



1 **A 10-year climatology of globally distributed ice cloud**  
2 **properties inferred from the CALIPSO observations**

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4 Honglin Pan<sup>a</sup>, Xinghua Yang<sup>a,\*</sup>, Kanike Raghavendra Kumar<sup>b,c,\*\*</sup>, Ali  
5 Mamtimin<sup>a</sup>, Minzhong Wang<sup>a</sup>, Chenglong Zhou<sup>a</sup>, Fan Yang<sup>a</sup>, Wen Huo<sup>a</sup>,  
6 Chaofan Li<sup>d</sup>, Jiantao Zhang<sup>a</sup>, Lu Meng<sup>a</sup>

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8 <sup>a</sup>*Taklimakan Desert Meteorology Field Experiment Station of CMA, Institute of*  
9 *Desert Meteorology, China Meteorological Administration (CMA), Urumqi 830002,*  
10 *Xinjiang, China*

11 <sup>b</sup>*Department of Physics, School of Sciences and Humanities, Koneru Lakshmaiah*  
12 *Education Foundation, K. L. University, Green Fields, Vaddeswaram 522502,*  
13 *Guntur, Andhra Pradesh, India*

14 <sup>c</sup>*Collaborative Innovation Center on Forecast and Evaluation of Meteorological*  
15 *Disaster, Key Laboratory for Aerosol-Cloud-Precipitation of China Meteorological*  
16 *Administration, School of Atmospheric Physics, Nanjing University of Information*  
17 *Science and Technology, Nanjing 210044, Jiangsu, China*

18 <sup>d</sup>*Collaborative Innovation Center on Forecast and Evaluation of Meteorological*  
19 *Disaster, School of Geographic Sciences, Nanjing University of Information Science*  
20 *and Technology, Nanjing 210044, China*

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29 **\*Corresponding authors**

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31 Email: [yangxh@idm.cn](mailto:yangxh@idm.cn) (X. Yang)

32 [kanike.kumar@gmail.com](mailto:kanike.kumar@gmail.com); [rkanike@kluniversity.in](mailto:rkanike@kluniversity.in) (K.R. Kumar)

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34 **ABSTRACT**

35 The present study aims to analyze the climatology of spatiotemporal and vertical  
36 distribution characteristics of ice clouds, including the ice cloud fraction (ICF), ice  
37 water content (IWC), and ice cloud optical depth (ICOD) for three ice cloud  
38 categories (sub-visual, thin, and opaque). Newly released level 3 ice cloud data  
39 observed from the Cloud-Aerosol Lidar and Infrared Pathfinder Satellite Observation  
40 (CALIPSO) instrument is used in this study for the period 2007-2016. The results  
41 revealed that the global means of ICF and IWC were found to be ~10% and  
42 ~0.0017g/m<sup>3</sup>, respectively. On the other hand, the latitude-and-altitude mean  
43 distributions of ICF and IWC were found unimodal in all the seasons. During  
44 summer, the peak in the ice cloud formation occurred over the equatorial region of  
45 the northern hemisphere (NH) which extended further to higher altitudes over the NH  
46 equator than the southern hemisphere (SH). However, the opposite was observed in  
47 the cold season related to the strong convective activities in tropical areas, variation  
48 in the distribution of land and ocean between NH and SH, and the seasonal migration  
49 of the inter-tropical convergence zone (ITCZ). Furthermore, the ice clouds detected  
50 during the nighttime in summer occurred at high frequency over the SH high-latitude  
51 regions, owing to the polar stratospheric clouds (PSCs). The occurrence of sub-visual  
52 ice clouds (ICOD<0.01) was infrequent in the tropics and below 5% in other regions.  
53 Whereas, the opaque ice clouds ( $0.3 \leq \text{ICOD} < 1$ ,  $\text{ICOD} \geq 1$ ) occurred most frequently in  
54 mid-latitude storm-active regions. The relationships between IWC and relative  
55 humidity (RH) and temperature (TE) suggested negative and positive correlations in



56 the nighttime, respectively. However, the relationship between ICOD and the  
57 meteorological variables depends on the range of ICOD.

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59 **Keywords:** Ice cloud properties; Spatiotemporal and vertical distributions; Day and  
60 night changes; Meteorological variables; CALIPSO.

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### 63 1. Introduction

64 Ice clouds are the key regulators of global surface temperature and have  
65 enormous implications for the Earth's radiative balance, hydrological cycle,  
66 atmospheric circulation, and climate (Wylie et al., 2005; Mülmenstädt et al., 2015).  
67 On the one hand, ice clouds can not only reflect shortwave radiation but can also  
68 absorb some outgoing thermal radiation, contributing to the cooling and warming,  
69 respectively of the Earth's atmosphere (Chen et al., 2000; Liou, 1986). Moreover, the  
70 net cooling or warming effect of ice clouds depends on their optical and  
71 microphysical properties, such as optical depth and water content, as well as on their  
72 macro-physical characteristics, such as cloud location and coverage throughout the  
73 atmosphere (Hong et al., 2016; Lee et al., 2009; Baran, 2012). On the other hand,  
74 owing to the complex interactions between ice clouds and aerosols, the contribution  
75 of ice clouds to climate leads to remaining large uncertainties (Zhang et al., 2015;  
76 Pan et al., 2019; Jiang et al., 2018; Zhao et al., 2018). Existing studies argue that the  
77 climatology of ice clouds obtained from global cloud models (GCMs) presents a



78 relatively large difference in terms of their spatiotemporal distribution, compared  
79 with the data retrieved from satellite measurements (Eliasson et al., 2011; Hong et al.,  
80 2016). Consequently, the long-term and high-resolution measurements by both the  
81 ground and satellite-based remote sensors are of high importance for developing  
82 better ice cloud parameterization, which in turn is expected to improve the accuracy  
83 of GCMs.

84 The vertical distribution of ice clouds plays a pivotal role in determining the  
85 radiative forcing of ice clouds. Furthermore, compared with horizontally resolved  
86 measurements, vertical measurements of ice cloud properties are insufficient around  
87 the globe, owing to the complexity of sampling. Previous studies have analyzed the  
88 occurrence frequency of ice clouds as well as their optical and microphysical  
89 properties in terms of spatiotemporal variability (King et al., 2013; Holz et al., 2008;  
90 Kubar et al., 2009; Sun et al., 2011; Berry et al., 2019; Lauer and Hamilton, 2013).  
91 However, owing to the above-mentioned importance and complexity of atmospheric  
92 ice clouds, these studies are not sufficient. Moreover, many of these studies have  
93 been limited to small spatial regions and short temporal periods, as well as certain  
94 classifications of ice clouds. For example, Berry and Mace (2014) investigated  
95 whether ice clouds with the ice water path (IWP) of  $\sim 20 \text{ g/m}^2$  contribute to the  
96 obvious heating of Earth during summer monsoons in Asia. Tsushima et al. (2013)  
97 found that the error in the frequency of anvil cirrus in the tropics biased the cloud  
98 radiative effect. Therefore, long-term and large-scale climatology studies of ice  
99 clouds are necessary, to delineate some specific physical processes, which can be



100 regarded as the cause of the biggest errors in cloud modeling.

101 While ground-based observations can significantly contribute to temporal  
102 coverage, they cannot constitute a global database of ice cloud data and are limited  
103 mostly to land areas. On the contrary, satellites can extend ground-based observations  
104 to include land and ocean areas, and complement multiple measurable capabilities  
105 based on different wavelengths throughout the electromagnetic spectrum (Kumar et  
106 al., 2018; Boiyo et al., 2018; Mace and Berry, 2017). Overall, the passive sensors  
107 such as the moderate-resolution imaging spectroradiometer (MODIS) can yield  
108 column-integrated ice cloud properties such as IWP/LWP (Wang et al., 2016; King et  
109 al., 2013; Yang et al., 2007; Oreopoulos et al., 2014). However, the active instrument  
110 like the cloud aerosol lidar and infrared pathfinder satellite observations (CALIPSO)  
111 joined the “A-Train” satellites, since 2006, providing an unprecedented information  
112 about the vertical structure characteristics of ice clouds, such as their ice water  
113 content and optical depth (Gao et al., 2014; Jiang et al., 2018; Winker et al., 2010).

