

Revision of the paper

Complex refractive indices and single scattering albedo of global dust aerosols in the shortwave spectrum and relationship to iron content and size

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The authors wish to thank the reviewers for their valuable comments which helped to improve the quality and readability of the manuscript. Answers to the reviewer's comments are reported in the following (questions in black, answers in red).

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We would like to draw attention on the fact that in Fig. 7 there was an error in the original dataset published by Stegmann and Yang (2017), which we corrected in this revised version (P. Stegmann, personal communication). Due to this change, part of the discussion in the text (Sect. 4.3) was modified since the new data from Stegmann and Yang (2017) now fit within the range of our dataset.

Also, based on the reviewer's comments, we revised all the different figures in the paper in order to improve their readability and also, where necessary, we modified the Figure's and Table's captions to include missing relevant information. We split Figure 6 in two, so we added a figure to the manuscript.

We additionally slightly revised the text in some sections to better improve its readability. In particular, we splitted the Summary and Concluding remarks in two separate sections.

We hope our changes will meet the reviewers' requirements and improve the quality of the paper.

All references cited in this document can be found in the main article.

Claire Ryder (Referee #1)

Overall comment

This paper provides new data on the spectral shortwave refractive index of mineral dust. Different global dust samples are suspended inside a chamber, and subsequently scattering, absorption, size distribution and composition are measured, and the former are used to calculate the refractive index. A relationship between iron oxide content and refractive index is also found. The authors find that the imaginary (absorption) component is relatively low compared to the range of older datasets. There is a serious lack of high quality dust refractive index data available in the literature, so this research is valuable and welcomed. The results will be important in providing refractive index data for any sort of atmospheric model representing dust (NWP, GCMs), as well as satellite retrieval algorithms, and I would expect the data from this paper to be widely used. The paper is of a high standard, clearly setting out methodology, propagation of uncertainties, and results. Several of the figures are very small and need to be made larger, but other than this I suggest only minor clarifications and suggestions.

General Comments

Do similar chamber studies exist, such that estimates/measurements of refractive index have been attempted in a chamber? (perhaps not, other than the preceding studies already mentioned done by the same group). In the case that they do, the authors should mention them in the introduction, if relevant. If not, I suggest the authors point this out, as it increases the novelty and originality of the work done for this paper.

As far as we know there exists only one other study that retrieved the spectral refractive index (only imaginary part in that case) for dust aerosols dispersed in a large chamber. This is the study by Wagner et al. (2012). We added the text below but not in the introduction as suggested by the reviewer but in the summary, to point out on the novelty of our work:

“Some other laboratory studies were performed in the past to investigate the shortwave SSA of dust from different sources worldwide and its dependence on composition (Linke et al., 2006; Moosmüller et al., 2012; Engelbrecht et al., 2016). Conversely, for the refractive index it exists to our knowledge only one other chamber study, the one by Wagner et al. (2012), that retrieved the imaginary part k between 305 and 955 nm for dust aerosols from a limited number of source areas in Africa (Burkina Faso, Egypt and Morocco). As a matter of fact, our work provides the first consistent simulation chamber study of the complex refractive index of global dust.”

In the abstract and conclusion, please add information on optical properties/refractive index (RI) at either 520 or 590nm. 550nm is the wavelength most frequently required for these properties, and also represents the peak solar intensity, so this wavelength (or one close to it) would be most useful to state properties at, in addition to 370 and 950nm already provided.

As suggested by the reviewer we added information on optical properties at 520 nm both in the abstract and in the conclusions.

Specific Comments

Abstract – I suggest adding a sentence reflecting how the new RI results compare to older datasets – i.e. the real part is similar but the imaginary part falls at the low end of the published range. This is an important finding.

As suggested by the reviewer we added this comment to the abstract.

P2 l46 – ‘an intrinsic property of matter’ – although this is true, I suggest rewording, such as, ‘ k was found to be independent of size’ since several other studies have found k to be size-dependent.

We corrected the text as suggested.

L85 – insert ‘geographic’ before ‘differences persist : :’

We corrected the text as suggested.

L106–108 – It would be worth saying why models still assume the same dust composition globally – e.g. due to computational cost of additional tracers? And/or lack of a globally consistent information dataset?

Following the reviewer suggestion we modified the text as below:

“In spite of this sensitivity, present climate models adopt a globally-constant spectral complex refractive index (and SSA) for dust, and hence still implicitly assume the same dust mineralogical composition at the global scale. This is mainly due to the lack of a globally consistent dataset providing information of the geographical variability of the dust scattering and absorption properties (e.g., Sunset et al., 2018).”

L207 – ‘Ogreen’ typo

We corrected the typo as suggested.

L257 – RI range for n and k – which values were used? Different values for different experiments? Where/how is this range applied?

As explained in Di Biagio et al. (2017) the optical to geometrical diameter conversion was performed as following: optical calculations were computed over the spectral range of the WELAS and at the GRIMM operating wavelength using Mie theory for spherical particles by fixing n at 1.47, 1.50, and 1.53, and by varying k in steps of 0.001 between 0.001 and 0.005. D_g was then set at the mean ± 1 standard deviation

of the values obtained for the different n and k . This calculation procedure is now better described in Table 1 where all the details of instrument artefact corrections are provided.

L268 – ‘cut at 10 microns’ – this contradicts p5 l178 which says 5 microns/8 microns (50/100% efficiency).

There is no contradiction since the 10 μm and the 8 μm represent respectively the 100% cutoff for the SW optical instruments and the OPCs sampling lines due to their geometry. As explained in the text, the particle losses along sampling lines for OPCs were corrected to retrieve the “real” size distribution of the dust aerosols in CESAM, $dN/d\log D_{\text{CESAM}}$. Successively the losses along sampling lines for SW instruments were accounted for to derive from $dN/d\log D_{\text{CESAM}}$ the size distribution sensed by SW optical instruments that is denoted in the text as $dN/d\log D_{\text{SWoptics}}$.

L278–279– It would be useful to mention the cut–off diameters again here as deff,coarse does not represent the full size range.

We clarified this point in the text as suggested by the reviewer.

L280 – it would be useful to say why modes were fitted to the size distribution, for non–experts.

We modified the sentence as below:

“The dust size distribution, $(dN/d\log D)_{\text{SWoptics}}$, measured at each 10–min time step for each sample was fitted with a sum of five lognormal functions to smooth data inhomogeneities linked to the different instrument’s operating principles and artefacts”.

L283 – how were modes fitted? (I think this is provided later in the paper but it should be mentioned here).

We added this sentence in the text to specify how the fitting was performed:

“Fitting was performed using the Levenberg–Marquardt algorithm”.

L301 – what about other uncertainties to the size distribution, such as shape assumptions and/or Mie–regime singularities?

Concerning the uncertainties in the spherical assumption, we extended the discussion in Sect. 3.3 and we remind to it at the end of Sect. 2.2. Concerning the uncertainties in Mie regime singularities, basically they come from the fact that if optical calculations are performed at too low size resolution then it is not possible to resolve Mie singularities. We tried to test the impact of that on our results by performing Mie calculations at higher diameter resolution ($d\log D=0.01 \mu\text{m}$) than used in original calculations ($d\log D=0.06 \mu\text{m}$). We found that increasing the resolution of the calculation modifies less than 1% on average our results for the optical to geometrical diameter correction. So in our case the impact of Mie singularities is almost negligible. We discussed these two points in the main text.

“Other sources of uncertainties are linked to the spherical assumption to perform the optical to geometrical diameter conversion (discussed in Sect. 3.3) as well as those due to Mie resonance oscillations of the calculated scattering intensities. Concerning Mie resonances, a sensitivity study was performed varying the size resolution of our calculations (high/low diameter resolution in the calculations to have a better/worse reproduction of Mie resonance oscillations) and show that Mie resonances impact the optical to geometrical correction by less than 1%.”

L342–3 – and also when comparing the RI data?

The refractive index dataset is shown not to be sensitive to changes in the size distribution, based on the results of our analysis. Therefore differences in temporal sampling for the different samples should

not affect the comparison of data for the refractive index. Nonetheless, and in order to keep generality, we rewrote the final sentence of the section as:

“This difference in time sampling should be kept in mind when comparing data for these two samples to the rest of the dataset.”

L402 – ‘contrasting’ ! ‘contradictory’?

We corrected the text as suggested.

L447 – Muller et al (2011) report observations at Capo Verde, not transported across the Atlantic.

The reviewer is right, so we reformulated the sentence as below

“Conversely, the values of $D_{\text{eff,coarse}}$ behind the SW instruments inlets are mostly in agreement with those reported for dust transported at Capo Verde and across the Atlantic ocean ($\sim 3 \mu\text{m}$, Maring et al., 2003; Müller et al., 2011; Denjean et al., 2016b).”

L460–464 – And also the fact that the size distribution above the 50% transmission efficiency (5 microns?) is not well represented, should be mentioned.

This point is now specified in the paper. The new text is:

“The overall shape of the dust size distribution sensed by the SW instruments is comparable to that measured during atmospheric long-range transport, even if the fraction of particles above $3.9 \mu\text{m}$ diameter, which is at the 50% cutoff of the transmission efficiency for the SW optical instruments, is significantly under-represented compared to observations. (i.e., Betzer et al., 1988; Formenti et al., 2001; Maring et al., 2003; Ryder et al., 2013b; Jeong et al., 2014; Denjean et al., 2016b). »

Figure 4 and discussion in lines 451–464 – the authors should consider that some of the observational data they show from other campaigns was also restricted by maximum size measured or by inlet transmission efficiencies (e.g. NAMMA, PRIDE). Information on some of these restrictions are provided in Ryder et al. (2018), table 1. As such, some of these datasets likely underestimate the coarse mode size distribution. Transported dust size distributions are also available for the AER–D campaign in the same paper which would add to the data already shown in Figure 5 and did not suffer from inlet restrictions.

We added data from AER–D (Ryder et al., 2018) and from SALTRACE (Weinzierl et al., 2017) in Figure 4. We also added a brief discussion on the inlet restriction for field data at the end of Sect. 4.1.1:

“It should be kept in mind that often also field data are affected by inlet restrictions so that they cannot measure the whole coarse dust fraction (see Table 1 in Ryder et al., 2018). The lowest cutoff for field data shown in Fig. 4 are for the NAMMA and PRIDE datasets and correspond to upper size limits at 5 and $10 \mu\text{m}$ in diameter, respectively. Being these values above our cutoff of $3.9 \mu\text{m}$, it means that the comparison with our size dataset is meaningful within the range of our measurements. To note that only the data from AER–D did not suffer from significant inlet restrictions thus leading to the observation of giant dust particles up to tens of microns in the Saharan Air Layer off the coasts of Western Africa.”

L466 – it would be useful to add a line on the importance of iron oxides vs elemental iron for the benefit of non-specialists.

We included this sentence in the main text:

“Elemental iron include the iron in the form of iron oxides and hydroxides, hematite and goethite (the so-called free iron, mostly controlling SW absorption) and the iron incorporated in the crystal structure of silicates and aluminosilicates (illite, smectite), conversely not considerably contributing to SW absorption (Karickhoff and Bailey, 1973; Lafon et al., 2004).”

L469 – ‘Australia’ – should this be Namib–2? (values are not consistent with those in the table).

The reviewer is right and we corrected the text accordingly.

L469 – ‘Iron oxides account for 11 and 62% of the iron mass’ – where do these values come from?
They are not shown in table 3?

The fraction of the iron mass that is in the form of iron oxides was determined by XANES (X–ray absorption near–edge structure) measurements as described in more detail in Caponi et al. (2017). Briefly, the XANES spectra of the dust sample was deconvoluted using the spectra of five standards of Fe(III)–bearing minerals previously measured with the same technique. The linear deconvolution provided the proportionality factors representing the mass fraction of elemental iron to be assigned to the i_{th} standard mineral. In particular, the values of the proportionality factors for hematite and goethite represent the mass fractions of elemental iron that can be attributed to these two species. The mass of the Fe oxides is the sum of the mass fractions of hematite and goethite, assumed to be the mass fraction of elemental iron that can be attributed to iron oxides. We specified in the text that this values was calculated following Caponi et al. (2017) so that the reader can refer to this paper for further details.

Section 4.1.2 – did iron content vary with particle size? Was that measured?

No, we did not measure the iron oxide content as a function of the particle size during experiments but only for the fraction corresponding to PM10.6 aerodynamic diameter as discussed in Caponi et al. (2017) and now specified in the main text.

L487 – angstrom exponents across which wavelengths?

The Ångstrom Exponents were calculated over the entire 370–950 nm spectral ranges and this is now specified in the main text.

L549 – Steigmann – typo either here or in references

We corrected the typo here and also somewhere else in the text and figures.

L527 – this is a very long paragraph and would benefit from being broken up a bit.

We modified the discussion in Sect. 4.3 and broke up the paragraph as suggested by the reviewer.

L557–560 – if this is the case regarding the Wagner dataset, wouldn’t that make their dataset more reliable?

We extended the discussion regarding the differences with the Wagner et al. dataset in Sect. 4.3. First in Figure 8 we now provide both the max (Burkina Faso) and the min (Cairo) of the k spectra reported by Wagner et al. (2012). This permits to show that the main outlier is the Burkina Faso sample but that also the min k by Wagner et al. (Cairo sample) is at the upper edge of our data. We now fully discuss the differences between our laboratory experiments and the one by Wagner so to underline that apart from the shape assumption, there are other factors possibly leading to the observed differences (generation technique, size distribution, assumptions on n in the retrieval). Even if it is difficult to assess which is the dominant source of discrepancy, now the paper provides the reader the different elements to better evaluate the two different approaches.

The new text is reported in the following:

“For the case of Wagner et al. (2012) the imaginary refractive index was retrieved from laboratory chamber experiments on suspended dust, as in our study. Nonetheless, their approach differs in various aspects from the one applied here and this can lead to the observed differences in the retrieved k . First, the aerosol generation technique is different between the two works and this possibly leads to particles with different physico–chemical features compared to our study. In Wagner et al. (2012) the dust aerosol

was generated by a rotating brush disperser using only the 20–75 μm sieved fraction of the soils. This system acts to disaggregate the finest particles of the soil by passing it through a nozzle. Then the largest aerosol grains were removed by a cyclone system (50% cutoff at 1.2 μm aerodynamic diameter), so that only the submicron size fraction was measured. We show in Sect. 4.5 that k is independent of size for the range of investigated effective coarse diameters between 2 and 4 μm , but the range of sizes analysed in Wagner et al. (2012) is significantly lower than in our study and a size–effect cannot be excluded. In fact, the relationship between dust absorption and iron content may vary depending on the considered size fraction (see C17) due to the fact that iron bearing minerals are more concentrated in the clay fraction ($<2.0 \mu\text{m}$) of the dust (Kandler et al., 2009). Moreover, generating dust in a different way may lead to differences in the chemical and mineralogical size–dependent composition of the sample, therefore contributing to the observed differences. The impact of this is however difficult to evaluate. Another difference concerns the choice of the optical theory to retrieve k (T -matrix in Wagner et al. instead of Mie theory as used in our work). This can contribute to the observed differences, even if in a limited way (Mogili et al., 2007; Sorribas et al., 2015). Third, in their retrieval Wagner et al. fixed the real refractive index to a wavelength–independent value of 1.53 (as done in several other field and laboratory studies in Fig. 8) and this assumption can bias high/low the retrieved k if the actual n is higher/lower than the assumed 1.53 value. So, in summary, while multiple factors could contribute to the discrepancy it remains however difficult to assess which source of discrepancy is dominant.”

L544–560 – Comparing the new results to the older data is an interesting and important discussion point of the paper. It would be useful to expand this discussion to include a little more information on the methods of Wagner et al (2012) and Steigmann & Yang (2017) to allow the reader to better understand the different approaches. Are the authors able to justify that their method is more reliable than the other studies? This is done for the comparison to the Volz & Patterson work, but not the other mentioned publications. It would help readers if the authors are able to justify their results more strongly, as this will enable readers of the paper to use the new data over the older datasets with a high level of confidence, rather than just adding to the spread of existing data.

A more detailed discussion on the Wagner et al. (2012) dataset and reasons for discrepancies with our data is now provided in Sect. 4.3 (see also previous answer). Conversely, as previously discussed, the Stegmann and Yang (2017) dataset was not the correct one in the first version of our paper. The authors made a mistake in uploading the wrong data in the supplement to their paper and they provided us the correct data. These now fall within the range of our results. As we discuss more in detail only the literature data falling outside our range of results we consider it no more necessary in the revised text to discuss further the Stegmann and Yang (2017) dataset.

L569–580 – can the authors comment on the fit for hematite vs goethite? Based on these results, is it therefore necessary to measure *both* hematite and goethite (rather than only hematite, as is sometimes done), in order to retrieve appropriate absorption estimates?

Yes, since both hematite and goethite contribute to the iron oxide content in dust, as shown in Table 3 in our paper for example, and also both contribute to the absorption in the visible. A comment on this aspect was added in the text as below:

“Measuring only the hematite mass fraction to estimate the dust absorption, as it is sometimes done, is therefore not sufficient.”

L589–593 – So is this several (or only one) data point per dust sample?

It is several data points per dust sample, since the 10 minutes average data are reported in the plot for each experiment.

L596–598 – is the same true if you plot deff_coarse vs iron oxide content? Wouldn't this be a more direct comparison?

The iron oxide content is integrated over the whole duration of each experiment while the $d_{eff,coarse}$ is estimated at 10-min intervals, so the $d_{eff,coarse}$ versus iron oxide content relationship would not add any information in this case due to the differences in their temporal resolution.

L599–601 ‘RI : : is independent of size’ – this is not always the case – e.g. Kandler et al. (2009) show that composition, and RI, change with size, and this is also reflected in the figures of Otto et al. (2009).

Based on the comments for both reviewers on this part of the discussion, we reformulated the paragraph as below:

“These results confirm previous observations (Sokolik and Toon, 1999; McConnell et al., 2008, 2010; Ryder et al., 2013a; 2013b) that the refractive index is independent of size. This suggests that size-dependent mineralogical composition is not sufficient to affect k (in the limit of our measurement and retrieval procedure precision). It is worth mentioning that only few past studies evidenced a dependence of k on the size distribution of the dust aerosols (i.e., Kandler et al., 2009, 2011; Otto et al., 2009) maybe because the refractive index was retrieved in these studies from mixing rules based on the estimated size-dependent mineralogical composition.”

L604–605 – this was also found by Ryder et al. (2018) – i.e. SSA was dominantly dependent on composition.

We added the Ryder et al. (2018) reference to the main text:

“Ryder et al. (2013a) also showed that the dependence of SSA on size is linear, but important only when the coarse fraction is high (if particles larger than about 3 μm in diameter are present), otherwise the SSA depends mainly on composition, also in agreement with more recent field observations by Ryder et al. (2018).”

Section 4.5 – do the authors have any thoughts on how much, if at all, their RI data may apply (or differ) for dust close to the source, when the coarse mode is more prevalent? Would it be worth mentioning this again in the conclusions as a potential area for future research?

We already mentioned this aspect in the conclusions, nonetheless we modified the text in Sect. 4.5 in order to clarify this aspect.

“Further investigation should be therefore addressed to evaluate the dependence of the spectral k and SSA versus iron content as a function of the size distribution of the particles, in particular extending to a wider range of $D_{eff,coarse}$ compared to the one investigated in the present study. This will allow to determine if the k and SSA versus iron relationships change or not in different phases of the aerosol lifetime, so if it is valid close to source areas (when the coarsest fraction is dominant, i.e. $D_{eff,coarse}$ up to 15 μm , Ryder et al. (2013b)), and in long-range transport conditions (when most of the coarse particle fraction above few μm has settled out, i.e. $D_{eff,coarse}$ of 2–3 μm or lower, Denjean et al. (2016b)).”

Summary & concluding remarks – Do the authors have any suggestions for how to extrapolate RI at wavelengths lower than 370nm or larger than 950nm? These wavelengths are often required for spectral data within GCMs and/or NWP. Or if not, perhaps this should also be mentioned as an area requiring work in the future.

Based on the results of previous studies (i.e., Wagner et al., 2012) we could suggest as a first approximation a linear interpolation of the CRI out of our investigated wavelength range. Nonetheless, limited information still exists for the UV part of the spectrum and above 1 μm wavelength, so further research is required. Following the reviewer suggestion we added this sentence in the conclusions:

“Our study focus on the dust spectral optical properties between 370 and 950 nm. Further work is required to extend the range of spectral refractive index and SSA data at wavelengths lower than 370 nm or higher than 950 nm given that these data are often required within Global Circulation Models and Numerical Weather Prediction models.”

L625–627 – Can the authors comment on the reason for their k data falling at the lower edge of the literature data, while SSA data seems very much in the middle of the literature range? This might be considered somewhat contradictory.

Our k falls at the lower edge of the ensemble of literature data but looking at Fig. 8 it is possible to see that our k values fully envelope the ensemble of remote sensing and field campaign data on airborne dust, which are the same sources of SSA data. So, there isn't contradiction between the k and SSA data in our opinion. The k data that are above our estimates are the one of Volz (1972), Patterson et al. (1977), Hess et al. (1998) and Wagner et al. (2012) discussed in the main text. We slightly modified the text in Sect. 4.3 to clarify this point.

L646–648 – this sentence contradicts the discussion around figure 9 where the authors say that the coarse mode (deff) impacts the SSA – clearly the size distribution impacts the SSA too.

The reviewer is right and we reword points 3 and 4 in the conclusions, and added a summary point 5 in order to take this into account. We also pointed out in the conclusions on the necessity to have a good representation of the dust coarse mode size distribution in models given its crucial impact on the particle's SSA.

The new text reads as follows:

1. *“The sample-to-sample variability observed in this study is mostly related to the iron oxide and elemental iron content in dust. At each investigated wavelength the magnitude of k and SSA is linearly correlated to the mass concentration of total iron oxides, hematite, goethite, and total elemental iron. Small variations of these compounds translate into large variations of k and SSA.*
2. *We also investigated the dependence of k and SSA on the size distribution of dust. While k is independent of size (suggesting that a constant value can be used along transport), below 600 nm the SSA linearly decreases for increasing $D_{\text{eff,coarse}}$ for strongly absorbing samples with more than 3% iron oxide content. The investigated range of $D_{\text{eff,coarse}}$ is within about 2 and 4 μm , and thus comparable to values obtained along a transport path over the Atlantic Ocean for dust during about 2 to 6 days following emission (Denjean et al., 2016a).*
3. *The observations of points 3 and 4 suggest that while it is sufficient to know the content of iron oxide (or elemental iron) in dust to predict its spectral k , which means that only one tracer is needed in models to parametrize its regional and global variability, for the spectral SSA both composition and size distribution are required.”*

L657 – ‘we propose: : : a set of regionally-averaged n , k and SSA values: : ’ I wonder how useful this would really be – e.g. RI values are severely different for several samples in the same region – e.g. the Sahel, South Africa, East Asia (fig 6) so that even a regional representation may underestimate the sub-regional variability. Additionally there is the computational cost of additional tracers that may be required. The authors should mention some of these issues.

We agree with the reviewer that the regionally-averaged values provide only a partial representation of the dust k and SSA variability. Nonetheless, giving average and standard deviation values for each of the investigated regions is a starting step to go toward a better geographical characterization of the dust optical properties. Indeed we mention this aspect in the last paragraph of the paper that we report below, also discussing the importance of the regional and sub-regional variability emerging from our dataset and the need to investigate it. Together with regional averages we also provide in the paper the full set of original data of refractive indices and SSA for the 19 analyzed samples (Table 4 and 5). Users are welcomed to use the original data instead of regional averages.

Concerning the computational cost of additional tracers, we mostly developed a discussion in the conclusion section concerning the need of having a reliable mineralogy of dust in models, especially iron oxides, as a good tracer of dust absorption properties.

“Finally, this study had the objective to investigate the variability of the dust SW optical properties at the global scale linking to the global variability of the dust composition. It is noteworthy that observations

over Southern Africa and the Sahel from the present study indicate that the k and SSA variability over these regions is comparable to the one obtained for the global scale. For other regions, such as North America and Australia, only one sample was analyzed, with no information on the regional-scale variability of k and SSA. Additionally, for some of the analyzed areas, such as the Bodélé depression, even local scale variability (on the order of few km) may be of relevance, given the documented local scale changes of the particles' mineralogy and iron content (Bristow et al., 2010). More efforts should be therefore devoted to better characterize the variability of dust spectral optical properties at the regional and sub-regional scale with the aim of better assessing the dust impact on the climate of different areas of the world."

678 – 'in link' ! linking?

We followed the suggestion of the reviewer and modified accordingly in the text.

Tables & Figures

Table 3 caption +/-10%, 15%, 10% – what exactly are these uncertainties? They seem very large for % values in the table of _1–10%?

The values of 10% and 15% are relative uncertainties and not absolute uncertainties. We specified this point in Table 1 and 3.

Figure 2 caption – it's not quite clear which data is which – dots are Journet data, so are the box+whiskers Ginoux/DB17? Also, if the current work uses the same samples, shouldn't the iron oxide data be the same?

In Figure 2 the box and whisker and the dots are both extracted from Journet et al. (2014) providing data for clay-sized and silt-sized soil fractions. The box and whisker represents large geographical areas corresponding to the global dust sources indicated in Ginoux et al. (2012) and used in DB17, whereas dots are extracted corresponding to the coordinates where the nineteen soils used for CESAM experiments were collected. Both data in the plot refer to the soil. Differently, the iron oxides data for the CESAM samples analyzed in this study refer to the aerosols phase. Therefore the two datasets (dots in Figure 2 and data for iron oxides in Table 3) are not the same.

