

1                   **Mixing layer transport flux of particulate matter in Beijing, China**

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17

## 18 **Abstract**

19 Quantifying the transport flux (TF) of atmospheric pollutants plays an important role in  
20 understanding the causes of air pollution and in making decisions regarding the prevention and  
21 control of regional air pollution. In this study, the mixing layer height and wind profile were  
22 measured by a ceilometer and Doppler wind radar, respectively, and the characteristics of the  
23 atmospheric dilution capability were analyzed using these two datasets. The ventilation coefficient  
24 (VC) appears to be highest in the spring ( $3940 \pm 2110 \text{ m}^2 \text{ s}^{-1}$ ) and lower in the summer ( $2953 \pm 1322$   
25  $\text{m}^2 \text{ s}^{-1}$ ), autumn ( $2580 \pm 1601 \text{ m}^2 \text{ s}^{-1}$ ) and winter ( $2913 \pm 3323 \text{ m}^2 \text{ s}^{-1}$ ). Combined with the  
26 backscatters measured by the ceilometer, vertical profiles of the  $\text{PM}_{2.5}$  concentration were obtained  
27 and the  $\text{PM}_{2.5}$  TF in the mixing layer was calculated. The TF was the highest in the spring at  $4.33 \pm$   
28  $0.69 \text{ mg m}^{-1}\text{s}^{-1}$  and lower in the summer, autumn and winter, when the TF values were  $2.27 \pm 0.42$   
29  $\text{mg m}^{-1}\text{s}^{-1}$ ,  $2.39 \pm 0.45 \text{ mg m}^{-1}\text{s}^{-1}$  and  $2.89 \pm 0.49 \text{ mg m}^{-1}\text{s}^{-1}$ , respectively. Air pollutants transport  
30 mainly occurs between 14:00 and 18:00 LT. The TF was large in the pollution transition period  
31 (spring:  $5.50 \pm 4.83 \text{ mg m}^{-1}\text{s}^{-1}$ , summer:  $3.94 \pm 2.36 \text{ mg m}^{-1}\text{s}^{-1}$ , autumn:  $3.72 \pm 2.86 \text{ mg m}^{-1}\text{s}^{-1}$  and  
32 winter:  $4.45 \pm 4.40 \text{ mg m}^{-1}\text{s}^{-1}$ ) and decreased during the heavy pollution period (spring:  $4.69 \pm 4.84$   
33  $\text{mg m}^{-1}\text{s}^{-1}$ , summer:  $3.39 \pm 1.77 \text{ mg m}^{-1}\text{s}^{-1}$ , autumn:  $3.01 \pm 2.40 \text{ mg m}^{-1}\text{s}^{-1}$  and winter:  $3.25 \pm 2.77$   
34  $\text{mg m}^{-1}\text{s}^{-1}$ ). Our results indicate that the influence of the air pollutants transport in the southern  
35 regions should receive more focus in the transition period of pollution, while local emissions should  
36 receive more focus in the heavy pollution period.

## 37 **1. Introduction**

38 With the rapid development of its economy and industry, as well as its unique local topography,  
39 Beijing has become one of the cities in the world that is most seriously affected by air pollution. As  
40 early as before the 2008 Olympic Games, to fulfill the promise of a “Green Olympics”, Beijing’s  
41 industries were relocated to surrounding provinces and cities. After the Olympic Games, with the  
42 promulgation of the “Action Plan for Prevention and Control of Air Pollution”, Beijing  
43 implemented a series of measures to reduce pollutants, such as raising the emission standards of  
44 motor vehicles and fuel standards for vehicles, changing coal to natural gas, coal to electricity and  
45 so on. These measures have gradually improved Beijing’s air quality, with the annual average fine  
46 particulate matter ( $\text{PM}_{2.5}$ ) concentration decreasing from  $90 \mu\text{g m}^{-3}$  in 2013 to  $58 \mu\text{g m}^{-3}$  in 2017  
47 (Cheng et al. 2018a).

48 Although the Beijing government has been committed in recent years to taking measures that could  
49 ensure a steady improvement in the air quality, there is still great pressure to achieve a continuous  
50 decline in the particulate matter concentration. Beijing is in the north of the North China Plain, with  
51 the south side and the west side the Yanshan Mountains and the Taihang Mountains, respectively.  
52 Affected by the mountains to the northwest, there are more subsiding airflows, a lower mixing layer  
53 height and an extremely limited atmospheric dilution capability. In addition, pollutants tend to  
54 accumulate in front of the mountains due to the influence of southerly winds and the mountain  
55 obstructions. In central and northern China, the increase in  $\text{PM}_{2.5}$  during the winter is closely related  
56 to the adverse atmospheric dilution conditions (Wang et al. 2016). Therefore, in addition to the  
57 primary emissions and secondary formation, the weak atmospheric dilution capability is also an  
58 important factor leading to the frequent occurrence of serious air pollution in Beijing.

59 In recent decades, the mixing layer height (MLH) and wind speed (WS) have been two major factors

60 leading to the annual increases in the aerosol concentration and polluted days during the winter in  
61 China (Yang et al. 2016). The low MLH and low WS are also important characteristics of the weak  
62 atmospheric dilution capability (Huang et al. 2018; Liu et al. 2018; Song et al. 2014; Tang et al.  
63 2015). The change in the MLH represents the vertical dilution capability of pollutants, and the  
64 change in the WS represents the horizontal dilution capability of pollutants. The ventilation  
65 coefficient (VC) is usually used to evaluate the vertical and horizontal dilution capability of the  
66 atmosphere (Nair et al. 2007; Tang et al. 2015; Zhu et al. 2018). Thus, it is a good choice to use the  
67 VC to evaluate the relationship between the atmospheric dilution capability and air pollution in  
68 Beijing. Although previous studies have analyzed the relationship between the MLH and pollutants  
69 (Geiß et al. 2017; Miao and Liu 2019; Schäfer et al. 2006; Su et al. 2018), studies on the effects of  
70 the VC on the particle concentration have been extremely rare.

