



# 1    **Chemical loss processes of isocyanic acid, HNCO, in the** 2    **atmosphere**

3    Simon Rosanka<sup>1</sup>, Giang H. T. Vu<sup>2</sup>, Hue M. T. Nguyen<sup>2</sup>, Tien V. Pham<sup>3</sup>, Umar Javed<sup>1</sup>,  
4    Domenico Taraborrelli<sup>1</sup>, Luc Vereecken<sup>1</sup>

5    <sup>1</sup> Institute for energy and climate research, Forschungszentrum Jülich GmbH, Jülich, Germany

6    <sup>2</sup> Faculty of Chemistry and Centre for Computational Science, Hanoi National University of Education, Hanoi,  
7    Vietnam

8    <sup>3</sup> School of Chemical Engineering, Hanoi University of Science and Technology, Hanoi, Vietnam  
9

10    Correspondence to: Hue M.T. Nguyen (hue.nguyen@hnue.edu.vn) or Domenico Taraborrelli (d.taraborrelli@fz-  
11    juelich.de)

## 12    **Abstract**

13    The impact of chemical loss processes of isocyanic acid was studied by a combined theoretical and modeling  
14    study. The potential energy surfaces of the reactions of HNCO with OH and NO<sub>3</sub> radicals, Cl atoms, and ozone,  
15    were studied using high-level CCSD(T)/CBS(DTQ)/M06-2X/aug-cc-pVTZ quantum chemical methodologies,  
16    followed by TST theoretical kinetic predictions of the rate coefficients at temperatures of 200-3000K. It was  
17    found that the reactions are all slow in atmospheric conditions, with  $k(300\text{K}) \leq 7 \times 10^{-16} \text{ cm}^3 \text{ molecule}^{-1} \text{ s}^{-1}$ ; the  
18    predictions are in good agreement with earlier experimental work, where available. The reverse reactions of  
19    NCO radicals, of importance mostly in combustion, were also examined briefly. The global model confirms that  
20    gas phase chemical loss of HNCO is a negligible process, contributing less than 1%. Removal of HNCO by  
21    clouds and precipitation is a larger sink, contributing for about 10% of the total loss, while globally dry  
22    deposition is the main sink, accounting for ~90%. The global simulation also shows that due to its long chemical  
23    lifetime in the free troposphere, HNCO can be efficiently transported into the UTLS by deep convection events.  
24    Average daily concentrations of HNCO are found to rarely exceed levels considered potentially toxic, though  
25    locally instantaneous toxic levels are expected.

## 26    **1 Introduction**

27    The existence of isocyanic acid (HNCO) in the atmosphere has been established only recently (Roberts et al.,  
28    2011; Wentzell et al., 2013) despite being first recognized in the 19<sup>th</sup> century (Liebig and Wöhler, 1830). HNCO  
29    can form H-bonded clusters (Zabardasti et al., 2009, 2010; Zabardasti and Solimannejad, 2007), and in  
30    concentrated/pure form appreciably polymerizes to other species, but becomes fairly stable in the presence of  
31    impurities (Belson and Strachan, 1982), such that the monomer is the near-exclusive representative especially in  
32    the gaseous phase under ambient temperature conditions (Roberts et al., 2010). The background ambient mixing  
33    ratios of HNCO vary in the range of a few pptv to tens of pptv (Young et al., 2012), while in urban regions,  
34    HNCO mixing ratio increases from tens of pptv to hundreds of pptv (Roberts et al., 2014; Wentzell et al., 2013).  
35    Peak levels can reach up to a few ppbv under the conditions impacted by direct emissions (Chandra and Sinha,  
36    2016).



HNCO is considered a highly toxic species and has been linked to adverse health effects [(Leslie et al., 2019; Roberts et al., 2011; Suarez-Bertoa and Astorga, 2016; SUVA, 2016; Wang et al., 2007) and references therein], and human exposure to concentrations larger than 1 ppbv is considered as potentially toxic (Roberts et al., 2011). Unfortunately, an air quality standard for HNCO does not exist in most of the countries, whereas an occupational exposure limit has been established by law in only a few countries, including Sweden (SWEA, 2011) and Switzerland (SUVA, 2016). For example, the Swedish work environment authority sets the level limit value (LLV) for HNCO at about  $0.018 \text{ mg m}^{-3}$ , i.e. 10 ppbv (SWEA, 2011). The potential negative impact on health makes it important to assess the atmospheric sources and sinks of HNCO to determine its fate and lifetime.

HNCO emission into the atmosphere is driven primarily by combustion processes based on both natural and anthropogenic activities [(Leslie et al., 2019) and references therein], where the pyrolysis of nitrogen-containing biomass materials during the events of wildfires and agricultural fires leads to the emission of HNCO into the atmosphere. The presence of HNCO in the cigarette smoke has been established via the pyrolysis of urea (cigarette additive), oxidation of nicotine, and oxidation of formamide (Hems et al., 2019; Roberts et al., 2011). Even the combustion of almost all sorts of common household materials (e.g., fiber glass, rubber, foam, etc.) leads to HNCO emissions along other isocyanates [(Leslie et al., 2019) and references therein]. HNCO emissions from traffic are originating mainly from usage of recent catalytic converters in the exhaust systems of gasoline and diesel based vehicles. These converters are implemented to control the emission of primary pollutants such as hydrocarbons, carbon monoxide, particulate matter, and nitrogen oxides. However, these implementations have promoted the formation of HNCO via surface-bound chain reactions at different stages of the flue gas exhaust, and additionally due to a HNCO slippage in the most commonly used urea-based SCR (Selective Catalytic Reduction) conversion system [e.g., (Brady et al., 2014; Heeb et al., 2011; Leslie et al., 2019; Suarez-Bertoa and Astorga, 2016)]. The usages of converters in modern vehicles potentially give rise to the emission of HNCO especially in urban regions with a growing density of vehicles. A few studies also reported a direct formation of HNCO in the diesel engines during fuel combustion without any after-treatments (Heeb et al., 2011; Jathar et al., 2017). HNCO emissions via fossil fuel usage are not limited to on-road activity. Off-road fossil fuel activities (e.g., tar sands) also contribute to significant HNCO emissions on regional scales (Liggio et al., 2017). Finally, secondary HNCO formation in the atmosphere is also known through the oxidation of amines and amides [e.g., (Borduas et al., 2016a; Parandaman et al., 2017)].

