https://doi.org/10.5194/acp-2019-1194
Preprint. Discussion started: 28 February 2020

Atmospheric Chemistry and Physics Discussions

Aerosol concentrations variability over China: two distinct leading modes

Juan Feng[1], Jianlei Zhu[2,*], Jianping Li[3], and Hong Liao[4]

1. *College of Global Change and Earth System Science, Beijing Normal University, Beijing, China*
2. *Foreign Economic Cooperation Office, Ministry of Ecology and Environment, Beijing, China*
3. *Key Laboratory of Physical Oceanography–Institute for Advanced Ocean Studies, Ocean University of China and Qingdao National Laboratory for Marine Science and Technology, Qingdao 266003, China*
4. *School of Environmental Science and Engineering, Nanjing University of Information Science & Technology, Nanjing, China*

***Corresponding author*:**

Dr. Jianlei Zhu

Foreign Economic Cooperation Office, Ministry of Ecology and Environment, Beijing, China

Email: zhu.jianlei@fecomee.org.cn

https://doi.org/10.5194/acp-2019-1194
Preprint. Discussion started: 28 February 2020

Atmospheric Chemistry and Physics Discussions

Abstract

Understanding the variability in aerosol concentrations (AC) over China is a scientific challenge and is of practical importance. The present study explored the month-to-month variability in AC over China based on simulations of an atmospheric chemical transport model with a fixed emissions level. The month-to-month variability in AC over China is dominated by two principal modes: the first leading mono-pole mode and the second meridional dipole mode. The mono-pole mode mainly indicates enhanced AC over eastern China, and the dipole mode displays a south-north out-of-phase pattern. The two leading modes are associated with different climatic systems. The mono-pole mode relates to the 3-month leading El Niño-South Oscillation (ENSO), while the dipole mode connects with the simultaneous variation in the North Atlantic Oscillation (NAO) or the Northern Hemisphere Annular Mode (NAM). The associated anomalous dynamic and thermal impacts of the two climatic variabilities are examined to explain their contributions to the formation of the two modes. For the mono-pole mode, the preceding ENSO is associated with anomalous convergence, decreased planetary boundary layer height (PBLH), and negative temperature anomalies, which are unfavorable for emissions. For the dipole mode, the positive NAO is accompanied by opposite anomalies in the convergence, PBLH, and temperature over southern and northern China, paralleling the spatial formation of the mode. This result suggests that the variations originating from the tropical Pacific and extratropical atmospheric systems contribute to the dominant variabilities of AC over China.

https://doi.org/10.5194/acp-2019-1194
Preprint. Discussion started: 28 February 2020

Atmospheric Chemistry and Physics Discussions

1. Introduction

Aerosol particles are the primary pollutants in the atmosphere and play significant roles in influencing human health, environmental pollution, and regional and global climate (IPCC, 2013). The variation in aerosols shows considerable impacts on the climate via its direct and indirect effects by altering the radiation forcing and microphysical effects (e.g., Thompson, 1995; Zhang et al., 2011; Huang et al., 2006), indicating the important influences on the regional and global climate. For instance, it is noted that the 'cooling pool' in eastern-central China during the period 1960-1990 is partially attributed to increased aerosol concentrations (AC; Li et al., 2016), and that aerosols may exert influences on precipitation changes in both global and regional scales, as well as on monsoon systems (Rosenfeld et al., 2007; Cowan and Cai, 2011; Huang et al., 2014; Jiang et al., 2016; Lou et al., 2018). Thus, a better understanding of the AC variation is of significance for both scientific and practical efforts.

Meanwhile, the distribution and accumulation of aerosols are sensitive to meteorological conditions. The variations in the meteorological factors, e.g., precipitation, wind, temperature, planetary boundary layer height (PBLH), atmospheric stability, and humidity, could impact the AC by modulating the aerosol transport, deposition, and dilution processes (Aw and Kleeman, 2003; Lin and McElroy, 2010; Liao et al., 2015; Yang et al., 2017). The anomalies in meteorological conditions are attributed to the synoptic weather and climate systems. For the synoptic weather scale, Guo et al. (2014) indicated that stagnate weather conditions contribute to the periodic cycle of particulate matter events during boreal winter in Beijing. And the increase in

https://doi.org/10.5194/acp-2019-1194
Preprint. Discussion started: 28 February 2020


Atmospheric Chemistry and Physics Discussions

relative humidity (Han et al., 2014) and decrease in the PBLH (Quan et al., 2014; Yang et al., 2015) would lead to an increase in the aerosols, thus contributing to the haze events during winter 2012 in northern China.

Meanwhile, the variations in the large-scale climatic systems, such as Pacific Decadal Oscillation (PDO), El Niño-South Oscillation (ENSO), East Asian summer and winter monsoon (EASM & EAWM), and North Atlantic Oscillation (NAO) show considerable effects in impacting the regional AC in both the seasonal and the interannual timescales. For example, researchers found that low values of AC are observed in Taiwan accompanied with the onset of the EASM (Chen and Yang, 2008). During the mature phase of the moderate La Niña event 2000/01, an anomalous south-negative-north-positive AC dipole pattern is seen over eastern China (Feng et al., 2017). The interannual variations in the EASM exhibit significant effects in impacting the summertime AC over China, i.e., high-level AC would be observed over eastern China along with a weaker EASM (Zhu et al., 2012; Lou et al., 2016; Mao et al., 2017). A similar situation is observed between the EAWM and AC over eastern China but during boreal winter, showing that a weaker EAWM relates to a high level of AC over China (Jeong et al., 2017). Zhao et al. (2016) have indicated that the decadal regime shift of the PDO showed significant role in impacting the decadal variations of boreal winter aerosols over eastern China. Feng et al. (2019) have reported the important influences of simultaneous ENSO and preceding autumn NAO signals on the winter AC over China by case study.

https://doi.org/10.5194/acp-2019-1194
Preprint. Discussion started: 28 February 2020

Atmospheric Chemistry and Physics Discussions

89 The above discussions highlight the effect of climate background in impacting the
90 AC over China across different seasons, including signals from both the tropical and
91 the extratropical, and originating from both the atmosphere and the ocean. However,
92 the relative roles of climate systems are still unknown because there are strong
93 interactions among the systems. For example, during the decaying summer of a warm
94 ENSO event, a weaker EASM is expected to be observed (Wu et al., 2002), and the
95 occurrence of a cold ENSO event during its mature phase is favorable for a stronger
96 EAWM (Wang et al., 2008). The preceding spring (March to April) NAO indicates
97 significant impacts on the following summer EASM in the interannual timescale (Wu
98 et al., 2009). Moreover, the signals originating from the atmosphere (e.g., NAO, EASM,
99 EAWM) and ocean (e.g., ENSO, PDO) present strong seasonality, prevailing in
100 different seasons. As shown by the fact that AC over China are impacted by various
101 climate systems, the relative importance of individual signals on their possible impacts
102 in modulating the variability of AC remains unknown. Meanwhile, most of the previous
103 studies regarding the influence of climate systems on AC focused on a certain season
104 with little attention paid to spatial-temporal variability. These questions are important
105 for improving the recognition of the modulation of climate systems on AC.

106 Consequently, one of the crucial motivations of the current work is to investigate
107 the spatial-temporal variability in the monthly AC over China, highlighting the potential
108 effects of climatic variabilities in modulating the spatial and temporal variations in AC,
109 and understanding the possible physical processes involved. The rest of the study is
110 arranged as follows. The model, datasets, and methods are presented in Section 2; The

https://doi.org/10.5194/acp-2019-1194
Preprint. Discussion started: 28 February 2020

Atmospheric Chemistry and Physics Discussions

properties of the leading modes of AC variability are described in Section 3; Section 4 discusses the contribution of climatic modes on aerosol variabilities; and Section 5 provides the conclusions and discussions.

2. Datasets, model, and methodology

2.1 Model

The GEOS-Chem model is employed to detect the variability in AC over China. This model is a 3-dimensional tropospheric chemistry model with a 2.5° longitude × 2° latitude horizontal resolution and 30 vertical levels. The model is widely applied to investigate the potential modulation of climatic variabilities on the anomalous distributions of pollutants on various timescales, for example, on the seasonal (Generoso et al., 2008; Jeong et al., 2011; Feng et al., 2019), interannual (Jeong et al., 2017; Li et al., 2019), and interdecadal (Zhu et al., 2012) timescales. The high consistency in both the temporal and spatial distributions between the simulations and observations provides confidence for the feasibility of the present study.

