

Dear Dr. Frank Dentener,

We thank the reviewers for the valuable comments that help us improve our manuscript. We have made our revision in response to all the comments. The point-to-point responses are provided. The comparison of our manuscript between this version

5 *and last version is also provided.*

Thank you and best regards,

Jane Liu, Ph.D

Anonymous Referee #1:

- 10 - Introduction is too long and some sentences are inconsistent to each other. I recommend the authors to review the introduction part again and shortened it.

Thanks. We have revised and shortened the Introduction section.

- 15 - Authors use the term “ozone” to mean “tropospheric ozone”. I don’t like this terminology. It may cause unnecessary confusion to the readers.

Thanks for this point. We agree. We removed "tropospheric ozone is also termed as ozone in this paper" in the definition text and in Table S1 in this revision.

-L275-277: I don’t think this sentence is necessary.

- 20 *Deleted.*

-U’850: Anomaly from what?

U'_{850} in Equation (8) is the anomaly of U_{850} from the long-term mean climatology. We clarified this in this revision. Please see Line 497.

- 25

-L553: Table 2 doesn’t say so. Contributions of CAS and ROW are as large as those of NAM and EUR.

Thanks for pointing this out. The phrase is revised accordingly. Please see Lines 546-

548.

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- Table 2 and Table 3 should be more precisely explained.

+ Which experiments listed in Table 1 was used for each Tables? This information should clearly be stated in Table caption or manuscript.

Thanks. The experiments used for Tables 2-3 are added in the captions.

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+ How did you deduce “Natural ozone”? There is no description on this.

"Natural ozone" was defined in the text and in Table S1 in the last revision. It is defined as the sum of ozone produced in the troposphere from precursors with non-anthropogenic sources (termed as non-anthropogenic ozone) and ozone from the stratosphere (termed as stratospheric ozone). In this revision, the term of "natural ozone" is replaced with these two terms, i.e. non-anthropogenic ozone and stratospheric ozone, in Table 3, Table S1 and in the relevant text to improve clarity.

40

+ How did you deduce “total”? Is this just a sum of all foreign contributions?

45

Yes, 'total' is just the sum of all foreign contributions. We added this explanation in a note for the tables. Please see Lines 953-954 and 961-962.

Foreign influences on tropospheric ozone over East Asia through global atmospheric transport

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Abstract

Tropospheric ozone in East Asia is influenced by the transport of ozone from foreign regions around the world. However, the magnitudes and variations of such influences remain unclear. This study was performed to investigate this influence using a global chemical transport model, GEOS-Chem, through the tagged ozone and emission perturbation simulations. The results show that foreign ozone is transported to East Asia (95°E-150°E, 20°N-60°N) mainly through the middle and upper troposphere. In East Asia, the influence of foreign ozone increases rapidly with altitude. In the middle and upper troposphere, the regional mean concentrations of foreign ozone range 32-65 ppbv, being 0.8-4.8 times higher than its native ozone concentrations of counterpart (11-18 ppbv. Over the East Asian tropospheric column, foreign ozone appears most in spring and least in summer when the South Asian High constrains North American, European, and African ozone to north of 35°N of East Asia.). Annually, 40-45~60% of foreign ozone in the East Asian middle and upper troposphere iscomes from North America (5-13 ppbv) and Europe (5-7 ppbv), as well as from foreign oceanic regions (9-21 ppbv). Over the East Asian tropospheric columns, foreign ozone appears most in spring when ozone concentrations in the foreign regions are high and the westerlies

are strong, and least in summer when the South Asian High blocks eastward foreign

75 ozone from reaching East Asia south of 35°N. At the East Asian surface, the annual mean of foreign ozone concentrations is ~22.2 ppbv, which is comparable to its native counterpart of ~20.4 ppbv. ~~The~~In the meantime, the annual mean of anthropogenic ozone concentrations from foreign regions is ~4.7 ppbv ~~at the East Asian surface, and,~~ a half of #which comes from North America (1.3 ppbv) and Europe (1.0 ppbv).

80 ~~Foreign~~Seasonally, foreign ozone concentrations at the East Asian surface are highest in winter (27.1 ppbv) and lowest in summer (16.5 ppbv). This strong seasonality is largely modulated by the East Asian monsoon (EAM) via its influence on vertical motion. The large-scale subsidence prevailing during the East Asian winter monsoon (EAWM) favours the downdraft of foreign ozone to the surface, while widespread
85 convection in the East Asian summer monsoon (EASM) blocks such transport.

~~Interannually~~Interannually, the variation of foreign ozone at the East Asian surface is found to be closely related to the intensity of the EAM. Specifically, the stronger the EAWM is in a winter, the more ozone ~~is~~ from North America and Europe reaches the East Asian surface, because of the stronger subsidence behind the East Asian trough.

90 In summer, ozone from South and Southeast Asia is reduced in strong EASM years due to weakened south-westerly monsoon winds. This study suggests substantial foreign influences on ~~tropospheric~~ ozone ~~in~~ at the East AsiaAsian surface and in its tropospheric columns. It also underscores the importance of the EAM in the seasonal and interannual variations of foreign influences on surface ozone in East Asia.

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1 Introduction

Tropospheric ozone is a major pollutant, atmospheric oxidant, and greenhouse gas (Monks et al., 2015). Its sources include photochemical production in the troposphere and downward transport of ozone from the stratosphere (Lelieveld and Dentener,
100 2000; Gettelman et al., 2011). Having a lifetime of weeks to months in the free troposphere, ozone can be transported across regions and continents, driven by

atmospheric circulation (HTAP, 2010). Therefore, tropospheric ozone in a region is affected by both native and foreign emissions [of ozone precursors](#) and various physical and chemical processes ~~at different temporal and spatial scales~~ (Doherty et al., 2017; Huang et al., 2017; Han et al., 2018). Atmospheric transport makes ozone pollution a globalized issue related to health (Liang et al., 2018), ecosystems (Zhang et al., 2017), and climate (B. Li et al., 2016). For example, the Task Force on Hemispheric Transport of Air Pollution (TF HTAP) (Galmarini et al., 2017) has been established under the UNECE Convention on Long-Range Transboundary Air Pollution, to improve the understanding of the intercontinental transport of air pollutants across the ~~northern hemisphere~~. [Northern Hemisphere](#). In recent years, East Asia has experienced [increasingly](#) severe ozone pollution, ~~and surface ozone concentrations have increased~~ (Gaudel et al., 2018; Lu et al., 2018). Through trans-Pacific and trans-Atlantic transport, ozone precursors emitted or ozone produced in East Asia can affect the ozone levels in North America (Verstraeten et al., 2015; Dunker et al., 2017; Nopmongcol et al., 2017) and Europe (Karamchandani et al., 2017; Knowland et al., 2017; Jonson et al., 2018). Therefore, research on ozone outflow from East Asia to ~~the rest~~ [other areas](#) of the world has been active, while relatively less attention has been paid to the ozone inflow from foreign regions to East Asia.

~~Two numerical simulations have been widely used for studying source-receptor relationships (Butler et al., 2018): emission perturbation (sensitivity) (Fiore et al., 2009) and tagging tracer (Wang et al., 1998; Hou et al., 2014; Y. Zhu et al., 2017) simulations. The perturbation simulation examines how ozone within a receptor region responds to a perturbation of ozone precursor emissions in different foreign regions, while the tagged ozone simulation link ozone in each of model grids with its source regions.~~ Concerning air quality, most previous studies focused on foreign influences on [ozone in the lower troposphere or at the surface](#) ~~layer~~ in East Asia.