The number of studies examining HNCO gas-phase chemistry is very limited, and the scarce data suggests that HNCO destruction in the atmosphere by typical pathways such as reactions with oxidizing agents or by photolysis is ineffective. We give a short overview here, to supplement a recent review (Leslie et al., 2019). The reaction of HNCO with the hydroxyl radical (OH), the most important day-time oxidizing agent, has only been studied experimentally at temperatures between 620 and 2500 K (Baulch et al., 2005; Mertens et al., 1992; Tsang, 1992; Tully et al., 1989; Wooldridge et al., 1996), where the extrapolated rate expressions lead to a very low estimated rate coefficient of  $5\text{--}12 \times 10^{-16} \text{ cm}^3 \text{ molecule}^{-1} \text{ s}^{-1}$  at 298 K, i.e. a HNCO-lifetime towards OH of over 20 years when assuming a typical OH concentration of  $1 \times 10^6 \text{ molecule cm}^{-3}$ . Early theoretical work by Sengupta and Nguyen (1997) at temperatures  $\geq 500 \text{ K}$  showed that the mechanism proceeds predominantly by H-abstraction, forming  $\text{NCO} + \text{H}_2\text{O}$ , with an energy barrier of  $\sim 6 \text{ kcal mol}^{-1}$ , in agreement with the upper limit  $\leq$



0.1 for the fraction of  $\text{CO}_2 + \text{NH}_2$  formation as determined by Wooldridge et al. (1996). To our knowledge, no experimental or theoretical data are available on HNCO reactions with other dominant atmospheric oxidants, including the nitrate radical ( $\text{NO}_3$ ), chlorine atoms (Cl), or ozone ( $\text{O}_3$ ). Some data is available for co-reactants of importance in combustion (Baulch et al., 2005; Tsang, 1992), but these are not reviewed here. There is no direct measurement for the dry deposition of HNCO. In a recent global chemical transport model-based study, the deposition velocity was considered to be similar to formic acid, yielding an HNCO-lifetime of 1 – 3 day (over the ocean) to 1 – 2 weeks (over vegetation) (Young et al., 2012). The UV absorption for HNCO is only reported at wavelengths  $< 262$  nm (Keller-Rudek et al., 2013; Okabe, 1970; Uno et al., 1990; Vatsa and Volpp, 2001), whereas in the troposphere photolysis occurs only at UV absorption wavelength band  $> 290$  nm due to filtering of shorter-wavelength radiation (Hofzumahaus et al., 2002). The contribution of photolytic destruction is thus uncertain, and can only be determined by measuring HNCO absorption cross-sections directly for the relevant tropospheric wavelengths as suggested by Young et al. (2012). HNCO has absorption bands in the infra-red (Sharpe et al., 2004) but at these wavelengths generally the photon energy is too limited for photo-dissociation (Hofzumahaus et al., 2002). The main atmospheric loss processes are considered to be the transition to the liquid-phase via hydrolysis, and deposition. This process depends on the varying atmospheric liquid water contents, relevant temperatures, and pH of cloud droplets. Therefore, the gas-to-liquid partitioning, in the varying atmospheric properties, i.e. water content, temperature, and pH of cloud droplets become important parameters to determine the atmospheric fate of HNCO (Leslie et al., 2019). The gas-to-liquid partitioning has been described by the Henry's Law coefficient  $K_H$  and related parameters by a handful of studies (Borduas et al., 2016b; Roberts et al., 2011; Roberts and Liu, 2019). Based on recent studies (Barth et al., 2013; Roberts and Liu, 2019), the lifetime of HNCO due to heterogeneous processes is known to be of the order of a few hours (in-cloud reactions) to weeks (aerosol deposition).

The emissions and sources of HNCO have been focused on by many past studies, but there remain large uncertainties in our understanding of HNCO removal process, especially in gas-phase chemistry. The limited number of available studies suggests that the (photo)chemical HNCO loss processes in the atmosphere appear to be slow, with liquid-phase processes acting as the dominant sink. To alleviate the dearth of direct data, we provide in this work a theoretical analysis of the chemical reactions of HNCO with the dominant atmospheric oxidants: OH and  $\text{NO}_3$  radicals, Cl atoms, and  $\text{O}_3$  molecules, predicting the rate coefficients of these reactions at atmospheric conditions. The results are included in a global numerical chemistry and climate model to assess the impact of chemical loss of HNCO in competition against hydrolysis within cloud droplets and against deposition to the Earth's surface. The model is also used to provide an estimate of the relative importance of primary and secondary HNCO sources.

## 2 Methodologies

### 2.1 Theoretical methodologies

The potential energy surfaces of the initiation reactions of all four reaction systems were characterized at the M06-2X/aug-cc-pVTZ level of theory (Dunning, 1989; Zhao and Truhlar, 2008), optimizing the geometries and rovibrational characteristics of all minima and transition states. The relative energy of the critical points was



1 further refined at the CCSD(T) level of theory in a set of single point energy calculations using a systematic  
2 series of basis sets, aug-cc-pVxZ ( $x = D, T, Q$ ) (Dunning, 1989; Purvis and Bartlett, 1982). These energies were  
3 extrapolated to the complete basis set limit (CBS) using the aug-Schwartz6(DTQ) scheme as proposed by  
4 Martin (1996). The rate coefficients were then obtained by transition state theory (Truhlar et al., 1996) in a rigid  
5 rotor, harmonic oscillator approximation, applying a scaling factor of 0.971 to the vibrational wavenumbers  
6 (Alecu et al., 2010; Bao et al., 2017). The spin-orbit splitting of the OH radicals of  $27.95 \text{ cm}^{-1}$  was taken into  
7 account (Huber and Herzberg, 1979). Tunneling was incorporated using an asymmetric Eckart correction  
8 (Johnston and Heicklen, 1962). The expected uncertainty of the predictions at room temperature is a factor of 4,  
9 based on an estimated uncertainty on the barrier height of  $0.5 \text{ kcal mol}^{-1}$ , and on the tunneling correction of a  
10 factor of 1.5.

11 To further complete our knowledge on some of the reactions beyond their initiation steps, the full potential  
12 energy surfaces of the  $\text{HNCO} + \text{Cl}$  and  $\text{HNCO} + \text{O}_3$ , were characterized at the M06-2X/aug-cc-pVTZ or  
13 B3LYP/aug-cc-pVTZ level of theory (Becke, 1993; Dunning, 1989; Lee et al., 1988), combined with  
14 CCSD(T)/aug-cc-pVTZ single point energy calculations. To our knowledge, these are the first characterizations  
15 of these surfaces. At atmospheric temperatures, most of the reaction channels are negligible, and a detailed  
16 kinetic analysis is not performed at this time.

