the VOCs and HOMs - HOMs show afternoon peaks on both days, and an initial shelf on the final half day. The C₅H₉NO₆ peak follows some of the peaks of the isoprene, but not all (e.g., morning shelf of isoprene on 24/06). Concentrations of isoprene do not seem to determine directly the concentration signal of HOM, as the day with the lowest isoprene of all is the day with highest C₅H₉NO₆. The C₁₀H₁₆O₉ trace has coincidental peaks with the monoterpene trace also, including two 4-hour separated simultaneous peaks on 25/06. The peaks in the concentrations of C₂-benzenes are nearly synchronous with the peaks in C₈H₁₂O₆, for which the peaksdata; these exhibit a strong mid early afternoon peak likely due to the lack of an efficient ozonolysis reaction pathway; the main oxidant of C₂-benzenes is the OH radical.-Trends of both C₃ benzenes and their HOMs are much the same as C₂ benzenes as discussed above, pointing to similar sources and oxidation chemistries. This

behaviour is much the same as the C_3 -benzenes and their oxidation products. The concentration of precursor VOC is likely a driving force in the identity and quantity of various HOM products, but not the sole determinant, as while there are simultaneous peaks of VOCs and HOMs, both the condensation sink and oxidant concentrations also influence HOM product <u>concentrations signals</u>.

The first half of campaign measurements is marked by an episode of low HOM eoncentrations signals. A diurnal cycle still exists but it is weak. The radiation intensity was significantly lower on these prior days than it was on the 24th. No data is available for the final period of measurement. Ozone is higher on the prior measurement days with lower HOM eoncentrations signals (see Figure \$2\$S1). It is therefore plausible that light intensity, and therefore OH concentration is one of the main drivers of HOM concentrations in Beijing.

The C₂₀ compounds <u>plotted in Figure 3b</u> show no strong diurnal sequence, contrasting with other HOMs. We can presume that all C₂₀ compounds identified are the result of the reaction of two monoterpenoid C₁₀ RO₂ radicals, a reasonable assumption as all identified C₂₀ species follow the general formula outlined for these reactions (C₂₀H₂₈₋₃₂O₆₋₁₆). The formation of C₂₀ dimers is dependent upon two processes, initial oxidation of monoterpenes, and RO₂-RO₂ termination. Initial oxidation is contingent upon oxidant concentration, which is highest in the daytime, and RO₂-RO₂ termination is contingent upon the probability of the molecular collision between the RO₂ molecules occurring before other radical termination (i.e., RO₂-NO_x, or RO₂-HO₂). There is likely a strong diurnal sequence in the dominant RO₂ termination mechanisms across the day period, and the combination of the two factors discussed above results in there being no strong diurnal trend in these molecules. A lower oxidant concentration at night results in less RO₂ molecules, but less NO and HO₂ results in a greater chance for those RO₂ molecules to dimerize dimerise (Rissanen, 2018, Garmash et al., 2019). As the levels of NO_x in Beijing fall, the peroxy radical termination reactions will be less probable compared to continued autoxidation (Praske et al., 2018), and it is expected

that more oxidised HOM products will be seen with lower volatilities and therefore a greater potential contribution to earlier stage particle formation and growth.

3.3. New Particle Formation

Nearly all the signal intensity in the CI-APi-ToF instrument arises from molecules charged by NO₃⁻, therefore plotting the unit mass resolution data (the data gained by integrating over the entire area at each m/Q integer) data-against time describes simply the evolution of oxidised organic molecules, acids and their molecular clusters both with each other and stabilising amine species. This is done in Figure 5. As the signal intensity varies by factors of 10 from mass to mass, all-each value masses have has been normalised to 1_{so} they have maxima at 1. This has been done separately for two days for clarity, as the signal intensity also varies from day to day. PSM data for these two days is plotted in Figure 5 also, with both total particle count >1.30 nm in black and the number difference between the lower and upper size cuts (1.30 and 1.84 nm) in blue, which shows the number of particles between these sizes. The relationship between mass and electrical mobility diameter can be defined thus (Tammet, 1995),

$$d_e = (\frac{6m}{\pi \rho})^{\frac{1}{3}} + d_g \qquad (3)$$

where d_e is the electrical mobility diameter of the cluster or particle, m is the mass of the cluster or particle expressed in kg, ρ is the density and d_g is the effective gas diameter, determined to be 0.3 nm for smaller particles (Larriba et al., 2011). We can use this to draw a comparison between the PSM and CI-APi-ToF measurements. If a density of 1.2 g cm⁻³ is assumed, then once molecular clusters reach the >400 m/Q range, they will be seen in the lowest size cut of the PSM, or >700 m/Q if a density of 2.0 g cm⁻³ is assumed. A full table of densities is provided in the Supplementary Information.

A burst in the signal seen by the CI-APi-TOF occurs first in the late morning in the top panel of Figure 5, and this is at the same time as peaks begin to rise in the identified HOMs (see Figure 3). Here, the PSM is not available due to an instrumental fault until 16:00; however, at that point, an elevation to particle count and a large elevation to cluster count can be seen. Moving into the evening period, the mass contour shows peaks to larger masses $>400 \, m/Q$. This is likely dimerized dimerised compounds and products of NO₃ chemistry with little contribution to newly forming particles, but still sensitive to chemical ionisation by NO₃⁻. Many of these peaks cannot be assigned due to uncertainties in the structural formula assignment for higher mass peaks, as the number of possible dimerised compounds is many, being the combination of most possible RO₂ radicals. Graphically, these are over-represented in Figure 5 due to the normalisation, their concentrations signals (especially >500 m/O) are much lower than the concentrations signals <400 m/O. The second day plotted in the lower panel of Figure 5 (25/06/2017) shows a strong afternoon peak to the HOMs (for most HOMs, stronger than that on the day prior). Particle formation is shown in the PSM data. A strong midday peak to particle number is seen with two distinct peaks to cluster count. These two peaks are not coincidental with the two peaks to HOM concentrations signal (i.e., nitrogen-containing HOMs in Figure 3a peaking at 11:00 and 16:00) nor the two peaks in aromatic VOCs (i.e., C₂-benzenes in Figure 4). Sulfuric acid, however, does peak synchronously with the particle number count. Sulfuric acid is plotted across the contour plot in Figure 6, where PSM data is also shown in the bottom panel. The peak to CI-APi-TOF mass signal, visible in Figure 5 occurs at around 12:00/13:00, peaks in the PSM cluster count occur at 10:00 and 13:00. Peaks in mass up to 550 m/Q are seen in the CI-APi-ToF at 13:00. Assuming the density of these species is ≤1.6 g cm⁻³ then these will be suitably sized to be grown in the PSM saturator. – at 13:00 the peaks in mass occur between 200-550 m/Q. Assuming the density of the identified species is ≤ 1.6 g cm⁻³ then these will be suitably sized to be grown in the PSM saturator above the size cut at 1.30 nm. The peak at 10:00 in PSM cluster count is characterised by a few peaks at specific masses (around 680,

437

438

439

440

441

442

443

444

445

446

447

448

449

450

451

452

453

454

455

456

457

458

459

460

461

720, 840, 860 m/Q), presumably specific nucleating inorganic clusters, pointing towards a possible evolution in the composition of clusters throughout the nucleation event with the early nucleation linked with a few specific precursors. These newly formed particles then go on to grow and contribute significantly to the larger particle count (Figure S3). As initial particle formation coincides with sulfuric acid eoncentrations signal peaks and before HOM concentrations signals peak, it can be assumed on these days, the HOM contribution to the initial particle formation is modest.

470

471

472

473

474

475

476

477

478

479

480

481

482

483

484

485

486

487

463

464

465

466

467

468

469

There is recent strong evidence to suggest that the driving force of the earliest stages of particle formation in urban Shanghai is from sulfuric acid and C₂-amines (Yao et al., 2018), supported by and the coincidental peaks of sulfuric acid with new particles as seen in Figure 6 suggest a similar behaviour. Dimethylamine (DMA) can efficiently stabilise the sulfuric acid clusters (Almeida et al., 2013). Here, few larger sulfuric acid-DMA clusters were visible in the dataset, as seen in the work by Yao et al., 2018, although five sulfuric acid-dimethylamine (SA-DMA) ions were observed, the others were likely too low in signal to be confidently resolved from their neighbouring peaks; however, clusters of up to 4 sulfuric acid ions and 3 dimethylamine molecules were seen, with similar diurnal trends to sulfuric acid. The scarcity of SAsulfuric acid-DMA clusters is likely due to instrumental conditions, rather than their absence in the atmosphere. The nitrate chemical ionisation system tends to evaporate amine compounds upon charging, and as specific voltage-tuning setups can lend themselves towards preservation or breakage of molecular clusters, the signal for larger sulfuric acid clusters was also very weak. The formation of HOMsulfuric acid clusters is unlikely under atmospheric conditions (Elm et al., 2017) and few of these were observed. Concentrations Signals of HOMs seem to coincide with later particle growth; it can be expected that HOM molecules make a more significant contribution to particle growth than to early particle formation, with the largest and most oxidised being involved in early growth, and the

smaller and less oxidised contributing to later growth as the necessary vapour pressure properties become less demanding.

4. **CONCLUSIONS**

The average degree of HOM oxidation in Beijing is comparable with that seen in other environments. Rapid intramolecular hydrogen shifts during autoxidation due to the higher temperatures are probably offset by the frequent termination reactions due to high NO_x concentrations. OS_c values seem to be marginally higher for biogenic species.

The temporal trend of nearly every HOM shows afternoon or evening daytime maximaum. Both O₃ and OH have high daytime concentrations and these likely drive the initial oxidation steps. The species arising from alkylbenzene precursors show sharper afternoon peaks, probably since their oxidation is OH dominated. Many of the rest of the peaks, coming from largely BVOC precursors show broader daytime peaks, being influenced by O₃ also. There seems to be no direct link between VOC concentrations and HOM concentrations signals, with days of lower precursor VOC sometimes having higher HOM concentrations—signals and vice versa.

Initial particle formation coincides with peak sulfuric acid concentrations signals, while the growth of the particles correlates more closely with the concentrations signals of HOMs. This is very similar to behaviour observed in a study of NPF in Shanghai which was attributed to sulfuric acid-dimethylamine-water nucleation with condensing organic species contributing to particle growth (Yao et al., 2018), and this is further backed up by numerous SA-DMA clusters present in this dataset. The freshly formed particles grow and contribute significantly to total particle loading. This is visible when the unit mass CI-APi-ToF data is plotted as a contour plot, and further to this is visible in the PSM data, with bursts to both total number count >1.30 nm and the number of

molecular clusters between 1.30 and 1.84 nm. As NO_x levels fall in Beijing due to traffic emission control measures being enforced it is likely that autoxidation will become increasingly significant in the new particle formation processes. The number of molecules detected by the NO_3 CIMS is undoubtedly many more than have had formulae assigned here, but to identify more requires a more sophisticated data deconvolution.

519

520

521

514

515

516

517

518

DATA ACCESSIBILITY

- Data supporting this publication are openly available from the UBIRA eData repository at
- 522 https://doi.org/10.25500/edata.bham.00000304

523

524

AUTHOR CONTRIBUTIONS

The study was conceived and planned by RMH and ZS. DCSB and JB set up and operated the main instrumental measurements, and JB prepared the first draft of the paper and responded to comments from RMH and ZS. CNH and WJA contributed the hydrocarbon data and provided

comments on the draft manuscript, and ES and JL contributed the gas phase pollutant data.