71 Although the problem of heavy pollution in northern China has improved in recent years, regional  
72 pollution problems remain, especially in the Beijing-Tianjin-Hebei region (Shen et al. 2019). There  
73 are three main transport routes affecting Beijing: the northwest path, the southwest path and the  
74 southeast path (Chang et al. 2018; Li et al. 2018; Zhang et al. 2018). The occurrence of heavy  
75 pollution in Beijing is closely related to the transport of pollutants in the southern regions, mainly  
76 in southern Hebei, northern Henan and western Shandong, while the high-speed northwest air mass  
77 is conducive to the removal of pollutants from Beijing (Li et al. 2018; Ouyang et al. 2019; Zhang et  
78 al. 2018; Zhang et al. 2017). In recent years, the contribution of regional transport to Beijing has  
79 been increasing annually, with a trend of 1.2% per year, which reached 31-73% in the summer and  
80 27-59% in the winter (Chang et al. 2018; Cheng et al. 2018b; Wang et al. 2015). High PM<sub>2.5</sub>  
81 concentrations are usually accompanied by high transport flux (TF) within a day in Beijing. As  
82 pollution worsens, the contribution of the surrounding areas to the PM<sub>2.5</sub> in Beijing has risen from  
83 52% to 65% in a month on average in 2016 (Zhang et al. 2018). However, during heavy pollution,  
84 the TF decreases in Beijing (Chang et al. 2018; Tang et al. 2015; Zhu et al. 2016).

85 To solve the regional pollution problem, joint prevention and control have been recommended for a  
86 long time. Many studies on regional transport have been carried out, but most observational studies  
87 cannot easily quantify the TF due to the lack of particle and wind vertical profiles, and it is still  
88 unclear when we need to control the emission sources and in which areas. To solve the above  
89 problems, we conducted 2 years of continuous observations on MLH and wind profiles in the  
90 Beijing mixing layer and analyzed the mixing layer dilution capability of the atmosphere.  
91 Afterwards, using the backscattering coefficient profile, we obtained the vertical PM<sub>2.5</sub>  
92 concentration profiles and calculated the TF profile and mixing layer TF. Finally, using the near-  
93 surface PM<sub>2.5</sub> concentration as an indicator to classify the air pollution degree, we analyzed the TF  
94 during the transitional and heavily polluted periods in Beijing and illuminated the main controlling  
95 factors.

## 96 **2. Methods**

### 97 **2.1 Observational station**

98 To understand the dilution capability characteristics in Beijing, two years of observations were  
99 conducted (2016.1.1-2017.12.31). The observational site (BJT) is at the Institute of Atmospheric  
100 Physics of the Chinese Academy of Sciences, located west of the Jiande Bridge in the Haidian

101 District, Beijing (39.98° N, 116.38° W). The north and south sides of the station are the north Third  
102 and north Fourth Ring Roads, respectively, and the eastern side is the Beijing-Tibet Expressway.  
103 The altitude (a.s.l.) is approximately 60 m. There is no obvious emission source around the  
104 observational site except for motor vehicles.

## 105 **2.2 Observations of MLH and wind profiles**

106 To analyze the dilution capability, the MLH was observed by a single-lens ceilometer (CL51, Vaisala,  
107 Finland), and the wind profile was simultaneously observed by a Doppler wind radar (Windcube  
108 100s, Leosphere, France).

109 A single-lens ceilometer measures the attenuated backscatter coefficient profile of the atmosphere  
110 by pulsed diode laser lidar technology (910 nm waveband) within a 7.7 km range and determines  
111 the MLH through the positions of abrupt changes in the backscattering coefficient profile. In the  
112 actual measurement, the measurement interval was 16 s, and the measurement resolution was 10 m.  
113 More detailed descriptions are presented in the published literature (Tang et al. 2016; Zhu et al.  
114 2016). In this study, the gradient method (Steyn et al. 1999) is used to determine the MLH; that is,  
115 the top of the mixing layer was determined by the maximum negative gradient value in the profile  
116 of the atmosphere backscattering coefficient. Moreover, to eliminate the interference of the aerosol  
117 layer structure and the detection noise, the MLH was calculated by the improved gradient method  
118 after smoothly averaging the profile data (Münkel et al. 2007; Tang et al. 2015).

119 Doppler wind radar uses the remote sensing method of laser detection and ranging technology and  
120 measures the Doppler frequency shift generated by the laser through the backscatter echo signal of  
121 particles in the air. The Windcube 100s can provide 3D wind field data within a 3 km range from  
122 the system, including u, v and w vectors. In the actual measurement, starting from 100 m, the spatial  
123 resolution is 50 m, the WS accuracy is  $< 0.5 \text{ m s}^{-1}$ , and the radial WS range is  $-30 \text{ m s}^{-1}$  to  $30 \text{ m s}^{-1}$ .

## 124 **2.3 Other data**

125 During the observations, the hourly  $\text{PM}_{2.5}$  concentrations of the Beijing Olympic Sports Center  
126 (39.99° N, 116.40° W) were obtained from the Ministry of Environmental Protection of China  
127 (<http://www.zhb.gov.cn/>).

## 128 **2.4 Analytical method**

129 The atmospheric dilution is composed of vertical and horizontal dilution, which can be characterized  
130 by the MLH and wind speed in the mixing layer ( $WS_{ML}$ ), respectively. The VC ( $\text{m}^2 \text{ s}^{-1}$ ) was obtained  
131 by combining the MLH (m) and  $WS_{ML}$  ( $\text{m s}^{-1}$ ) and can be used for a comprehensive evaluation of  
132 the vertical and horizontal dilutions. Higher dilution-related parameters (MLH,  $WS_{ML}$  and VC)  
133 indicate a stronger dilution capability, which is conducive to the transport and dilution of heavy air  
134 pollution.

135 The VC calculation method is as follows:

$$136 \quad VC = H_{ML} \times WS_{ML}, \quad (1)$$

$$137 \quad WS_{ML} = \frac{1}{n} \sum_{i=1}^n WS_i, \quad (2)$$

$$WS_i = \sqrt{u_i^2 + v_i^2}, \quad (3)$$

where  $WS_{ML}$  is the average WS within the mixing layer, calculated by Eq. (2);  $H_{ML}$  is the height of the mixing layer;  $WS_i$  is the WS observed at a certain height, calculated by  $u_i$  and  $v_i$  in the wind profile according to Eq. (3); and  $n$  is the number of measurement layers in the mixing layer (Nair et al. 2007).

The TF ( $\text{mg m}^{-2}\text{s}^{-1}$ ) is determined by the WS and the  $PM_{2.5}$  concentration in the area under analysis. The calculation method for a certain height is shown in Eq. (4):

$$TF_{u_i} = u_i \times C_i, \quad (4)$$

where  $C_i$  is the concentration of  $PM_{2.5}$  at a certain height. However, it is extremely difficult to observe the vertical  $PM_{2.5}$  concentration in the mixing layer. To obtain the  $PM_{2.5}$  concentration profile, we studied the backscattering coefficient measured by ceilometer, and found that the concentration of near-surface  $PM_{2.5}$  is strongly correlated with the backscattering coefficient at 100 m (Fig. S1). Thus, based on the relationship between the two, the backscattering coefficient profile can be used to invert the vertical  $PM_{2.5}$  concentration profile. Then, the TFs in the mixing layer are calculated as follows:

$$TF_u = \int_{i=1}^n (u_i \times C_i)$$

$$TF_v = \int_{i=1}^n (v_i \times C_i) \quad (5)$$

Through the above method, radial and zonal TFs can be obtained, and vector synthesis in two directions can be conducted to obtain the main transport direction to find the transport source area.