As reported, the significant upward trend in anthropogenic emissions over China accounts for a large variance in pollutants, and the first dominant mode of boreal winter aerosols over eastern China represents anthropogenic emissions (Zhao et al., 2016). To highlight the modulation of the climatic variabilities on the variation in the aerosols, the anthropogenic and biomass burning emissions have been fixed at the year 2005 level. Thus, the variations in the aerosols in this context are attributed to the internal climatic variability.

https://doi.org/10.5194/acp-2019-1194
Preprint. Discussion started: 28 February 2020

Atmospheric Chemistry and Physics Discussions

The definition of particulate matter smaller than 2.5 μm in diameter (PM2.5) is followed by Liao et al. (2007),

$$[PM_{2.5}] = 1.29 \times [NO_3^-] + 1.37 \times [SO_4^{2-}] + [SOA] + [POA] + [BC]$$

where NO_3^-, SO_4^{2-}, SOA, POA, and BC are the aerosol particles of nitrate, sulfate, secondary organic aerosol, primary organic aerosol, and black carbon, respectively. Mineral dust and sea salt are excluded because these species are not the major components over China.

2.2 Datasets and methodology

The input meteorological variables of the model highly agree with the widely used atmospheric and oceanic datasets, i.e., the National Centers for Environmental Prediction/National Center for Atmospheric Research (NCEP/NCAR) reanalysis (Kalnay et al., 1996), and the UK Meteorological Office Hadley Centre's sea ice and sea surface temperature (SST) datasets (HadISST; Rayner et al., 2003). These two datasets are employed to verify the climatic indices calculated based on the model input meteorological datasets. ENSO was characterized by the Niño 3.4 index, which is defined as the areal averaged SST over 120°W-170°W, 5°N-5°S. The monthly Niño 3.4 indices based on the HadISST and model input data are highly related with each other with a correlation coefficient of 0.99, confirming the reliability of the model data. The North Atlantic Oscillation index (NAOI) and Northern Hemisphere Annular Mode index (NAMI) are used to present the sea level pressure (SLP) oscillation between the mid-latitudes and high latitudes in the extratropical Northern Hemisphere. Following

https://doi.org/10.5194/acp-2019-1194
Preprint. Discussion started: 28 February 2020

Atmospheric Chemistry and Physics Discussions

Li and Wang (2003), the NAMI is defined as the difference in the normalized global zonal-mean SLP between 35°N and 65°N, in which the 35°N and 65°N refer to the mid-latitude and high latitude, respectively. The definition of the NAOI resembles that of the NAMI but within the North Atlantic sector from 80°W to 30°E. Because the NAOI and NAMI are highly correlated with each other in both spatial distribution and temporal variation (Thompson and Wallace, 1998; Gong et al., 2001), the NAOI is utilized in the current context; similar results are obtained based on the NAMI.

Empirical orthogonal function (EOF) analysis was employed to obtain the spatiotemporal variability in monthly $PM_{2.5}$ over China. Correlation and regression are used to display the linkages between the variability in the $PM_{2.5}$ and the climatic modes. Here, the period 1986–2006 was taken as the climatological mean, and the annual cycle was removed before the analyses.

3. Distinct leading modes of the variability in aerosol concentrations

3.1 Two leading modes

Figure 1 presents the spatial distribution of the first (EOF1) and second (EOF2) leading modes based on the monthly surface layer and column AC anomalies. A similar spatial distribution is observed in both the surface and column AC. The EOF1 and EOF2 modes explain 31.4% (37.0%) and 16.3% (14.1%) of the total variances for the surface layer (column) AC, respectively. Based on the *North*'s rule, the two dominant modes could be significantly separated from each other and from the rest of the eigenvectors based on the analysis of the eigenvalues in the light of sampling error above the 0.05

https://doi.org/10.5194/acp-2019-1194
Preprint. Discussion started: 28 February 2020


Atmospheric Chemistry and Physics Discussions

significance level. The rest of the modes are not discussed for their relative less explained variance or could not be well separated. The EOF1 mode displays a mono-sign pattern, with the maximum located in central eastern China (Figs. 1a and c). The EOF2 mode presents a meridional dipole pattern in eastern China, with opposite values to the south (positive values) and north (negative values) of the Yangtze River.

The temporal behavior of the two modes, the first and second principal components, i.e., PC1 and PC2, is displayed in Figure 2. Both PC1 and PC2 show strong interannual variations. The PCs based on the surface and column concentrations are closely correlated with each other, with coefficients of 0.80 and 0.79 for PC1 and PC2, respectively. The high consistency between the surface and column concentrations in both the spatial and temporal distributions implies that the factors governing their variations are the same. The maximum value of PC1 occurs in 1998, corresponding to the strongest El Niño event (1997/98) in the 20th century. For PC2, negative values are observed during the winters of 1989 and 2002, and positive values are observed during the winters of 1995 and 1997. However, the winters of 1989 and 2002 correspond to the positive polarities of the NAM or NAO, and the winters of 1995 and 1997 are paralleling to the negative polarities of the NAM or NAO. The potential linkage between the PCs and climatic variabilities is therefore analyzed. Here, the Niño 3.4 index is utilized to depict the variation of ENSO, and the NAOI (NAMI) is employed to reflect the variability in the NAO (NAM). Note that the indices based on the model input data are highly correlated with the observation datasets, and the monthly NAOI is closely related with the NAMI, exhibiting a significant correlation coefficient of 0.71

https://doi.org/10.5194/acp-2019-1194
Preprint. Discussion started: 28 February 2020

Atmospheric Chemistry and Physics Discussions

during period 1986-2006. Therefore, the NAOI is employed to detect the linkage between the PC2 and climate variability.

3.2 Linkage with the climate variabilities

Figure 3 displays the lead-lag correlation between the PC1 and Niño 3.4 index, and between the PC2 and NAOI to identify the linkage between the climatic variabilities and the two leading AC patterns. PC1 is significantly connected with the Niño 3.4 index, with the maximum occurring when the Niño 3.4 index is 3 months leading, implying a leading influence on PC1. The leading impacts of Niño 3.4 on the variation in PC1 are further seen from the seasonal evolution of the standard deviation in the corresponding indices (Fig. 4). The standard deviation of the monthly Niño 3.4 index shows that the maximum occurs during December, while the maximum occurs in March for that of PC1. The leading influences of Niño 3.4 on PC1 are further verified by the spatial distribution of correlations between PC1 and SST, as shown in Figure 5. For the correlation with the PC1 lagged for 3 months, significant positive correlations are observed over the tropical eastern Pacific and Indian Oceans, and negative correlations over the tropical western Pacific. The correlation pattern is like a canonical El Niño pattern. Note that the significant positive correlations over the tropical eastern Pacific gradually decrease as the SST leading time is reduced; however, the correlations over the tropical Indian Ocean become stronger, implying the effects of the Indian Ocean capacitor along with the development of an ENSO event (Xie et al., 2009). The above result ascertains the preceding influence of ENSO on the variation in PC1, indicating a 3-month leading impact of ENSO on the following AC over China.

https://doi.org/10.5194/acp-2019-1194
Preprint. Discussion started: 28 February 2020

Atmospheric Chemistry and Physics Discussions

Meanwhile, the maximum negative correlation between PC2 and the NAO is simultaneous (Fig. 3b), implying a simultaneous impact of the NAO on the AC over China. Similar result is seen in the correlation between the NAMI and PC2. The simultaneous relationship between the PC2 and NAO is further estimated in their corresponding seasonal variation in the standard deviation (Figs. 4b and d). The maximum standard deviations of the NAO and PC2 both occur during January-February-March. A similar result is obtained based on the NAMI, suggesting significant negative impacts of the extratropical atmosphere variation on the AC over eastern China. Moreover, the correlations between the simultaneous PC2 and SLP display a negative NAO-like (NAM-like) structure, with significant positive correlations over the polar regions and negative correlations over the mid-latitudes. Note that this anomalous pattern is consistently observed in PC2s based on both the surface layer and the column concentrations.

