#### Complex refractive indices and single scattering albedo of global dust 1 aerosols in the shortwave spectrum and relationship to size and iron content 2

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#### 26 Abstract

24 25

27 The optical properties of airborne mineral dust depend on its mineralogy, size distribution, and shape, 28 and might vary between different source regions. To date, large differences in refractive index values 29 found in the literature have not been fully explained. In this paper we present a new dataset of complex 30 refractive indices (m=n-ik) and single scattering albedos (SSA) for 19 mineral dust aerosols over the 31 370–950 nm range in dry conditions. Dust aerosols were generated from natural parent soils from eight 32 source regions (Northern Africa, Sahel, Middle East, Eastern Asia, North and South America, Southern 33 Africa, and Australia). They were selected to represent the global scale variability of the dust mineral-34 ogy. Dust was re-suspended into a 4.2 m<sup>3</sup> smog chamber where its spectral shortwave scattering ( $\beta_{sca}$ ) 35 and absorption ( $\beta_{abs}$ ) coefficients, number size distribution, and bulk composition were measured. The 36 complex refractive index was estimated by Mie calculations combining optical and size data, while the 37 spectral SSA was directly retrieved from  $\beta_{sca}$  and  $\beta_{abs}$  measurements. Dust is assumed to be spherical 38 in the whole data treatment, which introduces a potential source of uncertainty. Our results show that 39 the imaginary part of the refractive index (k) and the SSA vary widely from sample to sample, with 40 values for k in the range 0.0011 to 0.0088 at 370 nm, 0.0006 to 0.0048 at 520 nm, and 0.0003 to 0.0021 at 950 nm, and values for SSA in the range 0.70 to 0.96 at 370 nm, 0.85 to 0.98 at 520 nm, and 0.95 41 42 to 0.99 at 950 nm. In contrast, the real part of the refractive index (n) is mostly source (and wavelength) independent, with an average value between 1.48 and 1.55. The sample-to-sample variability in our 43 44 dataset of k and SSA is mostly related to differences in the dust's iron content. In particular, a wave-

- 45 length-dependent linear relationship is found between the magnitude of k and SSA and the mass con-
- 46 centrations of both iron oxide and total elemental iron, with iron oxide better correlated than total ele47 mental iron to both k and SSA. The value of k was found to be independent of size. When the iron oxide
- 48 content exceeds 3%, the SSA linearly decreases with increasing fraction of coarse particles at short
- 49 wavelengths (< 600 nm).

50 Compared to the literature, our values for the real part of the refractive index and SSA are in line with

51 past results, while we found lower values of k compared to most of the literature values currently used

52 in climate models

53 We recommend that source–dependent values of the SW spectral refractive index and SSA be used in 54 models and remote sensing retrievals instead of generic values. In particular, the close relationships 55 found between k or SSA and the iron content in dust enable establishing predictive rules for spectrally– 56 resolved SW absorption based on particle composition.

57

# 58 Introduction

59 With teragram amounts of annual emissions, a residence time of about 1–2 weeks in the atmosphere,

and a planetary–scale transport, mineral dust aerosols are a global phenomenon (Uno et al., 2009;
Ginoux et al., 2012), and contribute significantly to the global and regional aerosol loading (Ridley et

al., 2016) and direct radiative effect (Miller et al., 2014).

63 However, large uncertainties still persist on the magnitude and overall sign of the dust direct radiative 64 effect (Boucher et al., 2013; Highwood and Ryder, 2014; Kok et al., 2017). One of the major sources of 65 this uncertainty is our insufficient knowledge of the dust's absorption properties in the shortwave (SW) 66 and longwave (LW) spectral ranges (e.g., Balkanski et al., 2007; Samset et al., 2018), given that mineral 67 dust contains large particles and a variety of minerals absorbing over both spectral regions (e.g. iron oxides, clavs, guartz and calcium-rich species; Sokolik and Toon, 1999; Lafon et al., 2006; Di Biagio 68 69 et al., 2014a, b). Global and regional scale mapping of dust absorption remains limited and more infor-70 mation is required (Samset et al., 2018).

Aerosol absorption is represented both by the imaginary part (k) of the complex refractive index (m=nik) of its constituent material, and by the single scattering albedo (SSA, i.e., the ratio of the scattering to extinction coefficient) of the particle population, as well as by the mass absorption efficiency (MAE, units of  $m^2 q^{-1}$ ), i.e., the aerosol absorption coefficient per unit mass concentration.

75 In the shortwave spectral range, absorption by dust accounts for up to ~10-20% of its total extinction. 76 Dust absorption is highest in the UV-VIS, and almost nil towards the near IR (Cattrall et al., 2003; 77 Redmond et al., 2010), due to the combined contribution of large particles in the size distribution and 78 the dust's mineralogy, notably the presence of iron oxides (Karickhoff and Bailey, 1973; Lafon et al., 79 2006; Derimian et al., 2008; Moosmüller et al., 2012; Formenti et al., 2014a; 2014b; Engelbrecht et al., 80 2016; Caponi et al., 2017). The mineralogy of airborne mineral dust varies according to that of the 81 parent soils (Nickovic et al., 2012; Journet et al., 2014). Consequently, dust aerosols of different origins 82 should be more or less absorbing in the SW, and have different imaginary spectral refractive index and 83 SSA. Field and laboratory measurements, including ground-based and space-borne remote sensing, 84 show that k varies at a regional scale by almost two orders of magnitude (0.0001-0.008 at 550 nm) with 85 corresponding SSAs between 0.80 and 0.99 at 550 nm (Volz 1972; Patterson et al., 1977; Shettle and 86 Fenn, 1979; Dubovik et al., 2002; Haywood et al., 2003; Sinyuk et al., 2003; Linke et al., 2006; Osborne 87 et al., 2008; Müller et al., 2009; Otto et al., 2009; Petzold et al., 2009; Schladitz et al., 2009; McConnell 88 et al., 2010; Formenti et al., 2011; Wagner et al., 2012; Ryder et al., 2013a; Engelbrecht et al., 2016; 89 Rocha-Lima et al., 2018). Albeit some variability being instrumental or analytical (differences in the 90 sampled size fraction or in the method used to retrieve optical parameters), geographic differences 91 persist when the same measurement approach and retrieval method are applied, e.g., in AERONET 92 inversions, supporting the dependence of dust k and SSA with its origin (Dubovik et al., 2002; Koven 93 and Fung, 2006; Su and Toon, 2011). In contrast, the real part (n) of the dust refractive index, mostly 94 related to particle scattering, is less variable, with values between 1.47-1.56 at 550 nm (e.g., Volz, 95 1972; Patterson et al., 1977; Balkanski et al., 2007; Petzold et al., 2009).

96 Differences in k or SSA caused by the spatial variability of the iron content may affect the sign of the 97 dust radiative effect (heating vs cooling) (Liao and Seinfeld, 1998; Claquin et al. 1999; Miller et al., 98 2014), and its global and regional implications (Myhre and Stordal, 2001; Colarco et al., 2014; Das et 99 al., 2015; Jin et al., 2016; Bangalath and Stenchikov, 2016; Strong et al., 2018). The direct radiative 100 effect of dust has a strong impact on the Western African Monsoon (Yoshioka et al., 2007; Konaré et 101 al., 2008) and the Indian Summer Monsoon (Vinoj et al., 2014; Das et al., 2015; Jin et al., 2016). How-102 ever, there is no consensus whether dust increases or decreases precipitation over these regions 103 (Solmon et al., 2008; Jin et al., 2016; Strong et al., 2018). As an example, Solmon et al. (2008) indicate 104 that dust reduces precipitation over most of the Sahelian region, but increases it over the Northern 105 Sahel-Southern Sahara. This pattern is, however, very sensitive to the dust absorbing properties, and 106 a decrease of few percent in dust absorption may even cancel out the increase of precipitation over the 107 Sahel. Similarly, Jin et al. (2016) show that by varying k from zero to 0.008 at 600 nm (i.e., the highest 108 value currently used in models) the dust effect on the Indian Summer Monsoon may shift from negative 109 (reduction of precipitation) to positive (increase of precipitation) values.

110 In spite of this sensitivity, present climate models adopt a globally-constant spectral complex refractive 111 index (and SSA) for dust, and hence still implicitly assume the same dust mineralogical composition at 112 the global scale. This is mainly due to the lack of a globally consistent dataset providing information of 113 the geographical variability of the dust scattering and absorption properties (e.g., Sunset et al., 2018). 114 Reference values for the refractive index are usually taken from Volz (1972), Patterson et al. (1977), 115 D'Almeida et al. (1991), Shettle and Fenn (1979), Sokolik et al. (1993), Sinyuk et al. (2003), or OPAC 116 (Hess et al., 1998; Koepke et al., 2015). A parameterization of the spectrally-resolved dust refractive 117 index as a function of the mineralogical composition of the particles is desirable to replace the globally 118 constant values in current climate models, in particular for those models that started to incorporate the 119 representation of dust mineralogy into their schemes (Scanza et al., 2015; Perlwitz et al., 2015a, 120 2015b).

121 Improving our knowledge of the spectral SW refractive index of mineral dust and its relation to particle 122 composition (henceforth origin) is also key for the detection of dust aerosols in the atmosphere and the 123 quantification of its mass loading, and total or absorption spectral optical depth from active and passive 124 remote sensing (e.g., Ridley et al., 2016). As an example, the retrieval of the dust SSA and optical depth 125 over bright desert surfaces with the MODIS (Moderate Imaging Resolution Spectroradiometer) Deep 126 Blue algorithm (Hsu et al., 2004) applies the Critical Surface Reflectance Method (Kaufman, 1987) to 127 retrieve dust properties from measured Top of Atmosphere (TOA) spectral reflectance. This algorithm 128 depends critically on a priori information on the spectral refractive index (Kaufman et al., 2001; Yoshida 129 et al., 2013). Similarly, active remote sensing techniques (lidar, light detection and ranging) require the 130 knowledge of the extinction-to-backscatter ratio (the lidar ratio), which is also a strong function of the complex index of refraction or SSA of the aerosol particles (e.g., Gasteiger et al., 2011; Shin et al., 131 132 2018). Gasteiger et al. (2011) have shown in fact that a 5% change in the SSA at 532 nm can modify 133 by up to 20% the lidar ratio of dust, which means a 20% change in the estimated profile of the dust extinction coefficient and retrieved optical depth from lidar measurements. 134

135 In this paper we address these issues by reporting of a new laboratory investigation of the shortwave 136 refractive index and SSA of dust from various source regions worldwide, in the framework of the RED-137 DUST project (Di Biagio et al., 2017a; hereafter DB17; Caponi et al., 2017; hereafter C17). Dust optical 138 properties at discrete wavelengths between 370 and 950 nm are derived in conjunction with the particle 139 elemental and mineralogical composition, including total elemental iron and iron oxides. We investigate 140 the relationship of k and SSA to the iron content to provide a parameterization of the dust absorption 141 as a function of its mineralogy, which can be applied to climate models. The dependence of dust ab-142 sorption on the particle coarse size fraction is also investigated to evaluate the change of dust absorp-143 tion with atmospheric transport time.

# 144 **2. Experimental set-up and instrumentation**

145 As previously described in DB17 and C17, all experiments discussed here and were conducted in the 146 4.2 m<sup>3</sup> stainless-steel CESAM chamber (French acronym for Experimental Multiphasic Atmospheric 147 Simulation Chamber) (Wang et al., 2011). Mineral dust aerosols were generated by mechanical shaking 148 of parent soils using about 15 g of soil sample (first sieved to <1000 µm and then dried at 100 °C) 149 placed in a 1 L Büchner flask and shaken for about 30 min at 100 Hz by means of a sieve shaker 150 (Retsch AS200). The dust suspension in the flask was injected into the chamber by flushing with N2 at 151 10 L min<sup>-1</sup> for about 10–15 min. After injection in the chamber, the largest fraction of the dust aerosol 152 (>1.5 µm diameter) remained in suspension for approximately 60 to 120 min thanks to a four-blade 153 stainless steel fan located at the bottom of the chamber, which also ensured homogeneous conditions 154 within the chamber volume. The submicron dust fraction, instead, remained constant with time during 155 the experiments (see Sect. 4.1.1). The evolution of the physico-chemical and optical properties of the 156 suspended dust was measured by different instruments connected to the chamber. The spectral particle volume dry scattering ( $\beta_{sca}$ ) and absorption ( $\beta_{abs}$ ) coefficients were measured, respectively, by a 3– 157 wavelength nephelometer (TSI Inc. model 3563, operating at 450, 550, and 700 nm; 2 L min<sup>-1</sup> flow rate, 158 159 2-s time resolution) and a 7-wavelength aethalometer (Magee Sci. model AE31, operating at 370, 470,

160 520, 590, 660, 880 and 950 nm; 2 L min<sup>-1</sup> flow rate, 2-min time resolution). The size distribution of aerosols was measured by means of a scanning mobility particle sizer (SMPS, TSI, DMA Model 3080, 161 162 CPC Model 3772; mobility diameter range 0.019–0.882 µm; 2.0/0.2 L min<sup>-1</sup> sheath–aerosol flow rates, 135-s time resolution), a WELAS optical particle counter (OPC) (PALAS, model 2000, white light source 163 164 between 0.35 and 0.70 μm; optical-equivalent diameter range 0.58-40.7 μm; 2 L min<sup>-1</sup> flow rate, 1-165 min time resolution) and a SkyGrimm OPC (Grimm Inc., model 1.129, 0.655 µm operating wavelength; optical-equivalent diameter range 0.25-32 µm; 1.2 L min<sup>-1</sup> flow rate, 6-s time resolution). Aerosol ele-166 167 mental and mineralogical composition, including iron oxides, was derived by analysis of dust samples 168 collected on polycarbonate filters (47-mm diameter Nuclepore, Whatman, nominal pore size 0.4 µm) 169 mounted in a custom-made stainless-steel sample holder (operated at 6 L min<sup>-1</sup>) for most of the dura-170 tion of each experiment.

171 All instruments (size, SW optics, filters) sampled air from the chamber. To equalize the airflow extracted 172 by the different instruments, a particle-free N<sub>2</sub>/O<sub>2</sub> mixture airflow was continuously injected into the 173 chamber. Inlets for all extractive measurements consisted of a stainless steel tube located inside 174 CESAM, and an external connection of silicone tubing (TSI Inc.) from the chamber to the instruments, 175 for a total length varying between 0.4 and 1.2 m. As detailed in DB17 and shown in Fig. S1 in the 176 supplement, the transmission efficiency due to aspiration and transmission in the sampling lines as a 177 function of particle diameter was estimated to calculate the effective dust fraction sensed by each in-178 strument, taking into account the sampling flow rate, tubing diameter, tubing geometry, and particle 179 shape and density. For the nephelometer and the aethalometer, the length of the sampling line from 180 the intake point in the chamber to the instrument entrance was about 1.2 m, which resulted in a 50% 181 cutoff of the transmission efficiency at 3.9 µm particle geometric diameter and 100% cutoff at 10 µm. 182 For the filter sampling system, the length of the sampling line of about 0.5 m resulted in a 50% (100%) cutoff at 6.5 µm (15 µm) particle geometric diameter (or 50% cutoff at 10.6 µm aerodynamic diameter 183 184 as indicated in C17, therefore compositional analyses refer to the PM<sub>10.6</sub> size fraction). For the WELAS, 185 the only OPC considered for size distribution in the coarse fraction (see Sect. 2.2), the 50% (100%) 186 cutoff was reached for particles of 5 µm (8 µm) diameter.