114 In this paper, we utilized CALIPSO level 3 lidar ice cloud data to investigate the  
115 vertical distributions of seasonal and diurnal variations, as well as the global  
116 geographical distributions of the ice cloud fraction (hereafter, ICF), ice water content  
117 (hereafter, IWC), and ice cloud optical depth (hereafter, ICOD) during the recent  
118 10-year observation period of 2007–2016. To examine seasonal climatology, the  
119 whole year was considered and divided into four seasons as spring (March, April,  
120 May), summer (June, July, August), autumn (September, October, November), and  
121 winter (December, January, February). In addition, we analyzed the relationship



122 between these multiple ice cloud parameters and meteorological conditions. The rest  
123 of this paper is organized as follows: Section 2 describes the datasets and methods,  
124 Section 3 illustrates the results and discussion, and Section 4 lists the main  
125 conclusions of this study.

## 126 **2. Data and methods**

127 Since April 2006, the CALIPSO satellite launched by NASA carries the  
128 Cloud-Aerosol Lidar with Orthogonal Polarization (CALIOP) sensor, which is the  
129 first nadir-viewing dual-wavelength (i.e., 532 nm and 1064 nm) satellite lidar in a  
130 helio-synchronous orbit at a height of 705 km, with a repeat cycle of 16 days and a  
131 local equator-crossing times (EXTs) of 13:30 and 01:30 LT (Winker et al., 2007). The  
132 outputs of the Level 1 product obtained from the CALIOP serves as 532 nm  
133 wavelength parallel-polarized and 532 nm wavelength perpendicular-polarized  
134 attenuated backscatter coefficients, respectively. On the other hand, the attenuated  
135 backscatter coefficient at 1064 nm, which can produce the level 2 data product given  
136 the input data and algorithms. The algorithms include the scene classification  
137 algorithm (SCA), which contains a family of algorithms for feature detection (i.e.,  
138 clouds and aerosols; ice and water clouds), and the hybrid extinction retrieval  
139 algorithm (HERA), which retrieves cloud extinction data and infers the cloud optical  
140 depth (Young et al., 2008; Liu et al., 2009; Pan et al., 2019). In addition, the level 1  
141 and 2 data from CALIOP have high horizontal resolutions of 333m and 1km for the  
142 heights in the range of 0.5–8.2 km and 8.2–20.2 km, respectively, and vertical  
143 resolutions of 30 m and 60 m at 532 nm (Hunt et al., 2009). The profiles obtained



144 from the CALIOP are averaged with an increasing signal to noise ratio (SNR), which  
145 allows the measurement of weaker layers (clouds or aerosols) (Vaughan et al., 2009;  
146 Pan et al., 2016, 2019).

147 Recently, the CALIPSO lidar instrument released (on December 2018) level 3  
148 version 1.00 ice cloud monthly gridded data from January 2007 to December 2016,  
149 which were then used in the present study. The spatial resolutions were 2° latitude  
150 and 2.5° longitude, and with a vertical resolution of 120 m ranged from -0.5 km to  
151 20.2 km above the mean sea level (AMSL), generating three different types profiles  
152 (i.e., daytime, nighttime, and both) depending on the light conditions; where the file  
153 data were created from level 2 version 4.10 cloud profile products. The primary  
154 variables in that dataset were the IWC histogram, sampling counts, and  
155 meteorological context. Further, we estimated the ICF in the zonal distribution based  
156 on Eq. (1) given below:

$$157 \quad \text{ICF}_{\text{zonal}} = \frac{\sum_{\text{long} = -178.75^{\circ}}^{178.75^{\circ}} \text{ICAS}}{\sum_{\text{long} = -178.75^{\circ}}^{178.75^{\circ}} (\text{CS} + \text{CFS})} \quad (1)$$

158 Here, CS, CFS, ICAS refer to the number of cloud samples, number of cloud-free  
159 samples (clear sky or aerosol features), and number of ice cloud-accepted samples,  
160 respectively. The latitudinal ICF describes the cloud fraction as a function of latitude  
161 and height, which requires integration over longitudinal samples (for brevity denoted  
162 as “long”, ranging from -178.75° to 178.75°) for each latitude and height bin.

163 Besides, we calculated the zonal distribution of the IWC based on Eq. (2) shown



164 below:

$$165 \quad IWC_{\text{zonal}} = \frac{\sum_{\text{long} = -178.75^{\circ}}^{178.75^{\circ}} \left( \sum_{\text{bin}=2}^{\text{bin}=16} IWC_{\text{B}} \times IWC_{\text{H}} + \sum_{\text{bin}=43}^{\text{bin}=19} IWC_{\text{B}} \times IWC_{\text{H}} \right)}{\sum_{\text{long} = -178.75^{\circ}}^{178.75^{\circ}} (CS + CFS)}$$

166 (2)

167 Here, IWC<sub>B</sub> represents 44 bins of the full distribution of the IWC, and we excluded  
168 the small and large outliers in bins 1, 17, 18, and 44. IWC<sub>H</sub> refers to the histogram of  
169 the IWC. Specifically, the cloud occurrence is considered in the denominator.  
170 Therefore, the equation derives the grid-averaged IWC. Detailed information about  
171 the product can be found online at  
172 [https://www-calipso.larc.nasa.gov/resources/calipso\\_users\\_guide/data\\_summaries/l3/  
173 lid\\_l3\\_ice\\_cloud\\_v1-00\\_v01\\_desc.php](https://www-calipso.larc.nasa.gov/resources/calipso_users_guide/data_summaries/l3/lid_l3_ice_cloud_v1-00_v01_desc.php)

174 We also selected the level 3 version 1.00 cloud occurrence monthly gridded data  
175 product with the longitudinal and latitudinal resolutions of 5° and 2°, respectively,  
176 and at an altitude of 60 m; as well as three files including day and nighttime  
177 observations, and all observations. The ICOD histogram with seven levels of optical  
178 depth was utilized in this dataset. Based on the daytime and nighttime files, the  
179 diurnal variations of the ICF, IWC, and ICOD were inspected by analyzing the  
180 night-minus-day measurements.

### 181 3. Results and discussion

#### 182 3.1. Spatial distributions of ICF and IWC

183 The spatial distributions of the 10-year mean of ICF and IWC over the globe are





184 shown in Figs. 1 and 2 (up to  $\sim \pm 84^\circ$  latitude owing to the limitation of the CALIPSO  
185 view), respectively. In Fig. 1, the main coverage of ice clouds can be found in the  
186 vicinity of the equator ( $\sim \pm 15^\circ$  latitude), which reaches  $\sim 30\%$  in southeastern Asia,  
187 western Africa, South America, as well as  $\sim 20\%$  in certain parts of the Pacific Ocean.  
188 Over the mid-latitude regions, the occurrence frequency of ice clouds is relatively  
189 significant owing to frequent storm activities (Hong et al., 2015). Desert regions  
190 located in Northwestern China (Taklimakan desert), Northern Africa (Sahara desert),  
191 Southern America, and Central Australia exhibited smaller coverage of ice clouds  
192 owing to weak water vapor and convective activities (Pan et al., 2019). On the other  
193 hand, high-latitude regions exhibited a comparatively high frequency of ice clouds  
194 which can be attributed to the fact that polar stratosphere clouds (PSCs) are captured,  
195 owing to the CALIOP sensitivity. Further, the number of ice clouds in the polar area  
196 of the Southern Hemisphere (hereafter, SH) was higher than that of the Northern  
197 Hemisphere (hereafter, NH), which is consistent with the previous results (Sassen et  
198 al., 2008; Huang et al., 2015). The total ICF as the 10-year mean around the globe  
199 was estimated as  $\sim 10\%$ . Following Fig. 2, the global geographical distribution of  
200 IWC is consistent with the corresponding ICF. One exception is that the  
201 concentration of the IWC in the polar region of the SH is smaller than NH. The  
202 global 10-year averaged value of IWC was found to be  $\sim 0.0017 \text{ g/m}^3$  (Fig. 2).