We modified the caption of Fig. 2 following the reviewer's remark in order to clarify it. The new caption reads as below:

"Box and whisker plot showing the full variability of hematite and goethite mass fractions in the soils for the clay-sized (<2 μm diameter) and silt-sized (<60 μm diameter) fractions as retrieved from the global soil mineralogical database by Journet et al. (2014). The box and whisker plot include data for the nine desert source areas depicted in Ginoux et al. (2012) and DB17 (Northern Africa, Sahel, Eastern Africa and the Middle East, Central Asia, Eastern Asia, North America, South America, Southern Africa, and Australia). Dots indicate hematite and goethite content in clay-sized and silt-sized soils (always from Journet et al.) extracted in correspondence of the geographical coordinates where the nineteen soils used in the CESAM experiments were collected. The Journet et al. database assumes that the iron oxides in the silt fraction consist only of goethite."

Figure 3 – x-axis label – 'time (hours)' – given this starts at @1330, is this time of day? Time from start of experiment would be more appropriate.

We modified the x-axis in order to represent the time in hours from the dust injection in CESAM (the $t=0$ is the moment when the dust starts to be injected in the CESAM chamber).

Figure 6 – these panels are all far too small to interpret. These should be made significantly larger. I also suggest changing the axis ranges so that the data takes up more than a minimal fraction of the plot.

We agree with the reviewer that the plot was difficult to read so we decided to split it in two, one figure for the real and imaginary parts of the refractive index (Figure 6) and one for the SSA (new Figure 7). Concerning the y axes we tried to enlarge the scale as much as possible but keeping the same scale for all the different plots in order to evidence differences between the different datasets. We hope the new version of the plots is more readable.

Figure 7 caption – L1256 ‘measured’ – I suggest using ‘represented’ instead as for several of these studies the properties shown (RI, SSA) are not directly measured, but calculated from other more directly measured properties.

We corrected as suggested by the reviewer.

Figure 7 – These panels & data displayed are far too small to interpret. I suggest removing the left hand column (data from this study) since that is replicated on the right hand column and can be seen here. The literature data is virtually impossible to interpret. I suggest making all these plots much larger and also expanding the y-axis range for the k and n plots.

We modified Fig. 7 as suggested by the reviewer in order to improve its readability. To note that the dataset from Stegmann and Yang (2017) was modified in this revised figure due to an error in their published data. Corrected data were provided to us by the authors and these new values are now showed in the plot.

Figure 9 – This figure could also do with the plots being larger, and having more zoomed-in displays of the data.

We modified Fig. 9 in order to enlarge as much as possible the scales but maintaining all the relevant information (notably error bars) included in the plots. We increased also the fonts and rearranged the legends in order to make them more readable.

The paper by Di Biagio et al. presents a new dataset of complex refractive indices and single scattering albedo (SSA) at 7 wavelengths in the shortwave range for dust aerosols generated from 19 soil samples collected from the 8 more prominent dust emission regions on Earth. The dataset was derived after resuspending dust into a smog chamber and measuring its spectral scattering and absorption coefficients and size distribution. The complex refractive index was estimated by Mie calculations combining the optical and size data, and the SSA was derived directly from the scattering and absorption coefficients. In addition, bulk composition was measured for each sample to better understand the influence of mineralogy upon absorption. A main result is that the retrieved imaginary part (k) is in the lower range of values currently found in the literature. The study also provides wavelength-dependent (strong) linear relationships between k and SSA, and the content of iron oxides that may help simplifying the implementation of the effect of dust mineralogy upon absorption in climate models. This well-written paper provides a new and unprecedented dataset along with new insights on the dependencies of the absorption on the content of iron oxides. Therefore, I strongly recommend its publication after addressing some minor general and specific comments that can be found below.

General Comment

The authors make several assumptions in the optical calculations in order to retrieve k from the measured data. These assumptions are clearly summarized in section 3.3. First, the size distribution from the OPC and the scattering coefficient depend upon the refractive index; the approach was to set fixed values. Second, the OPC optical-to-geometrical diameter conversion and the nephelometer truncation correction (in addition to the retrieval algorithm in section 3.2) assume homogeneous spherical particles and therefore use Mie theory. In the first case the authors calculate the sensitivity of the results to the assumption and demonstrate the robustness of their approach. However, the potentially large impact of the second assumption (shape) is not quantified. It is argued that shape was not measured and that improper assumptions on the particle shape or morphology may induce even larger errors. I find this last argument a little weak: 1) There are estimates of shape in the literature and recent studies (e.g. Kok et al., 2017) highlighting the potential importance of shape upon extinction (using triaxial spheroids); 2) the influence of shape upon the conversion from optical to geometrical diameter seems also quite important (increasing the amount of fine particles compared to coarse ones; Huang and Kok, 2018); 3) The nephelometer truncation error should also be quite sensitive to shape; 4) The authors compare their results with other estimates in the literature; in page 15 they argue that the strong differences with Wagner et al. (2012) may be due to the choice of the optical theory (T-Matrix vs Mie), which somehow admits that assuming spherical particles may be a strong source uncertainty.

While I understand that accounting for shape is a complex issue beyond the scope of this paper, I nevertheless ask the authors to more clearly acknowledge this (potentially strong) uncertainty both in the abstract, discussion (section 3.3) and conclusions. I would also invite the authors to explore and report on this uncertainty in future studies.

We thank the reviewer for these comments. We added some text in Sect. 3.3 to better discuss the question of the shape assumption, providing also additional discussion in the comparison against Wagner et al. (2012). First, it should be noted that there are studies showing that while a non-spherical shape modifies the phase function, the differences between spherical and non-spherical approaches become less important when calculating angular-integrated quantities as it is the case of integrated scattering, or SSA, and the asymmetry factor (Mishchenko et al., 1995; Otto et al., 2009). For OPC optical to geometrical correction, nephelometer truncation correction and also for n and k retrieval basically optical calculations are used to calculate angular-integrated quantities, so the impact of shape can be assumed to be moderate. For instance, Sorribas et al. (2015) showed that using a spheroidal model has a negligible effect in the truncation correction. Nonetheless, it is difficult to quantify the overall uncertainty introduced in our results by shape assumptions and this for two main reasons. First, be-

cause measurements of the dust shape distribution from field data exist, as also indicated by the reviewer, but these show that dust can present very complex, irregular, and variable shapes, so in the absence of measurements for the actual analyzed samples it is very hazardous to associate a shape to our dust aerosols. Second, even if a shape is assumed then additional questions arise concerning the optical theory to use to model it. Indeed, given the complexity of dust shape there is still debate about which is the best theory to represent its optical properties in particular as a function of the different envisaged applications (see Nousiainen and Kandler, 2015). Nonetheless we agree with the reviewer and we acknowledge the need for further investigation of the impact of shape on our results. We address this future field of research in the conclusions by adding the following text:

“We do not provide any quantification of the uncertainty associated with the assumption of spherical particles in our study, even if we acknowledge the potential role of non-sphericity in affecting our data treatment and results. Additional work is foreseen to better investigate the shape of our generated dust and the impact of non-sphericity on retrieved spectral refractive indices and SSA.”

We now also mention more clearly in the abstract the fact that the spherical assumption for dust may introduce a potentially source of uncertainty:

“Dust is assumed to be spherical in the whole data treatment, which introduces a potential source of uncertainty.”

Specific comments

Title: The current form of the title may induce to confusion (it is not clear whether size relates to iron size or dust size). I suggest two possibilities: “Complex refractive indices and single scattering albedo of global dust aerosols in the shortwave spectrum and relationship to size and iron content” or “Complex refractive indices and single scattering albedo of global dust aerosols in the shortwave spectrum and relationship to iron content and dust size”

We understand the remark of the reviewer and the title can be changed following the first reviewer's suggestion, that is:

“Complex refractive indices and single scattering albedo of global dust aerosols in the shortwave spectrum and relationship to size and iron content”

Abstract: Please emphasize that the linear relationship is stronger with iron oxides than with total iron. Also, indicate that your estimates are in the lower range of the literature values.

The abstract was modified to include this information.

L197 to L217.: Please elaborate a bit on the assumption of spheres for the truncation correction and please connect it to an improved discussion in section 3.3 as suggested above.

We extended the discussion in Sect. 3.3 concerning the spherical approximation. Also we cite here the results of the study by Sorribas et al. (2015) that tested the impact of spherical versus spheroidal models in nephelometer truncation corrections in modelling of the scattering coefficient. They showed that using a spheroidal model has a negligible effect in the truncation correction. The new text is reported in the following:

“The retrieval procedure for n and k , as well as the calculations for OPCs optical-to-geometrical diameter and the nephelometer truncation correction, simplifies the non-spherical heterogeneous dust aerosols (e.g., Chou et al. 2008; Okada et al., 2011; Nousiainen and Kandler, 2015) into homogeneous spherical particles that can be represented by Mie theory. In the present study, we decided not to use a more advanced shape-representing theory for three main reasons. First, the spherical model has been shown to produce only moderate errors when computing angular-integrated quantities (Mishchenko et al., 1995; Otto et al., 2009; Sorribas et al., 2015) such as those we calculate in this study to retrieve the OPC and truncation corrections and for n and k retrieval. For instance, Sorribas et al. (2015) showed that using a spheroidal model has a negligible effect on the truncation correction.

These authors estimated that using a spheroidal model permits to improve by 4 to 13% the agreement between modelled and measured spectral scattering coefficient at 450–700 nm but only for supermicron particles. Conversely, for submicron dust the spherical approximation is better suited than the spheroidal model to reproduce the scattering coefficients by the nephelometer. The study by Mogili et al. (2007) also found an excellent agreement between measured shortwave extinction spectra and those calculated from Mie theory simulations for dust minerals, supporting the use of Mie theory for dust optical modelling. On the other side, other studies point to the need of a non-spherical assumption to improve the modelling of dust optical properties (e.g., Otto et al., 2009). Second, we used Mie theory for the sake of comparison with the large majority of previous field and laboratory data published so far, which had used calculations with the spherical approximation. Third, the shape distribution and morphology of the dust samples was not measured during experiments. Improper assumptions on the particle shape and morphology may induce even larger errors than using Mie theory, in particular for super-micron aerosols (Kalashnikova and Sokolik, 2004; Nousiainen and Kandler, 2015). It should be pointed out, however, that dust is usually assumed to be spherical in global climate models (e.g., Myhre and Stordal, 2001; Balkanski et al., 2007; Jin et al., 2016), and different studies still show contradictory results on the true impact of dust non-sphericity on radiative fluxes and heating rates from global model simulations (Mishchenko et al., 1995; Yi et al., 2011; Räisänen et al., 2012; Colarco et al., 2014). On the other hand, shape effects can be important for the retrieval of aerosol properties from remote sensing techniques using spectral, angular, and polarized reflectance measurements (e.g., Feng et al., 2009). In synthesis, accounting for shape effects is still controversial for dust modelling and also a complex issue beyond the scope of this paper. Thus, while we acknowledge the potential uncertainties induced by spherical assumptions in our study, we do not quantify here the overall impact of this assumption on our results.”

L241: “varied between: : : nm and: : :”

We corrected as suggested by the reviewer.

L268: 10 microns?

We corrected as suggested by the reviewer.

L301: what about shape?

We added some text here and we developed Sect. 3.3 in order to better discuss the spherical assumption in our analysis.

L542: what do you mean by “our” population average of 1.8 % : : :?

Following the reviewer’s remark, we changed the paragraph as below:

“Likewise, our k average is also very close to the dataset by Balkanski et al. (2007), estimated from mineralogical composition assuming 1.5% (by volume) of hematite in dust, a value shown to allow a reconciliation of climate modelling and satellite observations of the dust direct SW radiative effect. By comparison, the average dust hematite content for the ensemble of our analysed samples is 1.8% (in mass), close to the 1.5% value proposed by Balkanski et al. (2007).”

L557: Please develop more. This is quite important in my opinion as I highlighted above. Can you further discuss the differences with Wagner?

We extended the discussion regarding the differences with the Wagner et al. dataset in Sect. 4.3. First in Figure 7 we now provide both the max (Burkina Faso) and the min (Cairo) of the k spectra reported by Wagner et al. (2012). This permits to show that the main outlier is the Burkina Faso sample but that also the min k by Wagner et al. (Cairo sample) is at the upper edge of our data. We now fully discuss the differences between our laboratory experiments and the one by Wagner so to underline that apart

from the shape assumption, there are other factors possibly leading to the observed differences (generation technique, size distribution, assumptions on n in the retrieval). Even if it is difficult to assess which is the dominant source of discrepancy, now the paper provides the reader the different elements to evaluate the two different approaches.

The new text reads as follows:

“For the case of Wagner et al. (2012) the imaginary refractive index was retrieved from laboratory chamber experiments on suspended dust, as in our study. Nonetheless, their approach differs in various aspects from the one applied here and this can lead to the observed differences in the retrieved k . First, the aerosol generation technique is different between the two works and this possibly leads to particles with different physico-chemical features compared to our study. In Wagner et al. (2012) the dust aerosol was generated by a rotating brush disperser using only the 20–75 μm sieved fraction of the soils. This system acts to disaggregate the finest particles of the soil by passing it through a nozzle. Then the largest aerosol grains were removed by a cyclone system (50% cutoff at 1.2 μm aerodynamic diameter), so that only the submicron size fraction was measured. We show in Sect. 4.5 that k is independent of size for the range of investigated effective coarse diameters between 2 and 4 μm , but the range of sizes analysed in Wagner et al. (2012) is significantly lower than in our study and a size-effect cannot be excluded. In fact, the relationship between dust absorption and iron content may vary depending on the considered size fraction (see C17) due to the fact that iron bearing minerals are more concentrated in the clay fraction ($<2.0 \mu\text{m}$) of the dust (Kandler et al., 2009). Moreover, generating dust in a different way may lead to differences in the chemical and mineralogical size-dependent composition of the sample, therefore contributing to the observed differences. The impact of this is however difficult to evaluate. Another difference concerns the choice of the optical theory to retrieve k (T-matrix in Wagner et al. instead of Mie theory as used in our work). This can contribute to the observed differences, even if in a limited way (Mogili et al., 2007; Sorribas et al., 2015). Third, in their retrieval Wagner et al. fixed the real refractive index to a wavelength-independent value of 1.53 (as done in several other field and laboratory studies in Fig. 8) and this assumption can bias high/low the retrieved k if the actual n is higher/lower than the assumed 1.53 value. So, in summary, while multiple factors could contribute to the discrepancy it remains however difficult to assess which source of discrepancy is dominant.”

L600: k is an intrinsic property of matter by definition. Perhaps rephrase this sentence. k is independent of size if mineral composition does not change with size.

Following the reviewer suggestion, we eliminated “as an intrinsic property of matter” from the sentence. Also, based on the comments for both reviewers we reformulated the paragraph as below:

“These results confirm previous observations (Sokolik and Toon, 1999; McConnell et al., 2008, 2010; Ryder et al., 2013a; 2013b) that the refractive index is independent of size. This suggests that size-dependent mineralogical composition is not sufficient to affect k (in the limit of our measurement and retrieval procedure precision). It is worth mentioning that only few past studies evidenced a dependence of k on the size distribution of the dust aerosols (i.e., Kandler et al., 2009, 2011; Otto et al., 2009) maybe because the refractive index was retrieved in these studies from mixing rules based on the estimated size-dependent mineralogical composition.”

L642: I would rephrase this sentence. The variability is controlled by the iron oxide content. Using total iron partly reflects this because oxides are a large fraction of it but the linear relationship is obviously not as strong.

We prefer leave the sentence in the present form as there is not an obvious consensus on this aspect. Actually, several laboratory studies found strong correlation of shortwave absorption properties also with elemental iron, sometimes also stronger correlations with elemental iron than with iron oxides (Ca-poni et al., 2017; Moosmuller et al., 2012; Engelbrecht et al. 2016).

L650: This sentence is a little confusing. k is independent of size by definition. However, an “effective” k of a size distribution may change if mineralogy changes with size and size evolves with transport. In fact in L667 you further propose to investigate this potential dependence.

The reviewer is right and we tried to clarify this aspect in the conclusions.

L657: the variability within regions can be very large though, please highlight that.

A discussion on the large regional variability evidenced in the present study is already provided in the last paragraph of the conclusions.

L675: you may mention and cite EMIT (Green et al., 2018) as a potential near–future source of high resolution surface mineralogy data for arid and semi–arid regions

We now mention the EMIT project as a potential source of new data on the surface mineralogy in the conclusions of our paper.

Figures: Figure 1 is not readable, please increase the font size in the flowchart

Figure 1 was modified to be more readable.

References:

Green, R. O.; Mahowald, N. M.; Clark, R. N.; Ehlmann, B. L.; Ginoux, P. A.; Kalashnikova, O. V.; Miller, R. L.; Okin, G.; Painter, T. H.; Pérez García–Pando, C.; Realmuto, V. J.; Swayze, G. A.; Thompson, D. R.; Middleton, E.; Guanter, L.; Ben Dor, E.; Phillips, B. R. (2018) NASA’s Earth Surface Mineral Dust Source Investigation. American Geophysical Union, Fall Meeting 2018, abstract #A24D–01

Huang, Y.; Kok, J. F. (2018) Harmonization of size distributions of dust at emission. American Geophysical Union, Fall Meeting 2018, abstract #A21I–2821

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Complex refractive indices and single scattering albedo of global dust aerosols in the shortwave spectrum and relationship to size and iron content and size

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Abstract

The optical properties of airborne mineral dust depend on its mineralogy, size distribution, and shape, and might vary between different source regions. To date, large differences in refractive index values found in the literature have not been fully explained. In this paper we present a new dataset of complex refractive indices ($m=n-ik$) and single scattering albedos (SSA) for 19 mineral dust aerosols over the 370–950 nm range in dry conditions. Dust aerosols were generated from natural parent soils from eight source regions (Northern Africa, Sahel, Middle East, Eastern Asia, North and South America, Southern Africa, and Australia). The yse were selected to represent the global scale variability of the dust mineralogy. Dust was re-suspended into a 4.2 m³ smog chamber where its spectral shortwave scattering (β_{sca}) and absorption (β_{abs}) coefficients, number size distribution, and bulk composition were measured. The complex refractive index was estimated by Mie calculations combining optical and size data, while the spectral SSA was directly retrieved from β_{sca} and β_{abs} measurements. Dust is assumed to be spherical in the whole data treatment, which introduces a potential source of uncertainty. Our results show that the imaginary part of the refractive index (k) and the SSA ~~largely~~ vary widely from sample to sample, with values for k in the range 0.0011 to 0.00988 at 370 nm, 0.0006 to 0.0048 at 520 nm, and 0.0003 to

0.002¹ at 950 nm, and values for SSA in the range 0.70 to 0.96 at 370 nm, 0.85 to 0.98 at 520 nm, and 0.95 to 0.99 at 950 nm. In contrast, the real part of the refractive index (n) is mostly source (and wavelength) independent, with an average value between 1.48 and 1.55. The sample-to-sample variability in our dataset of k and SSA is mostly related to differences in the dust's iron content. In particular, a wavelength-dependent linear relationship is found between the magnitude of k and SSA and the mass concentrations of both iron oxide and total elemental iron, with iron oxide better correlated than total elemental iron to both k and SSA. As an intrinsic property of matter, The value of k was found to be independent of size. When the iron oxide content exceeds >3%, the SSA linearly decreases with increasing fraction of coarse particles at short wavelengths (< 600 nm).

Compared to the literature, our values for the real part of the refractive index and SSA are in line with past results, while we found lower values of k compared to most of the literature values currently used in climate models

We recommend that source-dependent values of the SW spectral refractive index and SSA ~~are~~be used in models and remote sensing retrievals instead of generic values. In particular, the close relationships found between k or SSA and the iron content in dust enable establishing predictive rules for spectrally-resolved SW absorption based on particle composition.

Introduction

With teragram amounts of annual emissions, a residence time of about 1–2 weeks in the atmosphere, and a planetary-scale transport, mineral dust aerosols are a global phenomenon (Uno et al., 2009; Ginoux et al., 2012), and contribute significantly to the global and regional aerosol loading (Ridley et al., 2016) and direct radiative effect (Miller et al., 2014).

However, large uncertainties still persist on the magnitude and overall sign of the dust direct radiative effect (Boucher et al., 2013; Highwood and Ryder, 2014; Kok et al., 2017). One of the major sources of this uncertainty is our insufficient knowledge of the dust's absorption properties in the shortwave (SW) and longwave (LW) spectral ranges (e.g., Balkanski et al., 2007; Samset et al., 2018), given that mineral dust contains large particles and a variety of minerals absorbing over both spectral regions (e.g. iron oxides, clays, quartz and calcium-rich species; Sokolik and Toon, 1999; Lafon et al., 2006; Di Biagio et al., 2014a, b). Global and regional scale mapping of dust absorption remains limited and more information is required (Samset et al., 2018).

Aerosol absorption is represented both by the imaginary part (k) of the complex refractive index ($m=n-ik$) of its constituent material, and by the single scattering albedo (SSA, i.e., the ratio of the scattering to extinction coefficient) of the particle population, as well as by the mass absorption efficiency (MAE, units of $\text{m}^2 \text{g}^{-1}$), i.e., the aerosol absorption coefficient per unit ~~of aerosol~~ mass concentration.

In the shortwave spectral range, absorption by dust accounts for up to ~10–20% of its total extinction. Dust absorption is highest in the UV–VIS, and almost nil towards the near IR (Cattrall et al., 2003; Redmond et al., 2010), due to the combined contribution of large particles in the size distribution and the dust's mineralogy, notably the presence of iron oxides (Karickhoff and Bailey, 1973; Lafon et al.,

2006; Derimian et al., 2008; Moosmüller et al., 2012; Formenti et al., 2014a; 2014b; Engelbrecht et al., 2016; Caponi et al., 2017). The mineralogy of airborne mineral dust varies according to that of the parent soils (Nickovic et al., 2012; Journet et al., 2014). Consequently, dust aerosols of different origins should be more or less absorbing in the SW, and have different imaginary spectral refractive index and SSA. Field and laboratory measurements, including ground-based and space-borne remote sensing, show that k varies at a regional scale by almost two orders of magnitude (0.0001–0.008 at 550 nm) with corresponding SSAs between 0.80 and 0.99 at 550 nm (Volz 1972; Patterson et al., 1977; Shettle and Fenn, 1979; Dubovik et al., 2002; Haywood et al., 2003; Sinyuk et al., 2003; Linke et al., 2006; Osborne et al., 2008; Müller et al., 2009; Otto et al., 2009; Petzold et al., 2009; Schladitz et al., 2009; McConnell et al., 2010; Formenti et al., 2011; Wagner et al., 2012; Ryder et al., 2013a; Engelbrecht et al., 2016; Rocha-Lima et al., 2018). Albeit some variability being instrumental or analytical (differences in the sampled size fraction or in the method used to retrieve optical parameters), geographic differences persist when the same measurement approach and retrieval method are applied, e.g., in AERONET inversions, supporting the dependence of dust k and SSA with locationits origin (Dubovik et al., 2002; Koven and Fung, 2006; Su and Toon, 2011). In contrast, the real part (n) of the dust refractive index, mostly related to particle scattering, is ~~estimated to be less variable than the imaginary part~~, with values between 1.47–1.56 at 550 nm (i.e.g., Volz, 1972; Patterson et al., 1977; Balkanski et al., 2007; Petzold et al., 2009).

Differences in k or SSA caused by the spatial variability of the iron content may affect the sign of the dust radiative effect (heating vs cooling) (Liao and Seinfeld, 1998; Claquin et al. 1999; Miller et al., 2014), and its global and regional implications (Myhre and Stordal, 2001; Colarco et al., 2014; Das et al., 2015; Jin et al., 2016; Bangalath and Stenchikov, 2016; Strong et al., 2018). ~~For instance, various studies suggest that t~~The direct radiative effect of dust has a strong impact on the Western African Monsoon (Yoshioka et al., 2007; Konaré et al., 2008) and the Indian Summer Monsoon (Vinoj et al., 2014; Das et al., 2015; Jin et al., 2016). However, there is no consensus whether dust increases or decreases precipitation over these regions (Solmon et al., 2008; Jin et al., 2016; Strong et al., 2018). As an example, Solmon et al. (2008) indicate that dust reduces precipitation over most of the Sahelian region, but increases it over the Northern Sahel–Southern Sahara. This pattern is, however, very sensitive to the dust absorbing properties, and a decrease of few percent in dust absorption may even cancel out the increase of precipitation over the Sahel. Similarly, Jin et al. (2016) show that by varying k from zero to 0.008 at 600 nm (i.e., the highest value currently used in models) the dust effect on the Indian Summer Monsoon may shift from negative (reduction of precipitation) to positive (increase of precipitation) values.

In spite of this sensitivity, present climate models adopt a globally-constant spectral complex refractive index (and SSA) for dust, and hence still implicitly assume the same dust mineralogical composition at the global scale. This is mainly due to the lack of a globally consistent dataset providing information of the geographical variability of the dust scattering and absorption properties (e.g., Sunset et al., 2018). Reference values for the refractive index are usually taken from Volz (1972), Patterson et al. (1977), D’Almeida et al. (1991), Shettle and Fenn (1979), Sokolik et al. (1993), Sinyuk et al. (2003), or OPAC (Hess et al., 1998; Koepke et al., 2015). A parameterization of the spectrally-resolved dust refractive

index as a function of the mineralogical composition of the particles is desirable to replace the globally constant values in current climate models, in particular for those models that started to incorporate the representation of dust mineralogy into their schemes (Scanza et al., 2015; Perlwitz et al., 2015a, 2015b).