### 3. Results and discussion

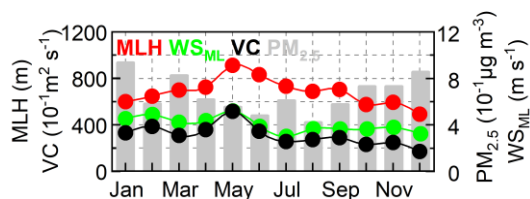
#### 3.1 Boundary layer meteorology

##### 3.1.1 Seasonal variation

To understand the variations of the atmospheric dilution capability, we carried out continuous measurements of the MLH and wind profile within the mixing layer over a 2-year period (2016.1.1-2017.12.31). The availability was verified after MLH elimination by Tang et al. (Tang et al. 2016). After the exclusion of the data of the MLH under rainy, sandstorm and windy conditions, the data availability was 95% over the 2-year period, higher than that of previous studies (Mues et al. 2017; Tang et al. 2016). The availability was the lowest in February, at 86%, and the highest in July, at 99%.

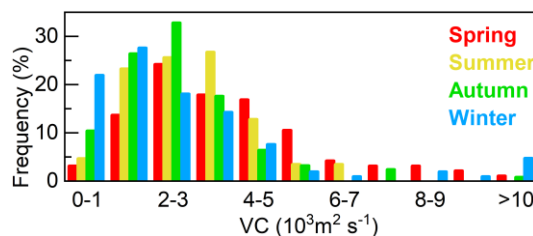
In terms of the seasonal variation, the average MLHs for the spring ( $781 \pm 229$  m) (value  $\pm$  standard deviation) and summer ( $767 \pm 219$  m) were higher than those of the autumn ( $612 \pm 166$  m) and winter ( $584 \pm 221$  m) (Fig. 1). However,  $WS_{ML}$  was different from the MLH in terms of the seasonal variation, with the largest value  $4.6 \pm 1.6$   $\text{m s}^{-1}$  in the spring, followed by the winter ( $4.1 \pm 2.7$   $\text{m s}^{-1}$ ) and autumn ( $3.7 \pm 1.6$   $\text{m s}^{-1}$ ), and the smallest value  $3.6 \pm 1.1$   $\text{m s}^{-1}$  in the summer. The VC was calculated by the MLH and wind profile, and the results demonstrate that the dilution capability was strongest in the spring, as the VC reached as high as  $3940 \pm 2110$   $\text{m}^2 \text{s}^{-1}$ . The atmospheric dilution capabilities for the summer, winter and autumn were similar, with VC values of  $2953 \pm 1322$   $\text{m}^2 \text{s}^{-1}$ .

175 <sup>1</sup>,  $2913 \pm 3323 \text{ m}^2 \text{ s}^{-1}$  and  $2580 \pm 1601 \text{ m}^2 \text{ s}^{-1}$ , respectively. A monthly analysis shows that the  
 176 atmospheric dilution capability was strongest in May, when the VC was as high as  $5161 \pm 2085 \text{ m}^2$   
 177  $\text{s}^{-1}$ , and worst in December, when the VC was only  $1690 \pm 1072 \text{ m}^2 \text{ s}^{-1}$ . The VC value in May was  
 178 3.1 times that in December. To analyze the impact of the dilution capacity on  $\text{PM}_{2.5}$ , the seasonal  
 179 variation of  $\text{PM}_{2.5}$  was analyzed. The average  $\text{PM}_{2.5}$  concentration for the winter ( $80 \pm 87 \mu\text{g m}^{-3}$ )  
 180 was the highest, followed by autumn ( $68 \pm 54 \mu\text{g m}^{-3}$ ) and spring ( $67 \pm 60 \mu\text{g m}^{-3}$ ), and that of the  
 181 summer ( $51 \pm 29 \mu\text{g m}^{-3}$ ) was the lowest. The lowest monthly average  $\text{PM}_{2.5}$  concentration was  $42$   
 182  $\pm 26 \mu\text{g m}^{-3}$  in August. The highest monthly average was in January at  $94 \pm 100 \mu\text{g m}^{-3}$ , 2.2 times  
 183 that in August (Fig. 1).



184  
 185 Fig. 1 Monthly variations in mixing layer height (MLH), the wind speed in the mixing layer  
 186 ( $\text{WS}_{\text{ML}}$ ), the ventilation coefficient (VC) and  $\text{PM}_{2.5}$  in Beijing.

187 Although there is little difference in the dilution capability between the summer, autumn and winter,  
 188 there is serious pollution in the autumn and winter. To analyze this problem, the VC frequency  
 189 distribution was studied. The results show that the VC had a high frequency in the range of 1000-  
 190  $4000 \text{ m}^2 \text{ s}^{-1}$  from 2016 to 2017, but the frequency distribution was different in different seasons (Fig.  
 191 2). The VC showed a strong dilution capability in the spring, mainly in the range of 2000-5000  $\text{m}^2$   
 192  $\text{s}^{-1}$ , with the highest frequency (24%) in the range of 2000-3000  $\text{m}^2 \text{ s}^{-1}$ . In the summer, the high  
 193 frequency of the VC occurred in the range of 1000-4000  $\text{m}^2 \text{ s}^{-1}$ , which was slightly lower than that  
 194 in the spring, and the highest frequency (27%) occurred in the range of 3000-4000  $\text{m}^2 \text{ s}^{-1}$ .  
 195 Additionally, the VC high frequency appeared in lower ranges in the autumn and winter. The VC  
 196 occurred at a high frequency of 1000-3000  $\text{m}^2 \text{ s}^{-1}$  in the autumn, and the highest frequency occurred  
 197 within the range of 2000-3000  $\text{m}^2 \text{ s}^{-1}$ , accounting for 33%. In the winter, the VC appeared more  
 198 frequently in the range of 0-2000  $\text{m}^2 \text{ s}^{-1}$  and was the highest in the range of 1000-2000  $\text{m}^2 \text{ s}^{-1}$ ,  
 199 which was 28%. In the winter, when the Siberian High transits, strong northwest winds prevail in the  
 200 Beijing area (Fig. 5), resulting in the higher frequency of the VC in the range of 1000-2000  $\text{m}^2 \text{ s}^{-1}$ .  
 201 The VC frequency of 0-1000  $\text{m}^2 \text{ s}^{-1}$  in the winter was significantly higher than that of the other  
 202 seasons, up to 22%, which was 7 times that in the spring, 5 times that in the summer and 2 times  
 203 that in the autumn. According to the seasonal variation in the  $\text{PM}_{2.5}$  concentration, heavy pollution  
 204 in the autumn and winter is related to the high frequency of poor atmospheric dilution capability.