### 203 ***3.2. Seasonal latitude-and-altitude distributions of ICF***

204 In this section, we discussed the latitude-and-altitude distributions of a 10-year  
205 mean of ICF distributed over four seasons, based on Eq. (1). As shown in Fig. 3, the



206 coverage of ICF generally exhibited a unimodal distribution where the peak is under  
207 the “flatness” tropical tropopause altitude (refers to the upper boundary of the  
208 troposphere) in the middle part and decreases steadily towards both the polar areas of  
209 SH and NH for the entire study period. In the summertime, the maximum ICF of  
210 ~40% occurred over the equator of the NH, and ice clouds can reach higher altitudes  
211 towards the north of the equator than the south. Meanwhile, the opposite phenomena  
212 were observed in the winter period. This is mainly attributed to the strong convective  
213 activities in tropical areas, the distributional variation of land and ocean over the NH  
214 and SH, and the seasonal migration in the position of the inter-tropical convergence  
215 zone (ITCZ). These results are consistent with that reported by Huang et al. (2015)  
216 and Su et al. (2008). Also, the PSCs could obviously be observed in the SH during  
217 the summer and autumn seasons. Moreover, the availability of the CALIPSO  
218 nighttime data during the warm season in the high latitudes of NH is limited as  
219 revealed from Fig. 3. The same has been observed and reported by Anderson et al.  
220 (2015), owing to the fact that measurements were being limited over the latitudes  
221 from 55° to 80°N. It is to be noted that we had used the arithmetic mean to compute  
222 the annual distribution of ICF, and extrapolation can be used for more complete and  
223 accurate data, which is, however, beyond the scope of the present study. The minima,  
224 maxima, and mean of the ICF observed during daytime and nighttime for four  
225 seasons over the globe are listed in Table 1.

### 226 ***3.3. Seasonal latitude-and-altitude distributions of IWC***

227 As illustrated in Fig. 4, the latitude-and-altitude distributions of the 10-year



228 mean CALIOP observations of IWC revealed asymmetrical distribution. However,  
229 the measurements of ICF and IWC present contradictory differences during nighttime  
230 and daytime and is attributed to the sampling-induced bias. In Fig. 5, the IWC  
231 histograms derived from the CALIOP during the study period for four seasons  
232 exhibited unimodal patterns, and one evident mode occurs at  $\sim 10\text{mg/m}^3$ . Furthermore,  
233 smaller (larger) IWC values have more (less) samples during the nighttime than  
234 daytime, respectively. Meanwhile, the latitude-and-altitude distributions of IWC  
235 showed a “spike-shaped structure” at an altitude of  $\sim 4$  km for all the seasons in both  
236 the hemispheres. However, in-depth analysis and studies should be performed to  
237 further explore this discrepancy. A detailed summary (including the minima, maxima,  
238 and mean) of the IWC data for four seasons is given in Table 2. Noticeably, we  
239 excluded the maximum of the IWC presented in Table 2 because the IWC values  
240 under  $0.01\text{g/m}^3$  accounted for 99% of the data.

#### 241 ***3.4. Mean profiles of diurnal variations of ICF and IWC***

242 Based on the daytime and nighttime files of the CALIPSO level 3 data, we used  
243 “nighttime minus daytime” measured data to explore the diurnal variations of mean  
244 profiles of ICF and IWC for different latitudinal bands. We focused on the  
245 summertime data, which exhibited stronger variations between nighttime and  
246 daytime, to analyze the diurnal variations of the aforementioned quantities. Moreover,  
247 it is important to break down the diurnal variability in terms of real and artificial  
248 variabilities (e.g., instrumentation-induced, classification-induced, and  
249 sampling-induced variabilities). Owing to the sunlight-related noise in the daytime,



250 optically thin layers of ice clouds cannot be probed by the CALIOP, compared with  
251 the nighttime. Further, the classification into liquid and ice phases of clouds are also  
252 affected; that is, stronger noise during the daytime may more negatively affect the  
253 classification of cloud types or clouds and aerosols, compared during the nighttime.  
254 Consequently, the CALIPSO level 3 daytime-and-nighttime data exhibited significant  
255 statistical variations, which can be explained in terms of artificial daily variations. In  
256 addition, taking into account that these parameters can be affected by pollution for  
257 the bins above the Earth surface (Jiang et al., 2018; Huang et al., 2015; Sassen and  
258 Wang, 2008), and for the following analysis, we only sampled data for an altitude of  
259 at least 2 km. The results of this analysis are shown in Fig.6, including for the diurnal  
260 variations and the overall number of samples of ICF and IWC.

261 Over the SH tropics ( $30^{\circ}\sim 0^{\circ}\text{S}$ ), the diurnal variability of ICF exhibited two  
262 peaks at  $\sim 10$  km and  $\sim 15$  km. However, a stronger variation of 0.1 over the NH  
263 tropics ( $0^{\circ}\sim 30^{\circ}\text{N}$ ) was found for the same height. In general, the ICF exhibited higher  
264 occurrence frequency for the upper-tropospheric layer of 10–15 km in the tropics  
265 ( $30^{\circ}\text{S}\sim 30^{\circ}\text{N}$ ). Interestingly, the total number of samples for the daytime was more  
266 than nighttime which is below  $\sim 5$  km over the NH tropics (because the x-axis is on  
267 the logarithmic scale and hence, the negative values were neglected). Over the SH  
268 mid-latitudes ( $60^{\circ}\sim 30^{\circ}\text{S}$ ), the diurnal difference between the ICF peaks at  $\sim 8$  km, and  
269 negative values of the ICF observed below  $\sim 3$  km indicate smaller ICF during the  
270 nighttime, as well as the diurnal variability of the total number of samples of ICF  
271 peaks at  $\sim 18$  km. A difference between the daily variations of ICF peaks at  $\sim 10$  km in



272 the NH mid-latitudes ( $30^{\circ}$ – $60^{\circ}$ N) and the negative values of ICF can also be  
273 observed below  $\sim 5$  km. In the high-latitude SH region ( $90^{\circ}$ – $60^{\circ}$ S], the ICF exhibited  
274 a larger variation of 0.1 at  $\sim 8$  km, owing to the higher occurrence frequency of PSCs.  
275 Conversely, in the high-latitude NH region [ $60^{\circ}$ – $90^{\circ}$ N), the ICF variation at an  
276 altitude of  $\sim 8$  km was smaller than  $\sim 0.1$ , which is attributed to the limited nighttime  
277 data collection by CALIOP in the NH high-latitude region.

278 Also, the diurnal differences in IWC were also analyzed, and the results are  
279 shown in Fig. 6. Overall, a larger variation (negative trend) occurred in the NH  
280 compared to SH. Over the tropics, the difference between the diurnal variability  
281 peaks was  $\sim 12$  km (approximately  $-1.3$  mg/m<sup>3</sup> in the NH). Over the mid-latitude  
282 region of the NH, two peaks in the diurnal variability were observed, at  $\sim 11$  km  
283 (approximately  $-0.8$  mg/m<sup>3</sup>) and  $\sim 4$  km (approximately  $-1$  mg/m<sup>3</sup>), respectively, and  
284 at  $\sim 7$  km (approximately  $-3.5$  mg/m<sup>3</sup>) and  $\sim 4$  km (approximately  $-2.5$  mg/m<sup>3</sup>) in the  
285 NH high-latitude regions. Over the mid- and high-latitude regions of the SH, the  
286 diurnal difference in the IWC exhibited a single peak at a height of  $\sim 9$  km and  $\sim 4$  km,  
287 respectively. Based on the above results of the diurnal variability of ICF and IWC, we  
288 revealed some interesting facts for these observations which are mentioned as follows.  
289 First, the CALIOP is more sensitive to detect weak signals from ice clouds, yielding  
290 more samples in the nighttime than the daytime, owing to the sunlight during the  
291 daytime. Secondly, the IWC has more samples with small values during the nighttime  
292 than in the daytime and fewer samples with large values in the nighttime than the  
293 daytime, which is following with the analysis and interpretation given in Section 3.3.