528 529

530

531

COMPETING INTERESTS

The authors have no conflict of interests.

532

533

ACKNOWLEDGMENTS

This work was part of the APHH-Beijing programme funded by the UK Natural Environmentl
Research Council (NE/N007190/1) and the Natural Sciences Funding Council of China. It was
additionally facilitated by the National Centre for Atmospheric Science ODA national capability
programme ACREW (NE/R000034/1), which is supported by NERC and the GCRF. We thank
Professor X.M Wang from the Guangzhou Institute of Geochemistry, Chinese Academy of
Sciences, Brian Davison from Lancaster University and Ben Langford, Eiko Nemitz, Neil

- Mullinger and other staff from the Centre for Ecology and Hydrology, Edinburgh for assistance
- with the VOC measurements and associated infrastructure.

REFERENCES

543544

- Alam, A., Shi, J.P., Harrison R.M.: Observations of new particle formation in urban air, J. Geophys.
- 546 Res., 108, 4093-4107, doi:10.1029/2001JD001417, 2003

547

- Almeida, J., Schobesberger, S., Kürten, A., Ortega, I. K., Kupiainen-Määttä, O., Praplan, A. P.,
- Adamov, A., Amorim, A., Bianchi, F., Breitenlechner, M., David, A., Dommen, J., Donahue, N.
- M., Downard, A., Dunne, E., Duplissy, J., Ehrhart, S., Flagan, R. C., Franchin, A., Guida, R.,
- Hakala, J., Hansel, A., Heinritzi, M., Henschel, H., Jokinen, T., Junninen, H., Kajos, M.,
- Kangasluoma, J., Keskinen, H., Kupc, A., Kurtén, T., Kvashin, A. N., Laaksonen, A., Lehtipalo, K.,
- Leiminger, M., Leppä, J., Loukonen, V., Makhmutov, V., Mathot, S., McGrath, M. J., Nieminen,
- T., Olenius, T., Onnela, A., Petäjä, T., Riccobono, F., Riipinen, I., Rissanen, M., Rondo, L.,
- Ruuskanen, T., Santos, F. D., Sarnela, N., Schallhart, S., Schnitzhofer, R., Seinfeld, J. H., Simon,
- 556 M., Sipilä, M., Stozhkov, Y., Stratmann, F., Tomé, A., Tröstl, J., Tsagkogeorgas, G., Vaattovaara,
- P., Viisanen, Y., Virtanen, A., Vrtala, A., Wagner, P. E., Weingartner, E., Wex, H., Williamson, C.,
- Wimmer, D., Ye, P., Yli-Juuti, T., Carslaw, K. S., Kulmala, M., Curtius, J., Baltensperger, U.,
- Worsnop, D. R., Vehkamäki, H., and Kirkby, J.: Molecular understanding of sulphuric acid-amine
- particle nucleation in the atmosphere, Nature, 502, 359-363, 2013.

561

- Atkinson, R., Aschmann, S. M., Carter, W. P. L., Winer, A. M., and Pitts, J. N.: Alkyl nitrate
- formation from the nitrogen oxide (NO_x)air photooxidations of C2-C8 n-alkanes, J. Phys. Chem.,
- 564 86, 4563-4569, 1982.

565

- Berndt, T., Richters, S., Kaethner, R., Voigtländer, J., Stratmann, F., Sipilä, M., Kulmala, M., and
- Herrmann, H.: Gas-Phase Ozonolysis of Cycloalkenes: Formation of Highly Oxidized RO2
- Radicals and Their Reactions with NO, NO2, SO2, and Other RO2 Radicals, J. Phys. Chem. A.,
- 569 119, 10336-10348, 2015.

570

- Berndt, T., Richters, S., Jokinen, T., Hyttinen, N., Kurtén, T., Otkjær, R. V., Kjaergaard, H. G.,
- 572 Stratmann, F., Herrmann, H., Sipilä, M., Kulmala, M., and Ehn, M.: Hydroxyl radical-induced
- formation of highly oxidized organic compounds, Nature Comm., 7, 20
- 574 https://doi.org/10.1038/ncomms13677, 2016.

575

- Bianchi, F., Garmash, O., He, X., Yan, C., Iyer, S., Rosendahl, I., Xu, Z., Rissanen, M. P., Riva, M.,
- Taipale, R., Sarnela, N., Petäjä, T., Worsnop, D. R., Kulmala, M., Ehn, M., and Junninen, H.: The
- role of highly oxygenated molecules (HOMs) in determining the composition of ambient ions in the
- 579 boreal forest, Atmos. Chem. Phys., 17, 13819-13831, 2017.

580

- Brook, R. D., Rajagopalan, S., Pope, C. A., Brook, J. R., Bhatnagar, A., Diez-Roux, A. V., Holguin,
- F., Hong, Y., Luepker, R. V., Mittleman, M. A., Peters, A., Siscovick, D., Smith, S. C., Whitsel, L.,
- and Kaufman, J. D.: Particulate matter air pollution and cardiovascular disease: An update to the
- scientific statement from the american heart association, Circulation, 121, 2331-2378, 2010.

585

- Chu, B., Kerminen, V.-M., Bianchi, F., Yan, C., Petaja, T., and Kulmala, M.: Atmospheric new
- particle formation in China, Atmos. Chem. Phys., 19, 115-138, 2019.

588 589

Crounse, J. D., Nielsen, L. B., Jørgensen, S., Kjaergaard, H. G., and Wennberg, P. O.: Autoxidation of organic compounds in the atmosphere, J. Phys. Chem. Lett., 4, 3513-3520, 2013.

591 592

- Cubison, M. J. and Jimenez, J. L.: Statistical precision of the intensities retrieved from constrained
- fitting of overlapping peaks in high resolution mass spectra, Atmos. Meas. Tech., 8, 2333-2345,
- 596 2015.

- Delfino, R. J., Sioutas, C., and Malik, S.: Potential role of ultrafine particles in associations between
- airborne particle mass and cardiovascular health, Environ. Health Perspect., 113, 934-946, 2005.

600

- Ehn, M., Thornton, J. A., Kleist, E., Sipilä, M., Junninen, H., Pullinen, I., Springer, M., Rubach, F.,
- Tillmann, R., Lee, B., Lopez-Hilfiker, F., Andres, S., Acir, I.-H., Rissanen, M., Jokinen, T.,
- 603 Schobesberger, S., Kangasluoma, J., Kontkanen, J., Nieminen, T., Kurtén, T., Nielsen,
- L. B., Jørgensen, S., Kjaergaard, H. G., Canagaratna, M., Maso, M. D., Berndt, T., Petäjä, T.,
- Wahner, A., Kerminen, V.-M., Kulmala, M., Worsnop, D. R., Wildt, J., and Mentel, T. F.: A large
- source of low-volatility secondary organic aerosol, Nature, 506, 476-479, 2014.

607

- Elm, J., Myllys, N., and Kurtén, T.: What is Required for Highly Oxidized Molecules to Form
- 609 Clusters with Sulfuric Acid?, J. Phys. Chem. A, 121, 4578-4587, 2017.

610

- 611 Garmash, O., Rissanen, M. P., Pullinen, I., Schmitt, S., Kausiala, O., Tillmann, R., Percival, C.,
- Bannan, T. J., Priestley, M., Hallquist, Å. M., Kleist, E., Kiendler-Scharr, A., Hallquist, M., Berndt,
- T., McFiggans, G., Wildt, J., Mentel, T., and Ehn, M.: Multi-generation OH oxidation as a source
- for highly oxygenated organic molecules from aromatics, Atmos. Chem. Phys. Discuss.,
- https://doi.org/10.5194/acp-2019-582, in review, 2019.

616

- 617 Gong, Y., Hu, M., Cheng, Y., Su, H., Yue, D., Liu, F., Wiedensohler, A., Wang, Z., Kalesse, H.,
- Liu, S., Wu, Z., Xiao, K., Mi, P., and Zhang, Y.: Competition of coagulation sink and source rate:
- New particle formation in the Pearl River Delta of China, Atmos. Environ., 44, 3278-3285, 2010.

620

- 621 Guo, S., Hu, M., Zamora, M. L., Peng, J., Shang, D., Zheng, J., Du, Z., Wu, Z., Shao, M., Zeng, L.,
- Molina, M. J., and Zhang, R.: Elucidating severe urban haze formation in China., PNAS, 111,
- 623 17373-17378, 2014.

624

- Hyttinen, N., Kupiainen-Määttä, O., Rissanen, M. P., Muuronen, M., Ehn, M., and Kurtén, T.:
- Modeling the Charging of Highly Oxidized Cyclohexene Ozonolysis Products Using Nitrate-Based
- 627 Chemical Ionization, J. Phys. Chem., A, 119, 6339-6345, 2015.

628

- Isaacman-Vanwertz, G., Massoli, P., O'Brien, R., Lim, C., Franklin, J. P., Moss, J. A., Hunter, J. F.,
- Nowak, J. B., Canagaratna, M. R., Misztal, P. K., Arata, C., Roscioli, J. R., Herndon, S. T., Onasch,
- T. B., Lambe, A. T., Jayne, J. T., Su, L., Knopf, D. A., Goldstein, A. H., Worsnop, D. R., and Kroll,
- J. H.: Chemical evolution of atmospheric organic carbon over multiple generations of oxidation,
- Nature Chem., 10,462-468, https://doi.org/10.1038/s41557-018-0002-2, 2018

634

- Jokinen, T., Sipilä, M., Junninen, H., Ehn, M., Lönn, G., Hakala, J., Petäjä, T., Mauldin, R. L.,
- Kulmala, M., and Worsnop, D. R.: Atmospheric sulphuric acid and neutral cluster measurements
- 637 using CI-APi-TOF, Atmos. Chem. Phys., 12, 4117-4125, 2012.

638

- Jokinen, T., Sipilä, M., Richters, S., Kerminen, V. M., Paasonen, P., Stratmann, F., Worsnop, D.,
- Kulmala, M., Ehn, M., Herrmann, H., and Berndt, T.: Rapid autoxidation forms highly oxidized
- RO2 radicals in the atmosphere, Angewandte Chemie International Edition, 53, 14596-14600,
- 642 https://doi.org/10.1002/anie.201408566, 2014.

- Junninen, H., Ehn, M., Petäjä, Luosujärvi, L., Kotiaho, T., Kostiainen, R., Rohner, U., Gonin, M.,
- Fuhrer, K., Kulmala, M., and Worsnop, D. R.: A high-resolution mass spectrometer to measure
- atmospheric ion composition, Atmos. Meas. Tech., 3, 1039-1053, 2010.

- Kerminen, V. M., Paramonov, M., Anttila, T., Riipinen, I., Fountoukis, C., Korhonen, H., Asmi, E.,
- Laakso, L., Lihavainen, H., Swietlicki, E., Svenningsson, B., Asmi, A., Pandis, S. N., Kulmala, M.,
- and Petäjä, T.: Cloud condensation nuclei production associated with atmospheric nucleation: A
- 652 synthesis based on existing literature and new results, Atmos. Chem. Phys., 12, 12037-12059, 2012.

653

- Khan, M., Cooke M, Utembe, S., Archibald A., Derwent, R., Jenkin, M., Morris, W., South, N.,
- Hansen, J., Francisco, J., Percival, C., Shallcross, D.: Global analysis of peroxy radicals and peroxy
- radical-water complexation using the STOCHEM-CRI global chemistry and transport model,
- 657 Atmos. Environ., 106, 278-287, 2015.