All experiments were conducted at ambient temperature and relative humidity <2%. In addition to overnight evacuation, the chamber was manually cleaned between experiments to avoid contaminations from remaining dust. Background concentrations of aerosols in the chamber were less than 2.0  $\mu$ g m<sup>-3</sup> (that is 10<sup>2</sup> to 10<sup>5</sup> times smaller than the concentration of dust aerosols in suspension in the chamber during experiments)

- A flowchart of the procedure used to treat and combine optical, size, and compositional data, and thealgorithm for SSA and complex refractive index retrieval is shown in Fig. 1. Full details of data treatment
- 194 for size distribution measurements and filter compositional data are provided in DB17 and C17, and in
- the following we only mention the main points of interest for the present paper. Full details on the data

treatment of the SW optical data are provided in Sect. 2.1 and 3.

197 The optical and size datasets were acquired at different temporal resolutions and then averaged over 198 compatible 10–min intervals, whereas the compositional data represent the experiment integral. The SSA and complex refractive index data were retrieved both at 10-min resolution and as experiment averages to relate them to both size and compositional data. Table 1 summarizes the uncertainties on the measured and derived parameters described in the following.

#### 202 2.1 SW optical measurements

### 203 2.1.1 Aerosol scattering coefficient

204 The aerosol scattering coefficients ( $\beta_{sca}$ ) at 450, 550, and 700 nm are measured by the nephelometer 205 at angles between 7° and 170° and need to be corrected for the restricted field-of-view of the instru-206 ment (truncation correction) to retrieve  $\beta_{sca}$  at 0°–180°. The truncation correction factor (C<sub>trunc</sub>), i.e., the 207 ratio of the  $\beta_{sca}$  at 0°–180° and 7°–170°, was estimated by Mie calculations for homogeneous spherical 208 particles using the size distribution measured simultaneously behind SW inlets (see Sect. 2.2). In the 209 calculations, the real part of the complex refractive index of dust was assumed to be wavelength-inde-210 pendent and fixed at a value of 1.53, while the imaginary part was set to 0.003 at 450 and 550 nm and 211 to 0.001 at 700 nm, according to pre-existing information (Sinyuk et al., 2003; Schladitz et al., 2009; 212 Formenti et al., 2011; Rocha–Lima et al., 2018). For the different dust samples, Ctrunc ranged between 213 1.2 and 1.7 and decreased with wavelength and the dust residence time in the chamber, following the 214 relative importance of the coarse component in the dust population. The uncertainty on Ctrunc, calculated 215 by repeating the optical calculations by using the size distribution of dust within its error bars as input 216 to the optical code, is less than ±5% at all wavelengths. In order to assess the consistency of the derived 217 truncation correction, we made a sensitivity study in which we recalculated C<sub>trunc</sub> by varying the refrac-218 tive index used as input to the Mie calculations in the range of n and k values obtained in this study 219 (i.e., values at the 10% and 90% percentile as reported in Table 5 for the whole dataset, that is n 220 between 1.49 and 1.54 and k between 0.001 and 0.006 at 450, 550, and 700 nm). The results of this 221 sensitivity study indicate that, for fixed dust size distribution, the truncation correction Ctrunc varies less than 1% for n between 1.49 and 1.54, and <5% for k between 0.001 and 0.006, and so that it is quite 222 223 insensitive to the exact assumed n and k values.

224 Once corrected for truncation, the spectral  $\beta_{sca}$  was extrapolated at the aethalometer wavelengths. With 225 this aim, the Scattering Ångström Exponents, SAE<sub>450-550</sub> and SAE<sub>550-700</sub>, were calculated as the linear 226 fit of  $\beta_{sca}$  vs  $\lambda$  at 450–550 nm and 550–700 nm, respectively. The SAE<sub>450-550</sub> and SAE<sub>550-700</sub> coefficients 227 were used to extrapolate  $\beta_{sca}$  at wavelengths respectively lower and higher than 550 nm. Extrapolated 228  $\beta_{sca}$  values were used to derive an average SAE of dust for the entire investigated spectral range.

#### 229 2.1.2 Aerosol absorption coefficient

The aerosol absorption coefficient ( $\beta_{abs}$ ) at 370, 470, 520, 590, 660, 880, and 950 nm was retrieved from aethalometer measurements. The aethalometer measures the attenuation (ATT) through an aerosol–laden quartz filter, related to the spectral attenuation coefficient ( $\beta_{ATT}$ ) as:

233 
$$\beta_{ATT}(\lambda) = \frac{\Delta ATT(\lambda)}{\Delta t} \frac{A}{V}$$
(1)

where A is the area of the aerosol collection spot  $(0.5 \pm 0.1)$  cm<sup>2</sup> and V the air sample flow rate  $(0.002 \text{ m}^3 \text{ min}^{-1})$ . The slope  $\frac{\Delta \text{ATT}(\lambda)}{\Delta t}$  is the linear fit of the measured attenuation as a function of time calculated over 10-min intervals. The spectral attenuation coefficient was converted into an absorption coefficient  $\beta_{abs}$  following the formula by Collaud Coen et al. (2010):

238 
$$\beta_{abs}(\lambda) = \frac{\beta_{ATT}(\lambda) - \alpha(\lambda)\beta_{sca}(\lambda)}{C_{ref}R(\lambda)}$$
(2)

The  $\alpha(\lambda)\beta_{sca}(\lambda)$  term accounts for the fraction of the measured attenuation due to side and backward 239 240 scattering and not to light absorption. The Collaud–Coen correction scheme has been recently shown 241 to yield quite accurate values of the absorption coefficients and absorption Ångström exponents from aethalometer data (Saturno et al., 2017). The value of  $\alpha(\lambda)$  was calculated with the formula by Arnott et 242 243 al. (2005) and varied between 0.002 and 0.02 (<±1% from formal error propagation on the Arnott for-244 mula), while  $\beta_{sca}(\lambda)$  is the scattering coefficient from the nephelometer extrapolated to the aethalometer 245 wavelengths. Cref accounts for multiple scattering by the filter fibers, aerosol laden or not. Its spectral value, obtained by the linear extrapolation of Cref at 450 and 660 nm estimated for mineral dust by Di 246 Biagio et al. (2017b), varied between 4.30 at 370 nm and 3.32 at 950 nm. We assume for the extrapo-247 248 lated Cref an uncertainty of ±10% as estimated in Di Biagio et al. (2017b). The correction factor, R, 249 accounts for the decrease in the aethalometer sensitivity with the increase of the aerosol filter loading. 250 The value of R depends on the absorptivity properties of the sampled aerosol and can be calculated as 251 a function of the particle SSA. In this study, we calculated R by estimating a first-guess SSA\* as the 252 ratio of the nephelometer–corrected  $\beta_{sca}$  and  $\beta_{ext}$  obtained as the sum of  $\beta_{sca}$  and the  $\beta_{abs}$  non–corrected 253 for filter loading effect. The R was estimated by using the Collaud–Coen et al. (2010) formulation. For the range of estimated SSA<sup>\*</sup> (about 0.60 to 0.99), R varied between 0.5 and 1.0 ( $\pm$ 1–10%). 254

255 The Absorption Ångstrom Exponent (AAE) was calculated as the power–law fit of  $\beta_{abs}$  versus  $\lambda$ .

256 Due to an instrumental problem, aethalometer data were not always available, with a typical 30-min

interruption usually 10 to 30 minutes after the beginning of experiments.

## 258 2.2 Size distribution

The aerosol number size distribution was obtained from SMPS, WELAS and SkyGrimm measurements 259 260 over different diameter ranges. The measured electrical mobility and optical equivalent diameters from 261 the SMPS and the OPCs were first converted into geometrical diameters (D<sub>q</sub>) as described in DB17 262 and summarized in Table 1. The OPCs conversion assumes a dust complex refractive index that in our 263 study was set in the range 1.47–1.53 for n and 0.001–0.005 for k for both the SkyGrimm and the WELAS (following DB17, for more details see Table 1). After conversion, the estimated D<sub>a</sub> range was 0.01–0.50 264 265 μm for the SMPS, 0.65–73.0 μm for the WELAS, and 0.29–68.2 μm for the SkyGrimm. Due to a calibration issue, data for the SkyGrimm in the range  $D_g > 1\mu m$  were discarded, so that the WELAS is the 266 267 only instrument considered in the super-micron range. A very low counting efficiency was observed for the WELAS below 1 µm and data in this size range were also discarded. 268

The SMPS, WELAS, and SkyGrimm data were combined, as detailed in DB17, to obtain the full size distribution of the dust aerosols suspended in the CESAM chamber, (dN/dlogDg)<sub>CESAM</sub>, and the size distribution behind SW optical instruments inlets, (dN/dlogDg)<sub>SWoptics</sub>, after taking into account particle losses along sampling lines (see Supplementary material and Fig. S1). As previously discussed, due to the particle losses in the sampling line from the chamber to the nephelometer/aethalometer, the (dN/dlogDg)<sub>SWoptics</sub> size distribution is cut at 10 microns, so no particles above this diameter reach the

275 SW instruments.

The measured size distributions, (dN/dlogD<sub>g</sub>)<sub>CESAM</sub> and dN/dlogD<sub>g</sub>)<sub>SWoptics</sub>, were used to estimate the mass concentration of aerosols and their effective diameter (D<sub>eff</sub>) in the CESAM chamber and behind the SW instrument inlets as:

279

Mass concentration=
$$\int \frac{\pi}{6} D_g^3 \frac{dN}{dlog D_g} \rho \cdot dlog D_g$$
(3)

280 
$$D_{eff} = \frac{\int D_g^3 \frac{dN}{dlog D_g} dlog D_g}{\int D_g^2 \frac{dN}{dlog D_g} dlog D_g} \quad (4)$$

The effective dust density  $\rho$  in Eq. (3) was set at 2.5 g cm<sup>-3</sup>, a value that is approximately in the middle of the range of desert dust densities reported in the literature, i.e., 2.1–2.75 g cm<sup>-3</sup> (Maring et al., 2000; lwasaka et al., 2003; Reid et al., 2003). The effective diameter was evaluated separately for the fine and coarse fractions of dust by integrating Eq. (4) for diameters ≤1 µm (D<sub>eff,fine</sub>) and >1 µm (D<sub>eff,coarse</sub>), respectively. For D<sub>eff,coarse</sub> the upper limit of the calculation is 10 µm when calculated from

 $\label{eq:stable} 286 \qquad (dN/dlogD_g)_{SWoptics}, \, i.e. \ measured \ behind \ the \ SW \ inlets.$ 

The dust size distribution,  $(dN/dlogD)_{SWoptics}$ , measured at each 10–min time step for each sample was fitted with a sum of five lognormal functions to smooth data inhomogeneities linked to the different instrument's operating principles and artefacts. Fitting was performed using the Levenberg–Marquardt algorithm. For each mode, the parameters of the lognormal functions, i.e., the total number concentration (N<sub>i</sub>), the geometric median diameter (D<sub>g,i</sub>), and the geometric standard deviation of the distribution ( $\sigma_i$ ), were retrieved. The uncertainties in the retrieved parameters were estimated by repeating the fit using size data within their uncertainties. The resulting parameters of the fits at the peak of the injection

in the chamber are reported in Table S1, and an example of size fitting is shown in Fig. S2.

295 The procedure described here to estimate (dN/dlogDg)CESAM and (dN/dlogDg)SWoptics implies that as-296 sumptions are made on the values of n and k to correct OPCs data, and this may introduce a circularity in the estimates of the refractive index of dust that use (dN/dlogDg)swoptics as input in optical calculations 297 298 (see Sect. 3.2). In order to analyze the dependence of the results on this assumption, we made a 299 sensitivity calculation by varying the values of n and k used for OPCs corrections within the range of values retrieved in this study (10% and 90% percentiles in Table 5, i.e., 1.49-1.54 for n and 0.001-300 301 0.006 for k). We concluded that changing n and k in this range has a very low impact on the retrieved 302 number size distribution behind the SW inlets (dN/dlogDg)swoptics compared to the original assumptions

- 303 made in our calculations (<5% changes in the retrieved size number distribution at the different diame-
- 304 ters between the original correction and the correction by varying n and k). This is due to the fact that
- 305 when changing D<sub>g</sub> due to changes in the n and k in the OPCs correction, the loss function also modifies
- 306 to values corresponding to the new D<sub>g</sub>. Given that the loss function increases/decreases for increas-
- 307 ing/decreasing Dg, the combined changes in Dg and the loss function compensate so that the net num-
- 308 ber concentration behind the SW inlets varies less than a few percent. These results therefore suggest
- 309 that the procedure to estimate the complex refractive index of dust is nearly independent of the assumed
- 310 OPC correction.
- 311 Other sources of uncertainties are linked to the spherical assumption to perform the optical to geomet-312 rical diameter conversion (discussed in Sect. 3.3) as well as those due to Mie resonance oscillations of 313 the calculated scattering intensities. Concerning Mie resonances, a sensitivity study was performed 314 varying the size resolution of our calculations (high/low diameter resolution in the calculations to have a better/worse reproduction of Mie resonance oscillations) and show that Mie resonances impact the 315 316 optical to geometrical correction by less than 1%.

#### 317 2.3 Dust elemental and mineralogical composition and iron content

- 318 The elemental and mineralogical composition of the dust aerosols in the PM<sub>10.6</sub> size fraction was esti-319 mated by combining different techniques: X-ray diffraction (XRD, Panalytical model Empyrean diffrac-320 tometer) to estimate the particles' mineralogical composition in terms of clays, quartz, calcite, dolomite, 321 gypsum, and feldspars; wavelength dispersive X-ray fluorescence (WD-XRF, Panalytical PW-2404 322 spectrometer) to determine the dust elemental composition (Na, Mg, Al, Si, P, K, Ca, Ti, Fe); and X-323 ray absorption near-edge structure (XANES) to retrieve the content of iron oxides and their speciation 324 between hematite and goethite. The dust mass collected on Nuclepore filters during the experiments 325 varied between 0.3 and 6 mg m<sup>-3</sup> as calculated from elemental concentrations according to Lide (1992). 326
- Full details on the XRD, WD–XRF, and XANES measurements and data analysis are provided in DB17
- 327 and C17. In this study, we discuss the dust elemental iron mass concentration, MCFe%, i.e., the percent 328
- mass of elemental iron with respect to the total dust mass concentration, and the iron oxides mass concentration, MC<sub>Fe-ox%</sub>, i.e., the percent mass fraction of iron oxides with respect to the total dust mass 329
- 330 concentration, estimated as the sum of goethite (MC<sub>Goet%</sub>) and hematite (MC<sub>Hem%</sub>) species.

#### 331 3. Strategy for data analysis

#### 332 3.1 Calculation of the spectral extinction coefficient and SSA from scattering and absorption coefficients 333

- 334 The spectral scattering and absorption coefficients,  $\beta_{sca}$  ( $\lambda$ ) and  $\beta_{abs}$  ( $\lambda$ ), measured by the nephelometer
- 335 and the aethalometer were used to estimate 10-min averages of the spectral extinction coefficient, Bext
- ( $\lambda$ ), at the 7– $\lambda$  of the aethalometer between 370 and 950 nm as: 336
- 337  $\beta_{\text{ext}}(\lambda) = \beta_{\text{abs}}(\lambda) + \beta_{\text{sca}}(\lambda)$ (5).
- The Extinction Ångström Exponent (EAE) was calculated as the power-law fit of  $\beta_{ext}$  versus  $\lambda$ . 338
- 339 The spectral single scattering albedo of dust at 10-min resolution (SSA<sub>10-min</sub>) was retrieved as:

340 
$$SSA_{10-min}(\lambda) = \frac{\beta_{sca}(\lambda)}{\beta_{ext}(\lambda)}$$

341 The experiment–averaged SSA ( $\lambda$ ) was calculated for each soil type based on the following formula 342 (Moosmüller et al., 2012):

(6).

