

1 **Stratocumulus Cloud Clearings: Statistics from Satellites, Reanalysis Models, and Airborne**
2 **Measurements**

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18 Abstract

19 This study provides a detailed characterization of stratocumulus clearings off the U.S. West
20 Coast using remote sensing, reanalysis, and airborne in situ data. Ten years (2009-2018) of
21 Geostationary Operational Environmental Satellite (GOES) imagery data are used to quantify the
22 monthly frequency, growth rate of total area (GR_{Area}), and dimensional characteristics of 306 total
23 clearings. While there is interannual variability, the summer (winter) months experienced the most
24 (least) clearing events with the lowest cloud fractions being along coastal topographical features
25 along the central to northern coast of California including especially just south of Cape Mendocino
26 and Cape Blanco. From 09:00 to 18:00 (PST), the median length, width, and area of clearings
27 increased from 680 to 1231 km, 193 to 443 km, and $\sim 67,000$ to $\sim 250,000$ km², respectively.
28 Machine learning was applied to identify the most influential factors governing the GR_{Area} of
29 clearings between 09:00-12:00 PST, which is the time frame of most rapid clearing expansion.
30 The results from Gradient Boosted Regression Tree (GBRT) modeling revealed that air
31 temperature at 850 hPa (T_{850}), specific humidity at 950 hPa (q_{950}), sea surface temperature (SST),
32 and anomaly in mean sea level pressure ($MSLP_{anom}$) were probably most impactful in enhancing
33 GR_{Area} using two scoring schemes. Clearings have distinguishing features such as an enhanced
34 Pacific high shifted more towards northern California, offshore air that is warm and dry, stronger
35 coastal surface winds, enhanced lower tropospheric static stability, and increased subsidence.
36 Although clearings are associated obviously with reduced cloud fraction where they reside, the
37 domain-averaged cloud albedo was actually slightly higher on clearing days as compared to non-
38 clearing days. To validate speculated processes linking environmental parameters to clearing
39 growth rates based on satellite and reanalysis data, airborne data from three case flights were
40 examined. Measurements were compared on both sides of the clear-cloudy border of clearings at
41 multiple altitudes in the boundary layer and free troposphere, with results helping to support links
42 suggested by this study's model simulations. More specifically, airborne data revealed the
43 influence of the coastal low-level jet and extensive horizontal shear at cloud-relevant altitudes that
44 promoted mixing between clear and cloudy air. Vertical profile data provide support for warm and
45 dry air in the free troposphere additionally promoting expansion of clearings. Airborne data
46 revealed greater evidence of sea salt in clouds on clearing days, pointing to a possible role for, or
47 simply the presence of, this aerosol type in clearing areas coincident with stronger coastal winds.

48 1. Introduction

49 Stratocumulus clouds play an important role in both global and regional climate systems.
50 Stratocumulus clouds are the dominant cloud type over marine environments based on annual
51 mean of area covered (Warren et al., 1986; Hahn and Warren, 2007). In coastal areas, these clouds
52 can impact industries such as agriculture, transportation (e.g., aviation), military operations,
53 coastal ecology, and biogeochemical cycles of nutrients. Stratocumulus clouds also play an
54 important role in the global radiation budget due to their high albedo contrast with the underlying
55 ocean surface (Hartmann and Short, 1980; Herman et al., 1980; Stephens and Greenwald, 1991).
56 Challenges in accurately simulating the presence and properties of stratocumulus clouds include
57 the difficulty in separating the influence of microphysical and dynamical factors and the existence
58 of multiple feedbacks in cloud systems (Brunke et al., 2019). Therefore, accurate characterization
59 of cloud formation and evolution is critical.

60 Numerous studies have examined the behavior of clouds off the United States (U.S.) West
61 Coast (e.g., Coakley et al., 2000; Durkee et al., 2000; Stevens et al., 2003; Lu et al. 2009; Painemal
62 and Minnis, 2012; Modini et al., 2015; Sanchez et al., 2016). The persistence of the cloud deck in
63 this region, especially during the summer, makes it a key location for studying marine
64 stratocumulus clouds. Furthermore, the prevalence of freshly-emitted aerosols from ships provides
65 an optimal setting for field measurements of aerosol-cloud-precipitation interactions because of
66 the relative ease of finding strong aerosol perturbations, from which cloud responses can be
67 robustly quantified (e.g., Russell et al., 2013). Over the decades of research conducted in the
68 aforementioned study region and two other major stratocumulus regions (Southeast Pacific Ocean
69 off the Chile-Peru coasts and Southeast Atlantic Ocean off the Namibia-Angola coasts), one
70 feature that has not received sufficient attention is large scale stratocumulus clearings that are
71 easily observed in satellite imagery and often exceed 100 km in width (Fig. 1). Perhaps the most
72 obvious impact of these clearings is the change in albedo as an otherwise cloudy area would be
73 highly reflective. Improving understanding of factors governing clearings has implications for
74 modeling of marine boundary layer clouds and for operational forecasting of weather and fog along
75 coastlines.

76 Previous studies have documented the existence of large scale cloud clearings off the U.S.
77 West Coast (e.g., Kloesel, 1992). During the 2013 Nucleation in Cloud Experiment (NiCE), three
78 case study flights with the Center for Interdisciplinary Remotely-Piloted Aircraft Studies
79 (CIRPAS) Twin Otter examined clearings off the California coast, with a focus on diurnal behavior
80 and contrasting aerosol and thermodynamic properties across the cloud-clearing interface (Crosbie
81 et al., 2016). Based on a multi-day event, they showed that a clearing expanded during the day and
82 contracted at night towards the coast with oscillations between growth and decay over the multi-
83 day clearing lifetime. They observed that small scale processes (~1 km) at the clearing-cloud
84 border are influential in edge dynamics that likely upscale to more climatologically influential
85 scales, which is why reanalysis data cannot accurately replicate the spatial profile of cloud fraction
86 (CF) and cloud liquid water path (LWP) when compared to satellite data. One of their three events
87 was associated with a so-called “southerly surge”, also referred to as a coastally-trapped
88 disturbance (CTD). CTD events were recently characterized off the U.S. West Coast by Juliano et
89 al. (2019a,b). Clearing events have been examined over the southeast Atlantic Ocean with the
90 catalyst for cloud erosion shown to be atmospheric gravity waves (Yuter et al., 2018). While these
91 aforementioned studies have explained details associated with clearings in different coastal
92 regions, there are many unanswered questions remaining and a need for more statistics associated
93 with clearings to build more robust conclusions.

94 The goal of this work is to build upon cloud clearing studies over the U.S. West Coast to
95 provide a more comprehensive analysis using the synergy of data from satellite remote sensors,
96 reanalysis products, and airborne in-situ measurements. We first examine a decade of satellite data
97 to report on statistics associated with the temporal and spatial characteristics of clearings. These
98 characteristics are then studied in conjunction with environmental properties from reanalysis
99 products and machine learning simulations to identify factors potentially contributing to the
100 formation and evolution of clearings. Lastly, airborne in situ data are used to validate findings
101 from the aforementioned analyses and to gain more detailed insight into specific events that
102 otherwise would not be possible with reanalysis and satellite products. The most significant
103 implications of our results are linked to modeling of fog and boundary layer clouds, with major
104 implications for a range of societal and environmental issues such as climate, military operations,
105 transportation, and coastal ecology.
106

107 **2. Experimental Methods**

108 **2.1 Satellite Datasets**

109 Long-term statistics associated with clearings were obtained using Geostationary
110 Operational Environmental Satellite (GOES) visible band ($\sim 0.6 \mu\text{m}$) images. Visual imagery data
111 were obtained from GOES-11 for 2009 through 2011 and from GOES-15 between 2012 and 2018
112 (data products summarized in Table 1). Images were analyzed for the spatial domain bounded by
113 115° - 135° W and 30° - 50° N. The following steps led to the identification of individual clearings
114 using GOES images, of which a total of 306 were identified between 2009 and 2018:
115

- 116 (i) GOES-11 and GOES-15 visible images were obtained from the National Oceanic and
117 Atmospheric Administration (NOAA) Comprehensive Large Array-data Stewardship
118 System (CLASS) database (<http://www.class.noaa.gov>).
- 119 (ii) Each day's sequence of GOES images were visually inspected to identify if a clearing event
120 was present. This involved utilizing the following general guidelines: (i) there had to be
121 sufficient cloud surrounding the clearing area that the clearing's borders could be
122 approximately identified, which excluded cases with highly broken cloud deck; (ii)
123 clearings that were not connected to land between 30° - 50° N in any of daily images were
124 excluded; (iii) days with the cloud deck completely detached from the coast between 30° -
125 50° N were not considered; and (iv) only clearings with a maximum daily area of greater
126 than $15,000 \text{ km}^2$ (which translates to a clearing length on the order of 100 km) were
127 considered. Consequently, the statistics presented in Section 3.1.1 represent a lower limit
128 of clearing occurrence in the study region. However, it is expected that the qualitative
129 trends discussed in Section 3.1.1 are representative of clearing behavior in the study region.
- 130 (iii) For each clearing event, four images were selected to both quantify clearing properties and
131 characterize diurnal variability: (i) Image 1 after sunrise, between 14:15 UTC (7:15 Pacific
132 Standard Time (PST)) and 16:45 UTC (09:45 PST) with a median at $\sim 16:00$ UTC (09:00
133 PST); (ii) Image 2 at a time relevant to the Moderate Resolution Imaging
134 Spectroradiometer (MODIS) Terra overpass over the study region, between 18:45 UTC
135 (11:45 PST) and 20:45 UTC (13:45 PST) with a median at $\sim 19:00$ UTC ($\sim 12:00$ PST); (iii)
136 Image 3 at a time relevant to the MODIS Aqua overpass over the study region, ranging
137 from 19:45 UTC (12:45 PST) to 22:15 UTC (15:15 PST) with a median at $\sim 22:00$ UTC
138 ($\sim 15:00$ PST); and (iv) Image 4 before sunset, ranging from 22:45 UTC (15:45 PST) to

139 02:15 UTC (19:15 PST) with a median at ~01:00 UTC (~18:00 PST). For the purposes of
140 subsequent discussion, local times (PST) will be used.

141 (iv) A custom-made cloud mask algorithm was applied consisting of the following steps: (i)
142 each visible image was converted to an 8-bit integer gray-scale image with values assigned
143 to each pixel ranging from 0 (black) to 255 (white); (ii) continental areas were masked
144 from the analysis (i.e., green regions in Fig. 1), meaning that their values were not included
145 in subsequent steps; (iii) a histogram of values for all pixels over the ocean was calculated
146 for each image obtained in the previous step and then Otsu's method (Otsu 1979) was
147 applied on the obtained histogram to compute a global threshold to categorize each pixel
148 as either clear or cloudy; (iv) a MATLAB image processing toolbox was used to extract
149 the clearing as an object, including the pixels at the clearing-cloud border and pixels inside
150 the clearing; (v) information contained within the clear pixels was then used to estimate
151 clearing dimensions such as width, length, area, and centroid for the spatial domain
152 bordered by 115°-135° W and 30°-50° N; and (vi) a MATLAB application was written to
153 automate all of the aforementioned steps to process data for a decade (2009-2018).

154
155 Data were used from the MODIS on the Terra and Aqua satellites to characterize cloud
156 properties on clearing and non-clearing days in the spatial domain of analysis defined above. Daily
157 Level 3 data (Hubanks et al., 2019) with spatial resolution 1°×1° were downloaded from the
158 LAADS DAAC distribution system (<https://ladsweb.modaps.eosdis.nasa.gov/>). The key daytime
159 parameters (Table 1) retrieved for this study relevant to liquid clouds included the following, which
160 were retrieved at 2.1 μm and selected based on their importance for marine boundary layer (MBL)
161 cloud studies: CF obtained from the MODIS cloud mask algorithm (Platnick et al., 2003), cloud
162 optical thickness (τ), LWP , and cloud droplet effective radius (r_e). Detailed information about these
163 MODIS products is described elsewhere (Platnick et al., 2003; Platnick et al., 2017; Hubanks et
164 al., 2019).

165 Although MODIS Level 3 data parameters do not include cloud droplet number
166 concentration (N_d), previous studies estimated N_d using retrievals of τ and r_e with assumptions
167 (Bennartz, 2007; Painemal and Zuidema, 2010; McCoy et al., 2017). We use the following
168 equation from Painemal and Zuidema (2010) to estimate N_d :

169
170
$$N_d = \frac{(\Gamma_{ad})^{\frac{1}{2}}}{k} \frac{10^{\frac{1}{2}}}{4 \pi \rho_w^{\frac{1}{2}}} \frac{\tau^{\frac{1}{5}}}{r_e^{\frac{1}{2}}} \quad (1)$$

171 where ρ_w is the density of liquid water, Γ_{ad} is the adiabatic lapse rate of liquid water content
172 (LWC), and the parameter k is representative of droplet spectral shape as the cube of the ratio
173 between the volume mean radius and the effective radius. Γ_{ad} is a function of temperature and
174 pressure (Albrecht et al., 1990). In this study, cloud top temperature and pressure, provided by
175 MODIS, are used to estimate Γ_{ad} following the methodology described in Braun et al. (2018). A
176 constant value of 0.8 (Martin et al. 1994) is assigned to k in Equation 1. Similar to our previous
177 study on clearings (Crosbie et al., 2016), cloud top albedo (A) was quantified using τ in the
178 following relationship (Lacis and Hansen 1974):

179
180
$$A = \frac{\tau}{\tau+7.7} \quad (2)$$

181

182 2.2 Reanalysis Data

183 Various products from Modern-Era Retrospective analysis for Research and Applications,
184 Version 2 (MERRA-2; Gelaro et al., 2017) were used to gain insight into possible mechanisms
185 influencing the formation and evolution of clearings off the U.S. West Coast. MERRA-2 data were
186 downloaded from the NASA Goddard Earth Sciences Data and Information Services Center (GES
187 DISC; <https://disc.gsfc.nasa.gov/>). Table 1 summarizes MERRA-2 parameters used in this work,
188 including detailed information such as their product identifier and temporal resolution. The
189 parameters were chosen based on their ability to provide a sufficient view of atmospheric
190 conditions in which MBL clouds form, evolve, and dissipate. Various vertical levels were used for
191 some MERRA-2 products as a way of obtaining representative information for different layers of
192 the MBL and free troposphere (FT). Of note is that the MERRA-2 aerosol reanalysis relies on the
193 GEOS-5 Goddard Aerosol Assimilation System (Buchard et al., 2015) for which the Goddard
194 Chemistry, Aerosol, Radiation, and Transport (GOCART) model (Chin et al., 2002) simulates 15
195 externally mixed aerosol tracers including sulfate, dust (five size bins), sea salt (five size bins),
196 and hydrophobic and hydrophilic black carbon and organic carbon. Of relevance to this study,
197 GOCART applies wind-speed dependent emissions for sea salt. Furthermore, the dominant
198 removal mechanisms for aerosols include gravitational settling, dry deposition, and wet
199 scavenging.

200

201 2.3 Airborne In-Situ Data

202 Motivated by the three case study research flights (RFs) probing clearings during the NiCE
203 campaign (Crosbie et al., 2016), the Fog and Stratocumulus Evolution Experiment (FASE) was
204 carried out with nearly the same payload on the Center for Interdisciplinary Remotely-Piloted
205 Aircraft Studies (CIRPAS) Twin Otter between July and August 2016 (Sorooshian et al., 2018).
206 Data were used from three case RFs examining clearings: RF08 on 2 August 2016, and
207 RF09A/RF09B on 3 August 2016. The back-to-back flights on 3 August afforded an opportunity
208 to examine the evolution of clearing properties at the clear-cloudy interface over a span of a few
209 hours. Figure 2 shows GOES imagery and the flight pattern for RF09A, which is representative of
210 the other two shown in Figs. S1-S2. The same flight strategy from NiCE (Crosbie et al., 2016) was
211 used in the FASE RFs and included the following set of maneuvers (Fig. 2c): (i) spiral profiles on
212 both sides of the clear-cloudy interface; (ii) level legs extending on both sides of the clear-cloudy
213 interface near the ocean surface (~ 30 m; called “surface leg”), above cloud base, and mid-cloud;
214 (iii) a series of sawtooth maneuvers up and down between ~ 60 m below and above the cloud top
215 on both sides of the clear-cloudy interface; and a (iv) level leg in the FT at ~ 1 km altitude. The
216 typical aircraft speed was 55 m s^{-1} .

217 Commonly used instruments provided dynamic, thermodynamic, and navigational data
218 (Crosbie et al., 2016; Dadashazar et al., 2017; Sorooshian et al., 2018). Of relevance to this study
219 are 10 Hz measurements of wind speeds, air temperature, and humidity. Setra pressure transducers
220 attached to a five-hole gust probe radome provided three components of wind speeds after
221 correction for aircraft motion, which was obtained by a C-MIGITS-III GPS/INS system. Ambient
222 air temperature was measured by a Rosemount Model 102 total temperature sensor. Also, humidity
223 data were collected with an EdgeTech Vigilant chilled mirror hygrometer (EdgeTech Instruments,
224 Inc.).

225 Cloud micro/macrophysical parameters were measured at 1 Hz with various instruments.
226 Size distributions of cloud droplets and rain droplets were characterized using the Forward

227 Scattering Spectrometer Probe (FSSP; $D_p \sim 2\text{--}45 \mu\text{m}$) and Cloud Imaging Probe (CIP; $D_p \sim 25\text{--}$
228 $1600 \mu\text{m}$). Cloud base rain rate was quantified using the size distributions of drizzle drop ($D_p > 40$
229 μm) obtained from CIP in the bottom third of clouds along with documented relationships between
230 fall velocity and drop size (Wood 2005a). *LWC* data were obtained using a PVM-100 (Gerber et
231 al., 1994), which were vertically integrated during sounding profiles to quantify cloud *LWP*.
232 Aerosol concentration data are reported here from the passive cavity aerosol spectrometer probe
233 (PCASP; $D_p \sim 0.11\text{--}3.4 \mu\text{m}$; Particle Measuring Systems (PMS), Inc.; modified by Droplet
234 Measurement Technologies, Inc.) at 1 Hz time resolution. Cloud water composition data were
235 obtained using a modified Mohnen slotted-rod collector (Hegg & Hobbs, 1986) that was manually
236 placed out of the aircraft during cloud passes to collect cloud water. The collected samples were
237 analyzed for water-soluble ions using ion chromatography (IC; Thermo Scientific Dionex ICS-
238 2100 system) and water-soluble elements using triple quadrupole inductively coupled plasma mass
239 spectrometry (ICP-QQQ; Agilent 8800 Series). Liquid-phase concentrations of species were
240 converted to air-equivalent units ($\mu\text{g m}^{-3}$) via multiplication with the sample-averaged *LWC*. The
241 reader is referred to other works for more extensive discussion about cloud water collection and
242 sample analysis from FASE and other recent CIRPAS Twin Otter campaigns (Crosbie et al., 2018;
243 Prabhakar et al., 2014; Sorooshian et al., 2013a; Wang et al., 2016; Youn et al., 2015).