658

- 659 Kirkby, J., Curtius, J., Almeida, J., Dunne, E., Duplissy, J., Ehrhart, S., Franchin, A., Gagné, S.,
- Ickes, L., Kürten, A., Kupc, A., Metzger, A., Riccobono, F., Rondo, L., Schobesberger, S.,
- Tsagkogeorgas, G., Wimmer, D., Amorim, A., Bianchi, F., Breitenlechner, M., David, A.,
- Dommen, J., Downard, A., Ehn, M., Flagan, R. C., Haider, S., Hansel, A., Hauser, D., Jud, W.,
- Junninen, H., Kreissl, F., Kvashin, A., Laaksonen, A., Lehtipalo, K., Lima, J., Lovejoy, E. R.,
- Makhmutov, V., Mathot, S., Mikkilä, J., Minginette, P., Mogo, S., Nieminen, T., Onnela, A.,
- Pereira, P., Petäjä, T., Schnitzhofer, R., Seinfeld, J. H., Sipilä, M., Stozhkov, Y., Stratmann, F.,
- Tomé, A., Vanhanen, J., Viisanen, Y., Vrtala, A., Wagner, P. E., Walther, H., Weingartner, E.,
- Wex, H., Winkler, P. M., Carslaw, K. S., Worsnop, D. R., Baltensperger, U., and Kulmala, M.:
- Role of sulphuric acid, ammonia and galactic cosmic rays in atmospheric aerosol nucleation,
- Nature, 476, 429-435, https://doi.org/10.1038/nature10343, 2011.

670

- Kirkby, J., Duplissy, J., Sengupta, K., Frege, C., Gordon, H., Williamson, C., Heinritzi, M., Simon,
- M., Yan, C., Almeida, J., Trostl, J., Nieminen, T., Ortega, I. K., Wagner, R., Adamov, A., Amorim,
- A., Bernhammer, A. K., Bianchi, F., Breitenlechner, M., Brilke, S., Chen, X., Craven, J., Dias, A.,
- Ehrhart, S., Flagan, R. C., Franchin, A., Fuchs, C., Guida, R., Hakala, J., Hoyle, C. R., Jokinen, T.,
- Junninen, H., Kangasluoma, J., Kim, J., Krapf, M., Kurten, A., Laaksonen, A., Lehtipalo, K.,
- Makhmutov, V., Mathot, S., Molteni, U., Onnela, A., Perakyla, O., Piel, F., Petaja, T., Praplan, A.
- P., Pringle, K., Rap, A., Richards, N. A., Riipinen, I., Rissanen, M. P., Rondo, L., Sarnela, N.,
- Schobesberger, S., Scott, C. E., Seinfeld, J. H., Sipila, M., Steiner, G., Stozhkov, Y., Stratmann, F.,
- Tomé, A., Virtanen, A., Vogel, A. L., Wagner, A. C., Wagner, P. E., Weingartner, E., Wimmer, D.,
- Winkler, P. M., Ye, P., Zhang, X., Hansel, A., Dommen, J., Donahue, N. M., Worsnop, D. R.,
- Baltensperger, U., Kulmala, M., Carslaw, K. S., and Curtius, J.: Ion-induced nucleation of pure
- biogenic particles, Nature, 533, 521-526, https://doi.org/10.1038/nature17953, 2016.

683

- Kroll, J. H., Donahue, N. M., Jimenez, J. L., Kessler, S. H., Canagaratna, M. R., Wilson, K. R.,
- Altieri, K. E., Mazzoleni, L. R., Wozniak, A. S., Bluhm, H., Mysak, E. R., Smith, J. D., Kolb, C. E.,
- and Worsnop, D. R.: Carbon oxidation state as a metric for describing the chemistry of atmospheric
- organic aerosol, Nature Chemistry, 3, 133-139, https://doi.org/10.1038/nchem.948, 2011.

688 689

- Kulmala, M., Petäjä, T., Mönkkönen, P., Koponen, I. K., Dal Maso, M., Aalto, P. P., Lehtinen, K.
- E. J., and Kerminen, V.-M.: On the growth of nucleation mode particles: source rates of
- condensable vapor in polluted and clean environments, Atmos. Chem. Phys., 5, 409-416, 2005.

- Kulmala, M., Petäjä, T., Nieminen, T., Sipilä, M., Manninen, H. E., Lehtipalo, K., Dal Maso, M.,
- Aalto, P. P., Junninen, H., Paasonen, P., Riipinen, I., Lehtinen, K. E. J., Laaksonen, A., and
- Kerminen, V.-M.: Measurement of the nucleation of atmospheric aerosol particles, Nature
- 696 Protocols, 7, 1651-1667, https://doi.org/10.1038/nprot.2012.091, 2012.

- Kürten, A., Rondo, L., Ehrhart, S., and Curtius, J.: Caibration of a chemical ionization mass spectrometer for the measurement of gaseous sulfuric acid, J. Phys. Chem., A, 116, 6375-6386.
- Kurtén, T., Rissanen, M. P., Mackeprang, K., Thornton, J. A., Hyttinen, N., Jørgensen, S., Ehn, M.,
- and Kjaergaard, H. G.: Computational Study of Hydrogen Shifts and Ring-Opening Mechanisms in
- 702 α-Pinene Ozonolysis Products, J. Phys. Chem., A, 119, 11366-11375, 2015.
- Kurtén, T., Tiusanen, K., Roldin, P., Rissanen, M., Luy, J. N., Boy, M., Ehn, M., and Donahue, N.:
- 705 α-Pinene autoxidation products may not have extremely low saturation vapor pressures despite high
- 706 O:C ratios, J. Phys. Chem., A, 120, 2569-2582, 2016.
- Larriba, C., Hogan, C. J., Attoui, M., Borrajo, R., Garcia, J. F., and De La Mora, J. F.: The
- mobility-volume relationship below 3.0 nm examined by tandem mobility-mass measurement,
- 710 Aerosol Sci. Techn., 45, 453-467, 2011.

703

707

711

719

735

740

- Lee, B. H., Mohr, C., Lopez-Hilfiker, F. D., Lutz, A., Hallquist, M., Lee, L., Romer, P., Cohen, R.
- C., Iyer, S., Kurtén, T., Hu, W., Day, D. A., Campuzano-Jost, P., Jimenez, J. L., Xu, L., Ng, N. L.,
- Guo, H., Weber, R. J., Wild, R. J., Brown, S. S., Koss, A., de Gouw, J., Olson, K., Goldstein, A. H.,
- Seco, R., Kim, S., McAvey, K., Shepson, P. B., Starn, T., Baumann, K., Edgerton, E. S., Liu, J.,
- Shilling, J. E., Miller, D. O., Brune, W., Schobesberger, S., D'Ambro, E. L., and Thornton, J. A.:
- 717 Highly functionalized organic nitrates in the southeast United States: Contribution to secondary
- organic aerosol and reactive nitrogen budgets, PNAS, 113, 1516-1521, 2016.
- Lehtipalo, K., Yan, C., Dada, L., Bianchi, F., Xiao, M., Wagner, R., Stolzenburg, D., Ahonen, L. R.,
- Amorim, A., Baccarini, A., 5Bauer, P. S., Baumgartner, B., Bergen, A., Bernhammer, A.-
- K., Breitenlechner, M., Brilke, S., Buchholz, A., Mazon, S. B., Chen, D., Chen, X., Dias, A.,
- Dommen, J., Draper, D. C., Duplissy, J., Ehn, M., Finkenzeller, H., Fischer, L., Frege, C., Fuchs,
- C., Garmash, O., Gordon, H., Hakala, J., He, X., Heikkinen, L., Heinritzi, M., Helm, J. C., Hofbauer,
- V., Hoyle, C. R., Joki-nen, T., Kangasluoma, J., Kerminen, V.-M., Kim, C., Kirkby, J., Kontkanen,
- J., Kürten, A., Lawler, M. J., Mai, H., Mathot, S., Mauldin, R. L., Molteni, U., Nichman, L., Nie,
- W., Niemi-nen, T., Ojdanic, A., Onnela, A., Passananti, M., Petäjä, T., Piel, 15F., Pospisilova, V.,
- Quéléver, L. L. J., Rissanen, M. P., Rose, C., Sarnela, N., Schallhart, S., Schuchmann, S., Sengupta,
- K., Simon, M., Sipilä, M., Tauber, C., Tomé, A., Tröstl, J., Väisä-nen, O., Vogel, A. L., Volkamer,
- R., Wagner, A. C., Wang, M., Weitz, L., Wimmer, D., Ye, P., Ylisirniö, A., Zha, Q., Carslaw, K. S.,
- Curtius, J., Donahue, N. M., Flagan, R. C., Hansel, A., Riipinen, I., Virtanen, A., Winkler, P. M.,
- Baltensperger, U., Kulmala, M., and Worsnop, D. R.: Multicomponent new particle formation from
- sulfuric acid, ammonia, and biogenic vapors, Science Advances, 4,
- 734 https://doi.org/10.1126/sciadv.aau5363, 2018.
- Massoli, P., Stark, H., Canagaratna, M. R., Krechmer, J. E., Xu, L., Ng, N. L., Mauldin, R. L., Yan,
- C., Kimmel, J., Misztal, P. K., Jimenez, J. L., Jayne, J. T., and Worsnop, D. R.: Ambient
- Measurements of Highly Oxidized Gas-Phase Molecules during the Southern Oxidant and Aerosol
- 739 Study (SOAS) 2013, ACS Earth Space Chem., 2, 653-672, 2018.
- McMurry, P. H., Shan Woo, K., Weber, R., Chen, D.-R., and Pui, D. Y. H.: Size distributions of 3-
- 742 10 nm atmospheric particles: implications for nucleation mechanisms, Philosophical Transactions
- of the Royal Society A: Math., Phys. Eng. Sci., 358, 2625-2642, 2000.
- Miller, M. R., Raftis, J. B., Langrish, J. P., McLean, S. G., Samutrtai, P., Connell, S. P., Wilson, S.,
- Vesey, A. T., Fokkens, P. H., Boere, A. J. F., Krystek, P., Campbell, C. J., Hadoke, P. W.,
- Donaldson, K., Cassee, F. R., Newby, D. E., Duffin, R., and Mills, N. L.: Inhaled nanoparticles
- accumulate at sites of vascular disease, ACS Nano, 11, 4542-4552, 2017.

- Møller, K. H., Tram, C. M., and Kjaergaard, H. G.: Side-by-Side Comparison of Hydroperoxide
- and Corresponding Alcohol as HydrogenBond Donors, J. Phys. Chem. A, 121, 2951-2959, 2017.
- 751
- Molteni, U., Bianchi, F., Klein, F., Haddad, I. E., Frege, C., Rossi, M. J., Dommen, J., and
- Baltensperger, U.: Formation of highly oxygenated organic molecules from aromatic compounds,
- 754 Atmos. Chem. Phys., 18, 1909-1921, 2018.
- 755
- Mutzel, A., Poulain, L., Berndt, T., Iinuma, Y., Rodigast, M., Böge, O., Richters, S., Spindler, G.,
- Sipila, M., Jokinen, T., Kulmala, M., Herrmann, H.: Highly oxidized multifunctional organic
- compounds observed in tropospheric particles: A field and laboratory study, Environ. Sci. Techn.,
- 759 49, 7754-7761, 2015.

