1	Complex refractive indices and single scattering albedo of global dust
2	aerosols in the shortwave spectrum and relationship to <u>size and i</u> ron content
3	and size
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30 31	Abstract
32	The optical properties of airborne mineral dust depend on its mineralogy, size distribution, and shape,
33	and might vary between different source regions. To date, large differences in refractive index values
34	found in the literature have not been fully explained. In this paper we present a new dataset of complex
35	refractive indices (m=n-ik) and single scattering albedos (SSA) for 19 mineral dust aerosols over the
36	370–950 nm range in dry conditions. Dust aerosols were generated from natural parent soils from eight
37	source regions (Northern Africa, Sahel, Middle East, Eastern Asia, North and South America, Southern
38	Africa, and Australia). The <u>yse</u> were selected to represent the global scale variability of the dust miner-
39	alogy. Dust was re-suspended into a 4.2 m ³ smog chamber where its spectral shortwave scattering
40	(β_{sca}) and absorption (β_{abs}) coefficients, number size distribution, and bulk composition were measured.
41	The complex refractive index was estimated by Mie calculations combining optical and size data, while
42	the spectral SSA was directly retrieved from β_{sca} and β_{abs} measurements. Dust is assumed to be spher-
43	ical in the whole data treatment, which introduces a potential source of uncertainty. Our results show
44	that the imaginary part of the refractive index (k) and the SSA largely vary widely from sample to sample,
45	with values for k in the range 0.001 <u>1</u> to 0.00 <u>988</u> at 370 nm <u>, 0.0006 to 0.0048 at 520 nm</u> , and 0.0003 to

- 0.002<u>1</u> at 950 nm, and values for SSA in the range 0.70 to 0.96 at 370 nm, <u>0.85 to 0.98 at 520 nm</u>, and
 0.95 to 0.99 at 950 nm. In contrast, the real part of the refractive index (n) is mostly source (and wavelength) independent, with an average value between 1.48 and 1.55. The sample-to-sample variability
- 49 in our dataset of k and SSA is mostly related to differences in the dust's iron content. In particular, a
- 50 wavelength–dependent linear relationship is found between the magnitude of k and SSA and the mass
- 51 concentrations of both iron oxide and total elemental iron, with i-ron oxide better correlated than total
- 52 elemental iron to both k and SSA. As an intrinsic property of matter, The value of k was found to beis
- 53 independent of size. When the iron oxide content exceeds >3%, the SSA linearly decreases with in-
- 54 creasing fraction of coarse particles at short wavelengths (< 600 nm).
- 55 Compared to the literature, our values for the real part of the refractive index and SSA are in line with
- 56 past results, while we found lower values of k compared to most of the literature values currently used
- 57 <u>in climate models</u>
- 58 We recommend that source–dependent values of the SW spectral refractive index and SSA arebe used
- 59 in models and remote sensing retrievals instead of generic values. In particular, the close relationships
- 60 found between k<u>or</u>/SSA and the iron content in dust enable establishing predictive rules for spectrally-
- 61 resolved SW absorption based on particle composition.
- 62

63 Introduction

With teragram<u>amount</u>s of annual emissions, a residence time of about 1–2 weeks in the atmosphere, and a planetary–scale transport, mineral dust aerosols are a global phenomenon (Uno et al., 2009; Ginoux et al., 2012), and contribute significantly to the global and regional aerosol loading (Ridley et al., 2016) and direct radiative effect (Miller et al., 2014).

- 68 However, large uncertainties still persist on the magnitude and overall sign of the dust direct radiative 69 effect (Boucher et al., 2013; Highwood and Ryder, 2014; Kok et al., 2017). One of the major sources of 70 this uncertainty is our insufficient knowledge of the dust's absorption properties in the shortwave (SW) 71 and longwave (LW) spectral ranges (e.g., Balkanski et al., 2007; Samset et al., 2018), given that mineral 72 dust contains large particles and a variety of minerals absorbing over both spectral regions (e.g. iron 73 oxides, clays, quartz and calcium-rich species; Sokolik and Toon, 1999; Lafon et al., 2006; Di Biagio 74 et al., 2014a, b). Global and regional scale mapping of dust absorption remains limited and more infor-75 mation is required (Samset et al., 2018).
- Aerosol absorption is represented both by the imaginary part (k) of the complex refractive index (m=nik) of its constituent material, and by the single scattering albedo (SSA, i.e., the ratio of the scattering to extinction coefficient) of the particle population, as well as by the mass absorption efficiency (MAE,
- vnits of m² g⁻¹), i.e., the <u>aerosol</u> absorption coefficient per unit of <u>aerosol</u> mass concentration.
- 80 In the shortwave spectral range, absorption by dust accounts for up to ~10–20% of its total extinction.
- 81 Dust absorption is highest in the UV–VIS, and almost nil towards the near IR (Cattrall et al., 2003;
- 82 Redmond et al., 2010), due to the combined contribution of large particles in the size distribution and
- the dust's mineralogy, notably the presence of iron oxides (Karickhoff and Bailey, 1973; Lafon et al.,

84 2006; Derimian et al., 2008; Moosmüller et al., 2012; Formenti et al., 2014a; 2014b; Engelbrecht et al., 85 2016: Caponi et al., 2017). The mineralogy of airborne mineral dust varies according to that of the 86 parent soils (Nickovic et al., 2012; Journet et al., 2014). Consequently, dust aerosols of different origins 87 should be more or less absorbing in the SW, and have different imaginary spectral refractive index and 88 SSA. Field and laboratory measurements, including ground-based and space-borne remote sensing, 89 show that k varies at a regional scale by almost two orders of magnitude (0.0001-0.008 at 550 nm) with 90 corresponding SSAs between 0.80 and 0.99 at 550 nm (Volz 1972; Patterson et al., 1977; Shettle and 91 Fenn, 1979; Dubovik et al., 2002; Haywood et al., 2003; Sinyuk et al., 2003; Linke et al., 2006; Osborne 92 et al., 2008; Müller et al., 2009; Otto et al., 2009; Petzold et al., 2009; Schladitz et al., 2009; McConnell 93 et al., 2010; Formenti et al., 2011; Wagner et al., 2012; Ryder et al., 2013a; Engelbrecht et al., 2016; 94 Rocha-Lima et al., 2018). Albeit some variability being instrumental or analytical (differences in the 95 sampled size fraction or in the method used to retrieve optical parameters), geographic differences 96 persist when the same measurement approach and retrieval method are applied, e.g., in AERONET 97 inversions, supporting the dependence of dust k and SSA with locationits origin (Dubovik et al., 2002; 98 Koven and Fung, 2006; Su and Toon, 2011). In contrast, the real part (n) of the dust refractive index, 99 mostly related to particle scattering, is estimated to be less variable than the imaginary part, with values 100 between 1.47–1.56 at 550 nm (i.e.g., Volz, 1972; Patterson et al., 1977; Balkanski et al., 2007; Petzold 101 et al., 2009).

102 Differences in k or SSA caused by the spatial variability of the iron content may affect the sign of the 103 dust radiative effect (heating vs cooling) (Liao and Seinfeld, 1998; Claquin et al. 1999; Miller et al., 104 2014), and its global and regional implications (Myhre and Stordal, 2001; Colarco et al., 2014; Das et 105 al., 2015; Jin et al., 2016; Bangalath and Stenchikov, 2016; Strong et al., 2018). For instance, various 106 studies suggest that tThe direct radiative effect of dust has a strong impact on the Western African 107 Monsoon (Yoshioka et al., 2007; Konaré et al., 2008) and the Indian Summer Monsoon (Vinoj et al., 108 2014; Das et al., 2015; Jin et al., 2016). However, there is no consensus whether dust increases or 109 decreases precipitation over these regions (Solmon et al., 2008; Jin et al., 2016; Strong et al., 2018). 110 As an example, Solmon et al. (2008) indicate that dust reduces precipitation over most of the Sahelian region, but increases it over the Northern Sahel-Southern Sahara. This pattern is, however, very sen-111 sitive to the dust absorbing properties, and a decrease of few percent in dust absorption may even 112 113 cancel out the increase of precipitation over the Sahel. Similarly, Jin et al. (2016) show that by varying 114 k from zero to 0.008 at 600 nm (i.e., the highest value currently used in models) the dust effect on the 115 Indian Summer Monsoon may shift from negative (reduction of precipitation) to positive (increase of 116 precipitation) values.

In spite of this sensitivity, present climate models adopt a globally–constant spectral complex refractive
index (and SSA) for dust, and hence still implicitly assume the same dust mineralogical composition at
the global scale. This is mainly due to the lack of a globally consistent dataset providing information of
the geographical variability of the dust scattering and absorption properties (e.g., Sunset et al., 2018).
Reference values for the refractive index are usually taken from Volz (1972), Patterson et al. (1977),
D'Almeida et al. (1991), Shettle and Fenn (1979), Sokolik et al. (1993), Sinyuk et al. (2003), or OPAC
(Hess et al., 1998; Koepke et al., 2015). A parameterization of the spectrally–resolved dust refractive

index as a function of the mineralogical composition of the particles is desirable to replace the globally
constant values in current climate models, in particular for those models that started to incorporate the
representation of dust mineralogy into their schemes (Scanza et al., 2015; Perlwitz et al., 2015a,
2015b).

128 Improving our knowledge of the spectral SW refractive index of mineral dust and its relation to particle 129 composition (henceforthand origin) is also key for the detection of dust aerosols in the atmosphere and 130 the quantification of its mass loading, and total and or absorption spectral optical depth from active and 131 passive remote sensing (e.g., Ridley et al., 2016). As an example, the retrieval of the dust SSA and 132 optical depth over bright desert surfaces with the MODIS (Moderate Imaging Resolution Spectroradiometer) Deep Blue algorithm (Hsu et al., 2004) applies the Critical Surface Reflectance Method (Kauf-133 134 man, 1987) to retrieve dust properties from measured Top of Atmosphere (TOA) spectral reflectance. 135 This algorithm depends critically on a priori information on the spectral refractive index (Kaufman et al., 136 2001; Yoshida et al., 2013). Similarly, active remote sensing techniques (lidar, light detection and rang-137 ing) require the knowledge of the extinction-to-backscatter ratio (the lidar ratio), which is also a strong 138 function of the complex index of refraction or SSA of the aerosol particles (e.g., Gasteiger et al., 2011; 139 Shin et al., 2018). Gasteiger et al. (2011) have shown in fact that a 5% change in the SSA at 532 nm 140 can modify by up to 20% the lidar ratio of dust, which means a 20% change in the estimated profile of 141 the dust extinction coefficient and retrieved optical depth from lidar measurements.

142 In this paper we address these issues by reporting the results of a new laboratory investigation of the 143 shortwave refractive index and SSA of dust from various source regions worldwide, in the framework 144 of the RED–DUST project (Di Biagio et al., 2017a; hereafter DB17; Caponi et al., 2017; hereafter C17). 145 Dust optical properties at discrete wavelengths between 370 and 950 nm are derived in conjunction with the particle elemental and mineralogical composition, including total elemental iron and iron oxides. 146 147 We investigate the relationship of k and SSA to the iron content to provide a parameterization of the 148 dust absorption as a function of its mineralogy, which can be applied to climate models. The depend-149 ence of dust absorption on the particle coarse size fraction is also investigated to evaluate the change 150 of dust absorption with atmospheric transport time.

151

152 **2. Experimental set-up and instrumentation**

153 As previously described in DB17 and C17, aAll experiments discussed here and previously described 154 in DB17 and C17-were conducted in the 4.2 m³ stainless-steel CESAM chamber (French acronym for 155 Experimental Multiphasic Atmospheric Simulation Chamber) (Wang et al., 2011). Mineral dust aerosols were generated by mechanical shaking of parent soils using about 15 g of soil sample (first sieved to 156 <1000 µm and then dried at 100 °C) placed in a 1 L Büchner flask and shaken for about 30 min at 100 157 158 Hz by means of a sieve shaker (Retsch AS200). The dust suspension in the flask was injected into the 159 chamber by flushing with N₂ at 10 L min⁻¹ for about 10–15 min. After injection in the chamber, the largest 160 fraction of the dust aerosol (>1.5 µm diameter) remained in suspension for approximately 60 to 120 min 161 thanks to a four-blade stainless steel fan located at the bottom of the chamber, which also ensured 162 homogeneous conditions within the chamber volume. The submicron dust fraction, instead, remained 163 constant with time during the experiments (see Sect. 4.1.1), as shown in Fig. 3 reporting the timeline of 164 the measured effective dust fine diameter. The evolution of the physico-chemical and optical properties 165 of the suspended dust was measured by different instruments connected to the chamber. The spectral particle volume dry scattering (β_{sca}) and absorption (β_{abs}) coefficients were measured, respectively, by 166 167 a 3-wavelength nephelometer (TSI Inc. model 3563, operating at 450, 550, and 700 nm; 2 L min⁻¹ flow rate, 2-s time resolution) and a 7-wavelength aethalometer (Magee Sci. model AE31, operating at 370, 168 470, 520, 590, 660, 880 and 950 nm; 2 L min⁻¹ flow rate, 2-min time resolution). The size distribution 169 170 of aerosols was measured by means of a scanning mobility particle sizer (SMPS, TSI, DMA Model 171 3080, CPC Model 3772; mobility diameter range 0.019-0.882 µm; 2.0/0.2 L min⁻¹ sheath-aerosol flow 172 rates, 135-s time resolution), a WELAS optical particle counter (OPC) (PALAS, model 2000, white light 173 source between 0.35 and 0.70 µm; optical-equivalent diameter range 0.58-40.7 µm; 2 L min⁻¹ flow 174 rate, 1-min time resolution) and a SkyGrimm OPC (Grimm Inc., model 1.129, 0.655 µm operating wave-175 length; optical-equivalent diameter range 0.25–32 µm; 1.2 L min⁻¹ flow rate, 6–s time resolution). Aer-176 osol elemental and mineralogical composition, including iron oxides, was derived by analysis of dust 177 samples collected on polycarbonate filters (47-mm diameter Nuclepore, Whatman, nominal pore size 178 0.4 µm) mounted ion a custom-made stainless-steel sample holder (operated at 6 L min⁻¹) for most of 179 the duration of each experiment.

180 All instruments (size, SW optics, filters) sampled air from the chamber. To equalize the airflow extracted 181 by the different instruments, a particle-free N₂/O₂ mixture airflow was continuously injected into the 182 chamber. Inlets for all extractive measurements consisted of a stainless steel tube located inside 183 CESAM, and an external connection of silicone tubing (TSI Inc.) from the chamber to the instruments, 184 for a total length varying between 0.4 and 1.2 m. As detailed in DB17 and shown in Fig. S1 in the 185 supplement, the transmission efficiency due to aspiration and transmission in the sampling lines as a function of particle diameter was estimated to calculate the effective dust fraction sensed by each in-186 187 strument, taking into account the sampling flow rate, tubing diameter, tubing geometry, and particle 188 shape and density. For the nephelometer and the aethalometer, the length of the sampling line from 189 the intake point in the chamber to the instrument entrance was about 1.2 m, which resulted in a 50% 190 cutoff of the transmission efficiency at 3.9 µm particle geometric diameter and 100% cutoff at 10 µm. 191 For the filter sampling system, the length of the sampling line of about 0.5 m resulted in a 50% (100%) 192 cutoff at 6.5 µm (15 µm) particle geometric diameter (or 50% cutoff at 10.6 µm aerodynamic diameter 193 as indicated in C17, therefore compositional analyses refer to the PM_{10.6} size fraction). For the WELAS, 194 the only OPC considered for size distribution in the coarse fraction (see Sect. 2.2), the 50% (100%) 195 cutoff was reached for particles of 5 μ m (8 μ m) diameter.

All experiments were conducted at ambient temperature and relative humidity <2%. In addition to overnight evacuation, the chamber was manually cleaned between experiments to avoid contaminations from remaining dust. Background concentrations of aerosols in the chamber were less than 2.0 μ g m⁻³ (that is 10² to 10⁵ times <u>smaller</u>less than the concentration of dust aerosols in suspension in the chamber during experiments) A flowchart of the procedure used to treat and combine optical, size, and compositional data, and the algorithm for SSA and complex refractive index retrieval is shown in Fig. 1. Full details of data treatment for size distribution measurements and filter compositional data are provided in DB17 and C17, and in the following we only mention the main points of interest for the present paper. Full details on the data treatment of the SW optical data are provided in Sect. 2.1 and 3.