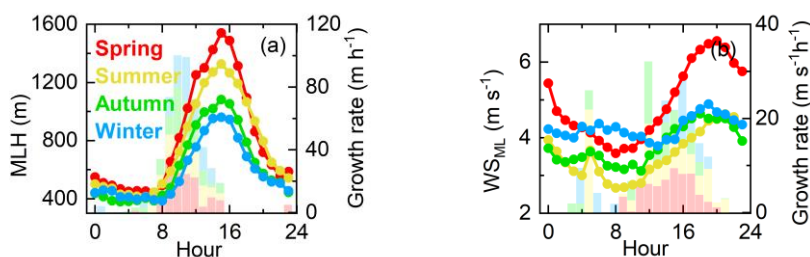


205  
 206 Fig. 2 Frequency distribution of the daily VC from January 2016 to December 2017 in Beijing.

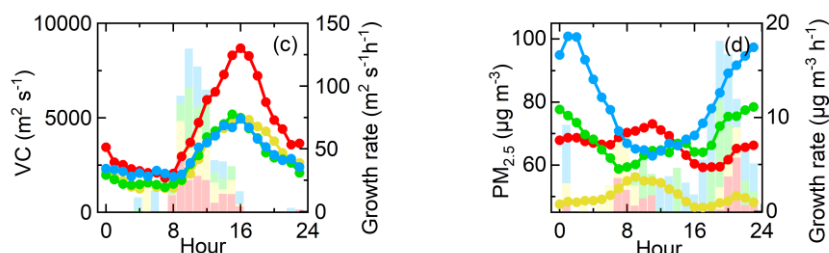
207 **3.1.2 Diurnal variation**

208 Moreover, the diurnal variations in the dilution-related parameters during different seasons were  
 209 analyzed to reveal the diurnal evolution of the atmospheric dilution capability. The peak and trough  
 210 values of the MLH and VC appeared simultaneously at approximately 15:30 LT and 05:30 LT,  
 211 respectively. Generally, the daily variation in the MLH is characterized by a low value at night,  
 212 which increases rapidly after sunrise and reaches the maximum value in the afternoon (Fig. 3a). The  
 213 daily maximum value of the MLH is seasonal, where it is higher in the spring and summer and lower  
 214 in the autumn and winter. The daily minimum value of the MLH generally occurs when the mixing  
 215 layer is stable and is closely related to the WS. The diurnal variation in  $WS_{ML}$  is smaller, with a peak  
 216 at approximately 19:30 LT and a trough at approximately 10:00 LT, which are  $\sim 4$  h later than the  
 217 peak and trough of the MLH (Fig. 3b). The diurnal variation in the VC is similar to that of the MLH,  
 218 showing that the dilution capability is strong before sunset, gradually weakens after sunset and  
 219 remains stable at night. The dilution capability in the spring was significantly stronger than that  
 220 during the other seasons, and the maximum daily value reached  $8678 \text{ m}^2 \text{ s}^{-1}$  (Fig. 3c). The daily  
 221 maximum values of the VC in the summer, autumn and winter were close, at approximately  $5000$   
 222  $\text{m}^2 \text{ s}^{-1}$  (Fig. 3c). The VC growth rate in the spring was significantly higher than that in the other  
 223 seasons, reaching a maximum at approximately 09:00 LT. In the autumn, the VC growth rate peaked  
 224 at approximately 10:00 LT, and those in the summer and winter peaked at approximately 11:00 LT.  
 225 Throughout the year, the VC began to increase during the winter later than in other seasons, at  
 226 approximately 09:00 LT, indicating that the weaker dilution capability remained for a longer period  
 227 during the winter. The VC was weakened most rapidly in the spring; however, it was still higher  
 228 than that of the other seasons after declining. In addition to the spring, the VC in the autumn and  
 229 winter weakened the most rapidly, and the most slowly in the summer. In general, the vertical and  
 230 horizontal dilutions are strong in the spring during both the day and night. In the winter, the vertical  
 231 dilution is weak during the day, and the horizontal dilution during the night is the main component.  
 232 In the summer, the vertical dilution during the day is dominant.

233 Notable differences are present when we compare the dilution-related parameters to the  $PM_{2.5}$   
 234 concentration. The daily maximum  $PM_{2.5}$  concentrations in the spring, summer, autumn and winter  
 235 were  $73 \mu\text{g m}^{-3}$  (11:00 LT),  $56 \mu\text{g m}^{-3}$  (09:00 LT),  $78 \mu\text{g m}^{-3}$  (23:00 LT) and  $101 \mu\text{g m}^{-3}$  (01:00 LT),  
 236 respectively. The differences between the maximum and minimum were  $14 \mu\text{g m}^{-3}$ ,  $10 \mu\text{g m}^{-3}$ ,  $20$   
 237  $\mu\text{g m}^{-3}$  and  $38 \mu\text{g m}^{-3}$ , respectively. Thus, the diurnal variation of  $PM_{2.5}$  can be divided into two  
 238 categories: (1) the highest value occurs in the midday in the spring and summer and the overall  
 239 change is small, and (2) the highest value occurs during the night in the autumn and winter and  
 240 differs greatly from the lowest value (Fig. 3d). The main causes of air pollution are local emissions  
 241 and regional transportation. Thus, these results indicate that there are greater local contributions in  
 242 the autumn and winter and higher regional transport in the spring and summer.



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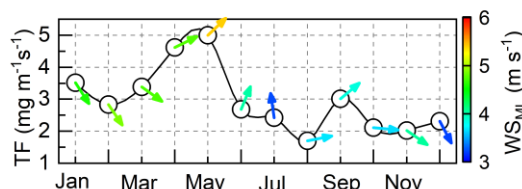
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245 Fig. 3 Diurnal variations and growth rates of MLH (a),  $WS_{ML}$  (b), VC (c) and  $PM_{2.5}$  (d) in the  
 246 spring, summer, autumn and winter in Beijing. Diurnal variations are represented by lines and  
 247 scatters. Growth rates are represented by columns, and only positive values are shown in the  
 248 figure.