343 
$$SSA(\lambda) = \left(1 + \frac{1}{m(\lambda)}\right)^{-1} \quad (7)$$

344 where m ( $\lambda$ ) represents the slope of the linear fit between the 10–min averages of  $\beta_{sca}$  ( $\lambda$ ) and  $\beta_{abs}$  ( $\lambda$ ) 345 measured along the whole duration of each experiment. An example of  $\beta_{sca}$  ( $\lambda$ ) versus  $\beta_{abs}$  ( $\lambda$ ) fitting to retrieve the spectral SSA is shown in Fig. S3 in the Supplement. The correlation coefficient R<sup>2</sup> of the 346 347  $\beta_{sca}$  versus  $\beta_{abs}$  fit usually ranges between 0.97 and 1 at all wavelengths. As will be discussed later in 348 the paper, the single scattering albedo of dust depends on the particle coarse size fraction, and during 349 our experiments SSA<sub>10-min</sub> was not derived continuously for the different samples due to the aethalom-350 eter measurement interruptions. The application of Eq. (7) avoids any bias in the calculated averaged 351 SSA for different soils due to size effects. For two of the analyzed samples (Tunisia and Namib-2), 352 however, the linear fitting procedure was not applicable due to the fact that, respectively, only two and 353 one absorption measurements from the aethalometer were available just after the peak of the injection, 354 with no data afterwards. Average SSA data for Tunisia were thus estimated as the mean of the two available SSA10-min data points, while the single SSA10-min measurement at the peak of the injection was 355 356 reported for Namib-2. This difference in time sampling should be kept in mind when comparing data 357 for these two samples to the rest of the dataset.

#### 358 3.2 Retrieval of the spectral complex refractive index

359 An optical calculation was performed to estimate the complex refractive index (m=n-ik) of dust aerosols 360 based on optical and size data. The retrieval algorithm consisted in recalculating the spectral scattering  $\beta_{sca}$  ( $\lambda$ ) and absorption  $\beta_{abs}$  ( $\lambda$ ) coefficients measured at each 10-min interval by using the fitted 361 (dN/dlogD)<sub>SWoptics</sub> size distribution as input and by varying the real and imaginary parts of the complex 362 363 refractive index in the calculations until the best agreement between measurements and calculations 364 was found. At each wavelength the root mean square deviation (RMSD) was calculated as:

$$365 \qquad RMSD(\lambda) = \sqrt{\left[\frac{\beta_{sca,measured}(\lambda) - \beta_{sca,calculated}(\lambda)(n,k))}{\beta_{sca,calculated}(\lambda)(n,k))}\right]^{2} + \left[\frac{\beta_{abs,measured}(\lambda) - \beta_{abs,calculated}(\lambda)(n,k))}{\beta_{abs,calculated}(\lambda)(n,k))}\right]^{2}$$

$$366 \qquad (8)$$

366

The RMSD was minimized at each wavelength to obtain n-k pairs that most closely reproduce the 367 measured scattering and absorption coefficients. Optical calculations were performed at the 7 wave-368 369 lengths of the aethalometer between 370 and 950 nm using Mie theory. In the calculations, the real part 370 of the refractive index was varied in the range 1.40–1.60 at steps of 0.01, while the imaginary part was 371 varied in the range 0.0001–0.050 at steps of 0.0001. For each sample, this resulted in 10500 compu-372 tations per wavelength and per 10-min time step. The uncertainty on the real and imaginary parts of 373 the refractive index was estimated with a sensitivity study. For this purpose, the values of n and k were

- also obtained by using as input the observed  $\beta_{sca}(\lambda)$ ,  $\beta_{abs}(\lambda)$ , and  $(dN/dlogD)_{swoptics}$ , plus or minus one
- 375 standard deviation on their measurement. The deviations of the values of n and k retrieved in the sen-
- 376 sitivity study with respect to those obtained in the first inversions were assumed to correspond to the
- 377 one standard deviation uncertainty of 10–min retrieved values.
- 378 Experiment–averaged values of the spectral n and k were estimated as the average of single n and k
- 379 values retrieved at 10-min steps (indicated as n<sub>10-min</sub> and k<sub>10-min</sub>). In fact, differently from the SSA, the
- 380 refractive index did not seem to depend on the particle coarse size fraction (Sect. 4.5).
- 381 A control experiment was performed with submicron ammonium sulphate aerosols (see DB17 and sup-
- 382 plementary Fig. S4) with the aim of validating the proposed methodology to estimate the aerosol com-
- 383 plex refractive index for a non-absorbing aerosol type. For ammonium sulphate particles with a mono-
- modal size distribution centered at 0.06 µm, as measured with the SMPS, the retrieved real part of the
- refractive index was 1.56 (±0.01) in the 450–700 nm wavelength range, as expected from literature
- 386 (Toon et al., 1976; Flores et al., 2009; Denjean et al., 2014).

#### 387 **3.3 Assumptions on the retrieval of SSA and complex refractive index**

The approach used to retrieve the SSA and the complex refractive index of dust and the accuracy of the results depend on the accuracy of the input data and the assumptions in the optical calculations. We discuss here two points of the applied procedure, in part already mentioned in the previous paragraphs.

392 1/ The size distribution from OPCs and also the scattering coefficient from the nephelometer used as 393 input to the n and k retrieval procedure and SSA calculation depend more or less directly on the dust 394 refractive index. These instruments need in fact to be corrected for instrumental artefacts and these 395 corrections require an a priori knowledge of the n and k, which in our approach were set to fixed values 396 (1.47–1.53 for n and 0.001–0.005 for k for OPCs optical to geometrical diameter conversion, and 1.53 397 for n and 0.001–0.003 for k for nephelometer truncation correction). This choice may in principle intro-398 duce a certain degree of uncertainty and circularity into the derived n, k, and SSA for dust. Nonetheless, 399 we note that the range of refractive index values used to correct OPCs and nephelometer data falls in 400 the range of variability of the refractive index values obtained in this study (see Sect. 4.3), which sug-401 gests that the values used for the corrections are appropriate. Additionally, as previously discussed, 402 both the size distribution (dN/dlogD<sub>q</sub>)<sub>SWoptics</sub> and the scattering coefficient are not very sensitive to the 403 assumptions about n and k used for the calculations (less than 5% changes in both the number size 404 distribution behind SW inlets and the scattering coefficient from changing n and k within the range of 405 estimated values in this study) which further demonstrates the robustness of the proposed approach.

406 2/ The retrieval procedure for n and k, as well as the calculations for OPCs optical-to-geometrical 407 diameter and the nephelometer truncation correction, simplifies the non-spherical heterogeneous dust 408 aerosols (e.g., Chou et al. 2008; Okada et al., 2011; Nousiainen and Kandler, 2015) into homogeneous 409 spherical particles that can be represented by Mie theory. In the present study, we decided not to use 410 a more advanced shape-representing theory for three main reasons. First, the spherical model has 411 been shown to produce only moderate errors when computing angular-integrated quantities 412 (Mishchenko et al., 1995; Otto et al., 2009; Sorribas et al., 2015) such as those we calculate in this 413 study to retrieve the OPC and truncation corrections and for n and k retrieval. For instance, Sorribas et 414 al. (2015) showed that using a spheroidal model has a limited effect on the truncation correction. These 415 authors estimated that using a spheroidal model permits to improve by 4 to 13% the agreement between 416 modelled and measured spectral scattering coefficient at 450-700 nm but only for supermicron parti-417 cles. Conversely, for submicron dust the spherical approximation is better suited than the spheroidal 418 model to reproduce the scattering coefficients by the nephelometer. The study by Mogili et al. (2007) 419 also found an excellent agreement between measured shortwave extinction spectra and those calcu-420 lated from Mie theory simulations for dust minerals, supporting the use of Mie theory for dust optical 421 modelling. On the other side, other studies point to the need of a non-spherical assumption to improve 422 the modelling of dust optical properties (e.g., Otto et al., 2009). Second, we used Mie theory for the 423 sake of comparison with the large majority of previous field and laboratory data published so far, which 424 had used calculations with the spherical approximation Third, the shape distribution and morphology of 425 the dust samples was not measured during experiments. Improper assumptions on the particle shape 426 and morphology may induce even larger errors than using Mie theory, in particular for super-micron 427 aerosols (Kalashnikova and Sokolik, 2004; Nousiainen and Kandler, 2015). It should be pointed out, 428 however, that dust is usually assumed to be spherical in global climate models (e.g., Myhre and Stordal, 429 2001; Balkanski et al., 2007; Jin et al., 2016), and different studies still show contradictory results on 430 the true impact of dust non-sphericity on radiative fluxes and heating rates from global model simula-431 tions (Mishchenko et al., 1995; Yi et al., 2011; Räisänen et al., 2012; Colarco et al., 2014). On the other 432 hand, shape effects can be important for the retrieval of aerosol properties from remote sensing tech-433 niques using spectral, angular, and polarized reflectance measurements (e.g., Feng et al., 2009). In 434 synthesis, accounting for shape effects is still controversial for dust modelling and also a complex issue 435 beyond the scope of this paper. Thus, while we acknowledge the potential uncertainties induced by 436 spherical assumptions in our study, we do not quantify here the overall impact of this assumption on 437 our results.

# 438

# 439 **4. Results**

440 Nineteen soil samples from different desert areas in Northern Africa, Sahel, Eastern Africa and the 441 Middle East, Central Asia, Eastern Asia, North America, South America, Southern Africa, and Australia 442 were selected for experiments from a collection of 137 soil samples from source areas worldwide. The 443 main information on the provenance of these soils is provided in Table 2. The nineteen selected soils, 444 the same as analyzed in DB17, represent the major dust source regions depicted in Ginoux et al. (2012). 445 Amongst the database of 137 samples from all the world regions that constitute significant dust emitters, 446 this range in mineralogical composition represents the largest variability in iron oxides contents that can 447 be found worldwide. This is illustrated in Fig. 2 where we represent the variability of hematite and goe-448 thite content in the nineteen selected soils and compare it with the range of variability of the global 449 desert soils from the database of Journet et al. (2014).

## 450 **4.1 Physical and chemical properties of analysed dust samples**

### 451 4.1.1 Dust mass concentration and size distribution

452 Figure 3 shows a typical example of a time series of aerosol mass concentration and effective fine and 453 coarse diameters measured inside the CESAM chamber and behind the SW instruments inlets during 454 the experiments, as well as the corresponding  $\beta_{sca}$  and  $\beta_{abs}$  at 370 nm. The Figure shows the rapid 455 increase of the mass concentration within CESAM during dust injection in the chamber, and its subse-456 quent decrease during the experiments due to both size-selective gravitational settling, occurring 457 mostly within the first 30 min of experiments, and dilution by sampling. The scattering and absorption 458 coefficients of dust decrease with time after injection in tandem with the decrease of the mass concen-459 tration and the size-dependent depletion in the chamber. The dust mass concentration inside CESAM 460 at the peak of the injection is between 2 mg m<sup>-3</sup> (Mali) and 310 mg m<sup>-3</sup> (Bodélé) and falls to values 461 between 0.9 mg m<sup>-3</sup> (Mali) and 20 mg m<sup>-3</sup> (Bodélé) behind the SW instruments inlets. These values 462 are comparable to those measured close to sources during dust storms (Rajot et al., 2008; Kander et al., 2009). After 2 hours, the dust mass concentration has decreased to values of 0.2 to 2.5 mg m<sup>-3</sup> 463 464 (inside CESAM) and of 0.1 to 1.9 mg m<sup>-3</sup> (behind the SW inlets), as after medium– to long–range dust transport in the real atmosphere (Weinzerl et al., 2011; Denjean et al., 2016b). This indicates that in a 465 466 2-hour experiment in CESAM it is possible to reproduce the temporal changes of the dust mass load 467 observed in the real atmosphere from emission to medium/long-range transport.

As the mass concentration, the effective diameter of the coarse fraction, Deff.coarse, also rapidly de-468 469 creases with time due the progressive deposition of the coarsest particles in the chamber. For the var-470 ious soils, Deff,coarse varies in the range of 4–8 µm (peak of injection) to 3–4 µm (after 2 hours) inside the 471 CESAM chamber, and in the range of 3-4 µm (peak of injection) to 2-3 µm (after 2 hours) behind the 472 SW inlets. In contrast, Deff,fine remains quite constant during the experiments, with a value between 0.6 473 and 0.7 µm for all soils. The values of Deff, coarse obtained in this study inside the CESAM chamber are in 474 line with those measured close to African sources (4-12 µm, Rajot et al., 2008; Weinzerl et al., 2009; 475 Ryder et al., 2013a) and for dust transported across the Mediterranean (5–8 µm, Denjean et al., 2016a). 476 Conversely, the values of Deff.coarse behind the SW instruments inlets are mostly in agreement with those 477 reported for dust transported at Cape Verde and across the Atlantic ocean (~3 µm, Maring et al., 2003; 478 Müller et al., 2011; Denjean et al., 2016b). Our values of Deff. fine are higher compared to values reported 479 by Denjean et al. (2016a) for dust aerosols transported over the Mediterranean (0.2 to 0.5 µm), reflect-480 ing the fact that we analyse pure dust whereas these authors often encountered dust externally mixed 481 with pollution particles.

482 The comparison of D<sub>eff.coarse</sub> values suggests that while the size distribution in CESAM is mostly repre-483 sentative of dust close to sources (see DB17), the size measured behind the SW instruments inlets is 484 mostly representative of transport conditions. Figure 4 illustrates this point by showing the volume size 485 distributions of the generated dust aerosols at the peak of injection seen by the SW optical instruments, 486 compared to the average size of dust measured in CESAM (DB17) and field observations close to 487 sources (e.g., Niger) and after long-range transport (Cape Verde, Suriname, Puerto Rico, and Barba-488 dos). The size distribution of dust inside CESAM includes a coarse mode up to  $\sim$  50  $\mu$ m and well repro-489 duces field observations close to sources, as shown in comparison to the Niger case. Due to particle 490 losses along tubes, particles above 10 µm diameter are not seen by the SW instruments. The overall 491 shape of the dust size distribution sensed by the SW instruments is comparable to that measured after 492 atmospheric long-range transport, even if the fraction of particles above 3.9 µm diameter, which is at 493 the 50% cutoff of the transmission efficiency for the SW optical instruments, is significantly under-494 represented compared to observations (i.e., Betzer et al., 1988; Formenti et al., 2001; Maring et al., 495 2003; Ryder et al., 2013b, 2018; Jeong et al., 2014; Denjean et al., 2016b). It should be keep in mind 496 that often also field data are affected by inlet restrictions so that they cannot measure the whole coarse 497 dust fraction (see Table 1 in Ryder et al., 2018). The lowest cutoff for field data shown in Fig. 4 are for 498 the NAMMA and PRIDE datasets and correspond to upper size limits at 5 and 10 µm in diameter, 499 respectively. Being these values above our cutoff of 3.9 µm, it means that the comparison with our size 500 dataset is meaningful within the range of our measurements. To note that only the data from AER-D 501 did not suffer from significant inlet restrictions thus leading to the observation of giant dust particles up 502 to tens of microns in the Saharan Air Layer off the coasts of Western Africa.

