Nepal Emissions Inventory - I: Technologies and combustion sources (NEEMI-Tech) for 2001-2016

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Abstract

The lack of a comprehensive, up-to-date emissions inventory for the Himalayan region is a major challenge to understanding the extensive regional air pollution, including its causes, impacts, and mitigation pathways. This study describes a high resolution (1 km × 1 km) present-day emission inventory for Nepal, developed with a higher-tier approach. The complete study is divided into two parts; this paper covers technologies and combustion sources in residential, industrial, commercial, agricultural diesel-use and transport sectors as part-I (NEEMI-Tech), while emissions from open burning of municipal waste and agricultural residue in fields, and fugitive emissions from waste management, paddy fields, enteric fermentation and manure management for the period 2001–2016 will be covered in part-II (NEEMI-Open). The national total energy consumption estimated in the base year 2011 was 374 PJ, with the residential sector being the largest energy consumer (79 %) followed by industry (11 %) and the transport sector (7 %). Biomass is the dominant energy source, contributing 88 % to the national total energy consumption, while the rest is from fossil fuel. A total of 8.9 Tg CO_2, 110 Gg CH_4, 2.1 Gg N_2O, 64 Gg NO_X, 1714 Gg CO, 407 Gg NMVOC, 195 Gg $PM_{2.5}$, 23 Gg BC, 83 Gg OC and 24 Gg SO_2 emissions were estimated in 2011 from the five energy-use sectors considered in NEEMI-Tech. NEEMI provides for the first time temporal trends of fuel and energy consumption and associated emissions in Nepal for a long period, 2001-2016. The energy consumption showed an increase by a factor of 1.6 in 2016 compared to 2001, while the emissions of various species increased by a factor of 1.2–2.4. An assessment of the top polluting technologies shows particularly high emissions from traditional cookstoves and space heating practices using biomass. In addition, high emissions were also computed from fixed chimney Bull's Trench kilns in brick production, cement kilns, two-wheeler gasoline vehicles, heavy diesel freight vehicles and kerosene lamps. The monthly analysis shows December, January and February as periods of high $PM_{2.5}$ emissions from the technical sources considered in this study. Once the full inventory including open burning and fugitive sources (part-II) is available, a more complete picture of the strength and temporal variability of the emissions and sources will be possible. Furthermore, the large spatial variation in the emissions highlights the pockets of growing urbanization, which emphasizes the importance of the detailed knowledge about the emission sources that this study provides. These emissions will be of value for further studies,

especially air quality modelling studies focused on understanding the likely effectiveness of air pollution mitigation measures in Nepal.

Keywords

Nepal emission inventory, pollutant gases, aerosols, residential, diesel generator sets, transport, technology-based, high resolution emissions, Kathmandu Valley

1. Introduction

Nepal, a developing country in South Asia is subject to the same increasing burden of air pollution seen over most of the subcontinent for the last decades (MoEST, 2005). With its complex topography ranging from ca. 100 m above sea level (asl) in the south to above 8000 m asl in the north, the region is home to a population of 26.5 million (in 2011) that depends mainly on biomass and fossil fuel (imported from other countries) for its total energy needs (CBS, 2012; WECS, 2014). It is well established that the incomplete combustion of such biomass emits a significant amount of the fine particulates $PM_{2.5}$ (diameter $\leq$ 2.5 µm) and ozone precursor emissions, which have been linked to degrading air quality, adverse health impacts, climate change and effects on the cryosphere (Fiore et al., 2015; Shakya et al., 2016). In addition, studies have also shown air pollution causes reductions in crop productivity, and have identified hotspots and shown the air pollution linkage behind urban heat islands, altering monsoon patterns and increases in natural calamities like floods (Burney and Ramanathan, 2014; Shastri et al., 2017; Collier and Zhang, 2009; Fan et al., 2015).

Global studies of health effects have identified air pollution, including both household pollution and ambient particulate pollution, as the 2nd and 3rd leading risk factors responsible for burden of disease attributable to premature deaths in Nepal (Forouzanfar, 2016). The prolonged exposure to these pollutants have led to significant respiratory symptoms. The exposure of adults to biomass smoke in rural households of Nepal has led to prevailing respiratory symptoms, while those with prolonged exposure to ambient particulate pollution in urban households have shown evidence of high chronic phlegm (Kurmi, 2014). A study has also demonstrated exposure as high as 165 µg m^{-3} respirable fraction of particulates in children from the use of biomass for residential activities in Nepal (Devakumar et al., 2014). The roadside measurements in the Valley have also observed an average of ca. 90 µg m^{-3} of $PM_{2.5}$ concentration with higher upper bounds during the winter season (Bhari, 2015; Shakya et al., 2017). The ambient measurements of $PM_{2.5}$ in the Kathmandu Valley, the capital and main metropolitan region in Nepal, have shown seasonal concentrations varying from 30 µg m^{-3} (monsoon) to 90 µg m^{-3} (winter), attributed to various emission sources and meteorology (Aryal et al.,

2009). Such large variations in ambient concentrations are also likely partly due to seasonality in energy consumption and emissions.

The GDP of Nepal has been increasing substantially in the past two decades (MoF, 2017). Similarly there has been tremendous increase in the energy-use footprint and import of fossil fuels (NOC, 2019). Since a large fraction of available national energy is consumed in the residential sector relying on biomass fuels, there is an urgent need to understand the demand and supply of bio-resources and explore other cleaner options. Moreover, the rapid urbanization has led to an increase in vehicle numbers by about 15-fold over the last two decades, unfortunately increasing the demand for petroleum fuels (DoTM, 2016). The increasing population and commercialization also calls for uninterrupted electricity. Until recently, Nepal has faced very high amounts of load shedding and diesel consumption in diesel generators for backup power generation. This has led to a significant increase in the national black carbon (BC) emissions (World Bank, 2014). In order to tackle the problem of future energy demand (though the situation has improved since 2016) and degrading air quality, synergetic work is needed based on an understanding of the current fuel consumption and efficient combustion technologies.

Recent studies in Nepal have attempted to characterize and quantify the energy needs and emissions from sources such as the residential and commercial sectors using the 'IPCC Tier 1' or 'EMEP/EEA Tier 1' approach (less detailed) that fails to provide complete information about the combustion technologies and control abatements (Malla, 2013; Bhattarai and Jha, 2015). Research studies have mainly focused on residential cooking with an aim to promote energy-efficient and fuel-efficient cookstoves, renewable technologies, and indoor air quality, with a brief discussion about the co-benefits of cleaner combustion technologies (Pokhrel, 2015; Gurung et al., 2012; Singh et al., 2012). Similarly, past studies on the transport sector have only been focused on the Kathmandu Valley due to large number of vehicles registered in Bagmati zone, where the Kathmandu Valley is located, and subsequently higher sales of gasoline and diesel in the Valley (Shrestha et al., 2013). Past studies like Shrestha and Rajbhandari (2010) have modelled the influence of the residential, agricultural, transport,

industrial and commercial sectors on reducing future carbon emissions only for Kathmandu Valley, which takes into consideration the economics and demands of the population without a detailed classification of combustion technologies that drive the emissions.

Moreover, current Asian emission inventories tend to provide explicit details on energy use patterns only for regions of interest, while aggregating the same on a coarser resolution for the rest of the countries (Zhang et al., 2009; Kurokawa et al., 2013). One such effort in segregating the energy use at a higher resolution of sectoral activities has been executed by the Water and Energy Commission's Secretariat (WECS), Nepal; however, there is no clear methodology and the underlying assumptions stated which can be relied on for further investigating into emissions estimates (WECS, 2014). In addition to the arising air pollution strategies, the NAMaSTE campaign has led to the measurements of emission factors from the different informal sources in Nepal, thereby providing Nepal-specific emission factors (EFs) that can be used in reducing the uncertainties in emissions (Stockwell et al., 2016; Jayarathane et al., 2017).

Analyzing the following issues, it is important to conduct a systematic and comprehensive study of all energy sectors, agriculture sources and solid waste burning in Nepal from an emissions point of view, which has not yet been done, integrating the primary information on energy production and use, combustion technologies and corresponding EFs. The Nepal emissions inventory study is divided into two parts; technology-based emissions (NEEMI-Tech) as part I, and open burning and fugitive emissions (NEEMI-Open) as part II. This paper discusses the development of a high resolution (1 km × 1 km, monthly) combustion and technology-based emission inventory from the residential, industrial, transport (on-road and off-road), and commercial sectors, as well as the agricultural sector (only technology-based emissions from use of tractors, tillers, pumps and threshers), while part II encompasses emissions from open burning of municipal wastes and agricultural open field burning, along with fugitive emissions from waste, paddy fields, enteric fermentation and manure management. Part II is under preparation for publication. In both parts, a total emissions of ten species, where applicable, are estimated in this study, including greenhouse gases and short-lived climate-forcing

pollutants (SLCPs). The methodology of developing emissions estimates is described in Sect. 2, followed by information on activity rates, the detailed combustion technology and industrial process in practice, the region-specific EFs, spatial surrogates, and finally sectoral emissions. The analysis of our results compares our national energy and emissions with reported estimates by national authorities, regional and global inventories. Finally, the emissions from the Kathmandu Valley are compared with the rest of the Nepal, to understand the relative contributions of different sources and emissions at the national level as well as in the Kathmandu Valley.

2. Methodology

The methodology follows a bottom-up estimation of emissions using activity rates in the form of fuel consumption and the measured EFs in Nepal, wherever possible. The fuel consumption is uniquely estimated across each sub-sector using available primary data of activity rates such as fuel imports, production, registered number of vehicles, machinery units, and specific energy consumption (SEC). The approach also incorporates current industrial process technologies and the penetration of control measures for particulates and other species (Fig. 1).

An emission *'E'* is estimated using Eq. (1), where pollutant *'i'* from sub-sector *'a'* is calculated using the fuel consumed *'FC'* by its type *'f'* in combustion technology *'t'* and emission factor *'EF'*. The emission factor considered is a function of fuel type and the combustion technology, different across each sub-sector and fuel-technology combination. The black carbon (BC) and organic carbon (OC), two key components of particulate matter, are calculated using their respective fractions of $PM_{2.5}$ (in Sect. 2.3) (Eq. 2 & 3). Table 1 lists the complete details about the emission sectors, pollutant species and spatial resolution considered in this study, referred to as NEpal EMission Inventory (NEEMI).

$$E_{i,a} = FC_{f,a,t} \times EF_{i,f,a,t} \tag{1}$$

$$E_{BC,a,f,t} = E_{PM2.5,a,f,t} \times f_{BC,a,f,t} \tag{2}$$

$$E_{OC,a,f,t} = E_{PM2.5,a,f,t} \times f_{OC,a,f,t} \tag{3}$$

2.1 Activity rates and technology division

Each sector considered in the study (residential, industrial, commercial, agricultural and transport) is classified into coherent sources broadly termed as sub-sectors, listed in Table 1. Activities and their combustion technologies pertaining to each sub-sector are identified for quantifying final energy consumption and resultant emissions. The following section describes in brief the methodological approach for estimating the fuel consumption in each sub-sector.

Residential: In residential sector, *National Census 2011* provides primary data on the number of households using different types of cooking fuel and sources of lighting for 3915 Village Development Committees (VDCs) and 58 municipalities, i.e., administrative units in rural and urban regions, respectively (CBS, 2012). The amounts and types of fuel consumed for cooking are based on previous studies reporting the 'useful energy' required for preparing daily food in various utensil-stove-fuel combinations in different seasons (Pokharel, 2004; Khandel et al., 2016). For lighting, the amount of kerosene used is calculated using the average burn rate, hours of daily usage and number of lamps per household (Lam et al., 2012; DECP, 2014). For water heating and boiling, the specific energy required to raise the temperature from ambient ($t < 20^\circ$ C) to 43° C was calculated assuming 15 l capita-day^{-1} amount of bathing water (average capacity of commonly used buckets in Nepal). Similar temperature threshold (t < 20º C) was adopted to estimate the amount of fuel used for indoor and outdoor space heating. Space heating outdoors means, where people gather around an open fire to keep themselves and the immediate vicinity warm from cold by burning firewood, agricultural residue and dungcakes. Further details about each residential activity is described in the *Supplementary Information*. Similarly, National Census 2001 is used for activity rates in year 2001. For years in between 2001 and 2011, the data was interpolated using compound annual growth rate (CAGR) which was further extrapolated till 2016 in order to provide trends from 2012 to 2016.