The optical and size datasets were acquired at different temporal resolutions and then averaged over compatible 10–min intervals, whereas the compositional data represent the experiment integral. The SSA and complex refractive index data were retrieved both at 10–min resolution and as experiment averages to relate them to both size and compositional data. Table 1 summarizes the uncertainties on the measured and derived parameters described in the following.

211 2.1 SW optical measurements

212 2.1.1 Aerosol scattering coefficient

213 The aerosol scattering coefficients (β_{sca}) at 450, 550, and 700 nm are measured by the nephelometer 214 at angles between 7° and 170° and need to be corrected for the restricted field-of-view of the instru-215 ment (truncation correction) to retrieve β_{sca} at 0°–180°. The truncation correction factor (C_{trunc}), i.e., the ratio of the β_{sca} at 0°–180° and 7°–170°, was estimated by Mie calculations for homogeneous spherical 216 217 particles using the size distribution measured simultaneously behind SW inlets (see Sect. 2.2). In the 218 calculations, the real part of the complex refractive index of dust was assumed to be wavelength-inde-219 pendent and fixed at a value of 1.53, while the imaginary part was set to 0.003 at 450 and 550 nm and 220 to 0.001 at 700 nm, according to pre-existing information (Sinyuk et al., 2003; Schladitz et al., 2009; 221 Formenti et al., 2011; Rocha–Lima et al., 2018). For the different dust samples, Ctrunc ranged between 222 1.2 and 1.7 and decreased with wavelength and the dust residence time in the chamber, following the 223 relative importance of the coarse component in the dust population (Anderson and Ogreen, 1998). The 224 uncertainty on Ctrunc, calculated by repeating the optical calculations by using the size distribution of 225 dust within its error bars as input to the optical code, is less than ±5% at all wavelengths (in the approx-226 imation of Mie spherical and homogeneous particles.). In order to assess the consistency of the derived 227 truncation correction, we made a sensitivity study in which we recalculated C_{trunc} by varying the refrac-228 tive index atused as input to the Mie calculations in the range of n and k values obtained in this study 229 (i.e., values at the 10% and 90% percentile as reported in Table 5 for the whole dataset, that is n 230 between 1.49 and 1.54 and k between 0.001 and 0.006 at 450, 550, and 700 nm). The results of this sensitivity study indicate that, for fixed dust size distribution, the truncation correction Ctrunc varies less 231 232 than 1% for n between 1.49 and 1.54, and <5% for k between 0.001 and 0.006, and so that it is quite 233 insensitive to the exact assumed n and k values.

234 Once corrected for truncation, the spectral β_{sca} was extrapolated at the aethalometer wavelengths. With 235 this aim, the Scattering Ångström Exponents, SAE_{450–550} and SAE_{550–700}, were calculated as the linear 236 fit of β_{sca} vs λ at 450–550 nm and 550–700 nm, respectively. The SAE_{450–550} and SAE_{550–700} coefficients

- 237 were used to extrapolate β_{sca} at wavelengths respectively lower and higher than 550 nm. Extrapolated
- β_{sca} values were used to derive an average SAE of dust for the entire investigated spectral range.

239 2.1.2 Aerosol absorption coefficient

The aerosol absorption coefficient (β_{abs}) at 370, 470, 520, 590, 660, 880, and 950 nm was retrieved from aethalometer measurements. The aethalometer measures the attenuation (ATT) through an aerosol–laden quartz filter, related to the spectral attenuation coefficient (β_{ATT}) as:

243
$$\beta_{ATT}(\lambda) = \frac{\Delta ATT(\lambda)}{\Delta t} \frac{A}{V}$$
(1)

where A is the area of the aerosol collection spot (0.5 ± 0.1) cm² and V the air sample flow rate (0.002 m³ min⁻¹). The slope $\frac{\Delta ATT(\lambda)}{\Delta t}$ is the linear fit of the measured attenuation as a function of time calculated over 10–min intervals. The spectral attenuation coefficient was converted into an absorption coefficient β_{abs} following the formula by Collaud Coen et al. (2010):

248
$$\beta_{abs}(\lambda) = \frac{\beta_{ATT}(\lambda) - \alpha(\lambda)\beta_{sca}(\lambda)}{C_{ref}R(\lambda)}$$
(2)

249 The $\alpha(\lambda)\beta_{sca}(\lambda)$ term accounts for the fraction of the measured attenuation due to side and backward 250 scattering and not to light absorption. The Collaud-Coen correction scheme has been recently shown 251 to yield quite accurate values of the absorption coefficients and absorption Angström exponents from aethalometer data (Saturno et al., 2017). The value of $\alpha(\lambda)$ was calculated with the formula by Arnott et 252 253 al. (2005) and varied between 0.002 and 0.02 (<±1% from formal error propagation on the Arnott for-254 mula), while $\beta_{sca}(\lambda)$ is the scattering coefficient from the nephelometer extrapolated to the aethalometer 255 wavelengths. Cref accounts for multiple scattering by the filter fibers, aerosol laden or not. Its spectral 256 value, obtained by the linear extrapolation of Cref at 450 and 660 nm estimated for mineral dust by Di 257 Biagio et al. (2017b), varied between 4.30 at 370 nm teand 3.32 at 950 nm. We assume for the extrap-258 olated Cref an uncertainty of ±10% as estimated in Di Biagio et al. (2017b). The correction factor, R, 259 accounts for the decrease in the aethalometer sensitivity with the increase of the aerosol filter loading. 260 The value of R depends on the absorptivity properties of the sampled aerosol and can be calculated as 261 a function of the particle SSA. In this study, we calculated R by estimating a first-guess SSA* as the 262 ratio of the nephelometer-corrected β_{sca} and β_{ext} obtained as the sum of β_{sca} and the β_{abs} non-corrected for filter loading effect. The R was estimated by using the Collaud–Coen et al. (2010) formulation. For 263 264 the range of estimated SSA* (about 0.60 to 0.99), R varied between 0.5 and 1.0 (±1-10%).

265 The Absorption Ångstrom Exponent (AAE) was calculated as the power–law fit of β_{abs} versus λ .

Due to an instrumental problem, aethalometer data were not always available, with a typical 30–min interruption usually 10 to 30 minutes after the beginning of experiments.

268 2.2 Size distribution

The aerosol number size distribution was obtained from SMPS, WELAS and SkyGrimm measurements
 over different diameter ranges. The measured electrical mobility and optical equivalent diameters from

- the SMPS and the OPCs were first converted into geometrical diameters (D_g) as described in DB17
- and summarized in Table 1. The OPCs conversion assumes for dust a dust complex refractive index

that in our study was set in the range 1.47-1.53 for n and 0.001-0.005 for k for both the SkyGrimm and the WELAS (following DB17, for more details see Table 1). After conversion, the estimated D_g range

- 275 was 0.01–0.50 μm for the SMPS, 0.65–73.0 μm for the WELAS, and 0.29–68.2 μm for the SkyGrimm.
- 276 Due to a calibration issue, data for the SkyGrimm in the range $D_{g} > 1\mu m$ were discarded, so that the
- 277 WELAS is the only instrument considered in the super-micron range. A very low counting efficiency
- 278 was observed for the WELAS below 1 μm and data in this size range were also discarded.
- 279

The SMPS, WELAS, and SkyGrimm data were combined, as detailed in DB17, to obtain the full size distribution of the dust aerosols suspended in the CESAM chamber, $(dN/dlogD_g)_{CESAM}$, and the size distribution behind SW optical instruments inlets, $(dN/dlogD_g)_{SWoptics}$, after taking into account particle losses along sampling lines (see Supplementary material and Fig. S1). As previously discussed, due to the particle losses in the sampling line from the chamber to the nephelometer/aethalometer, the $(dN/dlogD_g)_{SWoptics}$ size distribution is cut at 10 μ mmicrons, so no particles above this diameter reach the SW instruments.

The measured size distributions, (dN/dlogDg)_{CESAM} and dN/dlogDg)_{SWoptics}, were used to estimate the mass concentration of aerosols and their effective diameter (D_{eff}) in the CESAM chamber and behind the SW instrument inlets as:

290

Mass concentration=
$$\int \frac{\pi}{6} D_g^3 \frac{dN}{dlog D_g} \rho \cdot dlog D_g$$
(3)

291
$$D_{eff} = \frac{\int D_{g}^{3} \frac{dN}{dlog D_{g}} dlog D_{g}}{\int D_{g}^{2} \frac{dN}{dlog D_{g}} dlog D_{g}}$$
(4)

The effective dust density ρ in Eq. (3) was set at 2.5 g cm⁻³, a value that is approximately in the middle of the range of desert dust densities reported in the literature, i.e., 2.1–2.75 g cm⁻³ (Maring et al., 2000; lwasaka et al., 2003; Reid et al., 2003). The effective diameter was evaluated separately for the fine and coarse fractions of dust by integrating Eq. (4) for diameters ≤1 µm (D_{eff,fine}) and >1 µm (D_{eff,coarse}), respectively. For D_{eff,coarse} the upper limit of the calculation is 10 µm when calculated from (dN/dlogD_g)swoptics, i.e. measured behind the SW inlets.

298 The dust size distribution, (dN/dlogD)swoptics, measured at each 10-min time step for each sample was 299 fitted with a sum of five lognormal functions to smooth data inhomogeneities linked to the different 300 instrument's operating principles and artefacts. Fitting was performed using the Levenberg-Marquardt 301 algorithm. For each mode, the parameters of the lognormal functions, i.e., the total number concentra-302 tion (N_i), the geometric median diameter ($D_{g,i}$), and the geometric standard deviation of the distribution 303 (σ_i) , were retrieved. The uncertainties in the retrieved parameters were estimated by repeating the fit 304 using size data within their uncertainties. The resulting parameters of the fits at the peak of the injection 305 in the chamber are reported in Table S1, and an example of size fitting is shown in Fig. S2.

306 The procedure described here to estimate (dN/dlogDg)CESAM and (dN/dlogDg)SWOPTICS implies that as-307 sumptions are made on the values of n and k to correct OPCs data, and this may introduce a circularity 308 in the estimates of the refractive index of dust that use (dN/dlogDg)swoptics as input in optical calculations 309 (see Sect. 3.2). In order to analyze the dependence of the results on this assumption, we made a 310 sensitivity calculation by varying the values of n and k used for OPCs corrections within the range of 311 values retrieved in this study (10% and 90% percentiles in Table 5, i.e., 1.49-1.54 for n and 0.001-312 0.006 for k). We concluded that changing n and k in this range has a very low impact on the retrieved 313 number size distribution behind the SW inlets (dN/dlogDg)SWoptics compared to the original assumptions 314 made in our calculations (<5% changes in the retrieved size number distribution at the different diame-315 ters between the original correction and the correction by varying n and k). This is due to the fact that when changing D_g due to changes in the n and k in the OPCs correction, the loss function also modifies 316 317 to values corresponding to the new Dg. Given that the loss function increases/decreases for increas-318 ing/decreasing D_g, the combined changes in D_g and the loss function compensate so that the net num-319 ber concentration behind the SW inlets varies less than a few percent. These results therefore suggest 320 that the procedure to estimate the complex refractive index of dust is nearly independent of the assumed 321 OPC correction.

Other sources of uncertainties are linked to the spherical assumption to perform the optical to geometrical diameter conversion (discussed in Sect. 3.3) as well as those due to Mie resonance oscillations of the calculated scattering intensities. Concerning Mie resonances, a sensitivity study was performed varying the size resolution of our calculations (high/low diameter resolution in the calculations to have a better/worse reproduction of Mie resonance oscillations) and show that Mie resonances impact the optical to geometrical correction by less than 1%.

328 **2.3 Dust elemental and mineralogical composition and iron content**

- 329 The elemental and mineralogical composition of the dust aerosols in the PM_{10.6} size fraction was esti-330 mated by combining different techniques: X-ray diffraction (XRD, Panalytical model Empyrean diffrac-331 tometer) to estimate the particles' mineralogical composition in terms of clays, quartz, calcite, dolomite, 332 gypsum, and feldspars; wavelength dispersive X-ray fluorescence (WD-XRF, Panalytical PW-2404 333 spectrometer) to determine the dust elemental composition (Na, Mg, Al, Si, P, K, Ca, Ti, Fe); and X-334 ray absorption near-edge structure (XANES) to retrieve the content of iron oxides and their speciation 335 between hematite and goethite. The dust mass collected on Nuclepore filters during the experiments 336 varied between 0.3 and 6 mg m⁻³ as calculated from elemental concentrations according to Lide (1992). 337 Full details on the XRD, WD–XRF, and XANES measurements and data analysis are provided in DB17 338 and C17. In this study, we discuss the dust elemental iron mass concentration, MCFe%, i.e., the percent 339 mass of elemental iron with respect to the total dust mass concentration, and the iron oxides mass
- 340 concentration, $MC_{Fe-ox\%}$, i.e., the percent mass fraction of iron oxides with respect to the total dust mass
- 341 concentration, estimated as the sum of goethite (MC_{Goet%}) and hematite (MC_{Hem%}) species.
- 342 3. Strategy for data analysis

343 3.1 Calculation of the spectral extinction coefficient and SSA from scattering and absorption 344 coefficients

The spectral scattering and absorption coefficients, β_{sca} (λ) and β_{abs} (λ), measured by the nephelometer and the aethalometer were used to estimate 10–min averages of the spectral extinction coefficient, β_{ext}

347 (λ), at the 7– λ of the aethalometer between 370 and 950 nm as:

348
$$\beta_{ext}(\lambda) = \beta_{abs}(\lambda) + \beta_{sca}(\lambda)$$
(5).

349 The Extinction Ångström Exponent (EAE) was calculated as the power–law fit of β_{ext} versus λ .

350 The spectral single scattering albedo of dust at 10–min resolution (SSA_{10-min}) was retrieved as:

351
$$SSA_{10-min}(\lambda) = \frac{\beta_{sca}(\lambda)}{\beta_{ext}(\lambda)}$$
(6).

352 The experiment–averaged SSA (λ) was calculated for each soil type based on the following formula 353 (Moosmüller et al., 2012):

354
$$SSA(\lambda) = \left(1 + \frac{1}{m(\lambda)}\right)^{-1} \quad (7)$$

355 where m (λ) represents the slope of the linear fit between the 10–min averages of β_{sca} (λ) and β_{abs} (λ) measured along the whole duration of each experiment. An example of β_{sca} (λ) versus β_{abs} (λ) fitting to 356 357 retrieve the spectral SSA is shown in Fig. S3 in the Supplement. The correlation coefficient R² of the β_{sca} versus β_{abs} fit usually ranges between 0.97 and 1 at all wavelengths. As will be discussed later in 358 359 the paper, the single scattering albedo of dust depends on the particle coarse size fraction, and during our experiments SSA_{10-min} was not derived continuously for the different samples due to the aethalom-360 361 eter measurement interruptions. The application of Eq. (7) avoids any bias in the calculated averaged 362 SSA for different soils due to size effects. For two of the analyzed samples (Tunisia and Namib-2), however, the linear fitting procedure was not applicable due to the fact that, respectively, only two and 363 364 one absorption measurements from the aethalometer were available just after the peak of the injection, 365 with no data afterwards. Average SSA data for Tunisia were thus estimated as the mean of the two 366 available SSA_{10-min} data points, while the single SSA_{10-min} measurement at the peak of the injection was 367 reported for Namib-2. This difference in time sampling should be kept in mind when comparing SSA 368 data for these two samples to the rest of the dataset.

369 **3.2 Retrieval of the spectral complex refractive index**

An optical calculation was performed to estimate the complex refractive index (m=n-ik) of dust aerosols based on optical and size data. The retrieval algorithm consisted in recalculating the spectral scattering β_{sca} (λ) and absorption β_{abs} (λ) coefficients measured at each 10-min interval by using the fitted (dN/dlogD)_{SWoptics} size distribution as input and by varying the real and imaginary parts of the complex refractive index in the calculations until the best agreement between measurements and calculations was found. At each wavelength the root mean square deviation (RMSD) was calculated as:

$$376 \qquad RMSD(\lambda) = \sqrt{\left[\frac{\beta_{sca,measured}(\lambda) - \beta_{sca,calculated}(\lambda)(n,k))}{\beta_{sca,calculated}(\lambda)(n,k))}\right]^{2} + \left[\frac{\beta_{abs,measured}(\lambda) - \beta_{abs,calculated}(\lambda)(n,k))}{\beta_{abs,calculated}(\lambda)(n,k))}\right]^{2}$$

$$377 \qquad (8)$$

378 The RMSD was minimized at each wavelength to obtain n-k pairs that most closely reproduce the 379 measured scattering and absorption coefficients. Optical calculations were performed at the 7 wave-380 lengths of the aethalometer between 370 and 950 nm using Mie theory. -for homogeneous spherical 381 particles. In the calculations, the real part of the refractive index was varied in the range 1.40–1.60 at 382 steps of 0.01, while the imaginary part was varied in the range 0.0001-0.050 at steps of 0.0001. For 383 each sample, this resulted in 10500 computations per wavelength and per 10-min time step. The un-384 certainty on the real and imaginary parts of the refractive index was estimated with a sensitivity study. 385 For this purpose, the values of n and k were also obtained by using as input the observed $\beta_{sca}(\lambda)$, β_{abs} 386 (λ), and (dN/dlogD)_{SWoptics}, plus or minus one standard deviation on their measurement. The deviations 387 of the values of n and k retrieved in the sensitivity study with respect to those obtained in the first inversions were assumed to correspond to the one standard deviation uncertainty of 10-min retrieved 388 389 values.