# 503

### 4.1.2 Iron and iron oxide dust content

504 Elemental iron includes the iron in the form of iron oxides and hydroxides, i.e. hematite and goethite 505 (the so-called free iron, mostly controlling SW absorption) and the iron incorporated in the crystal struc-506 ture of silicates and alluminosilicates (illite, smectite), which does not substantially contribute to SW 507 absorption (Karickhoff and Bailey, 1973; Lafon et al., 2004). The mass concentrations of these compo-508 nents (total iron oxides, hematite, goethite, and total elemental iron) for the different analysed samples 509 are reported in Table 3. There is a considerable variability in the iron and iron oxide content for our 510 samples. Total iron in the dust samples is in the range from 2.4% (Namib-1) to 10.6% (Namib-2). Iron 511 oxides account for 11% to 62% of the iron mass (calculated following C17, not reported in Table 3), 512 whereas the percent of iron oxides to the total dust mass varies between 0.7% (Bodélé Depression) 513 and 5.8% (Niger). These data are in the range of values reported in the literature (Reid et al., 2003; 514 Scheuvens et al., 2013; Formenti et al., 2011, 2014a). For the samples from the Sahara and the Sahel, 515 goethite is the dominant iron oxide species, in agreement with Lafon et al. (2006) and Formenti et al. (2014a; 2014b). Elsewhere, hematite dominates over goethite, as reported by some studies (Arimoto 516 517 et al., 2002; Shen et al., 2006; Lu et al., 2011).

# 4.2 Spectral- and time-dependent dust extinction and absorption coefficients, complex refrac tive index, and SSA

Figure 5 illustrates a typical spectral– and time–dependent set of measured optical properties. The spectral extinction coefficient, absorption coefficient, SSA, and real and imaginary parts of the complex refractive index obtained at 10–min resolution for the Morocco and Algeria samples are shown at the peak of the dust injection in CESAM and 30 and 90 min after the peak. Figure 5 shows that absorption decreases with wavelength, but not extinction. The SSA increases from 370 to 590 nm while it is almost constant between 590 and 950 nm. The imaginary part of the refractive index decreases with  $\lambda$  following the decrease of  $\beta_{abs}$ . The real part of the refractive index does not depend on wavelength.

The extinction and absorption coefficients decrease in absolute value with time, as already shown in
 Fig. 3. Their spectral dependence remains quite constant with time, but varies from soil to soil. The

529 experiment-averaged absorption, scattering, and extinction Ångström exponents in the 370-950 nm 530 spectral range, representing the spectral variation of the absorption, scattering and extinction coefficients, vary between the values of 1.5 and 2.4 (AAE), -0.4 and 0.4 (SAE), and -0.2 and +0.5 (EAE) 531 532 for the different samples. These values are in line with those previously reported by Moosmüller et al. 533 (2012) and C17 for dust from various locations. The retrieved n and k also show negligible changes of 534 their spectral shape with time and their magnitude remains approximately constant. In contrast, the SSA 535 increases with time, in particular below 600 nm wavelength, and its spectral shape changes. This is 536 mostly due to the decrease of the coarse size fraction with residence time in the chamber, as will be 537 analysed in Sect. 4.5. Similarly to the absorption, scattering, and extinction coefficients, the spectral 538 shape of k and SSA is somewhat different between the various samples, with the sharpest spectral 539 variations observed for the most absorbing samples and a less pronounced spectral variation for the 540 less absorbing ones, as evident, for example, by comparing the SSA data for Morocco and Algeria in 541 Fig. 5.

# 542 4.3 Spectral complex refractive index and SSA for the different source regions and comparison 543 to literature data

- 544 Figures 6 and 7 show the experiment-averaged n, k, and SSA between 370 and 950 nm for the nine-545 teen aerosol samples analyzed in this study. Data of n, k, and SSA and their uncertainties are reported 546 in Tables 4 and 5 for each sample together with the average values for each of the eight different source 547 regions and for the full dataset. Figures 6 and 7 show that there are significant differences, both in 548 magnitude and spectral shape, between the imaginary refractive index and SSA for the different sam-549 ples. The highest values of k (0.0048-0.0088 at 370 nm and 0.0012-0.0021 at 950 nm) and lowest 550 values of SSA (0.70–0.75 at 370 nm and 0.95–0.97 at 950 nm) are obtained for the Niger, Mali, Namib-551 2 and Australia samples, which also show the highest values of both the iron oxide content between 552 3.6% and 5.8% and hematite content between 2.0% and 4.8%. The lowest values (k is 0.001 at 370 and 0.0003 at 950 nm, and SSA is in the range 0.91-0.96 at 370 nm and 0.97-0.99 at 950 nm) are 553 554 obtained for the Bodélé, Namib-1, and Arizona samples, which have iron oxide contents between 0.7% 555 and 1.5%. Both k and SSA vary from region to region, with the largest absorptions (highest k, lowest 556 SSA) for the Sahel and Australia and the lowest absorption (lowest k, highest SSA) in North and South 557 America and the Middle East; k and SSA values also vary within the same region, as illustrated for the 558 Sahelian and Southern African samples. The real part of the refractive index, on the other hand, is not 559 only almost wavelength-independent, as anticipated, but also relatively invariant from sample to sam-560 ple. Its average over the 370-950 nm spectral range is between 1.48 (Gobi) and 1.55 (Ethiopia and 561 Namib-2).
- 562 The full envelope of n, k, and SSA obtained for the entire set of analysed samples is shown in Fig. 8.
- 563 The real refractive index is relatively invariant, while the spectral k varies by up to an order of magnitude
- 564 (0.001–0.009 at 370 nm and 0.0003–0.002 at 950 nm). The SSA changes accordingly for the different
- 565 dust samples at the different wavelengths (30% change at 370 nm corresponding to values between
- 566 0.70–0.96 and 4% change at 950 nm for values within 0.95–0.99). The population mean is 1.52 for n

(as spectral average) and varies in the range 0.0033–0.0009 for k and 0.85–0.98 for the SSA between
370 and 950 nm (0.0016 and 0.94 as spectral averages for k and SSA) (Fig. 8 and Tables 4 and 5).

569 The comparison between the full envelope of n, k, and SSA in this study with literature data is also 570 shown in Fig. 8. Literature values considered for comparison include estimates from ground-based, 571 aircraft, and satellite observations, laboratory studies, AERONET inversions, and estimates from mixing 572 rules based on the dust mineralogical composition. Given that the sample selection in our experiments 573 fully envelopes the global variability of mineralogy of natural dust, we could expect that our dataset 574 would also fully envelope the global-scale variability of the dust absorption and scattering properties in 575 the SW. When comparing with available literature data we found that our n and SSA datasets very well 576 encompass the range of values indicated in the literature, with only a few outlier points. In contrast, for 577 the imaginary refractive index the reported range of variability from the literature is significantly larger 578 than that found in our study, with our range of k being mostly at the lower bound of previous results. 579 Nonetheless, our range of k values fully envelopes the ensemble of remote sensing and field campaign 580 data on airborne dust from the previous literature reported in Fig. 8a. The global average spectral values 581 for k in our study (thick black line) perfectly match the Dubovik et al. (2002) dataset from a synthesis of 582 AERONET observations from various locations worldwide. Likewise, our k average is also very close 583 to the dataset by Balkanski et al. (2007), estimated from mineralogical composition assuming 1.5% (by 584 volume) of hematite in dust, a value shown to allow a reconciliation of climate modelling and satellite 585 observations of the dust direct SW radiative effect. By comparison, the average dust hematite content 586 for the ensemble of our analysed samples is 1.8% (in mass), close to the 1.5% value proposed by 587 Balkanski et al. (2007).

588 Looking at Fig. 8, the datasets that show the largest values, which also fall outside our estimated range 589 of k over the entire considered wavelength range are the ones by: (i) Volz (1972), Patterson et al. (1977) 590 and Hess et al. (1998; i.e., the OPAC 3.1 version database, which is the same k dataset used in the 591 new OPAC 4.0 version, Koepke et al., 2015) showing larger values than our dataset over the entire 592 considered wavelength range. These datasets are amongst the most commonly used references for 593 the dust imaginary refractive index in many climate models; and (ii) the dataset by Wagner et al. (2012) 594 obtained from laboratory chamber experiments, deviating especially below 600 nm wavelength from 595 our range of k. The reasons for these discrepancies in the k values are difficult to assess, since they 596 could be related to both instrumental and analytical aspects. In the studies by Volz (1972) and Patterson 597 et al. (1977), for instance, the complex refractive index was obtained by transmittance and diffuse re-598 flectance on pellet samples, a technique that requires the dust to be pressed in a matrix of non-absorb-599 ing material. In this case a discrepancy arises from the different optical behaviour between dust com-600 pressed in a pellet and the airborne particles. Moreover, Volz (1972) and Patterson et al. (1977) analyse 601 dust aerosols collected after mid- to long-range transport, thus after the dust has possibly been mixed 602 with absorbing species.

For the case of Wagner et al. (2012) the imaginary refractive index was retrieved from laboratory chamber experiments on suspended dust, as in our study. Nonetheless, their approach differs in various
aspects from the one applied here and this can lead to the observed differences in the retrieved k. First,

606 the aerosol generation technique is different between the two works and this possibly leads to particles 607 with different physico-chemical features compared to our study. In Wagner et al. (2012) the dust aerosol 608 was generated by a rotating brush disperser using only the 20-75 µm sieved fraction of the soils. This 609 system acts to disaggregate the finest particles of the soil by passing it through a nozzle. Then the 610 largest aerosol grains were removed by a cyclone system (50% cutoff at 1.2 µm aerodynamic diameter), 611 so that only the submicron size fraction was measured. We show in Sect. 4.5 that k is independent of 612 size for the range of investigated effective coarse diameters between 2 and 4 µm, but the range of sizes 613 analysed in Wagner et al. (2012) is significantly lower than in our study and a size-effect cannot be 614 excluded. In fact, the relationship between dust absorption and iron content may vary depending on the 615 considered size fraction (see C17) due to the fact that iron bearing minerals are more concentrated in 616 the clay fraction (<2.0 µm) of the dust (Kandler et al., 2009). Moreover, generating dust in a different 617 way may lead to differences in the chemical and mineralogical size-dependent composition of the sam-618 ple, therefore contributing to the observed differences. The impact of this is however difficult to evaluate. 619 Another difference concerns the choice of the optical theory to retrieve k (T-matrix in Wagner et al. instead of Mie theory as used in our work). This can contribute to the observed differences, even if in a 620 621 limited way (Mogili et al., 2007; Sorribas et al., 2015). Third, in their retrieval Wagner et al. fixed the real 622 refractive index to a wavelength-independent value of 1.53 (as done in several other field and labora-623 tory studies in Fig. 8) and this assumption can bias high/low the retrieved k if the actual n is higher/lower 624 than the assumed 1.53 value. So, in summary, while multiple factors could contribute to the discrepancy 625 it remains however difficult to assess which source of discrepancy is dominant.

#### 626 4.4 Imaginary refractive index and SSA versus iron and iron oxide content

627 The sample-to-sample variability of the imaginary part of the refractive index k and the SSA observed 628 in Fig. 6 and 7 is related to the dust composition by investigating the dependence on the particle iron 629 content. In Fig. 9 we show the experiment-averaged k and SSA at 370, 520, and 950 nm versus the 630 mass concentration of iron oxides (hematite+goethite, MC<sub>Fe-ox%</sub>), hematite (MC<sub>Hem%</sub>), goethite 631 (MC<sub>Goeth%</sub>), and total elemental iron (MC<sub>Fe%</sub>) measured for the different dust samples in this study. The 632 data are linearly fitted to relate k and SSA to MC<sub>Fe-ox%</sub>, MC<sub>Hem%</sub>, MC<sub>Goeth%</sub>, and MC<sub>Fe%</sub>. The results of 633 the fits at all wavelengths between 370 and 950 nm are reported in Table 6, together with the statistical 634 indicators of the goodness of fit (correlation coefficient,  $R^2$ , and reduced chi square,  $\chi^2_{red}$ , i.e., the ob-635 tained chi square divided by the number of degrees of freedom). There is an excellent correlation be-636 tween both k and SSA and MC<sub>Fe-ox%</sub> at the different wavelengths (R<sup>2</sup>>0.75). A weaker correlation is 637 found when relating k and SSA to MC<sub>Hem%</sub> and MC<sub>Fe%</sub> (R<sup>2</sup> between 0.40 and 0.74 for k and between 638 0.49 and 0.78 for the SSA), and  $MC_{Goeth\%}$  (R<sup>2</sup> between 0.17 and 0.62). The better correlation of k and 639 SSA to MC<sub>Fe-ox%</sub> compared to MC<sub>Fe%</sub> is expected since dust optical properties in the visible wavelengths 640 are mostly sensitive to the fraction of iron oxides, rather than to iron incorporated into the crystal struc-641 ture of silicates (Karickhoff and Bailey, 1973; Lafon et al., 2006; Moosmüller et al., 2012; Klaver et al., 642 2011; Engelbrecht et al., 2016; C17). The quantities that most robustly satisfy a linear relationship are 643 k and MC<sub>Fe-ox%</sub>, as indicated by the reduced chi square  $\chi^2_{red}$  that is around 1 at all different wavelengths. 644 The  $\chi^{2}_{red}$  increases to values also larger than 2 in the other cases, indicating the poorer robustness of 645 the fit in these cases.

- 646 We also investigated the dependence of the spectral k and SSA on the mass concentration of other
- 647 minerals, such as clays, calcite, quartz, and feldspars, and also on the mass concentration of different
- 648 elements. We found that there is no statistically significant correlation between k or SSA and the mass
- 649 concentration of any of these compounds (not shown), with R<sup>2</sup> values between 0.002 and 0.46 at the
- 650 different wavelengths for all cases.
- These results therefore clearly show that iron, particularly in the form of iron oxides (hematite + goethite), is the main driver of dust shortwave absorption. Measuring only the hematite mass fraction to estimate the dust absorption, as it is sometimes done, is therefore not sufficient.

# 654 **4.5 Imaginary refractive index and SSA versus dust coarse size fraction**

- 655 The dependence of the spectral k and SSA on the dust coarse fraction is investigated by relating it to 656 the Deff,coarse calculated from the size distribution data behind the SW instruments inlets. The k10-min and 657 SSA10-min at 370, 520, and 950 nm versus Deff.coarse are shown in Fig. 10 for all experimental data, which 658 we separated into three classes based on their iron oxide content ( $MC_{Fe-ox\%} \le 1.5\%$ ,  $1.5\% < MC_{Fe-ox\%}$ 659 < 3%, MC<sub>Fe-ox%</sub>  $\geq$  3%). Figure 10 shows that even if the correlation is not very strong (R<sup>2</sup><0.54), there 660 is a clearly decreasing tendency for the SSA<sub>10-min</sub> with increasing D<sub>eff,coarse</sub>, particularly at 370 and 520 661 nm for strongly absorbing samples with iron oxide content larger than 3%. The SSA10-min is mostly 662 independent of changes of Deff,coarse at 950 nm. Conversely, k10-min has a very poor correlation with 663 D<sub>eff.coarse</sub> (R<sup>2</sup><0.35) and thus does not depend on size. Similar results were also obtained for the real part (not shown). 664
- These results confirm previous observations (Sokolik and Toon, 1999; McConnell et al., 2008, 2010; Ryder et al., 2013a; 2013b) that the refractive index is independent of size. This suggests that size– dependent mineralogical composition is not sufficient to affect k (in the limit of our measurement and retrieval procedure precision). It is worth mentioning that only few past studies evidenced a dependence of k on the size distribution of the dust aerosols (i.e., Kandler et al., 2009, 2011; Otto et al., 2009) maybe because the refractive index was retrieved in these studies from mixing rules based on the estimated size–dependent mineralogical composition.
- Differently from k, the SSA increases as the coarse dust size fraction decreases. This is due to the fact that absorption efficiency for a single particle ( $Q_{abs}$ ) increases with particle diameter while the scattering efficiency ( $Q_{sca}$ ) decreases. Ryder et al. (2013a) also showed that the dependence of SSA on size is linear, but important only when the coarse fraction is high (if particles larger than about 3 µm in diameter are present), otherwise the SSA depends mainly on composition, also in agreement with more recent field observations by Ryder et al. (2018).