### 249 3.2 Mixing layer TF of $PM_{2.5}$

#### 250 3.2.1 Temporal evolution of TF

251 To quantify the transport of  $PM_{2.5}$  in Beijing, the transport direction of  $PM_{2.5}$  was characterized by  
 252 the average wind direction in the mixing layer. As shown in Fig. 4, the mixing layer TF in the spring  
 253 was the largest, reaching  $4.33 \pm 0.69 \text{ mg m}^{-1}\text{s}^{-1}$ , and there was no significant difference in the  
 254 summer, autumn or winter, when the TF values were  $2.27 \pm 0.42 \text{ mg m}^{-1}\text{s}^{-1}$ ,  $2.39 \pm 0.45 \text{ mg m}^{-1}\text{s}^{-1}$   
 255 and  $2.89 \pm 0.49 \text{ mg m}^{-1}\text{s}^{-1}$ , respectively. The transport sources of the cold period in Beijing were  
 256 predominantly from the northwesterly and westerly directions. With temperature warming, the  
 257 transport direction gradually changed from west to south, mainly southwesterly in the spring and  
 258 southerly in the summer. The monthly average maximum value of the TF occurred in May, as high  
 259 as  $5.00 \pm 5.21 \text{ mg m}^{-1}\text{s}^{-1}$  and mainly originated from the southwest direction, accompanied by a  
 260 strong wind. The minimum value appeared in August, as low as  $1.70 \pm 1.73 \text{ mg m}^{-1}\text{s}^{-1}$ , which was  
 261 mainly transported from western regions, with a small WS. The TF in May was 3 times that in  
 262 August (Fig. 4). Therefore, the change in the transport direction leads to an obvious seasonal  
 263 variation in the TF. Overall, the regional transport contributes the most to the  $PM_{2.5}$  concentration  
 264 in the spring, which is mainly related to increased dust activities; regional transport has a smaller  
 265 contribution in the winter, but there is a high near-surface  $PM_{2.5}$  concentration, which indicates that  
 266 more focus should be given to local emission source control; in the summer and autumn, the  
 267 southwest airflow transport influence on the Beijing should receive more focus.



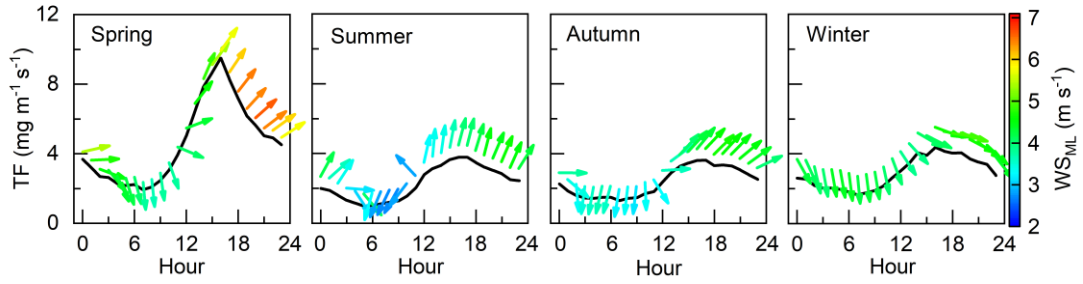
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269 Fig. 4 Seasonal variations in the mixing layer TF of  $PM_{2.5}$  and the transport direction.

270 To understand the regional transport influence on the Beijing area, the diurnal variations of the  
 271 mixing layer TF were analyzed during different seasons in Beijing. The daily minimum value of the  
 272 TF appeared at approximately 07:00 LT and was accompanied by a northerly wind. As the average



273 wind direction in the mixing layer gradually turned south, the daily minimum value of the TF  
274 continued to rise until the daily maximum value appeared at approximately 16:00 LT (Fig. 5).  
275 Transport mainly occurred between 14:00 and 18:00 LT, which was consistent with the results of a  
276 previous study (Ge et al. 2018). In the spring, the WS was the highest, so the peak TF duration was  
277 the shortest; it peaked at only 16:00 LT ( $9.50 \text{ mg m}^{-1}\text{s}^{-1}$ ) and then dropped sharply to  $1.94 \text{ mg m}^{-1}\text{s}^{-1}$ .  
278 Therefore, the diurnal variation in the TF during the spring showed the characteristics of a rapid  
279 rise and rapid decline. The peak duration was approximately 3 h for a long time in the summer and  
280 autumn, where the daily maximum values were  $3.79 \text{ mg m}^{-1}\text{s}^{-1}$  and  $3.63 \text{ mg m}^{-1}\text{s}^{-1}$  and the minimum  
281 values were  $1.00 \text{ mg m}^{-1}\text{s}^{-1}$  and  $1.30 \text{ mg m}^{-1}\text{s}^{-1}$ , respectively. The diurnal variation in the TF during  
282 the summer and autumn showed the characteristics of a slow rise and slow decline. Specifically, the  
283 daily variation had a strong fluctuation in the winter, peaked three times at 14:00 LT ( $4.06 \text{ mg m}^{-1}\text{s}^{-1}$ ),  
284 16:00 LT ( $4.38 \text{ mg m}^{-1}\text{s}^{-1}$ ) and 19:00 LT ( $4.07 \text{ mg m}^{-1}\text{s}^{-1}$ ), then dropped slowly to  $1.66 \text{ mg m}^{-1}\text{s}^{-1}$ .  
285 Another special point is that in the spring, summer and autumn, a high TF corresponds to a  
286 southerly wind, while in the winter, the southerly wind does not appear in the whole transport  
287 process; instead, there is a westerly wind, which is influenced by the Siberian High.  
288 Even so, the TF variation patterns can be summarized as that a high TF corresponds to a southerly  
289 wind and a low TF corresponds to a northerly wind (Fig. 5). When the average wind direction in the  
290 mixing layer changes from north to south, the TF gradually increases from the daily minimum to  
291 the daily maximum. The TF increased by 5 times in the spring, 4 times in the summer, and 3 times  
292 in the autumn and winter. The current pattern is because areas located in the south of Beijing are  
293 heavily polluted and southerly winds help transport pollutants into the city, leading to high TFs in  
294 the afternoons (Fig. 5). However, due to the high mixing layer in the spring, the concentration of  
295 near-surface  $\text{PM}_{2.5}$  did not increase. The results further confirm the conclusion that the northwest  
296 wind in Beijing is a clean wind (Wang et al. 2015; Zhang et al. 2018). Thus, the northwest wind is  
297 conducive to the outward transport of pollutants from Beijing, which helps to alleviate pollution. As  
298 a result, there was no high TF in the winter when the northwest wind prevailed. On the other hand,  
299 southerly winds are stronger than northerly winds (Fig. 5), which can also result in a high TF.  
300 Therefore, the level of the TF is determined by two factors, the WS and  $\text{PM}_{2.5}$  concentration. In the  
301 spring, summer and autumn, a strong south wind prevails in the afternoon. As the south wind is  
302 often accompanied by high  $\text{PM}_{2.5}$  concentrations (Fig. S2), the TF is high. In the winter, the whole  
303 day is dominated by westerly and northerly winds. Although the northerly winds are strong, the TF  
304 is not high due to the low  $\text{PM}_{2.5}$  concentration. Generally, a high WS means fast mixing, and the  
305 corresponding MLH is also high. At this time, the TF is mainly controlled by the WS. While the WS  
306 is low, the mixing speed is slow and the MLH is low. At this time, the TF is mainly controlled by  
307  $\text{PM}_{2.5}$  concentration. From the above analysis, it can be inferred that if the MLH and WS gradually  
308 decrease with the worsening of the pollution, the mixing layer TF is controlled by the WS first and  
309 then by the  $\text{PM}_{2.5}$  concentration, a maximum TF may occur at a critical moment. This moment is  
310 neither the moment of the maximum WS nor the moment of the maximum  $\text{PM}_{2.5}$  concentration but  
311 rather should be somewhere in between. This will be discussed in more detail in section 3.3.