Industry: The industrial census, *Census of Manufacturing Establishment* (CME) *2011* was used for fuel estimation, which collates the annual details on proprietorship, organizational structure, production, sales figure, fuel, electricity consumption and details on pollution control equipment. The CME 2011

has surveyed a total of 4,076 industrial units nationwide covering small and medium industries (SMI) and large point industries (LPI) (CBS, 2014). In this study, a total of 1,512 industrial units including cement manufacturing, basic iron, structural metal, brick production, grain mill, noodles, tea, coffee, and pharmaceuticals are considered as large point sources or heavy industries; whereas the remaining 2,564 industrial units are considered as small and medium industries. The fuels in the above LPI and SMI of paper, sugar, beverage, dairy and soap were corrected using specific energy consumption (SEC) from a survey conducted for selected industries and the production data (PACE Nepal, 2012; CBS, 2014). The methodology described above is followed to estimate fuel consumption for these respective years, and interpolated for intermediate years.

Commercial: The commercial sector includes all service providing institutions that are largely dispersed over the whole country (Table 1). These institutional units mainly require energy in the form of electricity (NEA supply and diesel generators) while few sub-sectors like hotels, restaurants and barrack canteens also consume energy for cooking and other utilities such as water boiling and space heating. The fuel consumption in each commercial sector activity is derived independently and explained in the *Supplementary Information.*

Agriculture: The agriculture sector in this paper includes combustion activities of diesel use in pumps, tractors, power tillers and engines for threshing. The energy required for pumping water was estimated using the amount of water used for irrigation in Nepal, reported by the Food and Agriculture Organization (FAO), and the fraction of people relying on surface water (SW), shallow tubewell (STW) and deep tubewell (DTW) for irrigation (Frenken and Gillet, 2012). For mechanized farming, the *Ministry of Agricultural Department* reported the number of land holdings using tractors, tillers and threshers in 2011 (MoAD, 2011) that served as the primary information for estimating the fuel. *The flowsheets explaining the fuel consumption are shown in the Supplementary Information.*

Transport: The activity rates for transport sector includes age-distributed vehicle population, fuel efficiency (FE) and vehicle kilometer travelled (VKT) for total eight categories of on-road and off-road vehicles (Shrestha et al., 2013; DoTM, 2013). The actual number of on-road vehicles is modeled using

the long-term vehicle registration data (from ca. 1989 till 2016) and the survival fraction of vehicles in each category in every year (DoTM, 2016; Baidya and Borken-Kleefeld, 2009; Yan et al., 2011). The fuel efficiency for each vehicle category was compiled from the survey-based studies conducted in Nepal (Dhital and Shakya, 2014; Dhakal 2003; Bajracharya and Bhattarai, 2016; Pradhan et al., 2006). The VKT was modeled using the survey study in the Kathmandu Valley for two-wheelers, buses, vans and taxis by Shrestha et al., (2013). For the remaining categories these were considered from other literature (Dhital and Shakya, 2014; Dhakal, 2003; Bajracharya and Bhattarai 2016).

2.2 Combustion technologies

Understanding the combustion technologies in each sub-sector and characterizing emissions at activity level is one of the principal objectives of this study. Table 2 highlights the technologies considered in each sector and sub-sector.

In residential cooking, the type of cookstove used is mostly governed by the fuel burned. A large fraction of rural households in Nepal still relies on traditional mud cookstoves (TCS), while, there has been a drive to penetrate improved cookstoves (ICS) and increase the use of biogas plants under various renewable energy technology (RET) programmes (AEPC, 2012). For water heating and boiling, population in urban areas uses LPG stoves and kerosene stoves, whereas in rural areas they rely on firewood in traditional cookstoves (WECS, 2014; CBS, 2012). In the industry sector, energy is required for thermal purpose (steam generation) and utilities through boilers, furnaces and diesel generators. The iron and steel industry in Nepal converts the imported billets into elongated rods using rolling mills that heavily consume furnace oil (FO) in reheating furnace. The cement industries in Nepal are mostly grinding units with 14 mine based units equipped with rotary kilns (Pandey and Banskota, 2008). The brick kilns are mostly fixed chimney Bull's trench kilns (FCBTK) either with straight firing or zig-zag firing technology and a small fraction of clamp kilns (CK) and the vertical shaft brick kilns (VSBK). A total of 609 brick kilns, whose geolocations were identified are considered in this study, out of which 557 are FCBTK and 52 clamp kilns during 2011. The zig-zag firing technique is relatively a new development in Nepal. It has only been used in the brick kilns in the Kathmandu Valley, which were

rebuilt after 2015 Earthquake in Nepal. Kilns outside the Kathmandu Valley are slowly adopting the zig-zag technique. An in-house survey of 82 brick factories in 2014 in the Kathmandu Valley showed that only 22 FCBTK (~25%) had zig-zag firing compared to straight ones. This fraction may be extrapolated at a national level to understand the number of zig-zag firing brick kilns in Nepal, although a thorough study is indeed required to furnish the actual numbers.

In commercial sector, since the activities involves energy use for electricity purposes, diesel generators are considered to be the prominent combustion technology in this sector. In case of restaurants, a technology division similar to residential sector is followed, with additional boilers required in tourism hotels for hot water generation. The combustion technologies in agriculture sector include diesel use in irrigation pumps, tractors, power tillers and threshers. Since insufficient information is available in terms of size for diesel pumps, tractors, power tillers and threshers, the fuel consumption estimates do not account for any additional factors that would have led to more accurate (new) fuel estimates in this study.

In transport sector, a total of eight vehicle categories are considered including an off-road category for tractors and power tillers (Table 2). The tractors and tillers append trailers for transportation during non-farming days. Since more than 80 % of the vehicles are imported from India, we have assumed that all the vehicles comply with Bharat Standard (BS) norms for emissions estimation. Diesel vehicles like jeeps/taxis, mini-bus, microbus and bus are treated as public passenger vehicles, while mini trucks, pick-ups and trucks are treated as public freight vehicles. Around 40 % of the diesel vehicles in Nepal are categorized as super-emitters or high emitters due to poor maintenance of vehicles, old vintages, and a large fraction of shoddy roads (Bond et al., 2004; Yan et al., 2011; personal communication, Prakash Bhave). Further details about combustion technologies can be found in the *Supplementary Information.*

2.3 Emission factors

New country-specific emission factors measured recently during the NAMaSTE campaign (Nepal Ambient Monitoring and Source Testing Experiment) were especially considered in this study for

sources like motorcycles, scooters, diesel generators, diesel pumps, cookstoves and brick kilns (Stockwell et al., 2016; Jayarathane et al., 2017). The measured emission factors include CO_2, CH_4, NOx, CO, NMVOC, $PM_{2.5}$, BC and OC, reflecting the combustion technologies and regional practice. For residential and commercial sectors, especially cooking, space heating and water boiling activities, the emission factors were also considered and averaged from Habib et al., (2008), Smith et al., (2000), Pandey et al., (2017), Habib et al., (2004), Zhang et al., (2000). For kerosene lamps, the measured EFs from Lam et al., (2012) were available for all pollutants except CH_4, NMVOC and N_2O, which were considered to be similar to the kerosene stoves (Smith et al., 2000). Due to lack of studies on the definite number of types of lamps, it is assumed that 50 % of the population relies on kerosene wick lamps and the rest on kerosene lanterns. For biogas lamps, all EFs were considered similar to biogas stoves (Table S1).

For industrial sector, technology-linked EFs were used from the EPA AP42 repository that has identified the combustion and process activities for different sources and industries (Table S2). The measured EFs for brick production were compiled from Weyant et al., (2014), Stockwell, et al., (2016), Jayarathne et al., (2018) and Nepal et al., (2019) for zig-zag and straight firing in FCBTK and clamp kilns (Table S3). For diesel generators, the recently measured EFs from the NAMaSTE campaign were considered for all pollutants except CO and SO_2 (Table S4). The EF for CO was averaged from data provided in Shah et al., (2006), since among the two diesel generators measured during the NAMaSTE campaign, one apparently reflected steady-state conditions and was regularly maintained, while the other one appeared to be improperly regulated as it provided extremely high values. The SO_2 was estimated using the sulfur content of the fuel with no retention. The recently measured EFs from the irrigation pump (Adhikari et al., 2019) were also considered and averaged with NAMaSTE campaign EFs. For mechanized tractors, power tillers and threshers, the EFs were compiled from a study that reported the EFs for off-road vehicles measured across different power capacity (Notter and Schmied, 2015).

In transport sector, for categories other than two-wheelers, the emission factors of N_2O, NO_X, CO, NMVOC were considered from Shrestha et al., (2013), who studied emissions from on-road traffic fleet in the Kathmandu Valley using vehicle survey data and the International Vehicle Emissions (IVE) model (Table S5). The NOx emission factors for heavy diesel vehicles were considered from Sadavarte and Venkataraman, (2014), the EFs for Indian vehicles modelled using the MOBILEv6.2 model, which were found to be consistent with EFs from Zhang et al., (2009) for Chinese vehicles. The $PM_{2.5}$ EFs for rest of the categories were averaged from Shrestha et al., (2013), Kim Oanh et al., (2010) and Jaiprakash et al., (2016). The NMVOC emissions from Shrestha et al., (2013) also include running evaporatives, and reflect the real world emissions under increasing ambient temperature. The CO_2 emission factors for all categories of vehicles, other than two-wheelers, were considered from a chassis dynamometer study that measured values for different vintages using an Indian driving cycle (ARAI, 2007). The fractions of BC and OC were obtained by averaging respective fractions from chassis dynamometer test results by Kim Oanh et al. (2010), Wu et al. (2015), Zhang et al. (2015), Yang et al. (2019) and Jaiprakash et al. (2016). These studies reflect the regional characteristics of driving cycles and the road infrastructure, which plays an important role in tail pipe exhaust. Kim Oanh et al., (2010) made a vintage based measurements showing the degradation of emissions and resultant high fractions of EC and OC in the oldest category of vehicles. However, Wu et al., (2015) and Jaiprakash et al., (2016) emphasized the importance of driving speed (on non-highways, highways and in cities) on the EC and OC fraction from diesel vehicles. SO_2 emissions were calculated using the sulfur content of BS-II/III/IV fuel imported from India, with no retention assumed. Non-exhaust emissions such as brake wear and tire wear are not included in this study.

3. Results and discussions

3.1 National energy estimates and sectoral fuel consumption

Using the methodology described above in each sector/sub-sector and the efficiency associated with fuel-technology combination, the total national energy consumption is estimated explicitly for the base year 2011 and also for each year over the period 2001-2016.

3.1.1 National energy trend

Figure 2a and 2b shows the proportional contributions of each sector and fuel type respectively, to the total energy consumption in Nepal in 2011. It should be noted here that as our study focuses on technology-based emissions, we did not include the electricity (supplied by the hydropower stations) consumption in the total energy estimate. Previous studies report that the contribution of electricity to total energy in Nepal is less than 5 % (WECS, 2010; WECS 2014). We estimate that Nepal consumed 374 PJ of energy in 2011, and it can be observed that the residential sector is the highest and dominant consumer of total national energy consumption (79 %), followed by industry (11 %), transport (7 %), commercial (2 %) and agriculture (1 %). Figure 2c shows the trend in energy consumption at sectoral level for each year from 2001 to 2016, with national total energy consumption of 457 PJ in 2016, a factor 1.61 increase compared to 284 PJ in 2001. The majority of the energy is derived from solid biomass (including firewood, agricultural residue and dungcakes) that accounted for 91 % of the total energy consumption in 2001, which has decreased to 84 % in 2016 (Fig. S5). The imported fossil fuel contributed 9 % to the national total energy consumption in 2001 which increased to 16 % in 2016.