# 678 5. Summary

In this paper we presented new measurements of the spectral SW complex refractive index (m=n-ik) and single scattering albedo (SSA) for nineteen mineral dust aerosols generated in the laboratory from natural soil samples from major desert dust source areas in northern Africa, the Sahel, Middle East, eastern Asia, North and South America, southern Africa, and Australia, and selected to represent the 683 heterogeneity of the dust composition at the global scale, in particular the range of iron oxide concen-684 trations. The envelope of refractive indices and SSA data obtained in this study can thus be taken as 685 representative of the variability of the global dust aerosol.

Experiments described here were conducted in the 4.2 m<sup>3</sup> CESAM chamber, a dynamic environment where dust aerosols are generated and maintained in suspension for several hours while monitoring the evolution of their physical, chemical, and optical properties. The generated dust aerosols are characterized by a realistic size distribution, including both the sub–micron and the super–micron fraction, and they have an atmospherically representative mass concentration and composition, including iron oxides and elemental iron content.

- Some other laboratory studies have been performed in the past to investigate the shortwave SSA of dust from different sources worldwide and its dependence on composition (Linke et al., 2006; Moosmüller et al., 2012; Engelbrecht et al., 2016). Conversely, for the refractive index there exists to our knowledge only one other chamber study (Wagner et al., 2012), that retrieved the imaginary part k between 305 and 955 nm for dust aerosols from a limited number of source areas in Africa (Burkina Faso, Egypt and Morocco). As a matter of fact, our work provides the first consistent simulation chamber study of the complex refractive index of global dust.
- 699 The results of the present study can be summarized as follows:
- 700 1. The spectral k and SSA retrieved in this study vary from sample to sample within the same region 701 but also from a region to another. For k, values vary between 0.0011-0.0088 at 370 nm, 0.0006 to 702 0.0048 at 520 nm, and 0.0003–0.002 at 950 nm. For SSA, values vary from 0.70 to 0.96 at 370 nm, 703 0.85 to 0.98 at 520 nm, and from 0.95 at 0.99 at 950 nm. In contrast, n is wavelength-independent 704 and almost uniform for the different sources, with values between 1.48 and 1.55. Values for n and 705 SSA fall within the range of published literature estimates, while for k we obtain a much narrower 706 range of variability than the ensemble of literature results, as illustrated in Fig. 8. In particular, we 707 found lower values of k compared to most of the literature values currently used in climate models, 708 such as Volz et al. (1972), Patterson et al. (1977), and the OPAC database (Hess et al., 1998; 709 Koepke et al., 2015). In their study, Miller et al. (2014) state that the values of Dubovik et al. (2002) 710 from AERONET, Patterson et al. (1977) for far-travelled dust, and OPAC probably bracket the 711 global solar absorption by dust. In contrast, our results indicate that dust absorption is lower than 712 previously thought, and its average is close to the values reported by Dubovik et al. (2002) from 713 AERONET observations and Balkanski et al. (2007) for a dust with a 1.5% volume fraction of hem-714 atite. Our range of variability of an order of magnitude for k and between 4% and 30% for the 715 spectral SSA is actually large enough to change the sign of the global dust direct effect at the TOA 716 (Miller et al., 2004), as well as its regional implications (e.g., Solmon et al., 2008; Jin et al., 2016), 717 and has to be taken into account in climate modelling.
- The documented changes in k and SSA also impact remote sensing retrievals. To give an example,
   following Gasteiger et al. (2011), our observed variability of about 10% for the SSA at 532 nm would
   translate to about 40% variability in the retrieved extinction profiles and optical depths from lidar
   observations for dust from varying sources.

- The sample-to-sample variability observed in this study is mostly related to the iron oxide and
   elemental iron content in dust. At each investigated wavelength the magnitude of k and SSA is
   linearly correlated to the mass concentration of total iron oxides, hematite, goethite, and total ele mental iron. Small variations of these compounds translate into large variations of k and SSA.
- 4. We also investigated the dependence of k and SSA on the size distribution of dust. While k is
  independent of size (suggesting that a constant value can be used along transport), below 600 nm
  the SSA linearly decreases for increasing D<sub>eff,coarse</sub> for strongly absorbing samples with more than
  3% iron oxide content. The investigated range of D<sub>eff,coarse</sub> is within about 2 and 4 μm, and thus
  comparable to values obtained along a transport path over the Atlantic Ocean for dust during about
  2 to 6 days following emission (Denjean et al., 2016a).
- The observations of points 3 and 4 suggest that while it is sufficient to know the content of iron
  oxide (or elemental iron) in dust to predict its spectral k, which means that only one tracer is needed
  in models to parametrize its regional and global variability, for the spectral SSA both composition
  and size distribution are required.

#### 737 6. Concluding remarks

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Based on our results, we recommend that dust simulations, as well as remote sensing retrievals, use 738 739 source-dependent values of the spectral SW refractive index and SSA instead of generic values. We 740 propose, as a first step, a set of regionally-averaged n, k, and SSA values to represent dust from each 741 of the eight regions analysed here as well as a global average value from the ensemble of our data 742 (Tables 4 and 5). Furthermore, the relationships found between k and SSA and the iron oxides or ele-743 mental iron content in dust open the perspective to establish predictive rules to estimate the spectrallyresolved SW absorption of dust based on composition. We recommend the use of iron oxide content 744 745 rather than iron content as it is better correlated with k and SSA. The relationship found in this study, 746 nonetheless, refer to the bulk composition of the dust aerosols and to a size range typical of 2 to 6 days 747 of transport in the atmosphere. As demonstrated in C17 for the mass extinction efficiency, the relation-748 ships linking the dust absorption to iron content vary as a function of the analysed size fraction due to 749 the fact that iron bearing minerals are more concentrated in the clay fraction (<2.0 µm) than in the 750 coarsest fraction of the dust (Kandler et al., 2009; C17). Further investigation should be therefore ad-751 dressed to evaluate the dependence of the spectral k and SSA versus iron content as a function of the 752 size distribution of the particles, in particular extending to a wider range of Deff, coarse compared to the 753 one investigated in the present study. This will allow to determine if the k and SSA versus iron relation-754 ships change or not in different phases of the aerosol lifetime, so if it is valid close to source areas 755 (when the coarsest fraction is dominant, i.e. Deff.coarse up to 15 µm, Ryder et al. (2013b)), and in longrange transport conditions (when most of the coarse particle fraction above few µm has settled out (i.e., 756 Deff, coarse of 2-3 µm or lower, Denjean et al. (2016b)). 757

We point out, however, that the use of mineralogy to estimate k and SSA based on linear relationships, as obtained in our study, requires that the model–predicted dust composition accurately reflects that of the natural atmospheric aerosols. To this aim, realistic soil mineralogy databases and accurate model-

- 761 ling of the soil to aerosol size fractionation need to be developed in model schemes. In this sense we
  - 20

762 mention the EMIT project (Earth Surface Mineral Dust Source Investigation) as a potential near–future 763 source of high resolution surface mineralogy data for arid and semi–arid regions based on imaging 764 spectroscopy satellite data (Green et al., 2018). Also, a realistic representation of the size distribution, 765 in particular the coarse mode fraction of dust and its retention during atmospheric transport, should be 766 provided in models given its importance in affecting the SSA, as shown in this study and previously 767 reported in other papers (Ryder et al., 2013a, 2013b, 2018; Kok et al., 2017).

Our study focuses on the dust spectral optical properties between 370 and 950 nm. Further work is required to extend the range of spectral refractive index and SSA data to wavelengths lower than 370 nm or higher than 950 nm given that these data are often required in Global Circulation Models and Numerical Weather Prediction models.

We do not provide any quantification of the uncertainty associated with the assumption of spherical particles in our study, even if we acknowledge the potential role of non–sphericity in affecting our data treatment and results. Additional work is foreseen to better investigate the shape of our generated dust and the impact of non–sphericity on retrieved spectral refractive indices and SSA.

776 Finally, this study had the objective to investigate the variability of the dust SW optical properties at the 777 global scale linked to the global variability of the dust composition. It is noteworthy that observations 778 over Southern Africa and the Sahel from the present study indicate that the k and SSA variability over 779 these regions is comparable to the one obtained for the global scale. For other regions, such as North 780 America and Australia, only one sample was analyzed, with no information on the regional-scale vari-781 ability of k and SSA. Additionally, for some of the analyzed areas, such as the Bodélé depression, even 782 local scale variability (on the order of few km) may be of relevance, given the documented local scale 783 changes of the particles' mineralogy and iron content (Bristow et al., 2010). More efforts should be 784 therefore devoted to better characterize the variability of dust spectral optical properties at the regional 785 and sub-regional scale with the aim of better assessing the dust impact on the climate of different areas 786 of the world.

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## 788 Data availability

789 Complex refractive index and single scattering albedo data for the different analyzed samples are pro-790 vided in Tables 4 and 5 and will be compiled together with aerosol properties from other studies within 791 the Library of Advanced Data Products (LADP) of the EUROCHAMP datacenter (https://data.euro-792 champ.org). The CESAM data used in this study are immediately available upon request to the contact 793 author and will also soon be made available through the Database of Atmospheric Simulation Chamber Studies (DASCS) of the EUROCHAMP datacenter (https://data.eurochamp.org /)). The following IDL 794 795 routines were used in the analysis: mpfitexy.pro (available at https://github.com/williamsmj/mpfitexy) 796 was used to linearly fit data taking into account uncertainties on both x and y; mie\_single.pro (available 797 at http://www.atm.ox.ac.uk/code/mie/mie single.html) was used for optical calculations using Mie the-798 ory; mpcurvefit.pro (available at http://cow.physics.wisc.edu/~craigm/idl/idl.html) was used for size 799 lognormal fitting.

## 800 Author contributions

C. Di Biagio, P. Formenti, Y. Balkanski, and J. F. Doussin designed the experiments and discussed the
results. C. Di Biagio performed the experiments and performed the full data analysis with contributions
by P. Formenti, L. Caponi, M. Cazaunau, E. Pangui, and J.F. Doussin. The soil samples used for experiments were collected by M. O. Andreae, K. Kandler, T. Saeed, S. Piketh, D. Seibert, and E. Williams. E. Journet participated to the selection of the soil samples for experiments. S. Nowak performed
the XRD measurements. C. Di Biagio and P. Formenti wrote the manuscript with comments from all
co–authors.

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- **Table 1.** Measured and retrieved quantities and their estimated relative uncertainties. For further de-
- tails, refer to Sect. 2, as well DB17 and C17.

Pa	rameter	Time resolution	Relative uncertainty	Uncertainty calcula- tion	Comments		
	Scattering coefficient at 450, 550, and 700 nm, $\beta_{sca}$ ( $\lambda$ )	10–min data	5–12%	Quadratic combination of photon counting and gas calibration uncer- tainty (5%), angular cor- rections uncertainty (<5%) and standard de- viation over 10-min in- tervals (2-10%).	The uncertainty on $\beta_{sca}$ ( $\lambda$ ) usually decreases with increasing dust residence time in the chamber as a result of the reduction of the coarse component.		
	Absorption coefficient at 370, 470, 520, 590, 660, 880, and 950 nm, $\beta_{abs}$ ( $\lambda$ )	10–min data	22–30% at 370 nm 23–87% at 950 nm	Error propagation for- mula <sup>1</sup> on Eq. (2) consid- ering the uncertainties on $\beta_{ATT}(\lambda)$ from 10-min fitting procedure (error propagation formula <sup>1</sup> on Eq. 1, ~20%), and un- certainties on $\alpha(\lambda)$ (1%), $\beta_{sca}(\lambda)$ (5–12%), $C_{ref}$ (10%), and R (1–10%).			
	Extinction coeffi- cient, $\beta_{ext} (\lambda) = \beta_{sca} (\lambda) + \beta_{abs} (\lambda)$	10–min data	~25%	$\begin{array}{l} \text{Sum of } \beta_{\text{sca}} \left( \lambda \right) \text{ and } \beta_{\text{abs}} \\ \left( \lambda \right) \text{ uncertainties} \end{array}$			
	Single Scattering Albedo, SSA ( $\lambda$ ) = $\beta_{sca}$ ( $\lambda$ ) / ( $\beta_{sca}$ ( $\lambda$ ) + $\beta_{abs}$ ( $\lambda$ ))	10–min data	9–12%	Error propagation for- mula <sup>1</sup> considering sin- gle uncertainties on $\beta_{sca}$ and $\beta_{abs}$ .			
Optical SW	Single Scattering Albedo, SSA ( $\lambda$ ) = (1+1/m( $\lambda$ )) <sup>-1</sup>	Experiment averaged	1–12% at 370 nm 1–3% at 950 nm	Error propagation for- mula <sup>1</sup> on Eq. (6) consid- ering the uncertainty on $m(\lambda)$ , i.e., the slope of the linear fit between $\beta_{sca}$ and $\beta_{abs}$ over the whole duration of each experiment.			
	Complex refrac- tive index (n-ik)	10–min data	<5% for n <50% for k	Deviations of the values of n and k retrieved in the sensitivity study (see Sect. 3.2) with re- spect to those obtained in the first inversions were assumed to corre- spond to the one stand- ard deviation uncer- tainty to 10-min re- trieved values.			
	Complex refrac- tive index (n–ik)	Experiment averaged	<8% for n 13–75 % for k	Quadratic combination of the standard devia- tion of n and k over the experiment and the de- viation on the experi- ment–averaged values between those obtained from central inversions and inversions using in- put data ± their uncer- tainty.			
Size distribution	SMPS geometrical diameter $(D_g)$ , $D_g = D_m / \chi$	_	~6%	Error propagation for- mula <sup>1</sup> considering the uncertainty on the esti- mated shape factor $\chi$ (~6%)	The electrical mobility to geometrical diameter conversion was per- formed by assuming for dust a dynamic shape factor of 1.75 ± 0.10, as determined by SMPS– SkyGrimm comparison in their overlapping range (see DB17)		

	SkyGrimm geo- metrical diameter (D <sub>g</sub> )	_	<15.2%	Standard deviation of the Dg values obtained for different refractive indices values used in the optical to geomet- rical conversion	The conversion of opti- cal to geometrical diam- eters for the SkyGrimm and the WELAS was performed by taking into account the visible com-	
	WELAS geomet- rical diameter (D <sub>9</sub> )	_	<7%	The same as for the SkyGrimm	plex refractive index of dust aerosols. Optical calculations were com- puted at the SkyGrimm operating wavelength (0.655 $\mu$ m) and over the spectral range of the WELAS (0.35 to 0.7 $\mu$ m) using Mie theory for spherical particles by fixing n at 1.47, 1.50, and 1.53, and by vary- ing k in steps of 0.001 between 0.001 and 0.005. Then D <sub>g</sub> is set at the mean ± 1 standard deviation of the values obtained for the differ- ent values of n and k (see DB17). Refractive index is assumed to be constant with particle size and wavelength–in- dependent.	
	(dN/dlogD) <sub>SWoptics</sub>	10–min data	~20–90%	Error propagation for- mula <sup>1</sup> considering the dN/dlogD <sub>g</sub> st. dev. over 10-min and the uncer- tainty on particle loss function along sampling tubes L(D <sub>g</sub> ) (~50% at 2 $\mu$ m, ~10% at 8 $\mu$ m)	The uncertainty of L(D <sub>g</sub> ) was estimated with a sensitivity study by var- ying the values of the input parameters to the Particle Loss Calculator software within their un- certainties (see DB17)	
	D <sub>eff,fine</sub>	10–min data	<5%	Deviation obtained by		
	$D_{eff,coarse}$	10–min data	5–40%	repeating the calcula- tions by using the size distribution ± its uncer- tainty.		
	Elemental iron mass concentra- tion (MC <sub>Fe%</sub> )	Experiment averaged	10%			
Mineralogi- cal composi-	Iron oxides mass concentration (MC <sub>Fe-ox%</sub> )		15%	Uncertainties calculated as discussed in DB17		
tion	Goethite mass concentration (MC <sub>Goet%</sub> )	Experiment averaged	<10%	and C17		
	Hematite mass concentration (MC <sub>Hem%</sub> )	Experiment averaged	<10%			