17

## 18 2.2 Global modeling

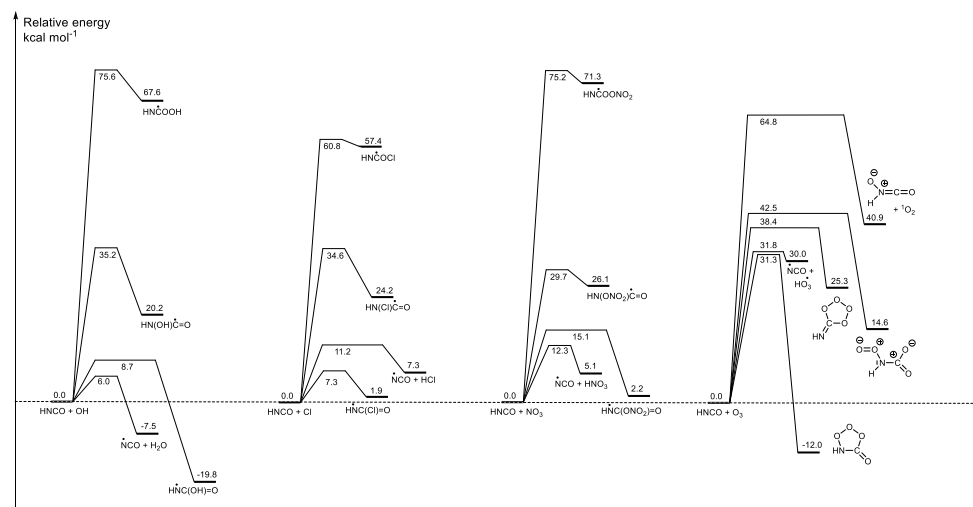
19 The ECHAM/MESSy Atmospheric Chemistry (EMAC) model is a numerical chemistry and climate simulation  
20 system that includes sub-models describing tropospheric and middle atmosphere processes and their interaction  
21 with oceans, land and human influences (Jöckel et al., 2010). It uses the second version of the Modular Earth  
22 Submodel System (MESSy2) to link multi-institutional computer codes. The core atmospheric model is the 5th  
23 generation European Centre Hamburg general circulation model (ECHAM5) (Roeckner et al., 2006). For the  
24 present study we applied EMAC (ECHAM5 version 5.3.02, MESSy version 2.54.0) in the T63L90MA-  
25 resolution, i.e. with a spherical truncation of T63 (corresponding to a quadratic Gaussian grid of approximately  
26  $1.875$  by  $1.875$  degrees in latitude and longitude) with 90 vertical hybrid pressure levels up to  $0.01 \text{ hPa}$ . The  
27 applied model setup comprised the submodel MECCA (Module Efficiently Calculating the Chemistry of the  
28 Atmosphere) to calculate atmospheric chemistry using parts of the Mainz Organic Mechanism (MOM) (Sander  
29 et al., 2011), excluding aromatics and terpenes. The mechanism was extended to include the proposed changes  
30 of this study, formamide as an additional chemical source of isocyanic acid (Bunkan et al., 2016), and chemical  
31 mechanisms for nitromethane (Calvert, 2008; Taylor et al., 1980), methylamine, dimethylamine and  
32 trimethylamine (Nielsen et al., 2012). The submodel SCAV (SCAVenging submodel) was used to simulate the  
33 removal of trace gases and aerosol particles by clouds and precipitation (Tost et al., 2006). The aqueous phase  
34 mechanism was extended to include the isocyanic acid and formamide mechanism proposed by Borduas et al.  
35 (2016b), Barnes et al. (2010), and Behar (1974). The representation of cyanide was improved based on Buechler  
36 et al. (1976). Table 1 and 2 in the supplementary material summaries all additional changes to the chemical  
37 mechanism in gas and aqueous phase, respectively. The major sources of isocyanic acid and formamide are  
38 biomass burning emissions. From literature two emission factors are available (Koss et al., 2018; Kumar et al.,  
39 2018) which differ substantially. Thus two simulations are performed, to quantify the uncertainty in those



1 emission factors. The MESSy submodel BIOBURN is used to calculate biomass burning fluxes based on the  
 2 selected emission factor and Global Fire Assimilation System (GFAS) data. GFAS data are calculated based on  
 3 fire radiative power observations from Moderate Resolution Imaging Spectroradiometer (MODIS) satellite  
 4 instruments, which are used to calculate the dry matter combustion rates (Kaiser et al., 2012). The biomass  
 5 burning emission fluxes are then obtained by combining these dry matter combustion rates with the defined  
 6 biomass burning emission factors per unit of dry matter burned. The MESSy submodel OFFEMIS (OFFLine  
 7 Emissions) then calculates the resulting concentration changes for each tracer due to the biomass burning  
 8 emissions (Kerkweg et al., 2006). Anthropogenic isocyanic acid emission from diesel cars are scaled to  
 9 ammonia EDGAR (Crippa et al., 2016) road emission by 15% (Heeb et al., 2011). The model was run for two  
 10 years (2010–2011) in which the first year was used as spin up and 2011 for analysis.

### 12 3 Loss processes by chemical oxidants

13



14

15 **Figure 1: Potential energy surfaces for the initiation reactions of isocyanic acid (HNCO) with OH radicals,**  
 16 **NO<sub>3</sub> radicals, and ozone, showing CCSD(T)/CBS(DTQ) energies (kcal mol<sup>-1</sup>) based on M06-2X/aug-cc-pVTZ**  
 17 **geometries. The supporting information has complete surfaces for some of the reactions.**  
 18

#### 19 3.1 HNCO + OH

20 The reaction of isocyanic acid with OH can proceed by 4 distinct pathways: H-abstraction, or OH addition on  
 21 the carbon, nitrogen, or oxygen atom of HNCO; a potential energy surface is shown in Figure 1. Formation of  
 22 the HN=C\*OOH and HN(OH)C\*=O adducts through OH-addition on the oxygen or nitrogen atom is highly  
 23 endothermic by 20 kcal mol<sup>-1</sup> or more, and is not competitive at any temperature. The two remaining pathways  
 24 are exothermic, with HN\*C(=O)OH being the most stable nascent product, 20 kcal mol<sup>-1</sup> below the reactants,  
 25 followed by H<sub>2</sub>O + \*N=C=O, at 7.5 kcal mol<sup>-1</sup> exoergicity. Despite the higher energy of the products, we predict  
 26 this latter reaction to have a lower barrier, 6 kcal mol<sup>-1</sup>, compared to the addition process, 9 kcal mol<sup>-1</sup>, in