The energy consumed in residential sector was estimated to be 322 PJ in 2016, a factor of 1.32 higher than in 2001. In this sector activities of cooking contribute to 68 %, space heating 20 %, water heating 12 % and a small amount from kerosene lighting to the total residential energy use throughout the analysis period 2001–2016. The national population has almost doubled (a factor of 1.8) in the same period. There was continuous increase in the number of households (1.27 million households) using energy efficient improved cookstoves (ICS) till 2016 (AEPC, 2012). Also, the amount of kerosene usage in 2016 for lighting has reduced by 50 % as compared with 2001, due to an increase in the number of households with access to electricity (5.68 million in 2016) and greater penetration of renewables like solar household lighting systems (0.6 million units till 2016) (AEPC, 2012). These high energy efficient interventions have helped to offset the emissions, which otherwise would not have been possible.

The industrial sector consumed 79 PJ of energy in 2016, a 3 times increase since 2001. The point source industries consumed 77 % of the industrial energy use in 2001 that further increased to 84 % in 2016. Basically, the number of manufacturing establishments in area source industries has increased from 2163 to 2564, while that in point sources, industries has increased from 1050 to 1512 during the period
5   2001–2016 (CBS, 2014). The commercial sector consumed 6.4 PJ of energy in 2016, which is almost double (a factor of 1.8) the energy used in this sector in 2001. Along with an increase in population and number of tourists, the use of diesel in captive power generators to cope up with the shortage of power supply has started becoming serious since 2006. It is the foremost source that consumed one-third of the commercial energy in 2016. During 2001–2005, the load shedding in Nepal was insignificant and
10   ranged from 1 to 8 GWh. However, this problem increased gradually from 2006 reaching to a short fall of 1000 GWh in 2010. The load shedding worsened further from 2011 to reach a shortage of 1300 GWh in 2015. During 2016, as a result of careful power management measures implemented by Nepal Electricity Authority the load shedding was reduced to 474 GWh (modelled using electricity demand, actual sales and electricity that can be supplied).

15   The agriculture sector, which includes mechanized farming using diesel pumps, tractors, tillers and threshers, has consumed 5 PJ of energy in 2016, a factor of 2.7 higher than in 2001. There has been a tremendous increase in the use of tractors, tillers and threshers, now consuming four times more diesel when compared to 2001, which is not found in case of diesel pumps. For pumps, the diesel consumption was estimated using the agricultural productivity data and also the penetration of highly efficient
20   kerosene based pumps, along with the use of solar pumps that has reduced the diesel usage. The transport sector, comprising of both on-road vehicles and off-road tractors and tillers, consumed 45 PJ of energy in 2016, a factor of 4.5 higher than in 2001. This increase in fuel can be attributed to continuous increase in total number of fleets from 0.27 million to 2.23 million during the study period (DoTM, 2016), which is estimated using the vehicle registration data and the survival function for each
25   category of vehicle.

If the trend in national fuel consumption is analyzed with respect to 2001, it can be inferred that the import of fossil fuels has increased many-fold times, more than the increase in solid biofuels in the country (Fig. S6, S7; Table S6). The LPG consumption in Nepal has increased by a factor of 6.5 over its use in 2001, mainly because a large population shifted to a cleaner fuel for cooking in this period. Even the consumption of gasoline has steadily increased 6 times due to increase in the import of gasoline vehicles; similarly diesel increased by a factor 4, as it was also heavily used in power generators, besides diesel vehicles. It is interesting to observe here that there was a jump in diesel consumption in the year 2009, when there was a policy shift equating the kerosene price with diesel. This resulted in a sharp fall in kerosene consumption in 2009 and continued to fall gradually afterwards (Fig. S7). Before this policy intervention, kerosene was used as an alternative for diesel (in fuel adulteration). A low growth (a factor 1.1–2.1) is observed in the solid biofuel use mainly due to higher penetration of improved cookstoves and cleaner fuel such as LPG for cooking and increase in renewables (e.g., biogas).

3.1.2 Comparison with WECS' 2011 estimation

The national fuel and energy consumption in individual sectors estimated in this study for the year 2011 are compared with the national totals reported by the Water and Energy Commission Secretariat (WECS, 2014) as shown in Fig. 3. According to WECS, a total 376 PJ of energy was consumed in 2011 in all forms such as hydro-electricity, thermal-electricity, other renewables, fossil fuels and solid biofuels. However, a one-to-one correspondence for each activity and fuel is made while comparing the energy, which shows 374 PJ of fuel energy estimated in this study against 329 PJ (energy consumed in combustion activities) by WECS. In the present study, a slightly higher amount of energy consumption in the residential sector (300 PJ) can be observed compared to the WECS' estimate 265 PJ, which can be attributed to the inclusion of agricultural residue as a fuel for cooking not considered in the WECS' estimate.

In industrial sector, the present study estimates 41 PJ of energy consumption which is 59 % higher than that reported in the WECS' report (26 PJ). This difference is attributed to biomass in the form of rice

husk being taken into account in this study as boiler fuel in paper, sugar, alcohol, soap and noodles industries (PACE Nepal, 2012) using specific energy consumption (SEC). Similarly, consumption of coal in brick production and cement manufacturing was also corrected using SEC. Apart from these fuels, the furnace oil (FO) use in the metal industry and captive power generation, was completely missing in the WECS' detailed fuel and energy consumption data, which is considered in this study. Basically, the Nepal Oil Corporation (NOC) is an authorized agency to import fossil fuels (all of kerosene, gasoline, diesel and liquefied petroleum gas (LPG), and FO) and is made available through public distribution system. However, in recent years, FO was also imported as an industrial fuel by private importers, without being recorded by the NOC, and hence not reported under import statistics by NOC. Therefore, the FO reported in this study accounts for the total import available from foreign trade statistics report which was not accounted by the NOC (MoF, 2012) and WECS' report.

The energy consumption in commercial hotels and restaurants was estimated using energy consumed per room and energy per capita as described in Sect. 2.1. Our energy estimate was a factor 0.73 lower compared to the WECS. This difference can be explained by higher use of firewood (factor 3.6), LPG (factor 2.4) and kerosene (factor 2.6) in WECS' estimate. The commercial sector also relies on diesel generator sets during load-shedding hours, which seems to be completely missing in the WECS' estimate. A 4.6 PJ of diesel was estimated in generator sets, which accounts for 58 % of the energy consumed in the commercial sector in 2011.

In agriculture sector, the amount of diesel consumed for irrigation pumps, tractors, power tillers and threshers are in agreement with WECS' estimates. In transport sector, the energy estimated in this study was 5 % lower than the WECS's estimate, especially in diesel vehicles. For gasoline vehicles, the alpha (α) and L_{50} were modelled using the registered population for two-wheeler motor-cycles and age distribution from Shrestha et al., (2013), while the remaining gasoline was distributed among four-wheeler cars (due to non-availability of the age distribution) closing the annual consumption, thereby similar to amount of gasoline reported in the WECS' report. The on-road/off-road diesel vehicle fleet

was modelled using a logistic function chosen similar to Indian vehicles (Pandey and Venkataraman, 2014) except buses, for which the age distribution was available from Shrestha et al., (2013).

3.1.3   Kathmandu Valley and Nepal

The Kathmandu Valley is a highly urbanized area and more advanced than the rest of the country and varies significantly in energy-use patterns and their respective emissions. The following section compares energy consumption in the Kathmandu Valley (KTM) and Nepal (NPL) during 2011 across different sectors and fuel types. Figure 4a and 4c shows the estimated total energy consumption in KTM as 30 PJ, which is 8 % of the national energy (374 PJ). In Kathmandu Valley, the residential sector attributes to 41 % of the total energy, followed by transport (26 %), industry (22 %) and commercial (10 %), with a small fraction in agriculture sector which in comparison do not resemble the national energy consumption pattern across these sectors.

The intensity of activity rates within Kathmandu Valley is different from the whole country. If the sectors are disaggregated further, it is found that the residential sector in the valley consumes 73 % solid biomass, 27 % LPG and small fraction of kerosene while whole Nepal consumes 98 % solid biomass and 2 % LPG. The Kathmandu Valley is home to only 10 % of the national population where 84 % of the households use LPG for cooking, versus 21 % nationwide. Such high LPG consumption rate in residential sector leads to 41 % of the national consumption in the Kathmandu Valley alone. Also in case of lighting source in the Kathmandu Valley, 98 % of households have electricity as the primary source with only 1 % kerosene users, which is different from only 67 % households using electricity and 18 % kerosene in Nepal.

Nearly one-fourth of manufacturing establishments (947 out of 4067 industries) in Nepal are located in the Valley, which includes 109 point source industries (including 76 brick manufacturing units reported in CME 2011 report) and 838 area sources. From the commercial sector, 503 tourist hotels, 363 academic campus, 394 financial institutions and 78 hospitals are in the Kathmandu Valley, out of 817 tourist hotels, 1101 academic campus, 1245 financial institutions and 143 hospitals in Nepal. The use of

diesel and LPG especially in tourist hotels and diesel generator sets in the Kathmandu Valley makes a huge difference in fuel fraction. Approximately, one-third of the energy in transport sector is consumed by vehicles registered in the Bagmati Zone alone. Around 30 % of the national vehicles are registered in the Bagmati zone that encompasses three districts in the Kathmandu Valley. This leads to 50 % of national gasoline and 27 % of national diesel consumption in the Valley.

In 2016 the Kathmandu Valley consumed 51 PJ of energy which is a factor 1.7 higher than 2011, with an increase in energy mainly from industry and transport sector (Fig. S8). In spite of an increase in population, the residential sector has seen growth in use of energy efficient renewable technologies and cleaner fuels (e.g., LPG) which didn't cause a large increase in 2016 energy use as compared to 2011. Similarly, the increase in production by co-located point source industries and vehicle registration have led to ramp up the sectoral energy in these two sectors by a factor 3 and 2, respectively. The energy (fuel-based) in commercial sector has reduced by 50 % due to a reduction in load shedding, ultimately decreasing the diesel consumption by small but numerous captive diesel power generators.

3.2   Emission trends and sectoral contribution to national estimates

The emissions were estimated for 10 pollutants, CO_2, CH_4 and N_2O (*GHGs*), NO_X, CO, NMVOC and SO_2 (*trace gases*), and $PM_{2.5}$, BC and OC (*aerosols*) using technology-linked EFs in each sub-sector. The following section explains the emission estimates for 2011 in each sector and sub-sectors (Fig. 5a) and the emission trends (Fig. 5b) in order of aerosols and its precursor, trace gases and greenhouse gases. The emissions discussed henceforth refer to the estimates from the sectors and source categories described above, and do not account for the complete national totals, which will also include emissions from Part-II of this work.

In 2011, 195 Gg $PM_{2.5}$, 23 Gg BC and 83 Gg OC emissions were estimated from five energy-use sectors. Similar to the total energy consumption pattern, the residential sector contributes to the highest share of particulate emissions (95 % $PM_{2.5}$, 88 % BC and 96 % OC). Within residential sector, the activities of cooking and space heating are responsible for ~75-90 % emissions of $PM_{2.5}$, BC and OC.