- Myllys, N., Olenius, T., Kurtén, T., Vehkamäki, H., Riipinen, I., and Elm, J.: Effect of Bisulfate,
- Ammonia, and Ammonium on the Clustering of Organic Acids and Sulfuric Acid, J. Phys. Chem.
- 763 A, 121, 4812-4824, 2017.

764

- Penner, J. E., Xu, L., and Wang, M.: Satellite methods underestimate indirect climate forcing by aerosols., PNAS, 108, 13404-13408, 2011.
- 767
- Praske, E., Otkjær, R. V., Crounse, J. D., Hethcox, J. C., Stoltz, B. M., Kjaergaard, H. G., and
- Wennberg, P. O.: Atmospheric autoxidation is increasingly important in urban and suburban North
- 770 America, PNAS, 115, 64-69, 2018.

771

- Qi, X., Ding, A., Roldin, P., Xu, Z., Zhou, P., Sarnela, N., Nie, W., Huang, X., Rusanen, A., Ehn,
- M., Rissanen, M. P., Petäjä, T., Kulmala, M., and Boy, M.: Modelling studies of HOMs and their
- contributions to new particle formation and growth: comparison of boreal forest in Finland and a
- polluted environment in China, Atmos. Chem. Phys, 18, 11779-11791, 2018.

776

- Quéléver, J., Kristensen, K., Normann Jensen, L., Teiwes, R., Daellenbach, Kaspar R., Peräkylä, O.,
- Roldin, P., Pedersen, H., Glasius, M., Bilde, M., Ehn, M.: Effect of temperature on the formation of
- Highly-oxygenated Organic Molecules (HOM) from alpha-pinene ozonolysis, Atmos. Chem. Phys.
- 780 Discuss., 18, 1-29, 2018.

781

- Riccobono, F., Schobesberger, S., Scott, C., Dommen, J., Ortega, I., Rondo, L., Almeida, J.,
- Amorim, A., Bianchi, F., Breitenlechner, M., David, A., Downard, A., Dunne, E., Duplissy, J.,
- Ehrhart, S., Flagan, R., Franchin, A., Hansel, A., Junninen, H., Kajos, M., Keskinen, H., Kupc, A.,
- Kürten, A., Kvashin, A., Laaksonen, A., Lehtipalo, K., Makhmutov, V., Mathot, S., Nieminen, T.,
- Onnela, A., Petäjä, T., Praplan, A., Santos, F., Schallhart, S., Seinfeld, J., Sipilä, M., Van Spracklen,
- D., Stozhkov, Y., Stratmann, F., Tomé, A., Tsagkogeorgas, G., Vaattovaara, P., Viisanen, Y.,
- Vrtala, A., Wagner, P., Weingartner, E., Wex, H., Wimmer, D., Carslaw, K., Curtius, J., Donahue,
- N., Kirkby, J., Kulmala, M., Worsnop, D., and Baltensperger, U.: Oxidation products of biogenic
- emissions contribute to nucleation of atmospheric particles, Science, 344, 717-721, 2014.

791

- Rissanen, M. P., Kurtén, T., Sipilä, M., Thornton, J. A., Kangasluoma, J., Sarnela, N., Junninen, H.,
- Jørgensen, S., Schallhart, S., Kajos, M. K., Taipale, R., Springer, M., Mentel, T. F., Ruuskanen, T.,
- Petäjä, T., Worsnop, D. R., Kjaergaard, H. G., and Ehn, M.: The formation of highly oxidized
- multifunctional products in the ozonolysis of cyclohexene, J.Am. Chem. Soc., 136, 15596-15606
- 796 2014.

- Rissanen, M. P.: NO₂ Suppression of Autoxidation–Inhibition of Gas-Phase Highly Oxidized Dimer
- Product Formation, ACS Earth Space Chem., 2, 1211–1219,
- https://doi.org/10.1021/acsearthspacechem.8b00123, 2018.

- Rose, C., Zha, Q., Dada, L., Yan, C., Lehtipalo, K., Junninen, H., Mazon, S. B., Jokinen, T.,
- Sarnela, N., Sipilä, M., Petäjä, T., Kerminen, V.-M., Bianchi, F., and Kulmala, M.: Observations of
- biogenic ion-induced cluster formation in the atmosphere, Sci. Adv., 4, eaar5218,
- 804 https://doi.org/10.1126/sciadv.aar5218, 2018.

- Schobesberger, S., Junninen, H., Bianchi, F., Lönn, G., Ehn, M., Lehtipalo, K., Dommen, J.,
- 807 Ehrhart, S., Ortega, I. K., Franchin, A., Nieminen, T., Riccobono, F., Hutterli, M., Duplissy, J.,
- Almeida, J., Amorim, A., Breitenlechner, M., Downard, A. J., Dunne, E. M., Flagan, R. C., Kajos,
- M., Keskinen, H., Kirkby, J., Kupc, A., Kürten, A., Kurtén, T., Laaksonen, A., Mathot, S., Onnela,
- A., Praplan, A. P., Rondo, L., Santos, F. D., Schallhart, S., Schnitzhofer, R., Sipilä, M., Tomé, A.,
- Tsagkogeorgas, G., Vehkamäki, H., Wimmer, D., Baltensperger, U., Carslaw, K. S., Curtius, J.,
- Hansel, A., Petäjä, T., Kulmala, M., Donahue, N. M., and Worsnop, D. R.: Molecular
- understanding of atmospheric particle formation from sulfuric acid and large oxidized organic
- molecules., PNAS, 110, 17223-17228, 2013.

815

- 816 Shi, J. P., Evans, D. E., Khan, A. A., and Harrison, R. M.: Sources and concentration of
- nanoparticles (<10nm diameter) in the urban atmosphere, Atmos. Environ., 35, 1193-1202, 2001.

818

- 819 Shi, Z., Vu, T., Kotthaus, S., Harrison, R.M., Grimmond, S., Yue, S., Zhu, T., Lee, J., Han, Y.,
- Demuzere, M., Dunmore, R.E., Ren, L., Liu, D., Wang, Y., Wild, O., Allan, J., Acton, W.J.,
- Barlow, J., Barratt, B., Beddows, D., Bloss, W.J., Calzolai, G., Carruthers, D., Carslaw, D.C., Chan,
- Q., Chatzidiakou, L., Chen, Y., Crilley, L., Coe, H., Dai, T., Doherty, R., Duan, F., Fu, P., Ge, B.,
- Ge, M., Guan, D., Hamilton, J.F., He, K., Heal, M., Heard, D., Hewitt, C.N., Hollaway, M., Hu, M.,
- Ji, X. Jiang, R. Jones, M. Kalberer, F.J. Kelly, L. Kramer, B. Langford, C. Lin, A.C. Lewis, J. Li,
- W. Li, D., Liu, H., Liu, J., Loh, M., Lu, K., Lucarelli, F., Mann, G., McFiggans, G., Miller, M.R.,
- Mills, G., Monk, P., Nemitz, E., O'Connor, F., Ouyang, B., Palmer, P.I., Percival, C., Popoola, O.,
- Reeves, C., Rickard, A.R., Shao, L., Shi, G., Spracklen, D., Stevenson, D., Sun, Y., Sun, Z., Tao, S.,
- Tong, S., Wang, Q., Wang, W., Wang, X., Wang, X., Wei, L., Whalley, L., Wu, X., Wu,
- 829 Z., Xie, P., Yang, F., Zhang, Q., Zhang, Y., Zhang, Y. and Zheng, M.: In-depth study of air
- pollution sources and processes within Beijing and its surrounding region (APHH-Beijing), Atmos.
- 831 Chem. Phys., 19, 7519-7546, 2019.

832

- 833 Stolzenburg, D, Fischer, L., Vogel, A., Heinritzi, M., Schervish, M., Simon, M., Wagner, A.,
- Dada, L., Ahonen, L., Amorim, A., Baccarini, A., Bauer, P., Baumgartner, B., Bergen, A.,
- Bianchi, F., Breitenlechner, M., Brilke, S., Buenrostro Mazon, S., Chen, D., Dias, A., Draper, D.,
- Duplissy, J., El Haddad, I., Finkenzeller, H., Frege, C., Fuchs, C., Garmash, O., Gordon, H., He, X.,
- Helm, J., Hofbauer, V., Hoyle, C., Kim, C., Kirkby, J., Kontkanen, J., Kürten, A., Lampilahti, J.,
- Lawler, M., Lehtipalo, K., Leiminger, M., Mai, H., Mathot, S., Mentler, B., Molteni, U., Nie, W.,
- Nieminen, T., Nowak, J., Ojdanic, A., Onnela, A., Passananti, M., Petäjä, T., Quéléver, L.,
- Rissanen, M., Sarnela, N., Schallhart, S., Tauber, C., Tomé, A., Wagner, R., Wang, M., Weitz, L.,
- Wimmer, D., Xiao, M., Yan, C., Ye, P., Zha, Q., Baltensperger, U., Curtius, J., Dommen, J., Flagan,
- 842 R., Kulmala, M., Smith, J., Worsnop, D., Hansel, A., Donahue, N., Winkler, P., Rapid growth of
- organic aerosol nanoparticles over a wide tropospheric temperature range, PNAS, 115, 9122-9127,
- 844 2018.

845

- Tammet, H.: Size and mobility of nanometer particles, clusters and ions, J. Aerosol Sci., 26, 459-
- 847 475, 1995.

848

- Tomasi, C., Fuzzi, S., Kokhanovsky, A.: Atmospheric Aerosols: Life Cycles and Effects on Air
- 850 Quality and Climate, Wiley, 2016.

- Tröstl, J., Chuang, W. K., Gordon, H., Heinritzi, M., Yan, C., Molteni, U., Ahlm, L., Frege, C.,
- Bianchi, F., Wagner, R., Simon, M., Lehtipalo, K., Williamson, C., Craven, J. S., Duplissy, J.,
- Adamov, A., Almeida, J., Bernhammer, A. K., Breitenlechner, M., Brilke, S., Dias, A., Ehrhart, S.,
- Flagan, R. C., Franchin, A., Fuchs, C., Guida, R., Gysel, M., Hansel, A., Hoyle, C. R., Jokinen, T.,
- Junninen, H., Kangasluoma, J., Keskinen, H., Kim, J., Krapf, M., Kürten, A., Laaksonen, A.,
- Lawler, M., Leiminger, M., Mathot, S., Möhler, O., Nieminen, T., Onnela, A., Petäjä, T., Piel, F.
- M., Miettinen, P., Rissanen, M. P., Rondo, L., Sarnela, N., Schobesberger, S., Sengupta, K., Sipilä,
- M., Smith, J. N., Steiner, G., Tomè, A., Virtanen, A., Wagner, A. C., Weingartner, E., Wimmer, D.,
- Winkler, P. M., Ye, P., Carslaw, K. S., Curtius, J., Dommen, J., Kirkby, J., Kulmala, M., Riipinen,
- I., Worsnop, D. R., Donahue, N. M., and Baltensperger, U.: The role of low-volatility organic
- compounds in initial particle growth in the atmosphere, Nature, 533, 527-531,
- 863 https://doi.org/10.1038/nature18271, 2016.
- Wang, S., Wu, R., Berndt, T., Ehn, M., and Wang, L.: Formation of Highly Oxidized Radicals and
- Multifunctional Products from the Atmospheric Oxidation of Alkylbenzenes, Environ. Sci. Techn.,
- 867 51, 8442-8449, 2017.