244 Ten Hz measurements of environmental parameters were used to estimate turbulent
245 variance and covariance flux values, which may be relevant to the understanding of clearing
246 formation and evolution based on past work (Crosbie et al., 2016). To perform the aforementioned
247 calculations, collected data for wind speed and temperature were de-trended using a 2-km wide
248 high pass filter that utilizes a minimum order-filter with a stopband attenuation of 60 dB and
249 transition band steepness of 0.95. Friction velocity (u^*) was calculated from the surface leg
250 following the method provided in Stull (1988) and Wood (2005b). In addition, convective velocity
251 (w^*) was estimated by implementing the buoyancy integral method (Nicholls and Leighton, 1986).
252 Turbulent kinetic energy (*TKE*) in the MBL is generated by two main mechanisms, specifically
253 shear and buoyancy generation. Following Wood (2005b), the ratio of the MBL depth (z_i) to the
254 Monin–Obukhov length (L_{MO}) was estimated as a way to determine the relative influence of shear
255 versus buoyancy in values of *TKE*. Large positive values of the ratio ($-z_i/L_{MO}$) are associated with
256 the turbulence in the MBL governed more with buoyancy production, while small or negative
257 values are associated with the dominance of shear production.

258 Properties relevant to the inversion layer were estimated from sawtooth maneuvers above
259 and below the cloud top, which typically coincided with the inversion base altitude (Fig. 2c). The
260 inversion base height was defined as the altitude where the ambient temperature first reached its
261 minimum above the sea surface (Crosbie et al., 2016). Inversion top was defined as the highest
262 altitude at which $d\theta_l/dz$ exceeded 0.1 K m^{-1} , where θ_l is liquid water potential temperature and z is
263 altitude. $d\theta_l/dz$ was calculated from linear fits over a moving window of 75 points from 10 Hz data.
264 The following characteristics were estimated and reported for the inversion layer: (i) inversion
265 base height; (ii) inversion top height; (iii) inversion depth; (iv) jump in liquid water temperature
266 ($\Delta\theta_l$); (v) maximum gradient of the potential temperature ($(d\theta_l/dz)_{\text{max}}$); (vi) drop in the total
267 moisture (Δq_t); and (vii) change in the horizontal wind speed (ΔU).

268 269 **2.4 Clearing Growth Modeling Using Machine Learning**

270 A Gradient Boosted Regression Tree (GBRT) model approach was implemented to
271 investigate the impact of environmental parameters on the evolution of clearing events (Friedman
272 2001). GBRT models have been successfully used in past work to study low-level clouds (Fuchs

273 et al., 2018). The Scikit-Learn library (Pedregosa et al., 2011) was used for careful parameter
274 tuning in order to accurately represent the data and desired relationships without overfitting the
275 model (Fuchs et al., 2018).

276 We apply the GBRT model to analyze clearing growth rates of total area (GR_{Area}) obtained
277 from the comparative analysis between GOES Image 1 (~9:00 PST) and Image 2 (~12:00 PST)
278 for each of the 306 events. As will be shown, the most rapid clearing growth occurs between 9:00
279 and 12:00 PST among the three time increments between Images 1-4 (i.e., 09:00 - 18:00 PST).
280 Here we describe how the predictor values were obtained. A rectangular box was placed around
281 the larger of the clearing areas from Image 1 or 2 for each clearing event using the maximum and
282 minimum values of both latitude and longitude. The same size rectangular box was then placed on
283 the other image using identical latitude and longitude bounds. MERRA-2 data were then obtained
284 for each $0.5^\circ \times 0.625^\circ$ grid within the rectangular area for the two images, and then averaged for
285 the pair of images. Each grid was also assigned the value of the clearing GR_{Area} for the entire
286 clearing (i.e., each grid had the same value of GR_{Area} assigned to it). Parameters used in the
287 modeling included those relevant to aerosol (aerosol optical depth (AOD)), thermodynamics (air
288 temperature (T), air specific humidity (q), and sea-surface temperature (SST), and dynamic
289 variables (mean sea level pressure anomaly ($MSLP_{anom}$), zonal wind speed (U), meridional wind
290 speed (V), planetary boundary layer height ($PBLH$), and vertical pressure velocity (ω)). Most of
291 the aforementioned variables were first analyzed at different vertical levels including the surface,
292 950 hPa, 850 hPa, and 700 hPa in order to then filter variables out to keep only the most appropriate
293 input parameters.

294 Model simulation results are reported in terms of a parameter termed ‘partial dependence’
295 (PD) following methods in earlier works (e.g., Friedman, 2001; Fuchs et al., 2018). PD plots
296 represent the change of the clearing GR_{Area} relative to a selected parameter by marginalizing over
297 the remaining predictors. For each given value of a selected parameter (x_s), partial dependence
298 ($PD(x_s)$) can be obtained by computing the average of model outputs using the training data as
299 shown in Equation 3:

$$300 \quad PD(x_s) = \frac{1}{n} \sum_{i=1}^n \hat{f}(x_s, x_R^{(i)}) \quad (3)$$

301 where \hat{f} is the machine learning model, x_R are the remaining parameters, and n is the number of
302 instances in the training data. PD profiles were computed between the 1st and 99th percentile of
303 each selected parameter.

304 While PD plots are not flawless in capturing the influence of each variable in the model,
305 especially if the input variables are strongly correlated, they provide useful information for
306 interpretation of GBRT results (Friedman and Meulman 2003; Elith et al., 2008). To decrease the
307 undesired influence of correlated variables on PD profiles, an arbitrary r^2 threshold of 0.5 was
308 used based on the linear regressions between prospective input parameters. For instance, there
309 were three choices of air temperature (i.e., at 950, 850, and 700 hPa), but based on the r^2 criterion,
310 only one (T_{850}) was used in the model to minimize the unwanted impact of dependent input
311 parameters. Lower tropospheric stability (LTS : defined as the difference between the potential
312 temperature of the FT (700 hPa) and the surface) is the stability parameter that has been widely
313 used as a key factor controlling the coverage of stratocumulus clouds. However, in this study, the
314 effects of stability were examined by putting T_{850} and SST into the model without explicitly
315 including LTS . The correlation between LTS and T_{850} prevented them to be used as input
316 parameters simultaneously. Using T_{850} and SST instead of LTS is advantageous because the results
317 can be more informative by revealing different impacts of the two individual parameters on the
318 model’s output rather than just one parameter in the form of LTS . In addition, the mean sea level

319 pressure anomaly ($MSLP_{anom}$) was used as an input parameter, which was calculated in reference
320 to the average values of $MSLP$ for the summer months for the study period. In the end, the
321 following 11 predicting variables from MERRA-2 were used as input parameters for the GBRT
322 simulations, with data product details summarized in Table 1: AOD , T_{850} , q_{950} , q_{850} , q_{700} , SST ,
323 $MSLP_{anom}$, U_{850} , V_{850} , $PBLH$, and ω_{700} . It is important to note that the results of extensive sensitivity
324 tests led to the selection of the set of parameters presented in this study. Also, these sensitivity
325 tests confirmed that the general conclusions presented here were preserved regardless of using
326 different sets of the input parameters.

327 To train, test, and validate the statistical models, the dataset was split into random parts.
328 The training set was comprised of 75% of the data points, 30% of which were randomly selected
329 for validation. This process helped reduce variance and increase model robustness. The remaining
330 25% of the data points comprised the test dataset. The model setup was tuned using training data,
331 for which different scenarios were tested that were specified by a parameter grid through a 10-fold
332 cross-validated search. The model was run on the dataset 30 times to achieve robust results. To
333 qualitatively rank the input parameters based on their influence on growth rates, two scoring
334 metrics were calculated over 30 runs: (i) differences between the maximum and minimum of PD
335 (ΔPD); and (ii) the relative feature importance following the method developed by Friedman
336 (2001), which is determined by the frequency that a variable is chosen for splitting, weighted by
337 the gained improvement due to each split and averaged over all trees (Friedman and Meulman
338 2003; Elith et al., 2008).

339

340 **3. Results and Discussion**

341 **3.1 Temporal and Spatial Profile of Clearings**

342 **3.1.1 Monthly and Interannual Trends**

343 The frequency of clearing events was quantified for the three summer months (June – July
344 – August, JJA) of each year from 2009 through 2018 (Fig. 3a). Note that if a clearing event lasted
345 multiple days as in the case of the 11-day clearing probed by Crosbie et al. (2016), it was counted
346 separately for each individual day rather than assigned a value of one for a multi-day period. There
347 was considerable interannual variability, with clearing events ranging between a minimum of 14
348 in 2017 and a maximum of 45 in 2011. The relative percentage of total days in the summer season
349 having clearings ranged from 15.2% – 48.9% with a mean \pm standard deviation of 33.3 ± 10.9
350 days. The specific month with the most clearing events varied between years, with August
351 typically having the least number of events among the summer months. The most recent year of
352 the decade examined, 2018, was used to more closely examine the distribution of clearing events
353 as a function of all 12 months. Daily probabilities of clearing events are shown for each month,
354 with the highest probability between May and September (> 0.2), especially June (~ 0.42) (Fig.
355 3b). Daily probabilities were lowest in the winter season, with January having no clearings.

356 To identify if the monthly profile of clearings is biased by the monthly profile of CF , Figs.
357 S3-S4 show the mean annual cycle of MODIS CF for 2018 and 2009-2018, respectively. The range
358 in CF s for 2018 and 2009-2018 were 0.59-0.76 and 0.60-0.74, respectively, with the mean values
359 being 0.69 ± 0.05 and 0.68 ± 0.04 . This is indicative of relatively low variability. A reasonable
360 question is if August had the lowest clearing daily probability of the summer months because it
361 potentially had the lowest CF . Figs. S3-S4 do not show significant variations in CF between the
362 summer months, with mean values in 2018 for June, July, and August being 0.71, 0.72, and 0.72,
363 respectively. Also, the lowest mean daily probability in 2018 was for January and February, but
364 those months do not exhibit the lowest CF (January = 0.76, February = 0.67). Rather, September

365 exhibited the lowest CF (0.59). Finally, CF decreased from 0.72 to 0.59 from August to September
366 2018, but the daily probability of clearings actually increased slightly. Thus, the systematic
367 changes in CF between months are not the primary cause for inter-monthly variation in clearing
368 formation.

370 **3.1.2 Diurnal**

371 Dimensional characteristics of cloud clearings as a function of time of day are summarized
372 here. The median width of clearings was smallest in the morning at 09:00 (193 km), with an
373 increase between 09:00 and 12:00, and then a leveling off in expansion until 18:00 (443 km) (Fig.
374 4). Clearing length and area followed the same qualitative trend in growth with an initial increase
375 and then leveling off. The median length and area of clearings at 09:00 were 680 km and $\sim 67,000$
376 km^2 , respectively, with values at 18:00 being ~ 1231 km and $\sim 250,000$ km^2 . The aspect ratio
377 (width:length) was of interest to quantify how long such clearings are relative to their width
378 throughout the day, with results indicating a minor increase that was more linear than asymptotic
379 (from ~ 0.32 at 09:00 to ~ 0.37 at 18:00). Although the range in median values was very small, there
380 was significant variability at each of the four time steps shown. Figure S5 quantifies the GR of
381 total area, width, and length by comparing 12:00 to 09:00, 15:00 to 12:00, and 18:00 to 15:00. The
382 GR s for clearing length, width, and area are expectedly lowest from 15:00 to 18:00 and highest
383 from 09:00 to 12:00.

384 Figure 5 shows CF maps for the times corresponding to panels 1 – 4 for all 306 events
385 between 2009 and 2018. The spatial maps show that the centroid of the clearings is generally
386 focused on the coastal topographical features along the central to the northern coast of California
387 including especially just south of Cape Mendocino and Cape Blanco. Less pronounced is a centroid
388 of reduced CF by Point Conception, where similar mechanisms may be at work. The 09:00 map
389 most clearly shows that those two topographical features potentially serve as ‘trigger points’ for
390 the majority of clearings, and as a typical clearing day develops, the CF gets reduced around those
391 points by moving farther south and to the west. The significance of these capes is discussed in
392 many previous studies (Beardsley et al., 1987; Haack et al., 2001; Juliano et al., 2019a,b) pointing
393 to their ability to alter local dynamics, cloud depth, and various microphysical processes such as
394 entrainment. Cloud thinning in the vicinity of the capes due to an expansion fan effect is reported
395 for both northerly and southerly flow (Beardsley et al., 1987; Juliano et al., 2017).

396 **3.2 Contrasting Clearing and Non-Clearing Cases**

397 Large-scale dynamic and thermodynamic characteristics were contrasted (parameters in
398 Table 1) between clearing and non-clearing days (Fig. 6). Sub-daily data were averaged up to daily
399 resolution for parameters of interest, which were subsequently used to produce a climatology for
400 non-clearing (614 days) and clearing (306 days) cases for the summers between 2009 and 2018. It
401 is important to note that non-clearing cases include those summer days (e.g., June, July, and
402 August) from 2009 through 2018 that were not categorized as clearing days. We further calculated
403 the difference between clearing and non-clearing conditions.

404 The Pacific high usually sets up ~ 1000 km west of California during the summertime,
405 which promotes northerly flow near the surface along the coastline (e.g., Juliano et al., 2019a). As
406 compared to non-clearing cases, clearing days are characterized by having an enhanced Pacific
407 high shifted more towards northern California (Fig. 6a). The presence of Pacific high over the
408 ocean and thermal low over the land, especially for the summer months, are the main synoptic
409 components contributing to the formation of coastal low-level jets (CLLJs) along the California
410

411 coast (Beardsley et al., 1987; Parish 2000). California CLLJs are characterized by vertically
412 narrow regions of intensified coast-parallel winds in low altitudes near the MBL top (Burk and
413 Thompson 1996) with an average strength of $\sim 15 \text{ m s}^{-1}$ (Lima et al., 2018). In contrast, CLLJs
414 have a relatively large horizontal offshore extent of up to a couple of hundred kms, which is
415 determined by the Rossby radius of deformation (Ranjha et al., 2013). In both cases (clearing and
416 non-clearing), the cross-coast gradient in *MSLP* and 850 hPa geopotential height gradients are the
417 highest in northern California and directed away from the coast. Due to the displacement of the
418 Pacific high towards the northeast part of the study region on clearing days, these gradients are
419 much more profound on clearing days as compared to non-clearing days. The zonal pressure
420 gradient is the main parameter controlling the intensity and occurrence of California CLLJs
421 (Zemba and Friehe 1987; Parish 2000; Lima et al., 2018). The probability of CLLJ incidents is
422 most likely greater on clearing days as a response to the enhanced pressure gradients near the coast.
423 This is also supported by low level wind fields shown in Fig. 7, which exhibit a $2\text{-}5 \text{ m s}^{-1}$ increase
424 in northerly surface wind speed (Fig. 7a) between 35°N and 45°N . Looking at the 850 hPa wind
425 field (Fig. 7b), there is also a $\sim 2\text{-}5 \text{ m s}^{-1}$ increase in wind speed but in this case more in a
426 northeasterly direction, which equates to having offshore flow from the northern California coast.
427 The tightening of the 850 hPa geopotential height gradient on clearing days results in strong
428 offshore flows by Cape Blanco and Cape Mendocino (Fig. 7b) where *CF* minima are observed
429 (Fig. 5). In addition, Beardsley et al. (1987) reported periods of low cloudiness along the California
430 coast as a response to the synoptic scale features, an increase in the pressure gradient along the
431 coast, and enhanced wind speeds. In other studies, over the southeast Pacific (Garreaud and Munoz
432 2005; Zuidema et al., 2009), dissipation of the coastal stratocumulus cloud deck was observed over
433 the jet regions. Average conditions at 500 hPa indicate mostly westerly flow on both clearing and
434 non-clearing days. Non-clearing days exhibited a weak trough offshore, while during clearing days
435 a ridge is present at 500 hPa farther offshore. Displacement and strengthening of the high-pressure
436 system on clearing days can be associated with the passage of mid-latitude ridges (Garreaud and
437 Munoz 2005).

438 The difference in air temperature between clearing and non-clearing cases at the surface
439 reaches up to $\sim 0.7 \text{ K}$ on the western edge of the study domain (Fig. 6a). Clearing cases exhibited
440 cooler temperatures closer to the coast where the clearings develop and evolve. *SST* shows a similar
441 pattern as air temperature at the surface (Fig. 8a). Faster offshore winds at the surface can promote
442 ocean upwelling and thus cooler *SSTs* (Lima et al., 2018), as was also observed for CTD events in
443 the same region (Juliano et al., 2019a). Furthermore, the generally high *CFs* during clearing days
444 for the entire spatial domain reduces radiative transfer to the ocean, also acting to reduce *SST* over
445 the broader study region. Cloudiness and surface winds play a major role in influencing *SSTs* (e.g.,
446 Klein et al., 1995). In contrast, air temperatures at higher levels (850 and 500 hPa) are enhanced
447 adjacent to the coastline in clearing cases. Air temperature at 850 hPa is higher (lower) to the south
448 (north) of Cape Blanco and Cape Mendocino (Fig. 5) in clearing cases as compared to non-clearing
449 cases, with the difference reaching as high as $\sim 2 \text{ K}$. The enhanced offshore flow of warm and dry
450 air in the vicinity of Cape Blanco and Cape Mendocino likely contributes to why many of the
451 clearings geographically are centered by these coastal topographical features (Fig. 5). It is
452 noteworthy that over the west coast of subtropical South America, cloud dissipation over and
453 upstream of the coastal jet region was reported (Garreaud and Munoz 2005; Zuidema et al., 2009),
454 whereas downstream there was enhanced *CF*, which appears to be analogous to this study.