Improving our knowledge of the spectral SW refractive index of mineral dust and its relation to particle composition (~~henceforth~~ origin) is also key for the detection of dust aerosols in the atmosphere and the quantification of its mass loading, and total ~~and/or~~ absorption spectral optical depth from active and passive remote sensing (e.g., Ridley et al., 2016). As an example, the retrieval of the dust SSA and optical depth over bright desert surfaces with the MODIS (Moderate Imaging Resolution Spectroradiometer) Deep Blue algorithm (Hsu et al., 2004) applies the Critical Surface Reflectance Method (Kaufman, 1987) to retrieve dust properties from measured Top of Atmosphere (TOA) spectral reflectance. This algorithm depends critically on a priori information on the spectral refractive index (Kaufman et al., 2001; Yoshida et al., 2013). Similarly, active remote sensing techniques (lidar, light detection and ranging) require the knowledge of the extinction~~—to—~~backscatter ratio (the lidar ratio), which is also a strong function of the complex index of refraction or SSA of the aerosol particles (e.g., Gasteiger et al., 2011; Shin et al., 2018). Gasteiger et al. (2011) have shown in fact that a 5% change in the SSA at 532 nm can modify by up to 20% the lidar ratio of dust, which means a 20% change in the estimated profile of the dust extinction coefficient and retrieved optical depth from lidar measurements.

In this paper we address these issues by reporting ~~the results~~ of a new laboratory investigation of the shortwave refractive index and SSA of dust from various source regions worldwide, in the framework of the RED-DUST project (Di Biagio et al., 2017a; hereafter DB17; Caponi et al., 2017; hereafter C17). Dust optical properties at discrete wavelengths between 370 and 950 nm are derived in conjunction with the particle elemental and mineralogical composition, including total elemental iron and iron oxides. We investigate the relationship of k and SSA to the iron content to provide a parameterization of the dust absorption as a function of its mineralogy, which can be applied to climate models. The dependence of dust absorption on the particle coarse size fraction is also investigated to evaluate the change of dust absorption with atmospheric transport time.

2. Experimental set-up and instrumentation

As previously described in DB17 and C17, ~~a~~All experiments discussed here and ~~previously described in DB17 and C17~~ were conducted in the 4.2 m³ stainless-steel CESAM chamber (French acronym for Experimental Multiphasic Atmospheric Simulation Chamber) (Wang et al., 2011). Mineral dust aerosols were generated by mechanical shaking of parent soils using about 15 g of soil sample (first sieved to <1000 μm and then dried at 100 °C) placed in a 1 L Büchner flask and shaken for about 30 min at 100 Hz by means of a sieve shaker (Retsch AS200). The dust suspension in the flask was injected into the chamber by flushing with N₂ at 10 L min⁻¹ for about 10–15 min. After injection in the chamber, the largest fraction of the dust aerosol (>1.5 μm diameter) remained in suspension for approximately 60 to 120 min thanks to a four-blade stainless steel fan located at the bottom of the chamber, which also ensured homogeneous conditions within the chamber volume. The submicron dust fraction, instead, remained

constant with time during the experiments (see Sect. 4.1.1), as shown in Fig. 3 reporting the timeline of the measured effective dust fine diameter. The evolution of the physico-chemical and optical properties of the suspended dust was measured by different instruments connected to the chamber. The spectral particle volume dry scattering (β_{sca}) and absorption (β_{abs}) coefficients were measured, respectively, by a 3-wavelength nephelometer (TSI Inc. model 3563, operating at 450, 550, and 700 nm; 2 L min⁻¹ flow rate, 2-s time resolution) and a 7-wavelength aethalometer (Magee Sci. model AE31, operating at 370, 470, 520, 590, 660, 880 and 950 nm; 2 L min⁻¹ flow rate, 2-min time resolution). The size distribution of aerosols was measured by means of a scanning mobility particle sizer (SMPS, TSI, DMA Model 3080, CPC Model 3772; mobility diameter range 0.019–0.882 μm ; 2.0/0.2 L min⁻¹ sheath-aerosol flow rates, 135-s time resolution), a WELAS optical particle counter (OPC) (PALAS, model 2000, white light source between 0.35 and 0.70 μm ; optical-equivalent diameter range 0.58–40.7 μm ; 2 L min⁻¹ flow rate, 1-min time resolution) and a SkyGrimm OPC (Grimm Inc., model 1.129, 0.655 μm operating wavelength; optical-equivalent diameter range 0.25–32 μm ; 1.2 L min⁻¹ flow rate, 6-s time resolution). Aerosol elemental and mineralogical composition, including iron oxides, was derived by analysis of dust samples collected on polycarbonate filters (47-mm diameter Nuclepore, Whatman, nominal pore size 0.4 μm) mounted on a custom-made stainless-steel sample holder (operated at 6 L min⁻¹) for most of the duration of each experiment.

All instruments (size, SW optics, filters) sampled air from the chamber. To equalize the airflow extracted by the different instruments, a particle-free N₂/O₂ mixture airflow was continuously injected into the chamber. Inlets for all extractive measurements consisted of a stainless steel tube located inside CESAM, and an external connection of silicone tubing (TSI Inc.) from the chamber to the instruments, for a total length varying between 0.4 and 1.2 m. As detailed in DB17 and shown in Fig. S1 in the supplement, the transmission efficiency due to aspiration and transmission in the sampling lines as a function of particle diameter was estimated to calculate the effective dust fraction sensed by each instrument, taking into account the sampling flow rate, tubing diameter, tubing geometry, and particle shape and density. For the nephelometer and the aethalometer, the length of the sampling line from the intake point in the chamber to the instrument entrance was about 1.2 m, which resulted in a 50% cutoff of the transmission efficiency at 3.9 μm particle geometric diameter and 100% cutoff at 10 μm . For the filter sampling system, the length of the sampling line of about 0.5 m resulted in a 50% (100%) cutoff at 6.5 μm (15 μm) particle geometric diameter (or 50% cutoff at 10.6 μm aerodynamic diameter as indicated in C17, therefore compositional analyses refer to the PM_{10.6} size fraction). For the WELAS, the only OPC considered for size distribution in the coarse fraction (see Sect. 2.2), the 50% (100%) cutoff was reached for particles of 5 μm (8 μm) diameter.

All experiments were conducted at ambient temperature and relative humidity <2%. In addition to overnight evacuation, the chamber was manually cleaned between experiments to avoid contaminations from remaining dust. Background concentrations of aerosols in the chamber were less than 2.0 $\mu\text{g m}^{-3}$ (that is 10² to 10⁵ times smaller than the concentration of dust aerosols in suspension in the chamber during experiments)

A flowchart of the procedure used to treat and combine optical, size, and compositional data, and the algorithm for SSA and complex refractive index retrieval is shown in Fig. 1. Full details of data treatment for size distribution measurements and filter compositional data are provided in DB17 and C17, and in the following we only mention the main points of interest for the present paper. Full details on the data treatment of the SW optical data are provided in Sect. 2.1 and 3.

The optical and size datasets were acquired at different temporal resolutions and then averaged over compatible 10-min intervals, whereas the compositional data represent the experiment integral. The SSA and complex refractive index data were retrieved both at 10-min resolution and as experiment averages to relate them to both size and compositional data. Table 1 summarizes the uncertainties on the measured and derived parameters described in the following.

2.1 SW optical measurements

2.1.1 Aerosol scattering coefficient

The aerosol scattering coefficients (β_{sca}) at 450, 550, and 700 nm are measured by the nephelometer at angles between 7° and 170° and need to be corrected for the restricted field-of-view of the instrument (truncation correction) to retrieve β_{sca} at 0° – 180° . The truncation correction factor (C_{trunc}), i.e., the ratio of the β_{sca} at 0° – 180° and 7° – 170° , was estimated by Mie calculations for homogeneous spherical particles using the size distribution measured simultaneously behind SW inlets (see Sect. 2.2). In the calculations, the real part of the complex refractive index of dust was assumed to be wavelength-independent and fixed at a value of 1.53, while the imaginary part was set to 0.003 at 450 and 550 nm and to 0.001 at 700 nm, according to pre-existing information (Sinyuk et al., 2003; Schladitz et al., 2009; Formenti et al., 2011; Rocha-Lima et al., 2018). For the different dust samples, C_{trunc} ranged between 1.2 and 1.7 and decreased with wavelength and the dust residence time in the chamber, following the relative importance of the coarse component in the dust population (~~Anderson and Ogren, 1998~~). The uncertainty on C_{trunc} , calculated by repeating the optical calculations by using the size distribution of dust within its error bars as input to the optical code, is less than $\pm 5\%$ at all wavelengths (~~in the approximation of Mie spherical and homogeneous particles.~~). In order to assess the consistency of the derived truncation correction, we made a sensitivity study in which we recalculated C_{trunc} by varying the refractive index ~~at used as~~ input to ~~the~~ Mie calculations in the range of n and k values obtained in this study (i.e., values at the 10% and 90% percentile as reported in Table 5 for the whole dataset, that is n between 1.49 and 1.54 and k between 0.001 and 0.006 at 450, 550, and 700 nm). The results of this sensitivity study indicate that, for fixed dust size distribution, the truncation correction C_{trunc} varies less than 1% for n between 1.49 and 1.54, and $< 5\%$ for k between 0.001 and 0.006, and so that it is quite insensitive to the exact assumed n and k values.

Once corrected for truncation, the spectral β_{sca} was extrapolated at the aethalometer wavelengths. With this aim, the Scattering Ångström Exponents, $\text{SAE}_{450-550}$ and $\text{SAE}_{550-700}$, were calculated as the linear fit of β_{sca} vs λ at 450–550 nm and 550–700 nm, respectively. The $\text{SAE}_{450-550}$ and $\text{SAE}_{550-700}$ coefficients were used to extrapolate β_{sca} at wavelengths respectively lower and higher than 550 nm. Extrapolated β_{sca} values were used to derive an average SAE of dust for the entire investigated spectral range.

2.1.2 Aerosol absorption coefficient

The aerosol absorption coefficient (β_{abs}) at 370, 470, 520, 590, 660, 880, and 950 nm was retrieved from aethalometer measurements. The aethalometer measures the attenuation (ATT) through an aerosol-laden quartz filter, related to the spectral attenuation coefficient (β_{ATT}) as:

$$\beta_{\text{ATT}}(\lambda) = \frac{\Delta \text{ATT}(\lambda)}{\Delta t} \frac{A}{V} \quad (1)$$

where A is the area of the aerosol collection spot (0.5 ± 0.1) cm² and V the air sample flow rate (0.002 m³ min⁻¹). The slope $\frac{\Delta \text{ATT}(\lambda)}{\Delta t}$ is the linear fit of the measured attenuation as a function of time calculated over 10-min intervals. The spectral attenuation coefficient was converted into an absorption coefficient β_{abs} following the formula by Collaud Coen et al. (2010):

$$\beta_{\text{abs}}(\lambda) = \frac{\beta_{\text{ATT}}(\lambda) - \alpha(\lambda)\beta_{\text{sca}}(\lambda)}{C_{\text{ref}}R(\lambda)} \quad (2)$$

The $\alpha(\lambda)\beta_{\text{sca}}(\lambda)$ term accounts for the fraction of the measured attenuation due to side and backward scattering and not to light absorption. The Collaud–Coen correction scheme has been recently shown to yield quite accurate values of the absorption coefficients and absorption Ångström exponents from aethalometer data (Saturno et al., 2017). The value of $\alpha(\lambda)$ was calculated with the formula by Arnott et al. (2005) and varied between 0.002 and 0.02 ($< \pm 1\%$ from formal error propagation on the Arnott formula), while $\beta_{\text{sca}}(\lambda)$ is the scattering coefficient from the nephelometer extrapolated to the aethalometer wavelengths. C_{ref} accounts for multiple scattering by the filter fibers, aerosol laden or not. Its spectral value, obtained by the linear extrapolation of C_{ref} at 450 and 660 nm estimated for mineral dust by Di Biagio et al. (2017b), varied between 4.30 at 370 nm ~~te~~and 3.32 at 950 nm. We assume for the extrapolated C_{ref} an uncertainty of $\pm 10\%$ as estimated in Di Biagio et al. (2017b). The correction factor, R, accounts for the decrease in the aethalometer sensitivity with the increase of the aerosol filter loading. The value of R depends on the absorptivity properties of the sampled aerosol and can be calculated as a function of the particle SSA. In this study, we calculated R by estimating a first-guess SSA* as the ratio of the nephelometer ~~re~~corrected β_{sca} and β_{ext} obtained as the sum of β_{sca} and the β_{abs} non-corrected for filter loading effect. The R was estimated by using the Collaud–Coen et al. (2010) formulation. For the range of estimated SSA* (about 0.60 to 0.99), R varied between 0.5 and 1.0 ($\pm 1\text{--}10\%$).

The Absorption Ångström Exponent (AAE) was calculated as the power-law fit of β_{abs} versus λ .

Due to an instrumental problem, aethalometer data were not always available, with a typical 30-min interruption usually 10 to 30 minutes after the beginning of experiments.

2.2 Size distribution

The aerosol number size distribution was obtained from SMPS, WELAS and SkyGrimm measurements over different diameter ranges. The measured electrical mobility and optical equivalent diameters from the SMPS and the OPCs were first converted into geometrical diameters (D_g) as described in DB17 and summarized in Table 1. The OPCs conversion assumes ~~for dust a~~ dust complex refractive index

that in our study was set in the range 1.47–1.53 for n and 0.001–0.005 for k for both the SkyGrimm and the WELAS (following DB17, [for more details see Table 1](#)). After conversion, the estimated D_g range was 0.01–0.50 μm for the SMPS, 0.65–73.0 μm for the WELAS, and 0.29–68.2 μm for the SkyGrimm. Due to a calibration issue, data for the SkyGrimm in the range $D_g > 1\mu\text{m}$ were discarded, so that the WELAS is the only instrument considered in the super-micron range. A very low counting efficiency was observed for the WELAS below 1 μm and data in this size range were also discarded.

The SMPS, WELAS, and SkyGrimm data were combined, as detailed in DB17, to obtain the full size distribution of the dust aerosols suspended in the CESAM chamber, $(dN/d\log D_g)_{\text{CESAM}}$, and the size distribution behind SW optical instruments inlets, $(dN/d\log D_g)_{\text{SWoptics}}$, after taking into account particle losses along sampling lines (see Supplementary material and Fig. S1). As previously discussed, due to the particle losses in the sampling line from the chamber to the nephelometer/aethalometer, the $(dN/d\log D_g)_{\text{SWoptics}}$ size distribution is cut at 10 ~~μm~~ [microns](#), so no particles above this diameter reach the SW instruments.

The measured size distributions, $(dN/d\log D_g)_{\text{CESAM}}$ and $dN/d\log D_g)_{\text{SWoptics}}$, were used to estimate the mass concentration of aerosols and their effective diameter (D_{eff}) in the CESAM chamber and behind the SW instrument inlets as:

$$\text{Mass concentration} = \int \frac{\pi}{6} D_g^3 \frac{dN}{d\log D_g} \rho \cdot d\log D_g \quad (3)$$

$$D_{\text{eff}} = \frac{\int D_g^3 \frac{dN}{d\log D_g} d\log D_g}{\int D_g^2 \frac{dN}{d\log D_g} d\log D_g} \quad (4)$$

The effective dust density ρ in Eq. (3) was set at 2.5 g cm^{-3} , a value that is approximately in the middle of the range of desert dust densities reported in the literature, i.e., 2.1–2.75 g cm^{-3} (Maring et al., 2000; Iwasaka et al., 2003; Reid et al., 2003). The effective diameter was evaluated separately for the fine and coarse fractions of dust by integrating Eq. (4) for diameters $\leq 1\mu\text{m}$ ($D_{\text{eff, fine}}$) and $>1\mu\text{m}$ ($D_{\text{eff, coarse}}$), respectively. [For \$D_{\text{eff, coarse}}\$ the upper limit of the calculation is 10 \$\mu\text{m}\$ when calculated from \$\(dN/d\log D_g\)_{\text{SWoptics}}\$, i.e. measured behind the SW inlets.](#)

The dust size distribution, $(dN/d\log D)_{\text{SWoptics}}$, measured at each 10-min time step for each sample was fitted with a sum of five lognormal functions [to smooth data inhomogeneities linked to the different instrument's operating principles and artefacts. Fitting was performed using the Levenberg–Marquardt algorithm.](#) For each mode, the parameters of the lognormal functions, i.e., the total number concentration (N_i), the geometric median diameter ($D_{g,i}$), and the geometric standard deviation of the distribution (σ_i), were retrieved. The uncertainties in the retrieved parameters were estimated by repeating the fit using size data within their uncertainties. The resulting parameters of the fits at the peak of the injection in the chamber are reported in Table S1, and an example of size fitting is shown in Fig. S2.

The procedure described here to estimate $(dN/d\log D_g)_{\text{CESAM}}$ and $(dN/d\log D_g)_{\text{SWOptics}}$ implies that assumptions are made on the values of n and k to correct OPCs data, and this may introduce a circularity in the estimates of the refractive index of dust that use $(dN/d\log D_g)_{\text{SWOptics}}$ as input in optical calculations (see Sect. 3.2). In order to analyze the dependence of the results on this assumption, we made a sensitivity calculation by varying the values of n and k used for OPCs corrections within the range of values retrieved in this study (10% and 90% percentiles in Table 5, i.e., 1.49–1.54 for n and 0.001–0.006 for k). We concluded that changing n and k in this range has a very low impact on the retrieved number size distribution behind the SW inlets $(dN/d\log D_g)_{\text{SWOptics}}$ compared to the original assumptions made in our calculations (<5% changes in the retrieved size number distribution at the different diameters between the original correction and the correction by varying n and k). This is due to the fact that when changing D_g due to changes in the n and k in the OPCs correction, the loss function also modifies to values corresponding to the new D_g . Given that the loss function increases/decreases for increasing/decreasing D_g , the combined changes in D_g and the loss function compensate so that the net number concentration behind the SW inlets varies less than a few percent. These results therefore suggest that the procedure to estimate the complex refractive index of dust is nearly independent of the assumed OPC correction.

Other sources of uncertainties are linked to the spherical assumption to perform the optical to geometrical diameter conversion (discussed in Sect. 3.3) as well as those due to Mie resonance oscillations of the calculated scattering intensities. Concerning Mie resonances, a sensitivity study was performed varying the size resolution of our calculations (high/low diameter resolution in the calculations to have a better/worse reproduction of Mie resonance oscillations) and show that Mie resonances impact the optical to geometrical correction by less than 1%.

2.3 Dust elemental and mineralogical composition and iron content

The elemental and mineralogical composition of the dust aerosols in the $\text{PM}_{10.6}$ size fraction was estimated by combining different techniques: X-ray diffraction (XRD, Panalytical model Empyrean diffractometer) to estimate the particles' mineralogical composition in terms of clays, quartz, calcite, dolomite, gypsum, and feldspars; wavelength dispersive X-ray fluorescence (WD-XRF, Panalytical PW-2404 spectrometer) to determine the dust elemental composition (Na, Mg, Al, Si, P, K, Ca, Ti, Fe); and X-ray absorption near-edge structure (XANES) to retrieve the content of iron oxides and their speciation between hematite and goethite. The dust mass collected on Nuclepore filters during the experiments varied between 0.3 and 6 mg m^{-3} as calculated from elemental concentrations according to Lide (1992).

Full details on the XRD, WD-XRF, and XANES measurements and data analysis are provided in DB17 and C17. In this study, we discuss the dust elemental iron mass concentration, $\text{MC}_{\text{Fe}\%}$, i.e., the percent mass of elemental iron with respect to the total dust mass concentration, and the iron oxides mass concentration, $\text{MC}_{\text{Fe-ox}\%}$, i.e., the percent mass fraction of iron oxides with respect to the total dust mass concentration, estimated as the sum of goethite ($\text{MC}_{\text{Goet}\%}$) and hematite ($\text{MC}_{\text{Hem}\%}$) species.

3. Strategy for data analysis

3.1 Calculation of the spectral extinction coefficient and SSA from scattering and absorption coefficients

The spectral scattering and absorption coefficients, $\beta_{\text{sca}}(\lambda)$ and $\beta_{\text{abs}}(\lambda)$, measured by the nephelometer and the aethalometer were used to estimate 10-min averages of the spectral extinction coefficient, $\beta_{\text{ext}}(\lambda)$, at the 7- λ of the aethalometer between 370 and 950 nm as:

$$\beta_{\text{ext}}(\lambda) = \beta_{\text{abs}}(\lambda) + \beta_{\text{sca}}(\lambda) \quad (5).$$

The Extinction Ångström Exponent (EAE) was calculated as the power-law fit of β_{ext} versus λ .

The spectral single scattering albedo of dust at 10-min resolution ($\text{SSA}_{10\text{-min}}$) was retrieved as:

$$\text{SSA}_{10\text{-min}}(\lambda) = \frac{\beta_{\text{sca}}(\lambda)}{\beta_{\text{ext}}(\lambda)} \quad (6).$$

The experiment-averaged SSA (λ) was calculated for each soil type based on the following formula (Moosmüller et al., 2012):

$$\text{SSA}(\lambda) = \left(1 + \frac{1}{m(\lambda)} \right)^{-1} \quad (7)$$

where $m(\lambda)$ represents the slope of the linear fit between the 10-min averages of $\beta_{\text{sca}}(\lambda)$ and $\beta_{\text{abs}}(\lambda)$ measured along the whole duration of each experiment. An example of $\beta_{\text{sca}}(\lambda)$ versus $\beta_{\text{abs}}(\lambda)$ fitting to retrieve the spectral SSA is shown in Fig. S3 in the Supplement. The correlation coefficient R^2 of the β_{sca} versus β_{abs} fit usually ranges between 0.97 and 1 at all wavelengths. As will be discussed later in the paper, the single scattering albedo of dust depends on the particle coarse size fraction, and during our experiments $\text{SSA}_{10\text{-min}}$ was not derived continuously for the different samples due to the aethalometer measurement interruptions. The application of Eq. (7) avoids any bias in the calculated averaged SSA for different soils due to size effects. For two of the analyzed samples (Tunisia and Namib-2), however, the linear fitting procedure was not applicable due to the fact that, respectively, only two and one absorption measurements from the aethalometer were available just after the peak of the injection, with no data afterwards. Average SSA data for Tunisia were thus estimated as the mean of the two available $\text{SSA}_{10\text{-min}}$ data points, while the single $\text{SSA}_{10\text{-min}}$ measurement at the peak of the injection was reported for Namib-2. This difference in time sampling should be kept in mind when comparing SSA data for these two samples to the rest of the dataset.

3.2 Retrieval of the spectral complex refractive index

An optical calculation was performed to estimate the complex refractive index ($m=n-ik$) of dust aerosols based on optical and size data. The retrieval algorithm consisted in recalculating the spectral scattering $\beta_{\text{sca}}(\lambda)$ and absorption $\beta_{\text{abs}}(\lambda)$ coefficients measured at each 10-min interval by using the fitted $(dN/d\log D)_{\text{SWOptics}}$ size distribution as input and by varying the real and imaginary parts of the complex refractive index in the calculations until the best agreement between measurements and calculations was found. At each wavelength the root mean square deviation (RMSD) was calculated as:

$$RMSD(\lambda) = \sqrt{\left[\frac{\beta_{sca,measured}(\lambda) - \beta_{sca,calculated}(\lambda)(n,k)}{\beta_{sca,calculated}(\lambda)(n,k)} \right]^2 + \left[\frac{\beta_{abs,measured}(\lambda) - \beta_{abs,calculated}(\lambda)(n,k)}{\beta_{abs,calculated}(\lambda)(n,k)} \right]^2} \quad (8)$$

The RMSD was minimized at each wavelength to obtain n–k pairs that most closely reproduce the measured scattering and absorption coefficients. Optical calculations were performed at the 7 wavelengths of the aethalometer between 370 and 950 nm using Mie theory ~~for homogeneous spherical particles~~. In the calculations, the real part of the refractive index was varied in the range 1.40–1.60 at steps of 0.01, while the imaginary part was varied in the range 0.0001–0.050 at steps of 0.0001. For each sample, this resulted in 10500 computations per wavelength and per 10–min time step. The uncertainty on the real and imaginary parts of the refractive index was estimated with a sensitivity study. For this purpose, the values of n and k were also obtained by using as input the observed $\beta_{sca}(\lambda)$, $\beta_{abs}(\lambda)$, and $(dN/d\log D)_{SWoptics}$, plus or minus one standard deviation on their measurement. The deviations of the values of n and k retrieved in the sensitivity study with respect to those obtained in the first inversions were assumed to correspond to the one standard deviation uncertainty of 10–min retrieved values.

Experiment-averaged values of the spectral n and k were estimated as the average of single n and k values retrieved at 10–min steps (indicated as n_{10-min} and k_{10-min}). In fact, differently from the SSA, the refractive index did not seem to depend on the particle coarse size fraction (Sect. 4.5).