868

872

879

882

886

891

- 869 Wang, Z., Wu, Z., Yue, D., Shang, D., Guo, S., Sun, J., Ding, A., Wang, L., Jiang, J., Guo, H., Gao,
- J., Cheung, H. C., Morawska, L., Keywood, M., and Hu, M.: New particle formation in China:
- 871 Current knowledge and further directions, Sci. Tot. Environ., 577, 258-266, 2016.
- Wiedensohler, A., Cheng, Y. F., Nowak, A., Wehner, B., Achtelt, P., Berghof, M., Birmili, W., Wu,
- Z. J., Hu, M., Zhu, T., Takegawa, N., Kita, K., Kondo, Y., Lou, S. R., Hofeumahaus, A., Holland,
- F., Wahner, A., Gunthe, S. S., Rose, D., Su, H., and Pöschl, U.: Rapid aerosol particle growth and
- 876 increase of cloud condensation nucleus activity by secondary aerosol formation and condensation:
- A case study for regionalair pollution in northeastern China, Journal of Geophysical Research
- 878 Atmospheres, 114, 1–13, https://doi.org/10.1029/2008JD010884, 2009.
- 880 Wu, Z., Hu, M., Lin, P., Liu, S., Wehner, B., and Wiedensohler, A.: Particle number size
- distribution in the urban atmosphere of Beijing, China, Atmos. Environ., 42, 7967-7980, 2008.
- 883 Wu, Z., Ma, N., Größ, J., Kecorius, S., Lu, K., Shang, D., Wang, Y., Wu, Y., Zeng, L., Hu, M.,
- Wiedensohler, A., and Zhang, Y.: Thermodynamic properties of nanoparticles during new particle
- formation events in the atmosphere of North China Plain, Atmos. Res., 188, 55-63, 2017.
- Wu, Z. J., Hu, M., Liu, S., Wehner, B., Bauer, S., Ssling, a. M., Wiedensohler, a., Petäjä, T., Dal
- Maso, M., and Kulmala, M.: New particle formation in Beijing, China: Statistical analysis of a 1-
- year data set, J. Geophys. Res. Atmospheres, 112, D09209, https://doi.org/10.1029/2006JD007406,
- 890 2007.
- Xiong, F., McAvey, K. M., Pratt, K. A., Groff, C. J., Hostetler, M. A., Lipton, M. A., Starn, T. K.,
- Seeley, J. V., Bertman, S. B., Teng, A. P., Crounse, J. D., Nguyen, T. B., Wennberg, P. O., Misztal,
- P. K., Goldstein, A. H., Guenther, A. B., Koss, A. R., Olson, K. F., De Gouw, J. A., Baumann, K.,
- 895 Edgerton, E. S., Feiner, P. A., Zhang, L., Miller, D. O., Brune, W. H., and Shepson, P. B.:
- Observation of isoprene hydroxynitrates in the Southeastern United States and implications for the
- 897 fate of NO_x, Atmos. Chem. Phys., 15, 11257-11272, 2015.
- Yan, C., Nie, W., Äijälä, M., Rissanen, M. P., Canagaratna, M. R., Massoli, P., Junninen, H.,
- Jokinen, T., Sarnela, N., Häme, S. A. K., Schobesberger, S., Canonaco, F., Yao, L., Prévôt, A. S.
- H., Petäjä, T., Kulmala, M., Sipilä, M., Worsnop, D. R., and Ehn, M.: Source characterization of
- highly oxidized multifunctional compounds in a boreal forest environment using positive matrix
- 903 factorization, Atmos. Chem. Phys., 16, 12715-12731, 2016.

- Yao, L., Garmash, O., Bianchi, F., Zheng, J., Yan, C., Kontkanen, J., Junninen, H., Mazon, S. B.,
- Ehn, M., Paasonen, P., Sipilä, M., Wang, M., Wang, X., Xiao, S., Chen, H., Lu, Y., Zhang, B.,
- Wang, D., Fu, Q., Geng, F., Li, L., Wang, H., Qiao, L., Yang, X., Chen, J., Kerminen,
- V. M., Petäjä, T., Worsnop, D. R., Kulmala, M., and Wang, L.: Atmospheric new particle formation
- from sulfuric acid and amines in a Chinese megacity, Science, 361, 278-281, 2018.
- 909
- Yu, F. and Luo, G.: Simulation of particle size distribution with a global aerosol model:
- 911 Contribution of nucleation to aerosol and CCN number concentrations, Atmospheric Chemistry and
- 912 Physics, 9, 7691-7710, 2009.
- 913
- 914 Yue, D. L., Hu, M., Zhang, R. Y., Wu, Z. J., Su, H., Wang, Z. B., Peng, J. F., He, L. Y., Huang, X.
- F., Gong, Y. G., and Wiedensohler, A.: Potential contribution of new particle formation to cloud
- condensation nuclei in Beijing, Atmos. Environ., 45, 6070-6077, 2011.
- 917

- 218 Zhao, Y., Wingen, L. M., Perraud, V., Greaves, J., and Finlayson-Pitts, B. J.: Role of the reaction of
- stabilized Criegee intermediates with peroxy radicals in particle formation and growth in air, Phys.
- 920 Chem. Chem. Phys., 17, 12500-12514, 2015.

FIGURE LEGENDS:

- **Figure 1** Oxidation state of carbon calculated as two times the oxygen to carbon ratio minus the hydrogen to carbon ratio against carbon number for (colored) individual ions and (blue circles) signal weighted average for each carbon number. Area and colour are both proportional to the peak area for each ion
 - Figure 2 Mass defect plot of fitted mass spectral peaks between 100-600mass units on (a) 10:30 12:00 23/06/2017, a non nucleation day, and (b) 10:30 -12:00 25/06/2017, a nucleation day. Mass defect can be defined as the mass integer mass. The size of point is proportional to the signal intensity. As ¹H has a positive mass defect (1.007276 Da), the upward trend along the horizontal indicates increasing carbon chain length, and differences at similar masses are due to increasing oxygen functionality, clustering with species such as sulfuric acid (negative mass defect) and ammonia (positive mass defect), as ¹⁶O and ³²S have negative mass defects (15.9949 and 31.9721 Da respectively), while ¹⁴N has a positive mass defect at 14.0031 Da.
 - Figure 3 Summed time series of the concentrations of (topA) all non-nitrogen containing HOMs and all organonitrates identified, (middleB) C5, C10 and C20 components, assumed to be dominated by isoprene, monoterpene monomer and monoterpene dimers, signal for C20 multiplied 50 times to fit scale, and (bottomC) summed C6 C9 components, and summed C11 C18 components, assumed to be dominated by alkylbenzenes and other larger components respectively.
 - **Figure 4** Time series for the whole sampling campaign for the concentrations of (left axis) VOCs as measured by PTR-ToF and (right axis) a selected HOM product associated with that precursor.
 - Figure 5 Normalised unit mass NO3- CI-APi-ToF signal intensity on 24/06/2017 (topA) and 25/06/2017 (bottomB). Each individual unit mass was normalised to a maximum of 1. Each period is normalised separately so the individual signal maxima on each day are visible. The graph is plotted between 200-600 mass units, with every 10 mass units averaged for simplicity. On the secondary axis is plotted PSM data, both total particle count >1.30 nm (black trace) and total clusters between 1.30 and 1.84 nm (blue trace). Data is plotted at 1 hour time resolution.
 - **Figure 6** SMPS + PSM contour plot for two nucleation days on 24/06/2017 and 25/06/2017. Data in bottom panel is from the PSM instrument, top panel from NanoSMPS, units in colour bar are log₁₀ (dN/logD_p) for N in cm⁻³. Points signify normalised sulfuric acid concentration (right axis) as measured by CI-APi-ToF.

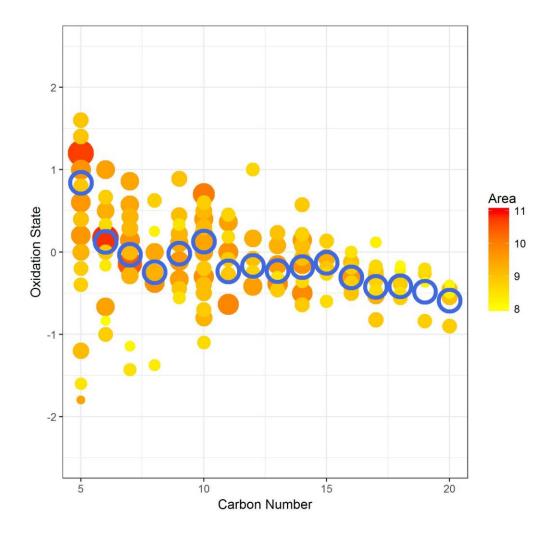


Figure 1. Oxidation state of carbon plotted against carbon number for (colored) individual ions and (blue circles) signal weighted average for each carbon number. Area and colour are both proportional to the peak area for each ion.

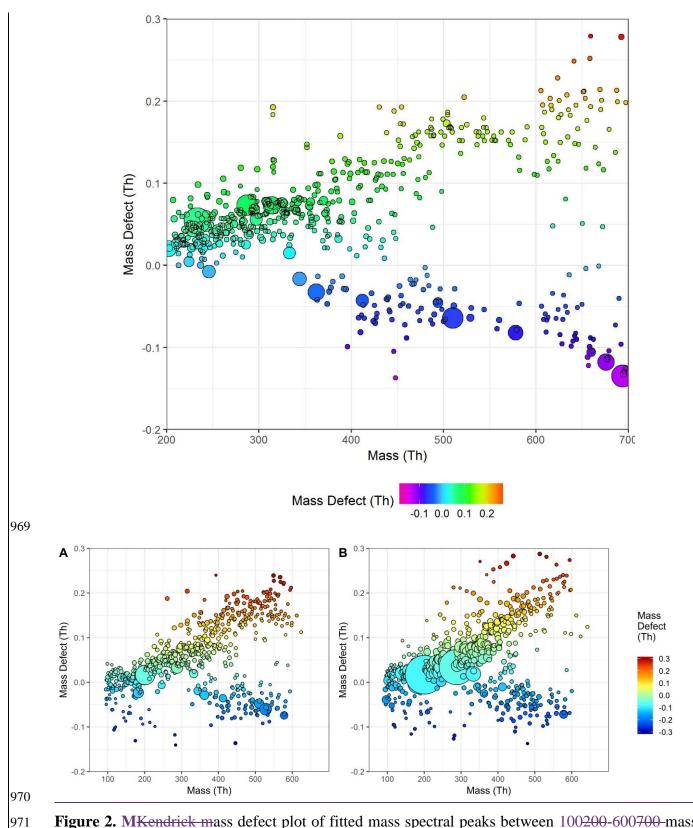
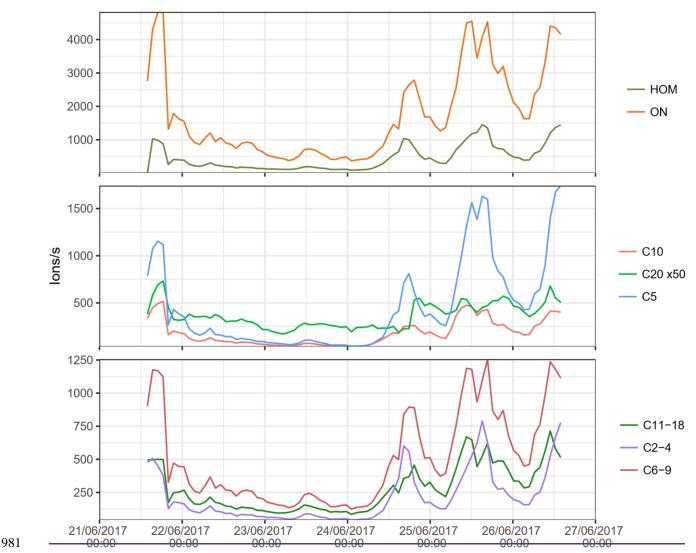


Figure 2. MKendrick mass defect plot of fitted mass spectral peaks between 100200-600700-mass units on (a) 10:30 – 12:00 23/06/2017, a non nucleation day, and (b) 10:30 –12:00 25/06/2017, a nucleation day, where carbon is the Kendrick base. Kendrick mass Mass defect can be defined as the Kendrick mass - Kendrick-integer mass. The size of point is proportional to the signal intensity. As ¹H has a positive mass defect (1.007276 Da), the upward trend along the horizontal indicates increasing carbon chain length, and differences at similar masses are due to increasing oxygen functionality, clustering with species such as sulfuric acid (negative mass defect) and ammonia (positive mass defect), as ¹⁶O and ³²S have negative mass defects (15.9949 and 31.9721 Da

respectively), while ¹⁴N has a positive mass defect at 14.0031 Da. Here, two ions at 201 and 288 *m/Q* have been removed due to high signal.