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Fig. 5 Diurnal variations in the mixing layer TF of  $PM_{2.5}$  and transport direction during different seasons in Beijing.

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### 3.2.2 Vertical evolution of TF

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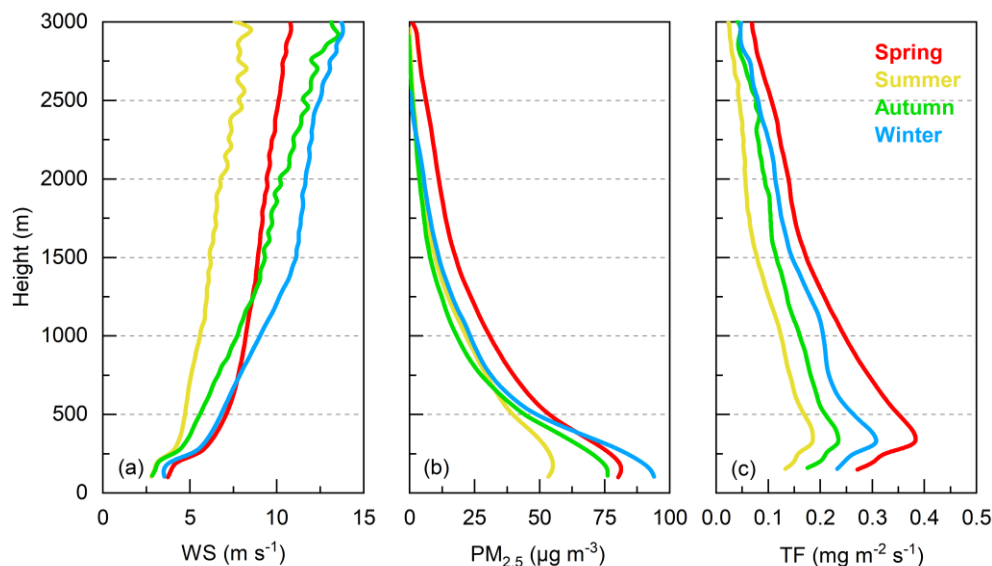
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After the aforementioned analyses, the transport period is known. To further explore the height of transport, we studied the seasonal variation of the TF profile in combination with the vertical wind and  $PM_{2.5}$  profiles. With the increasing altitude, the WS first increases sharply at approximately 200 m and then slowly increases, and the differences between different seasons gradually become significant (Fig. 6a). The WS is always smallest in the summer and strongest in the winter. It is the same in the spring and autumn at 1200 m. Above 1200 m, the WS in the autumn exceeds that in the spring. The  $PM_{2.5}$  concentration at 100 m obtained by inversion is highest in the winter ( $93.7 \text{ mg m}^{-2}\text{s}^{-1}$ ), similar in the spring and autumn ( $80.3 \text{ mg m}^{-2}\text{s}^{-1}$  and  $75.8 \text{ mg m}^{-2}\text{s}^{-1}$ , respectively), and lowest in the summer ( $53.5 \text{ mg m}^{-2}\text{s}^{-1}$ ) (Fig. 6b). This finding is consistent with the near-surface results. Below 200 m, the  $PM_{2.5}$  concentration is relatively uniform. As the height increases, the  $PM_{2.5}$  concentration decreases gradually. Between 200-600 m, the  $PM_{2.5}$  concentration begins to decrease rapidly, but the rate of decline is obviously different in different seasons. In the autumn and winter, the reduction rate of the  $PM_{2.5}$  concentration is significantly higher than that in the spring and summer. As a result, the spring  $PM_{2.5}$  concentration at 400 m begins to be greater than that in the winter; the summer  $PM_{2.5}$  concentration at 650 m begins to be greater than that in the autumn and is at the same level as that in the winter. Over 600 m, there is no significant difference in the  $PM_{2.5}$  concentration between different seasons, while the WS varies greatly. Therefore, the TF is greatly affected by the WS at high altitudes, and it is greatly influenced by the  $PM_{2.5}$  concentration near the ground. The TF in the mixing layer is also affected by the MLH.

The vertical evolution of the TF is different from both the evolution of the WS and  $PM_{2.5}$  concentration, and the seasonal variation remains consistent from the near-surface to the upper air. The TF for the spring is the highest, followed by the winter and autumn, and that of the summer is the lowest (Fig. 6c). The vertical variation in the TF increases first and then decreases, and a peak appears at approximately 300 m, with a value of  $0.38 \text{ mg m}^{-2}\text{s}^{-1}$  in the spring,  $0.19 \text{ mg m}^{-2}\text{s}^{-1}$  in the summer,  $0.24 \text{ mg m}^{-2}\text{s}^{-1}$  in the autumn, and  $0.31 \text{ mg m}^{-2}\text{s}^{-1}$  in the winter. In the process of the TF lowering, it has different performances in different seasons. In the spring, the decline slows down at approximately 1500 m. The changes in the summer and autumn are similar. After the peak, the TF drops rapidly in the summer and autumn. The decrease rate above 500 m becomes slow, slows down again after 1500 m, and finally the profiles become vertical. In the winter, the TF declines rapidly, followed by fluctuations at approximately 1000 m. The above results preliminarily indicate that the transport mainly occurs within 200-1500 m, which will be evaluated in Sec. 3.3. To sum up,

347 in the autumn and winter, the high concentration of  $PM_{2.5}$  is concentrated near the ground, while the  
 348 TF is not large, again indicating that local emissions are the main source of  $PM_{2.5}$  in the autumn and  
 349 winter; in the spring, affected by high-altitude transport, the  $PM_{2.5}$  concentration is high; and in the  
 350 summer, both the TF and  $PM_{2.5}$  concentration are at their lowest levels, indicating that regional  
 351 transport may play an important role in the  $PM_{2.5}$  concentration in the summer.