A control experiment was performed with submicron ammonium sulphate aerosols (see DB17 and supplementary Fig. S4) with the aim of validating the proposed methodology to estimate the aerosol complex refractive index for a non-absorbing aerosol type. For ammonium sulphate particles with a monomodal size distribution centered at 0.06 μm , as measured with the SMPS, the retrieved real part of the refractive index was 1.56 (± 0.01) in the 450–700 nm wavelength range, as expected from literature (Toon et al., 1976; Flores et al., 2009; Denjean et al., 2014).

3.3 Assumptions on the retrieval of SSA and complex refractive index

The approach used to retrieve the SSA and the complex refractive index of dust and the accuracy of the results depend on the accuracy of the input data and the assumptions in the optical calculations. We discuss here two points of the applied procedure, in part already mentioned in the previous paragraphs.

1/ The size distribution from OPCs and also the scattering coefficient from the nephelometer used as input to the n and k retrieval procedure and SSA calculation depend more or less directly on the dust refractive index. These instruments need in fact to be corrected for instrumental artefacts and these corrections require an a priori knowledge of the n and k, which in our approach were set to fixed values (1.47–1.53 for n and 0.001–0.005 for k for OPCs optical to geometrical diameter conversion, and 1.53 for n and 0.001–0.003 for k for nephelometer truncation correction). This choice may in principle introduce a certain degree of uncertainty and circularity into the derived n, k, and SSA for dust. Nonetheless, we note that the range of refractive index values used to correct OPCs and nephelometer data falls in

the range of variability of the refractive index values obtained in this study (see Sect. 4.3), which suggests that the values used for the corrections are appropriate. Additionally, as previously discussed, both the size distribution ($dN/d\log D_g$)_{SWoptics} and the scattering coefficient are not very sensitive to the assumptions about n and k used for the calculations (less than 5% changes in both the number size distribution behind SW inlets and the scattering coefficient from changing n and k within the range of estimated values in this study) which further demonstrates the robustness of the proposed approach.

2/ The retrieval procedure for n and k , as well as the calculations for OPCs optical-to-geometrical diameter and the nephelometer truncation correction, simplifies the non-spherical heterogeneous dust aerosols (e.g., Chou et al. 2008; Okada et al., 2011; Nousiainen and Kandler, 2015) into homogeneous spherical particles that can be represented by Mie theory. In the present study, we decided not to use a more advanced shape-representing theory for three main reasons. First, the spherical model has been shown to produce only moderate errors when computing angular-integrated quantities (Mishchenko et al., 1995; Otto et al., 2009; Sorribas et al., 2015) such as those we calculate in this study to retrieve the OPC and truncation corrections and for n and k retrieval. For instance, Sorribas et al. (2015) showed that using a spheroidal model has a limited effect on the truncation correction. These authors estimated that using a spheroidal model permits to improve by 4 to 13% the agreement between modelled and measured spectral scattering coefficient at 450–700 nm but only for supermicron particles. Conversely, for submicron dust the spherical approximation is better suited than the spheroidal model to reproduce the scattering coefficients by the nephelometer. The study by Mogili et al. (2007) also found an excellent agreement between measured shortwave extinction spectra and those calculated from Mie theory simulations for dust minerals, supporting the use of Mie theory for dust optical modelling. On the other side, other studies point to the need of a non-spherical assumption to improve the modelling of dust optical properties (e.g., Otto et al., 2009). Second, we used Mie theory for the sake of comparison with the large majority of previous field and laboratory data published so far, which had used calculations with the spherical approximation Third, given that the shape distribution and morphology of the dust samples was not measured during experiments, Improper assumptions on the particle shape and morphology may in fact induce even larger errors than using Mie theory, in particular for super-micron aerosols (Kalashnikova and Sokolik, 2004; Nousiainen and Kandler, 2015). It should be pointed out, however, that dust is usually assumed to be spherical in global climate models (e.g., Myhre and Stordal, 2001; Balkanski et al., 2007; Jin et al., 2016), and different studies still show contrasting results on the true impact of dust non-sphericity on radiative fluxes and heating rates from global model simulations (Mishchenko et al., 1995; Yi et al., 2011; Räisänen et al., 2012; Colarco et al., 2014). On the other hand, shape effects can be important for the retrieval of aerosol properties from remote sensing techniques using spectral, angular, and polarized reflectance measurements (e.g., Feng et al., 2009). In synthesis, accounting for shape effects is still controversial for dust modelling and also a complex issue beyond the scope of this paper. Thus, while we acknowledge the potential uncertainties induced by spherical assumptions in our study, we do not quantify here the overall impact of this assumption on our results.

4. Results

Nineteen soil samples from different desert areas in Northern Africa, Sahel, Eastern Africa and the Middle East, Central Asia, Eastern Asia, North America, South America, Southern Africa, and Australia were selected for experiments from a collection of 137 soil samples from source areas worldwide. The main information on the provenance of these soils is provided in Table 2. The nineteen selected soils, the same as analyzed in DB17, represent the major dust source regions depicted in Ginoux et al. (2012). Amongst the database of 137 samples from all the world regions that constitute significant dust emitters, this range in mineralogical composition represents the largest variability in iron oxides contents that can be found worldwide. This is illustrated in Fig. 2 where we represent the variability of hematite and goethite content in the nineteen selected soils and compare it with the range of variability of the global desert soils from the database of Journet et al. (2014).

4.1 Physical and chemical properties of analysed dust samples

4.1.1 Dust mass concentration and size distribution

Figure 3 shows a typical example of a time series of aerosol mass concentration and effective fine and coarse diameters measured inside the CESAM chamber and behind the SW instruments inlets during the experiments, as well as the corresponding β_{sca} and β_{abs} at 370 nm. The Figure shows the rapid increase of the mass concentration within CESAM during dust injection in the chamber, and its subsequent decrease during the experiments due to both size-selective gravitational settling, occurring mostly within the first 30 min of experiments, and dilution by sampling. The scattering and absorption coefficients of dust decrease with time after injection in tandem with the decrease of the mass concentration and the size-dependent depletion in the chamber. The dust mass concentration inside CESAM at the peak of the injection is between 2 mg m⁻³ (Mali) and 310 mg m⁻³ (Bodélé) and falls to values between 0.9 mg m⁻³ (Mali) and 20 mg m⁻³ (Bodélé) behind the SW instruments inlets. These values are comparable to those measured close to sources during dust storms (Rajot et al., 2008; Kander et al., 2009). After a 2-hours experiment, the dust mass concentration has decreased to values of 0.2 to 2.5 mg m⁻³ (inside CESAM) and of 0.1 to 1.9 mg m⁻³ (behind the SW inlets), within a range of values comparable to what has been measured as after medium- to long-range dust transport in the real atmosphere (Weinzerl et al., 2011; Denjean et al., 2016b). The ~~ise data therefore~~ indicates that in a 2-hour experiment in CESAM it is possible to reproduce the temporal changes of the dust mass load observed in the real atmosphere ~~for dust from its emission to medium/long-range transport a receptor site~~.

~~Concurrently with~~ As the mass concentration, the effective diameter of the coarse fraction, $D_{\text{eff,coarse}}$, ~~of the coarse fraction of the dust aerosol~~ also rapidly decreases with time due the progressive deposition of the coarsest particles in the chamber. For the ~~different analyzed various~~ soils, $D_{\text{eff,coarse}}$ varies in the range of 4–8 μm (peak of injection) to 3–4 μm (after 2 hours) inside the CESAM chamber, and in the range of 3–4 μm (peak of injection) to 2–3 μm (after 2 hours) behind the SW inlets. In contrast, $D_{\text{eff,fine}}$ remains quite constant during the experiments, with a value between 0.6 and 0.7 μm for all soils. The values of $D_{\text{eff,coarse}}$ obtained in this study inside the CESAM chamber are in line with those measured close to African sources (4–12 μm , Rajot et al., 2008; Weinzerl et al., 2009; Ryder et al., 2013a) and for dust transported across the Mediterranean (5–8 μm , Denjean et al., 2016a). Conversely, the values

of $D_{\text{eff,coarse}}$ behind the SW instruments inlets are mostly in agreement with those reported for dust transported at Cape Verde and across the Atlantic ocean ($\sim 3 \mu\text{m}$, Maring et al., 2003; Müller et al., 2011; Denjean et al., 2016b). Our values of $D_{\text{eff,fine}}$ are higher compared to values reported by Denjean et al. (2016a) for dust aerosols transported over the Mediterranean (0.2 to $0.5 \mu\text{m}$), reflecting the fact that we analyse pure dust whereas these authors often encountered dust externally mixed with pollution particles.

The comparison of $D_{\text{eff,coarse}}$ values suggests that while the size of dust distribution in CESAM is mostly representative of dust close to sources, ~~(see as already pointed out in DB17)~~, the size measured behind the SW instruments inlets is mostly representative of transport conditions. Figure 4 illustrates this point by showing the volume size distributions of the generated dust aerosols at the peak of injection seen by the SW optical instruments, compared to the average size of dust measured in CESAM (DB17) and field observations close to sources (e.g., Niger) and after long-range transport (Cape Verde, Suriname, ~~and~~ Puerto Rico, and Barbados). The size distribution of dust inside CESAM includes a coarse mode up to $\sim 50 \mu\text{m}$ tenths of micrometres and well reproduces field observations close to sources, as shown in comparison to the Niger case. Due to particle losses along tubes, ~~the coarsest~~ particles above $10 \mu\text{m}$ diameter are not seen by the SW instruments. The overall shape of the dust size distribution sensed by the SW instruments is comparable to that measured during-after atmospheric long-range transport, even if the fraction of particles above $3.9-40 \mu\text{m}$ diameter diameter, which is at the 50% cutoff of the transmission efficiency for the SW optical instruments, is significantly under-represented compared to observations- (i.e., Betzer et al., 1988; Formenti et al., 2001; Maring et al., 2003; Ryder et al., 2013b, 2018; Jeong et al., 2014; Denjean et al., 2016b). It should be keep in mind that often also field data are affected by inlet restrictions so that they cannot measure the whole coarse dust fraction (see Table 1 in Ryder et al., 2018). The lowest cutoff for field data shown in Fig. 4 are for the NAMMA and PRIDE datasets and correspond to upper size limits at 5 and $10 \mu\text{m}$ in diameter, respectively. Being these values above our cutoff of $3.9 \mu\text{m}$, it means that the comparison with our size dataset is meaningful within the range of our measurements. To note that only the data from AER-D did not suffer from significant inlet restrictions thus leading to the observation of giant dust particles up to tens of microns in the Saharan Air Layer off the coasts of Western Africa.

4.1.2 Iron and iron oxide dust content

Elemental iron includes the iron in the form of iron oxides and hydroxides, i.e. hematite and goethite (the so-called free iron, mostly controlling SW absorption) and the iron incorporated in the crystal structure of silicates and aluminosilicates (illite, smectite), which does not substantially contribute to SW absorption (Karickhoff and Bailey, 1973; Lafon et al., 2004). The mass concentrations of these components (total iron oxides, hematite, goethite, and total elemental iron) for the different analysed samples are reported in Table 3. There is a considerable variability in the iron and iron oxide content for our samples. Total iron in the dust samples is in the range from 2.4% (Namib-1) to 10.6% (Australia Namib-2). Iron oxides account for 11% and-to 62% of the iron mass (calculated following C17, not reported in Table 3), whereas the percent of iron oxides to the total dust mass varies between 0.7% (Bodélé Depression) and 5.8% (Niger). These data are in the range of values reported in the literature (Reid et al.,

2003; Scheuvens et al., 2013; Formenti et al., 2011, 2014a). For the samples from the Sahara and the Sahel, goethite is the dominant iron oxide species, in agreement with Lafon et al. (2006) and Formenti et al. (2014a; 2014b). ~~In contrast, over other regions~~Elsewhere, hematite dominates over goethite, as ~~already~~ reported by some studies (Arimoto et al., 2002; Shen et al., 2006; Lu et al., 2011).

4.2 Spectral– and time–dependent dust extinction and absorption coefficients, complex refractive index, and SSA

Figure 5 illustrates a typical spectral– and time–dependent set of measured optical properties. The spectral extinction coefficient, absorption coefficient, SSA, and real and imaginary parts of the complex refractive index obtained at 10–min resolution for the Morocco and Algeria samples are shown at the peak of the dust injection in CESAM and 30 and 90 min after the peak. Figure 5 shows that absorption decreases with wavelength, but not extinction. The SSA increases from 370 to 590 nm while it ~~is~~shows almost constant ~~values~~ between 590 and 950 nm. The imaginary ~~part of the~~ refractive index decreases with λ following the decrease of β_{abs} . The real part of the refractive index ~~does not depend on~~ is almost constant with wavelength.

The extinction and absorption coefficients decrease in absolute value with time, as already shown in Fig. 3, ~~but t~~but their spectral dependence remains quite constant with time, ~~even if it~~but varies from soil to soil. The experiment–averaged ~~a~~Absorption, ~~s~~Scattering, and ~~e~~Extinction Ångström ~~e~~Exponents in the 370–950 nm spectral range, representing the spectral variation of the absorption, scattering and extinction coefficients, vary between the values of 1.5 and 2.4 (AAE), –0.4 and 0.4 (SAE), and –0.2 and +0.5 (EAE) for the different samples. These values are in line with those previously reported by Moosmüller et al. (2012) and C17 for dust from various locations. The retrieved n and k also show negligible changes of their spectral shape with time and their magnitude remains approximately constant. In contrast, the SSA increases with time, in particular below 600 nm wavelength, and ~~so~~ its spectral shape changes. This is mostly due to the decrease of the coarse size fraction with residence time in the chamber, as will be analysed in Sect. 4.5. Similarly to the absorption, scattering, and extinction coefficients, the spectral shape of k and SSA is somewhat different between the various samples, with the sharpest spectral variations observed for the most absorbing samples and a less pronounced spectral variation for the less absorbing ones, as evident, for example, by comparing the SSA data for Morocco and Algeria in Fig. 5.

4.3 Spectral complex refractive index and SSA for the different source regions and comparison to literature data

Figures 6 ~~and 7~~ shows the experiment–averaged n , k , and SSA between 370 and 950 nm for the nineteen aerosol samples analyzed in this study. Data of n , k , and SSA and their uncertainties ~~for each sample~~ are reported in Tables 4 and 5 for each sample together with the average values for each of the eight different source regions and for the full dataset. Figures 6 ~~and 7~~ shows that there are significant differences, both in magnitude and spectral shape, between the imaginary refractive index and SSA for the different samples. The highest values of k (0.0048–0.0088 at 370 nm and 0.0012–0.0021 at 950 nm) ~~(and lowest values of SSA)~~ (0.70–0.75 at 370 nm and 0.95–0.97 at 950 nm) are obtained for the Niger, Mali, Namib–2 and Australia samples, which also show the highest values of both the iron oxide

content between 3.6% and 5.8% and hematite content between 2.0% and 4.8% ~~(k is in the range 0.0048–0.0088 at 370 nm and 0.0012–0.0021 at 950 nm, and SSA is in the range 0.70–0.75 at 370 nm and 0.95–0.97 at 950 nm)~~. The lowest values ~~(k is 0.001 at 370 and 0.0003 at 950 nm, and SSA is in the range 0.91–0.96 at 370 nm and 0.97–0.99 at 950 nm)~~ are obtained for the Bodélé, Namib–1, and Arizona samples, which have iron oxide contents between 0.7% and 1.5% ~~(k is 0.001 at 370 and 0.0003 at 950 nm, and SSA is in the range 0.91–0.96 at 370 nm and 0.97–0.99 at 950 nm)~~. Both k and SSA vary from region to region, with the largest absorptions (highest k, lowest SSA) for the Sahel and Australia and the lowest absorption (lowest k, highest SSA) in North and South America and the Middle East; k and SSA values also vary within the same region, as illustrated ~~in Fig. 6~~ for the Sahelian and Southern African samples. The real part of the refractive index, on the other hand, is not only almost wavelength-independent, as anticipated, but also relatively invariant from sample to sample. Its average over the 370–950 nm spectral range is between 1.48 (Gobi) and 1.55 (Ethiopia and Namib–2).

The full envelope of n, k, and SSA obtained for the entire set of analysed samples is shown in Fig. ~~87a and 7b (left panels)~~. The real refractive index is relatively invariant, while the spectral k varies by up to an order of magnitude (0.001–0.009 at 370 nm and 0.0003–0.002 at 950 nm). The SSA changes accordingly for the different dust samples at the different wavelengths (30% change at 370 nm corresponding to values between 0.70–0.96 and 4% change at 950 nm for values within 0.95–0.99). The population mean is 1.52 for n (as spectral average) and varies in the range 0.0033–0.0009 for k and 0.85–0.98 for the SSA between 370 and 950 nm (0.0016 and 0.94 as spectral averages for k and SSA) (Fig. ~~87~~ and Tables 4 and 5).

The comparison between the full envelope of n, k, and SSA in this study with literature data is also shown in Fig. ~~87a and 7b (right panels)~~. Literature values considered for comparison include estimates from ground-based, aircraft, and satellite observations, laboratory studies, AERONET inversions, and estimates from mixing rules based on the dust mineralogical composition. Given that the sample selection in our experiments fully envelopes the global variability of mineralogy of natural dust, we could expect that our dataset would also fully envelope the global-scale variability of the dust absorption and scattering properties in the SW. When comparing with available literature data we found that our n and SSA datasets very well envelope-encompass the range of values indicated in the literature, with only a few outlier points. In contrast, for the imaginary refractive index the reported range of variability from the literature is significantly larger than that found in our study, with our range of k being mostly at the lower bound of previous results. Nonetheless, our range of k values fully envelopes the ensemble of remote sensing and field campaign data on airborne dust from the previous literature reported in Fig. ~~78a~~. The global average spectral values for k in our study (thick black line) perfectly match the Dubovik et al. (2002) dataset from a synthesis of AERONET observations from various locations worldwide. Likewise, our k average is also very close to the dataset by Balkanski et al. (2007), estimated from mineralogical composition assuming 1.5% ~~(by in volume)~~ of hematite in dust ~~(a value similar to our population average of 1.8% (in mass) for the dust hematite content)~~, a value shown to allow a reconciliation of climate modelling and satellite observations of the dust direct SW radiative effect. By comparison, the average dust hematite content for the ensemble of our analysed samples is 1.8% (in mass), close to the 1.5% value proposed by Balkanski et al. (2007).

Looking at Fig. 87a, the datasets ~~which that~~ show the largest values, which also fall outside deviations ~~from~~ our estimated range of k over the entire considered wavelength range are the ones by: (i) Volz (1972), Patterson et al. (1977) and Hess et al. (1998; i.e., the OPAC 3.1 version database, which is the same k dataset used in the new OPAC 4.0 version, Koepke et al., 2015) showing larger values than our dataset over the entire considered wavelength range. These datasets, which are also amongst the most commonly used references for the dust imaginary refractive index in many climate models; and (ii) the dataset by Wagner et al. (2012), obtained from laboratory chamber experiments, deviating especially below 600 nm wavelength from our range of k . from laboratory chamber experiments; and (iii) the dataset by Steigmann and Yang (2017), estimated from a machine learning technique. The reasons for these discrepancies in the k values are difficult to assess, since they ~~could an~~ be related to both instrumental and analytical aspects. In the studies by Volz (1972) and Patterson et al. (1977), for instance, the complex refractive index was obtained by transmittance and diffuse reflectance on pellet samples, a technique that requires the dust to be pressed in a matrix of non-absorbing material. In this case a discrepancy arises from the different optical behaviour between dust compressed in a pellet and the airborne particles. Moreover, Volz (1972) and Patterson et al. (1977) analyse dust aerosols collected after mid- to long-range transport, ~~thusse~~ after the dust has ~~ve been~~ possibly been mixed with absorbing species.

For the case of Wagner et al. (2012) the imaginary refractive index was retrieved from, laboratory chamber experiments on suspended dust, as in our study. Nonetheless, their approach differs in various aspects from the one applied here and this can lead to the observed differences in the retrieved k . First, the aerosol generation technique is different between the two works and this possibly leads to particles with different physico-chemical features compared to our study. In Wagner et al. (2012) the dust aerosol was generated by a rotating brush disperser using only the 20–75 μm sieved fraction of the soils. This system acts to disaggregate the finest particles of the soil by passing it through a nozzle. Then the largest aerosol grains were removed by a cyclone system (50% cutoff at 1.2 μm aerodynamic diameter), so that only the submicron size fraction was measured. We show in Sect. 4.5 that k is independent of size for the range of investigated effective coarse diameters between 2 and 4 μm , but the range of sizes analysed in Wagner et al. (2012) is significantly lower than in our study and a size-effect cannot be excluded. In fact, the relationship between dust absorption and iron content may vary depending on the considered size fraction (see C17) due to the fact that iron bearing minerals are more concentrated in the clay fraction (<2.0 μm) of the dust (Kandler et al., 2009). Moreover, generating dust in a different way may lead to differences in the chemical and mineralogical size-dependent composition of the sample, therefore contributing to the observed differences. The impact of this is however difficult to evaluate. Another difference concerns the choice of the optical theory to retrieve k (T-matrix in Wagner et al. instead of Mie theory as used in our work). This can contribute to the observed differences, even if in a limited way (Mogili et al., 2007; Sorribas et al., 2015). Third, in their retrieval Wagner et al. fixed the real refractive index to a wavelength-independent value of 1.53 (as done in several other field and laboratory studies in Fig. 8) and this assumption can bias high/low the retrieved k if the actual n is higher/lower than the assumed 1.53 value. So, in summary, while multiple factors could contribute to the discrepancy it remains however difficult to assess which source of discrepancy is dominant.

4.4 Imaginary refractive index and SSA versus iron and iron oxide content

The sample-to-sample variability of the imaginary part of the refractive index k and the SSA observed in Fig. 6 and 7 is related to the dust composition by investigating the dependence on the particle iron content. In Fig. 89 we show the experiment-averaged k and SSA at 370, 520, and 950 nm versus the mass concentration of iron oxides (hematite+goethite, $MC_{Fe-ox\%}$), hematite ($MC_{Hem\%}$), goethite ($MC_{Goeth\%}$), and total elemental iron ($MC_{Fe\%}$) measured for the different dust samples analyzed in this study. The data are linearly fitted to relate k and SSA to $MC_{Fe-ox\%}$, $MC_{Hem\%}$, $MC_{Goeth\%}$, and $MC_{Fe\%}$. The results of the fits at all wavelengths between 370 and 950 nm are reported in Table 6, together with the statistical indicators of the goodness of fit (correlation coefficient, R^2 , and reduced chi square, χ^2_{red} , i.e., the obtained chi square divided by the number of degrees of freedom). The data in Fig. 8 and Table 6 indicate that there is an excellent correlation between both k and SSA and $MC_{Fe-ox\%}$ at the different wavelengths ($R^2 > 0.75$). A weaker correlation is found when relating k and SSA to $MC_{Hem\%}$ and $MC_{Fe\%}$ (R^2 between 0.40 and 0.74 for k and between 0.49 and 0.78 for the SSA), and $MC_{Goeth\%}$ (R^2 between 0.17 and 0.62). The better correlation of k and SSA to $MC_{Fe-ox\%}$ compared to $MC_{Fe\%}$ is expected since dust optical properties in the visible wavelengths are mostly sensitive to the fraction of iron oxides, rather than to iron incorporated into the crystal structure of silicates (i.e., Karickhoff and Bailey, 1973; Lafon et al., 2006; Moosmüller et al., 2012; Klaver et al., 2011; Engelbrecht et al., 2016; C17). The quantities that most robustly satisfy a linear relationship are k and $MC_{Fe-ox\%}$, as indicated by the reduced chi square χ^2_{red} that is around 1 at all different wavelengths. The χ^2_{red} increases to values also larger than 2 in the other cases, indicating the poorer robustness of the fit in these cases.

We also investigated the dependence of the spectral k and SSA on the mass concentration of other minerals contained in dust, such as clays, calcite, quartz, and feldspars, and also on the mass concentration of different elements. We found that there is no statistically significant correlation between k and or SSA and the mass concentration of any of these compounds (not shown), with R^2 values between 0.002 and 0.46 at the different wavelengths for all cases.

These results therefore clearly show that iron, particularly in the form of iron oxides (hematite + goethite), is the main driver of dust shortwave absorption. Measuring only the hematite mass fraction to estimate the dust absorption, as it is sometimes done, is therefore not sufficient.

4.5 Imaginary refractive index and SSA versus dust coarse size fraction

The dependence of the spectral k and SSA on the dust coarse fraction is investigated by relating it to the $D_{eff,coarse}$ calculated from the size distribution data behind the SW instruments inlets. The k_{10-min} and SSA_{10-min} at 370, 520, and 950 nm versus $D_{eff,coarse}$ are shown in Fig. 109 for all experimental data, which we separated into three classes based on their iron oxide content ($MC_{Fe-ox\%} \leq 1.5\%$, $1.5\% < MC_{Fe-ox\%} < 3\%$, $MC_{Fe-ox\%} \geq 3\%$). Figure 109 shows that even if the correlation is not very strong ($R^2 < 0.54$), there is a clearly decreasing tendency for the SSA_{10-min} with increasing $D_{eff,coarse}$, particularly at 370 and 520 nm for strongly absorbing samples with iron oxide content larger than 3%. The SSA_{10-

$k_{10-\text{min}}$ is mostly independent of changes of $D_{\text{eff,coarse}}$ at 950 nm. Conversely, $k_{10-\text{min}}$ has a very poor correlation with $D_{\text{eff,coarse}}$ ($R^2 < 0.35$) and thus does not depend on size. Similar results were also obtained for the real part (not shown).