294 This explains the behavior of opposite trends in the diurnal variations of IWC and  
295 ICF.

### 296 ***3.5. Spatial and seasonal changes of frequency occurrences of ICOD***

297 The geographical and seasonal averaged frequency of occurrences for the three  
298 types of ICOD or six sub-types over the globe is shown in Fig. 7 following the  
299 classification proposed by Sassen et al. (1992) and Hong et al. (2016). The three  
300 categories of ICOD are namely, sub-visual ( $ICOD < 0.01$ ,  $0.01 \leq ICOD < 0.03$ ), thin  
301 ( $0.03 \leq ICOD < 0.10$ ,  $0.10 \leq ICOD < 0.30$ ), and opaque ( $0.30 \leq ICOD < 1$ ,  $ICOD \geq 1$ ).  
302 Sub-visual ice clouds occur frequently over the tropics and constitute less than 5%  
303 elsewhere, except the SH polar region, where sub-visual ice clouds are detected  
304 during summer. Besides, the ice clouds with  $0.01 \leq ICOD < 0.03$  are almost absent over  
305 the mid-latitude regions; and in the tropics and high-latitude regions, they occur with  
306 higher frequency. Thin ice clouds occur more frequently on the ocean than land. On  
307 the other hand, their concentration is higher in the tropics compared to other regions.  
308 Ice clouds with  $ICOD \geq 1$  occur with low frequency over the tropics, but with higher  
309 frequency in the mid-latitude region following active storms in this region (Hong et  
310 al., 2015).

311 Also, Table 3 summarizes the minimal, maximal, and mean occurrence  
312 frequencies of ICOD for the six sub-types during the four seasons over the globe.  
313 Overall, the six groups of ICOD exhibited small seasonal variations concerning their  
314 mean occurrence frequencies. One exception is with the  $ICOD \geq 1$  in the warm season,  
315 for which the mean occurrence is ~26% which is smaller than the other three seasons.



316 Meanwhile, the maxima for different ICOD were found larger during summer than in  
317 the other seasons and accounted for 37%, 42%, 72%, 42%, 63%, and 75%,  
318 respectively for the six sub-types.

### 319 ***3.6. Diurnal variations of frequency occurrences of ICOD***

320 To characterize the zonal profiles of occurrence frequency of the ICOD for the  
321 above-mentioned six groups, we quantified the occurrence frequency as the ratio of  
322 number of ICOD samples in a certain category to the overall number of samples (sum  
323 of the six sub-groups of ICOD after data screening); and this quantification was  
324 performed for each vertical layer. In the following study, we only considered the  
325 warm season to illustrate the diurnal variability of each ICOD owing to the  
326 aforementioned results, with obvious seasonal variations. It is observed from Fig. 8  
327 that the number of samples in each of the ICOD categories revealed that the CALIOP  
328 probes more ice cloud samples during nights compared to days. However, one  
329 exception is that for all the ICOD categories over the NH high-latitude region where  
330 a number of samples were acquired during days than nights (because the x-axis is on  
331 the logarithmic scale, negative values were not considered), which can be interpreted  
332 as a limitation on the CALIOP nighttime data availability over the region. Notably,  
333 the diurnal differences in the vertical profiles for all the ICOD samples were nearly  
334 overlapped above ~15 km, except over the SH high-latitude region.

335 In general, the occurrence frequency increased as the value of all ICOD  
336 increased at an altitude less than 15 km. On the contrary, the occurrence frequency  
337 was inversely proportional to the value of all ICOD above the cutoff shown in the



338 first and second panels of Fig. 8. We further observed that the diurnal differences of  
339 mean zonal profiles of ICOD depend on the latitude. Over the NH tropics, ice clouds  
340 with  $ICOD < 0.01$  and  $0.01 \leq ICOD < 0.03$  were found less and more frequent,  
341 respectively above  $\sim 18$  km. Thin ice clouds exhibited small diurnal variations at all  
342 altitudes. Ice clouds with  $0.30 \leq ICOD < 1$  were found less which is common at  
343 altitudes between 4 km and 6 km during nighttime. For  $ICOD \geq 1$ , the diurnal  
344 difference exhibited two peaks at  $\sim 3$  km and  $\sim 5$  km, with higher frequency in the  
345 nighttime. Over the SH tropics, the amplitude of the diurnal difference was smaller  
346 than that observed over the NH tropics; moreover, ice clouds with  $0.01 \leq ICOD < 0.10$   
347 exhibited an opposite trend of the diurnal difference, compared with the NH tropics.  
348 In the NH mid-latitude region, the ice clouds with  $ICOD < 0.10$  were found less  
349 frequent during nighttime, and two peaks were observed at heights of  $\sim 16$  km and  
350  $\sim 19$  km. However, the ice clouds with  $0.01 \leq ICOD < 0.30$  exhibited higher (lower)  
351 occurrence frequency for altitudes from 15 km (17 km) to 17 km (20 km) during  
352 nighttime. Also, the ice clouds with  $0.03 \leq ICOD < 0.10$  were more frequent at altitudes  
353 from  $\sim 18$  km to  $\sim 20$  km during nighttime; and whereas, ice clouds with  
354  $0.10 \leq ICOD < 0.30$  were found less frequent below  $\sim 7$  km in the night. The diurnal  
355 variability of clouds with  $0.30 \leq ICOD < 1$  exhibited a bimodal pattern (smaller  
356 nighttime frequency) for altitudes from  $\sim 3$  km to  $\sim 7$  km, as well as for altitudes from  
357  $\sim 7$  km to  $\sim 15$  km. For clouds with  $ICOD \geq 1$ , the diurnal difference in the frequency of  
358 occurrence exhibited two peaks for the altitudes of  $\sim 2$  km and  $\sim 5$  km. Similarly, the  
359 amplitude of the daily difference in the SH mid-latitude region was smaller than in





360 the NH. Over the SH high-latitude region, ice clouds generally exhibited little diurnal  
361 difference at nearly all levels, except for  $0.03 \leq \text{ICOD} < 0.10$  above  $\sim 12$  km, and  
362  $\text{ICOD} \geq 1$  below  $\sim 3$  km; especially, clouds with  $\text{ICOD} \leq 0.01$  were found more frequent  
363 above an altitude of  $\sim 10$  km. Over the NH high-latitude region, the diurnal  
364 differences for all ICOD classes were found less frequent during nighttime, and the  
365 sub-visual ice clouds illustrated that daily differences were approximately 2–3 times  
366 stronger than for the thin and opaque ice clouds at higher altitudes (above  $\sim 12$  km).  
367 Besides the limitation on the CALIPSO data acquired during nighttime in the NH  
368 high-latitude region, we need to consider instrumentation-induced differences. The  
369 CALIPSO orbits Earth in an helio-synchronous orbit at a height of 705 km, with local  
370 EXTs of 13:30 and 01:30. Consequently, the CALIOP measurements are performed  
371 in the early afternoon and after midnight, contributing to the sampling bias between  
372 midday and midnight hours (Stephens et al., 2002; Winker et al., 2009; Huang et al.,  
373 2013; Pan et al., 2019). Moreover, a large body of research has verified that daily  
374 maxima of deep convection activity (which can transport ice clouds to higher  
375 altitudes) and precipitation prevail in late afternoons or early evenings (Nesbitt et al.,  
376 2003; Khain et al., 2005). Therefore, a higher occurrence of ice clouds (especially  
377 optically thin ice clouds) can be probed by the CALIOP during nighttime rather than  
378 daytime.