130 These studies showed that the concentrations of ozone from foreign regions are larger
at the East Asian surface in colder seasons (November-April) than in warmer seasons
(May-October) (Fiore et al., 2009; Nagashima et al., 2010; Wang et al., 2011;
Yoshitomi et al., 2011). They also found an uneven distribution of imported ozone
from foreign regions at the East Asian surface (Hou et al., 2014; Y. Zhu et al., 2017;
135 Han et al., 2018) and assessed the anthropogenic impacts from individual source
regions (Ni et al., 2018). For example, several studies suggested that 1-3 ppbv and ~1
ppbv of surface ozone in East Asia in spring can be respectively attributed to
European (Holloway et al., 2008; X. Li et al., 2014) and South Asian (Chakraborty et
al., 2015) anthropogenic emissions. Moreover, the HTAP has made considerable
140 progress in quantifying the contributions of anthropogenic emissions in foreign
regions to surface ozone in East Asia (Turnock et al., 2018) and its health impact
(Liang et al., 2018). However, foreign influences on ozone in the East Asian middle
and upper troposphere has been rarely documented (Liu et al., 2002; Sudo and
Akimoto, 2007).

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Limited studies have suggested that foreign influences are ~~much larger~~stronger in
the higher altitudes than at the surface in East Asia, such as Y. Zhu et al. (2017) and
Han et al. (2018) on North American and African ozone, respectively. The
contribution of anthropogenic emissions from foreign regions to ozone over China is
150 also ~~larger~~greater at high altitudes than at the surface in spring (Ni et al., 2018).
~~However, how imported~~As ozone ~~from foreign regions is distributed~~ in the East Asian
middle and upper troposphere, ~~where ozone~~ has larger ~~considerable~~ climate effect
(Myhre et al., 2017), ~~the~~ radiative forcing of ozone is much higher at these layers than
at the lower layers (Worden et al., 2008), ~~remains unclear (Liu et al., 2002; Sudo and~~
155 ~~Akimoto, 2007).~~ The influence in the East Asian middle and upper troposphere is
important to climate change because of the considerable ozone radiative forcing over
the area (Myhre et al., 2017). Such an impact has been rarely documented (Sudo and

~~Akimoto, 2007~~). The strongly latitude- and altitude-dependent radiative forcing of tropospheric ozone requires a further examination of ~~the vertical variation of~~ imported ozone in East Asia throughout the entire tropospheric columns. Quantifying imported ozone sources at different altitudes also helps in understanding the transport mechanisms. ~~Therefore, the foreign influence on ozone in East Asia throughout the entire tropospheric columns is desirable.~~

165 Previous studies suggested that the East Asian monsoon (EAM), a predominant climate feature in East Asia, could be a key player in modulating seasonal variation of foreign influences on East Asia (Wang et al., 2011; Chakraborty et al., 2015; B. Zhu et al., 2016; Y. Zhu et al., 2017; Han et al., 2018). Wang et al. (2011) suggested that the seasonal switch in horizontal wind patterns of the EAM can bring ozone from
170 different foreign regions to China. Y. Zhu et al. (2017) and Han et al. (2018) demonstrated the importance of the EAM to the vertical transport of imported ozone ~~over East Asia. They found that the East Asian winter monsoon (EAWM) can boost downdrafts of North American ozone to the East Asian surface (Y. Zhu et al., 2017), while the prevailing convections during the East Asian summer monsoon (EASM) block such transport of African ozone (Han et al., 2018).~~ In addition, imported ozone can significantly drive the interannual variation of ozone over the East Asian troposphere (Chatani and Sudo, 2011; ~~Sekiya and Sudo, 2012~~; Nagashima et al., 2017), while Y. Zhu et al. (2017) reported that interannual variation in North
175 ~~American ozone over the lower troposphere of East Asian is closely related to the variation of the EAWM intensity.2017).~~ Therefore, ~~for an enhanced understanding of the foreign influence on East Asia,~~ the role of the EAM ~~needs to~~should be further investigated– so to find new insight on atmospheric transport of foreign ozone to East
180 Asia.

185 Since the 2000s, our understanding of the foreign influence on tropospheric ozone

in East Asia has been advanced. ~~However~~Nevertheless, previous studies individually focused on some specific aspects of this influence, such as that from one or a few source regions (X. Li et al., 2014; Y. Zhu et al., 2017; Han et al., 2018), that occurring during one or a few seasons (Ni et al., 2018), or that affecting surface ozone only ~~in~~
190 ~~East Asia~~ (Wang et al., 2011). The sources of ozone over the entire East Asian troposphere and the underlying transport mechanisms are inadequately documented. ~~The anthropogenic and natural influences have not been separately assessed.~~ The interannual variations of foreign influences, ~~their sensitivity~~ and the associated meteorology have been inadequately studied. ~~Therefore, this~~This study aims to
195 address ~~all of the~~these research gaps and engage in a comprehensive assessment of foreign influences on tropospheric ozone in East Asia.

In this study, we use a global chemical transport model, GEOS-Chem, to quantify foreign influences on tropospheric ozone in East Asia ~~from the perspectives of~~
200 ~~anthropogenic emissions and all emissions of ozone precursors.~~ We characterize the seasonal, horizontal, and vertical variations of these influences and explore the potential mechanisms. We also search for a link between the interannual variations of foreign influences and the EAM. In the following, section 2 describes the GEOS-Chem model and the simulation experiments. The seasonal and interannual variations
205 of the foreign influences are presented in sections 3 and 4, respectively. A summary is provided in section 5.

2 Model description and simulation experiments

To clearly identify different sources of tropospheric ozone in East Asia, we define
210 some terms used in this paper. East Asia is defined as the receptor region (Figure 1), while the regions outside East Asia are the foreign regions. Tropospheric ozone ~~in this paper~~ refers to ozone in the troposphere, ~~also termed as ozone~~ (Table S1), ~~unless stated specifically.~~ Tropospheric ozone in East Asia consists of ozone produced in

the troposphere and downward ozone injected from the stratosphere (termed as stratospheric ozone), i.e., tropospheric ozone =is equal to ozone produced in the troposphere +plus stratospheric ozone. In this paper, we discuss the foreign influence on tropospheric ozone in East Asia from multiple perspectives (Table S1). (1) The first is by regions inside and outside East Asia, in which the terms "native ozone" and "foreign ozone" refer to ozone produced in the troposphere inside and outside East Asia, respectively. "Foreign ozone" generally", in most cases, refers to ozone that is originally generated in a foreign region's the troposphere of a foreign region and then is imported to the domain of the East Asia. The Asian troposphere. Foreign ozone may distribute outside East Asia, depending on the context. (2) The second is by foreign region, in which ozone produced in the troposphere over a foreign region is named after that region, such as "North American European ozone". Note that stratospheric ozone injected to a foreign region is counted as stratospheric ozone because it is not originated originally produced in the troposphere. (3) The third is by the sources of ozone precursors, in which the term "anthropogenic ozone" refers to ozone produced from its precursors with anthropogenic sources. "Non-anthropogenic" is the sum of ozone" refers to ozone produced in the troposphere from its precursors with non-anthropogenic sources, while "natural ozone" refers to non-anthropogenic ozone and stratospheric ozone. (4) In the fourth perspective, the components of tropospheric ozone are termed in more detail by further divisions, such as by both sources of ozone precursors and foreign regions, as defined in Table S1. For example, North American European anthropogenic ozone refers to ozone produced from the anthropogenic precursors emitted from North America Europe. The foreign influences are assessed in terms of the absolute contribution with a unit of ppbv and the fractional contribution with a unit of percentage (%), which is the ratio of foreign ozone to tropospheric ozone in the same domain of interest, unless stated otherwise.