These results confirm previous observations (Sokolik and Toon, 1999; McConnell et al., 2008, 2010; Kandler et al., 2011; Ryder et al., 2013a; 2013b) that the refractive index, ~~an intrinsic property of matter,~~ is independent of size. This suggests that size-dependent mineralogical composition is not sufficient to affect k (in the limit of our measurement and retrieval procedure precision). It is worth mentioning that only few past studies evidenced a dependence of k on the size distribution of the dust aerosols (i.e., Kandler et al., 2009, 2011; Otto et al., 2009) maybe because the refractive index was retrieved in these studies from mixing rules based on the estimated size-dependent mineralogical composition.

~~while~~ Differently from k , the SSA increases as the coarse dust size fraction decreases. This is due to the fact that absorption efficiency for a single particle (Q_{abs}) increases with particle diameter while the scattering efficiency (Q_{sca}) decreases. Ryder et al. (2013a) also showed that the dependence of SSA on size is linear, but important only when the coarse fraction is high (if particles larger than about 3 μm in diameter are present), otherwise the SSA depends mainly on composition, also in agreement with more recent field observations by Ryder et al. (2018).

5. Summary ~~and concluding remarks~~

In this paper we presented new measurements of the spectral SW complex refractive index ($m=n-ik$) and single scattering albedo (SSA) for nineteen mineral dust aerosols generated in the laboratory from natural soil samples from major desert dust source areas in northern Africa, the Sahel, Middle East, eastern Asia, North and South America, southern Africa, and Australia, and selected to represent the heterogeneity of the dust composition at the global scale, in particular the range of iron oxide concentrations. The envelope of refractive indices and SSA data obtained in this study can thus be taken as representative of the variability of the global dust aerosol.

Experiments described here were conducted in the 4.2 m³ CESAM chamber, a ~~realistic and~~ dynamic environment where dust aerosols ~~can be~~ generated and maintained in suspension for ~~few several~~ hours while monitoring the evolution of their physical, chemical, and optical properties. The generated dust aerosols are characterized by a realistic size distribution, including both the sub-micron and the super-micron fraction, and they have an atmospherically representative mass concentration and composition, including iron oxides and elemental iron content.

Some other laboratory studies have been performed in the past to investigate the shortwave SSA of dust from different sources worldwide and its dependence on composition (Linke et al., 2006; Moosmüller et al., 2012; Engelbrecht et al., 2016). Conversely, for the refractive index there exists to our knowledge only one other chamber study (Wagner et al., 2012), that retrieved the imaginary part k between 305 and 955 nm for dust aerosols from a limited number of source areas in Africa (Burkina Faso, Egypt and Morocco). As a matter of fact, our work provides the first consistent simulation chamber study of the complex refractive index of global dust.

The results of the present study can be summarized as follows:

4. The spectral k and SSA retrieved in this study vary from sample to sample within the same region but also from a region to another. For k , values vary between 0.0011–0.00988 at 370 nm, 0.0006 to 0.0048 at 520 nm, and 0.0003–0.002 at 950 nm. For SSA, values vary from 0.70 to 0.96 at 370 nm, 0.85 to 0.98 at 520 nm, and from 0.95 to 0.99 at 950 nm. In contrast, n is wavelength-independent and almost uniform for the different sources, with values between 1.48 and 1.55. Values for n and SSA fall within the range of published literature estimates, while for k we obtain a much narrower range of variability than the ensemble of literature results, as illustrated in Fig. 87. In particular, we found lower values of k compared to most of the literature values currently used in climate models, such as Volz et al. (1972), Patterson et al. (1977), and the OPAC database (Hess et al., 1998; Koepke et al., 2015). In their study, Miller et al. (2014) state that the values of Dubovik et al. (2002) from AERONET, Patterson et al. (1977) for far-travelled dust, and OPAC probably bracket the global solar absorption by dust. In contrast, our results indicate that dust absorption is lower than previously thought, and its average is close to the values reported by Dubovik et al. (2002) from AERONET observations and Balkanski et al. (2007) for a dust with a 1.5% volume fraction of hematite. Our range of variability of an order of magnitude for k and between 4% and 30% for the spectral SSA is actually large enough to change the sign of the global dust direct effect at the TOA (Miller et al., 2004), as well as its regional implications (e.g., Solmon et al., 2008; Jin et al., 2016), and has to be taken into account in climate modelling.
5. The documented changes in k and SSA also impact remote sensing retrievals. To give an example, following Gasteiger et al. (2011), our observed variability of about 10% for the SSA at 532 nm would translate to about 40% variability in the retrieved extinction profiles and optical depths from lidar observations for dust from varying sources.
6. The sample-to-sample variability observed in this study is mostly related to the iron oxide and elemental iron content in dust. At each investigated wavelength the magnitude of k and SSA is linearly correlated to the mass concentration of total iron oxides, hematite, goethite, and total elemental iron. Small variations of these compounds translate into large variations of k and SSA. ~~This suggests that it is sufficient to know the content of iron oxide or elemental iron in dust to predict its spectral k and SSA, which represents a huge simplification for parameterizing their regional and global variability.~~
7. We also investigated the dependence of k and SSA on the size distribution of dust. While k is independent of size (suggesting that a constant value can be used along transport), below 600 nm the SSA linearly decreases for increasing $D_{\text{eff,coarse}}$ for strongly absorbing samples with more than 3% iron oxide content. ~~The~~ investigated range of $D_{\text{eff,coarse}}$ is within about 2 and 4 μm , and thus comparable to values obtained along a transport path over the Atlantic Ocean for dust during about 2 to 6 days following emission (Denjean et al., 2016a).

8. The observations of points 3 and 4 suggest that while it is sufficient to know the content of iron oxide (or elemental iron) in dust to predict its spectral k, which means that only one tracer is needed in models to parametrize its regional and global variability, for the spectral SSA both composition and size distribution are required.

9. Concluding remarks

Based on our results, we recommend that dust simulations, as well as remote sensing retrievals, use source-dependent values of the spectral SW refractive index and SSA instead of generic values. We propose, as a first step, a set of regionally-averaged n , k , and SSA values to represent dust from each of the eight regions analysed here as well as a global average value from the ensemble of our data (Tables 4 and 5).—Furthermore, the relationships found between k and SSA and the iron oxides or elemental iron content in dust open the perspective to establish predictive rules to estimate the spectrally-resolved SW absorption of dust based on composition. We recommend the use of iron oxide content rather than iron content as it is better correlated with k and SSA. The relationship found in this study, nonetheless, refer to the bulk composition of the dust aerosols and to a size range typical of 2 to 6 days of transport in the atmosphere. As demonstrated in C17 ~~with-for~~ the mass extinction efficiency, the relationships linking the dust absorption to iron content vary as a function of the analysed size fraction due to the fact that iron bearing minerals are more concentrated in the clay fraction ($<2.0\ \mu\text{m}$) than in the coarsest fraction of the dust (Kandler et al., 2009; C17). Further investigation should be therefore addressed to evaluate the dependence of the spectral k and SSA versus iron content as a function of the size distribution of the particles, in particular extending to a wider range of $D_{\text{eff,coarse}}$ compared to the one investigated in the present study. This will allow to determine if the k and SSA versus iron relationships change or not in different phases of the aerosol lifetime, so if it is valid close-from the to source areas (when the coarsest fraction is dominant, i.e. $D_{\text{eff,coarse}}$ up to $15\ \mu\text{m}$, Ryder et al. (2013b), and in to long-range transport conditions (when most of the coarse particle fraction above few μm has settled out (i.e., $D_{\text{eff,coarse}}$ of $2\text{--}3\ \mu\text{m}$ or lower, Denjean et al. (2016b)).

—We point out, however, that the use of mineralogy to estimate k and SSA based on linear relationships, as obtained in our study, requires ~~nonetheless~~ that the model-predicted dust composition accurately reflects that of the natural atmospheric aerosols. To this aim, realistic soil mineralogy databases and accurate modelling of the soil to aerosol size fractionation need to be developed in model schemes. In this sense we mention the EMIT project (Earth Surface Mineral Dust Source Investigation) as a potential near-future source of high resolution surface mineralogy data for arid and semi-arid regions based on imaging spectroscopy satellite data (Green et al., 2018). Also, a realistic representation of the size distribution, in particular the coarse mode fraction of dust and its retention during atmospheric transport, should be provided in models given its importance in affecting the SSA, as shown in this study and previously reported in other papers (Ryder et al., 2013a, 2013b, 2018; Kok et al., 2017).

Our study focuses on the dust spectral optical properties between 370 and 950 nm. Further work is required to extend the range of spectral refractive index and SSA data to wavelengths lower than 370

nm or higher than 950 nm given that these data are often required in Global Circulation Models and Numerical Weather Prediction models.

We do not provide any quantification of the uncertainty associated with the assumption of spherical particles in our study, even if we acknowledge the potential role of non-sphericity in affecting our data treatment and results. Additional work is foreseen to better investigate the shape of our generated dust and the impact of non-sphericity on retrieved spectral refractive indices and SSA.

Finally, this study had the objective to investigate the variability of the dust SW optical properties at the global scale ~~in-linked~~ to the global variability of the dust composition. It is noteworthy that observations over Southern Africa and the Sahel from the present study indicate that the k and SSA ~~variabilities~~variability over these regions ~~are~~is comparable to the ones obtained for the global scale. For other regions, such as North America and Australia, only one sample was analyzed, with no information on the regional-scale variability of k and SSA. Additionally, for some of the analyzed areas, such as the Bodélé depression, even local scale variability (on the order of few km) may be of relevance, given the documented local scale changes of the particles' mineralogy and iron content (Bristow et al., 2010). More efforts should be therefore devoted to better characterize the variability of dust spectral optical properties at the regional and sub-regional scale with the aim of better assessing the dust impact on the climate of different areas of the world.

Data availability

Complex refractive index and single scattering albedo data for the different analyzed samples are provided in Tables 4 and 5 and will be compiled together with aerosol properties from other studies within the Library of Advanced Data Products (LADP) of the EUROCHAMP datacenter (<https://data.eurochamp.org>). ~~Processed-The~~ CESAM data used in this study are immediately available upon request to the contact author and. ~~They~~ will also soon be made available through the Database of Atmospheric Simulation Chamber Studies (DASCS) of the EUROCHAMP datacenter (<https://data.eurochamp.org/>) ~~EUROCHAMP-2020 data center (<https://data.eurochamp.org/>)~~. The following IDL routines were used in the analysis: `mpfitxy.pro` (available at <https://github.com/williamsmj/mpfitxy>) was used to linearly fit data taking into account uncertainties on both x and y ; `mie_single.pro` (available at http://www.atm.ox.ac.uk/code/mie/mie_single.html) was used for optical calculations using Mie theory; `mpcurvefit.pro` (available at <http://cow.physics.wisc.edu/~craigm/idl/idl.html>) was used for size lognormal fitting.

Author contributions

C. Di Biagio, P. Formenti, Y. Balkanski, and J. F. Doussin designed the experiments and discussed the results. C. Di Biagio performed the experiments and performed the full data analysis with contributions

by P. Formenti, L. Caponi, M. Cazaunau, E. Pangui, and J.F. Doussin. The soil samples used for experiments were collected by M. O. Andreae, K. Kandler, T. Saeed, S. Piketh, D. Seibert, and E. Williams. E. Journet participated to the selection of the soil samples for experiments. S_{ophie} Nowak performed the XRD measurements. C. Di Biagio and P. Formenti wrote the manuscript with comments from all co-authors.

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Table 1. Measured and retrieved quantities and their estimated relative uncertainties. For further details, refer to Sect. 2, as well DB17 and C17.

Parameter		Time resolution	Relative uncertainty	Uncertainty calculation	Comments
Optical SW	Scattering coefficient at 450, 550, and 700 nm, $\beta_{\text{sca}}(\lambda)$	10-min data	5–12%	Quadratic combination of photon counting and gas calibration uncertainty (5%), angular corrections uncertainty (<5%) and standard deviation over 10-min intervals (2–10%).	The uncertainty on $\beta_{\text{sca}}(\lambda)$ usually decreases with increasing dust residence time in the chamber as a result of the reduction of the coarse component.
	Absorption coefficient at 370, 470, 520, 590, 660, 880, and 950 nm, $\beta_{\text{abs}}(\lambda)$	10-min data	22–30% at 370 nm 23–87% at 950 nm	Error propagation formula ¹ on Eq. (2) considering the uncertainties on $\beta_{\text{ATT}}(\lambda)$ from 10-min fitting procedure (error propagation formula ¹ on Eq. 1, ~20%), and uncertainties on $\alpha(\lambda)$ (1%), $\beta_{\text{sca}}(\lambda)$ (5–12%), C_{ref} (10%), and R (1–10%).	
	Extinction coefficient, $\beta_{\text{ext}}(\lambda) = \beta_{\text{sca}}(\lambda) + \beta_{\text{abs}}(\lambda)$	10-min data	~25%	Sum of $\beta_{\text{sca}}(\lambda)$ and $\beta_{\text{abs}}(\lambda)$ uncertainties	
	Single Scattering Albedo, $\text{SSA}(\lambda) = \beta_{\text{sca}}(\lambda) / (\beta_{\text{sca}}(\lambda) + \beta_{\text{abs}}(\lambda))$	10-min data	9–12%	Error propagation formula ¹ considering single uncertainties on β_{sca} and β_{abs} .	
	Single Scattering Albedo, $\text{SSA}(\lambda) = (1 + 1/m(\lambda))^{-1}$	Experiment averaged	1–12% at 370 nm 1–3% at 950 nm	Error propagation formula ¹ on Eq. (6) considering the uncertainty on $m(\lambda)$, i.e., the slope of the linear fit between β_{sca} and β_{abs} over the whole duration of each experiment.	
	Complex refractive index ($n - ik$)	10-min data	<5% for n <50% for k	Deviations of the values of n and k retrieved in the sensitivity study (see Sect. 3.2) with respect to those obtained in the first inversions were assumed to correspond to the one standard deviation uncertainty to 10-min retrieved values.	
Size distribution	Complex refractive index ($n - ik$)	Experiment averaged	<8% for n 13–75 % for k	Quadratic combination of the standard deviation of n and k over the experiment and the deviation on the experiment-averaged values between those obtained from central inversions and inversions using input data \pm their uncertainty.	
	SMPS geometrical diameter (D_g), $D_g = D_m / \chi$	–	~6%	Error propagation formula ¹ considering the uncertainty on the estimated shape factor χ (~6%)	The electrical mobility to geometrical diameter conversion was performed by assuming for dust a dynamic shape factor of 1.75 ± 0.10 , as

					determined by SMPS–SkyGrimm comparison in their overlapping range (see DB17)
	SkyGrimm geometrical diameter (D _g)	–	<15.2%	Standard deviation of the D _g values obtained for different refractive indices values used in the optical to geometrical conversion	The conversion of optical to geometrical diameters for the SkyGrimm and the WELAS was performed by <u>taking into account the visible complex refractive index of dust aerosols. Optical calculations were computed at the SkyGrimm operating wavelength (0.655 μm) and over the spectral range of the WELAS (0.35 to 0.7 μm) using Mie theory for spherical particles by fixing n at 1.47, 1.50, and 1.53, and by varying k in steps of 0.001 between 0.001 and 0.005. Mie calculations by varying the dust refractive index in the range 1.47–1.53 for the real part and 0.001–0.005 for the imaginary part. Then D_g is set at the mean ± 1 standard deviation of the values obtained for the different values of n and k (see DB17) (see DB17).</u> Refractive index is assumed to be constant with particle size and wavelength-independent.
	WELAS geometrical diameter (D _g)	–	<7%	The same as for the SkyGrimm	
	(dN/dlogD) _{SWoptics}	10-min data	~20–90%	Error propagation formula ¹ considering the dN/dlogD _g st. dev. over 10-min and the uncertainty on particle loss function along sampling tubes L(D _g) (~50% at 2 μm, ~10% at 8 μm)	The uncertainty of L(D _g) was estimated with a sensitivity study by varying the values of the input parameters to the Particle Loss Calculator software within their uncertainties (see DB17)
	D _{eff, fine}	10-min data	<5%	Deviation obtained by repeating the calculations by using the size distribution ± its uncertainty.	
	D _{eff, coarse}	10-min data	5–40%		
Mineralogical composition	Elemental iron mass concentration (MC _{Fe%})	Experiment averaged	10%	Uncertainties calculated as discussed in DB17 and C17	
	Iron oxides mass concentration (MC _{Fe-ox%})	Experiment averaged	15%		
	Goethite mass concentration (MC _{Goet%})	Experiment averaged	<10%		
	Hematite mass concentration (MC _{Hem%})	Experiment averaged	<10%		

1982
$$^1 \sigma_f = \sqrt{\sum_{i=1}^n \left(\frac{\partial f}{\partial x_i} \sigma_{x_i} \right)^2}$$

1983

1984

Table 2. Summary of information on the soil samples and sediments used in this study.

Geographical area	Sample	Coordinates	Desert area
Northern Africa – Sahara	Tunisia	33.02°N, 10.67°E	Maouna
	Morocco	31.97°N, 3.28°W	east of Ksar Sahli
	Libya	27.01°N, 14.50°E	Sebha
	Algeria	23.95°N, 5.47°E	Ti-n-Tekraouit
	Mauritania	20.16°N, 12.33°W	east of Aouinet Nchir
Sahel	Niger	13.52°N, 2.63°E	Banizoumbou
	Mali	17.62°N, 4.29°W	Dar el Beida
	Bodélé	17.23°N, 19.03°E	Bodélé depression
Eastern Africa and the Middle East	Ethiopia	7.50°N, 38.65°E	Lake Shala National Park
	Saudi Arabia	27.49°N, 41.98°E	Nefud
	Kuwait	29.42°N, 47.69°E	Kuwaiti
Eastern Asia	Gobi	39.43°N, 105.67°E	Gobi
	Taklimakan	41.83°N, 85.88°E	Taklimakan
North America	Arizona	33.15 °N, 112.08°W	Sonoran
South America	Atacama	23.72°S, 70.40°W	Atacama
	Patagonia	50.26°S, 71.50°W	Patagonia
Southern Africa	Namib-1	21.24°S, 14.99°E	Namib
	Namib-2	19.00°S, 13.00°E	Namib
Australia	Australia	31.33°S, 140.33°E	Strzelecki

Table 3. Chemical characterization of the dust aerosols in the PM_{10.6} size fraction. Column 3 shows MC_{Fe%}, the fractional mass of elemental iron with respect to the total dust mass concentration ($\pm 10\%$ relative uncertainty), and column 4 reports MC_{Fe-ox%}, the mass fraction of iron oxides with respect to the total dust mass concentration ($\pm 15\%$ relative uncertainty) and its speciation in hematite MC_{Hem%} and goethite MC_{Goeth%} ($< \pm 10\%$ relative uncertainty). The iron oxide measurements were not made on the Taklimakan sample. Mean values and standard deviations based on single sample data are reported for the full dataset.

Geographical area	Sample	MC _{Fe%}	MC _{Fe-ox%}	MC _{Hem%}	MC _{Goeth%}
Northern Africa – Sahara	Tunisia	4.1	2.2	1.2	1.1
	Morocco	3.6	1.4	0.4	1.0
	Libya	5.2	3.1	0.9	2.2
	Algeria	6.6	2.7	1.4	1.4
	Mauritania	8.1	3.3	3.3	0.0

Sahel	Niger	6.1	5.8	2.3	3.5
	Mali	6.6	3.7	2.0	1.7
	Bodélé	4.1	0.7	0.7	0.0
Eastern Africa and the Middle East	Ethiopia	6.8	2.0	2.0	0.0
	Saudi Arabia	3.8	2.6	1.8	0.8
	Kuwait	5.0	1.5	1.5	0.0
Eastern Asia	Gobi	4.8	0.9	0.9	0.0
	Taklimakan	5.8	—	—	—
North America	Arizona	5.3	1.5	1.5	0.0
South America	Atacama	4.7	1.6	1.6	0.0
	Patagonia	5.1	1.5	0.9	0.6
Southern Africa	Namib — 1	2.4	1.1	0.8	0.3
	Namib — 2	10.6	4.8	4.8	0.0
Australia	Australia	7.2	3.6	3.6	0.0
Full dataset mean (st. dev.)		5.6 (1.9)	2.4 (1.4)	1.8 (1.1)	0.7 (1.0)

2011

Table 4. Real (n) and imaginary (k) parts of the refractive index estimated for the nineteen analysed dust samples and mean values calculated for the eight regions and for the full dataset. Data for single soils are reported as experiment-averaged values and their uncertainty is calculated as indicated in Table 1. Mean values and standard deviations at each wavelength based on single sample data are reported for the eight regions and the full dataset. The median and 10% and 90% percentile values are also reported for the full dataset. For North America and Australia, for which only one dust sample was analysed, the reported data correspond to the single sample available from these regions. For the real part, the average over the whole shortwave range (n_{SW}) is indicated.

Sample/Region	n_{SW}	$\sigma_{n_{\text{SW}}}$	k							σ_k						
	0.37–0.95 μm	0.37–0.95 μm	0.37 μm	0.47 μm	0.52 μm	0.59 μm	0.66 μm	0.88 μm	0.95 μm	0.37 μm	0.47 μm	0.52 μm	0.59 μm	0.66 μm	0.88 μm	0.95 μm
Tunisia	1.51	0.06	0.00 45	0.00 35	0.00 26	0.00 18	0.00 15	0.00 13	0.00 12	0.00 30	0.00 26	0.00 18	0.00 12	0.00 10	0.00 08	0.00 07
Morocco	1.49	0.03	0.00 23	0.00 16	0.00 12	0.00 08	0.00 07	0.00 06	0.00 07	0.00 06	0.00 04	0.00 03	0.00 02	0.00 02	0.00 02	0.00 02
Lybia	1.5	0.04	0.00 29	0.00 19	0.00 14	0.00 07	0.00 06	0.00 07	0.00 07	0.00 06	0.00 04	0.00 02	0.00 01	0.00 02	0.00 02	0.00 02
Algeria	1.52	0.04	0.00 25	0.00 16	0.00 12	0.00 07	0.00 05	0.00 06	0.00 06	0.00 10	0.00 06	0.00 04	0.00 03	0.00 03	0.00 03	0.00 03
Mauritania	1.5	0.03	0.00 43	0.00 33	0.00 26	0.00 14	0.00 13	0.00 10	0.00 10	0.00 10	0.00 09	0.00 08	0.00 03	0.00 03	0.00 04	0.00 03
Northern Africa – Sahara (mean and st. dev.)	1.51	0.03	0.00 33	0.00 24	0.00 18	0.00 11	0.00 09	0.00 08	0.00 08	0.00 10	0.00 10	0.00 07	0.00 05	0.00 04	0.00 03	0.00 03
Niger	1.51	0.04	0.00 88	0.00 61	0.00 48	0.00 34	0.00 31	0.00 28	0.00 21	0.00 43	0.00 31	0.00 23	0.00 18	0.00 15	0.00 10	0.00 13
Mali	1.52	0.05	0.00 48	0.00 38	0.00 30	0.00 23	0.00 24	0.00 21	0.00 21	0.00 08	0.00 06	0.00 04	0.00 03	0.00 03	0.00 03	0.00 03
Bodélé	1.49	0.03	0.00 11	0.00 07	0.00 06	0.00 04	0.00 04	0.00 03	0.00 03	0.00 06	0.00 04	0.00 03	0.00 02	0.00 02	0.00 01	0.00 01
Sahel (mean and st. dev.)	1.51	0.03	0.00 49	0.00 35	0.00 28	0.00 20	0.00 20	0.00 17	0.00 15	0.00 38	0.00 27	0.00 21	0.00 15	0.00 14	0.00 13	0.00 11
Ethiopia	1.55	0.06	0.00 26	0.00 20	0.00 16	0.00 13	0.00 11	0.00 07	0.00 06	0.00 09	0.00 08	0.00 07	0.00 05	0.00 04	0.00 02	0.00 02
Saudi Arabia	1.54	0.06	0.00 28	0.00 21	0.00 15	0.00 07	0.00 06	0.00 06	0.00 06	0.00 06	0.00 05	0.00 04	0.00 02	0.00 01	0.00 01	0.00 01
Kuwait	1.50	0.04	0.00 16	0.00 10	0.00 08	0.00 06	0.00 05	0.00 05	0.00 04	0.00 05	0.00 03	0.00 03	0.00 02	0.00 02	0.00 03	0.00 02
Eastern Africa and the Middle East (mean and st. dev.)	1.53	0.05	0.00 23	0.00 17	0.00 13	0.00 09	0.00 07	0.00 06	0.00 05	0.00 07	0.00 06	0.00 05	0.00 04	0.00 03	0.00 01	0.00 01
Gobi	1.48	0.05	0.00 41	0.00 25	0.00 18	0.00 12	0.00 11	0.00 12	0.00 12	0.00 17	0.00 09	0.00 06	0.00 04	0.00 04	0.00 05	0.00 05
Taklimakan	1.54	0.07	0.00 18	0.00 12	0.00 09	0.00 06	0.00 05	0.00 05	0.00 05	0.00 08	0.00 05	0.00 04	0.00 02	0.00 02	0.00 02	0.00 02
Eastern Asia (mean and st. dev.)	1.51	0.05	0.00 30	0.00 19	0.00 14	0.00 09	0.00 08	0.00 08	0.00 09	0.00 16	0.00 09	0.00 06	0.00 05	0.00 05	0.00 05	0.00 05
Arizona	1.51	0.05	0.00 11	0.00 09	0.00 07	0.00 05	0.00 05	0.00 05	0.00 04	0.00 05	0.00 04	0.00 03	0.00 02	0.00 02	0.00 02	0.00 02
North America (mean and st. dev.)	1.51	0.05	0.00 11	0.00 09	0.00 07	0.00 05	0.00 05	0.00 05	0.00 04	0.00 05	0.00 04	0.00 03	0.00 02	0.00 02	0.00 02	0.00 02
Atacama	1.54	0.07	0.00 16	0.00 15	0.00 12	0.00 08	0.00 06	0.00 06	0.00 06	0.00 05	0.00 04	0.00 03	0.00 02	0.00 02	0.00 02	0.00 02
Patagonia	1.53	0.07	0.00 24	0.00 16	0.00 11	0.00 09	0.00 06	0.00 07	0.00 06	0.00 08	0.00 05	0.00 03	0.00 03	0.00 03	0.00 03	0.00 02
South America (mean and st. dev.)	1.54	0.06	0.00 20	0.00 15	0.00 11	0.00 08	0.00 06	0.00 07	0.00 06	0.00 06	0.00 01	0.00 01	0.00 01	0.00 00	0.00 01	0.00 00
Namib-1	1.53	0.06	0.00 12	0.00 09	0.00 06	0.00 04	0.00 03	0.00 04	0.00 04	0.00 06	0.00 04	0.00 03	0.00 02	0.00 01	0.00 02	0.00 01
Namib-2	1.55	0.07	0.00 72	0.00 54	0.00 44	0.00 25	0.00 18	0.00 14	0.00 14	0.00 27	0.00 19	0.00 16	0.00 09	0.00 07	0.00 06	0.00 06
Southern Africa (mean and st. dev.)	1.54	0.06	0.00 42	0.00 31	0.00 25	0.00 14	0.00 11	0.00 09	0.00 09	0.00 42	0.00 32	0.00 27	0.00 15	0.00 10	0.00 07	0.00 07
Australia	1.54	0.06	0.00 58	0.00 42	0.00 33	0.00 17	0.00 13	0.00 13	0.00 12	0.00 22	0.00 11	0.00 10	0.00 06	0.00 06	0.00 04	0.00 03
Australia (mean and st. dev.)	1.54	0.06	0.00 58	0.00 42	0.00 33	0.00 17	0.00 13	0.00 13	0.00 12	0.00 22	0.00 11	0.00 10	0.00 06	0.00 06	0.00 04	0.00 03
Full dataset (mean and st. dev.)	1.52	0.04	0.00 33	0.00 24	0.00 18	0.00 12	0.00 10	0.00 09	0.00 09	0.00 21	0.00 16	0.00 13	0.00 08	0.00 07	0.00 06	0.00 05
Full dataset median	1.52		0.00 26	0.00 19	0.00 14	0.00 08	0.00 06	0.00 07	0.00 06							
Full dataset 10% percentile	1.49		0.00 12	0.00 09	0.00 07	0.00 05	0.00 04	0.00 04	0.00 04							

Full dataset 90% percentile	1.54		0.00 61	0.00 44	0.00 35	0.00 23	0.00 19	0.00 15	0.00 15							
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Table 5. As in Table 4 for the single scattering albedo (SSA) data.