### 379 ***3.7. Relationship between meteorological conditions and IWC, and ICOD***

380 The results obtained and elaborated in previous sections mapped the climatology  
381 of seasonal and geographical distributions of IWC and ICOD for six sub-groups, and



382 their diurnal differences observed in the vertical profiles of different zonal bands.  
383 Here, we studied the relationship between meteorological conditions and  
384 microphysical and optical properties of ice clouds. Moreover, we focused on  
385 analyzing the summertime data only, and two meteorological parameters were  
386 utilized namely, relative humidity (hereafter, RH) and temperature (hereafter, TE);  
387 the values of these parameters were obtained from the CALIPSO platform.

388 Fig. 9 shows the 10-year global distribution of contour density plots drawn  
389 between the IWC, RH, and TE during nighttime and daytime. In general, data count  
390 (N) is relatively scarce during the night than day, attributed to the limited data  
391 collection by the CALIOP during nighttime. We also studied the relationship between  
392 IWC and RH, which found positively correlated, with the poor correlation coefficient  
393 (r) of 0.23 during the daytime. It is also revealed that the values of IWC peak in the  
394 range 0.9–2.7mg/m<sup>3</sup>, for a comparatively low RH of approximately 36–39%. During  
395 the nighttime, the IWC and RH exhibited moderate correlation (r = 0.43), and the  
396 IWC peaked in the range of 0.28–1.4 mg/m<sup>3</sup> when the RH varied between 36 and  
397 42%. Furthermore, the data points used for the relationship between IWC and RH  
398 tended to exhibit a larger spread for nighttime compared to daytime. This can be  
399 interpreted as higher noise owing to the background sunlight during daytime  
400 compared to nighttime; as a result, fewer samples could be acquired by the CALIOP  
401 during the daytime. Also, deep convection activities occurred more frequently in the  
402 night than day, allowing to transport many more ice clouds (especially for little ice  
403 crystals) into high altitude, and broadening the range of IWC and RH values for night



404 measurements. The TE and IWC were negatively correlated ( $r = -0.11$ ) during the  
405 daytime, and IWC peaks in the range  $0.0\text{--}1.98\text{mg/m}^3$  for the cold ( $-34^\circ\text{C}\sim -32^\circ\text{C}$ ) TE.  
406 At night, the IWC and TE were more negatively correlated ( $r = -0.48$ ), and the IWC  
407 peaks at  $0.0\text{--}1.4\text{mg/m}^3$ , with the TE in the range of  $-34^\circ\text{C}$  to  $-32^\circ\text{C}$ . Likewise, the data  
408 count of IWC/TE tended to attain higher/lower values during nighttime compared  
409 with the daytime.

410 Next, we analyzed the relationship between the meteorological parameters (RH  
411 and TE) and the occurrence frequency of ICOD for the six sub-categories. The results  
412 are summarized in the contour density plots shown in Fig. 10. Overall, the ICOD for  
413 the six groups peaked basically in the same range of RH and TE, either during  
414 daytime or nighttime. However, the magnitudes were different for day and nighttime,  
415 and the data points were more dispersed for the nighttime. This can be attributed to  
416 ice clouds that are mainly formed in the upper layers of the troposphere, and to the  
417 fact that stronger noise-induced a sampling bias in the daytime measurements. This  
418 can also be attributed to more frequent convective activity and precipitation during  
419 nighttime. In addition, the correlation between the occurrences for different ICOD  
420 and RH during nighttime was higher than daytime, except for the  $0.03 \leq \text{ICOD} < 0.10$   
421 and  $0.30 \leq \text{ICOD} < 1$  group. Meanwhile, the TE and occurrence frequency of ICOD  
422 also presented a similar correlation. One exception was that ice clouds with  $\text{ICOD} \geq 1$   
423 exhibited a smaller correlation coefficient during nighttime than daytime. Moreover,  
424 the association between RH and  $\text{ICOD} < 0.01$  was the strongest, with the correlation  
425 coefficient of 0.39. Also, the association between TE and  $\text{ICOD} < 0.01$  was found



426 strong, with a negative correlation coefficient of -0.30 during nighttime, compared  
427 with the other studied ICOD groups.

#### 428 **4. Summary and conclusions**

429 In this study, we conducted a statistical analysis to understand the climatology of  
430 global ice cloud properties including ICF, IWC, and ICOD with six sub-categories  
431 using 10-year long-term (2007–2016) measurements observed by the CALIPSO.  
432 Firstly, the geographical distribution of the global 10-year averaged ICF was found  
433 ~10%. The main coverage of ice clouds is in the vicinity of the equator, which takes  
434 up ~30% of Southeastern Asia, Western Africa, and South America, and ~20% of the  
435 Pacific Ocean. Over the mid-latitude regions, the occurrence frequency of ice clouds  
436 was relatively high, owing to frequent storm activities. For the desert regions, such as  
437 Northwestern China (Taklimakan Desert), Northern Africa (Sahara Desert), Southern  
438 America, and Central Australia, the ice cloud coverage was smaller. For the SH  
439 high-latitude region, the frequency of ice clouds was relatively high, which can be  
440 attributed to the selective capture of PSCs, owing to the sensitivity specifications of  
441 the CALIOP. Additionally, the spatial distribution of the IWC was largely consistent  
442 with that of the ICF, and the global 10-year average of the IWC was  $\sim 0.0017\text{g/m}^3$ .

443 The seasonal latitude-and-altitude distributions of ICF generally exhibited a  
444 unimodal distribution, in which peak values occurred at the “flatness” tropical  
445 tropopause altitude in the middle part, and decreased steadily toward the two sides  
446 (polar areas) in both hemispheres during the study period for all seasons. Moreover,  
447 we found the global 10-year mean of nighttime data (including IWC and ICF)



448 collected by the CALIPSO during the summertime suffers from limited data  
449 availability for high-latitude regions in the NH. Meanwhile, the 10-year averaged ICF  
450 has a maximum of more than ~40% for tropical and SH polar areas in summer. The  
451 vertical distributions of 10-year mean IWC exhibited a “spike-shaped structure” at  
452 the altitude of ~4 km in all seasons and both hemispheres.

453 Also, the diurnal difference of ICF exhibited two peaks at ~10 km and ~15 km  
454 in the tropical zone. Over the SH and NH mid-latitude regions, the discrepancy  
455 occurred in the peaks at ~8 km and ~10 km, respectively. Negative values of daily  
456 variation of the ICF occurred in the NH high-latitude region at the height of ~8 km,  
457 owing to the restrictions on the data utilization during the nighttime. The magnitudes  
458 of the diurnal difference of the IWC are larger in the NH than SH, and negative IWC  
459 was observed for all of the considered altitudes. And the occurrence frequency  
460 increased as the ICOD increased for altitudes under ~15 km, and the occurrence  
461 frequency was inversely proportional to the ICOD value above the cut-off.

462 Further, the relationships between meteorological conditions and IWC, and  
463 ICOD also were investigated. The IWC peaked in the range of 0.9-2.7 mg/m<sup>3</sup>  
464 (0.28–1.4 mg/m<sup>3</sup>) for relatively low RH of 36–39% (36–42%) during the daytime  
465 (nighttime), with the correlation coefficient of 0.43. For TE and IWC, the correlation  
466 coefficient ( $r = -0.48$ ) was more negative during the nighttime than daytime, and  
467 IWC peaked between 0 and 1.98 mg/m<sup>3</sup> (0–1.4 mg/m<sup>3</sup>) for the cold TE (-34°~ -32°),  
468 during the daytime (nighttime). All of the ICOD peaks are basically in the same range  
469 of RH and TE values, either for the daytime or nighttime. However, the magnitudes



470 for daytime and nighttime are different. The strongest association is between RH (TE)  
471 and ICOD $<0.01$ , with the correlation coefficient of 0.39 (-0.3) during the nighttime.

472 In general, our analysis using the level 3 version 1.0 profile product indicates  
473 that spatiotemporal and vertical distributions of the ice cloud properties are  
474 comparatively reasonable and reliable in most of the regions around the globe. In the  
475 future, more in-depth optimization of the CALIPSO retrieval algorithms and quality  
476 control algorithms should be conducted.