455 The changes in synoptic-scale conditions, including relocation/strengthening of the Pacific
456 high, on clearing days in comparison to non-clearing days can alter large-scale subsidence. This is

457 indeed confirmed in Fig. 8b using ω_{700} as the proxy variable, with the strongest difference between
458 clearing and non-clearing days (up to $\sim 0.1 \text{ Pa s}^{-1}$) off the coast by Cape Blanco and Cape
459 Mendocino and geographically coincident with where the sharpest gradients occur for *MSLP*
460 between clearing and non-clearing cases (Fig. 6a). It is interesting to note that the maximum *LTS*
461 values coincide spatially with enhanced values of ω_{700} on non-clearing days, in contrast to clearing
462 days when the peak value of ω_{700} is farther north from where *LTS* peaks (Fig. 8c). Consistent with
463 the results presented here (Fig. 8b), modeling studies (Burk and Thompson 1996; Munoz and
464 Garreaud 2005) reported enhanced subsidence for the entrance regions of the Chilean and
465 California CLLJs in response to coastal features. These studies also reported the generation of a
466 warm layer above the MBL due to coastal mechanisms especially downstream of coastal points
467 and capes. This is also the case in this study where higher air temperature at 850 hPa was observed
468 to the south of Cape Blanco and Cape Mendocino on clearing days (Fig. 6b). In addition, higher
469 *LTS* values on clearing days by up to $\sim 2 \text{ K}$ (Fig. 8c) are largely associated with the presence of
470 warmer layer above the MBL south of Cape Blanco and Cape Mendocino. It is likely that reduced
471 *SSTs* and greater subsidence contributed to generally higher *LTS* on clearing days versus non-
472 clearing days (Fig. 8c). Other works have pointed to the connection between cooler *SSTs*, higher
473 boundary layer cloud amount, and increased stability in the lower atmosphere (Klein and Hartman
474 1993; Norris and Leovy 1994).

475 Another key environmental parameter related to MBL cloud coverage is the *PBLH*.
476 Consistent with previous studies (Neiburger et al., 1961; Wood and Bretherton 2004), regardless
477 of whether clearings were present, *PBLH* generally increases with distance from the coast (Fig.
478 8d), where warmer *SSTs* lead to deeper MBLs by weakening the inversion (Bretherton and Wyant
479 1997). The shallowing of the MBL near the California coast is also notable with enhanced
480 gradients on clearing days. The aforementioned MBL shallowing is believed to be a crucial
481 element in development of the coastal jet off the California coast (Zemba and Friehe 1987; Parish
482 2000). Previous studies (Beardsley et al., 1987; Edwards et al., 2001; Parish 2000; Zuidema et al.,
483 2009) also reported MBL height adjustment in the vicinity of coast due to hydraulic adaptation to
484 coastal topography, thermally driven circulation, and geostrophic adjustment in the cross-coast
485 direction in response to the contrast in surface heating between ocean and land. There is also a
486 strong gradient in *PBLH* along the shoreline in the vicinity of Cape Blanco (Fig. 8d). While the
487 presence of a similar gradient in *SST* (Fig. 8a) may partly explain the observed gradient in *PBLH*,
488 coastally induced processes could also play a role.

489 Comparing clearing with non-clearing days, *PBLH* tends to be higher on clearing days,
490 with the largest differences ($\sim 200 \text{ m}$) observed to the north off the coasts of Washington and British
491 Columbia, which re-emphasizes the important role of coastal topography near Cape Blanco and
492 Cape Mendocino in mesoscale dynamics (Beardsley et al., 1987; Haack et al., 2001). Zuidema et
493 al. (2009) suggested that dynamical blocking of the surface winds by the southern Peruvian Andes
494 contributed to boundary layer thickening by encouraging mesoscale convergence. Enhanced
495 dynamical blocking of surface winds by coastal topography near Cape Blanco, as suggested by
496 greater wind speeds on clearing days (Fig. 7a), can lead to a deeper MBL in the coastal regions
497 north and northwest of Cape Blanco. In contrast, coastal areas south of Cape Blanco, exhibit
498 negligible differences in *PBLH* between clearing and non-clearing days. In the aforementioned
499 regions, enhanced hydraulic response (i.e., expansion fan (Parish et al., 2016)) to coastal
500 topography, may cause slightly shallower MBL on clearing days.

501 Higher MBL depths in the offshore regions of clearing days is noteworthy to discuss.
502 Parameters influencing MBL depth include entrainment rates, vertical velocity at the top of MBL,
503 and horizontal advection of MBL (Wood and Bretherton 2004; Rahn and Garreaud 2010).
504 Although on clearing days there may be greater subsidence rates offshore (Fig. 8b) promoting a
505 shallower MBL, the sum of entrainment and horizontal advection terms counteract the
506 aforementioned effect resulting in a deeper MBL. Wood and Bretherton (2004) showed for the
507 Northeast and Southeast Pacific that entrainment and subsidence were the most influential terms
508 in the MBL prognostic equation, which acted in the opposite manner. It is also likely that
509 entrainment processes resulting from changes in small scale turbulence contributed to elevated
510 *PBLH* on clearing days (Randall 1984; Rahn and Garreaud 2010). The maps of *CF* from MODIS
511 Terra (Fig. 9a) can provide at least one possible explanation for the spatial differences in *PBLH*
512 between clearing and non-clearing days. Cloud fraction is generally higher for the broad study
513 region on clearing days, which leads to more opportunity for cloud top radiative cooling to then
514 fuel turbulence in MBL (Wood 2012). Greater turbulence can lead to a deeper MBL by promoting
515 greater entrainment at the top of MBL (Randall 1984; Wood 2007).

516 Figure 8e shows spatial maps of specific humidity at 10 m above the sea surface (q_{10m}),
517 which serves as a proxy of available moisture in MBL. Assuming a shallow and well-mixed MBL,
518 q_{10m} represents moisture levels in the MBL. Similar to *SST*, q_{10m} increases to the south of the study
519 region with especially reduced values immediately adjacent to the California coast. Comparing
520 clearing and non-clearing days, the former is less humid in the MBL (up to -0.6 g kg^{-1}). This is at
521 least partly attributed to offshore flow and entrainment of dry continental air. Specific humidity
522 was also examined at 850 hPa, which is closer to the vertical layer more relevant to air impacting
523 cloud top close to the coastline. Figure 8f shows that q_{850} was substantially lower (up to $\sim -1.2 \text{ g}$
524 kg^{-1}) in the clearing cases, especially in the regions where most of the clearings occur. Drier air
525 above cloud top will decrease cloudiness through entrainment processes. It is interesting to note
526 that the area of greatest q_{850} difference (Fig. 8f) corresponds to the area of greatest northeasterly
527 winds in the difference plot of the wind field at 850 hPa (Fig. 7b). These pieces of evidence point
528 to the role of dry continental air in contributing to the formation and sustenance of clearings via
529 offshore flow.

530 Another important parameter influencing MBL clouds is nuclei of the cloud droplets,
531 specifically the cloud condensation nuclei (*CCN*). *CCN* in the region originate from a blend of
532 sources, including natural ones (sea spray, marine and continental biogenic emissions, terrestrial
533 dust), biomass burning, ship exhaust, and continental anthropogenic sources (Hegg et al., 2010;
534 Coggon et al., 2014; Wang et al., 2014; Maudlin et al., 2015; Mardi et al., 2018). As a
535 representation of the general level of aerosol pollution in the region, spatial maps are shown for
536 Aerosol Optical Depth (*AOD*), which is a columnar measurement of aerosol extinction (Fig. 8g).
537 In general, regions closer to the shore exhibit higher values of *AOD* on non-clearing days, with
538 especially higher levels north of 40° N . It is unclear as to why this is, since stronger winds on
539 clearing days along the coast have the potential for more emissions from marine biogenic sources
540 (via upwelling), sea spray, and offshore continental flow. Although based on speculation, one of
541 many possible explanations could be that stronger fluxes of sea spray on clearing days have the
542 potential to expedite the drizzle formation process in polluted clouds via broadening of cloud
543 droplet size distributions, which leads to wet scavenging of aerosols in the study region
544 (Dadashazar et al., 2017; Jung et al., 2015; MacDonald et al., 2018; Sorooshian et al., 2013b).
545 South of Cape Blanco and Cape Mendocino on clearing days, there were pockets of high *AOD*

546 relative to other coastal locations, which is presumed to be linked to stronger winds and offshore
547 continental flow; this is analogous to how CTD events exhibit more pollution north of these coastal
548 features when there is southerly flow (Juliano et al., 2019a). That the greatest *AOD* differences
549 occur close to the coast warrants additional research as such differences may be suggestive of
550 variations in ocean-land-atmosphere interactions that result from the movement and strengthening
551 of the Pacific high during clearing events. Future work should examine if such *AOD* differences
552 on clearing versus non-clearing days are linked to differences in MBL sources and sinks (i.e., wet
553 scavenging), or FT processes.

554 Spatial maps of cloud microphysical variables provide consensus that clearing days
555 generally have higher N_d and reduced values of r_e , τ , and *LWP* near the California coast where
556 clearings form and evolve (Fig. 9). Figure S6 shows the same qualitative results based on MODIS
557 Aqua data for cloud microphysical parameters. Lower *LWP* values on clearing days near the coast
558 are consistent with offshore flow of dry and warm air eroding clouds. The combination of higher
559 N_d and lower *LWP* by the coastline results in smaller r_e on clearing days. The more polluted clouds
560 along the coastline during clearing days, especially south of major capes, is analogous to CTD
561 clouds being more polluted during southerly wind regimes in the study region (Juliano et al.,
562 2019a,b). An intriguing aspect of clearing days was that although a significant section of the study
563 region was cloud-free, the mean cloud albedo (*A*) over the entire study domain was actually slightly
564 higher than on non-clearing days (Fig. 9f). More specifically, the domain-averaged *A* values based
565 on MODIS Terra data (and using Eq. 2) were 0.50 and 0.53 for non-clearing and clearing cases,
566 respectively. The corresponding values using MODIS Aqua data were 0.48 and 0.50, respectively.
567 It is possible that the method used to identify clearing led to the greater *CF* and *A* on clearing days
568 in distant offshore regions. It is difficult to identify the root cause of greater *CF* and *A* on clearing
569 days versus non-clearing days, but Garreaud and Munoz (2005) also demonstrated that the cloud
570 deck tends to dissipate over CLLJ regions in contrast to an increase in cloudiness downstream of
571 the jet core. This is also the case in this study as large scale conditions such as an intensified Pacific
572 high and greater *LTS* on clearing days are in favor of the preservation of cloud deck in the regions
573 except for coastal areas impacted by a CLLJ.

574

575 **3.3 Modeling of Clearing Growth Rates**

576 It has been already shown (Figs. 4-5) that clearings exhibit diurnal variability in
577 dimensional characteristics, with rapid growth between 09:00 and 12:00 PST (Fig. S5). It is of
578 interest now to examine what environmental parameters control the growth within this 3 h period
579 based on the 306 clearing cases between 2009 and 2018. The GBRT modeling method was used
580 to this end based on the method described in Section 2.4.

581 The coefficient of determination (r^2) between predicted and observed clearing growth rates
582 for the 30 randomly selected testing datasets ranged between 0.52 to 0.77 with an average of 0.65.
583 A multivariate linear regression model using the LASSO method (Tibshirani, 1996) was also
584 applied to the obtained dataset to assess the performance of the GBRT model in comparison to the
585 linear model. The r^2 value of the linear model varied between 0.08 and 0.11 with an average of
586 0.10, revealing the poor performance as compared to the GBRT model. As noted in at least one
587 previous study (Klein 1997), linear models can explain less than 20% of the variance in low cloud
588 amount on daily time scales. This is in contrast to monthly time scales for which such models
589 perform much better and can explain over 50% of the variance (Klein and Hartmann, 1993; Norris
590 and Leovy, 1994). Part of the success of the GBRT model to reproduce clearing growth rates can

591 be attributed to the complexity of the model, specifically its ability to capture non-linearity
592 between clearing growth rates and environmental parameters.

593 The range of PD s for each individual environmental parameter and the relative feature
594 importance are used here as two proxies for the sensitivity of clearing growth rates to that specific
595 parameter. Higher PD ranges translate to a higher sensitivity of GR_{Area} to that specific parameter,
596 indicating that it is likely a major influential factor. In addition, the relative feature importance
597 indicates how useful each parameter was in building the GBRT model. The range of PD of clearing
598 growth rates and relative feature importance for all the parameters included in the GBRT model
599 are provided in Fig. 10, moving from left to right in order of highest to lowest influence in the
600 model. While it is expected that the results of these two methods of rankings do not match perfectly
601 (Fig. 10a and 10b), certain characteristics are similar between these two proxies: (i) using both
602 proxies, T_{850} and ω_{700} appeared as the top and lowest ranking parameters, respectively; (ii) q_{950}
603 emerges as one of the most important parameters, being second and third place according to the
604 range of PD and relative feature importance proxies, respectively; (iii) AOD and q_{700} emerged
605 among the four lowest-ranking parameters; and (iv) SST and V_{850} appear next to each other in the
606 ranking using both scoring proxies. There are some distinct differences among the ranking of
607 parameters as shown in Fig. 10. For instance, while $MSLP_{anom}$ appeared as a moderately influential
608 parameter in GR_{Area} according to PD proxy, this parameter turned out to be the second most
609 important variable using the relative feature importance proxy. In another example, q_{850} has the
610 second least important rank according to relative importance feature proxy, but it is moderately
611 important based on the PD range (Fig. 10a). The observed discrepancies between the results of
612 two proxies can stem from underlying differences in the methods used to quantify the relative
613 significance of each parameter. Moreover, the relative feature importance proxy may be less
614 susceptible to the unwanted influence of highly correlated input predictors on the ranking outcome
615 (Hastie et al., 2009).

616 Figure 11 shows the profiles of PD for GR_{Area} ($PD_{GR_{Area}}$) relative to each individual
617 parameter tested, where increasing values of $PD_{GR_{Area}}$ indicate that the corresponding change on
618 the x-axis for the value of the specific parameter is conducive to faster clearing growth. Note that
619 the 5th, 25th, 50th, 75th, and 95th percentiles of input parameter values are denoted in Figure 11 to
620 caution that sharp slopes in the bottom and top 5th percentiles are based on few data points and that
621 robust conclusions should not stem from those outer bounds. The response of $PD_{GR_{Area}}$ to the
622 changes in T_{850} is shown in Figure 11a. T_{850} is closely linked to inversion strength variables such
623 as LTS (Klein and Hartmann, 1993) and estimated inversion strength (EIS) (Wood and Bretherton,
624 2006). At constant SST , higher T_{850} translates to higher EIS and LTS values. It is well-established
625 that inversion strength plays a key role in controlling MBL cloud coverage (Klein and Hartmann,
626 1993). It is expected that higher T_{850} decreases (increases) GR_{Area} (cloud amount) by enhancing
627 stability. Figure 11a shows that up to 290 K, the profile of PD exhibits a downward trend as T_{850}
628 increases. Above 290 K, PD of GR_{Area} starts to show the opposite trend with increasing T_{850} . As
629 noted in Brueck et al. 2015, "...increased stability is a necessary but not a controlling factor for
630 cloudiness, especially not when it is already sufficiently large. A further increase in inversion
631 strength may thus further limit cloudiness, because it increases the entrainment of relatively drier
632 and warmer air...". Figure 6b showed that T_{850} was enhanced off the California coast on clearing
633 days, pointing to the high potential for warm continental air to impact the underlying cloud deck
634 via entrainment. It is important to note that, when the model was run with the same set of
635 parameters but replacing T_{850} with LTS , the PD profile of LTS exhibited a qualitatively similar
636 trend to what was presented for T_{850} in Fig. 11a.

637 The $PD_{GR_{Area}}$ profile of q_{950} shows increasing values as q_{950} decreases below 8 g kg^{-1} (Fig.
638 11b), coincident with dry air that can dissipate clouds and aid in clearing formation and expansion.
639 Similarly, the PD profile of growth rate generally decreases as q_{850} increases (Fig. 11f). In contrast
640 to the other level heights, the $PD_{GR_{Area}}$ profile of q_{700} exhibits an opposite trend but a smaller
641 influence on GR_{Area} (Fig. 11j). This can be partly due to the fact that this layer of the FT is not as
642 close to the cloud layer, which in turn can permit other factors besides the entrainment process to
643 stand out. These various humidity parameters clearly show that conditions of dry air close to the
644 MBL top help clearings form and expand, with the most likely source being continental air. The
645 positive relationship between humidity at the level of clouds and low-level cloud amount was
646 reported in earlier studies (Albrecht 1981; Wang et al., 1993; Bretherton et al., 1995).

647 As previously explained, lower SST values are associated with cloudiness (Fig. 11c) and
648 increased LTS (Norris and Leovy 1994, Klein and Hartman 1993). Figure 11d displays the
649 dependence of $PD_{GR_{Area}}$ on V_{850} , which is representative of flow in the FT. As discussed already,
650 clearings coincided with CLLJs and strong northerly flow at 850 hPa, which is consistent with the
651 sharp increase in $PD_{GR_{Area}}$ as northerly wind speeds increased above 10 m s^{-1} while otherwise
652 being flat for lower speeds. Stronger northerly flow is associated with offshore flow of dry and
653 warm air that can reside above the cloud top, which can dissipate the cloud layer after entrainment
654 and via enhanced shearing (via Kelvin-Helmholtz instability) and mixing of cloudy parcels with
655 warm and dry air in the FT (e.g., Rahn et al., 2016). As will be shown later, aircraft data showed
656 that typical wind speeds parallel to clear-cloudy interfaces were near or greater than 10 m s^{-1} (Fig.
657 12).

658 For $PBLH$, Figure 11e suggests that above $\sim 600 \text{ m}$, $PD_{GR_{Area}}$ is relatively insensitive to
659 positive perturbations in $PBLH$, but below $\sim 600 \text{ m}$, the shallower the MBL, the lower the value of
660 $PD_{GR_{Area}}$. This potentially can be attributed to the fact that a shallower MBL could be more well-
661 mixed and moisture can get transported from the ocean surface to the cloud layer which promotes
662 cloudiness (Albrecht et al., 1995). Figure 11g shows that for $MSLP_{anom}$ between $\sim -560 \text{ Pa}$ and
663 $\sim 450 \text{ Pa}$, perturbations do not have much impact on GR_{Area} . However, above $\sim 450 \text{ Pa}$, GR_{Area} is
664 more susceptible to positive perturbations in $MSLP$. This confirms that stronger Pacific high
665 conditions in the study region promote the expansion of clearing events during the day. Based on
666 the $PD_{GR_{Area}}$ profiles in Fig. 11h, clearings expanded faster as U_{850} increased above 0 m s^{-1} and
667 decreased below -3 m s^{-1} . Clearing growth due to negative zonal winds can be explained by the
668 offshore flow component, however, the reason for growth during periods of positive zonal winds
669 is unclear.

670 There was low variability in the range of PD_{GR} for the rest of the parameters shown in Fig.
671 10: AOD and ω_{700} . Figure 11i shows a decrease in $PD_{GR_{Area}}$ as AOD increases up to the value of
672 ~ 0.12 , above which $PD_{GR_{Area}}$ increases as a function of AOD . While it is expected that stronger
673 northerly winds associated with clearing expansion promote higher sea salt fluxes (i.e., higher
674 AOD), future work is warranted to investigate as to whether this process subsequently depletes
675 cloud water and thins out clouds via expedited drizzle production via broadening of cloud droplet
676 size distributions, as already suggested in Section 3.2.