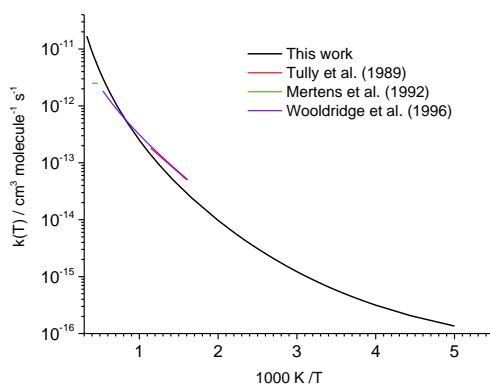


agreement with the theoretical predictions of Sengupta and Nguyen (1997). Furthermore, the H-abstraction process allows for faster tunneling, making this process the fastest reaction channel, while addition contributes less than 0.5% of product formation at temperatures below 400K. From this data, we derive the following rate coefficient expressions (see also Figure 2):

$$k_{\text{OH}}(298\text{K}) = 7.03 \times 10^{-16} \text{ cm}^3 \text{ molecule}^{-1} \text{ s}^{-1}$$

$$k_{\text{OH}}(200\text{--}450\text{K}) = 3.27 \times 10^{-34} T^{7.01} \exp(685\text{K}/T) \text{ cm}^3 \text{ molecule}^{-1} \text{ s}^{-1}$$

$$k_{\text{OH}}(300\text{--}3000\text{K}) = 1.79 \times 10^{-23} T^{3.48} \exp(-733\text{K}/T) \text{ cm}^3 \text{ molecule}^{-1} \text{ s}^{-1}$$



**Figure 2: Predicted rate coefficient  $k(T)$  for the reaction of  $\text{HNCO} + \text{OH}$ , compared against experimental data.**

Our predictions are in very good agreement between 624–875K, when compared with experimental data from Tully et al. (1989), which served as the basis for the recommendation of Tsang (1992); our predictions reproduce the rate coefficients within a factor 1.7, comparable to the experimental uncertainty of a factor 1.5 (see Figure 2). Likewise, our predictions agree within a factor 1.7 with the experimental determination of Wooldridge et al. (1996), over the entire 620–1860 K temperature range. Our predictions overshoot the upper limit estimated by Mertens et al. (1992) by a factor of up to 4 at the upper end of the temperature range (2120 to 2500 K). At these elevated temperatures, it is expected that our kinetic model is less accurate since anharmonicity, internal rotation, and possibly pressure effects are not fully accounted for. At this time, we choose not to invest the computational cost to improve the model at these temperatures. The predicted rate at room temperature is within a factor of 2 of the extrapolation of the recommended expression derived by Tsang (1992),  $k(298\text{ K}) \approx 1.24 \times 10^{-15} \text{ cm}^3 \text{ molecule}^{-1} \text{ s}^{-1}$ , and very close to the extrapolation of the expression by Wooldridge et al. (1996),  $7.2 \times 10^{-16} \text{ cm}^3 \text{ molecule}^{-1} \text{ s}^{-1}$ . The good agreement of our rate coefficient with the experimental data extrapolated to room temperature is mainly due to the curvature predicted in the temperature-dependence (see Figure 2), as our calculations have a slightly steeper temperature dependence than the experiments in the high-temperature range. Though negligible at low temperature, we find that OH addition on the C-atom of HNCO accounts for 7 to 8 % of the reaction rate between 2000 and 3000 K, with other non-H-abstraction channels remaining negligible (<0.1%).

Typical concentrations of the OH radical during daytime are measured at  $\sim 10^6 \text{ molecule cm}^{-3}$  (Stone et al., 2012), leading to an pseudo-first order rate coefficient for HNCO loss by OH radicals of  $k(298\text{K}) = 10^{-10} \text{ s}^{-1}$ , i.e.



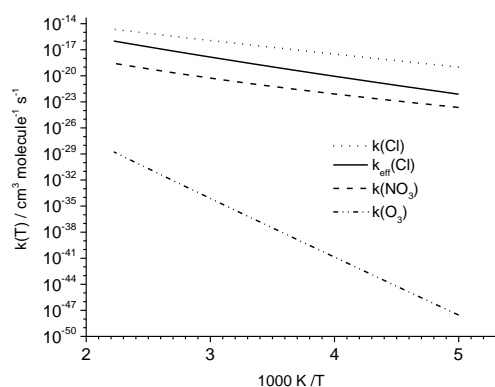
a chemical lifetime of several decades, negligible compared to other loss processes like scavenging. Even in extremely dry conditions, where aqueous uptake is slow, heterogeneous loss processes will dominate, or alternatively atmospheric mixing processes will transport HNCO to more humid environments where it will hydrolyze.

### 3.2 HNCO + Cl

From the potential energy surface (PES) shown in Figure 1, we see that the reaction between HNCO and Cl atom can occur by abstraction of the H atom from HNCO, or by addition of the Cl atom on the C-, N- or O-atoms. Contrary to the OH-reaction, all entrance reactions are endothermic, with formation of the  $\text{HN}^*\text{C}(\text{Cl})=\text{O}$  alkoxy radical nearly energy-neutral (see Figure 1). Formation of this latter product, proceeding by the addition of a Cl atom to the carbon atom of HNCO, also has the lowest energy barrier, 7 kcal mol<sup>-1</sup> above the reactants. The hydrogen abstraction, forming HCl and  $^*\text{NCO}$ , requires passing a higher barrier of 11 kcal mol<sup>-1</sup>, whereas addition on the N- and O-atoms have very high barriers exceeding 34 kcal mol<sup>-1</sup>. The product energy difference between addition and H-abstraction is much smaller compared to the HNCO + OH reaction, because of the absence of the stabilizing effect of the -COOH functionality in the Cl-adduct. Despite this, the addition barrier remains 4 kcal mol<sup>-1</sup> below the H-abstraction barrier, making the HNCO + Cl reaction the only reaction studied here where H-abstraction is not dominant. For the HNCO + Cl reaction, we then obtain the following rate coefficients (see also Figure 3):

$$k_{\text{Cl}}(298\text{K}) = 3.19 \times 10^{-17} \text{ cm}^3 \text{ molecule}^{-1} \text{ s}^{-1}$$

$$k_{\text{Cl}}(200\text{--}450\text{K}) = 1.11 \times 10^{-17} T^{1.97} \exp(-3031\text{K}/T) \text{ cm}^3 \text{ molecule}^{-1} \text{ s}^{-1}$$