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#### 486 **Conflicts of interest**

487 The authors declare that they have no competing financial interests or personal  
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492 **Author contributions:**

493 All the authors contributed to shaping the ideas and reviewing the paper.

494 **Honglin Pan:** Conceptualization, methodology, formal analysis, visualization,  
495 original draft-writing.

496 **Xinghua Yang:** Conceptualization, funding acquisition, project administration,  
497 resources, supervision, review & editing.

498 **Kanike Raghavendra Kumar:** Methodology, resources, supervision, original draft  
499 preparation, review & editing.

500 **Ali Mamtimin:** Data curation, software, visualization.

501 **Minzhong Wang:** Resources.

502 **Chenglong Zhou:** Formal analysis, review & editing.

503 **Fan Yang:** Investigation.

504 **Wen Huo:** Data curation.

505 **Chaofan Li:** Data curation.

506 **Jiantao Zhang:** Investigation.

507 **Lu Meng:** Investigation.

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**Table 1.** The minimum, maximum, and mean of ICF observed during daytime and nighttime for four seasons over the globe between 2007 and 2016.

Season	daytime			nighttime		
	minimum	maximum	mean	minimum	maximum	mean
Spring	<1%	35%	6%	<1%	37%	7%
Summer	<b>&lt;1%</b>	<b>31%</b>	<b>5%</b>	<b>&lt;1%</b>	<b>48%</b>	<b>8%</b>
Autumn	<1%	32%	5%	<1%	38%	7%
Winter	<1%	25%	5%	<1%	37%	7%
Annual	<1%	31%	5%	<1%	40%	7%

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**Table 2.** Same as in Table 1, but for IWC. The unit of IWC is  $g/m^3$ .

Season	Daytime			Nighttime		
	minimum	maximum	mean	minimum	maximum	mean
Spring	<0.00001	0.00660	0.00120	<0.00001	0.00890	0.00096
Summer	<b>&lt;0.00001</b>	<b>0.00740</b>	<b>0.00110</b>	<b>&lt;0.00001</b>	<b>0.01530</b>	<b>0.00087</b>
Autumn	<0.00001	0.01300	0.00110	<0.00001	0.01230	0.00095
Winter	<0.00001	0.00550	0.00110	<0.00001	0.00560	0.00099
Annual	<0.00001	0.00810	0.00110	<0.00001	0.01050	0.00094

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705 **Table 3.** Same as Table 1, but for ICOD. The min and max represents minimum and  
 706 maximum, respectively.  
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Season	<0.01			[0.01,0.03)			[0.03,0.10)			[0.10,0.30)			[0.30,1.00)			≥1.00		
	Min	Max	Avg	Min	Max	Avg	Min	Max	Avg	Min	Max	Avg	Min	Max	Avg	Min	Max	Avg
Spring	<0.01	0.19	0.03	0.01	0.29	0.07	0.05	0.32	0.14	0.11	0.37	0.20	0.12	0.44	0.28	0.02	0.52	0.29
Summer	<0.01	0.37	0.04	<0.01	0.42	0.08	0.01	0.72	0.15	0.02	0.42	0.20	0.10	0.63	0.27	<0.01	0.75	0.26
Autumn	<0.01	0.26	0.03	0.01	0.37	0.07	0.05	0.49	0.14	0.09	0.50	0.20	0.02	0.48	0.28	<0.01	0.58	0.28
Winter	<0.01	0.32	0.03	0.01	0.34	0.07	0.04	0.45	0.14	0.09	0.38	0.20	0.02	0.48	0.28	0.001	0.62	0.29

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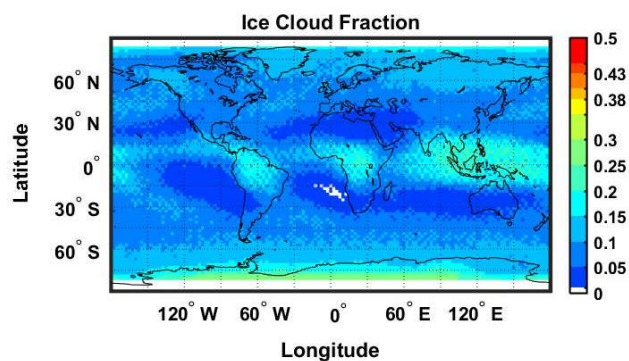
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**Fig. 1.** Geographical distribution of a 10-year mean of ICF retrieved from the  
754 CALIOP measurements (day plus night condition). The white color represents the  
755 value less than 0.01.

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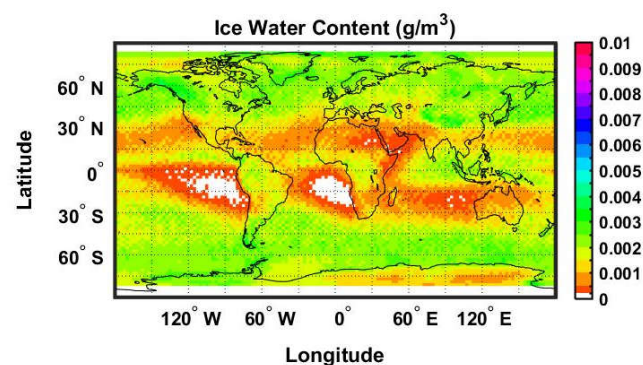
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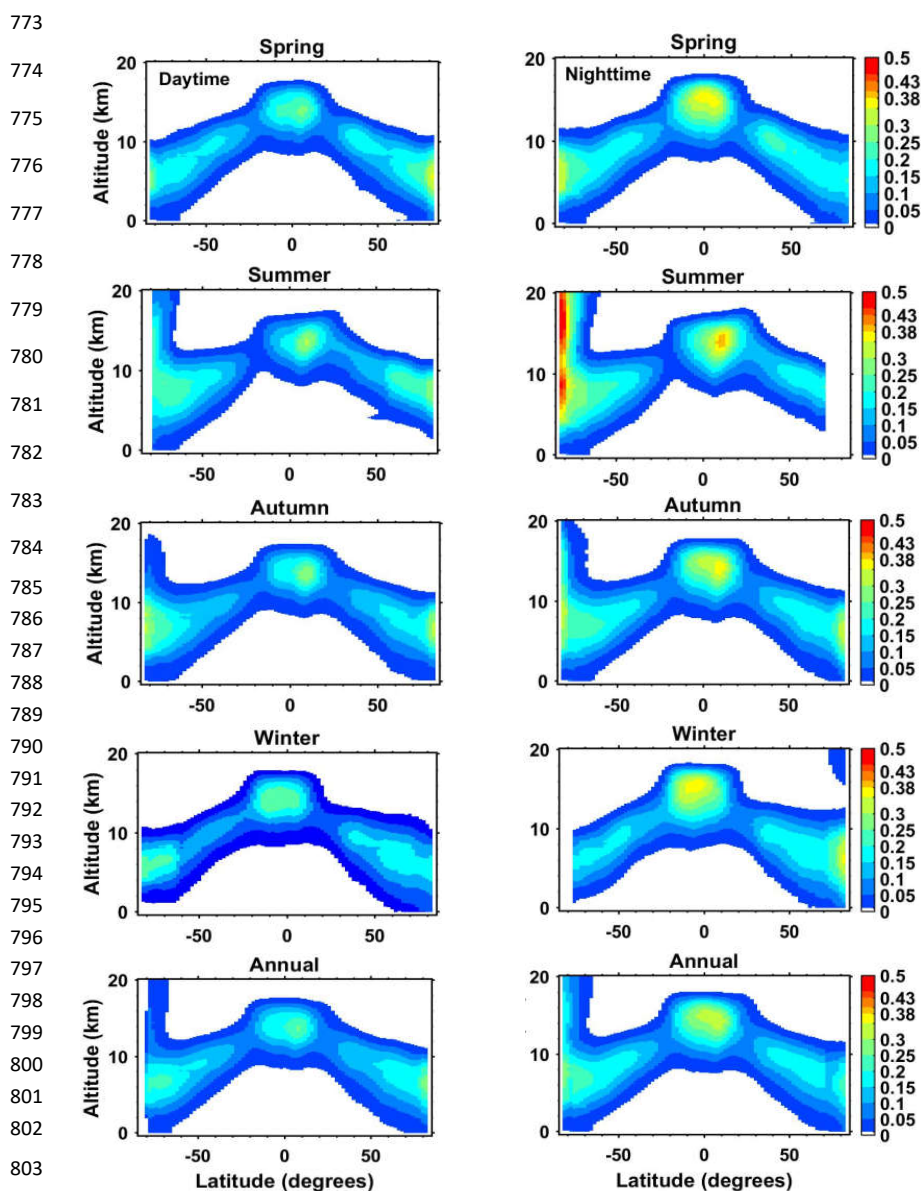


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**Fig. 2.** Same as in Fig.1, but for the IWC. The white color represents the value less  
770 than  $0.0002\text{g/m}^3$ .