The result above suggests that the variability in AC can be measured by climatic variabilities, of which the variation in EOF1 is linked to the 3-month leading SST variation over the tropical eastern Pacific, and that of EOF2 is related to the extratropical atmospheric variability-NAO. The possible physical process involved in their relationship is discussed in the following section.

4. Physical processes impacting on the leading modes

4.1 Circulation anomalies associated with ENSO

https://doi.org/10.5194/acp-2019-1194
Preprint. Discussion started: 28 February 2020

Atmospheric Chemistry and Physics Discussions

Figure 7 shows the anomalous circulations associated with ENSO to identify the atmospheric circulation process impacting the EOF1 patterns with the Niño 3.4 index leading for 3 months. It is seen that tropical eastern Pacific and southern China are controlled by significant positive correlations in the correlation with the divergence in the lower troposphere. That is, southern China and tropical eastern Pacific are influenced by anomalous convergence circulation under the influence of a 3-month leading ENSO signal. Meanwhile, tropical western Pacific is impacted by significant negative correlations, indicating that these regions are impacted by anomalous divergence. The anomalous convergence circulation over southern China is not favorable for the emission of AC. That is the anomalous circulation associated with 3-month leading ENSO signal would connect with enhanced AC over eastern China, which agrees with the spatial distribution of EOF1. Moreover, the impacts of ENSO on the circulation is further seen in impacting the PBLH (Figure 8a). Significant negative correlations are found over eastern China, indicating that the occurrence of a warm ENSO event would decrease the height of PBLH. The decreased PBLH relates to enhanced AC over eastern China. The above result suggests that the leading ENSO signal exhibits a significant role in affecting the circulation anomalies over China. Under the influence of warm ENSO events, the followed anomalous convergence and decreased PBLH over eastern China are both unfavorable for the emission of AC, contributing to the formation of the EOF1 pattern.

4.2 Circulation anomalies associated with NAO

https://doi.org/10.5194/acp-2019-1194
Preprint. Discussion started: 28 February 2020


Atmospheric Chemistry and Physics Discussions

The anomalous divergence accompanied by the simultaneous NAO is presented in Figure 9. The northern Atlantic Ocean is influenced by an anomalous tripole structure, showing convergence-divergence-convergence anomalies from the polar region to the tropical regions. The occurrence of the anomalous circulation structure in the northern Atlantic Ocean is due to the fact that the variation in NAO would induce an anomalous tripole SST pattern within the northern Atlantic Ocean (e.g., Wu et al., 2009; Zheng et al., 2016) by which a downstream wave-train is expected to be observed (Ruan et al., 2015; Li and Ruan, 2018). The downstream wave train is seen with significant positive anomalies over southern China in the regression of NAOI to the divergence, while negative anomalies occur over northern China. That is, a positive NAO is accompanied with anomalous divergence (convergence) over southern (northern) China. The anomalous convergence over northern China is unfavorable for the emission of AC, corresponding to enhanced AC. However, the opposite situation is observed over southern China. The anomalous circulation connected with NAO further estimates the negative impacts of NAO on the EOF2 mode.

In addition, the potential impacts of NAO on PBLH over China are further examined. Figure 8b shows the anomalous PBLH regressed with reference to the NAOI to identify the role of NAO in determining the EOF2 mode. For a positive NAO phase, negative PBLH anomalies occupy northern China, suggesting a favorable condition for enhanced AC. In contrast, southern China is controlled by positive PBLH anomalies, paralleling the situation for decreased AC. The circulation anomalies connected with NAO in both the divergence and PBLH suggest that the impacts of NAO on the AC

https://doi.org/10.5194/acp-2019-1194
Preprint. Discussion started: 28 February 2020


Atmospheric Chemistry and Physics Discussions

over northern and southern China are opposite, consistent with the spatial distribution of the EOF2 mode.

4.3 Role of temperature

Meanwhile, it has been reported that temperature shows an effect in impacting the distribution of aerosols. For example, it is reported that an increase in temperature is associated with a decrease in $PM_{2.5}$ over southern California (Aw and Kleeman, 2003) because enhanced temperature lead to decreases in organics and nitrate (Dawson et al., 2007). Accordingly, the associated impacts of the ENSO and NAO on the temperature over China are detected. Figure 10 displays the anomalous temperature regressed against the 3 months preceding Niño 3.4 index and simultaneous NAOI to detect the temperature anomalies connected with the two climate systems. For a warm event of ENSO, large areas of negative temperature anomalies occupy eastern China, with the maximum lying within the Yellow River and Yangtze River (Fig. 10a). The negative temperature anomalies imply a lower temperature condition, which would induce to enhanced AC.

For the NAO, its positive phase corresponds to opposite temperature anomalies over southern and northern China, being positive (negative) over the southern (northern) China (Fig. 10b). Positive temperature anomalies over southern China parallels to a warmer situations and reduced AC in this region. Negative temperature anomalies over northern China set up a background of colder situations, which would increase the AC. The anomalous variation in the temperature agrees with the negative impact of NAO

https://doi.org/10.5194/acp-2019-1194
Preprint. Discussion started: 28 February 2020


Atmospheric Chemistry and Physics Discussions

on the AC over eastern China. In addition, the temperature anomalies accompanied with the preceding ENSO are greater than those associated with the simultaneous NAO, highlighting the dominant role of ENSO in impacting the AC over eastern China.

5. Conclusions and Discussions

China has a high loading of aerosols and understanding the variability in AC is important not only for recognizing the interactions between aerosols and climate but also for scientifically understanding the current pollutant status. In the present work, it is shown that the month-to-month AC over China are dominated by two principal modes: the mono-pole mode and the meridional dipole mode. The first mono-pole mode mainly exhibits the enhanced AC pattern over eastern China. The dipole mode shows two centers over northern and southern China, with positive (negative) values over southern (northern) China. The potential linkages between the two modes and climatic sources are further described. The first mono-pole mode is linked with the 3 months preceding ENSO, and the second dipole mode is connected with the simultaneous NAO.

The possible physical mechanism is also investigated by examining the dynamic and thermal processes involved. For the mono-pole mode, the preceding ENSO can induce anomalous convergence and decrease PBLH over eastern China, which are not favorable for the emission of AC. Meanwhile, it is seen that anomalous negative temperature over eastern China are seen accompanied with the preceding ENSO events, paralleling conditions favorable for enhanced AC. For the meridional dipole mode, anomalous convergence (divergence) and decreased (increased) PBLH are found over

https://doi.org/10.5194/acp-2019-1194
Preprint. Discussion started: 28 February 2020


Atmospheric Chemistry and Physics Discussions

northern (southern) China, paralleling the conditions for increased (decreased) AC under the positive phase of NAO. Moreover, the temperature anomalies associated with the NAO over southern and northern China are opposite, agreeing well with the spatial distribution of the dipole mode. That is, both the dynamic and thermal anomalies associated with climate systems are contributed to formation of the leading variabilities of AC over China.

On the other hand, as reported, wet deposition shows important effects in influencing the anomalous distribution of AC (Wu, 2014). However, the role of wet deposition is not discussed in the present work. This is because the influences of ENSO on the seasonal rainfall over China is complex and vary along with the phases of ENSO events. During the decaying summer of a warm ENSO event, above average rainfall is expected to be observed over southern China (e.g., Huang and Wu, 1989; Feng et al., 2016); however, this is not the case for the developing summer (Feng et al., 2016). Moreover, when the intensities of the ENSO events are different, i.e., moderate events vs. strong events, their impacts on the seasonal rainfall over China may vary differently (Xue and Liu, 2008). In addition, it has been indicated that the influence of rainfall on the aerosols exhibits seasonal and regional dependence (Wu, 2014), and it is found that the role of rainfall is limited in affecting the winter aerosols over southern China (Wu, 2014). However, the month-to-month variability of AC is considered in this study, whereas for a specific season, the potential impacts of wet deposits in determining the distribution of aerosols is complex and uncertainties exist.