**Figure 3: Total rate coefficient predictions for the reaction of HNCO with NO<sub>3</sub>, Cl and O<sub>3</sub>. The addition of Cl atoms on HNCO leads to the formation of a very short-lived adduct, which rapidly redissociates to the reactants; the effective rate coefficient for HNCO loss by Cl atoms,  $k_{\text{eff}}(\text{Cl})$ , is thus equal to the H-abstraction rate forming HCl + NCO.**

We find that the overall rate coefficient of the HNCO + Cl reaction is almost one order of magnitude below that for the OH radical. The  $\text{HN}^*\text{C}(\text{Cl})=\text{O}$  radical formed, however, has a weak C–Cl bond requiring only 5.4 kcal mol<sup>-1</sup> to redissociate. The rate coefficient of  $8 \times 10^8 \text{ s}^{-1}$  for dissociation at room temperature ( $k(T) = 8.3 \times 10^{12}$



exp(-2760/T) s<sup>-1</sup>), makes redissociation to the reactants the most likely fate of the HN<sup>\*</sup>C(Cl)=O adduct. Addition is thus an ineffective channel for HNCO removal, and the effective reaction with Cl atoms is dominated by the H-abstraction reaction, forming HCl + <sup>\*</sup>NCO, with the following rate coefficient (see also Figure 3):

$$k_{\text{Cl,eff}}(298\text{K}) = 2.23 \times 10^{-19} \text{ cm}^3 \text{ molecule}^{-1} \text{ s}^{-1}$$

$$k_{\text{Cl,eff}}(200\text{--}450\text{K}) = 1.01 \times 10^{-24} \text{ T}^{4.40} \exp(-3799 \text{ K/T}) \text{ cm}^3 \text{ molecule}^{-1} \text{ s}^{-1}$$

Globally, Cl atoms have a lower concentration, about  $5 \times 10^3 \text{ atom cm}^{-3}$ , compared to OH radicals (Finlayson-Pitts and Pitts, 1999). Under such conditions, lifetimes estimated for HNCO towards Cl atoms are about  $3 \times 10^7$  years, which is much longer than toward the OH radical. Therefore, HNCO loss by Cl radicals is negligible. The supporting information provides information on the extended potential energy surface of the HNCO + Cl reaction, with information on 9 intermediates, 19 transition states, and 16 products.

### 3.3 HNCO + NO<sub>3</sub>

The reaction of NO<sub>3</sub> with HNCO shows the same four radical mechanisms found for OH and Cl, i.e. H-abstraction and addition on the 3 heavy atoms. As for Cl-atoms, none of the reactions are exothermic, and the energy difference between the two most stable products, is reduced to 3 kcal mol<sup>-1</sup>, indicating that NO<sub>3</sub> addition is even less favorable than Cl addition. Formation of HNO<sub>3</sub> + <sup>\*</sup>NCO is more favorable than HCl + NCO formation, by about 2 kcal mol<sup>-1</sup>, owing to the greater stability of nitric acid. The barrier for H-abstraction, however, is larger compared to abstraction by both OH and Cl, and exceeds 12 kcal mol<sup>-1</sup>. The most favorable addition process, forming HN<sup>\*</sup>C(=O)NO<sub>3</sub> has a barrier of 15 kcal mol<sup>-1</sup>, but contributes less than 0.01% to the reaction rate at room temperature. The overall reaction thus proceeds near-exclusively by H-abstraction forming HNO<sub>3</sub> + <sup>\*</sup>NCO, for which we derived the following rate coefficients (see also Figure 3):

$$k_{\text{NO}_3}(298\text{K}) = 1.11 \times 10^{-21} \text{ cm}^3 \text{ molecule}^{-1} \text{ s}^{-1}$$

$$k_{\text{NO}_3}(200\text{--}450\text{K}) = 8.87 \times 10^{-42} \text{ T}^{9.06} \exp(-1585\text{K/T}) \text{ cm}^3 \text{ molecule}^{-1} \text{ s}^{-1}$$

While this rate coefficient is almost 5 orders of magnitude below that of the OH radical, the nitrate radical is known to be present in higher concentrations during night time, reaching concentrations as high as  $10^9 \text{ molecule cm}^{-3}$  (Finlayson-Pitts and Pitts, 1999). The effective rate of the NO<sub>3</sub> reaction at night time is similar to the reaction with OH at day time. The NO<sub>3</sub> radical is thus still considered to be ineffective for atmospheric removal of HNCO.

### 3.4 HNCO + O<sub>3</sub>

The chemistry of ozone with organic compounds is drastically different from radicals, where O<sub>3</sub> typically reacts by cycloaddition on double bonds in unsaturated compounds. For isocyanic acid, cycloaddition pathways have been characterized for both double bonds (HN=C=O). Only cycloaddition on the N=C bond leads to an exothermic reaction, with the oxo-ozonide product being 12 kcal mol<sup>-1</sup> more stable than the reactants (see Figure 1). In addition to the traditional cycloaddition channels, three further channels were found, corresponding to H-abstraction, forming HO<sub>3</sub> + NCO, oxygen transfer to the N-atom, forming ON(H)CO + <sup>1</sup>O<sub>2</sub>, and addition on the C- and N-atom, forming HN(OO)C(O)O. The HO<sub>3</sub> product radical is known to be only weakly bonded by 2.94 kcal mol<sup>-1</sup>, falling apart to OH + O<sub>2</sub> (Bartlett et al., 2019; Le Picard et al., 2010; Varandas, 2014).





1 The cyclo-addition channels on the hetero-double bonds have high energy barriers, exceeding  $30 \text{ kcal mol}^{-1}$ ,  
 2 significantly larger than typical barriers for C=C bonds with aliphatic substitutions. Surprisingly, this allows H-  
 3 abstraction to become competitive to cycloaddition, with a comparable barrier of  $32 \text{ kcal mol}^{-1}$ . For the overall  
 4 reaction, we obtain the following rate coefficients (see also Figure 3):

$$5 \quad k_{\text{O}_3}(298\text{K}) = 2.95 \times 10^{-37} \text{ cm}^3 \text{ molecule}^{-1} \text{ s}^{-1}$$

$$6 \quad k_{\text{O}_3}(200\text{--}450\text{K}) = 3.72 \times 10^{-23} T^{2.96} \exp(-14707\text{K}/T) \text{ cm}^3 \text{ molecule}^{-1} \text{ s}^{-1}$$

7 At room temperature, H-abstraction contributes 80% to the total reaction, and cycloaddition on the N=C bond  
 8 the remaining 20%. All other channels are negligible. The rate coefficient is exceedingly low,  $\sim 10^{-37} \text{ cm}^3$   
 9  $\text{molecule}^{-1} \text{ s}^{-1}$ , such that even in areas with very high ozone concentrations of 100 ppbv the loss by ozonolysis is  
 10 expected to be negligible.