<sup>1</sup>  $\sigma_f = \sqrt{\sum_{i=1}^n \left(\frac{\partial f}{\partial x_i} \sigma_{x_i}\right)^2}$ 

**Table 2.** Summary of information on the soil samples and sediments used in this study.

Geographical area	Sample	Coordinates	Desert area
	Tunisia	33.02°N, 10.67°E	Maouna
	Morocco	31.97°N, 3.28°W	east of Ksar Sahli
Northern Africa – Sahara	Libya	27.01°N, 14.50°E	Sebha
	Algeria	23.95°N, 5.47°E	Ti-n-Tekraouit
	Mauritania	20.16°N, 12.33°W	east of Aouinet Nchir
	Niger	13.52°N, 2.63°E	Banizoumbou
Sahel	Mali	17.62°N, 4.29°W	Dar el Beida
	Bodélé	17.23°N, 19.03°E	Bodélé depression
Eastern Africa and the	Ethiopia	7.50°N, 38.65°E	Lake Shala National Park
Middle East	Saudi Arabia	27.49°N, 41.98°E	Nefud
Middle Last	Kuwait	29.42°N, 47.69°E	Banizoumbou Dar el Beida Bodélé depression Lake Shala National Park
Eastern Asia	Gobi	39.43°N, 105.67°E	Gobi
Eastern Asia	Taklimakan	41.83°N, 85.88°E	Taklimakan
North America	Arizona	33.15 °N, 112.08°W	Sonoran
South America	Atacama	23.72°S, 70.40°W	Atacama
South America	Patagonia	50.26°S, 71.50°W	Patagonia
Southern Africa	Namib–1	21.24°S, 14.99°E	Namib
Southern Amca	Namib–2	19.00°S, 13.00°E	Namib
Australia	Australia	31.33°S, 140.33°E	Strzelecki

**Table 3.** Chemical characterization of the dust aerosols in the  $PM_{10.6}$  size fraction. Column 3 shows1205 $MC_{Fe\%}$ , the fractional mass of elemental iron with respect to the total dust mass concentration (±10%1206relative uncertainty), and column 4 reports  $MC_{Fe-ox\%}$ , the mass fraction of iron oxides with respect to the1207total dust mass concentration (±15% relative uncertainty) and its speciation in hematite  $MC_{Hem\%}$  and1208goethite  $MC_{Goeth\%}$  (<±10% relative uncertainty). The iron oxide measurements were not made on the</td>1209Taklimakan sample. Mean values and standard deviations based on single sample data are reported1210for the full dataset.

Geographical area	Sample	MC <sub>Fe%</sub>	MC <sub>Fe-ox%</sub>	MC <sub>Hem%</sub>	MC <sub>Goet%</sub>
	Tunisia	4.1	2.2	1.2	1.1
	Morocco	3.6	1.4	0.4	1.0
Northern Africa – Sahara	Libya	5.2	3.1	0.9	2.2
	Algeria	6.6	2.7	1.4	1.4
	Mauritania	8.1	3.3	3.3	0.0
	Niger	6.1	5.8	2.3	3.5
Sahel	Mali	6.6	3.7	2.0	1.7
	Bodélé	4.1	0.7	0.7	0.0
Eastern Africa and the Middle East	Ethiopia	6.8	2.0	2.0	0.0
	Saudi Arabia	3.8	2.6	1.8	0.8
Last	Kuwait	5.0	1.5	1.5	0.0
Eastern Asia	Gobi	4.8	0.9	0.9	0.0
Eastern Asia	Taklimakan	5.8	-		—
North America	Arizona	5.3	1.5	1.5	0.0
South America	Atacama	4.7	1.6	1.6	0.0
South America	Patagonia	5.1	1.5	0.9	0.6
Southern Africa	Namib–1	2.4	1.1	0.8	0.3
Southern Allica	Namib–2	10.6	4.8	4.8	0.0
Australia	Australia	7.2	3.6	3.6	0.0
Full dataset mean (st. dev.)		5.6 (1.9)	2.4 (1.4)	1.8 (1.1)	0.7 (1.0)

1212 Table 4. Real (n) and imaginary (k) parts of the refractive index estimated for the nineteen analysed 1213 dust samples and mean values calculated for the eight regions and for the full dataset. Data for single 1214 soils are reported as experiment-averaged values and their uncertainty is calculated as indicated in 1215 Table 1. Mean values and standard deviations at each wavelength based on single sample data are 1216 reported for the eight regions and the full dataset. The median and 10% and 90% percentile values are 1217 also reported for the full dataset. For North America and Australia, for which only one dust sample was 1218 analysed, the reported data correspond to the single sample available from these regions. For the real 1219 part, the average over the whole shortwave range (nsw) is indicated.

Sample/Region	nsw	σ <sub>nSW</sub>				k							σ <sub>k</sub>			
	037– 0.95 μm	037– 0.95 μm	0.37 μm	0.47 μm	0.52 μm	0.59 μm	0.66 µm	0.88 µm	0.95 μm	0.37 μm	0.47 μm	0.52 μm	0.59 μm	0.66 μm	0.88 µm	0.95 µm
Tunisia	1.51	0.06	0.00 45	0.00 35	0.00 26	0.00 18	0.00 15	0.00 13	0.00 12	0.00 30	0.00 26	0.00 18	0.00 12	0.00 10	0.00 08	0.00
	1.49	0.03	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Morocco	1.5	0.04	23 0.00	16 0.00	12 0.00	08 0.00	07 0.00	06 0.00	07	06 0.00	04	03	02	02	02	02
Lybia			29 0.00	19 0.00	14 0.00	07	06	07	07	06 0.00	04	02	01	02	02	02
Algeria	1.52	0.04	25	16	12	07	05	06	06	10	06	04	03	03	03	03
Mauritania	1.5	0.03	0.00 43	0.00 33	0.00 26	0.00 14	0.00 13	0.00 10	0.00 10	0.00 10	0.00 09	0.00 08	0.00 03	0.00 03	0.00 04	0.00
Northern Africa – Sahara (mean and st. dev.)	1.51	0.03	0.00 33	0.00 24	0.00 18	0.00 11	0.00 09	0.00 08	0.00 08	0.00 10	0.00 10	0.00 07	0.00 05	0.00 04	0.00 03	0.00 03
Niger	1.51	0.04	0.00 88	0.00 61	0.00 48	0.00 34	0.00 31	0.00 28	0.00 21	0.00 43	0.00 31	0.00 23	0.00 18	0.00 15	0.00 10	0.00 13
		0.05	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Mali	1.52	0.03	48 0.00	38 0.00	30 0.00	23 0.00	24 0.00	21 0.00	21 0.00	08 0.00	06 0.00	04	03	03	03	03
Bodélé Sahel (mean	1.49		11 0.00	07 0.00	06 0.00	04	04	03	03	06 0.00	04 0.00	03	02	02	01	01
and st. dev.)	1.51	0.03	<b>49</b> 0.00	35 0.00	28 0.00	<b>20</b>	<b>20</b> 0.00	<b>17</b>	15 0.00	38	<b>27</b>	<b>21</b>	15 0.00	14 0.00	13 0.00	11 0.00
Ethiopia	1.55	0.06	26	20	16	13	11	07	06	0.00 09	08	07	05	04	02	02
Saudi Arabia	1.54	0.06	0.00 28	0.00 21	0.00 15	0.00 07	0.00 06	0.00 06	0.00 06	0.00 06	0.00 05	0.00 04	0.00 02	0.00 01	0.00 01	0.00
Kuwait	1.50	0.04	0.00 16	0.00 10	0.00 08	0.00 06	0.00 05	0.00 05	0.00 04	0.00 05	0.00 03	0.00 03	0.00 02	0.00 02	0.00 03	0.00
Eastern Africa and the Middle East (mean and	1.53	0.05	0.00	0.00 17	0.00 13	0.00	0.00	0.00 06	0.00 05	0.00 07	0.00	0.00 05	0.00 04	0.00	0.00 01	0.00
st. dev.)			0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Gobi	1.48	0.05	41	25	18	12	11	12	12	17	09	06	04	04	05	05
Taklimakan	1.54	0.07	0.00 18	0.00 12	0.00 09	0.00 06	0.00 05	0.00 05	0.00 05	0.00 08	0.00 05	0.00 04	0.00 02	0.00 02	0.00 02	0.00 02
Eastern Asia (mean and st. dev.)	1.51	0.05	0.00 30	0.00 19	0.00 14	0.00 09	0.00 08	0.00 08	0.00 09	0.00 16	0.00 09	0.00 06	0.00 05	0.00 05	0.00 05	0.00 05
Arizona	1.51	0.05	0.00 11	0.00 09	0.00 07	0.00 05	0.00 05	0.00 05	0.00 04	0.00 05	0.00 04	0.00 03	0.00 02	0.00 02	0.00 02	0.00
North America (mean and st. dev.)	1.51	0.05	0.00 11	0.00 09	0.00 07	0.00 05	0.00 05	0.00 05	0.00 04	0.00 05	0.00 04	0.00 03	0.00 02	0.00 02	0.00 02	0.00 02
Atacama	1.54	0.07	0.00 16	0.00 15	0.00 12	0.00 08	0.00 06	0.00 06	0.00 06	0.00 05	0.00 04	0.00 03	0.00 02	0.00 02	0.00 02	0.00
		0.07	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Patagonia South America (mean and st.	1.53 <b>1.54</b>	0.06	24 0.00 20	16 0.00 15	11 0.00 11	09 0.00 08	06 0.00 06	07 0.00 07	06 0.00 06	08 0.00 06	05 0.00 01	03 0.00 01	03 0.00 01	03 0.00 00	03 0.00 01	02 0.00
dev.) Namib–1	1.53	0.06	0.00	0.00	0.00	0.00 04	0.00	0.00 04	0.00 04	0.00	0.00 04	0.00 03	0.00	0.00 01	0.00	0.00
		0.07	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Namib-2 Southern Africa (mean and st.	1.55 <b>1.54</b>	0.06	72 0.00 42	54 0.00 31	44 0.00 25	25 0.00 14	18 0.00 11	14 0.00 09	14 0.00 09	27 0.00 42	19 0.00 32	16 0.00 27	09 0.00 15	07 0.00 10	06 0.00 07	06 0.00 07
dev.)		0.06	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.0
Australia Australia (mean	1.54		58 0.00	42 0.00	33 0.00	17 0.00	13 0.00	13 0.00	12 0.00	22 0.00	11 0.00	10 0.00	06 0.00	06 0.00	04 0.00	03
and st. dev.)	1.54	0.06	58	42	33	17	13	13	12	22	11	10	06	06	04	03
Full dataset (mean and st. dev.)	1.52	0.04	0.00 33	0.00 24	0.00 18	0.00 12	0.00 10	0.00 09	0.00 09	0.00 21	0.00 16	0.00 13	0.00 08	0.00 07	0.00 06	0.0 05
Full dataset me- dian	1.52		0.00 26	0.00 19	0.00	0.00	0.00	0.00 07	0.00							
Full dataset 10% percentile	1.49		0.00	0.00	0.00 07	0.00	0.00 04	0.00	0.00 04							
Full dataset 90% percentile	1.54		0.00 61	0.00 44	0.00 35	0.00 23	0.00 19	0.00 15	0.00 15							

Sample/Region				SSA							$\sigma_{SSA}$			
oumple/region	0.37	0.47	0.52	0.59	0.66	0.88	0.95	0.37	0.47	0.52	0.59	0.66	0.88	0.95
	μm	μm	μm	μm										
Tunisia	0.85	0.90	0.93	0.95	0.95	0.97	0.97	0.03	0.02	0.02	0.01	0.01	0.01	0.01
Morocco	0.92	0.95	0.96	0.98	0.98	0.98	0.99	0.01	0.01	0.01	0.00	0.00	0.00	0.00
Lybia	0.89	0.93	0.95	0.98	0.98	0.98	0.98	0.02	0.01	0.01	0.00	0.00	0.00	0.00
Algeria	0.87	0.92	0.94	0.97	0.97	0.98	0.98	0.02	0.01	0.01	0.00	0.00	0.00	0.00
Mauritania	0.85	0.90	0.94	0.96	0.97	0.98	0.98	0.02	0.01	0.01	0.01	0.01	0.00	0.00
Northern Africa – Sahara (mean and st. dev.)	0.88	0.92	0.94	0.97	0.97	0.98	0.98	0.03	0.02	0.02	0.01	0.01	0.01	0.01
Niger	0.72	0.85	0.89	0.91	0.92	0.94	0.95	0.09	0.09	0.07	0.05	0.05	0.03	0.02
Mali	0.75	0.85	0.89	0.93	0.95	0.96	0.96	0.04	0.03	0.02	0.02	0.02	0.01	0.01
Bodélé	0.96	0.98	0.98	0.99	0.99	0.99	0.99	0.04	0.02	0.02	0.01	0.01	0.01	0.01
Sahel (mean and st. dev.)	0.81	0.89	0.92	0.94	0.95	0.96	0.97	0.13	0.07	0.05	0.04	0.04	0.03	0.02
Ethiopia	0.80	0.86	0.90	0.92	0.94	0.97	0.97	0.03	0.03	0.02	0.02	0.01	0.01	0.01
Saudi Arabia	0.88	0.93	0.96	0.98	0.98	0.98	0.98	0.03	0.02	0.01	0.01	0.01	0.00	0.00
Kuwait	0.95	0.97	0.98	0.98	0.99	0.99	0.99	0.02	0.01	0.01	0.01	0.01	0.01	0.00
Eastern Africa and the Middle East (mean and st. dev.)	0.88	0.92	0.94	0.96	0.97	0.98	0.98	0.07	0.05	0.04	0.03	0.03	0.01	0.01
Gobi	0.88	0.92	0.94	0.96	0.97	0.97	0.97	0.04	0.03	0.02	0.01	0.01	0.01	0.01
Taklimakan	0.82	0.88	0.92	0.95	0.96	0.96	0.96	0.03	0.02	0.02	0.01	0.01	0.01	0.01
Eastern Asia (mean and st. dev.)	0.85	0.90	0.93	0.96	0.96	0.97	0.97	0.04	0.03	0.02	0.01	0.01	0.01	0.01
Arizona	0.93	0.96	0.97	0.98	0.98	0.99	0.99	0.01	0.01	0.01	0.00	0.00	0.00	0.00
North America (mean and st. dev.)	0.93	0.96	0.97	0.98	0.98	0.99	0.99	0.01	0.01	0.01	0.00	0.00	0.00	0.00
Atacama	0.89	0.93	0.94	0.97	0.97	0.98	0.98	0.03	0.02	0.02	0.01	0.01	0.01	0.01
Patagonia	0.88	0.91	0.94	0.96	0.97	0.98	0.98	0.02	0.02	0.01	0.01	0.01	0.00	0.01
South America (mean and st. dev.)	0.89	0.92	0.94	0.96	0.97	0.98	0.98	0.00	0.01	0.00	0.00	0.00	0.00	0.00
Namib-1	0.91	0.95	0.96	0.98	0.98	0.99	0.99	0.02	0.01	0.01	0.00	0.00	0.00	0.00
Namib–2	0.74	0.82	0.86	0.92	0.94	0.96	0.97	0.03	0.02	0.02	0.01	0.01	0.01	0.01
Southern Africa (mean and st. dev.)	0.83	0.88	0.91	0.95	0.96	0.98	0.98	0.12	0.09	0.07	0.04	0.03	0.02	0.02
Australia	0.70	0.81	0.85	0.91	0.93	0.96	0.97	0.04	0.03	0.02	0.01	0.01	0.01	0.01
Australia (mean and st. dev.)	0.70	0.81	0.85	0.91	0.93	0.96	0.97	0.04	0.03	0.02	0.01	0.01	0.01	0.01
Full dataset (mean and st. dev.)	0.85	0.91	0.93	0.96	0.96	0.97	0.98	0.08	0.05	0.04	0.03	0.02	0.01	0.01
Full dataset median Full dataset	0.88	0.92	0.94	0.96	0.97	0.98	0.98							
10% percentile Full dataset	0.74	0.84	0.88	0.92	0.94	0.96	0.96							
90% percentile	0.93	0.96	0.97	0.98	0.99	0.99	0.99							

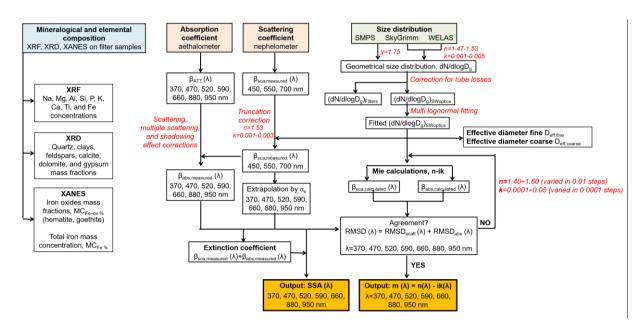
**Table 5.** As in Table 4 for the single scattering albedo (SSA) data.