Furthermore, the characteristics of the month-to-month variability of aerosols over

https://doi.org/10.5194/acp-2019-1194
Preprint. Discussion started: 28 February 2020

Atmospheric Chemistry and Physics Discussions

China is explored, the result highlights the impacts of tropical SST (i.e., ENSO) and the atmospheric system (i.e., NAO or NAM) originating from the Northern Hemisphere on the variability in AC over China. As reported, both ENSO and NAO display considerable influences on the climate anomalies over China (e.g., Huang and Wu, 1989; Zhang et al., 1996; Gong and Wang, 2003; Li and Wang, 2003), and the result here expands their influences beyond climate. Climate systems, for example, originating from the Southern Hemisphere, display essential influences in affecting seasonal rainfall and temperature anomalies via atmospheric bridges and oceanic bridges (Zheng et al., 2015, 2018). Future work will further examine the potential impacts of the Southern Hemisphere climate systems on the variation in AC over China to comprehensively assess the modulations of climate systems on the AC over China.

https://doi.org/10.5194/acp-2019-1194
Preprint. Discussion started: 28 February 2020

Atmospheric Chemistry and Physics Discussions

357 ***Author contribution***

358 JLZ and JF conducted the study design. JLZ performed the simulations. JF and
359 JLZ carried out the data analysis. JPL and HL were involved in the scientific
360 interpretation. JF prepared the manuscript with contributions from all coauthors.

361 ***Data availability***

362 The HadISST dataset are downloaded from
363 http://www.metoffice.gov.uk/hadobs/hadisst/data/download.html. The NCEP/NCAR
364 reanalyses is downloaded from http://www.esrl.noaa.gov/psd/data/gridded/. Simulation
365 results and codes to generate figures in this paper have been archived by corresponding
366 authors and are available at https://doi.org/10.5281/zenodo.3247326.

367 ***Acknowledgements***

368 This research has been supported by the National Natural Science Foundation of
369 China (grant nos. 41790474, 41705131, and 41975079).

370

https://doi.org/10.5194/acp-2019-1194
Preprint. Discussion started: 28 February 2020

Atmospheric Chemistry and Physics Discussions

References

Aw, J., and Kleeman, M. J.: Evaluating the first-order effect of intra-annual temperature variability on urban air pollution, J. Geophys. Res. Atmos., 108, D12, 4365, https://doi.org/10.1029/2002JD002688, 2003.

Chen, B. Q., and Yang, Y. M.: Remote sensing of the spatio-temporal pattern of aerosol over Taiwan Strait and its adjacent sea areas, Acta Scientiae Circumstantiae, 28, 2597-2604, 2008.

Cowan, T., and Cai, W. J.: The impact of Asian and non-Asian anthropogenic aerosols on 20th century Asian summer monsoon, Geophys. Res. Lett., 38, L11703, https://doi.org/10.1029/2011GL047268, 2011.

Dawson, J. P., Adams, P. J., and Pandis, S. N.: Sensitivity of $PM_{2.5}$ to climate in the Eastern US: a modeling case study, Atmos. Chem. Phys., 7, 4295-4309, 2007.

Feng, J., Li, J. P., Zheng, F., Xie, F., and Sun, C.: Contrasting impacts of developing phases of two types of El Niño on southern China rainfall, J. Meteorol. Soc. Jap., 94, 359-370, https://doi.org/10.2151/jmsj.2016-019, 2016.

Feng, J., Li, J. P., J. Zhu, J. L., Liao, H., and Yang, Y.: Simulated contrasting influences of two La Niña Modoki events on aerosol concentrations over eastern China, J. Geophys. Res. Atmos., 122, https://doi.org/10.1002/2016JD026175, 2017.

Feng, J., Li, J. P., Liao, H., and Zhu., J. L.: Simulated coordinated impacts of the previous autumn North Atlantic Oscillation (NAO) and winter El Niño on winter aerosol concentrations over eastern China, Atmos. Chem. Phys., 19, 10787-10800, https://doi.org/10.5194/acp-19-10787-2019, 2019.

https://doi.org/10.5194/acp-2019-1194
Preprint. Discussion started: 28 February 2020

393 Generoso, S., Bey, I., Labonne, M., and Breon, F. M.: Aerosol vertical distribution in
394 dust outflow over the Atlantic: Comparisons between GEOS‐Chem and Cloud‐
395 Aerosol Lidar and Infrared Pathfinder Satellite Observation (CALIPSO), J.
396 Geophys. Lett. Atmos., 113, D24209, https://doi.org/10.1029/2008JD010154,
397 2008.
398 Gong, D. Y., Wang, S. W.: Influence of Arctic Oscillation on winter climate over China,
399 J. Geogr. Sci., 13, 208-216,
400 https://xs.scihub.ltd/https://doi.org/10.1007/BF02837460, 2003.
401 Gong, D. Y., Wang, S. W., and Zhu, J. H., East Asian Winter Monsoon and Arctic
402 Oscillation, Geophys. Res. Lett., 28, 2073-2076,
403 https://doi.org/10.1029/2000GL012311, 2001.
404 Guo, S., Hu, M., Zamora, M. L., Peng, J. F., Shang, D. J., Zheng, J., Du, Z. F., Wu, Z.
405 J., Shao, M., Zeng, L. M., Molina, M. J., and Zhang, R. Y.: Elucidating severe
406 urban haze formation in China, PNAS, 111, 17373-17378,
407 https://doi.org/10.1073/pnas.1419604111, 2014.
408 Han, S. Q., Wu, J. H., Zhang, Y. F., Cai, Z. Y., Feng, Y. C., Yao, Q., Li, X. J., Liu, Y. W.,
409 Zhang, M., Characteristics and formation mechanism of a winter haze–fog episode
410 in Tianjin, China, Atmos. Environ., 98, 323-330.
411 https://doi.org/10.1016/j.atmosenv.2014.08.078, 2014.
412 Huang, J. P., Lin, B., Minnis, P., Wang, T., Wang, X., Hu, Y., Yi, Y., and Ayers, J. R.:
413 Satellite-based assessment of possible dust aerosols semi-direct effect on cloud

https://doi.org/10.5194/acp-2019-1194
Preprint. Discussion started: 28 February 2020

water path over East Asia, Geophys. Res. Lett., 33, https://doi.org/10.1029/2006GL026561, 2006.

Huang, J. P., Wang, T., Wang, W., Li, Z., and Yan, H.: Climate effects of dust aerosols over East Asian arid and semiarid regions, J. Geophys. Res. Atmos., 119398–11416, https://doi.org/10.1002/2014JD021796, 2014.

Huang, R. H., and Y. F. Wu: The influence of ENSO on the summer climate change in China and its mechanism, Adv. Atmos. Sci., 6, 21-32, https://xs.scihub.ltd/https://doi.org/10.1007/BF02656915, 1989.

IPCC, Climate change.: The physical science basis. Cambridge University Press. Cambridge, UK, 2013.

Jeong, J. I., Park, R. J., Woo, J. H., Han, Y. J., and Yi, S. M.: Source contributions to carbonaceous aerosol concentrations in Korea, Atmos. Environ., 45, 1116-1125, https://doi.org/10.1016/j.atmosenv.2010.11.031, 2011.

Jeong, J. I., and Park, R. J.: Winter monsoon variability and its impacts on aerosol concentrations in East Asia, Environ. Poll., 221, 285-292, https://doi.org/10.1016/j.envpol.2016.11.075, 2017.

Jiang, Z. H., Huo, F., and Ma, H. Y., Impact of Chinese Urbanization and Aerosol Emissions on the East Asian Summer Monsoon, J. Climate, 30, 1019-1039, https://doi.org/10.1175/JCLI-D-15-0593.1, 2016.