390 Experiment–averaged values of the spectral n and k were estimated as the average of single n and k 391 values retrieved at 10–min steps (indicated as n_{10-min} and k_{10-min}). In fact, differently from the SSA, the 392 refractive index did not seem to depend on the particle coarse size fraction (Sect. 4.5).

A control experiment was performed with submicron ammonium sulphate aerosols (see DB17 and supplementary Fig. S4) with the aim of validating the proposed methodology to estimate the aerosol complex refractive index for a non–absorbing aerosol type. For ammonium sulphate particles with a mono– modal size distribution centered at 0.06 μ m, as measured with the SMPS, the retrieved real part of the refractive index was 1.56 (±0.01) in the 450–700 nm wavelength range, as expected from literature (Toon et al., 1976; Flores et al., 2009; Denjean et al., 2014).

399 **3.3 Assumptions on the retrieval of SSA and complex refractive index**

The approach used to retrieve the SSA and the complex refractive index of dust and the accuracy of the results depend on the accuracy of the input data and the assumptions in the optical calculations. We discuss here two points of the applied procedure, in part already mentioned in the previous paragraphs.

404 1/ The size distribution from OPCs and also the scattering coefficient from the nephelometer used as 405 input to the n and k retrieval procedure and SSA calculation depend more or less directly on the dust 406 refractive index. These instruments need in fact to be corrected for instrumental artefacts and these 407 corrections require an a priori knowledge of the n and k, which in our approach were set to fixed values 408 (1.47–1.53 for n and 0.001–0.005 for k for OPCs optical to geometrical diameter conversion, and 1.53 409 for n and 0.001–0.003 for k for nephelometer truncation correction). This choice may in principle intro-410 duce a certain degree of uncertainty and circularity into the derived n, k, and SSA for dust. Nonetheless, 411 we note that the range of refractive index values used to correct OPCs and nephelometer data falls in

the range of variability of the refractive index values obtained in this study (see Sect. 4.3), which suggests that the values used for the corrections are appropriate. Additionally, as previously discussed, both the size distribution (dN/dlogD_g)_{SWoptics} and the scattering coefficient are not very sensitive to the assumptions about n and k used for the calculations (less than 5% changes in both the number size distribution behind SW inlets and the scattering coefficient from changing n and k within the range of estimated values in this study) which further demonstrates the robustness of the proposed approach.

418 2/ The retrieval procedure for n and k, as well as the calculations for OPCs optical-to-geometrical 419 diameter and the nephelometer truncation correction, simplifies the non-spherical heterogeneous dust 420 aerosols (e.g., Chou et al. 2008; Okada et al., 2011; Nousiainen and Kandler, 2015) into homogeneous 421 spherical particles that can be represented by Mie theory. In the present study, we decided not to use 422 a more advanced shape-representing theory for three main reasons. First, , the spherical model has 423 been shown to produce only moderate errors when computing angular-integrated quantities 424 (Mishchenko et al., 1995; Otto et al., 2009; Sorribas et al., 2015) such as those we calculate in this 425 study to retrieve the OPC and truncation corrections and for n and k retrieval. For instance, Sorribas et al. (2015) showed that using a spheroidal model has a negligible effect on the truncation correction. 426 427 These authors estimated that using a spheroidal model permits to improve by 4 to 13% the agreement 428 between modelled and measured spectral scattering coefficient at 450–700 nm but only for supermicron 429 particles. Conversely, for submicron dust the spherical approximation is better suited than the spheroi-430 dal model to reproduce the scattering coefficients by the nephelometer. The study by Mogili et al. (2007) 431 also found an excellent agreement between measured shortwave extinction spectra and those calcu-432 lated from Mie theory simulations for dust minerals, supporting the use of Mie theory for dust optical 433 modelling. On the other side, other studies point to the need of a non-spherical assumption to improve 434 the modelling of dust optical properties (e.g., Otto et al., 2009). Second, we used Mie theory for the 435 sake of comparison with the large majority of previous field and laboratory data published so far, which 436 had used calculations with the spherical approximation Third, given that the shape distribution and 437 morphology of the dust samples was not measured during experiments. I.-Improper assumptions on 438 the particle shape and morphology may in fact-induce even larger errors than using Mie theory, in 439 particular for super-micron aerosols (Kalashnikova and Sokolik, 2004; Nousiainen and Kandler, 2015). 440 It should be pointed out, however, that dust is usually assumed to be spherical in global climate models 441 (e.g., Myhre and Stordal, 2001; Balkanski et al., 2007; Jin et al., 2016), and different studies still show 442 contrastingdictory results on the true impact of dust non-sphericity on radiative fluxes and heating rates 443 from global model simulations (Mishchenko et al., 1995; Yi et al., 2011; Räisänen et al., 2012; Colarco et al., 2014). On the other hand, shape effects can be important for the retrieval of aerosol properties 444 445 from remote sensing techniques using spectral, angular, and polarized reflectance measurements (e.g., 446 Feng et al., 2009). In synthesis, accounting for shape effects is still controversial for dust modelling and 447 also a complex issue beyond the scope of this paper. Thus, while we acknowledge the potential uncer-448 tainties induced by spherical assumptions in our study, we do not quantify here the overall impact of 449 this assumption on our results.

- 450
- 451 4. Results

452 Nineteen soil samples from different desert areas in Northern Africa, Sahel, Eastern Africa and the 453 Middle East, Central Asia, Eastern Asia, North America, South America, Southern Africa, and Australia 454 were selected for experiments from a collection of 137 soil samples from source areas worldwide. The 455 main information on the provenance of these soils is provided in Table 2. The nineteen selected soils, 456 the same as analyzed in DB17, represent the major dust source regions depicted in Ginoux et al. (2012). 457 Amongst the database of 137 samples from all the world regions that constitute significant dust emitters, 458 this range in mineralogical composition represents the largest variability in iron oxides contents that can 459 be found worldwide. This is illustrated in Fig. 2 where we represent the variability of hematite and goe-460 thite content in the nineteen selected soils and compare it with the range of variability of the global 461 desert soils from the database of Journet et al. (2014).

- 462 **4.1 Physical and chemical properties of analysed dust samples**
- 463

4.1.1 Dust mass concentration and size distribution

464 Figure 3 shows a typical example of a time series of aerosol mass concentration and effective fine and 465 coarse diameters measured inside the CESAM chamber and behind the SW instruments inlets during 466 the experiments, as well as the corresponding β_{sca} and β_{abs} at 370 nm. The Figure shows the rapid 467 increase of the mass concentration within CESAM during dust injection in the chamber, and its subse-468 quent decrease during the experiments due to both size-selective gravitational settling, occurring 469 mostly within the first 30 min of experiments, and dilution by sampling. The scattering and absorption 470 coefficients of dust decrease with time after injection in tandem with the decrease of the mass concen-471 tration and the size-dependent depletion in the chamber. The dust mass concentration inside CESAM 472 at the peak of the injection is between 2 mg m⁻³ (Mali) and 310 mg m⁻³ (Bodélé) and falls to values 473 between 0.9 mg m⁻³ (Mali) and 20 mg m⁻³ (Bodélé) behind the SW instruments inlets. These values 474 are comparable to those measured close to sources during dust storms (Rajot et al., 2008; Kander et 475 al., 2009). After a-2 -hours-experiment, the dust mass concentration has decreased to values of 0.2 to 476 2.5 mg m⁻³ (inside CESAM) and of 0.1 to 1.9 mg m⁻³ (behind the SW inlets), within a range of values 477 comparable to what has been measured as after medium- to long-range dust transport in the real at-478 mosphere (Weinzerl et al., 2011; Denjean et al., 2016b). Theise data therefore indicates that in a 2-479 hour experiment in CESAM it is possible to reproduce the temporal changes of the dust mass load 480 observed in the real atmosphere for dust from its emission to medium/long-range transporta receptor 481 site.

482 Concurrently with As the mass concentration, the effective diameter of the coarse fraction, Deff.coarse, of 483 the coarse fraction of the dust aerosol also rapidly decreases with time due the progressive deposition 484 of the coarsest particles in the chamber. For the different analyzed various soils, Deff.coarse varies in the 485 range of 4-8 µm (peak of injection) to 3-4 µm (after 2 hours) inside the CESAM chamber, and in the range of 3-4 µm (peak of injection) to 2-3 µm (after 2 hours) behind the SW inlets. In contrast, Deff.fine 486 487 remains quite constant during the experiments, with a value between 0.6 and 0.7 µm for all soils. The 488 values of Deff.coarse obtained in this study inside the CESAM chamber are in line with those measured 489 close to African sources (4-12 µm, Rajot et al., 2008; Weinzerl et al., 2009; Ryder et al., 2013a) and 490 for dust transported across the Mediterranean (5-8 µm, Denjean et al., 2016a). Conversely, the values

491 of D_{eff,coarse} behind the SW instruments inlets are mostly in agreement with those reported for dust trans-492 ported <u>at Cape Verde and across</u> the Atlantic ocean (~3 μ m, Maring et al., 2003; Müller et al., 2011; 493 Denjean et al., 2016b). Our values of D_{eff,fine} are higher compared to values reported by Denjean et al. 494 (2016a) for dust aerosols transported over the Mediterranean (0.2 to 0.5 μ m), reflecting the fact that 495 we analyse pure dust whereas these authors often encountered dust externally mixed with pollution 496 particles.

497 The comparison of Deff.coarse values suggests that while the size of dustdistribution in CESAM is mostly 498 representative of dust close to sources, (seeas already pointed out in DB17), the size measured behind 499 the SW instruments inlets is mostly representative of transport conditions. Figure 4 illustrates this point 500 by showing the volume size distributions of the generated dust aerosols at the peak of injection seen 501 by the SW optical instruments, compared to the average size of dust measured in CESAM (DB17) and 502 field observations close to sources (e.g., Niger) and after long-range transport (Cape Verde, Suriname, 503 and Puerto Rico, and Barbados). The size distribution of dust inside CESAM includes a coarse mode 504 up to ~ 50 µm tenths of micrometres and well reproduces field observations close to sources, as shown 505 in comparison to the Niger case. Due to particle losses along tubes, the coarsest particles above 10 µm 506 diameter are not seen by the SW instruments. The overall shape of the dust size distribution sensed by 507 the SW instruments is comparable to that measured during after atmospheric long-range transport, 508 even if the fraction of particles above 3.9 10-µm diameter diameter, which is at the 50% cutoff of the 509 transmission efficiency for the SW optical instruments, -is significantly under-represented compared to 510 observations- (i.e., Betzer et al., 1988; Formenti et al., 2001; Maring et al., 2003; Ryder et al., 2013b, 511 2018; Jeong et al., 2014; Denjean et al., 2016b). It should be keep in mind that often also field data are 512 affected by inlet restrictions so that they cannot measure the whole coarse dust fraction (see Table 1 in 513 Ryder et al., 2018). The lowest cutoff for field data shown in Fig. 4 are for the NAMMA and PRIDE 514 datasets and correspond to upper size limits at 5 and 10 µm in diameter, respectively. Being these 515 values above our cutoff of 3.9 µm, it means that the comparison with our size dataset is meaningful within the range of our measurements. To note that only the data from AER-D did not suffer from 516 517 significant inlet restrictions thus leading to the observation of giant dust particles up to tens of microns 518 in the Saharan Air Layer off the coasts of Western Africa.

519

4.1.2 Iron and iron oxide dust content

520 Elemental iron includes the iron in the form of iron oxides and hydroxides, i.e. hematite and goethite 521 (the so-called free iron, mostly controlling SW absorption) and the iron incorporated in the crystal struc-522 ture of silicates and alluminosilicates (illite, smectite), which does not substantially contribute to SW 523 absorption (Karickhoff and Bailey, 1973; Lafon et al., 2004). The mass concentrations of these compo-524 nents (total iron oxides, hematite, goethite, and total elemental iron) for the different analysed samples 525 are reported in Table 3. There is a considerable variability in the iron and iron oxide content for our 526 samples. Total iron in the dust samples is in the range from 2.4% (Namib-1) to 10.6% (AustraliaNamib-527 2). Iron oxides account for 11% and to 62% of the iron mass (calculated following C17, not reported in 528 Table 3), whereas the percent of iron oxides to the total dust mass varies between 0.7% (Bodélé De-529 pression) and 5.8% (Niger). These data are in the range of values reported in the literature (Reid et al.,

530 2003; Scheuvens et al., 2013; Formenti et al., 2011, 2014a). For the samples from the Sahara and the 531 Sahel, goethite is the dominant iron oxide species, in agreement with Lafon et al. (2006) and Formenti 532 et al. (2014a; 2014b). In contrast, over other regions Elsewhere, hematite dominates over goethite, as 533

already reported by some studies (Arimoto et al., 2002; Shen et al., 2006; Lu et al., 2011).

534 4.2 Spectral- and time-dependent dust extinction and absorption coefficients, complex refrac-535 tive index, and SSA

536 Figure 5 illustrates a typical spectral- and time-dependent set of measured optical properties. The 537 spectral extinction coefficient, absorption coefficient, SSA, and real and imaginary parts of the complex 538 refractive index obtained at 10-min resolution for the Morocco and Algeria samples are shown at the 539 peak of the dust injection in CESAM and 30 and 90 min after the peak. Figure 5 shows that absorption 540 decreases with wavelength, but not extinction. The SSA increases from 370 to 590 nm while it ishows 541 almost constant values between 590 and 950 nm. The imaginary part of the refractive index decreases 542 with λ following the decrease of β_{abs} . The real part of the refractive index does not depend on is almost 543 constant with wavelength.

544 The extinction and absorption coefficients decrease in absolute value with time, as already shown in 545 Fig. 3., but tTheir spectral dependence remains quite constant with time, even if itbut varies from soil to 546 soil. The experiment-averaged aAbsorption, sScattering, and eExtinction Ångström eExponents in the 547 370–950 nm spectral range, representing the spectral variation of the absorption, scattering and extinction coefficients, vary between the values of 1.5 and 2.4 (AAE), -0.4 and 0.4 (SAE), and -0.2 and +0.5 548 549 (EAE) for the different samples. These values are in line with those previously reported by Moosmüller 550 et al. (2012) and C17 for dust from various locations. The retrieved n and k also show negligible changes 551 of their spectral shape with time and their magnitude remains approximately constant. In contrast, the 552 SSA increases with time, in particular below 600 nm wavelength, and so-its spectral shape changes. 553 This is mostly due to the decrease of the coarse size fraction with residence time in the chamber, as 554 will be analysed in Sect. 4.5. Similarly to the absorption, scattering, and extinction coefficients, the 555 spectral shape of k and SSA is somewhat different between the various samples, with the sharpest 556 spectral variations observed for the most absorbing samples and a less pronounced spectral variation 557 for the less absorbing ones, as evident, for example, by comparing the SSA data for Morocco and 558 Algeria in Fig. 5.