677 The relationship between ω at 700 hPa and $PD_{GR_{Area}}$ is complex. Brueck et al. (2015)
678 suggested that enhanced ω_{700} promotes cloudiness due to its link to higher LTS . Myers and Norris

679 (2013) further showed that stronger subsidence can reduce CF (at fixed inversion strength) by
680 pushing down the top of the MBL, which is also supported by Bretherton et al. (2013). The
681 $PD_{GR_{Area}}$ profile of ω_{700} exhibited a minimum point near a value of $0 - 0.2 \text{ Pa s}^{-1}$, with increases
682 in GR_{Area} below and above that range. The increase in $PD_{GR_{Area}}$ with ω values above 0.2 Pa s^{-1} can
683 be attributed to the negative influence of subsidence on lower CF (via pushing down the top of the
684 MBL) as discussed by Myers and Norris (2013). Conversely, the increase in GR_{Area} with
685 decreasing ω values below 0 Pa s^{-1} can be due to upward motion reducing the strength of the
686 inversion capping the MBL, which is important to sustain the cloud deck. Vertical motions
687 represented by the ω_{700} parameter could also induce dynamical circulations affecting cloud top
688 processes such as shear and entrainment.

689 It is important to caution that the interpretation of results from the GBRT simulations are
690 speculative and rooted in documented physical relationships between the various parameters
691 shown in Figs. 10-11 and low cloud behavior. One way to try to validate some of the conclusions
692 above is with airborne data for case studies. For instance, in situ data can help confirm the nature
693 of factors discussed above during clearing events, including vertically-resolved winds, primary
694 marine aerosol fluxes in different wind regimes, humidity and temperature of air within and
695 above the MBL, and potential for mixing of air above and below the MBL top. The next section
696 is an attempt to conduct this exercise using three airborne case studies.

697

698 **3.4 Airborne Case Studies**

699 To gain a more detailed perspective on clearings in the study region, three case flights are
700 examined from the 2016 FASE airborne campaign. For context, Crosbie et al. (2016) examined
701 three different case flights during the 2013 NiCE campaign and provided the following insights,
702 which motivated the FASE flights for further statistics: (i) two of the three clearings (RF19 on 1
703 August 2013, RF23 on 7 August 2013) were immediately adjacent to the coastline and had reduced
704 specific humidity in the MBL on the clearing side, suggestive of dry continental offshore wind
705 laterally mixing into and dissipating clouds; (ii) the latter two cases also had enhanced temperature
706 in the clear column at cloud-relevant altitudes, which help explain the lack of clouds in the clear
707 column; and (iii) the other clearing flight (RF16 on 29 July 2013) had the clearing positioned to
708 the west of a cloud deck, which was associated with a CTD event along the coastline to the east of
709 the clearing (i.e., southerly surge). The latter case exhibited warmer temperatures in the clear
710 column only in the top 100 m of the MBL with similar specific humidity profiles, but with cooler
711 and moister air above the inversion base in the clear column. This case was suspected to be linked
712 to entrainment and mixing of dry air into the cloud deck to produce the clearing, but it was not a
713 case of subsidence/divergence, otherwise the air in the clear column would have been warmer and
714 drier above the inversion base.

715 For the three FASE case flights, the clearing was always situated to the west of a cloud
716 deck touching the coastline (Figs. 2, S1-S2). This positioning is reminiscent of NiCE RF16, which
717 was less sensitive to lateral entrainment of continental air in comparison to the other two NiCE
718 flights. Wind data were decomposed into u and v components to represent speeds that are
719 perpendicular and parallel, respectively, to the clear-cloudy interface. Figure 2d illustrates an
720 example of how these two components of winds varied during RF09A. There were substantial
721 changes in v on the two sides of the clear-cloud border, with stronger northerly winds on the clear

722 side, reaching as high as 20 m s^{-1} , in contrast to about half that magnitude on the cloudy side. Wind
723 speed with the intensity of as high as 20 m s^{-1} is close to the values reported in previous studies
724 associated with California CLLJs (Parish 2000; Ranjha et al., 2013; Lima et al., 2018).
725 Furthermore, wind profiles obtained from soundings (Fig. 12) exhibit the structure similar to CLLJ
726 on clearing columns with enhanced horizontal wind speed at the altitude near the MBL top. It is
727 noteworthy that the cloud edge tends to reside in the transition region where the near cloud top
728 flow becomes similar to CLLJ (Figs. 2d and 12). The same substantial change in v across the
729 interface was also present in RF08 and RF09B with stronger v winds always on the clear side.
730 There was no substantial change in the u component of wind speed between the two columns in
731 each of the three flights.

732 To extend upon the possibility of shearing effects, absolute changes in v ($/v$) were
733 calculated for level legs performed at the clear-cloudy border for the three research flights (Table
734 2). For consistency, these calculations were based on level legs of a constant length of $\sim 40 \text{ km}$
735 with relatively equal spacing on both sides of the clear-cloudy border. $/v$ was calculated by
736 multiplying 40 km by the slope of the linear fit of v versus distance from cloud edge, where
737 negative (positive) x values represent distance away from the edge on the clear (cloud) side. The
738 results reveal that the horizontal wind shear was strongest somewhere between mid-cloud and
739 cloud top altitudes, with the lowest values at the FT level. The lowest values in the MBL were
740 observed in the surface legs. This can be attributed to turbulent transport of the momentum (Zemba
741 and Friehe 1987) to the surface and the consequent drop in CLLJ wind speeds in the clear column.
742 In addition, Fig. S7 shows absolute horizontal shear ($|dv/dx|$) as a function of distance from the
743 cloud boundary for the parallel component of horizontal wind speed. Horizontal shear profiles for
744 all research flights (Fig. S7) are slightly noisy especially at the surface legs, but they show the
745 presence of the greatest horizontal wind gradient within 5 km length away from clear-cloudy edge.
746 Shear at the clear-cloudy edge, especially at cloud levels, can support clearing growth through
747 enhancing the mixing of cloudy and clear air. Crosbie et al. (2016) also showed using the case of
748 NiCE RF19 that that mixing of cloudy air with adjacent clear air can be an important contributor
749 to cloud erosion and thus expansion of clearings. To probe deeper into the clearing cases, the
750 subsequent discussion compares vertically-resolved data on both sides of the clear-cloudy border
751 based on soundings and level legs.

752

753 **3.4.1 RF08**

754 RF08 (2 August 2016) represented a case similar to the NiCE RF16 (29 July 2013) case
755 study in Crosbie et al. (2016) where cooler and moister air above the inversion in the clear column
756 was speculated to be due to entrainment and mixing eroding the cloud rather than subsidence and
757 divergence catalyzing cloud dissipation. Of note is that there was rapid infill of cloud the night of
758 the NiCE FR16 flight. FASE RF08 data showed that potential temperature was warmer ($\sim 1 \text{ K}$) in
759 the MBL of the clear column as compared to the cloudy column, while in the FT, the air was
760 slightly warmer on the cloudy side (Fig. 12). SST was also approximately 0.4 K higher in the clear
761 column (Table 3). Specific humidity was almost identical in the MBL on both sides, but air was
762 moister above the inversion base on the clear side. As noted above, vertical profiles of u revealed
763 little difference between the two columns, but v values were nearly twice as high in the clear
764 column extending from the surface to approximately 200 m above cloud top. Surface wind speeds
765 were also enhanced on the clear side, which resulted in greater friction velocity ($u^* = 0.40 \text{ m s}^{-1}$
766 vs 0.15 m s^{-1} on the cloudy side).

767 An important feature was the wind maximum in and above the inversion layer on the clear
768 side, which resulted in larger vertical shear across the inversion on the clear side (5.44 m s^{-1})
769 compared with the cloudy side (0.8 m s^{-1}) (see ΔU , Table 3). The strong shear on the clear side
770 likely facilitated mixing of MBL air with drier and warmer FT air. This is supported by a lower
771 temperature gradient $(\Delta\theta_l/\Delta z)_{max}$ in the inversion layer of the clear column (0.32 K m^{-1} versus 0.38
772 K m^{-1}), which was thicker than the cloudy column (82 m versus 55 m). The wind maximum in the
773 clearing also enhanced moisture advection, which counteracted the accumulation of moisture
774 caused by mixing induced by vertical shear. This was most significant at the cloud top level as
775 seen in the largest difference in the edge-parallel wind $|v|$ (Table 2). In the absence of cloud, the
776 effects of longwave radiative cooling close to the cloud top level would be subdued allowing shear-
777 induced mixing to erode the sharpness of the inversion. Redistribution of moisture into the
778 inversion also serves to insulate lower layers from longwave cooling, further delaying the
779 formation of cloud. The difference in $|v|$ was smallest close to the surface, indicating that the wind
780 maximum in the clearing had a (comparatively) reduced effect in enhancing surface moisture
781 fluxes. Satellite imagery confirms that later in the day, the cloud layer filled-in partially where the
782 clearing was with the presumed help of nocturnal radiative forcing.

783 The cloud layer in RF08 was the thinnest (131 m) with the shallowest MBL among all three
784 cases. In addition, the lowest N_d (107 cm^{-3}), largest r_e ($6.6 \mu\text{m}$), and highest cloud base rain rate
785 (0.48 mm day^{-1}) was measured in RF08 of all three cases. The enhanced rain can likely explain
786 why the surface aerosol concentrations from the PCASP were lowest in RF08 ($106\text{-}108 \text{ cm}^{-3}$ vs
787 $186\text{-}236 \text{ cm}^{-3}$ for the other two flights) even though surface winds were highest, specifically due
788 to efficient wet scavenging of aerosols. This possibility is at least linked to the speculation reported
789 earlier in Sections 3.2 and 3.3 that stronger northerly winds linked to the growth of clearings result
790 in sea salt expediting rain formation in clouds and thus thinning them out. In support of this notion,
791 cloud water composition results are of relevance as they provide an indication of the relative
792 influence of giant CCN ($GCCN$) in the form of sea salt, as previously demonstrated in the region
793 by Dadashazar et al. (2017). The combined concentration of sodium (Na^+) and chloride (Cl^-) was
794 $60 \mu\text{g m}^{-3}$, $33 \mu\text{g m}^{-3}$, and $64 \mu\text{g m}^{-3}$ for RF08, RF09A, and RF09B, respectively. In contrast, the
795 average combined sum of Na^+ and Cl^- for all samples collected in FASE was $14 \mu\text{g m}^{-3}$. Based on
796 a two-tailed student's t-test with 95% confidence, the means of RF08 and RF09B were
797 significantly different than the mean of all FASE samples. The $Cl^-:Na^+$ mass ratios in all three
798 FASE clearing flights (RF08 = 1.80, RF09A = 1.78, RF09B = 1.79) were very close or matching
799 that of pure sea salt (1.81), providing more confidence that sea salt was impacting these clouds via
800 serving as CCN . The cloud water results are in support of $GCCN$ enhancing drizzle in RF08 and
801 thus thinning out clouds and removing aerosol underneath the cloud base. It is unclear with this
802 dataset though as to what role the impact of sea salt in depleting clouds of their water had to do
803 with the actual clearing, but at least there is support for this process potentially impacting the
804 cloudy column.

805 Figure S8 shows vertical profiles of aerosol concentrations on both sides of the clearing
806 border, highlighting differences above cloud top level especially in RF09A and RF09B with higher
807 values in the cloudy column. Higher aerosol concentrations were also observed in the cloud
808 column in the sub-cloud layer even though surface wind speeds were always higher in the clear
809 column for all three flights. Surface winds and thus sea spray production do not exclusively
810 influence the aerosol concentrations. A likely explanation of higher concentrations in the MBL in
811 the cloudy column is that there could be entrainment of more polluted free tropospheric aerosol as
812 has been reported to be a common occurrence during the FASE flights (Mardi et al., 2019). As

813 also reported during FASE, there can be sub-cloud evaporation of drizzle resulting in droplet
814 residual particles that contribute to the aerosol concentration budget in the cloudy column
815 (Dadashazar et al., 2018).

816 Figure 13 displays turbulence parameters such as variance in the three components of wind
817 speed (Fig. 13a-c), turbulent kinetic energy (Fig. 13d), and buoyancy flux (Fig. 13e). Stronger
818 horizontal wind speed gradients, and consequently stronger shear production, near the surface on
819 the clear side resulted in greater variance in the horizontal wind components at all MBL levels.
820 Both $\overline{u'^2}$ and $\overline{v'^2}$ exhibit a general downward trend with increasing altitude, which is also
821 supportive of shear driven turbulence. On the other hand, $\overline{w'^2}$, which is closely associated with
822 cloud layer properties, exhibits a different trend on the cloudy side as it increases from cloud base
823 to mid-cloud level. For surface and above cloud base levels, $\overline{w'^2}$ is higher in the clear column
824 likely due to the combined influence of shear and buoyancy terms on the turbulence budget. On
825 the other hand, in the mid-cloud layer, $\overline{w'^2}$ is slightly higher (Fig. 13c) in the cloudy column as
826 compared to clear column, which can be attributed to the buoyancy flux (Fig. 13e). It is also
827 interesting to note that RF08 is the only flight with a minimum in $\overline{w'^2}$ being at the level above
828 cloud base in the cloudy column relative to other MBL levels. This is most likely due to lower
829 buoyancy production in the cloud layer of RF08 as compared to the other flights.

830 To further investigate the relative role of each buoyancy and shear term in the turbulence
831 budget, the $-z_i/L_{MO}$ ratio was compared between the two columns (Table 3). This ratio is an order
832 of magnitude greater in the cloudy column as compared to clear one due to the latter column having
833 stronger shear and reduced buoyancy flux. This confirms that shear is most likely the dominant
834 mechanism for turbulence production in the clear column in the absence of the cloud layer.

835

836 3.4.2 RF09A and RF09B

837 The two flights on 3 August 2016 allowed for an opportunity to contrast clearing properties
838 at two different times on the same day at roughly the same location (~20 km apart). Owing to their
839 similarities, they are discussed together here. The clearing module in RF09A was performed
840 between 11:00 and 12:30 PST, while that during RF09B was performed between 15:00 - 17:00
841 PST. Similar to RF08, MBL air in the clear column of RF09A and RF09B was slightly warmer
842 than the cloudy column; however, the magnitude of the temperature difference (clear – cloudy)
843 decreased from RF09A (~1.1K) to RF09B (~0.8K). SST was also greater by 0.4 K in the clear
844 column of RF09A as compared to the cloud column, while it was slightly cooler by 0.1 K in the
845 clear column of RF09B.

846 Specific humidity profiles in RF09A/RF09B exhibit more subtle differences as compared
847 to RF08. In contrast to RF08, air in RF09A above the inversion base was drier and warmer in the
848 region immediately above the inversion base and differences above the inversion base are less
849 clear for RF09B. During both RF09A and RF09B, the clear profile exhibited steadily decreasing
850 levels of water vapor with altitude, while the cloudy column was more well-mixed. The v
851 component of wind speed again exhibited substantially greater values in the clear column as
852 compared to the cloudy column for both RF09A and RF09B. Looking at the inversion layer
853 properties (Table 3), the temperature gradient was lower and shear was greater in the clear column
854 of RF09A and RF09B. Inversion depth was also greater in the clear column of RF09A, but less so
855 for RF09B.

856 The sounding data in RF09A qualitatively resemble those from NiCE RF19 on 1 August
857 2013 where Crosbie et al. (2016) suspected that there was increased local subsidence and
858 divergence in the clear column. Similar to their case, we observed the following in the clear column
859 of RF09A: (i) warmer and drier air above and below the inversion base; (ii) the inversion base
860 height was lower (354 m versus 375 m) with reduced temperature gradient in the inversion layer
861 (0.33 K km^{-1} versus 0.41 K km^{-1}); and (iii) potential temperature exhibited warming and drying in
862 the layer equivalent to the top 100 m of cloud. The RF09B case differed in that above the inversion
863 base, the air in the clear column was not warmer and drier but very slightly cooler and moister,
864 similar to RF08. This potentially is due to the diurnal nature of the clearing system where there is
865 a stronger forcing to dissipate clouds during mid-day with the help of subsidence of dry and warm
866 air from the FT, whereas later in the afternoon that process switches to a scenario where cooler
867 and moister air exists above the inversion base and there is a waiting process for stronger radiative
868 forcing to form a cloud again.

869 The cloud layer is the thickest in RF09A (191 m) among all three case flights. The cloud
870 layer became thinner (137 m) later in the day during RF09B as a result of a change in the lifting
871 condensation level (*LCL*), where cloud base increased from 217 m to 265 m. Moreover, *LWP*
872 decreased during the day from 32 g m^{-2} to 18 g m^{-2} . It is important to note that the adiabaticity
873 parameter, defined as the ratio of measured *LWP* to *LWP* of an adiabatic cloud, exhibited values
874 of 0.75, 0.76, and 0.83 for RF08, RF09A, and RF09B, respectively. These adiabaticity values are
875 close to the average value of 0.766 for the region reported in Braun et al. (2018). The clouds were
876 quite thin near the interface based on the relatively low values of *LWP* in contrast to typical
877 conditions observed in the region based on airborne measurements in the same campaigns (Fig. 3
878 of Sorooshian et al., 2019). Other cloud properties such as N_d , r_e , and rain rate were quite similar
879 in both RF09A and RF09B. N_d was greater in RF09A and RF09B as compared to RF08,
880 corresponding to smaller values of r_e and suppressed drizzle. The dataset cannot provide
881 unambiguous evidence as to whether the higher surface aerosol concentrations in RF09A and
882 RF09B, as compared to RF08, were due to (or led to) suppressed drizzle.

883 Profiles of $\overline{u'^2}$ and $\overline{v'^2}$ exhibited downward trends with increasing altitude for RF09A
884 and RF09B, in general agreement with the findings for RF08. One contrasting aspect was the
885 comparison of $\overline{v'^2}$ between clear and cloudy columns, which mirrored RF08 during RF09A, while
886 in RF09B, the values of $\overline{v'^2}$ for the clear side were substantially lower. In addition, $\overline{w'^2}$ profiles
887 during RF09A and RF09B are substantially enhanced in the cloudy column as compared to RF08,
888 with maxima in the cloud layer. There is an accompanying increase in the buoyancy flux for these
889 profiles suggestive of a more significant contribution of buoyancy to *TKE* production (Fig. 13e).
890 Although more subtle, $\overline{u'^2}$ values also showed an increase in the cloudy column of RF09A and
891 RF09B relative to the clear column, also supportive of the role of buoyancy in these cases. In
892 addition, *TKE* profiles (Fig. 13d) were largely influenced by variances in the horizontal component
893 of wind speed ($\overline{u'^2}$ and $\overline{v'^2}$) which led to overall greater *TKE* values in the clear column except
894 for RF09B.