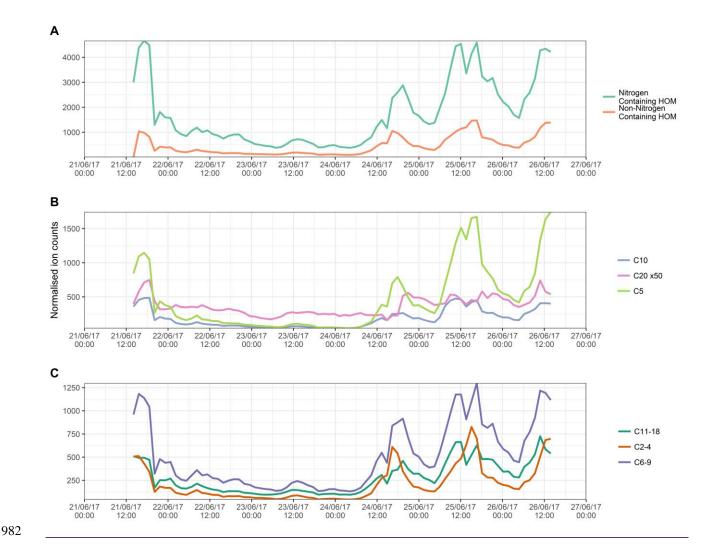
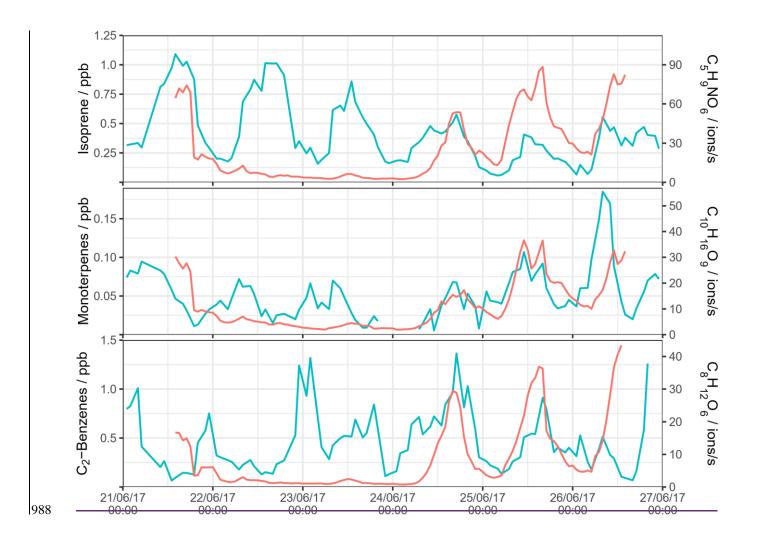


Figure 3. Summed time series of the concentrations of (topA) all non-nitrogen containing HOMs and all organonitrates identified, (middleB) C5, C10 and C20 components, assumed to be dominated by isoprene, monoterpene monomer and monoterpene dimers, signal for C20 multiplied 50 times to fit scale, and (bottomC) summed C6 - C9 components, and summed C11 - C18 components, assumed to be dominated by alkylbenzenes and other larger components respectively.



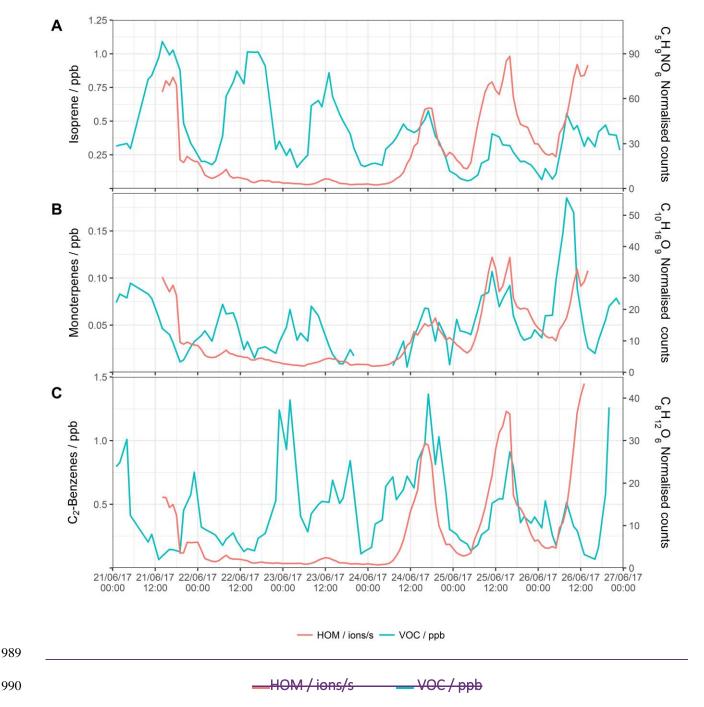


Figure 4. Time series for the whole sampling campaign for the concentrations of (left axis) VOCs as measured by PTR-ToF and (right axis) a selected HOM product associated with that precursor.

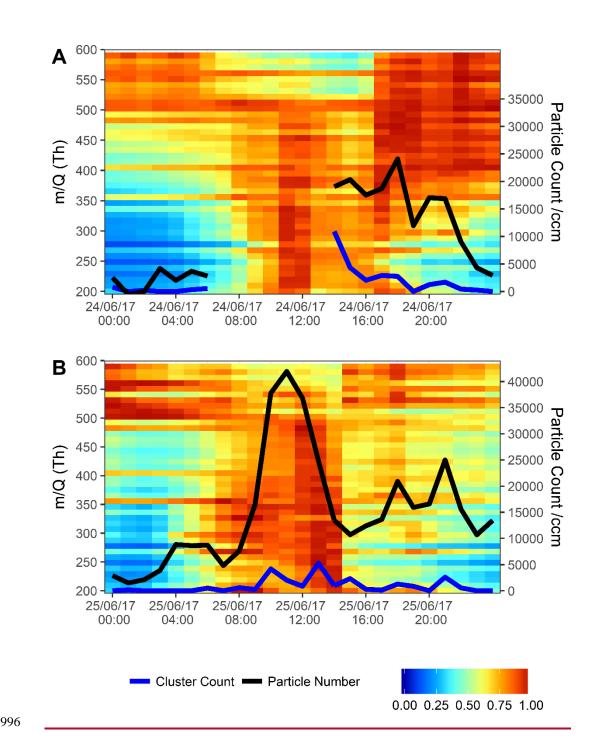
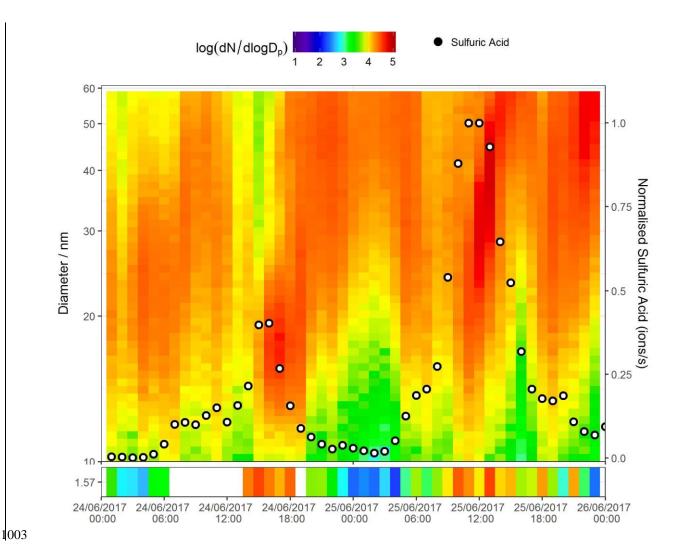


Figure 5. Normalised unit mass NO₃ CI-APi-ToF signal intensity on 24/06/2017 (topA) and 25/06/2017 (bottomB). Each individual unit mass was normalised to a maximum of 1. Each period is normalised separately so the individual signal maxima on each day are visible. The graph is plotted between 200-600 mass units, with every 10 mass units averaged for simplicity. On the secondary axis is plotted PSM data, both total particle count >1.30 nm (black trace) and total clusters between 1.30 and 1.84 nm (blue trace). Data is plotted at 1 hour time resolution.



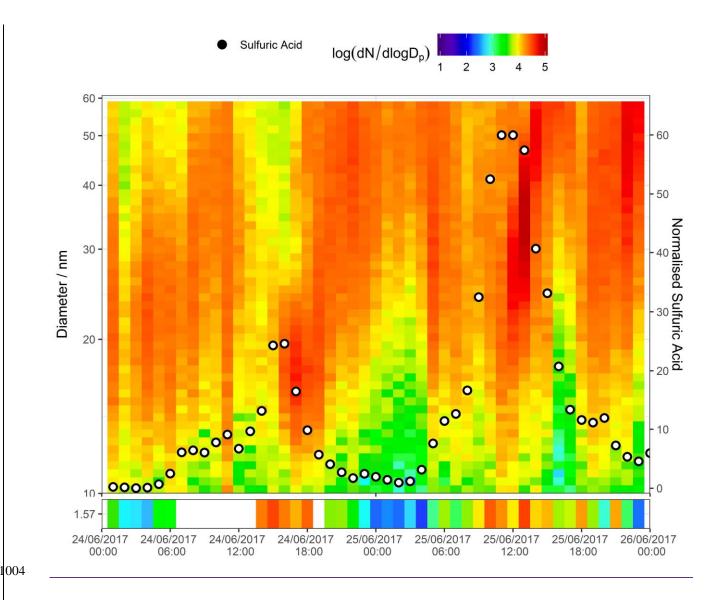


Figure 6. SMPS + PSM contour plot for two nucleation days on 24/06/2017 and 25/06/2017. Data in bottom panel is from the PSM instrument, top panel from NanoSMPS, units in colour bar are log_{10} (dN/logD_p) for N in cm⁻³. Points signify normalised sulfuric acid concentration (right axis) as measured by CI-APi-ToF.