Additionally, BC emissions are also emitted from residential kerosene lighting (4 %) that makes use of cotton wick kerosene lamps and kerosene lanterns. Industrial sources contribute to ~3 % $PM_{2.5}$, ~5 % BC and 1 % OC with significant emissions from brick kilns (FCBTK) and use of firewood and ricehusk in industrial furnace of sugar, beverages, noodles and other small industries. From the total of five sectors, transport sector contributes to only 1.4 % $PM_{2.5}$, ~6 % BC and 1 % OC emissions, largely from diesel freight and public passenger vehicles. 40 % fleets are assumed to be superemitters or high emitters and they contribute to 53 % $PM_{2.5}$, 58 % BC and 44 % OC emissions from the transport sector. Their emission factors were derived by scaling the normal emission factors as discussed in section 2.3. The scaling factor was derived from Klimont et al., (2017), who provided the global values for diesel and gasoline vehicles across different emission standard vehicles, and also based on PM emission factors measured in developing countries (Subramanian et al., 2009). On an average, light duty diesel vehicles are scaled by a factor 5 to get a value of 8.1 g/kg and an average heavy duty diesel vehicles are scaled by a factor 2 to get a value of 13.3 g/kg for superemitter vehicles. Though, there is no clear distinction for measured emission factors for a superemitter vehicle, many contemporary studies have derived it using statistical percentile (Subramanian et al., 2009, Ban-Weiss et al., 2009). If a policy to identify and remove the superemitters is enforced, that would reduce ~30 % of $PM_{2.5}$, BC and OC transport sector emissions, which can be considered as a good and immediate policy target. The emissions from agriculture sector contributed to small fraction of 0.2 % $PM_{2.5}$, 0.4 % BC and 0.3 % OC, since the energy consumption in mechanized activities are small compared to national energy estimates.

Emissions of 24 Gg SO_2, 64 Gg NO_X, 1714 Gg CO and 407 Gg NMVOC were estimated in 2011 from the sectors discussed in this part of the work. However the total national values will also include emissions from the second part of the study (NEEMI-Open). The SO_2 emissions were estimated using the sulfur content of the fuel with no retention in liquid and gaseous fuels. The industry sector, contributed 75 % SO_2 emissions, especially from the coal users such as brick kilns and cement manufacturers. The residential sector is the second largest gross emitter of SO_2 adding 24 % to the total of the five sectors, and ~0.5 % each from the commercial and transport sectors. In case of NOx emissions, the residential sector overshadowed all other sectors and contributed significantly to 53 %

NO$_X$, 91 % CO and 94 % NMVOC. Residential cooking, space heating and water heating are the major sources of incomplete combustion of biomass fuels and hence high CO and NMVOC emissions. After residential emissions, the transport sector contributes 23 % of NO$_X$. High NOx emissions were emitted by diesel passenger (9 %) and freight vehicles (11 %). The industry sector was responsible for 17 %

5   NO$_X$, 2 % CO and 0.6 % NMVOC emissions. The clinker production in cement industries has a large share of NO$_X$ emissions, which was about 60 % of the total point source industries. The fugitives of NMVOC from storage tanks, service stations are expected to be in small fraction compared with combustion-related NMVOC emissions, though they might gain importance in the future, they will be considered in detail in the second part of this study. A total of 8.9 Tg CO$_2$, 110 Gg CH$_4$ and 2.1 Gg N$_2$O

10 were estimated in this work. Broadly, fossil fuels and biofuels (firewood, agriculture residue, dungcakes and biogas) contribute 73 % and 27 %, respectively, to CO$_2$ emissions in 2011, in contrast to only 12 % (43 PJ) of the energy coming from fossil fuels, and 88 % (331 PJ) from biofuels, since fossil fuel combustion moves CO$_2$ from the long-term fossil reservoir into the atmosphere, while biofuels are mostly recycling of recent biomass (though they include some long-term removal of net biomass, which

15 is small relative source to the atmosphere). Sectorally, the industry contributed to 46 % of the combustion-based CO$_2$ emissions, followed by residential (32 %) and transport (15 %), with small fractions from the commercial (4 %) and agricultural (mechanized farming) (3 %) sectors. Even though the residential activities are the main drivers of the national energy, consuming 292 PJ of biofuels and 8 PJ of fossil energy, almost 92 % of the CO$_2$ emissions from biofuels are from recycled carbon, with the

20 non-recycled fraction being about 10 % each from firewood, agricultural residue and 0 % dung cakes (see supplementary information section S4). The CO$_2$ emissions from the industries are mostly driven by fossil fuel combustion (98 % fossil fuel and 2 % biofuel), especially coal use in the production of bricks (27 %) and process emissions (53 %) from cement manufacturing.

Figure 5b shows the normalized trend in emissions with respect to 2001. Overall there is an increase in

25 emissions with a factor ranging from 1.2 for species like CH$_4$, PM$_{2.5}$ and OC to a maximum increase by a factor 2.3 for CO$_2$ and 2.2 for NO$_X$ between 2001 and 2016. The change in slope and increase in CO$_2$ and NO$_X$ emissions can be well explained by a sharp increase in import of petroleum fuels like LPG,

petrol and diesel after 2008. The emissions of $PM_{2.5}$, BC, OC, NMVOC and CO have increased by a factor 1.2–1.7 with a steep slope. A dip in the SO_2 emissions in 2006 can be explained by low coal consumption in brick factories as reported by CME, (2006) and the decreasing sulfur content in imported petrol and diesel, that is, a decrease from 500 mg sulfur per kg fuel (BS-II norm till 2005) to 50 mg sulfur per kg fuel (BS-IV norm from 2011 onwards). The increasing trend in $PM_{2.5}$, OC, NMVOC and CO follows the increase in energy use in the residential sector with steep slope due to less fuel consumption in improved cookstoves.

3.3 Technology based emissions estimates

The emissions are characterized for 36 different combustion technologies using their respective emission factors. The following section describes the top six combustion technologies and their overall contribution to the 2011 emissions estimates of aerosols, ozone precursors and greenhouse gases from the five energy-use sectors.

For primary particulate matter, the top six combustion technologies correspond to 95 % $PM_{2.5}$, 91 % BC and 97 % OC. The high $PM_{2.5}$ emissions are estimated from use of firewood, dungcake and agricultural residue in traditional cookstoves; burning of wood and dungcakes for space heating activities and fuel in brick kiln (Fig. 6). Similar combustion technologies are found with high OC emissions (Fig S9). Various national and international organizations have promoted the use of improved cook stoves (ICS) that implicates energy efficient stoves with improved air quality and health co-benefits. So far, 1.26 million ICS disseminated during 2000–2011 are considered in this study, which has reduced 13 % $PM_{2.5}$, 12 % BC and 13 % OC emissions compared to the emission scenario without ICS distribution. In the case of BC, apart from above combustion technologies, high amounts of BC are also emitted from kerosene lamps (3.5 %). The information on emission control measures used in industries is not readily available. Given poor implementation of policies in Nepal on emission control measures, we expect that most of the industries are operated without proper emission control measures. However, the installation of stack emission control technology in the power plants and industrial boilers will become a reality

sooner or later. This is likely to change the emission profile, such as shares of BC and OC in $PM_{2.5}$, and the size of particles emitted, which needs to be considered in future emission estimates.

In case of ozone precursors, apart from residential and industry sectors, we start to observe the listing of polluting technologies from transport sector too. Combustion technologies using biomass emits 44 % NOx, while heavy duty diesel passenger (buses) and freight (trucks) vehicles emits 17 % and cement kilns 9 % that accounts for 70 % of the estimate from sources in this part of the study (Fig. 6). CO, a tracer of incomplete combustion is largely emitted from TCS using biomass, contribute to 73 %, while 14 % is emitted from burning of wood for space heating. Gasoline two-wheeler vehicles also contribute to 3.6 % of CO which aggregates to 93 % of the total of the five sectors. Recent years have seen a sharp increase in use of two-wheeler vehicles, with about 1.03 million two-wheelers registered till 2011 (2.18 million by 2016) nationwide, which are estimated to consume 113 million litres of gasoline. NMVOCs emissions are completely driven by combustion of biomass in every form of fuel in TCS, and also in ICS, which contributes 94 % of the total NMVOCs. Replacing 1.26 million TCS with ICS has reduced NMVOC emissions by 15 % in the residential sector. The coal combusting technologies like FCBTKs and cement kilns emit about 69 % of SO_2, followed by 19 % from traditional stoves using firewood, dungcakes and agricultural residue and 2 % from oil boilers, amounting to 90 % from the top six polluting technologies.

High CO_2 emissions (considered after non-renewability factor, explained in detail in supplementary information S4) are largely driven by high energy consumption in cement kiln (27 %), TCS using wood (15 %), brick kilns (11 %), LPG stove users (6 %), diesel trucks (5 %) and wood burning in space heating and diesel trucks (5 %) that makes 70 % of the total estimate. Only pyrogenic emissions are considered for analysis and hence, the CH_4 and N_2O emissions follow the top polluting technological trends, similar to $PM_{2.5}$, CO, NMVOC and OC, i.e., in cooking and space heating activities. For OC, SO_2, NMVOC, CH_4, CO_2 and N_2O, Figure S9 is appended in supplementary information.

3.4 Emissions comparison

3.4.1 Regional and global emission inventories

In the present study, NEEMI-Tech emissions are compared with the Asian anthropogenic MIX (emission source: Nepal emissions reported in Li et al., 2017) emission inventory which was prepared for the MICS-Asia and the HTAP projects (Li et al., 2017). One-to-one correspondence for sectors and activities are considered while making the comparison. Table 3 shows the total emissions ratios of NEEMI to MIX in 2010. It is observed that the NEEMI estimates lower emissions of NO_X (0.75), CO (0.80), BC (0.90), OC (0.77) and SO_2 (0.78) while CH_4 (1.21), N_2O (1.35), NMVOC (1.06) and $PM_{2.5}$ (1.38) emissions are higher than the MIX estimates. This difference in emissions can be explained by the underlying assumption of fuel allocation and the EFs in each sector. Since the MIX inventory for Nepal was reproduced using the REAS 2.1 (emission source: https://www.nies.go.jp/REAS/), the following analyses have compared the REAS 2.1 2008 sectoral emissions with NEEMI 2008 emissions to explain the difference in emissions (Table S7). In both the inventories, the residential sector dominated all the emissions, emitting more than 90 % of the total estimate which identifies it as the single most influencing sector to have caused low ratios for NO_X, CO, BC, OC and SO_2. Further the EFs for biomass burning in residential sector in MIX and NEEMI were investigated and it was found that, the ratio of NEEMI to MIX weighted average EF was a factor 0.89 for NO_X, 0.88 for CO and 0.22 for SO_2. However, for BC the weighted average EF in NEEMI was 1.1, a factor higher than MIX and for OC the EFs were similar. Moreover, the REAS 2.1 inventory was also compared for 2001–2007, delineating similar ratios as found in 2008 (Fig. 7). This shows the use of invariant emission factors and other underlying assumptions for different time scale in REAS 2.1 with an increase in fuel consumption from 2001 onwards. While the resultant SO_2 emission factor in NEEMI reflects the timely improved sulfur content of diesel and petrol. Apart from the difference in emission factors in biomass fuel, the contribution from the industry sector is weakly estimated for NOx, BC and SO_2 in REAS, which is 4.5 %, 1.3 % and 20.6 % as compared to 14.6 %, 7.9 % and 71.7 % in NEEMI. This concludes that the difference in emissions is not predominated by EFs only, but that there is also an influence of fuel consumption and its appropriate allocation to combustion technologies. Since these factors are carefully considered in this study, the NEEMI estimates (are likely to have) less uncertainty in emissions.