Kalnay, E., Kanamitsu, M., Kistler, R., Colliins, W., Deaven, D., Gandin, L., Iredell, M., Saha, S., White, G., Woollen, J., Zhu, Y., Chelliah, M., Ebisuzaki, W., Higgins, W., Janowiak, J., Mo, K. C., Ropelewski, C., Wang, J., Leetmaa, A., Reynolds, R.,

https://doi.org/10.5194/acp-2019-1194
Preprint. Discussion started: 28 February 2020

Atmospheric Chemistry and Physics Discussions

436 Jenne, R., and Joseph, D.: The NCEP/NCAR 40-Year Reanalysis Project, Bull.
437 Amer. Meteor. Soc., 77, 437-472, https://doi.org/10.1175/1520-
438 0477(1996)077<0437:TNYRP>2.0.CO;2, 1996.
439 Li, J. P., and Ruan, C. Q.: The North Atlantic–Eurasian teleconnection in summer and
440 its effects on Eurasian climates. Environ. Res. Lett., 13,
441 https://doi.org/10.1088/1748-9326/aa9d33, 2018.
442 Li, J. P., and Wang, J. X. L.: A new North Atlantic Oscillation index and its variability,
443 Adv. Atmos. Sci., 20, 661-676, https://doi.org/10.1007/BF02915394, 2003.
444 Li, K., Jacob, D. J., Hong, L., Zhu, J., Shah, V., Shen, L., Bates, K. H., Zhang, Q., and
445 Zhai, S. X.: A two-pollutant strategy for improving ozone and particulate matter
446 air quality in China, Nature Geoscience, 12, 906-910,
447 https://doi.org/10.1038/s41561-019-0464-x, 2019.
448 Li, Z. Q., Lau, W. K., Ramanathan, V., et al.: Aerosol and monsoon climate interactions
449 over Asia. Rev. Geophys., 54, 866–929, https://doi.org/10.1002/2015RG000500,
450 2016.
451 Liao, H., Henze, D. K., Seinfeld, J. H., Wu, S. L., and Mickley, L. J.: Biogenic
452 secondary organic aerosol over the United States: Comparison of climatological
453 simulations with observations, J. Geophys. Res., 112,
454 https://doi.org/10.1029/2006JD007813, 2007.
455 Liao, H., Chang, W., and Yang, Y.: Climatic effects of air pollutants over China: A
456 review, Adv. Atmos. Sci., 32, 115-139, doi:10.1007/s00376-014-0013-x, 2015.

https://doi.org/10.5194/acp-2019-1194
Preprint. Discussion started: 28 February 2020

Atmospheric Chemistry and Physics Discussions

457 Lin, J. T., and McElroy, M. B.: Impacts of boundary layer mixing on pollutant vertical
458 profiles in the lower troposphere: Implications to satellite remote sensing. Atmos.
459 Environ., 44, 1726–1739, https://doi.org/10.1016/j.atmosenv.2010.02.009, 2010.
460 Lou, S. J., Russell, L. M., Yang, Y., Xu, L., Lamjiri, M. A., DeFlorio, M. J., Miller, A.
461 J., Ghan, S. J., Liu, Y., and Singh, B.: Impacts of the East Asian Monsoon on
462 springtime dust concentrations over China, J. Geophys. Res. Atmos., 121, 8137-
463 8152, https://doi.org/10.1002/2016JD024758, 2016.
464 Lou, S. J., Yang, Y., Wang, H. L., Smith, S. J., Qian, Y., Rasch, P. J.: Black carbon
465 amplifies haze over the North China Plain by weakening the East Asian winter
466 monsoon, Geophys. Res. Lett., 45, https://doi.org/10.1029/2018GL080941, 2018.
467 Mao, Y. H., Liao, H., and Chen H. S.: Impacts of East Asian summer and winter
468 monsoons on interannual variations of mass concentrations and direct radiative
469 forcing of black carbon over eastern China, Atmos. Chem. Phys., 17, 4799-4816,
470 https://doi.org/10.5194/acp-17-4799-2017, 2017.
471 Quan, J. N., Tie, X. X., Zhang, Q., Liu, Q., Li, X., Gao, Y., Zhao, D. L.: Characteristics
472 of heavy aerosol pollution during the 2012-2013 winter in Beijing, China. Atmos.
473 Environ., 88, 83-89. https://doi.org/10.1016/j.atmosenv.2014.01.058, 2014.
474 Rayner, N. A., Parker, D. E., Horton, E. B., Folland, C. K., Alexander, L. V., and Rowell,
475 D. P.: Global analyses of sea surface temperature, sea ice, and night marine air
476 temperature since the late nineteenth century, J. Geophys. Res., 108, D14, 4407,
477 https://doi.org/10.1029/2002JD002670, 2003.

https://doi.org/10.5194/acp-2019-1194
Preprint. Discussion started: 28 February 2020

478 Rosenfeld, D., Dai, J., Yu, X., Yao, Z. Y., Xu, X. H., Yang, X., and Du, C. L.: Inverse
479 relations between amounts of air pollution and orographic precipitation, Science,
480 315, 1396-13398, doi: 10.1126/science.1137949, 2007.
481 Ruan, C. Q., Li, J. P., and Feng, J.: Statistical downscaling model for late-winter rainfall
482 over southwest China. Science China: Earth Sciences, 58(10), 1827-1839,
483 https://xs.scihub.ltd/https://doi.org/10.1007/s11430-015-5104-8, 2015.
484 Thompson, R. D., The impact of atmospheric aerosols on global climate: a review, Prog.
485 Phys. Geog., 19, 336-350, https://doi.org/10.1177/030913339501900303, 1995.
486 Thompson, D. W. J., and Wallace, J. M.: The Arctic oscillation signature in the
487 wintertime geopotential height and temperature fields, Geophys. Res. Lett., 25,
488 1297-1300, https://doi.org/10.1029/98GL00950, 1998.
489 Wang, L., Chen, W., Huang, R. H., Interdecadal modulation of PDO on the impact of
490 ENSO on the east Asian winter monsoon, Geophys. Res. Lett., 35, L20702,
491 https://doi.org/10.1029/2008GL035287, 2008.
492 Wu, R. G., and Wang, B., A Contrast of the East Asian Summer Monsoon–ENSO
493 Relationship between 1962–77 and 1978–93, J. Climate, 15, 3266-3279,
494 https://doi.org/10.1175/1520-0442(2002)015<3266:ACOTEA>2.0.CO;2, 2002.
495 Wu, R. G.: Seasonal dependence of factors for year-to-year variations of South China
496 aerosol optical depth and Hong Kong air quality, Int. J. Climatol., 34, 3204-3220,
497 https://doi.org/10.1002/joc.3905, 2014.
498 Wu, Z. W., Wang, B., Li, J. P., and Jin, F.-F.: An empirical seasonal prediction model of
499 the east Asian summer monsoon using ENSO and NAO, J. Geophys. Res., 114,

https://doi.org/10.5194/acp-2019-1194
Preprint. Discussion started: 28 February 2020

Atmospheric Chemistry and Physics Discussions

500 D18120, https://doi.org/10.1029/2009JD011733, 2009.

501 Xie, S. P., Hu, K. M., Hafner, J., Tokinaga, H., Du, Y., Huang, G., and Sampe, T.: Indian
502 Ocean capacitor effect on Indo–Western Pacific climate during the summer
503 following El Niño, J. Climate, 22, 730-747,
504 https://doi.org/10.1175/2008JCLI2544.1, 2009.

505 Xue, F., and Liu, C. Z.: The influence of moderate ENSO on summer rainfall in eastern
506 China and its comparison with strong ENSO, 53, 791-800,
507 https://xs.scihub.ltd/https://doi.org/10.1007/s11434-008-0002-5, 2008.

508 Yang, Y., Liao, H., and Lou, S. J.: Decadal trend and interannual variation of outflow
509 of aerosols from East Asia: roles of variations in meteorological parameters and
510 emissions, Atmos. Environ., 100, 141-153,
511 https://doi.org/10.1016/j.atmosenv.2014.11.004, 2015.

512 Yang, Y., Russell. L. M., Lou, S., Liao, H., Guo, J., Liu, Y., Singh, B., and Ghan, J.:
513 Dust-wind interactions can intensify aerosol pollution over eastern China, Nature
514 Commun., 8, 15333, https://xs.scihub.ltd/https://doi.org/10.1038/ncomms15333,
515 2017.

516 Zhang, Q., Quan, J. N., Tie, X. X., Huang, M. Y., and Ma, X. C.: Impact of aerosol
517 particles on cloud formation: aircraft measurements in China, Atmos. Environ., 45,
518 665-672, https://doi.org/10.1016/j.atmosenv.2010.10.025, 2011.