559 4.3 Spectral complex refractive index and SSA for the different source regions and comparison 560 to literature data

561 Figures 6 and 7 shows the experiment-averaged n, k, and SSA between 370 and 950 nm for the nineteen aerosol samples analyzed in this study. Data of n, k, and SSA and their uncertainties for each 562 563 sample are reported in Tables 4 and 5 for each sample together with the average values for each of the 564 eight different source regions and for the full dataset. Figures 6 and 7 shows that there are significant differences, both in magnitude and spectral shape, between the imaginary refractive index and SSA for 565 the different samples. The highest values of k (0.0048-0.0088 at 370 nm and 0.0012-0.0021 at 950 566 567 nm) (and lowest values of SSA) (0.70-0.75 at 370 nm and 0.95-0.97 at 950 nm) are obtained for the 568 Niger, Mali, Namib-2 and Australia samples, which also show the highest values of both the iron oxide

569 content between 3.6% and 5.8% and hematite content between 2.0% and 4.8% (k is in the range 570 0.0048-0.0088 at 370 nm and 0.0012-0.0021 at 950 nm, and SSA is in the range 0.70-0.75 at 370 nm 571 and 0.95-0.97 at 950 nm). The lowest values (k is 0.001 at 370 and 0.0003 at 950 nm, and SSA is in 572 the range 0.91-0.96 at 370 nm and 0.97-0.99 at 950 nm) are obtained for the Bodélé, Namib-1, and 573 Arizona samples, which have iron oxide contents between 0.7% and 1.5%-(k is 0.001 at 370 and 0.0003 574 at 950 nm, and SSA is in the range 0.91-0.96 at 370 nm and 0.97-0.99 at 950 nm). Both k and SSA 575 vary from region to region, with the largest absorptions (highest k, lowest SSA) for the Sahel and Aus-576 tralia and the lowest absorption (lowest k, highest SSA) in North and South America and the Middle 577 East: k and SSA values also vary within the same region, as illustrated in Fig. 6 for the Sahelian and 578 Southern African samples. The real part of the refractive index, on the other hand, is not only almost 579 wavelength-independent, as anticipated, but also relatively invariant from sample to sample. Its aver-580 age over the 370-950 nm spectral range is between 1.48 (Gobi) and 1.55 (Ethiopia and Namib-2).

581 The full envelope of n, k, and SSA obtained for the entire set of analysed samples is shown in Fig. 87a 582 and 7b (left panels). The real refractive index is relatively invariant, while the spectral k varies by up to 583 an order of magnitude (0.001-0.009 at 370 nm and 0.0003-0.002 at 950 nm). The SSA changes ac-584 cordingly for the different dust samples at the different wavelengths (30% change at 370 nm corre-585 sponding to values between 0.70-0.96 and 4% change at 950 nm for values within 0.95-0.99). The 586 population mean is 1.52 for n (as spectral average) and varies in the range 0.0033-0.0009 for k and 0.85–0.98 for the SSA between 370 and 950 nm (0.0016 and 0.94 as spectral averages for k and SSA) 587 588 (Fig. 87 and Tables 4 and 5).

589 The comparison between the full envelope of n, k, and SSA in this study with literature data is also 590 shown in Fig. 87a and 7b (right panels). Literature values considered for comparison include estimates 591 from ground-based, aircraft, and satellite observations, laboratory studies, AERONET inversions, and 592 estimates from mixing rules based on the dust mineralogical composition. Given that the sample selec-593 tion in our experiments fully envelopes the global variability of mineralogy of natural dust, we could 594 expect that our dataset would also fully envelope the global-scale variability of the dust absorption and 595 scattering properties in the SW. When comparing with available literature data we found that our n and SSA datasets very well envelope encompass the range of values indicated in the literature, with only a 596 597 few outlier points. In contrast, for the imaginary refractive index the reported range of variability from 598 the literature is significantly larger than that found in our study, with our range of k being mostly at the 599 lower bound of previous results. Nonetheless, our range of k values fully envelopes the ensemble of 600 remote sensing and field campaign data on airborne dust from the previous literature reported in Fig. 601 78a. The global average spectral values for k in our study (thick black line) perfectly match the Dubovik 602 et al. (2002) dataset from a synthesis of AERONET observations from various locations worldwide. 603 Likewise, our k average is also very close to the dataset by Balkanski et al. (2007), estimated from 604 mineralogical composition assuming 1.5% (byin volume) of hematite in dust (a value similar to our pop-605 ulation average of 1.8% (in mass) for the dust hematite content), a value shown to allow a reconciliation 606 of climate modelling and satellite observations of the dust direct SW radiative effect. By comparison, 607 the average dust hematite content for the ensemble of our analysed samples is 1.8% (in mass), close 608 to the 1.5% value proposed by Balkanski et al. (2007).

609 Looking at Fig. 87a, the datasets which that show the largest values, which also fall outsidedeviations 610 from our estimated range of k over the entire considered wavelength range are the ones by: (i) Volz 611 (1972), Patterson et al. (1977) and Hess et al. (1998; i.e., the OPAC.3.1 version database, which is the 612 same k dataset used in the new OPAC 4.0 version, Koepke et al., 2015) showing larger values than 613 our dataset over the entire considered wavelength range. These datasets, which are also amongst the 614 most commonly used references for the dust imaginary refractive index in many climate models; and 615 (ii) the dataset by Wagner et al. $(2012)_{7}$ obtained from laboratory chamber experiments, deviating es-616 pecially below 600 nm wavelength from our range of k. from laboratory chamber experiments; and (iii) 617 the dataset by Steigmann and Yang (2017), estimated from a machine learning technique. The reasons 618 for these discrepancies in the k values are difficult to assess, since they couldan be related to both 619 instrumental and analytical aspects. In the studies by Volz (1972) and Patterson et al. (1977), for in-620 stance, the complex refractive index was obtained by transmittance and diffuse reflectance on pellet 621 samples, a technique that requires the dust to be pressed in a matrix of non-absorbing material. In this 622 case a discrepancy arises from the different optical behaviour between dust compressed in a pellet and 623 the airborne particles. Moreover, Volz (1972) and Patterson et al. (1977) analyse dust aerosols collected 624 after mid- to long-range transport, thusso after the dust hasve been possibly been mixed with absorb-625 ing species.

626 For the case of Wagner et al. (2012) the imaginary refractive index was retrieved from, laboratory 627 chamber experiments on suspended dust, as in our study. Nonetheless, their approach differs in various 628 aspects from the one applied here and this can lead to the observed differences in the retrieved k. First, 629 the aerosol generation technique is different between the two works and this possibly leads to particles 630 with different physico-chemical features compared to our study. In Wagner et al. (2012) the dust aerosol 631 was generated by a rotating brush disperser using only the 20-75 µm sieved fraction of the soils. This 632 system acts to disaggregate the finest particles of the soil by passing it through a nozzle. Then the 633 largest aerosol grains were removed by a cyclone system (50% cutoff at 1.2 µm aerodynamic diameter), 634 so that only the submicron size fraction was measured. We show in Sect. 4.5 that k is independent of 635 size for the range of investigated effective coarse diameters between 2 and 4 µm, but the range of sizes 636 analysed in Wagner et al. (2012) is significantly lower than in our study and a size-effect cannot be 637 excluded. In fact, the relationship between dust absorption and iron content may vary depending on the 638 considered size fraction (see C17) due to the fact that iron bearing minerals are more concentrated in 639 the clay fraction (<2.0 µm) of the dust (Kandler et al., 2009). Moreover, generating dust in a different 640 way may lead to differences in the chemical and mineralogical size-dependent composition of the sam-641 ple, therefore contributing to the observed differences. The impact of this is however difficult to evaluate. 642 Another difference concerns the choice of the optical theory to retrieve k (T-matrix in Wagner et al. 643 instead of Mie theory as used in our work). This can contribute to the observed differences, even if in a 644 limited way (Mogili et al., 2007; Sorribas et al., 2015). Third, in their retrieval Wagner et al. fixed the real 645 refractive index to a wavelength-independent value of 1.53 (as done in several other field and labora-646 tory studies in Fig. 8) and this assumption can bias high/low the retrieved k if the actual n is higher/lower 647 than the assumed 1.53 value. So, in summary, while multiple factors could contribute to the discrepancy 648 it remains however difficult to assess which source of discrepancy is dominant.

4.4 Imaginary refractive index and SSA versus iron and iron oxide content

651 The sample-to-sample variability of the imaginary part of the refractive index k and the SSA observed 652 in Fig. 6 and 7 is related to the dust composition by investigating the dependence on the particle iron 653 content. In Fig. 89 we show the experiment-averaged k and SSA at 370, 520, and 950 nm versus the 654 mass concentration of iron oxides (hematite+goethite, MCFe-ox%), hematite (MCHem%), goethite 655 (MC_{Goeth%}), and total elemental iron (MC_{Fe%}) measured for the different dust samples analyzed in this 656 study. The data are linearly fitted to relate k and SSA to MCFe-ox%, MCHem%, MCGoeth%, and MCFe%. The 657 results of the fits at all wavelengths between 370 and 950 nm are reported in Table 6, together with the 658 statistical indicators of the goodness of fit (correlation coefficient, R², and reduced chi square, χ^{2}_{red} , i.e., 659 the obtained chi square divided by the number of degrees of freedom). The data in Fig. 8 and Table 6 660 indicate that there is an excellent correlation between both k and SSA and MCFe-ox% at the different wavelengths (R²>0.75). A weaker correlation is found when relating k and SSA to MC_{Hem%} and MC_{Fe%} 661 (R² between 0.40 and 0.74 for k and between 0.49 and 0.78 for the SSA), and MC_{Goeth%} (R² between 662 663 0.17 and 0.62). The better correlation of k and SSA to MCFe-ox% compared to MCFe% is expected since 664 dust optical properties in the visible wavelengths are mostly sensitive to the fraction of iron oxides, 665 rather than to iron incorporated into the crystal structure of silicates (i.e., Karickhoff and Bailey, 1973; Lafon et al., 2006; Moosmüller et al., 2012; Klaver et al., 2011; Engelbrecht et al., 2016; C17). The 666 quantities that most robustly satisfy a linear relationship are k and MCFe-ox%, as indicated by the reduced 667 chi square χ^2_{red} that is around 1 at all different wavelengths. The χ^2_{red} increases to values also larger 668 669 than 2 in the other cases, indicating the poorer robustness of the fit in these cases.

We also investigated the dependence of the spectral k and SSA on the mass concentration of other minerals contained in dust, such as clays, calcite, quartz, and feldspars, and also on the mass concentration of different elements. We found that there is no statistically significant correlation between k and <u>or</u> SSA and the mass concentration of any of these compounds (not shown), with R² values between 0.002 and 0.46 at the different wavelengths for all cases.

These results therefore clearly show that iron, particularly in the form of iron oxides <u>(hematite + goe-</u> <u>thite)</u>, is the main driver of dust shortwave absorption. <u>Measuring only the hematite mass fraction to</u> <u>estimate the dust absorption, as it is sometimes done, is therefore not sufficient.</u>

678 4.5 Imaginary refractive index and SSA versus dust coarse size fraction

679The dependence of the spectral k and SSA on the dust coarse fraction is investigated by relating it to680the D_{eff,coarse} calculated from the size distribution data behind the SW instruments inlets. The k_{10-min} and681SSA_{10-min} at 370, 520, and 950 nm versus D_{eff,coarse} are shown in Fig. <u>109</u> for all experimental data,682which we separated into three classes based on their iron oxide content (MC_{Fe-ox%} ≤ 1.5%, 1.5% <</td>683MC_{Fe-ox%} < 3%, MC_{Fe-ox%} ≥ 3%). Figure <u>109</u> shows that even if the correlation is not very strong684(R²<0.54), there is a clearly decreasing tendency for the SSA_{10-min} with increasing D_{eff,coarse}, particularly685at 370 and 520 nm for strongly absorbing samples with iron oxide content larger than 3%. The SSA₁₀₋

- $_{\text{min}}$ is mostly independent of changes of D_{eff,coarse} at 950 nm. Conversely, k_{10-min} has a very poor correlation with D_{eff,coarse} (R²<0.35) and thus does not depend on size. Similar results were also obtained for the real part (not shown).
- 689

These results confirm previous observations (Sokolik and Toon, 1999; McConnell et al., 2008, 2010; Kandler et al., 2011; Ryder et al., 2013a; 2013b) that the refractive index, an intrinsic property of matter, is independent of size. This suggests that size-dependent mineralogical composition is not sufficient to affect k (in the limit of our measurement and retrieval procedure precision). It is worth mentioning that only few past studies evidenced a dependence of k on the size distribution of the dust aerosols (i.e., Kandler et al., 2009, 2011; Otto et al., 2009) maybe because the refractive index was retrieved in these studies from mixing rules based on the estimated size-dependent mineralogical composition.,

 $\begin{array}{rcl} & & \mbox{while-Differently from k,} \mbox{ the SSA increases as the coarse dust size fraction decreases. This is due to} \\ & & \mbox{the fact that absorption efficiency for a single particle (Q_{abs}) increases with particle diameter while the} \\ & & \mbox{scattering efficiency (Q_{sca}) decreases. Ryder et al. (2013a) also showed that the dependence of SSA on size is linear, but important only when the coarse fraction is high (if particles larger than about 3 µm in diameter are present), otherwise the SSA depends mainly on composition, also in agreement with more recent field observations by Ryder et al. (2018). \\ \hline \end{array}$

703 5. Summary and concluding remarks

In this paper we presented new measurements of the spectral SW complex refractive index (m=n-ik) and single scattering albedo (SSA) for nineteen mineral dust aerosols generated in the laboratory from natural soil samples from major desert dust source areas in northern Africa, the Sahel, Middle East, eastern Asia, North and South America, southern Africa, and Australia, and selected to represent the heterogeneity of the dust composition at the global scale, in particular the range of iron oxide concentrations. The envelope of refractive indices and SSA data obtained in this study can thus be taken as representative of the variability of the global dust aerosol.

Experiments described here were conducted in the 4.2 m³ CESAM chamber, a <u>realistic and</u> dynamic environment where dust aerosols <u>can beare</u> generated and maintained in suspension for <u>few-several</u> hours while monitoring the evolution of their physical, chemical, and optical properties. The generated dust aerosols are characterized by a realistic size distribution, including both the sub-micron and the super-micron fraction, and they have an atmospherically representative mass concentration and composition, including iron oxides and elemental iron content.

- 717 Some other laboratory studies have been performed in the past to investigate the shortwave SSA of
- 718 dust from different sources worldwide and its dependence on composition (Linke et al., 2006; Moosmül-
- 719 ler et al., 2012; Engelbrecht et al., 2016). Conversely, for the refractive index there exists to our
- 720 knowledge only one other chamber study (Wagner et al., 2012), that retrieved the imaginary part k
- between 305 and 955 nm for dust aerosols from a limited number of source areas in Africa (Burkina
- 722 Faso, Egypt and Morocco). As a matter of fact, our work provides the first consistent simulation chamber
- 723 <u>study of the complex refractive index of global dust.</u>

The results of the present study can be summarized as follows:

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1. The spectral k and SSA retrieved in this study vary from sample to sample within the same region 727 728 but also from a region to another. For k, values vary between 0.0011-0.00988 at 370 nm, 0.0006 729 to 0.0048 at 520 nm, -and 0.0003–0.002 at 950 nm. For SSA, values vary from 0.70 to 0.96 at 370 730 nm, 0.85 to 0.98 at 520 nm, -and from 0.95 at 0.99 at 950 nm. In contrast, n is wavelength-inde-731 pendent and almost uniform for the different sources, with values between 1.48 and 1.55. Values 732 for n and SSA fall within the range of published literature estimates, while for k we obtain a much 733 narrower range of variability than the ensemble of literature results, as illustrated in Fig. 87. In par-734 ticular, we found lower values of k compared to most of the literature values currently used in climate 735 models, such as Volz et al. (1972), Patterson et al. (1977), and the OPAC database (Hess et al., 736 1998; Koepke et al., 2015). In their study, Miller et al. (2014) state that the values of Dubovik et al. 737 (2002) from AERONET, Patterson et al. (1977) for far-travelled dust, and OPAC probably bracket 738 the global solar absorption by dust. In contrast, our results indicate that dust absorption is lower 739 than previously thought, and its average is close to the values reported by Dubovik et al. (2002) 740 from AERONET observations and Balkanski et al. (2007) for a dust with a 1.5% volume fraction of 741 hematite. Our range of variability of an order of magnitude for k and between 4% and 30% for the 742 spectral SSA is actually large enough to change the sign of the global dust direct effect at the TOA 743 (Miller et al., 2004), as well as its regional implications (e.g., Solmon et al., 2008; Jin et al., 2016), 744 and has to be taken into account in climate modelling.