The emissions were also compared with the global datasets of EDGARv4.3.2 (emission source: https://edgar.jrc.ec.europa.eu/overview.php?v=432_GHG&SECURE=123;https://edgar.jrc.ec.europa.eu/overview.php?v=432_AP) CMIP6 (emission source: https://www.geosci-model-dev.net/11/369/2018/gmd-11-369-2018-assets.html), and ECLIPSE V5a-GAINS (emission source: Author/co-author direct communication)

5   for the emission trends and year 2010. The objective behind developing the CMIP6 emissions was "to combine the global estimation framework with country-level inventories, to produce reproducible, consistent over space, time and species" (Hoesly et al., 2018). Following this, the CMIP6 inventory starts with fuel consumption at sector level from International Energy Agency (IEA) and the emission factors with an intention to scale their estimates by comparing along with regional emissions. For

10   Nepal, the scaling was done with REAS 2.1, and we observe from Fig 7 that the total estimates of NO_x, CO, NMVOC and SO_2 were found to be similar in both studies, while CH_4, BC, OC and CO_2 were not. One of the reasons for the disagreement in BC and OC was the default estimates from SPEW, while for CO_2 it can be inferred that their estimates are much closer to the NEEMI CO_2 emissions, including the non-renewability factor. The CH_4 emissions from CMIP6 are consistent and do not vary over the time,

15   which is completely different than REAS 2.1 and NEEMI, where the increase can be attributed to an increase in fuel consumption over the one and half decade. At the sectoral level, the residential activities contribute 92-99 % to the total of the five sectors for BC, OC, NMVOC, CH_4, CO and ~55-60 % for NO_x in the CMIP6 and NEEMI inventories. Also, the CMIP6 SO_2 emissions from each sector vary a lot when compared to NEEMI, showcasing the shortcomings due to the coarser resolution methodologies,

20   that lack the degree of detail present in the NEEMI inventory. Like in NEEMI, SO_2 emission factors used are for a large number of technology-fuel combinations, the sulfur content of the liquid fuels changes over a period of one and half decades and the sulfur retention fraction in the ash content of coal depends on the combustion technology. Whereas, CMIP6 considers only eight types of fuel, with no clear distinction in biomass such as firewood, agricultural residue and dungcakes.

25   Even EDGARv4.3.2 emissions are estimated using activity and production numbers from IEA and emission factors from EMEP/EEA guidebook (Crippa et al., 2018). One of the core differences between global inventories of EDGARv4.3.2 and CMIP6 lies in the consideration of the level of detail and their

integrity in each sector. For example, EDGAR covers 26 aggregated sources and 64 fuel types compared to 55 sources and 8 fuels in CMIP6 (Hoesley et al., 2018, Crippa et al., 2018, Janssens-Maenhout et al., 2019). For the five sectors considered, emissions of $PM_{2.5}$, BC, OC, NO_x, NMVOC and N_2O from NEEMI are higher than EDGAR by a factor 1.3-1.5, mainly due to differences in biomass consumption (especially consideration of space and water heating activities in the residential sector) and differences in the new field measured emission factors for traditional cookstoves and burning biomass (Stockwell et al., 2015). Also, differences arise due to the assumption of a 40 % superemitter fraction in on-road vehicles and use of technology specific emission factors throughout the inventory. The CO_2, CO and SO_2 emissions from NEEMI are lower than EDGAR by a factor of 0.7-0.9, due to the use of tier-II/III approaches in the methodologies and technology specific emission factors, while there is a good agreement for CH_4 emissions. Across sectors, the residential and commercial together contribute 90-97 % of the total estimate in EDGAR, which is consistent with NEEMI for all pollutants except CO_2, NO_x, CO and SO_2. These differences in emissions can be explained with the underlying assumptions in each activity, fuel consumption and emission factors.

The emissions from the GAINS-ECLIPSEv5a model was also compared for 2005, 2010 and 2015 for $PM_{2.5}$, BC, OC, NO_x, CO, NMVOC, CH_4 and SO_2. One of the first inferences that can be derived is, the decrease in GAINS model emissions by a factor of about $0.10 - 0.35$ for the year 2015 with respect to 2010. Since there has been an increase in fuel use over the years, the GAINS model assumes a decrease in emission factors for $PM_{2.5}$ by a factor 15 % globally during 2005-2010 due to improvements in combustion technologies for cookstoves in the Asia (Klimont et al., 2017). Under the 'current legislation emission' (CLE) scenarios it continues to improve further and the impact is visible due to higher penetration of ICS. A large variability is observed when the GAINS emissions are compared with NEEMI for the 2005-2010-2015 period. Still they are within an uncertainty ratio of $0.8 - 1.2$ (GAINS/NEEMI) during 2005 and 2010 for $PM_{2.5}$, OC, NO_x, NMVOC, and CH_4. The BC, CO and SO_2 emissions from GAINS are a factor 1.5-3.0 higher than NEEMI, anticipating the difference in high emission factors. Overall, the residential sector is the dominant source, contributing to ~90 % of the total emissions, while the difference arises in NOx and SO_2 emissions. The GAINS inventory estimates

50 % NO$_x$ emissions from the transport sector, compared with 19 % from NEEMI. Inclusion of 20 % superemitter fleet fraction with a varying and high amplification factor up to 10 across different emission standard vehicles may be one of the reasons. In the case of SO$_2$, 43 % is emitted from industry and 53 % from residential, which is different from 61 % and 37 % in NEEMI, due to use of regional and technology specific emission factors and assumptions about sulfur retention in ash.

3.4.2 Kathmandu Valley and Nepal

The emissions from the Kathmandu Valley (KTM) are compared with all of Nepal (NPL) to understand the relative contributions from different sources for year 2011. There are three administrative districts in the Kathmandu Valley, namely Kathmandu, where the capital city (Kathmandu Metropolitan City, or KMC) is located, Lalitpur and Bhaktapur. Being the commercial and financial hub of the country, the population residing in the valley is about 2.5 Million, ~10 % of the total population of Nepal in 2011 (CBS, 2012). Table 4 shows the emission ratio of KTM to NPL for all pollutants and sectors, which ranges from 0.03 to 0.13, with a minimum for CH$_4$, PM$_{2.5}$, and OC emissions and a maximum for NO$_x$ emissions i.e. 0.13. This reflects strong sources of NO$_X$ in the Kathmandu Valley, definitively high diesel use. These ratios were also estimated for each sector to understand its relative contribution.

On a sectoral level, the emissions (all species) from the transport sector in the Kathmandu Valley are 30 % to 55 % of the total estimates. One of the important reasons for high emissions from transport is the number of vehicles registered in the Bagmati Zone (a zone of eight districts including three districts in the Kathmandu Valley). 30 % of national fleets are registered in Bagmati Zone, which subsequently results in a high level of fuel consumption. Next, the commercial sector is of importance, for which the emission ratio ranges from 0.10–0.35 for individual pollutants. This sector comprises of the sub-sectors of hotels, restaurants and heavy use of diesel in generators during load shedding hours (power cuts). According to the Nepal tourism statistics, there are 423 hotels registered in the Kathmandu Valley, compared to 291 outside the Valley. Also the urban population that dines in restaurant is assumed to be a factor 3 times higher than the rural population, which increases the fuel consumption and its emissions. The industry sector emissions ratio for all species ranges from 0.07–0.17, except for N$_2$O,

which is 0.26. There are 110 large point source industries within the Valley (out of 1512 nationally) which especially includes 76 brick production units (identified using geolocations), that tend to use biomass and coal, increasing the $PM_{2.5}$ and OC emissions. Industries that manufacture structural metal also contributes significantly. The residential sector emissions from the Kathmandu Valley are only 2–4 % of the total emissions for all pollutants, in spite of the fact that ~10 % of the population resides in the Valley. Due to extensive urbanization, the population relies on cleaner sources of fuel, viz. 84 % of the households use LPG and 10 % use electricity as their primary source of fuel for cooking (CBS, 2012). In addition, < 5 % of the households use sources other than the electricity for lighting. In contrast to the valley, 82 % of the households in rest of the Nepal relies heavily on biomass (firewood, agricultural residue and dungcakes) for cooking and nearly 35 % use sources other than electricity for lighting.

For 2016, the emissions from the Kathmandu Valley were compared with 2011 in order to understand the impact of shift in technologies on emissions. The total emissions from KTM during 2016 have increased by a factor varying from 1.05 for OC to ~2.0 for NOx and SO_2. Table S8 details the emissions in 2011 and 2016 over the Kathmandu Valley. There was a major change in two main polluting sources during 2016, (i) straight firing brick kilns in the Kathmandu Valley were changed to the zig-zag firing technology when they were rebuilt after the 2015 earthquake and (ii) diesel generator sets were phased out due to improvements in load shedding hours since 2016. The shift to the zig-zag firing has resulted in reductions of 65 % CO, 30 % $PM_{2.5}$, 81 % BC and 19 % SO_2 emissions from brick production, while the near-complete phasing out of diesel generator sets has reduced all pollutants from diesel generator sets to effectively zero.

3.5 Spatial and temporal distribution

High resolution emission estimates require suitable surrogates to distribute emissions spatially according to their activity locations. Each sector and sub-sector activities were tracked down and distributed as point, area and line sources. Figure S10 shows the proxies considered in each sector. The emissions from residential activities were distributed using population surrogates from the Central Bureau of Statistics (CBS) for 2011. This vector data of population available at each Village

Development Committee (VDC), an administrative unit in rural areas, and municipalities in urban areas, is processed using dasymetric mapping techniques (in addition to census data use ancillary information like topographic maps and LULC data to generate population density maps) and converted into a uniform raster grid of 1 km × 1 km resolution (Mennis, 2009). Similarly, these spatial proxies were also used in space heating and water heating sub-sectors. Industrial emissions from point sources (Table 1) were distributed to approximate locations identified based on CME survey information (CBS, 2014). Similarly, for emissions from brick production, the exact geospatial locations of the individual ~470 kilns were identified using web URL (Google Earth) and CME reports (Fig. S10).

Industries categorized as area sources were aggregated and their emissions were distributed in respective VDCs and municipalities using population surrogates. Emissions from commercial sources of hospitals, hotels, banks and academic institutions were distributed based on their locations at VDCs and municipalities. Emissions from sub-sectors of restaurants, barrack canteens and other service sectors were distributed using population similar to the residential sector. The census of agriculture reports the irrigation and cultivation activities using tractors, pumps, power-tillers and threshers at the district level. These identified districts, along with land-use-land-cover (LULC) maps of agricultural area, were utilized for distributing emissions from diesel use in agriculture sector. The emissions from mobile sources were distributed using proxies of population and road density maps. With a basic understanding of population and its urbanization, the emissions from private cars (gasoline) were distributed using urban population densities, while for two-wheeler vehicles, these were spread across rural and urban population maps. For diesel, especially the public passenger and freight vehicles, due to insufficient information, a logic of 50–50 % was used to distribute emissions on population and road density network. Figure 8 shows the final spatial distribution of $PM_{2.5}$, BC, NO_x and CO emissions for 2011. As the residential sector dominates the whole inventory, the gradient across different parts of the country mostly reflects the population density, with a few hotspots for point sources.

Figure 9 shows the seasonality of various activities on a monthly resolution basis. Temporal variability in emissions was introduced in the activities of brick kiln production, where the official firing of kilns

starts from December and continues for the next 5 months until May, before the onset of the monsoon. Around 87 % of the households throughout the country hire tractors and power tillers for tillage operations, which usually accounts for 40–45 days, including 2–3 days of usage on their own farm land. Therefore, two months in the pre-monsoon season and post-monsoon season are considered for distributing emissions from tractors and power tillers used on-field. Emissions from space heating and water heating are considered as per the temperature profiles across each district. Diesel generators are heavily used in the non-monsoon season during hours of load shedding (before the shift in power management). Therefore, the seasonal variability in the use of diesel generators is followed as per the trend in monthly hours of load shedding reported by NEA. The analysis of the monthly distribution of emissions showed that Dec–Jan–Feb constituted the maximum $PM_{2.5}$ emissions, i.e. 11 % of the annual total, followed by Oct-Nov (10 %) (Fig. S11). The sectoral contribution showed peak emissions in Dec–Jan–Feb due to the variability in emissions mostly caused by the space and water heating emissions. Once a more complete inventory, including open waste and agricultural waste burning is available (to be described in part II of this work), it would be pragmatic to identify the peak emissions on a monthly scale.