Two numerical simulations have been widely used for studying source-receptor

relationships (Butler et al., 2018): emission perturbation (sensitivity) (Wild and Akimoto, 2001; Fiore et al., 2009; Y. Zhu et al., A2017) and tagging tracer (Wang et al., 1998; Liu et al., 2009; Hou et al., 2014) simulations. The perturbation simulation examines how ozone within a receptor region responds to a perturbation of ozone precursor emissions in different foreign regions, while the tagged ozone simulation tracks ozone in a receptor region back to its various source regions. In this study, a global three-dimensional chemical transport model, GEOS-Chem (version v9-02) (Bey et al., 2001, <http://geos-chem.org>), was used to simulate the global tropospheric ozone and the transport of foreign ozone to East Asia from different source regions. GEOS-Chem includes detailed tropospheric O₃-NO_x-hydrocarbon and aerosol chemistry. We ran GEOS-Chem simulations in two modes in this study: the full chemistry and tagged ozone modes, corresponding to the emission perturbation and tagged tracer approaches respectively. The emission perturbation simulation quantifies foreign anthropogenic foreign influences on East Asia, while the tagged ozone simulation specifies overall foreign influences (natural anthropogenic and non-anthropogenic), as well as the stratospheric influence on East Asia. The simulations were driven by the GEOS-4 meteorology from the Goddard Earth Observing System (GEOS) at the NASA Global Modeling and Assimilation Office (GMAO), with 30 reduced vertical layers at the horizontal resolution of 4° latitude by 5° longitude. To assess the sensitivity of the simulations to different meteorological data and spatial resolutions, we also ran GEOS-Chem driven by GEOS-4 at 2° by 2.5°, GEOS-5 at 4° by 5°, and GEOS-5 at 2° by 2.5° in the full chemistry mode.

Table 1 describes the experiments conducted in this study. We divided the world into eight regions (Figure 1), including East Asia, North America, Europe, Africa, central Asia, South Asia, Southeast Asia, and the rest of the world: (ROW). East Asia, North America, South Asia, and Europe are also the study domains in HTAP Phase 1, where the definitions were only slightly different but with similarities. Ten

270 simulations in full chemistry mode were conducted from January 2004 to February
 2006 (2004 for spin-up), including one control experiment (*CTRL*) and nine
 sensitivity experiments. In the *CTRL* experiment, all anthropogenic and natural
 emissions were turned on, while in the sensitivity experiments, the anthropogenic
 emissions including nitrogen oxides (NO_x), carbon monoxide (CO), and non-methane
 275 volatile organic compounds (NMVOC) were turned off (100% perturbation)
 individually in each of the eight defined regions and in the whole world (*EAnth-
 GLO*). The anthropogenic ozone from a region X_i can be represented by the difference
 | [in the ozone concentration](#) between *CTRL* and the sensitivity experiment for that
 region (*EAnth- X_i*) (X. Li et al., 2014). Because ozone does not linearly respond to the
 280 reduction of its precursors (Fiore et al., 2009), the sum of the ozone response to the
 | 100% perturbation for each region, i.e. $\sum_{i=1}^8 (CTRL - EAnth-X_i)$, is not equal to the
 ozone response to the 100% perturbation for the globe (*CTRL - EAnth-GLO*).
 Specifically in this study, ozone concentration from $\sum_{i=1}^8 (CTRL - EAnth-X_i)$ is
 approximately 0-4 ppbv (0-20%) higher than that from (*CTRL - EAnth-GLO*) over
 285 East Asia depending on altitude (Figure S1). Therefore, to fit the total anthropogenic
 ozone and isolate the relative contributions of anthropogenic emissions from different
 regions to the total anthropogenic ozone, a ‘normalized marginal’ linearization
 method (B. Li et al., 2016; Ni et al., 2018) was used to adjust the simulations:

$$290 \quad CON-A = \frac{CTRL - EAnth-A}{\sum_{i=1}^8 (CTRL - EAnth-X_i)} \times (CTRL - EAnth-GLO) \quad (1)$$

where *EAnth-A* indicates the [ozone concentration from the](#) sensitivity experiment for
 | *A*. ~~The calculated~~ *CON-A* is the anthropogenic ozone from a specific ~~source~~ region *A*
 | ~~and is used in this study. The calculations were.~~ [The calculation was](#) conducted at
 295 every model grid. Anthropogenic ozone from a specific ~~source~~ region is named after
 | that region, such as “~~North American~~[European](#) anthropogenic ozone” (Table S1).

Meteorology can modulate foreign ozone over East Asia interannually through its influences on both transport and chemical processes (Liu et al., 2011; Sekiya and Sudo, 2012, 2014). In this study, we focus on its impact on the interannual variation of ozone transport. Therefore, we conducted a tagged ozone simulation from December 1985 to November 2006 (the first year was for spin-up). In the simulation, labelled as Tagged-Ozone (Table 1), daily ozone production and loss data in 2005 were produced from the full chemistry simulation (CTRL) beforehand and then were used in Tagged-Ozone for the years over 1985-2006. In this way, the seasonal variation in chemistry is considered in the simulation while there is no year-to-year variation in chemistry. In the meantime, both seasonal and interannual variations in meteorology are considered in the simulation. Tagged-Ozone ~~included~~includes ten tracers, i.e., overall ozone, ozone ~~from produced in~~ the stratosphere (STR), and ozone produced in the troposphere over East Asia and the seven foreign regions (Figure 1). Ozone produced in the troposphere over a region is named after that region (~~Table S1). For example, such as~~ “European ozone” ~~refers to ozone that is originally generated in the European troposphere. In most cases of this paper, “European ozone” is transported to the domain of East Asia. At some places in this paper, “European ozone” can be outside East Asia. Therefore, ozone originally~~(Table S1). Ozone produced in the stratosphere is ~~tagged as a tracer of itself,~~ termed as “stratospheric ozone”, no matter whether ~~stratospheric ozone~~it is directly transported to the East Asian troposphere or has passed through the troposphere over any of the foreign regions. ~~Note that East Asian ozone is also termed native ozone. The tropopause pressure from the GEOS-4 meteorology was used at each dynamic timestep.~~The Linoz linearized ozone parameterization scheme (McLinden et al., 2000) was used in the calculation of stratospheric ozone. The tropopause pressure from the GEOS-4 meteorology was used at each dynamic timestep. The calculated global cross-tropopause ozone varies interannually and is ~484 Tg in 2005. The natural and anthropogenic emissions of ozone precursors and the model configuration were the

same as those in Han et al. (2018) and are described in detail there.

Figure 2 compares the ozone vertical profiles from the simulations with different meteorological data and resolutions, averaged over East Asia by season. Among the simulations, ozone profiles over East Asia were similar in shape and magnitude in each of the seasons, except near the surface, where the simulated ozone concentrations obtained with GEOS-4 data were larger than those obtained with GEOS-5. ~~The Overall, the~~ differences between these simulations were smaller in summer and autumn than in winter and spring. A difference within $\pm 5\%$ existed between the tagged ozone and full chemistry simulations (Figures S2-S3), likely owing to the nonlinearity in chemistry. We further compared the GEOS-Chem simulations with the ~~ozone retrievals from to the~~ Tropospheric Emission Spectrometer (TES) ~~satellite retrievals~~ using the monthly product TL2O3LN achieved from NASA Langley Atmospheric Science Data Center (https://eosweb.larc.nasa.gov/project/tes/tes_table). The GEOS-Chem simulations smoothed with TES *a priori* and the averaging kernels appeared lower than the TES measurements in the middle troposphere by approximately 10 ppbv in spring and 5 ppbv in ~~the~~ other seasons (Figure 2). Note that TES tropospheric ozone retrievals generally have a positive bias compared with ozonesonde measurements (Nassar et al., 2008; Verstraeten et al., 2013). Verstraeten et al. (2013) identified that the bias is approximately 2-7 ppbv and is different for the tropics (3 ppbv), sub-tropics (5 ppbv), and mid-latitudes (7 ppbv). Our confidence in the GEOS-Chem performance is ~~also based extended~~ on ~~the basis of~~ extensive ~~validation~~ ~~validations~~ of GEOS-Chem simulations of tropospheric ozone in East Asia (Wang et al., 2011; Jiang et al., 2015; J. Zhu et al., 2017; Y. Zhu et al., 2017), North America (Zhang et al., 2008; Y. Zhu et al., 2017), Europe (Liu et al., 2005; Kim et al., 2015), Africa (Han et al., 2018), and other regions (Liu et al., 2009; Jiang et al., 2016).