- The documented changes in k and SSA also impact remote sensing retrievals. To give an example,
 following Gasteiger et al. (2011), our observed variability of about 10% for the SSA at 532 nm would
 translate to about 40% variability in the retrieved extinction profiles and optical depths from lidar
 observations for dust from varying sources.
- 749 3. The sample-to-sample variability observed in this study is mostly related to the iron oxide and 750 elemental iron content in dust. At each investigated wavelength the magnitude of k and SSA is 751 linearly correlated to the mass concentration of total iron oxides, hematite, goethite, and total ele-752 mental iron. Small variations of these compounds translate into large variations of k and SSA. This 753 suggests that it is sufficient to know the content of iron oxide or elemental iron in dust to predict its 754 spectral k and SSA, which represents a huge simplification for parameterizing their regional and 755 global variability.
- 4. We also investigated the dependence of k and SSA on the size distribution of dust. While k is
 independent of size (suggesting that a constant value can be used along transport), below 600 nm
 the SSA linearly decreases for increasing D_{eff,coarse} for strongly absorbing samples with more than
 3% iron oxide content. <u>T</u>+he investigated range of D_{eff,coarse} is within_-about 2 <u>and</u>and 4 µm, and
 thus comparable to values obtained along a transport path over the Atlantic Ocean for dust during
 about 2 to 6 days following emission (Denjean et al., 2016a).

The observations of points 3 and 4 suggest that while it is sufficient to know the content of iron
 oxide (or elemental iron) in dust to predict its spectral k, which means that only one tracer is needed
 in models to parametrize its regional and global variability, for the spectral SSA both composition
 and size distribution are required.

767 <u>6. Concluding remarks</u>

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769 Based on our results, we recommend that dust simulations, as well as remote sensing retrievals, use 770 source-dependent values of the spectral SW refractive index and SSA instead of generic values. We 771 propose, as a first step, a set of regionally-averaged n, k, and SSA values to represent dust from each 772 of the eight regions analysed here as well as a global average value from the ensemble of our data 773 (Tables 4 and 5).- Furthermore, the relationships found between k and SSA and the iron oxides or 774 elemental iron content in dust open the perspective to establish predictive rules to estimate the spec-775 trally-resolved SW absorption of dust based on composition. We recommend the use of iron oxide 776 content rather than iron content as it is better correlated with k and SSA. The relationship found in this 777 study, nonetheless, refer to the bulk composition of the dust aerosols and to a size range typical of 2 to 778 6 days of transport in the atmosphere. As demonstrated in C17 with for the mass extinction efficiency, 779 the relationships linking the dust absorption to iron content vary as a function of the analysed size 780 fraction due to the fact that iron bearing minerals are more concentrated in the clay fraction (<2.0 µm) than in the coarsest fraction of the dust (Kandler et al., 2009; C17). Further investigation should be 781 782 therefore addressed to evaluate the dependence of the spectral k and SSA versus iron content as a 783 function of the size distribution of the particles, in particular extending to a wider range of Deff.coarse 784 compared to the one investigated in the present study. This will allow to determine if the k and SSA 785 versus iron relationships change or not in different phases of the aerosol lifetime, so if it is valid close-786 from the to source areas (when the coarsest fraction is dominant, i.e. Deff.coarse up to 15 µm, Ryder et al. 787 (2013b)), and in to-long-range transport conditions (when most of the coarse particle fraction above few µm has settled out (i.e., Deff,coarse of 2-3 µm or lower, Denjean et al. (2016b)). 788

789 -We point out, however, that the use of mineralogy to estimate k and SSA based on linear relationships, 790 as obtained in our study, requires nonetheless that the model-predicted dust composition accurately 791 reflects that of the natural atmospheric aerosols. To this aim, realistic soil mineralogy databases and 792 accurate modelling of the soil to aerosol size fractionation need to be developed in model schemes. In 793 this sense we mention the EMIT project (Earth Surface Mineral Dust Source Investigation) as a potential 794 near-future source of high resolution surface mineralogy data for arid and semi-arid regions based on 795 imaging spectroscopy satellite data (Green et al., 2018). Also, a realistic representation of the size 796 distribution, in particular the coarse mode fraction of dust and its retention during atmospheric transport, 797 should be provided in models given its importance in affecting the SSA, as shown in this study and 798 previously reported in other papers (Ryder et al., 2013a, 2013b, 2018; Kok et al., 2017). 799 Our study focuses on the dust spectral optical properties between 370 and 950 nm. Further work is

800 required to extend the range of spectral refractive index and SSA data to wavelengths lower than 370

801 <u>nm or higher than 950 nm given that these data are often required in Global Circulation Models and</u>
 802 <u>Numerical Weather Prediction models.</u>

We do not provide any quantification of the uncertainty associated with the assumption of spherical
 particles in our study, even if we acknowledge the potential role of non-sphericity in affecting our data
 treatment and results. Additional work is foreseen to better investigate the shape of our generated dust

- 806 and the impact of non–sphericity on retrieved spectral refractive indices and SSA.
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808 Finally, this study had the objective to investigate the variability of the dust SW optical properties at the 809 global scale in-linked to the global variability of the dust composition. It is noteworthy that observations 810 over Southern Africa and the Sahel from the present study indicate that the k and SSA variabilities var-811 iability over these regions are is comparable to the ones obtained for the global scale. For other regions, 812 such as North America and Australia, only one sample was analyzed, with no information on the re-813 gional--scale variability of k and SSA. Additionally, for some of the analyzed areas, such as the Bodélé 814 depression, even local scale variability (on the order of few km) may be of relevance, given the docu-815 mented local scale changes of the particles' mineralogy and iron content (Bristow et al., 2010). More 816 efforts should be therefore devoted to better characterize the variability of dust spectral optical proper-817 ties at the regional and sub-regional scale with the aim of better assessing the dust impact on the 818 climate of different areas of the world.

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821 Data availability

822 Complex refractive index and single scattering albedo data for the different analyzed samples are pro-823 vided in Tables 4 and 5 and will be compiled together with aerosol properties from other studies within 824 the Library of Advanced Data Products (LADP) of the EUROCHAMP datacenter (https://data.euro-825 champ.org). Processed The CESAM data used in this study are immediately available upon request to 826 the contact author and . They will also soon be made available through the Database of Atmospheric 827 Simulation Chamber Studies (DASCS) of the EUROCHAMP datacenter (https://data.eurochamp.org /)EUROCHAMP-2020 data center (https://data.eurochamp.org/). The following IDL routines were used 828 829 in the analysis: mpfitexy.pro (available at https://github.com/williamsmj/mpfitexy) was used to linearly fit 830 data taking into account uncertainties on both x and y; mie_single.pro (available at 831 http://www.atm.ox.ac.uk/code/mie/mie_single.html) was used for optical calculations using Mie theory; mpcurvefit.pro (available at http://cow.physics.wisc.edu/~craigm/idl/idl.html) was used for size lognor-832 833 mal fitting.

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835 Author contributions

C. Di Biagio, P. Formenti, Y. Balkanski, and J. F. Doussin designed the experiments and discussed the
 results. C. Di Biagio performed the experiments and performed the full data analysis with contributions

by P. Formenti, L. Caponi, M. Cazaunau, E. Pangui, and J.F. Doussin. The soil samples used for experiments were collected by M. O. Andreae, K. Kandler, T. Saeed, S. Piketh, D. Seibert, and E. Williams. E. Journet participated to the selection of the soil samples for experiments. S. ophie Nowak performed the XRD measurements. C. Di Biagio and P. Formenti wrote the manuscript with comments from all co–authors.

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1260 1261 Table 1. Measured and retrieved quantities and their estimated relative uncertainties. For further de-

tails, refer to Sect. 2, as well DB17 and C17.

Ра	rameter	Time resolution	Relative uUncertainty	Uncertainty calcula- tion	Comments
	Scattering coefficient at 450, 550, and 700 nm, β_{sca} (λ)	10–min data	5–12%	Quadratic combination of photon counting and gas calibration uncer- tainty (5%), angular cor- rections uncertainty (<5%) and standard de- viation over 10-min in- tervals (210%).	The uncertainty on β_{sca} (λ) usually decreases with increasing dust residence time in the chamber as a result of the reduction of the coarse component.
	Absorption coeffi- cient at 370, 470, 520, 590, 660, 880, and 950 nm, β _{abs} (λ)	10–min data	22–30% at 370 nm 23–87% at 950 nm	Error propagation for- mula ¹ on Eq. (2) consid- ering the uncertainties on $\beta_{ATT}(\lambda)$ from 10–min fitting procedure (error propagation formula ¹ on Eq. 1, ~20%), and un- certainties on $\alpha(\lambda)$ (1%), $\beta_{sca}(\lambda)$ (5–12%), C_{ref} (10%), and R (1–10%).	
	Extinction coeffi- cient, $\beta_{ext} (\lambda) = \beta_{sca} (\lambda) + \beta_{abs} (\lambda)$	10–min data	~25%	Sum of β_{sca} (λ) and β_{abs} (λ) uncertainties	
	Single Scattering Albedo, SSA (λ) = β_{sca} (λ) / (β_{sca} (λ) + β_{abs} (λ))	10–min data	9–12%	Error propagation for- mula ¹ considering sin- gle uncertainties on β_{sca} and β_{abs} .	
Optical SW	Single Scattering Albedo, SSA (λ) = (1+1/m(λ)) ⁻¹	Experiment averaged	1–12% at 370 nm 1–3% at 950 nm	Error propagation for- mula ¹ on Eq. (6) consid- ering the uncertainty on $m(\lambda)$, i.e., the slope of the linear fit between β_{sca} and β_{abs} over the whole duration of each experiment.	
	Complex refrac- tive index (nik)	10–min data	<5% for n <50% for k	Deviations of the values of n and k retrieved in the sensitivity study (see Sect. 3.2) with re- spect to those obtained in the first inversions were assumed to corre- spond to the one stand- ard deviation uncer- tainty to 10-min re- trieved values.	
	Complex refrac- tive index (nik)	Experiment averaged	<8% for n 13–75 % for k	Quadratic combination of the standard devia- tion of n and k over the experiment and the de- viation on the experi- ment–averaged values between those obtained from central inversions and inversions using in- put data ± their uncer- tainty.	
Size distribution	$\begin{array}{l} \text{SMPS geomet-}\\ \text{rical diameter}\\ (D_g),\\ D_g = D_m /\chi \end{array}$		~6%	Error propagation for- mula ¹ considering the uncertainty on the esti- mated shape factor χ (~6%)	The electrical mobility to geometrical diameter conversion was per- formed by assuming for dust a dynamic shape factor of 1.75 ± 0.10, as

					determined by SMDS
					determined by SMPS– SkyGrimm comparison in their overlapping range (see DB17)
	SkyGrimm geo- metrical diameter (D _g)	_	<15.2%	Standard deviation of the D _g values obtained for different refractive indices values used in the optical to geomet- rical conversion	The conversion of opti- cal to geometrical diam- eters for the SkyGrimm and the WELAS was performed by <u>taking into</u> <u>account the visible com-</u>
	WELAS geomet- rical diameter (D _g)	_	<7%	The same as for the SkyGrimm	plex refractive index of dust aerosols. Optical calculations were com- puted at the SkyGrimm operating wavelength (0.655 µm) and over the spectral range of the WELAS (0.35 to 0.7 µm) using Mie theory for spherical particles by fixing n at 1.47, 1.50, and 1.53, and by vary- ing k in steps of 0.001 between 0.001 and 0.005. Mie calculations by varying the dust re- fractive index in the range 1.47–1.53 for the real part and 0.001– 0.005 for the imaginary part. Then Dg is set at the mean ± 1 standard deviation of the values obtained for the differ- ent values of n and k (see DB17)-(see DB17). Refractive index is as- sumed to be constant with particle size and wavelength-independ- ent.
	(dN/dlogD) _{SWoptics}	10–min data	~2090%	Error propagation for- mula ¹ considering the dN/dlogD _g st. dev. over 10—min and the uncer- tainty on particle loss function along sampling tubes L(D _g) (~50% at 2 µm, ~10% at 8 µm)	The uncertainty of L(D _g) was estimated with a sensitivity study by var- ying the values of the input parameters to the Particle Loss Calculator software within their un- certainties (see DB17)
	D _{eff,fine}	10–min data	<5%	Deviation obtained by	
	D _{eff,coarse}	10–min data	5–40%	repeating the calcula- tions by using the size distribution ± its uncer- tainty.	
	Elemental iron mass concentra- tion (MC _{Fe%})	Experiment averaged	10%		
Mineralogi- cal composi-	Iron oxides mass concentration (MC _{Fe-ox%})	Experiment averaged	15%	Uncertainties calculated as discussed in DB17	
tion	Goethite mass concentration (MC _{Goet%})	Experiment averaged	<10%	and C17	
	Hematite mass concentration (MC _{Hem%})	Experiment averaged	<10%		

¹ $\sigma_f = \sqrt{\sum_{i=1}^n \left(\frac{\partial f}{\partial x_i} \sigma_{x_i}\right)^2}$

Table 2. Summary of information on the soil samples and sediments used in this study.

Geographical area	Sample	Coordinates	Desert area
	Tunisia	33.02°N, 10.67°E	Maouna
	Morocco	31.97°N, 3.28°W	east of Ksar Sahli
Northern Africa – Sahara	Libya	27.01°N, 14.50°E	Sebha
	Algeria	23.95°N, 5.47°E	TinTekraouit
	Mauritania	20.16°N, 12.33°W	east of Aouinet Nchir
	Niger	13.52°N, 2.63°E	Banizoumbou
Sahel	Mali	17.62°N, 4.29°W	Dar el Beida
	Bodélé	17.23°N, 19.03°E	Bodélé depression
Eastern Africa and the	Ethiopia	7.50°N, 38.65°E	Lake Shala National Park
Middle East	Saudi Arabia	27.49°N, 41.98°E	Nefud
	Kuwait	29.42°N, 47.69°E	Kuwaiti
Eastern Asia	Gobi	39.43°N, 105.67°E	Gobi
Eastern Asia	Taklimakan	41.83°N, 85.88°E	Taklimakan
North America	Arizona	33.15 °N, 112.08°W	Sonoran
South America	Atacama	23.72°S, 70.40°W	Atacama
South America	Patagonia	50.26°S, 71.50°W	Patagonia
Southern Africa	Namib1	21.24°S, 14.99°E	Namib
Southern Amca	Namib2	19.00°S, 13.00°E	Namib
Australia	Australia	31.33°S, 140.33°E	Strzelecki

Table 3. Chemical characterization of the dust aerosols in the PM_{10.6} size fraction. Column 3 shows MC_{Fe%}, the fractional mass of elemental iron with respect to the total dust mass concentration (\pm 10-% relative uncertainty), and column 4 reports MC_{Fe-ox%}, the mass fraction of iron oxides with respect to the total dust mass concentration (\pm 15-% relative uncertainty) and its speciation in hematite MC_{Hem%} and goethite MC_{Goeth%} (< \pm 10% relative uncertainty). The iron oxide measurements were not made on the Taklimakan sample. Mean values and standard deviations based on single sample data are reported for the full dataset.

Geographical area	Sample	MC _{Fe%}	MC _{Fe-ox%}	MC _{Hem%}	MC _{Goet%}
	Tunisia	4.1	2.2	1.2	1.1
	Morocco	3.6	1.4	0.4	1.0
Northern Africa – Sahara	Libya	5.2	3.1	0.9	2.2
	Algeria	6.6	2.7	1.4	1.4
	Mauritania	8.1	3.3	3.3	0.0

	Niger	6.1	5.8	2.3	3.5
Sahel	Mali	6.6	3.7	2.0	1.7
	Bodélé	4.1	0.7	0.7	0.0
Eastern Africa and the Middle	Ethiopia	6.8	2.0	2.0	0.0
Eastern Anica and the Middle	Saudi Arabia	3.8	2.6	1.8	0.8
Lasi	Kuwait	5.0	1.5	1.5	0.0
Eastern Asia	Gobi	4.8	0.9	0.9	0.0
Eastern Asia	Taklimakan	5.8	_		_
North America	Arizona	5.3	1.5	1.5	0.0
South America	Atacama	4.7	1.6	1.6	0.0
South America	Patagonia	5.1	1.5	0.9	0.6
Southern Africa	Namib1	2.4	1.1	0.8	0.3
Southern Africa	Namib2	10.6	4.8	4.8	0.0
Australia	Australia	7.2	3.6	3.6	0.0
Full dataset mean (st. dev.)		5.6 (1.9)	2.4 (1.4)	1.8 (1.1)	0.7 (1.0)

1293 Table 4. Real (n) and imaginary (k) parts of the refractive index estimated for the nineteen analysed 1294 dust samples and mean values calculated for the eight regions and for the full dataset. Data for single 1295 soils are reported as experiment-averaged values and their uncertainty is calculated as indicated in 1296 Table 1. Mean values and standard deviations at each wavelength based on single sample data are 1297 reported for the eight regions and the full dataset. The median and 10% and 90% percentile values are 1298 also reported for the full dataset. For North America and Australia, for which only one dust sample was 1299 analysed, the reported data correspond to the single sample available from these regions. For the real 1300 part, the average over the whole shortwave range (nsw) is indicated.