895 Drizzle may be an important factor in governing the differences in buoyancy between the
896 cloudy columns of RF09A/B and RF08. While no obvious decoupling of the RF08 cloudy MBL
897 is observed, this profile may rely more heavily on shear production to maintain a well-mixed state.
898 The clearing persisted following RF08, while there was a rapid infilling of cloud during the night
899 following RF09A/B, similar to the case presented by Crosbie et al. (2016), which was also non-

900 drizzling. While the nocturnal radiative environment has been shown to be conducive to infilling
901 of clearings, we hypothesize that other factors that promote tighter coupling between the cloud
902 layer and the surface (such as a lack of drizzle) may also contribute.

903

904 **4 Conclusions**

905 This study extends upon recent works interested in large stratocumulus clearings that
906 significantly impact albedo and have implications for fog, cloud, and weather forecasting. We
907 specifically reported on ten years (2009-2018) of satellite and reanalysis data to characterize the
908 temporal behavior, spatial and dimensional characteristics, growth rates, and governing
909 environmental properties controlling the growth of clearings off the U.S. West Coast. We also
910 examined three case flights from the 2016 FASE campaign that probed clearings to gain a deeper
911 insight at finer spatial scales to try to validate speculated links between environmental parameters
912 and clearing growth rates based on machine learning simulations using satellite and reanalysis
913 data. The major results were as follows:

914

- 915 (i) Summertime (wintertime) experiences the highest (lowest) frequency of clearings as
916 suggested by satellite retrievals.
- 917 (ii) The centroid of clearings is located around coastal topographical features along the
918 California coastline, specifically Cape Blanco and Cape Mendocino.
- 919 (iii) The median length, width, and area of clearings between 09:00 and 18:00 (PST) increased
920 from 680 km, 193 km, and $\sim 67,000 \text{ km}^2$, respectively, to $\sim 1231 \text{ km}$, 443 km , and $\sim 250,000$
921 km^2 . The most growth occurred between 09:00-12:00.
- 922 (iv) The most influential factors in clearing growth rates of total area between 09:00-12:00 were
923 T_{850} , q_{950} , SST , and $MSLP_{anom}$ using two different scoring methods. Compared to non-
924 clearing days, clearing days were characterized by having an enhanced Pacific high shifted
925 more towards northern California, offshore air that is warm and dry, faster coastal surface
926 winds, higher lower tropospheric static stability, and stronger subsidence.
- 927 (v) Clearing days exhibited higher values of N_d and reduced values of r_e , τ , and LWP near the
928 California coast where clearings form and evolve. However, the mean cloud albedo over
929 the entire study domain was actually higher on clearing days.
- 930 (vi) Airborne data revealed that extensive horizontal shear at cloud-relevant altitudes, with
931 much faster winds with low-level jet structure parallel to the clearing edge on the clear side
932 as compared to the cloudy side. This helped to promote mixing and thus dissipation of
933 clouds. Differences in sounding profiles reveal that warm and dry air in the free troposphere
934 additionally promoted expansion of clearings.

935 More research is needed to further characterize clearings and the broader regions they
936 evolve in. For instance, it remains uncertain as to if there is a physical link between the existence
937 of clearings and a higher domain-wide cloud albedo on clearing days. More data such as those
938 provided by GOES platforms can help understand processes occurring at the microscale that scale
939 up to more climatologically relevant scales. The results of this work showed that there are
940 important diurnal features that require additional examination with in situ observations. One of the
941 hypotheses posed in this work requiring more measurements and statistical robustness is the link
942 between sea salt aerosol and the formation and evolution of clearing events. Clearing days are
943 characterized by having stronger northerly winds, which translate into higher sea spray fluxes and
944 subsequently can impact clouds via faster onset of drizzle. This chain of events subsequently can
945 thin out clouds via depletion of cloud water. Targeted experiments to examine these types of events

946 will help advance understanding about their nature, which can then be contrasted with clearings
947 along other coastal regions such as the southeastern Atlantic Ocean. Also, the nature of clearings
948 has direct relevance to CTD events that evolve in similar regions as discussed by Juliano et al.
949 (2019a,b).

950 **Data availability**

951 Airborne field data used in this work can be found on the Figshare database (Sorooshian et al.,
952 2017; [https://figshare.com/articles/A_Multi-Year_Data_Set_on_Aerosol-Cloud-Precipitation-
953 Meteorology_Interactions_for_Marine_Stratocumulus_Clouds/5099983](https://figshare.com/articles/A_Multi-Year_Data_Set_on_Aerosol-Cloud-Precipitation-Meteorology_Interactions_for_Marine_Stratocumulus_Clouds/5099983)). Also, the other data
954 used in this study are available at websites provided in Section 2.

955 **Author contributions**

956 EC and AS designed the study. HJ, AS, EC, and HD conducted the research flights during the
957 FASE field campaign. MSM and HD developed the image analysis tool to analyze GOES images.
958 MP, HD, and MAM ran the GBRT model. HD analyzed the collected data. AB, MB, and XZ
959 provided input on the results and draft. AS and HD wrote the paper. EC, MAM, AB, MB, and XZ
960 revised the manuscript.
961

962

963 **Competing interests**

964 The authors declare that they have no conflict of interest.

965

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1415 **Table 1.** Summary of reanalysis and satellite data products used in this study. For the rows with multiple products, underlined entries
 1416 correspond to each other between different columns.

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Input coordinate for data download	Parameter	Source	Product identifier	Spatial resolution	Vertical level	Temporal resolution	Reference
20°-60° N, 110°-160° W	Visible band imagery	GOES-11/15 imager	NA	1 km × 1 km at nadir	NA	30 min	Menzel and Purdom, 1994
20°-60° N, 110°-160° W	Mean sea level pressure	MERRA-2 model	M2I3NPASM	0.5° × 0.625°	NA	3 h	Bosilovich et al., 2016
20°-60° N, 110°-160° W	Air temperature	MERRA-2 model	<u>M2T1NXFLX</u> /M2I3NPASM	0.5° × 0.625°	<u>Sea surface</u> , 950, 850, 700 hPa	<u>1 h</u> /3 h	Bosilovich et al., 2016
20°-60° N, 110°-160° W	Geopotential height	MERRA-2 model	M2I3NPASM	0.5° × 0.625°	850, 500 hPa	3 h	Bosilovich et al., 2016
20°-60° N, 110°-160° W	Wind speed	MERRA-2 model	M2T1NXFLX	0.5° × 0.625°	<u>Surface</u> , 950, 850, 700 hPa	<u>1 h</u> /3 h	Bosilovich et al., 2016
20°-60° N, 110°-160° W	Vertical pressure velocity	MERRA-2 model	M2I3NPASM	0.5° × 0.625°	700 hPa	3 h	Bosilovich et al., 2016
20°-60° N, 110°-160° W	Planetary boundary layer height	MERRA-2 model	M2T1NXFLX	0.5° × 0.625°	NA	1 h	Bosilovich et al., 2016
20°-60° N, 110°-160° W	Sea surface temperature	MERRA-2 model	M2T1NXOCN	0.5° × 0.625°	NA	1 h	Bosilovich et al., 2016
20°-60° N, 110°-160° W	Specific humidity	MERRA-2 model	<u>M2I1NXASM</u> /M2I3NPASM	0.5° × 0.625°	<u>10 m</u> , 950, 850, 700 hPa	<u>1 h</u> /3 h	Bosilovich et al., 2016
20°-60° N, 110°-160° W	Aerosol optical depth AOD	MERRA-2 model	M2I3NXGAS	0.5° × 0.625°	NA	3 h	Bosilovich et al., 2016
30°-50° N, 115°-135° W	Cloud optical thickness liquid	MODIS-Terra/Aqua	MOD08_D3/MYD08_D3	1° × 1°	NA	Daily	Hubanks et al., 2019
30°-50° N, 115°-135° W	Cloud fraction day	MODIS-Terra/Aqua	MOD08_D3/MYD08_D3	1° × 1°	NA	Daily	Hubanks et al., 2019
30°-50° N, 115°-135° W	Cloud water path liquid	MODIS-Terra/Aqua	MOD08_D3/MYD08_D3	1° × 1°	NA	Daily	Hubanks et al., 2019
30°-50° N, 115°-135° W	Cloud effective radius liquid	MODIS-Terra/Aqua	MOD08_D3/MYD08_D3	1° × 1°	NA	Daily	Hubanks et al., 2019

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1420 **Table 2.** Absolute changes in the parallel component of horizontal wind speed relative to the cloud
 1421 edge, $|\Delta v|$ in units of m s^{-1} , across various legs using FASE aircraft data. Values were calculated
 1422 based on a 40 km leg distance (approximate length of each leg). Values for the cloud top leg were
 1423 estimated using the sawtooth leg performed across the cloud top boundary. The free troposphere
 1424 level leg was not conducted in RF08 and thus left blank.
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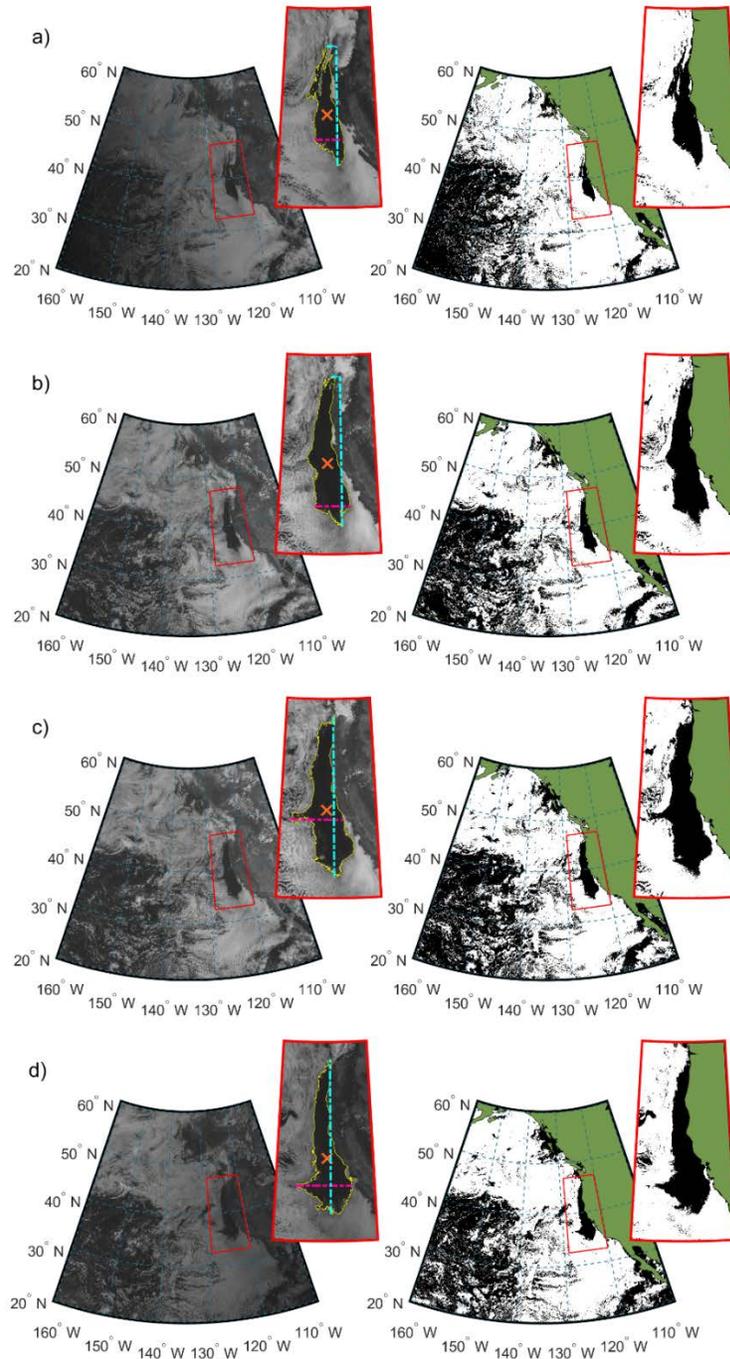
	RF08	RF09A	RF09B
Free troposphere		0.4	1.6
Cloud top	9.6	6.4	4.8
Mid-cloud	7.2	6.8	6.0
Above cloud base	6.8	5.2	5.2
Surface	3.6	2.4	0.0

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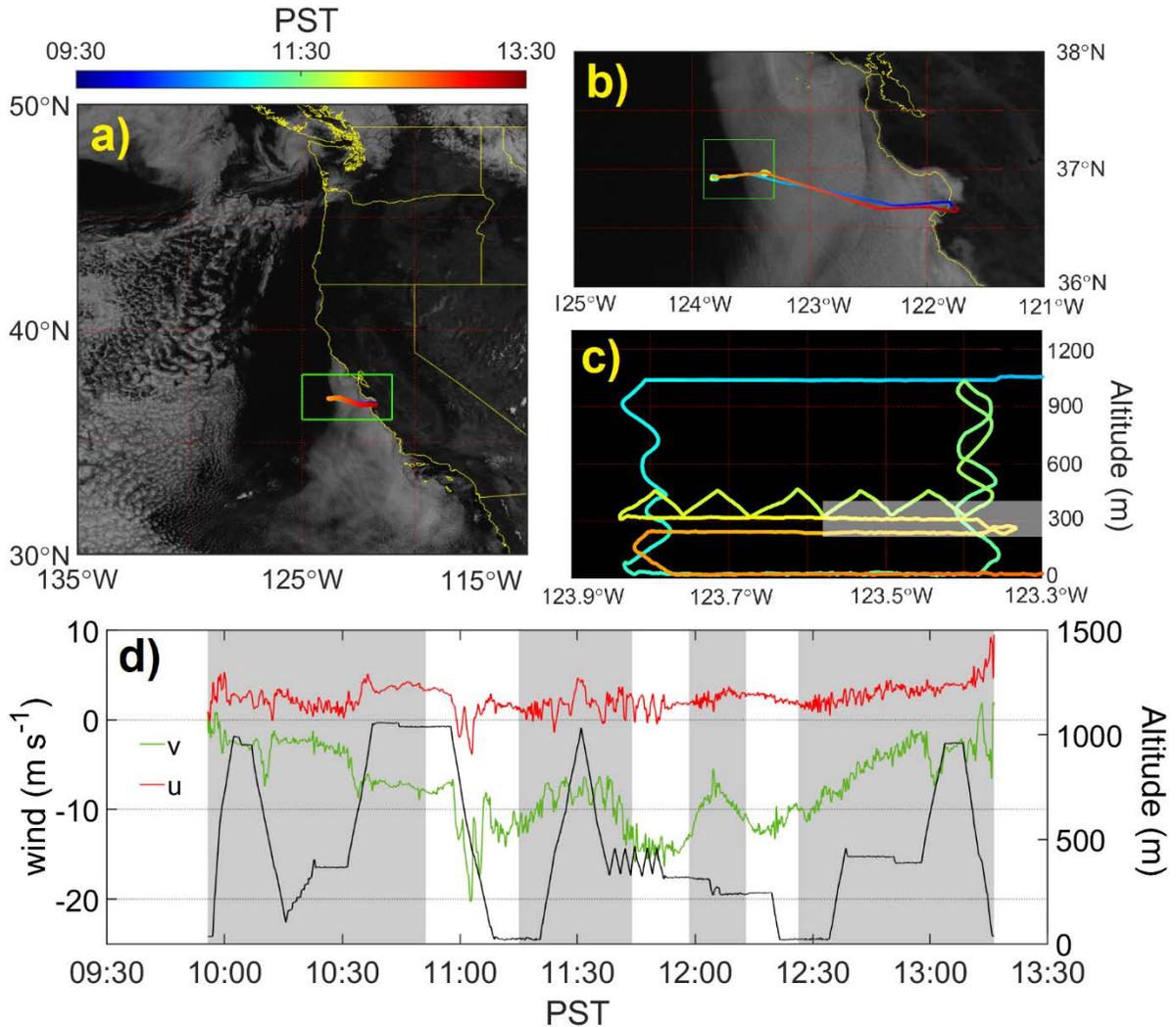
1427 **Table 3.** Summary of thermodynamic, dynamic, and cloud properties on both sides of the clear-cloudy interface for three FASE case
 1428 research flights (RFs). U represents total horizontal wind speed ($U = \sqrt{u^2 + v^2}$) across the depth of the inversion layer.
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	Cloudy			Clear		
	RF08	RF09A	RF09B	RF08	RF09A	RF09B
<i>SST</i> (K)	286.6	287.1	287.3	287.0	287.5	287.2
Surface wind (m s ⁻¹)	11.3	11.1	11.6	13.2	12.3	11.5
u^* (m s ⁻¹)	0.15	0.19	0.11	0.40	0.32	0.25
w^* (m s ⁻¹)	0.44	0.64	0.68	0.44	0.53	0.38
$-Z_i/L_{MO}$	9.8	15.7	49.1	0.8	2.2	1.4
Inversion-base height (m)	367	375	391	359	354	386
Inversion-top height (m)	422	441	457	443	440	455
Inversion depth (m)	55	66	66	82	86	69
$\Delta\theta_i$ (K)	7.4	8.6	7.0	7.3	7.6	5.4
$(\Delta\theta_i/\Delta z)_{Max}$ (K m ⁻¹)	0.38	0.41	0.25	0.32	0.33	0.23
Δq_T (g kg ⁻¹)	-3	-3.2	-2.6	-2.9	-3.3	-2.6
ΔU (m s ⁻¹)	0.80	1.35	1.35	5.44	2.50	5.32
Cloud base (m)	242	217	265			
Cloud top (m)	372	408	401			
Cloud depth (m)	131	191	137			
Cloud <i>LWP</i> (g m ⁻²)	15	32	18			
R_{cb} (mm day ⁻¹)	0.48	0.09	0.07			
r_e (μ m)	6.6	6.0	5.9			
N_d (cm ⁻³)	107	141	148			
Surface PCASP (cm ⁻³)	108	206	236	106	186	207