519 Zhang, R. H., Sumi, A., Kimoto, M.: Impact of El Niño on the East Asian Monsoon, J.
520 Meteorol. Soc. Japan, 74, 49-62, https://doi.org/10.2151/jmsj1965.74.1_49, 1996.

https://doi.org/10.5194/acp-2019-1194
Preprint. Discussion started: 28 February 2020

Atmospheric Chemistry and Physics Discussions

521 Zhao, S., Li, J., Sun, C.: Decadal variability in the occurrence of wintertime haze in
522 central eastern China tied to the Pacific Decadal Oscillation. Scientific Reports, 6,
523 27424, https://xs.scihub.ltd/https://doi.org/10.1038/srep27424, 2016.
524 Zheng, F., Li, J., Wang, L., Xie, F., and Li, X. F., Cross-seasonal influence of the
525 December–February Southern Hemisphere Annular Mode on March–May
526 meridional circulation and precipitation, J. Climate, 28, 6859–6881,
527 http://dx.doi.org/10.1175/JCLI-D-14-00515.1, 2015.
528 Zheng, F., Li, J., Li, Y. J., Zhao, S., and Deng, D. F., Influence of the summer NAO on
529 the spring-NAO-based predictability of the East Asian summer monsoon, J. App.
530 Meteorol. Climatol., 55, https://doi.org/10.1175/JAMC-D-15-0199.1, 2016.
531 Zheng, F., Li, J. P., Kucharski, F., Ding, R. Q., and Liu, T.: Dominant SST Mode in the
532 Southern Hemisphere extratropics and its influence on atmospheric circulation.
533 Adv. Atmos. Sci., 35, 881-895,
534 https://xs.scihub.ltd/https://doi.org/10.1007/s00376-017-7162-7, 2018.
535 Zhou, W., Wang, X., Zhou, T. J., Li, C., Chan, J. C. L.: Interdecadal variability of the
536 relationship between the East Asian winter monsoon and ENSO. Meteorol. Atmos.
537 Phys., 98, 283-293, https://doi.org/10.1007/s00703-007-0263-6, 2007.
538 Zhu, J. L., Liao, H., and Li, J. P.: Increases in aerosol concentrations over eastern China
539 due to the decadal-scale weakening of the East Asian summer monsoon, Geophys.
540 Res. Lett., 39(9), L09809, https://doi.org/10.1029/2012GL051428, 2012.
541

https://doi.org/10.5194/acp-2019-1194
Preprint. Discussion started: 28 February 2020

Atmospheric Chemistry and Physics Discussions

Figure Captions:

Figure 1. Spatial pattern of the (a) first empirical orthogonal function (EOF1) mode of the monthly surface PM2.5 concentrations over China. (b) As in (a), but for the second mode (EOF2). (c)-(d) As in (a)-(b), but for the column concentrations. The numbers indicate fractional variance in the EOF modes.

Figure 2. (a) The first principal components (PC1) of the monthly PM2.5 concentrations where the red and blue lines are for the surface and column concentrations, respectively. (b) As in (a), but for PC2.

Figure 3. (a) Lead-lag correlation between the Niño3.4 index and PC1. Negative (positive) lags indicate that the Niño3.4 index is leading (lagging) and the dashed lines are the 0.05 significance levels. (b) As in (a), but for the correlation between the NAOI and PC2. The red lines are based on the GEOS-4 meteorological fields, and the blue lines are based on the observations.

Figure 4. Seasonal variations in the standard deviation of the (a) PC1, (b) PC2, (c) Niño3.4 index, and (d) NAOI.

Figure 5. Spatial distribution of the correlation coefficients between the monthly sea surface temperature and PC1 for PC1 lagging for (a) 3 months, (b) 2 months, (c) 1 month, and (d) simultaneous. Color shading indicates significance at the 0.05 level.

Figure 6. Spatial distribution of the correlation coefficients between the monthly sea level pressure and PC2 of the (a) surface and (b) column PM2.5 concentrations. Color shading indicates significance at the 0.05 level.

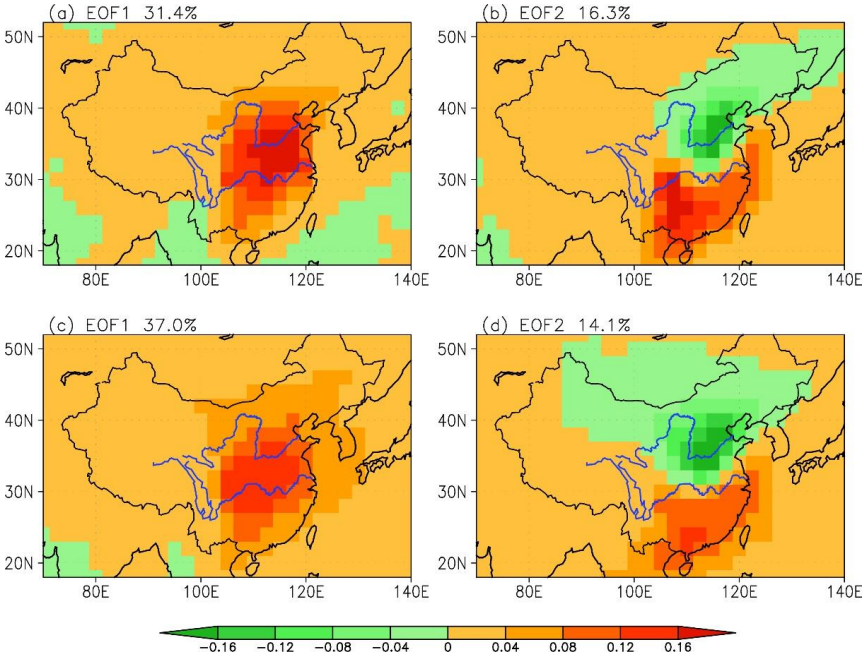
https://doi.org/10.5194/acp-2019-1194
Preprint. Discussion started: 28 February 2020

Atmospheric Chemistry and Physics Discussions

564 **Figure 7**. Spatial distribution of the correlation coefficients between the Niño3.4 index
565 and divergence at 700 hPa for the Niño3.4 index leading for 3 months. Color
566 shading indicates significance at the 0.05 level.
567 **Figure 8**. Regressions of the divergence at 300 hPa onto the simultaneous NAOI. Color
568 shading indicates significance at the 0.05 level.
569 **Figure 9**. Regressions of the planetary boundary layer height onto the (a) 3-month
570 leading Niño3.4 index and (b) simultaneous NAOI. Color shading indicates
571 significance at the 0.05 level.
572 **Figure 10**. Regressions of the skin temperature onto the (a) 3-month leading Niño3.4
573 index and (b) simultaneous NAOI. Color shading indicates significance at the 0.05
574 level.
575

https://doi.org/10.5194/acp-2019-1194
Preprint. Discussion started: 28 February 2020

Atmospheric Chemistry and Physics Discussions



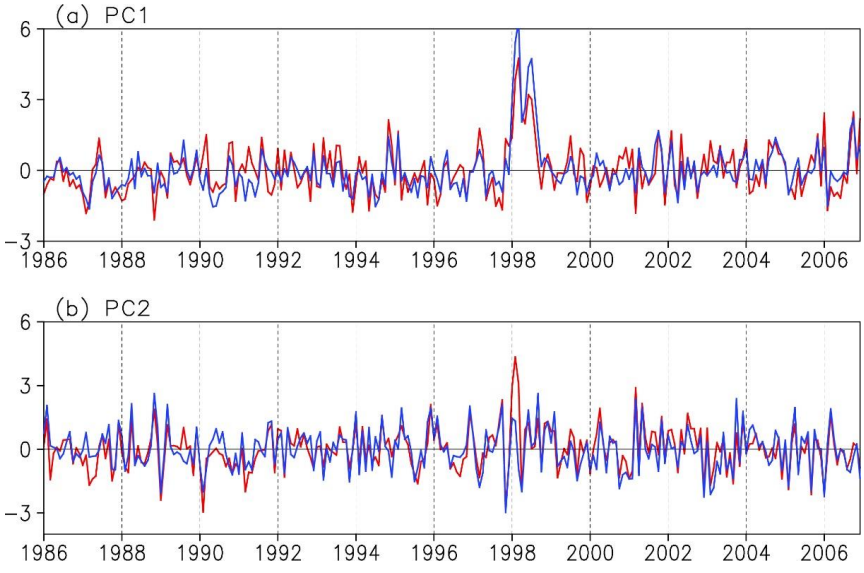
576

577 **Figure 1**. Spatial pattern of the (a) first empirical orthogonal function (EOF1) mode of
578 the monthly surface PM2.5 concentrations over China. (b) As in (a), but for the second
579 mode (EOF2). (c)-(d) As in (a)-(b), but for the column concentrations. The numbers
580 indicate fractional variance in the EOF modes.