11 The supporting information provides information on the extended potential energy surface of the HNCO + O<sub>3</sub>  
 12 reaction, with information on 10 intermediates, 30 transition states, and 15 products. The lowest-energy  
 13 unimolecular product channel leads to formation of CO<sub>2</sub> + HNOO by breaking of the cyclic primary ozonide  
 14 (see Figure 1) following the traditional Criegee mechanism (Criegee, 1975).

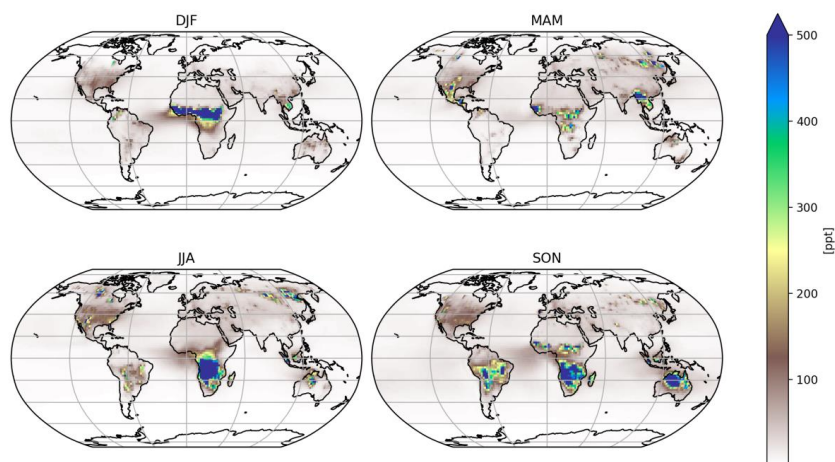
#### 15 **4 Global impact**

16 From our global simulations, we gain many insights on the impact of the described mechanism (Table 1 and 2 in  
 17 the supplementary material) under atmospheric conditions. Figure 4 shows the mean seasonal surface mixing  
 18 ratio of isocyanic acid using the biomass burning emission factors by Koss et al. (2018). It can be observed that  
 19 high levels persist in each season. In general, high HNCO levels occur in regions associated with frequent  
 20 biomass burning activities. Regions with no biomass burning activities have low HNCO concentrations, mainly  
 21 caused by free tropospheric entrainment from regions with higher concentrations. This becomes evident when  
 22 taking into account that the free troposphere contains about 81% of the total HNCO mass.

23 Table 1 shows the corresponding HCNO budget for both performed simulations. The gas-phase production via  
 24 formamide differs greatly in relation to the biomass burning emissions used. In the case of Kumar et al. (2018),  
 25 significantly more formamide is emitted, leading to a higher production of isocyanic acid in the gas phase. Gas  
 26 phase chemical losses of HNCO are small, with only the reaction of HNCO with OH leading to some  
 27 destruction of HNCO, while the other chemical sinks (O<sub>3</sub>, NO<sub>3</sub> and Cl) are negligible. When compared to the  
 28 major loss processes, however, all these loss processes are on a global scale negligible. The major sinks are dry  
 29 deposition and scavenging (heterogeneous losses), where the latter contributes 274.60 and 377.19 Gg/year when  
 30 using the emission factors by Koss et al. (2018) and Kumar et al. (2018), respectively. Therefore, it can be  
 31 concluded that the gas-phase chemical sinks analysed in this study (OH, Cl, NO<sub>3</sub>, O<sub>3</sub>) can be considered to be  
 32 insignificant when compared to heterogeneous loss processes. This is independent of the high uncertainty in the  
 33 available biomass burning emission factors or missing road emission datasets. The results in this study are in a  
 34 similar order as the modelling study by Young et al. (2012). These authors have lower total HNCO emissions  
 35 and do not include formamide as a secondary source of HNCO. They still have a higher chemical loss via OH,  
 36 which is due to a higher rate constant used. The lifetime is still higher due to generally lower heterogeneous loss  
 37 terms.



1 The atmospheric lifetime of HNCO is dominated by its heterogeneous loss processes, leading to an atmospheric  
2 lifetime of multiple weeks, whereas the gas-phase lifetime in the free troposphere is about 50 years. This long  
3 gas-phase lifetime and the fact that mainly surface sources are relevant indicate that atmospheric HNCO is  
4 highly impacted by transport processes. Our simulations show that HNCO is transported from the surface into  
5 the UTLS (upper troposphere/lower stratosphere) and that about 10% of the total atmospheric HNCO mass is  
6 located in the stratosphere, with modelled concentrations of HNCO in the lower stratosphere of typically tens of  
7 pptv but reaching up to hundred pptv in tropical regions. Since OH is the only significant stratospheric sink, the  
8 stratospheric lifetime increases to more than 330 years. During the monsoon period, the total stratospheric  
9 HNCO mass increases from 15.04 Gg before to 19.75 Gg at the end of monsoon season. Pumphrey et al. (2018)  
10 demonstrated that in 2015 and 2016, elevated levels of stratospheric hydrogen cyanide (HCN) can be linked to  
11 biomass burning emissions from Indonesian fires. Similar to HNCO in our simulations, stratospheric  
12 concentrations of HCN increase during the Indian monsoon period. In the performed simulations, the ratio  
13 between stratospheric HCN and HNCO is very similar throughout the year, indicating that HCN and HNCO are  
14 similarly affected by transport processes within this period. The combination of strong biomass burning events  
15 and strong vertical transport during the monsoon period leads to high HNCO concentrations in the UTLS,  
16 indicating that pollutants from biomass burning events could potentially influence stratospheric chemistry.  
17 Figure 5 shows the number of days exceeding a daily mean HNCO concentration of 1 ppbv. Mainly regions  
18 impacted by biomass burning events have frequent concentration above this threshold. When using 10 ppbv as  
19 limit for toxic concentrations of HNCO, as proposed by the Swedish work environment authority (SWEA, 2011),  
20 only a few days can be observed in which this limit is exceeded. The maximum number of days exceeding 10  
21 ppbv is 10 days over Africa, compared to 120 days above 1 ppbv. It is important to take into account that this  
22 analysis is limited by the computational output available in this study, which has only daily averages. Therefore,  
23 it is expected that areas which frequently exceed daily averages of 1 ppbv are potentially areas in which peak  
24 HNCO can be observed above 10 ppbv throughout the day.  
25 No correlation exists between the number of days exceeding 1 or 10 ppbv and road traffic emissions. This  
26 becomes evident since typical areas of high road traffic activities (i.e. USA and Europe) do not exceed daily  
27 averages of 1 ppbv (see Figure 5). Road traffic activities occur on a smaller spatial scale than biomass burning  
28 events. The EMAC model used is not capable to represent, for example, inner city road traffic activities, due to  
29 the spatial resolution of the model used (1.875 by 1.875 degrees in latitude and longitude). Therefore, we are not  
30 capable to draw any conclusion if 10 ppbv is exceeded regionally in densely populated areas, impacted by high  
31 traffic emissions.