- 1224 Table 6. Results of the linear fit between k and SSA and the mass concentration of iron oxides, MC<sub>Fe-</sub>
- 1225 ox%, hematite, MC<sub>Hem%</sub>, goethite, MC<sub>Goeth%</sub>, and elemental iron, MC<sub>Fe%</sub> in dust. Column 1 indicates the
- 1226 wavelength; (a  $\pm \sigma a$ ) indicates the retrieved slope and its estimated uncertainty; (b  $\pm \sigma b$ ) indicates the
- 1227 retrieved intercept and its estimated uncertainty; R<sup>2</sup> denotes the correlation coefficient and  $\chi^{2}_{red}$  is the
- 1228 reduced chi–square of the fit.

	k	= <i>a</i> MC <sub>Fe-ox %</sub> + b		SSA					
Wavelength (nm)	a±σa	b±σb	$R^2$ ; $\chi^{2}_{red}$	a±σa	b±σb	$R^2$ ; $\chi^{2}_{red}$			
370	(11.9 ± 2.4) 10 <sup>-</sup>			(-5.8 ± 0.8) 10 <sup>-2</sup>	$(1.00 \pm 0.02)$				
	4	(2.4 ± 4.6) 10 <sup>-4</sup>	0.88 ; 0.6			0.83 ; 1.7			
470	(9.0 ± 1.7) 10 <sup>-4</sup>	(1.7 ± 3.2) 10 <sup>-4</sup>	0.89 ; 0.8	(-3.8 ± 0.6) 10 <sup>-2</sup>	$(1.00 \pm 0.01)$	0.78 ; 1.8			
520	(6.8 ± 1.3) 10 <sup>-4</sup>	(1.3 ± 2.4) 10 <sup>-4</sup>	0.90 ; 0.9	(-2.9 ± 0.4) 10 <sup>-2</sup>	(1.01 ± 0.01)	0.76 ; 2.0			
590	(4.5 ± 0.9) 10 <sup>-4</sup>	(0.9 ± 1.6) 10 <sup>-4</sup>	0.85 ; 1.4	(-1.8 ± 0.3) 10 <sup>-2</sup>	$(1.00 \pm 0.01)$	0.75 ; 2.3			
660	(4.3 ± 0.8) 10 <sup>-4</sup>	(0.8 ± 1.4) 10 <sup>-4</sup>	0.81 ; 1.6	(-1.3 ± 0.2) 10 <sup>-2</sup>	$(1.00 \pm 0.00)$	0.75 ; 2.2			
880	(3.4 ± 0.6) 10 <sup>-4</sup>	(0.6 ± 1.2) 10 <sup>-4</sup>		$(-0.76 \pm 0.16)$	$(1.00 \pm 0.00)$				
			0.79 ; 1.0	10-2		0.79 ; 1.4			
950	(3.2 ± 0.6) 10 <sup>-4</sup>	(0.6 ± 1.0) 10 <sup>-4</sup>		$(-0.62 \pm 0.13)$	$(0.99 \pm 0.00)$				
			0.77 ; 1.1	10 <sup>-2</sup>		0.78 ; 1.1			
		x = <i>a</i> MC <sub>Hem %</sub> + b		SSA	= <i>a</i> MC <sub>Hem %</sub> + b				
Wavelength (nm)	a±σa	b±σb	R <sup>2</sup> ;χ <sup>2</sup> <sub>red</sub>	a±σa	b±σb	R <sup>2</sup> ;χ <sup>2</sup> <sub>red</sub>			
370	(9.7 ± 2.7) 10 <sup>-4</sup>	(2.7 ± 4.0) 10 <sup>-4</sup>	0.67 ; 1.9	$(-4.4 \pm 0.6) 10^{-2}$	(0.95 ± 0.01)	0.73 ; 3.5			
470	(8.3 ± 1.9) 10 <sup>-4</sup>	(1.9 ± 2.7) 10 <sup>-4</sup>	0.72 ; 1.9	$(-3.0 \pm 0.4) \ 10^{-2}$	(0.97 ± 0.01)	0.76 ; 3.2			
520	(6.9 ± 1.5) 10 <sup>-4</sup>	(1.5 ± 2.0) 10 <sup>-4</sup>	0.74 ; 2.0	$(-2.2 \pm 0.3) 10^{-2}$	$(0.98 \pm 0.00)$	0.78 ; 3.3			
590	$(3.7 \pm 0.8) \ 10^{-4}$	(0.9 ± 1.2) 10 <sup>-4</sup>	0.61 ; 2.1	(-1.3 ± 0.2) 10 <sup>-2</sup>	$(0.99 \pm 0.00)$	0.71 ; 2.7			
660	$(3.7 \pm 0.8) \ 10^{-4}$	$(0.8 \pm 1.1) \ 10^{-4}$	0.51 ; 2.6	$(-0.9 \pm 0.2) 10^{-2}$	$(0.99 \pm 0.00)$	0.62 ; 2.5			
880	$(2.9 \pm 0.7) \ 10^{-4}$	(0.7 ± 1.1) 10 <sup>-4</sup>	0.43 ; 2.1	$(-0.6 \pm 0.1) 10^{-2}$	$(0.99 \pm 0.00)$	0.57 ; 1.8			
950	$(2.6 \pm 0.6) \ 10^{-4}$	$(0.6 \pm 0.9) \ 10^{-4}$	0.46 ; 2.1	$(-0.5 \pm 0.1) 10^{-2}$	$(0.99 \pm 0.00)$	0.49 ; 1.7			
	k	= a MC <sub>Goeth %</sub> + b		SSA	SSA = <i>a</i> MC <sub>Goeth %</sub> + b				
Wavelength (nm)	a±σa	b±σb	R <sup>2</sup> ; χ <sup>2</sup> <sub>red</sub>	a±σa	b±σb	$R^2$ ; $\chi^2_{red}$			
370	(9.0 ± 2.5) 10 <sup>-4</sup>	(2.5 ± 2.2) 10 <sup>-4</sup>	0.47 ; 1.8	(−13.4 ± 6.9) 10 <sup>-</sup>	(0.90 ± 0.01)	0.32 ; 6.8			
470	(5.5 ± 1.7) 10 <sup>-4</sup>	(1.7 ± 1.5) 10 <sup>-4</sup>	0.43 ; 2.3	(-8.3 ± 4.7) 10 <sup>-3</sup>	$(0.94 \pm 0.00)$	0.21;6.2			
520	$(3.4 \pm 1.1) \ 10^{-4}$	(1.1 ± 1.2) 10 <sup>-4</sup>	0.41;2.5	(-4.9 ± 3.2) 10 <sup>-3</sup>	$(0.96 \pm 0.00)$	0.17;6.4			
590	$(0.5 \pm 0.6) \ 10^{-4}$	$(0.6 \pm 0.8) \ 10^{-4}$	0.50; 3.2	$(0.9 \pm 2.0) \ 10^{-3}$	$(0.97 \pm 0.00)$	0.23;5.5			
660	$(2.2 \pm 0.8) \ 10^{-4}$	$(0.8 \pm 0.7) \ 10^{-4}$	0.55;3.6	$(0.2 \pm 1.6) \ 10^{-3}$	$(0.98 \pm 0.00)$	0.34;4.4			
880	$(2.6 \pm 0.8) \ 10^{-4}$	$(0.8 \pm 0.6) \ 10^{-4}$	0.62 ; 2.4	(-1.1 ± 1.4) 10 <sup>-3</sup>	$(0.98 \pm 0.00)$	0.47 ; 3.0			
950	$(2.6 \pm 0.8) \ 10^{-4}$	$(0.8 \pm 0.6) \ 10^{-4}$	0.55 ; 2.5	(-2.1 ± 1.4) 10 <sup>-3</sup>	$(0.98 \pm 0.00)$	0.54 ; 2.6			
		k = <i>a</i> MC <sub>Fe %</sub> + b		SSA	A = <i>a</i> MC <sub>Fe %</sub> + b				
Wavelength (nm)	a±σa	b±σb	R <sup>2</sup> ; χ <sup>2</sup> <sub>red</sub>	a±σa	b±σb	R <sup>2</sup> ;χ <sup>2</sup> <sub>red</sub>			
370	(6.0 ± 1.4) 10 <sup>-4</sup>	$(1.4 \pm 0.7) \ 10^{-4}$	0.60 ; 1.5	$(-2.7 \pm 0.4) \ 10^{-2}$	(1.02 ± 0.02)	0.67 ; 3.1			
470	$(4.7 \pm 1.0) \ 10^{-4}$	(1.0 ± 0.5) 10 <sup>-4</sup>	0.62 ; 1.7	$(-1.8 \pm 0.3) 10^{-2}$	$(1.02 \pm 0.01)$	0.72 ; 2.8			
520	$(3.9 \pm 0.8) \ 10^{-4}$	(0.8 ± 3.9) 10 <sup>-4</sup>	0.65 ; 1.6	$(-1.3 \pm 0.2) 10^{-2}$	(1.01 ± 0.01)	0.72 ; 2.9			
590	$(2.5 \pm 0.5) \ 10^{-4}$	(0.5 ± 2.4) 10 <sup>-4</sup>	0.56 ; 1.7	$(-0.8 \pm 0.1) 10^{-2}$	(1.01 ± 0.01)	0.70 ; 2.4			
660	$(2.0 \pm 0.4) \ 10^{-4}$	(0.4 ± 1.7) 10 <sup>-4</sup>	0.48;1.9	$(-0.5 \pm 0.1) 10^{-2}$	$(1.00 \pm 0.00)$	0.62 ; 2.0			
880	$(1.8 \pm 0.4) \ 10^{-4}$	$(0.4 \pm 2.0) \ 10^{-4}$	0.40;1.8	$(-0.4 \pm 0.1) 10^{-2}$	$(1.00 \pm 0.00)$	0.54 ; 1.6			
	$(1.4 \pm 0.3) 10^{-4}$	$(0.3 \pm 1.4) \ 10^{-4}$	0.45 ; 2.0	$(-0.3 \pm 0.1) 10^{-2}$	$(1.00 \pm 0.00)$	0.49 ; 1.5			

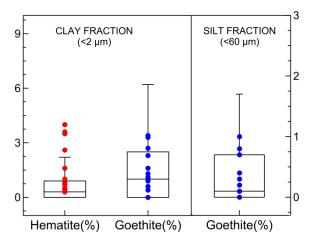
**Figure 1.** Flowchart illustrating the procedure for data treatment and retrieval of physical and chemical (size, composition) and spectral optical properties (single scattering albedo, SSA, and complex refractive index) of mineral dust aerosols. In red we mention the different corrections performed and the values adopted in the calculations.

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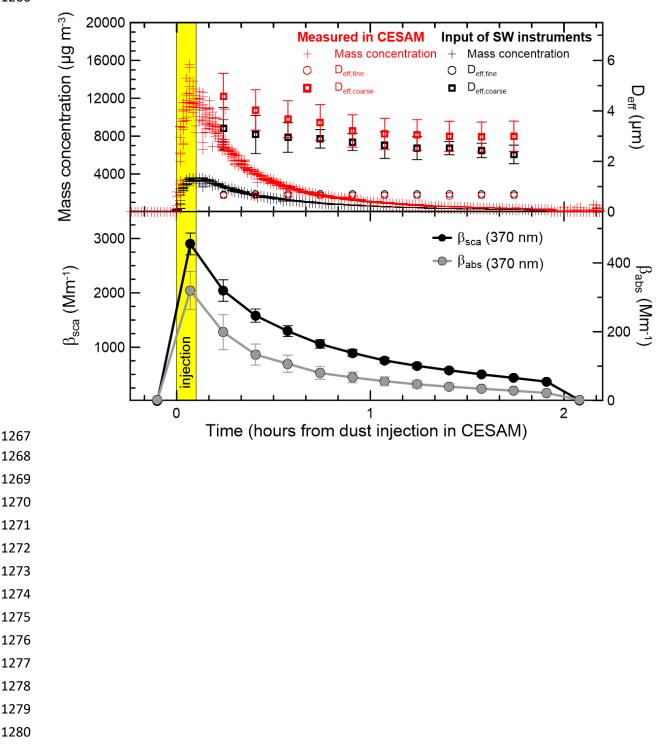
1246 Figure 2. Box and whisker plot showing the full variability of hematite and goethite mass fractions in 1247 the soils for the clay-sized (<2 µm diameter) and silt-sized (<60 µm diameter) fractions as retrieved from the global soil mineralogical database by Journet et al. (2014). The box and whisker plot include 1248 1249 data for the nine desert source areas depicted in Ginoux et al. (2012) and DB17 (Northern Africa, Sahel, 1250 Eastern Africa and the Middle East, Central Asia, Eastern Asia, North America, South America, South-1251 ern Africa, and Australia). Dots indicate hematite and goethite content in clay-sized and silt-sized soils 1252 (always from Journet et al.) extracted in correspondence to the geographical coordinates where the 1253 nineteen soils used in the CESAM experiments were collected. The Journet et al. database assumes 1254 that the iron oxides in the silt fraction consist only of goethite. 1255



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**Figure 3.** Top panel: time series of the aerosol mass concentration (cross symbols) and effective fine ( $D_{eff,fine}$ , open dots) and coarse diameter ( $D_{eff,coarse}$ , open squares) measured inside the CESAM chamber (red symbols) and at the input of the SW instruments (black symbols) for one experiment (Morocco dust). Bottom panel: time series of the scattering  $\beta_{sca}$  and absorption  $\beta_{abs}$  coefficients at 370 nm for the same experiment. Mass concentrations are reported as 6–sec data, while all other quantities are 10– min averages.