Sample/Region	nsw	σ_{nSW}				k							σ _k			
	037– 0.95 μm	037– 0.95 μm	0.37 μm	0.47 μm	0.52 μm	0.59 μm	0.66 µm	0.88 µm	0.95 µm	0.37 μm	0.47 μm	0.52 μm	0.59 μm	0.66 μm	0.88 μm	0.95 μm
Tunisia	1.51	0.06	0.00 45	0.00 35	0.00 26	0.00 18	0.00 15	0.00 13	0.00 12	0.00 30	0.00 26	0.00 18	0.00 12	0.00 10	0.00 08	0.00 07
	1.49	0.03	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Morocco	1.5	0.04	23 0.00	16 0.00	12 0.00	08 0.00	07	06	07	06 0.00	04	03	02	02	02	02
Lybia			29 0.00	19 0.00	14 0.00	07	06 0.00	07	07	06	04	02	01	02	02	02
Algeria	1.52	0.04	25 0.00	16 0.00	12 0.00	07 0.00	05 0.00	06 0.00	06	10 0.00	06 0.00	04	03	03	03	03
Mauritania Northern Africa	1.5	0.03	43	33	26	14	13	10	10	10	09	08	03	03	0.00	03
- Sahara (mean and st. dev.)	1.51	0.03	0.00 33	0.00 24	0.00 18	0.00 11	0.00 09	0.00 08	0.00 08	0.00 10	0.00 10	0.00 07	0.00 05	0.00 04	0.00 03	0.00 03
Niger	1.51	0.04	0.00 88	0.00 61	0.00 48	0.00 34	0.00 31	0.00 28	0.00 21	0.00 43	0.00 31	0.00 23	0.00 18	0.00 15	0.00 10	0.00 13
Mali	1.52	0.05	0.00 48	0.00 38	0.00 30	0.00 23	0.00 24	0.00 21	0.00 21	0.00 08	0.00 06	0.00 04	0.00 03	0.00 03	0.00 03	0.00
		0.03	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Bodélé Sahel (mean	1.49 1.51	0.03	11 0.00	07 0.00	06 0.00	04 0.00	04 0.00	03 0.00	03 0.00	06 0.00	04 0.00	03 0.00	02 0.00	02 0.00	01 0.00	01 0.00
and st. dev.)	1.51		49 0.00	35 0.00	28 0.00	20 0.00	20 0.00	17 0.00	15 0.00	38 0.00	27 0.00	21 0.00	15 0.00	14 0.00	13 0.00	11 0.00
Ethiopia	1.55	0.06	26 0.00	20 0.00	16 0.00	13 0.00	11 0.00	07	06	09	08	07	05	04	02	02
Saudi Arabia	1.54	0.06	28	21	15	07	06	06	06	06	05	04	02	01	01	01
Kuwait	1.50	0.04	0.00 16	0.00 10	0.00 08	0.00 06	0.00 05	0.00 05	0.00 04	0.00 05	0.00 03	0.00 03	0.00 02	0.00 02	0.00 03	0.00 02
Eastern Africa and the Middle East (mean and st. dev.)	1.53	0.05	0.00 23	0.00 17	0.00 13	0.00 09	0.00 07	0.00 06	0.00 05	0.00 07	0.00 06	0.00 05	0.00 04	0.00 03	0.00 01	0.00 01
Gobi	1.48	0.05	0.00 41	0.00 25	0.00 18	0.00 12	0.00 11	0.00 12	0.00 12	0.00 17	0.00 09	0.00 06	0.00 04	0.00 04	0.00 05	0.00 05
Taklimakan	1.54	0.07	0.00 18	0.00 12	0.00 09	0.00 06	0.00 05	0.00 05	0.00 05	0.00 08	0.00 05	0.00 04	0.00 02	0.00 02	0.00 02	0.00 02
Eastern Asia (mean and st. dev.)	1.51	0.05	0.00 30	0.00 19	0.00 14	0.00 09	0.00 08	0.00 08	0.00	0.00 16	0.00 09	0.00	0.00 05	0.00 05	0.00 05	0.00
	4.54	0.05	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Arizona North America (mean and st. dev.)	1.51 1.51	0.05	11 0.00 11	09 0.00 09	07 0.00 07	05 0.00 05	05 0.00 05	05 0.00 05	04 0.00 04	05 0.00 05	04 0.00 04	03 0.00 03	02 0.00 02	02 0.00 02	02 0.00 02	02 0.00 02
,		0.07	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Atacama	1.54	0.07	16 0.00	15 0.00	12 0.00	08 0.00	06	06	06	05	04	03	02	02	02	02
Patagonia South America	1.53	0.07	24	16	11	09	06	07	06	08	05	03	03	03	03	02
(mean and st. dev.)	1.54	0.06	0.00 20	0.00 15	0.00 11	0.00 08	0.00 06	0.00 07	0.00 06	0.00 06	0.00 01	0.00 01	0.00 01	0.00 00	0.00 01	0.00 00
Namib1	1.53	0.06	0.00 12	0.00 09	0.00 06	0.00 04	0.00 03	0.00 04	0.00 04	0.00 06	0.00 04	0.00 03	0.00 02	0.00 01	0.00 02	0.00 01
Namib2	1.55	0.07	0.00 72	0.00 54	0.00 44	0.00 25	0.00 18	0.00 14	0.00 14	0.00 27	0.00 19	0.00 16	0.00 09	0.00 07	0.00 06	0.00 06
Southern Africa (mean and st. dev.)	1.54	0.06	0.00 42	0.00 31	0.00 25	0.00 14	0.00 11	0.00 09	0.00 09	0.00 42	0.00 32	0.00 27	0.00 15	0.00 10	0.00 07	0.00 07
Australia	1.54	0.06	0.00 58	0.00 42	0.00 33	0.00 17	0.00 13	0.00 13	0.00 12	0.00 22	0.00 11	0.00 10	0.00 06	0.00 06	0.00 04	0.00 03
Australia (mean	1.54	0.06	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
and st. dev.)			58	42	33	17	13	13	12	22	11	10	06	06	04	03
Full dataset (mean and st. dev.)	1.52	0.04	0.00 33	0.00 24	0.00 18	0.00 12	0.00 10	0.00 09	0.00 09	0.00 21	0.00 16	0.00 13	0.00 08	0.00 07	0.00 06	0.00 05
Full dataset me- dian	1.52		0.00 26	0.00 19	0.00 14	0.00 08	0.00 06	0.00 07	0.00 06							
Full dataset 10%	1.49		0.00	0.00	0.00	0.00	0.00	0.00	0.00							
percentile	1.43		12	09	07	05	04	04	04	L	L	L	L			L

Full dataset 90% percentile 1.54 0.00 0.00 0.00 0.00 0.00 0.00 61 44 35 23 19 15 15 15

Table 5. As in Table 4 for the single scattering albedo (SSA) data.

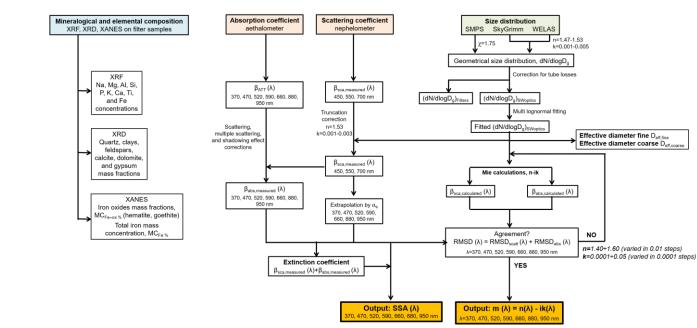
Sample/Region				SSA							σ_{SSA}			
oampie/rregion	0.37	0.47	0.52	0.59	0.66	0.88	0.95	0.37	0.47	0.52	0.59	0.66	0.88	0.95
	μm	μm	μm	μm										
Tunisia	0.85	0.90	0.93	0.95	0.95	0.97	0.97	0.03	0.02	0.02	0.01	0.01	0.01	0.01
Morocco	0.92	0.95	0.96	0.98	0.98	0.98	0.99	0.01	0.01	0.01	0.00	0.00	0.00	0.00
Lybia	0.89	0.93	0.95	0.98	0.98	0.98	0.98	0.02	0.01	0.01	0.00	0.00	0.00	0.00
Algeria	0.87	0.92	0.94	0.97	0.97	0.98	0.98	0.02	0.01	0.01	0.00	0.00	0.00	0.00
Mauritania	0.85	0.90	0.94	0.96	0.97	0.98	0.98	0.02	0.01	0.01	0.01	0.01	0.00	0.00
Northern Africa – Sahara (mean and st. dev.)	0.88	0.92	0.94	0.97	0.97	0.98	0.98	0.03	0.02	0.02	0.01	0.01	0.01	0.01
Niger	0.72	0.85	0.89	0.91	0.92	0.94	0.95	0.09	0.09	0.07	0.05	0.05	0.03	0.02
Mali	0.75	0.85	0.89	0.93	0.95	0.96	0.96	0.04	0.03	0.02	0.02	0.02	0.01	0.01
Bodélé	0.96	0.98	0.98	0.99	0.99	0.99	0.99	0.04	0.02	0.02	0.01	0.01	0.01	0.01
Sahel (mean and st. dev.)	0.81	0.89	0.92	0.94	0.95	0.96	0.97	0.13	0.07	0.05	0.04	0.04	0.03	0.02
Ethiopia	0.80	0.86	0.90	0.92	0.94	0.97	0.97	0.03	0.03	0.02	0.02	0.01	0.01	0.01
Saudi Arabia	0.88	0.93	0.96	0.98	0.98	0.98	0.98	0.03	0.02	0.01	0.01	0.01	0.00	0.00
Kuwait	0.95	0.97	0.98	0.98	0.99	0.99	0.99	0.02	0.01	0.01	0.01	0.01	0.01	0.00
Eastern Africa and the Middle East (mean and st. dev.)	0.88	0.92	0.94	0.96	0.97	0.98	0.98	0.07	0.05	0.04	0.03	0.03	0.01	0.01
Gobi	0.88	0.92	0.94	0.96	0.97	0.97	0.97	0.04	0.03	0.02	0.01	0.01	0.01	0.01
Taklimakan	0.82	0.88	0.92	0.95	0.96	0.96	0.96	0.03	0.02	0.02	0.01	0.01	0.01	0.01
Eastern Asia (mean and st. dev.)	0.85	0.90	0.93	0.96	0.96	0.97	0.97	0.04	0.03	0.02	0.01	0.01	0.01	0.01
Arizona	0.93	0.96	0.97	0.98	0.98	0.99	0.99	0.01	0.01	0.01	0.00	0.00	0.00	0.00
North America (mean and st. dev.)	0.93	0.96	0.97	0.98	0.98	0.99	0.99	0.01	0.01	0.01	0.00	0.00	0.00	0.00
Atacama	0.89	0.93	0.94	0.97	0.97	0.98	0.98	0.03	0.02	0.02	0.01	0.01	0.01	0.01
Patagonia	0.88	0.91	0.94	0.96	0.97	0.98	0.98	0.02	0.02	0.01	0.01	0.01	0.00	0.01
South America (mean and st. dev.)	0.89	0.92	0.94	0.96	0.97	0.98	0.98	0.00	0.01	0.00	0.00	0.00	0.00	0.00
Namib-1	0.91	0.95	0.96	0.98	0.98	0.99	0.99	0.02	0.01	0.01	0.00	0.00	0.00	0.00
Namib-2	0.74	0.82	0.86	0.92	0.94	0.96	0.97	0.03	0.02	0.02	0.01	0.01	0.01	0.01
Southern Africa (mean and st. dev.)	0.83	0.88	0.91	0.95	0.96	0.98	0.98	0.12	0.09	0.07	0.04	0.03	0.02	0.02
Australia	0.70	0.81	0.85	0.91	0.93	0.96	0.97	0.04	0.03	0.02	0.01	0.01	0.01	0.01
Australia (mean and st. dev.)	0.70	0.81	0.85	0.91	0.93	0.96	0.97	0.04	0.03	0.02	0.01	0.01	0.01	0.01
Full dataset (mean and st. dev.)	0.85	0.91	0.93	0.96	0.96	0.97	0.98	0.08	0.05	0.04	0.03	0.02	0.01	0.01
Full dataset median	0.88	0.92	0.94	0.96	0.97	0.98	0.98							
Full dataset 10% percentile	0.74	0.84	0.88	0.92	0.94	0.96	0.96							
Full dataset 90% percentile	0.93	0.96	0.97	0.98	0.99	0.99	0.99							

Table 6. Results of the linear fit between k and SSA and the mass concentration of iron oxides, MC_{Fe-1} ox%, hematite, $MC_{Hem\%}$, goethite, $MC_{Goeth\%}$, and elemental iron, $MC_{Fe\%}$ in dust. Column 1 indicates the wavelength; (a ± σ a) indicates the retrieved slope and its estimated uncertainty; (b ± σ b) indicates the retrieved intercept and its estimated uncertainty; R² denotes the correlation coefficient and χ^{2}_{red} is the reduced chi–square of the fit.