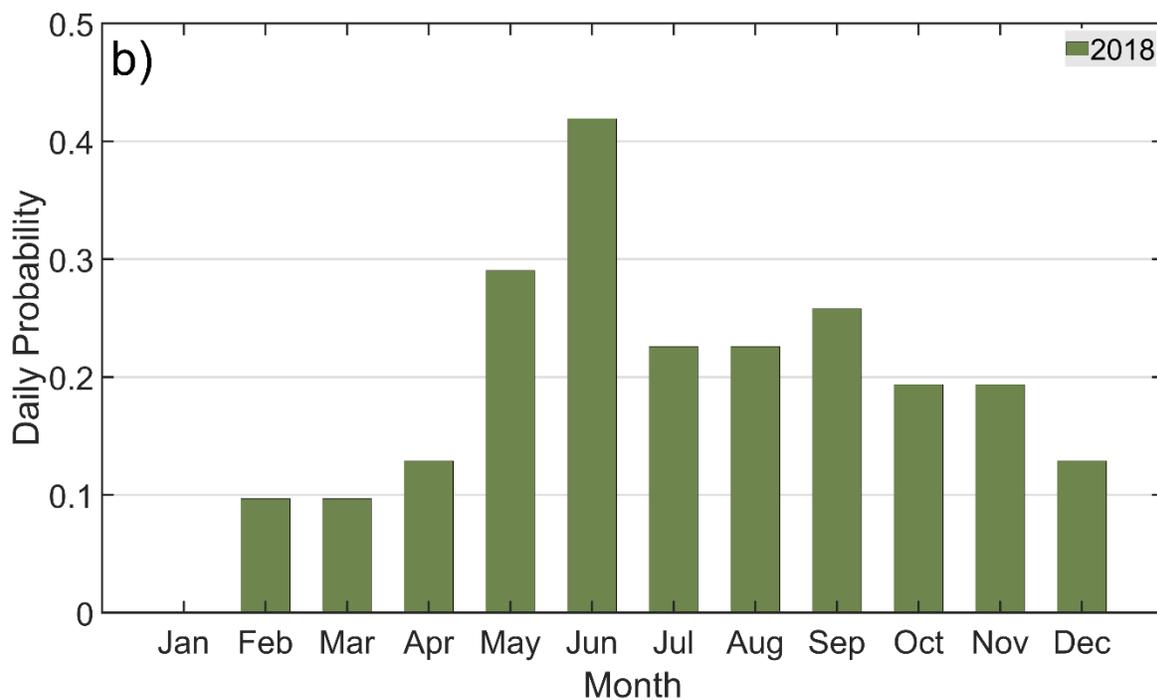
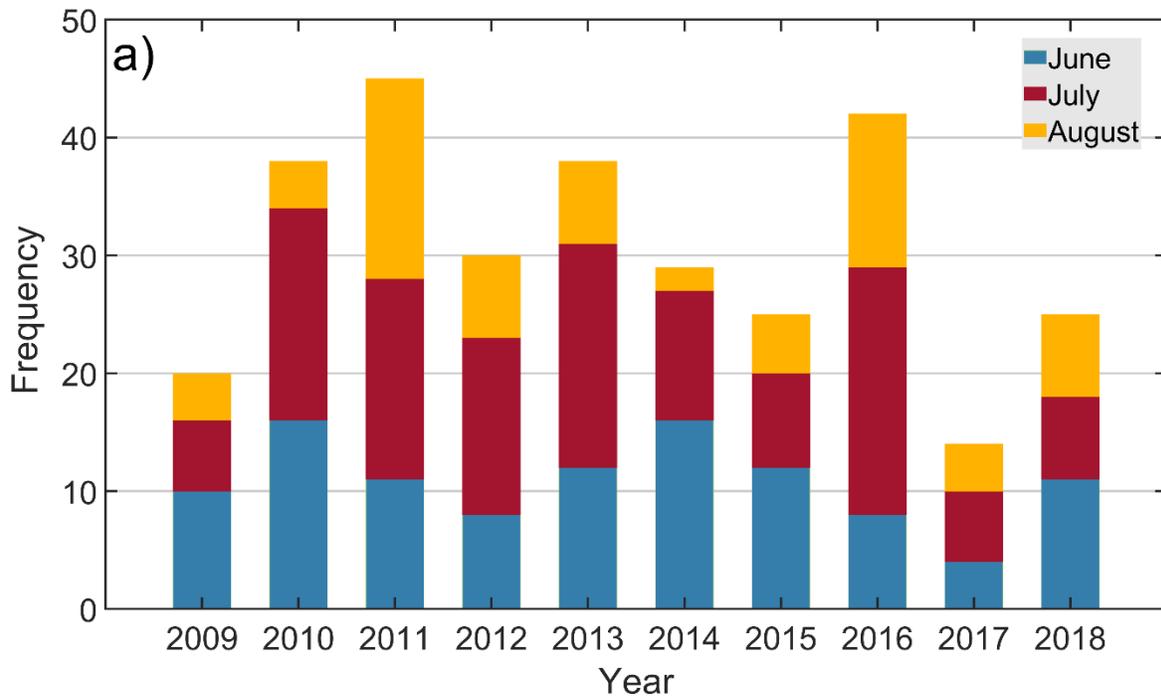
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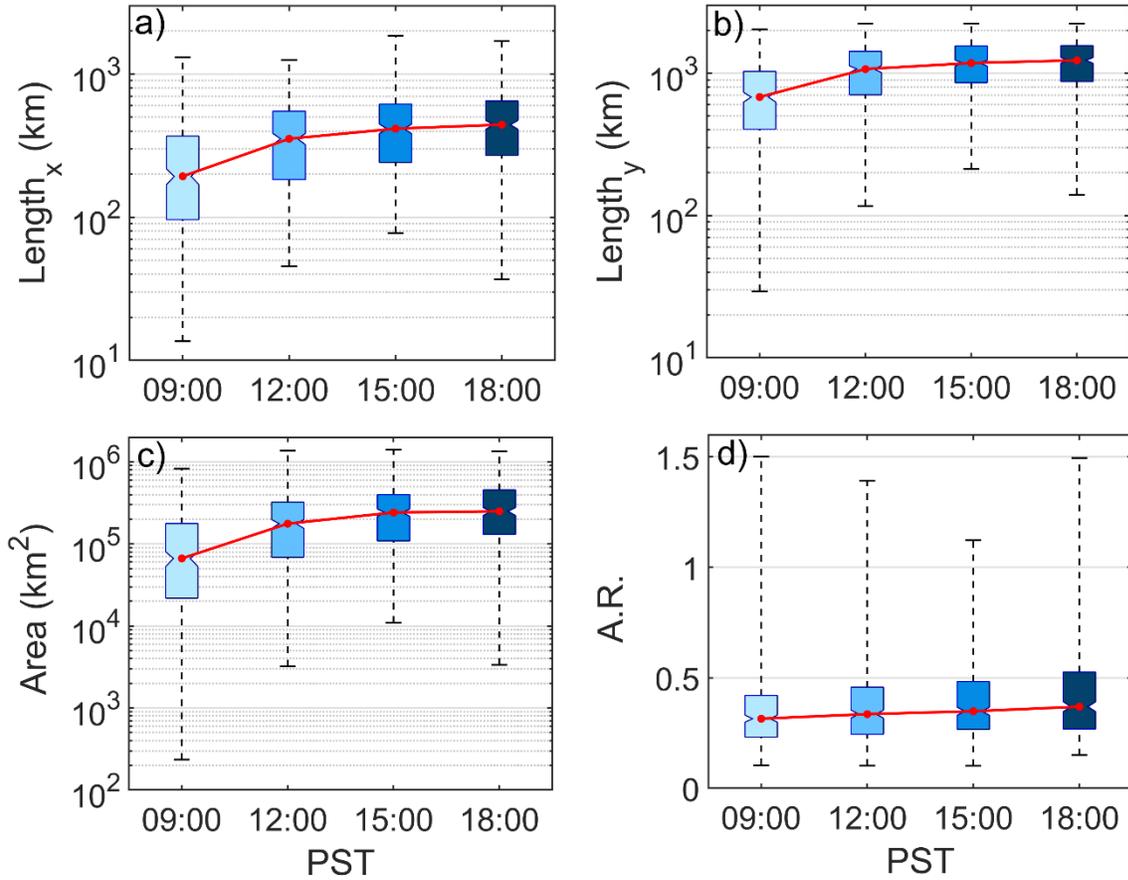
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 1434 **Figure 1.** Sequence of data processing with GOES imagery at four times during a day: (i) 16:15
 1435 UTC 09 August 2011; (ii) 19:15 UTC 09 August 2011; (iii) 20:45 UTC 09 August 2011; and (iv)
 1436 01:15 UTC 10 August 2011. Left panels show visible-band images of a clearing event obtained
 1437 from GOES-11 data, while the right panel is produced using cloud masking. Note that the clearing
 1438 border, centroid, and lengths (x and y) are overlaid on the GOES images. Local time (PST) requires
 1439 subtraction of seven hours from UTC time.



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 1441 **Figure 2.** a) GOES 15 visible band image (11:45 (18:45) PST (UTC) on 03 Aug 2016) with the
 1442 overlaid flight path of FASE RF09A. b) Zoomed-in view of the satellite image to highlight the
 1443 clear-cloudy border. c) Aircraft flight strategy at the cloudy-clear interface for the green box
 1444 highlighted in b). Cloud borders are denoted by a shaded box. d) Time series of flight altitude and
 1445 horizontal wind speed, which is decomposed into two components that are perpendicular (u) and
 1446 parallel (v) to the cloud edge. Wind speeds were smoothed using low-pass filtering. Parts of the
 1447 flight that sampled air on the cloudy side of the clear-cloudy border are shaded in grey.
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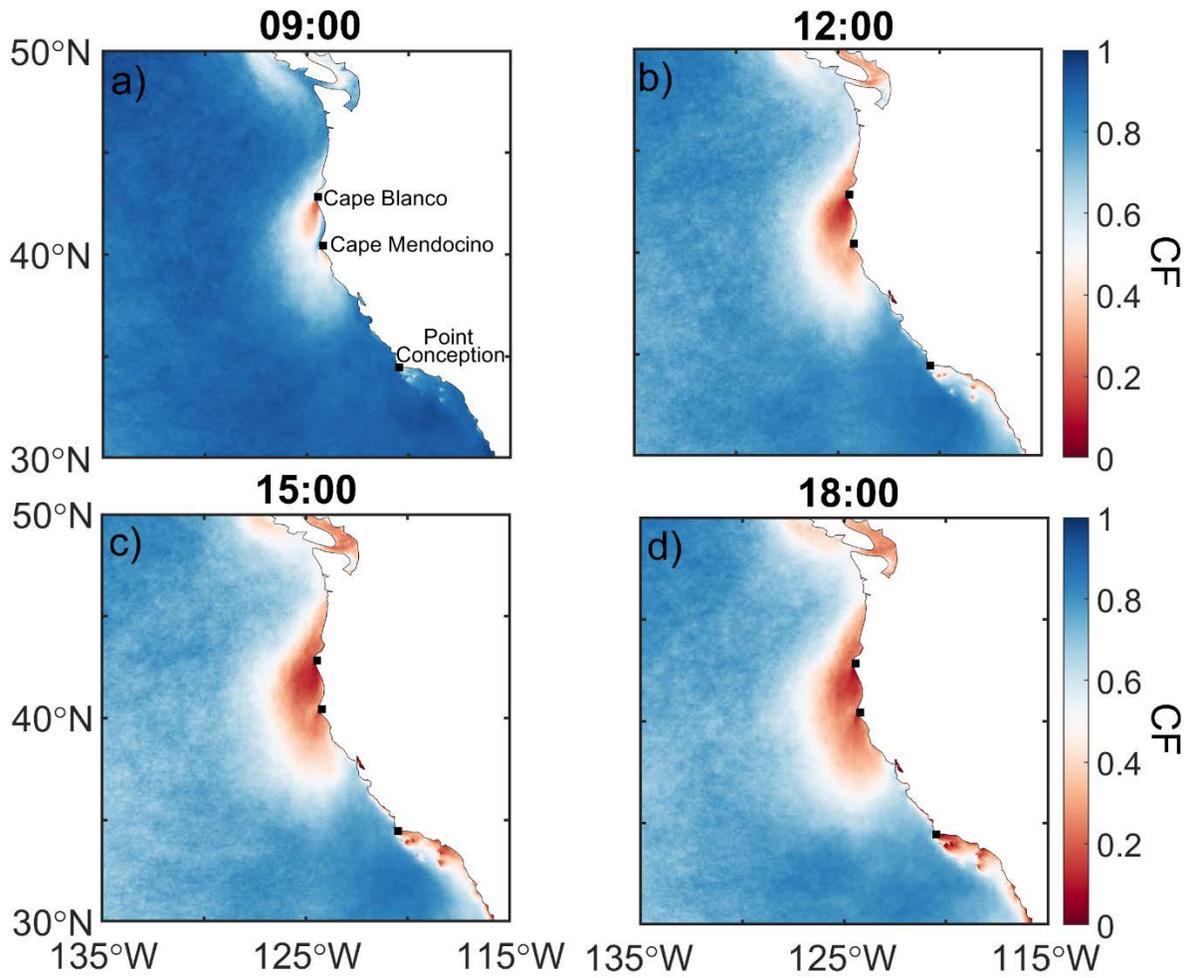


1449 **Figure 3.** a) Frequency of clearing events in the study region for each summer month between
 1450 2009 and 2018. b) Daily probability of clearing events (i.e., days with clearings divided by total
 1451 days in that month) in each month of a representative year, 2018.
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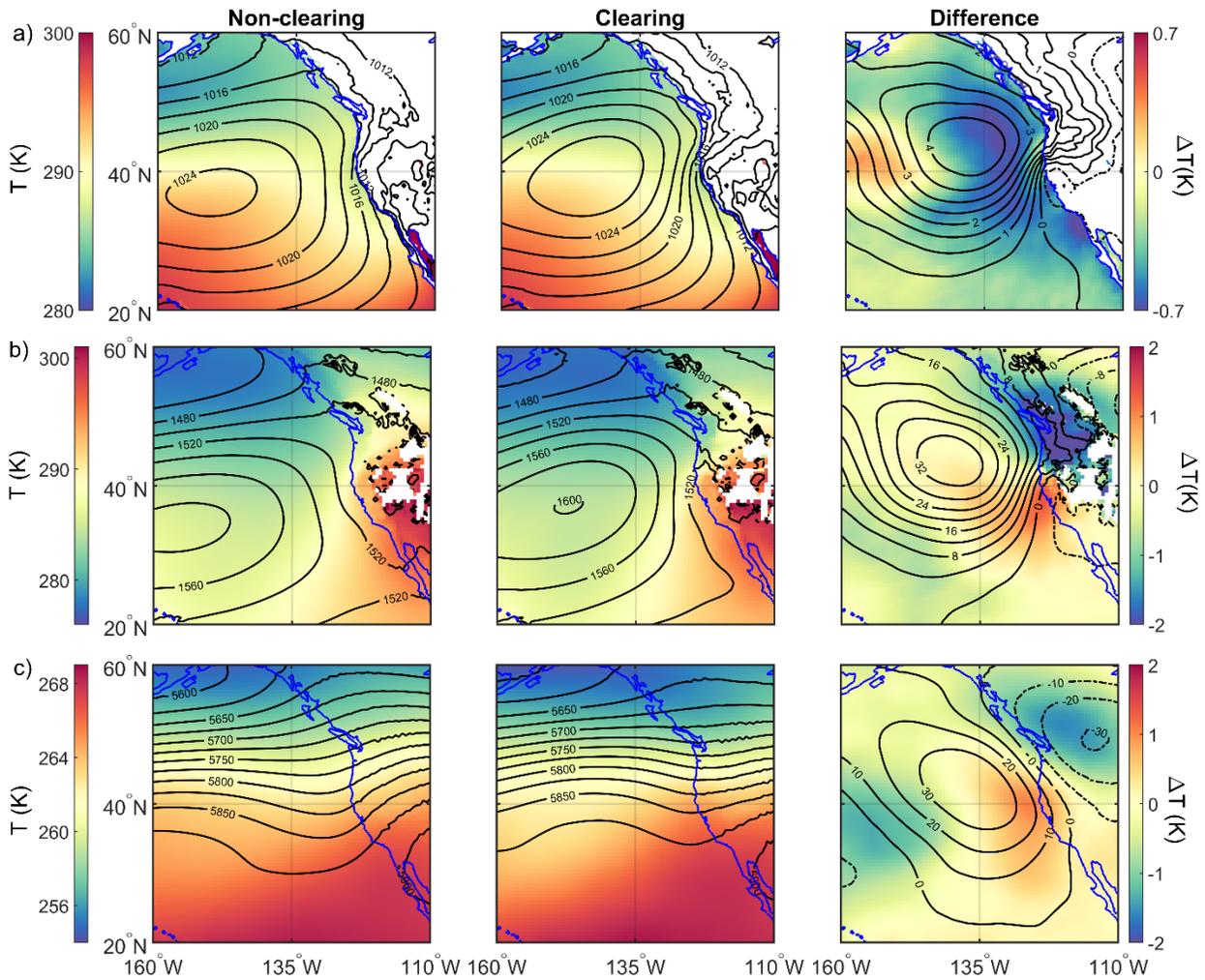
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Figure 4. Diurnal profiles of (a) widest point of clearings at a fixed latitudinal value, (b) longest dimension between the maximum and minimum latitudinal coordinates of a clearing regardless of longitudinal value, (c) total clearing area, and (d) aspect ratio of clearing (i.e., width divided by length using the maximum values as described by panels a-b). The box and whisker plots show the median values (red points), the 25th and 75th percentile values (bottom and top of boxes, respectively), and minimum and maximum values (bottom and top whiskers, respectively).