SUPPLEMENTARY MATERIAL

OBSERVATIONS OF HIGHLY OXIDISED

MOLECULES AND PARTICLE NUCLEATION
IN THE ATMOSPHERE OF BEIJING

6
7 Iames Bre

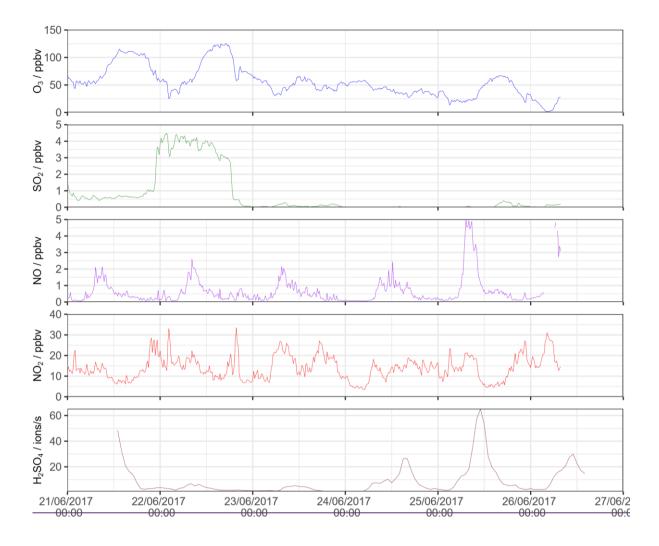
1 2

9 10

James Brean, Roy M. Harrison, Zongbo Shi

8 David C.S. Beddows, W. Joe F. Acton and

C. Nicholas Hewitt, Freya A. Squires and James Lee



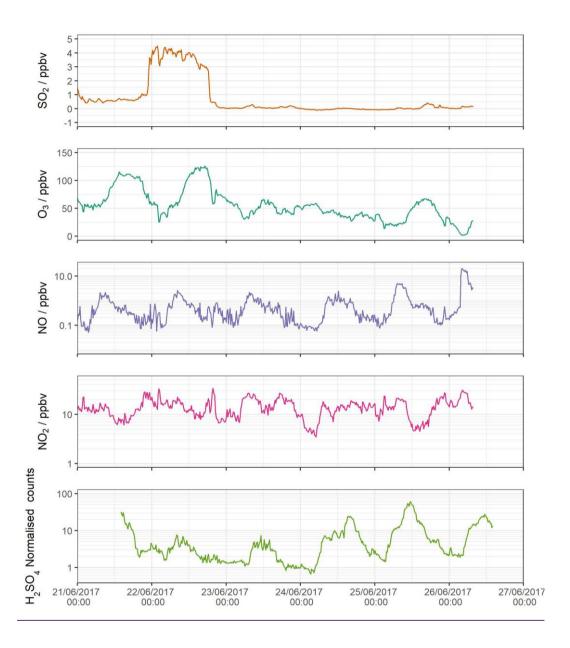
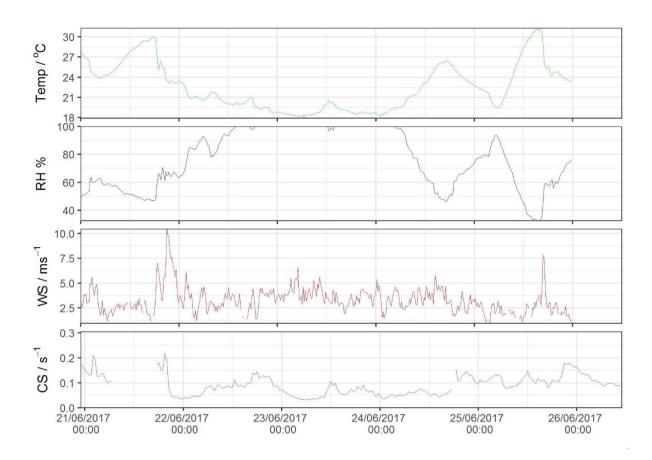


Figure S1. Time series for (from top downwards), SO₂, O₃, NO, NO₂ and H₂SO₄. Time series for (from top downwards), O₃, SO₂, NO, NO₂ and H₂SO₄. Data for O₃, SO₂, NO and NO₂ are taken from Shi et al. (2019), and for H₂SO₄ are from this study.



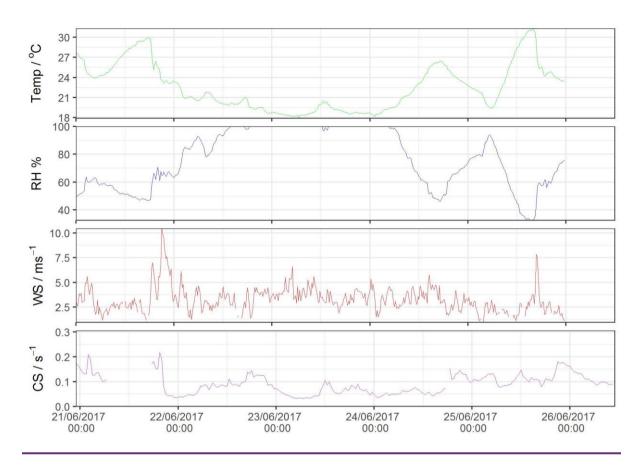


Figure S2. MET data for the sampling period. Temperature, relative humidity and wind speed were collected at 120 m on the meteorological tower at the sampling site. The particle size distribution from which condensation sink was calculated was measured at 2 metres.

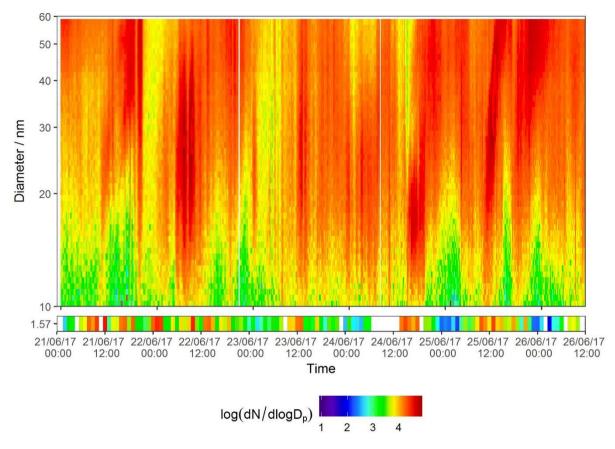


Figure S3. SMPS + PSM contour plot for all days of sampling period. Data, from top panel to bottom, from long column SMPS, nano column SMPS and PSM instruments, units in colour bar are log_{10} (dN/logD_p) for N in cm⁻³.

Table S1. Relationship between molecular mass and calculated electrical mobility diameter (nm) for multiple masses up to 800 Da and densities, as calculated according to Tammet (1995), and corrected according to Larriba et al. (2011).

Mass	$\rho \text{ g/cm}^3$					
/ Da	1.0	1.2	1.4	1.6	1.8	2.0
200	1.159	1.109	1.068	1.035	1.006	0.982
300	1.284	1.226	1.179	1.141	1.109	1.081
400	1.383	1.319	1.268	1.226	1.19	1.159
500	1.466	1.397	1.342	1.297	1.259	1.226
600	1.539	1.466	1.408	1.359	1.319	1.284
700	1.605	1.528	1.466	1.415	1.372	1.335
800	1.664	1.583	1.519	1.466	1.421	1.383

Table S2. All peaks identified by NO3- CIMS

Ion	Mass
C ₂ HO ₃ O ⁻	88.988
C ₃ H ₅ O ₂ O ⁻	89.025
<u>CH₃SO₃=</u>	94.98
HSO ₄ -	96.96
C4H3O2O	99.009
C4H5O2O-	101.024
$C_3H_3O_3O^-$	103.004
$\mathrm{SO_4O}^-$	111.947
$C_6H_4NO_3^-$	138.019
SO_3NO_3	141.945
C ₂ H ₇ N-HSO ₄	142.018
C ₅ H ₉ O ₄ O ⁻	149.046
H ₂ SO ₄ NO ₃	159.956
C ₃ H ₅ NO ₃ NO ₃	165.015
C ₃ H ₄ O ₄ NO ₃ -	165.999
$C_8H_{11}O_3O^-$	171.066
$C_7H_{10}NO3O^-\\$	172.062
IO ₃ -	174.89
C ₄ H ₆ O ₄ NO ₃ -	180.015
$C_3H_6NO_4NO_3^-$	182.018
$C_4H_6O_2HSO_4^-$	182.997
$C_3H_6O_5NO_3^-$	184.01
C ₃ H ₅ O ₃ HSO ₄	185.984
C ₅ H ₆ NO ₃ NO ₃ -	191.031
C ₅ H ₈ O ₄ NO ₃ -	194.031
H ₂ SO4 HSO ₄ -	194.926

Ion	Mass
C ₄ H ₈ O ₅ NO ₃ -	198.026
$C_5H_{10}O_2HSO_4^-$	199.028
$C_6H_5NO_3NO_3^-$	201.015
$HNO_3SO_3NO_3^-$	204.941
$C_6H_{12}NO_3NO_3^-$	208.07
C ₅ H ₉ NO ₄ NO ₃ -	209.042
$C_5H_8O_5NO_3^-$	210.026
$C_4H_7NO_5NO_3^-$	211.021
$C_5H_{10}O_5NO_3^{\text{-}}$	212.041
$C_4H_8O_6NO_3^-$	214.02
C7H7NO3NO3	215.031
$C_4H_{10}O_6NO_3$	216.036
$C_7H_8O_4NO_3^-$	218.031
$C_6H_7NO_4NO_3^-\\$	219.026
$C_7H_{10}O_4NO_3^-$	220.046
$C_6H_9NO_4NO_3^-$	221.042
C ₅ H ₇ NO ₅ NO ₃ -	223.021
$C_9H_9NO_2NO_3^-$	225.052
$C_{10}H_{13}NONO_3^{\text{-}}$	225.088
$C_4H_7NO_6NO_3^-$	227.016
$C_8H_9NO_3NO_3^-$	229.047
$C_5H_{12}O_6NO_3^-$	230.052
C7H7NO4NO3	231.026

Ion	Mass
C ₈ H ₁₀ O ₄ NO ₃ -	232.046
C ₇ H ₉ NO ₄ NO ₃ -	233.042
C7H8O5NO3-	234.026
$C_7H_{10}O_5NO_3^-$	236.041
$C_5H_5NO_6NO_3^-$	237.000
$C_6H_9NO_5NO_3^-$	237.036
$C_6H_8O_6NO_3^-$	238.020
$C_7H_{12}O_5NO_3$	238.057
C ₅ H ₇ NO ₆ NO ₃ -	239.016
$C_{10}H_{11}NO_2NO_3^-$	239.067
$C_6H_{10}O_6NO_3^{-1}$	240.036
C ₅ H ₉ NO ₆ NO ₃ -	241.031
C ₅ H ₁₁ NO ₆ NO ₃ -	243.047
C ₅ H ₁₀ O ₇ NO ₃ -	244.031
$C_{10}H_{14}O_3NO_3^-$	244.083
(H₂SO₄)₃NH₃HS O ₄ -	246.007
$C_3H_7NO_8NO_3^-$	247.006
$C_8H_{10}O_5NO_3^{-1}$	248.041
C7H9NO5NO3 ⁻	249.036
C ₈ H ₁₂ O ₅ NO ₃ -	250.057
C ₆ H ₉ NO ₆ NO ₃ -	253.031
C5H8N2O6NO3	254.027