	k	= <i>a</i> MC _{Fe_ox %} + b		SSA	= <i>a</i> MC _{Fe_ox %} + b	
Wavelength (nm)	a±σa	b±σb	R^2 ; χ^{2}_{red}	a±σa	b±σb	R ² ;χ ² _{red}
370	$(11.9 \pm 2.4) \ 10^{-1}$	(2.4 ± 4.6) 10 ⁼⁴	0.88 ; 0.6	(5.8 ± 0.8) 10 ⁼²	(1.00 ± 0.02)	0.83 ; 1.7
470	(9.0 ± 1.7) 10 ⁻⁴	(1.7 ± 3.2) 10 ⁻⁴	0.89 ; 0.8	$(-3.8 \pm 0.6)_{2}$ 10 ⁻²	(1.00 ± 0.01)	0.78 ; 1.8
520	(6.8 ± 1.3) 10 ⁻⁴	(1.3 ± 2.4) 10 ⁼⁴	0.90 ; 0.9	$(-2.9 \pm 0.4) 10^{-2}$	(1.01 ± 0.01)	0.76 ; 2.0
590	(4.5 ± 0.9) 10 ⁻⁴	(0.9 ± 1.6) 10 ⁻⁴	0.85 ; 1.4	(1.8 ± 0.3) 10 ⁻ 2	(1.00 ± 0.01)	0.75 ; 2.3
660	(4.3 ± 0.8) 10 ⁻⁴	(0.8 ± 1.4) 10 ⁼⁴	0.81 ; 1.6	$(-1.3 \pm 0.2)_{2} 10^{-1}$	(1.00 ± 0.00)	0.75 ; 2.2
880	(3.4 ± 0.6) 10 ⁻⁴	(0.6 ± 1.2) 10 ⁻⁴	0.79 ; 1.0	(0.76 ± 0.16) 10 ⁼²	(1.00 ± 0.00)	0.79 ; 1.4
950	(3.2 ± 0.6) 10 ⁻⁴	(0.6 ± 1.0) 10 ⁻⁴	0.77 ; 1.1	(0.62 ± 0.13) 10 ⁼²	(0.99 ± 0.00)	0.78 ; 1.′
	k = a MC _{Hem %} + b			SSA = a MC _{Hem %} + b		
Wavelength (nm)	a±σa	b±σb	R^2 ; χ^{2}_{red}	a±σa	b±σb	R ² ; χ ² _{rec}
370	(9.7 ± 2.7) 10 ⁻⁴	(2.7 ± 4.0) 10 ⁻⁴	0.67 ; 1.9	(4.4 ± 0.6) 10 ²	(0.95 ± 0.01)	0.73 ; 3.5
470	(8.3 ± 1.9) 10 ⁻⁴	(1.9 ± 2.7) 10 ⁼⁴	0.72 ; 1.9	$(-3.0 \pm 0.4) 10^{-2}$	(0.97 ± 0.01)	0.76 ; 3.2
520	(6.9 ± 1.5) 10 ⁼⁴	(1.5 ± 2.0) 10 ⁼⁴	0.74 ; 2.0	$(-2.2 \pm 0.3)_{2}$ 10 ⁼	(0.98 ± 0.00)	0.78 ; 3.3
590	(3.7 ± 0.8) 10 ⁼⁴	(0.9 ± 1.2) 10 ⁼⁴	0.61 ; 2.1	$(-1.3 \pm 0.2)_{2}$ 10 ⁼	(0.99 ± 0.00)	0.71 ; 2.7
660	(3.7 ± 0.8) 10 ⁼⁴	(0.8 ± 1.1) 10 ⁼⁴	0.51 ; 2.6	$(-0.9 \pm 0.2)_{2}$ 10 ⁻²	(0.99 ± 0.00)	0.62 ; 2.
880	(2.9 ± 0.7) 10 ⁻⁴	(0.7 ± 1.1) 10 ⁼⁴	0.43 ; 2.1	$(-0.6 \pm 0.1) 10^{-2}$	(0.99 ± 0.00)	0.57 ; 1.8
950	(2.6 ± 0.6) 10 ⁻⁴	(0.6 ± 0.9) 10 ⁻⁴	0.46 ; 2.1	$(-0.5 \pm 0.1)_{2}$ 10 ⁻²	(0.99 ± 0.00)	0.49 ; 1.7
	k = a MC _{Goeth %} + b			SSA = <i>a</i> MC _{Goeth %} + b		
Wavelength (nm)	a±σa	b±σb	R^2 ; χ^{2}_{red}	a±σa	b±σb	R ² ; χ ² _{rec}
370	(9.0 ± 2.5) 10 ⁴	(2.5 ± 2.2) 10 ⁻⁴	0.47 ; 1.8	$(-13.4 \pm 6.9) 10^{-13.4}$	(0.90 ± 0.01)	0.32 ; 6.8
470	(5.5 ± 1.7) 10 ⁻⁴	(1.7 ± 1.5) 10 ⁼⁴	0.43 ; 2.3	$(-8.3 \pm 4.7)_{3}$ 10 ⁼	(0.94 ± 0.00)	0.21 ; 6.2
520	(3.4 ± 1.1) 10 ⁻⁴	(1.1 ± 1.2) 10 ⁼⁴	0.41 ; 2.5	$(-4.9 \pm 3.2)_{3}$ 10 ⁼	(0.96 ± 0.00)	0.17 ; 6.4
590	$(0.5 \pm 0.6) \ 10^{-4}$	$(0.6 \pm 0.8) 10^{-4}$	0.50 ; 3.2	$(0.9 \pm 2.0) \ 10^{-3}$	(0.97 ± 0.00)	0.23 ; 5.
660 880	$(2.2 \pm 0.8) \ 10^{-4}$ $(2.6 \pm 0.8) \ 10^{-4}$	$(0.8 \pm 0.7) \ 10^{-4}$ $(0.8 \pm 0.6) \ 10^{-4}$	0.55 ; 3.6 0.62 ; 2.4	$(0.2 \pm 1.6) \ 10^{-3}$ $(-1.1 \pm 1.4) \ 10^{-3}$	$\frac{(0.98 \pm 0.00)}{(0.98 \pm 0.00)}$	0.34 ; 4.4
950	$(2.6 \pm 0.8) \ 10^{-4}$ $(2.6 \pm 0.8) \ 10^{-4}$	$(0.8 \pm 0.6) \ 10^{-1}$ $(0.8 \pm 0.6) \ 10^{-4}$	0.62 ; 2.4	$(-2.1 \pm 1.4) 10^{-3}$ $(-2.1 \pm 1.4) 10^{-2}$	(0.98 ± 0.00) (0.98 ± 0.00)	0.47 ; 3.0
930	(2.0 ± 0.0) 10-	(0.0 ± 0.0) 10 -	0.55, 2.5	$(\frac{2}{2}, 1 \pm 1.4)$ 10-	(0.98 ± 0.00)	0.54 ; 2.6
		k = <i>a</i> MC _{Fe %} + b	•	SSA	A = <i>a</i> MC _{Fe %} + b	
Wavelength (nm)	a±σa	b±σb	R^2 ; χ^{2}_{red}	a±σa	b±σb	R ² ; χ ² rec
370	(6.0 ± 1.4) 10 ⁻⁴	(1.4 ± 0.7) 10 ⁻⁴	0.60 ; 1.5	(2.7 ± 0.4) 10 ⁻	(1.02 ± 0.02)	0.67 ; 3.
470	(4.7 ± 1.0) 10 ⁻⁴	(1.0 ± 0.5) 10 ⁼⁴	0.62 ; 1.7	$(-1.8 \pm 0.3)_{2}$ 10 ⁼	(1.02 ± 0.01)	0.72 ; 2.8
520	(3.9 ± 0.8) 10 ⁻⁴	(0.8 ± 3.9) 10 ⁻⁴	0.65 ; 1.6	$(-1.3 \pm 0.2)_{2}$ 10 ⁼	(1.01 ± 0.01)	0.72 ; 2.9
590	(2.5 ± 0.5) 10 ⁻⁴	(0.5 ± 2.4) 10 ⁻⁴	0.56 ; 1.7	$(-0.8 \pm 0.1) 10^{-2}$	(1.01 ± 0.01)	0.70 ; 2.4
660	(2.0 ± 0.4) 10 ⁻⁴	(0.4 ± 1.7) 10 ⁻⁴		(- <u>-</u> 0.5 ± 0.1) 10 ⁻	(1.00 ± 0.00)	
000			0.48 ; 1.9	2		0.62 ; 2.0

	950 (1.4 ± 0.3) 10 ⁻⁴	(0.3 ± 1.4) 10 ⁻⁴	0.45 ; 2.0	$(-0.3 \pm 0.1) 10^{-2}$	(1.00 ± 0.00)	0.49 ; 1.5
1310				0.43 , 2.0	I I		0.40 , 1.0
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1319	Figure 1. Flowchar	rt illustrating t	he procedure fo	r data treatr	nent and retrieva	l of physical ar	nd chemical
1320	(size, composition)	and spectral	optical propertie	es (single so	cattering albedo,	SSA, and com	plex refrac-
1321	tive index) of mine	eral dust aero	sols. In red we	mention th	e different corre	ctions perform	ed and the
1322	values adopted in t	he calculation	<u>18.</u>				

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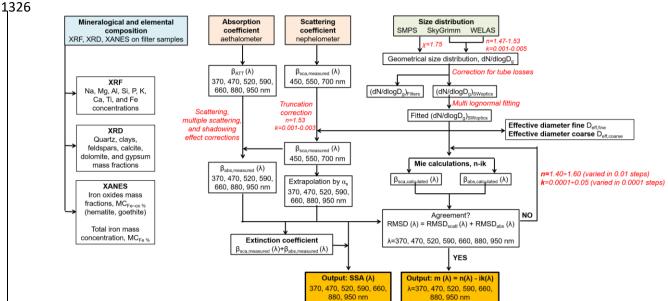
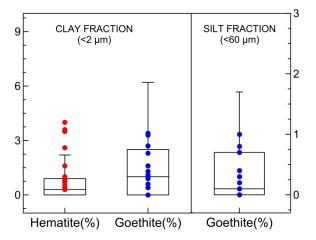


Figure 2. Box and whisker plot showing the full variability of hematite and goethite mass fractions in the soils for the clay-sized (<2 µm diameter) and silt-sized (<60 µm diameter) soil fractions as retrieved from the global soil mineralogical database by Journet et al. (2014). The box and whisker plot include data for the nineData are desert source areas depicted in Ginoux et al. (2012) and DB17 (Northern Africa, Sahel, Eastern Africa and the Middle East, Central Asia, Eastern Asia, North America, South America, Southern Africa, and Australia). from the soil mineralogical database by Journet et al. (2014). in the dust source regions at the global scale by considering desert source areas as reported in Ginoux et al. (2012) and DB17. Data are from the soil minoralogical database by Journot et al. (2014). Dots indicate hematite and goethite soil-content in clay-sized and silt-sized soils (always extracted from Journet et al.) extracted in correspondence to the geographical coordinates where thefor the nineteen soils used in the CESAM experiments were collected. The Journet et al. database assumes that the iron oxides in the silt fraction consist only of goethite.



- 1346 Figure 3. Top panel: time series of the aerosol mass concentration (cross symbols) and effective fine
- 1347 (Deff,fine, open dots) and coarse diameter (Deff,coarse, open squares) measured inside the CESAM cham-
- 1348 ber (red symbols) and at the input of the SW instruments (black symbols) for one experiment (Morocco
- dust). Bottom panel: time series of the scattering β_{sca} and absorption β_{abs} coefficients at 370 nm for the
- 1350 same experiment. Mass concentrations are reported as 6-_sec data, while all other quantities are 10-
- 1351 min averages.

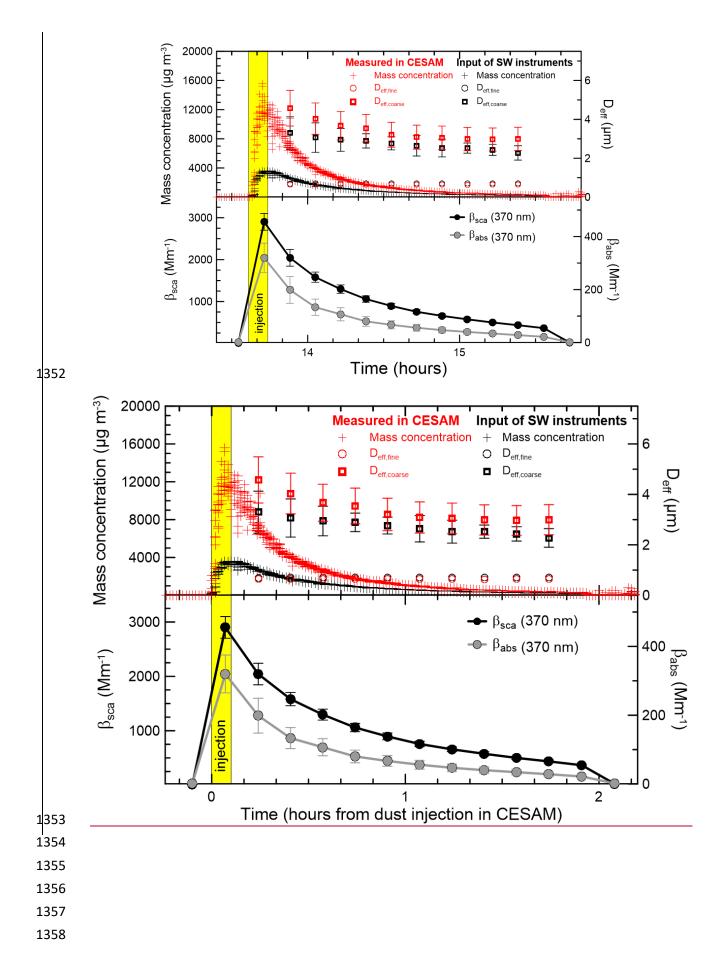


Figure 4. Comparison of dust size distributions sensed by the SW optical instruments (behind the SW instruments inlet (dV/dlogDg)swoptics), with field data for long-range transported dust. The thick black line represents the mean value of (dV/dlogDg)swoptics at the peak of the dust injection in CESAM for experi-ments with the different samples. The grey shaded area indicates the range of (dV/dlogDg)swoptics for all samples. The dotted black line shows the average of the dust size distribution at the peak of the injection inside the CESAM chamber from DB17. Field data are from: Formenti et al. (2001) (CLAIRE campaign in Suriname, South America), Maring et al. (2003) and Denjean et al. (2016b) (PRIDE and DUST-ATTACK campaigns in Puerto Rico, Caraibes), Müller et al. (2011), and Chen et al. (2011) and (Ryder et al. (2018) (SAMUM2,-and NAMMA, and AER-D campaigns in Cape Verde, eastern Atlantic), and Weinzierl et al. (2017) (SALTRACE campaign, data from Barbados). For comparison, data taken close to the source in Niger from Formenti et al. (2011) during the AMMA campaign are also shown. SAL stands for Saharan Air Layer. All data are reported as volume size distributions normalised at the max-imum. [The different acronyms spell out as: AER-D= AERosol Properties - Dust; AMMA = African Monsoon Multidisciplinary Analysis; CLARE= Cooperative LBA Airborne Regional Experiment; DUST-ATTACK+ Dust Aging and Transport from Africa to the Caribbean; NAMMA = NASA African Monsoon Multidisci-plinary Analysis; PRIDE = Puerto Rico Dust Experiment; SALTRACE= Saharan Aerosol Long-range Transport and Aerosol–Cloud–Interaction Experiment; SAMUM = Saharan Mineral Dust Experiment).

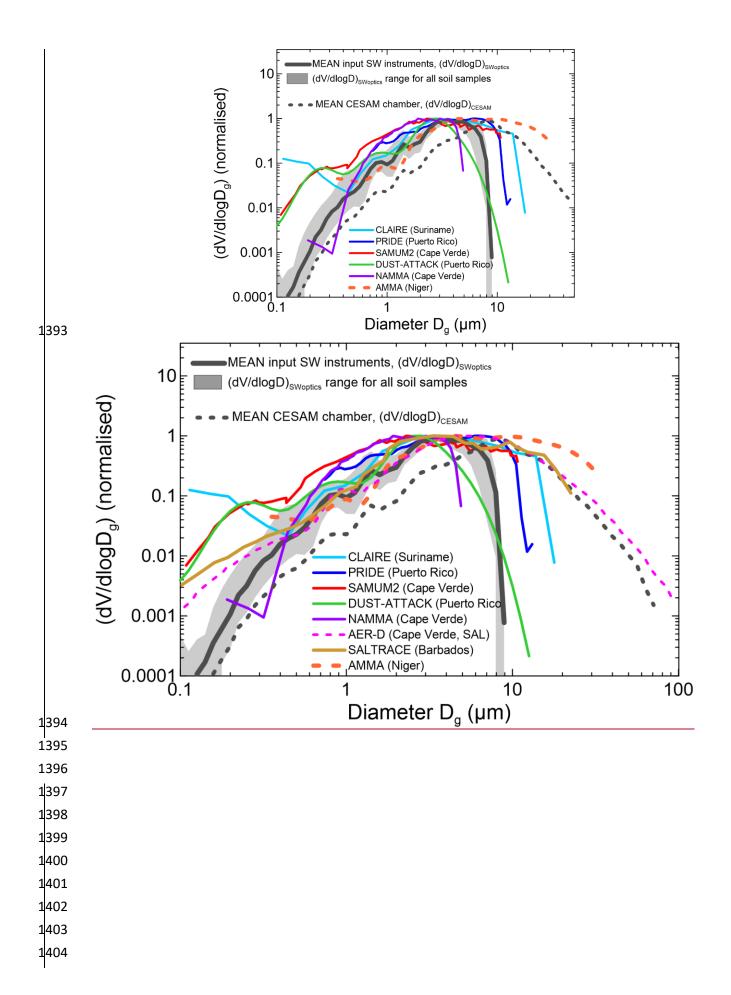
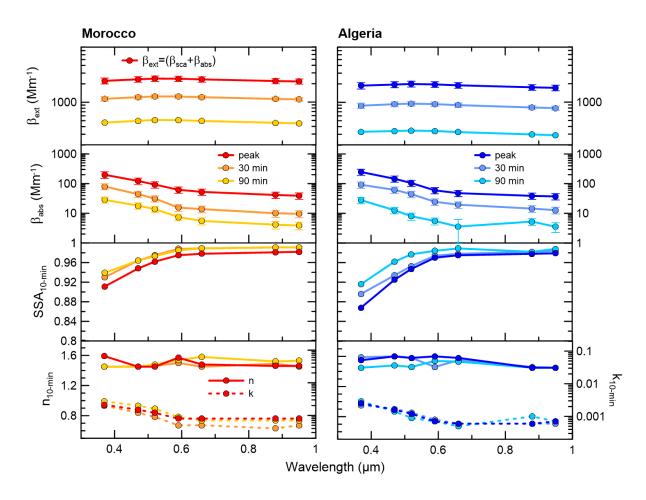
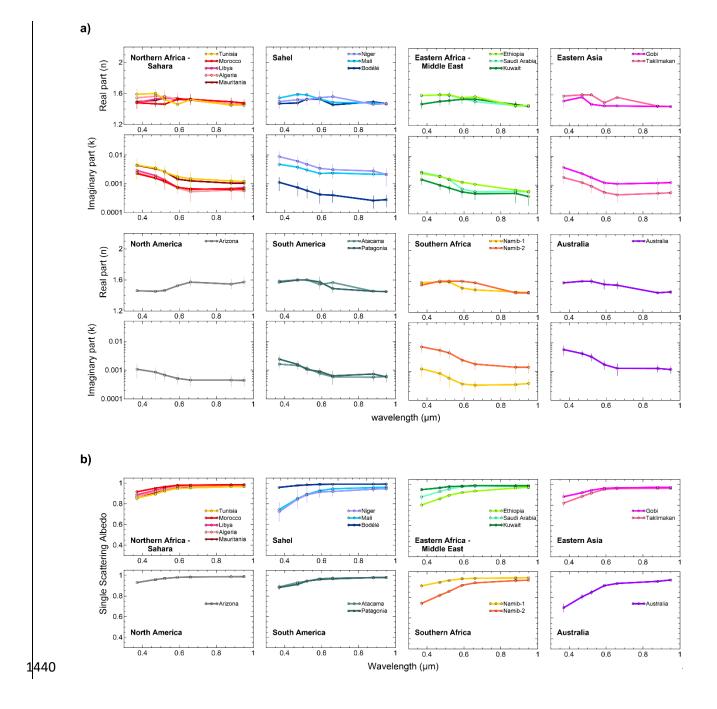
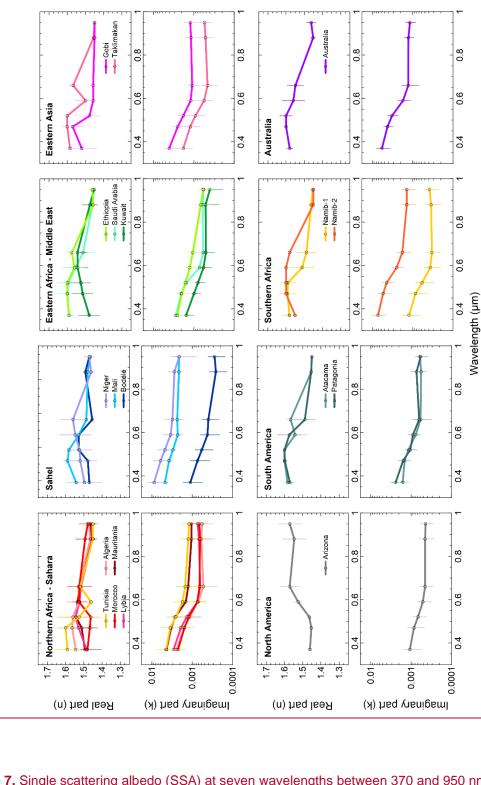