3 Seasonal variations of foreign ozone over East Asia

355 3.1 Native and foreign ozone over East Asia

Based on GEOS-Chem simulations in 2005, we show native and foreign ozone over East Asia at the surface (Tables 2-3 and Figure 3) and in the East Asian troposphere (Figures 3-5). Figure 3 shows the horizontal variation of the partition of native and foreign ozone at the surface and 3 pressure levels in terms of the annual mean, while
360 Figure 4 shows the vertical variation of the partition of native and foreign ozone over East Asia by season. Figure 5 is the same as Figure 4, but for anthropogenic ozone.

Through the tropospheric ~~column~~ columns in East Asia, the annual mean of foreign ozone partitions more in the upper layers than at the surface and ~~reach~~ reaches a
365 regional mean of 68% at 500 hPa (Figure 3f). At the surface, the fractional contribution of foreign ozone is lowest over South China, where ~~it~~ foreign ozone is ~~also~~ lower than ~~that of~~ native ozone (Figure 3d and 3h). Vertically, foreign ozone constantly increases with altitude in all seasons (Figures 4a-4d). The concentrations of foreign ozone are larger than these of native ozone in spring, autumn, and winter
370 throughout the troposphere, and in summer above ~650 hPa. Fractionally (Figures 4e-4h), foreign ozone accounts the most in the middle or upper troposphere, depending on seasons. In contrast, the fraction of native ozone is the largest near the surface and decreases with altitude. Specifically, between 700 and 200 hPa, the annual mean concentrations of foreign ozone averaged over East Asia range between ~~14-54~~ 32-65
375 ppbv, which are 0.8-4.8 times higher than native ozone concentrations. Seasonally, the difference between foreign and native ozone between 700 and 200 hPa ranges 17-64 ppbv in spring (or foreign ozone is 1-7.8 times of its counterpart), -3-47 ppbv (-0.1-2.1 times) in summer, 16-53 ppbv in autumn (1-5.3 times), and 26-54 ppbv (2.9-13 times) in winter. In the middle troposphere at 500 hPa, foreign ozone ~~is largest in~~
380 ~~spring~~ (47.3 ppbv, 72% of ozone) and ~~foreign anthropogenic ozone~~ (9) ~~lowest in~~ ~~summer~~ (40.0 ppbv, 15%) ~~both peak in spring. In~~ 58% of ozone), while in the upper

troposphere at 300 hPa, foreign ozone is highest in spring (63.4 ppbv, 63% of ozone) and lowest in winter (51.1 ppbv, 57% of ozone).

385 [In Table 2, the total foreign ozone concentrations are summed up from the foreign ozone concentrations from all foreign regions.](#) At the East Asian surface, the annual mean [of foreign ozone concentrations are](#) ~ 22.2 ppbv, which [are](#) comparable to that of its native counterpart (~ 20.4 ppbv) (Table 2). Seasonally, foreign ozone is the largest in winter (27.1 ppbv), the second largest in spring (25.4 ppbv) and the smallest in summer (16.6 ppbv) (Table 2, Figure 4). Foreign ozone accounts for over 50% of ozone at the East Asian surface throughout the year, except in summer. This is in agreement with the estimate of 50-80% foreign contributions in spring made by Nagashima et al. (2010) and J. Li et al. (2016). [Foreign](#)

395 [Table 3 and Figure 5 show the contribution of the foreign anthropogenic ozone at the surface and through the tropospheric columns in East Asia respectively. At the East Asian surface, foreign anthropogenic ozone is 4.7 ppbv in the annual mean and peaks in spring, when foreign anthropogenic ozone \(6.4 ppbv, 14.1% of ozone\) when it is comparable to its native anthropogenic ozone counterpart \(6.9 ppbv, 15.1% of ozone\).](#) In summer, foreign anthropogenic ozone ([Wang et al. \(2011\) suggested a higher estimate of \$12.6 \pm 2.3\$ ppbv in the annual mean foreign anthropogenic ozone, 8.2% of ozone,](#) like foreign ozone (16.5 ppbv, 35.2% of ozone), is at seasonal minimums, whereas native anthropogenic ozone (9.8 ppbv, 21.8% of ozone), like native ozone (30.1 ppbv, 64.1% of ozone), reaches the seasonal maximum (Tables 2 and 3, Figures 4 and 5). These results are in [China a similar order of magnitude to those in](#) Ni et al. (2018), who estimated that foreign and native anthropogenic ozone were both approximately 6 ppbv at the surface in China in the spring of 2008. [Wang et al. In summer, foreign ozone \(16.5 ppbv, 35.2% of ozone\) and foreign anthropogenic ozone \(3.7 ppbv, 8.2%\) at the East Asian surface are both](#)

410 at seasonal minimums, whereas native ozone (30.1 ppbv, 64.1%) and native
anthropogenic ozone (9.8 ppbv, 21.8%) both reach the seasonal maximum (Tables 2
and 3, Figures 4 and 5). Turnock et al. (2018) showed that in a future emission
scenario with the reductions of 70%, 64%, and 86% in global anthropogenic
emissions of CO, NMVOC, and NO_x from 2010 to 2050, foreign anthropogenic ozone
415 at the East Asian surface would decrease by ~3.7 ppbv in the annual mean.

Examining anthropogenic ozone (Figure 5), vertically, (2011) suggested an estimate
of 12.6±2.3 ppbv in the annual mean of foreign anthropogenic ozone in China.

Among all altitudes (Figure 5), foreign anthropogenic ozone is the largest in the upper
420 troposphere in all seasons except in spring when it peaks in the middle troposphere
(Figures 5a-5b). In contrast, native anthropogenic ozone is the largest at the surface
and decrease with altitude in all seasons. Seasonally, in the middle troposphere at 500
hPa, foreign anthropogenic ozone peaks in spring (9 ppbv or 14% of ~~overall~~
anthropogenic ozone). ~~In~~, while in the upper troposphere at 300 hPa, foreign
425 anthropogenic ozone is highest in summer (9.5 ppbv, 11% % of ozone) and lowest in
winter (6.3 ppbv, 7% % of ozone).