4. Conclusions

A high resolution 1 km × 1 km technology-linked multipollutant emission inventory was developed for Nepal for the base year 2011. The trends in energy and emissions during the period 2001–2016 were also studied. This work included all the relevant sources of energy-use sectors such as residential, industry, transport, commercial and agriculture (methane from enteric fermentation and manure management from livestock was also estimated), and emissions were characterized by their combustion technologies. These broad sectors were further disaggregated into sub-sectors of coherent sources and emissions were estimated for a total of 36 combustion technologies. The national energy consumption estimated for 2011 was 374 PJ, with the residential sector being the largest consumer of energy, around 79 %, followed by industry (11 %) and transport (7 %). The energy source was dominated by biomass, contributing 88 % to the total energy consumption, while 12 % was from fossil fuel. A total, 8.9 Tg CO_2, 110 Gg CH_4, 2.1 Gg N_2O, 64 Gg NO_X, 1714 Gg CO, 408 Gg NMVOC, 195 Gg $PM_{2.5}$, 23 Gg BC,

83 Gg OC and 24 Gg SO_2 were estimated in 2011 using regionally measured emissions factors. The sectoral contributions to emissions were mostly energy-driven, especially cookstoves using biomass and burning for space heating activities in the residential sectors. The assessment of top polluting technologies showed high emissions from traditional cookstoves using firewood, dungcakes, and agricultural residues, and burning of firewood and dungcakes for space and water heating. In addition, high emissions were also computed from fixed chimney Bull's Trench Kilns in brick production, cement kilns, two-wheeler gasoline vehicles, heavy diesel freight vehicles and kerosene lamps. The estimated energy was compared with officially reported energy by WECS for 2011. Overall the technology-linked energy consumption estimated in NEEMI was higher by a factor 1.15 than estimated by WECS. Comparison with the regional inventory MIX showed lower estimates of NO_X, CO, BC, OC and SO_2, while CH_4, N_2O, NMVOC and $PM_{2.5}$ were estimated to be higher in NEEMI inventory, due to differences in methodologies, emission factors and the disaggregation of energy across sources and sectors. Even the global inventory shows high uncertainties in their estimates due to coarser resolution of the defined sectors (important in the local context), fuel sources from global database and use of Tier-I emission factors. The energy and emissions for Nepal were also compared specifically with the Kathmandu Valley, and it was found that the Valley consumed 8.2 % of the national energy in 2011 and the emissions were 3–6 % of the total estimates, except 14 % of NO_X, which was attributed to a high use of diesel in vehicles and diesel generators.

Activity specific spatial proxies were used for developing the gridded spatial distribution of emissions. A wide variation in emissions distributions was achieved, highlighting the pockets of growing urbanization and the detailed knowledge about the emission sources. Emissions from brick production, the agriculture sector, space and water heating and the use of diesel generators were apportioned across different months using information on the respective temporal variation in the activities. It was observed that Dec–Jan–Feb constituted the maximum $PM_{2.5}$ emissions, followed by Oct-Nov. However, with a more complete inventory after inclusion of open waste and agricultural waste burning from Part 2, this would provide more realistic emissions to identify the peak months for high emissions. Though such extensive work requires a lot of crucial demographic data and statistical information for each activity,

this work has made some pragmatic assumptions wherever required, which identifies the need to improve the information available for some of the important sectors at the national and municipality level. The NEEMI has attempted to provide an insightful and detailed understanding of the energy requirements in various sectors. It has also provided implications of new energy efficient technologies in emissions, which can be further used in modelling the atmospheric dynamics at urban to regional scale and redesigning appropriate mitigation policies.

5. Author Contribution

MR and ML conceptualized the research idea. PS designed the methodology, investigated and analyzed the results. PB and KS provided inputs on spatial distribution of source sectors and crucial discussion and analysis on emission factors. PS prepared the manuscript with contribution of all co-authors.