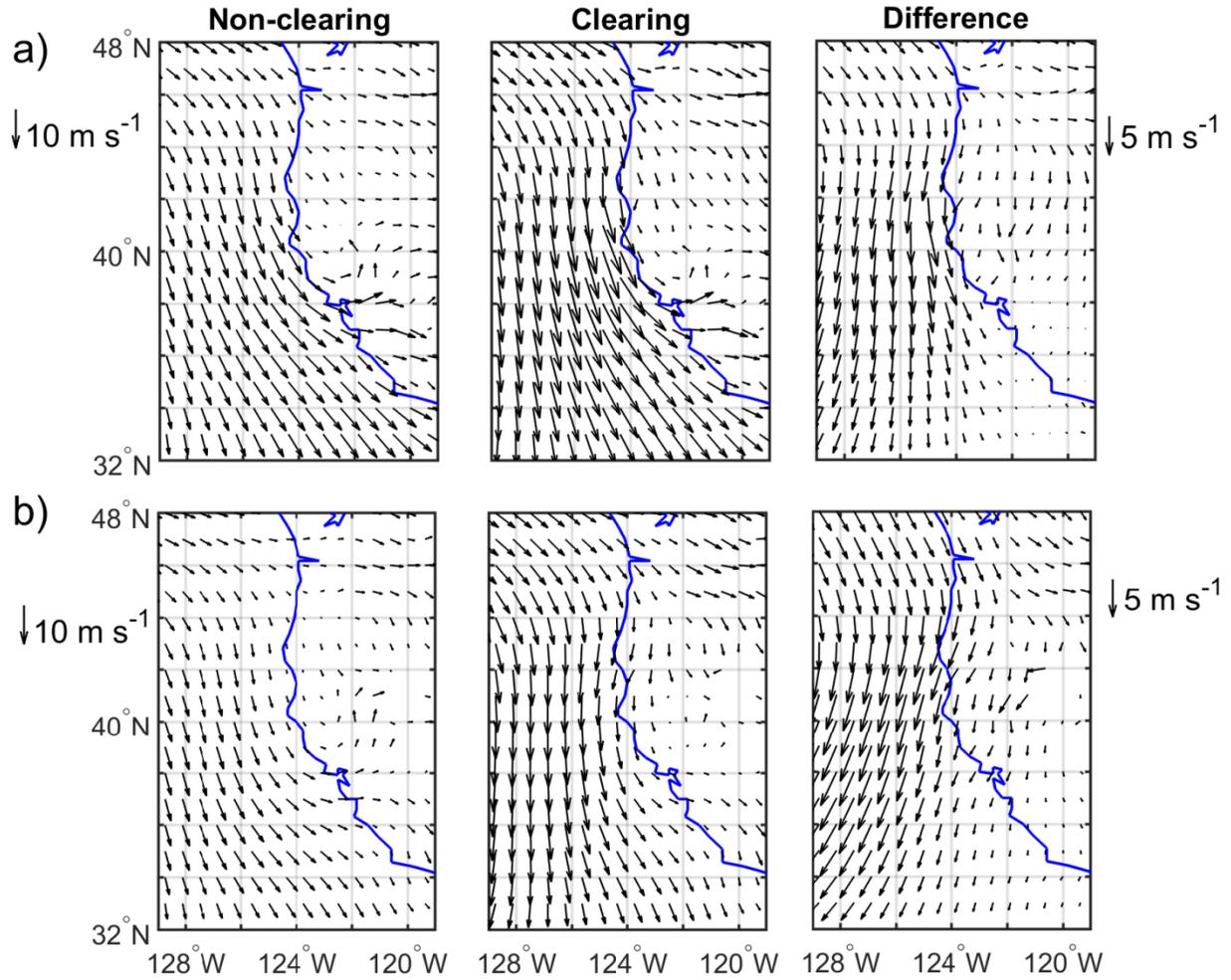


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Figure 5. Diurnal profiles (PST times shown; add 7 h for UTC) of cloud fraction (CF) in the study region based on GOES imagery data from 306 clearing cases between 2009 and 2018 during JJA months.

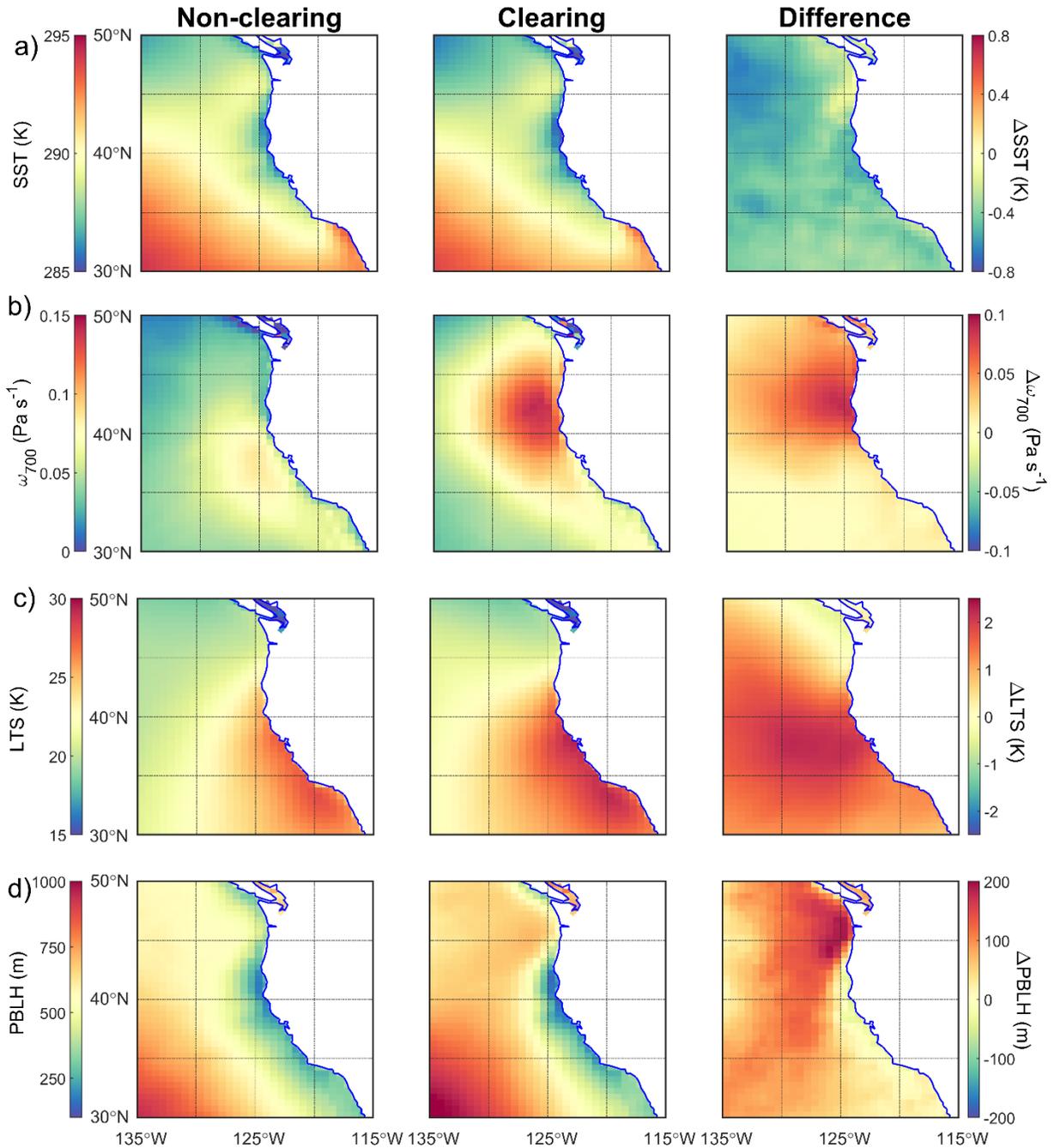


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 1469 **Figure 6.** Climatology of non-clearing and clearing days as well as their differences (clearing
 1470 minus non-clearing) during the summers (JJA) between 2009 and 2018 for a) mean sea level
 1471 pressure (contours in hPa) and air temperature (color map) at sea surface, b) 850 hPa geopotential
 1472 heights (contours in m) and air temperature (color map), and c) 500 hPa geopotential heights
 1473 (contours in m) and air temperature (color map). The data were obtained from MERRA-2
 1474 reanalysis. Differences (clearing minus non-clearing) are shown in the farthest right column with
 1475 separate color scales. White areas indicate no data were available.
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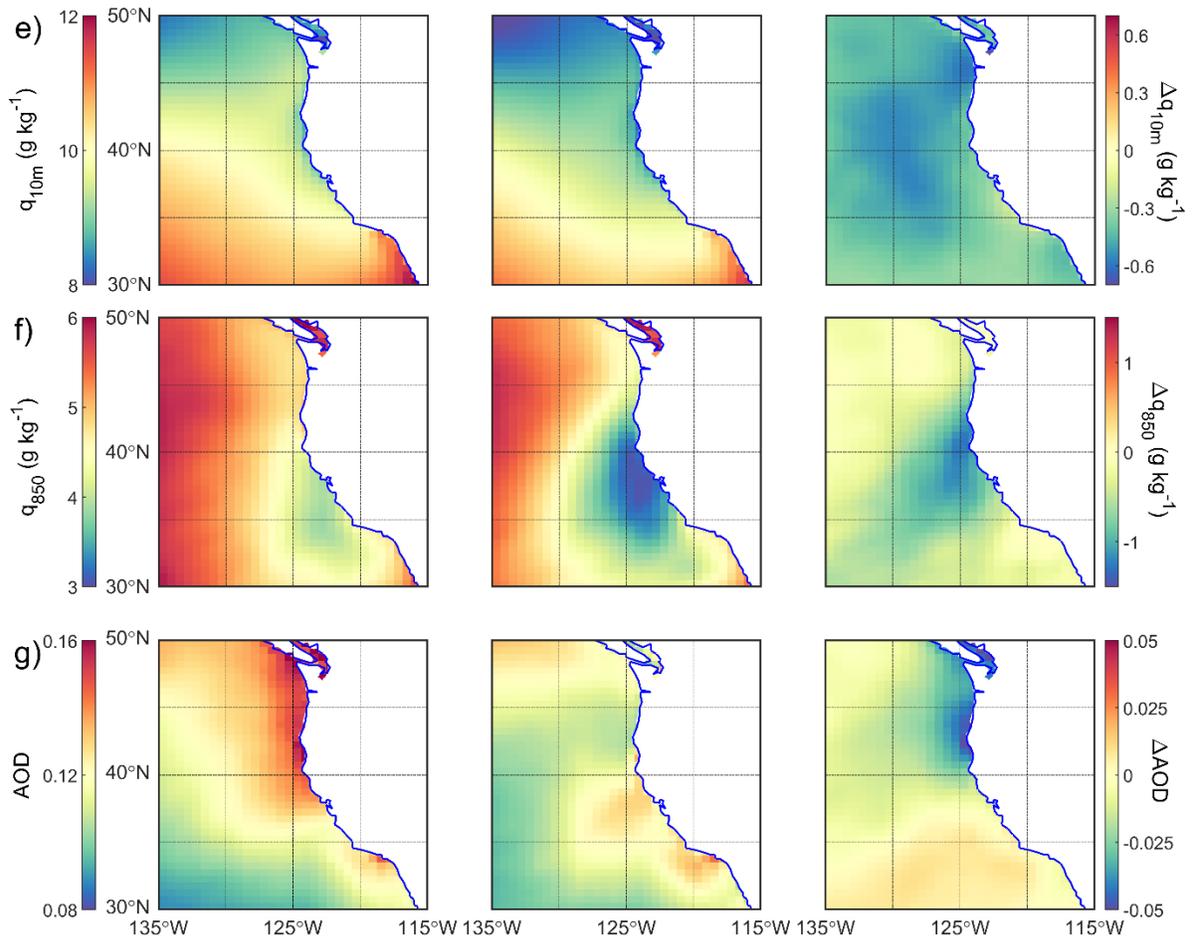
Figure 7. Same as Fig. 6 but for wind speed at the a) surface and b) 850 hPa. Reference wind vectors are shown on the far left for the left two columns, with separately defined vectors on the far right for the difference (clearing minus non-clearing) plots in the farthest right column.



1484 **Figure 8.** Spatial map of environmental parameters controlling properties of stratocumulus clouds
 1485 for non-clearing and clearing events: a) sea surface temperature (*SST*), b) vertical pressure velocity
 1486 at 700 hPa (ω_{700}), c) lower-tropospheric stability (*LTS*), d) planetary boundary layer height
 1487 (*PBLH*), e) specific humidity at 10 m (q_{10m}), f) specific humidity at 850 hPa (q_{850}), and g) aerosol
 1488 optical depth (*AOD*). Differences (clearing minus non-clearing) are shown in the farthest right
 1489 column with separate color scales.
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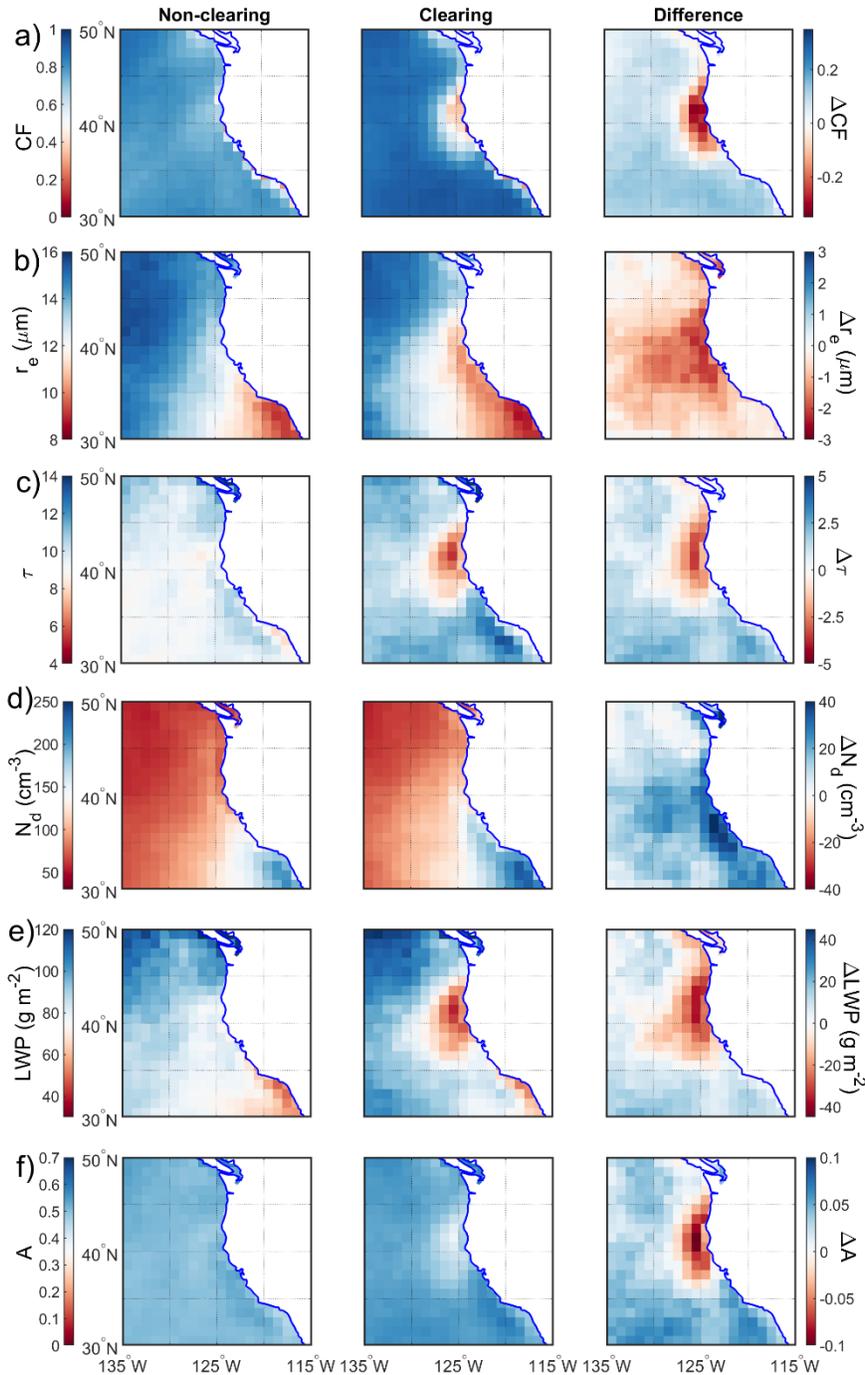
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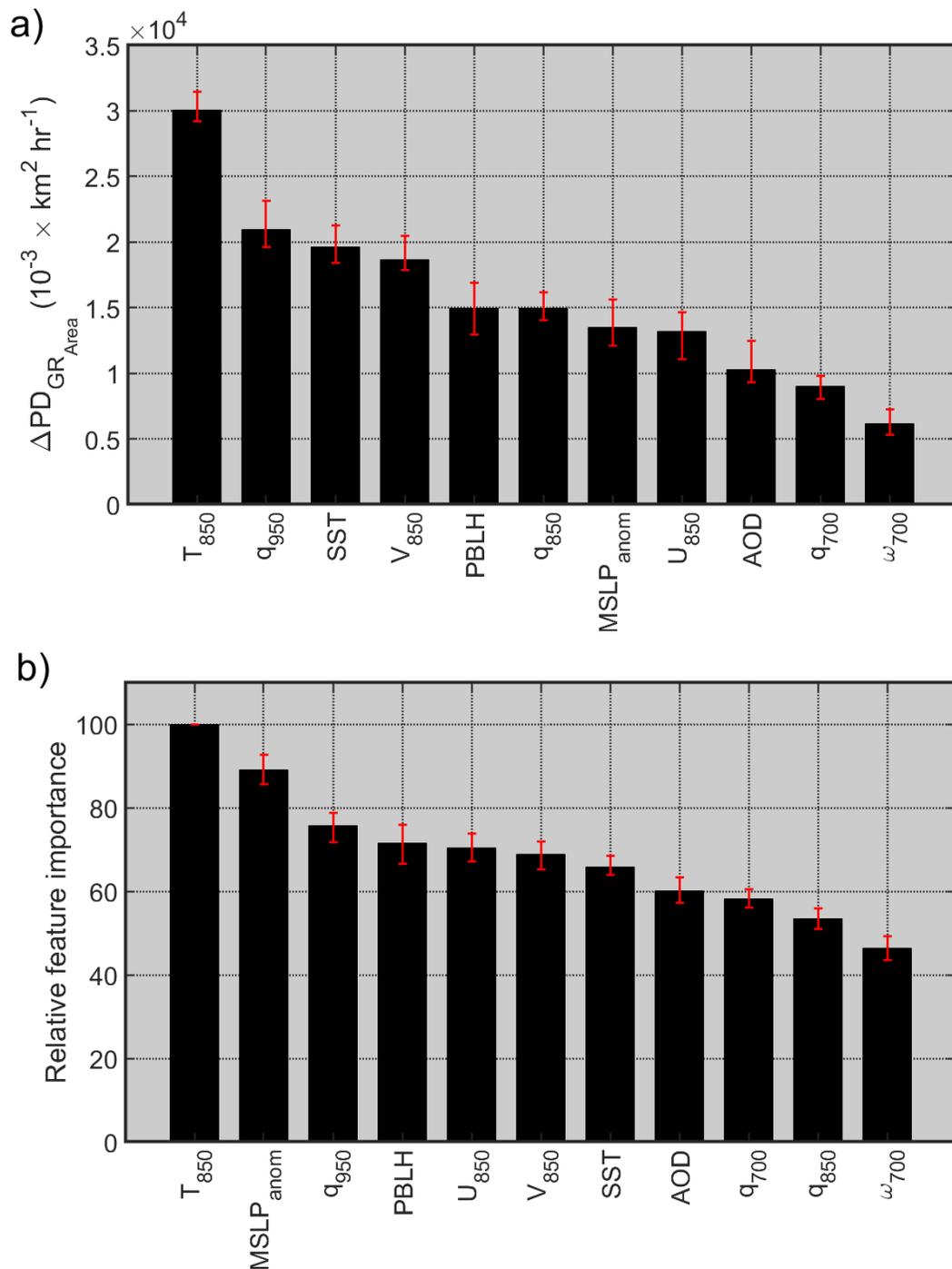