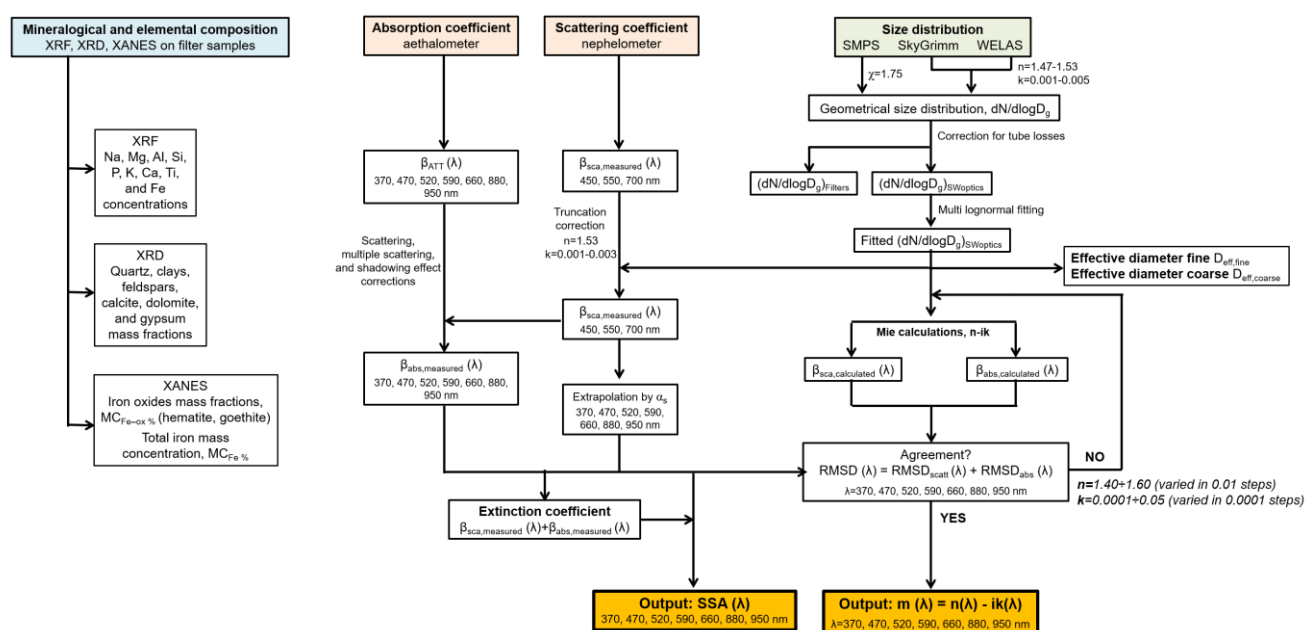
Sample/Region	SSA							σ_{SSA}						
	0.37 μm	0.47 μm	0.52 μm	0.59 μm	0.66 μm	0.88 μm	0.95 μm	0.37 μm	0.47 μm	0.52 μm	0.59 μm	0.66 μm	0.88 μm	0.95 μm
Tunisia	0.85	0.90	0.93	0.95	0.95	0.97	0.97	0.03	0.02	0.02	0.01	0.01	0.01	0.01
Morocco	0.92	0.95	0.96	0.98	0.98	0.98	0.99	0.01	0.01	0.01	0.00	0.00	0.00	0.00
Lybia	0.89	0.93	0.95	0.98	0.98	0.98	0.98	0.02	0.01	0.01	0.00	0.00	0.00	0.00
Algeria	0.87	0.92	0.94	0.97	0.97	0.98	0.98	0.02	0.01	0.01	0.00	0.00	0.00	0.00
Mauritania	0.85	0.90	0.94	0.96	0.97	0.98	0.98	0.02	0.01	0.01	0.01	0.01	0.00	0.00
Northern Africa – Sahara (mean and st. dev.)	0.88	0.92	0.94	0.97	0.97	0.98	0.98	0.03	0.02	0.02	0.01	0.01	0.01	0.01
Niger	0.72	0.85	0.89	0.91	0.92	0.94	0.95	0.09	0.09	0.07	0.05	0.05	0.03	0.02
Mali	0.75	0.85	0.89	0.93	0.95	0.96	0.96	0.04	0.03	0.02	0.02	0.02	0.01	0.01
Bodélé	0.96	0.98	0.98	0.99	0.99	0.99	0.99	0.04	0.02	0.02	0.01	0.01	0.01	0.01
Sahel (mean and st. dev.)	0.81	0.89	0.92	0.94	0.95	0.96	0.97	0.13	0.07	0.05	0.04	0.04	0.03	0.02
Ethiopia	0.80	0.86	0.90	0.92	0.94	0.97	0.97	0.03	0.03	0.02	0.02	0.01	0.01	0.01
Saudi Arabia	0.88	0.93	0.96	0.98	0.98	0.98	0.98	0.03	0.02	0.01	0.01	0.01	0.00	0.00
Kuwait	0.95	0.97	0.98	0.98	0.99	0.99	0.99	0.02	0.01	0.01	0.01	0.01	0.01	0.00
Eastern Africa and the Middle East (mean and st. dev.)	0.88	0.92	0.94	0.96	0.97	0.98	0.98	0.07	0.05	0.04	0.03	0.03	0.01	0.01
Gobi	0.88	0.92	0.94	0.96	0.97	0.97	0.97	0.04	0.03	0.02	0.01	0.01	0.01	0.01
Taklimakan	0.82	0.88	0.92	0.95	0.96	0.96	0.96	0.03	0.02	0.02	0.01	0.01	0.01	0.01
Eastern Asia (mean and st. dev.)	0.85	0.90	0.93	0.96	0.96	0.97	0.97	0.04	0.03	0.02	0.01	0.01	0.01	0.01
Arizona	0.93	0.96	0.97	0.98	0.98	0.99	0.99	0.01	0.01	0.01	0.00	0.00	0.00	0.00
North America (mean and st. dev.)	0.93	0.96	0.97	0.98	0.98	0.99	0.99	0.01	0.01	0.01	0.00	0.00	0.00	0.00
Atacama	0.89	0.93	0.94	0.97	0.97	0.98	0.98	0.03	0.02	0.02	0.01	0.01	0.01	0.01
Patagonia	0.88	0.91	0.94	0.96	0.97	0.98	0.98	0.02	0.02	0.01	0.01	0.01	0.00	0.01
South America (mean and st. dev.)	0.89	0.92	0.94	0.96	0.97	0.98	0.98	0.00	0.01	0.00	0.00	0.00	0.00	0.00
Namib ₁	0.91	0.95	0.96	0.98	0.98	0.99	0.99	0.02	0.01	0.01	0.00	0.00	0.00	0.00
Namib ₂	0.74	0.82	0.86	0.92	0.94	0.96	0.97	0.03	0.02	0.02	0.01	0.01	0.01	0.01
Southern Africa (mean and st. dev.)	0.83	0.88	0.91	0.95	0.96	0.98	0.98	0.12	0.09	0.07	0.04	0.03	0.02	0.02
Australia	0.70	0.81	0.85	0.91	0.93	0.96	0.97	0.04	0.03	0.02	0.01	0.01	0.01	0.01
Australia (mean and st. dev.)	0.70	0.81	0.85	0.91	0.93	0.96	0.97	0.04	0.03	0.02	0.01	0.01	0.01	0.01
Full dataset (mean and st. dev.)	0.85	0.91	0.93	0.96	0.96	0.97	0.98	0.08	0.05	0.04	0.03	0.02	0.01	0.01
Full dataset median	0.88	0.92	0.94	0.96	0.97	0.98	0.98							
Full dataset 10% percentile	0.74	0.84	0.88	0.92	0.94	0.96	0.96							
Full dataset 90% percentile	0.93	0.96	0.97	0.98	0.99	0.99	0.99							

Table 6. Results of the linear fit between k and SSA and the mass concentration of iron oxides, $MC_{Fe-ox\%}$, hematite, $MC_{Hem\%}$, goethite, $MC_{Goeth\%}$, and elemental iron, $MC_{Fe\%}$ in dust. Column 1 indicates the wavelength; $(a \pm \sigma_a)$ indicates the retrieved slope and its estimated uncertainty; $(b \pm \sigma_b)$ indicates the retrieved intercept and its estimated uncertainty; R^2 denotes the correlation coefficient and χ^2_{red} is the reduced chi-square of the fit.

$k = a MC_{Fe-ox\%} + b$				$SSA = a MC_{Fe-ox\%} + b$		
Wavelength (nm)	$a \pm \sigma_a$	$b \pm \sigma_b$	$R^2; \chi^2_{red}$	$a \pm \sigma_a$	$b \pm \sigma_b$	$R^2; \chi^2_{red}$
370	$(11.9 \pm 2.4) 10^{-4}$	$(2.4 \pm 4.6) 10^{-4}$	0.88 ; 0.6	$(-5.8 \pm 0.8) 10^{-2}$	(1.00 ± 0.02)	0.83 ; 1.7
470	$(9.0 \pm 1.7) 10^{-4}$	$(1.7 \pm 3.2) 10^{-4}$	0.89 ; 0.8	$(-3.8 \pm 0.6) 10^{-2}$	(1.00 ± 0.01)	0.78 ; 1.8
520	$(6.8 \pm 1.3) 10^{-4}$	$(1.3 \pm 2.4) 10^{-4}$	0.90 ; 0.9	$(-2.9 \pm 0.4) 10^{-2}$	(1.01 ± 0.01)	0.76 ; 2.0
590	$(4.5 \pm 0.9) 10^{-4}$	$(0.9 \pm 1.6) 10^{-4}$	0.85 ; 1.4	$(-1.8 \pm 0.3) 10^{-2}$	(1.00 ± 0.01)	0.75 ; 2.3
660	$(4.3 \pm 0.8) 10^{-4}$	$(0.8 \pm 1.4) 10^{-4}$	0.81 ; 1.6	$(-1.3 \pm 0.2) 10^{-2}$	(1.00 ± 0.00)	0.75 ; 2.2
880	$(3.4 \pm 0.6) 10^{-4}$	$(0.6 \pm 1.2) 10^{-4}$	0.79 ; 1.0	$(-0.76 \pm 0.16) 10^{-2}$	(1.00 ± 0.00)	0.79 ; 1.4
950	$(3.2 \pm 0.6) 10^{-4}$	$(0.6 \pm 1.0) 10^{-4}$	0.77 ; 1.1	$(-0.62 \pm 0.13) 10^{-2}$	(0.99 ± 0.00)	0.78 ; 1.1
$k = a MC_{Hem\%} + b$				$SSA = a MC_{Hem\%} + b$		
Wavelength (nm)	$a \pm \sigma_a$	$b \pm \sigma_b$	$R^2; \chi^2_{red}$	$a \pm \sigma_a$	$b \pm \sigma_b$	$R^2; \chi^2_{red}$
370	$(9.7 \pm 2.7) 10^{-4}$	$(2.7 \pm 4.0) 10^{-4}$	0.67 ; 1.9	$(-4.4 \pm 0.6) 10^{-2}$	(0.95 ± 0.01)	0.73 ; 3.5
470	$(8.3 \pm 1.9) 10^{-4}$	$(1.9 \pm 2.7) 10^{-4}$	0.72 ; 1.9	$(-3.0 \pm 0.4) 10^{-2}$	(0.97 ± 0.01)	0.76 ; 3.2
520	$(6.9 \pm 1.5) 10^{-4}$	$(1.5 \pm 2.0) 10^{-4}$	0.74 ; 2.0	$(-2.2 \pm 0.3) 10^{-2}$	(0.98 ± 0.00)	0.78 ; 3.3
590	$(3.7 \pm 0.8) 10^{-4}$	$(0.9 \pm 1.2) 10^{-4}$	0.61 ; 2.1	$(-1.3 \pm 0.2) 10^{-2}$	(0.99 ± 0.00)	0.71 ; 2.7
660	$(3.7 \pm 0.8) 10^{-4}$	$(0.8 \pm 1.1) 10^{-4}$	0.51 ; 2.6	$(-0.9 \pm 0.2) 10^{-2}$	(0.99 ± 0.00)	0.62 ; 2.5
880	$(2.9 \pm 0.7) 10^{-4}$	$(0.7 \pm 1.1) 10^{-4}$	0.43 ; 2.1	$(-0.6 \pm 0.1) 10^{-2}$	(0.99 ± 0.00)	0.57 ; 1.8
950	$(2.6 \pm 0.6) 10^{-4}$	$(0.6 \pm 0.9) 10^{-4}$	0.46 ; 2.1	$(-0.5 \pm 0.1) 10^{-2}$	(0.99 ± 0.00)	0.49 ; 1.7
$k = a MC_{Goeth\%} + b$				$SSA = a MC_{Goeth\%} + b$		
Wavelength (nm)	$a \pm \sigma_a$	$b \pm \sigma_b$	$R^2; \chi^2_{red}$	$a \pm \sigma_a$	$b \pm \sigma_b$	$R^2; \chi^2_{red}$
370	$(9.0 \pm 2.5) 10^{-4}$	$(2.5 \pm 2.2) 10^{-4}$	0.47 ; 1.8	$(-13.4 \pm 6.9) 10^{-3}$	(0.90 ± 0.01)	0.32 ; 6.8
470	$(5.5 \pm 1.7) 10^{-4}$	$(1.7 \pm 1.5) 10^{-4}$	0.43 ; 2.3	$(-8.3 \pm 4.7) 10^{-3}$	(0.94 ± 0.00)	0.21 ; 6.2
520	$(3.4 \pm 1.1) 10^{-4}$	$(1.1 \pm 1.2) 10^{-4}$	0.41 ; 2.5	$(-4.9 \pm 3.2) 10^{-3}$	(0.96 ± 0.00)	0.17 ; 6.4
590	$(0.5 \pm 0.6) 10^{-4}$	$(0.6 \pm 0.8) 10^{-4}$	0.50 ; 3.2	$(0.9 \pm 2.0) 10^{-3}$	(0.97 ± 0.00)	0.23 ; 5.5
660	$(2.2 \pm 0.8) 10^{-4}$	$(0.8 \pm 0.7) 10^{-4}$	0.55 ; 3.6	$(0.2 \pm 1.6) 10^{-3}$	(0.98 ± 0.00)	0.34 ; 4.4
880	$(2.6 \pm 0.8) 10^{-4}$	$(0.8 \pm 0.6) 10^{-4}$	0.62 ; 2.4	$(-1.1 \pm 1.4) 10^{-3}$	(0.98 ± 0.00)	0.47 ; 3.0
950	$(2.6 \pm 0.8) 10^{-4}$	$(0.8 \pm 0.6) 10^{-4}$	0.55 ; 2.5	$(-2.1 \pm 1.4) 10^{-3}$	(0.98 ± 0.00)	0.54 ; 2.6
$k = a MC_{Fe\%} + b$				$SSA = a MC_{Fe\%} + b$		
Wavelength (nm)	$a \pm \sigma_a$	$b \pm \sigma_b$	$R^2; \chi^2_{red}$	$a \pm \sigma_a$	$b \pm \sigma_b$	$R^2; \chi^2_{red}$
370	$(6.0 \pm 1.4) 10^{-4}$	$(1.4 \pm 0.7) 10^{-4}$	0.60 ; 1.5	$(-2.7 \pm 0.4) 10^{-2}$	(1.02 ± 0.02)	0.67 ; 3.1
470	$(4.7 \pm 1.0) 10^{-4}$	$(1.0 \pm 0.5) 10^{-4}$	0.62 ; 1.7	$(-1.8 \pm 0.3) 10^{-2}$	(1.02 ± 0.01)	0.72 ; 2.8
520	$(3.9 \pm 0.8) 10^{-4}$	$(0.8 \pm 3.9) 10^{-4}$	0.65 ; 1.6	$(-1.3 \pm 0.2) 10^{-2}$	(1.01 ± 0.01)	0.72 ; 2.9
590	$(2.5 \pm 0.5) 10^{-4}$	$(0.5 \pm 2.4) 10^{-4}$	0.56 ; 1.7	$(-0.8 \pm 0.1) 10^{-2}$	(1.01 ± 0.01)	0.70 ; 2.4
660	$(2.0 \pm 0.4) 10^{-4}$	$(0.4 \pm 1.7) 10^{-4}$	0.48 ; 1.9	$(-0.5 \pm 0.1) 10^{-2}$	(1.00 ± 0.00)	0.62 ; 2.0
880	$(1.8 \pm 0.4) 10^{-4}$	$(0.4 \pm 2.0) 10^{-4}$	0.40 ; 1.8	$(-0.4 \pm 0.1) 10^{-2}$	(1.00 ± 0.00)	0.54 ; 1.6

950	$(1.4 \pm 0.3) 10^{-4}$	$(0.3 \pm 1.4) 10^{-4}$	0.45 ; 2.0	$(-0.3 \pm 0.1) 10^{-2}$	(1.00 ± 0.00)	0.49 ; 1.5
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Figure 1. Flowchart illustrating the procedure for data treatment and retrieval of physical and chemical (size, composition) and spectral optical properties (single scattering albedo, SSA, and complex refractive index) of mineral dust aerosols. In red we mention the different corrections performed and the values adopted in the calculations.



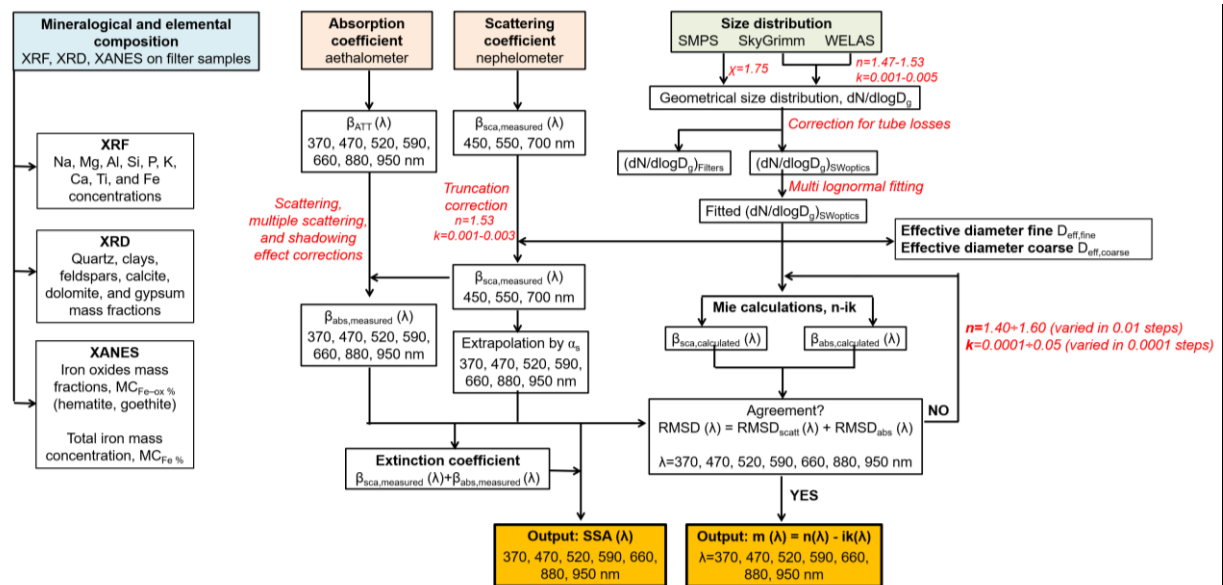


Figure 2. Box and whisker plot showing the full variability of hematite and goethite mass fractions in the soils for the clay-sized ($<2 \mu\text{m}$ diameter) and silt-sized ($<60 \mu\text{m}$ diameter) soil fractions as retrieved from the global soil mineralogical database by Journet et al. (2014). The box and whisker plot include data for the nine desert source areas depicted in Ginoux et al. (2012) and DB17 (Northern Africa, Sahel, Eastern Africa and the Middle East, Central Asia, Eastern Asia, North America, South America, Southern Africa, and Australia). Data are from the soil mineralogical database by Journet et al. (2014). Dots indicate hematite and goethite soil content in clay-sized and silt-sized soils (always extracted from Journet et al.) extracted in correspondence to the geographical coordinates where the for the nineteen soils used in the CESAM experiments were collected. The Journet et al. database assumes that the iron oxides in the silt fraction consist only of goethite.

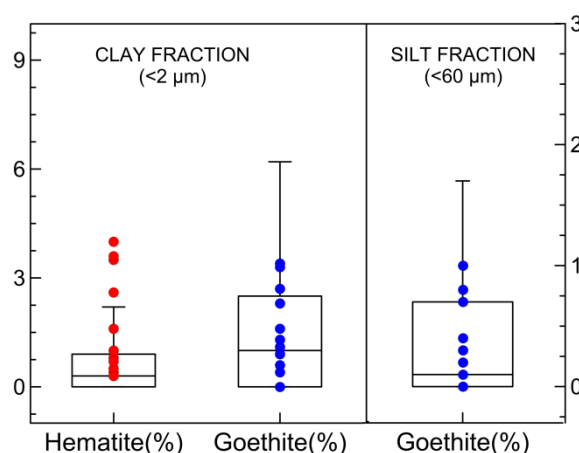


Figure 3. Top panel: time series of the aerosol mass concentration (cross symbols) and effective fine ($D_{\text{eff,fine}}$, open dots) and coarse diameter ($D_{\text{eff,coarse}}$, open squares) measured inside the CESAM chamber (red symbols) and at the input of the SW instruments (black symbols) for one experiment (Morocco dust). Bottom panel: time series of the scattering β_{sca} and absorption β_{abs} coefficients at 370 nm for the same experiment. Mass concentrations are reported as 6-sec data, while all other quantities are 10-min averages.