581

https://doi.org/10.5194/acp-2019-1194
Preprint. Discussion started: 28 February 2020

Atmospheric Chemistry and Physics Discussions



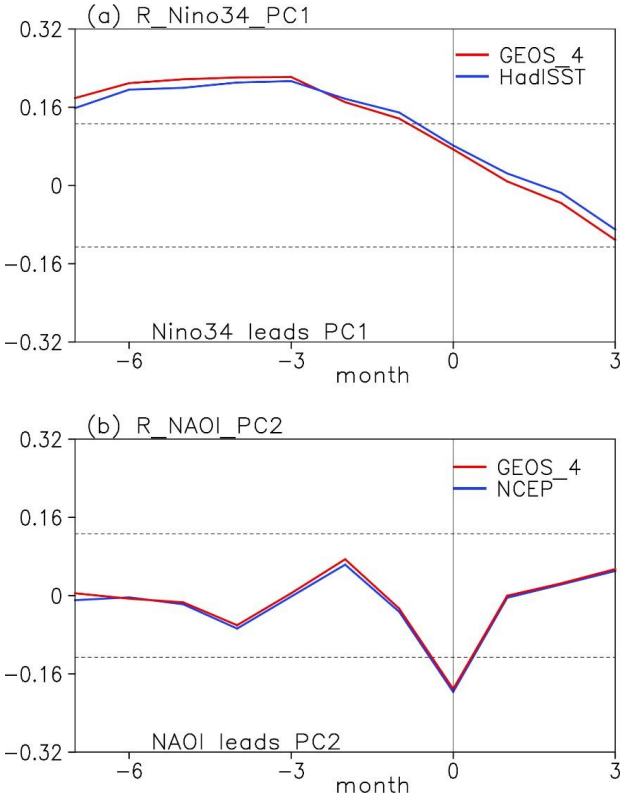
582

583 **Figure 2**. (a) The first principal components (PC1) of the monthly PM2.5
584 concentrations where the red and blue lines are for the surface and column
585 concentrations, respectively. (b) As in (a), but for PC2.

586

https://doi.org/10.5194/acp-2019-1194
Preprint. Discussion started: 28 February 2020

Atmospheric Chemistry and Physics Discussions



587

588 **Figure 3**. (a) Lead-lag correlation between the Niño3.4 index and PC1. Negative
589 (positive) lags indicate that the Niño3.4 index is leading (lagging) and the dashed lines
590 are the 0.05 significance levels. (b) As in (a), but for the correlation between the NAOI
591 and PC2. The red lines are based on the GEOS-4 meteorological fields, and the blue
592 lines are based on the observations.

593

https://doi.org/10.5194/acp-2019-1194
Preprint. Discussion started: 28 February 2020

Atmospheric Chemistry and Physics Discussions

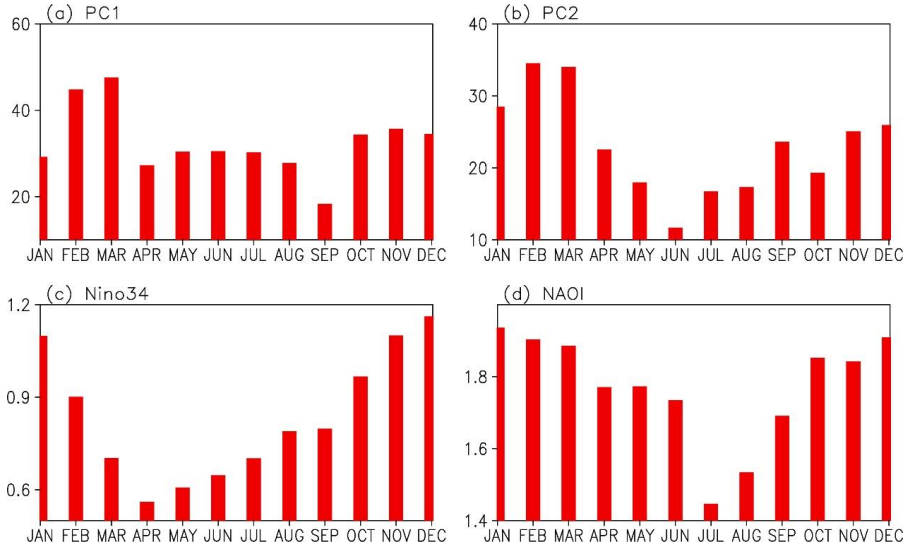


Figure 4. Seasonal variations in the standard deviation of the (a) PC1, (b) PC2, (c) Niño3.4 index, and (d) NAOI.

https://doi.org/10.5194/acp-2019-1194
Preprint. Discussion started: 28 February 2020

Atmospheric Chemistry and Physics Discussions

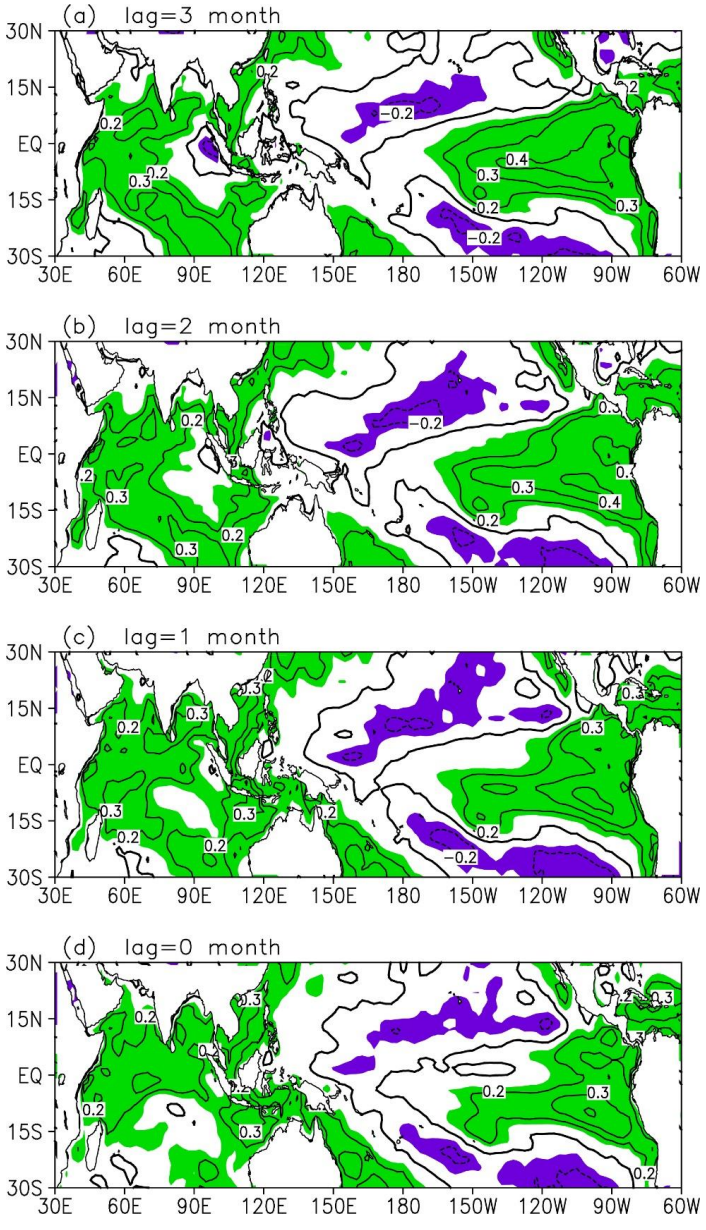
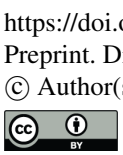
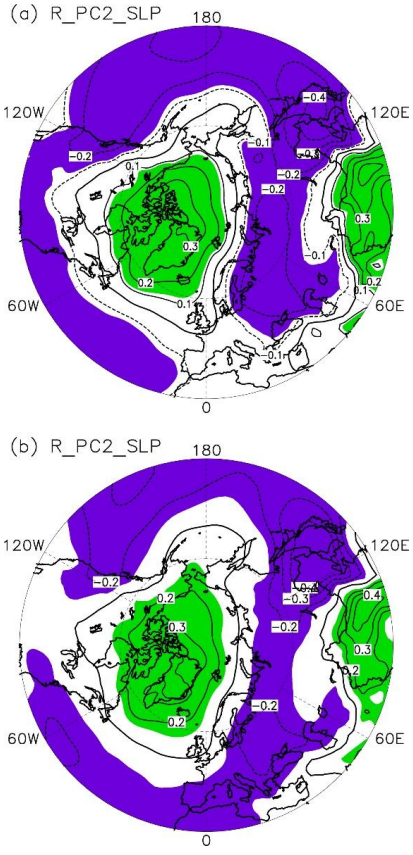