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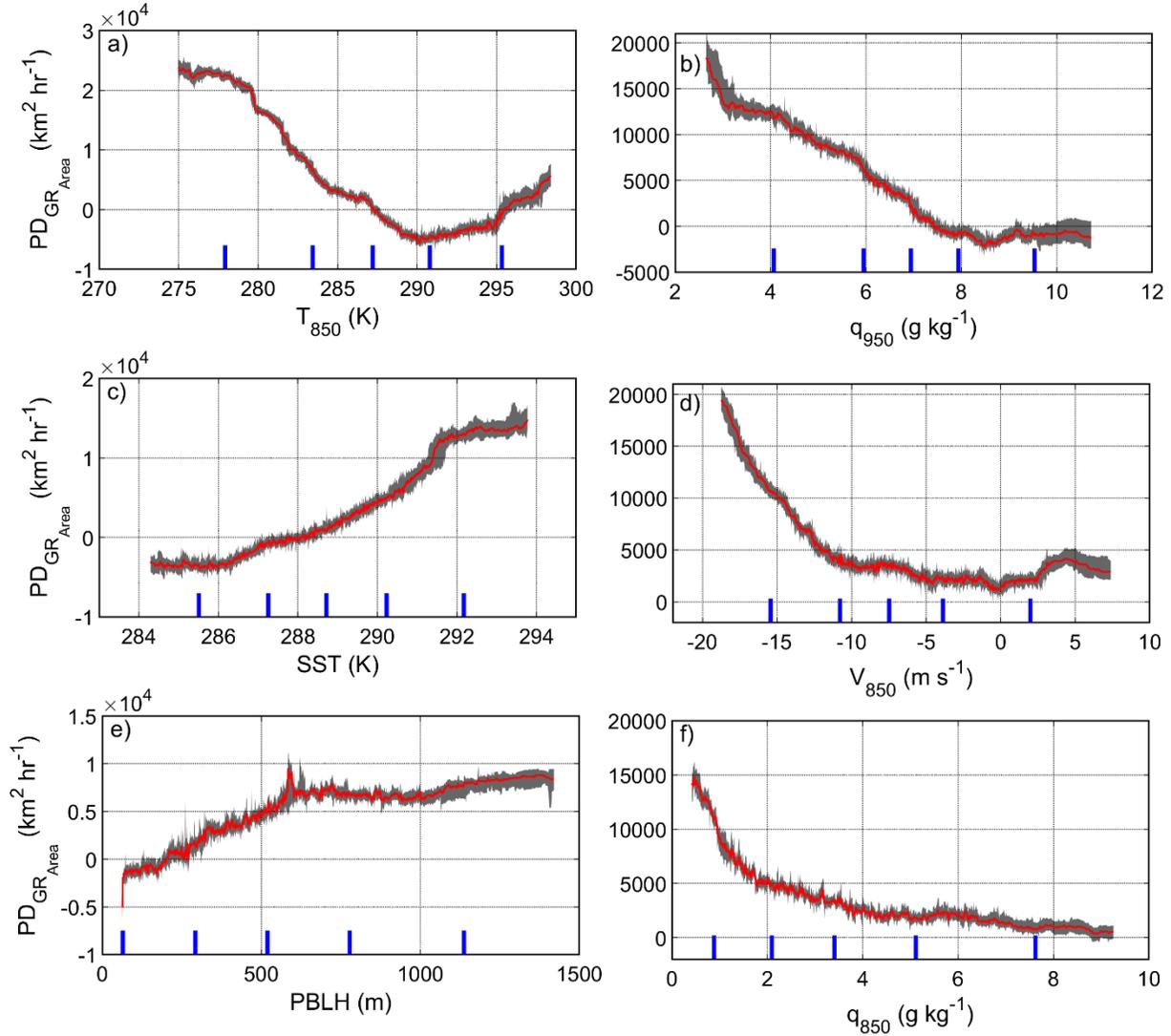
Figure 8 (continued).



1498
 1499 **Figure 9.** Average cloud parameters for non-clearing and clearing days obtained from MODIS
 1500 Terra Level 3 (Collection 6.1) data: a) cloud fraction day (CF), b) cloud top droplet effective radius
 1501 (r_e), c) cloud optical thickness (τ), d) cloud droplet number concentration (N_d), e) cloud liquid
 1502 water path (LWP), and f) cloud albedo (A). Differences (clearing minus non-clearing) are shown
 1503 in the farthest right column with separate color scales. Values from any instances of clear pixels
 1504 were omitted from the analysis to produce panels b-f. Fig. S6 is an analogous figure based on
 1505 MODIS Aqua data.

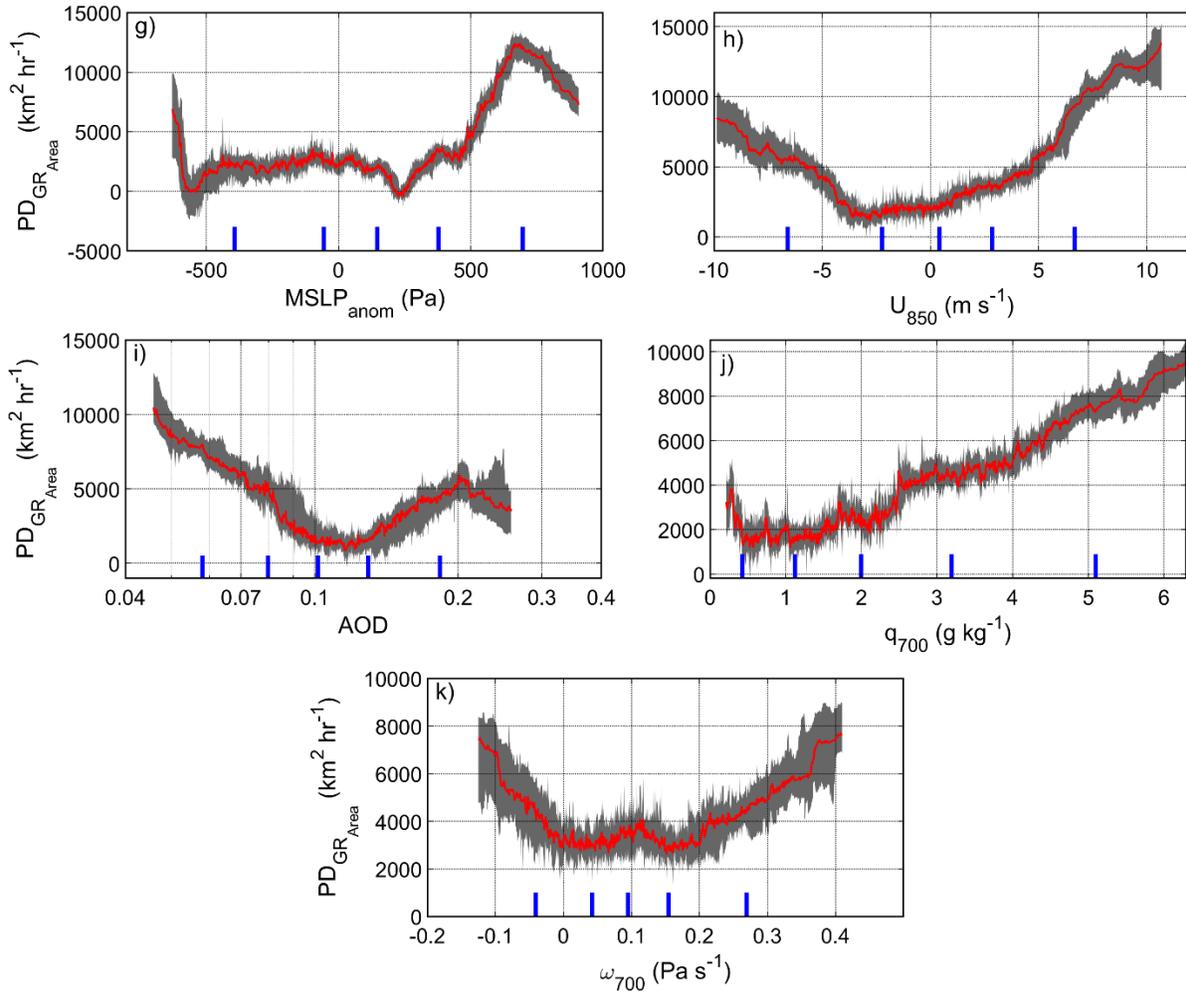


1506
 1507 **Figure 10.** Two scoring methods used for measuring the relative influence of input variables in
 1508 the GBRT model: a) the median difference of maximum and minimum partial dependence (PD)
 1509 of clearing growth rate (GR_{Area}), and b) the median of relative feature importance calculated based
 1510 on the method developed by Friedman (2001). Error bars represent the range of variability in 30
 1511 model runs. Note that GBRT simulations were performed using clearing growth rates obtained
 1512 from the analysis of first and second GOES images (~09:00 – 12:00 PST) for all 306 clearing
 1513 events examined.



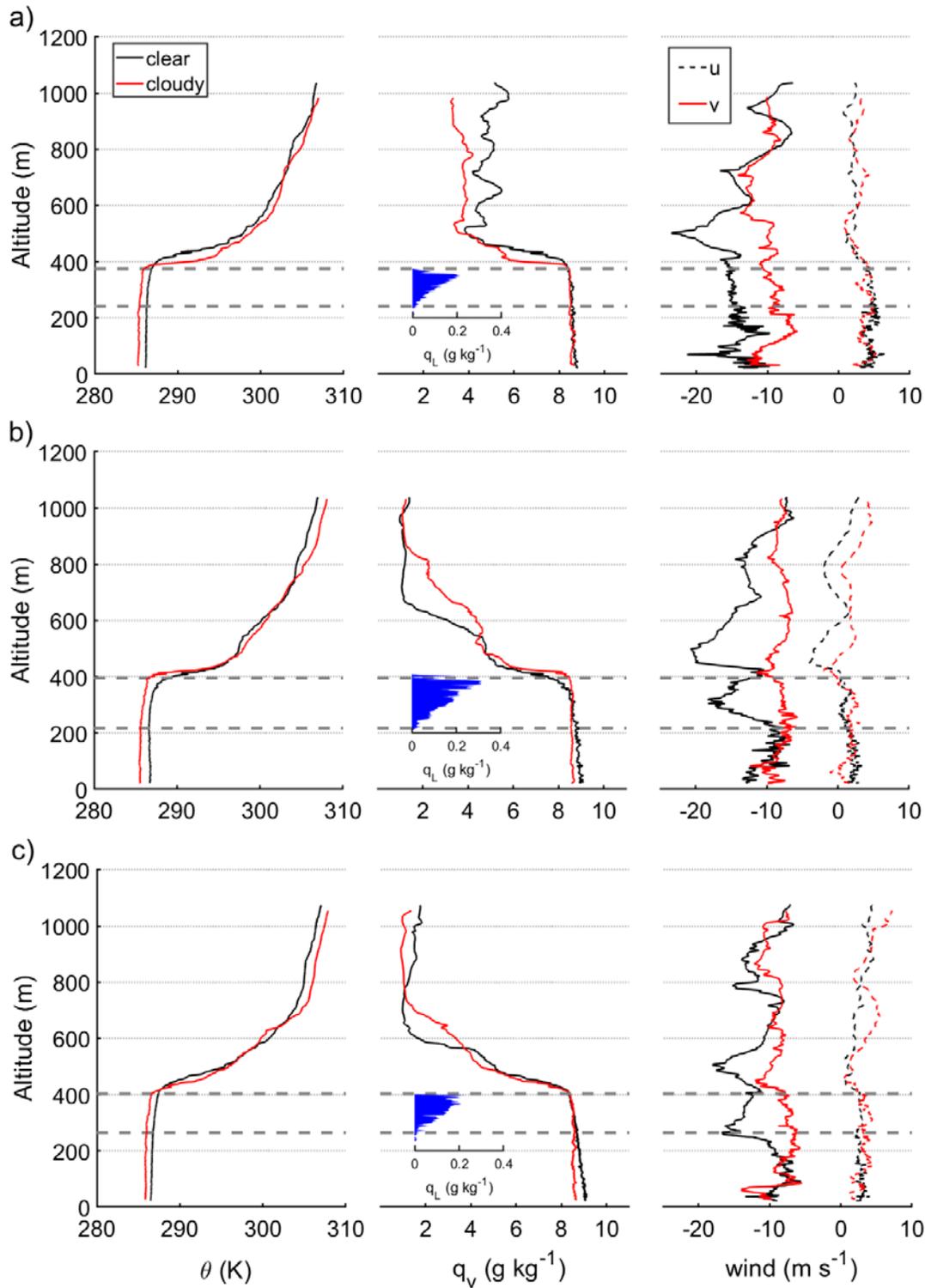
1514
 1515 **Figure 11.** The median partial dependence (PD) of clearing growth rate (GR_{Area}) on the following
 1516 parameters: a) air temperature at 850 hPa (T_{850}), b) air specific humidity at 950 hPa (q_{950}), c)
 1517 sea surface temperature (SST), d) meridional wind speed at 850 hPa (V_{850}), e) planetary boundary layer
 1518 height ($PBLH$), f) air specific humidity at 850 hPa (q_{850}), g) mean sea level pressure anomaly
 1519 ($MSLP_{anom}$), h) zonal wind speed at 850 hPa (U_{850}), i) aerosol optical depth (AOD), j) air specific
 1520 humidity at 700 hPa (q_{700}), and k) vertical pressure velocity at 700 hPa (ω_{700}). Grey shaded areas
 1521 represent the range of variability of PD for 30 model runs. Blue lines represent the values of the
 1522 (left to right) 5th, 25th, 50th, 75th, and 95th percentiles of the input parameter. GBRT simulations
 1523 were performed using clearing growth rates obtained from the analysis of first and second GOES
 1524 images (09:00 – 12:00 PST) for all 306 clearing events examined.
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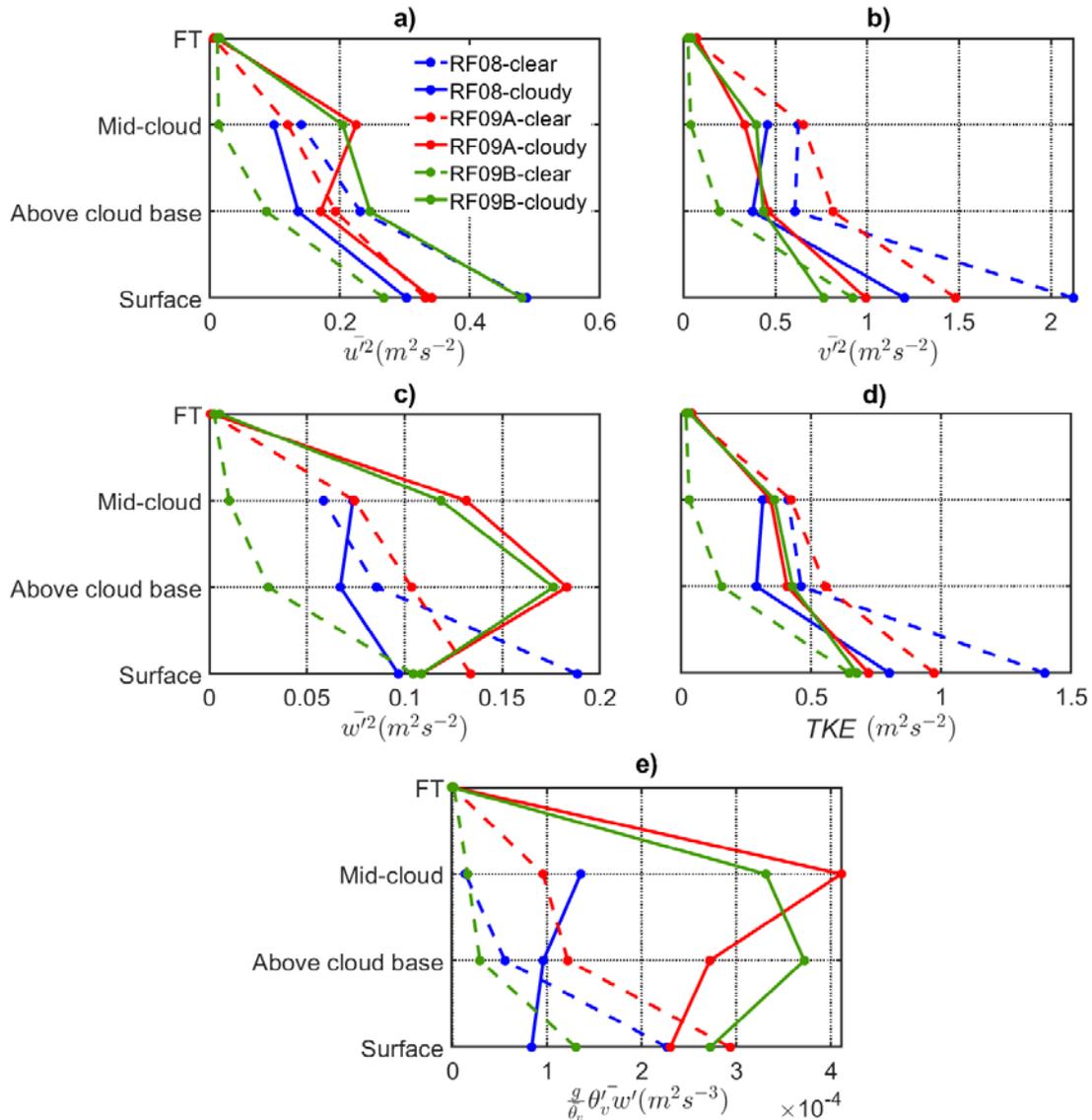


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Figure 11 (continued).



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 1531 **Figure 12.** Sounding profiles of clear and cloudy columns for three case research flights examined
 1532 in the FASE campaign: a) RF08, b) RF09A, c) RF09B. Horizontal wind speeds are decomposed
 1533 into two components, (u) perpendicular and (v) parallel, relative to the cloud edge. Cloud base and
 1534 top borders are marked with dashed lines.
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 1537 **Figure 13.** Selected dynamic parameters for the clear (dash lines) and cloudy (solid lines) parts of
 1538 the legs performed at different altitudes for three FASE case research flights: Panels a-c) exhibit
 1539 squared average velocity fluctuations of wind speeds components (u and v horizontal components,
 1540 w vertical component). Horizontal wind speeds are decomposed into two components, (u)
 1541 perpendicular and (v) parallel, relative to the cloud edge. Panels d) and e) display turbulent kinetic
 1542 energy and buoyancy flux profiles, respectively, for the three flights.