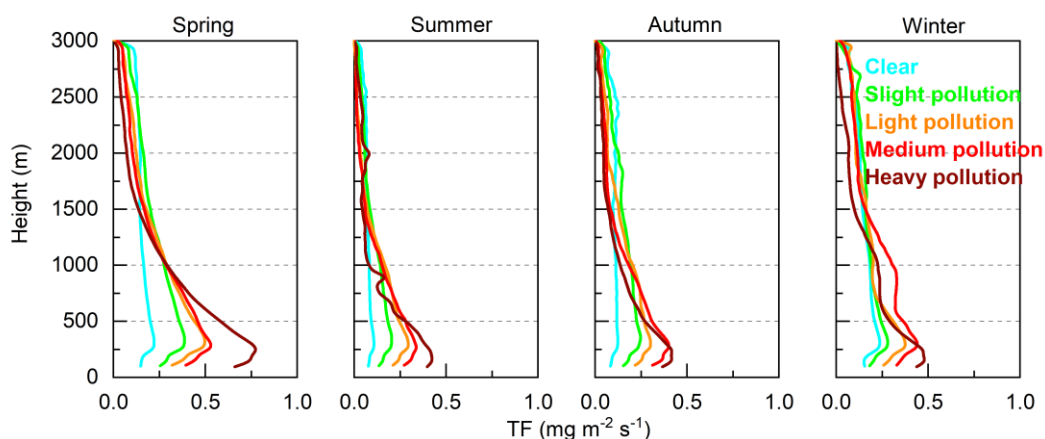
352  
 353 Fig. 6 Vertical profiles of WS (a),  $PM_{2.5}$  (b) and TF of  $PM_{2.5}$  (c) in different seasons in Beijing.

### 354 3.3 TF under different degrees of air pollution

355 Previous studies have demonstrated that transport occurs only in the transition period of pollution,  
 356 while it is weak at the peak of pollution (Tang et al. 2015; Zhu et al. 2016). To quantify the transport  
 357 impact of different pollution levels, the  $PM_{2.5}$  concentration was divided into five levels according  
 358 to the “Technical Regulation on Ambient Air Quality Index (on trial)” (HJ 633-2012):  $PM_{2.5} \leq$   
 359  $35 \mu g m^{-3}$  (clear days),  $35 < PM_{2.5} \leq 75 \mu g m^{-3}$  (slight pollution),  $75 < PM_{2.5} \leq 115 \mu g m^{-3}$  (light  
 360 pollution),  $115 < PM_{2.5} \leq 150 \mu g m^{-3}$  (medium pollution) and  $PM_{2.5} > 150 \mu g m^{-3}$  (heavy pollution).  
 361 An interesting phenomenon is that with the increase in altitude, the heavier the pollution near the  
 362 ground is, the greater the reduction rate of the  $PM_{2.5}$  concentration is (Fig. 7). As a result, there is a  
 363 reversal at 1000-1500 m. In other words, the more severe the near-surface pollution, the lower the  
 364 high-altitude  $PM_{2.5}$  concentration. This is particularly outstanding in the spring: from a clear to a  
 365 heavy polluted day, the TF at 100 m was, in turn,  $0.15 mg m^{-2} s^{-1}$ ,  $0.26 mg m^{-2} s^{-1}$ ,  $0.32 mg m^{-2} s^{-1}$ ,  
 366  $0.39 mg m^{-2} s^{-1}$ ,  $0.66 mg m^{-2} s^{-1}$ , and at 2600 m, the values dropped to  $0.15 mg m^{-2} s^{-1}$ ,  $0.17 mg m^{-2} s^{-1}$ ,  
 367  $0.13 mg m^{-2} s^{-1}$ ,  $0.10 mg m^{-2} s^{-1}$  and  $0.07 mg m^{-2} s^{-1}$ , respectively. That is, the lower the pollution  
 368 degree, the more vertical the TF tends to be. This is related to the MLH, because a high MLH is  
 369 conducive to the diffusion of pollutants in the vertical direction. With the worsening of pollution,  
 370 the MLH shows a downward trend (Fig. S3).

371 According to the previous analysis, two peaks may appear in the TF profile, indicating that the  
 372 transport occurs at two different heights, approximately 200 m (low-altitude transport) and 1000 m  
 373 (high-altitude transport), respectively. Due to the sudden increase in the WS at approximately 200  
 374 m, the low-altitude transport at 200 m is the basic transport height, regardless of the season and the  
 375 degree of pollution. In contrast, the high-altitude transport is quite special and mainly occurs in the

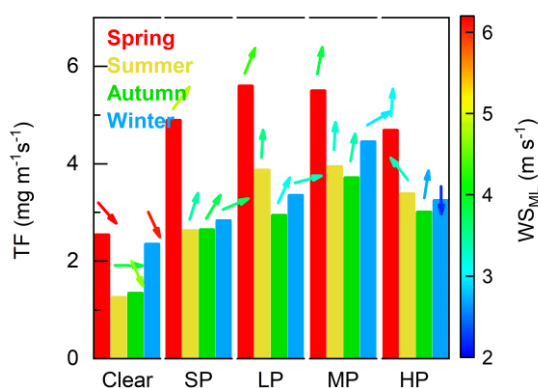
376 winter when there is significant pollution. A small peak of in the TF can also be found on heavy  
 377 polluted days in the summer. Although the change in the TF profile of medium pollution in the  
 378 autumn is not as obvious as that in the summer and winter, a small increase can still be seen (Fig.  
 379 7). In the case of heavy pollution, the MLH is usually less than 1000 m, while in the case of clear  
 380 and slight pollution, the MLH is close to the height of high-altitude transport (Fig. S3). Therefore,  
 381 it can be inferred that the pollutants transported at a high altitude during heavy pollution are stored  
 382 in the residual layer, and when the mixing layer becomes higher, the pollutants stored in the residual  
 383 layer diffuse into the mixing layer, affecting the pollution level within the mixing layer. This may  
 384 be a key contributor to the slight pollution in the summer, autumn and winter, but further research  
 385 is needed.