The seasonal variation of foreign influences on tropospheric ozone over East Asia
is modulated by multiple factors. Ozone lifetime is one of the important factors, as a
430 longer lifetime can lengthen the transport distance. Here, ozone lifetime in the
boundary layer was calculated by the daily average dry deposition and chemical loss
rate of ozone at each of the model grids. In the free troposphere, only the chemical
loss rate of ozone is used for the calculation. As shown in Figure 6, ozone lifetime at
the East Asian surface is longest in winter (11.3 days), shortest in summer (1.1 days),
435 and intermediate in spring (4 days) and autumn (3.8 days). Ozone lifetime at the East
Asian surface is approximately 9-28 days shorter than that in the middle troposphere
(500 hPa) ~~(Figure 6)~~, due to the dry deposition and more active chemical reactions of

ozone in the boundary layer (Fiore et al., 2002; Wang et al., 2011). From the meteorological perspective, subtropical westerlies are the major transport pathway for atmospheric pollutants moving from the west to East Asia (Wild et al., 2004; Y. Zhu et al., 2017; Han et al., 2018). The strength and location of the westerlies vary with season. The East Asian subtropical westerly jet is strongest in winter and weakest in summer (Figure 7, also see Zhang et al., 2006). Therefore, the combined effect of the ozone lifetime and the westerlies is more favourable to the transport of foreign ozone to East Asia in winter and spring than in summer. However, because the [ozone concentrations in the](#) inflows to East Asia [are low](#) in winter ~~have low ozone concentrations~~ (Figures 7d and 7h), both foreign ozone and foreign anthropogenic ozone in the East Asian upper troposphere are at minimum in winter (Figures 4 and 5).

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Because of the longer lifetime of ozone and the stronger westerly wind in the middle and upper troposphere (Figures 6 and 7), ~~foreign~~ ozone concentrations [therein the middle and upper troposphere](#) are 1-2 times higher than at the surface [in foreign regions](#) (Figure 4). Figure 7 clearly shows that foreign ozone is transported to East Asia mostly through the middle and upper troposphere. It also demonstrates that the seasonality of foreign ozone in different tropospheric layers, particularly near the surface, is greatly impacted by vertical transport. In winter, the East Asian trough located around 130-140°E in the middle troposphere is an important feature of the EAWM system. The downdrafts behind the East Asian trough (100°E-140°E) favour the descent of foreign ozone from upper levels to the surface. Winter has the strongest downdrafts, followed by spring (Figure 7). Oppositely, in summer, the prevailing ascents in the EASM block foreign ozone from reaching the lower troposphere (Figures 7b and 7f, see also Y. Zhu et al., 2017; Han et al., 2018). Combined with the obstruction of the Tibetan Plateau, the blocking effect is obvious in summer (Figures 7b and 7f, see also Han et al., 2018). In addition, the downdrafts behind the European

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trough (~0-40 °E in Figures 7b and 7f) divert foreign ozone from reaching East Asia in summer (Y. Zhu et al., 2017; Han et al., 2018). Because of all ~~of the above~~ reasons mentioned above, both foreign anthropogenic ozone and foreign ozone at the surface-layer in East Asia are higher in winter than in summer (Figures 4 and 5). Overall, the EAM is a dominant meteorological system influencing the seasonal variations of foreign ozone at the East Asian surface, primarily through the vertical motion of air masses.

3.2 Foreign ozone over East Asia by source region

3.2.1 Foreign ozone in the East Asian middle and upper troposphere by source region

~~Figure 8 shows~~ Figures 8b-8g show how ~~foreign~~ ozone from different ~~source~~ foreign regions distributes horizontally in the middle troposphere, illustrating significant foreign impacts on ozone over East Asia at this layer. The streamlines in Figure 8 roughly show the transport pathways, demonstrating the importance of the westerlies in driving the ozone transport to East Asia from North America, Europe, Africa, and central Asia ~~to East Asia~~. Foreign ozone over East Asia is shown vertically by region in Figure 9. In the East Asian middle and upper troposphere, foreign ozone accounts for 65-85% of all ozone produced in the troposphere (~~Figure 9~~). Figures 9e-9h, varying with season. On average, ROW, North America, and Europe contributes 9-21 ppbv (10-19% of ozone), 5-13 ppbv (7-12%), and 5-7 ppbv (3-11%), respectively, to ozone in the East Asian middle and upper troposphere. The sum of ozone from these three regions accounts for ~60% of foreign ozone at these layers. The mean concentrations of North American ozone are higher than those of European ozone over East Asia at layers above 500 hPa, because of larger anthropogenic and ~~natural~~ non-anthropogenic emissions including biogenic and lightning emissions in North America (Figure S4).

Taking 500 hPa as an example for the middle troposphere, the difference between
495 foreign and native ozone concentrations at this level is highest in winter, when the
difference is 37 ppbv, or foreign ozone is 6 times of native ozone (Figures 4 and 9). At
the time, ~~of~~among all foreign regions, the ROW is the largest contributor (13.5 ppbv,
31% of foreign ozone), followed by North America (9.1 ppbv, 21%), Africa (7.1 ppbv,
16%), Europe (4.9 ppbv, 11%), and South Asia (4.3 ppbv, 10%). In the upper
500 troposphere at 300 hPa, the concentrations of foreign ozone from the ROW, North
America and Africa in winter are 17.3, 10.9, and 8.0 ppbv, respectively, all higher than
the concentrations of native ozone (5.6 ppbv).

In winter, the transport of African ozone to the East Asian middle and upper
505 troposphere is mainly driven by the Hadley circulation and the subtropical westerlies
(Han et al., 2018). Although South Asia and Southeast Asia are closer to East Asia, the
atmospheric circulations are less favorable to the ozone transport from South and
Southeast Asia to East Asia (Figure S5).

510 ~~Vertically~~Along the vertical direction in the East Asian troposphere, foreign ozone
from five of the source regions, ~~including~~ North America, Africa, South Asia,
Southeast Asia, and the ROW, increases obviously with altitude in ~~the East Asian~~
~~troposphere in~~ all seasons (Figure 9). European ozone also increases with altitude in
the East Asian troposphere except in winter. Central Asian ozone in the entire East
515 Asian troposphere is lowest in winter and highest in summer when it peaks in the
middle troposphere.

Stratospheric ozone in the East Asian troposphere increases rapidly with altitude,
being largest in winter and second largest in spring (Figure 9). For instance,
520 stratospheric ozone in spring rises from 2.1 ppbv (4.3% of ozone) at the surface to 6.4
ppbv (9.6%) at 500 hPa and 27.1 ppbv (26.6%) at 300 hPa (Figures 9a and 9i). The

simulated springtime stratospheric ozone at the East Asian surface in this study is lower than those in some previous studies that used different global chemical transport models or different versions of GEOS-Chem, in which the stratospheric contribution was estimated as 10%-26% by Nagashima et al. (2010), 4-10 ppbv in China by Wang et al. (2011), and 11.2 ± 2.5 ppbv (February-April) in Japan by Yoshitomi et al. (2011). The seasonality of the stratospheric influence in the middle troposphere are similar to those in B. Zhu et al. (2016), who showed that stratospheric ozone at some mountain sites (>1500 m) in China peaks in winter. By comparing GEOS-Chem simulations, [with](#) MLS satellite observations, and MERRA and MERRA-2 reanalysis data, Jaeglé et al. (2017) suggested that GEOS-Chem underestimates the ozone enhancement from stratospheric intrusions in extratropical cyclones by a factor of 2, corresponding to a systematic underestimate of ozone in the lowermost extratropical stratosphere.

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3.2.2 Foreign ozone at the East Asian surface by source region

Tables 2 and 3 show, respectively foreign ozone and foreign anthropogenic ozone at the East Asian surface by source region. On annual average (Table 2), foreign ozone plus stratospheric ozone at the East Asian surface is ~ 23.5 ppbv (54% of surface ozone). The annual mean ozone concentrations from each of the foreign regions range between 0.9-6.2 ppbv, which account for 2.0-14.2% of surface ozone. The largest contributing region is the ROW, followed by Europe, central Asia, North America, South Asia, Africa, and Southeast Asia. Seasonally, ozone from North America (5.7 ppbv, 14% of surface ozone), Europe (5.3 ppbv, 13%), Africa (2.4 ppbv, 6%), and the ROW (7.5 ppbv, 18%) peaks in winter, whereas ozone from South Asia (2.9 ppbv, 6%) peaks in spring and ozone from Central Asia (4.7 ppbv, 10%) and Southeast Asia (1.3 ppbv, 3%) [peakpeaks](#) in summer. The seasonal variations of North American, European, and African ozone are similar, i.e., decreasing from winter to summer and then increasing in autumn (Table 2), forming a unimodal distribution. South Asian

550 ozone exhibits a unimodal seasonality, as well (Chakraborty et al., 2015).