Figure 5. Spectral extinction coefficient, absorption coefficient, SSA, and real (n) and imaginary (k) parts of the refractive index at the peak of the dust injection in the chamber and after 30 and 90 minutes for Morocco and Algeria dust samples. Data are reported at the seven aethalometer wavelengths (370, 470, 520, 590, 660, 880, and 950 nm) as 10–min averages. In the top panel we report the extinction calculated as the sum of scattering and absorption coefficients. For the sake of clarity error bars are not shown for SSA, n, and k data.



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1432	Figure 6. (a) Real (n) and imaginary (k) parts of the dust complex refractive index and (b) single scat-
1433	tering albedo (SSAAVG) at seven wavelengths between 370 and 950 nm obtained for the 19 aerosol
1434	samples analyzed in this study. Data for the refractive index correspond to the time average of the 10
1435	min values obtained between the peak of the injection and 120 min later. The error bar corresponds to
1436	the absolute uncertainty in n and k, estimated to be <8% for n and between 13 and 75 % for k.
1437	-SSA _{AVG} data correspond to the fit of the 10 min values of β_{sca} versus β_{abs} , and the uncertainty is be-
1438	tween 1% and 12% at 370 nm and between 1% and 3% at 950 nm.
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1444Figure 7. Single scattering albedo (SSA) at seven wavelengths between 370 and 950 nm obtained for1445the 19 aerosol samples analyzed in this study. Data correspond for each sample (with the exception of1446Tunisia and Namib–2, see Sect. 3.1) to the fit of the 10 min values of β_{sca} versus β_{abs} , and the uncertainty1447is between 1% and 12% at 370 nm and between 1% and 3% at 950 nm.

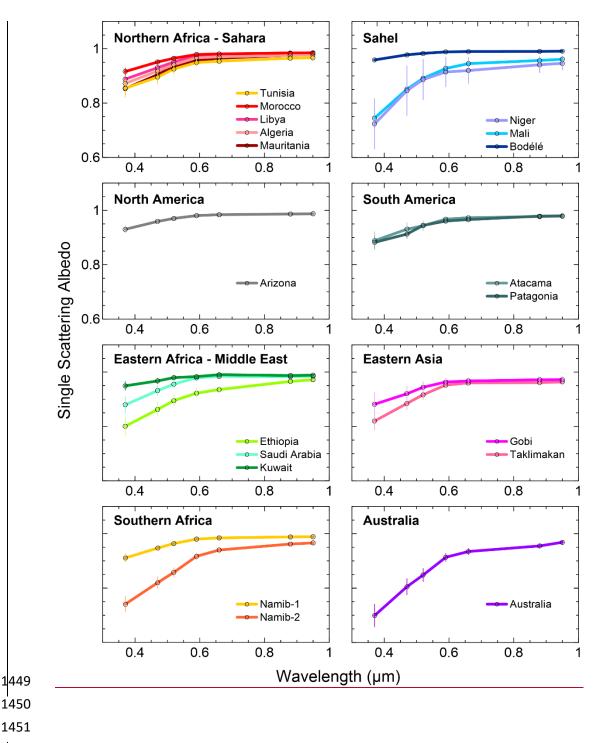
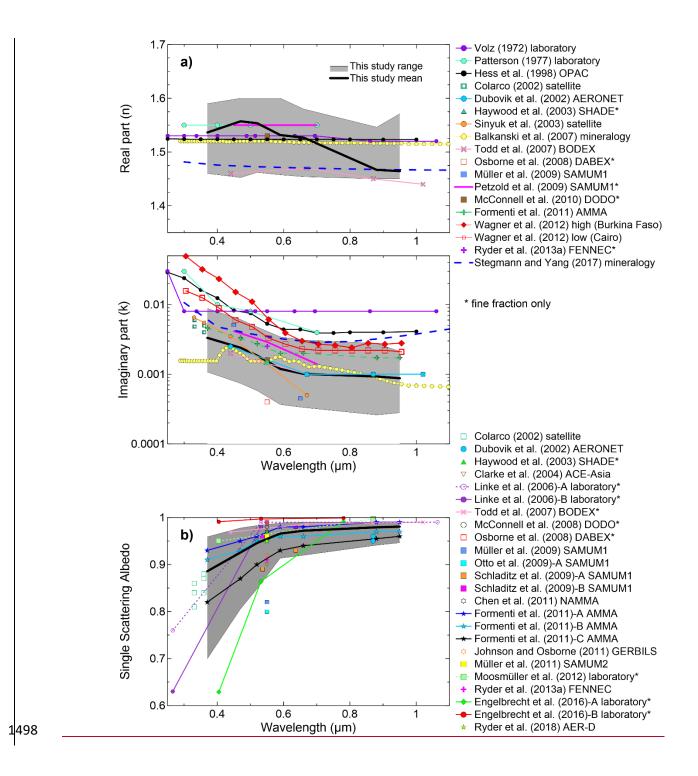


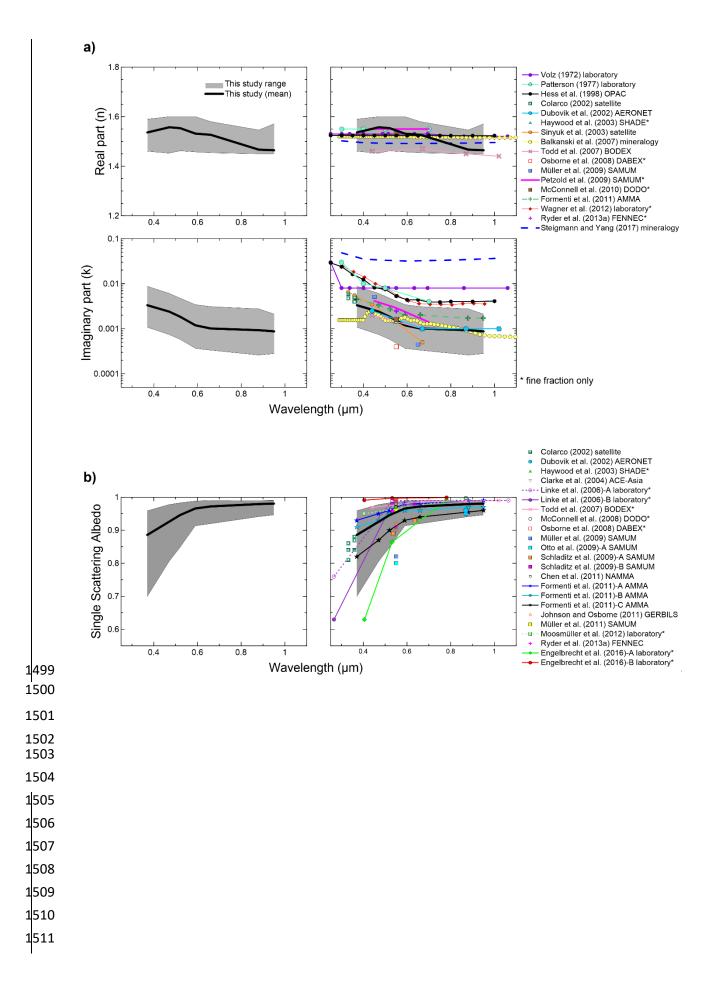
Figure 87. Comparison of results obtained in this study (a) with literature–compiled values-(b) of the (a) dust real_and imaginary parts of the refractive index (n, k) and (b) single scattering albedo (SSA) in the SW spectral range. The regions in graygrey in panel a) indicate the full range of variability obtained in this study, and the grayblack thick lines are the means of n, k and SSA obtained for the different aerosol samples. Literature values in panel b) include estimates from ground-_based and aircraft observations

during field campaigns, laboratory studies, AERONET inversions, and estimates from dust mineralogical composition. Data are in some cases for the full dust size distribution, while in other only the fine fraction below about 2 μ m is <u>measured-represented</u> (identified with *).

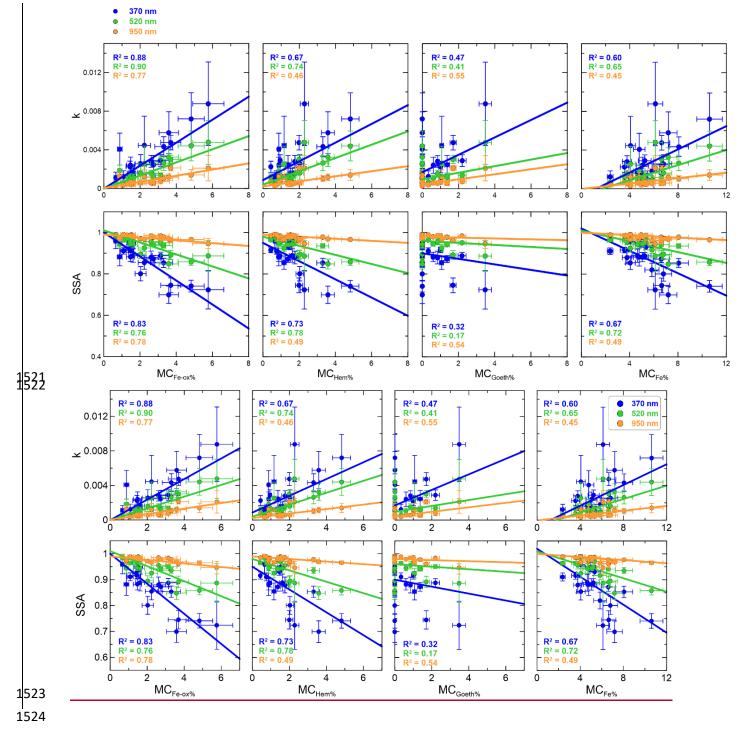
1462 The main provenance of the dust and datasets from the literature is provided in the following: Volz et 1463 al. (1972) is data for rainout dust collected in Germany; Patterson et al. (1977) is Saharan dust; Hess 1464 et al. (1998) is data from the OPAC database; Colarco et al. (2002) is data for dust from Dakar, Sal, 1465 and Tenerife; Dubovik et al. (2002) included data from Bahrain-Persian Gulf and Solar Village-Saudi 1466 Arabia AERONET stations: Haywood et al. (2003) is dust from Mauritania; Sinyuk et al. (2003) is data 1467 from Cape Verde, Dakar, and Burkina Faso; Clarke et al. (2004) is Asian dust offshore of China, Japan, 1468 and Korea; Linke et al. (2006)-A is dust from Cairo; Linke et al. (2006)-B is dust from Morocco; Bal-1469 kanski et al (2007) is calculated from mineralogical composition assuming a 1.5% hematite mass frac-1470 tion in dust; Todd et al. (2007) is from Bodélé; Osborne et al. (2008) is from Niger; Otto et al. (2009), 1471 Petzold et al. (2009), Schladitz et al. (2009), and Muller et al. (2010, 2011) is dust originated mostly in 1472 Morocco; McConnell et al. (2008, 2010) is dust from Niger/Senegal; Chen et al. (2011) is dust from 1473 Western Sahara; Formenti et al. (2011) in the k plot is an average of airborne observations for the 1474 AMMA campaign in Niger, while for the SSA plot, Formenti et al. (2011)-A is from observations in the 1475 Saharan Air Layer, -B is from Bodélé/Sudan, and -C is a Sahelian uplift episode; Johnson et al. (2011) 1476 is dust from Western Sahara; Moosmüller et al. (2012) analysed samples from Middle East, Mali and 1477 Spain, and here we report the average of their obtained values; Wagner et al. (2012) obtained k values 1478 for several samples from Cairo and Burkina Faso, U Cairo and the SAMUM campaign and here we report 1479 and the values for the maximum of their spectral k average of its results (Burkina Faso) and the mini-1480 mum (Cairo): Ryder et al. (2013) is dust from Western Sahara and Mauritania and we report in both k 1481 and SSA plots the average of their observations; Engelbrecht et al. (2016) analysed many dust samples 1482 from all over the world, here we report their measured-estimated minimum and maximum of the dust 1483 SSA that are -A from California and -B from the Etosha Pan in Namibia; Steigmann and Yang (2017) 1484 modelled the refractive index of dust based on assumed mineralogical compositions typical for Northern 1485 and Southern Sahara and Western and Eastern Asia dust, and here we report the average of their 1486 results for both n and k. Uncertainties in the field observations have been omitted for the sake of clarity. 1487 The legend identifies the line styles used in the plots.

1488 (The different acronyms spell out as (see also the caption of Fig. 4): AERONET = Aerosol Robotic 1489 Network; OPAC = Optical Properties of Aerosols and Clouds; SHADE = Saharan Dust Experiment; 1490 BODEX = The Bodélé Dust Experiment; DABEX = Dust and Biomass Experiment; SAMUM1 and 1491 SAMUM2 refers to the two SAMUM campaigns in Morocco and Cape Verde, respectively, SAMUM = 1492 Saharan Mineral Dust Experiment; DODO = Dust Outflow and Deposition to the Ocean; AMMA = Afri-1493 can Monsoon Multidisciplinary Analysis; NAMMA = NASA African Monsoon Multidisciplinary Analysis; 1494 ACE-Asia = Asian Pacific Regional Aerosol Characterization Experiment; GERBILS = Geostationary 1495 Earth Radiation Budget Intercomparison of Longwave and Shortwave radiation). 1496





- **Figure 98.** Experiment–averaged imaginary part of the refractive index (k, top panels) and single scattering albedo (SSA, bottom panels) at 370, 520, and 950 nm versus the mass concentration of iron oxides (MC_{Fe-ox}), hematite (MC_{Hem}), goethite (MC_{Goeth}), and elemental iron (MC_{Fe}) measured for the different dust samples analysed in this study. The calculated linear fit regression lines are shown, together with the correlation coefficients of the fits (R^2). The legend indicates the line styles used in the plots. Data for the Taklimakan sample were excluded from the k and SSA plots versus MC_{Fe-ox} , MC_{Hem} , and MC_{Goeth} due to the absence of data for this sample.
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1542	Figure <u>10</u> 9. 10–min averaged imaginary refractive index (k_{10-min} , top panels) and single scattering al-
1543	bedo (SSA _{10-min} , bottom panels) at 370, 520, and 950 nm versus effective coarse diameter ($D_{eff,coarse}$)
1544	estimated at the input of the SW instruments. Data were classified in three classes based on the iron
1545	oxide content of the dust samples. The linear fit curves and the correlation coefficients for the linear
1546	regression fits for each dataset are also reported. The legend identifies the line styles used in the plots.
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