Figure 5. Spatial distribution of the correlation coefficients between the monthly sea surface temperature and PC1 for PC1 lagging for (a) 3 months, (b) 2 months, (c) 1 month, and (d) simultaneous. Color shading indicates significance at the 0.05 level.

https://doi.org/10.5194/acp-2019-1194
Preprint. Discussion started: 28 February 2020



Atmospheric Chemistry and Physics Discussions



603

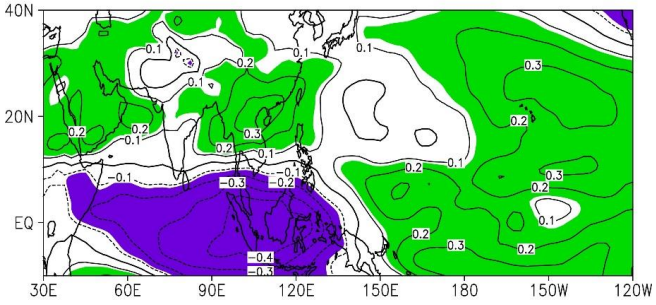
604 **Figure 6**. Spatial distribution of the correlation coefficients between the monthly sea
605 level pressure and PC2 of the (a) surface and (b) column PM2.5 concentrations. Color
606 shading indicates significance at the 0.05 level.

607

https://doi.org/10.5194/acp-2019-1194
Preprint. Discussion started: 28 February 2020

Atmospheric Chemistry and Physics Discussions

608

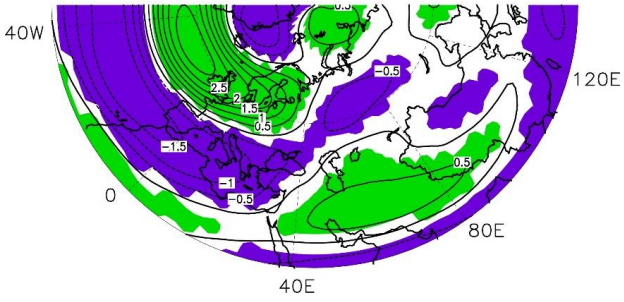


609 **Figure 7**. Spatial distribution of the correlation coefficients between the Niño3.4 index
610 and divergence at 700 hPa for the Niño3.4 index leading for 3 months. Color shading
611 indicates significance at the 0.05 level.

612

https://doi.org/10.5194/acp-2019-1194
Preprint. Discussion started: 28 February 2020

Atmospheric Chemistry and Physics Discussions



613

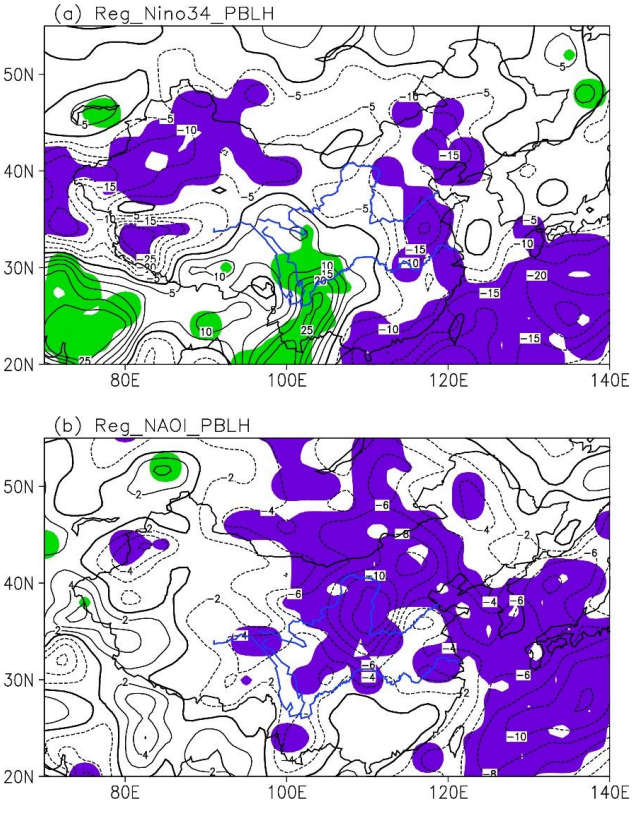
614 **Figure 8**. Regressions of the divergence at 300 hPa onto the simultaneous NAOI. Color

615 shading indicates significance at the 0.05 level.

616

https://doi.org/10.5194/acp-2019-1194
Preprint. Discussion started: 28 February 2020

Atmospheric Chemistry and Physics Discussions

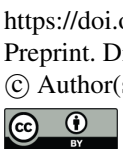


617

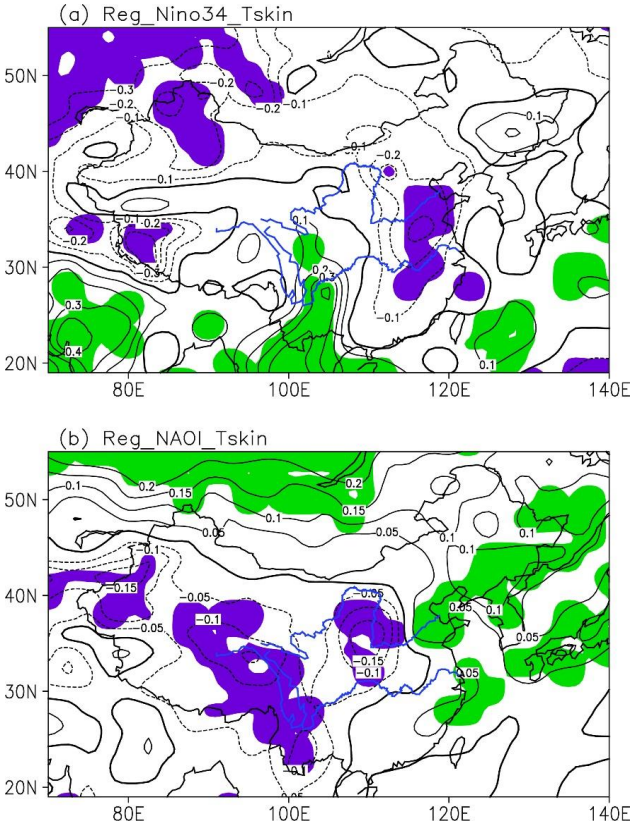
618 **Figure 9**. Regressions of the planetary boundary layer height onto the (a) 3-month
619 leading Niño3.4 index and (b) simultaneous NAOI. Color shading indicates
620 significance at the 0.05 level.

621

https://doi.org/10.5194/acp-2019-1194
Preprint. Discussion started: 28 February 2020



Atmospheric Chemistry and Physics Discussions



622

623 **Figure 10**. Regressions of the skin temperature onto the (a) 3-month leading Niño3.4
624 index and (b) simultaneous NAOI. Color shading indicates significance at the 0.05 level.