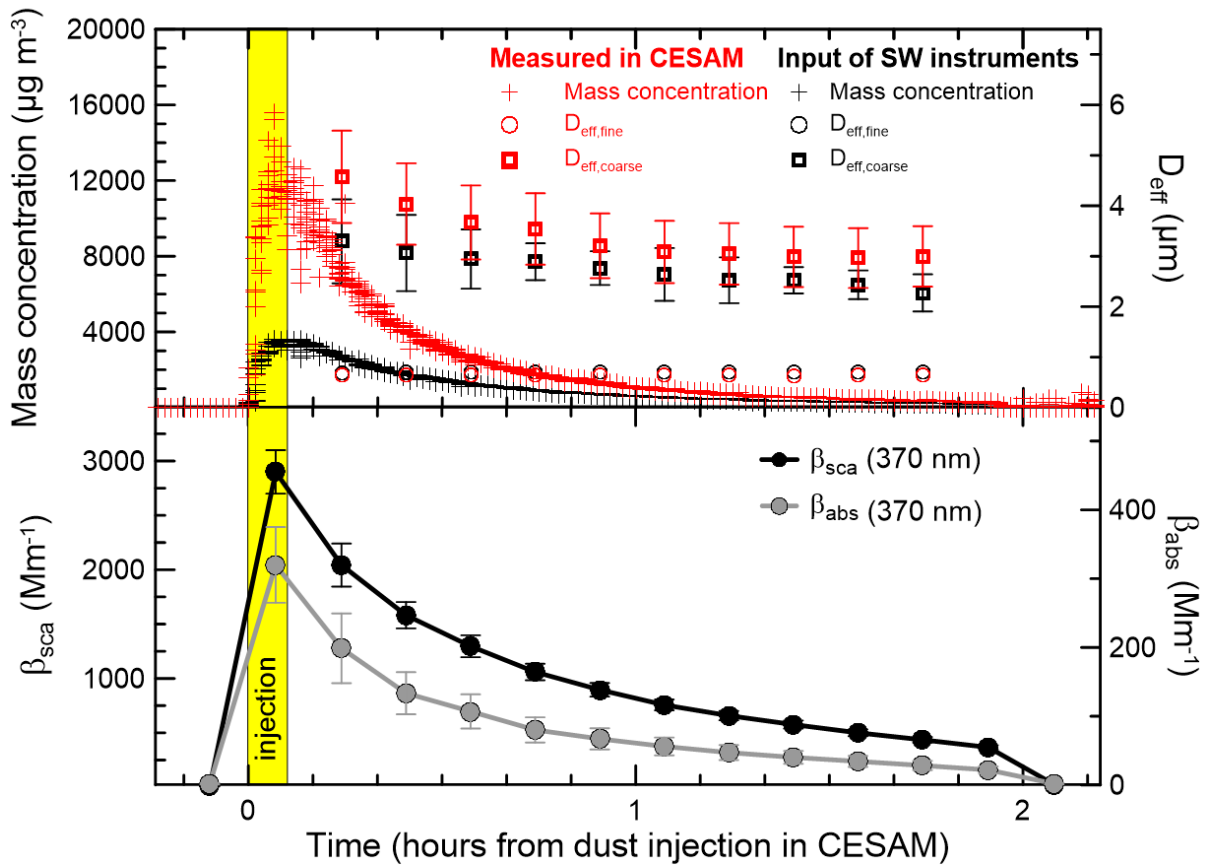
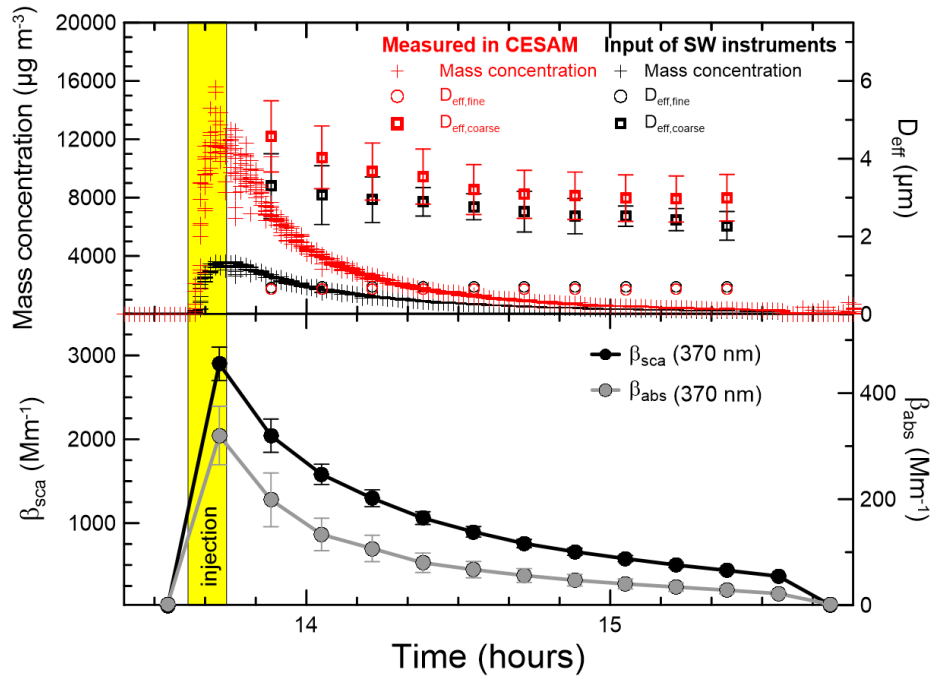


Figure 4. Comparison of dust size distributions sensed by the SW optical instruments (behind the SW instruments inlet $(dV/d\log D_g)_{SWoptics}$), with field data for long-range transported dust. The thick black line represents the mean value of $(dV/d\log D_g)_{SWoptics}$ at the peak of the dust injection in CESAM for experiments with the different samples. The grey shaded area indicates the range of $(dV/d\log D_g)_{SWoptics}$ for all samples. The dotted black line shows the average of the dust size distribution at the peak of the injection inside the CESAM chamber from DB17. Field data are from: Formenti et al. (2001) (CLAIRE campaign in Suriname, South America), Maring et al. (2003) and Denjean et al. (2016b) (PRIDE and DUST-ATTACK campaigns in Puerto Rico, Caraibes), Müller et al. (2011), ~~and~~ Chen et al. (2011) and (Ryder et al. (2018) (SAMUM2, ~~and~~ NAMMA, and AER-D campaigns in Cape Verde, eastern Atlantic), and Weinzierl et al. (2017) (SALTRACE campaign, data from Barbados). For comparison, data taken close to the source in Niger from Formenti et al. (2011) during the AMMA campaign are also shown. SAL stands for Saharan Air Layer. All data are reported as volume size distributions normalised at the maximum.

(The different acronyms spell out as: AER-D= AERosol Properties – Dust; AMMA = African Monsoon Multidisciplinary Analysis; CLARE= Cooperative LBA Airborne Regional Experiment; DUST-ATTACK+ Dust Aging and Transport from Africa to the Caribbean; NAMMA = NASA African Monsoon Multidisciplinary Analysis; PRIDE = Puerto Rico Dust Experiment; SALTRACE= Saharan Aerosol Long-range Transport and Aerosol-Cloud-Interaction Experiment; SAMUM = Saharan Mineral Dust Experiment).

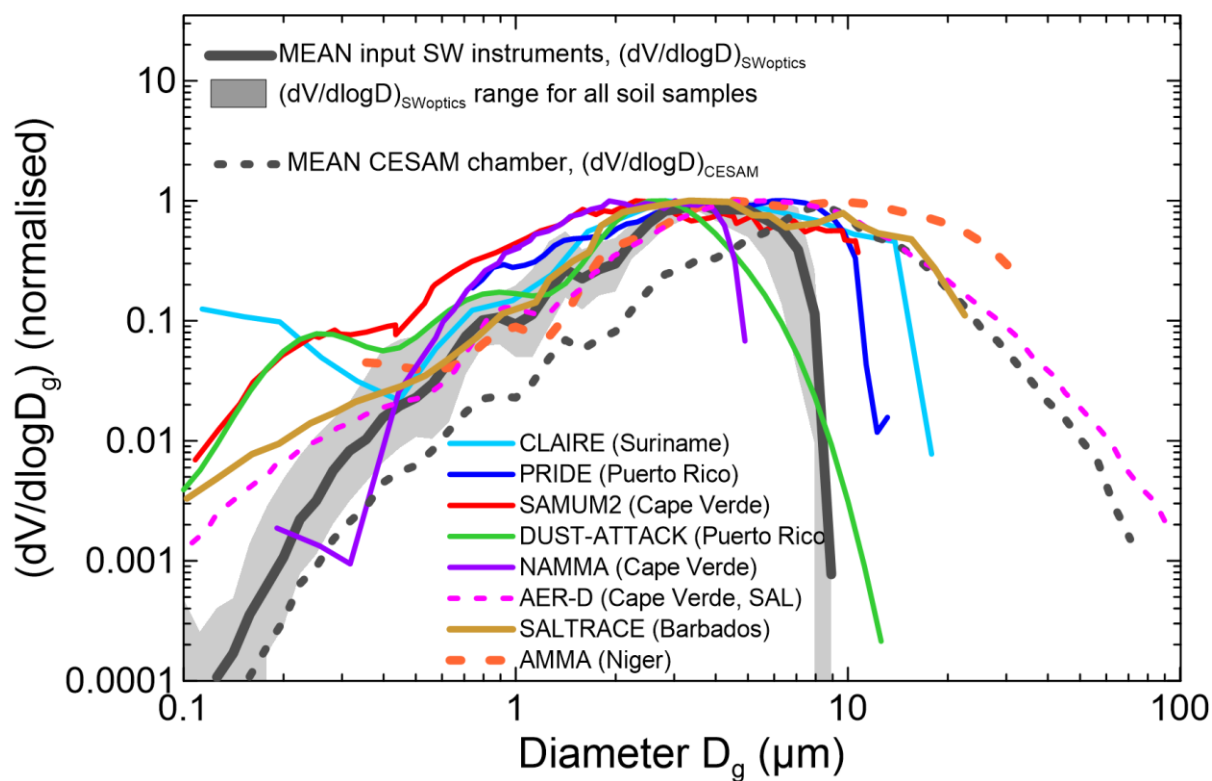
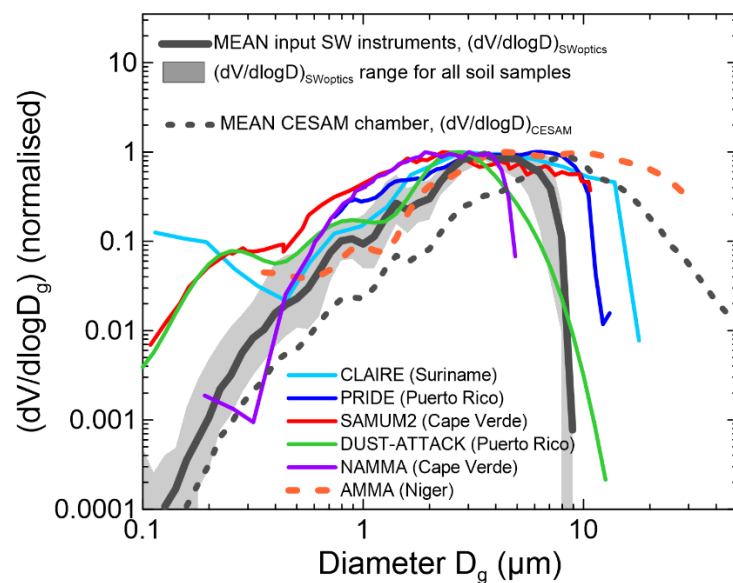


Figure 5. Spectral extinction coefficient, absorption coefficient, SSA, and real (n) and imaginary (k) parts of the refractive index at the peak of the dust injection in the chamber and after 30 and 90 minutes for Morocco and Algeria dust samples. Data are reported at the seven aethalometer wavelengths (370, 470, 520, 590, 660, 880, and 950 nm) as 10-min averages. In the top panel we report the extinction calculated as the sum of scattering and absorption coefficients. For the sake of clarity error bars are not shown for SSA, n, and k data.

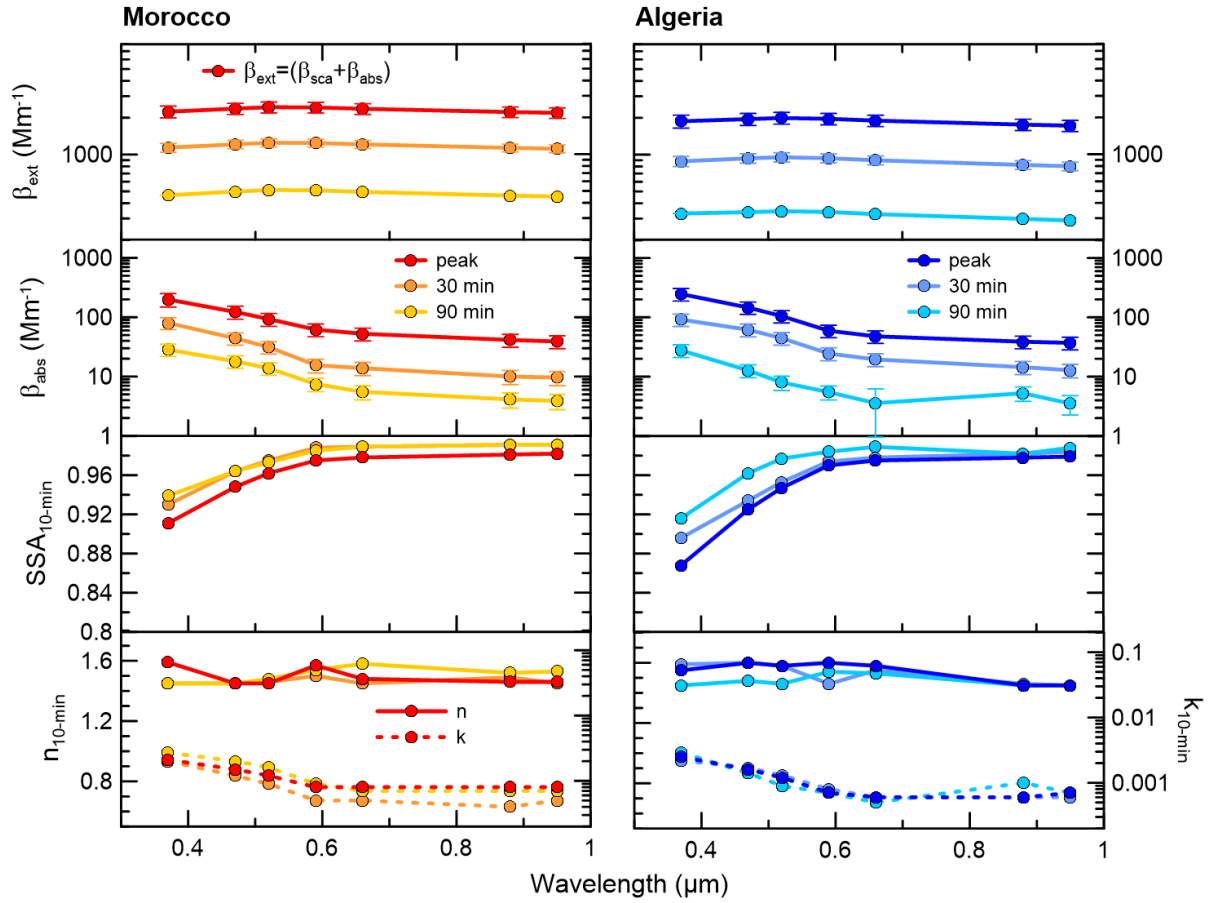
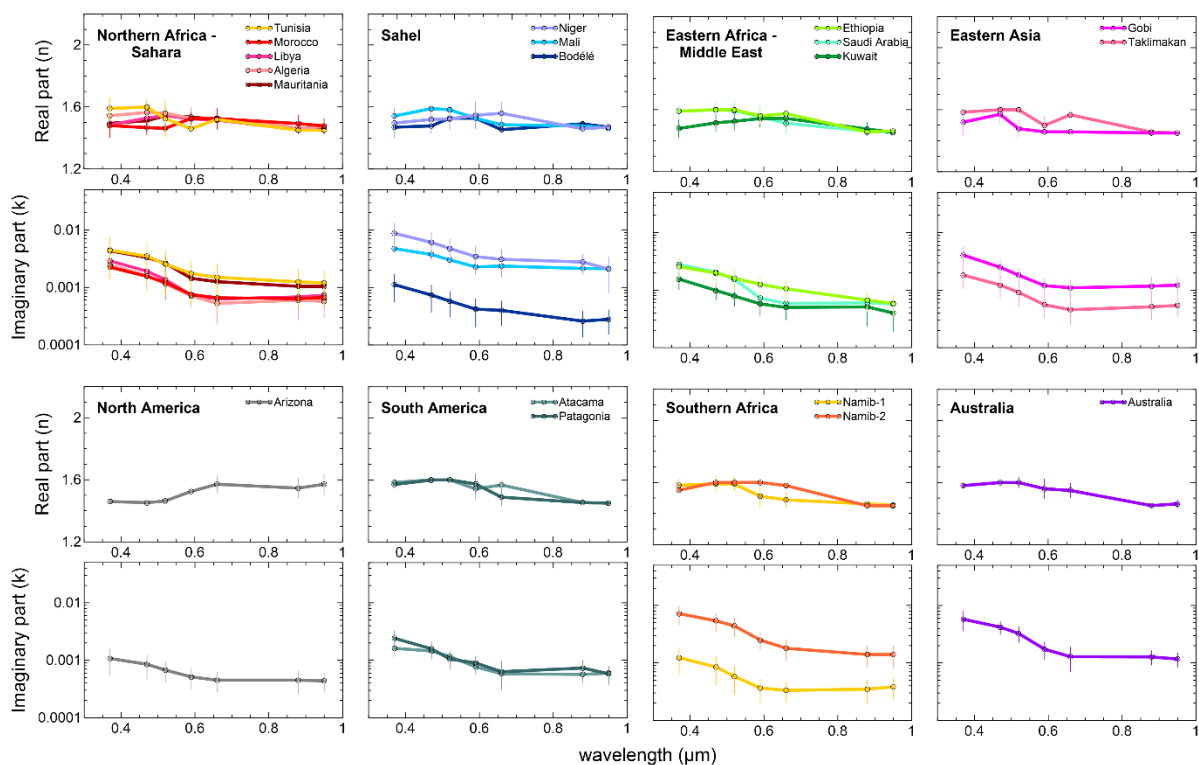
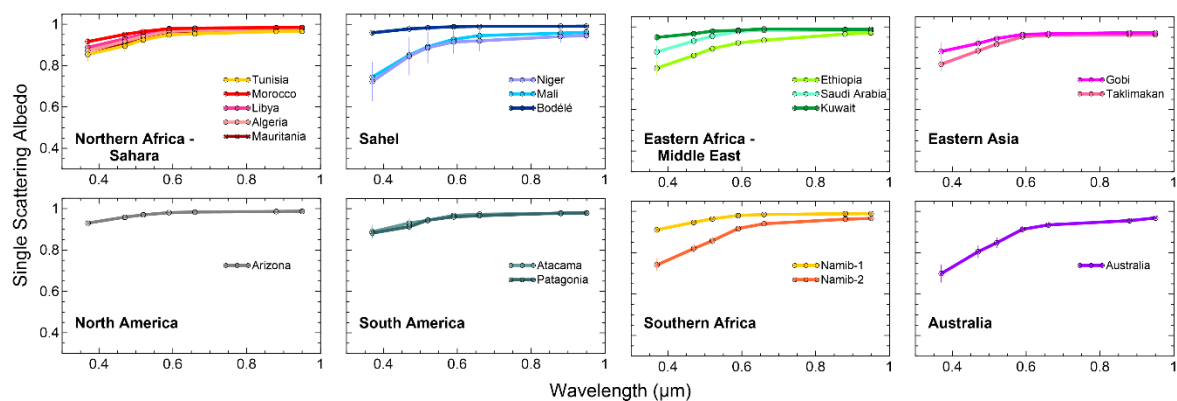


Figure 6. (a) Real (n) and imaginary (k) parts of the dust complex refractive index and (b) single scattering albedo (SSA_{AVG}) at seven wavelengths between 370 and 950 nm obtained for the 19 aerosol samples analyzed in this study. Data for the refractive index correspond to the time average of the 10 min values obtained between the peak of the injection and 120 min later. The error bar corresponds to the absolute uncertainty in n and k, estimated to be <8% for n and between 13 and 75 % for k. SSA_{AVG} data correspond to the fit of the 10 min values of β_{sca} versus β_{abs} , and the uncertainty is between 1% and 12% at 370 nm and between 1% and 3% at 950 nm.

a)



b)



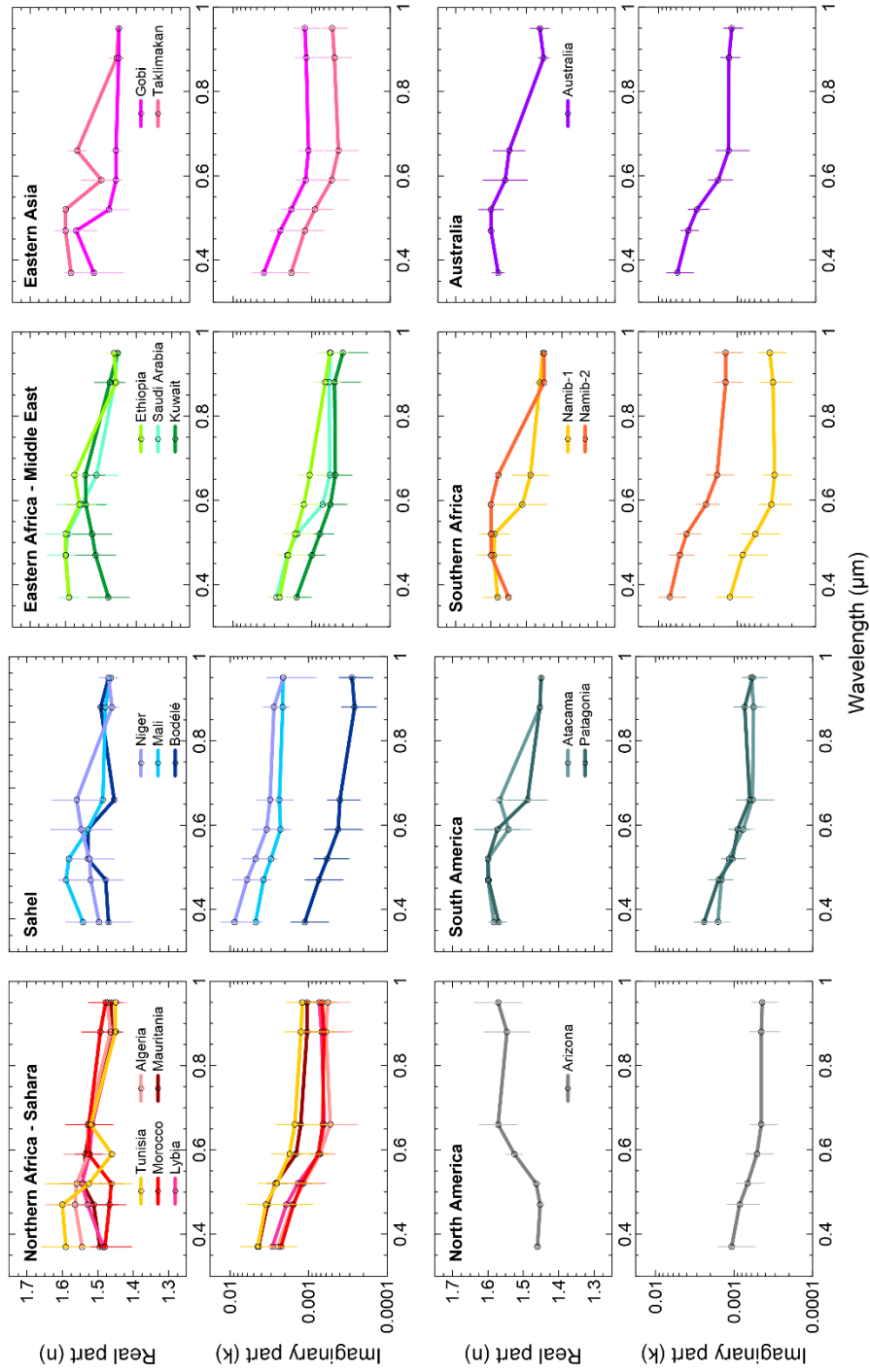


Figure 7. Single scattering albedo (SSA) at seven wavelengths between 370 and 950 nm obtained for the 19 aerosol samples analyzed in this study. Data correspond for each sample (with the exception of Tunisia and Namib-2, see Sect. 3.1) to the fit of the 10 min values of β_{sca} versus β_{abs} , and the uncertainty is between 1% and 12% at 370 nm and between 1% and 3% at 950 nm.