386  
 387 Fig. 7 Vertical profiles of TF of  $PM_{2.5}$  under different degrees of pollution in different seasons in  
 388 Beijing. Clear days:  $PM_{2.5} \leq 35 \mu g m^{-3}$ , slight pollution:  $35 < PM_{2.5} \leq 75 \mu g m^{-3}$ , light pollution:  $75$   
 389  $< PM_{2.5} \leq 115 \mu g m^{-3}$ , medium pollution:  $115 < PM_{2.5} \leq 150 \mu g m^{-3}$  and heavy pollution:  $PM_{2.5} >$   
 390  $150 \mu g m^{-3}$ .

391 According to the same division method, we further explored the seasonal variation of the TF and  
 392 transport source in the mixing layer under different pollution degrees. With pollution aggravation,  
 393 the mixing layer TF in Beijing increased by varying degrees during different seasons, and the  
 394 transport direction gradually shifted from northwest to south (except during the winter) (Fig. 8). In  
 395 particular, the mixing layer TF in the spring is significantly higher than that in the other seasons at  
 396 all pollution degrees, which is 1.1-2.0 times that in the other seasons. This may be caused by the  
 397 greater amount of dust during the spring. With the pollution deterioration, the TF showed an  
 398 increasing trend in the initial stage of pollution and a decreasing trend during the heavy pollution  
 399 period. From medium pollution to heavy pollution, the TF decreased from  $5.50 \pm 4.83 mg m^{-1}s^{-1}$  to  
 400  $4.69 \pm 4.84 mg m^{-1}s^{-1}$  in the spring, from  $3.94 \pm 2.36 mg m^{-1}s^{-1}$  to  $3.39 \pm 1.77 mg m^{-1}s^{-1}$  in the summer,  
 401 from  $3.72 \pm 2.86 mg m^{-1}s^{-1}$  to  $3.01 \pm 2.40 mg m^{-1}s^{-1}$  in the autumn, and from  $4.45 \pm 4.40 mg m^{-1}s^{-1}$   
 402 to  $3.25 \pm 2.77 mg m^{-1}s^{-1}$  in the winter. Among them, the largest drop was found in the winter. In the  
 403 winter, with the pollution aggravation, the transport direction changed from northwest to southwest  
 404 and finally to the north. In contrast to in the other seasons, the weak north wind was the main wind  
 405 during heavy pollution in the winter, indicating that regional transport contributed less to the heavy  
 406 pollution during the winter in Beijing. In the initial stage of pollution, the TF continued to increase,  
 407 but the rate of increase gradually slowed in the spring and summer. From light pollution to medium  
 408 pollution, the TF decreased by  $0.1 mg m^{-1}s^{-1}$  in the spring and increased by only  $0.07 mg m^{-1}s^{-1}$  in  
 409 the summer. It is also not difficult to find from the changes in the TF profile (Fig. 7) that the regional

410 transport has little impact on the medium pollution in the spring and summer. These results indicate  
 411 that although the region south of Beijing is the main transport source in Beijing, its contribution is  
 412 significantly reduced during the severe pollution period. In general, regional transport plays an  
 413 important role in the initial period of pollution, while local emissions are the main controlling factor  
 414 during the period of heavy pollution. The parabolic pattern of the TF is the result of a combination  
 415 of the WS and PM<sub>2.5</sub> concentration. The TF reaches a threshold during medium pollution, which is  
 416 the critical moment mentioned above.



417  
 418 Fig. 8 The mixing layer TF of the PM<sub>2.5</sub> levels and transport directions under different degrees of  
 419 pollution in different seasons in Beijing. (SP denotes slight pollution, LP denotes light pollution,  
 420 MP denotes medium pollution and HP denotes heavy pollution.)

#### 421 4. Conclusions

422 To understand the characteristics of the PM<sub>2.5</sub> transport flux in Beijing, the height of the atmospheric  
 423 mixing layer and the wind profile within the mixing layer in Beijing were observed for a 2-year  
 424 period. The main conclusions are as follows:

425 (1) By analyzing the variations in the VC, it is found that the atmospheric dilution capability in  
 426 Beijing is strongest in the spring and weaker in the summer, autumn and winter. In the spring, the  
 427 vertical and horizontal dilution capacities are strong; in the autumn and winter, the vertical and  
 428 horizontal dilution capacities are weak; and in the summer, the vertical dilution capability is strong  
 429 and the horizontal dilution capability is weak. The diurnal variation in the VC is consistent with the  
 430 MLH, which shows that the dilution capability is the strongest before sunset, gradually weakens  
 431 after sunset and remains stable at night. In the spring, the vertical and horizontal dilutions are strong  
 432 during both day and night. In the winter, the vertical dilution is weak during the day, and the  
 433 horizontal dilution during the night is the main component. In the summer, the vertical dilution  
 434 during the day is dominant. Although there is little difference in the diffusivity between the summer,  
 435 autumn and winter, the poor dilution capability occurs more frequently in the autumn and winter.

436 (2) The TF is the largest in the spring and smaller in the summer, autumn and winter in Beijing. The  
 437 high TF mainly comes from southward transport, while the low TF is accompanied by northwest  
 438 transport. The transport mainly occurred between 14:00 and 18:00 LT, and the height of the transport  
 439 is at approximately 200 m and 1000 m. Using the PM<sub>2.5</sub> concentration as a classification index for  
 440 the air pollution, the results show that the regional transport from the southern area plays an  
 441 important role in the initial period of pollution, and local emissions are the main controlling factors

442 in the heavy pollution period, especially in the winter.  
443 To solve the problem of heavy pollution in northern China, joint prevention and control has been  
444 suggested for a long time. Even so, there is still no concrete implementation plan. To break through  
445 this embarrassing situation, this study quantifies TF to explain the time period when the transport  
446 occurs and the main areas affected in Beijing. In this study, the atmospheric dilution capability  
447 during different seasons and the TF during different pollution periods were also discussed. The  
448 important role of transport in the initial period of pollution is emphasized, and local pollutant  
449 emission control is found to be the most effective way of mitigating pollution levels. The research  
450 results are of great significance to the early warning, prevention and control of atmospheric  
451 particulate pollution.

#### 452 **Data availability**

453 The data in this study are available from the corresponding author upon request (tgq@dq.cern.ac.cn).

#### 454 **Author contribution**

455 GT and YW designed the research, LZ, BH, BL and YunL conducted the measurements. YusL and  
456 GT wrote the paper. SL reviewed and commented on the paper.

#### 457 **Competing interests**

458 The authors declare that they have no conflicts of interest to disclose.

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