Ion	Mass
C ₁₀ H ₁₁ NO ₂ NO ₃ -	239.067
$C_6H_{10}O_6NO_3^-$	240.036
C5H9NO6NO3 ⁻	241.031
C ₅ H ₁₁ NO ₆ NO ₃ -	243.047
$C_5H_{10}O_7NO_3^-$	244.031
$C_{10}H_{14}O_3NO_3^-$	244.083
(H2SO4)3NH3HSO 4 ⁻	246.007
C ₃ H ₇ NO ₈ NO ₃ -	247.006
$C_8H_{10}O_5NO_3^-$	248.041
C ₇ H ₉ NO ₅ NO ₃ ⁻	249.036
$C_8H_{12}O_5NO_3^-$	250.057
C ₆ H ₉ NO ₆ NO ₃ -	253.031
$C_8H_{12}O_6NO_3^-$	266.052
$C_5H_{10}O_9NO_3^-$	276.021
$C_{10}H_{14}O_5NO_3^-$	276.072
C7H7NO3HNO3NO3-	278.027
$C_8H_{12}O_7NO_3^-$	282.047
$C_6H_{10}N_2O_7NO_3^-$	284.037
$C_{10}H_9NO_5NO_3^-$	285.036
$C_{10}H_8O_6NO_3^-$	286.02
$C_5H_{10}N_2O_8NO_3^{\scriptscriptstyle -}$	288.032
$C_{10}H_{15}NO_5NO_3^-$	291.083
$C_{10}H_{14}O_6NO_3^-$	292.067
$C_9H_{13}NO_6NO_3^-$	293.063
$C_{10}H_{16}O_6NO_3^-$	294.083
$C_9H_{15}NO_6NO_3^-$	295.078
$C_2H_7NHNO_3NO_3^-$	296.033
$C_{12}H_{13}NO_4NO_3^-$	297.073
$C_6H_{10}N_2O_8NO_3^{\scriptscriptstyle -}$	300.032

 $C_7H_{13}NO_8NO_3$ 301.052

Ion	Mass
C7H12O9NO3	302.036
$C_5H_{10}N_2O_9NO_3^-$	304.027
$C_{11}H_{17}NO_5NO_3^{-1}$	305.099
$C_{10}H_{15}NO_6NO_3^{-1}$	307.078
HSO ₅ H2SO ₄ HSO ₄ -	307.882
$C_{10}H_{14}O_7NO_3^-$	308.062
$C_{13}H_{13}NO_4NO_3^{\text{-}}$	309.073
$C_{13}H_{14}NO_4NO_3^{\text{-}}$	310.081
$C_9H_{15}NO_7NO_3^-$	311.073
$C_9H_{14}O_8NO_3^-$	312.057
$C_7H_{12}N_2O_8NO_3^-$	314.048
$C_{10}H_9NO_7NO_3^-$	317.026
$C_{11}H_{12}O_7NO_3^-$	318.047
$C_{10}H_{15}N_2O_6NO_3^-\\$	321.081
HNO3HSO5(NH3)3	323.99
HSO ₄ -	
$C_{10}H_{14}O_8NO_3^-$	324.057
$C_{10}H_{17}NO_{7}NO_{3}^{-} \\$	325.089
$C_{10}H_{16}O_8NO_3^-$	326.073
$C_9H_{15}NO_8NO_3^-$	327.068
$C_{13}H_{14}O_6NO_3^-$	328.067
$C_{12}H_{13}NO_6NO_3^-$	329.063
$C_{11}H_{12}N_2O_6NO_3^-$	330.058
$(C_2H_7N)_3H_2SO_4HSO_4^-$	330.101
$C_{10}H_9NO_8NO_3^-$	333.021

Ion	Mass
C9H8N2O8NO3	334.016
$C_{10}H_{11}NO_8NO_3^{-1}$	335.037
$H_2OHSO_5NH_3(HNO_3)_2NO_3^-$	335.971
$C_{11}H_{17}NO_7NO_3^-$	337.089
$C_{11}H_{16}O_8NO_3^-$	338.073
$C_{10}H_{15}NO_8NO_3^{\text{-}}$	339.068
$C_{14}H_{14}O_6NO_3^-$	340.067
$C_{10}H_{16}O_{9}NO_{3}^{-}$	342.068
$(H_2SO_4)_2(NH_3)_3HSO_4^-$	343.975
$C_{13}H_{17}NO_6NO_3^-$	345.094
$C_2H_7NHNO_32HSO_5NO3-\\$	345.992
$C_{11}H_{11}NO_8NO_3^-$	347.037
$C_{10}H_{14}N_2O_8NO_3^{-}$	352.063
$C_{11}H_{17}NO_8NO_3^{\text{-}}$	353.084
$C_{14}H_{14}NO_6NO_3^-$	354.07
$C_{10}H_{15}NO_{9}NO_{3}^{-}$	355.063
$C_{11}H_{18}O_{9}NO_{3}^{-}$	356.083
$C_{12}H_{12}N_2O_7NO_3^-$	358.053
$C_{14}H_{19}NO_6NO_3^-$	359.11
$H_2O(NH_3)_2HSO_5H_2SO_4HSO^{=}_4$	359.946
$H_2O(NH_3)_3(H_2SO_4)_2HSO^{-4}$	361.985
$(H_2O)_2(NH_3)_2(H_2SO_4)_2HSO_4^-$	362.969
$C_{13}H_{19}NO_7NO_3^-$	363.105
$C_{13}H_{18}O_8NO_3^-$	364.089
$C_{13}H_{20}O_8NO_3^-$	366.104
$C_{12}H_{19}NO_8NO_3^-$	367.099

Ion	Mass	
$C_{11}H_{16}O_{10}NO_3^-$	370.063	
$C_{14}H_{15}NO_7NO_3^-$	371.073	
$C_{14}H_{14}O_8NO_3^-$	372.057	
$C_{13}H_{13}NO_8NO_3^{\text{-}}$	373.052	
$(H_2O)_2NH_3(H_2SO_4)_2$	373.966	
HNO₃NO3⁻		
$C_{10}H_{16}O_{11}NO_{3}^{-}$	374.058	
$(C_2H_7N)_2(H_2SO_4)_2HSO_4^-$	383.011	
$C_{13}H_{15}NO_8NO_3^{\scriptscriptstyle -}$	375.068	
H ₂ O(NH ₃) ₃ HSO ₅	376.972	
$H_2SO_4HSO_4$ =		
(H2O)2(NH3)2HSO5	377.956	
H ₂ SO ₄ HSO ₄ -		
$C_{14}H_{23}NO_7NO_3^{\scriptscriptstyle -}$	379.136	
$C_{13}H_{21}NO_8NO_3^{\scriptscriptstyle -}$	381.115	
$C_{16}H_{18}NO_6NO_3^{\scriptscriptstyle -}$	382.102	
$C_{16}H_{19}NO_6NO_3^-$	383.11	
$C_{14}H_{15}NO_8NO_3^{\scriptscriptstyle -}$	387.068	
$C_{10}H_{17}NO_{11}NO_3^-$	389.069	
$C_{15}H_{23}NO_7NO_3^{\scriptscriptstyle -}$	391.136	
$C_{14}H_{21}NO_8NO_3^{\scriptscriptstyle -}$	393.115	
$C_{17}H_{21}NO_6NO_3^-$	397.125	

Ion	Mass	
C ₁₆ H ₂₀ N ₂ O ₆ NO ₃ -	398.121	
C ₁₆ H ₁₉ NO ₇ NO ₃ -	399.105	
$C_{16}H_{18}O_8NO_3^-$	400.089	
$C_{15}H_{17}NO_8NO_3^-$	401.084	
$C_{15}H_{16}O_{9}NO_{3}^{-}$	402.068	
$C_{14}H_{15}NO_{9}NO_{3}^{-}$	403.063	
$C_{17}H_{28}O_7NO_3^-$	406.172	
$C_{17}H_{18}N_2O_6NO_3^-$	408.105	
$C_{18}H_{21}NO_6NO_3^{\text{-}}$	409.125	
$C_{17}H_{19}NO_7NO_3^-$	411.105	
$C_{17}H_{20}O_8NO_3^-$	414.104	
$C_{16}H_{19}NO_8NO_3^-$	415.099	
$C_{16}H_{21}NO_8NO_3^{\scriptscriptstyle -}$	417.115	
$C_{14}H_{14}O_{11}NO_3^-$	420.042	
(NH₃)2(H2SO₄)3-HSO ⁻ 4	424.915	
$C_{18}H_{21}NO_7NO_3^-$	425.12	
$C_{15}H_{24}O_{10}NO_3^-$	426.125	
(C ₂ H ₇ N) ₂ (H ₂ SO ₄) ₂ HSO ₄ =	428.068	
$C_{18}H_{22}O_8NO_3^-$	428.12	
$C_{17}H_{21}NO_8NO_3^-$	429.115	
C ₁₆ H ₂₃ NO ₉ NO ₃ -	435.126	
<u>C₂H₇N(H₂SO₄)₃HSO₄-</u>	435.919	

Ion	Mass
C ₁₅ H ₂₃ NO ₁₀ NO ₃ -	439.121
$(H_2SO_4)_3(NH_3)_3HSO^{-4}$	441.942
$C_{18}H_{23}NO_8NO_3^{\scriptscriptstyle -}$	443.131
$C_{12}H_{16}O_{14}NO_{3}^{-}$	446.042
$C_{17}H_{27}NO_{9}NO_{3}^{-}$	451.157
$C_{16}H_{25}NO_{10}NO_{3}^{-}$	453.136
$C_{17}H_{28}O_{10}NO_3^-$	454.157
$C_{16}H_{27}NO_{10}NO_{3}^{-}$	455.152
$C_{19}H_{22}O_{9}NO_{3}^{-}$	456.115
$(NH_3)_3HSO_5(H_2SO_4)_2HSO_4^=$	456.929
C ₁₅ H ₂₅ NO ₁₁ NO ₃ -	457.131
H ₂ O(NH ₃) ₂ HSO ₅ (H ₂ SO ₄) ₂ HSO ₄	457.913
$C_{20}H_{32}NO_7NO_3^-$	460.206
$C_{17}H_{25}NO_{10}NO_{3}^{-}$	465.136
$C_{17}H_{29}NO_{10}NO_{3}^{-}$	469.168
$C_{16}H_{24}O_{12}NO_3^-$	470.115
$C_{16}H_{27}NO_{11}NO_{3}^{-}$	471.147
$C_{16}H_{26}O_{12}NO_{3}^{-}$	472.131
$(C_2H_7N)_4(H_2SO_4)_2HSO_4^-$	473.126
C ₁₅ H ₂₇ NO ₁₂ NO ₃ -	475.142
(H2O)2(NH3)3(H2SO 4)3HSO -4	477.963

Ion	Mass
(C ₂ H ₇ N) ₂ (H ₂ SO ₄) ₃ HSO ₄ ⁻	480.978
$C_{17}H_{25}NO_{11}NO_3^-$	481.131
$C_{18}H_{28}O_{11}NO_3^-$	482.152
$C_{17}H_{27}NO_{11}NO_3^-$	483.147
$C_{20}H_{26}N_2O_8NO_3^{-}$	484.157
$C_{20}H_{27}NO_{9}NO_{3}^{-}$	487.157
(H ₂ SO ₄) ₄ (NH ₃ S) ₂ ·NO ₃ -	487.911
$C_{20}H_{29}NO_{9}NO_{3}^{-}$	489.173