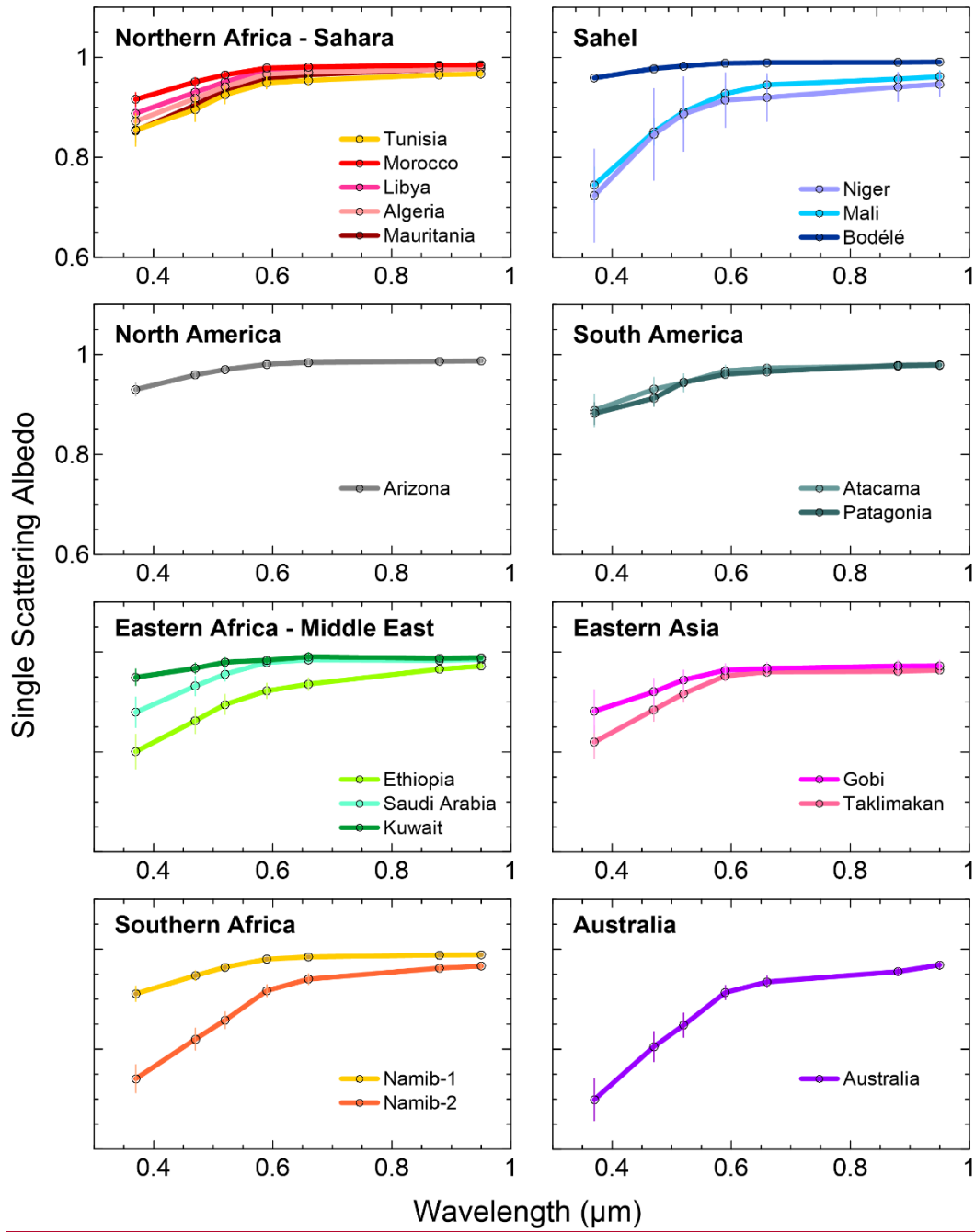
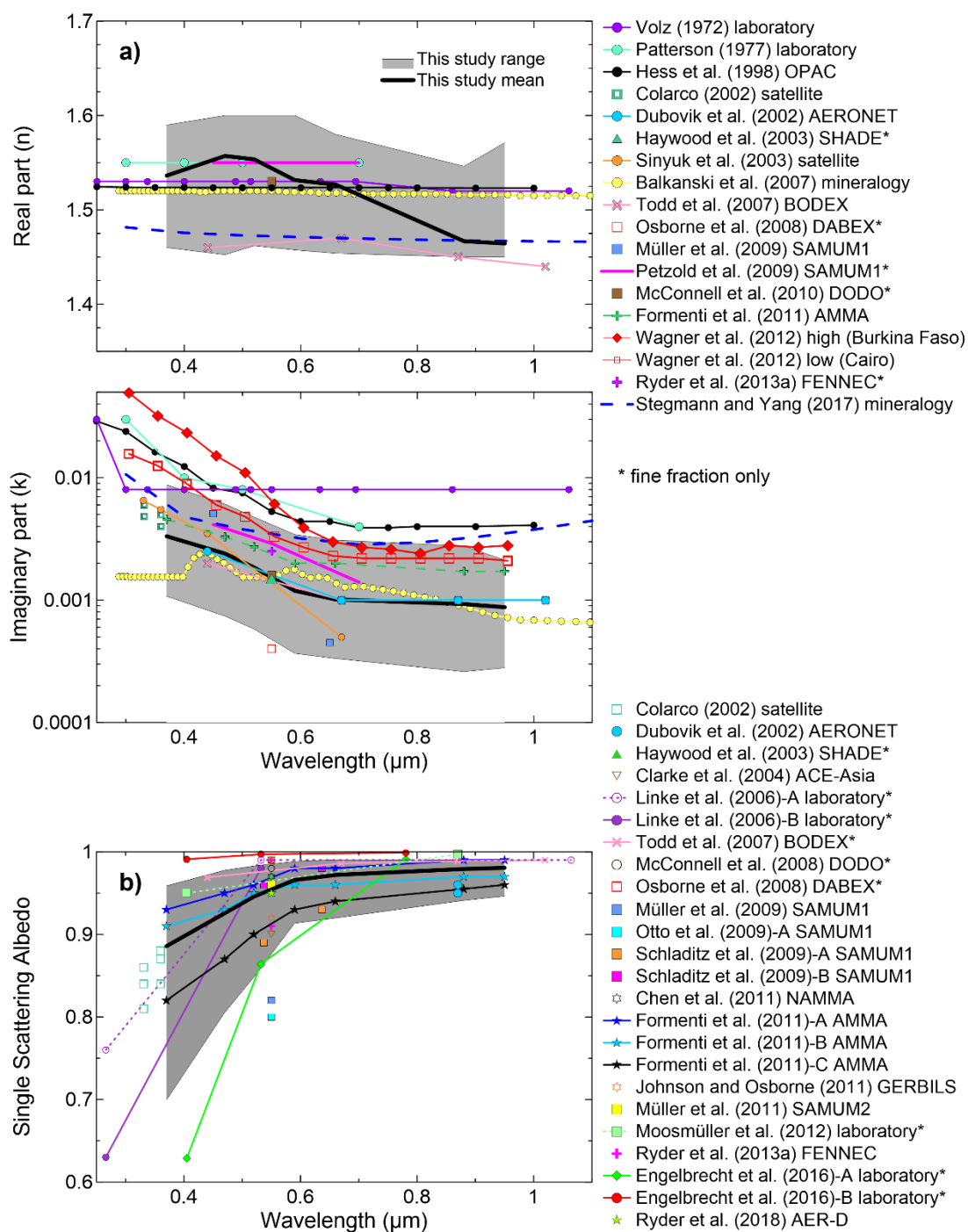


Figure 87. Comparison of results obtained in this study (a) with literature-compiled values (b) of the (a) dust real- and imaginary parts of the refractive index (n , k) and (b) single scattering albedo (SSA) in the SW spectral range. The regions in gray in panel (a) indicate the full range of variability obtained in this study, and the thick black lines are the means of n , k and SSA obtained for the different aerosol samples. Literature values in panel (b) include estimates from ground-based and aircraft observations

during field campaigns, laboratory studies, AERONET inversions, and estimates from dust mineralogical composition. Data are in some cases for the full dust size distribution, while in other only the fine fraction below about 2 μm is ~~measured-represented~~ (identified with *).

The main provenance of the dust and datasets from the literature is provided in the following: Volz et al. (1972) is data for rainout dust collected in Germany; Patterson et al. (1977) is Saharan dust; Hess et al. (1998) is data from the OPAC database; Colarco et al. (2002) is data for dust from Dakar, Sal, and Tenerife; Dubovik et al. (2002) included data from Bahrain—Persian Gulf and Solar Village—Saudi Arabia AERONET stations; Haywood et al. (2003) is dust from Mauritania; Sinyuk et al. (2003) is data from Cape Verde, Dakar, and Burkina Faso; Clarke et al. (2004) is Asian dust offshore of China, Japan, and Korea; Linke et al. (2006)—A is dust from Cairo; Linke et al. (2006)—B is dust from Morocco; Balkanski et al. (2007) is calculated from mineralogical composition assuming a 1.5% hematite mass fraction in dust; Todd et al. (2007) is from Bodélé; Osborne et al. (2008) is from Niger; Otto et al. (2009), Petzold et al. (2009), Schladitz et al. (2009), and Muller et al. (2010, 2011) is dust originated mostly in Morocco; McConnell et al. (2008, 2010) is dust from Niger/Senegal; Chen et al. (2011) is dust from Western Sahara; Formenti et al. (2011) in the k plot is an average of airborne observations for the AMMA campaign in Niger, while for the SSA plot, Formenti et al. (2011)—A is from observations in the Saharan Air Layer, —B is from Bodélé/Sudan, and —C is a Sahelian uplift episode; Johnson et al. (2011) is dust from Western Sahara; Moosmüller et al. (2012) analysed samples from Middle East, Mali and Spain, and here we report the average of their obtained values; Wagner et al. (2012) obtained k values for several samples from ~~Cairo and Burkina Faso~~, Cairo and the SAMUM campaign and here we report and the values for the maximum of their spectral k average of its results (Burkina Faso) and the minimum (Cairo); Ryder et al. (2013) is dust from Western Sahara and Mauritania and we report in both k and SSA plots the average of their observations; Engelbrecht et al. (2016) analysed many dust samples from all over the world, here we report their ~~measured-estimated~~ minimum and maximum of the dust SSA that are —A from California and —B from the Etosha Pan in Namibia; Steigmann and Yang (2017) modelled the refractive index of dust based on assumed mineralogical compositions typical for Northern and Southern Sahara and Western and Eastern Asia dust, and here we report the average of their results for both n and k. Uncertainties in the field observations have been omitted for the sake of clarity. The legend identifies the line styles used in the plots.

(The different acronyms spell out as (see also the caption of Fig. 4): AERONET = Aerosol Robotic Network; OPAC = Optical Properties of Aerosols and Clouds; SHADE = Saharan Dust Experiment; BODEX = The Bodélé Dust Experiment; DABEX = Dust and Biomass Experiment; SAMUM1 and SAMUM2 refers to the two SAMUM campaigns in Morocco and Cape Verde, respectively, SAMUM = Saharan Mineral Dust Experiment; DODO = Dust Outflow and Deposition to the Ocean; ~~AMMA = African Monsoon Multidisciplinary Analysis; NAMMA = NASA African Monsoon Multidisciplinary Analysis; ACE—Asia = Asian Pacific Regional Aerosol Characterization Experiment; GERBILS = Geostationary Earth Radiation Budget Intercomparison of Longwave and Shortwave radiation~~).



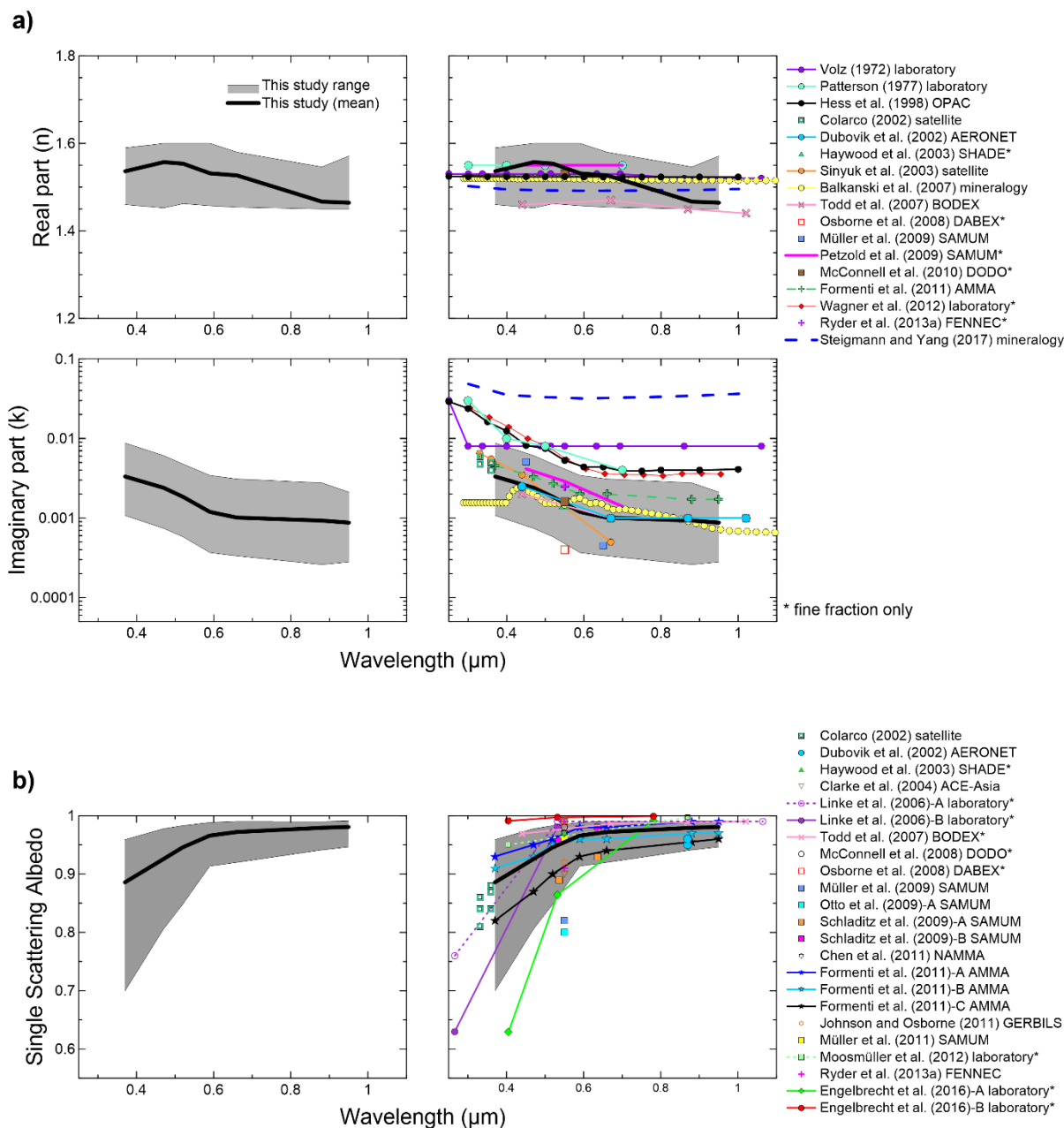


Figure 98. Experiment-averaged imaginary part of the refractive index (k , top panels) and single scattering albedo (SSA, bottom panels) at 370, 520, and 950 nm versus the mass concentration of iron oxides ($MC_{Fe-ox\%}$), hematite ($MC_{Hem\%}$), goethite ($MC_{Goeth\%}$), and elemental iron ($MC_{Fe\%}$) measured for the different dust samples analysed in this study. The calculated linear fit regression lines are shown, together with the correlation coefficients of the fits (R^2). The legend indicates the line styles used in the plots. Data for the Taklimakan sample were excluded from the k and SSA plots versus $MC_{Fe-ox\%}$, $MC_{Hem\%}$, and $MC_{Goeth\%}$ due to the absence of data for this sample.

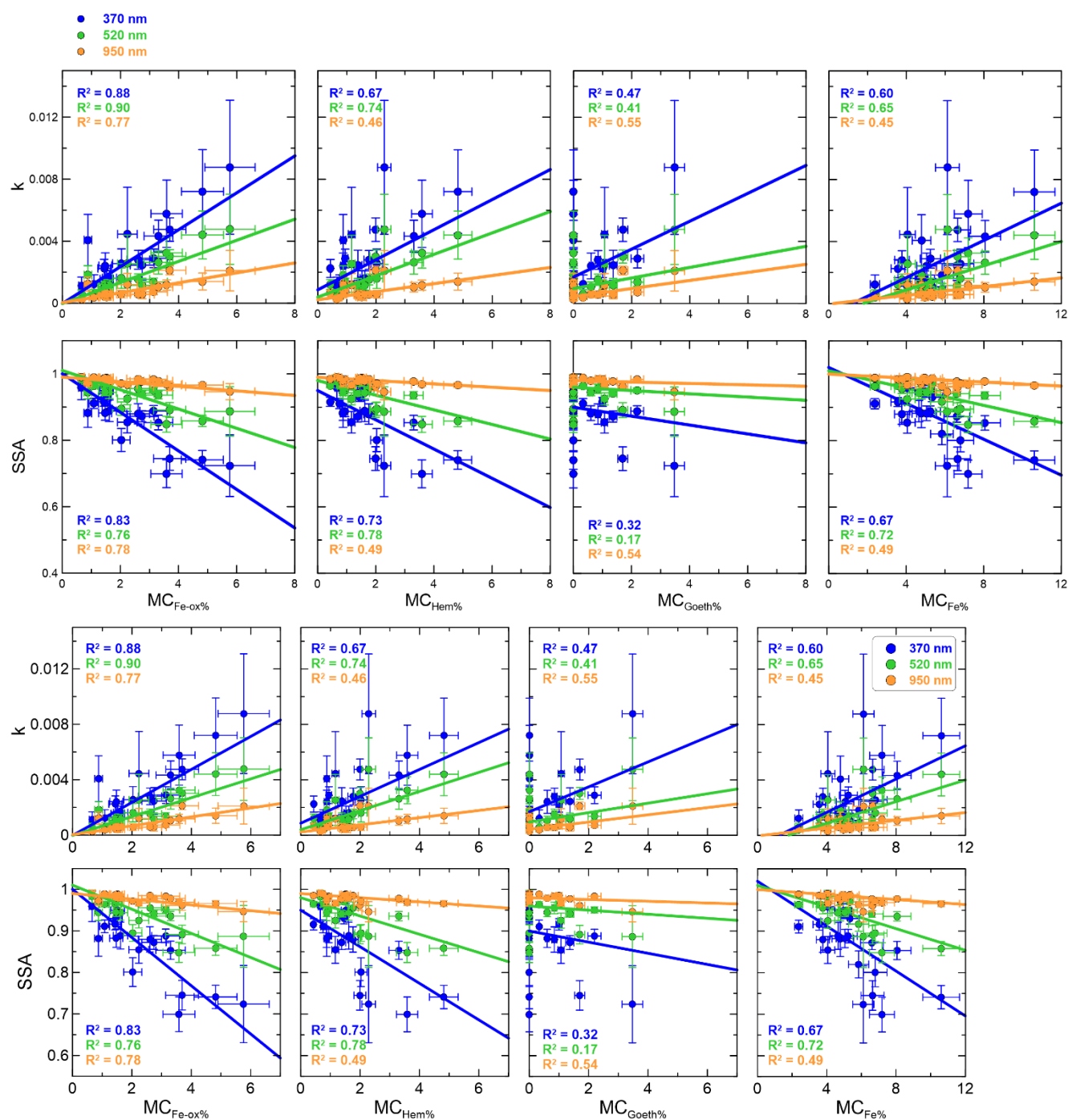
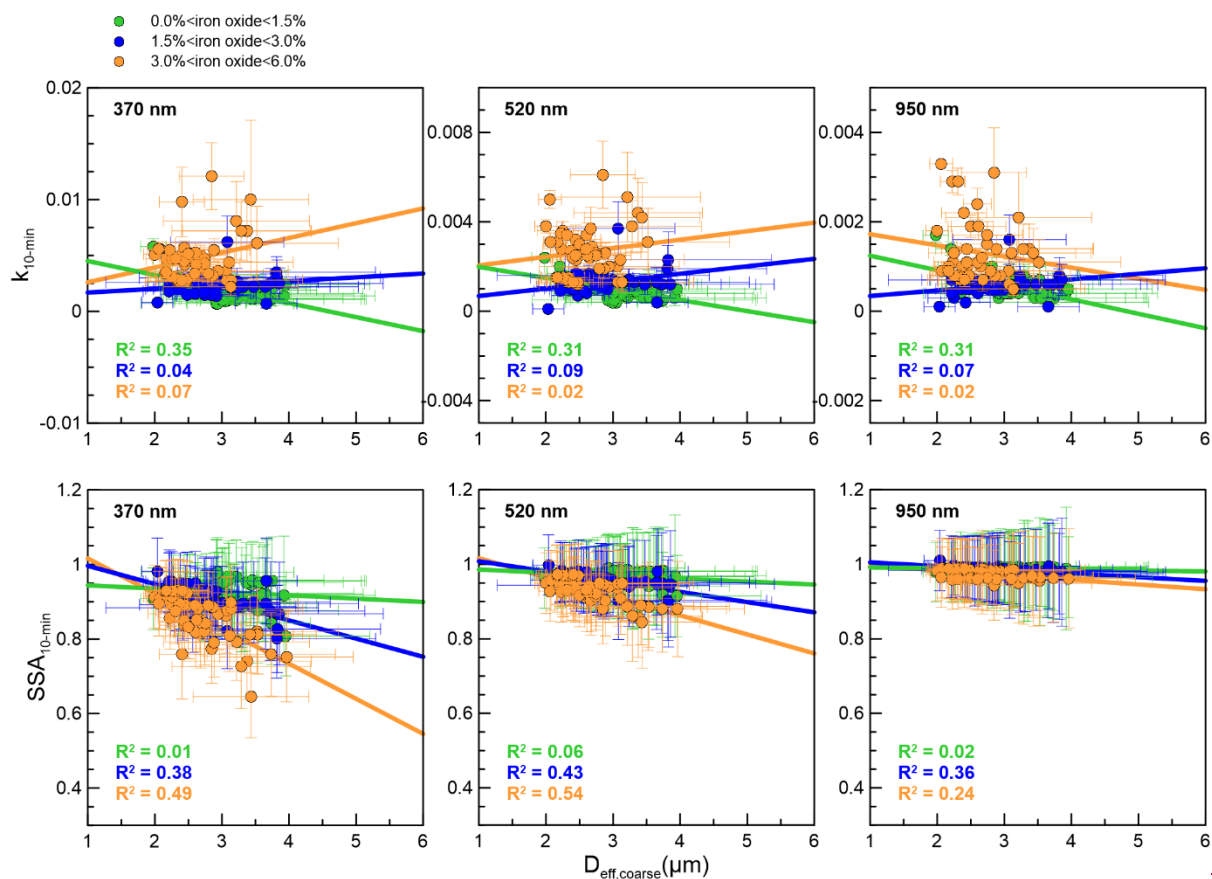
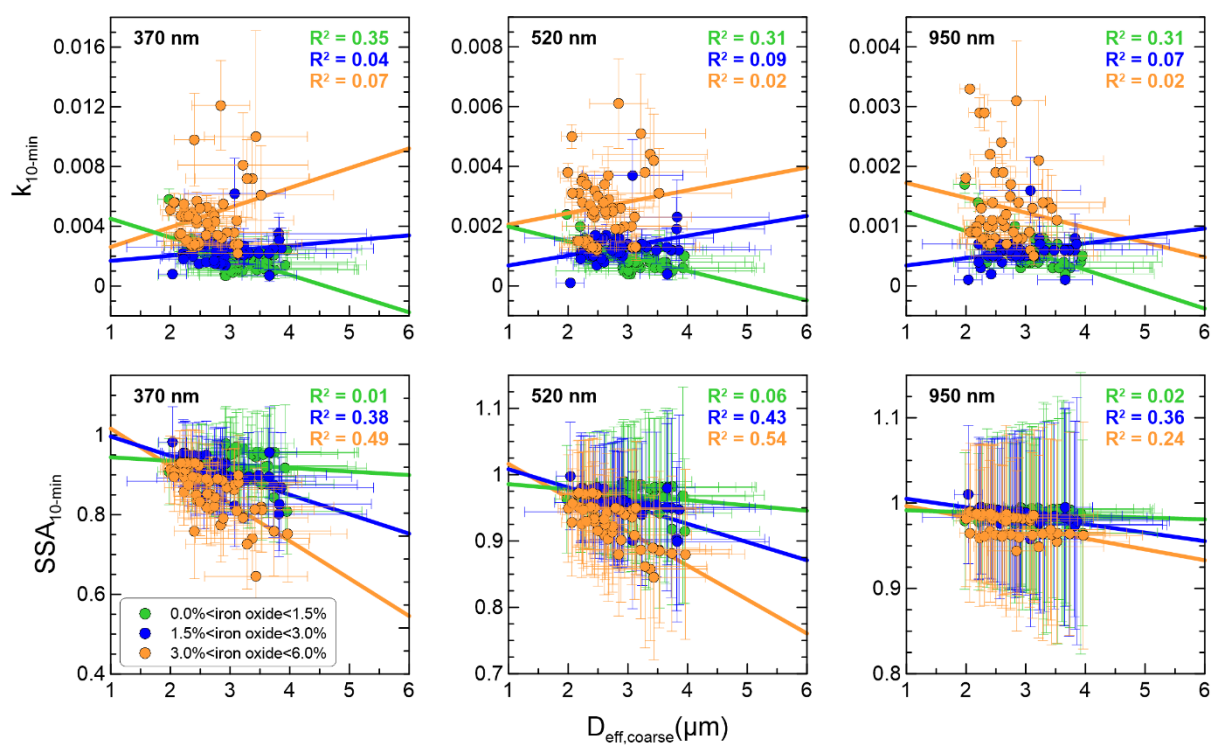


Figure 109. 10-min averaged imaginary refractive index ($k_{10\text{-min}}$, top panels) and single scattering albedo ($SSA_{10\text{-min}}$, bottom panels) at 370, 520, and 950 nm versus effective coarse diameter ($D_{\text{eff,coarse}}$) estimated at the input of the SW instruments. Data were classified in three classes based on the iron oxide content of the dust samples. The linear fit curves and the correlation coefficients for the linear regression fits for each dataset are also reported. The legend identifies the line styles used in the plots.



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