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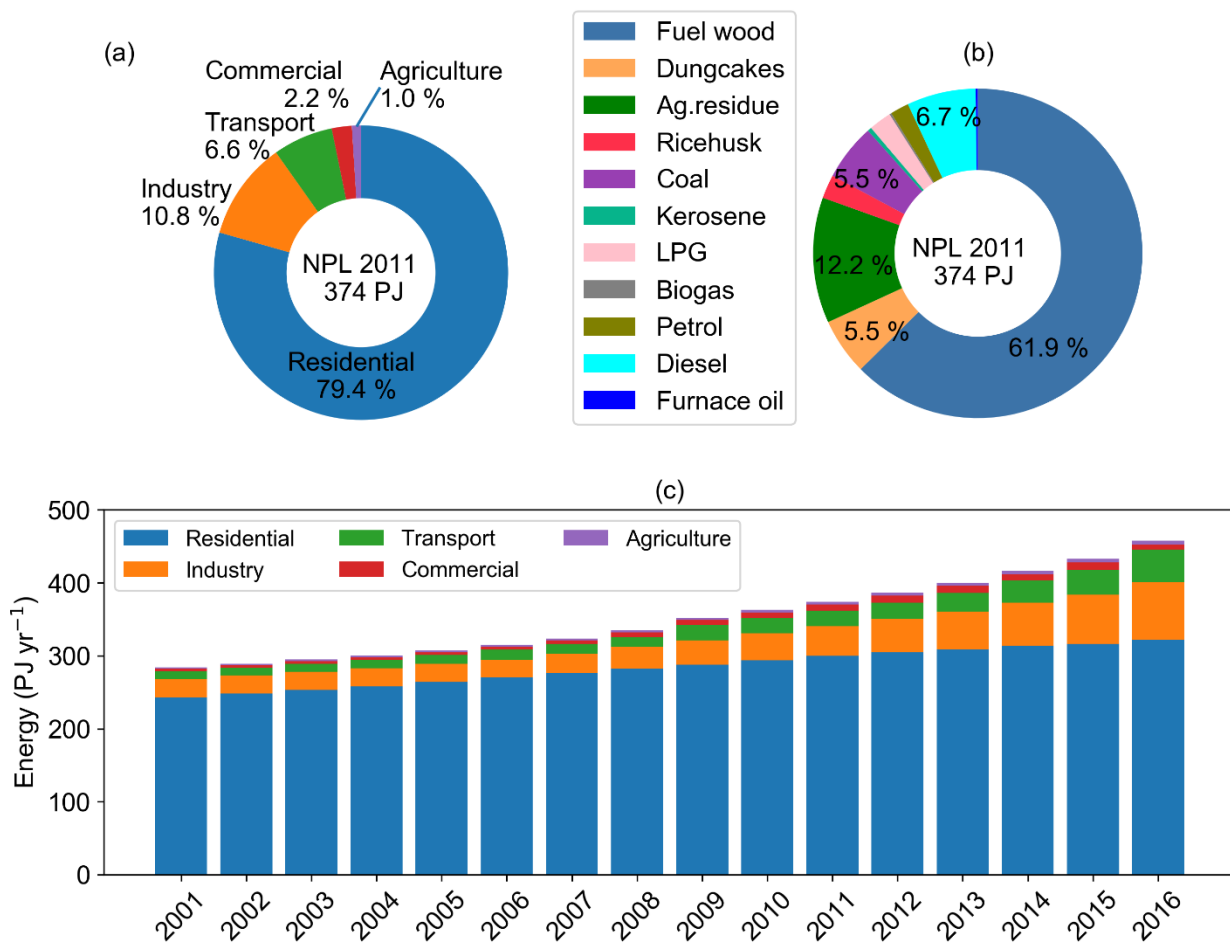
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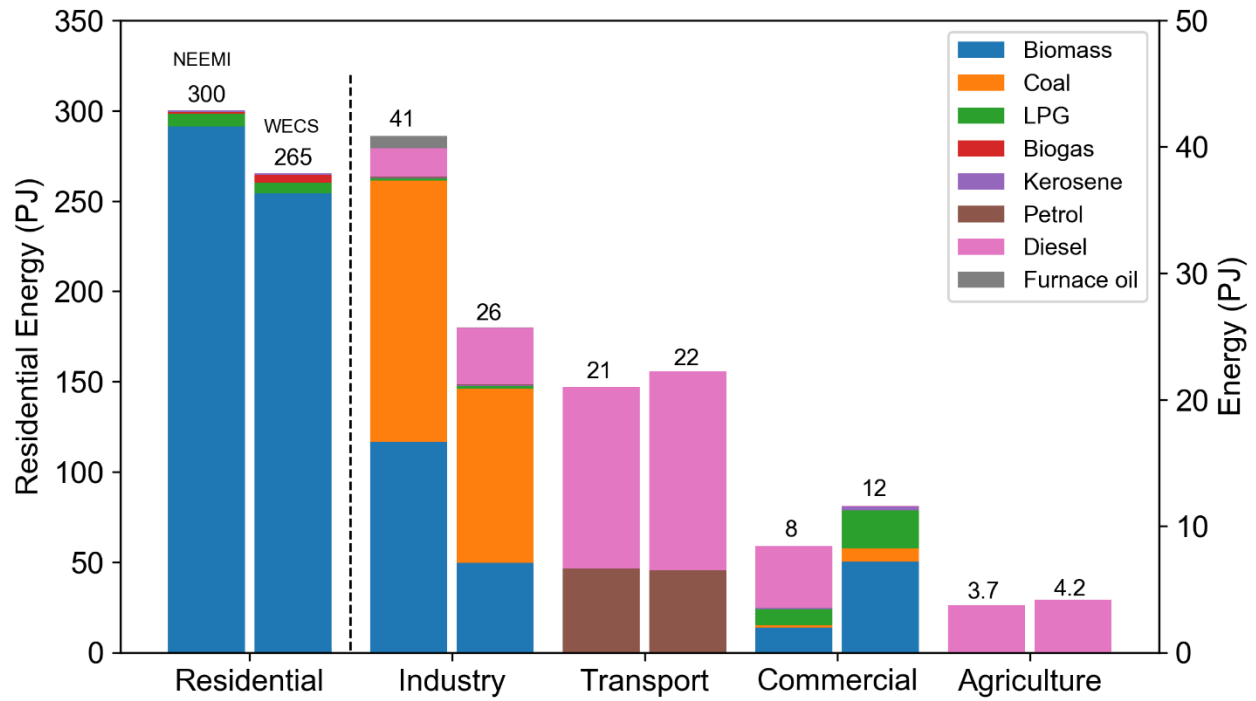
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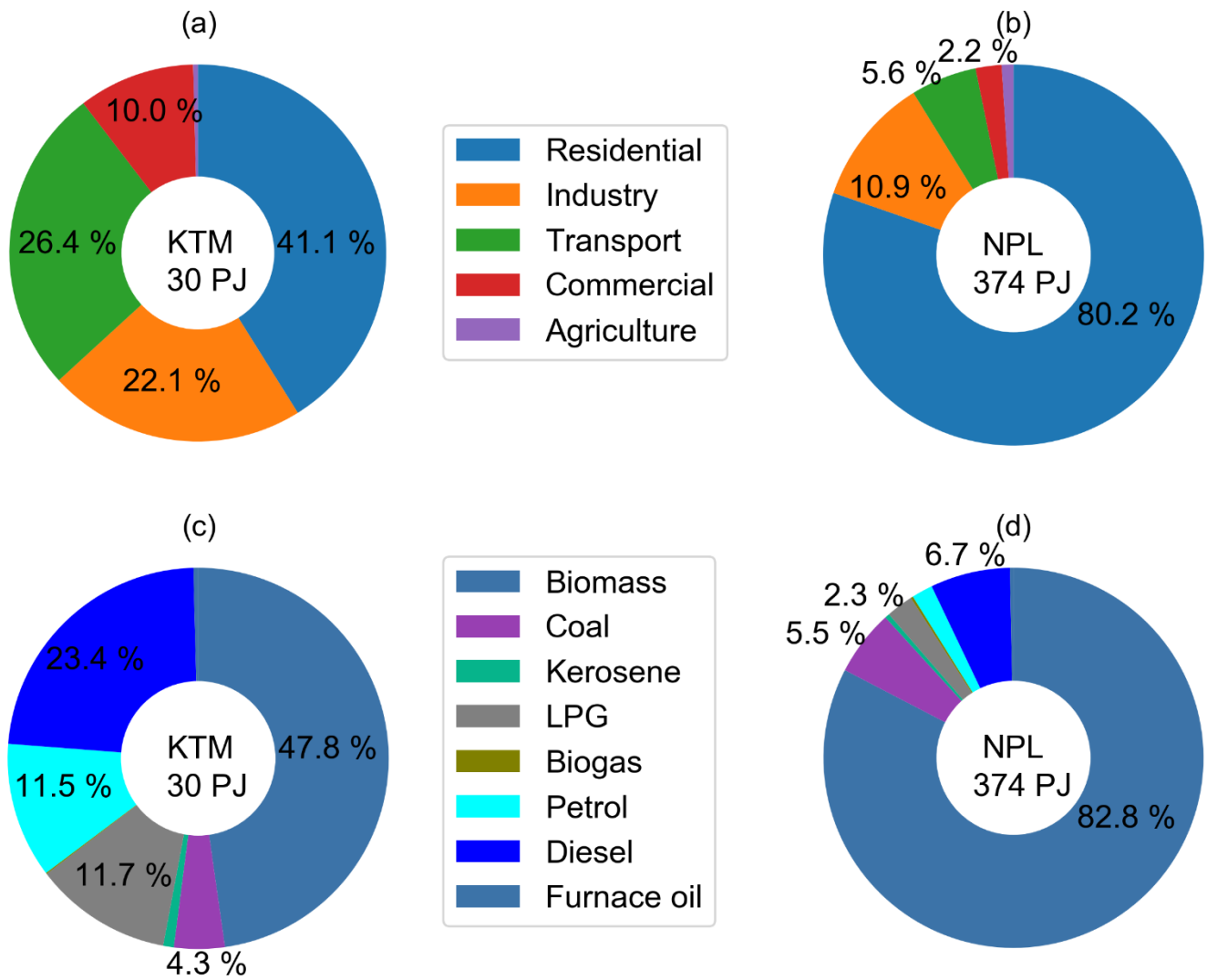
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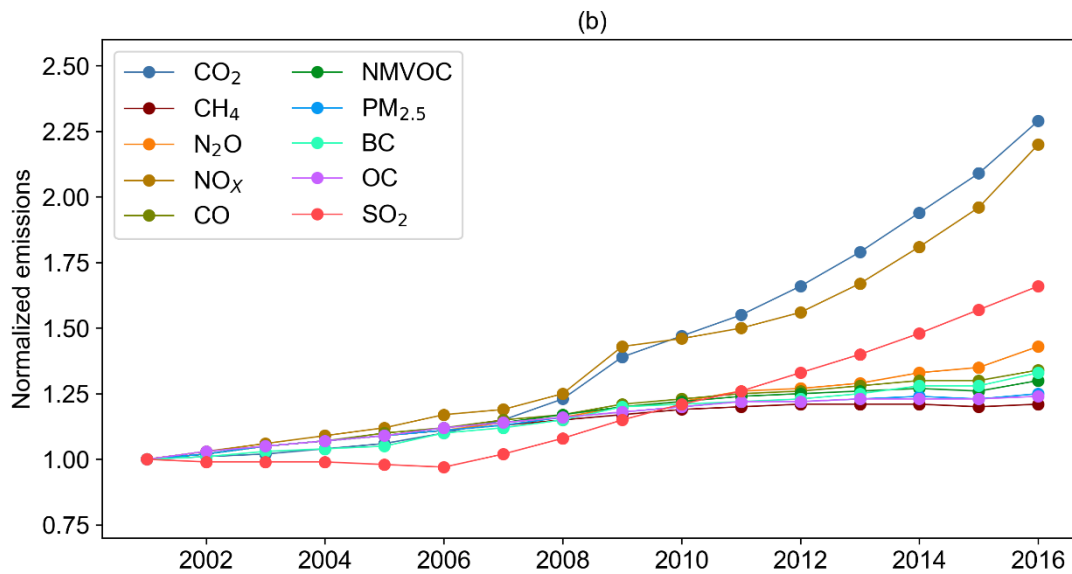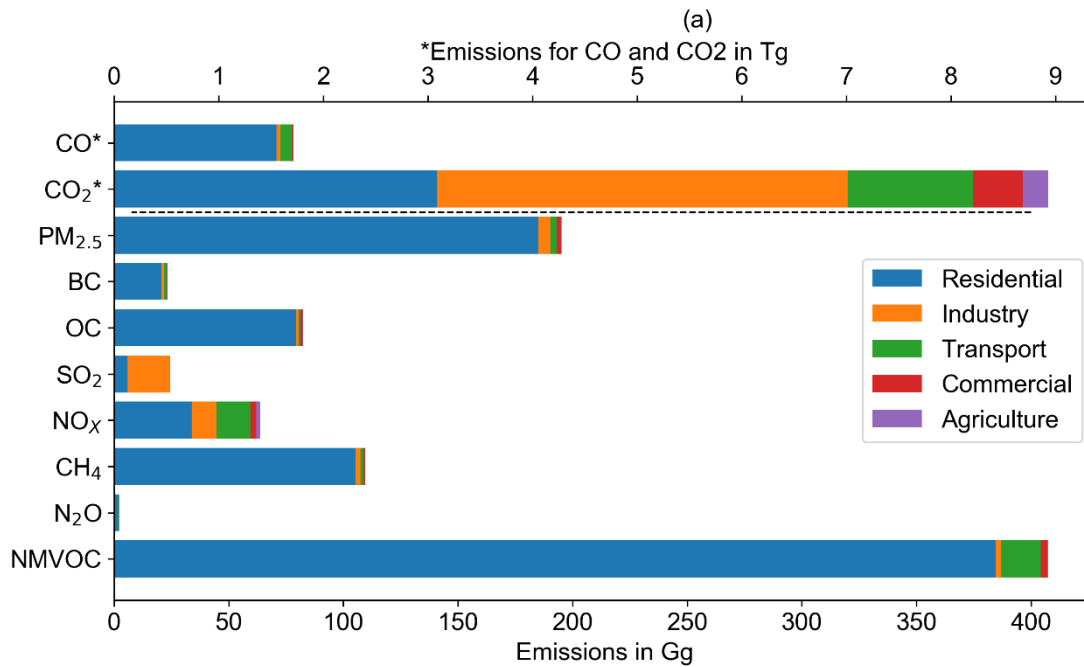
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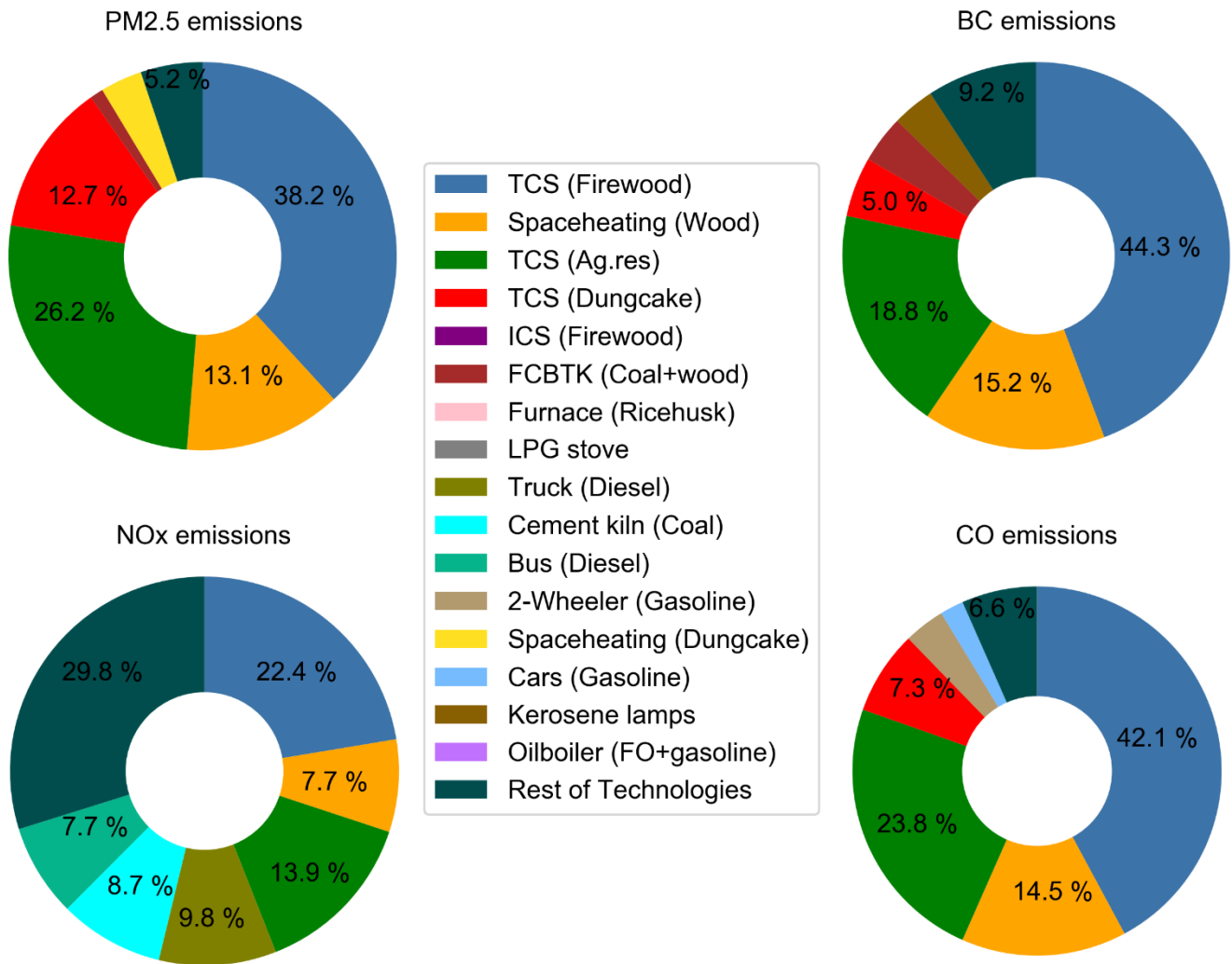
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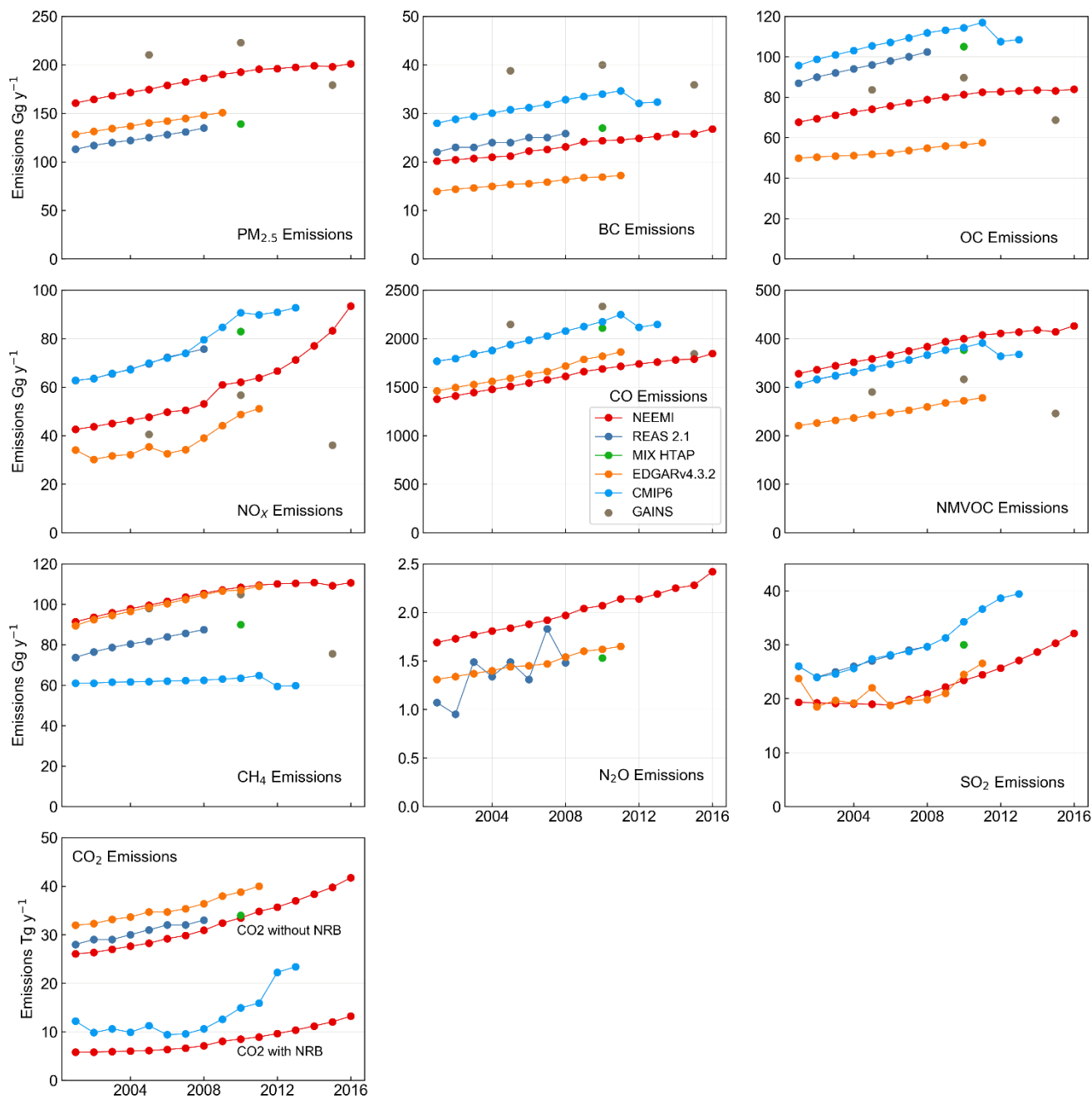
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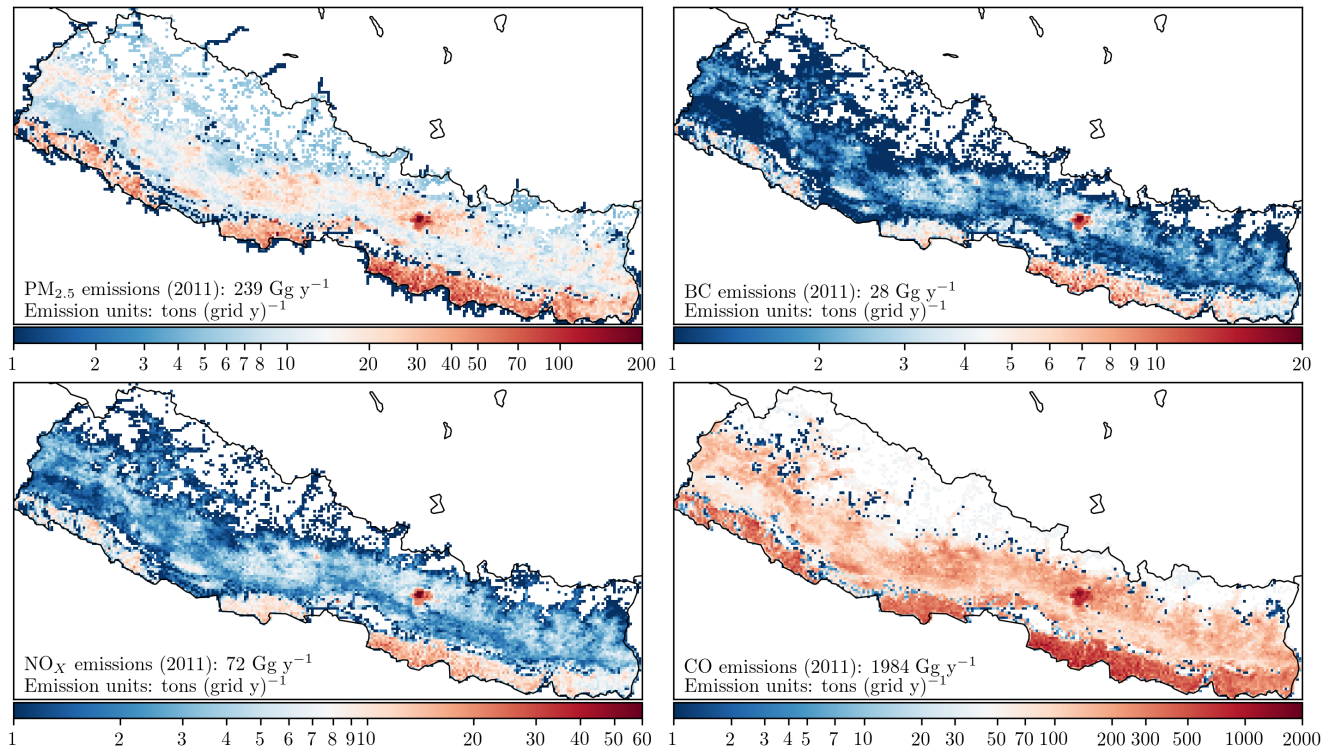
5   **Figure 8.** Spatial distributions of PM2.5, BC, NOx and CO emissions for year 2011
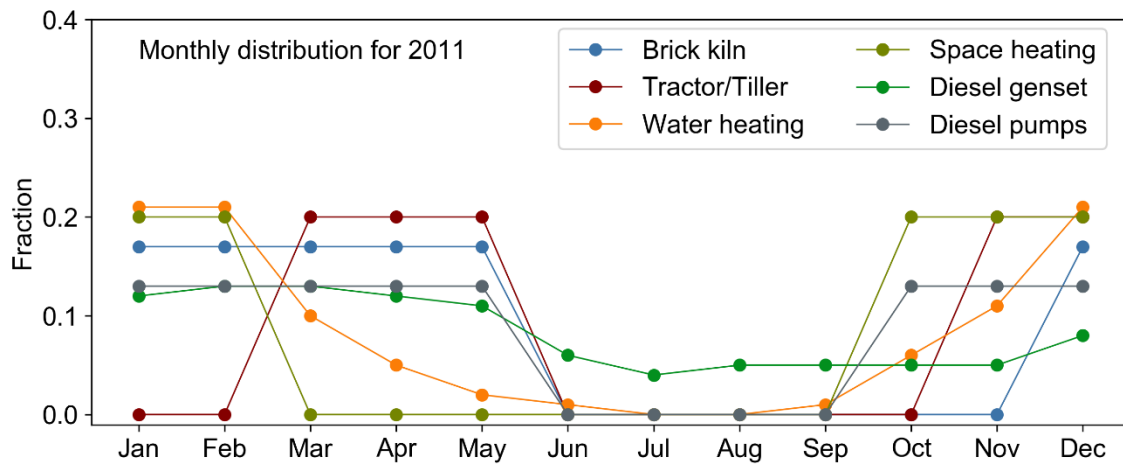
Figure 9. Temporal variability accounted in activity rates starting from January till December