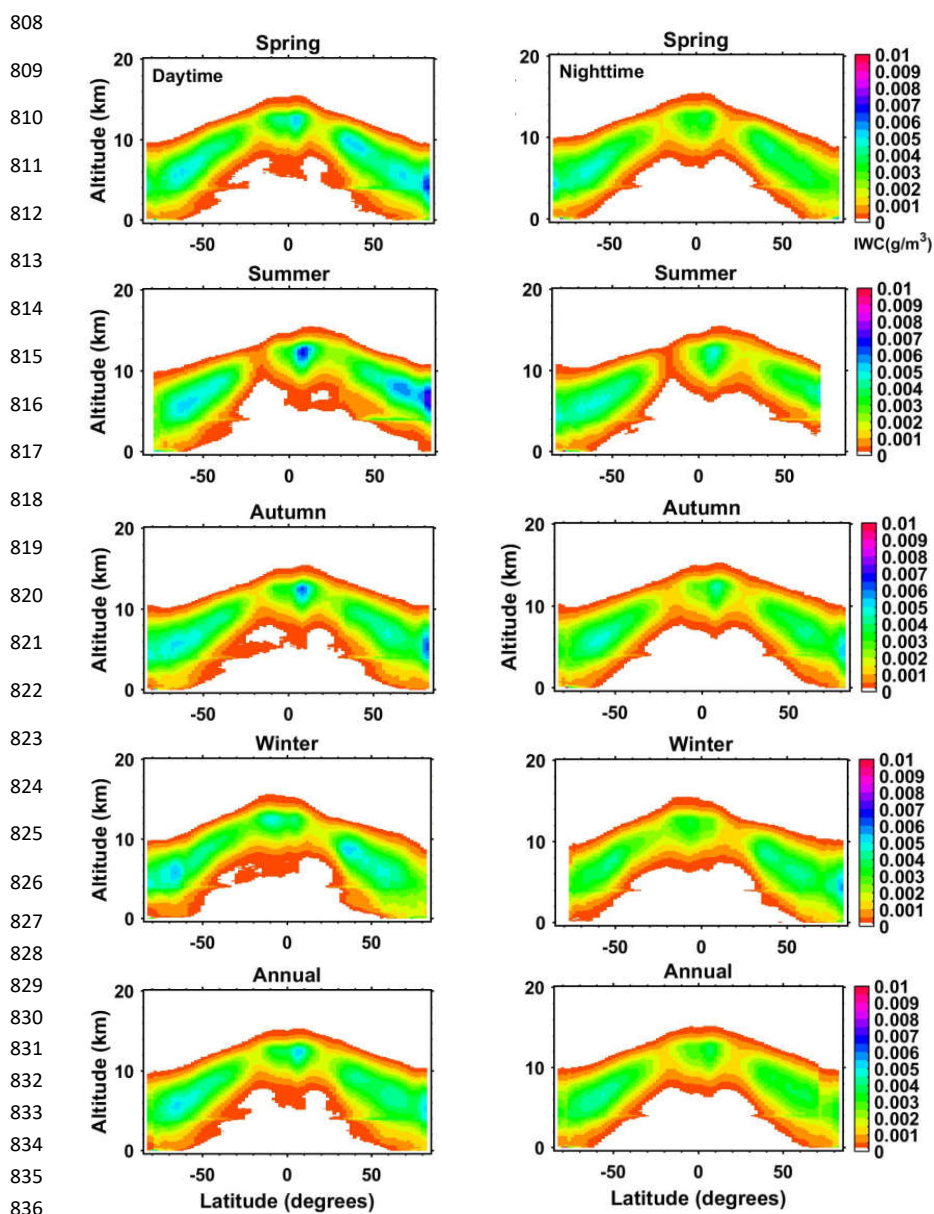
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805 **Fig. 3.** Seasonal and annual distributions of the 10-year mean ICF over latitude and  
806 altitude during the daytime (left panels) and nighttime (right panels) for four seasons  
807 observed from the CALIOP. The white color represents the value less than 0.01.



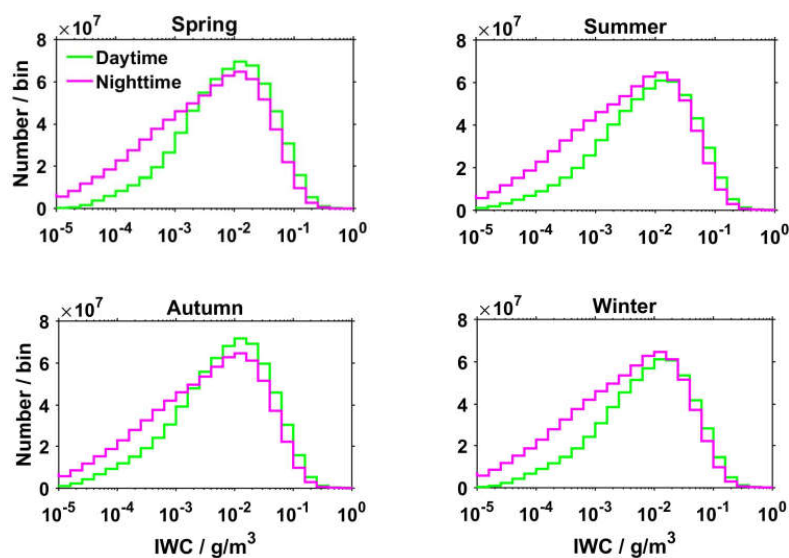


839 **Fig. 4.** Same as in Fig.3, but for the IWC. The white color represents the value less  
840 than  $0.0002 \text{ g/m}^3$ .

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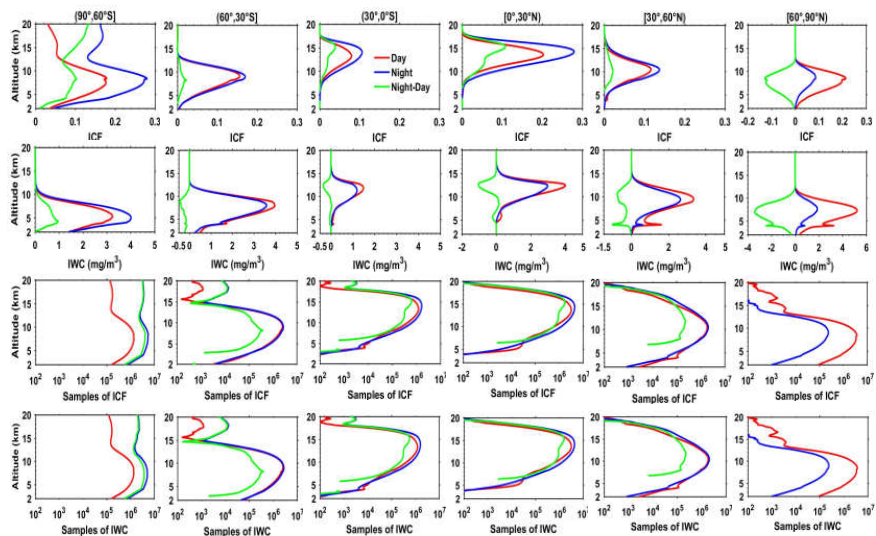
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**Fig. 5.** Histograms of IWC derived from the 10-year measurements of the CALIOP for four seasons.