By ozone precursor, each of the foreign regions contributes less than 3 ppbv of anthropogenic ozone to the East Asian surface ozone in all seasons (Table 3). On average, the largest contributing regions are North America (27% of foreign anthropogenic ozone), followed by Europe (21%), South Asia (16%), central Asia (14%), and Southeast Asia (12%). Seasonally, a springtime maximum appears for anthropogenic ozone from Europe (1.8 ppbv, 4% of surface ozone), central Asia (1.0 ppbv, 2%), and South Asia (0.9 ppbv, 2%), while anthropogenic ozone from North America is high in both winter (1.8 ppbv, 5%) and spring (1.7 ppbv, 4%).

560 Anthropogenic ozone from Africa and the ROW is the smallest in total, less than 1 ppbv throughout the year. It is noteworthy that ROW ozone generated from anthropogenic precursors contributes little to the East Asian surface ozone (0.8% of surface ozone, Table 3), whereas the contribution of ROW ozone from both anthropogenic and non-anthropogenic precursors is much more (14.2%, Table 2),

565 implying the importance of ~~natural~~[non-anthropogenic](#) emissions in the ROW to the East Asian surface ozone.

The foreign anthropogenic influence on the East Asian surface ozone in springtime was previously studied. Holloway et al. (2008) reported that anthropogenic ozone from both North America and Europe ranges between 1-3 ppbv in various regions in East Asia. Fiore et al. (2009) found that if anthropogenic emissions of ozone precursors were reduced by 20% in spring over North America, Europe, and South Asia, surface ozone in East Asia would decrease by 0.3-0.4, 0.2-0.3, and 0.1-0.2 ppbv, respectively. Chakraborty et al. (2015) suggested a decrease of 0.2 ppbv ozone at the East Asian surface in response to a 20% reduction of anthropogenic emissions in South Asia. ~~The results of this study appear comparable with the simulations in Fiore et al. (2009) and Chakraborty et al. (2015).~~ [X.Regarding foreign influences on a](#)

575

[specific region in East Asia, X](#). Li et al. (2014) estimated that European and South Asian anthropogenic emissions can each contribute 2.4 and 1 ppbv to surface ozone in western China. Ni et al. (2018) suggested that Europe and South Asia contributed 1.6 and 1.4 ppbv, respectively, to surface ozone in China in the spring of 2008. Wild et al. (2004) demonstrated that North American and European anthropogenic ozone in spring each range from 1.5 to 2.5 ppbv at the surface over Japan. Using the same model but with different emission data from those in Wild et al. (2004), Yoshitomi et al. (2011) simulated that North American and European anthropogenic ozone contribute 2.8 ± 0.5 and 3.5 ± 1.1 ppbv, respectively, to surface ozone in Japan during February-April. Overall, simulations from this study in spring are at the same magnitude as those in previous studies, and are slightly smaller than those in Yoshitomi et al. (2011) probably resulting from differences in the emission inventories and numerical models.

3.2.3 Latitudinal and longitudinal variations of foreign ozone in the East Asian troposphere by source region

Foreign ozone over East Asia vary largely with latitude [as a whole](#) (Figures 3 and 8); ~~as illustrated in Figure 10) and~~ for different source regions- [\(Figures 8 and 10\)](#). In ~~nearly all~~ the ~~tropospheric layer~~[troposphere](#) (Figures 10a-10d), South and Southeast Asian ozone distributes mostly in the regions south of 35°N in East Asia. In contrast, ozone from North America, Europe, and central Asia mainly appears north of 35°N in East Asia. The fractional contributions of foreign ozone from South Asia, Southeast Asia, and Africa all decrease with latitude, whereas the fractional contributions of foreign ozone from North America, Europe, and central Asia ~~all~~ increase with latitude. The latitudinal variations of North American and European ozone are consistent with those in Hou et al. (2014) and Y. Zhu et al. (2017).

At the East Asian surface (Figure 10e-10h), the fractional contribution of foreign

ozone peaks at the northern border of East Asia (60°N) in the four seasons, ranging from 55% in summer to 85% in winter. ~~The concentrations of stratospheric ozone at the East Asian surface are largest between 42°N and 46°N in spring (2.4 ppbv) and winter (2.7 ppbv).~~

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Regarding native ozone at the East Asian surface, in spring, it is largest at approximately around 30°N (36.8 ppbv, 65.8% of ozone, Figure 10e). The latitude at which native ozone peaks shifts northward to 35°N in summer (50 ppbv, 88% of ozone, Figure 10f) and then southward to 25°N in autumn (31 ppbv, 74%)% of ozone, Figure 10g) and farther southward to 20°N in winter (28 ppbv, 61%)% of ozone, Figure 10h). This seasonal migration may be partially related to the variation of ozone production influenced by the EAM (Hou et al., 2015; S. Li et al., 2018). ~~The concentrations of stratospheric ozone at the East Asian surface are largest between 42°N and 46°N in spring (2.4 ppbv) and winter (2.7 ppbv).~~

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The latitudinal variations of foreign ozone from different source regions are likely due to the proximity of these foreign regions to East Asia, the topography in East Asia, and the meteorology along the transport pathways, such as the variations of the subtropical westerlies. In particular, in summer, atmospheric circulations in the upper troposphere over Eurasia are greatly influenced by the South Asian High (SAH, or Tibetan High, or Asian summer monsoon anticyclone), and its position and coverage are shown by the streamlines in Figure 11. SAH constrains foreign ozone from North America, Europe, Africa and the ROW to latitudes north of 35°N in the East Asian upper troposphere (Figure 11). The SAH also blocks the northward transport of Southeast Asian ozone to East Asia. Furthermore, the SAH facilitates the build-up of South Asian ozone in the East Asian upper troposphere (Figure 11f) (Vogel et al., 2015), being a reason for the summer maximum of South Asian ozone over the region (Figure 9b).

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635 The longitudinal variations of foreign ozone from different source regions over East
Asia are shown in Figure S6. In the East Asian middle and upper troposphere, the
variations of foreign and native ozone with longitude are less ~~obvious~~evident than
those with latitude, especially in winter (Figure 10 vs. Figure S6). In the East Asian
middle troposphere (500 hPa), central Asian and South Asian ozone
640 ~~decreased~~decreases with longitude in summer but ~~vary insignificantly~~varies slightly
with longitude in winter. At the East Asian surface, ozone from ~~the two regions~~central
Asia and South Asia decreases with longitude. ~~Longitudinal~~The longitudinal
variations of North American, European, African, and Southeast Asian ozone are less
obvious than those of central and South Asian ozone.

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4 Interannual variations of foreign ozone at the East Asian surface

The Tagged-Ozone simulation (Table 1) was used to search for possible connections
~~of~~in the interannual variations between meteorology and foreign ozone in East Asia.
The Tagged-Ozone simulation ~~provided~~provides the means and year-to-year
650 variations of native and foreign ozone from the different regions during the 20-year
period (not shown). The mean native and foreign ozone at the East Asian surface
~~were~~are in close agreement with those in 2005 (Table 2) in the corresponding regions
and seasons.