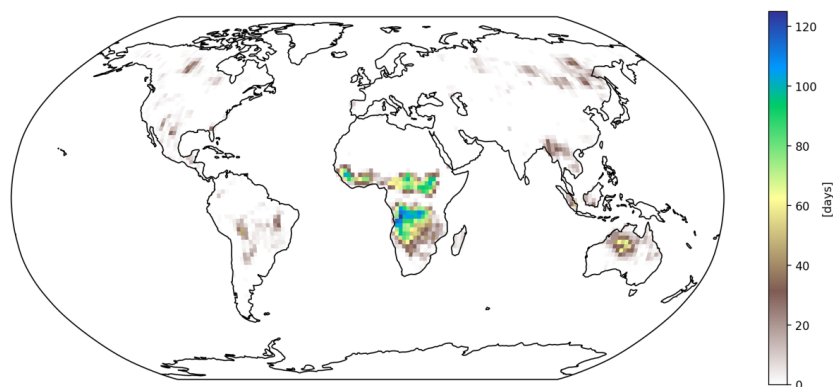
**Figure 4: Mean seasonal surface concentration of isocyanic acid using Koss et al. (2018) biomass burning emission factors.**

**Table 1: Yearly global isocyanic acid budget in 2011 for both biomass burning emission datasets by Kumar et al. (2018) and Koss et al. (2018). Additionally, the HNCO budget from Young et al. (2012) is given for comparison.**

	Koss et al., 2018	Kumar et al., 2018	Young et al., 2012
<i>Emissions [Gg/year]</i>			
Biomass burning (HNCO)	2158.94	814.69	661.00
Anthropogenic (HNCO)	177.14	177.14	828.00
<i>Gas phase production [Gg/year]</i>			
NH <sub>2</sub> CHO + OH	482.52 <sup>a</sup>	2365.53 <sup>b</sup>	-
<i>Gas phase loss [Gg/year]</i>			
HNCO + OH	3.98	5.41	~ 5.96
HNCO + O <sub>3</sub>	1.88×10 <sup>-16</sup>	2.37×10 <sup>-16</sup>	-
HNCO + NO <sub>3</sub>	1.15×10 <sup>-4</sup>	1.43×10 <sup>-4</sup>	-
HNCO + Cl	9.99×10 <sup>-8</sup>	1.37×10 <sup>-7</sup>	-
<i>Heterogeneous losses [Gg/year]</i>			
Dry deposition	2519.61	2891.85	~ 1421.99
Scavenging	274.60	377.19	-
Wet deposition	0.13	0.16	~ 67.01
Yearly mean burden [Gg]	201.15	271.94	~ 150.00
Atmospheric lifetime [days]	26.24	30.31	36.62

<sup>a</sup> Of which 50.59 Gg/year NH<sub>2</sub>CHO biomass burning emissions (Koss et al., 2018)

<sup>b</sup> Of which 2335.01 Gg/year NH<sub>2</sub>CHO biomass burning emissions (Koss et al., 2018)



**Figure 5: Number of days exceeding 1 ppb of isocyanic acid at the surface. Biomass burning emission factors are based on Koss et al. (2018)**

## 5 H-abstraction reactions by NCO radicals

The radical reactions characterized above proceed by H-abstraction, forming the NCO radical with an H<sub>2</sub>O, HNO<sub>3</sub>, or HCl co-product. Likewise, the ozonolysis reaction proceeds for a large part by H-abstraction, forming NCO with a HO<sub>3</sub> coproduct that readily dissociates to OH + O<sub>2</sub>. Though NCO radical formation through these reactions is found to be negligibly slow in atmospheric conditions, this radical remains of interest in other environments. Examples include combustion chemistry, where it can be formed directly from nitrogen-containing fuels, and where it is a critical radical intermediate in e.g. the RAPRENOx nitrogen-oxide mitigation strategy (Fenimore, 1971; Gardiner, 2000). The NCO radical has also been observed in space (Marcelino et al., 2018). There is extensive experimental and theoretical information of the reactions of NCO radicals, tabulated e.g. in Tsang (1992), Baulch et al. (2005) and other works. To our knowledge, the rate coefficients of the reactions of NCO radicals with H<sub>2</sub>O, HNO<sub>3</sub>, and HCl have not been determined before, though Tsang (1992) has estimated a rate coefficient  $k(\text{NCO} + \text{H}_2\text{O}) = 3.9 \times 10^{-19} \text{ T}^{-2.1} \exp(-3046\text{K}/\text{T}) \text{ cm}^3 \text{ molecule}^{-1} \text{ s}^{-1}$  based on the equilibrium constant and rate coefficient of the HNCO + OH reaction. Since the H–N bond in HNCO is quite strong, with a bond energy of ~110 kcal/mol (Ruscic, 2014; Ruscic and Bross, 2019), it is expected that NCO can readily abstract a hydrogen atom from most hydrogen-bearing species to produce HNCO, and that H-abstraction is the main reaction channel. Hence, despite that our potential energy surfaces do not include an exhaustive search of the NCO radical chemistry, we expect that predictions of the H-abstraction rate for NCO from H<sub>2</sub>O, HNO<sub>3</sub> and HCl are fair estimates of the total rate coefficients of these reactions.