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890 **Fig. 6.** Diurnal variations (night-minus-day measurements) of zonal mean profiles of  
891 frequency occurrences of ICF and IWC (the first and second row), vertical profiles of  
892 a 10-year total number of ICF and IWC samples (the third and fourth rows).

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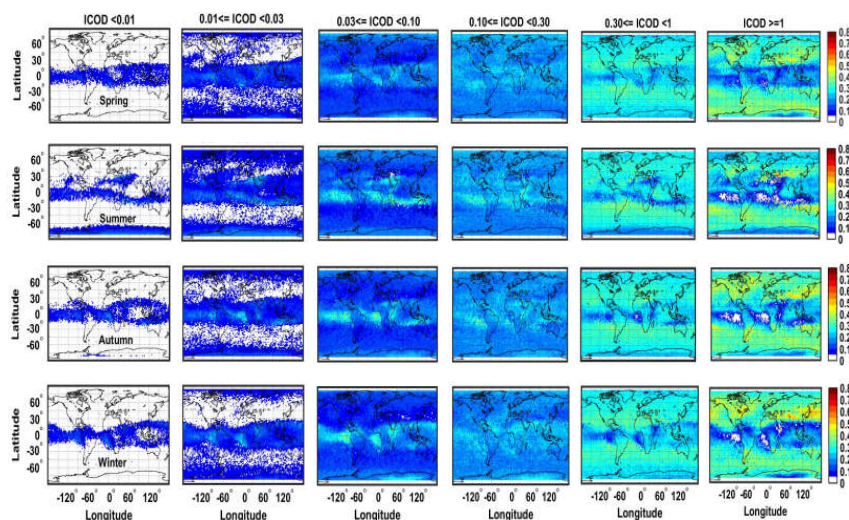
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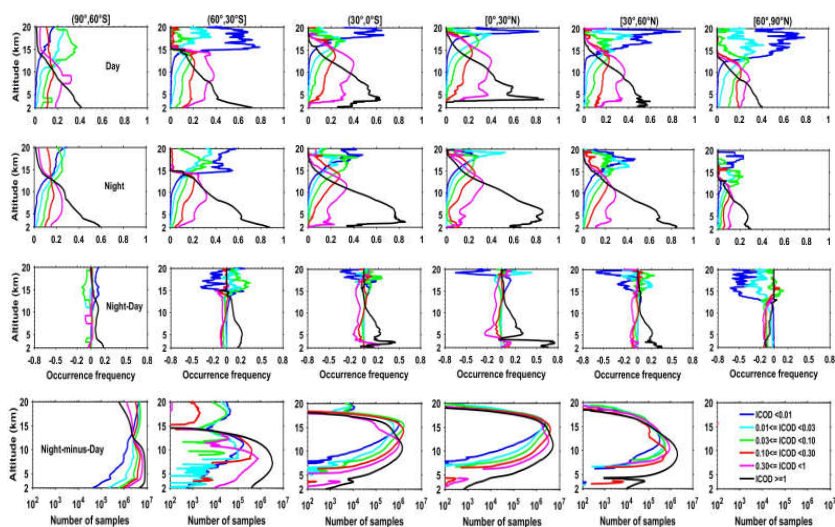


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**Fig. 7.** Spatial and seasonal changes of frequency occurrences of ICOD over six ranges based on the 10-year measurements of the CALIOP (day plus night). The white color represents value less than 0.05.



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944 **Fig. 8.** Diurnal (day and night) variations of zonal mean profiles of frequency of  
945 occurrences of ICOD over six ranges (the first and second rows), diurnal variation  
946 (night-minus-day measurements) of: occurrence frequency profiles of ICOD over six  
947 groups (the third row), vertical profiles obtained from the 10-year CALIOP  
948 measurements of total number of ICOD samples for six groups (the fourth row).

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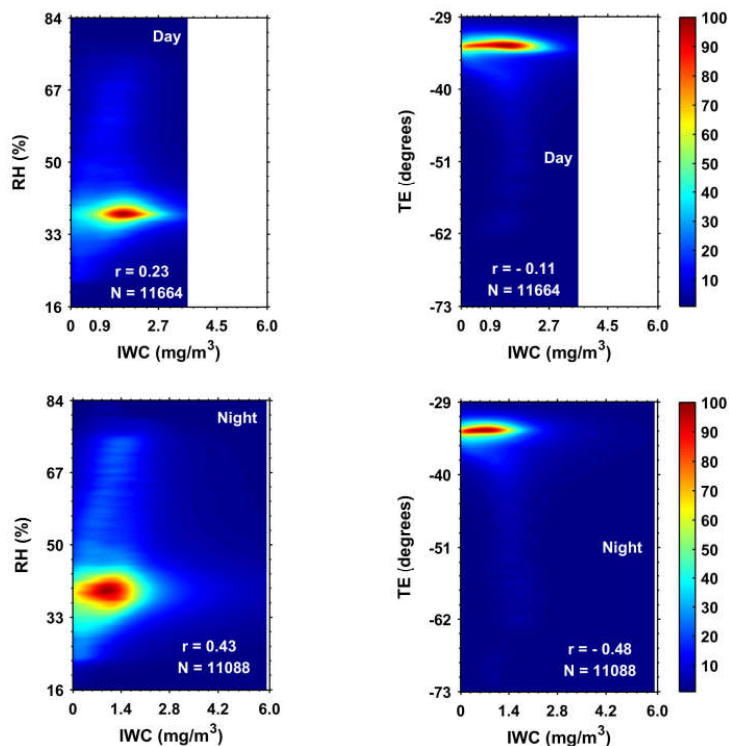
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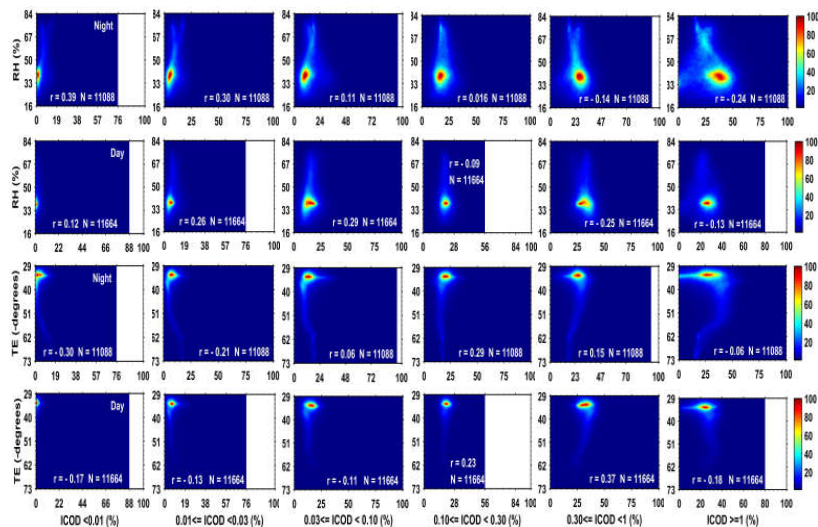


**Fig. 9.** The relationships between the averaged IWC and RH (left column) and TE (right column) during the daytime and nighttime over the globe based on the 10-year measurements of the CALIOP.





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1013 **Fig. 10.** The relationships between occurrence frequency of different ICOD and  
1014 averaged RH (first and second rows) and TE (third and fourth rows) during nighttime  
1015 and daytime over the globe derived from a 10-year measurement of the CALIOP. The  
1016 unit of ICOD in x axis is the percentage.

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