655 As a typical monsoon region, ~~the climate in~~ East Asia is largely influenced by the
EAM. The monsoon circulation can impact ozone transport and distribution in East
Asia (Y. Zhu et al., 2017; S. Li et al., 2018). To search for possible linkages between
the EAM and the transport of foreign ozone to East Asia, we selected three EAWM
indices and three EASM indices, respectively, for winter and summer. These monsoon
660 indices were proposed to describe the features of the EAM from different perspectives
(Q. Li et al., 2016; S. Li et al., 2018). The monsoon indices are each correlated with

different types of foreign ozone at the East Asian surface. The linkages between the EAM and foreign ozone at the East Asian surface were assessed according to the mean of the correlation coefficients from the three indices in a season.

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These six monsoon indices are widely used. The three EAWM indices were proposed by Sun and Li (1997), Jhun and Lee (2004), and Wang and Jiang (2004), respectively, corresponding to Equations (2)-(4). EAWMI1, defined by Sun and Li (1997), represents the EAWM strength by the averaged geopotential heights in the middle troposphere in the location of the East Asian trough, which is an important component of the EAWM. EAWMI2 (Jhun and Lee, 2004) reflects the meridional wind shear associated with the jet stream in the upper troposphere, mainly describing the variability of the EAWM in the East Asian mid-latitudes. EAWMI3 (Wang and Jiang, 2004) uses the anomaly of the wind velocity around the coast of East Asia in the lower troposphere. The three EASM indices were proposed by Wang and Fan (1999), Li and Zeng (2002), and Zhang et al. (2003), respectively, corresponding to Equations (5)-(8). EASMI1 (Wang and Fan, 1999) is defined from the shear vorticity in the lower troposphere that reflects variations in both the monsoon trough and the subtropical high (Wang et al., 2008). EASMI2 (Li and Zeng, 2002) is a unified dynamical index of the monsoon which characterizes the seasonal and interannual variability of monsoons over different areas in the world. EASMI3 (Zhang et al., 2003) is a vorticity index similar to that in Wang and Fan (1999) but in a slightly modified domain.

685 $EAWMI1 = -GPH_{500}(30-45^{\circ}N, 125-145^{\circ}E)$ (2)

$$EAWMI2 = U_{300}(27.5-37.5^{\circ}N, 110-170^{\circ}E) - U_{300}(50-60^{\circ}N, 80-140^{\circ}E)$$
 (3)

$$EAWMI3 = WS_{850}(25-50^{\circ}N, 115-145^{\circ}E)$$
 (4)

$$EASMI1 = U_{850}(5-15^{\circ}N, 90-130^{\circ}E) - U_{850}(22.5-32.5^{\circ}N, 110-140^{\circ}E)$$
 (5)

$$EASMI2 = \delta(10-40^{\circ}N, 110-140^{\circ}E, 850 \text{ hPa})$$
 (6)

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$$\delta = \frac{\|\bar{V}_1 - V_i\|}{\|\bar{V}\|} - 2 \quad (7)$$

EASMI3 = $U'_{850}(10-20^\circ\text{N}, 100-150^\circ\text{E}) - U'_{850}(25-35^\circ\text{N}, 100-150^\circ\text{E}) \quad (8)$

In Equations (2)-(6), GPH_{500} is the geopotential height at 500 hPa, U_{300} is the zonal wind at 300 hPa, WS_{850} is the wind speed at 850 hPa, U_{850} is the zonal wind at 850
 695 hPa, and δ is a dynamical normalized seasonality obtained from Equation (7). In Equation (7), \bar{V}_1 and V_i are the January climatological and monthly wind vectors at a grid and \bar{V} is the mean of the January and July climatological wind vectors at the same
 700 grid. The norm $\|V\|$ is defined as $(\iint_S |V|^2 dS)^{1/2}$, where S denotes the domain of integration. U'_{850} in Equation (8) is the anomaly of U_{850} [from the long-term mean climatology](#).

Figure 12 shows the interannual variations of foreign and native ozone at the East Asian surface driven by meteorology and the strength of the EAM. In winter (Figure 12a), the transport of both North American and European ozone is significantly
 705 related to the strength of the EAWM. The EAWM can explain more than 30% of the interannual variations of ozone at the East Asian surface from these two regions. A positive correlation between North American ozone and the EAWM was also found by Y. Zhu et al. (2017), who suggested that the increase of ozone transport under a strong EAWM condition is mainly caused by the enhanced downdraft from the
 710 Siberian High and the East Asian trough. This explanation can also be applied to European ozone. In contrast, native ozone is negatively correlated with the interannual variation of the EAWM strength. When the EAWM is strong in winter, the enhanced Siberian High strengthens the northerly wind in the East Asian lower troposphere, and, consequently, more native ozone is taken away (Q. Li et al., 2016).
 715 Among the three EAWM indices, foreign ozone from North America and Europe at the East Asian surface correlates the best with EAWM1 (Table S2), indicating the

importance of the East Asian trough for the ozone transport. When the East Asian trough is deeper in a stronger EAWM, the enhanced downdrafts behind the trough can transport more foreign ozone from the upper levels to the East Asian surface.

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In summer (Figure 12b), the interannual variations of the EASM strength ~~were~~are found to be positively correlated with those of ozone and native ozone but negatively correlated with those of South Asian and Southeast Asian ozone at the East Asian surface. The positive correlation between the EASM and surface ozone in East Asia was also reported in previous studies (Zhou et al., 2013; Yang et al., 2014; Hou et al., 2015; S. Li et al., 2018). Among the three EASM indices, the correlations coefficients are higher for EASM1 and EASM3 than EASM2 (Table S2), indicating that these ozone anomalies correlate closely with shear vorticity during the EASM. -In a strong EASM year, a clear anomalous cyclonic circulation appears over the area southeast of China in the lower troposphere, and the south-westerly monsoon wind is weakened, as depicted by multiple studies (for example, Figure 5 in Yang et al. (2014) and Figure 2 in S. Li et al. (2018)). The weakened south-westerly monsoon wind during a strong EASM year enhances ozone and native ozone at the East Asian surface by reducing ozone export (Yang et al., 2014). Meanwhile, the south-westerly wind brings less South Asian and Southeast Asian ozone to the East Asian surface. The variation of the EASM intensity can approximately explain 32%, 31%, and 64% of the interannual variability in native, South Asian, and Southeast Asian ozone, respectively.

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5 Discussion and conclusions

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Using a global chemical transport model, GEOS-Chem, we investigated foreign influences on tropospheric ozone over East Asia. We estimated these influences from the perspectives of the anthropogenic and total emissions of ozone precursors, using the emission perturbation and tagged ozone simulations, respectively. The distributions of foreign ozone in East Asia were characterized in time (seasonally) and

745 space (horizontally and vertically). Based on six EAM indices, links between the
EAM and interannual variations of foreign ozone at the East Asian surface were
explored. Conclusions ~~were~~are drawn as follows.

750 Foreign ozone is transported to East Asia mainly through the middle and upper
troposphere because of the longer lifetime of ozone and the stronger westerlies at
these layers than in the lower troposphere. In the East Asian middle and upper
troposphere (700-200 hPa), the annual mean concentrations of foreign ozone are 0.8-
4.8 times higher than that of native ozone, as foreign ozone ~~ranging in~~ranges between
32- ~~and~~ 65 ppbv (~~65-85% of ozone produced in the troposphere~~) ~~and~~ and native ozone
755 ~~ranging in~~ranges between 11- ~~and~~ 18 ppbv (Figures 4 and 9).