The energy barriers for the NCO radical reactions with H<sub>2</sub>O, HNO<sub>3</sub> and HCl, being 14, 7, and 4 kcal mol<sup>-1</sup> respectively (see Figure 1), follow the bond strength trend in these reactants, with  $D_0(\text{H–OH}) = 118 \text{ kcal mol}^{-1}$ ,  $D_0(\text{H–NO}_3) = 104 \text{ kcal mol}^{-1}$ , and  $D_0(\text{H–Cl}) = 103 \text{ kcal mol}^{-1}$  (Luo, 2007; Ruscic et al., 2002). Figure 1 also shows that the NCO + H<sub>2</sub>O reaction is endothermic by 8 kcal mol<sup>-1</sup>, while the HNO<sub>3</sub> and HCl paths are exothermic by -5 and -7 kcal mol<sup>-1</sup>, respectively. The predicted rate coefficients are then:

$$k_{\text{NCO}+\text{H}_2\text{O}}(300\text{K}) = 1.36 \times 10^{-21} \text{ cm}^3 \text{ molecule}^{-1} \text{ s}^{-1}$$

$$k_{\text{NCO}+\text{HNO}_3}(300\text{K}) = 3.37 \times 10^{-17} \text{ cm}^3 \text{ molecule}^{-1} \text{ s}^{-1}$$



1  $k_{\text{NCO}+\text{HCl}}(300\text{K}) = 1.39 \times 10^{-14} \text{ cm}^3 \text{ molecule}^{-1} \text{ s}^{-1}$   
 2  $k_{\text{NCO}+\text{H}_2\text{O}}(300-3000\text{K}) = 4.59 \times 10^{-24} T^{3.63} \exp(-4530\text{K}/T) \text{ cm}^3 \text{ molecule}^{-1} \text{ s}^{-1}$   
 3  $k_{\text{NCO}+\text{HNO}_3}(300-3000\text{K}) = 7.18 \times 10^{-26} T^{4.21} \exp(-1273\text{K}/T) \text{ cm}^3 \text{ molecule}^{-1} \text{ s}^{-1}$   
 4  $k_{\text{NCO}+\text{HCl}}(300-3000\text{K}) = 3.73 \times 10^{-20} T^{2.63} \exp(-662\text{K}/T) \text{ cm}^3 \text{ molecule}^{-1} \text{ s}^{-1}$   
 5 The indirect estimate of Tsang (1992) compares well to our prediction for  $\text{NCO} + \text{H}_2\text{O}$ , reproducing our values  
 6 within a factor 15 at 1000K and factor 3 at 2000K, i.e. within the stated uncertainties. An analysis of the impact  
 7 of the NCO reactions in combustion or non-terrestrial environments is well outside the scope of this paper, and  
 8 reactions with other co-reactants not discussed in this paper are likely to be of higher importance, e.g. H-  
 9 abstraction from organic compounds, or recombination with other radicals. In atmospheric conditions, the fate  
 10 of the NCO radical is likely recombination with an  $\text{O}_2$  molecule, leaving  $\text{H}_2\text{O}$ ,  $\text{HNO}_3$ , and  $\text{HCl}$  as negligible co-  
 11 reactants. Hence, the NCO radical will not affect the atmospheric fate of any of these compounds to any extent.  
 12 Subsequent chemistry of the  $\cdot\text{OONCO}$  radical is assumed to be conversion to an  $\cdot\text{ONCO}$  alkoxy radical through  
 13 reactions with  $\text{NO}$ ,  $\text{HO}_2$  or  $\text{RO}_2$ , followed by dissociation to  $\text{NO} + \text{CO}$ .

## 14 6 Conclusions

15 The isocyanic acid molecule,  $\text{HNCO}$ , is found to be chemically fairly unreactive towards the dominant  
 16 atmospheric gas phase oxidants, i.e.  $\text{OH}$  and  $\text{NO}_3$  radicals,  $\text{Cl}$  atoms, and  $\text{O}_3$  molecules. The reactions all occur  
 17 predominantly by H-abstraction, and have comparatively low rates of reactions with  $k(298) \leq 7 \times 10^{-16} \text{ cm}^3$   
 18  $\text{molecule}^{-1} \text{ s}^{-1}$ , leading to chemical gas phase lifetimes of decades. Yearly loss of  $\text{HNCO}$  towards these reactants  
 19 is  $\sim 5 \text{ Gg/y}$ . Removal of  $\text{HNCO}$  by clouds and precipitation (“scavenging”), leading to hydrolysis to ammonia, is  
 20 also implemented in the global model, and was found to contribute significantly more,  $\sim 300 \text{ Gg/y}$ , than the gas  
 21 phase loss processes. Still, these combined processes are overwhelmed by the loss of  $\text{HNCO}$  by dry deposition,  
 22 removing  $\sim 2700 \text{ Gg/y}$ . These conclusions are robust against modifications of the emission scenarios, where two  
 23 distinct sets of emission factors were used, incorporating  $\text{HNCO}$  formation from biomass burning, as well as  
 24 anthropogenic sources such as formamide oxidation and road traffic. The inefficiency of gas-phase chemical  
 25 loss processes confirms earlier assumptions; inclusion of the gas-phase chemical loss processes in kinetic  
 26 models appears superfluous except in specific experimental conditions with very high co-reactant  
 27 concentrations. The long gas-phase chemical lifetime (multiple decades) allows  $\text{HNCO}$  to be transported  
 28 efficiently into the UTLS demonstrating that surface emissions may impact the upper troposphere. Further  
 29 research is necessary to identify the importance of strong biomass burning events coupled to strong vertical  
 30 transport processes (i.e. monsoon systems) on the chemical composition of the UTLS.  
 31 On a global scale, the daily average concentrations of  $\text{HNCO}$  rarely exceed 10 ppbv, the threshold assumed here  
 32 for toxicity; the exceedances are mainly located in regions with strong biomass burning emissions. Average  
 33 daily concentrations of the order of 1 ppbv are encountered more frequently, with about 1/3th of the year  
 34 exceeding this limit. This suggests that local concentrations might peak to much higher values, e.g. in urban  
 35 environments where road traffic emissions are highest, or in the downwind plume of biomass burning events,  
 36 and could impact regional air quality. Such regional effects were not studied in the current work, as the  
 37 resolution of the global model used here is not sufficiently fine-grained.



1 Though not important for the atmosphere, we briefly examined the reactions of the NCO radical formed in the  
2 chemical reactions studied. The rate coefficients of the H-abstraction reactions with H<sub>2</sub>O, HNO<sub>3</sub> and HCl  
3 suggest that these reactions might contribute in high-temperature environments, such as combustion processes.

#### 4 **Supplement**

5 The supplement related to this article is available online, and contains extended information on the chemical  
6 model, and the quantum chemical characterizations (geometric, energetic and entropic data)

#### 7 **Author contributions**

8 The quantum chemical calculations were performed by H.M.T. Nguyen, G.H.T. Vu, and T.V. Pham, while L.  
9 Vereecken performed the theoretical kinetic calculations. U. Javed, S. Rosanka and D. Taraborrelli collected the  
10 literature data on HNCO sources and sinks, and implemented these in the kinetic model; the model runs were  
11 performed by S. Rosanka and D. Taraborrelli. All authors contributed significantly to the writing of the  
12 manuscript.

#### 13 **Competing interests**

14 The authors declare that they have no conflict of interest.

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