Table 1. Details about important features of NEpal EMission Inventory (NEEMI)

Features	Details
Base year	2011
Region	75 districts and urban cities as per National Census 2011
Sectors and sub-sectors	*Residential:* Cooking, lighting, space heating (indoor and outdoor), water heating and boiling
	Industry: Large point sources (LPI) of cement, brick production, basic iron, structural metal, and pharmaceutical industries, while the rest (e.g., tea and coffee, grain mill, noodles) are considered small and medium industries (SMI)
	Transport: two wheelers, cars (gasoline), cars (diesel), bus, micro/mini-bus, mini-trucks, trucks and off-road vehicles
	Commercial: Academic institutions, hospitals, financial institutions, government offices, barrack canteens, hotels and restaurants
	Agriculture: Diesel pumps, tractors, power tillers and threshers
Species	*Aerosols and constituents:* $PM_{2.5}$, BC and OC
	Ozone precursors and other gases: NO_X, CO, NMVOC and SO_2
	Greenhouse gases: CO_2, CH_4 and N_2O
Spatial resolution	1 km × 1 km
Temporal resolution	Monthly

Note: The open burning (municipal wastes and agricultural open field burning) and fugitive sources (waste, paddy fields, enteric fermentation and manure management) are not considered in this study.

Table 2. Technology details in each sector and sub-sectors

Sector	Sub-sector/Activities	Fuel	Combustion & Process technology
RESIDENTIAL	Cooking	Firewood	Traditional mud cookstove (TCS), Improved cookstove (ICS)
		Dungcakes	
		LPG	LPG stove
		Kerosene	Kerosene pressure stove
		Biogas	Biogas stove
	Lighting	Kerosene	Kerosene wick lamp
		Biogas	Biogas lamp
	Water heating and boiling	Firewood	TCS
		Kerosene	Kerosene pressure stove
		LPG	LPG stove
	Space heating	Firewood (In)	TCS
		Firewood (Out)	Open burning
		Dungcakes	Open burning
INDUSTRY	Brick kilns	Coal, wood	Fixed Chimney Bulls' Trench Kiln (FCBTK)-straight firing, zig zag firing, clamps and vertical shaft brick kilns (VSBK)
	Cement production	Coal	Rotary kilns
	Basic Iron	Furnace oil	Reheating furnace
	Industries	Coal, wood	Furnace
		Ricehusk	Boiler

		Diesel	Diesel generator, oil boiler
		Furnace oil	Oil boiler
COMMERCIAL	Academic institutions, government offices hospitals, financial institutions and other service sector	Diesel	Diesel generator
	Barrack canteen, Hotel, Restaurants	Coal/Wood	TCS
		Kerosene	Kerosene pressure stove
		LPG	LPG stove
		Diesel	Diesel generator and oil boiler
AGRI-CULTURE	Irrigation Pumps	Diesel/Gasoline	Diesel pump/Gasoline pump
	Tractors	Diesel	Diesel tractor
	Power tillers	Diesel	Diesel power tillers
	Threshers	Diesel	Diesel engines
TRANSPORT	Private passenger	Gasoline	Two wheeler, Cars
	Public passenger	Diesel	Jeep/Taxi, Microbus, Minibus, Bus
	Public freight	Diesel	Pick-up, Mini truck, Trucks
	Off-road vehicles	Diesel	Tractors, power tillers, Others

Table 3. Comparison of NEEMI-Tech emissions with the MIX- HTAP emissions for 2010

Sectors	CO_2	CH_4	N_2O	NO_X	CO	NMVOC	$PM_{2.5}$	BC	OC	SO_2
NEEMI (2010)	33.4	108.4	2.1	62.1	1689	399.7	192	24.4	81.2	23.4
MIX (2010)	34.0	90.0	1.5	83.0	2109	376.7	139	27.0	105.0	30.0
Ratio NEEMI/MIX	0.98	1.21	1.35	0.75	0.80	1.06	1.38	0.90	0.77	0.78

All units in Gg/yr except CO_2 in Tg/yr

Table 4. Comparison of total and sectoral emission ratios for the Kathmandu Valley and Nepal for 2011

Sectors	CO_2	CH_4	N_2O	NO_X	CO	NMVOC	$PM_{2.5}$	BC	OC	SO_2
KTM/NPL	0.06	0.04	0.05	0.13	0.06	0.05	0.04	0.05	0.03	0.06
Industry	0.10	0.09	0.26	0.11	0.08	0.08	0.17	0.09	0.17	0.07
Residential	0.04	0.03	0.03	0.03	0.03	0.03	0.03	0.03	0.02	0.03
Commercial	0.33	0.11	0.26	0.36	0.19	0.19	0.26	0.20	0.30	0.14
Agriculture	0.03	0.04	0.03	0.02	0.02	0.07	0.04	0.01	0.04	0.22
Transport	0.35	0.54	0.36	0.34	0.48	0.47	0.31	0.33	0.36	0.37