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1283 Figure 4. Comparison of dust size distributions sensed by the SW optical instruments (behind the SW 1284 instruments inlet (dV/dlogD<sub>a</sub>)<sub>SWoptics</sub>), with field data for long-range transported dust. The thick black line 1285 represents the mean value of (dV/dlogDg)swoptics at the peak of the dust injection in CESAM for experi-1286 ments with the different samples. The grey shaded area indicates the range of (dV/dlogDg)swoptics for all 1287 samples. The dotted black line shows the average of the dust size distribution at the peak of the injection 1288 inside the CESAM chamber from DB17. Field data are from: Formenti et al. (2001) (CLAIRE campaign 1289 in Suriname, South America), Maring et al. (2003) and Denjean et al. (2016b) (PRIDE and DUST-1290 ATTACK campaigns in Puerto Rico, Caraibes), Müller et al. (2011), Chen et al. (2011) and Ryder et al. 1291 (2018) (SAMUM2, NAMMA, and AER-D campaigns in Cape Verde, eastern Atlantic), and Weinzierl et 1292 al. (2017) (SALTRACE campaign, data from Barbados). For comparison, data taken close to the source 1293 in Niger from Formenti et al. (2011) during the AMMA campaign are also shown. SAL stands for Sa-1294 haran Air Layer. All data are reported as volume size distributions normalised at the maximum.

1295 (The different acronyms spell out as: AER-D= AERosol Properties - Dust; AMMA = African Monsoon

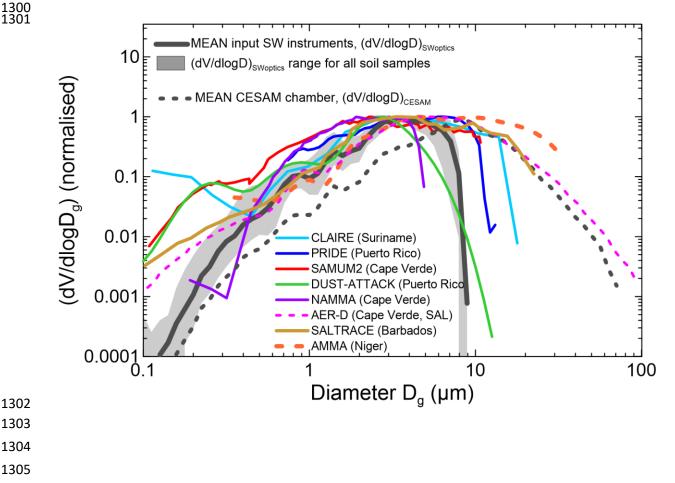
1296 Multidisciplinary Analysis; CLARE= Cooperative LBA Airborne Regional Experiment; DUST-ATTACK+

1297 Dust Aging and Transport from Africa to the Caribbean; NAMMA = NASA African Monsoon Multidisci-

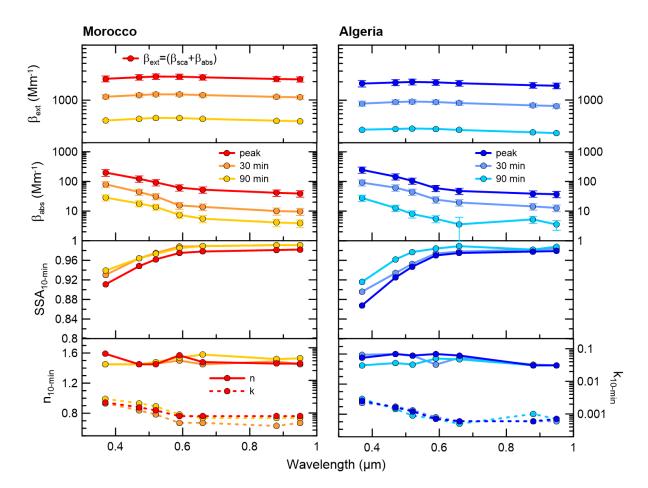
1298 plinary Analysis; PRIDE = Puerto Rico Dust Experiment; SALTRACE= Saharan Aerosol Long-range

1299 Transport and Aerosol–Cloud–Interaction Experiment; SAMUM = Saharan Mineral Dust Experiment).

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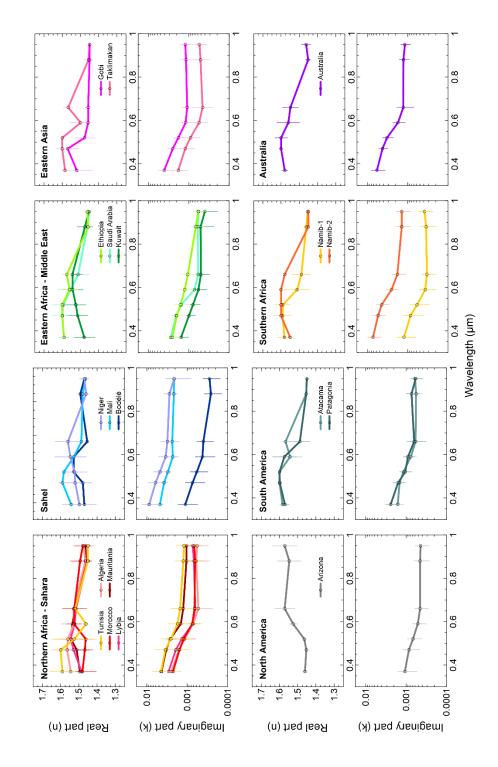


**Figure 5.** Spectral extinction coefficient, absorption coefficient, SSA, and real (n) and imaginary (k) parts of the refractive index at the peak of the dust injection in the chamber and after 30 and 90 minutes for Morocco and Algeria dust samples. Data are reported at the seven aethalometer wavelengths (370, 470, 520, 590, 660, 880, and 950 nm) as 10–min averages. In the top panel we report the extinction calculated as the sum of scattering and absorption coefficients. For the sake of clarity error bars are not shown for SSA, n, and k data.

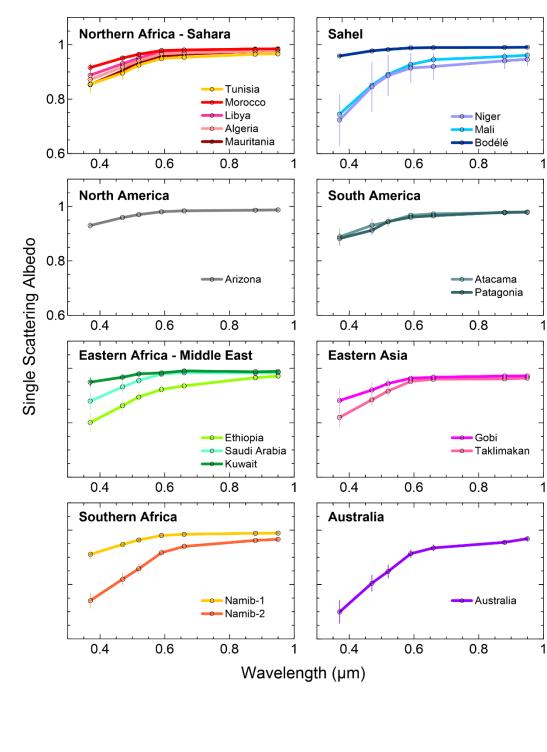


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Figure 6. Real (n) and imaginary (k) parts of the dust complex refractive index at seven wavelengths
between 370 and 950 nm obtained for the 19 aerosol samples analyzed in this study. Data correspond
to the time average of the 10 min values obtained between the peak of the injection and 120 min later.
The error bar corresponds to the absolute uncertainty in n and k, estimated to be <8% for n and between</li>
13 and 75 % for k.

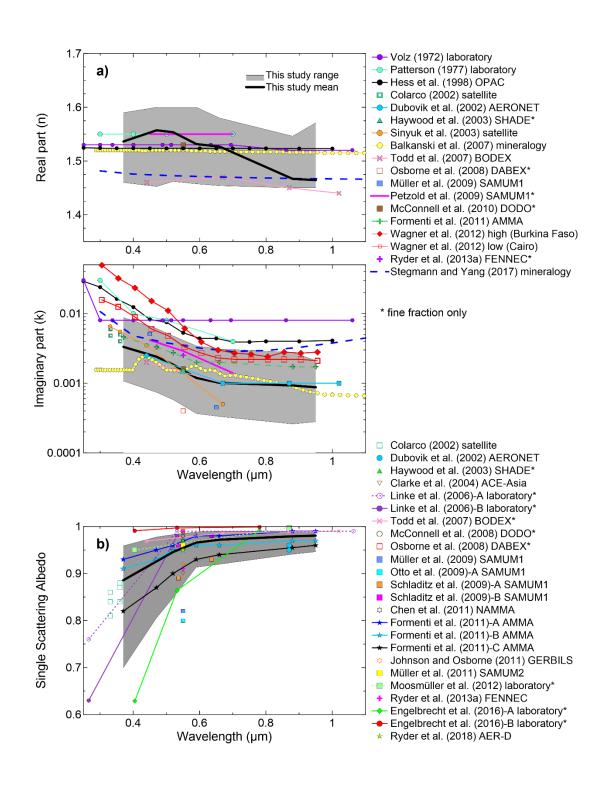


**Figure 7.** Single scattering albedo (SSA) at seven wavelengths between 370 and 950 nm obtained for the 19 aerosol samples analyzed in this study. Data correspond for each sample (with the exception of Tunisia and Namib–2, see Sect. 3.1) to the fit of the 10 min values of  $\beta_{sca}$  versus  $\beta_{abs}$ , and the uncertainty is between 1% and 12% at 370 nm and between 1% and 3% at 950 nm.

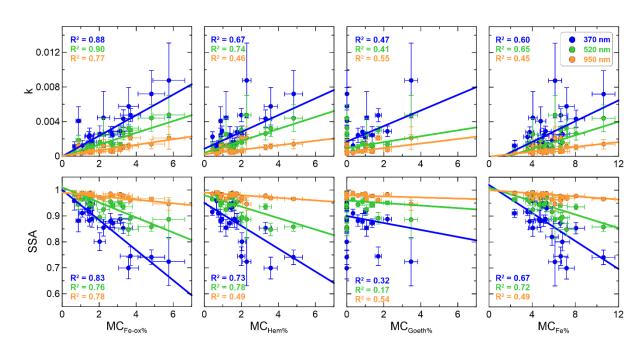


- 1346 Figure 8. Comparison of results obtained in this study with literature-compiled values of the (a) dust real and imaginary parts of the refractive index (n, k) and (b) single scattering albedo (SSA) in the SW 1347 1348 spectral range. The regions in grey indicate the full range of variability obtained in this study, and the 1349 black thick lines are the means of n, k and SSA obtained for the different aerosol samples. Literature 1350 values include estimates from ground-based and aircraft observations during field campaigns, labora-1351 tory studies, AERONET inversions, and estimates from dust mineralogical composition. Data are in 1352 some cases for the full dust size distribution, while in other only the fine fraction below about 2 µm is 1353 represented (identified with \*).
- 1354 The main provenance of the dust and datasets from the literature is provided in the following: Volz et 1355 al. (1972) is data for rainout dust collected in Germany; Patterson et al. (1977) is Saharan dust; Hess 1356 et al. (1998) is data from the OPAC database; Colarco et al. (2002) is data for dust from Dakar, Sal, 1357 and Tenerife; Dubovik et al. (2002) included data from Bahrain-Persian Gulf and Solar Village-Saudi 1358 Arabia AERONET stations; Haywood et al. (2003) is dust from Mauritania; Sinyuk et al. (2003) is data 1359 from Cape Verde, Dakar, and Burkina Faso; Clarke et al. (2004) is Asian dust offshore of China, Japan, and Korea; Linke et al. (2006)-A is dust from Cairo; Linke et al. (2006)-B is dust from Morocco; Bal-1360 1361 kanski et al (2007) is calculated from mineralogical composition assuming a 1.5% hematite mass frac-1362 tion in dust; Todd et al. (2007) is from Bodélé; Osborne et al. (2008) is from Niger; Otto et al. (2009), 1363 Petzold et al. (2009), Schladitz et al. (2009), and Muller et al. (2010, 2011) is dust originated mostly in Morocco; McConnell et al. (2008, 2010) is dust from Niger/Senegal; Chen et al. (2011) is dust from 1364 1365 Western Sahara; Formenti et al. (2011) in the k plot is an average of airborne observations for the 1366 AMMA campaign in Niger, while for the SSA plot, Formenti et al. (2011)-A is from observations in the 1367 Saharan Air Layer, -B is from Bodélé/Sudan, and -C is a Sahelian uplift episode: Johnson et al. (2011) 1368 is dust from Western Sahara; Moosmüller et al. (2012) analysed samples from Middle East, Mali and 1369 Spain, and here we report the average of their obtained values; Wagner et al. (2012) obtained k values 1370 for several samples from Burkina Faso, Cairo and the SAMUM campaign and here we report the values for the maximum of their spectral k (Burkina Faso) and the minimum (Cairo); Ryder et al. (2013) is dust 1371 1372 from Western Sahara and Mauritania and we report in both k and SSA plots the average of their obser-1373 vations; Engelbrecht et al. (2016) analysed many dust samples from all over the world, here we report 1374 their estimated minimum and maximum of the dust SSA that are -A from California and -B from the 1375 Etosha Pan in Namibia; Stegmann and Yang (2017) modelled the refractive index of dust based on 1376 assumed mineralogical compositions typical for Northern and Southern Sahara and Western and East-1377 ern Asia dust, and here we report the average of their results for both n and k. Uncertainties in the field 1378 observations have been omitted for the sake of clarity. The legend identifies the line styles used in the 1379 plots.
- (The different acronyms spell out as (see also the caption of Fig. 4): AERONET = Aerosol Robotic
  Network; OPAC = Optical Properties of Aerosols and Clouds; SHADE = Saharan Dust Experiment;
  BODEX = The Bodélé Dust Experiment; DABEX = Dust and Biomass Experiment; SAMUM1 and
  SAMUM2 refers to the two SAMUM campaigns in Morocco and Cape Verde, respectively, SAMUM =
  Saharan Mineral Dust Experiment; DODO = Dust Outflow and Deposition to the Ocean; ACE-Asia =

- 1385 Asian Pacific Regional Aerosol Characterization Experiment; GERBILS = Geostationary Earth Radia-
- 1386 tion Budget Intercomparison of Longwave and Shortwave radiation).



- **Figure 9.** Experiment–averaged imaginary part of the refractive index (k, top panels) and single scattering albedo (SSA, bottom panels) at 370, 520, and 950 nm versus the mass concentration of iron oxides ( $MC_{Fe-ox\%}$ ), hematite ( $MC_{Hem\%}$ ), goethite ( $MC_{Goeth\%}$ ), and elemental iron ( $MC_{Fe\%}$ ) measured for the different dust samples analysed in this study. The calculated linear fit regression lines are shown, together with the correlation coefficients of the fits ( $R^2$ ). The legend indicates the line styles used in the plots. Data for the Taklimakan sample were excluded from the k and SSA plots versus  $MC_{Fe-ox\%}$ ,  $MC_{Hem\%}$ , and  $MC_{Goeth\%}$  due to the absence of data for this sample.





1425Figure 10. 10-min averaged imaginary refractive index ( $k_{10-min}$ , top panels) and single scattering albedo1426(SSA10-min, bottom panels) at 370, 520, and 950 nm versus effective coarse diameter ( $D_{eff,coarse}$ ) esti-1427mated at the input of the SW instruments. Data were classified in three classes based on the iron oxide1428content of the dust samples. The linear fit curves and the correlation coefficients for the linear regression1429fits for each dataset are also reported. The legend identifies the line styles used in the plots.