At the East Asian surface, the annual mean of native ozone (20.4 ppbv) is
comparable to that of foreign ozone (22.2 ppbv) (Table 2), ~~most of~~ which is
~~transported mostly attributed to foreign ozone~~ from ROW, following by that from
760 Europe, central Asia, and North America ~~and Europe~~. Regarding anthropogenic ozone
only, the annual mean of foreign anthropogenic ozone (4.7 ppbv, ~~43~~11.3% of ~~total~~
~~anthropogenic~~ ozone) is slightly lower than its native counterpart (6.3 ppbv,
~~57%~~15.2% of ozone) (Table 3). Three-quarters of foreign anthropogenic ozone at the
surface is from North America (~~27%~~3.1% of ozone), Europe (~~22%~~2.4% of ozone),
765 South Asia (~~16%~~1.8% of ozone), and Southeast Asia (~~12%~~1.3% of ozone). Foreign
ozone at the East Asian surface is greatly modulated by the downward transport in
East Asia, and thus, its seasonality is dominated by the EAM system (Figure 7). The
subsidence prevailing in the EAWM favours the downward transport of foreign ozone
to the surface, while ascending flows in the EASM block such transport. Therefore,
770 foreign ozone at the East Asian surface is highest in winter (27.1 ppbv, 66% of
~~surface~~ ozone) and lowest in summer (16.5 ppbv, ~~35%~~% of ozone) (Table 2).

In the East Asian troposphere, foreign ozone from North America, Europe, and central Asia generally increases with latitude from 20°N to 60°N, whereas foreign
775 ozone from South Asia and Southeast Asia decreases with latitude (Figures 8 and 10). In the upper troposphere, the SAH in summer blocks North America, European, and African ozone from ~~transport~~transporting to latitudes south of 35°N in East Asia (Figure 11).

780 The interannual variations of foreign ozone at the East Asian surface is found to be closely related to the EAM strength (Figure 12). In winter, when the EAWM is ~~strong~~
~~in winter~~stronger, more North American and European ozone tends to be transported to the East Asian surface because of the heavier downdrafts behind the East Asian
785 winds can enhance outflow of native ozone and thus reduce its concentrations in East Asia. In summer, if the EASM is ~~strong~~stronger, the weakened south-westerly monsoon wind enhances native ozone in East Asia by subsiding outflow of native ozone. In the meantime, the weakened south-westerlies reduce the transport of South Asian and Southeast Asian ozone to the East Asian surface.

790 This study revealed the significant foreign influences on tropospheric ozone over East Asia through global atmospheric transport. We provided a comprehensive assessment on this topic, which cover all seasons, all foreign regions, and all tropospheric layers in East Asia. We discussed this issue from multiple perspectives:
795 by native and foreign ozone, by foreign region, by ozone precursor, and further by both source of ozone precursors and foreign region. In comparison, previous studies most focused on the influences from one or a few foreign regions (X. Li et al., 2014; Chakraborty et al., 2015; Y. Zhu et al., 2017; Han et al., 2018), or during one or a few seasons (Ni et al., 2018), or on the foreign influence at the East Asian surface or
800 boundary layer (Holloway et al., 2008; Wang et al., 2011; Hou et al., 2014). At the

East Asia surface, this study compares reasonable with most of the previous studies, although there are some disagreements in various details.

805 We examined the foreign influence on ozone in East Asia throughout the entire tropospheric ~~column~~columns. The simulations show that the concentrations of foreign ozone increase remarkably with altitude and is much higher than its native counterpart in the middle and upper troposphere. The influence in the East Asian middle and upper troposphere is important to climate change because of the considerable ozone radiative forcing in these altitudes (Myhre et al., 2017). Such an impact has been
810 rarely documented (Sudo and Akimoto, 2007).

We found the influences of the EAM on the seasonal and interannual variations of foreign ozone distribution in East Asia, primarily through the vertical transport. Advancing from [Y. Zhu et al. \(2017\)](#) for North American ozone, we found strong
815 correlations between the strength of the EAM and the interannual variation of foreign ozone from various regions including Europe, South Asia, and Southeast Asia. These findings highlight the importance of meteorology in the receptor region of East Asia, offering a new insight on the issue of foreign influence on tropospheric ozone in East Asia.

820 This study is subject to some uncertainties raising from the following sources. Firstly, GEOS-Chem, as a numerical model, can be biased in simulating various processes in the atmosphere, which are common to all numerical models. For example, some studies suggested that the stratospheric influence may be
825 underestimated in GEOS-Chem (Jaegl *é* et al., 2017). If this is the case, the absolute foreign influences assessed in this study would remain the same but the fractional contributions of foreign ozone would be overestimated. Secondly, we ran GEOS-Chem simulations with the GEOS-4 meteorology. Although GEOS-Chem driven by

830 GEOS-4 performs strongly in simulating tropospheric ozone (Choi et al., 2017), the
comparison between the GEOS-Chem and TES ozone data still indicates some biases
in the simulation, especially in spring and winter (Figure 2). Thirdly, the
anthropogenic emissions in 2005 were used in the simulation, which were scaled from
the most recent inventories, such as the global inventory EDGAR v3.2 in 2000
(Olivier and Berdowski, 2001; Pulles et al., 2007) and the INTEX-B Asia emissions
835 in 2006 (Zhang et al., 2009). However, the anthropogenic emissions have
significantly changed globally in the last decade (Jiang et al., 2018), especially in East
Asia (Zheng et al., 2018). In the EDGAR emission inventories, global NO_x, CO, and
NMVOCs from 2000 to 2010 changed by 9.5%, -1.2%, and 5.2%, respectively and
the three species decreased across North America and Europe, while increased in East
840 Asia (Turnock et al., 2018). Moreover, there have been some changes in the global
natural emissions, such as in biogenic NMVOC emissions (Chen et al., 2018). Note
that a change in anthropogenic emissions [in foreign regions](#) will affect the estimate of
foreign anthropogenic ozone, which is [approximately quantitatively ~20%](#) of the total
foreign ozone in [the East Asia Asian surface and troposphere](#) (Figure 5 vs. Figure 4,
845 Table 3 vs. Table 2). Fourthly, methane from anthropogenic emissions were not
addressed in this version of GEOS-Chem. Finally, ozone production and loss data
generated from the full chemistry simulation for the tagged ozone simulation are
archived at daily time step so that the chemical processes at sub-daily time step are
not considered in the tagged ozone simulation. We assessed these uncertainties in
850 Figures 2, S2 and S3. The [results assessments](#) suggest that the main conclusions from
this study are robust, including the orders of the magnitudes of foreign ozone in the
East Asian troposphere, the variations of foreign ozone with time and space, and the
importance of the EAM in modulating the [foreign ozone variations in East Asia](#).

855 Regarding the meteorological impacts on the interannual variation of foreign ozone
in East Asia, this study underscores the importance of the EAM, representing an

advancement from Y. Zhu et al. (2017) and Han et al. (2018). Future studies can examine the influences of other prominent climate systems, for instance, the North Atlantic Oscillation (NAO) (Bacer et al., 2016) and the El Niño Southern Oscillation (ENSO) (Sekiya and Sudo, 2012, 2014; Hou et al., 2016).

Data availability

The GEOS-Chem model is [openly](http://acmg.seas.harvard.edu/geos/) available at <http://acmg.seas.harvard.edu/geos/>. The TES ozone data can be downloaded from https://eosweb.larc.nasa.gov/project/tes/tes_table.

Author contributions

Han and Liu [conceived the study](#), designed and conducted the simulations, analysed the results, and wrote the manuscript. Yuan, Wang, Zhuang, and Zhang contributed to the data analysis and result interpretation. ~~Liu conceived the research problems and supervised the study.~~

Competing interests

The authors declare that they have no conflict of interest.

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